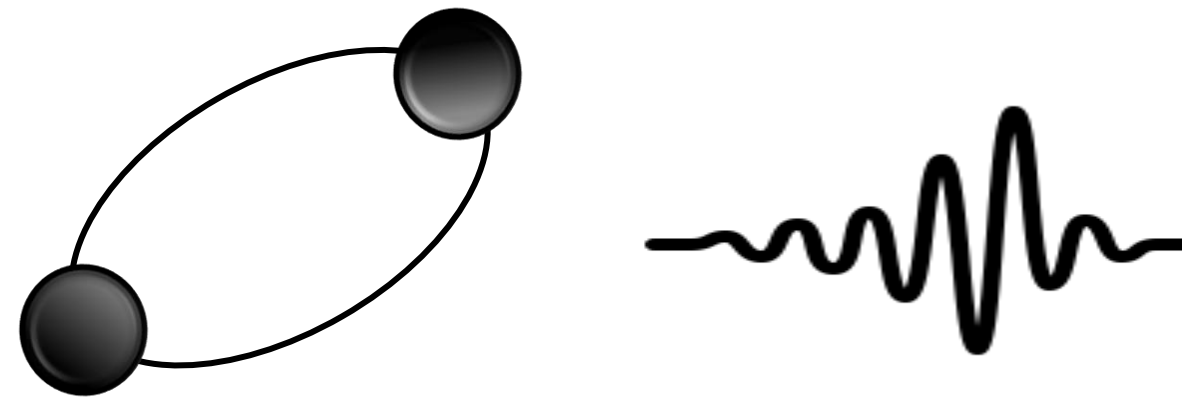




Can we identify primordial black holes?

Analysis and physical implications of candidate subsolar gravitational-wave events



Francesco Crescimbeni

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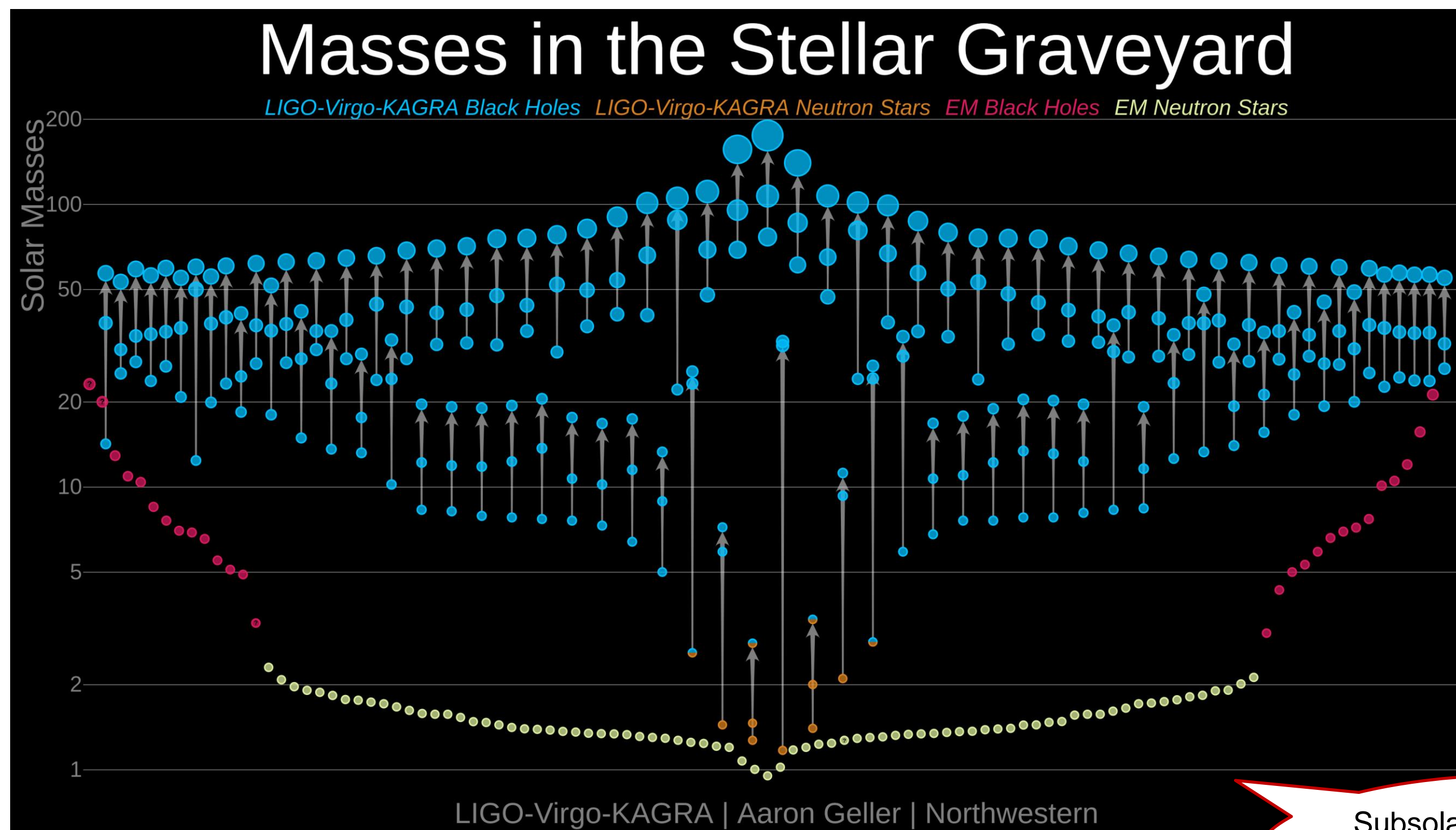
[GRASP 2024, Pisa](#)

Based on:

F. Crescimbeni, G. Franciolini, P. Pani, A. Riotto, arxiv.org/2402.18656 & F. Crescimbeni, G. Franciolini, P. Pani, M. Vaglio, arxiv.org/2408.14287

Subsolar compact objects still missing

- The detection of subsolar compact objects could imply smoking gun evidence of new physics such as primordial black holes (PBHs).

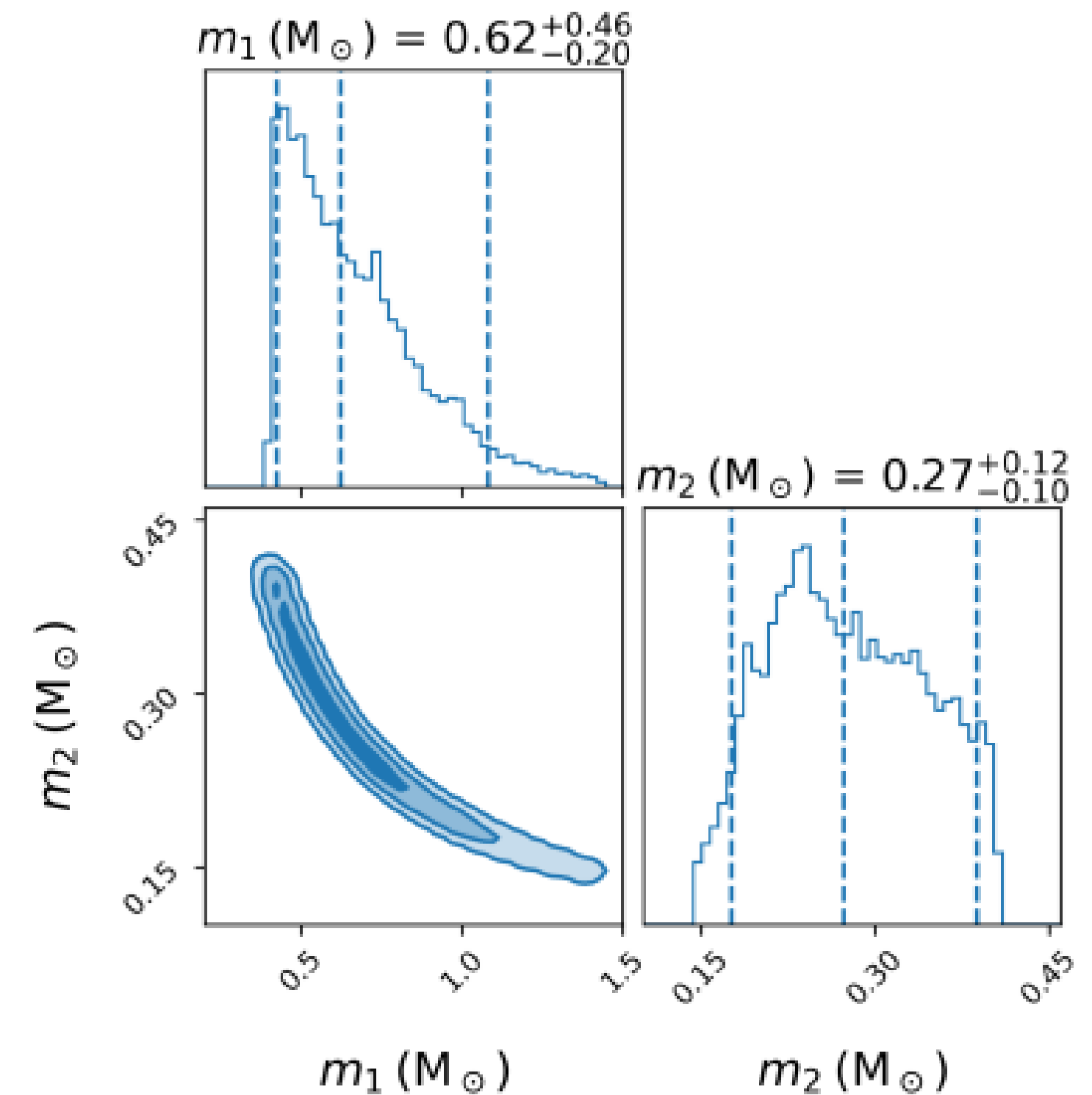


www.ligo.caltech.edu/MIT/image/ligo20211107a

Subsolar compact objects from GW observations still not confidently detected!

Putative detections of subsolar objects

- **Candidate neutron star** object identified as **HESS J1731–347** observed with mass $0.77^{+0.20}_{-0.17} M_{\odot}$ [Doroshenko+, Nature Astronomy 6, 1444 (2022)].
- **Subsolar mergers (SSM) searches** have been performed throughout the years, finding **no conclusive evidences** [LVK, '18; LVK '19; LVK '22; Nitz-Wang, 2102.00868].
- **SSM-like trigger** (denoted as SSM200308) detected during O3 was recently reanalyzed [Prunier+, 2311.16085] under the assumption that it was a **binary of PBHs**.

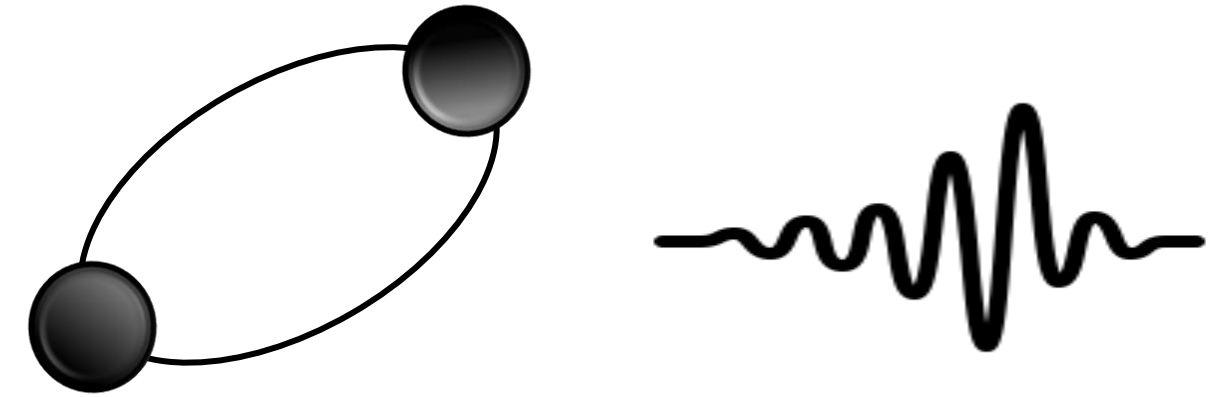


Prunier+, 2311.16085

Objectives and questions

➤ What are possible **SSM candidates**?

➤ How can we **model** the GW signal of a SSM merger?



➤ Given an observing run, can we distinguish **PBHs** from other **candidates** in the SSM range?

➤ What are **cosmology** and **nuclear physics** implications of an **SSM detection**?



