

Neutrino quantum kinetics
in core-collapse supernova and compact object mergers

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Core collapse supernova (CCSN)

Cosmic-rays



Neutrinos



Gravitational waves



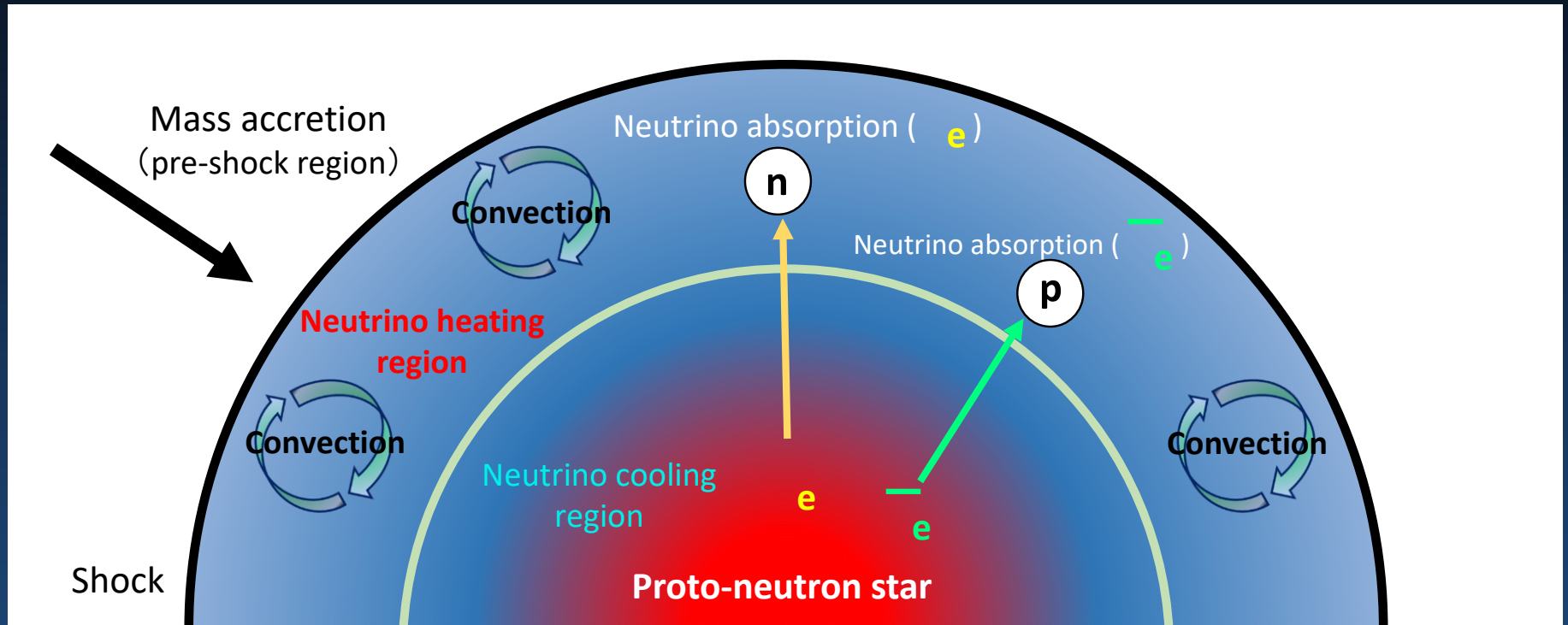
EM waves

- Gamma
- X
- UV
- Optical
- Infrared
- Radio



CasA (Supernova Remnant) Credit: Chandra

Neutrinos play a pivotal role on CCSN explosion

