

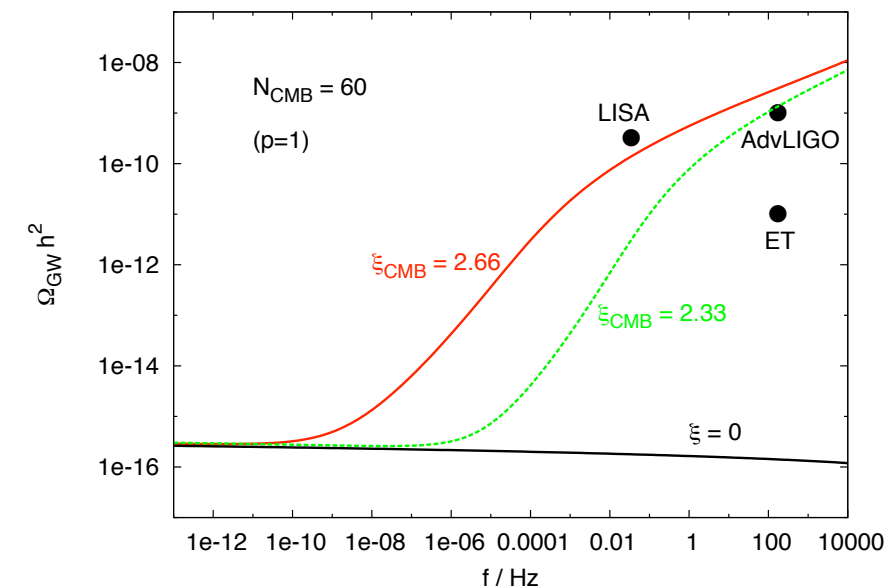
Axion inflation in the regime of strong backreaction

Marco Peloso, University of Padua

In collaboration with:

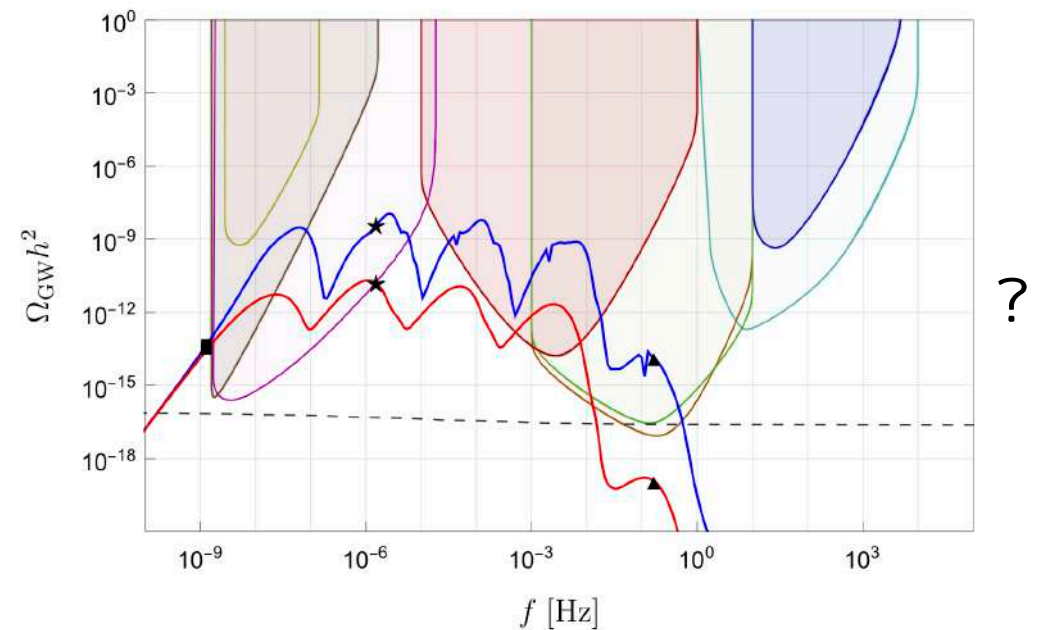
N. Barnaby, M. Braglia, G. Calcagni, A. Caravano, C. Contaldi,
V. Domcke, G. Franciolini, J. Fumagalli, J. García-Bellido, G. Mentasti,
R. Namba, G. Nardini, E. Pajer, A. Papageorgiou, M. Pieroni,
S. Renaux-Petel, A. Ricciardone, K. Schmitz, M. Shiraishi, O. Sobol,
L. Sorbo, G. Tasinato, C. Unal, V. Vaskonen, R. von Eckardstein

- Vanilla slow-roll inflation produce a GW signal too low to be observed in the foreseeable future (at least, at sub-CMB scales)
- Larger signal in various inflationary models / mechanisms
 Braglia et al '24, LISA Cosmology Working Group.
 Talk by Ricciardone
- Highly studied (and natural) mechanism is from an axion inflaton of strong backreaction, where our knowledge is still incomplete.



Barnaby, Pajer, MP '11

?



García-Bellido, Papageorgiou,
 MP, Sorbo '23

Shift symmetry $\phi \rightarrow \phi + C$. E.g. axion (natural) inflation

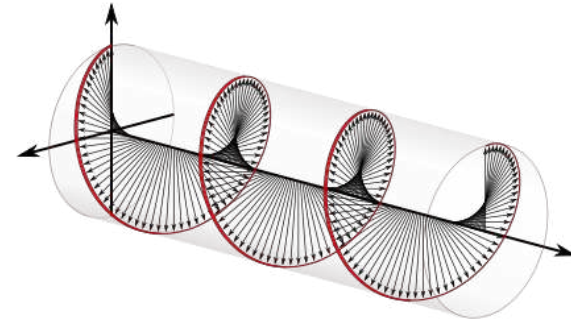
Freese, Frieman,
Olinto '90

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi)^2 + V_{\text{shift}}(\phi) + \frac{c_A}{f} \partial_\mu \phi \bar{\psi} \gamma^\mu \gamma_5 \psi + \frac{c_\psi}{f} \phi F_{\mu\nu} \tilde{F}^{\mu\nu}$$

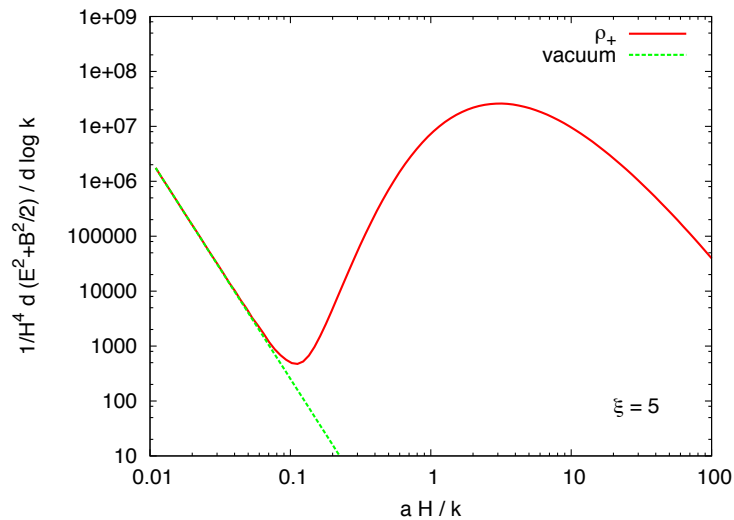
- Couplings shift symmetric, no large radiative corrections to V
- $\phi F \tilde{F}$ breaks parity, \neq results for two polarization

$$\left(\frac{\partial^2}{\partial \tau^2} + k^2 \mp \frac{a k \dot{\phi}}{f/c_A} \right) A_\pm(\tau, k) = 0$$

+ left handed
- right handed



Physical ρ in one mode



- Renormalize away vacuum energy in UV

Ballardini, Braglia, Finelli,
Marozzi, Starobinsky, '19

- One tachyonic helicity at horizon crossing

- Then diluted by expansion

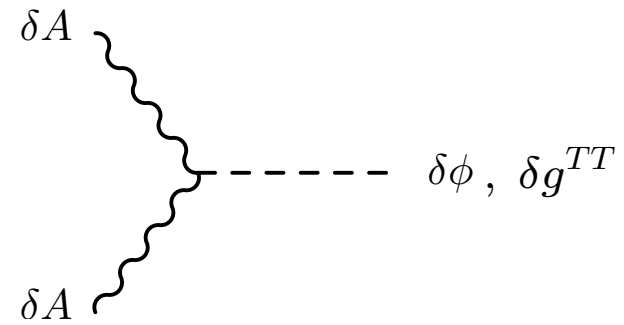
- Max amplitude $A_+ \propto e^{\pi \xi}$, $\xi \equiv \frac{c_A \dot{\phi}}{2fH}$

Continuous supply! At any moment during inflation, gauge modes with

$\lambda \simeq H$ are produced \rightarrow possible signals at several scales

Amplified gauge fields **source** scalar and tensor perturbations

Barnaby, MP '10



- GWs are **chiral**, $\delta g_L^{TT} \gg \delta g_R^{TT}$ Sorbo '11
- Sourced signals for $5 \sim \xi \equiv \frac{C_A \dot{\phi}}{2fH} \simeq \sqrt{\frac{\epsilon}{2}} \frac{C_A M_p}{f} \Rightarrow \frac{f}{c_A} \simeq 10^{-2} M_p$