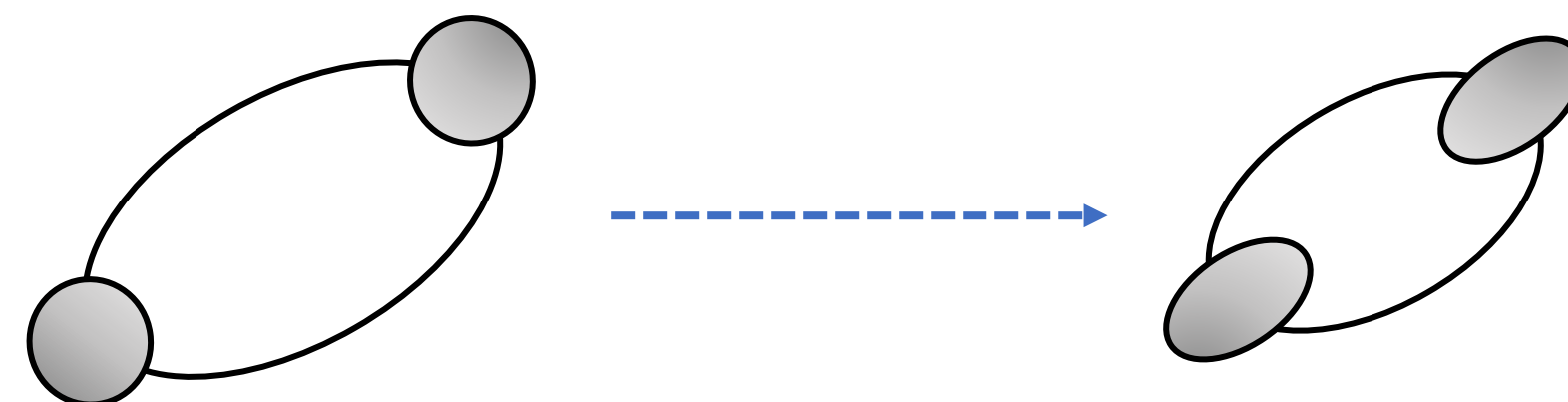
SSM phenomenology

SSM candidates and how they are deformed

- **Astrophysics objects:** Light neutron stars, white dwarfs, strange quark matter stars;

$$\Lambda = \frac{2}{3} k_2 \left(\frac{Gm}{R} \right)^{-5}$$

Non-zero tidal deformabilities



- **Exotic compact objects:** boson stars, fermionic stars;

- Primordial black holes.

$$\Lambda = 0$$

Non-deformable (symmetry properties)

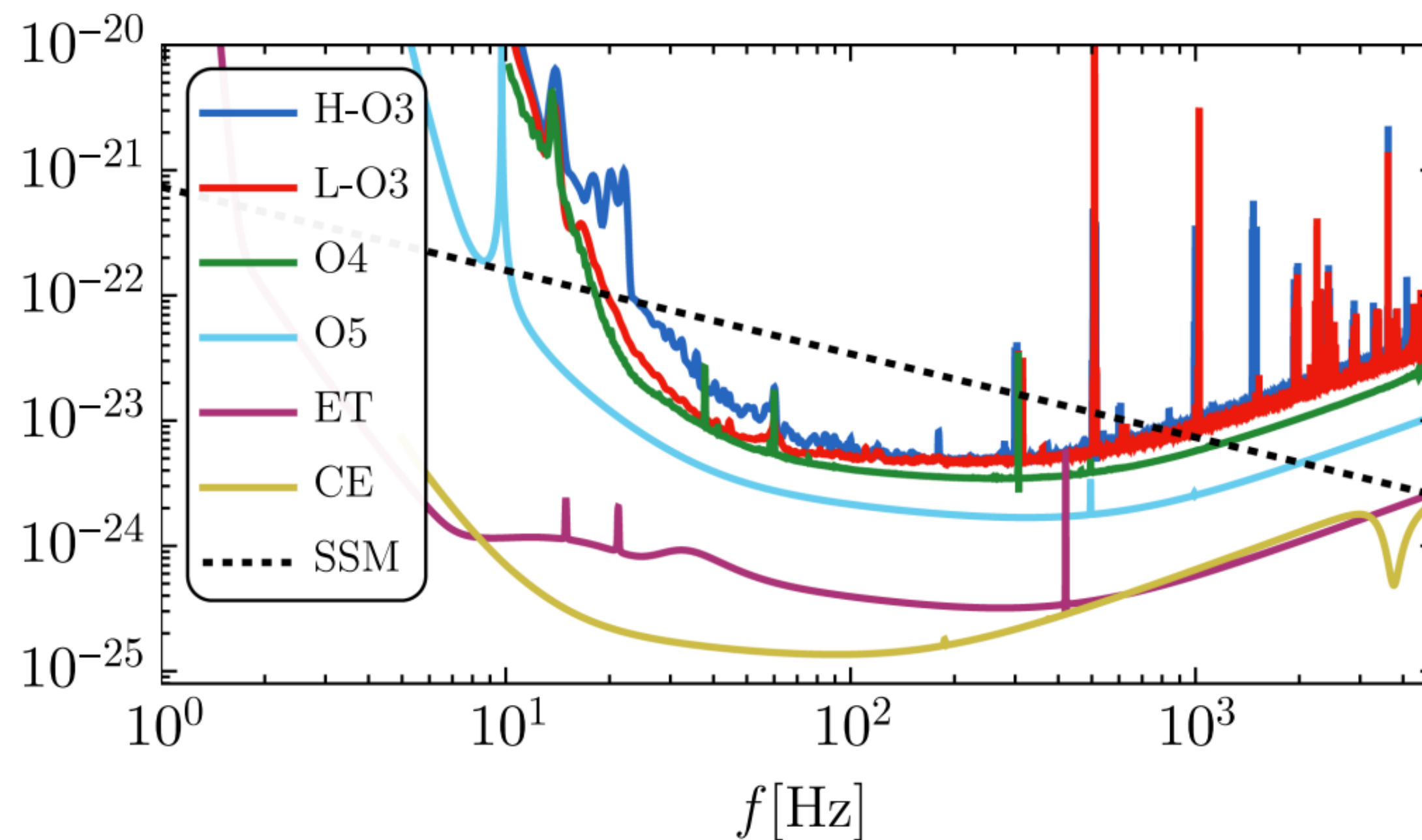
New physics

Waveform modeling of SSM binaries

- We will observe only the **inspiral part** of the signal, described by the **TaylorF2** waveform [Damour+, 0010009].

$$\tilde{h}(f) = \mathcal{A} f^{-\frac{7}{6}} \mathcal{S}(f) e^{i\psi(f)}$$

Amplitude:
scales as the inverse
of distance



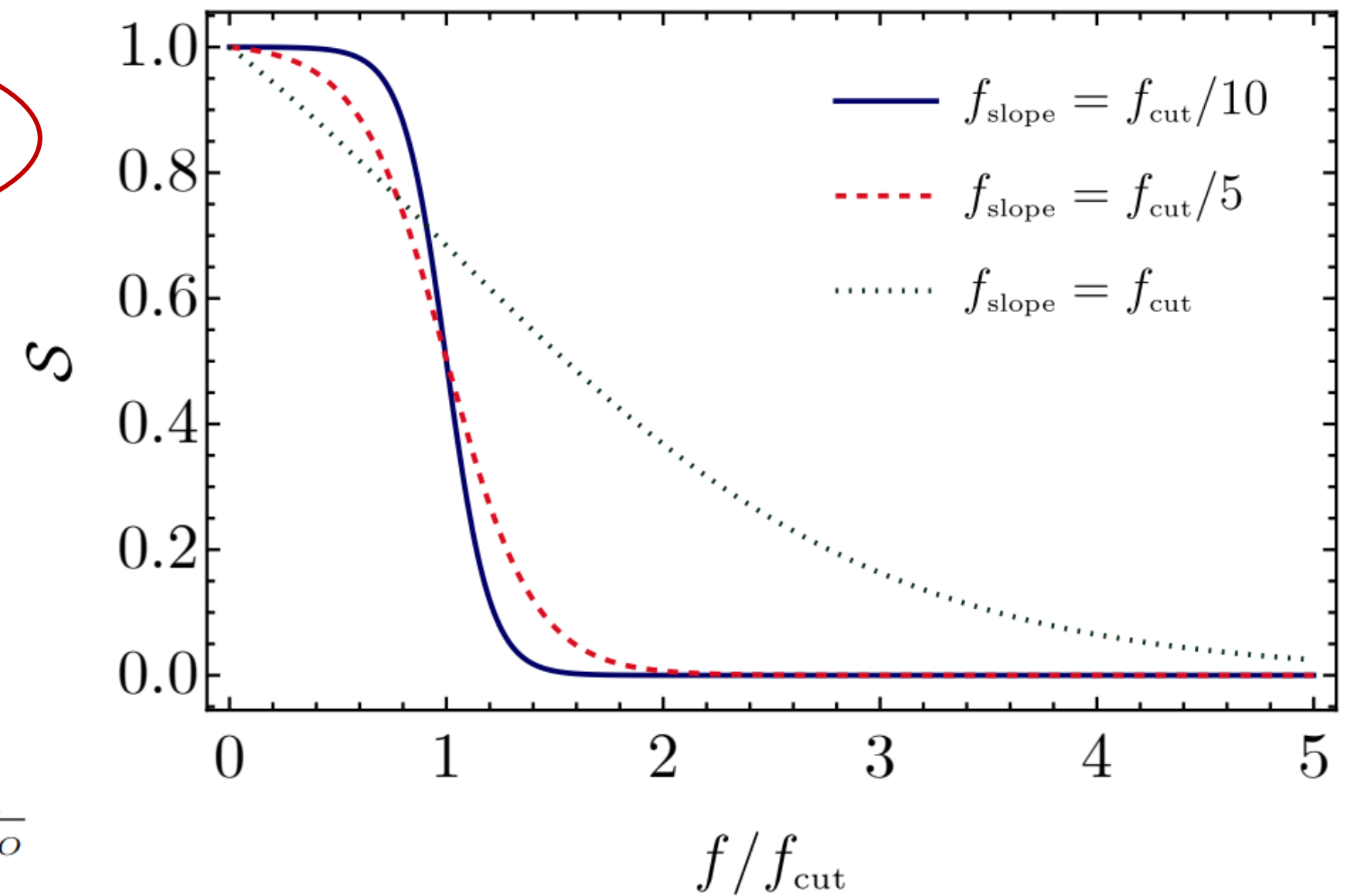
Waveform modeling of SSM binaries

- We will observe only the **inspiral part** of the signal, described by the **TaylorF2** waveform [Damour+, 0010009].

Tapering smoothing: keeps into account possible tidal disruption

$$\tilde{h}(f) = \mathcal{A} f^{-\frac{7}{6}} \mathcal{S}(f) e^{i\psi(f)}$$

$$\mathcal{S}(f) = \left[\frac{1 + e^{-\tilde{\lambda}_f / \delta \tilde{\lambda}_f}}{1 + e^{(f/f_{ISCO} - \tilde{\lambda}_f) / \delta \tilde{\lambda}_f}} \right] \longleftrightarrow \begin{cases} \tilde{\lambda}_f = \frac{f_{cut}}{f_{ISCO}} \\ \delta \tilde{\lambda}_f = \frac{f_{slope}}{f_{ISCO}} \end{cases}$$



Source ref. De Luca+, 2212.03343

Waveform modeling of SSM binaries

- We will observe only the **inspiral part** of the signal, described by the **TaylorF2** waveform [Damour+, 0010009].

Phase: contains tidal deformability

$$\tilde{h}(f) = \mathcal{A} f^{-\frac{7}{6}} \mathcal{S}(f) e^{i\psi(f)}$$

Point particle phase: contains mass and spin effects

Tidal phase

$$\psi(x) = \underbrace{\psi_{\text{pp}}(x)}_{\text{Up to 4PN}} + \underbrace{\delta\psi_{\text{tidal}}(x)}_{\text{5PN + 6PN}}$$

$$x = (\pi f M)^{2/3}$$

$$\delta\psi_{\text{tidal}} = \frac{3}{128\eta x^{5/2}} \left[\left(-\frac{39}{2} \tilde{\Lambda} \right) x^5 + \left(-\frac{3115}{64} \tilde{\Lambda} + \frac{6595}{364} \sqrt{1-4\eta} \delta\tilde{\Lambda} \right) x^6 \right]$$

Combinations of Λ_1 and Λ_2

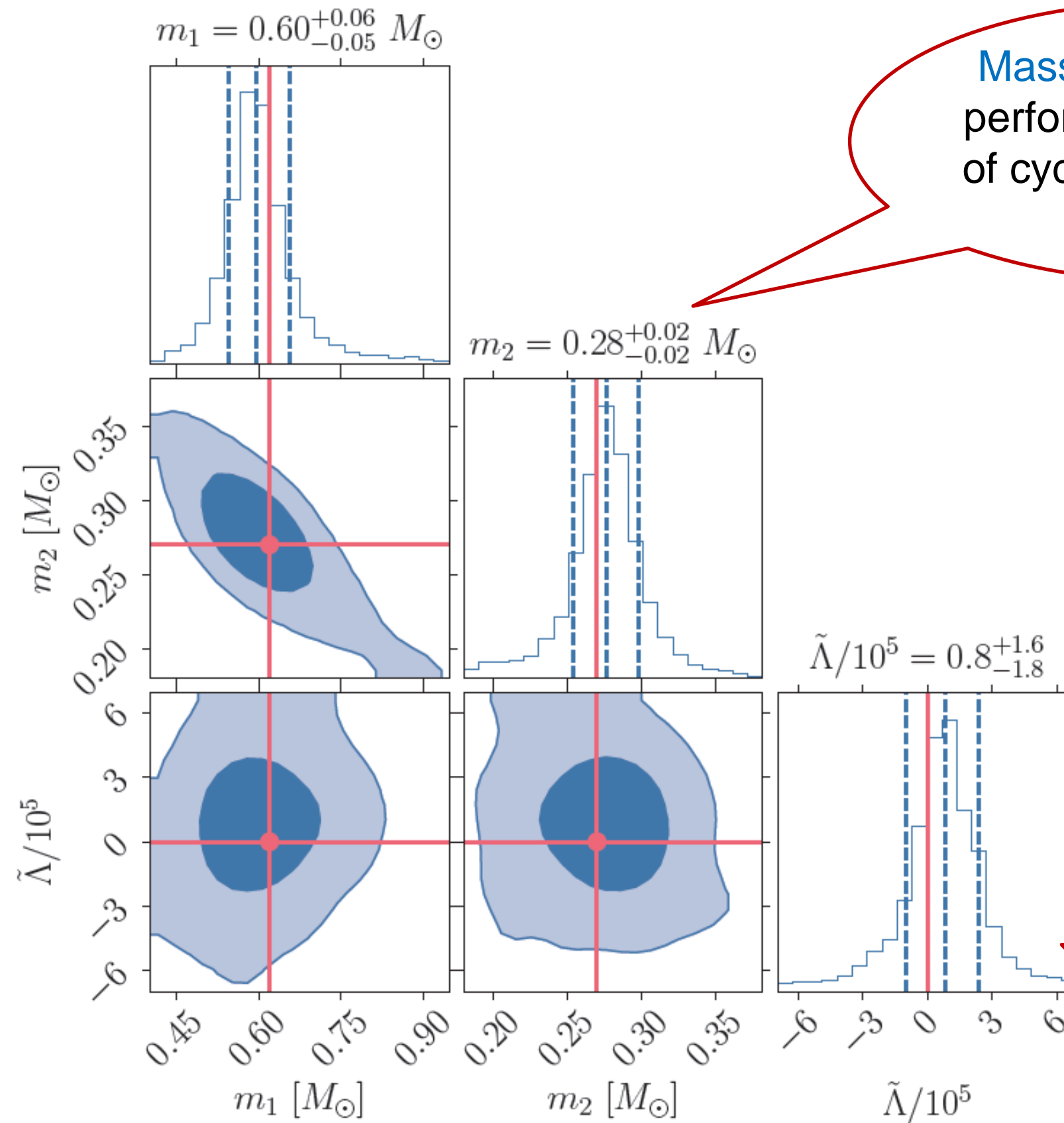


Distinguishing between subsolar PBHs and other candidates

PBH binary injections: O3

- Bayesian inference analysis performed with Bilby [Ashton+, 1811.02042]
- PBH binary injections + recovery
- Inject SSM200308 parameters [Prunier+, 2311.16085] + zero tides and negligible tapering
- O3 sensitivity

BILBY



Masses: light mergers perform a large number of cycles in the detector band.

