

## **DOPPLER EFFECT IN A MEDIUM IN THE X-RAY RANGE**

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10th International Conference "Charged & Neutral Particles Channeling Phenomena" CHANNELING-2024, 8-13 September 2024 in Riccione, Italy**HELMHOLTZ** 



## Abstract

- Ter-Mikaelian noted that PXR frequency can be considered as a manifestation of the Doppler effect [2].
- The Doppler effect in X-ray range emitted by a relativistic oscillator in a medium or by a relativistic charged particle moving in a periodical medium is considered.
- The radiation can be emitted, for instance, due to mechanisms of parametric X-ray radiation, coherent bremsstrahlung, undulator radiation in a crystalline undulator, transition radiation from a stack of foils.
- The illustration of the Doppler low as an ellipsoid in the momentum space is shown.

### **Doppler effect in vacuum as an ellipsoid in the momentum space**

First of all, remind the radiation frequency of an oscillator with own angular frequency  $\omega_0$ which moves rectilinearly and uniformly with velocity *V* in vacuum. The observer can see the radiation with single frequency  $\omega_{V}$  which is described by the Doppler low

$$
\omega_{V} = \frac{\omega_{0} \gamma^{-1}}{1 - \frac{V}{c} \cos \theta},
$$
\n(1)

where 1  $1 - \left(\frac{V}{I}\right)^2$  $\gamma =$   $\left| \begin{array}{c} 1 \\ - \end{array} \right|$  $=\left[1-\left(\frac{V}{c}\right)^2\right]^{-1}$ is the relativistic Lorentz factor of the oscillator and  $\theta$  is the observation

angle between the oscillator velocity vector and the observation direction. In the case of the longitudinal Doppler effect, the radiation frequency observed in forward direction at  $\theta = 0$  is

$$
\omega_V(\theta=0) = \omega_0 \gamma \left(1 + \sqrt{1 - \gamma^{-2}}\right) \sim 2\omega_0 \gamma , \qquad (2)
$$

and the radiation frequency observed in backward direction at  $\theta = \pi$  is

$$
\omega_V(\theta = \pi) = \omega_0 \gamma \left(1 - \sqrt{1 - \gamma^{-2}}\right) \sim \frac{\omega_0}{2\gamma}.
$$
\n(3)

In the case of transversal Doppler effect, the radiation frequency observed in transversal direction at 2  $\theta = \frac{\pi}{2}$  is

$$
\omega_{V}\left(\theta=\frac{\pi}{2}\right)=\omega_{0}\gamma^{-1}.
$$
\n(4).

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Radiation of frequency (1) in vacuum is possible at any own oscillator frequency  $\omega_0$ , and velocity  $V$ , and value of the Lorentz factor  $\gamma$ .



Doppler low

$$
\omega_V = \frac{\omega_0 \gamma^{-1}}{1 - \frac{V}{c} \cos \theta},\tag{5}
$$

Ellipsoid in polar coordinates

$$
\rho = \frac{d}{1 - \xi \cos \theta},\tag{6}
$$

where  $\xi$  is the eccentricity of the ellipsoid.

Rewrite the Doppler low as a function of gamma-factor

$$
\left|\vec{k}\right| = \frac{\hbar\omega_{v}}{c} = \frac{\hbar\omega_{0}\gamma^{-1}}{c\left(1 - \sqrt{1 - \gamma^{-2}}\cos\theta\right)},\tag{7}
$$

and the ellipsoid formula as a function of long  $a$  and short  $b$  ellipsoid semi-diameters

$$
\rho = \frac{b^2/a}{1 - \sqrt{1 - \left(\frac{b}{a}\right)^2} \cos \theta},
$$
\n(8)

Comparing Eq. (7) and Eq. (8), one can see that

Eccentricity 
$$
\xi = \frac{V}{c}
$$
, gamma-factor  $\gamma = \frac{a}{b}$ , wave vector module  $|\vec{k}| = \rho$ ,  $\frac{\hbar \omega_0}{c} = b$ 