Problems with natural inflation

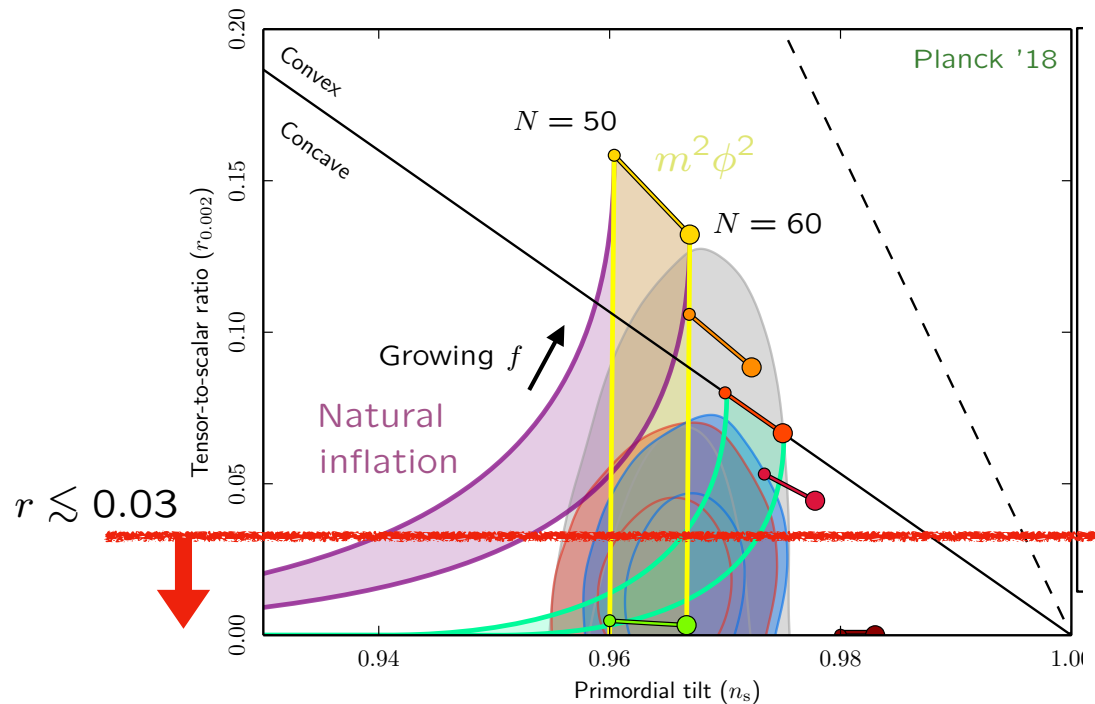
Common to identify axion inflation \equiv “natural inflation”

$$V = \Lambda^4 \left[1 - \cos \left(\frac{\phi}{f} \right) \right]$$

Freese, Frieman, Olinto '90

★ Ruled out

★ $f > M_p$ in range shown

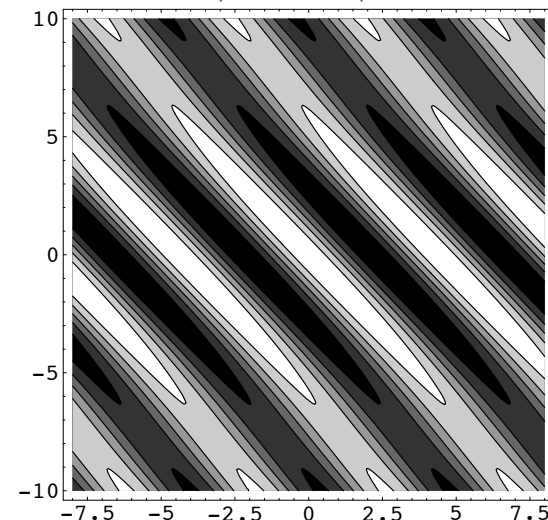


- **Aligned natural inflation** in agreement with data & testable at CMB-S4

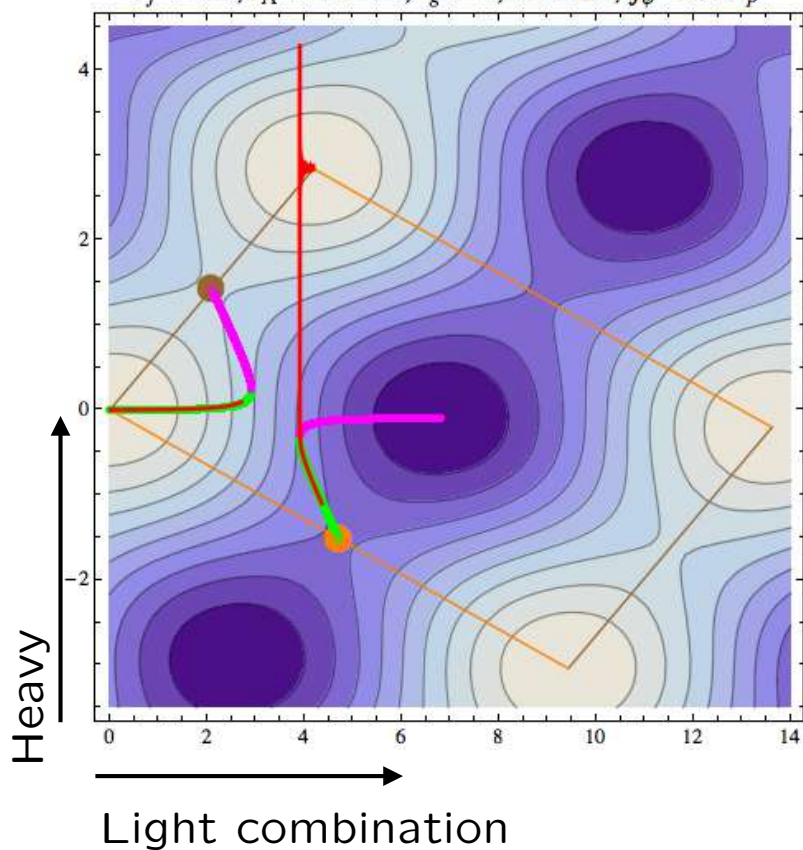
$$V = \Lambda_1^4 \left[1 - \cos \left(\frac{\theta}{f_1} + \frac{\rho}{g_1} \right) \right] + \Lambda_2^4 \left[1 - \cos \left(\frac{\theta}{f_2} + \frac{\rho}{g_2} \right) \right]$$

$$f_{\text{eff}} \gg f_i, g_i \quad \text{if} \quad \frac{f_1}{g_1} \simeq \frac{f_2}{g_2}$$

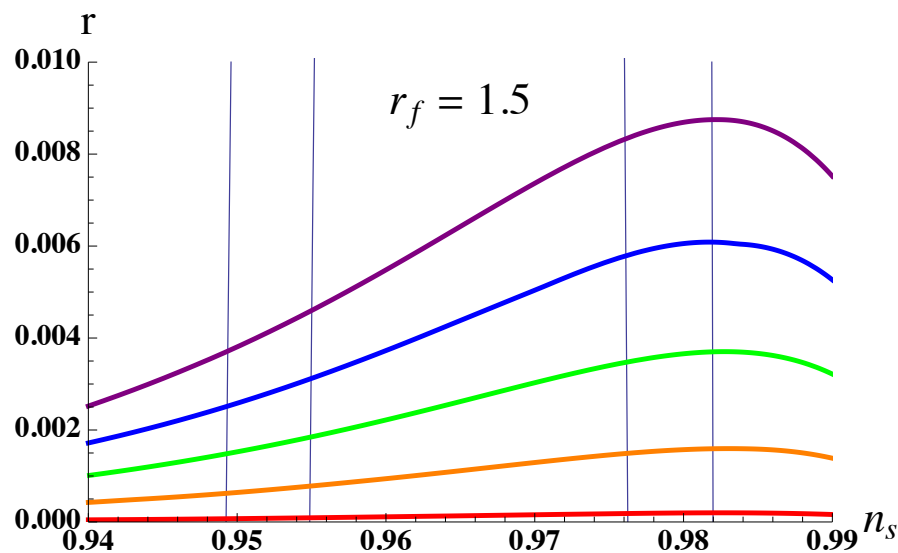
Kim, Nilles, MP '05



$r_f = 1.5; r_\Lambda = 0.4144; r_g = 1; \alpha = 0.01; f_\phi = 5.5 M_p$



New class of solutions where inflation ends as a waterfall MP, Unal '15



Analytic trajectory and CMB phenomenology worked out in Greco, MP '24

$$V = \Lambda_1^4 \left[1 - \cos \left(\frac{\theta}{f_1} + \frac{\rho}{g_1} \right) \right] + \Lambda_2^4 \left[1 - \cos \left(\frac{\theta}{f_2} + \frac{\rho}{g_2} \right) \right]$$

Model invariant under simultaneous rotation of $\vec{\phi} \equiv \{\theta, \rho\}$, $\vec{v}_i \equiv \{f_i^{-1}, g_i^{-1}\}$

Described in terms of invariant $n_1 \equiv \vec{v}_1 \cdot \vec{v}_1$, $n_2 \equiv \vec{v}_2 \cdot \vec{v}_2$, $\mathcal{C} \equiv -\vec{v}_1 \times \vec{v}_2$

as well as $\Lambda^4 \equiv \Lambda_1^4 + \Lambda_2^4$, $r_\Lambda \equiv \frac{\Lambda_2^4}{\Lambda_1^4}$

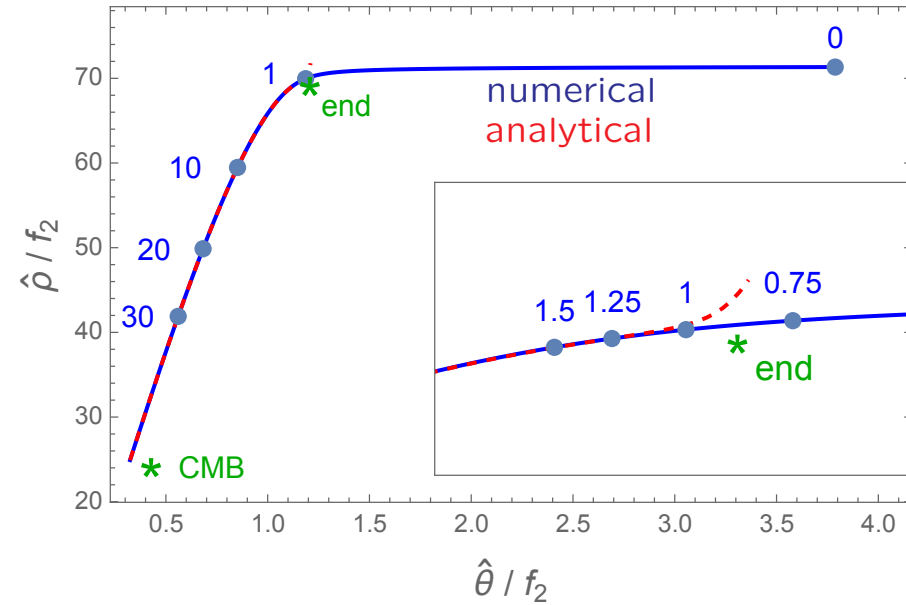
Conditions for trajectory, $\vec{\nabla} V \cdot \vec{\phi}_{\text{heavy}} = 0$

and its stability $m_{\text{heavy}}^2 > 0$ greatly simplify

for strong alignment, $|\mathcal{C}| \ll n_1, n_2$

$$n_s - 1 \simeq -\frac{M_p^2 r_\Lambda \mathcal{C}^2}{r_\Lambda n_2 - n_1}$$

$$r \simeq \frac{2 |n_s - 1|^2}{\mathcal{C}^2 M_p^2} \left[\sqrt{n_2} \arcsin \left(\sqrt{\frac{r_\Lambda^2 n_2^2 - n_1^2}{n_1 (n_2 - n_1)}} \right) - \sqrt{n_1} \arccos \left(\frac{1}{r_\Lambda} \sqrt{\frac{n_1 (n_1 - r_\Lambda^2 n_2)}{n_2 (n_2 - n_1)}} \right) \right]^2 e^{-|n_s - 1|N}$$



