

# Spotting (String) Axions in the Cosmos

OR

## Seeing the **Forest** for the **Axions**



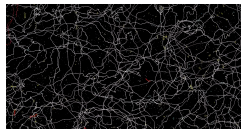
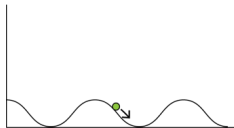
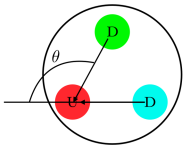
Jacob M. Leedom  
COSMIC WISPers 2nd General Meeting  
based on: **2312.13431**  
E.Dimastrogiovanni, M.Fasiello,  
M.Putti, A.Westphal

# Overview

- Mechanism to look for string axions that do not couple directly to the Standard Model
- Illustrate the limitations of this mechanism in theories of quantum gravity
- How signals will tell us about the underlying structure of our universe

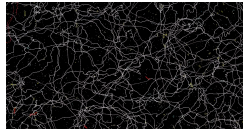
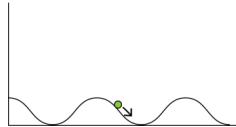
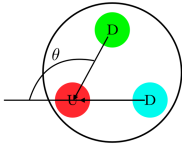
# Axions

- Well-motivated from particle physics & cosmology:



# Axions

- Well-motivated from particle physics & cosmology:



- From the [stringy](#) perspective:

Why should we care about these states?

# The String Axiverse

- Unified quantum gravity theories have  $p$ -form gauge potentials:

Type IIB:



$C_4$



$C_2$



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- Give rise to axions during compactification:

$$C_4 = \rho_\alpha \tilde{\omega}^\alpha + \dots$$

$$\bullet \alpha \in \{1, 2, \dots, h_{1,1}\}$$

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[Arvanitaki, Dimopolous,  
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⋮

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  - Probe number-theoretic properties of dualities

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**Axions are one of the best prospects to tie string theory to experiment**

- If axions couple to Standard Model:

$$\mathcal{L}_{EFT} \supset \frac{g_{a\gamma}}{4} a F_{\mu\nu} \tilde{F}^{\mu\nu}$$

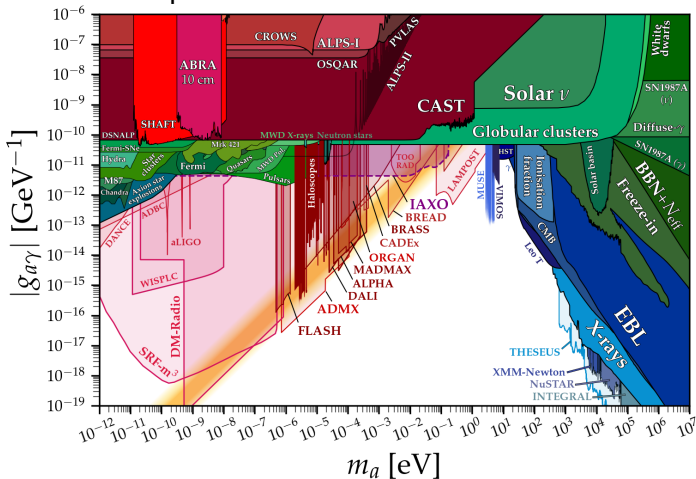
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# Detecting the Axiverse

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[O'hare's GitHub]

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What about the rest of the Axiverse?

# The Spectator Mechanism

$$\mathcal{L}_{\text{EFT}} \supset -\frac{1}{2}(\partial\varphi)^2 - V_{\text{inf}}(\varphi) + -\frac{1}{4}F_{a\mu\nu}F_a^{\mu\nu} - \frac{1}{2}(\partial\chi)^2 - V_{\text{spec}}(\chi) - \frac{\lambda}{4f_\chi}\chi F_{a\mu\nu}\tilde{F}_a^{\mu\nu}$$