**Doppler low as an ellipsoid in momentum space**

**Doppler:** 
$$
|\vec{k}| = \frac{\hbar \omega_v}{c} = \frac{\hbar \omega_0 \gamma^{-1}}{c \left(1 - \sqrt{1 - \gamma^{-2}} \cos \theta\right)}
$$
 =  $\rho = \frac{b^2 / a}{1 - \sqrt{1 - \left(\frac{b}{a}\right)^2} \cos \theta}$  - Ellipsoid

Eccentricity  $\zeta = \frac{V}{V}$ *c*  $\xi = \frac{V}{c}$ , gamma-factor  $\gamma = \frac{a}{b}$ , wave vector module  $|\vec{k}| = \rho$ ,  $\frac{\hbar \omega_0}{c} = b$  $\frac{\hbar \omega_0}{2} = b$ 

At ellipsoid is strongly elongated along the velocity of momentum vector  $\vec{P}$  at -factor  $\gamma = \frac{a}{l} >> 1$  $\gamma = \frac{a}{b} >>$ 

## in vacuum





Cross section of the ellipsoid in vacuum in momentum space



#### **The Doppler effect in a medium in X-ray range**

Let us consider radiation frequency of the same relativistic oscillator with own frequency  $\omega_0$  moving in a medium. If the particle moves in the medium with the permittivity  $\varepsilon$ , we have to rewrite the equation (1) as

$$
\omega = \frac{\omega_0 \gamma^{-1}}{1 - \frac{V\sqrt{\varepsilon}}{c} \cos \theta}.
$$
\n(6)

Below we will consider radiation in X-ray and gamma-ray ranges, when

$$
\varepsilon = 1 - \left(\frac{\omega_p}{\omega}\right)^2,\tag{7}
$$

where  $\omega_n$  is the plasma frequency in the medium, and emitted frequency  $\omega$  is out of the resonant atomic or nuclear frequencies, and also should be

$$
\omega > \omega_p \,,\tag{8}
$$

because of radiation with  $\omega < \omega_p$  is absorbed in the medium.

The Cherenkov radiation is impossible in this case because the phase light velocity  $\frac{c}{\sqrt{\varepsilon}}$ exceeds the common light velocity *c* and, naturally, the oscillator or charged particle of velocity *V*. Inserting Eq. (7) to Eq. (6), we obtain the quadratic equation for emitted by the oscillator frequency (see Appendix 1)

$$
\omega^2 \left[ 1 - \left( \frac{V \cos \theta}{c} \right)^2 \right] - \omega^2 \omega_0 \gamma^{-1} + \omega_0^2 \gamma^{-2} + \omega_p^2 \left( \frac{V \cos \theta}{c} \right)^2 = 0 \,. \tag{9}
$$

Eq. (9) has two solutions (see Appendix 2)

$$
\omega_{+/-} = \frac{\omega_0 \gamma^{-1} \left[ 1 \pm \frac{V \cos \theta}{c} \sqrt{1 - \left(\frac{\omega_p \gamma}{\omega_0}\right)^2 \left[1 - \left(\frac{V \cos \theta}{c}\right)^2\right]} \right]}{\left[ 1 - \left(\frac{V \cos \theta}{c}\right)^2 \right]} = \omega_V \frac{1 \pm \frac{V \cos \theta}{c} \sqrt{1 - \left(\frac{\omega_p \gamma}{\omega_0}\right)^2 \left[1 - \left(\frac{V \cos \theta}{c}\right)^2\right]}}{1 + \frac{V \cos \theta}{c}} (10)
$$



But the solution (10) with negative sign does not satisfy Eq. (6) at  $\omega_p = 0$ . In following, we will consider solution (10) with positive sign.

$$
\omega = \frac{\omega_0 \gamma^{-1} \left[ 1 + \frac{V \cos \theta}{c} \sqrt{1 - \left(\frac{\omega_p \gamma}{\omega_0}\right)^2 \left[1 - \left(\frac{V \cos \theta}{c}\right)^2\right]} \right]}{\left[ 1 - \left(\frac{V \cos \theta}{c}\right)^2 \right]} = \omega_v \frac{1 + \frac{V \cos \theta}{c} \sqrt{1 - \left(\frac{\omega_p \gamma}{\omega_0}\right)^2 \left[1 - \left(\frac{V \cos \theta}{c}\right)^2\right]}}{1 + \frac{V \cos \theta}{c}} (10a)
$$

