

# Low-scale Leptogenesis with Low-energy CP-Violation

**NOW 2024**  
Neutrino Oscillation Workshop

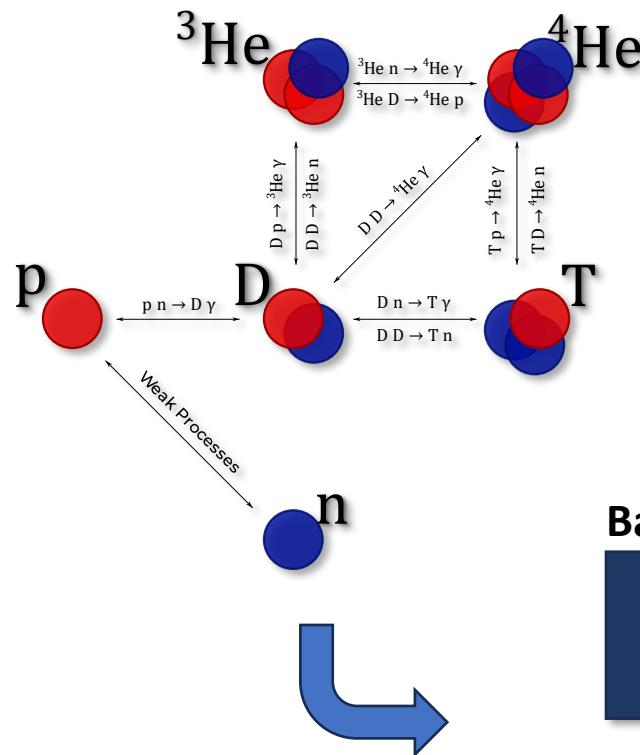
Speaker: **Alessandro Granelli**  
Post-doc at University of  
Bologna (Italy)



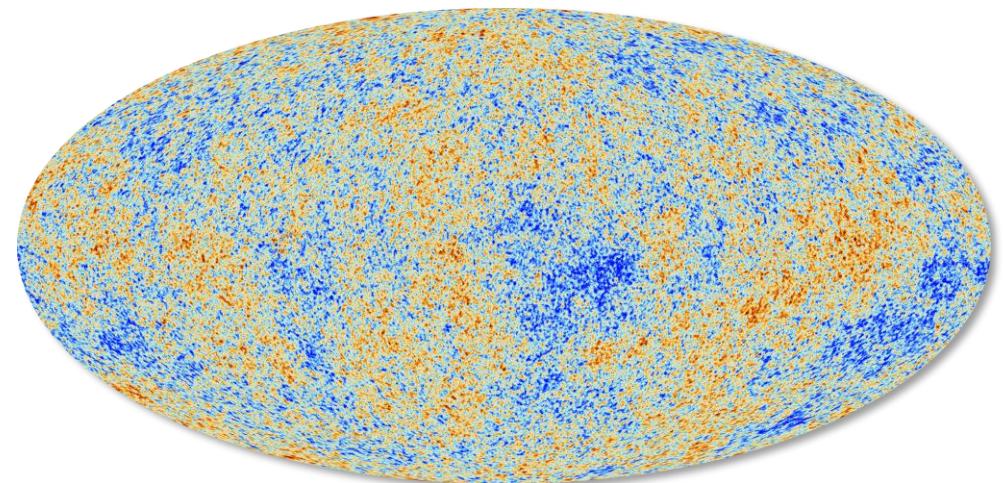
# The Baryon Asymmetry of the Universe

In the present Universe we observe an **overabundance of matter** over antimatter. In terms of baryons: the **Baryon Asymmetry of the Universe (BAU)**.

Big Bang Nucleosynthesis (BBN)



Cosmic Microwave Background (CMB)



Baryon-to-photon ratio

$$\eta_B = \frac{(n_B - n_{\bar{B}})}{n_\gamma} \simeq 6.1 \times 10^{-10}$$

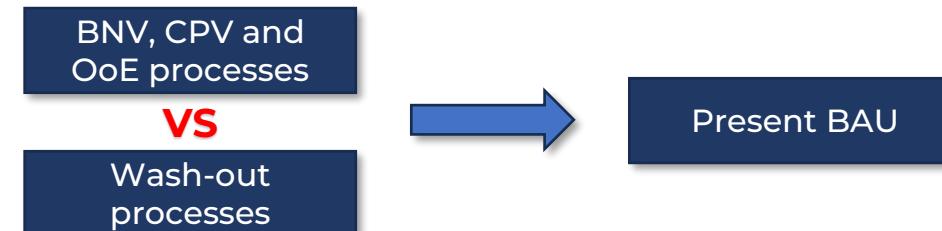
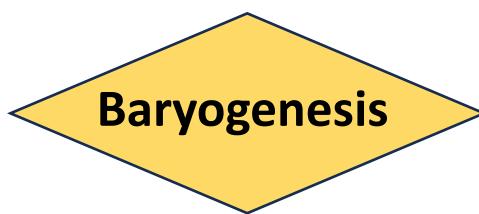
$\sim 2 \times 10^9 + 1$  baryons every  $2 \times 10^9$  of antibaryons!

# Sakharov's conditions and Baryo/Leptogenesis

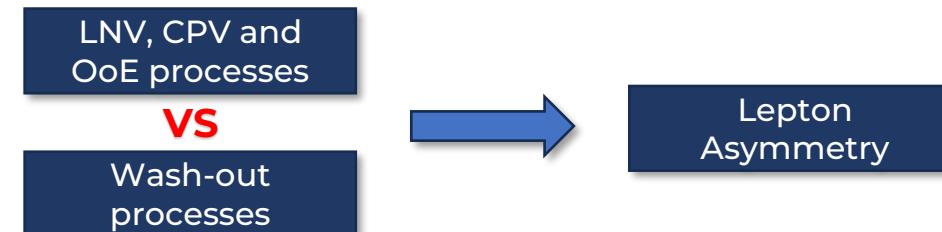
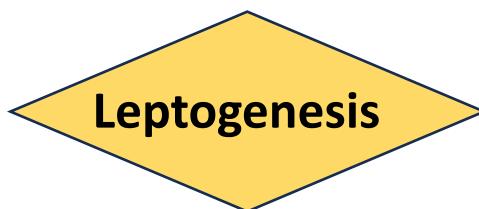
The three **Sakharov's conditions** for a dynamical generation of a baryon (B) or lepton (L) asymmetry:

- B (L) violation (BNV or LNV)
- C and CP violation (CPV)
- Out-of-equilibrium dynamics (OoE)

A. D. Sakharov (1967)



Recent Review: D. Bodeker, W. Buchmuller, 2009.07294



Fukugita & Yanagida (1986)

# Neutrino masses and mixing

Neutrinos have non-zero masses and mix:  $v_{\alpha L}(x) = \sum_{a=1}^3 U_{\alpha a} v_{aL}(x)$

Pontecorvo-Maki-Nakagawa-Sakata (**PMNS**) neutrino mixing matrix

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}^{-i\delta}e \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}^{i\delta}e & c_{12}c_{23} - s_{12}s_{23}s_{13}^{i\delta}e & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}^{i\delta}e & -c_{12}s_{23} - s_{12}c_{23}s_{13}^{i\delta}e & c_{23}c_{13} \end{pmatrix} \times \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{\frac{i\alpha_{21}}{2}} & 0 \\ 0 & 0 & e^{\frac{i\alpha_{31}}{2}} \end{pmatrix}$$

**Summary of neutrinos observations:**

- **Normal Ordering (NO):**  $m_1 < m_2 < m_3$
- **Inverted Ordering (IO):**  $m_3 < m_1 < m_2$