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# The Spectator Mechanism

[Peloso,Sorbo,Unal]  
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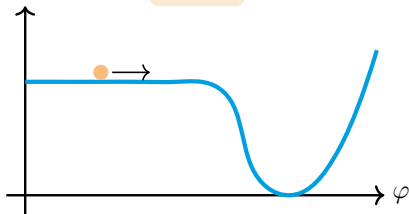
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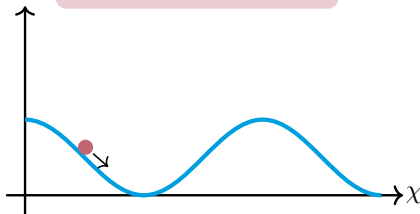
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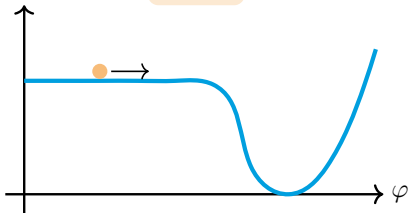


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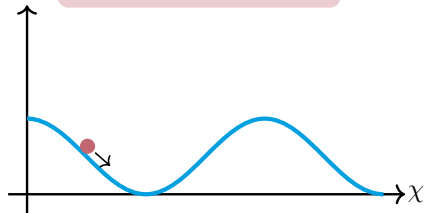
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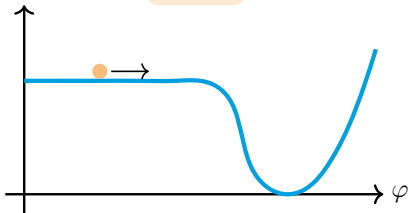
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 $\delta\chi \rightarrow \delta A + \delta A$

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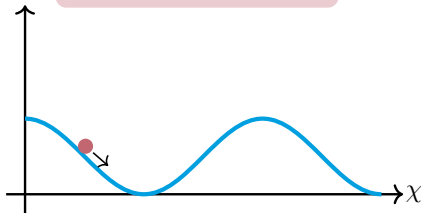
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which in turn source scalar and tensor (GW) perturbations

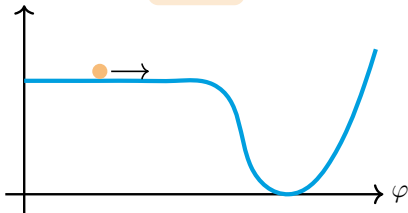
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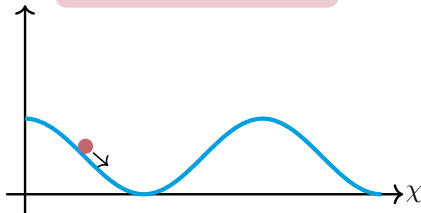
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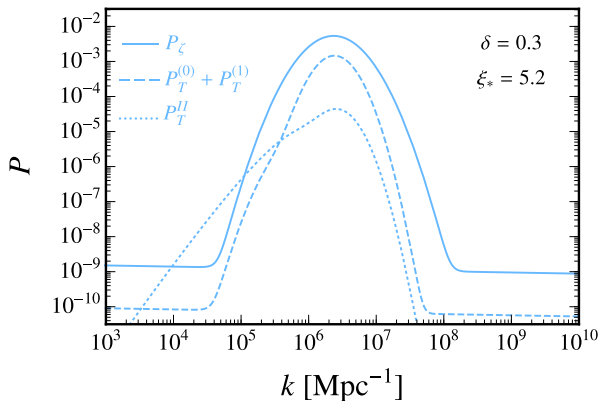
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For non-Abelian spectators, GW spectrum is flat. For Abelian spectators....