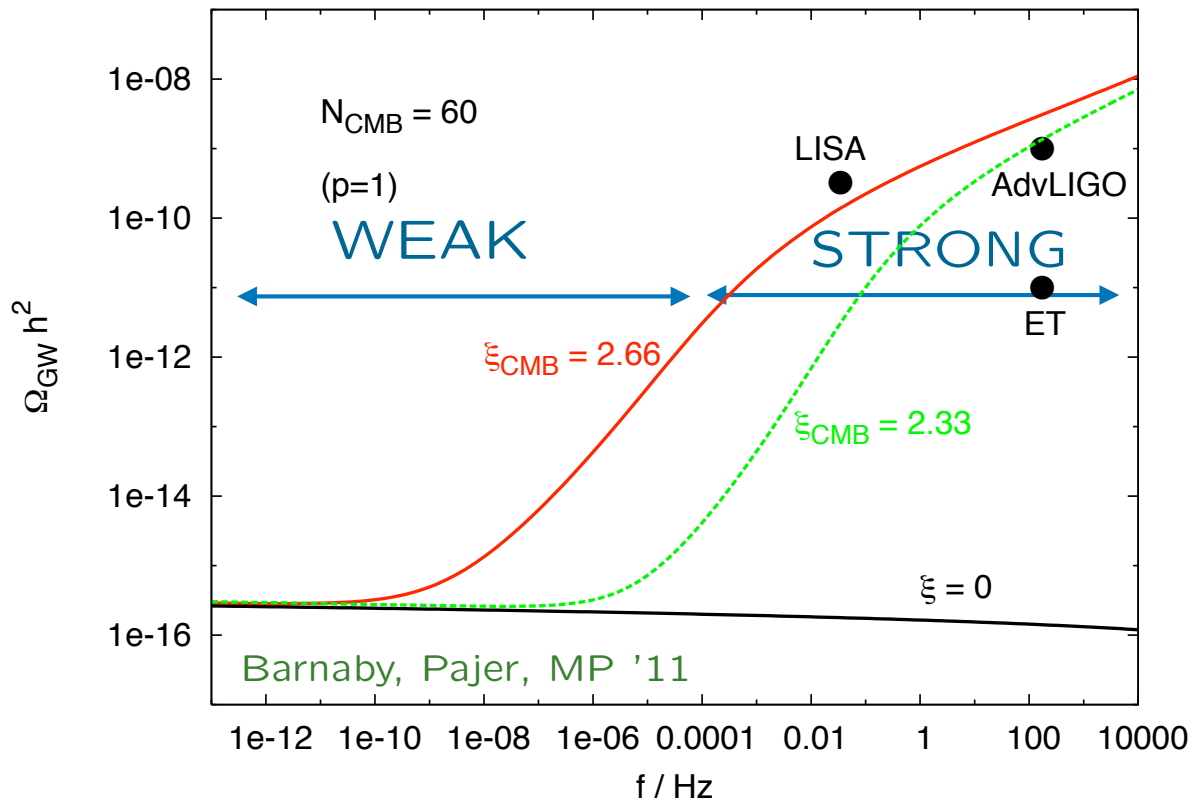
- $\frac{c_A}{f} \phi F \tilde{F}$ constrained at CMB scales by scalar NG

$$\xi \equiv \frac{c_A \dot{\phi}}{2fH} \lesssim 2.5 \quad \begin{array}{l} \text{Barnaby, MP '10} \\ \text{Planck '15} \end{array}$$

- ξ grows during inflation, and $A_+ \propto e^{\pi\xi}$, can produce observable GW at PTA / interferometers scales

(and PBH)

Cook, Sorbo '11; Barnaby, Pajer, MP'11;
Domcke, Pieroni, Binétruy '16; ...



Results in the weak backreaction regime

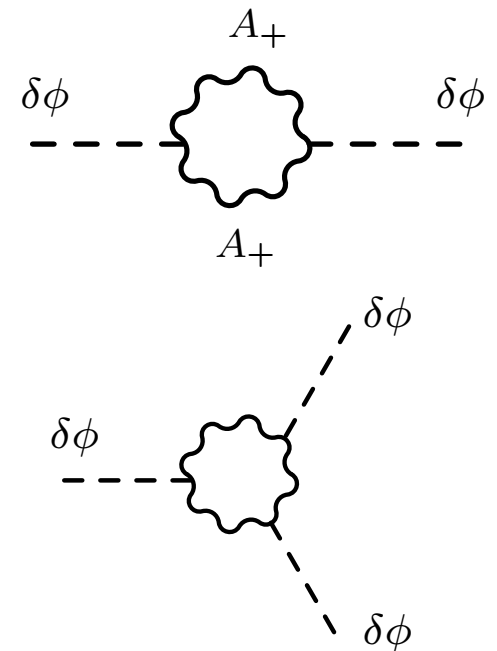
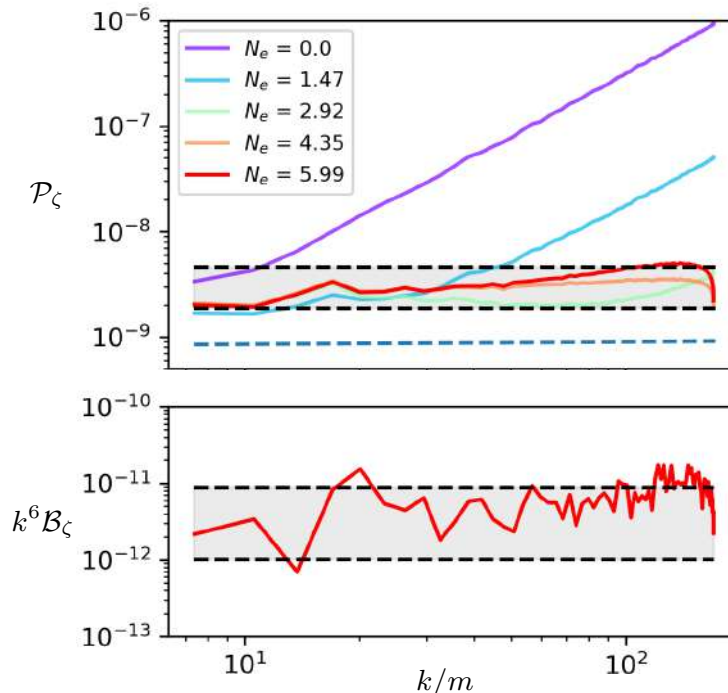
$$\ddot{\phi} + 3H\dot{\phi} + \frac{dV}{d\phi} = \frac{c_A}{f} \vec{E} \cdot \vec{B}$$

\equiv negligible backreaction terms
on background dynamics
(electromagnetic notation)

$$H^2 = \frac{1}{3M_p^2} \left[\frac{1}{2} \dot{\phi}^2 + V + \frac{\vec{E}^2 + \vec{B}^2}{2} \right]$$

- Analytic results for power spectrum $P \propto \langle \delta\phi^2 \rangle$ and bispectrum $B \propto \langle \delta\phi^3 \rangle$ in this regime based on several approximations: constant ξ and H , specific UV regularization Barnaby, MP '10
- Excellent agreement with full lattice simulations

Caravano, Komatsu,
Lozanov, Weller '22



Strong backreaction regime: the Anber and Sorbo solution

- Strong backreaction regime studied by Anber, Sorbo '09
- Old idea of warm inflation $\ddot{\phi} + 3H\dot{\phi} + V' = -\Gamma \dot{\phi}$ Berera '95

Dissipation reduces the inflaton motion. Can allow inflation in steep potentials (large V') and for reduced field excursion (small $\Delta\phi$)

Both aspects might be beneficial in the recent swampland program

- Anber-Sorbo mechanism simple & well defined QFT realization

$$\ddot{\phi} + 3H\dot{\phi} + V' = \frac{c_A}{f} F \tilde{F} [\dot{\phi}]$$

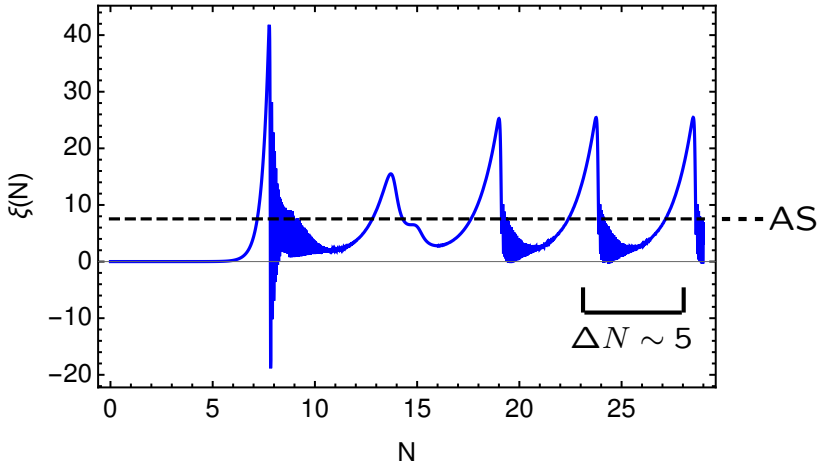


Perfect balance assumed at all times \rightarrow Steady state evolution

$$\Rightarrow V' \simeq -2.4 \cdot 10^{-4} \frac{c_A H^4}{f} \frac{e^{2\pi\xi}}{\xi^4}, \quad \xi \equiv \frac{c_A \dot{\phi}}{2fH}$$

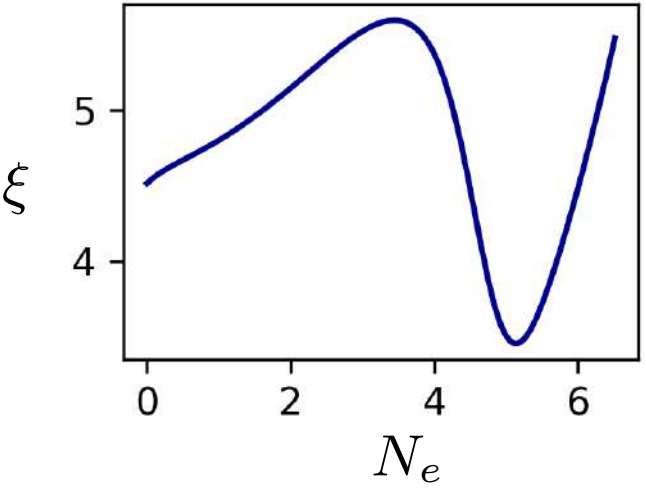
- Oscillatory behaviour from simplified numerical solutions of the system (set of gauge modes + homogeneous inflaton)