- **Normal Hierarchical (NH):**  $0 \simeq m_1 < m_2 < m_3$
- **Inverted Hierarchical (IH):**  $0 \simeq m_3 < m_1 < m_2$
- **Quasi Degenerate:**  $m_1 \simeq m_2 \simeq m_3$

Ordering	$\theta_{12}$ (°)	$\theta_{13}$ (°)	$\theta_{23}$ (°, 3 $\sigma$ )	$\delta$ (°, 3 $\sigma$ )	$\Delta m_{21}^2$ ( $10^{-5}$ eV $^2$ )	$\Delta m_{31(32)}^2$ ( $10^{-3}$ eV $^2$ )
<b>NO</b>	33.67	8.58	39.9 – 51.1	139 – 350	7.41	2.505
<b>IO</b>	33.67	8.57	39.9 – 51.4	195 – 342	7.41	-2.487

I. Esteban, M.C. Gonzalez-Garcia, M. Maltoni, T. Schwetz and A. Zhou (2020), [NuFIT 5.2 \(2022\), www.nu-fit.org](https://nu-fit.org)

# Type-I seesaw mechanism

Seesaw lagrangian



Yukawa and mass terms

$$\mathcal{L}_{Y,M}(x) = - \left( Y_{\alpha j} \overline{\Psi_{\alpha L}}(x) i\sigma_2 \Phi^*(x) N_{jR}(x) + h.c. \right) - \frac{1}{2} M_j \overline{N_j}(x) N_j(x)$$

Right-handed neutrinos/sterile neutrinos/ heavy Majorana neutrinos

Electroweak Symmetry Breaking

Neutrino mass generation



Neutrino mass matrix

$$m_\nu \simeq -(\nu^2/2) Y \widehat{M}^{-1} Y^T$$

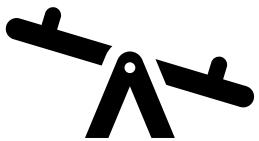
Neutrino mixing

$$\nu_{\alpha L} \simeq U_{\alpha a} \nu_{aL} + \Theta_{\alpha j} N_{jR}^c$$

Mixing angle/Coupling

$$\Theta_{\alpha j} \simeq (\nu/\sqrt{2}) Y_{\alpha j} / M_j$$

Model Parameters



Casas-Ibarra Parameterisation

$$Y = \pm i(\sqrt{2}/\nu) U \sqrt{\hat{m}} O^T \sqrt{\hat{M}}$$

Casas-Ibarra matrix  
 $O O^T = 1$

With 2 heavy Majorana neutrinos

$$O^{(NH)} = \begin{pmatrix} 0 & \cos \theta & \varphi \sin \theta \\ 0 & -\sin \theta & \varphi \cos \theta \end{pmatrix}$$

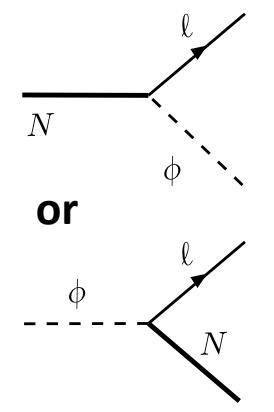
$$O^{(IH)} = \begin{pmatrix} \cos \theta & \varphi \sin \theta & 0 \\ -\sin \theta & \varphi \cos \theta & 0 \end{pmatrix}$$

$$\theta = \omega + i \xi$$
$$\varphi = \pm 1$$

# Leptogenesis within the type-I seesaw mechanism

Lepton Number violating processes via Yukawa coupling

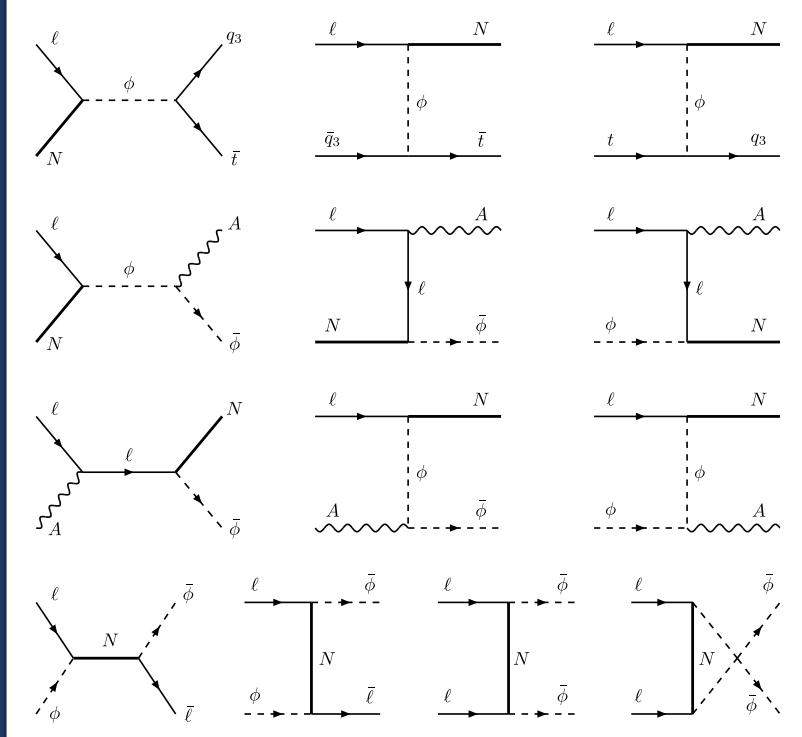
Decays



or



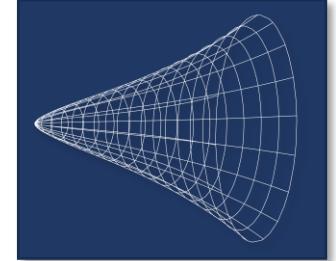
Scatterings



CP-violation

$$\epsilon^{\text{CP}} = \frac{\Gamma(N \rightarrow l \dots) - \Gamma(N \rightarrow \bar{l} \dots)}{\Gamma(N \rightarrow \text{anything})}$$

Expansion of the Universe



L. Covi, E. Roulet, F. Vissani  
hep-ph/9605319,  
W. Buchmuller, M. Plumacher  
hep-ph/9710460,  
A. Pilaftsis hep-ph/9702393,