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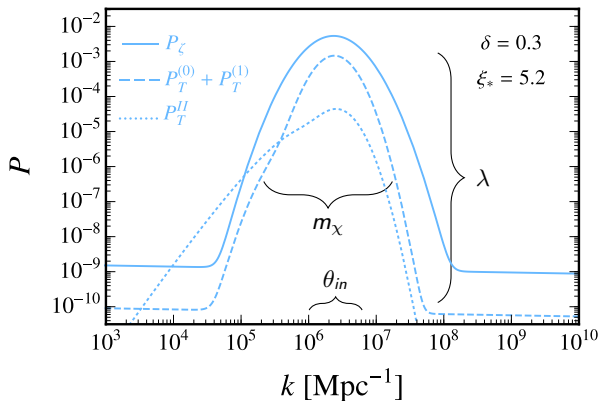
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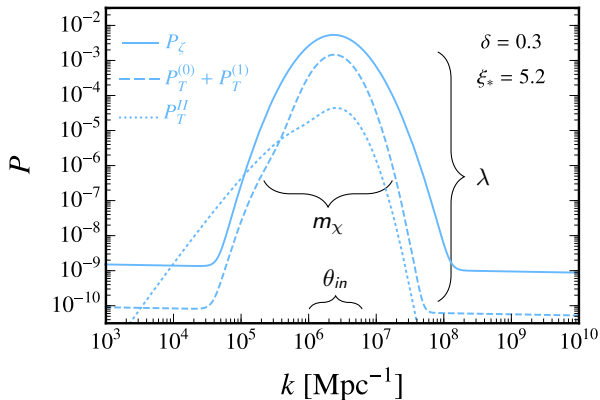
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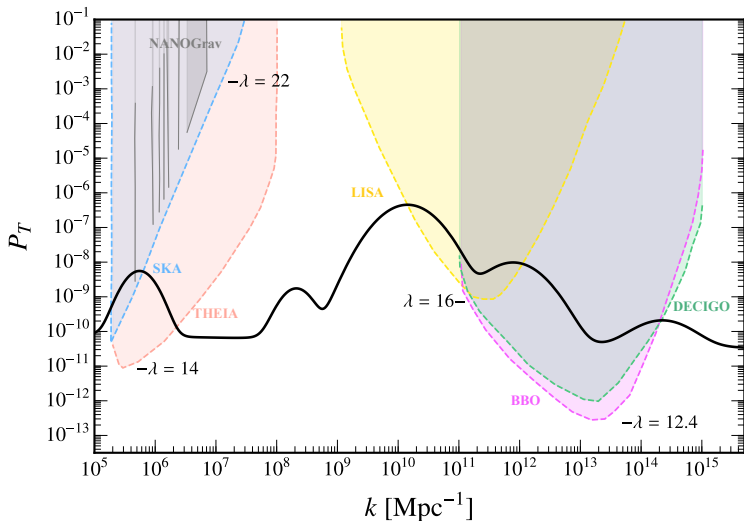
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# A Gravitational Wave Forest

Axion properties determine signal features: "Gravitational Spectroscopy"



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For fixed mass, signal is independent of  $f_\chi$

Strength is determined by  $\lambda$  - what can we expect?

# Chern-Simons Coupling in QFT

- Chern-Simons coupling:

$$\frac{\mathcal{L}_{\text{EFT}}}{\sqrt{-g}} \supset \frac{\lambda}{4f_\chi} \chi F_{\mu\nu} \tilde{F}^{\mu\nu}$$

$$\lambda \simeq q \frac{\alpha}{2\pi}$$

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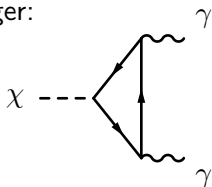
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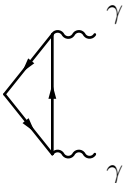
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  - Large Charges
  - Discrete Symmetry
  - Clockworking
  - Kinetic Mixing
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- But these are usually restricted: [Agrawal, Fan, Reece] [Ibanez, Montero]  
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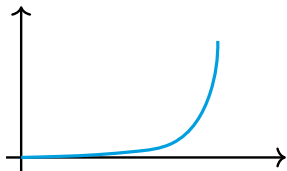
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$$\propto \frac{N_f Q_f^2 \alpha_{EM}}{4\pi}$$



Large charges/number of fermions brings down Landau pole

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# Spectator Summary

- Axions coupled to hidden gauge sectors produce GWs during inflation
- Non-Abelian spectators produce a flat spectrum
- Abelian spectators produce peaked spectrum
  - Signal strength depends on **Chern-Simons Coupling**
  - Peak frequency depends on **axion initial displacement**
  - Peak width depends on **axion mass**
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- **To relate this to the String Axiverse:**
  - What viable spectator candidates exist in string theory?
  - Can the Chern-Simons coupling be made large enough?

