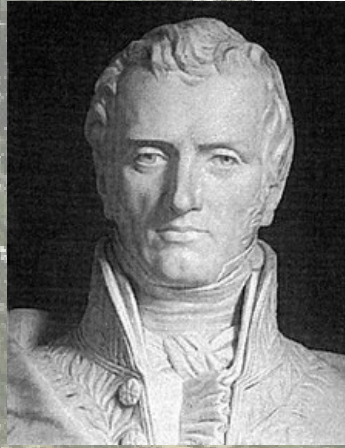


**The tale of Navier and Stokes  
meeting Heisenberg at Hawking's place  
(with some disappointment for Einstein)**

Iacopo Carusotto

*Pitaevskii BEC Center, INO-CNR and Dipartimento di Fisica, Università di Trento  
I-38123 Trento, Italy*

# A science-fiction tale with celebrated characters...



Claude-Louis Navier  
1785-1836



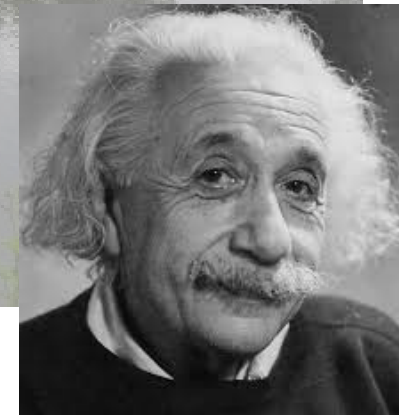
George Stokes 1819-1903



Stephen Hawking 1942-2018



Werner Heisenberg 1901-1976



Albert Einstein 1879-1955



**From analog black holes**  
**to quantum simulation of gravitational problems**

*a fruitful bidirectional synergy of gravity and quantum optics*

Iacopo Carusotto

*Pitaevskii BEC Center, INO-CNR and Dipartimento di Fisica, Università di Trento  
I-38123 Trento, Italy*

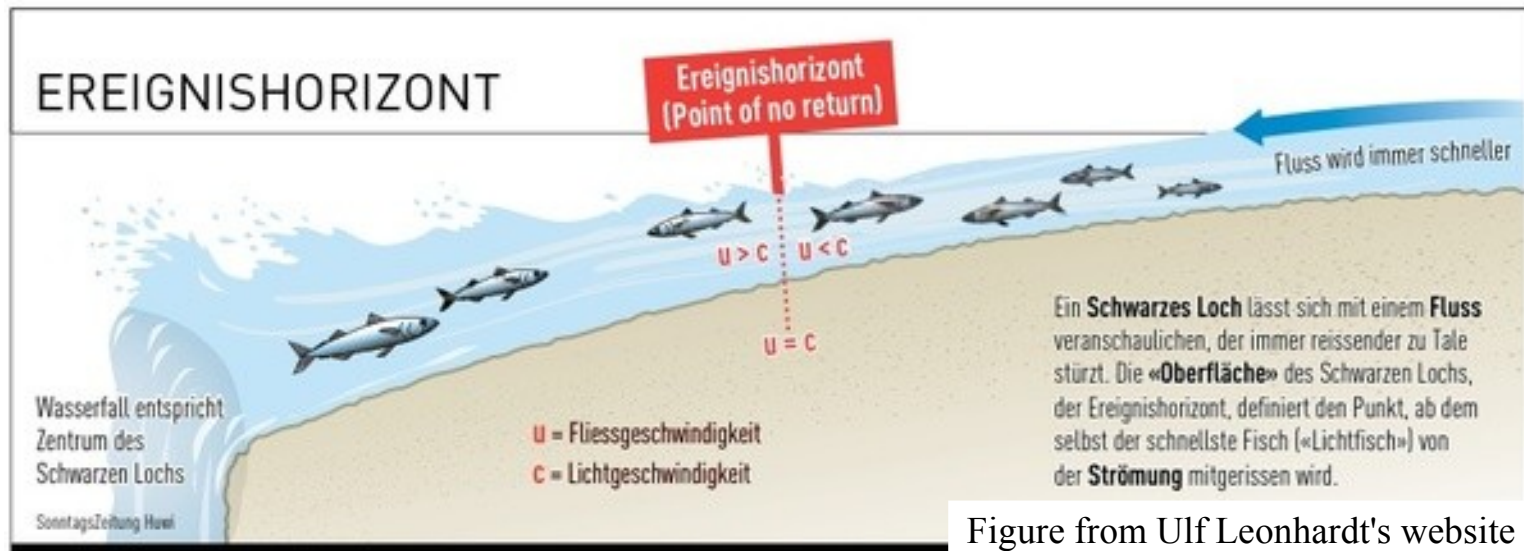
# Part 1

## Basics of analog models

*Quantum simulation before quantum simulation*

Analog Hawking radiation in atomic  
superfluids

# “Fishic” (but not fishy!) horizon



Fish swim at  $v=c_s$  in the river's frame i.e. at  $c_s \pm v_{\text{flow}}$  in the land's frame

- **Horizon** (where  $c_s = v_{\text{flow}}$ ) separates **sub-fishic** flow (upstream) from **super-fishic** flow (downstream)
- **Fish** in super-fishic region **can not swim back** through **fishic horizon**

Behavior analogous to light around astrophysical black hole horizon

# Acoustic horizon

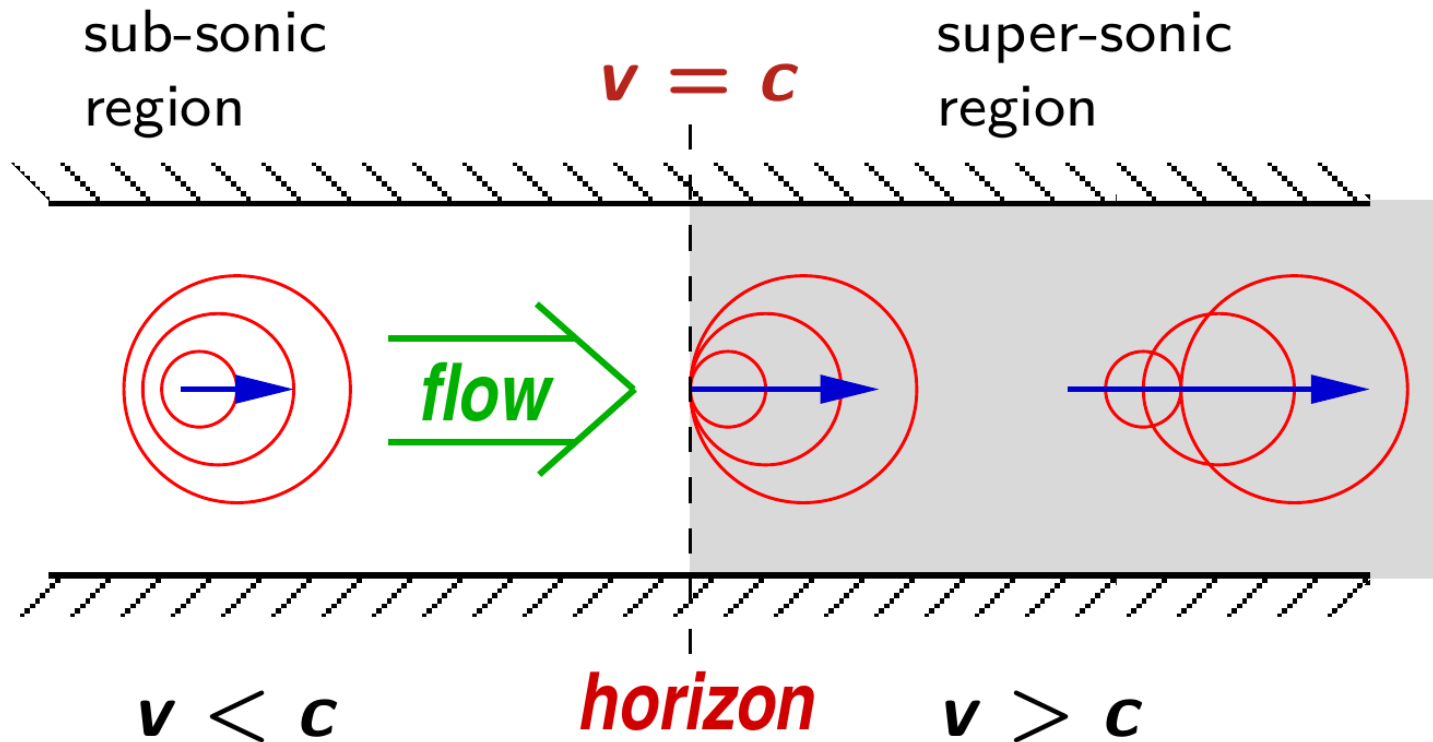


Figure from N. Pavloff's website

- Sound emitted in super-sonic region is **dragged** by the flow in the downstream direction
- **Excitations** in super-sonic region **can not travel back** through **horizon**
- **Acoustic analog** of **black hole horizon** in gravity
- What happens with **quantized radiation field** ? **Hawking radiation** of **sound** ?

