### T2K+NOvA Joint Neutrino Oscillation Analysis

### JENNIFER2 Meeting, KEKTsukuba Campus

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National Centre for Nuclear Research

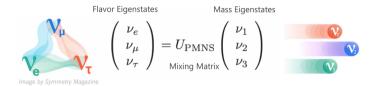
June 2, 2024



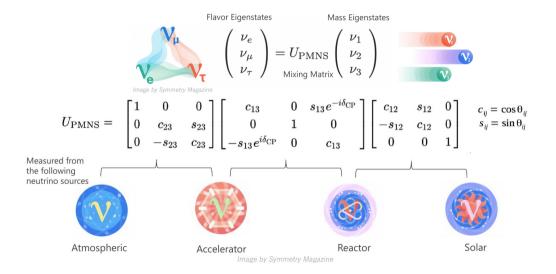


# Neutrino Oscillation and (All Sort of) Motivations

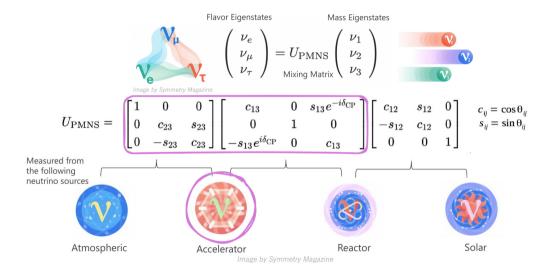
### Neutrino mixing



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### Parameters of the neutrino oscillation $3\nu$ -paradigm

#### NuFIT global analysis JHEP 09, 178 (2020)

	Normal ordering (best fit)		Inverted ordering	
	Best fit $\pm 1\sigma$	$3\sigma$ range	Best fit $\pm 1\sigma$	$3\sigma$ range
$\sin^2 \theta_{12}$	$0.304 \pm 0.012$	0.269 - 0.343	$0.304^{+0.013}_{-0.012}$	0.269 - 0.343
$\sin^2 \theta_{23}$	$0.573\substack{+0.016\\-0.020}$	0.415 - 0.616	$0.575\substack{+0.016\\-0.019}$	0.419 - 0.617
$\sin^2 \theta_{13}$	$0.02219\substack{+0.00062\\-0.00063}$	0.02032 - 0.02410	$0.02238\substack{+0.00063\\-0.00062}$	0.02052 - 0.02428
$\frac{\Delta m^2_{21}}{10^{-5} \text{ eV}^2} \\ \Delta m^2_{3l}$	$7.42\substack{+0.21\\-0.20}$	6.82 - 8.04	$7.42^{+0.21}_{-0.20}$	6.82 - 8.04
$10^{-3} \text{ eV}^2$	$2.517\substack{+0.026\\-0.028}$	2.435 - 2.598	$-2.498 \pm 0.028$	-2.5812.414
$\frac{\delta_{CP}}{\pi}$	$1.09\substack{+0.15 \\ -0.13}$	0.67 - 2.05	$1.57\substack{+0.14 \\ -0.17}$	1.07 - 1.96

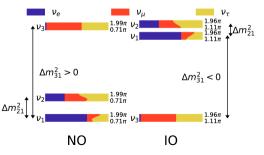
What is there to measure, anyway?

- Ordering of the mass states (mass ordering), is  $\nu_3$  the heaviest or the lightest: NORMAL (NO) vs INVERTED (IO)?
- $\theta_{23} =$ , > (UO), < (LO) 45°? 23,  $\mu \tau$  symmetry?
- CP violation in lepton sector,  $\delta_{CP}$ ?
- Tests of unitarity,  $3\nu$ -paradigm completeness, sterile  $\nu$  etc.?

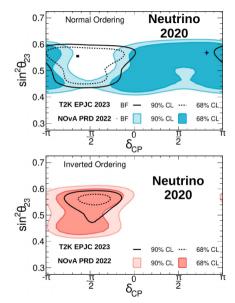
Long-baseline accelerator experiments  $L/E \sim 10^{2-3}$  km/GeV are sensitive to

NO/IO,  $\theta_{23}$  and  $\delta_{CP}$ (also  $\theta_{13}$ )

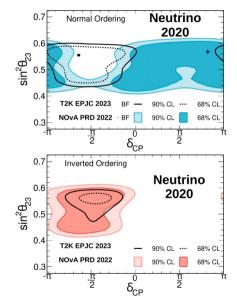
T2K (Japan) 295 km / 0.6 GeV NOvA (USA) 810 km / 2 GeV



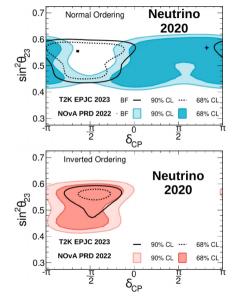
- Different experimental setups of oscillation baseline and energies lead to **different physics sensitivity** 
  - NOvA mass ordering sensitivity
  - T2K CP-violation sensitivity



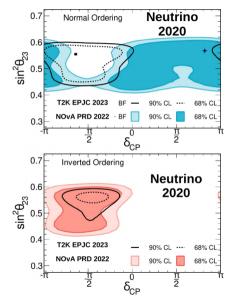
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- Full implementation of
  - Consistent statistical inference across the full dimensionality
  - Each experiments' detailed likelihood
  - Energy reconstruction and detector response