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- $\tilde{X}_3 = X_3/\Omega$
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- $p$ -form Decomposition

$$C_4 = \rho_\alpha \tilde{\omega}^\alpha + \dots$$

$$C_2 = c^a \omega_a$$

$$B_2 = b^a \omega_a$$

- $a \in \{1, 2, \dots, h_{1,1}^-\}$
- $\alpha \in \{1, 2, \dots, h_{1,1}^+\}$

# Spectators in String Theory: Axionic States

- What viable spectator candidates exist in string theory?

## p-form axions

- Consider Type IIB compactified on a 6d orientifold

$$M_{10} \rightarrow M_4 \times \tilde{X}_3$$

- $\tilde{X}_3 = X_3/\Omega$
- $X_3 = \text{CY 3-fold}$

- p-form Decomposition

$$C_4 = \rho_\alpha \tilde{\omega}^\alpha + \dots \quad C_2 = c^a \omega_a \quad B_2 = b^a \omega_a$$

- Result is a 4d theory with  $\mathcal{N} = 1$  supersymmetry

- $a \in \{1, 2, \dots, h_{1,1}^-\}$
- $\alpha \in \{1, 2, \dots, h_{1,1}^+\}$

$$S = C_0 + ie^{-\phi}$$

$$G^a = c^a - Sb^a$$

$$T_\alpha = \tau_\alpha + i\rho_\alpha + \dots$$

These are candidate spectator axions - need gauge fields

- D7-branes wrapping submanifold (divisor)  $\tilde{\mathcal{D}}$  of  $\tilde{X}_3$
- Gives  $\mathcal{N} = 1$  SUSY gauge theory sector to the 4d EFT
- Gauge kinetic function determines coupling:

$$\mathcal{L}_{\text{EFT}} \supset -\frac{1}{4} \text{Re} [f_{\tilde{\mathcal{D}}}] F_{\mu\nu}^A F^{A\mu\nu} - \frac{1}{4} \text{Im} [f_{\tilde{\mathcal{D}}}] F_{\mu\nu}^A \tilde{F}^{A\mu\nu}$$

$$g_{\tilde{\mathcal{D}}}^{-2} = \langle \text{Re} [f_{\tilde{\mathcal{D}}}] \rangle \quad \implies \quad \alpha_{\tilde{\mathcal{D}}} = \frac{g_{\tilde{\mathcal{D}}}^2}{4\pi}$$

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- Without worldvolume flux:

$$\begin{aligned} f_{\tilde{\mathcal{D}}} &= \frac{w^\alpha}{2\pi} T_\alpha \\ &= \frac{w^\alpha}{2\pi} \left( \tau_\alpha + i\rho_\alpha + \dots \right) \end{aligned}$$

- $w^\alpha =$  wrapping number

# Spectators in String Theory: Gauge States

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- **With** (odd) worldvolume flux:  $\frac{1}{2\pi} F_2 = m^\alpha \omega_\alpha + m^a \omega_a + m^{r_\vee} \omega_{r_\vee}$

$$f_{\tilde{\mathcal{D}}} = \frac{w^\alpha}{2\pi} \left\{ T_\alpha + i \kappa_{\alpha bc} G^b m^c + \dots \right\} \quad \bullet w^\alpha = \int_{\mathcal{D}^+} \tilde{\omega}^\alpha$$
$$= \frac{w^\alpha}{2\pi} \left\{ \tau_\alpha + \dots \right\} + i \frac{w^\alpha}{2\pi} \left( \rho_\alpha + \kappa_{\alpha bc} c^b (m^c - b^c) + \dots \right)$$

# Spectators in String Theory: Stückelberg Mechanism

- Above provides spectator axions & gauge fields
- This is not sufficient: must avoid the Stückelberg Mechanism

$$\mathcal{L}_{MP} = \frac{1}{2}(\partial_\mu\chi - qA_\mu)^2 - \frac{1}{4g^2}F_{\mu\nu}F^{\mu\nu} \quad \Rightarrow \quad \text{Massive U(1)}$$

