



Lepton Flavour Violation at LHCb

WIFAI 2024 - Workshop Italiano sulla Fisica ad Alta intensità - Bologna

Motivations

- **Lepton Flavour** is an *accidental symmetry* in the SM
- ν *oscillations* lead to Lepton Flavour Violation (LFV) for **neutral leptons**
- No **cLFV** process observed yet, SM+ ν mixing: $\mathcal{B}(\tau \rightarrow \mu \gamma) \sim \mathcal{O}(10^{-40})$
- Beyond SM new particles can *enhance* such processes up to $\sim \mathcal{O}(10^{-10}-10^{-7})$
- **Observation** of cLFV: **clear sign** of New Physics
- *Limit setting* on \mathcal{B} : *constrain theories* of NP

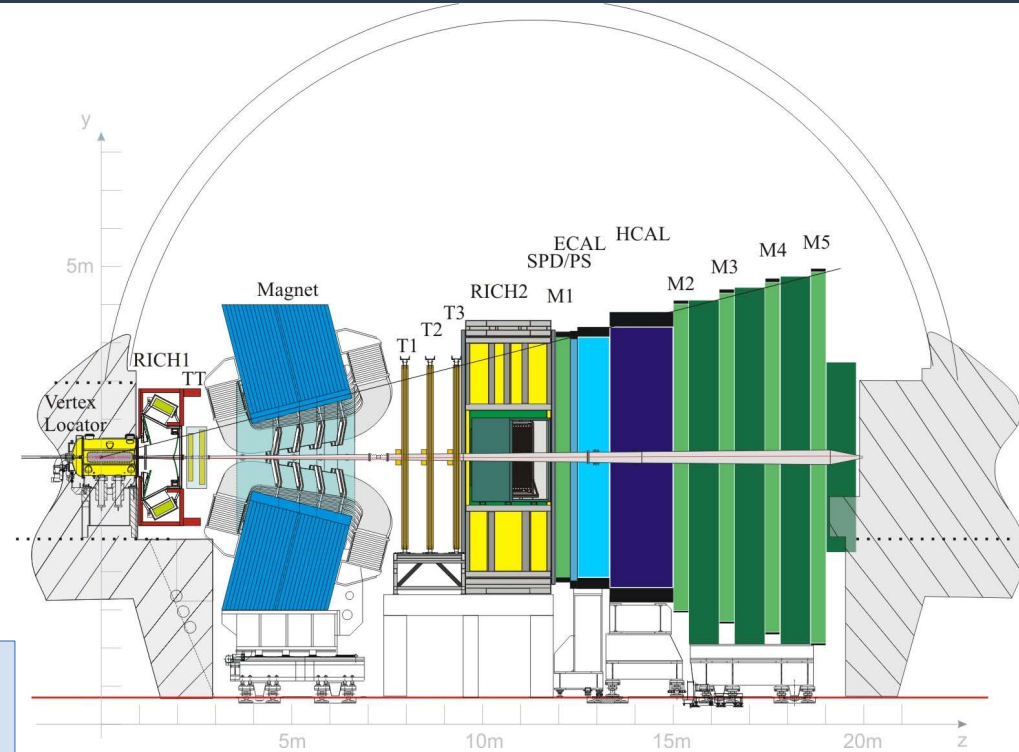
[[PhysRevD.110.075004](#), [JHEP09\(2024\)174](#), [sym16030359](#)]

LHCb

- **Forward spectrometer:** excellent detector for study beauty and charm hadrons
- Most b produced in acceptance
- Excellent vertex resolution, tracking and Particle Identification

$\Delta p/p = 0.5\%$ @ low momentum
IP resolution:
 $(15 + 29/p_T [GeV]) \mu m$

$\epsilon(e) \sim 90\%$, $\text{misID}(e \rightarrow h) \sim 5\%$
 $\epsilon(K) \sim 96\%$, $\text{misID}(\pi \rightarrow K) \sim 5\%$
 $\epsilon(\mu) \sim 97\%$, $\text{misID}(\pi \rightarrow \mu) \sim 2\%$



Run1 & Run2 Detector

Semileptonic B decays: why search cLFV here?

