

Measuring Gravitational Wave Backgrounds (GWBs) with LISA

Mauro Pieroni



European Organization for Nuclear Research (CERN)

mauro.pieroni@cern.ch

Gravity Shape Pisa 2024 (GraSP24)

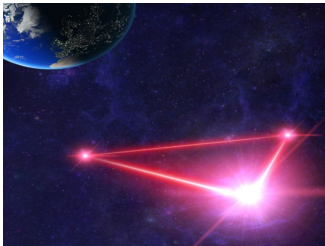
University of Pisa, Polo Fibonacci, Pisa, Italy

Wednesday 23rd October, 2024

Overview

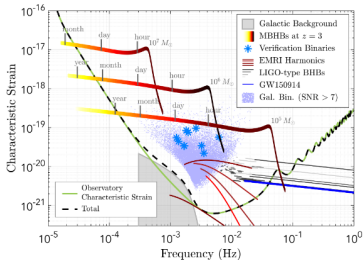
- 1 Introduction
 - GW Backgrounds (GWBs)
- 2 Astrophysical GWBs
 - Astrophysical GWB sources in the LISA band
 - Learn something new about astro
- 3 Cosmological GWBs
 - Cosmological GWB sources in the LISA band
 - Learn something new about HEP
- 4 A new idea for GWB data analysis
- 5 Conclusions and outlook

Laser Interferometer Space Antenna

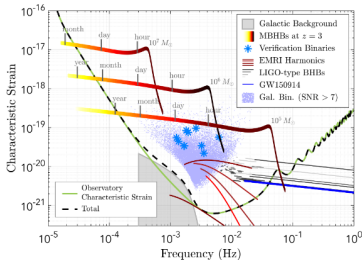
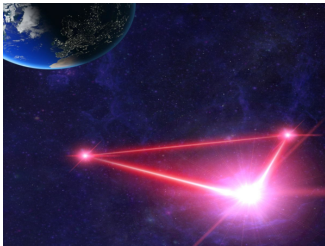


Few details on **LISA**:

- First **GW** interferometer **in space**
- Constellation of three satellites
- **2.5 million km** arm lengths
- Peak sensitivity $10^{-2} \div 10^{-3}$ Hz
- Three correlated detectors
- Expected launch in **2034**
- Operating for **4yrs (nominal)**



Laser Interferometer Space Antenna



Few details on **LISA**:

- First **GW** interferometer **in space**
- Constellation of three satellites
- **2.5 million km** arm lengths
- Peak sensitivity $10^{-2} \div 10^{-3}$ Hz
- Three correlated detectors
- Expected launch in **2034**
- Operating for **4yrs (nominal)**

Very interesting for cosmology since we can (among others):

- Measure H_0
- Test modified gravity
- **(Hopefully) detect and characterize GWBs!**

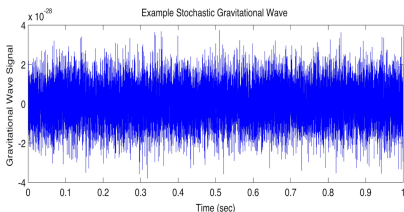
* Figures from:

<https://www.lisamission.org/multimedia/image/lisa-astro2020>
LISA Collaboration, P. Amaro-Seoane et al., ArXiv: 1702.00786

GWBs detection and characterization

GWBs are:

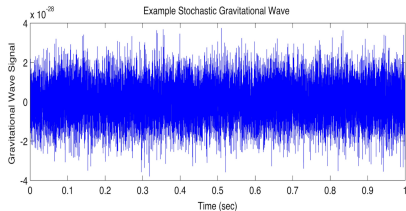
- Stochastic signals from the whole sky
- Signals with no phase coherency
- Of **cosmological or astrophysical** origin
- Invaluable source of information (**HEP!**)
- A **target for all future detectors**



GWBs detection and characterization

GWBs are:

- Stochastic signals from the whole sky
- Signals with no phase coherency
- Of **cosmological or astrophysical** origin
- Invaluable source of information (**HEP!**)
- A **target for all future detectors**



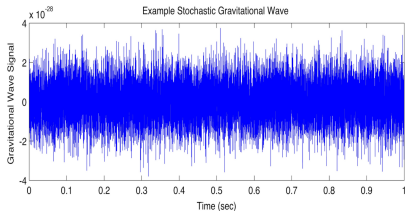
Detection prospects?

- At least two GWB components (sBHBs and CGBs) are guaranteed signals for LISA!
- News from LVK + future Earth-based interferometers (LIGO-India, ET, CE, ...)??
- Hints of GWB detection from millisecond pulsars timing experiments ...

GWBs detection and characterization

GWBs are:

- Stochastic signals from the whole sky
- Signals with no phase coherency
- Of **cosmological or astrophysical** origin
- Invaluable source of information (**HEP!**)
- A **target for all future detectors**



Detection prospects?

- At least two GWB components (sBHBs and CGBs) are guaranteed signals for LISA!
- News from LVK + future Earth-based interferometers (LIGO-India, ET, CE, ...)??
- Hints of GWB detection from millisecond pulsars timing experiments ...