# Mathematical framework

Superfluid hydrodynamics of dilute BEC, e.g. ultracold atomic gas

Gross-Pitaevskii equation for BEC order parameter  $\Psi(\mathbf{x},t)$ :

$$i\hbar \frac{\partial \Psi}{\partial t} = -\frac{\hbar^2}{2m} \nabla^2 \Psi(\mathbf{x},t) + V(\mathbf{x}) \Psi(\mathbf{x},t) + g |\Psi(\mathbf{x},t)|^2 \Psi(\mathbf{x},t)$$

- Sonic dispersion of low-k phonons  $\omega = c |\mathbf{k}|$ .
- Doppler shifted  $\omega = c |\mathbf{k}| - \mathbf{v} \cdot \mathbf{k}$  in moving fluid at  $\mathbf{v}$

Modulus-phase picture  $\Psi(\mathbf{x},t) = n(\mathbf{x})^{1/2} e^{i\Phi(\mathbf{x},t)}$   $\rightarrow$  relativistic eq for  $\Phi(\mathbf{x},t)$

$$\frac{1}{\sqrt{-G}} \partial_\mu [\sqrt{-G} G^{\mu\nu} \partial_\nu] \phi(\mathbf{x},t) = 0$$

Equivalent to light propagation in curved space-time metric

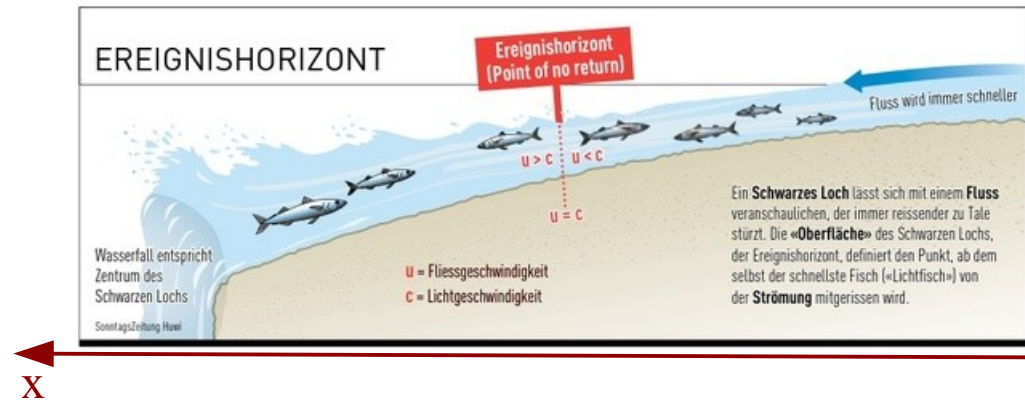
$$ds^2 = G_{\mu\nu} dx^\mu dx^\nu = \frac{n(\mathbf{x})}{c_s(\mathbf{x})} \left[ -c_s(\mathbf{x})^2 dt^2 + (d\vec{x} - \vec{v}(\mathbf{x}) dt)(d\vec{x} - \vec{v}(\mathbf{x}) dt) \right]$$

Once quantized  $\rightarrow$  quantum field theory in a curved space time  $\rightarrow$  Hawking emission?

# Acoustic Black Hole

Simplest analog black hole geometry:

- one-dimensional geometry
- flow in the +x direction
- $v(x)/c_s(x)$  increases along +x direction
- horizon where  $v(x_H) / c_s(x_H) = 1$



Astrophysical black holes → Hawking emission at

$$T_H = \frac{\hbar c^3}{8\pi k_B G M}$$

- $\approx$  fraction of  $\mu\text{K}$  for solar mass BHs, even lower for supermassive BH
- to be compared to 2.7K of Cosmic Microwave Background

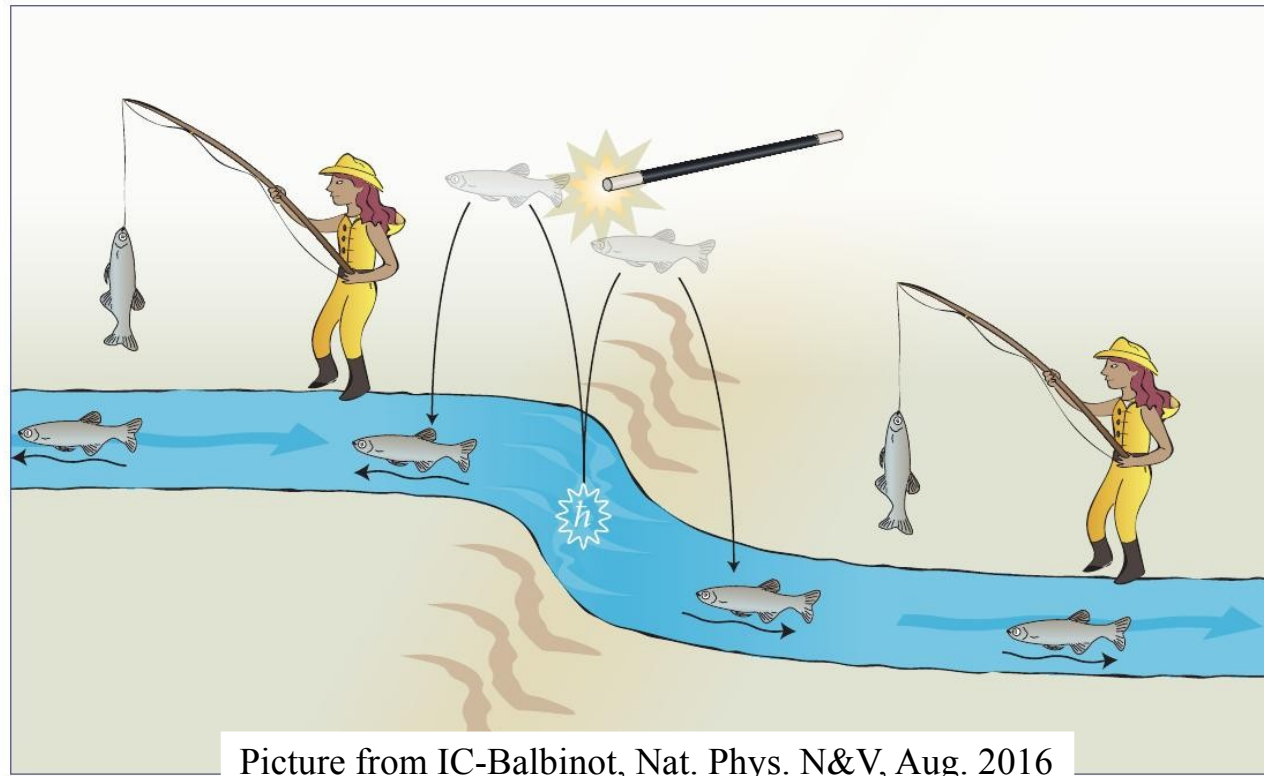
Analog models → Hawking emission of sound at

$$T_H = \frac{\hbar}{4\pi k_B v} \left. \frac{d}{dx} (c_s^2 - v^2) \right|_{x=x_h}$$

- $T_H \sim \text{nK}$ , to be compared with  $T_{\text{BEC}} \sim \text{nK}$  as well
- but also something new and exciting...