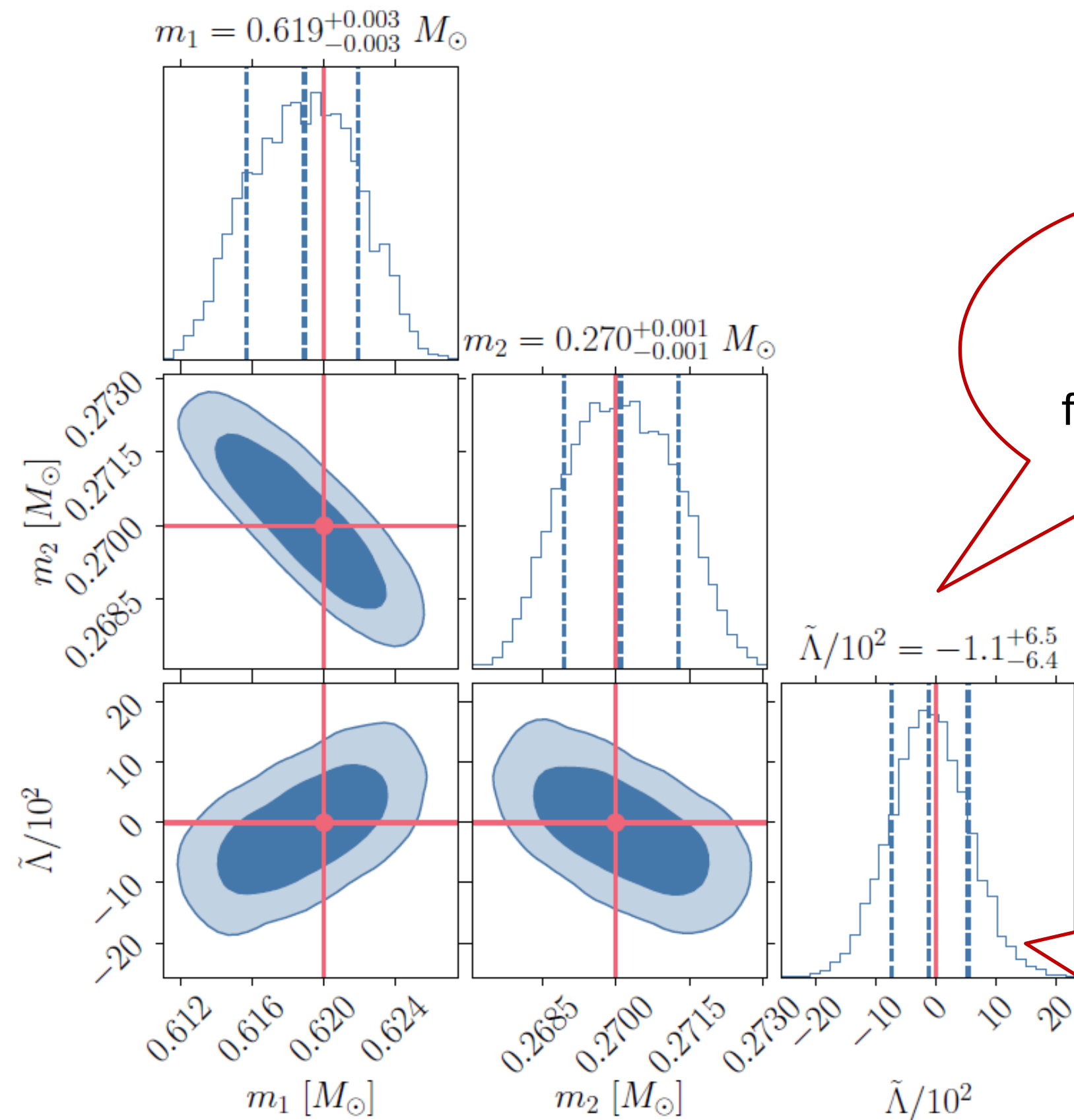
Effective tidal: undistinguishable SSM candidates

FC-Franciolini-Pani-Riotto, 2402.18656

PBH binary injections: ET+2CE

- Bayesian inference analysis performed with Bilby [Ashton+, 1811.02042]
- PBH binary injections + recovery
- Inject SSM200308 parameters [Prunier+, 2311.16085] + zero tides and negligible tapering
- ET+2CE sensitivity

BILBY



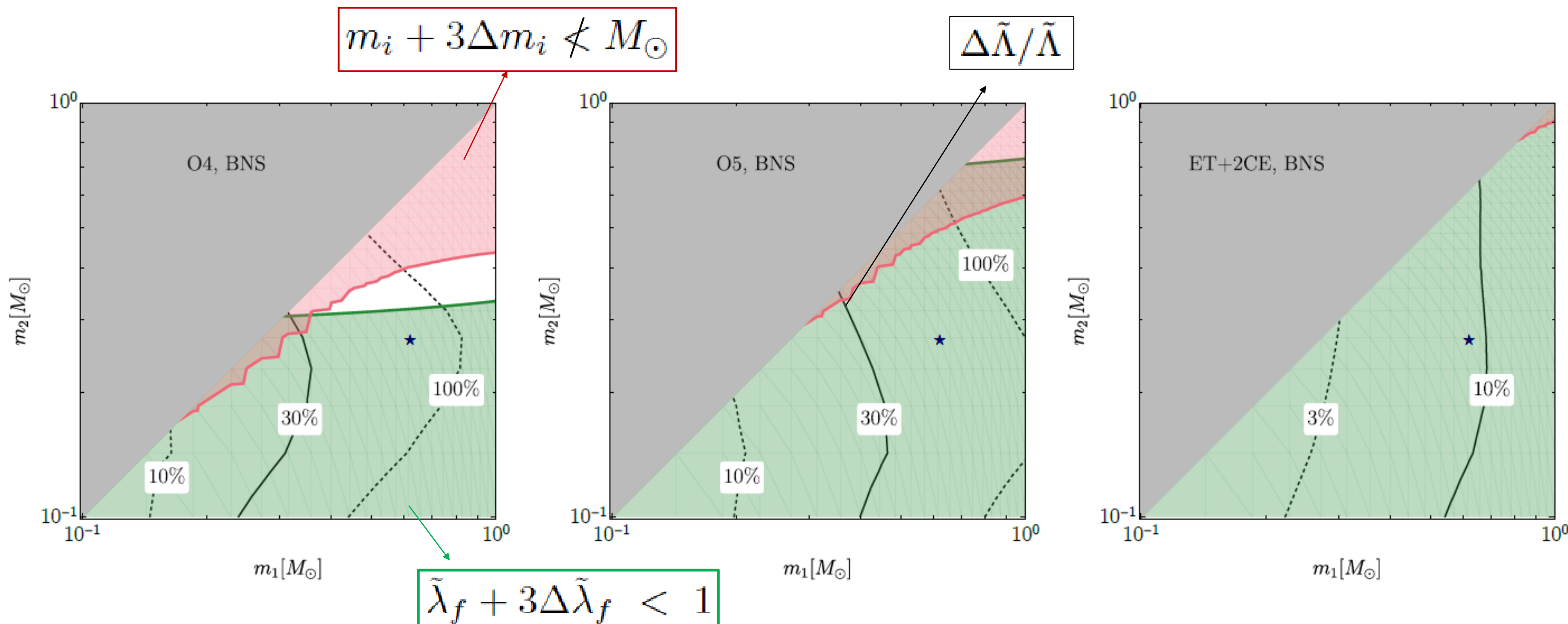
Sensitivity is reduced of a factor of ~ 100 .

Boson stars may reach $\Lambda \approx 10^6$ (excluded at 3σ)

FC-Franciolini-Pani-Riotto, 2402.18656

Exploring the Fisher parameter space: the NS binary case

- Fisher analysis performed with gwfast [Iacovelli-Mancarella-Foffa-Maggiore, 2207.06910].
- Explore the masses parameter space where they vary in the range $m_1, m_2 \in [0.1; 1]$.





Cosmology and nuclear physics implications of an SSM detection

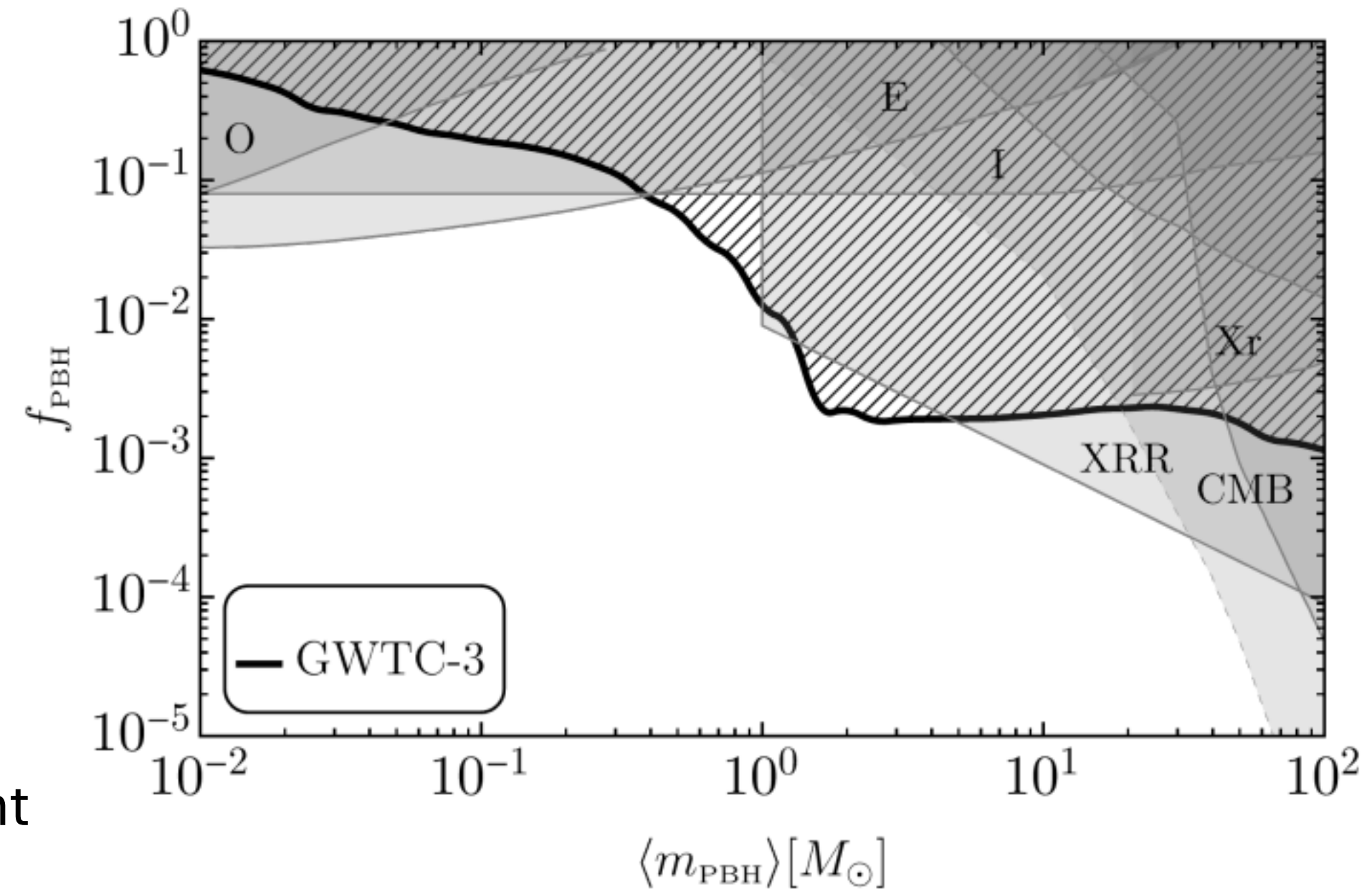
Cosmology implications of a SSM detection

If an **SSM PBH binary** is detected:

- infer the corresponding **PBH abundance** (controls the merger rate of SSM objects)

$$f_{\text{PBH}} \equiv \frac{\Omega_{\text{PBH}}}{\Omega_{\text{DM}}}$$

- need at least $f_{\text{PBH}} \gtrsim O(10^{-2})$ to explain such a SSM event (upper bounds on **GWTC-3**).