- cLFV is intrinsically connected to Lepton Flavour Universality Violation (coupling strengths are not identical across different lepton flavours) [[PhysRevLett.114.091801](#)]

LFU tests with $b \rightarrow s l^+ l^-$ (R_K, R_{K^*}):

- electron-muon universality compatible with SM [[PRD108\(2023\)032002](#)]

and $b \rightarrow c l^- \nu_l$ (R_{D^*}, R_{D0}): [[PhysRevLett.131.111802](#)]

- $\sim 3\sigma$ deviations with SM

- New particles like Z' or leptoquarks allow for additional tree-level contributions that enhance to experimental level the \mathcal{B} of process like:

[[PhysRevD.92.054013](#)]

$$\begin{array}{ll} B^0 \rightarrow K^{*0} e^\pm \mu^\mp & B^0 \rightarrow K^{*0} \tau^\pm \mu^\mp \\ B_S^0 \rightarrow \phi e^\pm \mu^\mp & B_S^0 \rightarrow \phi \tau^\pm \mu^\mp \end{array}$$

- Analyses performed on 9 fb^{-1}

Effective Theory

- In the full theory, interactions might involve **heavy particles** with masses well above the *low-energy scale* we're interested in.

[arXiv:1704.05340]

The *weak effective* Hamiltonian relevant to $b \rightarrow s l^+ l^-$ is:
$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \sum_i \mathcal{C}_i \mathcal{O}_i + \text{h.c.},$$

Where the Wilson coefficients \mathcal{C}_i quantify the strength of each possible operator

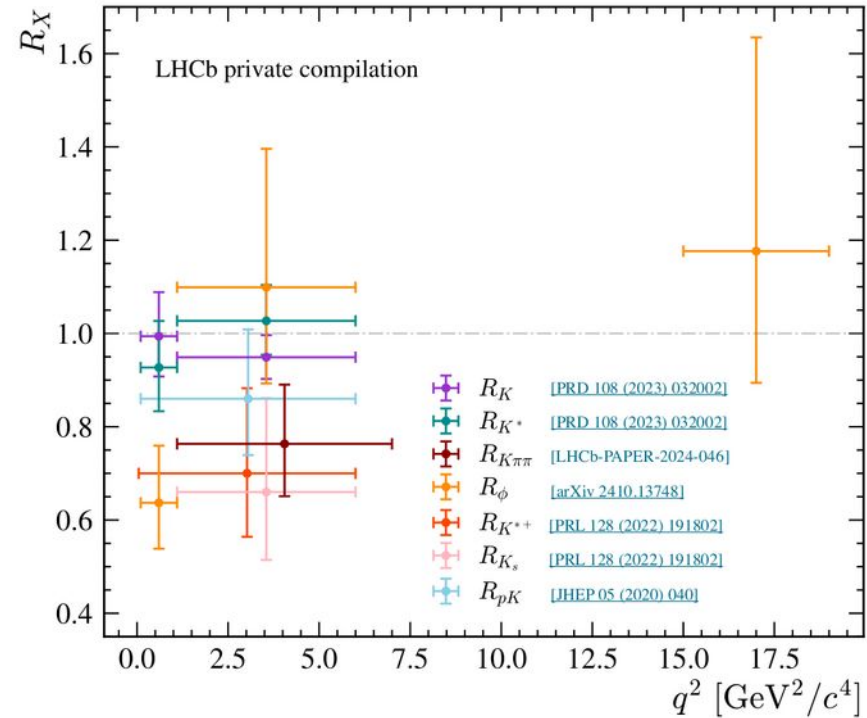
- Recently LHCb performed an angular analysis of $B^0 \rightarrow K^{*0} e^+ e^-$ decays: [LHCb-PAPER-2024-022]
the angular observables are found to be consistent with the SM expectations To be published soon
- LFUV in $b \rightarrow c l^- \nu_l$ are still an interesting source for LFV, especially in tau decays

LFU in $b \rightarrow sll$

- $b \rightarrow sll$ are rare process studied extensively to test LFU of the SM by measuring relative rates

$$R_{(K,K^*)}(q_a^2, q_b^2) = \frac{\int_{q_a^2}^{q_b^2} \frac{d\Gamma(B^{(+,0)} \rightarrow K^{(+,*0)} \mu^+ \mu^-)}{dq^2} dq^2}{\int_{q_a^2}^{q_b^2} \frac{d\Gamma(B^{(+,0)} \rightarrow K^{(+,*0)} e^+ e^-)}{dq^2} dq^2}$$