# How to detect Hawking radiation?



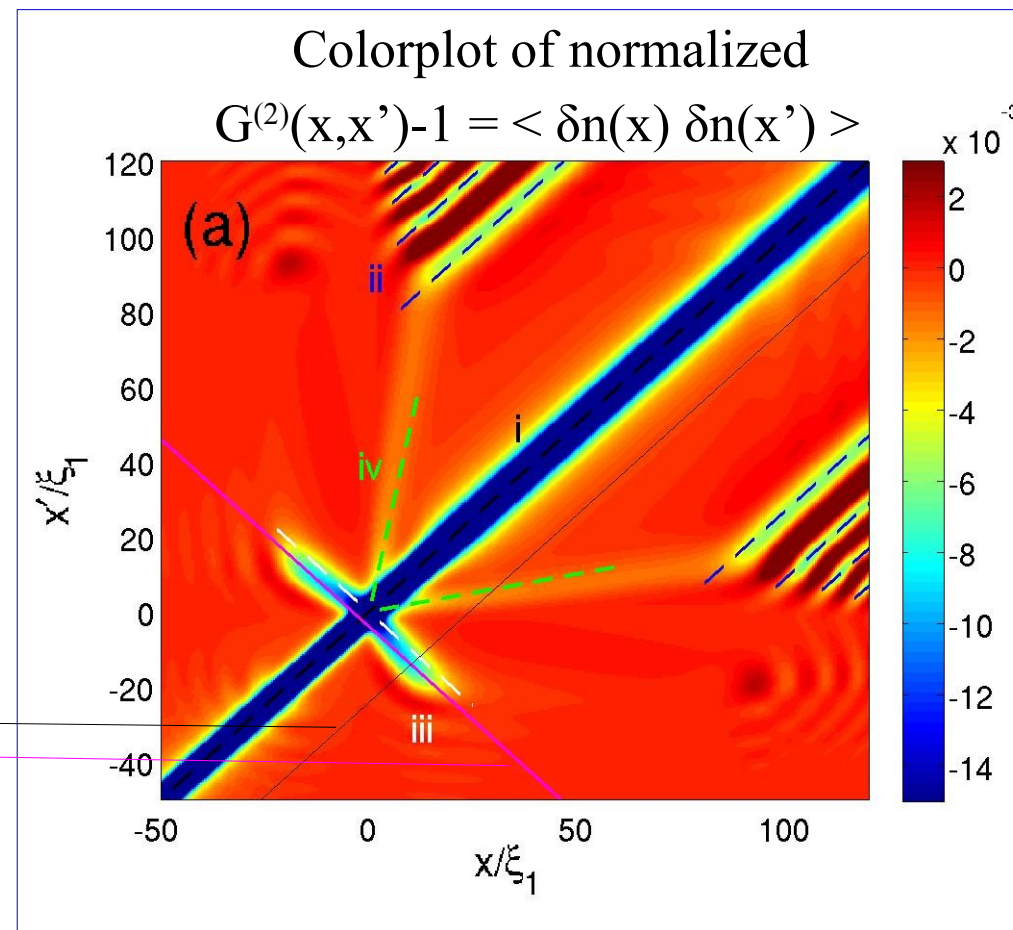
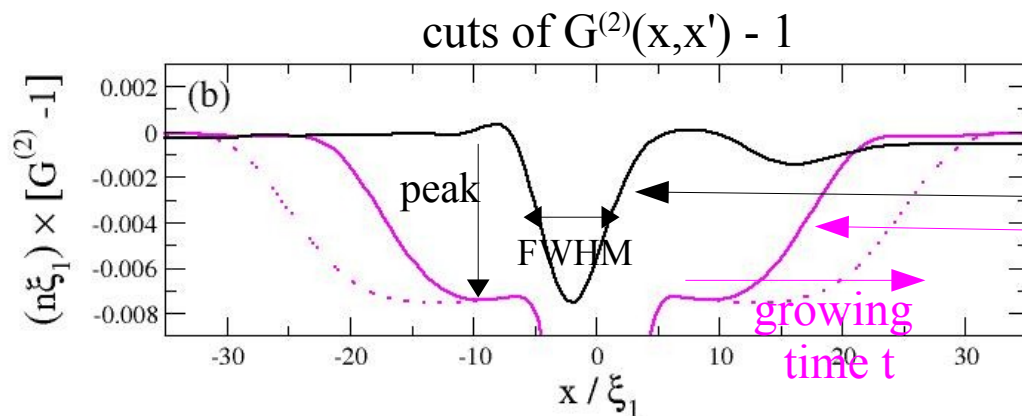
- Hawking radiation → **correlated pairs** generated simultaneously at the horizon
- Of course **not detectable in astrophysics**
- In analog models, HR isolated from background of thermal and noise phonons by measuring **correlations on opposite sides of horizon**
- **In the picture: Hawking fish are caught simultaneously by the two fisherwomen!**

# The Hawking signal: theoretical prediction

Wigner Monte Carlo simulations of the quantum fluctuations

Negative correlation tongue extending from the horizon  $x=x'=0$

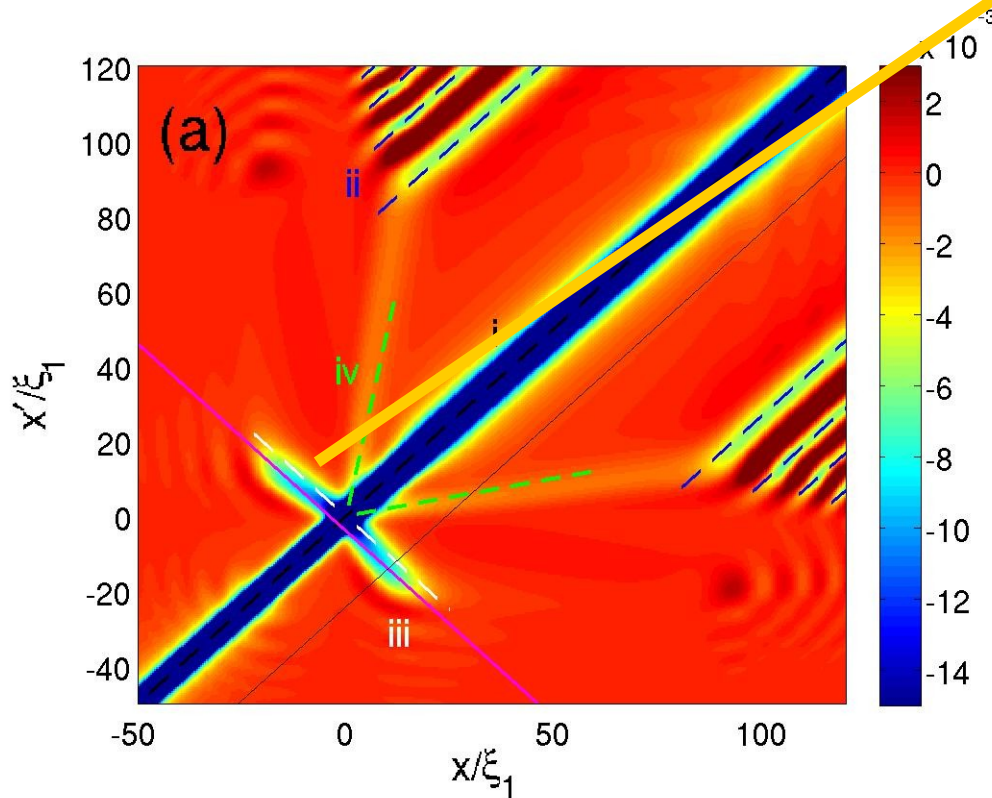
- long-range in/out density correlation which disappears if both  $c_{1,2} < v_0$
- length grows linearly in  $t$
- peak height, FWHM constant in  $t$
- slope  $\frac{v_0 - c_2}{v_0 - c_1}$  agrees with theory
  - pairs emitted at all  $t$  from horizon
  - propagate at sound speed