Few **characteristics**
to classify GWBs:



- **Isotropy / Anisotropy**
- **Stationary / Non-stationary**
- **Polarized / Unpolarized**
- **Statistical properties**
- **Frequency shape**

Some general ingredients

$$\text{Data } \tilde{d} \text{ (in frequency space)} \longrightarrow \tilde{d} = \tilde{s} + \tilde{n}$$

- For individual sources $\langle \tilde{s} \rangle \neq 0$
- For GWBs $\langle \tilde{s} \rangle = 0$
- For noise $\langle \tilde{n} \rangle = 0$

$$\text{For an isotropic GWB} \longrightarrow \langle h_\lambda(\vec{k}) h_{\lambda'}^*(\vec{k}') \rangle \propto \delta_{\lambda\lambda'} P_h^\lambda(k) \delta(\vec{k} - \vec{k}')$$

Assuming $\langle \tilde{s}\tilde{n} \rangle = 0$ and Gaussian signal and noise

$$\langle \tilde{d}^2 \rangle = \langle \tilde{s}^2 \rangle + \langle \tilde{n}^2 \rangle = \sum_\lambda \mathcal{R}_\lambda P_h^\lambda + N \equiv \mathcal{R} [P_h + S_n]$$

where we have introduced

- The (quadratic) response function of the instrument \mathcal{R}
- The (intensity of the) signal power spectrum P_h (in 1/Hz)
- The noise power spectrum N (in 1/Hz)
- The (square of the) Strain sensitivity S_n (in 1/Hz)

Some general ingredients

$$\text{Data } \tilde{d} \text{ (in frequency space)} \longrightarrow \tilde{d} = \tilde{s} + \tilde{n}$$

- For individual sources $\langle \tilde{s} \rangle \neq 0$
- For GWBs $\langle \tilde{s} \rangle = 0$
- For noise $\langle \tilde{n} \rangle = 0$

$$\text{For an isotropic GWB} \longrightarrow \langle h_\lambda(\vec{k}) h_{\lambda'}^*(\vec{k}') \rangle \propto \delta_{\lambda\lambda'} P_h^\lambda(k) \delta(\vec{k} - \vec{k}')$$

Assuming $\langle \tilde{s}\tilde{n} \rangle = 0$ and Gaussian signal and noise

$$\langle \tilde{d}^2 \rangle = \langle \tilde{s}^2 \rangle + \langle \tilde{n}^2 \rangle = \sum_{\lambda} \mathcal{R}_{\lambda} P_h^{\lambda} + N \equiv \mathcal{R} [P_h + S_n]$$

where we have introduced

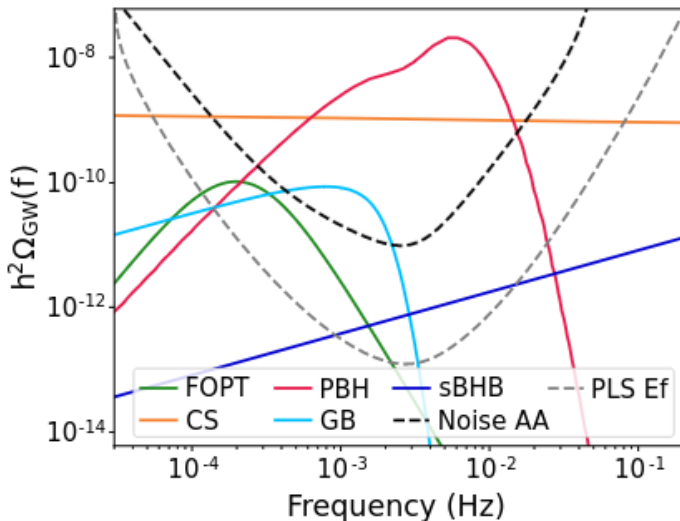
- The (quadratic) response function of the instrument \mathcal{R}
- The (intensity of the) signal power spectrum P_h (in 1/Hz)
- The noise power spectrum N (in 1/Hz)
- The (square of the) Strain sensitivity S_n (in 1/Hz)

In order to compare with cosmological predictions it's customary to introduce

$$\Omega_{\text{GW}} \equiv \frac{1}{3H_0^2 M_p^2} \frac{\partial \rho_{\text{GW}}}{\partial \ln f} = \frac{4\pi^2}{3H_0^2} f^3 P_h \quad \text{and} \quad \Omega_n(f) = \frac{4\pi^2}{3H_0^2} f^3 S_n(f),$$

where $H_0 \simeq h_0 \times 3.24 \times 10^{-18}$ Hz is the Hubble parameter today.

Sources of GWBs in the LISA



* Figure from M. Colpi et al., ArXiv:2402.07571

Estimating the GWB from an astro population

First option: analytical estimation (see E.S. Phinney, ArXiv:astro-ph/0108028).

The **total energy** of the GWB can be computed as:

$$\frac{\rho_{\text{GWB}}^{(\text{tot})}}{\rho_c} = \int_0^\infty \frac{df}{f} \Omega_{\text{GWB}}(f) = \int d\xi \int dV_c \int d\tau_c \frac{d^3 N(z, \tau_c, \xi, \theta)}{d\xi dV_c d\tau_c} \frac{\rho_{\text{GW}}^{(\text{event})}}{\rho_c},$$

where ξ are the **source parameters**, θ the **population hyper-parameters**.

Estimating the GWB from an astro population

First option: analytical estimation (see E.S. Phinney, ArXiv:astro-ph/0108028).

The **total energy** of the GWB can be computed as:

$$\frac{\rho_{\text{GWB}}^{(\text{tot})}}{\rho_c} = \int_0^\infty \frac{df}{f} \Omega_{\text{GWB}}(f) = \int d\xi \int dV_c \int d\tau_c \frac{d^3 N(z, \tau_c, \xi, \theta)}{d\xi dV_c d\tau_c} \frac{\rho_{\text{GW}}^{(\text{event})}}{\rho_c},$$

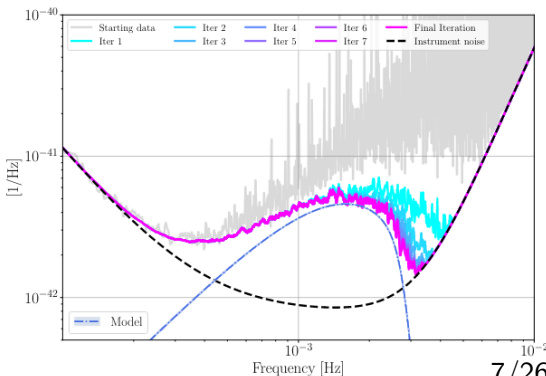
where ξ are the **source parameters**, θ the **population hyper-parameters**.