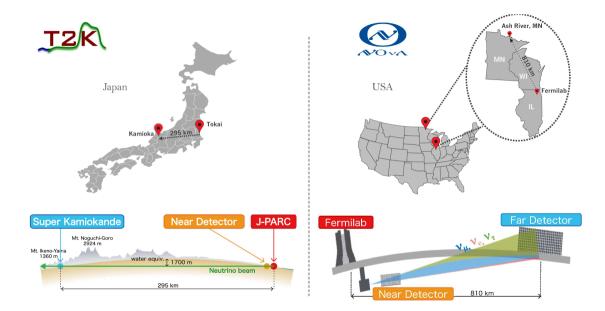
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- In-depth review of
  - $\circ~$  Models, systematic uncertainties and their possible correlations
  - o Different analysis strategies driven by different detector designs



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  - $\circ~$  Different analysis strategies driven by different detector designs
- Roughly doubled statistical power of individual experiments



# The T2K and NOvA Experiments



### Neutrino energies

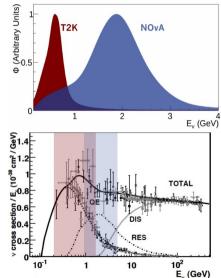
• Both experiments have their detectors located slightly off-axis (2.5° T2K, 0.84° NOvA) to get narrow and highly pure  $\nu_{\mu}/\bar{\nu}_{\mu}$  spectra

```
NOvA peak at \sim 2 GeV
T2K peak at \sim 0.6 GeV
```

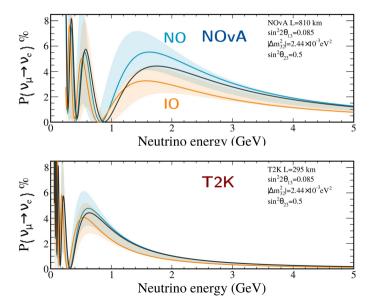
- Different  $\nu$  energy leads to different phenomenological types of interactions

**NOvA:** transition region, mixture of QE, 2p2h, RES  $\pi$  production and DIS **T2K:** mostly QE with 2p2h and RES DIS in tail

#### Neutrino flux



### **Baselines**



#### NOvA: 810 km T2K: 295 km

- Higher energy and longer baseline enhances the mass ordering dependent matter effects, which are degenerate with CP violation effects
- Lower energy and shorter baseline reduces the matter effects to get less degenerate CPV values of δ<sub>CP</sub>

NOvA: stronger mass ordering resolution T2K: less degenerate CPV effects



# Lifting degeneracies

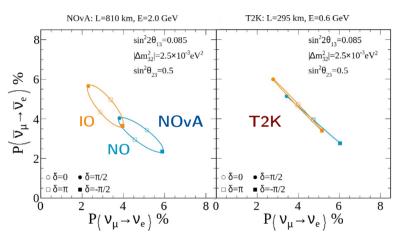
• Different **energies** and **baselines** give different osc. probabilities and parameter sensitivity

#### NOvA:

- Better mass ordering sensitivity
- $\circ~$  Degenerate for around  $\delta_{C\!P}=\pi/2$  and  $-\pi/2$  (CPV)

#### T2K:

- $\circ$  Better  $\delta_{CP}$  sensitivity
- Degenerate for around  $\delta_{CP} = 0$  and  $\pi$  (no-CPV)
- Joint analysis probes both spaces lifting degeneracies of individual experiments



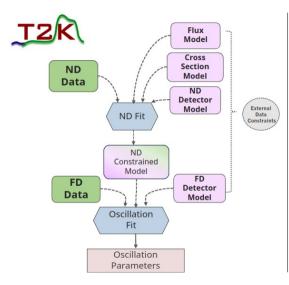
### NOvA vs T2K Comparison

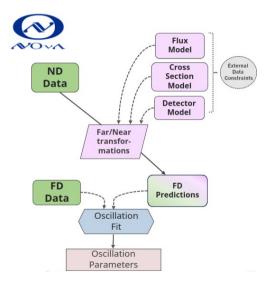
Experiment	NOvA	Т2К	
Country	USA	Japan	
Laboratory	Fermilab	KEK, J-PARC	
Started	2014	2010	
Baseline	810 km	295 km	
u energy peak	2 GeV	0.6 GeV	
Off angle	$0.84^\circ$ / 14.6 mrad	2.5° / 43.6 mrad	
$\nu$ Source	120 GeV protons, max 760 kW	30 GeV protons, max 515 kW	
$ u + ar{ u} $ POT 2020	$(1.36 + 1.25)  imes 10^{21}$	$(1.97 + 1.63)  imes 10^{21}$	
	NOvA ND	ND280	
Near Detector	liquid scintillator	TPC trackers	
Near Detector	tracking calorimeter	targets of pl. scintillator or water	
	NO MAGNET	magnetized to distinguish $ u_\mu/ar u_\mu$	
	NOvA FD 14 kt	<b>SuperK</b> 50 (22.5) kt	
Far Detector	liquid scintillator	water Cherenkov	
	tracking calorimeter	13k (11k) PMTs	
$\nu$ interactions	QE, 2p2h, RES, DIS mix	Mostly QE, 2p2h and RES bkg	
Near-to-far	Direct correction of FD MC	Fit to ND data which constrains	
ivear-to-tar	based on the ND data (F/N trans.)	the interaction and flux parameters	
Energy estimator	Lepton kinematics (elastic)	Lepton and hadronic calorimetry	

# The Joint Analysis

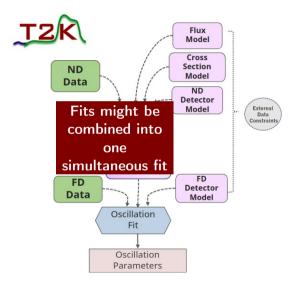
Based on 2020 analyses Eur. Phys. J. C 83 782 (T2K), PRD 106 032004 (NOvA)