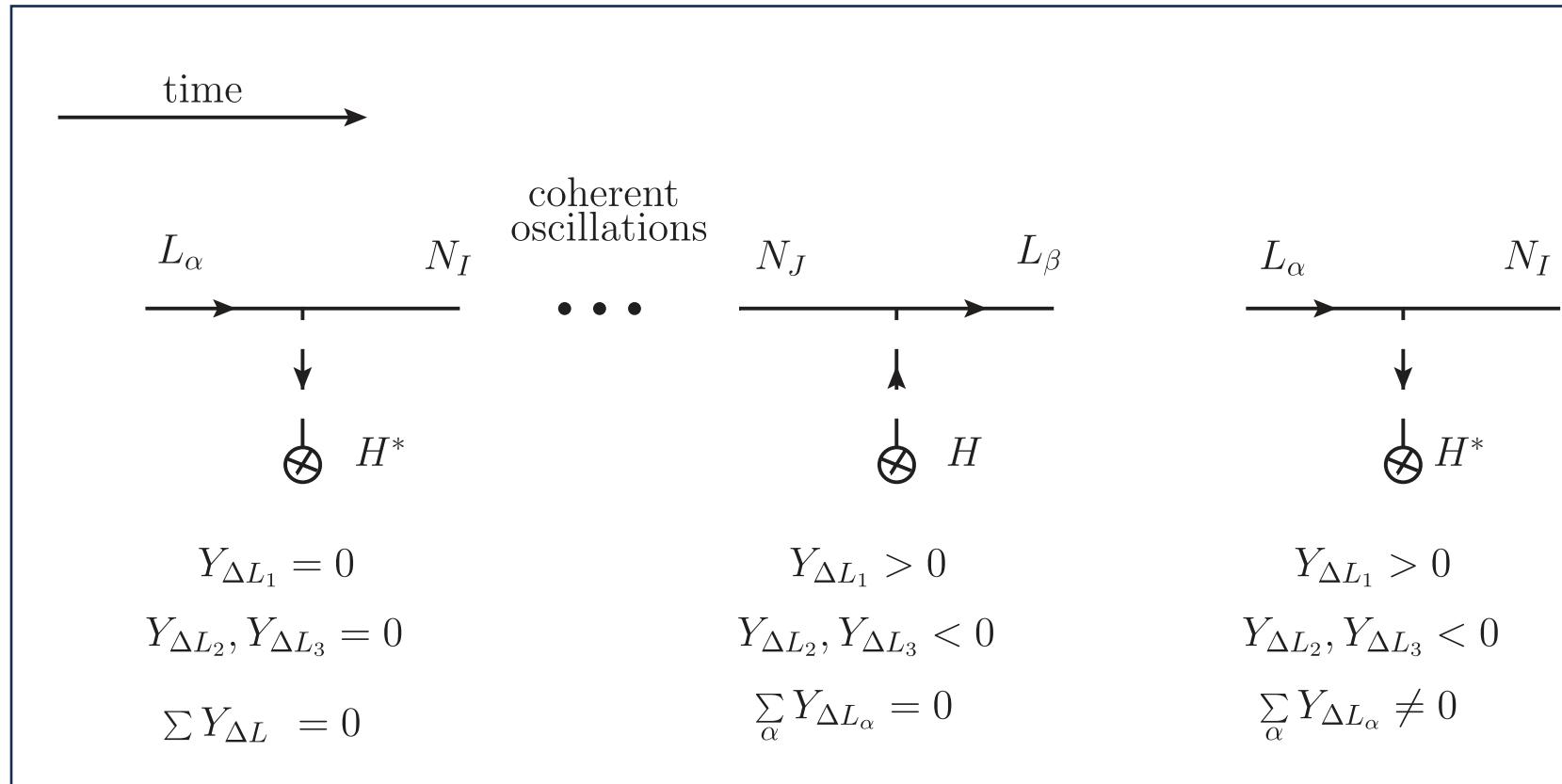
...

G. F. Giudice, A. Notari, M. Raidal, A. Riotto, A. Strumia hep-ph/0310123  
S. Davidson, E. Nardi, Y. Nir arXiv:0802.2962

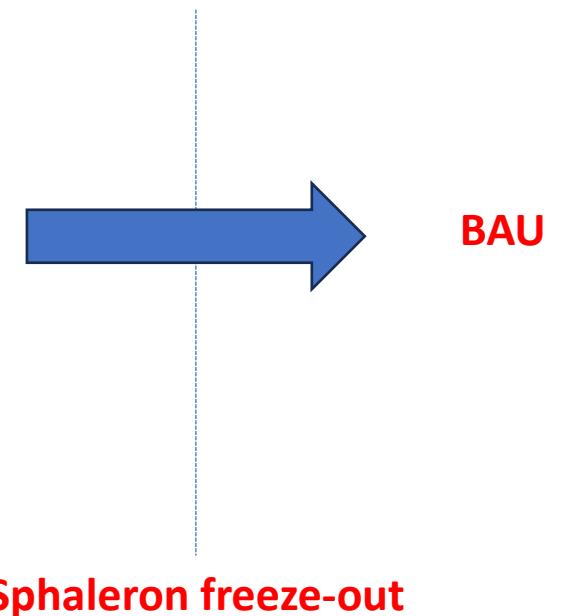
# Leptogenesis via oscillations

## Leptogenesis via RHN oscillations

Fig. from B. Shuve, I. Yavin arXiv:1401.2459



$T \sim 130 \text{ GeV}$



+ thermal effects (thermal masses and soft emission of gauge bosons)

+ helicity states behave differently

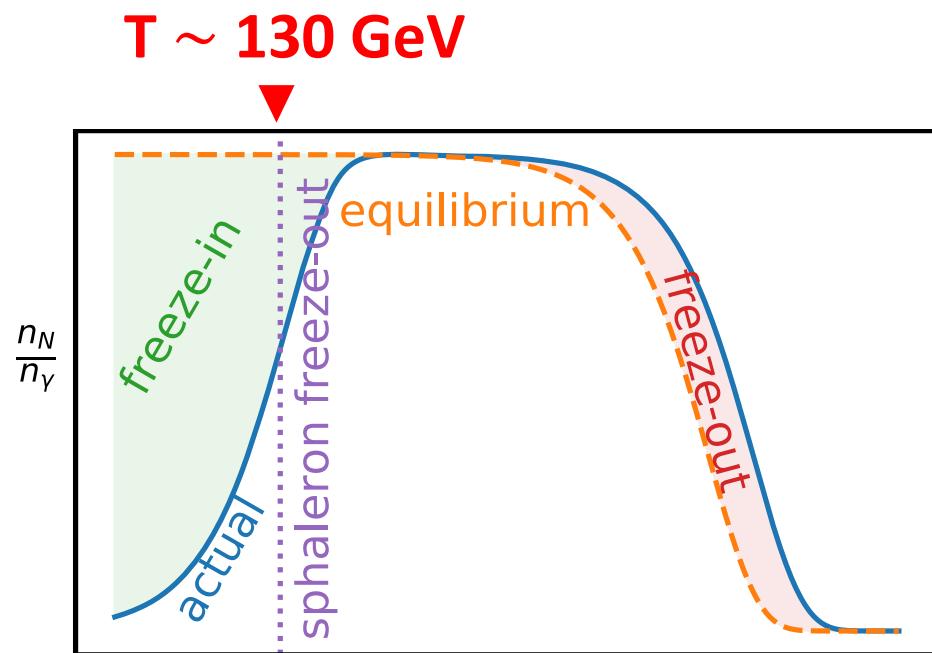
# Leptogenesis within the type-I seesaw mechanism

Heavy neutrinos with either

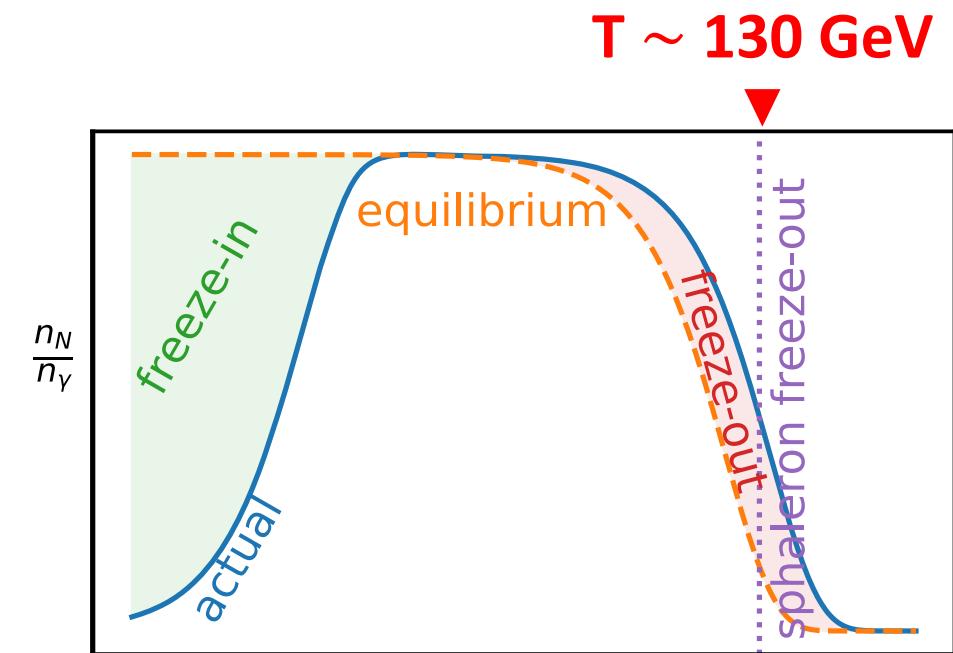
- **Thermal Initial Abundance (TIA);**
- **Vanishing Initial Abundance (VIA).**

