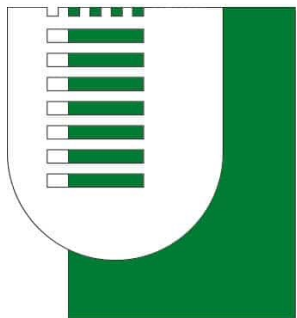


# Development of ML Algorithms for the study of Astro-particles

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- Luca Tabarroni
- Università di Roma Tor Vergata
- [luca.tabarroni@roma2.infn.it](mailto:luca.tabarroni@roma2.infn.it)

**TOR VERGATA**



UNIVERSITÀ  
DEGLI STUDI  
DI ROMA



Agenzia  
Spaziale  
Italiana



## AMS experiment

The Alpha Magnetic Spectrometer is a particles detector operating on the International Space Station used to study the composition of Cosmic Rays.

### Search for Anti-Helium

Development of a Machine Learning algorithm for the identification of possible Anti-Helium events in the Cosmic Rays.

#### Dataset:

- Signal: Monte Carlo simulations of the Helium. Helium differs from Anti-Helium only for the charge.
- Background: Monte Carlo simulations of events easily misinterpreted as Anti-Helium.

#### Algorithm:

- BDT: Boost Decision Tree trained to distinguish between signal and background.
- AEC: Auto-Encoder trained to reconstruct the signal. The error in the reconstruction is used as selector.

## HEPD

The High-Energy Particle Detector is a scientific instrument designed to measure changes in the flow of high-energy particles.

### Classification of Protons vs Electrons

Development of a Machine Learning algorithm for the classification of Protons and Electrons crossing the detector.

#### Dataset:

The dataset is composed of Monte Carlo simulations of events of high energy Protons and Electrons. Each event is characterized by the thirty-two numbers of the Scintillator Counters and by the nine numbers of the Lyso Cristals.

#### Algorithm:

- BDT: trained to distinguish between Protons and Electrons.
- FCNN: Fully Connected Neural Network whose output is the probability that each event belongs to one of the two classes.

## HERD Calorimeter

HERD is a high-energy cosmic-ray detector based on a deep three-dimensional electromagnetic calorimeter, proposed to be installed on the Chinese Space Station. The main scientific objectives of HERD include detecting dark matter particles, studying cosmic ray composition, and observing high energy gamma rays.

### Classification of Protons vs Electrons

The study is focused on the classification task of simulated electrons and protons detected by the HERD Detector done with Machine Learning algorithm.

#### Dataset:

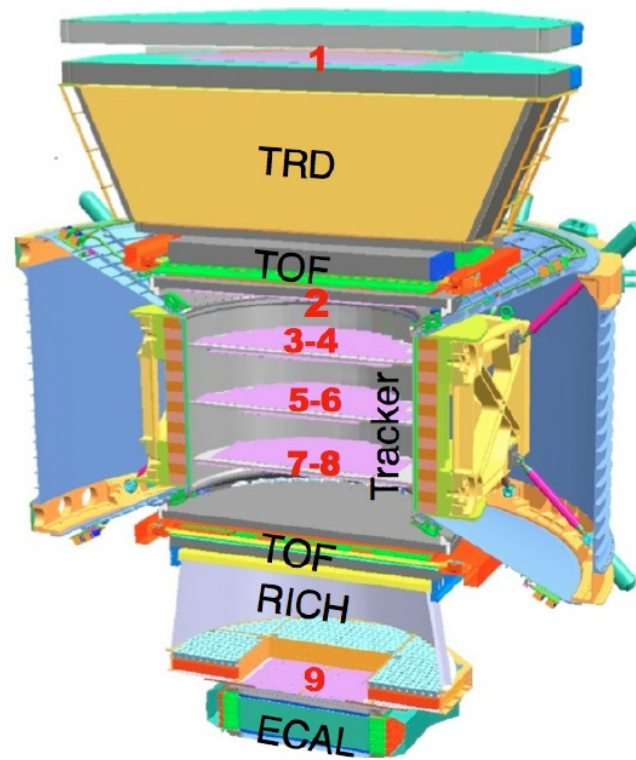
Our classification task is based on data from Monte Carlo simulations of proton and electron particle showers in the HERD electromagnetic calorimeter, with energies ranging from 100 GeV to 20 TeV. We have two datasets, one composed of three-dimensional images, and the other from their two-dimensional projections.

#### Algorithm:

Our approach is inspired by the Inception neural network, a very deep convolutional neural network that achieved state-of-the-art performance in the ImageNet Large Scale Visual Recognition Challenge 2015 when combined with residual connections.

# AMS

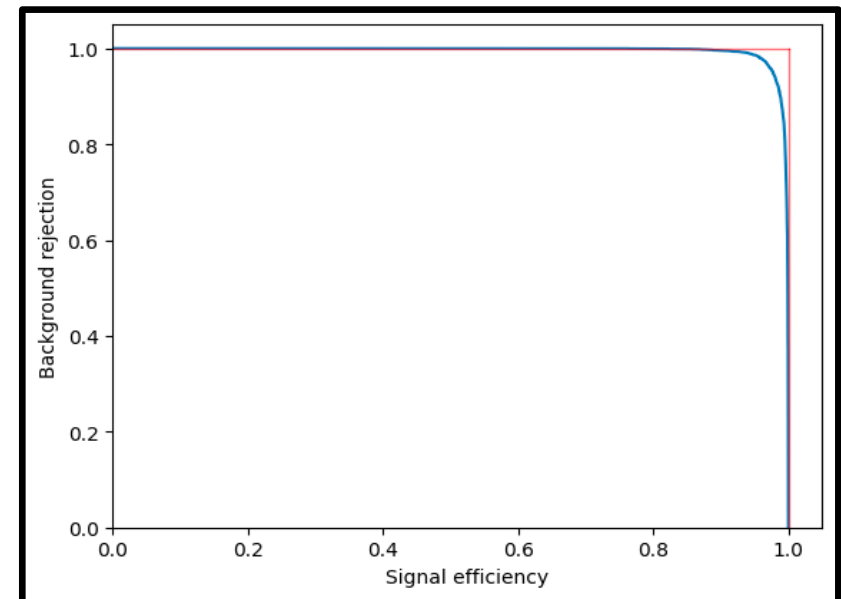
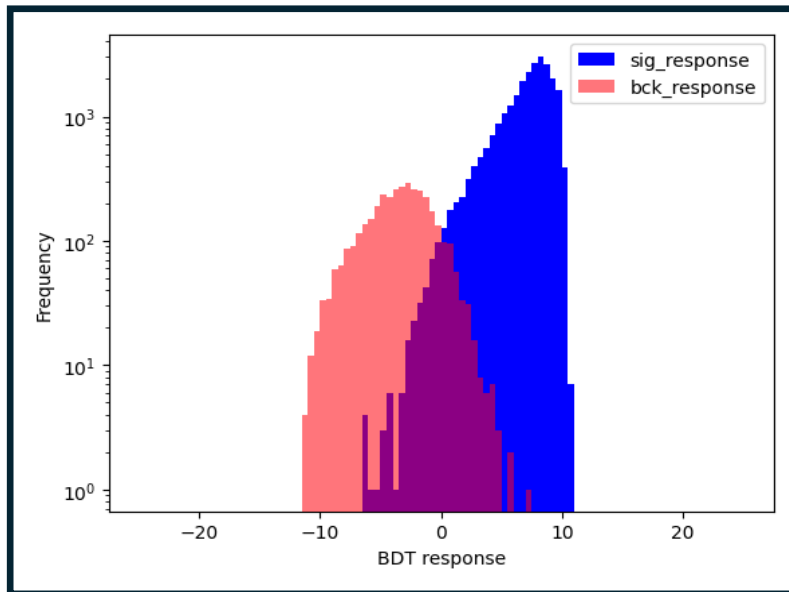
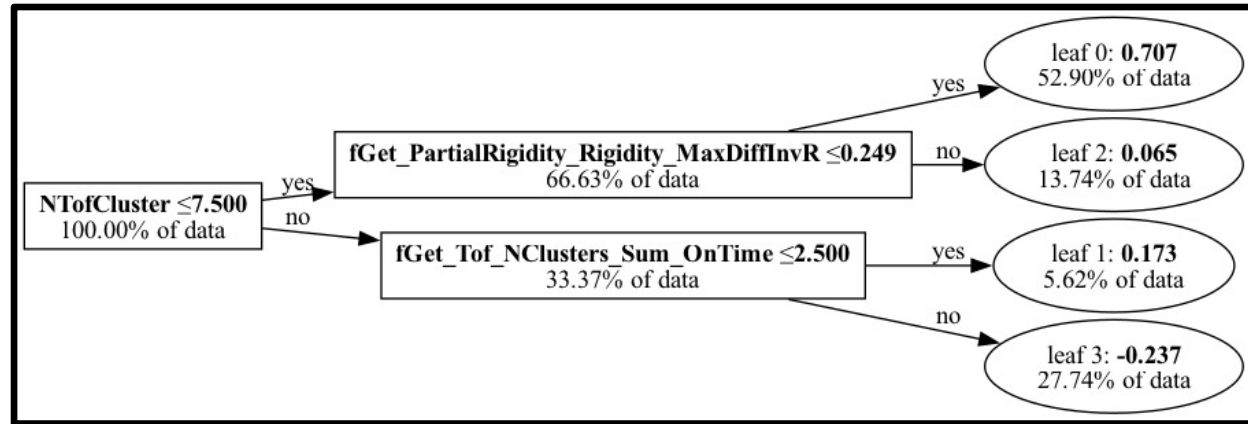
## Anti-Helium research