Second option: iterative method

- 1 Get the whole data set including noise + signal from all the sources
- 2 Smooth it (using running mean or median) and compute the SNR of each source in the catalog
- 3 Remove high SNR sources (given some threshold) and go back to point until convergence is reached

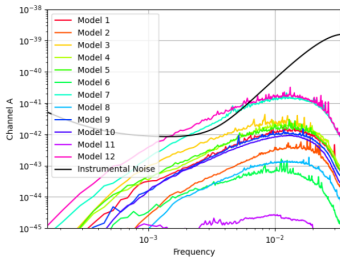
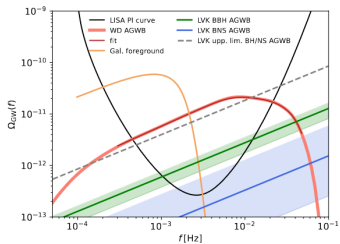
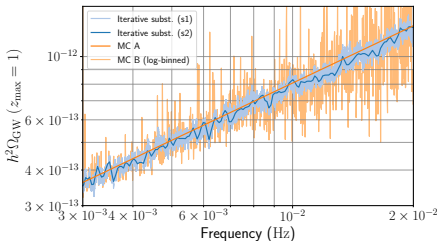
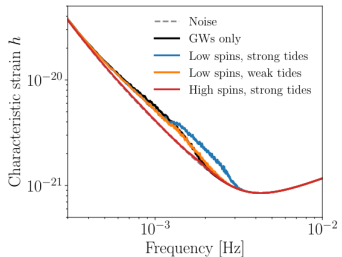
N. Karnesis et al.,

Phys.Rev.D 104 (2021) 4, 043019, ArXiv:2103.14598.



Astrophysical GWB sources in the LISA band

Several astrophysical populations might source GWBs



* Figures from A. Toubiana et al. 2403.16867.

S. Babak et al., *JCAP 08 (2023) 034*, ArXiv:2304.06368.

S. Staelens et al. *Astron.Astrophys. 683 (2024) A139*, ArXiv:2310.19448.

F. Pozzoli et al. *Phys.Rev.D 108 (2023) 10, 103039*, ArXiv:2302.07043.

Learn something new about astro

LVK populations and general properties of the catalogs

sBHB catalogs require:

- Time-to-coalescence
- Sky localization
- Inclination / orientation
- Initial phase
- Redshift distribution
- Mass function
- Spin distribution

Learn something new about astro

LVK populations and general properties of the catalogs

sBHB catalogs require:

- Time-to-coalescence
- Sky localization
- Inclination / orientation
- Initial phase
- Redshift distribution
- Mass function
- Spin distribution

Populations are provided by LVK!

Parameter	Prior
Time-to-coalescence (source frame)	$U[0, \tau_{c,\max}^{(\text{det})}/(1+z)]$ yrs
Ecliptic Longitude	$U[0, 2\pi]$ rad
Ecliptic Latitude	$\arcsin(U[-1, 1])$ rad
Inclination	$\arccos(U[-1, 1])$ rad
Polarization	$U[0, 2\pi]$ rad
Initial Phase	$U[0, 2\pi]$ rad

Rate of events $R(z)$	Mass distribution	Spin distribution
$R_{0.2} = 28.1 \text{ Gpc}^{-3} \text{ yrs}^{-1}$ $\kappa = 2.7$ $z_{\text{peak}} = 2.04$ $r = 3.6$	$[m_{\min}, m_{\max}] \in [2.5, 100] M_{\odot}$ $\delta_{\min} = 7.8 M_{\odot}$ $\alpha = 3.4$ $\lambda_{\text{peak}} = 0.039$ $\mu_m = 34 M_{\odot}$ $\sigma_m = 5.1 M_{\odot}$ $\beta_q = 1.1$	$E[a] = 0.25$ $\text{Var}[a] = 0.03$ $\zeta = 0.66$ $\sigma_t = 1.5$

Learn something new about astro

Redshift distribution

LVK $\rightarrow R(z) \propto R_0(1+z)^\kappa$, but observations constrain only at low z ($\lesssim 1$)!

To fix the behavior for $z \gtrsim 1$ we assume sBHBs track the **Star Formation Rate**:

$$R_{\text{SFR}}(z) \propto R_0(1+z)^\kappa / \left[1 + \frac{\kappa}{r} \left(\frac{1+z}{1+z_{\text{peak}}} \right)^{\kappa+r} \right]$$

Learn something new about astro

Redshift distribution

LVK $\rightarrow R(z) \propto R_0(1+z)^\kappa$, but observations constrain only at low z ($\lesssim 1$)!

To fix the behavior for $z \gtrsim 1$ we assume sBHBs track the **Star Formation Rate**:

$$R_{\text{SFR}}(z) \propto R_0(1+z)^\kappa / \left[1 + \frac{\kappa}{r} \left(\frac{1+z}{1+z_{\text{peak}}} \right)^{\kappa+r} \right]$$

Including delay between formation and merger $\rightarrow R(z) = \int_{t_d, \text{min}}^{t_d, \text{max}} R_{\text{SFR}}(t(z) + t_d) p(t_d) dt_d$

Learn something new about astro

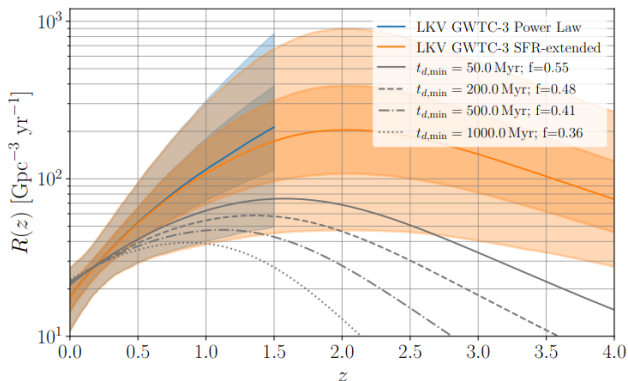
Redshift distribution

LVK $\rightarrow R(z) \propto R_0(1+z)^\kappa$, but observations constrain only at low z ($\lesssim 1$)!