BAU generation after sphaleron decouple either during production (**freeze-in**) or departure from equilibrium (**freeze-out**)

Freeze-in Leptogenesis



Freeze-out Leptogenesis



J. Klarić, M. Shaposhnikov, I. Timiryasov, PRL.127.111802 and PRD.104.055010  
A. G., K. Moffat, S. T. Petcov, arXiv:2009.03166

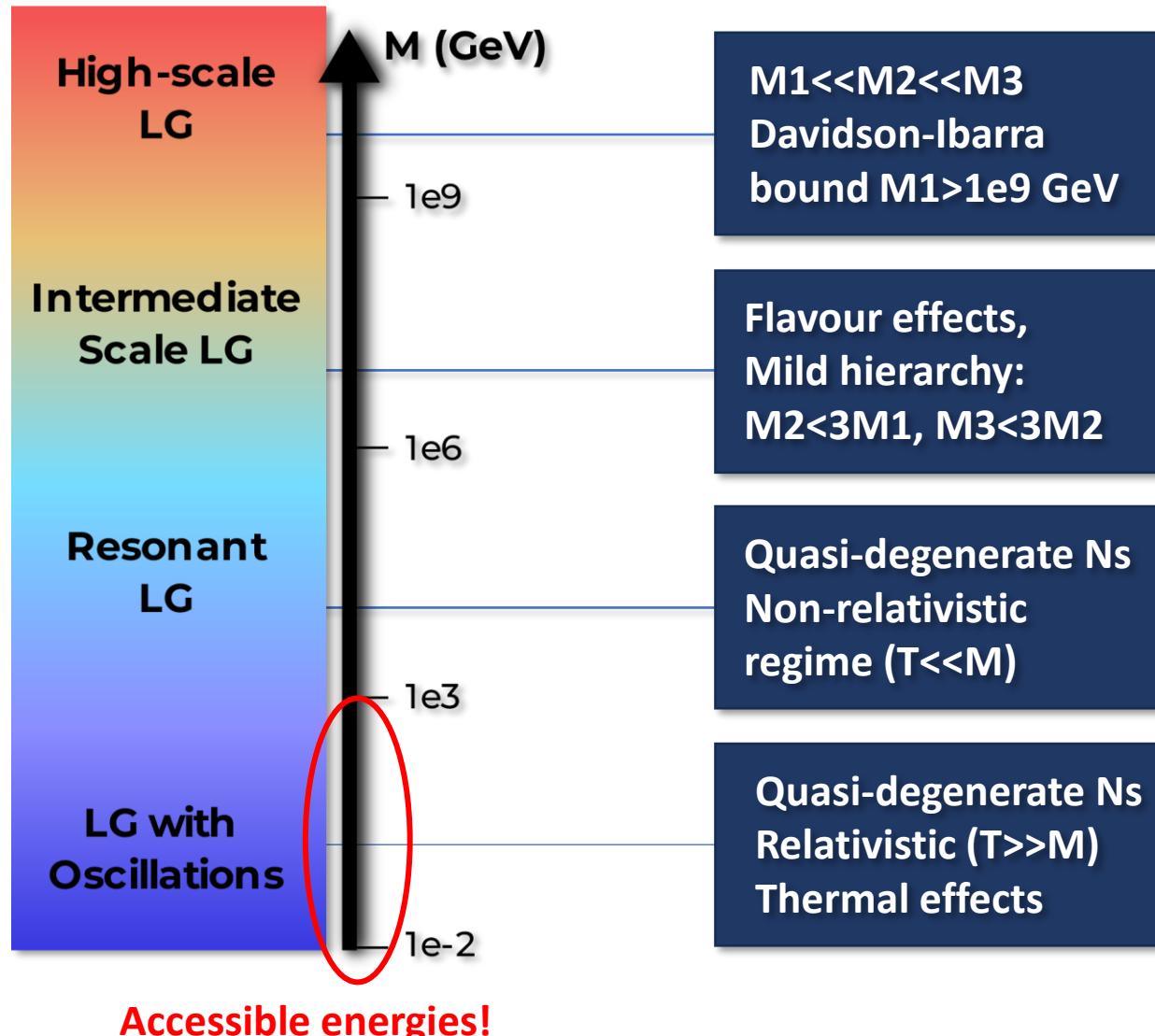
# Leptogenesis scales

Fukugita &  
Yanagida  
(1986)

Racker, Rius &  
Pena (2012)

Pilaftsis &  
Underwood  
(2003)

Ahmedov,  
Rubakov &  
Smirnov (1998)

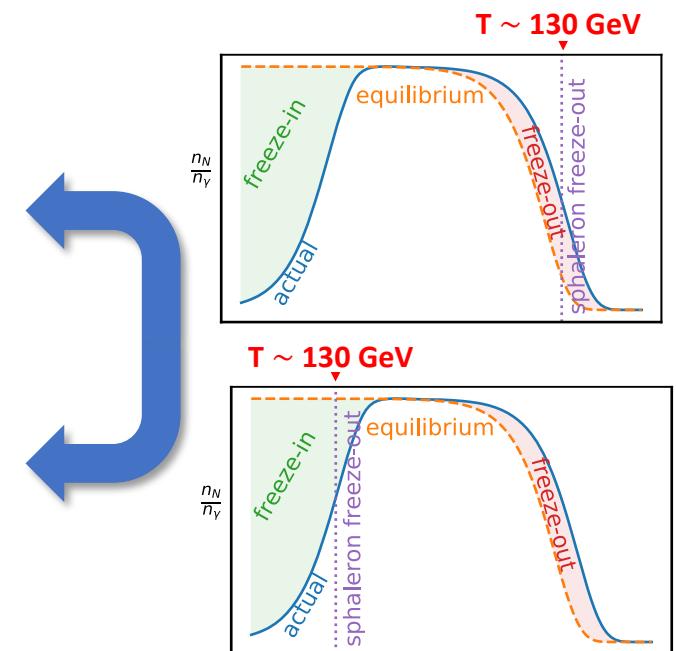


$M_1 \ll M_2 \ll M_3$   
Davidson-Ibarra  
bound  $M_1 > 1e9$  GeV

Flavour effects,  
Mild hierarchy:  
 $M_2 < 3M_1$ ,  $M_3 < 3M_2$

Quasi-degenerate Ns  
Non-relativistic  
regime ( $T \ll M$ )

Quasi-degenerate Ns  
Relativistic ( $T \gg M$ )  
Thermal effects



J. Klarić, M. Shaposhnikov, I. Timiryasov, PRL.127.111802 and PRD.104.055010

# CP-violation in the Seesaw model

## Casas-Ibarra Parameterisation

$$Y = \pm i(\sqrt{2}/\nu) U \sqrt{\tilde{m}} O^T \sqrt{\tilde{M}}$$

CP-violating phases in U and O!