- $\mathcal{B} \sim 10^{-7} - 10^{-6}$ (loops or boxes transitions)
- non-LFU new physics mediators can contribute with amplitudes similar to SM one's



- See also Gabriele's [talk](#) for more details

Branching ratio's Recipe

- The number of expected events for $X \rightarrow Y$ can be expressed as

$$N(X \rightarrow Y) = \mathcal{L} \cdot \sigma(X \rightarrow Y) \cdot \mathcal{B}(X \rightarrow Y) \cdot \epsilon(X \rightarrow Y)$$

- Since at LHCb the number of X produced in the sample of analysed data is not known, branching ratios \mathcal{B} are normalized to a well measured control channel

$$\mathcal{B}_{\text{sig}} = \underbrace{\frac{\mathcal{B}_{\text{norm}}}{N_{\text{norm}}} \times \frac{\epsilon_{\text{norm}}}{\epsilon_{\text{sig}}}}_{=\alpha} \times N_{\text{sig}},$$

- α is a normalization factor that represent the single event sensitivity

Search for $B^0 \rightarrow K^{*0} e^\pm \mu^\mp$ and $B_s^0 \rightarrow \phi e^\pm \mu^\mp$ [JHEP06(2023)073]

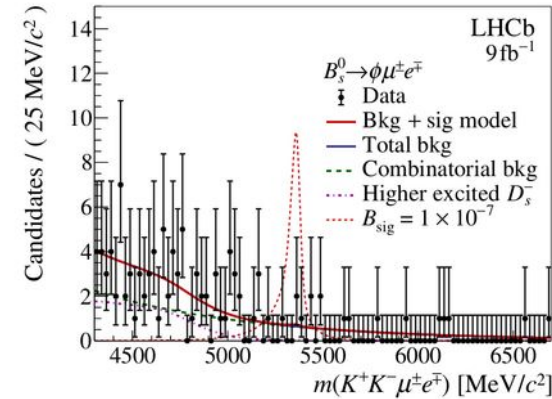
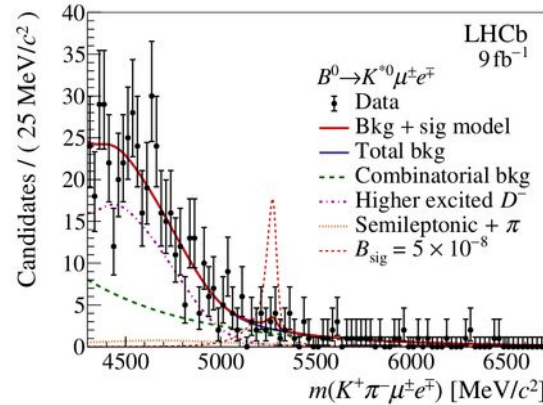
Final states studied *separately*
(different BSM effects)

- **Normalization Modes:**

$B^0 \rightarrow K^{*0} J/\psi(\mu^+ \mu^-)$ and $B_s^0 \rightarrow \phi J/\psi(\mu^+ \mu^-)$

Backgrounds:

- Combinatorial reduced with BDT selection
- Main MisID backgrounds included into the fit



Yields obtained with unbinned **extended fit**

$$\mathcal{B}(B^0 \rightarrow K^{*0} \mu^+ e^-) < 5.7 \times 10^{-9} \quad (6.9 \times 10^{-9}),$$

$$\mathcal{B}(B^0 \rightarrow K^{*0} \mu^- e^+) < 6.8 \times 10^{-9} \quad (7.9 \times 10^{-9}),$$

$$\mathcal{B}(B^0 \rightarrow K^{*0} \mu^\pm e^\mp) < 10.1 \times 10^{-9} \quad (11.7 \times 10^{-9}),$$

$$\mathcal{B}(B_s^0 \rightarrow \phi \mu^\pm e^\mp) < 16.0 \times 10^{-9} \quad (19.8 \times 10^{-9})$$

World's most stringent limits to date @ 90% (95%) of C.L.