# Boost Decision Tree

In our case, we implemented an algorithm with:

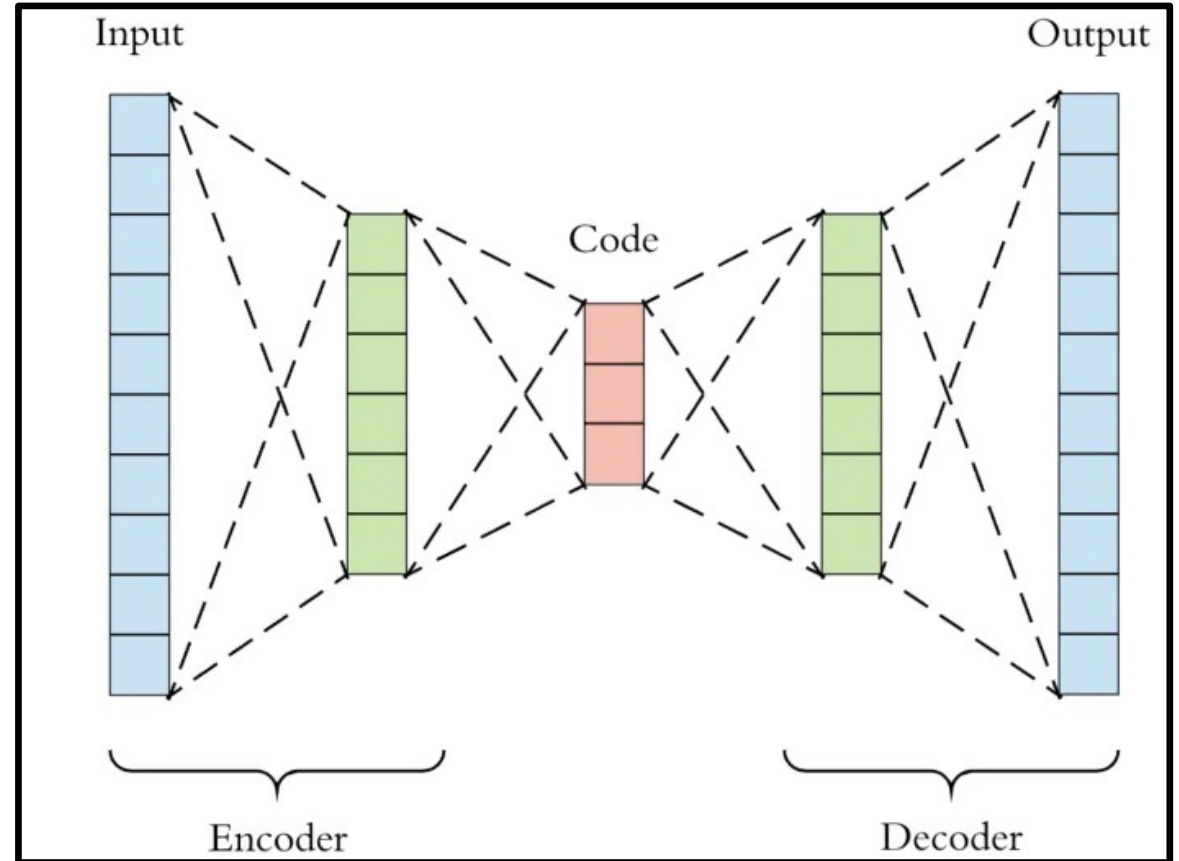
- One-hundred trees
- Stopping conditions:
  - 4 leaves
  - No depth conditions
  - No purity conditions
- Gradient Boosting
- Learning rate of 0.25



# Auto-Encoder General Introduction

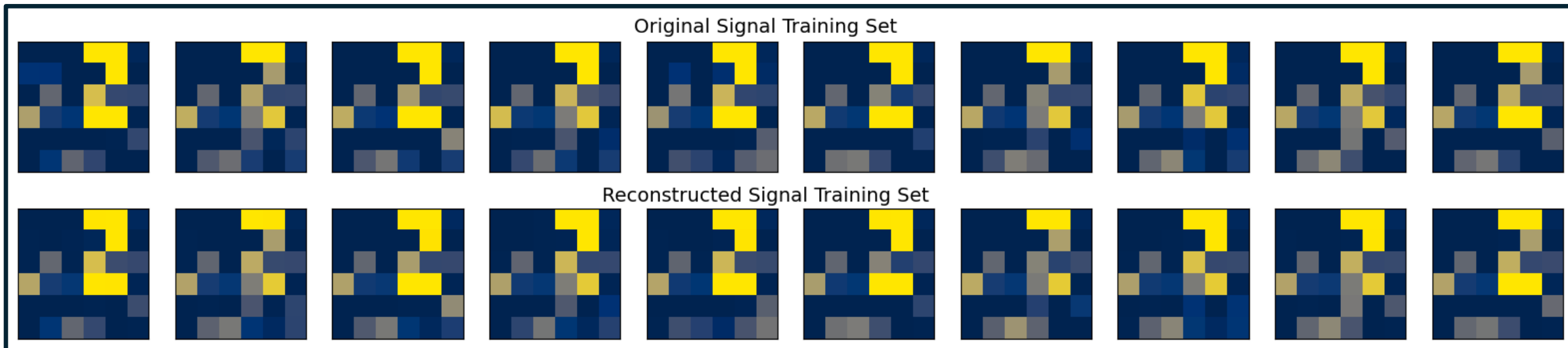
## Image compression

- Image reduction to a lower dimension object: Code space
- Image reconstruction from the Code space back to the original dimension
- Convolutional layers
- Max-Pooling layers
- Up-Sampling layers