Cheng, Lee, Ng '15;
 Notari, Tywoniuk '16;
 Dall'Agata, González-Martín,
 Papageorgiou, MP '19
 Gorbar, Schmitz,
 Sobol, Vilchinskii '21



- Confirmed by full lattice simulation $\phi(t, \vec{x})$, $A^\mu(t, \vec{x})$

Caravano, Komatsu,
 Lozanov, Weller '22



- Interpreted as **delayed effect** between the moment the gauge quanta are produced and the moment they backreact on $\phi(t)$

Domcke, Guidetti, Welling, Westphal '20

Analytical study: $\phi(t) = \bar{\phi}(t) + \delta\phi(t)$, $A^\mu(t, \vec{k}) = \bar{A}^\mu(t, \vec{k}) + \delta A^\mu(t, \vec{k})$

of the homogeneous inflaton & gauge modes around the AS solution

MP, Sorbo '22

$$\delta\phi'' + 2aH\delta\phi' + a^2V''\delta\phi = -\frac{\alpha}{fa^2} \int \frac{d^3k}{(2\pi)^3} \frac{k}{2} \frac{\partial}{\partial\tau} [\bar{A}\delta A^* + \bar{A}^*\delta A]$$

$$\delta A'' + \left(k^2 - \frac{k\bar{\phi}'}{f}\right) \delta A = \frac{\alpha\bar{A}}{f} \delta\phi'$$

- Formally solve 2nd eq for δA as a function of $\delta\phi'$

$$\delta A(\tau, k) = \frac{\alpha k}{f} \int^\tau d\tau' G_k(\tau, \tau') \bar{A}(\tau', k) \delta\phi'(\tau')$$

- Insert solution in 1st eq \rightarrow integro-differential eq for $\delta\phi$

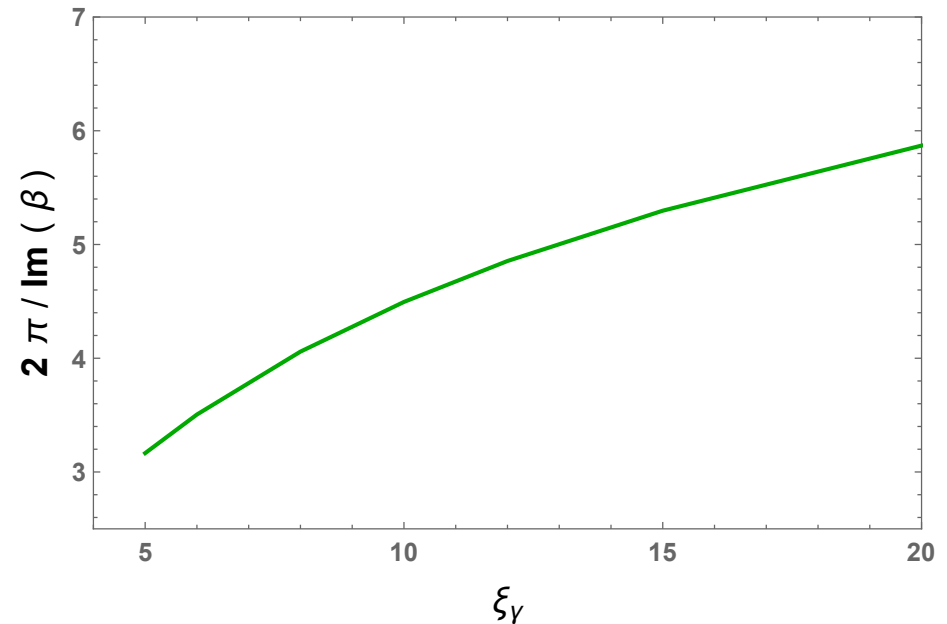
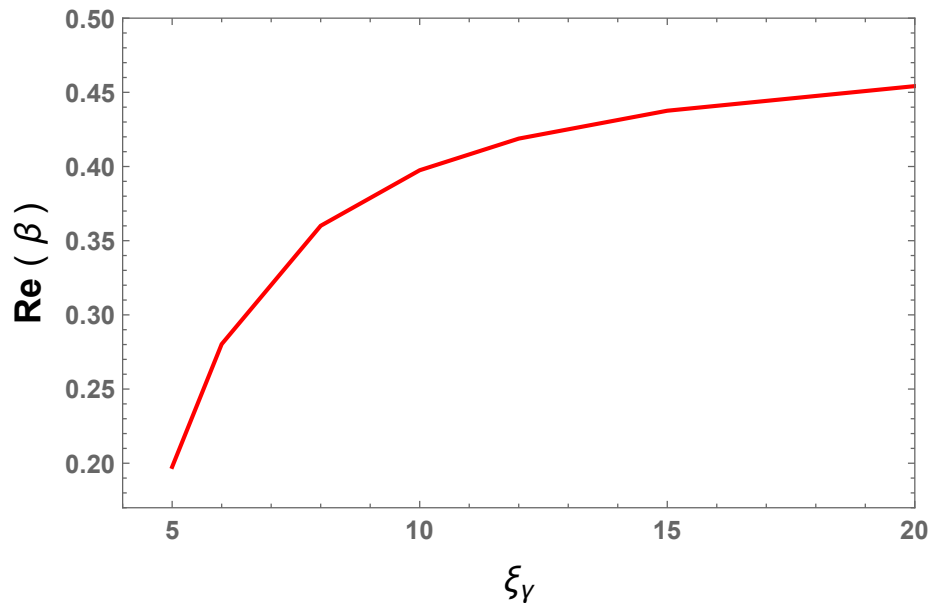
$$\delta\phi''(\tau) + 2aH\delta\phi'(\tau) + a^2V''\delta\phi(\tau) \simeq \frac{\alpha^2}{f^2a^2} \frac{e^{2\pi\xi}}{2^8\pi^2\xi^5} \int^\tau \frac{d\tau'}{(-\tau')^4} \delta\phi'(\tau') \frac{\partial}{\partial\tau} \int_0^{4\xi^2} dy y^3 \sqrt{\tau\tau'} \left[e^{-4\sqrt{y}} - e^{-4\sqrt{y}} \sqrt{\frac{-\tau}{-\tau'}} \right]$$

$\xi_\gamma \equiv \xi\gamma$

- Look for $\delta\phi \propto (-\tau)^{-\beta} \equiv a^{\text{Re}\beta} \cos(\text{Im}\beta \times N + \text{phase})$

Inserting this and doing the integrals \rightarrow homogeneous eq in time (all terms scale as $\tau^{-\beta-2}$). Therefore left with an algebraic equation for complex β .

Several roots; behavior **most unstable mode**:



Gradient Expansion Formalism

Sobol, Gorbar, Vilchinskii '19

Gorbar, Schmitz, Sobol, Vilchinskii '21

Durrer, Sobol, Vilchinskii '23

- Rather than $\vec{A}(\vec{k})$ e.o.m., tower of eqs. for

$$\mathcal{E}^{(n)} = \frac{1}{a^n} \langle \mathbf{E} \cdot \text{rot}^n \mathbf{E} \rangle \quad \mathcal{B}^{(n)} = \frac{1}{a^n} \langle \mathbf{B} \cdot \text{rot}^n \mathbf{B} \rangle \quad \mathcal{G}^{(n)} = -\frac{1}{2a^n} \langle \mathbf{E} \cdot \text{rot}^n \mathbf{B} + \text{rot}^n \mathbf{B} \cdot \mathbf{E} \rangle$$

conveniently **integrated numerically**

- correlators initialized according to AS solution

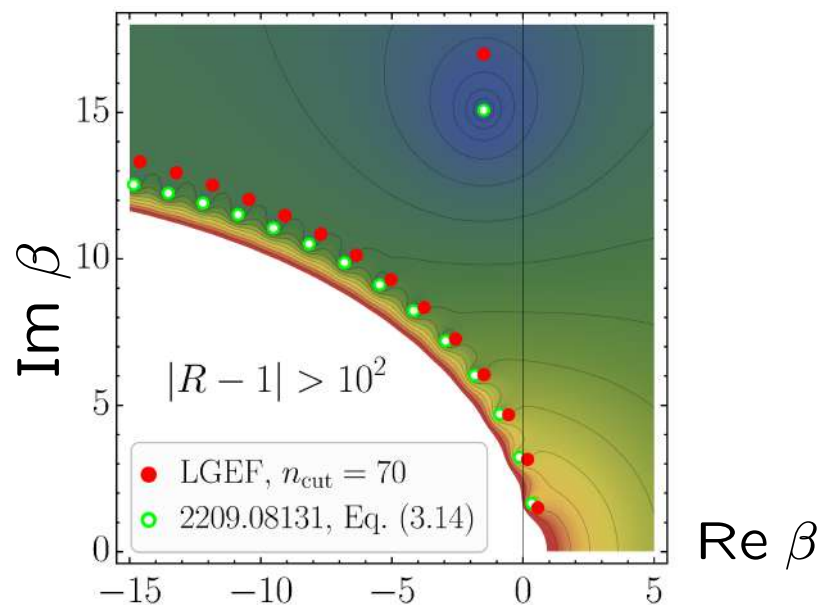
MP, Schmitz, Sobol, Sorbo, von Eckardstein, '23

1) Analytic linear system

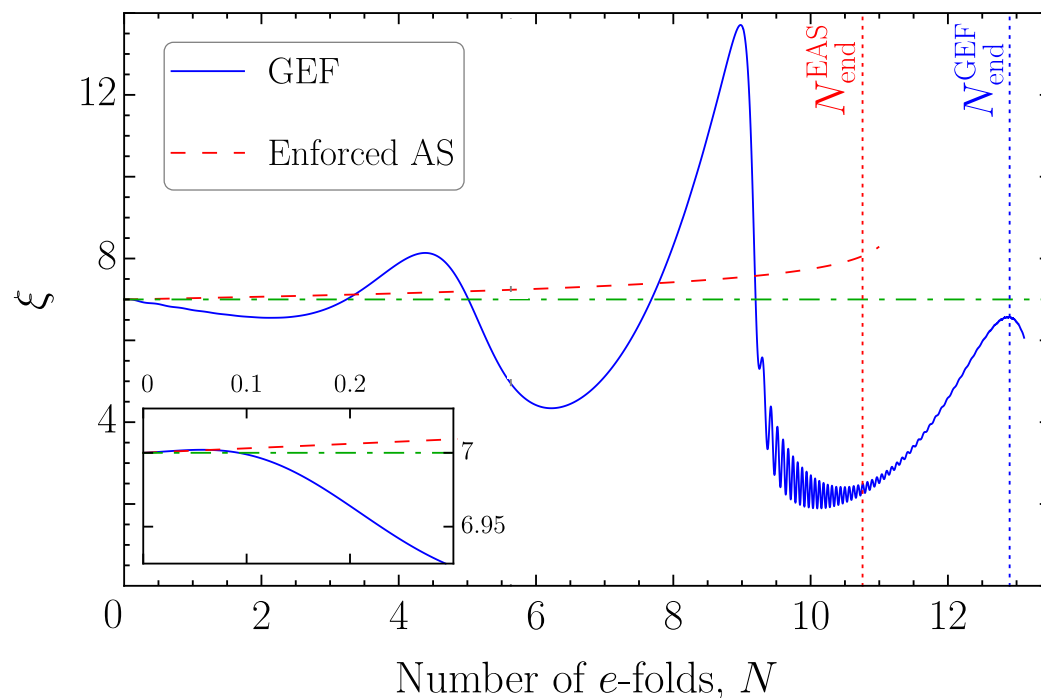
for $\delta\phi$, $\delta\mathcal{E}^{(m)}$, $\delta\mathcal{B}^{(m)}$, $\delta\mathcal{G}^{(m)}$