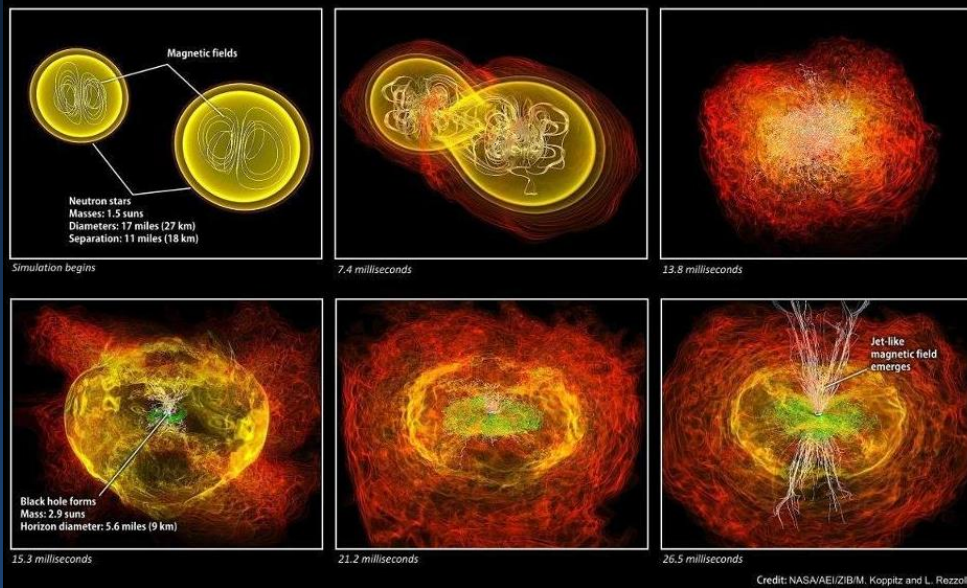


Multi-physics + Multi-dimensional (3D) problems



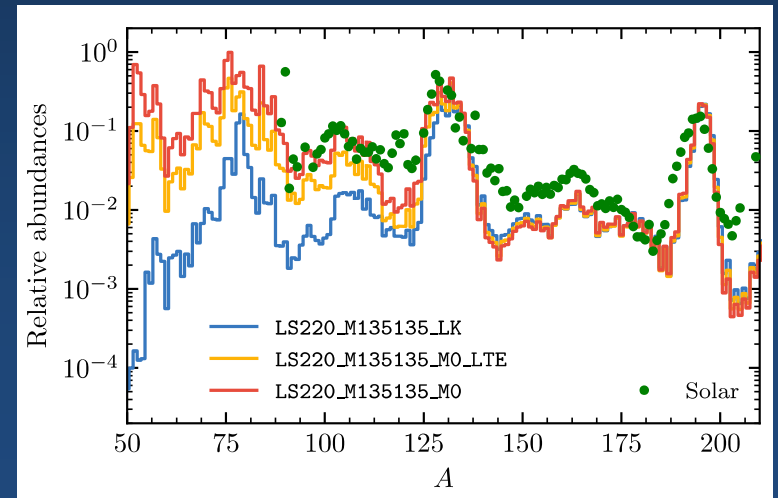
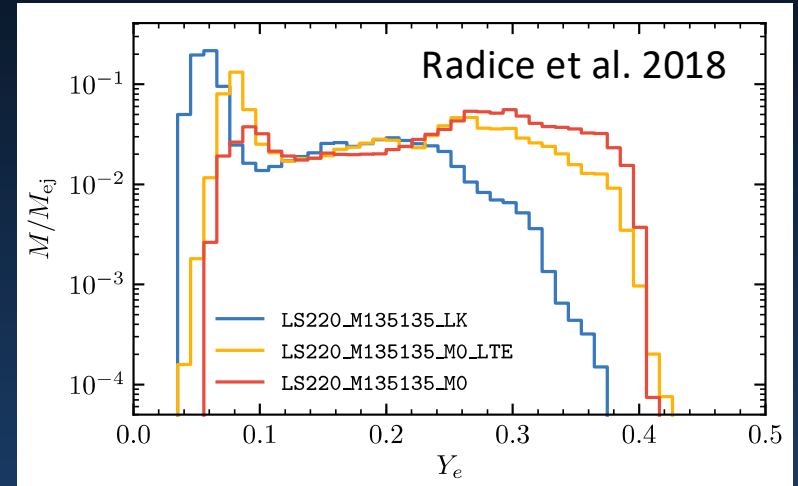
Numerical simulation is necessary to study the complex non-linear dynamics

Binary neutron star merger (BNSM)



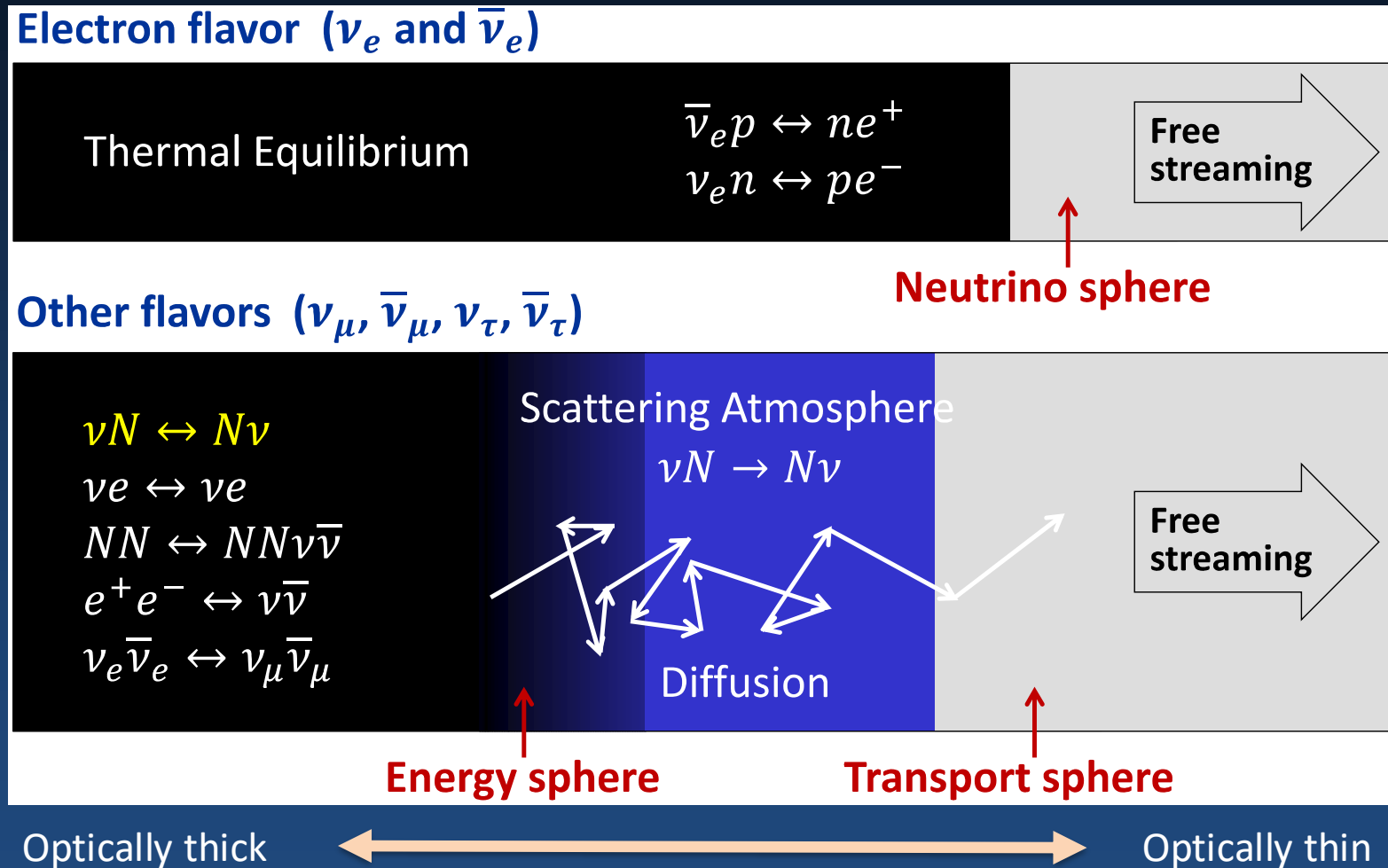
Credit: NASA/AEI/ZIB/M. Koppitz and L. Rezzolla