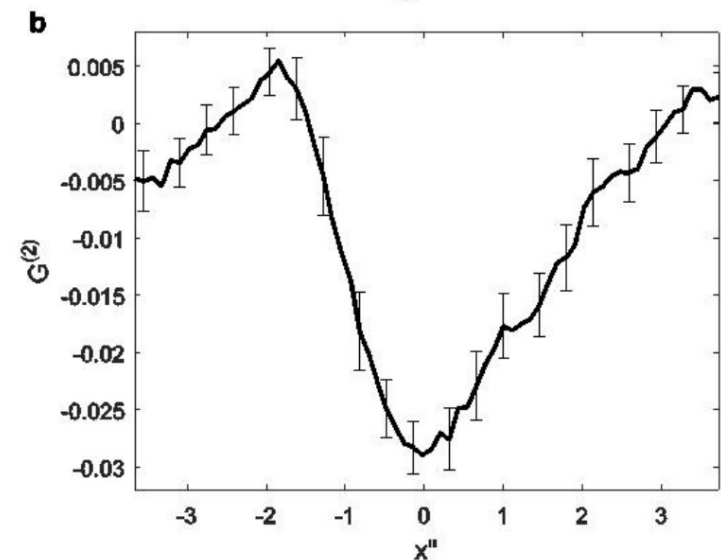
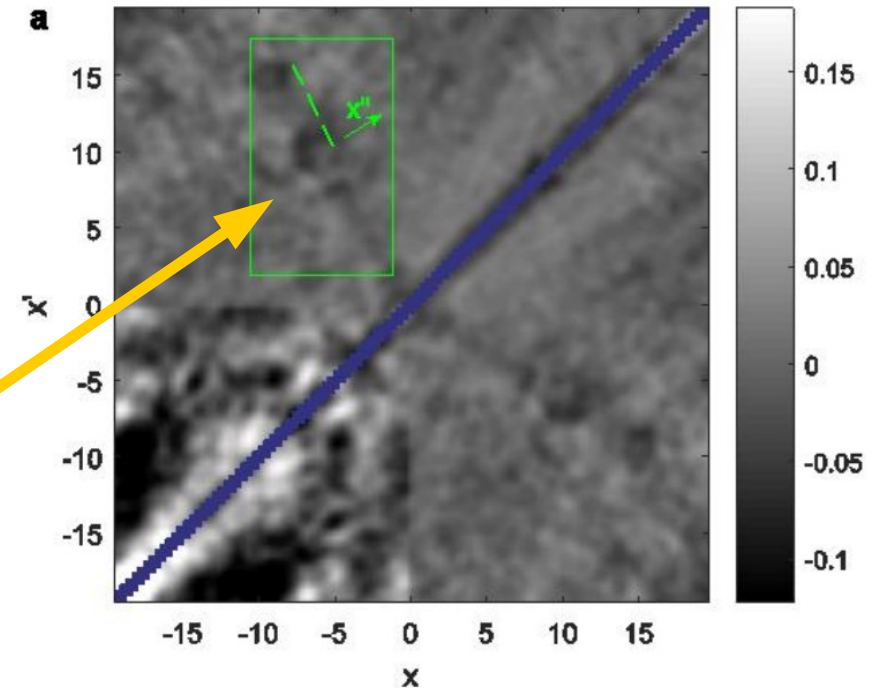
# Analog Hawking radiation detected in the lab!

Analog black hole configuration obtained by sending 1D atomic BEC against optical potential

Experimental evidence of HR based on **Balbinot-Fabrizi moustache** in correlation function of density fluctuations



Theory: IC et al., NJP 2008



Expt: Steinhauer Nat. Phys. '16

New (better) pictures from De Nova et al., Nature '19

# Experimental evidence of entanglement ?<sup>N</sup>

## Peres-Horodecki criterion for non-separability

Fourier transforms of  $G^{(2)}(x, x')$  along suitable lines

$$\Delta \equiv \langle \hat{b}_{k_{HR}}^\dagger \hat{b}_{k_{HR}} \rangle \langle \hat{b}_{k_P}^\dagger \hat{b}_{k_P} \rangle - |\langle \hat{b}_{k_{HR}} \hat{b}_{k_P} \rangle|^2 < 0$$

Population

Anomalous correlation

Entanglement visible in **intermediate k-range**

- HR from zero-point fluctuations
- produces entangled phonon pairs

Are data statistically significant?  
Any other alternative explanation?

Harsh  
on-going  
debate

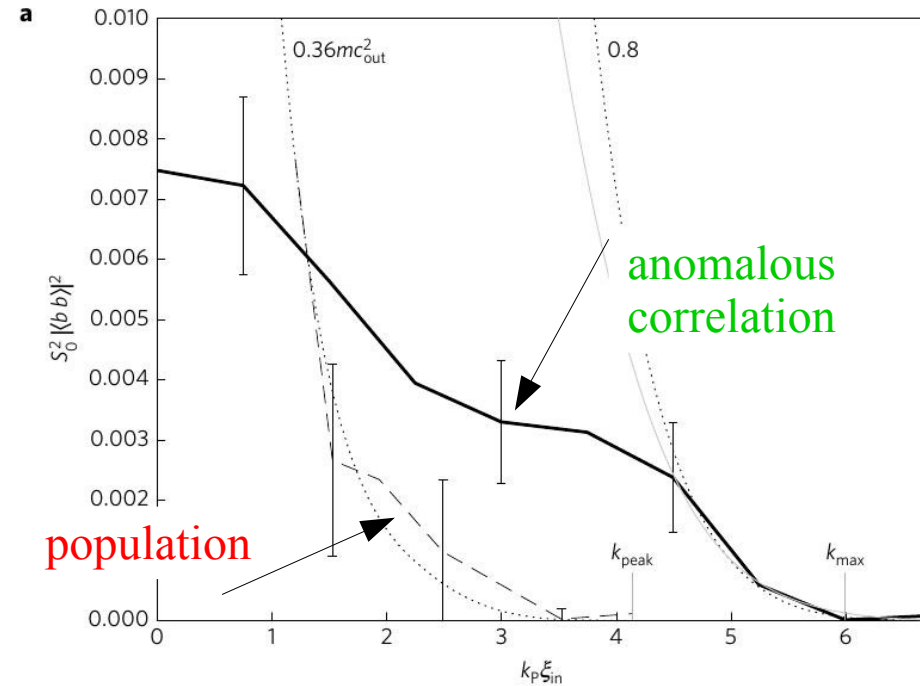


Figure from Steinhauer, Nat. Phys. '16

Much more theoretical work by de Nova, Sols, Parentani, Bruschi, Fuentes, etc.

## Long-term perspectives

- Quantum Hydrodynamics: Navier-Stokes eqs. with hats on macroscopic hydrodynamic variables
- Entangled states of a macroscopic fluid

# What physics can be learnt on ‘real’ black holes ?

Standard derivations of **Hawking radiation** assume:

- **linear dispersion**  $\omega(k) = c |k|$  at all length scales
- **infinite blue shift** of modes at horizon, GR and QFT valid up to arbitrary energies

These assumptions **violated** in analogs:

- closer look: microscopic mechanism of HR **very different**
- key role of **deviations from hydrodynamics** at high energies

What do we learn from the observation of analog HR?

- thermal HR robust to “**Planck-scale**” physics and **Lorentz-violations**
- Peculiar features imprinted onto HR spectrum
- **Observable @ LHC** ? explanation why we survived 2008 switch-on?