CP-conservation implies :  $Y_{\alpha j} = -i Y_{\alpha j}^* \eta_j^{NCP}$



$$U_{a\alpha}^* = -i U_{a\alpha} \eta_a^{vCP} \text{ and } O_{aj} = -i O_{aj}^* \eta_j^{NCP} \eta_a^{vCP}$$

❖ Low-energy CP-violation:  $O_{aj} = \pm O_{aj}^*$



The only CP-violating phases: **Dirac  $\delta$ , Majorana  $\alpha_{21}, \alpha_{31}$ .**

Casas-Ibarra angle real or  
purely imaginary:  
Real  $\xi = 0, \omega \neq 0$   
Imaginary  $\omega = 0, \xi \neq 0$

S. Pascoli, S. T. Petcov, A. Riotto hep-ph/0611338

Models with generalised CP-symmetry at high-energy:

e.g., P. Chen, G.-J. Ding, S. F. King arXiv:1402.03873

E.g.,  $O^{(NH)} = \begin{pmatrix} 0 & \cosh \xi & \pm i \sinh \xi \\ 0 & -i \sinh \xi & \pm \cosh \xi \end{pmatrix}$

Large couplings  
are allowed!

CPV in LG in connection  
with that on neutrino  
oscillations and  $0\nu\beta\beta$ -decay

CP-parities,  $\pm i$

CP-conserving values:

$$\delta = k\pi,$$

$$\alpha_{21} = k_2\pi,$$

$$\alpha_{31} = k_3\pi,$$

$$k, k_1, k_2 = 0, 1, 2 \dots$$

## Dirac CP-violation

The **Dirac phase** can be the **unique source of CP-violation** in the neutrino sector.

The Dirac phase alone can be responsible for the generation of the present **matter-antimatter asymmetry**.

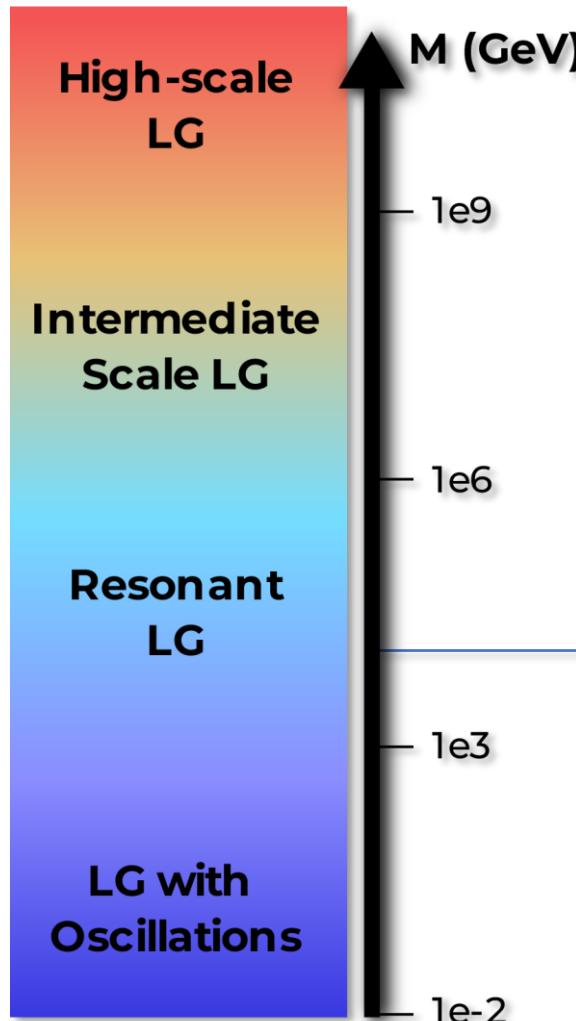
# Leptogenesis scales

Fukugita &  
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(1986)

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Ahmedov,  
Rubakov &  
Smirnov (1998)



## High-scale LG with low-energy CP-violation

S. Pascoli, S. T. Petcov, A. Riotto (2007),  
S. Blanchet, P. Di Bari (2007),  
...,  
K. Moffat, S. Pascoli, S. T. Petcov, J.  
Turner (2018),  
A.G., K. Moffat, S. T. Petcov (2022)

### Remarks

- LG can work down to the  $1e6$  GeV scale with low-energy CP-violation (1809.08251)
- In the NO case, there is a one-to-one correspondence between the sign of the BAU ( $> 0$ ) and that of  $\sin \delta$  (2107.02079)