2) Fully numerical solution starting from AS

(existing ones from weak backreaction)



obtain eigenvalues **numerically**
vs. **analytic solution** (MP, Sorbo '22)



Burts of GW production



© CanStockPhoto.com

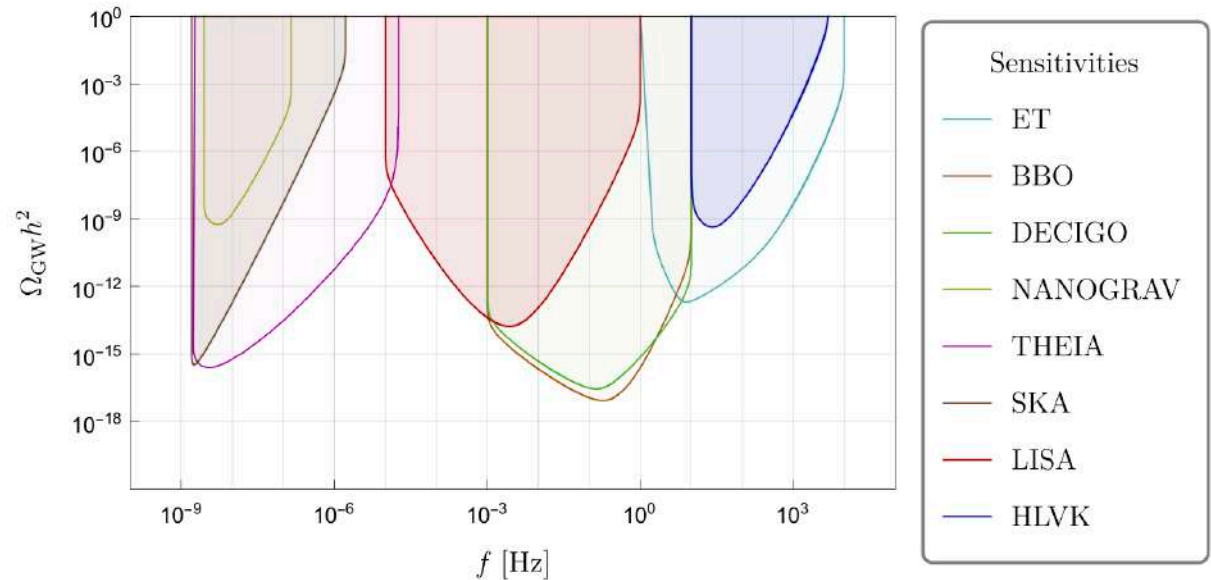
- Expect gauge field amplification and related phenomenology enhanced at scales $O(H)$ when $\dot{\phi}$ is maximum \rightarrow Recurrent peaks in power spectra

- GW easier than $\delta\rho$. Might have correlated peaks in same or across different GW experiments:

Pulsar Timing Arrays

Astrometry

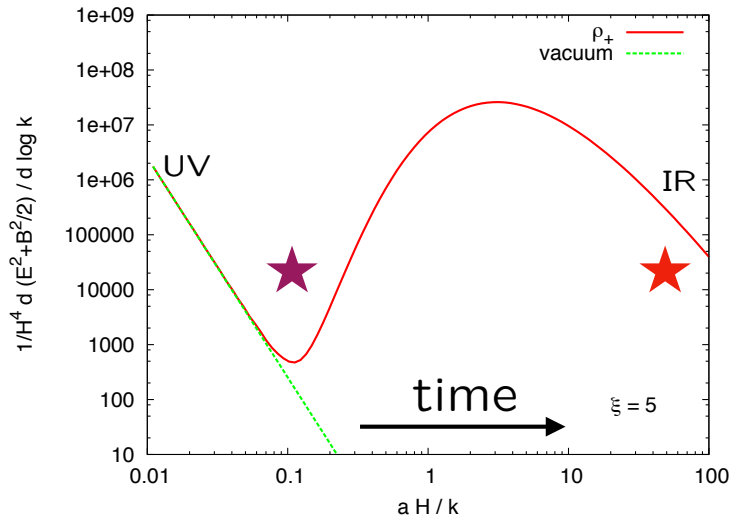
Interferometers



- Usual analytic approximations invalid as ξ varies too quickly as individual modes are being produced. Numerical code, with $\phi(t)$ and 400 gauge modes $A(t, k_i)$, covering the dynamical range of 60 e-folds of inflation