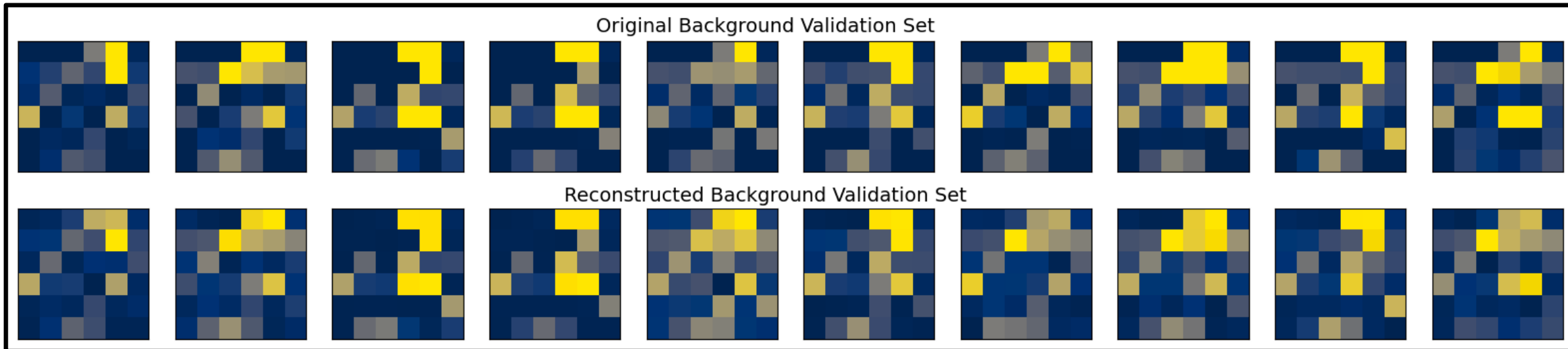
# Auto-Encoder Training

- We submit to the AEC a training set of images taken from the original signal dataset
- The AEC will perform its training confronting the output images with the input ones
- The AEC goal is to reach the maximal accordance between the input and the output



# Auto-Encoder Validation

- We submit to the AEC a validation set of images taken from the original background dataset
- The AEC has never seen this set of images
- The AEC is expected to perform poorly on the background validation set since it has been trained on the signal



# Auto-Encoder Error in Reconstruction

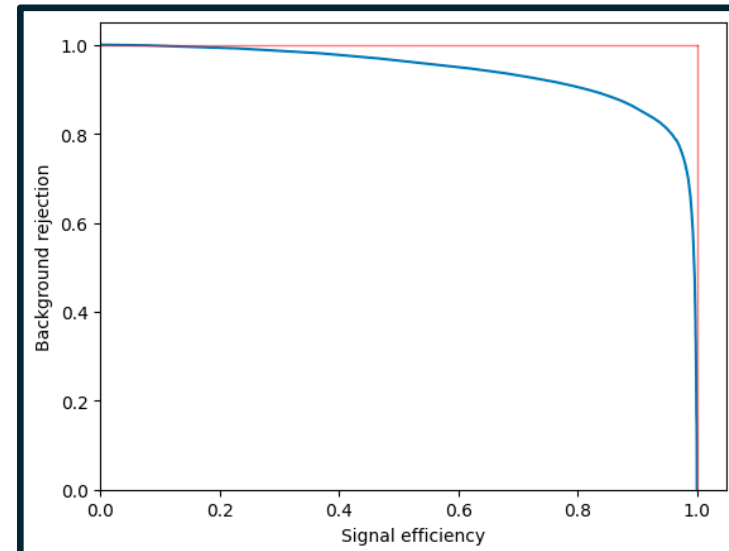
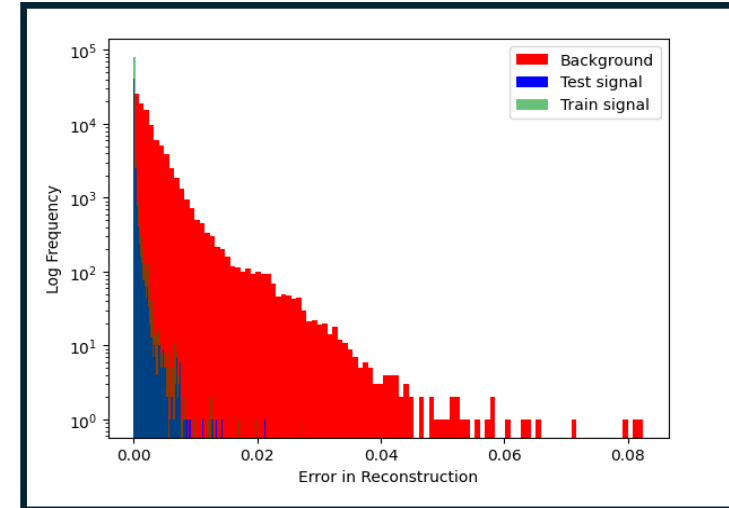
- We can evaluate the difference between an original and a reconstructed image, pixel by pixel:

$$E_1 = \frac{(R_1 - O_1)^2}{36}$$

•  
•  
•

$$E_{36} = \frac{(R_{36} - O_{36})^2}{36}$$

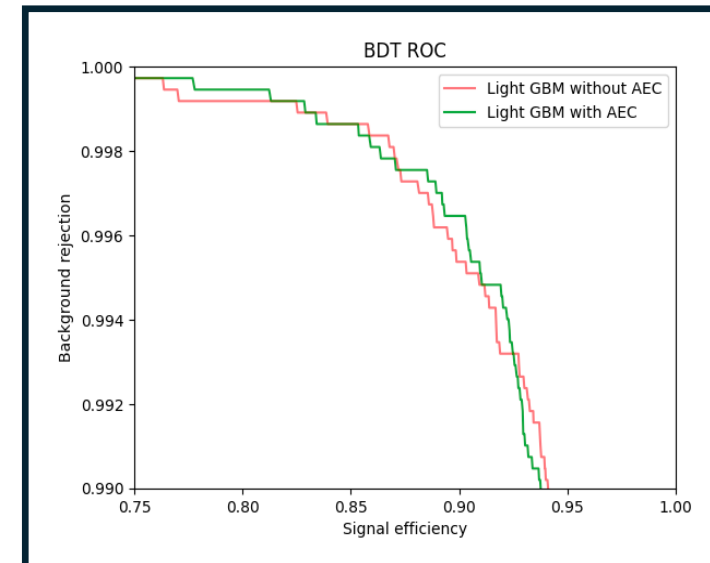
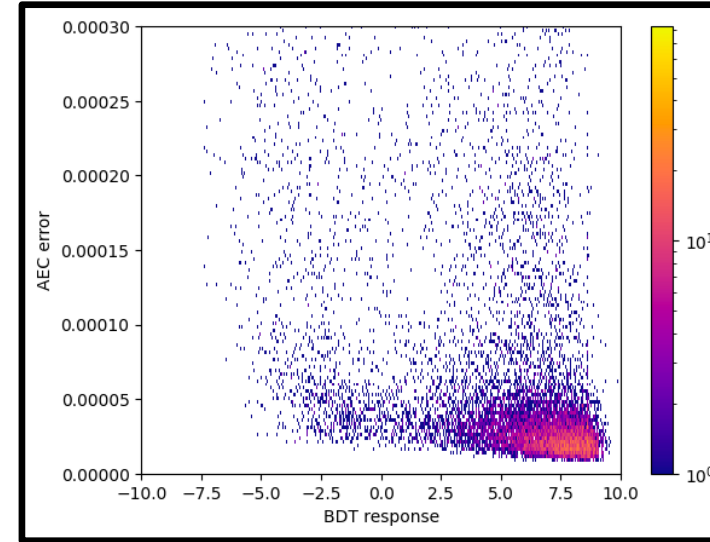
- The total error will be the AEC selector





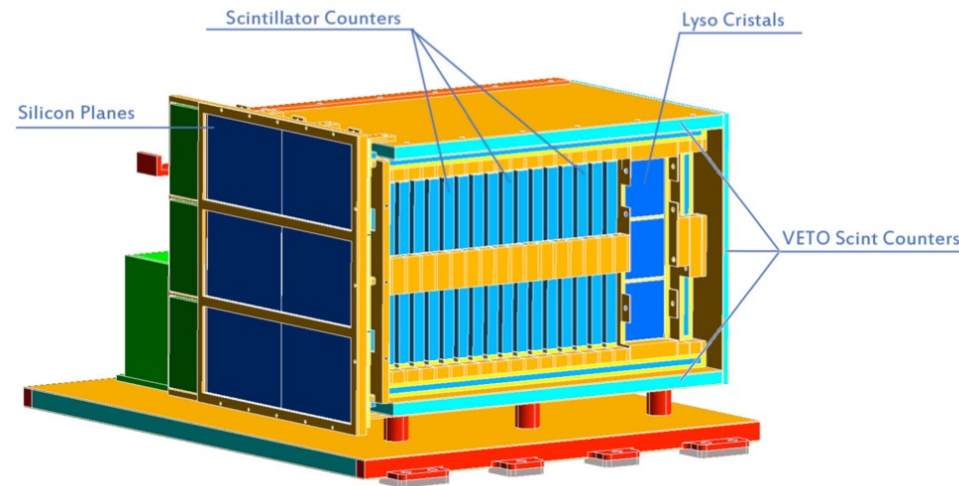
# Auto-Encoder AEC Error vs BDT Response

- The signal is characterised by a lower AEC error and a higher BDT response
- The background is characterised by a lower BDT response but no actual range for the AEC error
- The two selectors, the BDT response and the AEC error, are not tightly correlated

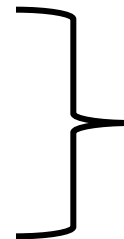


# HEPD

## Electrons/Protons



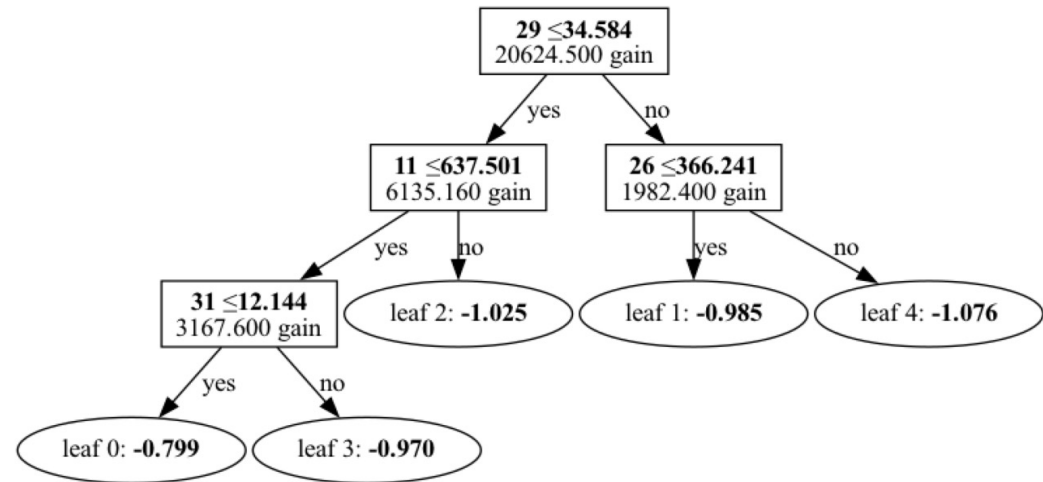
159329 Protons  
61243 Electrons



31 numbers from the Scintillator counter  
9 from the Lyso

# BDT parameters

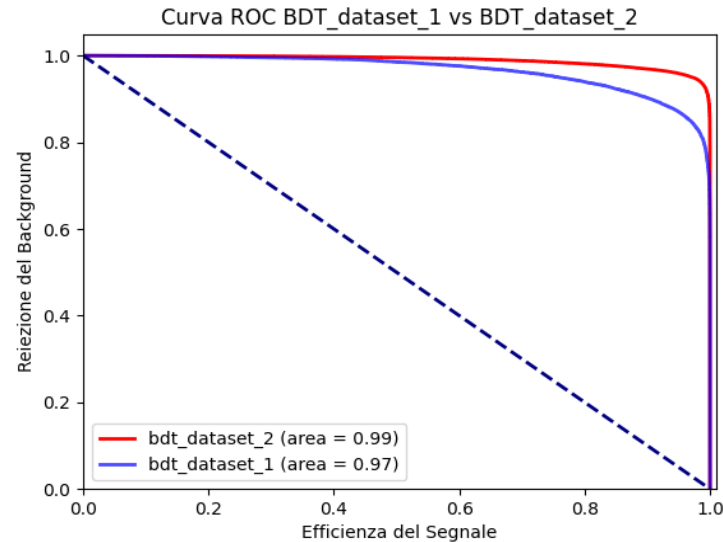
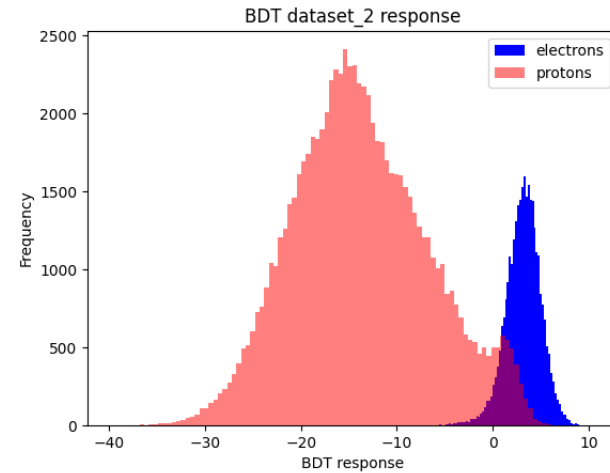
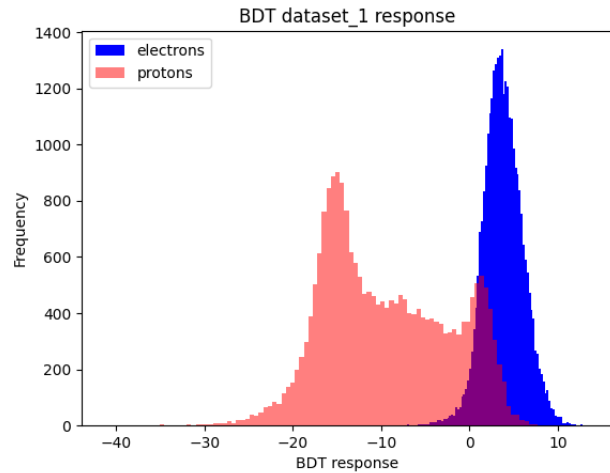
- No maximum depth
- Number of leaves: 30
- Number of estimators: 2500
- Learning rate: 0.05