## Low-scale LG with low-energy CP-violation

2 RHNs: A.G., S. Pascoli, S. T. Petcov  
(2023),  
3 RHNs: A.G., J. Klaric, S. T. Petcov (in preparation)

# Parameter space of viable LG

## Viable LG with 2 RHNs and Dirac CPV

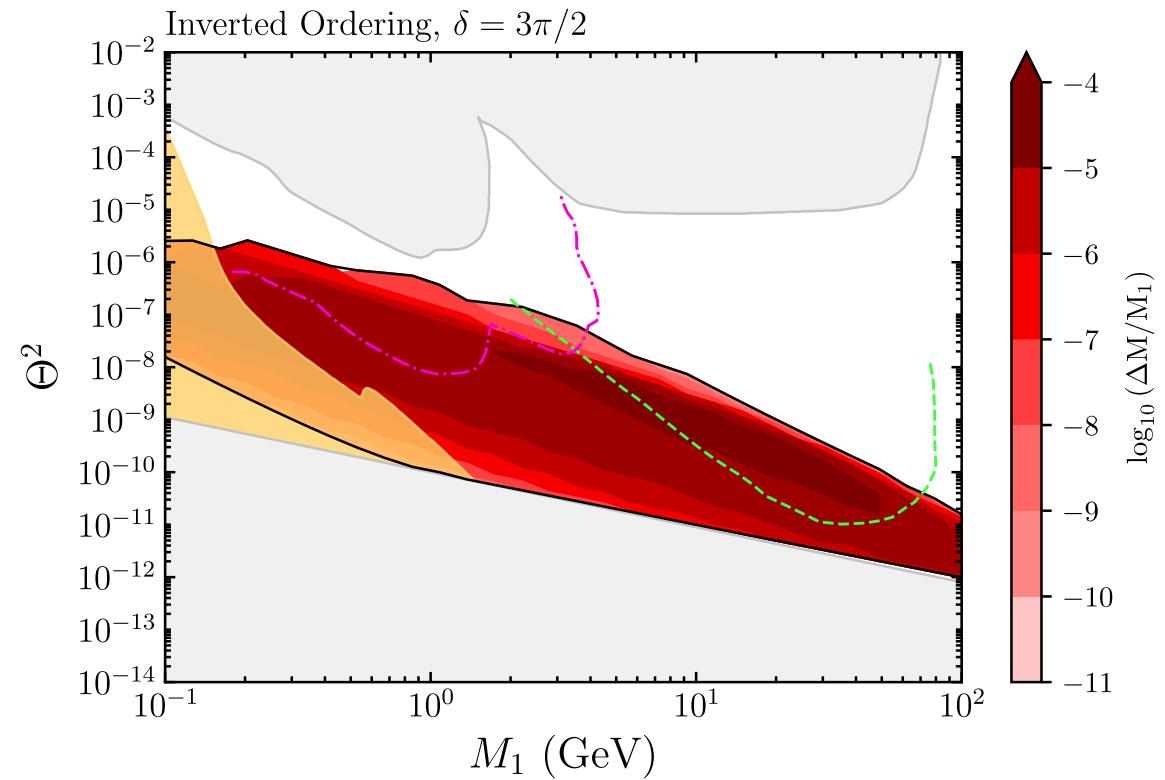
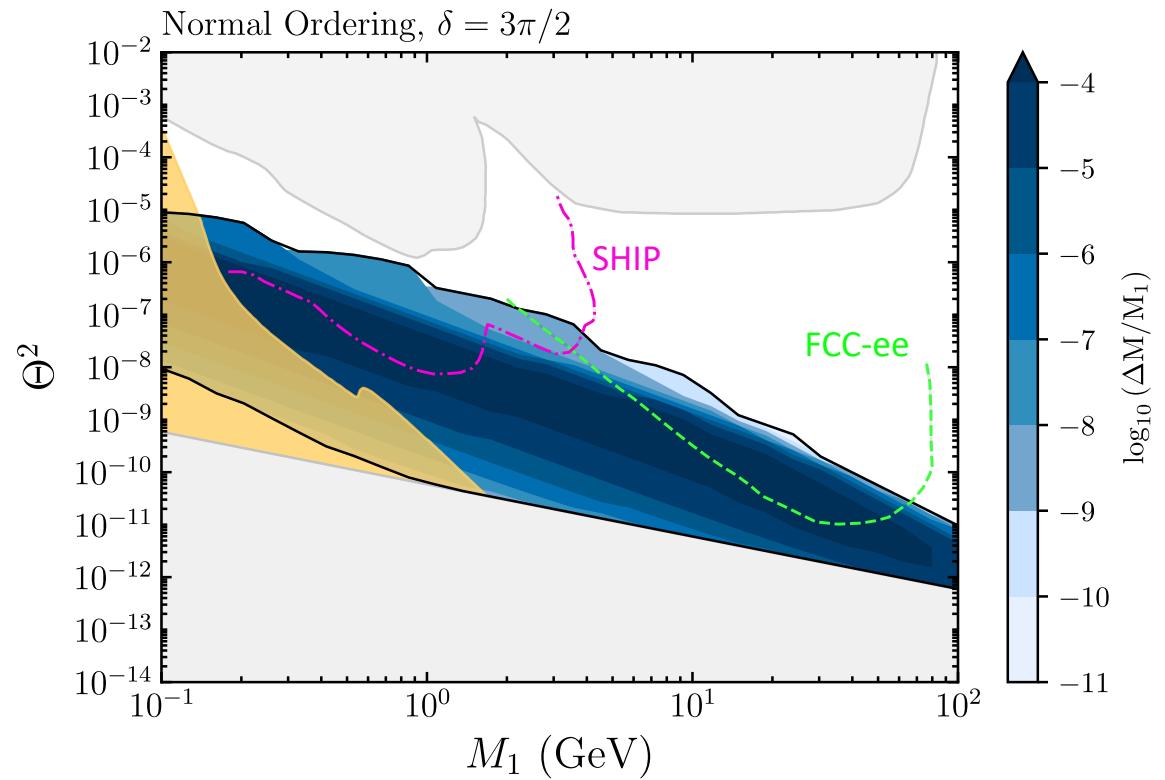
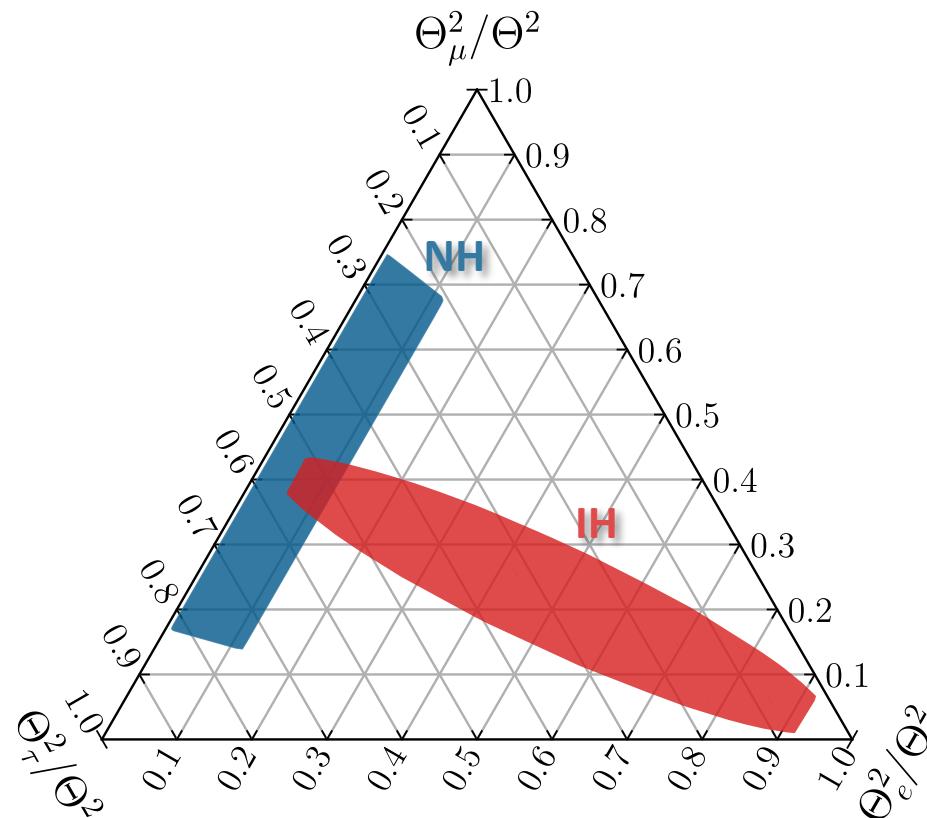


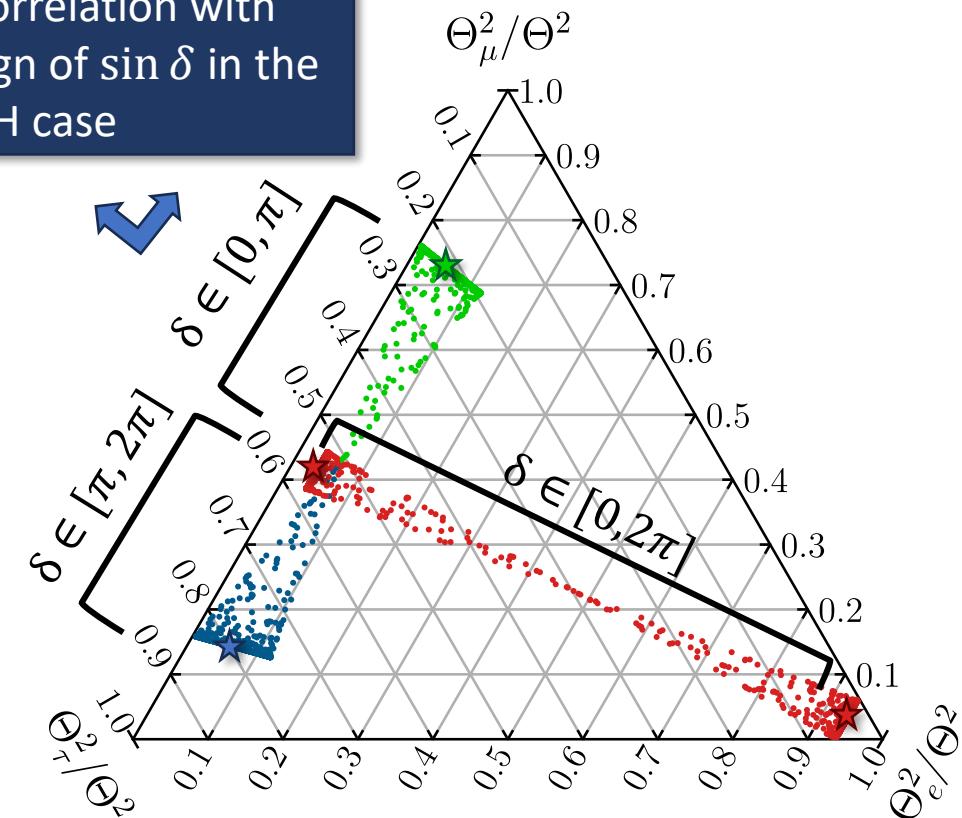
Fig. from A. G., S. Pascoli, S. T. Petcov, *Low-Scale LG with Low-Energy Dirac CPV*, arXiv:2307.07476.