To fix the behavior for $z \gtrsim 1$ we assume sBHBs track the **Star Formation Rate**:

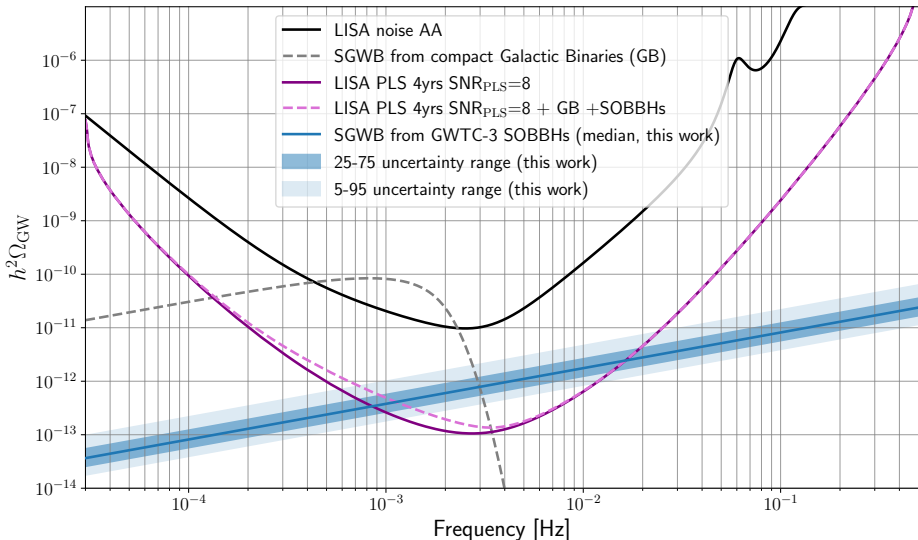
$$R_{\text{SFR}}(z) \propto R_0(1+z)^\kappa / \left[1 + \frac{\kappa}{r} \left(\frac{1+z}{1+z_{\text{peak}}} \right)^{\kappa+r} \right]$$

Including delay between formation and merger $\rightarrow R(z) = \int_{t_{d,\min}}^{t_{d,\max}} R_{\text{SFR}}(t(z) + t_d) p(t_d) dt_d$



Learn something new about astro

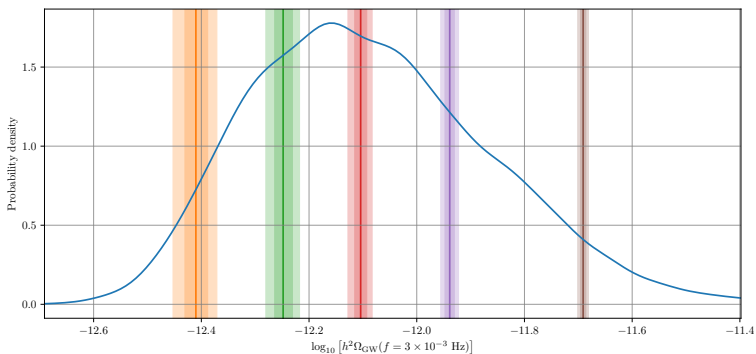
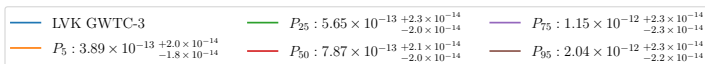
SGWB detectability and reconstruction with LISA



Learn something new about astro

Comparison with LVK measurements

How much does the determination of the SGWB amplitude improve?



The posterior shrinks by \sim one order of magnitude!

S. Babak et al., *JCAP 08 (2023) 034*, ArXiv:2304.06368.

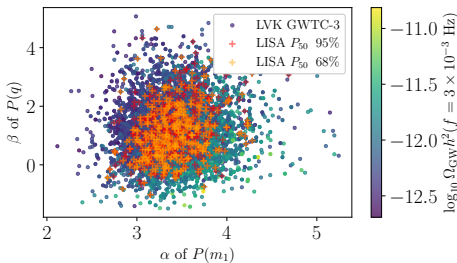
Learn something new about astro

Complementarity with LVK measurements

Improvement in the determination
of the **mass parameters**

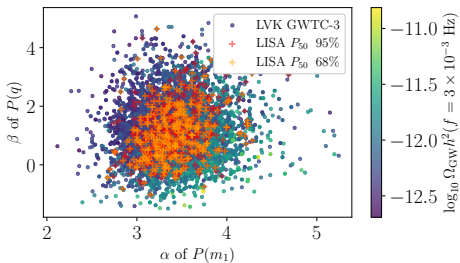
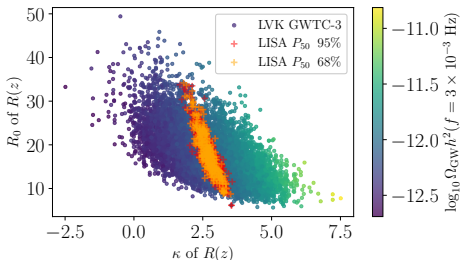