Lepton number transport **by neutrinos** is a key player to determine r-process nucleosynthesis.



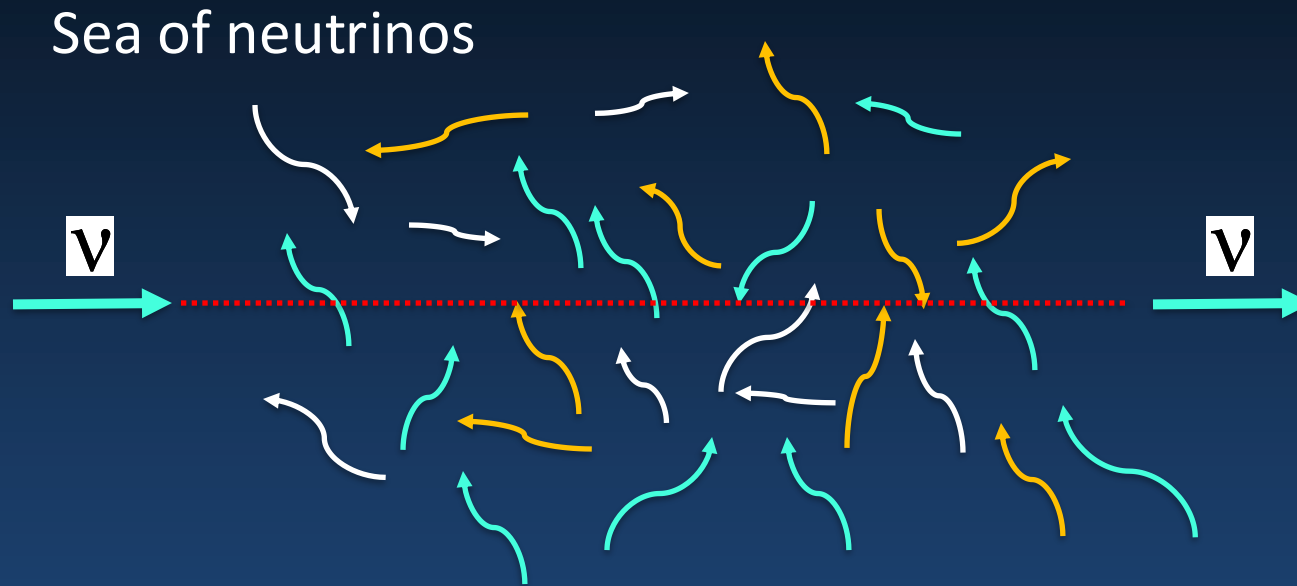
Modeling of neutrino radiation field: necessitating a kinetic treatment

Figure by Janka 2017



Neutrino oscillation induced by self-interactions

Pantalone 1992



1. Refractions by self-interactions induce neutrino flavor conversions, which is analogy to matter effects (e.g., MSW resonance).
2. The oscillation timescale is much shorter than the global scale of CCSN/BNSM.
3. Collective neutrino oscillation induced by neutrino-self interactions commonly occurs in CCSNe and BNSM environments.

Quantum Kinetics neutrino transport:

(See also Christina Volpe's talk tomorrow)

Vlasenko et al. 2014, Volpe 2015,
Blaschke et al. 2016, Richers et al. 2019

$$p^\mu \frac{\partial f^{(-)}}{\partial x^\mu} + \frac{dp^i}{d\tau} \frac{\partial f^{(-)}}{\partial p^i} = -p^\mu u_\mu \underbrace{S_{\text{col}}^{(-)}}_{\text{Collision term}} + ip^\mu n_\mu \underbrace{[H, f]^{(-)}}_{\text{Oscillation term}},$$

Advection terms
(Same as Boltz eq.)

Collision term

Oscillation term

f is not a
"distribution function"

Density matrix

$$f^{(-)} = \begin{bmatrix} f_{ee}^{(-)} & f_{e\mu}^{(-)} & f_{e\tau}^{(-)} \\ f_{\mu e}^{(-)} & f_{\mu\mu}^{(-)} & f_{\mu\tau}^{(-)} \\ f_{\tau e}^{(-)} & f_{\tau\mu}^{(-)} & f_{\tau\tau}^{(-)} \end{bmatrix}$$