# Flavour ratios compatible with viable LG



LG with low- or high-energy CP-violation

Correlation with sign of  $\sin \delta$  in the NH case



Low-energy Dirac CP-violation

★ Large mixings  $\xi > 1, \Theta^2$  in the accessible region

A. G., S. Pascoli, S. T. Petcov arXiv:2307.07476.

# Parameter Space of low-scale LG with 3RHNs

**LG with 3 RHNs is even more promising!**

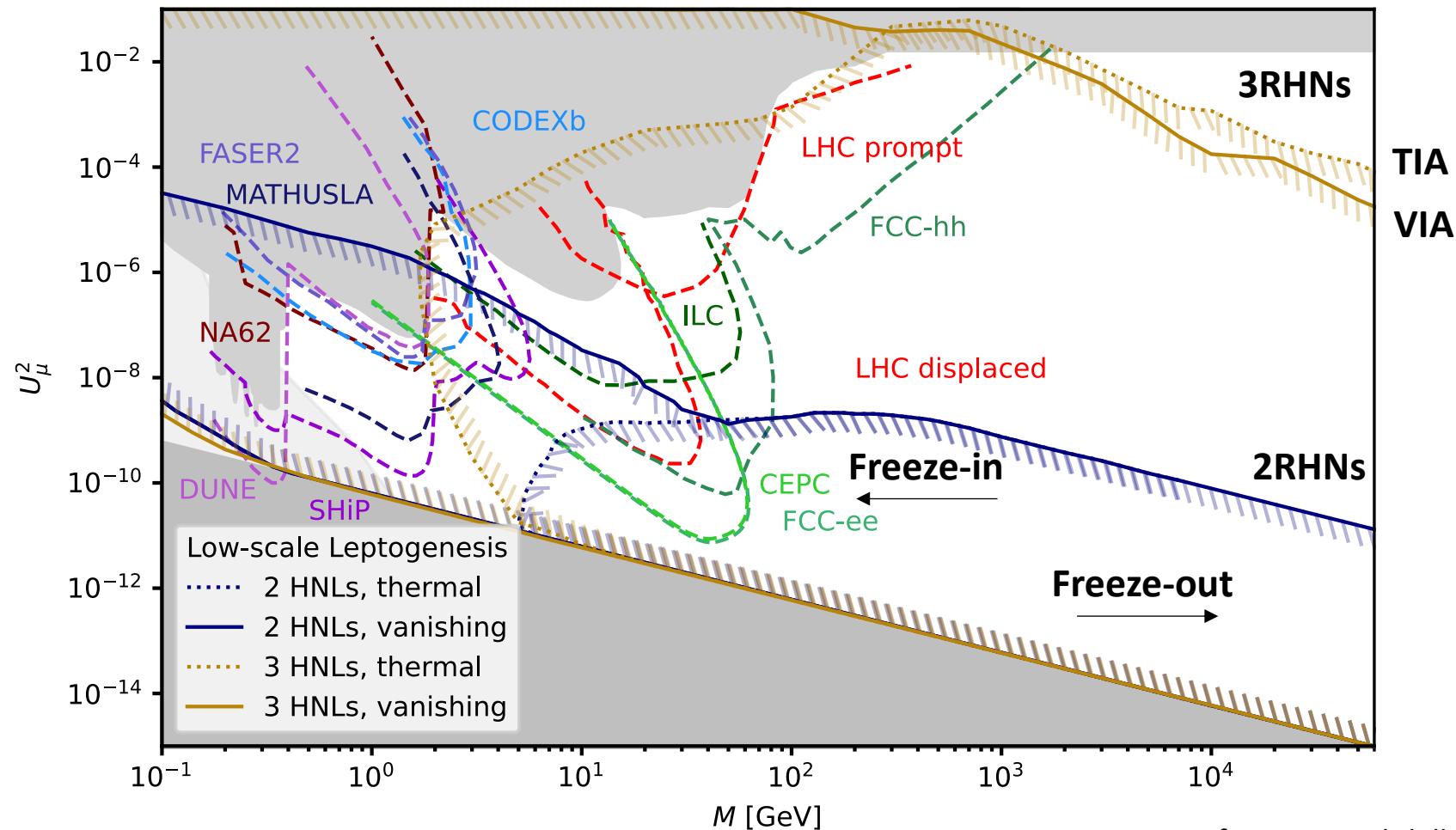
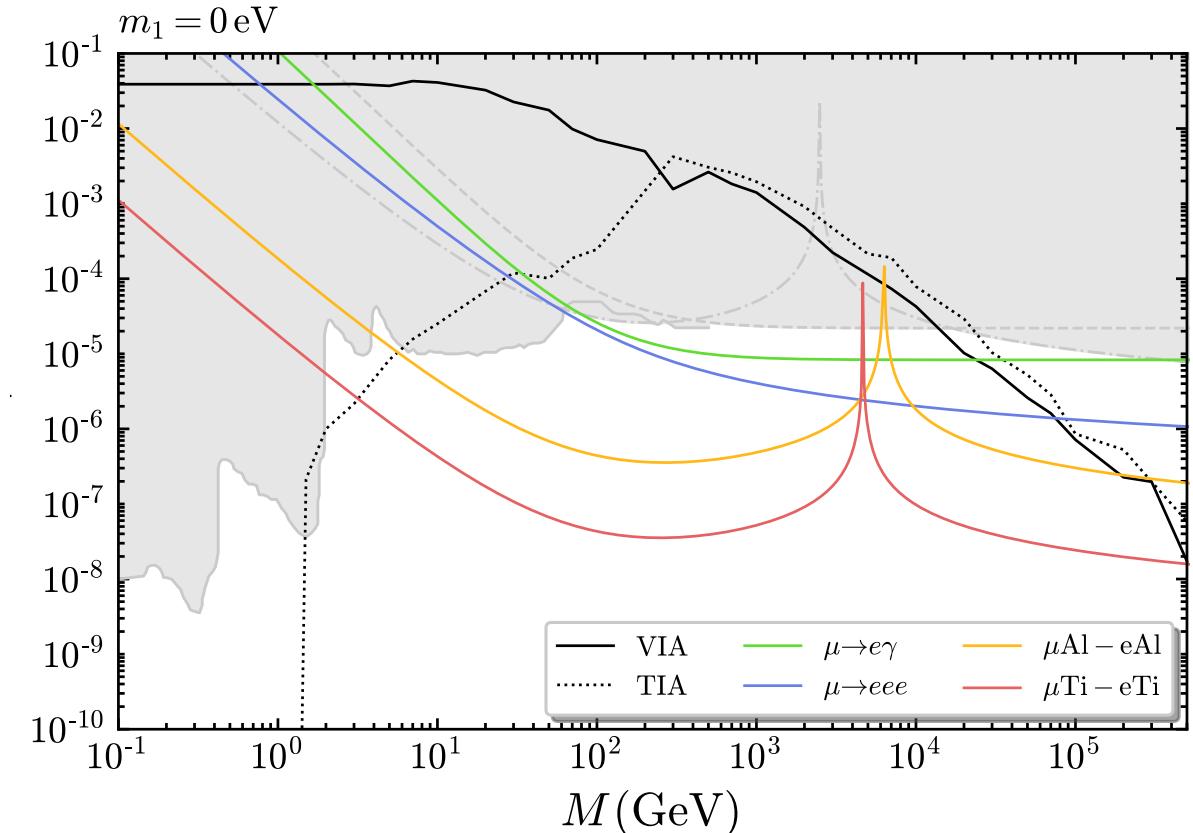
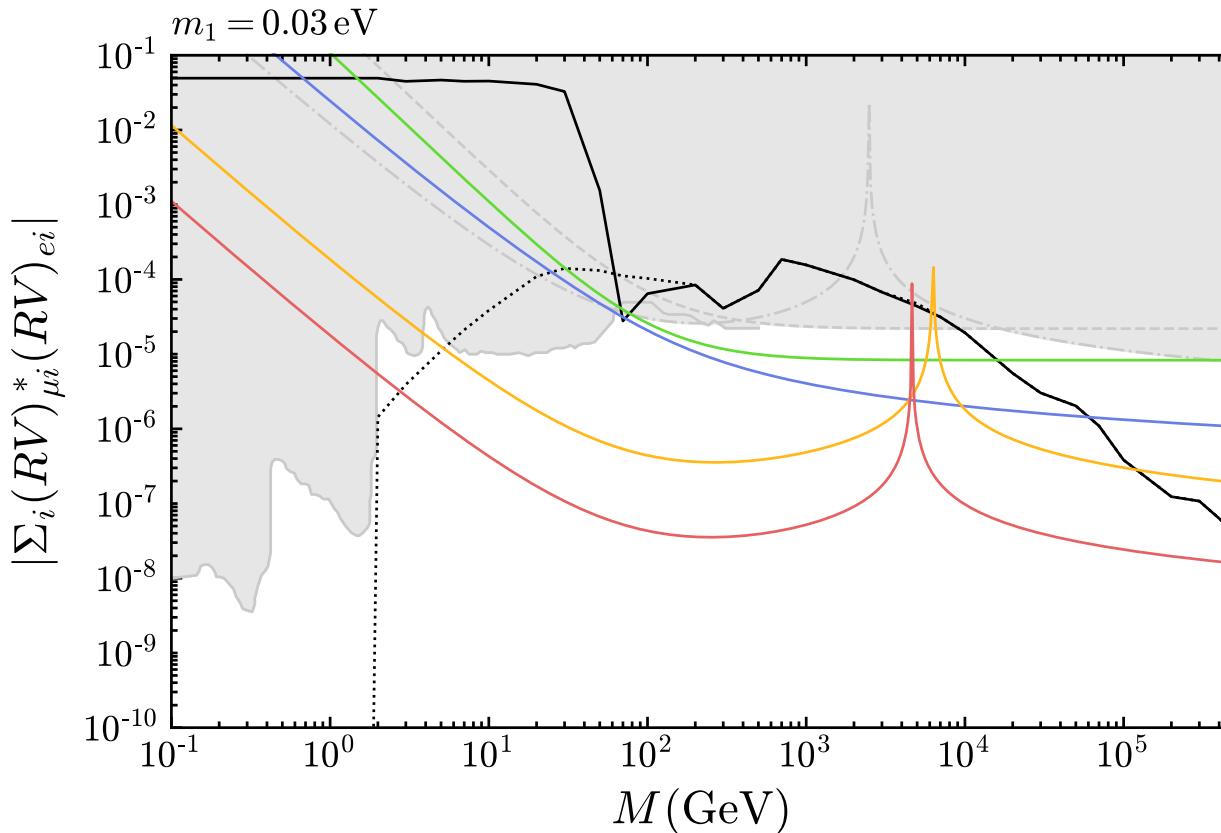