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  - Geometric Stückelberg

$$\nabla_\mu c^a = \partial_\mu c^a - q^a A_\mu$$

$$\bullet q^a = \frac{N_{D7}}{2\pi} w^a$$

- Flux Stückelberg

$$\nabla_\mu \rho_\alpha = \partial_\mu \rho_\alpha - q_\alpha A_\mu$$

$$\bullet q_\alpha = -\frac{N_{D7}}{2\pi} \kappa_{\alpha bc} m^b w^c$$



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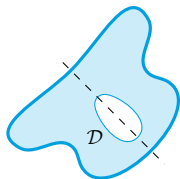
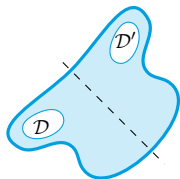
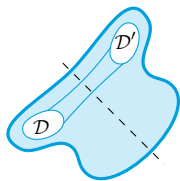
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If geometric/flux Stückelberg mechanisms are active,  
then U(1) gauge boson removed from massless spectrum

# Spectators in String Theory: Stückelberg Mechanism

- Class I:  $[\mathcal{D}] = [\mathcal{D}']$ 
  - Divisors in  $X_3$  are homologous
  - Odd wrapping numbers vanish
  - Both Stückelbergs inactive
  - Massless U(1) & axions present in EFT
- Class II:  $[\mathcal{D}] \neq [\mathcal{D}']$ 
  - $U(N) = SU(N) \times U(1)$  gauge theory
  - Geometric Stückelberg active
  - Must break gauge group to obtain U(1)
- Class III:  $\mathcal{D} = \mathcal{D}'$  pointwise
  - $Sp(N)$  or  $SO(N)$  gauge theory
  - Odd wrapping numbers vanish
  - Must break gauge group to obtain U(1)



# Spectators in String Theory: Model Parameters

- Now that we have spectator states, need model parameters
- Axion Decay Constants: Kinetic Terms

$$\mathcal{S}_{\text{EFT}} \supset M_p^2 \int \left( K^{\alpha\beta} \partial_\mu \rho_\alpha \partial^\mu \rho_\beta + K_{ab} \partial_\mu c^a \partial^\mu c^b \right) \sqrt{-g} d^4x$$

$$f_\alpha = M_p \sqrt{2\lambda_\alpha} \quad a_\alpha = f_\alpha \rho_\alpha$$

- Axion Masses

- Euclidean D3-branes
- Euclidean D1-branes
- ED1s dissolved in an ED3
- Gaugino Condensation

$$\implies V(a) = \Lambda^4 \cos(a/f_a)$$

- Gauge theory coupling:  $g^{-2} = \frac{1}{2\pi} \langle w^\alpha \tau_\alpha \rangle$

Also need CS coupling - how large can we make it?

# Spectators in String Theory: $C_4$ CS Coupling

- How far can we push the CS Coupling in string theory?
- $C_4$ -axion spectators: **difficult in controlled theories**

$$f_{\tilde{D}} = \frac{w}{2\pi} T = \frac{w}{2\pi} (\tau + i\rho + \dots)$$

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**However**

$$\lambda_{C_4} \sim w g_{\tilde{D}}^2 \sim w \frac{1}{w \langle \tau \rangle} = \frac{1}{\langle \tau \rangle}$$

- Independent of  $w$
- large CS possible only with large worldvolume gauge coupling
- May run into trouble with Distance/Emergent String Conjecture

# Spectators in String Theory: $C_2$ CS Coupling

- How far can we push the CS Coupling in string theory?
- $C_2$ -axion spectators: **better prospects**

$$\mathcal{L}_{\text{EFT}} \supset \frac{\alpha \tilde{\mathcal{D}}}{\pi} w^\alpha \kappa_{\alpha cd} m^c c^d F_{\mu\nu} \tilde{F}^{\mu\nu}$$

$$\lambda_{C_2} \propto w^\alpha \kappa_{\alpha cd} m^c$$

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- Combined Boosting:  $\left\{ \begin{array}{l} \text{Wrapping } w^\alpha \\ \text{Intersection Numbers } \kappa_{\alpha cd} \\ \text{Magnetization } m^c \end{array} \right.$