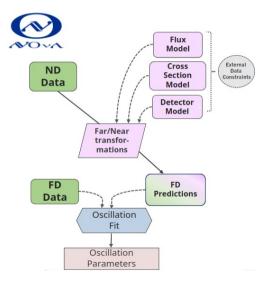
### T2K vs NOvA analysis strategy





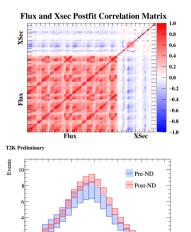
## T2K vs NOvA analysis strategy





### T2K vs NOvA analysis strategy

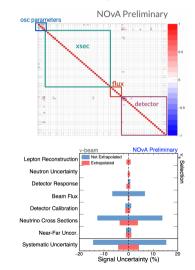
Different approaches have a similar impact on the resulting systematics



0.6 0.8

Reconstructed v-energy [GeV]

0.2 0.4



### Joint analysis strategy

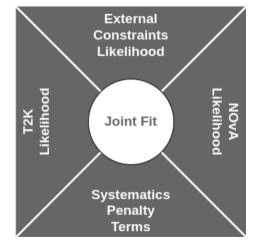
Based on Bayesian versions of 2020 analyses T2K: *Eur.Phys.J.C* 83 782 NOvA: arXiv:2311.07835

Full statistical treatment of experiments

- Poisson likelihood from each experiment
- Prior constraints on nuisance parameters (systematics pulls)
- External constraints on  $\theta_{13}$ ,  $\theta_{12}$  and  $\Delta m^2_{21}$  used as priors on oscillation parameters

Integrated via containerized environment

- Each experiment can run the other's analysis trough an analysis software container
- Full access to Monte-Carlo and data
- Preserving each experiments' unique analysis approach



### Joint analysis strategy

Two Bayesian Markov Chain Monte Carlo (MCMC) fitters: MaCh3 (T2K) and ARIA (NOvA)

Both give the same output format

- Results are presented as posterior densities and credible intervals (regions) for parameters of interest
- Discrete model preferences (neutrino mass ordering,  $\theta_{23}$  octant) presented with Bayes factors

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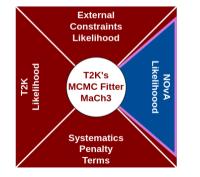
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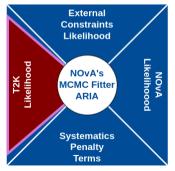
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Multiple analysis streams and independent implementation of the framework provides rigorous validation of the joint fit

- MaCh3 SK fit with ND280 constraints interfacing with ARIA
- ARIA fit interfacing with MaCh3 with ND280 constraints
- MaCh3 simultaneous ND280+SK fit interfacing with ARIA





What? When? How much? ... to correlate common physics parameters between the two experiments?

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- Different energies
- FLUX MODEL
- Different external data tuning
- Different treatment in the analysis

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 $\Rightarrow$ 

No significant correlations between the experiments

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#### FLUX MODEL

- Different external data tuning
- Different treatment in the analysis
- Different detector designs and technologies

#### DETECTOR MODEL

- Different selections
  - $\circ~$  Inclusive vs exclusive outgoing  $\pi$
- Different reconstruction techniques
  - Calorimetry vs lepton kinematics

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- Expecting correlations from common physics
- Different interaction models and generators
  - Optimized for different energies
- Systematics designed for individual models and analysis approaches

No significant correlations between the experiments

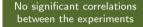
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CROSS-SECTION MODEL

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   Different selections

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No significant correlations between the experiments

Investigate the impact of correlations in the joint analysis

### Checks on impact of correlations in interaction models

#### Strategy

- Evaluate a range of artificial scenarios to bracket the impact of possible correlations
- Study parameters and their inter-experimental correlations with a significant impact on the parameters of interest  $\delta_{CP}$ ,  $\sin^2 \theta_{23}$ ,  $\Delta m_{32}^2$

Fully correlating  $\nu_{\mu}/\nu_{e}$  and  $\bar{\nu}_{\mu}/\bar{\nu}_{e}$  cross-section uncertainties, treatment is identical (large  $\delta_{CP}$  impact)

Otherwise, no direct mapping of the systematic parameters between the experiments

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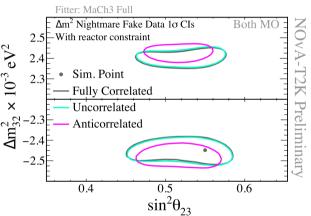
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Otherwise, no direct mapping of the systematic parameters between the experiments 24

- Fabricated parameters for each experiment to simulate a fully correlated bias for  $\Delta m_{32}^2$  or sin<sup>2</sup>  $\theta_{23}$
- Keeping the parameters either fully correlated, uncorrelated, or fully anti-correlated in the fit
- Uncorrelated and correlated (to follow simulated bias) agree well while incorrectly correlating systematics leads to biases
- Impact of correlations merits further investigation for future analyses with increased statistics



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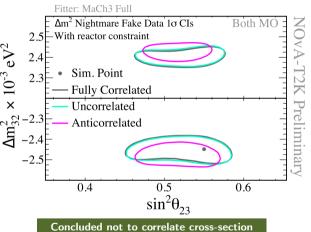
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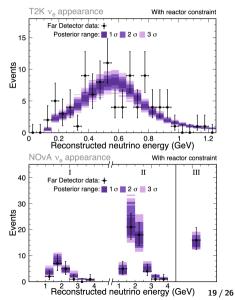
Concluded not to correlate cross-section parameters except for  $\nu_{\mu}/\nu_{e}$  and  $\bar{\nu}_{\mu}/\bar{\nu}_{e}$ cross-section uncertainties