Fig. from A. M. Abdullahi et al., arXiv:2203.08039

# Parameter Space of low-scale LG

- Experiments looking at **charged lepton flavour violating processes** involving muons will be able to probe the LG parameter space.



- A study on LG with 3RHNs and low-energy CP-violation is on its way (A.G., J. Klarić, S. T. Petcov, in preparation)!

Fig. from A.G., J. Klarić, S. T. Petcov, *Phys. Lett. B*, 837 (2023) 137643 [2206.04342]

# Summary and conclusions

- The parameter space of **low-scale LG via oscillations with two/three quasi degenerate heavy Majorana neutrinos** can be probed by future collider searches, including FCC, of heavy neutral leptons in the mass range **[100 MeV, 100 GeV]**.
- Experiments looking at **charged lepton flavour violation processes**, such as MEG II on the  $\mu \rightarrow e\gamma$  decay, Mu3e on  $\mu \rightarrow eee$  decay, Mu2e and COMET (PRISM/PRIME) on  $\mu \rightarrow e$  conversion in Al (Ti), can **probe** the parameter space of **low-scale LG via oscillations with three quasi degenerate heavy Majorana neutrinos**.
- The **Dirac CP-violating phase** can **alone** provide the requisite **CP-violation** necessary **for successful LG with 2 RHNs; a future measurements of the CP-violation in neutrino oscillations would be in favour of low-scale LG**. The case with 3RHNs is even more promising, a study with low-energy CPV is in preparation (A.G., J. Klarić, S. T. Petcov, in preparation)

# Thanks for your attention!

# Back-up slides

# Density Matrix Equations

$$Hx \frac{dr_N}{dx} = -i [\langle \mathcal{H} \rangle, r_N] - Hx \frac{r_N}{N_N^{\text{eq}}} \frac{dN_N^{\text{eq}}}{dx} - \frac{\langle \gamma_N^{(0)} \rangle}{2} \{ Y^\dagger Y, r_N - 1 \} + \langle \gamma_N^{(1)} \rangle Y^\dagger \mu Y - \frac{\langle \gamma_N^{(2)} \rangle}{2} \{ Y^\dagger \mu Y, r_N \} +$$

$$- \frac{\langle S_N^{(0)} \rangle}{2T^2} \{ M Y^T Y^* M, r_N - 1 \} - \frac{\langle S_N^{(1)} \rangle}{T^2} M Y^T \mu Y^* M + \frac{\langle S_N^{(2)} \rangle}{2T^2} \{ M Y^T \mu Y^* M, r_N \},$$

$$\kappa Hx \frac{d\mu_{\Delta_\alpha}}{dx} = - \frac{\langle \gamma_N^{(0)} \rangle}{2} (Y r_N Y^\dagger - Y^* r_{\bar{N}} Y^T)_{\alpha\alpha} + \langle \gamma_N^{(1)} \rangle (Y Y^\dagger)_{\alpha\alpha} \mu_\alpha - \frac{\langle \gamma_N^{(2)} \rangle}{2} (Y r_N Y^\dagger + Y^* r_{\bar{N}} Y^T)_{\alpha\alpha} \mu_\alpha +$$

$$+ \frac{\langle S_N^{(0)} \rangle}{2T^2} (Y^* M r_N M Y^T - Y M r_{\bar{N}} M Y^\dagger)_{\alpha\alpha} + \frac{\langle S_N^{(1)} \rangle}{T^2} (Y M^2 Y^\dagger)_{\alpha\alpha} \mu_\alpha +$$

$$- \frac{\langle S_N^{(2)} \rangle}{2T^2} (Y M r_{\bar{N}} M Y^\dagger + Y^* M r_N M Y^T)_{\alpha\alpha} \mu_\alpha,$$

Computationally very demanding!

$$Hx \frac{dr_{\bar{N}}}{dx} = r_N \rightarrow r_{\bar{N}}, \mu \rightarrow -\mu, Y \rightarrow Y^*$$

Thermal averaged rates

J. Ghiglieri, M. Laine arXiv:1703.06087 and 1711.08469  
<http://www.laine.itp.unibe.ch/leptogenesis/>

Freely available codes!

Python: A. G., C. Leslie, Y. F. Perez-Gonzalez, H. Schulz, B. Shuve, J. Turner, R. Walker, ULYSSESv2, arXiv:2301.05722

C++: P. Hernández, J. López-Pávón, N. Rius and S. Sandner, amiqs, arXiv:2207.01651