# HEPD

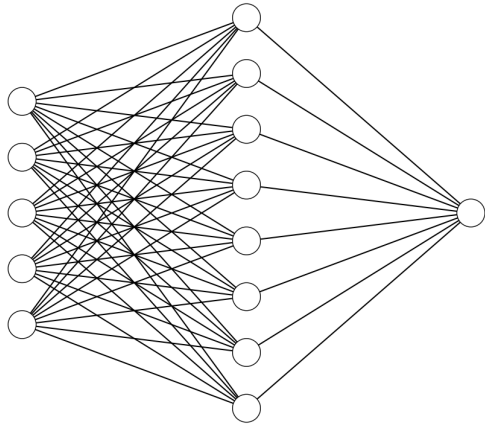
Machine learning algorithm for Protons vs Electrons classification.

BDT trained on two different datasets of different size.

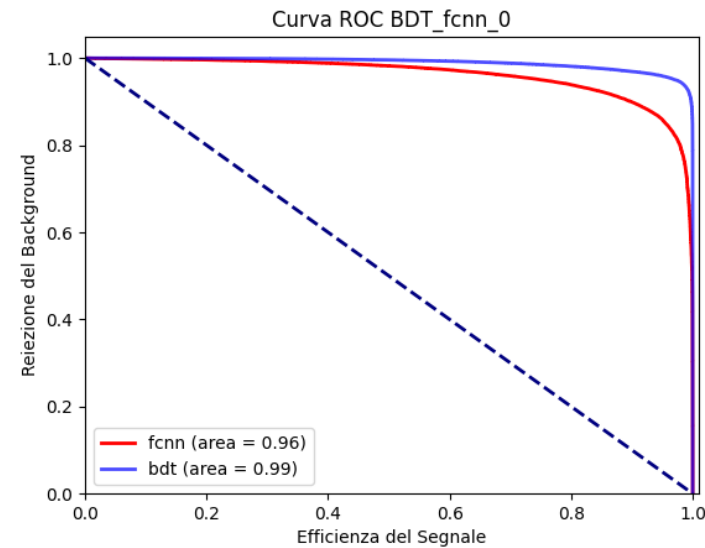
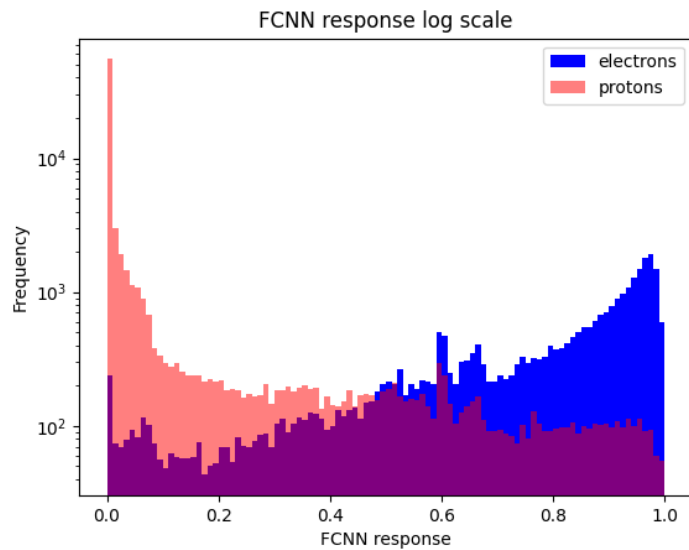


Signal Efficiency	Background Rejection
0.9	0.9690
0.75	0.9849
0.5	0.9957
0.4	0.9973

# Fully Connected Neural Network



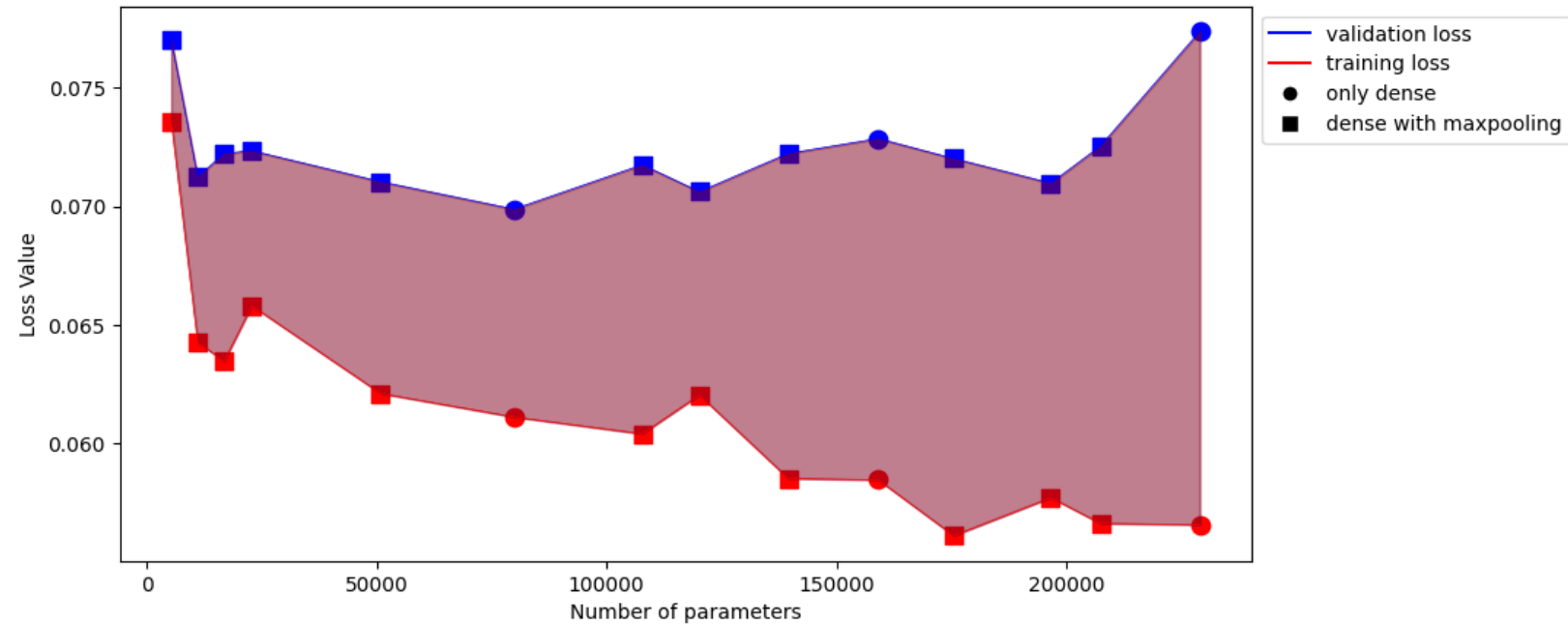
- Forty-dimensional input (31 ADC+ 9 Lyso)
- Deep fully connected neural network
- One-dimensional output, used for classification