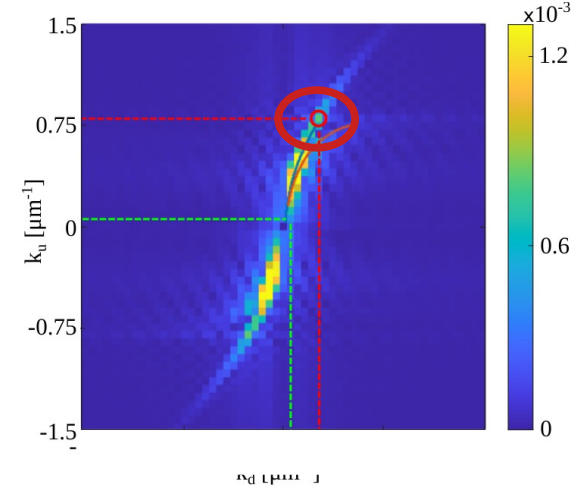


pseudo-La Repubblica 11/9/08

# An unexpected new direction...

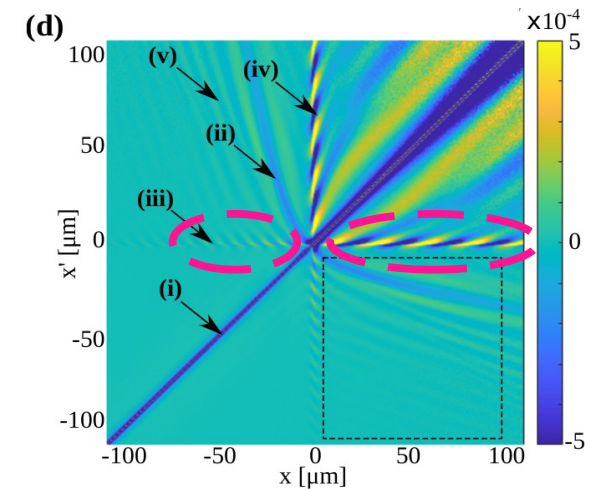
## During preliminary study for black hole expt:

- BH may feature a **localized quasi normal mode**
- spontaneous Hawking-like emission displays **peak @ QNM**
- hosts finite **zero-point quantum excitation** by same mechanism responsible of Hawking emission
- correlation between emission and QNM excitation
- result generic to conservative and driven-dissipative analog models



## QNMs are common feature of astrophysical BHs

- general wave modes (e.m., gravitational, ...) orbiting around BH
- decaying eigenmodes of BH dynamics; classically excited during astrophysical processes, e.g. mergers
- radiatively decay into emitted gravitational waves



## So... what we may dare to guess about astrophysical BHs ?

- Not only BHs are not fully “black” because they emit Hawking radiation...
- ...but also **their shape “fluctuates”** under the effect of zero-point fluctuations of space-time
- ...and **Hawking emiss.** (gravitational and e.m.) → **specific spectral features** (beyond grey-body factor)

# Part 2:

## False vacuum decay via bubble formation in ferromagnetic superfluids

*theory & experiments at the Pitaevskii BEC Center*

Similar work is going on in the (mostly UK-based)  
Quantum Simulator for Fundamental Physics (qSimFP) consortium

# The dream team

Experimentalists  
@ Pitaevskii BEC Center



Theorists @ Pitaevskii BEC Center

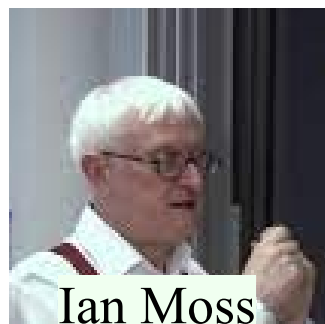


Anna Berti



Alessio Recati

Our collaborators @ Newcastle  
on theory of false vacuum decay



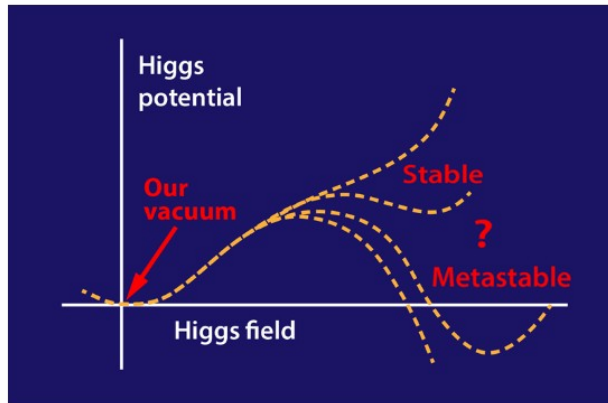
Ian Moss



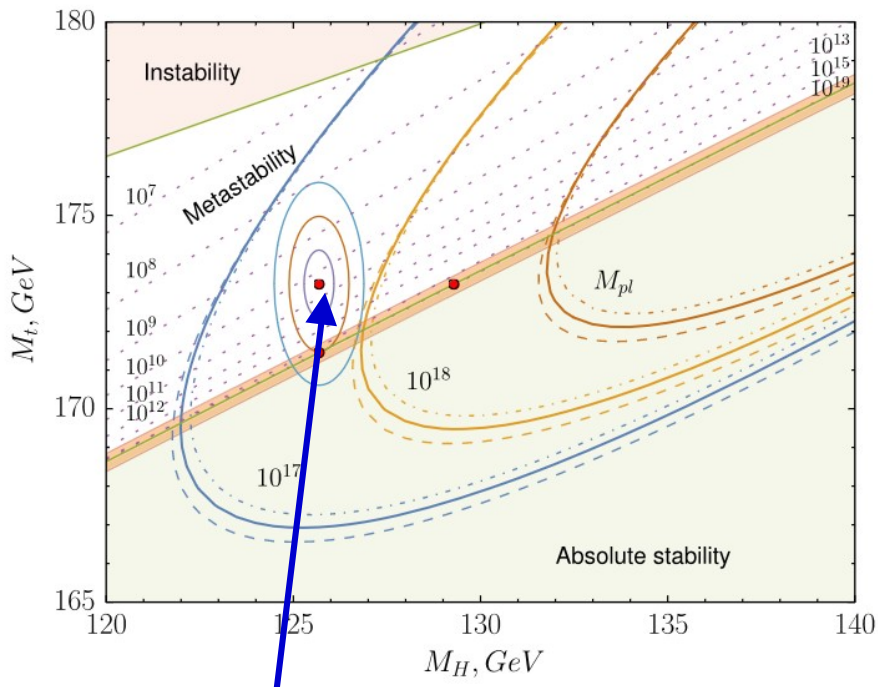
Tom Billam



# Are we on the brink of the Higgs abyss?



Figures & Title from Bednyakov et al., PRL 2015 and associated viewpoint on Physics by Kusenko



Our Universe is expected to be metastable  
but not far from stability boundary  
(many hypothesis and approximations behind calculation)

According to standard model  
Higgs field may be in metastable state

Tunneling event towards global energy minimum  
→ totally different physics and huge energy release

**The END of our world !**

Theoretical open questions:

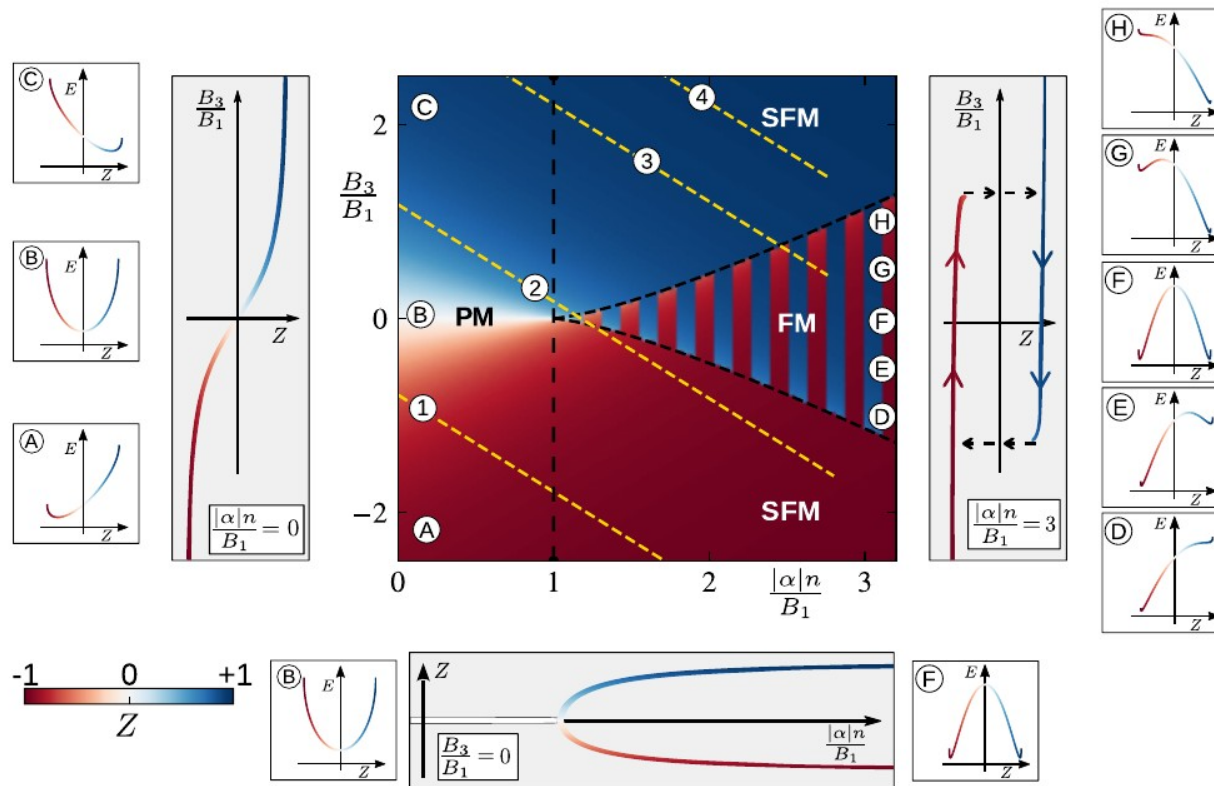
- Existing calcul. → very slow decay ( $\sim 10^{65}$  yrs), but...
- Standard Model correct up to Planck scale?
- Astrophysical & gravity effects, e.g. seed by BHs ?
- Instanton calcul. only tractable under serious approx
- Many degrees of freedom at play simultaneously

→ Physical insight from simplified models  
very useful !

General questions:

- why Universe in metastable rather than stable state?
- bounds on dark energy/mass from (meta)stability?

# Ferromagnetic two-component BEC



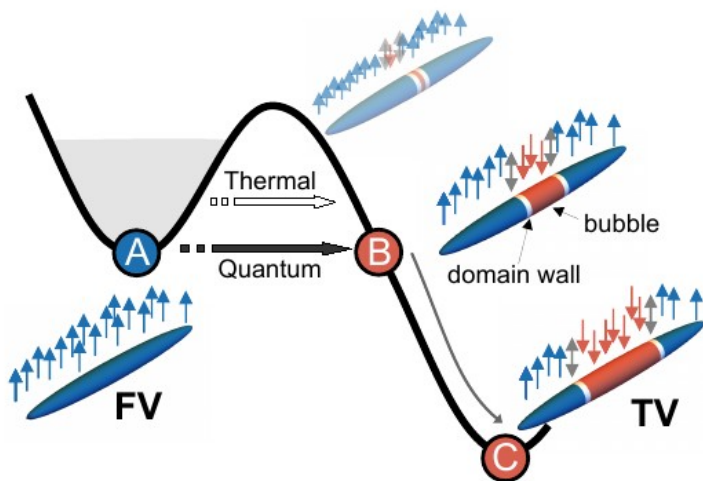
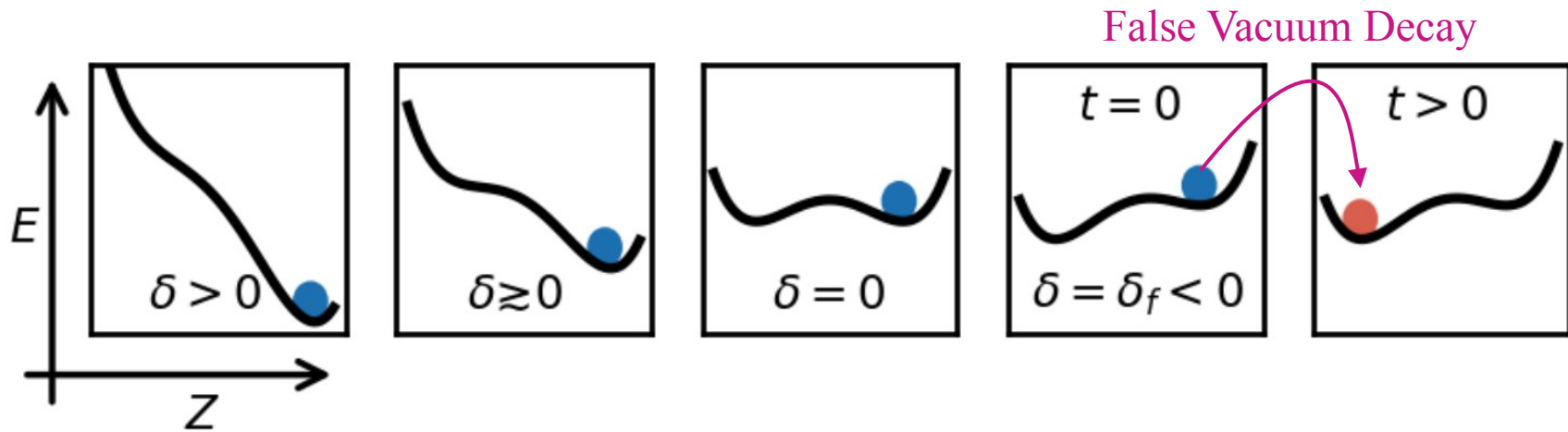
## Atomic Bose-Einstein condensate:

- Two component Na atoms  $|\uparrow\rangle = |2, -2\rangle$ ,  $|\downarrow\rangle = |1, -1\rangle$
- Immiscible regime  $a_{\uparrow\downarrow}^2 > a_{\uparrow\uparrow} a_{\downarrow\downarrow}$
- Mixture stabilized by coherent coupling  $\Omega_R$
- Energy landscape resemble Higgs abyss

## Mapped on Ising model in transv.-B

- Coherent coupling  $\Omega_R \rightarrow$  transverse field  $B_t$
- Zeeman detuning  $\delta \rightarrow$  longitudinal  $B_z$
- Atomic interactions  $\rightarrow$  Ising z-z coupling
- Mean-field picture:  $\mathbf{H}_{\text{eff}} = (\Omega_R, 0, \delta_{\text{eff}} - \kappa n Z)$