Garcia-Bellido, Papageorgiou, MP, Sorbo '23

Physical ρ in one mode



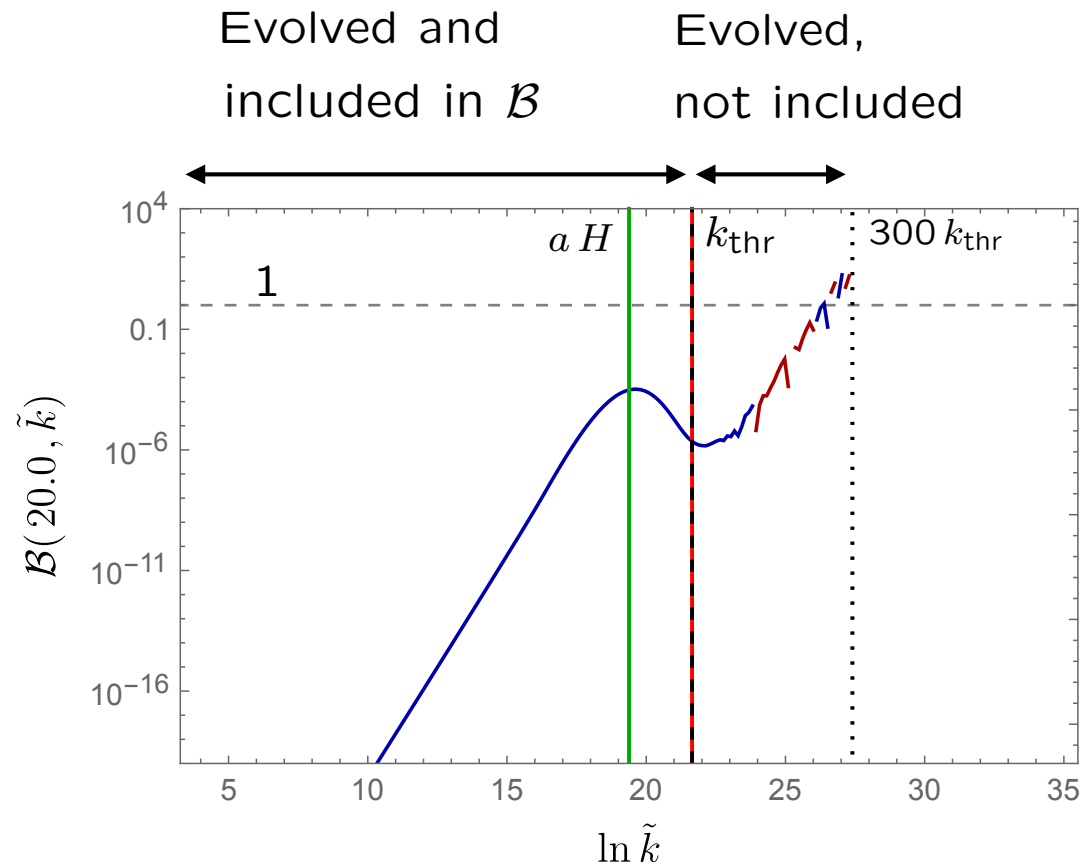
$$A'' + (k^2 - 2\xi a H k) A = 0$$

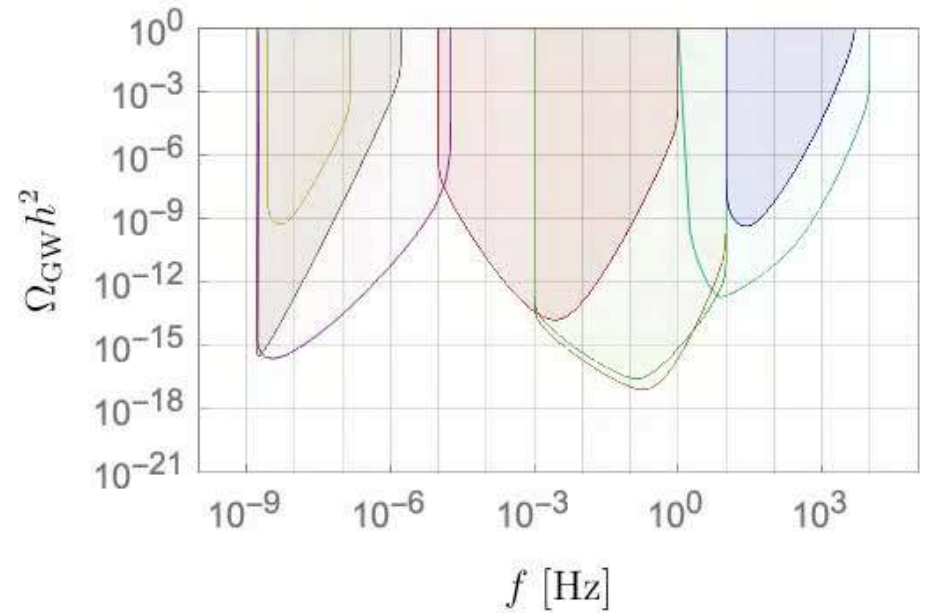
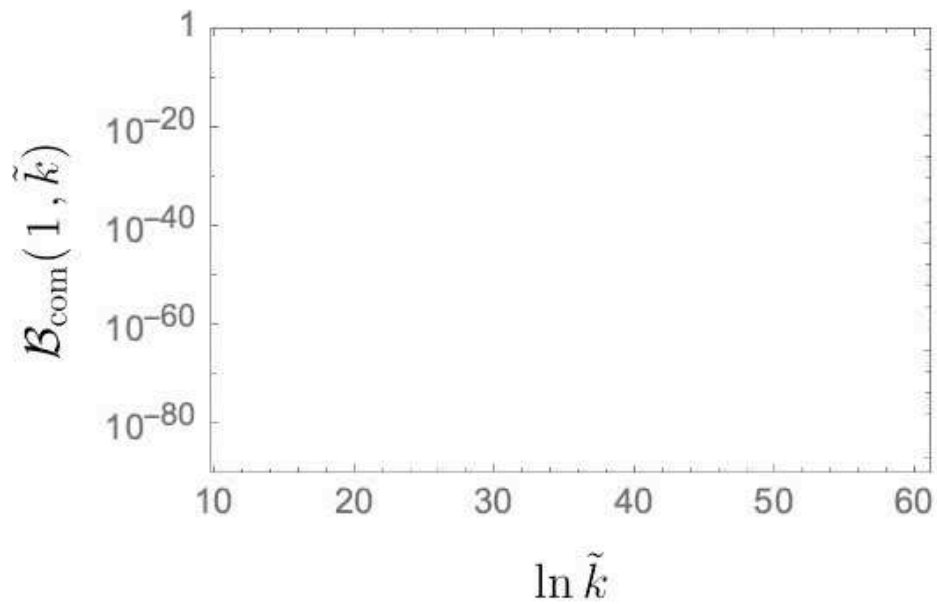
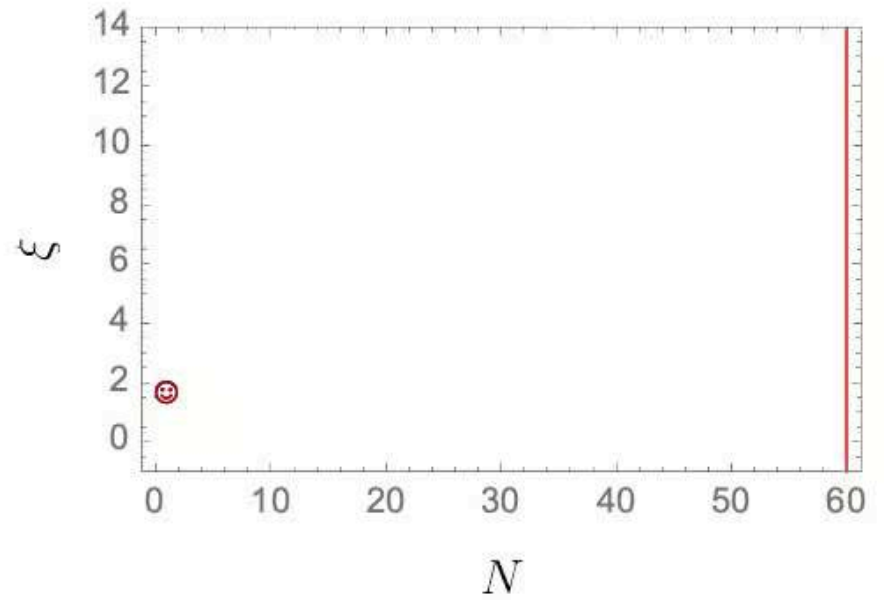
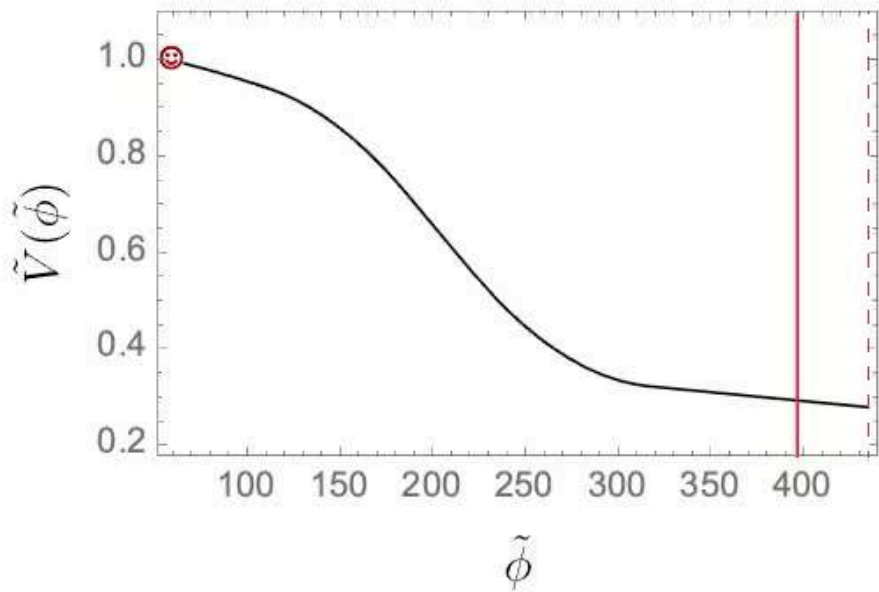
- ★ Unstable growth for $k < k_{\text{thr}} \equiv 2\xi a(t) H(t)$
- ★ Decreased by redshift after ~ 7 e-folds

Code integrates modes leaving the horizon all throughout inflation,
 $k_{\text{max}}/k_{\text{min}} \simeq e^{60} \simeq 10^{26}$. Each mode followed while dynamically relevant

$$\ddot{\phi} + 3H\dot{\phi} + V' = \frac{c_A}{f} \vec{E} \cdot \vec{B}$$

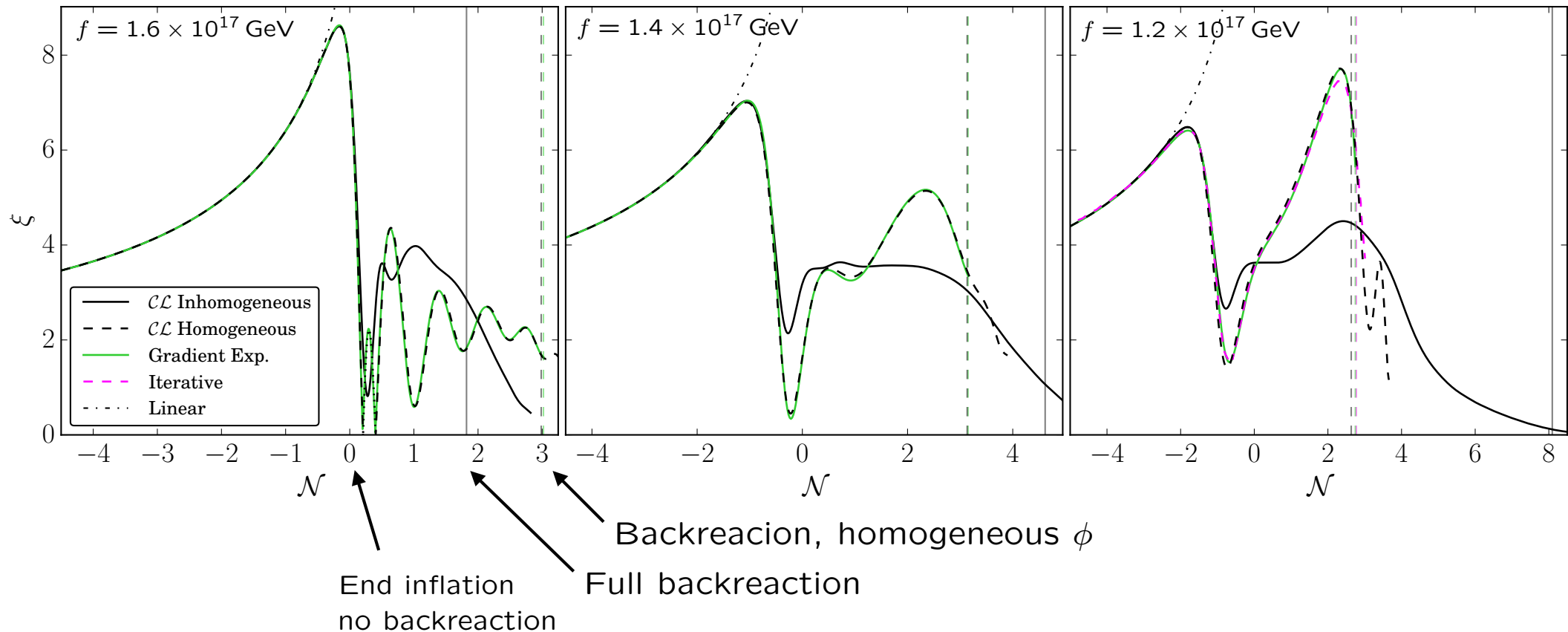
$$\longrightarrow \frac{c_A \vec{E} \cdot \vec{B}}{f V'} \equiv \int d \ln k \mathcal{B}(N, k)$$





Inhomogeneous backreaction

- Very recent lattice simulation [Figueroa, Lizarraga, Urio Urrestilla '23](#) with greater dynamical range than Caravano et al '22



- Oscillations of ξ reduced after the first one
- End of inflation simulated, oscillations reduced also in homogeneous case

- Scalar non-G @ CMB scales $\rightarrow f/c_A \gtrsim 10^{16} \text{ GeV}$

Barnaby, MP '10

- GW less produced ($1/M_p$ vs c_A/f)

In this regime, negligible backreaction on background dynamics

MP, Sorbo, Unal '16


Under perturbative control; confirmed by lattice

Caravano, Komatsu,

Lozanov, Weller '22

General lesson: Several mechanisms for additional GW, result in a decrease of r once also extra density perturbations are accounted for

Observation of GW
through the CMB



$$V^{1/4} \simeq 10^{16} \text{ GeV} \left(\frac{r}{0.01} \right)^{1/4}$$

$$\Delta\phi \gtrsim M_p \left(\frac{r}{0.01} \right)^{1/2}$$

Robust!



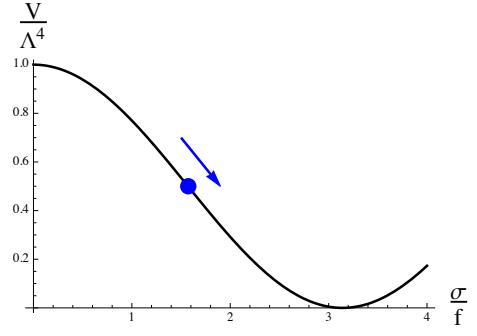
How robust ? Cost for evading it ?