- This strategy was used for **non-Abelian** spectators

[McDonough, Alexander]

[Holland, Zavala, Tasinato]

- comes at a cost: **Induced D3-tadpole**

# Spectators in String Theory: Tadpole Constraints

- Tadpole  $\leftrightarrow$  total charge must vanish on a compact manifold

$$d \star F_2 = \star(Q j)$$



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- There must be positive and negative charges present for consistency
- Applies also to the extended Dp-branes of string theory
- D7-branes with flux contribute "effective" D3-brane charge

$$Q_{D3} \simeq w\kappa_{+--} m^2 N_{D7}$$

- This must be canceled by other charges, e.g. orientifold planes

Size of  $C_2$  CS Coupling limited by tadpole

# Spectators in String Theory: Tadpole Constraints

- If we consider lifting Type IIB to F-theory, the tadpole constraint is related to the topology of a CY 4-fold:

$$N_{D3} + \int_{Y_4} G_4 \wedge G_4 = \frac{\chi(Y_4)}{24}$$

- If there was a bound on the Euler characteristic  $\chi$ , we could bound the allowed  $C_2$  CS coupling.

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**Non-Abelian spectators are borderline**

# Spectators in String Theory: General Constraints

- Problem with Non-Abelian Spectators: need small gauge coupling and  $\lambda \simeq \mathcal{O}(10^2)$
- **Not** the case for Abelian models: only need  $\lambda \simeq \mathcal{O}(10)$

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## Constraints on Stringy Abelian Spectators

- Rough Tadpole Bound

$$w(\kappa_{+--})m^2 N_{D7} \lesssim (0.1) \times 10^5$$

- Gauge Theory Perturbativity

$$\frac{\alpha}{2\pi} \lesssim 1$$

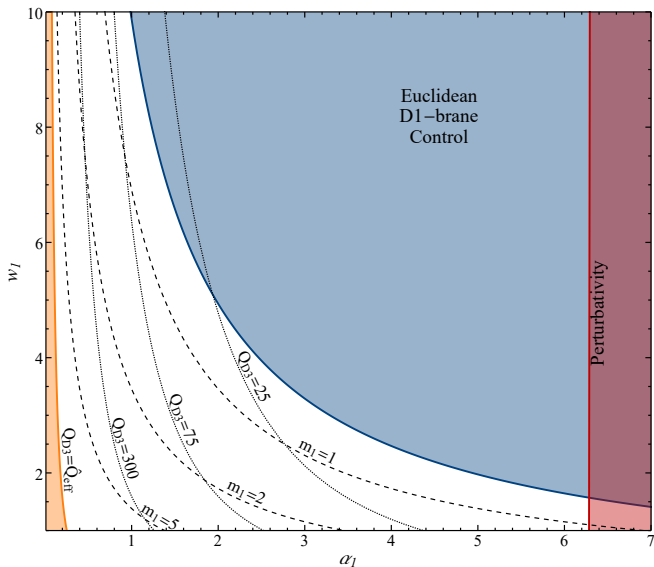
$$\bullet \alpha = \frac{1}{2w\langle\tau\rangle}$$

- Control of Non-Perturbative Corrections (ED1s)

$$2\pi v \gtrsim \mathcal{O}(1) \Rightarrow \frac{\pi^2}{\kappa_{+++} w \alpha} \gtrsim 1$$

$$\bullet \tau = \frac{1}{2}\kappa_{+++} v^2$$

# Spectators in String Theory: Example Parameter Space



- Axions are an invaluable tool to connect string theory to experiments
- Spectator mechanism is an excellent complement to other searches
  - Observation of Abelian spectator peaks → [gravitational spectroscopy](#)
- Such models come with a cost - CS coupling constrained
  - $C_4$ -axion spectators are difficult to realize
  - Non-Abelian spectators require huge tadpoles
  - Abelian spectators are less restricted
- Observable signal has implications for the underlying geometry
  - $C_2$ -axion spectators are best candidates → probe the **Odd Axiverse**
  - Multiple signals → topologically non-trivial corner of the landscape

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- Towards the future:
  - Heterotic Axiverse & Spectators



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