The posterior distribution
shrinks significantly



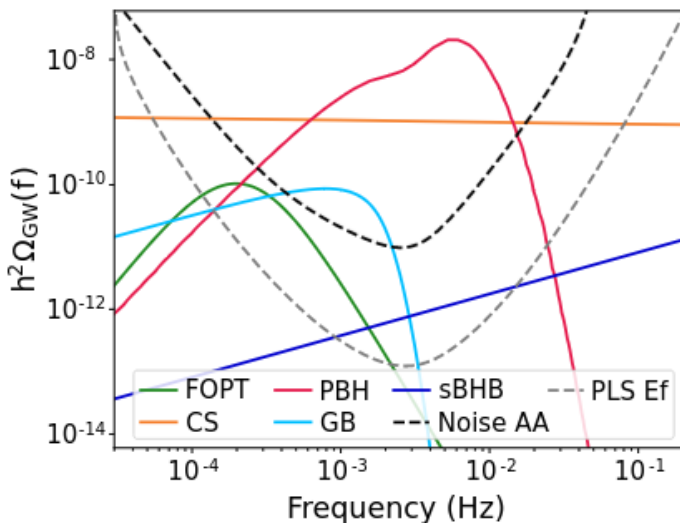
Learn something new about astro

Complementarity with LVK measurements

Improvement in the determination
of the **mass parameters**The posterior distribution
shrinks significantlyImprovement in the determination
of the **redshift parameters**Different degeneracy and
very accurate determination of κ 

Cosmological GWB sources in the LISA band

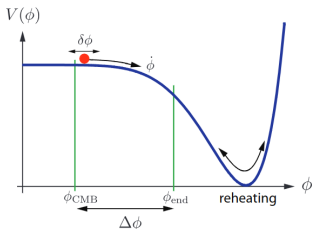
Sources of GWBs in the LISA



* Figure from M. Colpi et al., ArXiv:2402.07571

Inflation

The minimal realization of inflation: \rightarrow

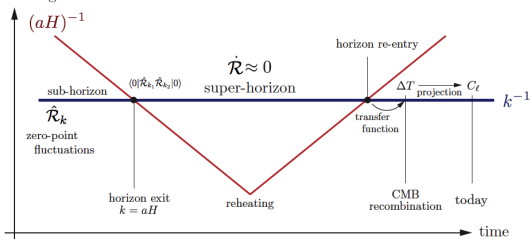


GWs from slow-roll inflation are too feeble, but things change dramatically in **non-minimal scenarios**: \rightarrow

$$S = \int d^4x \sqrt{-g} \left(\frac{R}{2\kappa^2} + \frac{\dot{\phi}^2}{2} - V(\phi) \right),$$

$$3H^2 = \frac{\dot{\phi}^2}{2} + V(\phi) \quad -2\dot{H} = \dot{\phi}^2 \quad (\text{where } H \equiv \frac{\dot{a}}{a})$$

comoving scales

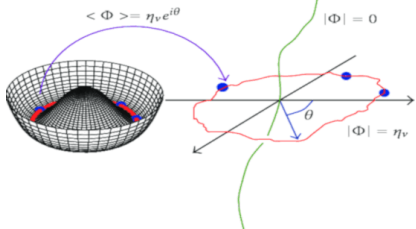


- Axion inflation: $\mathcal{L} \supset \frac{\alpha}{4\lambda} \phi F \tilde{F}$
- Spectator fields: $\mathcal{L} \supset P(\dot{\sigma}, \sigma)$
- Symmetry breaking: $m_h \neq 0$
- ...

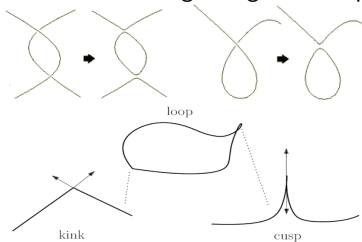
* Figures from D. Baumann, ArXiv:0907.5424
For models, see, e.g., N. Bartolo et al., *JCAP 12 (2016) 026*, ArXiv:1610.06481 or
LISA Cosmology Working Group, ArXiv:2405.03740.

Cosmic Strings

CS might form in the early Universe



Evolution turn long strings into loops

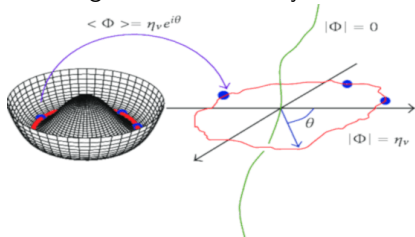


GWs from CS form a (loud?) **GWB** (and also produce bursts)!

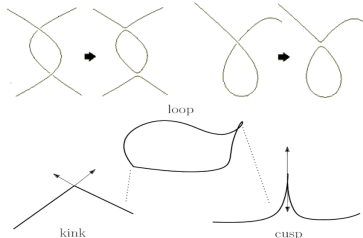
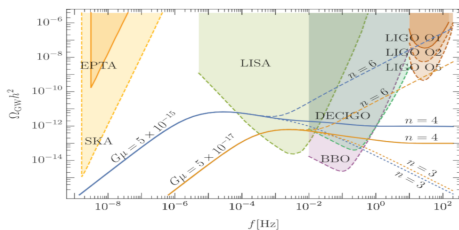
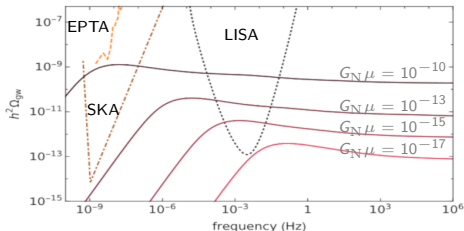
Cosmological GWB sources in the LISA band

Cosmic Strings

CS might form in the early Universe



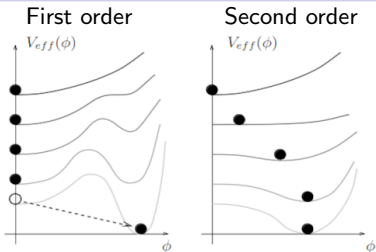
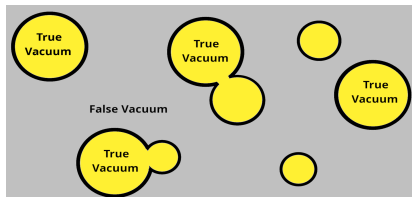
Evolution turn long strings into loops

GWs from CS form a (loud?) **GWB** (and also produce bursts)!