Search for $B^+ \rightarrow K^+ \mu^\pm e^\mp$

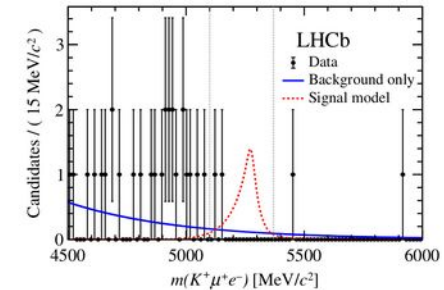
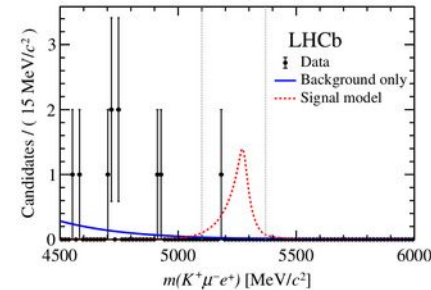
[PhysRevLett.123.241802]

- **Normalization mode:** $B^+ \rightarrow K^+ J/\psi (\mu^+ \mu^-)$,
- **Control channel:** $B^+ \rightarrow K^+ J/\psi (e^+ e^-)$

Backgrounds:

- *Combinatorial* reduced with BDT
- *Partially reco'ed* (doubly semileptonics) and decays with *charmonium resonances* removed with mass vetoes and with another BDT
- Lastly, PID cuts to fight *misID*
- Potential contamination from b-hadron decays after selection studied with MC but found negligible

- Invariant mass distributions for 2011 and 2012
- Fit performed *with and without* signal component



$$\mathcal{B}(B^+ \rightarrow K^+ \mu^+ e^-) < 6.4(8.8) \times 10^{-9}$$

$$\mathcal{B}(B^+ \rightarrow K^+ \mu^- e^+) < 7.0(9.5) \times 10^{-9}$$

Limits at 90% (95%) of C.L. improved previous results by one order of magnitude

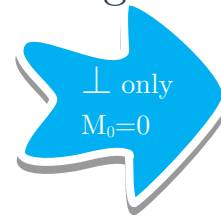
τ reconstruction strategies

- In $b \rightarrow s\tau\mu$ transitions the tau lepton is reconstructed as $\tau \rightarrow \pi\pi\pi\nu_\tau$ or $\tau \rightarrow \pi\pi\pi\pi^0\nu_\tau$
- Since *neutral particles* are not explicitly reconstructed, different techniques could be implemented to **reconstruct** the signal candidates:

1. The **corrected mass** is used to recover part of the missing energy of the B meson: [inSPIRE]



$$M = \sqrt{M_{vis}^2 + P_t^2 + P_{vis,l}^2} + \sqrt{M_0^2 + P_t^2 + P_{0,l}^2}$$



$$M_{corr} = \sqrt{M_{vis}^2 + P_t^2} + |P_t|$$

- M_{vis} (M_0) are the total invariant masses of the set of vertex-associated tracks (set of missed particles), $P_{t(l)}$ is the momentum sum transverse (along) the B flight direction

2. Direction and mass constraints can be applied to perform a **kinematic fit** [NIMA552(2005)566] in a parameterization of the whole decay chain in terms of vertex positions, momenta and decay times

Search for $B^0 \rightarrow K^{*0} \tau^\pm \mu^\mp$

[JHEP06(2023)143]

• Signal Modes:

$$K^{*0} (K^\pm \pi^\mp), \tau \rightarrow (3\pi\nu_\tau \text{ or } 3\pi\pi^0\nu_\tau)$$

• Normalization Mode:

$$B^0 \rightarrow D^-(K^+\pi^-\pi^-)D_s^+(K^+K^-\pi^+)$$

most stringent limits on $b \rightarrow s\tau\mu$

@ 90% (95%) of C.L.