# Systematic Study

We are looking for the best Neural Network:

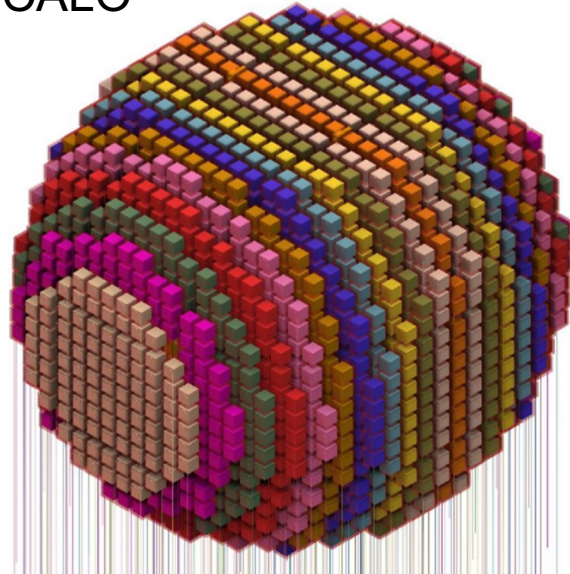
- Complex enough to classify the data
- Simply enough to avoid "overfitting"



# HERD

## Electrons/Protons

CALO

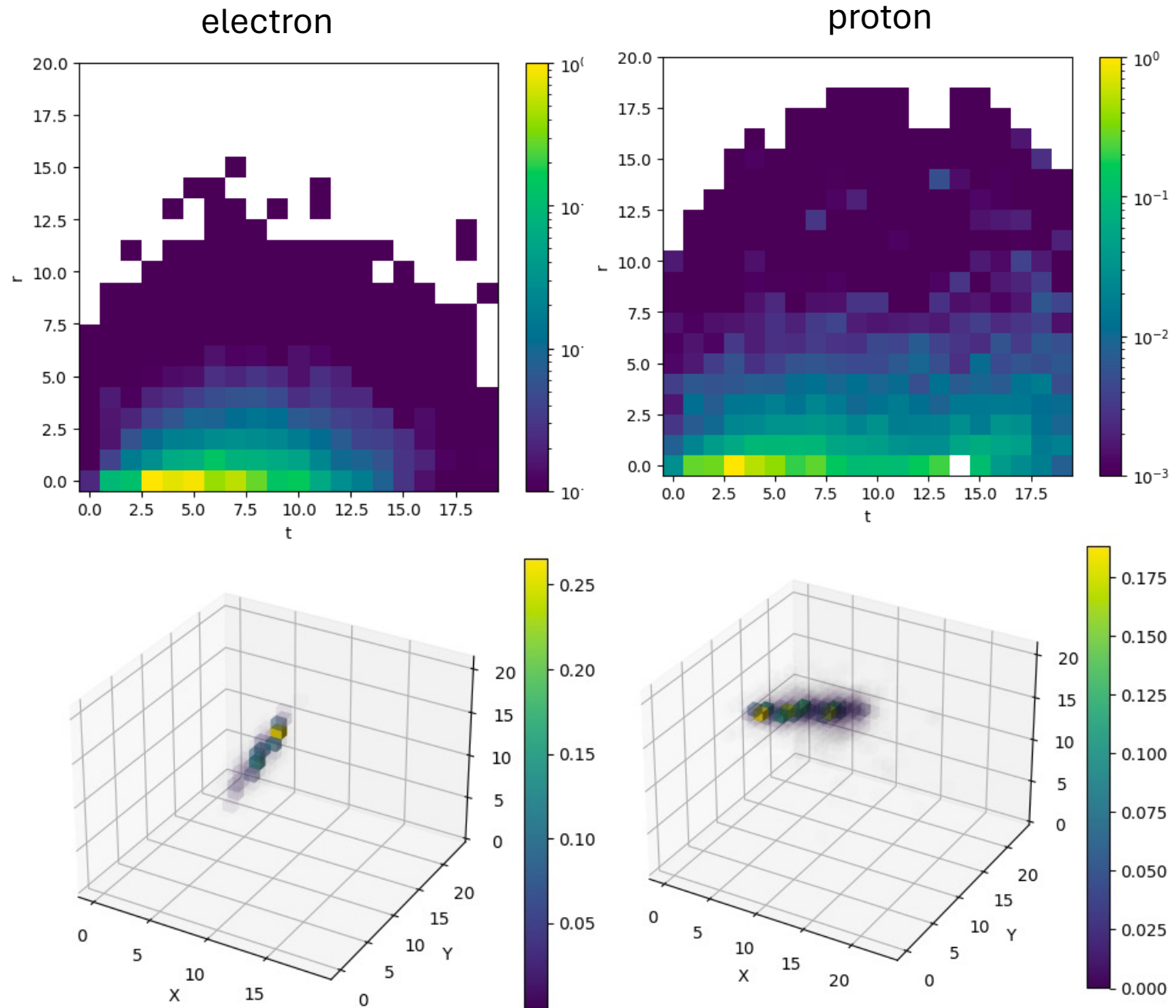


- ~7500 LYSO cubes
- 3x3x3 cm each
- spherical shape to accept events from any direction

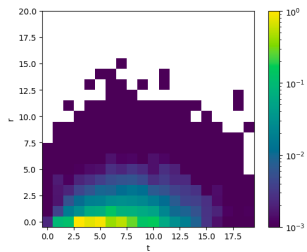
## DATASET

Proton and electron particle showers in the HERD electromagnetic calorimeter, with energies ranging from 100 GeV to 20 TeV.