Hamiltonian

$$H^{(-)} = H_{\text{vac}}^{(-)} + H_{\text{mat}}^{(-)} + H_{\nu\nu}^{(-)},$$

$$H_{\text{vac}} = \frac{1}{2\nu} U \begin{bmatrix} m_1^2 & 0 & 0 \\ 0 & m_2^2 & 0 \\ 0 & 0 & m_3^2 \end{bmatrix} U^\dagger,$$

$$H_{\text{mat}} = D \begin{bmatrix} V_e & 0 & 0 \\ 0 & V_\mu & 0 \\ 0 & 0 & V_\tau + V_{\mu\tau} \end{bmatrix},$$

$$H_{\nu\nu} = \sqrt{2}G_F \int \frac{d^3q'}{(2\pi)^3} \left(1 - \sum_{i=1}^3 \ell'_{(i)} \ell_{(i)}\right) (f(q') - \bar{f}^*(q')),$$

Rich flavor-conversion phenomena driven by neutrino-neutrino self-interactions

- Slow-mode (Duan et al. 2010)

- Energy-dependent flavor conversion occurs.
- The frequency of the flavor conversion is proportional to

$$\sqrt{\omega\mu}$$

Vacuum:	$\omega = \frac{\Delta m^2}{2E_\nu}$,
Matter:	$\lambda = \sqrt{2}G_F n_e$,
Self-int:	$\mu = \sqrt{2}G_F n_\nu$,

- Fast-mode (FFC) (Sawyer 2005)

- Collective neutrino oscillation in the limit of $\omega \rightarrow 0$.
- The frequency of the flavor conversion is proportional to
- Anisotropy of neutrino angular distributions drives the fast flavor-conversion.

$$\mu$$

- Collisional instability (Johns 2021)

- Asymmetries of matter interactions between neutrinos and anti-neutrinos drive flavor conversion.

$$\text{Im} \left[\frac{\Gamma - \bar{\Gamma}}{2} \pm \frac{\mu S}{(\mu D)^2 + 4} \right] - \frac{\Gamma + \bar{\Gamma}}{2}$$

Γ : Matter-interaction rate

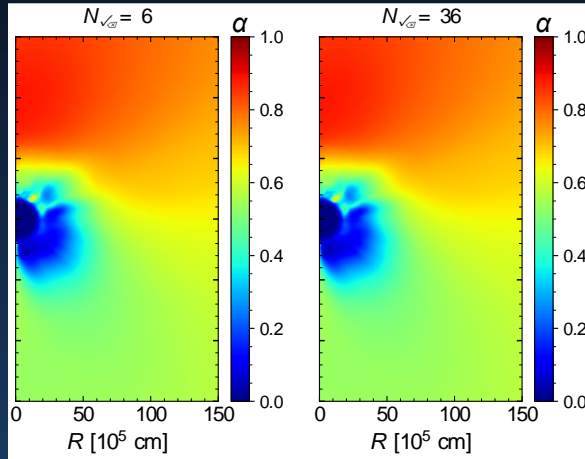
- Matter-neutrino resonance (Malkus et al. 2012)

- The resonance potentially occur in BNSM/Collapsar environment (but not in CCSN).
- Essentially the same mechanism as MSW resonance.