$$\mathcal{B}(B^0 \rightarrow K^{*0} \tau^+ \mu^-) < 8.2(9.8) \times 10^{-6}$$

$$\mathcal{B}(B^0 \rightarrow K^{*0} \tau^- \mu^+) < 1.0(1.2) \times 10^{-5}$$

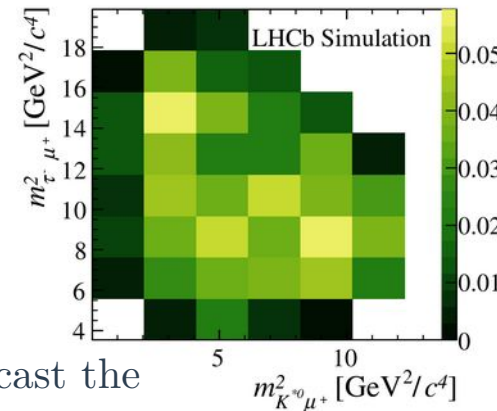
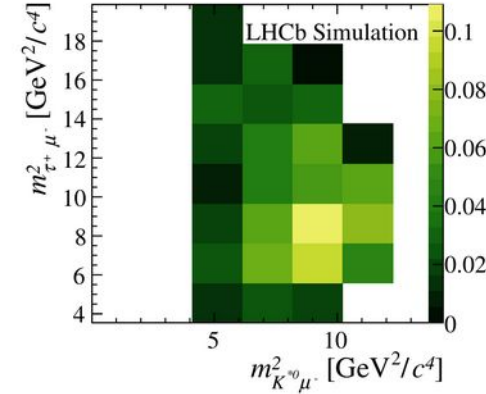
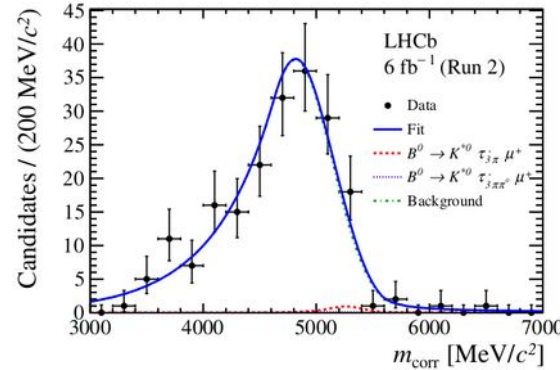
Backgrounds:

• Physics: multi-stage selection with BDTs

- 1) Combinatorial bkg (exploits topological differences)
- 2) Rejection of charmed mesons mis-identified as tau

Efficiencies:

- Most of them computed on MC
- PID evaluated using calibration samples



- Efficiency maps used to recast the results for different decay models

Search for $B_s^0 \rightarrow \phi \mu^\mp \tau^\pm$ [arXiv:2405.13103]

- **Signal Modes:**

$$\phi(K^+ K^-), \tau \rightarrow (3\pi\nu_\tau \text{ or } 3\pi\pi^0\nu_\tau)$$

- **Normalization Mode:**

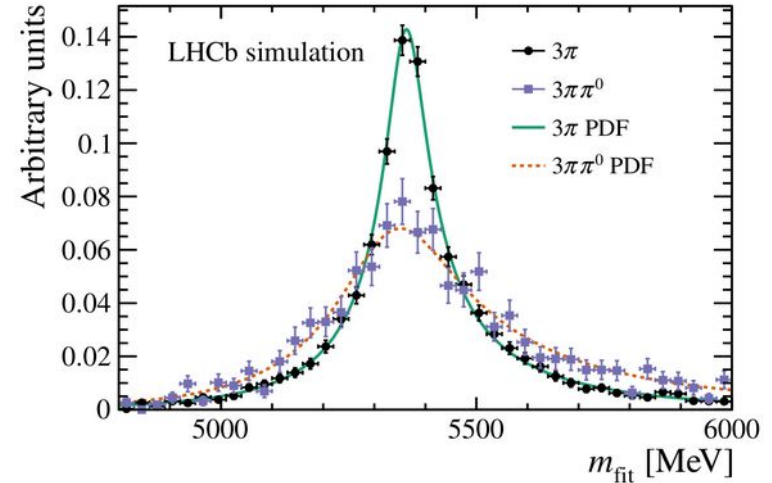
$$B_s^0 \rightarrow \phi(K^+ K^-) \psi(2S) (J/\psi(\mu^+ \mu^-) \pi^+ \pi^-)$$

Backgrounds:

- BDTs for combinatorial and for partially reco'ed
- $\text{misID}_{\pi \rightarrow \mu} B \rightarrow \bar{D} K^+ K^- \pi^+$ similar to signal: contribution estimated with exclusive $\bar{D} \rightarrow 3\pi X$ samples

first limit on this decay – competitive with similar searches

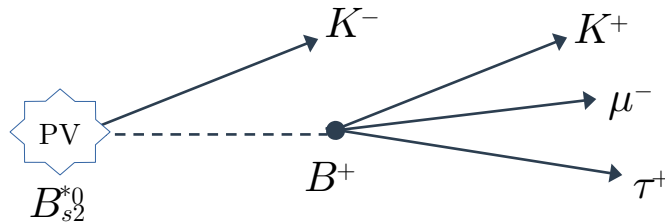
$$\mathcal{B}(B_s^0 \rightarrow \phi \mu^\mp \tau^\pm) < 1.0(1.1) \times 10^{-5} @ 90\% (95\%) \text{ of C.L.}$$



- Distribution of the constrained mass for simulated decays

B meson from B* decays

- The **long lifetime** of B/B* mesons allows to have vertices well separated from any PV, reducing the amount of **background**
- By *tagging* the B produced by the excited state, it's **energy** can be determined up to a *quadratic ambiguity* (using decay vertices and mass constraint)
- τ leptons selected inclusively: 1-prong



(mass constraints on the B mesons) + (K^- momentum) \rightarrow m_{miss} peaking at m_{τ^2}

Search for $B^+ \rightarrow K^+ \mu^- \tau^+$

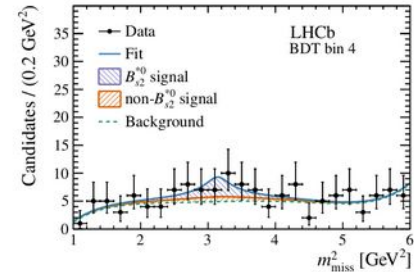
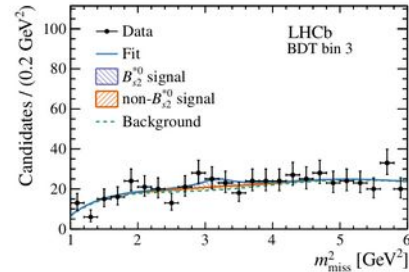
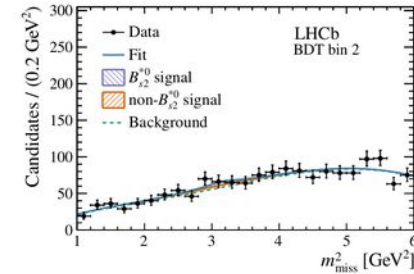
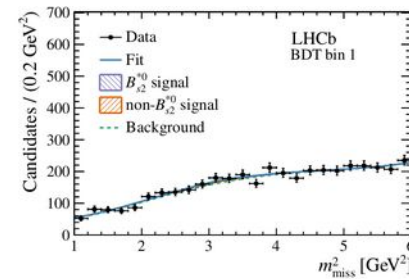
[JHEP06(2020)129]

- **Signal mode** $\mu^- \tau^+$ preferred (lower background from $B \rightarrow DX \mu^+ \nu_\mu$): $B_{s2}^{*0} \rightarrow K^- B^+ (K^+ \mu^- \tau^+)$
- *full four-momentum* of the τ lepton reconstructed: search for a peak in τ missing-mass m_{miss}^2
- **Normalization mode:** $B^+ \rightarrow K^+ J/\psi (\mu^+ \mu^-)$
- PID cuts on the third track to define *signal* and *normalization* region and reduce partially reco'ed background (allows also to define a SS sample of candidates not from B_{s2}^{*0})
- **BDT** as last stage of selection to fight *residual background*

$$\mathcal{B}(B^+ \rightarrow K^+ \mu^- \tau^+) < 3.9 \times 10^{-5} @ 90\% C.L.$$

Limit comparable to the world-best limit

- Fits to the missing-mass-squared distribution signal sample in each bin of BDT output