### Results

### Goodness of fit, compatibility of datasets

- Joint analysis uses data collected by each experiment until 2020 NOvA: 1.36 ( $\nu$ ) + 1.25 ( $\bar{\nu}$ ) ×10<sup>21</sup> POT T2K: 1.97 ( $\nu$ ) + 1.63 ( $\bar{\nu}$ ) ×10<sup>21</sup> POT
- Using posterior predictive p-values (PPP) to assess the goodness of fit (good PPP is around 0.5)
- The data from both experiments is described well by the joint fit

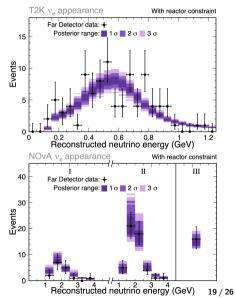
Channel	NOvA	T2K	Total
$ u_e$	82	$94_{( u_e)} \\ 14_{( u_e 1\pi)}$	190
$\bar{ u}_e$	33	16	49
$ u_{\mu}$	211	318	529
$ar{ u}_{\mu}$	105	137	242



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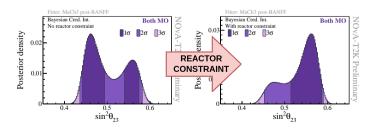
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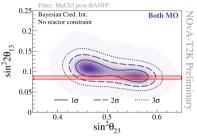
		P-value	
Channel	NOvA	T2K	Combined
$ u_e $	0.90	$0.19_{( u_e)} 0.79_{( u_e 1\pi)}$	0.62
$\bar{\nu}_e$	0.21	0.67	0.40
$ u_{\mu}$	0.68	0.48	0.62
$rac{ u_{\mu}}{ar{ u}_{\mu}}$	0.38	0.87	0.72
All	0.64	0.72	0.75

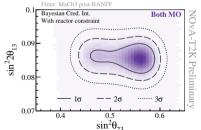


# Mixing angles and reactor constraint

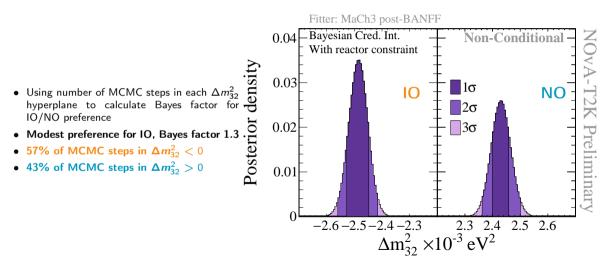
- $\sin^2 2\theta_{13}$  value consistent with reactor measurements, but not competitive
- Using PDG 2020 average  $sin^2\,2\theta_{13}=0.0850\pm0.0027$  as an external reactor constraint (RC) to change prior
- constraint (RC) to change prior • Using  $\sin^2 2\theta_{13}$  RC has a large impact on the  $\sin^2 \theta_{23}$  octant preference,  $\frac{\theta_{13}}{\theta_{23}}$  otherwise nearly degenerate
- Bayes factor of 3.6 for upper octant preference (modest) with RC
- From now on, all results with RC



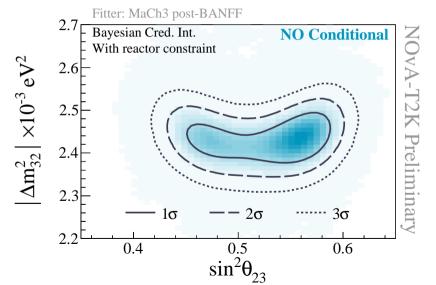




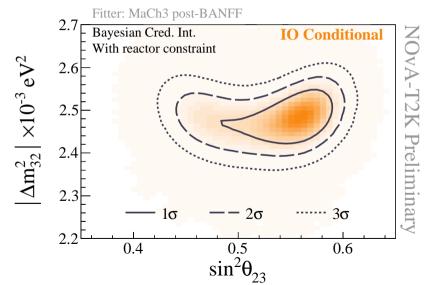
 $\Delta m_{32}^2$ 



# $\Delta m_{32}^2$ vs. $\sin^2 \theta_{23}$ Marginalizing over $\Delta m_{32}^2 \leq 0$ separately leads to NO/IO "conditional" credible regions



# $\Delta m_{32}^2$ vs. sin<sup>2</sup> $\theta_{23}$ Marginalizing over $\Delta m_{32}^2 \leq 0$ separately leads to NO/IO "conditional" credible regions



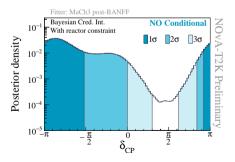
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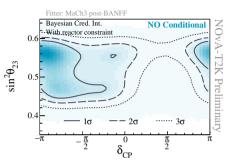
## $\delta_{CP}$

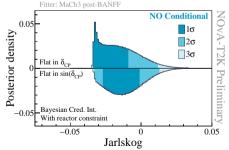
- Neither ordering has a preference for  $\delta_{CP}$  values around  $+\pi/2$  (outside  $3\sigma$  CI)
- Normal ordering allows for a broad range of possible  $\delta_{CP}$
- For inverted ordering CP-conserving  $\delta_{CP}$  values outside  $3\sigma$  CIs
- Independent measurement with Jarlskog invariant

$$J_{CP} = s_{13}c_{13}^2s_{12}c_{12}s_{23}c_{23}\sin\delta_{CP}; \qquad s_{ij} = \sin\theta_{ij}, c_{ij} = \cos\theta_{ij}$$