The radiation with frequency  $(10)$  is possible, if the radicand in  $(10a)$  is not negative

$$
\left(\frac{\omega_p \gamma}{\omega_0}\right)^2 \left(1 - \left(\frac{V \cos \theta}{c}\right)^2\right) < 1\tag{11}
$$

One can find conditions for existence of the radiation from inequality (11) (see Appendix 2a)

$$
\left|\sin\theta\right| < \frac{c}{V\gamma} \sqrt{\left(\frac{\omega_0}{\omega_p}\right)^2 - 1} \tag{12}
$$

and also one condition for existence of the radiation (in addition to condition (8))

$$
\omega_0 > \omega_p. \tag{13}
$$

At  $\gamma >> 1$  and  $\omega_0 >> \omega_n$  the condition (12) looks as

$$
\theta < \frac{\omega_0}{\gamma \omega_p} \tag{14}
$$

Thus, the relativistic oscillator in a medium can emit X-ray radiation only in the forward direction within the angles around of  $\gamma^{-1}$  similarly to bremsstrahlung radiation emitted by a relativistic charged particle in a medium.

The frequency of radiation emitted in forward direction at  $\theta = 0$  is (see Appendix 5)

$$
\omega_{+}(\theta=0)=\omega_{0}\gamma\left[1+\sqrt{\left(1-\gamma^{-2}\right)\left(1-\left(\frac{\omega_{p}}{\omega_{0}}\right)^{2}\right)}\right].
$$
\n(15)

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This frequency is some reduced in comparison to vacuum frequency (2).

#### **Examples of Doppler effect**

Usually, an oscillator is considered as a particle without charge. But we can consider radiation of an elementary particle moving in a periodical medium and emitting radiation periodically. Ter-Mikaelian first turned attention that PXR frequency emitted in a crystal can be considered as Doppler effect. We believe that all types of radiation emitted in a periodical medium can be considered as manifestation of the Doppler effect. In this case we have to insert into Doppler

formula expression  $\frac{2\pi V}{l}$  instead of  $\omega_0 \gamma^{-1}$ . Consider examples:

#### **Parametric X-ray radiation.**

Mechanism of radiation – polarization type. Particle moves rectilinearly.

$$
\omega_{PXR} = \frac{2\pi V}{l} \frac{1}{1 - \frac{V\sqrt{\varepsilon}}{c}\cos\theta}
$$

**Coherent bremsstrahlung** (at  $\hbar \omega \ll E_e$ ).

Mechanism of radiation – bremsstrahlung on nuclei. Particle moves rectilinearly.

$$
\omega_{BS} = \frac{2\pi V}{l} \frac{1}{1 - \frac{V\sqrt{\varepsilon}}{c}\cos\theta}
$$

**Transition radiation on a stack of foils** (Bayer, Karkov)

Mechanism of radiation – polarization type. Particle moves rectilinearly.

$$
\omega_{TR} = \frac{2\pi V}{l} \frac{1}{1 - \frac{V\sqrt{\varepsilon}}{c}\cos\theta}, \text{ where } \varepsilon = 1 - \left(\frac{\omega_{\text{perf}}}{\omega_L}\right)^2, \quad \omega_{\text{perf}}^2 = \frac{2l_1\omega_{\text{pl}}^2 + 2l_2\omega_{\text{pl}}^2}{l}
$$

#### **Undulator radiation from crystalline undulator**

Mechanism of radiation – undulator type. Particle moves with transverse velocity.

$$
\omega_{CV} = \frac{2\pi n}{l} \frac{V_z}{1 - \frac{\sqrt{\varepsilon}}{c} V_z \cos \theta}.
$$
\n(8)

where longitudinal velocity in sinusoidal undulator is 
$$
V_z = c \left( 1 - \frac{1 + \frac{K^2}{2}}{2\gamma^2} \right)
$$
,  $K = \frac{2\pi A}{l} \gamma$ 

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and longitudinal velocity in volume reflection undulator is  $V_z = V \cos \alpha$ 



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## **Asconowledgements**

This project has received funding through the MSCA4Ukraine project #1233244, which is funded by the European Union. A.V.S is thankful to S. Trofymenko, I. Kirillin, M. Bondarenko for discussions about Doppler effect.