$$|\lambda + \mu| \sim |\omega|$$

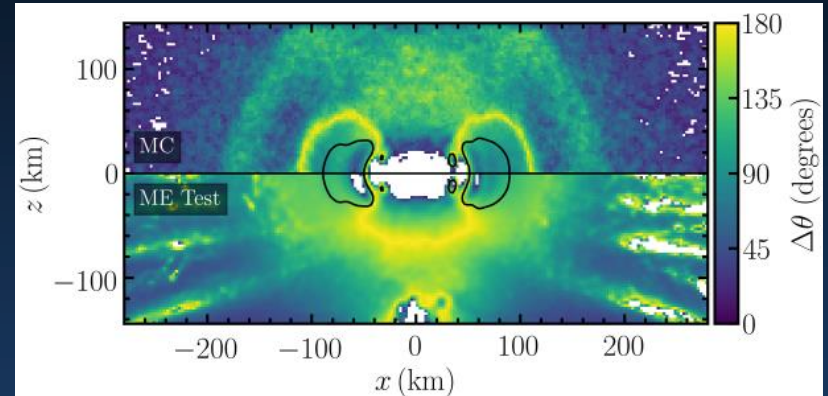
FFC occurs in both CCSN and BNSM

Core-collapse supernova



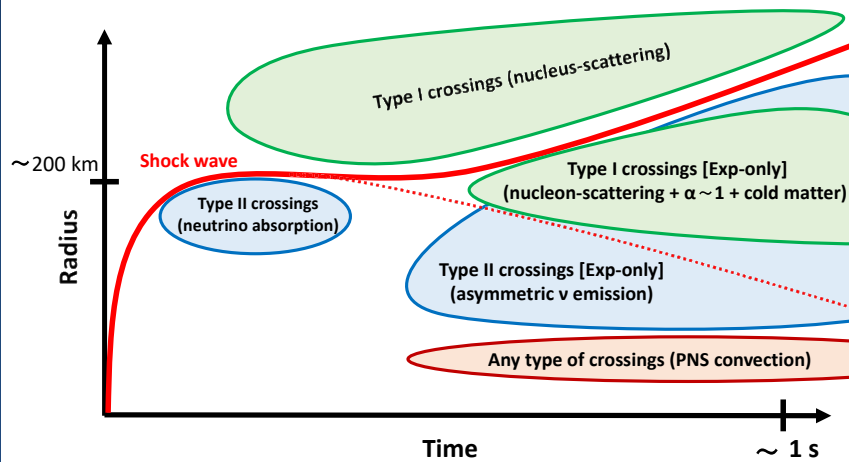
Abbar et al. 2018

Binary neutron star merger

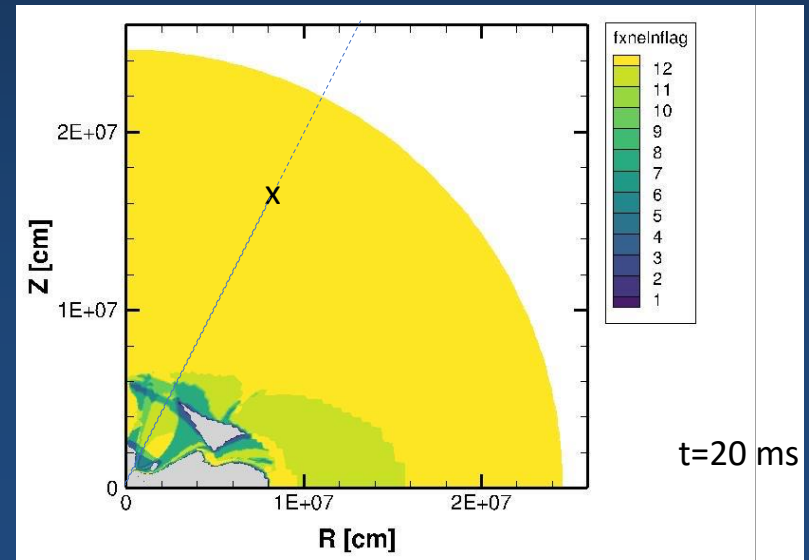


Richers 2022

Space-time diagram of ELN-angular crossings in CCSNe



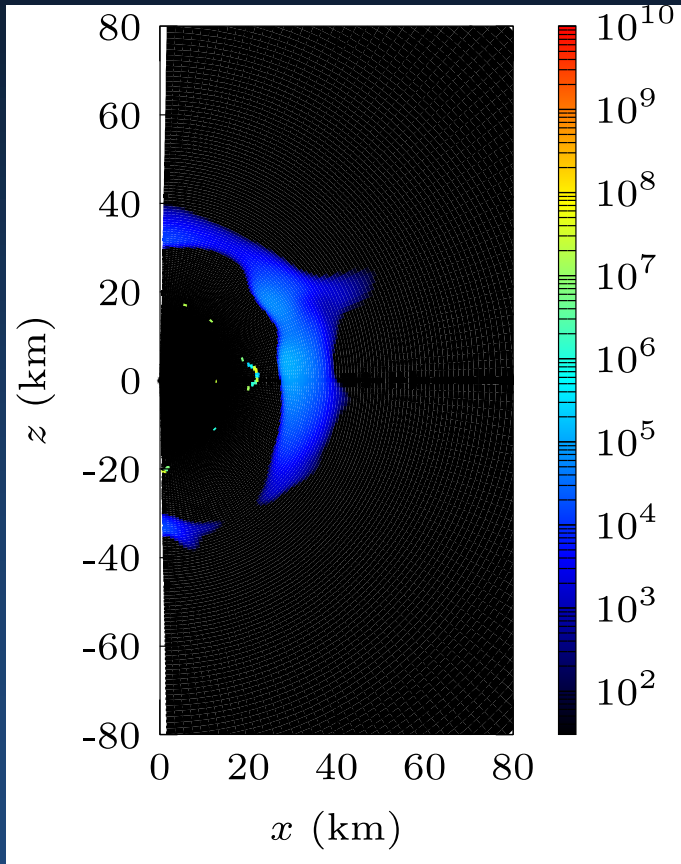
Nagakura et al. 2021



Sumiyoshi et al. in prep

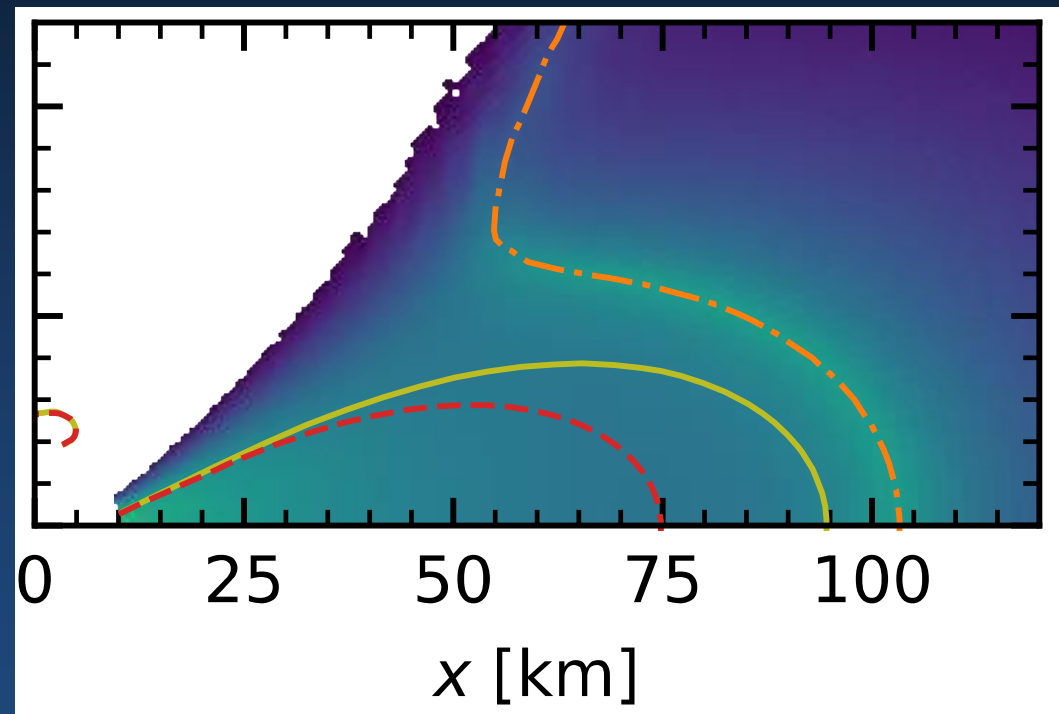
Collisional instability also occurs in both CCSN and BNSM