* Figures from Ringeval, *Adv.Astron.* 2010 (2010) 380507, ArXiv:1005.4842, Shellard and Vilenkin 1994, Gouttenoire, Servant and Simakachorn *JCAP* 07 (2020) 032, ArXiv:1912.02569, Auclair et al. *JCAP* 04 (2020) 034, ArXiv:1909.00819, Cui, et al. *Phys.Rev.D* 97 (2018) 12, 123505, ArXiv:1711.03104.

Cosmological GWB sources in the LISA band

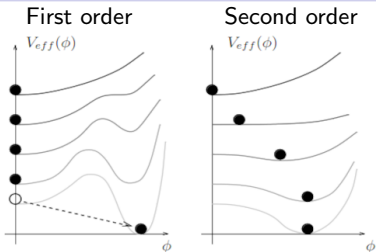
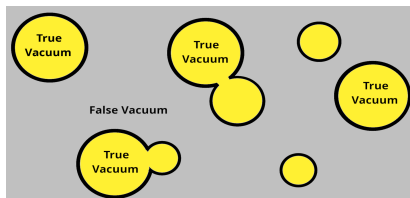
First order phase transitions

FOPT \rightarrow Bubble nucleation

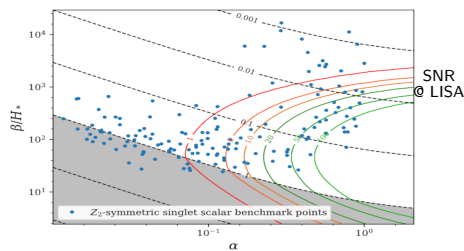
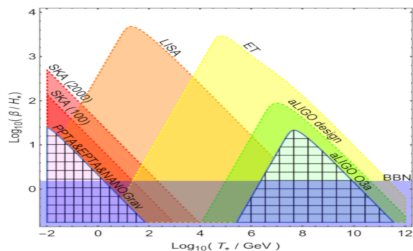
Bubble collisions, sound waves in plasma, and MHD turbulence contribute to GWB!

Cosmological GWB sources in the LISA band

First order phase transitions

FOPT \rightarrow Bubble nucleation

Bubble collisions, sound waves in plasma, and MHD turbulence contribute to GWB!
In SM both EW and QCD PTs should be **second order** \Rightarrow **Detection implies BSM!**



* Figures from Rubakov ArXiv:1804.11230, Caprini et al., *JCAP 03 (2020) 024*, ArXiv:1910.13125, Auclair et al. *Living Rev.Rel.* 26 (2023) 1, 5, ArXiv:2204.05434

Learn something new about HEP

Forecasting LISA constraints I

Choose a template



Get forecasts (e.g., using Fisher
Information Matrix (FIM)) on
the **template parameters**



Convert in constraints on
model parameter



Forecast **constrains**
on **fundamental physics!**

Learn something new about HEP

Forecasting LISA constraints I

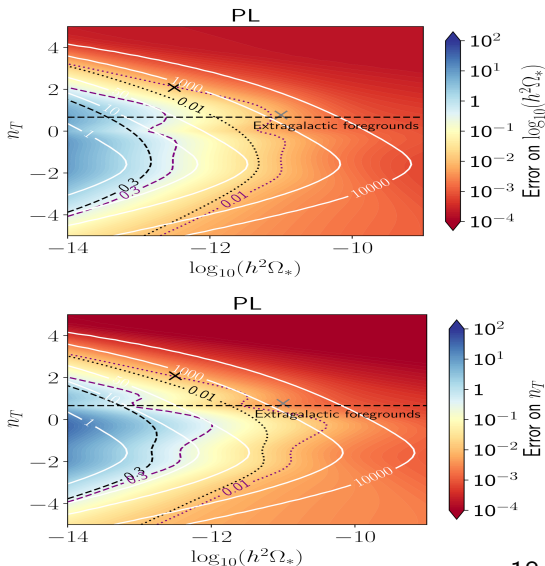
Choose a template

Get forecasts (e.g., using Fisher Information Matrix (FIM)) on the **template parameters**

Convert in constraints on model parameter

Forecast **constrains** on **fundamental physics!**Example: a **power-law**

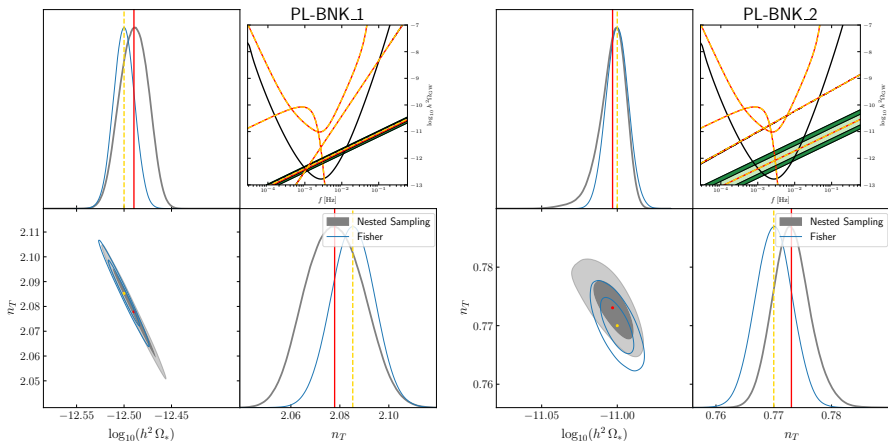
$$\Omega_{\text{GW}} h^2 = 10^{\log_{10}(h^2 \Omega_*)} \left(\frac{f}{f_*} \right)^{n_T}$$



Learn something new about HEP

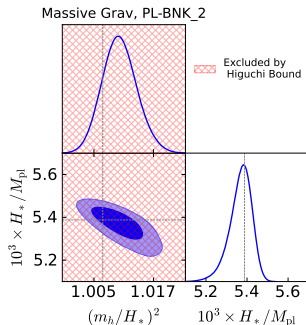
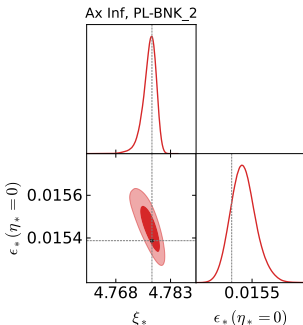
Forecasting LISA constraints II

Validate Fisher with some more realistic data analysis pipeline e.g, SGWBinner

(see C. Caprini et al. *JCAP 11 (2019) 017*, ArXiv:1906.09244.R. Flauger et al. *JCAP 01 (2021) 059*, ArXiv:2009.11845.)