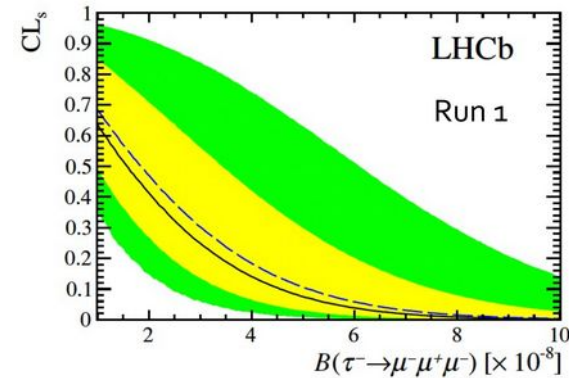
cLFV in tau decays

- τ reconstructed at LHCb originated mainly from *prompt* or *secondary* decays of **b-** and **c-hadrons** (e.g. D_s^+ , D and B mesons decays), which are abundantly produced at LHCb
- $\sim 80\%$ of them come from D_s^+ , while at *b-factories* tau come from $e^+e^- \rightarrow \tau^+\tau^-$
- b-factories have studies radiative and decays with all combinations of leptons
[Phys.Lett.B 687 (2010) 139-143]
- Among $l \rightarrow l'l'l'$ decays the $\tau \rightarrow \mu\mu\mu$ offers a *clear final state*, with high reconstruction and identification efficiencies at LHCb

Search for $\tau^\pm \rightarrow \mu^\pm \mu^\mp \mu^\pm$

- LHCb analysis on Run1 Data (3fb^{-1}) [[JHEP02\(2015\)121](#)]
 - **Normalization** and control mode: $D_s^+ \rightarrow \phi(\mu^+ \mu^-) \pi^+$
 - **Background:** Combinatorial and misID ($D_{(s)}^+ \rightarrow 3\pi$, $D^+ \rightarrow K^- \pi^+ \pi^+$)

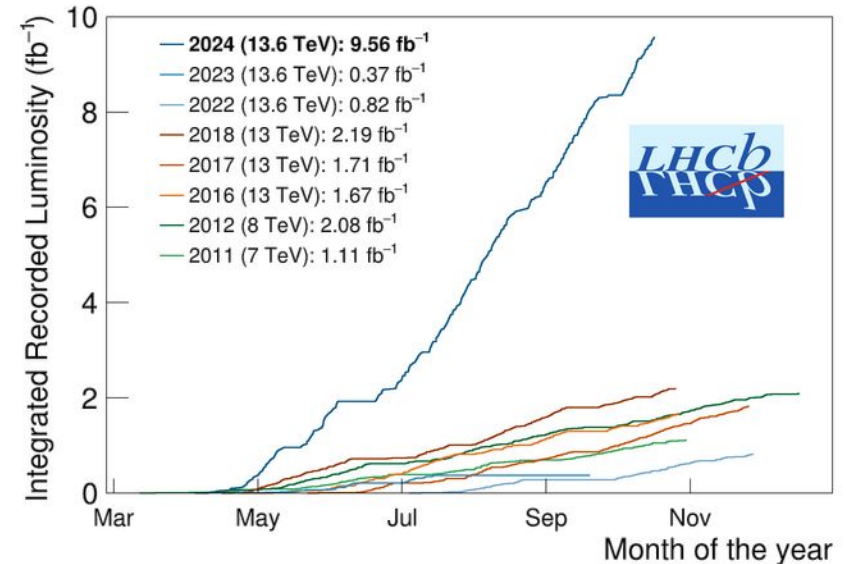
$$\mathcal{B}(\tau^+ \rightarrow \mu^+ \mu^- \mu^+) < 4.6(5.6) \times 10^{-8} @ 90\% (95\%) C.L.$$



- Current best experimental limit from Belle: $2.1 \times 10^{-8} @ 90\% C.L.$ [[arXiv:1001.3221](#)]
- Ongoing analysis with Run2 Data (coming out very soon!)
 - Extrapolated limit from Run1+Run2: $2.5(3.1) \times 10^{-8} @ 90\% (95\%) C.L.$
 - More efficient selection and detailed analysis, final results should be improved even more

Outlook

- LHCb has a very rich program of cLFV searches in b- and c-hadron decays
 - No signal observed so far, but more constraints on BSM theories set thanks to improved limits on \mathcal{B}
- New Data from Run3!
 - Upgraded detector and improved trigger system allow to run at $\times 5 \mathcal{L}_{\text{inst}}$ ($2 \times 10^{33} \text{cm}^{-2} \text{s}^{-1}$)
 - Already record $\sim 10 \text{fb}^{-1}$: reduce statistical error and systematics due to data-driven methods





Thank you!