Core-collapse supernova



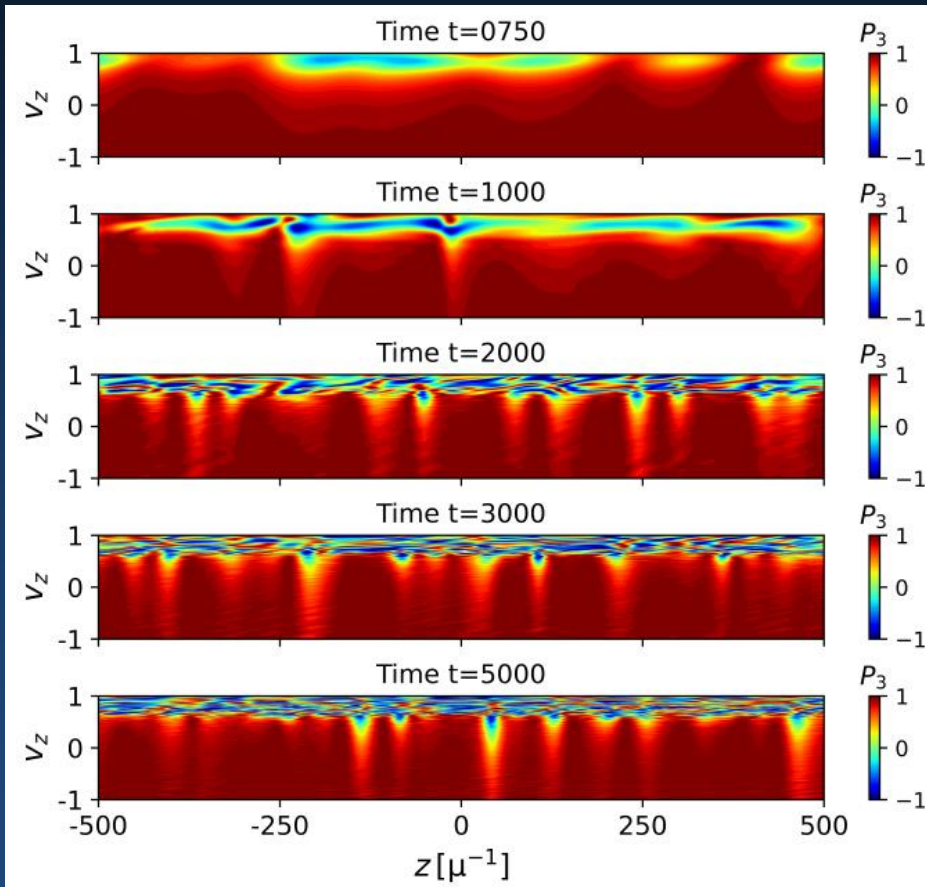
Akaho et al. 2023

Binary neutron star merger



Xiong et al. 2022

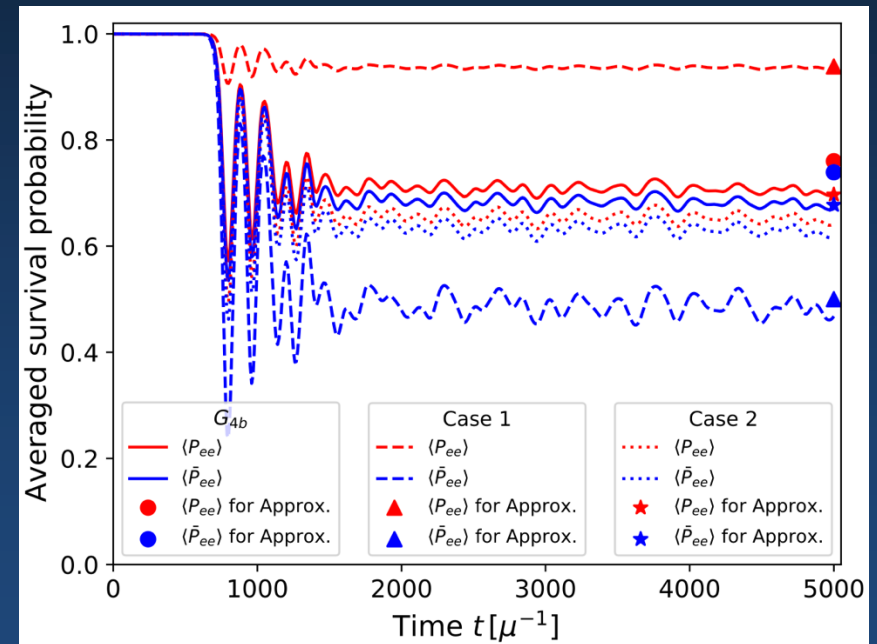
- Local simulations:



Zaizen and Nagakura 2022

Asymptotic state FFC can be estimated "analytically"

Conservation law of neutrinos
+
Stability condition
(disappearance of ELN-XLN crossings)



But see Zaizen and Nagakura 2023, 204 for dependence of boundary conditions

- Global simulations:

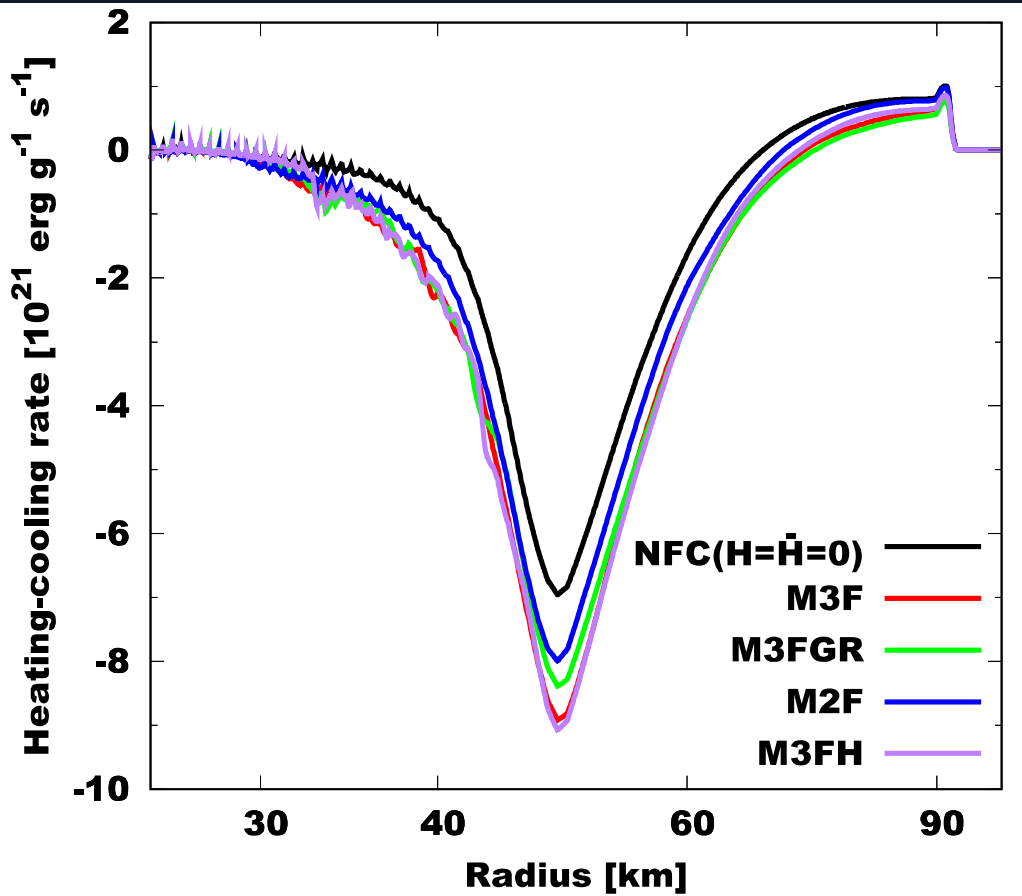
General-relativistic quantum-kinetic neutrino transport (GRQKNT)

Nagakura 2022

$$p^\mu \frac{\partial f^{(-)}}{\partial x^\mu} + \frac{dp^i}{d\tau} \frac{\partial f^{(-)}}{\partial p^i} = -p^\mu u_\mu \overset{(-)}{S}_{\text{col}} + ip^\mu n_\mu [\overset{(-)}{H}, \overset{(-)}{f}],$$

- ✓ Fully general relativistic (3+1 formalism) neutrino transport
- ✓ Multi-Dimension (6-dimensional phase space)
- ✓ Neutrino matter interactions (emission, absorption, and scatterings)
- ✓ Neutrino Hamiltonian potential of vacuum, matter, and self-interaction
- ✓ 3 flavors + their anti-neutrinos
- ✓ Solving the equation with Sn method (explicit evolution: WENO-5th order)
- ✓ Hybrid OpenMP/MPI parallelization

- Global Simulations of FFC (in CCSN) Nagakura PRL 2023



Numerical setup:

Collision terms are switched on.

Fluid-profiles are taken from a CCSN simulation.

General relativistic effects are taken into account.

A wide spatial region is covered.