- Electron events: 284515
- Proton events: 160171







input_1	input:	[(None, 20, 20, 1)]
InputLayer	output:	[(None, 20, 20, 1)]

conv2d	input:	(None, 20, 20, 1)
Conv2D	output:	(None, 20, 20, 32)

max_pooling2d	input:	(None, 20, 20, 32)
MaxPooling2D	output:	(None, 10, 10, 32)

conv2d_1	input:	(None, 10, 10, 32)
Conv2D	output:	(None, 10, 10, 64)

max_pooling2d_1	input:	(None, 10, 10, 64)
MaxPooling2D	output:	(None, 5, 5, 64)

conv2d_2	input:	(None, 5, 5, 64)
Conv2D	output:	(None, 5, 5, 64)

flatten	input:	(None, 5, 5, 64)
Flatten	output:	(None, 1600)

dense	input:	(None, 1600)
Dense	output:	(None, 64)

dense_1	input:	(None, 64)
Dense	output:	(None, 2)

## Convolutional layer

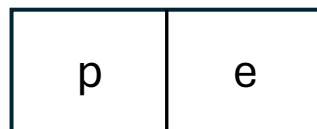
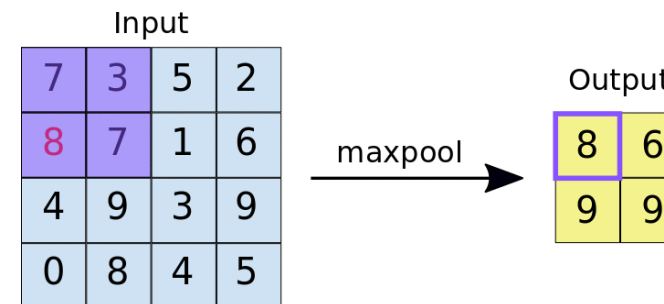
0	0	0	0	0	0	0
0	60	113	56	139	85	0
0	73	121	54	84	128	0
0	131	99	70	129	127	0
0	80	57	115	69	134	0
0	104	126	123	95	130	0
0	0	0	0	0	0	0

Kernel

0	-1	0
-1	5	-1
0	-1	0

114				

## Max pooling layer



p "protoness"  
 e "electroness"

}  
 p > e result: proton  
 p < e result: electron

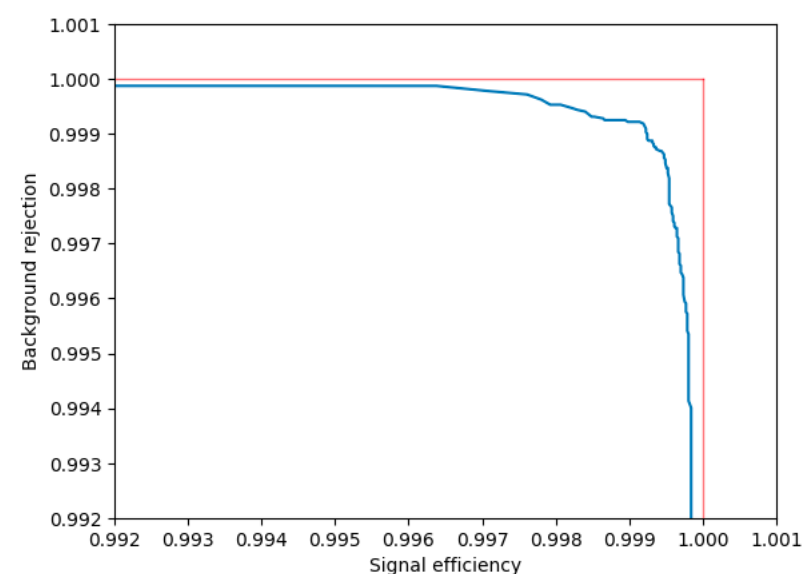
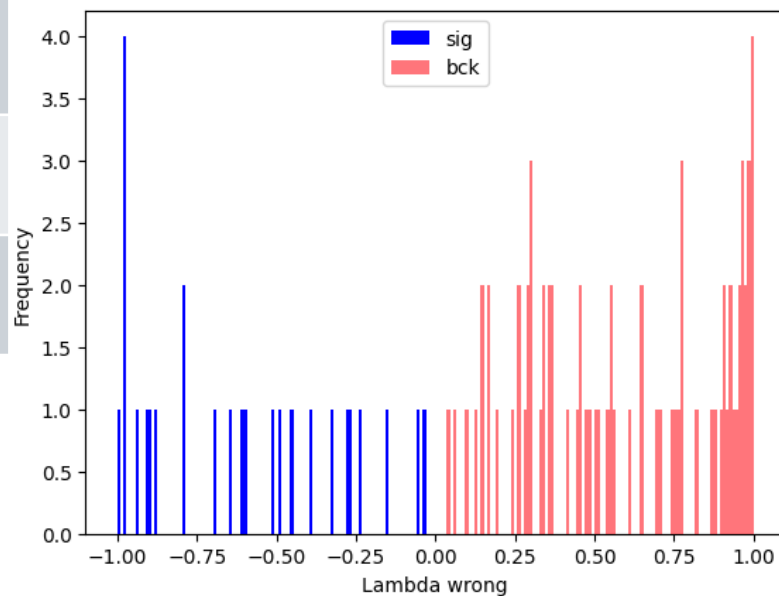
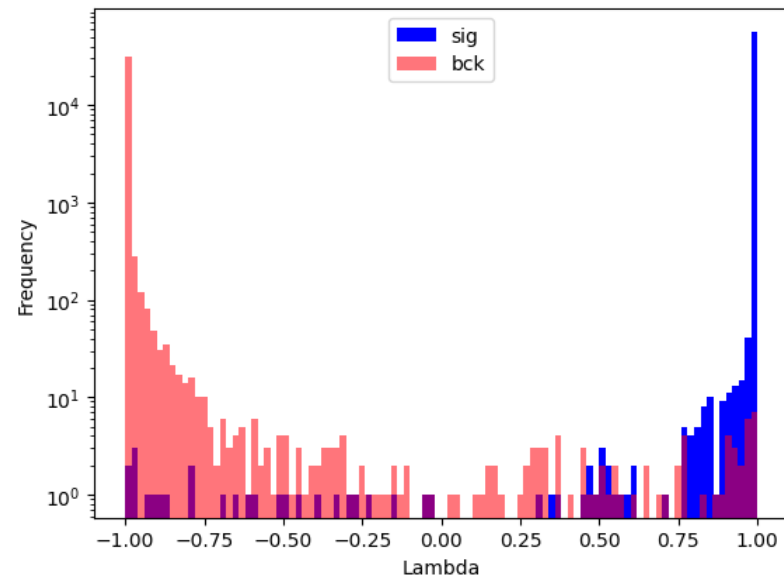
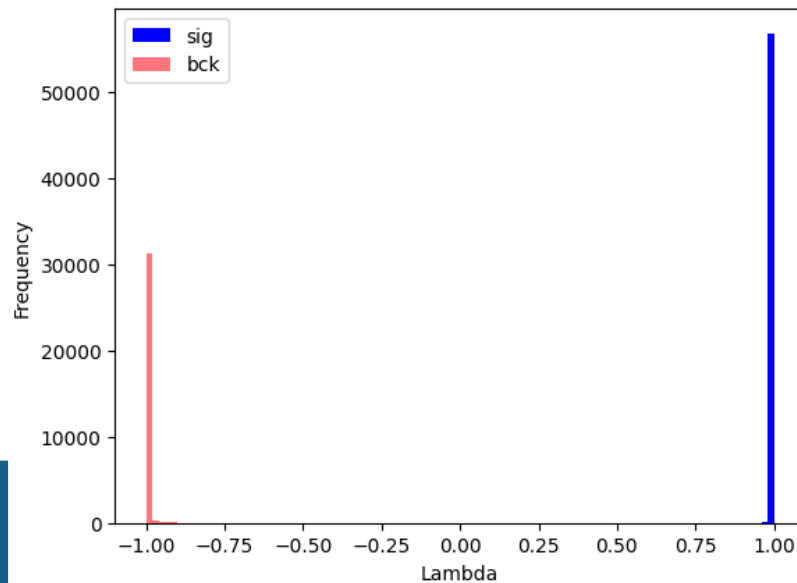
# Results