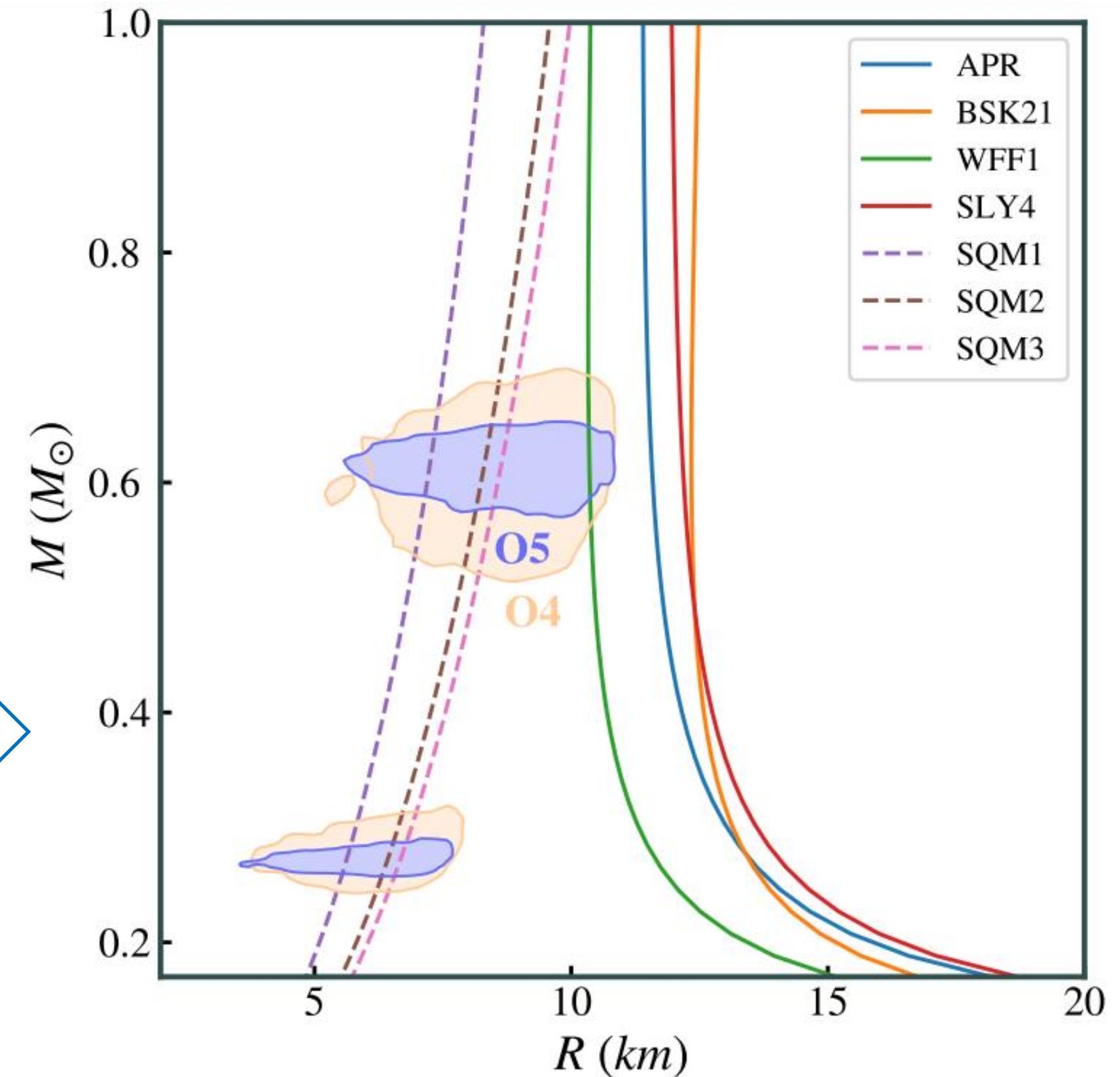
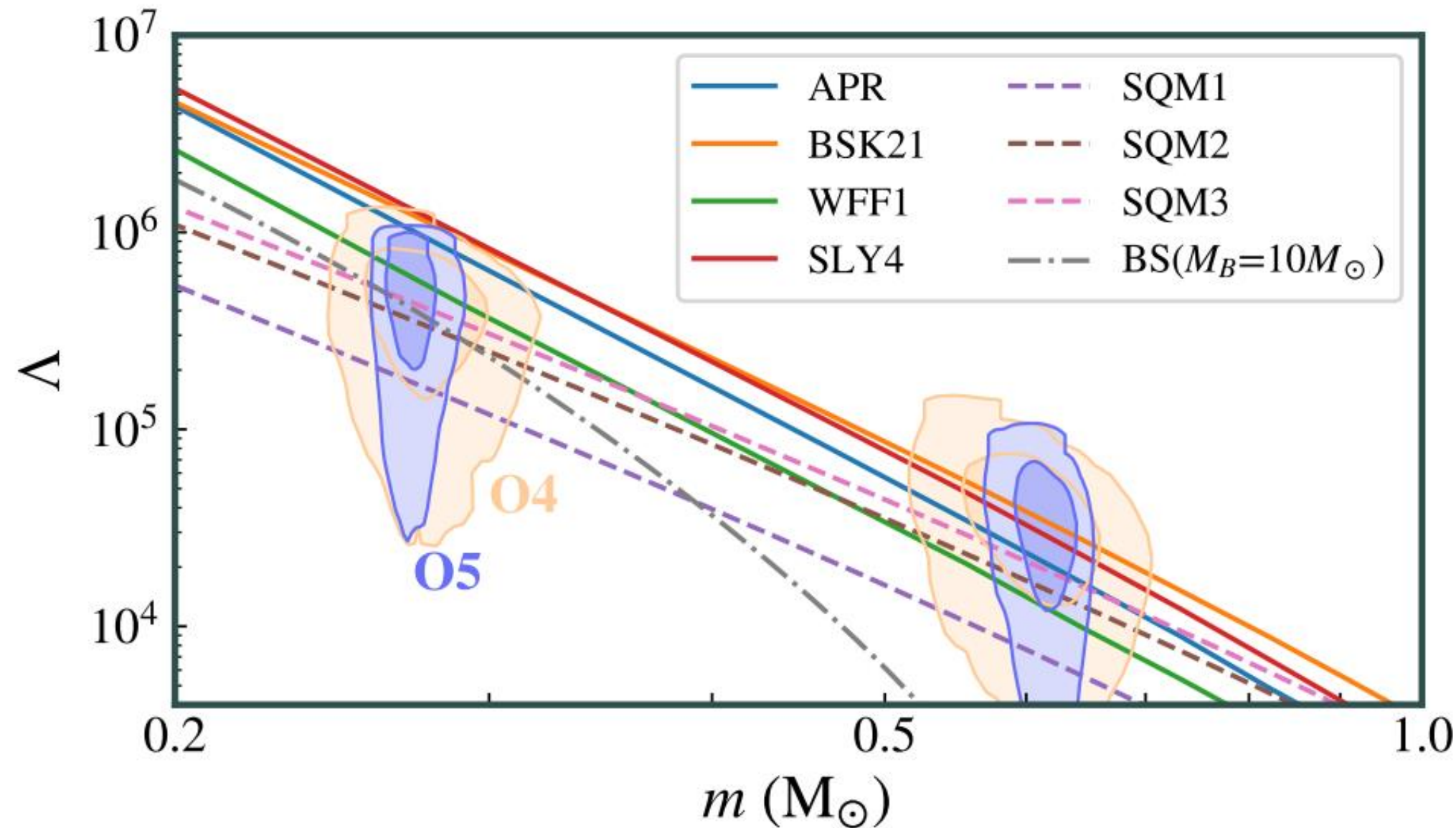


[Franciolini-Pani-Musco-Urbano, 2209.05959]

Nuclear physics implications of a SSM detection

If the SSM objects are identified as **light NSs/SQM stars**:

- **Large tidals** can be exploited to **constrain the NS EoS**.
- (m, Λ) can be translated in (m, R) diagram.



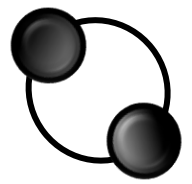
FC-Franciolini-Pani-Vaglio, 2408.14287

Take-home messages and future works

Take-home messages:

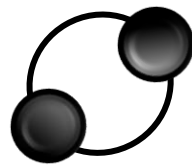


Effective tidal can help distinguishing between **SSM** candidates.



Important consequences in **cosmology** and **nuclear physics** implications.

Future developments:



Merger rate of subsolar BHs: how this will affect **population studies**?

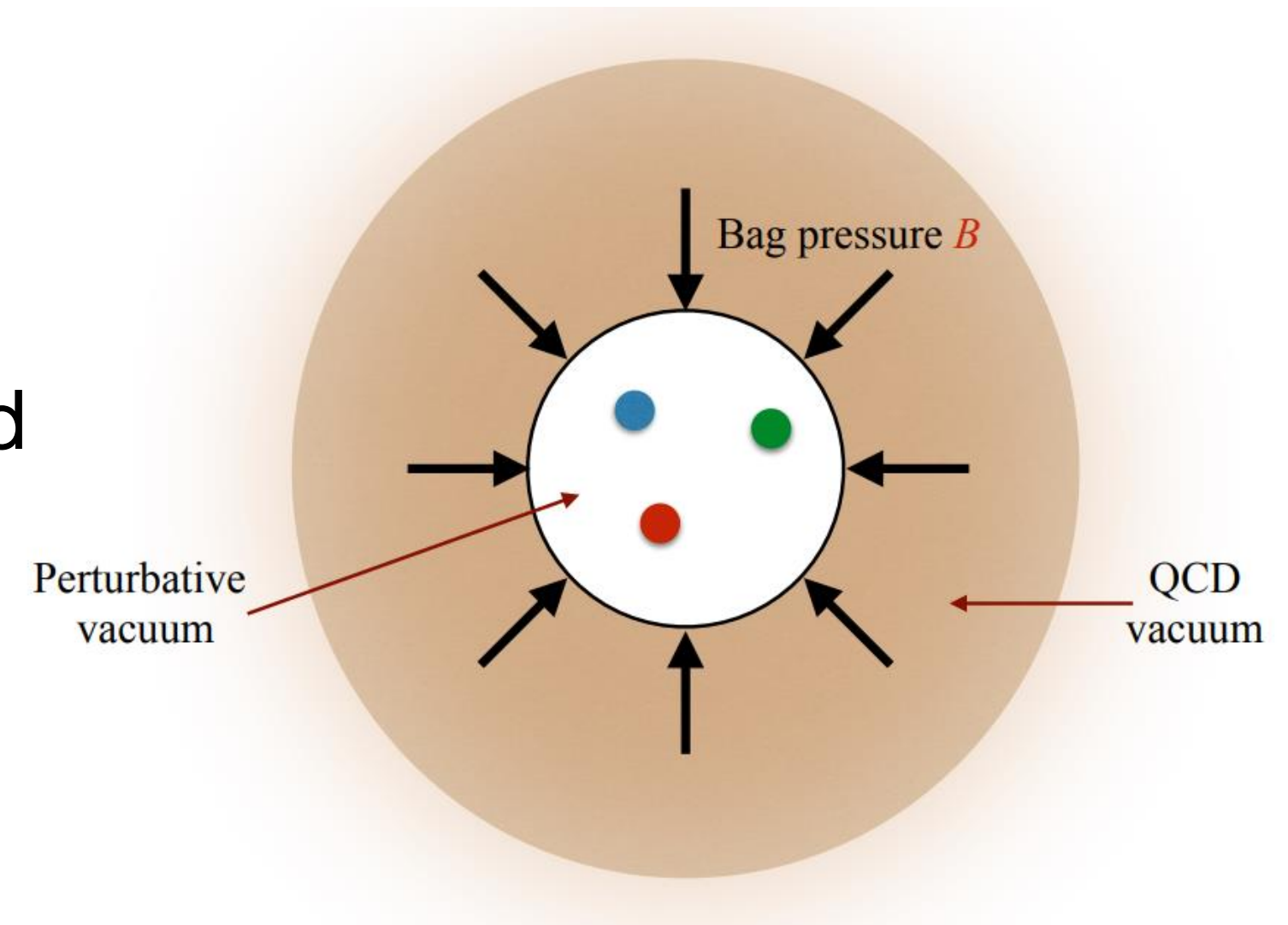
Thank you for your attention!



Back-up slides

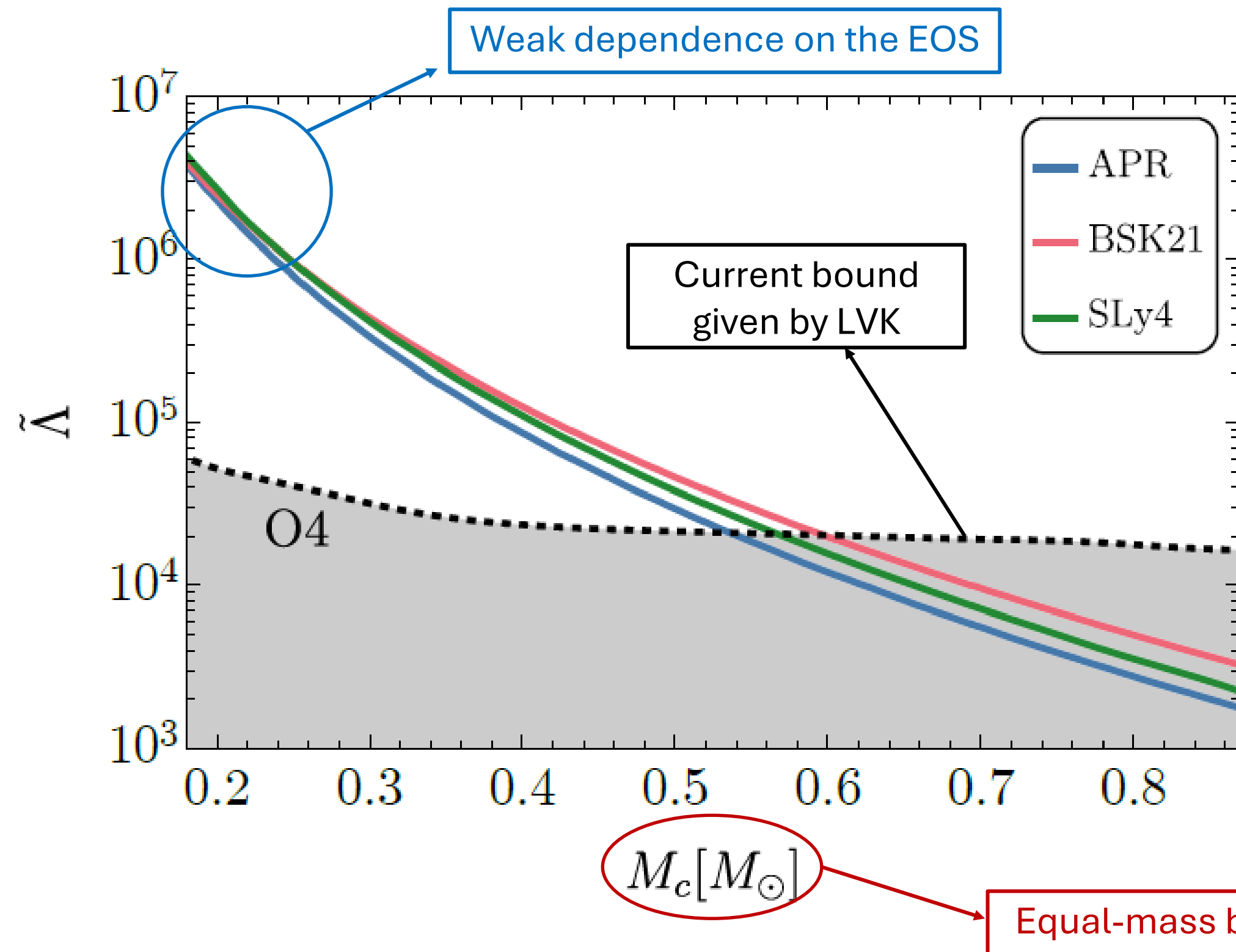
Strange Quark Matter stars

- Strange quark-matter models assumes a balance of up, down, and strange quarks.
- EoS is obtained using perturbative QCD and an MIT-type bag model in which quarks are confined within a "bag" and interact via the strong force.
- This model often leads to a softer EoS compared to traditional neutron star matter because the pressure increase with density may be less steep.