# 1<sup>st</sup> order phase transition and metastability

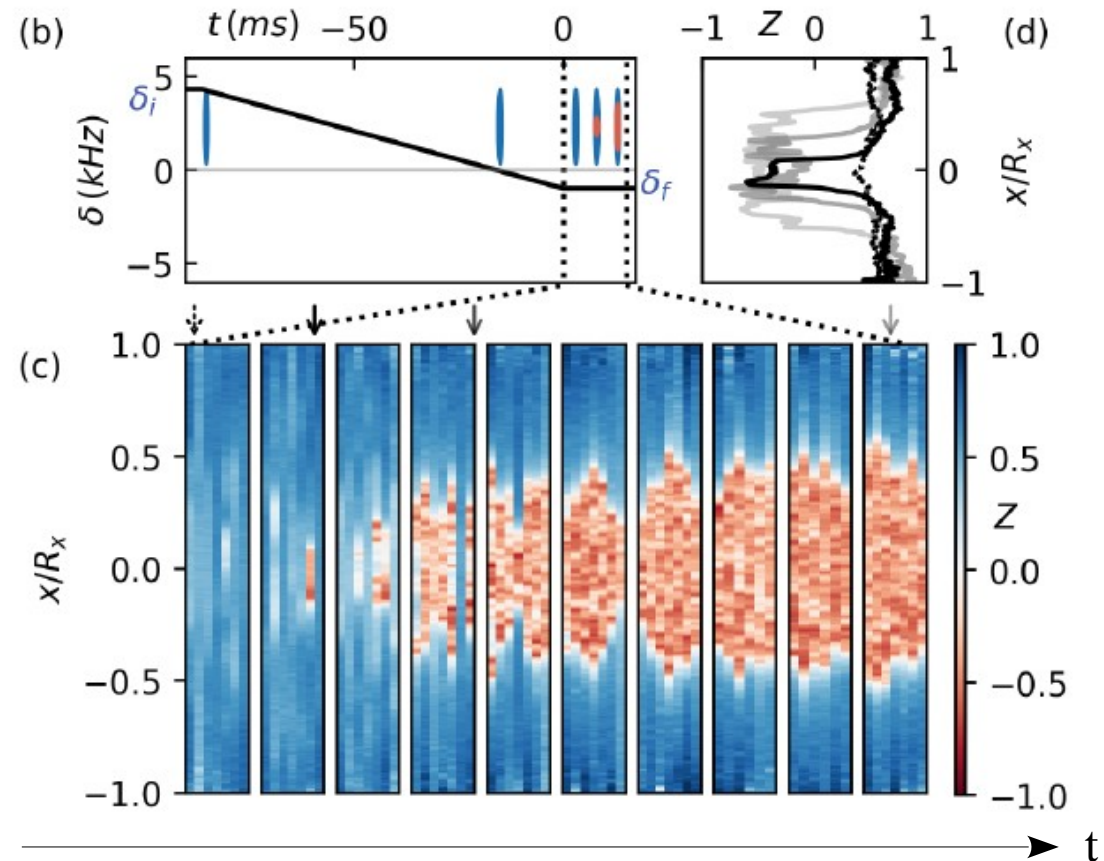


- Start from BEC in ground  $|\uparrow\rangle$  state @  $\delta > 0$
- Slowly decrease  $\delta$  to  $\delta < 0$
- $|\uparrow\rangle$  state is metastable local minimum -- False Vacuum
- We expect:
  - Eventually decays to ground  $|\downarrow\rangle$  state -- True Vacuum
  - Slow process via bubble formation, activated by quantum/thermal fluctuations

# Bubble formation & False Vacuum Decay

## Experimental observation of bubbles

- Wait different times  $t$
- Separated images of  $|\downarrow, \uparrow\rangle$  states  
→ extract magnetization profile
- Observe:
  - Stochastic bubble formation
  - Most likely at center of sample
  - On average, bubble size grows with  $t$

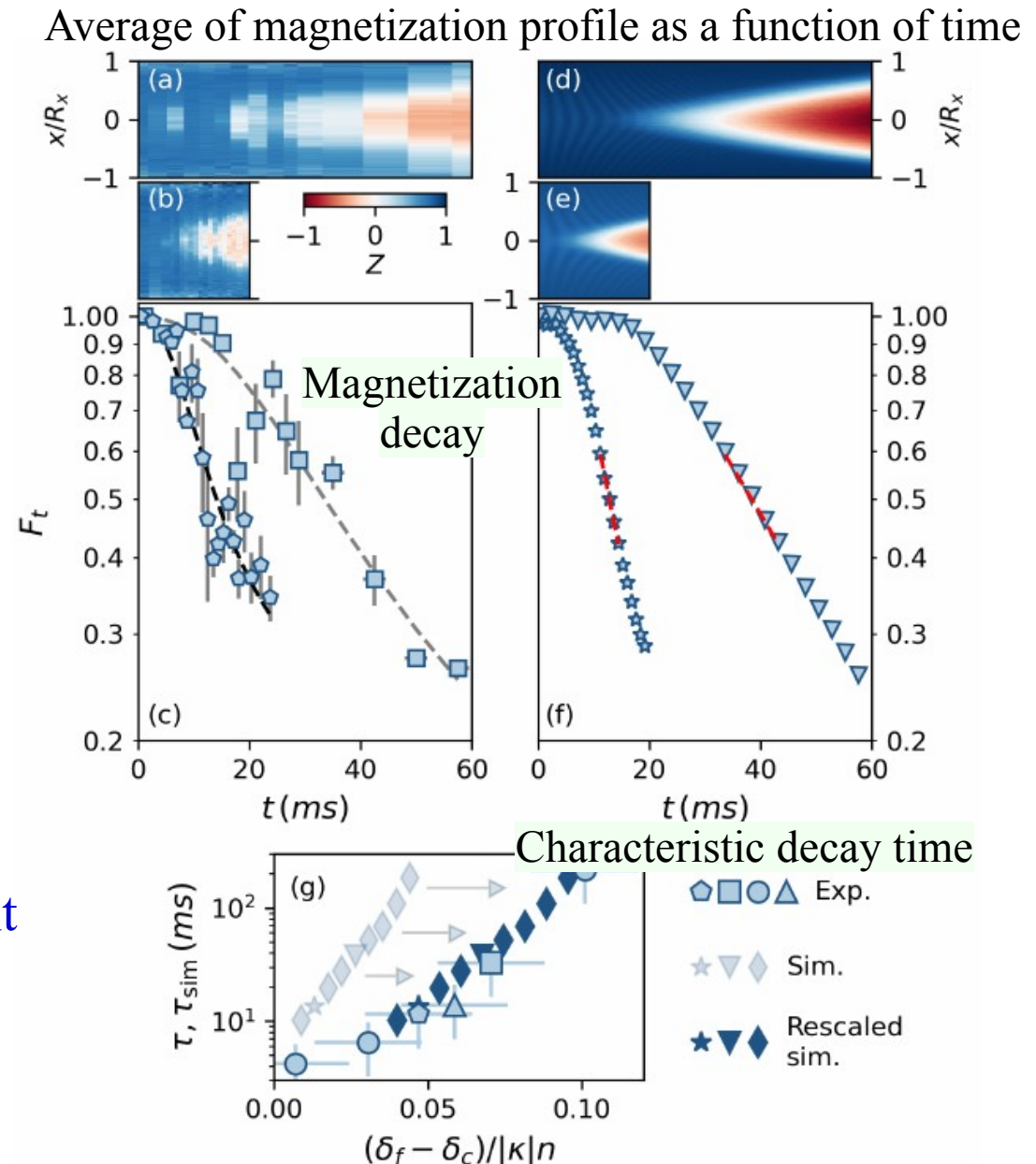


# Quantitative analysis: magnetization decay time

## Numerical simulations:

- Truncated Wigner method unreliable (UV cut-off dependent, thermalizes to classical state before FVD,...)
- **Stochastic Gross-Pitaevskii**  
→ good description of thermal effects
- Follow all stages of preparation and then evolution

Results in good quantitative agreement with experiment



# Quantum- or thermally- activated process?

- Significant **thermal component** in the outer part of cloud
- Experiment reproduced by **stochastic GPE simulations @ finite T**
  - initial thermal fluctuations modified during preparation into non-equilibrium state
  - effective temperature of spin modes in numerics estimated around **100nK - 1μK**
- **Instanton calculations** in QFT formalism
  - **quantum tunneling dominant**  
only at much lower nK temperatures

Our QFT specialists  
Ian Moss and Tom Billam



Strong indication that our false vacuum decay in our experiments  
is triggered by classical thermal fluctuations

# False Vacuum Decay in monitored systems

Quantum tunneling requires much lower  $T$

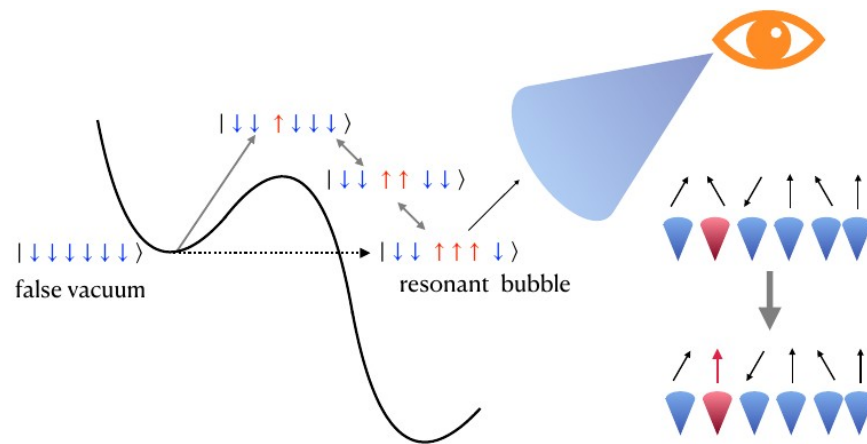
This might be experimentally possible but one more subtle hurdle on the way...