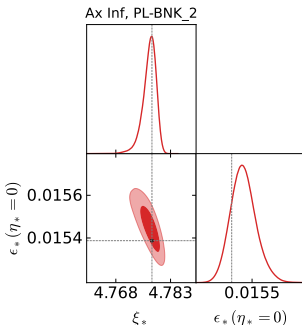
Learn something new about HEP

Forecasting LISA constraints III

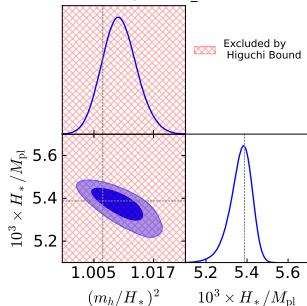
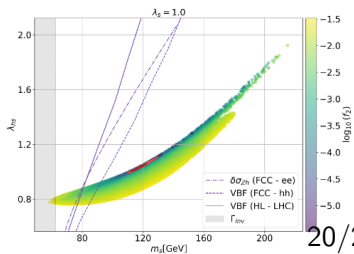
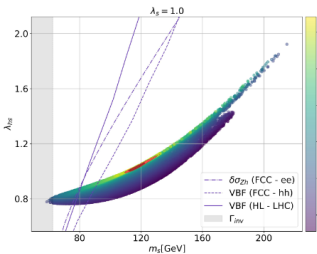
Again PL
(from inflation)LISA Cosmology
Working Group,
ArXiv:2405.03740.

Learn something new about HEP

Forecasting LISA constraints III

Again PL
(from inflation)LISA Cosmology
Working Group,
ArXiv:2405.03740.

Massive Grav, PL-BNK_2

Or a BPL
(from FOPTs)LISA Cosmology
Working Group,
ArXiv:2403.03723.

ML for GWB data analysis

Traditional methods (MCMC, nested sampling, whatever) are quite efficient and guaranteed to converge (in some cases)

but

scale poorly with number of parameters and require explicit likelihoods

Can alternative approaches perform better in some cases?

ML for GWB data analysis

Traditional methods (MCMC, nested sampling, whatever) are quite efficient and guaranteed to converge (in some cases)

but

scale poorly with number of parameters and require explicit likelihoods

Can alternative approaches perform better in some cases?

Normally, with Bayesian inference, we try to study the posterior probability:

$$p(\theta|d) = \frac{p(d|\theta) \pi(\theta)}{p(d)} \equiv r(d, \theta) \pi(\theta),$$

where we have introduced:

$$r(d, \theta) \equiv \frac{p(d|\theta)}{p(d)} = \frac{p(\theta|d)}{\pi(\theta)} = \frac{p(\theta, d)}{p(d) \pi(\theta)},$$

i.e., $r(d, \theta)$ is the ratio between joint probability and marginal probability.

Given a pair (θ, d) , $r(d, \theta)$ can be used to assess whether θ can generate d !

ML for GWB data analysis

Traditional methods (MCMC, nested sampling, whatever) are quite efficient and guaranteed to converge (in some cases)

but

scale poorly with number of parameters and require explicit likelihoods

Can alternative approaches perform better in some cases?

Normally, with Bayesian inference, we try to study the posterior probability:

$$p(\theta|d) = \frac{p(d|\theta) \pi(\theta)}{p(d)} \equiv r(d, \theta) \pi(\theta),$$

where we have introduced:

$$r(d, \theta) \equiv \frac{p(d|\theta)}{p(d)} = \frac{p(\theta|d)}{\pi(\theta)} = \frac{p(\theta, d)}{p(d) \pi(\theta)},$$

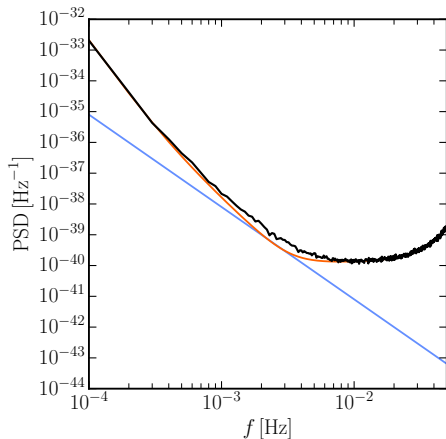
i.e., $r(d, \theta)$ is the ratio between joint probability and marginal probability.

Given a pair (θ, d) , $r(d, \theta)$ can be used to assess whether θ can generate d !

This can be cast in a minimization problem that **can be solved with ML** the approach is typically referred to as **Neural Ratio Estimation (NRE)** (basically build a classifier to say whether θ, d are joint or marginal...).