Tidal deformabilities for neutron stars

- Subsolar objects are less sensitive for EOS effects!



SLy4

$$\Lambda = 7.3 \cdot 10^4 \left(\frac{m}{0.5 M_\odot} \right)^{-4.7}$$

Tidal deformabilities for boson stars

- Assume for instance **boson stars** (BSs) with **quartic potential** [Pacilio+, 2007.05264]:

$$V(|\phi|) = \frac{\mu^2}{2} |\phi|^2 + \frac{\lambda}{4} |\phi|^4$$

$$\frac{m}{m_B} = \frac{\sqrt{2}}{8\sqrt{\pi}} \left[-0.828 + \frac{20.99}{\log \Lambda} - \frac{99.1}{(\log \Lambda)^2} + \frac{149.7}{(\log \Lambda)^3} \right] \longleftrightarrow \begin{array}{l} \lambda \gg \mu^2 \\ m_B = \sqrt{\lambda}/\mu^2 \end{array}$$

- Invert relation to find:

$$\Lambda = \Lambda(m/m_B)$$

- In this model, BSs exist for $m/m_B < 0.06$, which gives $\Lambda > 289$.
- Λ can **span many orders of magnitude** as the mass deviates from its maximum value (e.g., $\Lambda \approx 1.7 \times 10^6$ for $m/m_B = 0.02$).
- An **upper bound on Λ** can rule out some models!

Binary maximum frequency of material compact objects

- A GW signal has a **maximum frequency** of the order of **ISCO**:

$$f_{\text{ISCO}} = \frac{c^3}{(6^{3/2}\pi GM)} = 4.4 \text{ kHz} \left(\frac{M_{\odot}}{M} \right)$$

- binaries of **stellar objects** are typically characterized by **smaller maximal frequencies** (hard surface, tidal disruption,...)

$$r_{T,i} = \left(\frac{2m_j}{m_i} \right)^{1/3} r_i \quad \longrightarrow \quad f_T = \frac{1}{\pi} \sqrt{\frac{GM}{(\max[r_{T,1}, r_{T,2}])^3}}$$

Binary maximum frequency of material compact objects

- White dwarfs:

$$r_{\text{WD}} = 0.013 r_{\odot} \left(\frac{m_{\text{WD}}}{M_{\odot}} \right)^{-1/3} \quad \longrightarrow \quad f_{\text{max}}^{\text{WD}} = 0.13 \text{ Hz} \left(\frac{m_{\text{WD}}}{M_{\odot}} \right) \quad \longrightarrow \quad \boxed{\text{Detectable by deci-Hz detectors}}$$

- Neutron stars:

$$f_{\text{max}}^{\text{NS}} \approx 1.4 \text{ kHz} \left(\frac{m_{\text{NS}}}{0.5 M_{\odot}} \right)^{1/2} \left(\frac{15 \text{ km}}{r_{\text{NS}}} \right)^{3/2} \quad \xrightarrow{\boxed{\text{More accurate expression}}} \quad f_{\text{RO}}/\text{Hz} = -26.9 - 35.5 \left(\frac{m_1}{M_{\odot}} \right) - 3.02 \left(\frac{m_1}{M_{\odot}} \right)^2 + 1690 \left(\frac{m_2}{M_{\odot}} \right) - 575 \left(\frac{m_2}{M_{\odot}} \right)^2$$

[Bandopadhyay+, 2212.03855]

Waveform modeling of SSM objects

- GW phase (augmented at 5PN and 6PN) [Kidder-Will, 9211025; Wade+, 1402.5156]:

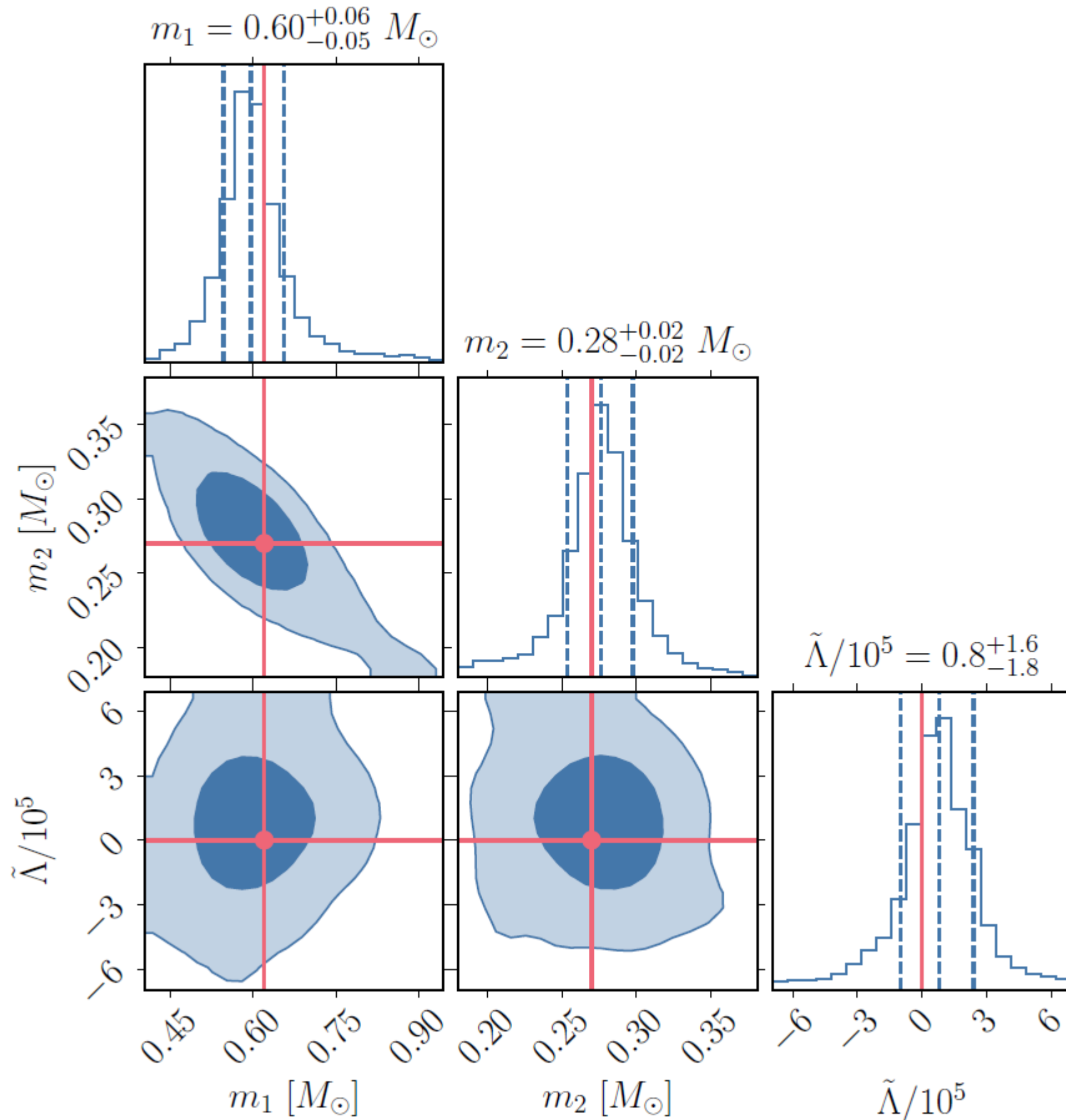
$$\psi(x) = \underbrace{\psi_{\text{pp}}(x)}_{\text{Point-particle phase}} + \underbrace{\delta\psi_{\text{tidal}}(x)}_{\text{Tidal phase}} \longleftrightarrow \delta\psi_{\text{tidal}} = \frac{3}{128\eta x^{5/2}} \left[\left(-\frac{39}{2} \tilde{\Lambda} \right) x^5 + \left(-\frac{3115}{64} \tilde{\Lambda} + \frac{6595}{364} \sqrt{1-4\eta} \delta\tilde{\Lambda} \right) x^6 \right]$$

- Some definitions...

$$\tilde{\Lambda} = \frac{8}{13} \left[(1 + 7\eta - 31\eta^2) (\Lambda_1 + \Lambda_2) + \sqrt{1-4\eta} (1 + 9\eta - 11\eta^2) (\Lambda_1 - \Lambda_2) \right]$$

$$\delta\tilde{\Lambda} = \frac{1}{2} \left[\sqrt{1-4\eta} \left(1 - \frac{13272}{1319}\eta + \frac{8944}{1319}\eta^2 \right) (\Lambda_1 + \Lambda_2) + \left(1 - \frac{15910}{1319}\eta + \frac{32850}{1319}\eta^2 + \frac{3380}{1319}\eta^3 \right) (\Lambda_1 - \Lambda_2) \right]$$

Bayesian inference vs Fisher for BPBHs: O3

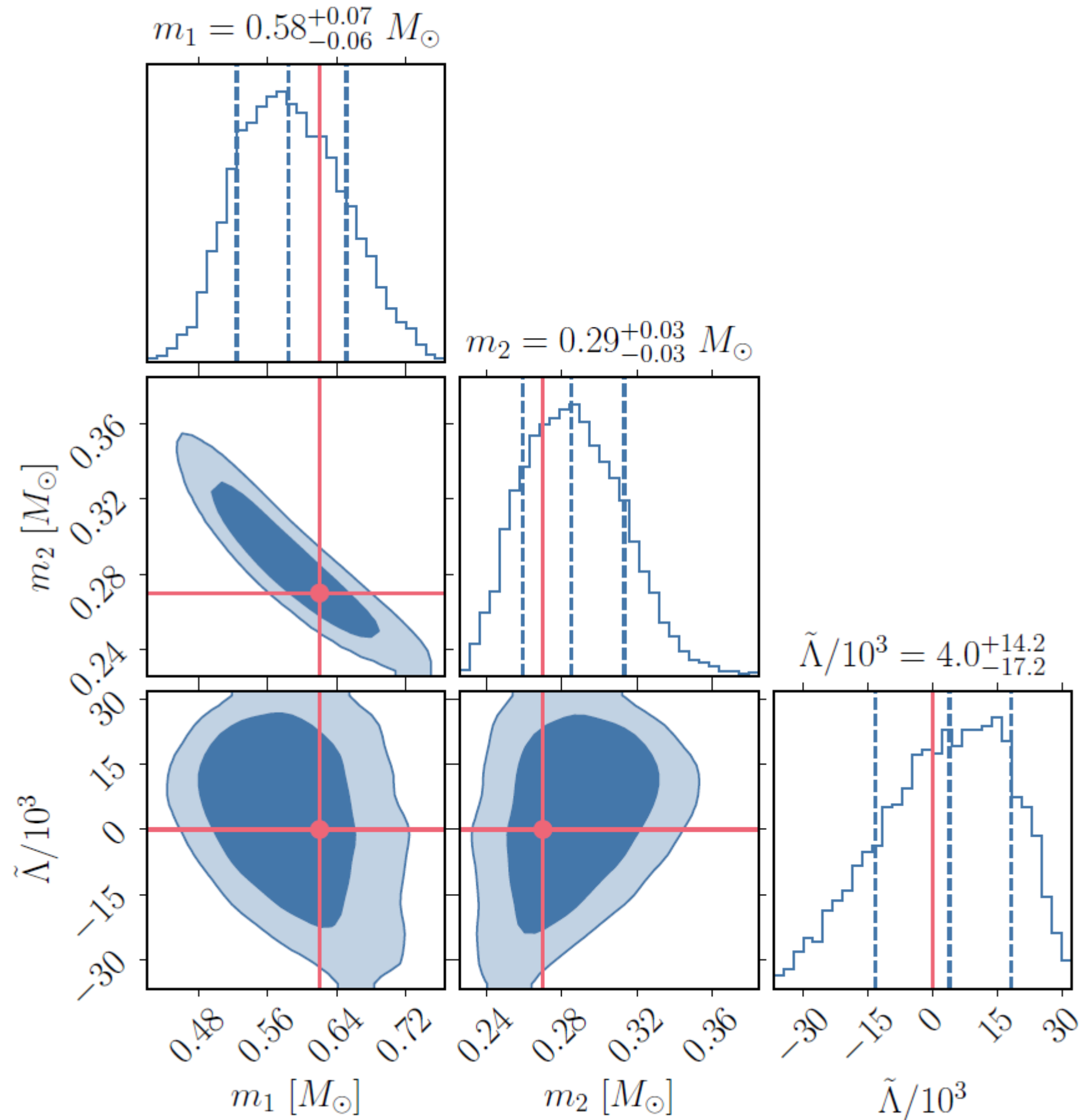


Network	LVK O3	LVK O4	LVK O5	ET+2CE
BPBH SSM200308 ($\tilde{\Lambda} = \delta\tilde{\Lambda} = 0, \tilde{\lambda}_f = 1$)				
SNR	8.76	14.6	24.8	430
$\Delta m_1/m_1$	0.21	0.14	0.053	$6.4 \cdot 10^{-3}$
$\Delta m_2/m_2$	0.18	0.12	0.046	$5.5 \cdot 10^{-3}$
$\Delta\tilde{\Lambda}$	$1.9 \cdot 10^4$	$1.3 \cdot 10^4$	$7.8 \cdot 10^3$	$7.7 \cdot 10^2$