• Robust under change of  $\delta_{CP}$  prior







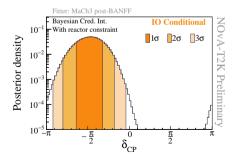
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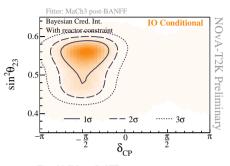
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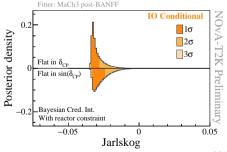
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$$s_{ij} = \sin \theta_{ij}, c_{ij} = \cos \theta_{ij}$$

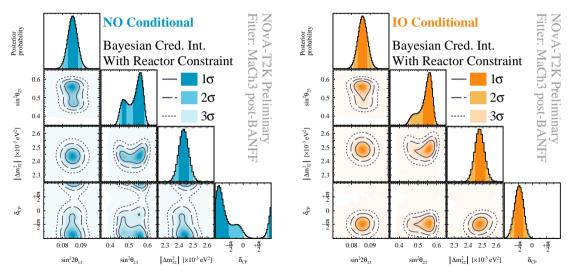
• Robust under change of  $\delta_{CP}$  prior







### Oscillation parameters constraints, triangle plots



# Conclusions

### Conclusions

- Joint T2K and NOvA analysis results show compatibility of both experiments' datasets within a 3*v*-paradigm
- Good posterior predictive p-value

#### SUMMARY OF RESULTS

• No strong preference for mass ordering

Bayes factor 1.3 for IO not statistically significant

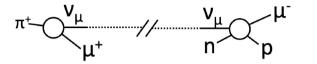
- About  $1\sigma$  (Bayes factor 3.6) preference for  $heta_{23}>45^\circ$
- $\delta_{CP} = \pi/2$  disfavored at  $3\sigma$
- CP conserving values of  $\delta_{CP} = 0, \pi$  lies outside the  $3\sigma$  CI in the case of IO

#### OUTLOOK

- Expected to double the statistics from both experiments in coming years
- Knowledge sharing and exchange of information resulted in a deeper understanding of each experiment
- Actively exploring the scope and timeline for the next steps to bring the joint analysis forward

# BACKUP

### Disappearance oscillation probabilities



Leading order  $\sin^2 2\theta_{23}$  and  $\Delta m^2_{32}$ 

$$P(\nu_{\mu} \rightarrow \nu_{\mu}) \approx 1 - \sin^2 2\theta_{23} \cdot \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right)$$

 $\sin^2 2\theta_{23}$  : mixing angles rule the oscillation amplitude

 $\Delta m_{32}^2$ : squared mass-splittings rule the oscillation frequency

Max  $\sin^2\theta_{23}=1$  corresponds to maximal mixing of  $\theta_{23}=45^\circ$ 

 $V_{II} \rightarrow V_{II} = \overline{V}_{II} \rightarrow \overline{V}_{II}$ Osc. Prob  $2.5^\circ\,\text{Off-axis}~\nu_{_{\rm o}}$  flux  $-\Delta m_{32}^2 = 2.5 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2 \theta_{33} = 0.5$  $\Delta m^2$ 0.50.5 1.5 2.5 2 E<sub>v</sub> (GeV)

$$\Delta m_{ij}^2 \equiv m_i^2 - m_j^2$$

Squared mass-splittings of neutrino masses

### Appearance oscillation probabilities



Leading order  $\sin^2 \theta_{23}, \sin^2 2\theta_{13}$  and  $\Delta m^2_{32}$  in vacuum

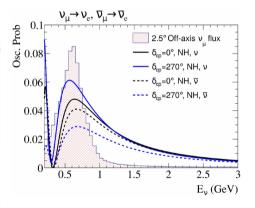
$$P(
u_{\mu} 
ightarrow 
u_{e}) pprox \sin^{2} heta_{23} \cdot \sin^{2}2 heta_{13} \cdot \sin^{2}\left(rac{\Delta m^{2}_{32}}{4E}
ight)$$

+  $\delta_{CP}$  dependent terms CP violating +  $\delta_{CP}$  dependent terms CP conserving + other terms

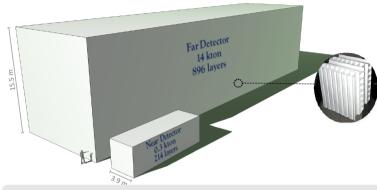
 $\begin{array}{l} \delta_{CP} = \pi/2 : {\rm less} \; \nu_{\mu} \rightarrow \nu_{e}, \; {\rm more} \; \bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e} \\ \delta_{CP} = -\pi/2 : \; {\rm more} \; \nu_{\mu} \rightarrow \nu_{e}, \; {\rm less} \; \bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e} \end{array}$ 

#### Matter effects

 $\nu_e$  coherent forward scattering on pseudo-free electrons of matter Modify  $\nu_\mu \rightarrow \nu_e$ , depends on the sign of  $\Delta m_{32}^2$  (mass ordering)

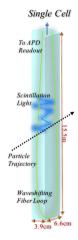


#### NOvA detectors

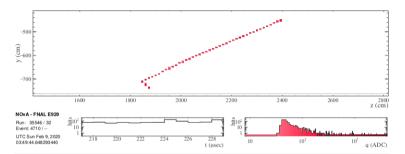


- Two functionally similar detectors 810 km apart Near (ND) and Far (FD)
- FD on the surface, ND about 100 m underground
- Consist of extruded plastic cells with alternating vertical and horizontal orientation for 3D reconstruction of neutrino interactions
- Filled with liquid scintillator, tracking calorimeter with 65% active mass (FD 14 kton, ND 0.3 kton)
- Energy estimation from  $\mu$  range, EM and hadronic shower calorimetry