- No direct coupling with inflaton (Source gravitationally coupled to both GW and inflaton)
- Relativistic source (GW are produced by quadrupole moment; ζ by energy density)
- Source active only for limited time (GW observed only on a small window; ζ provides constrains on many more scales)

These 3 ingredients present in [Namba, MP, Shiraishi, Sorbo, Unal '15](#)

$$\mathcal{L} = \underbrace{-\frac{1}{2}(\partial\varphi)^2 - U(\varphi)}_{\text{inflaton sector}} - \underbrace{\frac{1}{2}(\partial\sigma)^2 - V(\sigma) - \frac{1}{4}F^2 - \frac{c_A\sigma}{4f}F\tilde{F}}_{\text{extra sector}}$$

$$V(\sigma) = \frac{\Lambda^4}{2} \left[\cos\left(\frac{\sigma}{f}\right) + 1 \right]$$



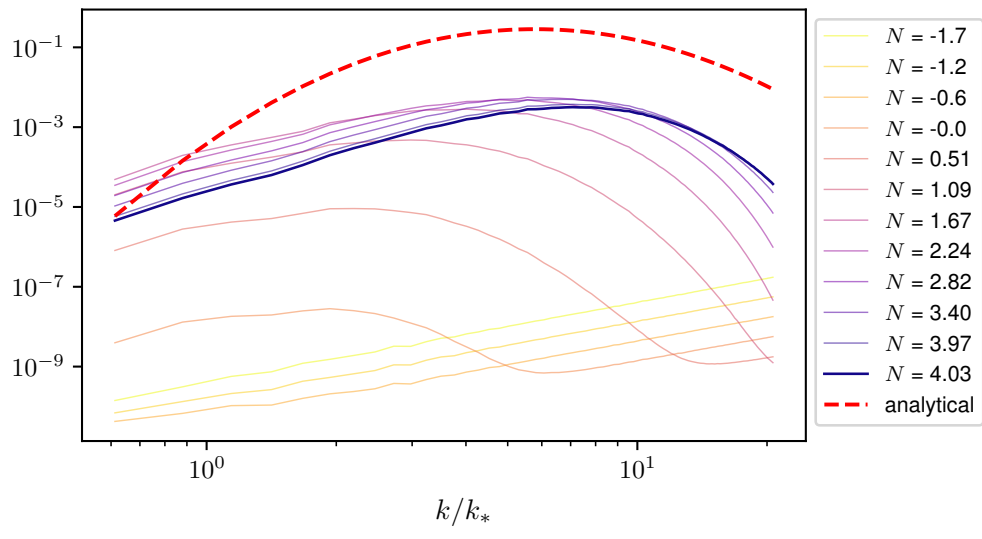
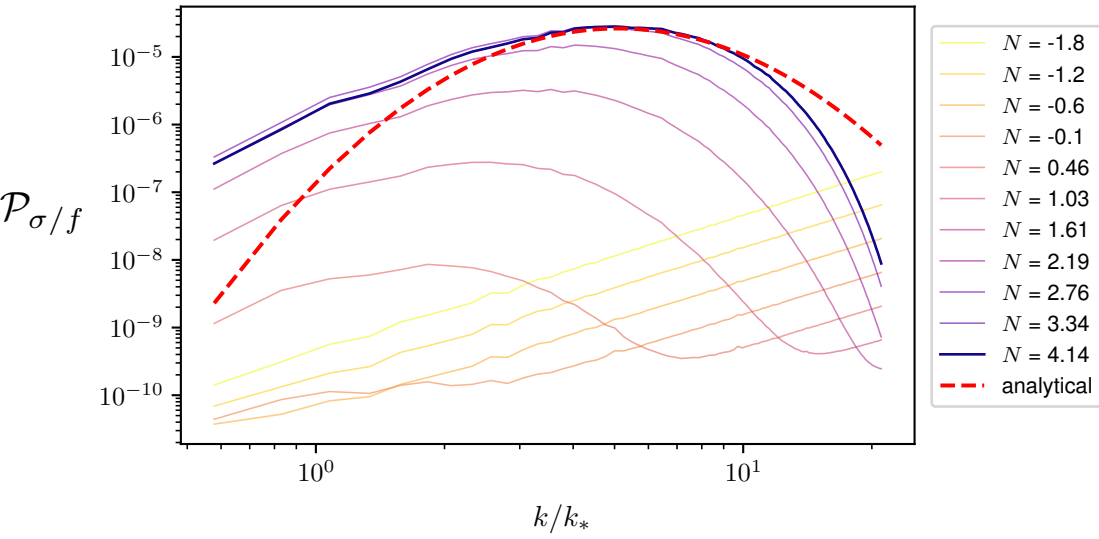
Axion rolls for $\Delta N = \frac{3H^2}{m^2} = \mathcal{O}(1)$ e – folds of inflation

- Gives **visible r** at small r_{vacuum} / scale of inflation
- Relevant dynamics covered by the lattice

[Caravano, MP '24](#)

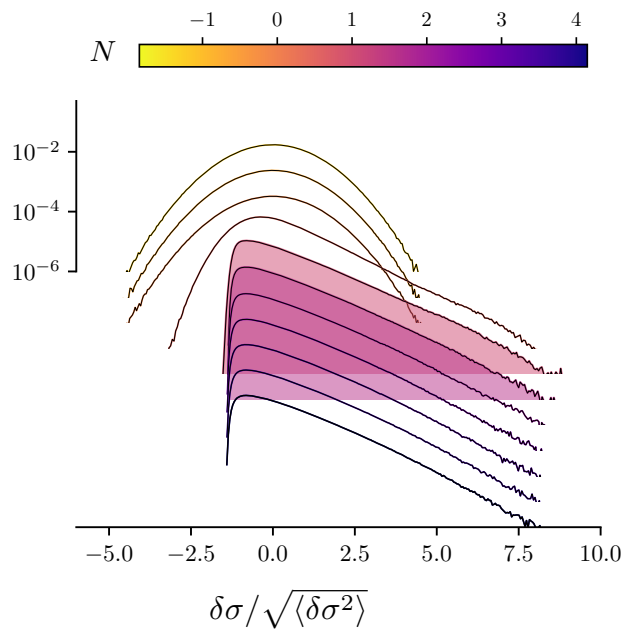
Weak backreaction ($\xi_{\text{max}} = 5$)

Strong backreaction ($\xi_{\text{max}} = 6$)

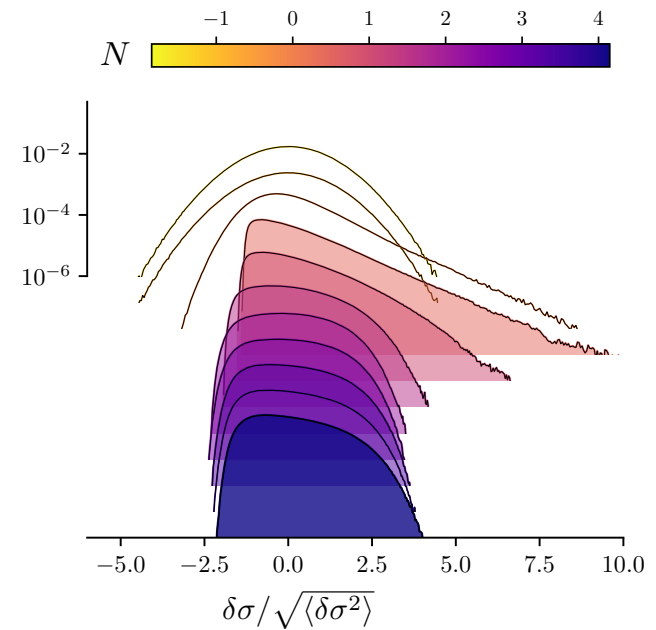


Statistics (1-point probability density function)

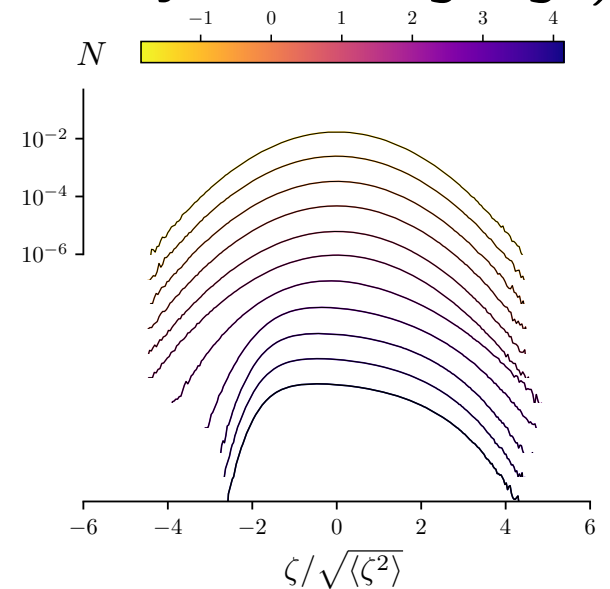
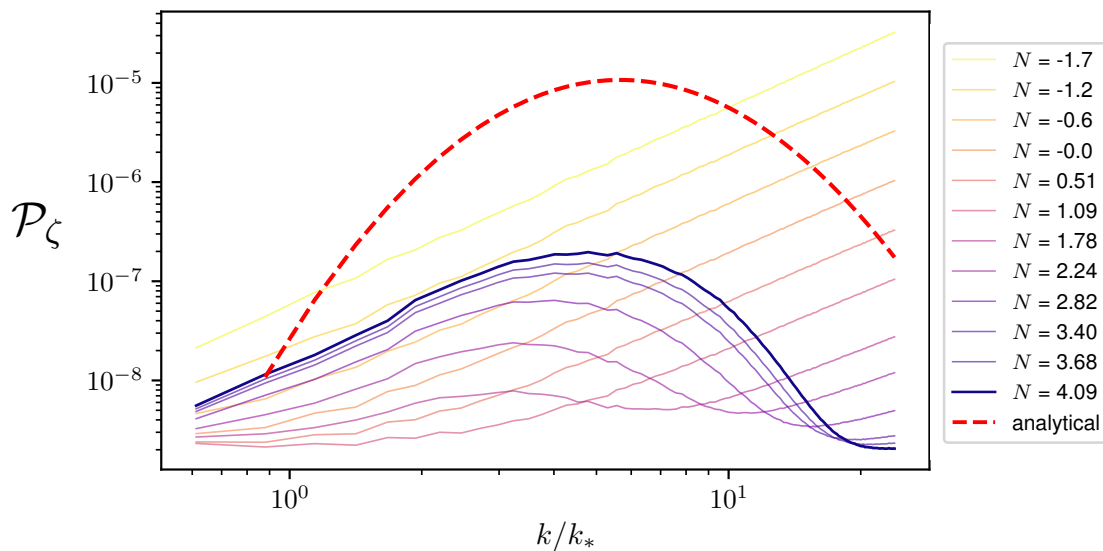
Weak backreaction ($\xi_{\max} = 5$)