Example of exclusion of BS model

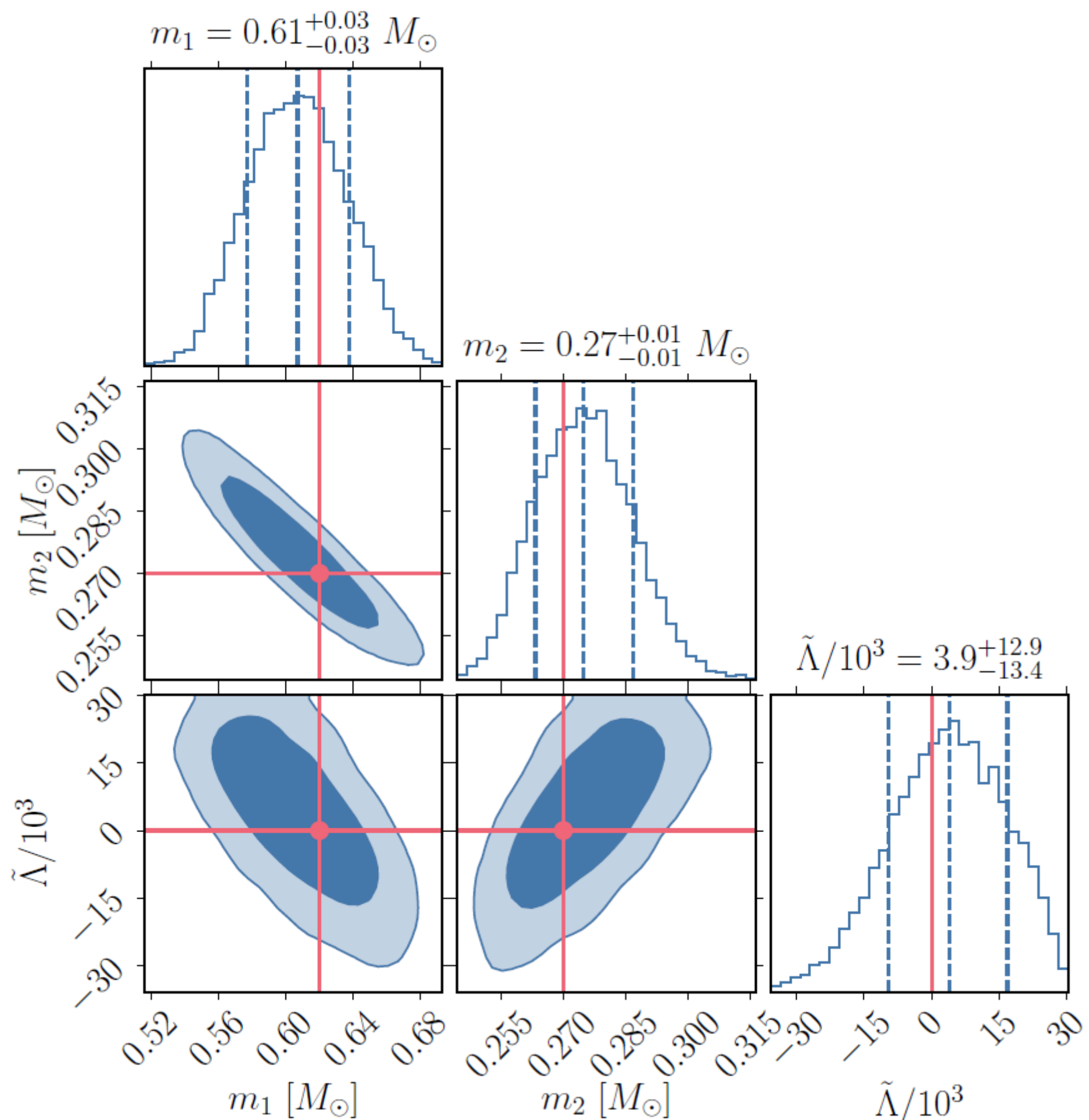
$$m_1 = m_2 = 0.62M_\odot \quad \tilde{\Lambda} > 3 \cdot 10^4 \quad m_B \gtrsim 15M_\odot$$

Bayesian inference vs Fisher for BPBHs : O4



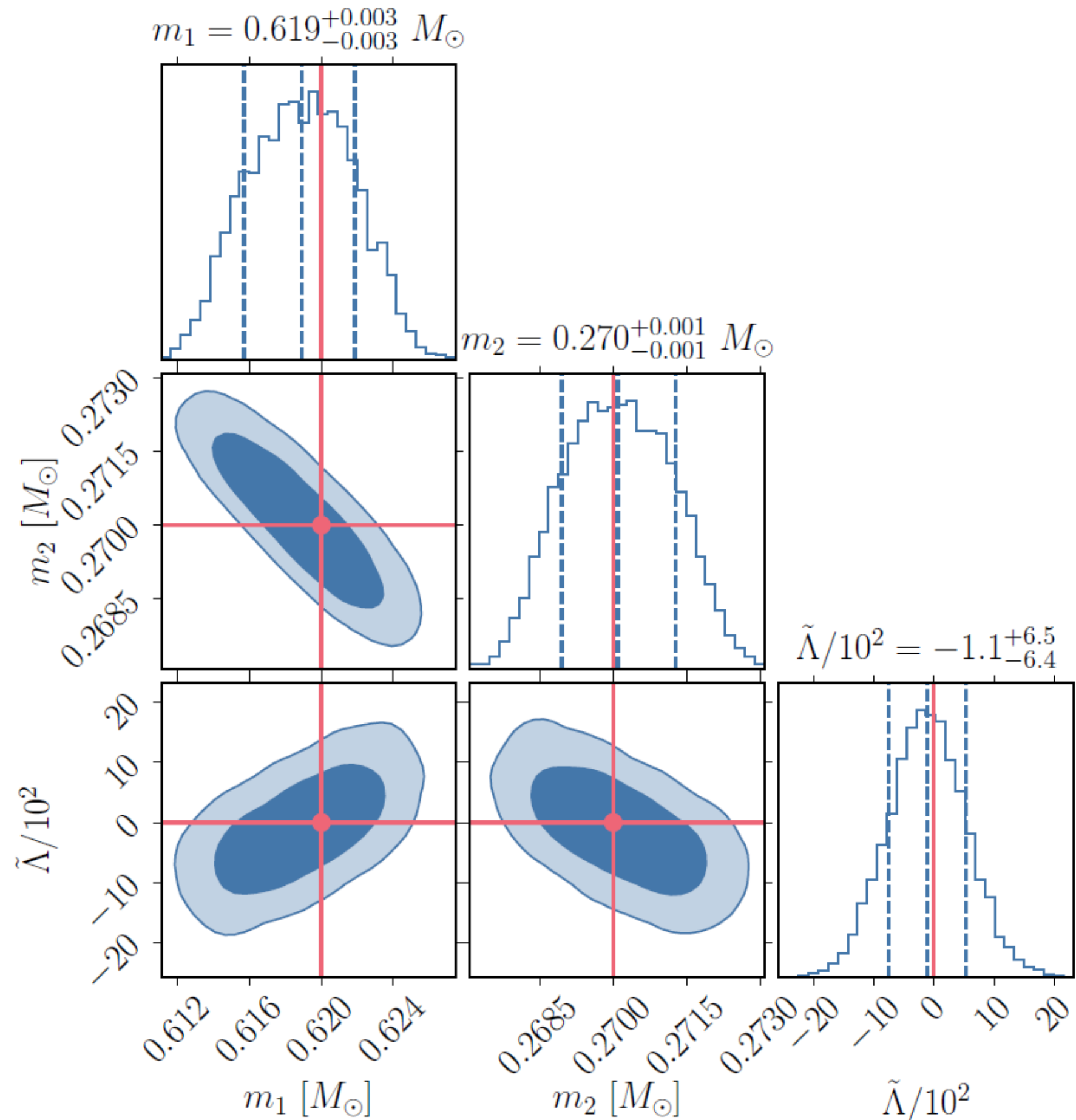
Network	LVK O3	LVK O4	LVK O5	ET+2CE
BPBH SSM200308 ($\tilde{\Lambda} = \delta\tilde{\Lambda} = 0, \tilde{\lambda}_f = 1$)				
SNR	8.76	14.6	24.8	430
$\Delta m_1/m_1$	0.21	0.14	0.053	$6.4 \cdot 10^{-3}$
$\Delta m_2/m_2$	0.18	0.12	0.046	$5.5 \cdot 10^{-3}$
$\Delta\tilde{\Lambda}$	$1.9 \cdot 10^4$	$1.3 \cdot 10^4$	$7.8 \cdot 10^3$	$7.7 \cdot 10^2$

Bayesian inference vs Fisher for BPBHs: O5



Network	LVK O3	LVK O4	LVK O5	ET+2CE
BPBH SSM200308 ($\tilde{\Lambda} = \delta\tilde{\Lambda} = 0, \tilde{\lambda}_f = 1$)				
SNR	8.76	14.6	24.8	430
$\Delta m_1/m_1$	0.21	0.14	0.053	$6.4 \cdot 10^{-3}$
$\Delta m_2/m_2$	0.18	0.12	0.046	$5.5 \cdot 10^{-3}$
$\Delta\tilde{\Lambda}$	$1.9 \cdot 10^4$	$1.3 \cdot 10^4$	$7.8 \cdot 10^3$	$7.7 \cdot 10^2$

Bayesian inference vs Fisher for BPBHs: ET+2CE



Network	LVK O3	LVK O4	LVK O5	ET+2CE
BPBH SSM200308 ($\tilde{\Lambda} = \delta\tilde{\Lambda} = 0, \tilde{\lambda}_f = 1$)				
SNR	8.76	14.6	24.8	430
$\Delta m_1 / m_1$	0.21	0.14	0.053	$6.4 \cdot 10^{-3}$
$\Delta m_2 / m_2$	0.18	0.12	0.046	$5.5 \cdot 10^{-3}$
$\Delta \tilde{\Lambda}$	$1.9 \cdot 10^4$	$1.3 \cdot 10^4$	$7.8 \cdot 10^3$	$7.7 \cdot 10^2$

Fisher results of NS binary injections

Network	LVK O3	LVK O4	LVK O5	ET+2CE
BNS SSM200308 ($\tilde{\Lambda} = 1.5 \cdot 10^5, \delta\tilde{\Lambda} = 4.9 \cdot 10^4, \tilde{\lambda}_f = 0.075$)				
SNR	7.90	12.8	22.4	398
$\Delta m_1/m_1$	0.47	0.22	0.082	0.0017
$\Delta m_2/m_2$	0.39	0.19	0.070	0.0015
$\Delta\tilde{\Lambda}/\tilde{\Lambda}$	0.86	0.66	0.55	0.047
$\Delta\tilde{\lambda}_f/\tilde{\lambda}_f$	0.38	0.24	0.13	0.015

$$m_i + 3\Delta m_i < M_\odot$$

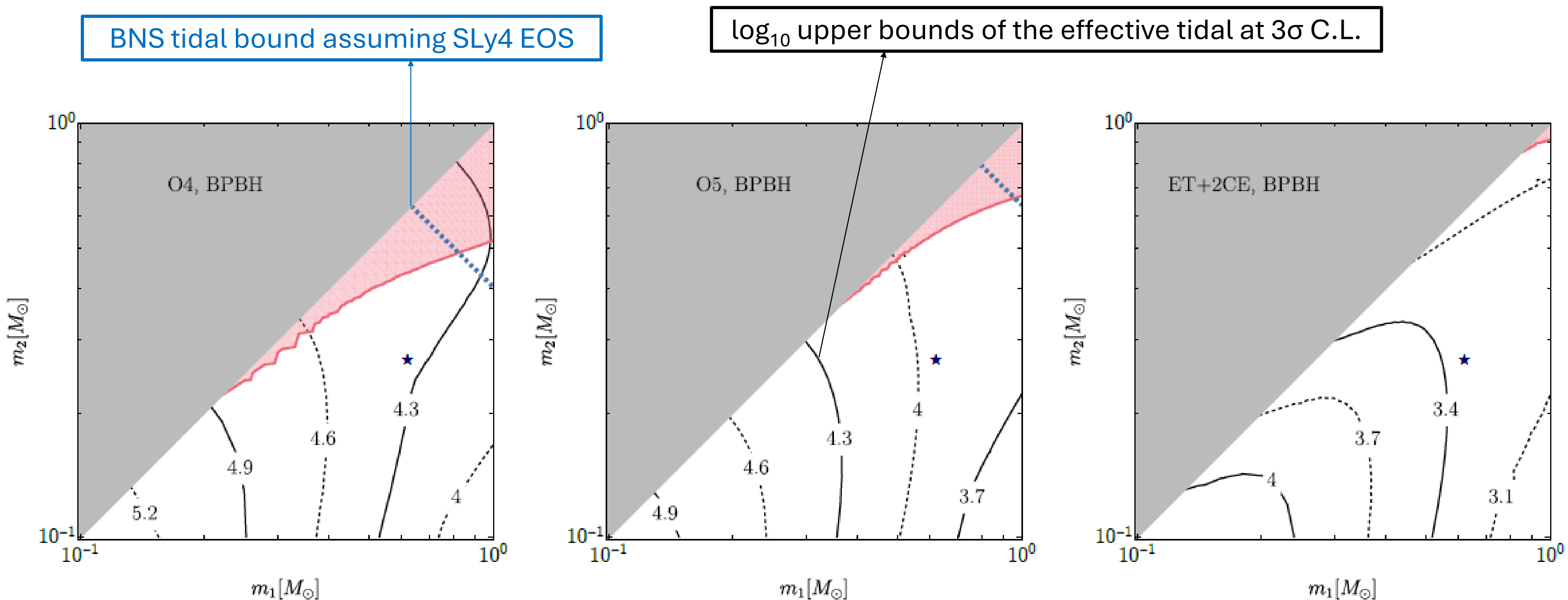
$$\tilde{\Lambda} - 3\Delta\tilde{\Lambda} > 0$$

$$\tilde{\lambda}_f + 3\Delta\tilde{\lambda}_f < 1$$

Results:

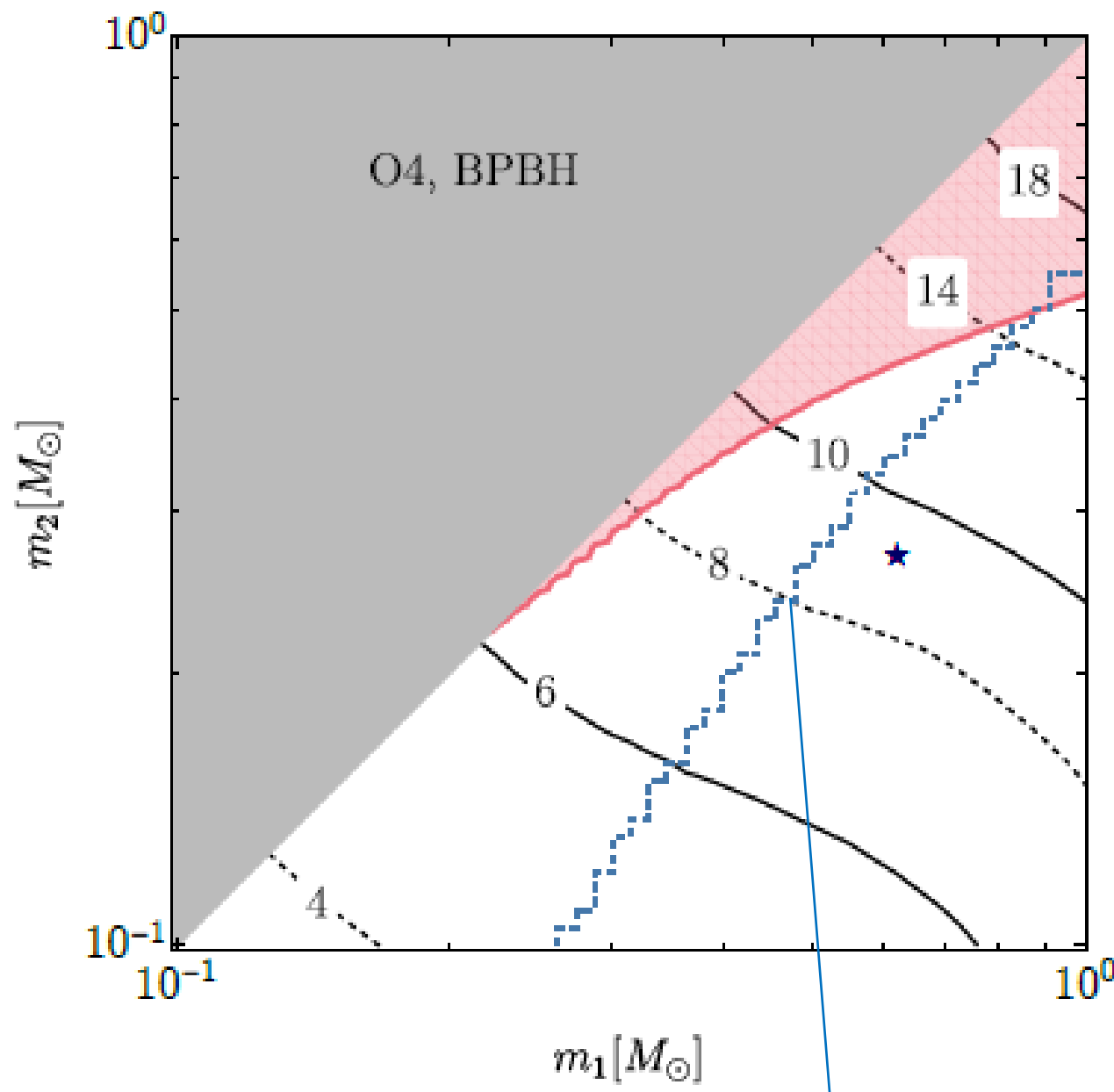
- we can be certain that the binary is **subsolar** from O5 on;
- **tidal deformability** distinguishes PBHs from BNSs only with 3G detectors;
- **tidal disruption** is well constrained from O3 on.

Exploring the Fisher parameter space: the PBH binary case

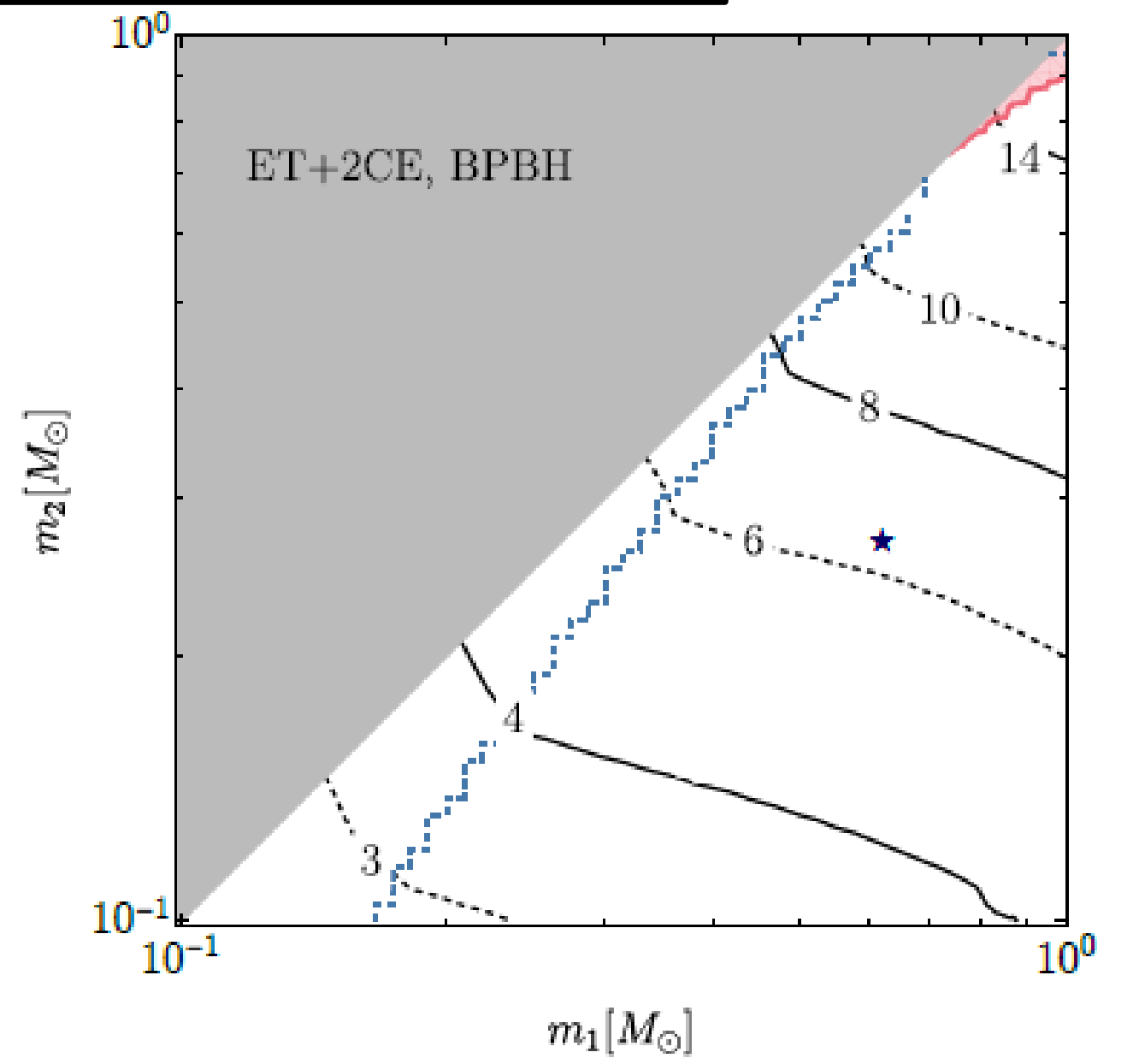
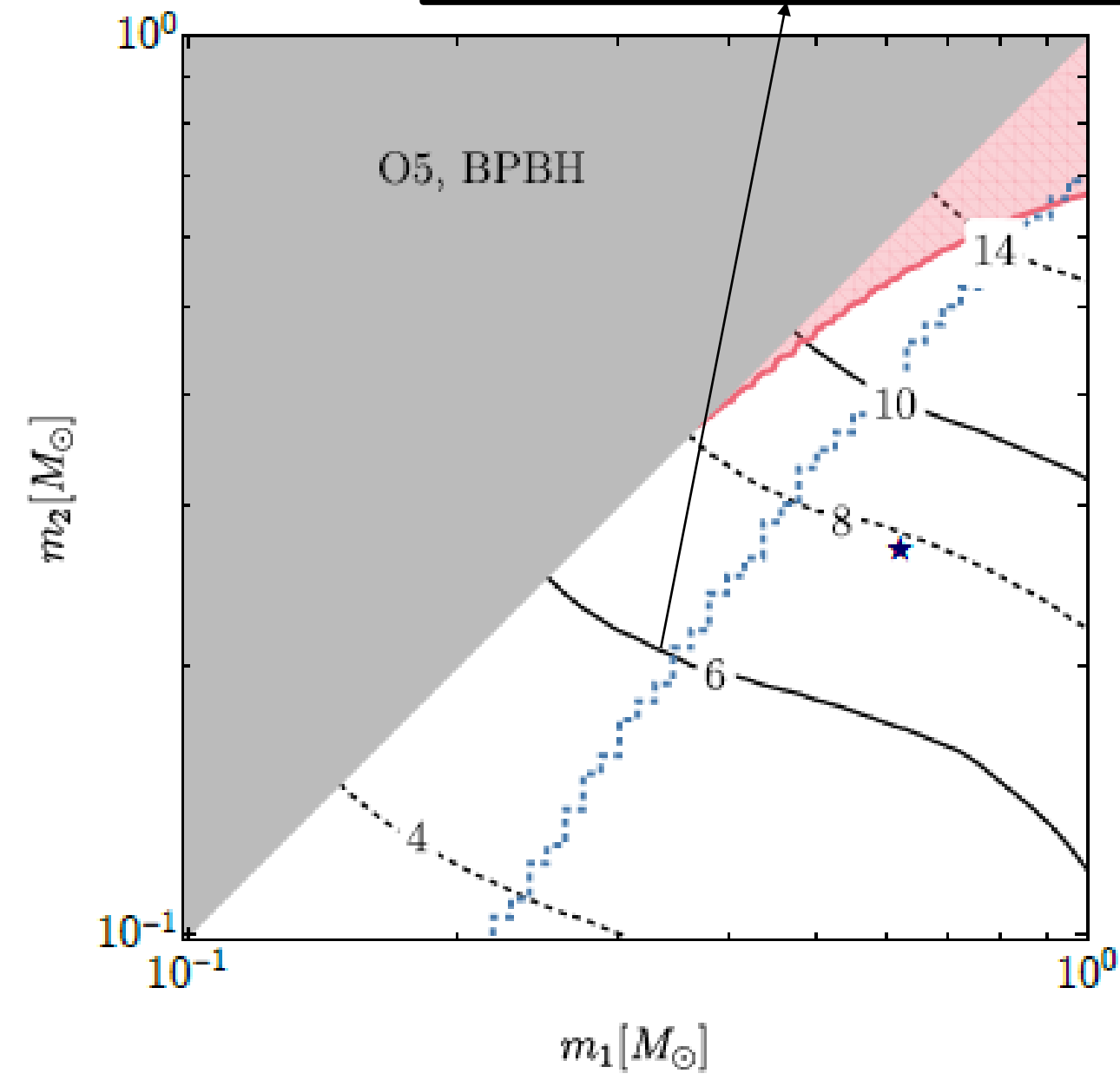


Exploring the Fisher parameter space: constraints on BSs with large quartic interaction