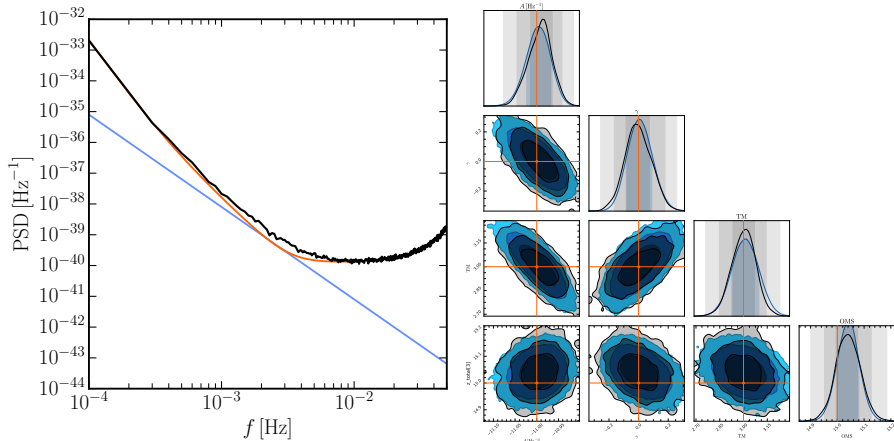
Recover previous results I ...

Assume we inject a power law signal:
Can we recover it with the same level of accuracy?



Recover previous results I ...

Assume we inject a power law signal:
Can we recover it with the same level of accuracy?



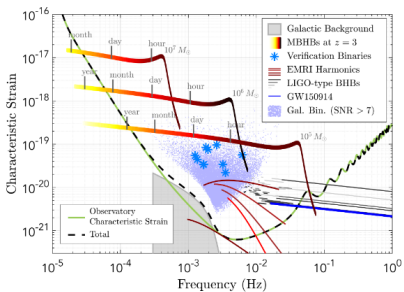
Good news!

James Alvey et al., Phys. Rev. D 109 (2024) 083008, ArXiv:2309.07954.

Code available at <https://github.com/PEREGRINE-GW/saqqara/>

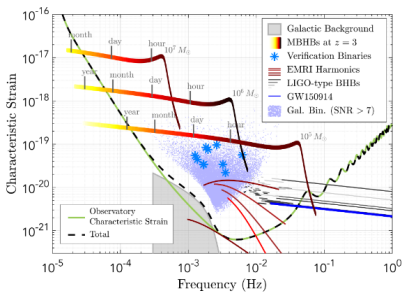
... plus something completely new!

What if there's something else **beyond**
GWB and noise?



... plus something completely new!

What if there's something else **beyond**
GWB and noise?

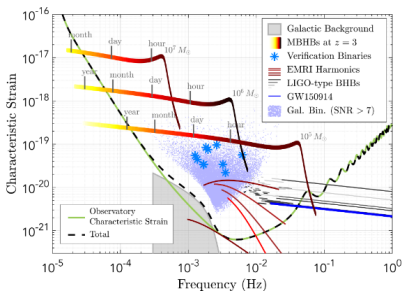


For example, assume some sources slightly below the threshold for detection are randomly injected.

Would this still work??

... plus something completely new!

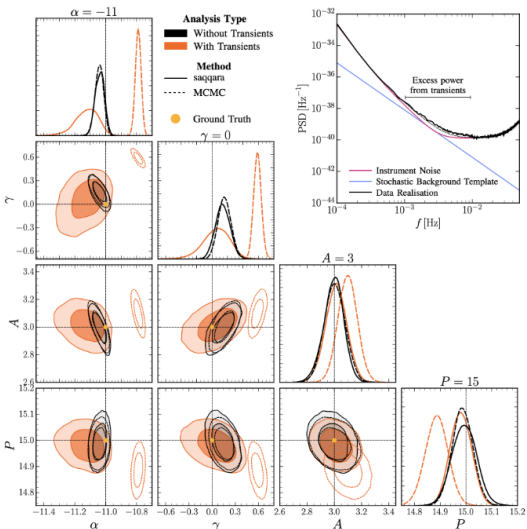
What if there's something else **beyond**
GWB and noise?



For example, assume some sources slightly below the threshold for detection are randomly injected.

Would this still work??

Yes!!



What about noise non-stationarities?

The **noise won't be stationary** for the whole mission duration ...

How does this impact the signal parameters reconstruction?

What about noise non-stationarities?

The **noise won't be stationary** for the whole mission duration ...

How does this impact the signal parameters reconstruction?

A **strategy** to answer this question:

- 1 Cut the data into shorter segments (where stationarity holds)
- 2 Analyze segment-by-segment
- 3 Combine the results

What about noise non-stationarities?

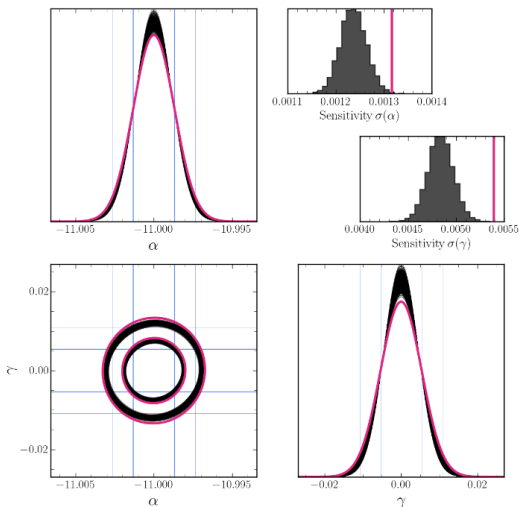
The **noise won't be stationary** for the whole mission duration ...

How does this impact the signal parameters reconstruction?

A **strategy** to answer this question:

- 1 Cut the data into shorter segments (where stationarity holds)
- 2 Analyze segment-by-segment
- 3 Combine the results

Looks like you actually do better!



Conclusions and outlook

Some general conclusions:

- **GWBs** are quite interesting sources for LISA
- **GWBs** of astrophysical origin → info on astro populations
- **GWBs** of cosmological origin → new window on **BSM!**

New ideas and tools will be necessary:

- Identification of **“smoking-gun” observables**
(chirality, anisotropy, time modulations, statistical properties, ...)
- Data analysis techniques to fully exploit the data
- **Cross-correlations** with other probes (CMB, LSS, ...?)

The end

Thank you for your attention