Training/Test split:

80-20 : misclassified protons  $\sim 20$  over  
32000  
( $6 * 10^{-4}$ )

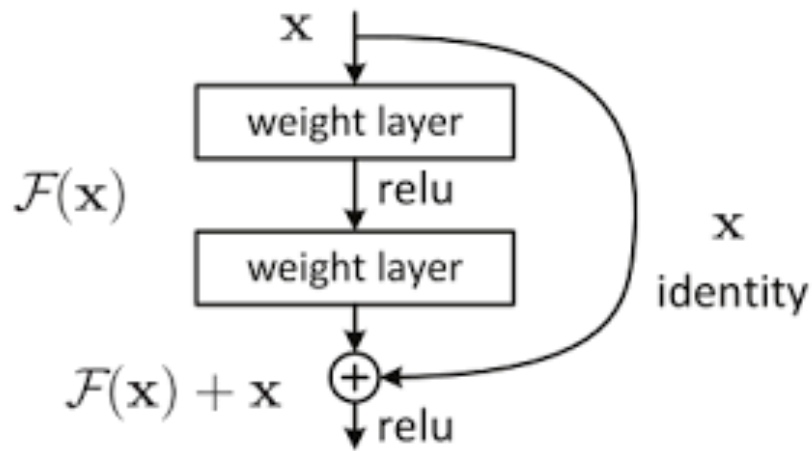
$$\lambda = \frac{e - p}{e + p}$$

Signal efficiency	Background rejection
1.0	0.9766
0.9999	0.9906
0.9898	1.0



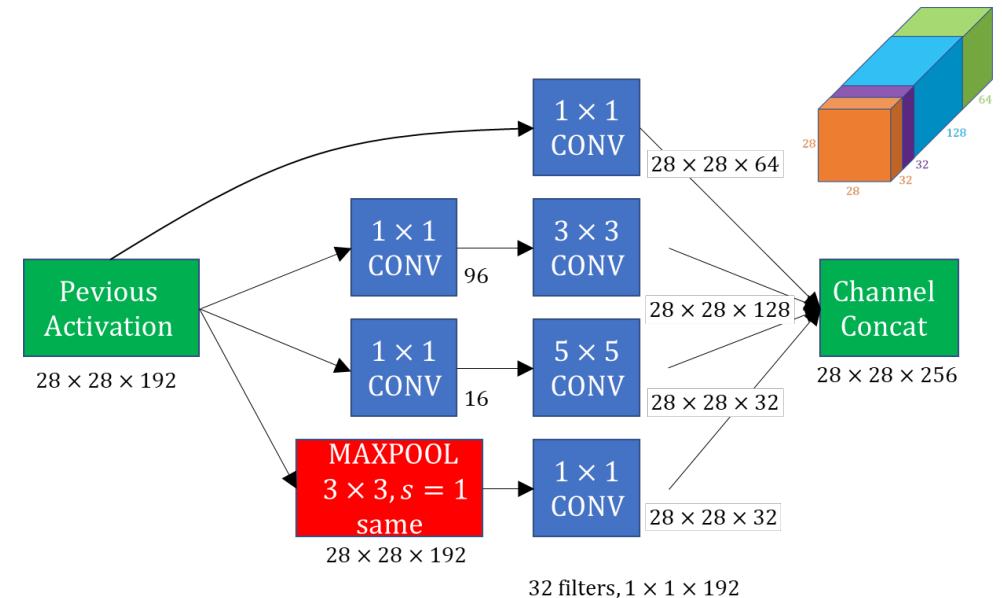
# Current developments

ResNet



Improve the training efficiency maintaining the correlation between the gradients at each step

Inception



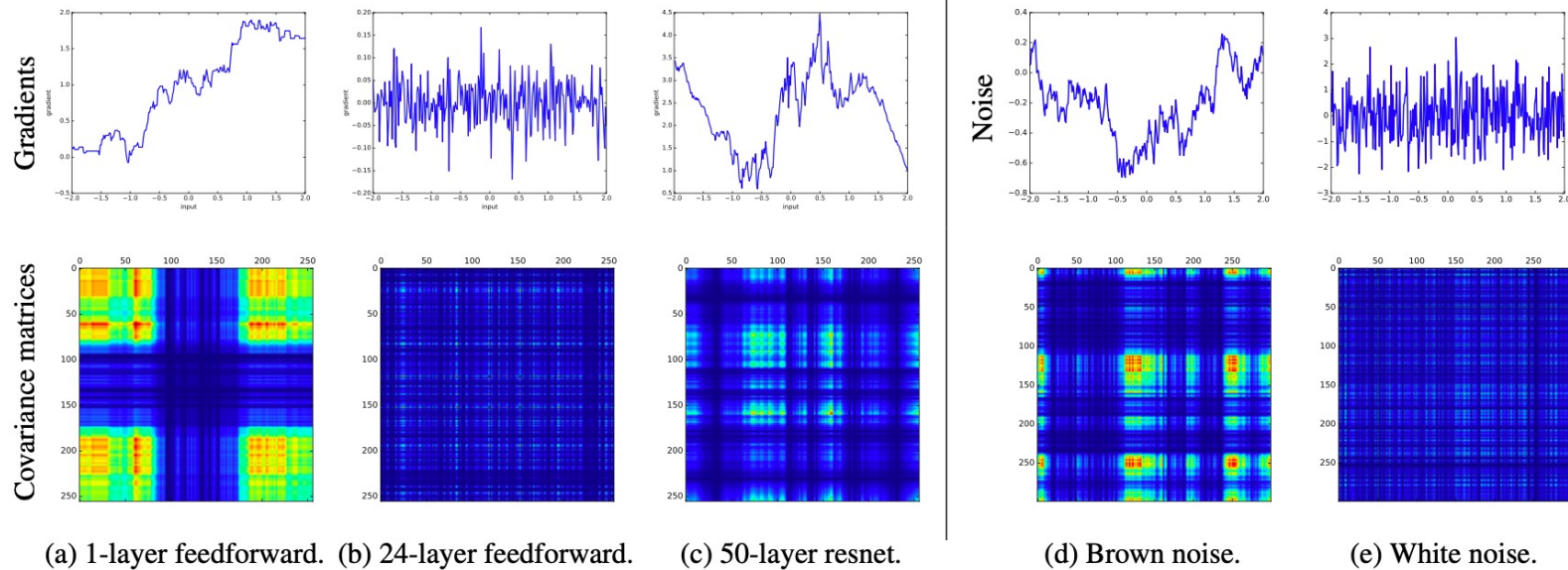
Improve the classification power by capturing the correlation of different regions of the image



# Why ResNet?

*The Shattered Gradients Problem: If resnets are the answer, then what is the question? Balduzzi et al. 1702.08591v2*

## The Shattered Gradients Problem



Keeping the gradients correlated as the depth of the network increases is crucial for efficient training and improving the classification power of complex models.