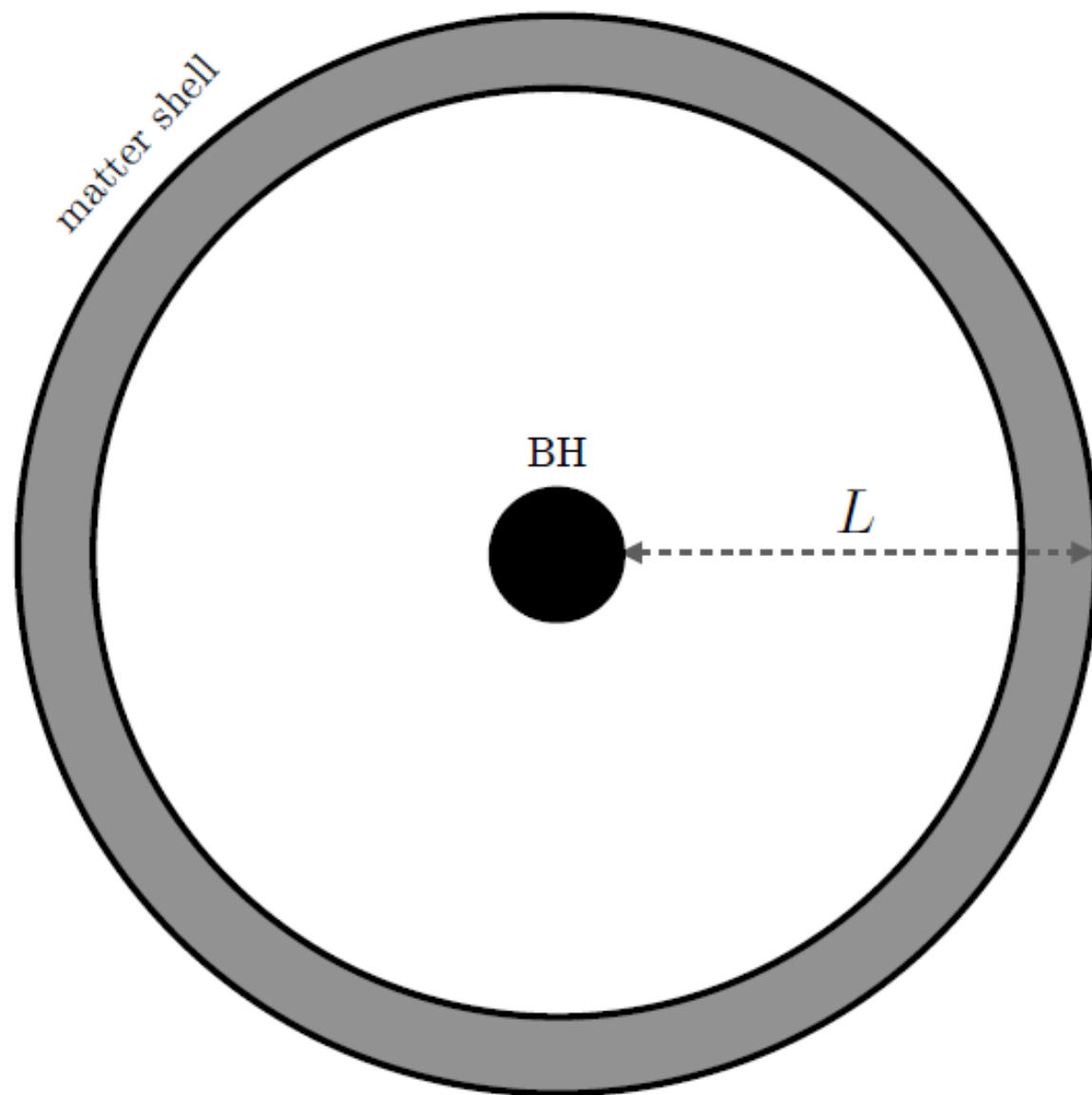
values of m_B/M_\odot above which the tidal deformability would be incompatible with future upper bounds (at 3σ C.L.)



BS existence bound: $m_i < 0.06 m_B$



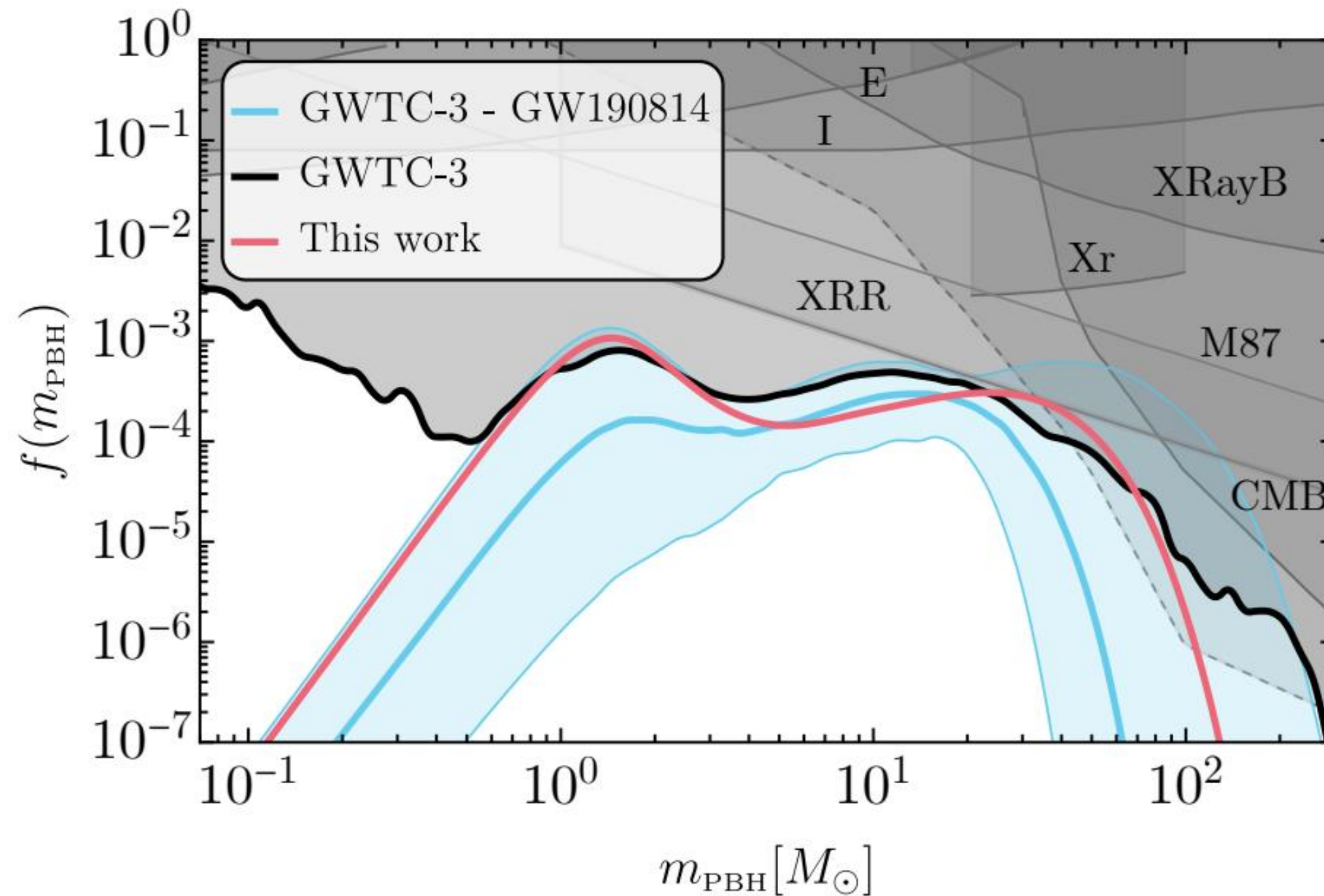
Do we exclude BPBHs if $\Lambda=0$?



$$k_2 = -\frac{\epsilon}{5} \left(\frac{L}{r_s} \right)^6$$

- Having $\Lambda=0$ may not exclude PBHs at all.
- If a PBH presents an **astrophysical environment**, tidal deformabilities will be different from zero.
- Distinguish between BPBHs with environment and **'naked' PBHs** [De Luca, Franciolini, Riotto, 2408.14207].

Subsolar event rates vs PBH abundance



Source ref. Iacovelli+, 2304.03160

$$f(m_{\text{PBH}}) \equiv \frac{1}{\Omega_{\text{DM}}} \frac{d\Omega_{\text{PBH}}}{d \ln m_{\text{PBH}}} = m_{\text{PBH}} f_{\text{PBH}} \psi(m_{\text{PBH}})$$

PBH abundance ← Mass function distribution
↓ Dark matter fraction

Real data analysis of SSM200308 trigger

Model	BH1	BH2	Agnostic	NS1	NS2	BS
$m_1 [M_\odot]$	$0.65^{+0.17}_{-0.15}$	$0.72^{+0.20}_{-0.17}$	$0.57^{+0.13}_{-0.10}$	$0.59^{+0.29}_{-0.08}$	$0.82^{+0.20}_{-0.14}$	$0.50^{+0.10}_{-0.07}$
$m_2 [M_\odot]$	$0.26^{+0.07}_{-0.04}$	$0.23^{+0.06}_{-0.04}$	$0.29^{+0.05}_{-0.05}$	$0.27^{+0.03}_{-0.08}$	$0.21^{+0.03}_{-0.03}$	$0.32^{+0.05}_{-0.05}$
χ_{eff}	$0.41^{+0.05}_{-0.04}$	$0.41^{+0.22}_{-0.05}$	$-0.13^{+0.08}_{-0.09}$	$0.15^{+0.16}_{-0.43}$	$0.72^{+0.07}_{-0.26}$	$0.36^{+0.25}_{-0.21}$
χ_p	$0.45^{+0.26}_{-0.26}$	-	-	-	-	-
$d_L [\text{Mpc}]$	80^{+37}_{-29}	83^{+41}_{-33}	97^{+45}_{-41}	110^{+139}_{-50}	76^{+37}_{-28}	106^{+84}_{-45}
$\Lambda_1 / 10^5$	-	-	-4^{+15}_{-10}	5^{+28}_{-3}	6^{+8}_{-5}	-
$\Lambda_2 / 10^7$	-	-	3^{+23}_{-12}	$1.3^{+0.6}_{-0.8}$	$0.3^{+0.3}_{-0.3}$	-
$\kappa_1 / 10^3$	-	-	15^{+347}_{-351}	-	-	-
$\kappa_2 / 10^3$	-	-	-287^{+114}_{-120}	-	-	-
$\log_{10} \tilde{\lambda}_f$	-	-	$-1.01^{+0.65}_{-0.42}$	-	-	-
$M_B [M_\odot]$	-	-	-	-	-	10^{+2}_{-2}
$\log_{10} \mathcal{B}$	-	0.31	-1.64	-2.68	0.22	-2.26

Nuclear physics implications of a SSM detection

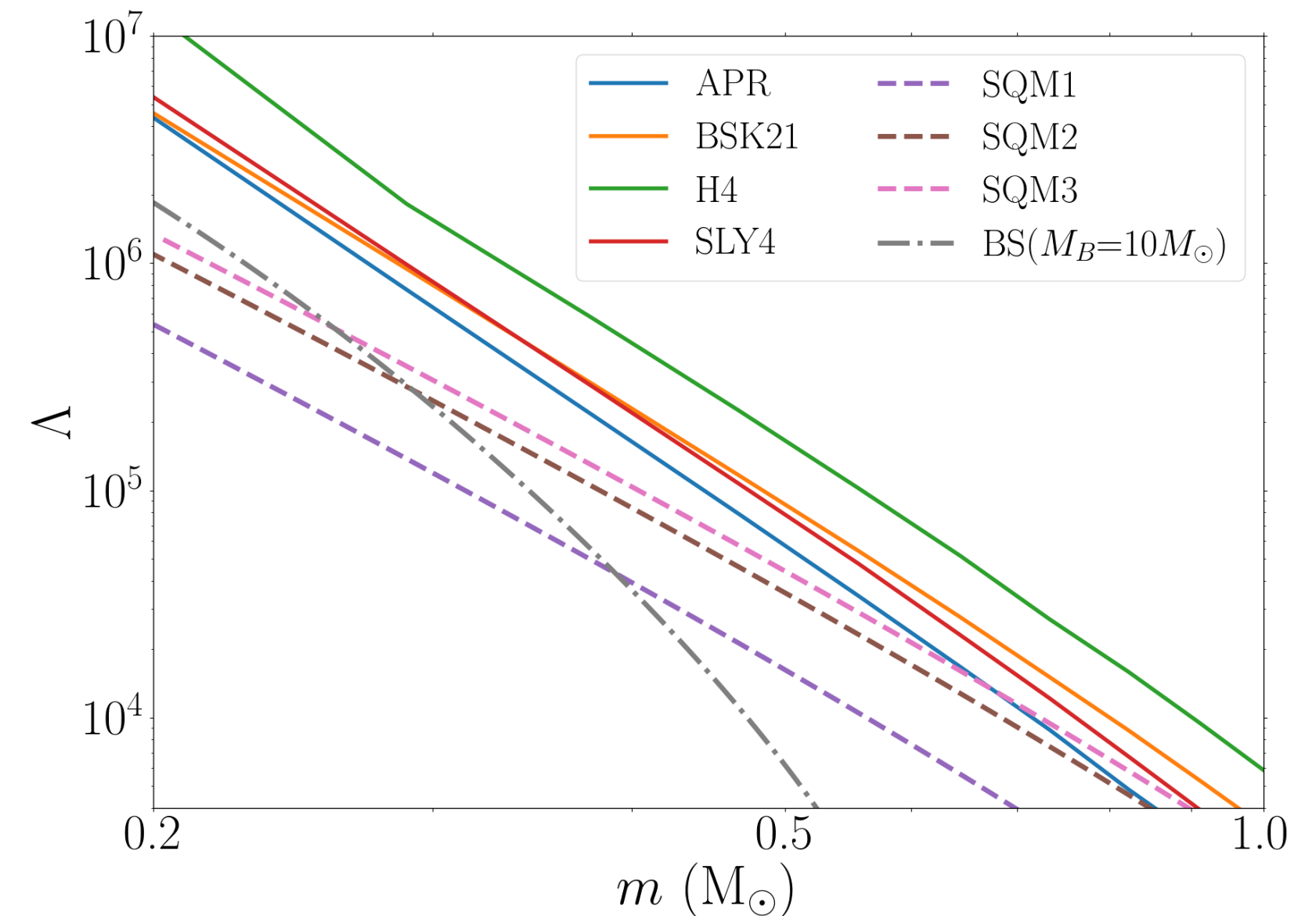
If the SSM objects are identified as light NSs/SQM stars:

- Large tidals can be exploited to constrain the NS EoS.
- Bayesian inference analysis performed by injecting/recovering models A and B, where:

$$A, B \in [APR, WFF1, SQM3]$$

- Compare the hypotheses A and B with Bayes factors.

$$\mathcal{B}_A^B = \frac{Z_B}{Z_A}$$



Detectors	$m_1 [M_\odot]$	APR \rightarrow SQM3	SQM3 \rightarrow APR	WFF1 \rightarrow SQM3	SQM3 \rightarrow WFF1
O4, SNR = 25	0.63	-1.9	-3.8	0.1	-0.4
	0.27	-10.2	-19.9	-2.7	-5.0
O5, SNR = 44	0.63	-7.0	-12.3	-0.2	-1.0
	0.27	-37.5	-88.8	-11.3	-25.1

FC-Franciolini-Pani-Vaglio, 2408.14287