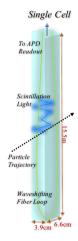


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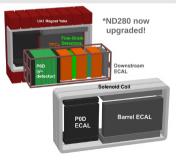


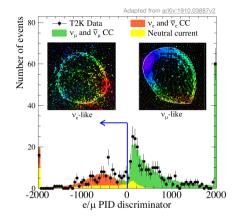


## T2K detectors

#### ND280

- TPC tracker with excellent PID
- Plastic scintillator target (C) + water layers (O)
- **MAGNETIZED** to distinguish  $\nu_{\mu}$  and  $\bar{\nu}_{\mu}$
- Selected neutrino events with reconstructed  $\mu$  track and number of  $\pi\colon {\rm CC1}\mu0\pi,\,{\rm CC1}\mu1\pi,\,{\rm CC1}\pi$



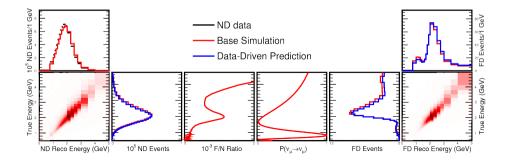


#### Super-Kamiokande

- 50kt water Cherenkov detector
- Excellent  $\mu/e$ -like Cherenkov rings separation ( $\nu_{\mu}$  vs  $\nu_{e}$  CC interactions)
- Reconstruction from lepton kinematics

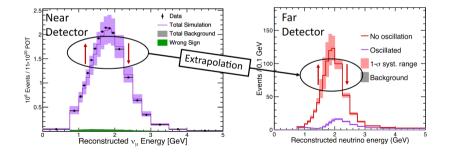
### NOvA analysis strategy

- ND sees the neutrino spectrum as a combination of **neutrino** *flux* from NuMI, **CC** *cross sections*, *detector acceptance* and *selection efficiency*
- The ND measured spectra are used to correct FD MC oscillated predictions using the Far/Near (F/N) transformation
- Due to functional similarity of both detectors, this procedure largely cancels detector correlated uncertainties ( $\nu$  flux and cross sections)



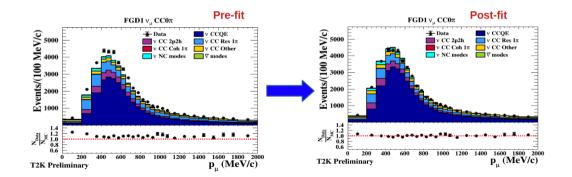
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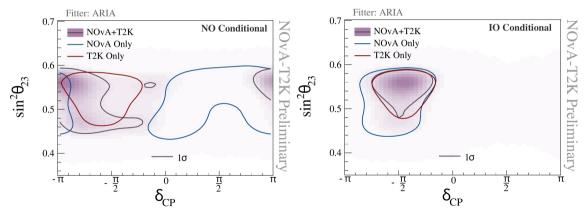


#### T2K analysis strategy

- Fit to ND280 data move the model parameters from their -pre-fit values and also constrain them
- This data fit might be sequential (ND fit  $\rightarrow$  constrained model  $\rightarrow$  FD fit) or simultaneous (ND+FD data simultaneous fit)

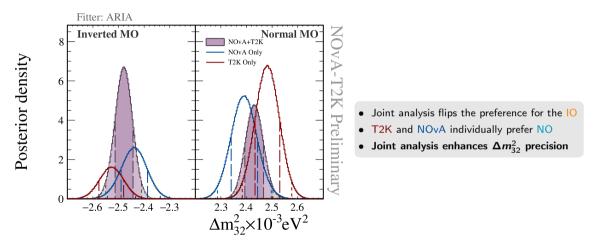


#### Comparison to NOvA-only and T2K-only results



The joint fit is well in agreement with both individual fits

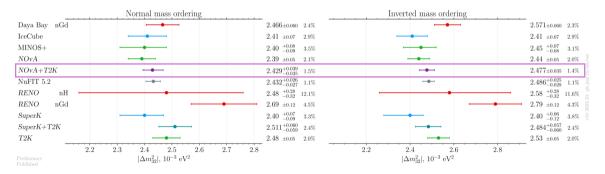
#### Comparison to NOvA-only and T2K-only results



# $\Delta m_{32}^2$ global comparisons

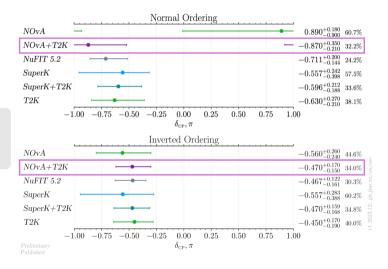
•  $\Delta m^2_{32}$  measurements are largely consistent across different experiments

• This analysis has smallest uncertainty in  $\Delta m^2_{32}$ 

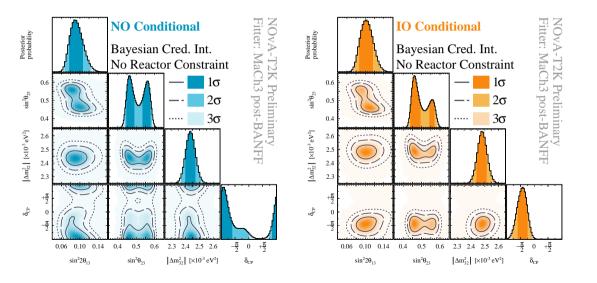


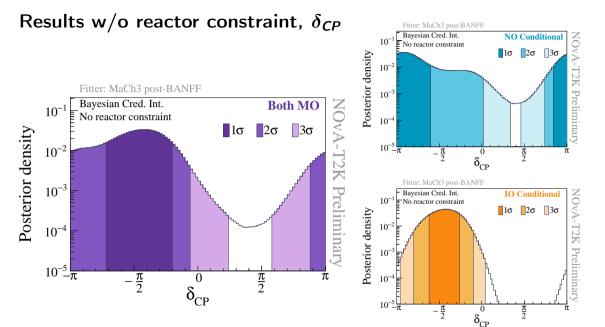
## $\delta_{CP}$ global comparisons

- $\delta_{CP}$  measurements are consistent across many experiments and joint analyses
- Precision is still limited by the low statistics