Strong backreaction ($\xi_{\max} = 6$)



Scalar perturbations (added linearly, in the spatially uniform gauge)

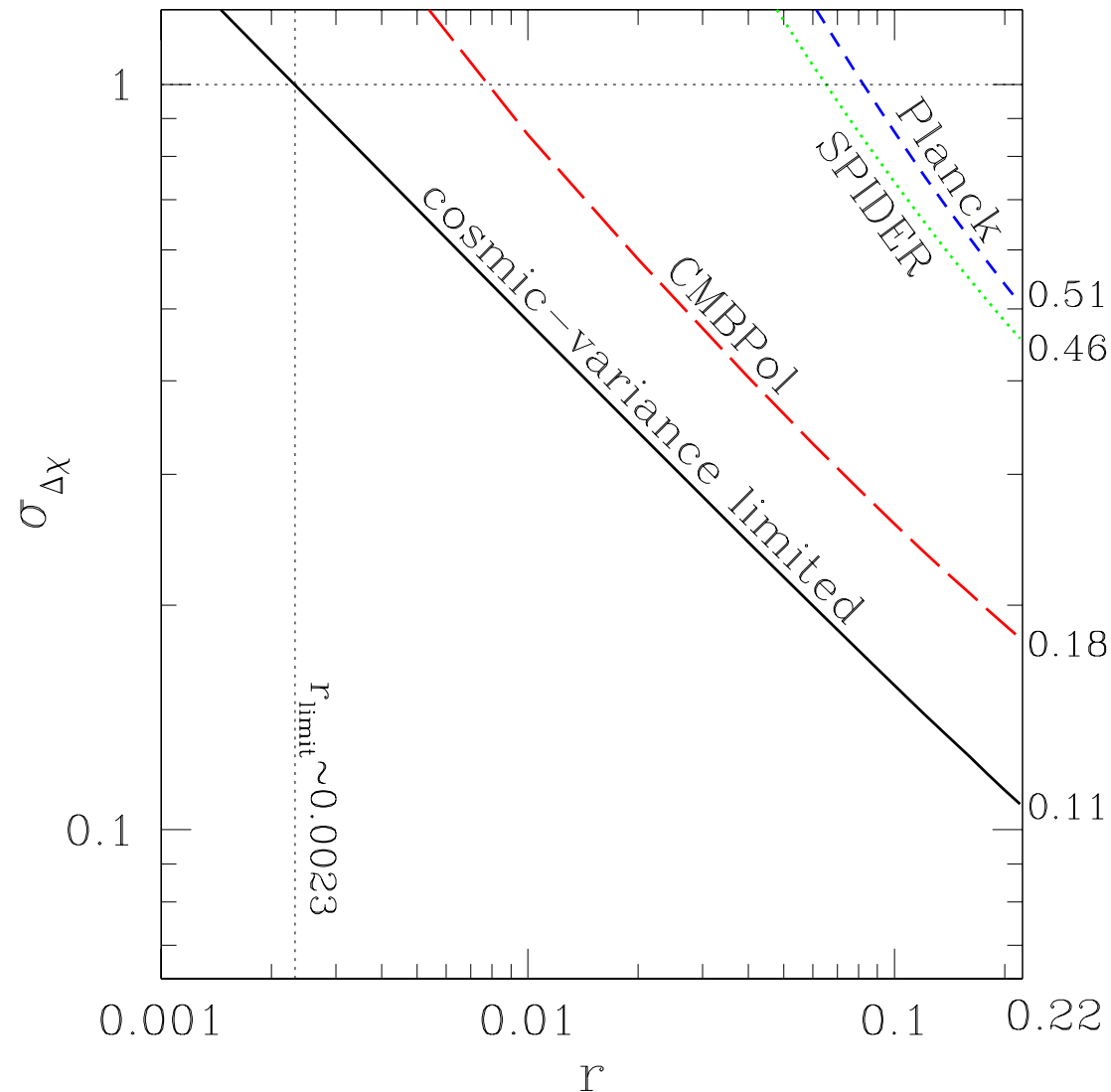


Testing a chiral SGWB

- @ CMB scales (TB & EB correlations)

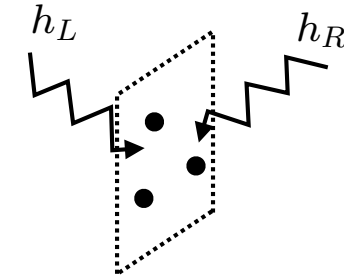
Gluscevic and Kamionkowski '10

$$\Delta\chi = \frac{P_L - P_R}{P_L + P_R}$$

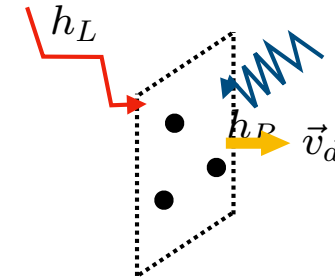


Measurement of GW polarization at LISA / ET

Two GWs related by a **mirror symmetry** produce the same response in a **planar detector**. Cannot detect net circular polarization of an **isotropic SGWB**



Isotropy in any case broken by peculiar motion of the solar system. **Assumption**, $v_d \simeq 10^{-3}$ as CMB



$$\text{SNR}_{\text{LISA}} \simeq \frac{v_d}{10^{-3}} \frac{\Omega_{\text{GW,R}} - \Omega_{\text{GW,L}}}{1.2 \cdot 10^{-11}} \sqrt{\frac{T}{3 \text{ years}}}$$

Domcke, García-Bellido, MP, Pieroni Ricciardone, Sorbo, Tasinato '19

(one order of magnitude greater than estimate in Seto '06)

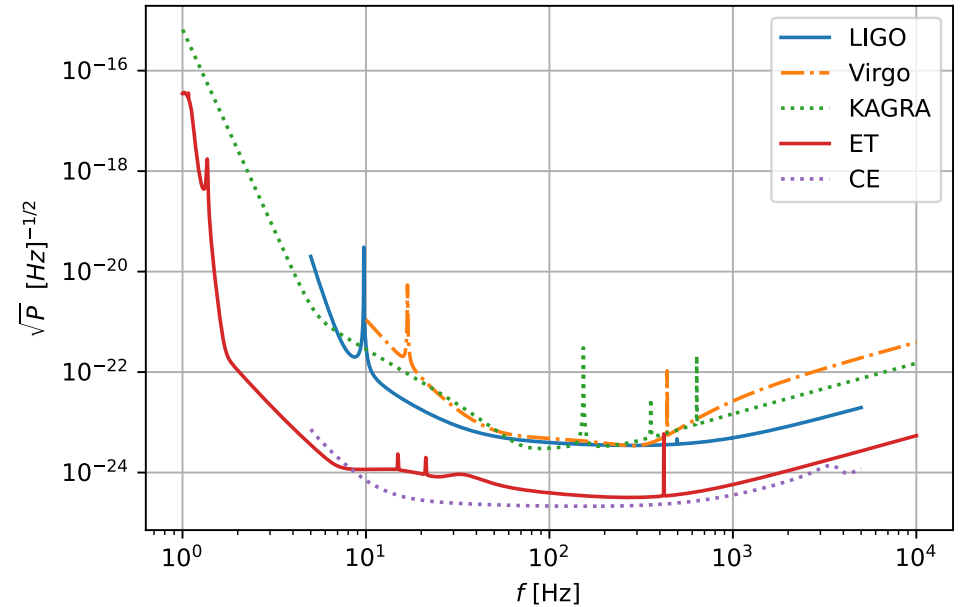
- Do the GW and the CMB dipole coincide ?
- One order of magnitude improvement with LISA-Taiji

Orlando, Pieroni, Ricciardone '20

Measurement at ground-based interferometers

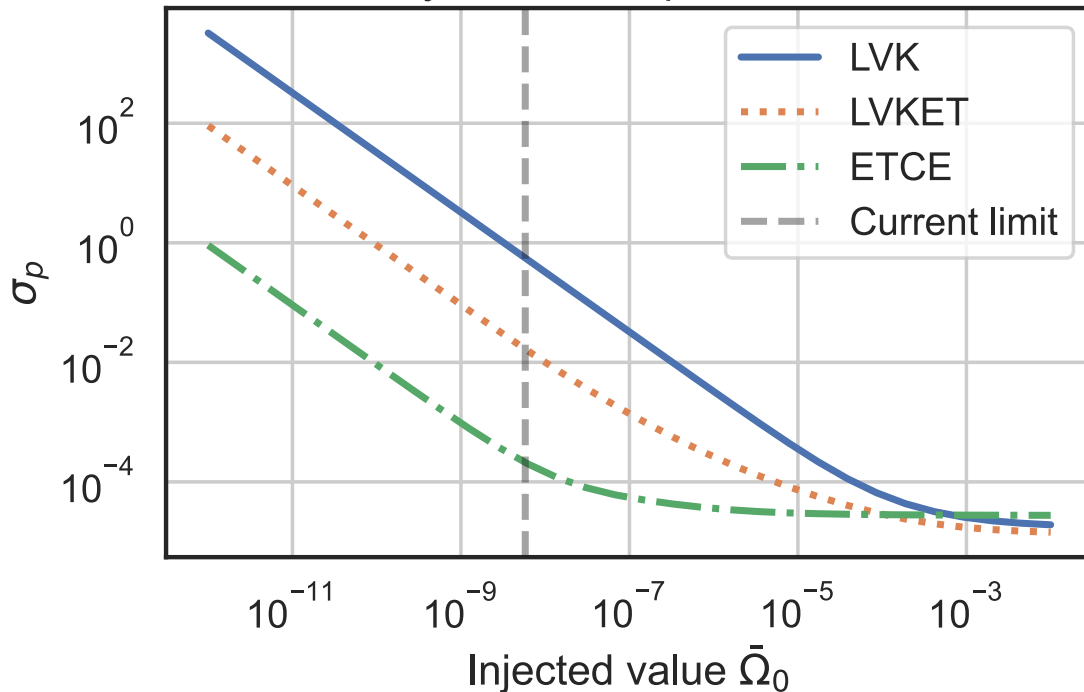
$$\Omega_{L/R} = \Omega_0 \left(\frac{f}{f_0} \right)^\alpha (1 \pm p)$$

<https://dcc.ligo.org/LIGO-T1500293/public>



Mentasti, Contaldi, MP '23

Injected value $p=1, \alpha = 0$



10 years
observation

Conclusions

- Potentially detectable GW at several scales from axion inflation
- New results in the regime of strong backreaction
 - Instability of steady state solution
 - First studies of associated signatures
- Awaiting increased dynamical range from lattice