## Any realistic system:

- Coupled to environment (external and/or other DoF and/or atom losses)
- Information transferred to environment via different couplings
- **Effect on quantum-induced false vacuum decay?**

## Simplest theoretical model:

- Spin-1/2 Ising chain in transverse  $B_t$ . At weak  $B_t$ : ferromagnetic state
- Start from  $|\downarrow\rangle$  state, continuously monitor sites in  $|\uparrow\rangle$  state
- Measurement projects spin onto  $|\uparrow\rangle$ . **Deposited energy favors bubble formation**

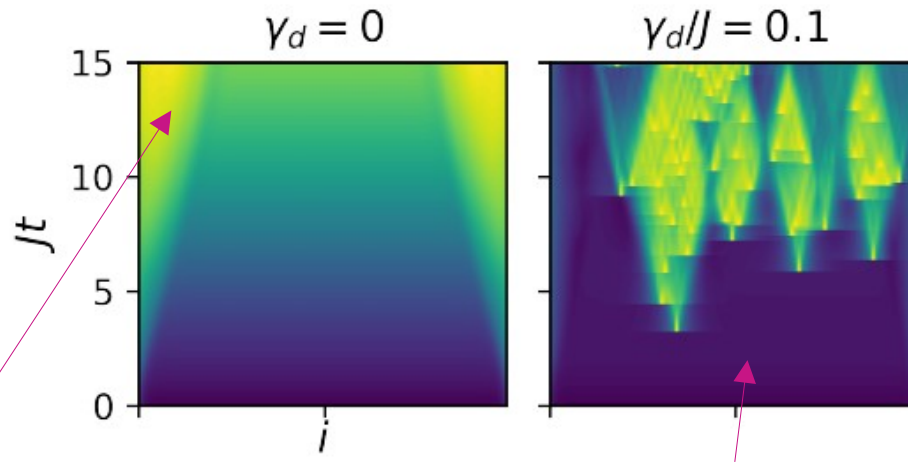


Maki... IC, Biella, *Monte Carlo matrix-product-state approach to the false vacuum decay in the monitored quantum Ising chain*, SciPost Physics (2023)

# Numerical calculations

## Monte Carlo Matrix product states (MCMPS) technique:

- MPS representation of many-body states and (non-Hermitian) evolution
- Measurements  $\rightarrow$  Quantum jumps at random times



$\gamma_d=0 \rightarrow$  dominated  
by edge processes

$\gamma_d>0 \rightarrow$  dominated  
by random  
measurement events

Maki... IC, Biella, *Monte Carlo matrix-product-state approach to the false vacuum decay in the monitored quantum Ising chain*, arXiv:2306.01067 (2023)

## Interest goes beyond quantum simulators/analog models

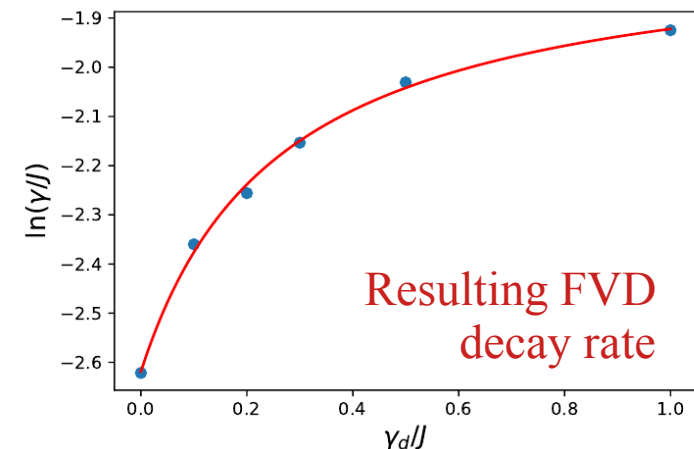
- Crucial to assess if *quantum* tunneling is fundamentally possible
- May induce radical revision of our estimates for (non-human contribution to) doomsday...

Coupling to other DoF

$\rightarrow$  similar effect as measurement ?

## On-going work: Spin-1 chain

- accessible to ab-initio numerics
- hosts phonon modes like in BECs
- generic model for other DoF





# Conclusions & perspectives

superfluids of atoms & light  $\leftrightarrow$  gravitational phenomena: a fruitful bidirectional synergy

## Hawking radiation from Black Holes:

- Original theoretical predictions +  $G^{(2)}(x,x')$  correlations  $\rightarrow$  experimentally observed
- robust to UV corrections, solves trans-Planckian problem of infinite frequency @ horizon
- On-going challenge  $\rightarrow$  robust evidence of quantum correlations in HR  $\rightarrow$  *quantum hydrodynamics*  
*"The tale of Navier and Stokes meeting Heisenberg at Hawking's place (with some disappointment for Einstein)"*

## Quantum simulation of false vacuum decay:

- Decay of metastable state via (random) formation of bubbles.
- Thermally activated mechanism. Ultralow (sub nK) temperatures needed to go quantum.
- Extra channels: FVD triggered by monitoring. Key role of other DoF (phonons, atom losses,...) ?

## Astrophysical/cosmological/gravity implications of fluctuations to be explored:

- Coupling to other DoF  $\rightarrow$  radical revisions on decay rate of Universe ?
- Analog Hawking radiation from BHs  $\rightarrow$  QNMs give intrinsic fluctuations of space-time around BH ?
- Cosmological pre-heating  $\rightarrow$  quantum fluctuation of inflaton field. Observable in CMB ?

We acknowledge generous financial support from:



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PUNTO NAZIONALE DI RIFERIMENTO E INNOVAZIONE



[Living Reviews in Relativity](#)

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## Analogue Gravity

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### Superradiant phenomena

Lessons from and for Bose–Einstein condensates

Luca Giacomelli

Ph.D. thesis submitted to Dipartimento di Fisica  
Università degli studi di Trento

Under the supervision of  
Dr. **Iacopo Carusotto**  
Prof. Massimiliano Rinaldi

news & views

QUANTUM HYDRODYNAMICS

## Acoustic Hawking radiation

A milestone for quantum hydrodynamics may have been reached, with experiments on a black hole-like event horizon for sound waves providing strong evidence for a sonic analogue of Hawking radiation.

Iacopo Carusotto and Roberto Balbinot

Nat. Phys., Aug. 15h, 2016

REVIEWS OF MODERN PHYSICS, VOLUME 85, JANUARY–MARCH 2013

## Quantum fluids of light

Iacopo Carusotto\*

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Cristiano Ciuti†

Laboratoire Matériaux et Phénomènes Quantiques, Université Paris Diderot-Paris 7 et CNRS,

I. Carusotto, C. Ciuti, Rev. Mod. Phys. **85**, 299 (2013)

# Dedicated to a friend and a master



Renaud Parentani, July 31, 1962 - May 20, 2020