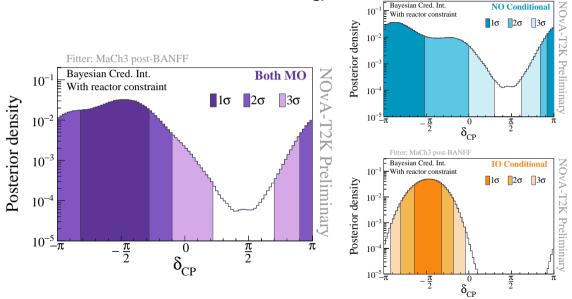


#### Results w/o reactor constraint, triangle plots



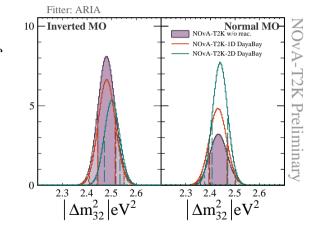


#### Results with reactor constraint, $\delta_{CP}$



Fitter: MaCh3 post-BANFF

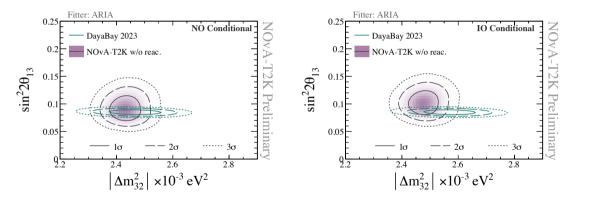
# Daya Bay 2D constraints $\sin^2 2\theta_{13}$ and $\Delta m_{32}^2$



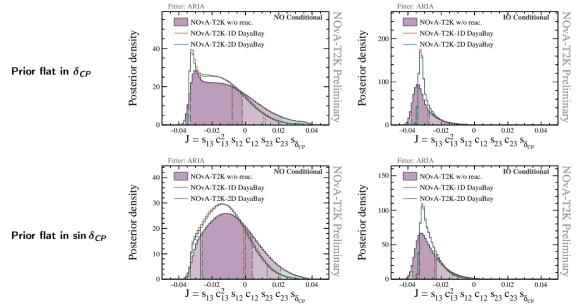
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,	r der
	erio
1	Post

	NO RC	1 <b>D</b> RC	<b>2D</b> RC
Mass ordering	2.4 71%:29% IO:NO	1.3 57%:43% IO:NO	1.4 59%:41% NO:IO
$ heta_{23}$ octant	1.2 54%:46% LO:UO	3.6 78%:22% UO:LO	3.2 76%:24% UO:LO

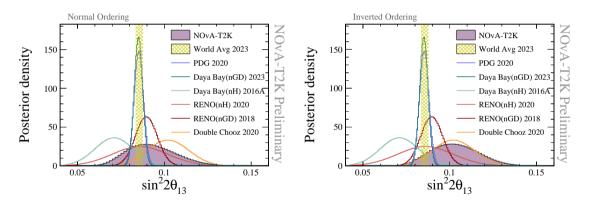
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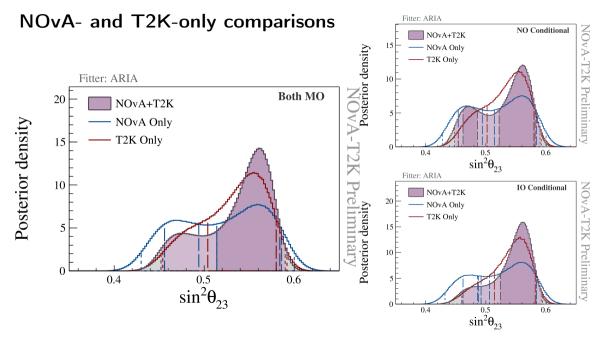


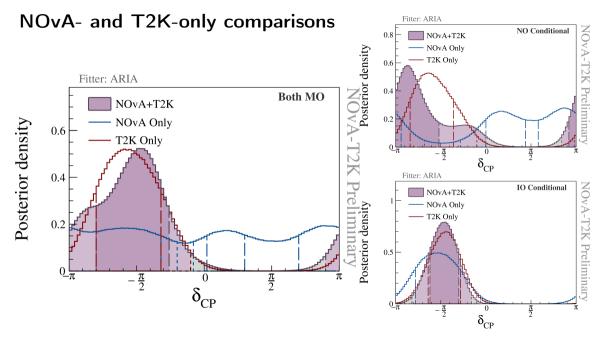
## Daya Bay 2D constraints Jarlskog



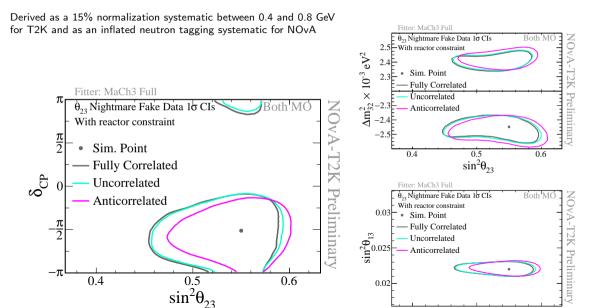
## $\sin^2 2\theta_{13}$ comparisons





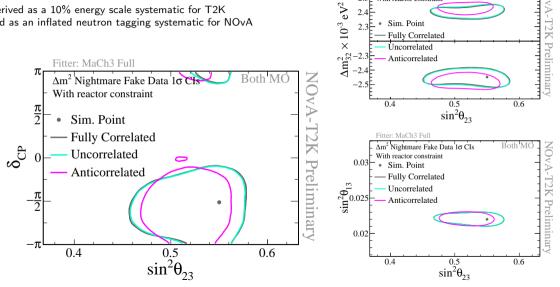


# Correlation studies, $\theta_{23}$ nightmare



# Correlation studies, $\Delta m_{32}^2$ nightmare

Derived as a 10% energy scale systematic for T2K and as an inflated neutron tagging systematic for NOvA



Fitter: MaCh3 Full  $\Delta m^2$  Nightmare Fake Data 1 $\sigma$  CIs 2.5 With reactor constraint

Sim. Point

#### Checks on alternate models

- Evaluate the robustness of the analysis against alternate models
- Generate mock data for both experiments by changing MC simulation with several sets of oscillation parameters
- Fit the mock data and check the impact on the results

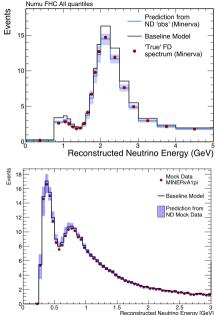
#### Pre-decided criteria to assess the impact

- Change in the width of 1D credible intervals < 10%
- Change in central value is not larger than 50% of estimated systematic uncertainty

# Example is for the suppression in single $\pi$ channel seen in the MINERvA results $PRD \ 100 \ 072005$

Additional tests: cross-experiment models after ND constraint, alternative nuclear response model HF-CRPA,  $\dots$ 

No alternate model tests failed the preset threshold bias criteria



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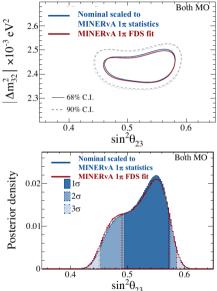
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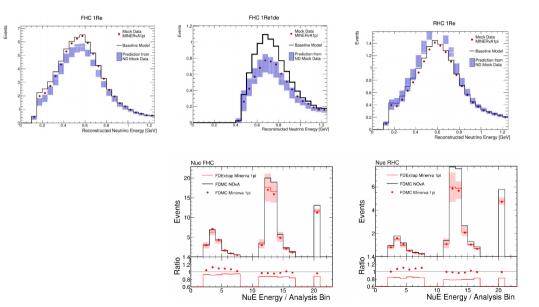


#### Alternate models studies

Studied 3 alternate models which gave largest biases for the T2K 2020 analysis:

- "Non-QE" ND280 CC0π data are under-predicted by the T2K pre-fit prediction. This difference can be taken accounted for by the large freedom in the CCQE model. To check this large freedom does not cause bias, an alternate model where this underprediction is attribution to only non-QE processes is produced. See Eur.Phys.J.C 83 782 for details.
- "MINERvA-1π" Suppression of CC and NC resonant pion production at low-Q2 to describe for GENIE v2 implementation of Rein-Seghal model to describe the data, *PRD 100 072005*.
- "π SI" GEANT4 model (10.1016/S0168-9002(03)01368-8) was replaced with NEUT's Salcedo–Oset (10.1016/0375-9474(88)90310-7).

#### Alternate models studies, MINERvA- $1\pi$ mock data

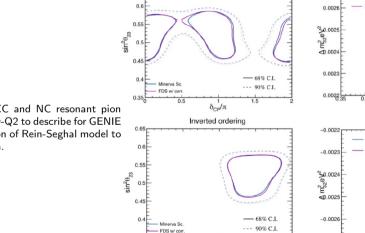


#### Alternate models studies, MINERvA-1 $\pi$ comparisons

0.65

0.35

Normal ordering

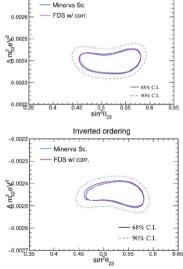


0.5

1.5

1

 $\delta_{CP}/\pi$ 



Normal ordering

0.0027

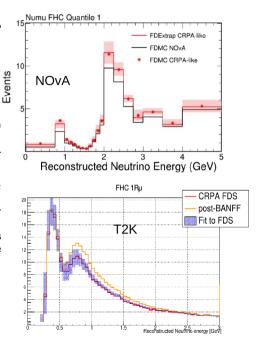
#### "MINERVA-1 $\pi$ "

Suppression of CC and NC resonant pion production at low-Q2 to describe for GENIE v2 implementation of Rein-Seghal model to describe the data.

#### Alternate models studies, HF-CRPA

Hartree Fock (HF) – Continuum Random Phase Approximation (CRPA), 10.1103/PhysRevC.92.024606

- Applies modifications to the nuclear models (Spectral Function for T2K, Local Fermi Gas for NOvA)
- Recent T2K analyses have included an additional smearing on  $\Delta m^2_{32}$  due to bias seen when studying this alternate model
  - $\circ~$  T2K and NOvA independently studied the impact of this on their 2020-era analyses
  - When taken together in the context of the joint analysis, the bias is not larger than the thresholds set for any of the other alternate models



#### **Fitters comparisons**