Three-flavor framework

Neutrino-cooling is enhanced by FFCs
Neutrino-heating is suppressed by FFCs

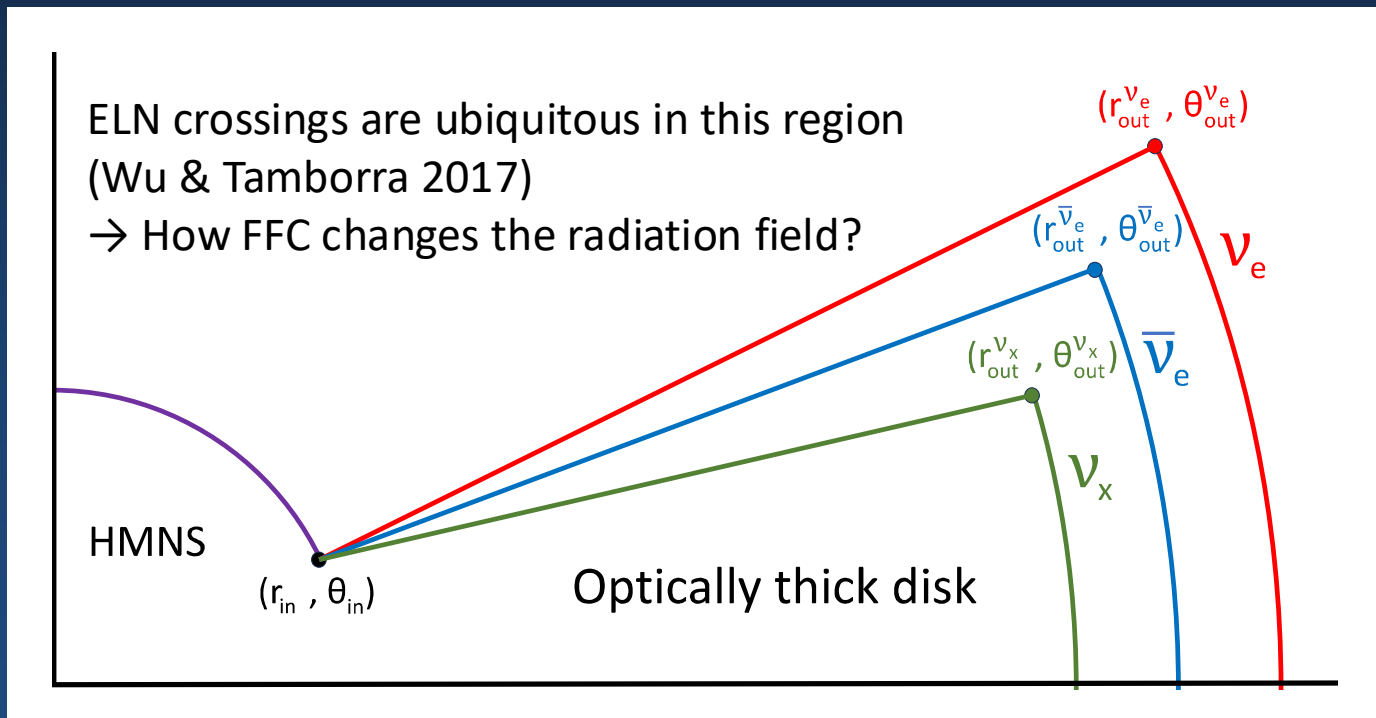


Impacts on the
explodability of CCSN

- Global simulations of FFC (in BNSM) Nagakura 2023

Setup:

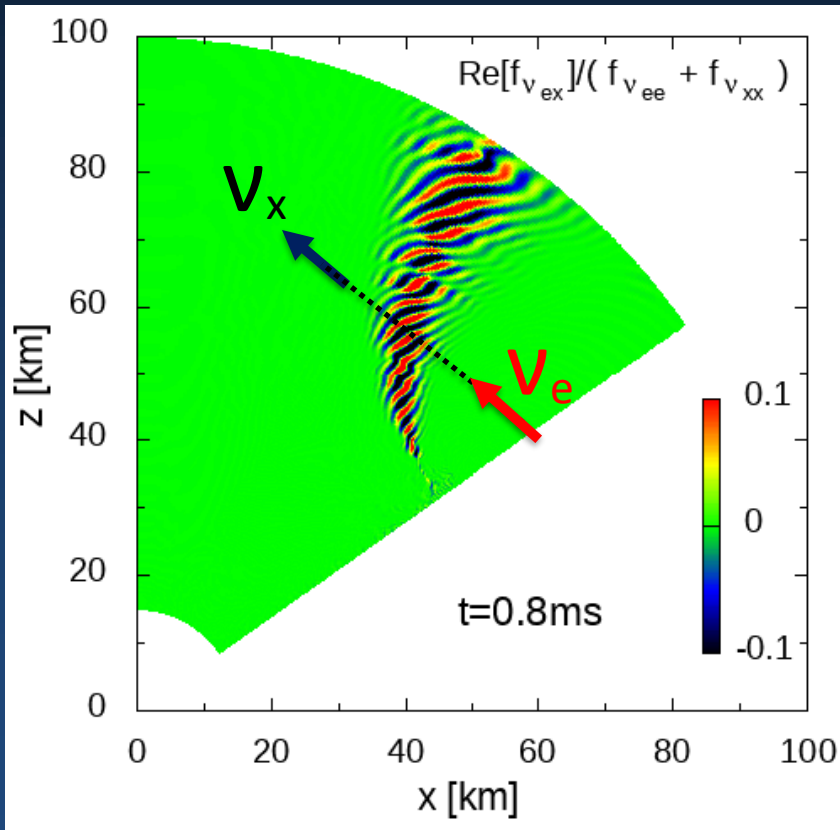
- Hypermassive neutron star (HMNS) + disk geometry
- Thermal emission on the neutrino sphere
- QKE (FFC) simulations in axisymmetry
- Resolutions: 1152 (r) \times 384 (θ) \times 98 (θ_v) \times 48 (ϕ_v)



- Global simulations of FFC (in BNSM)

Appearance of flavor swap and EXZS:

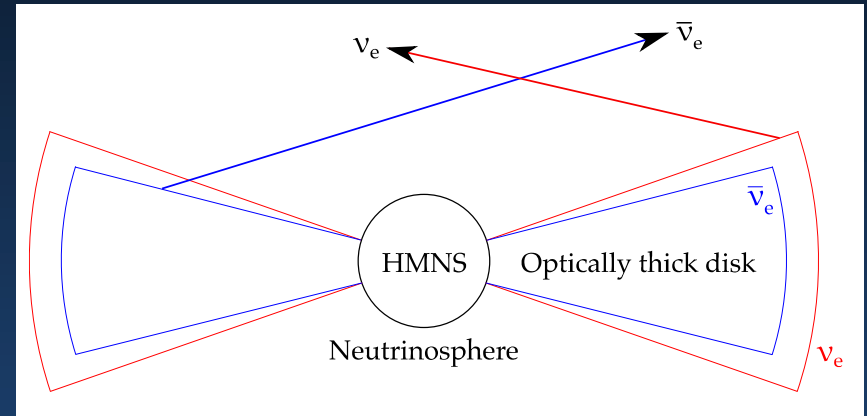
Flavor coherency



Nagakura 2023

Colliding-beam model

Zaizen and Nagakura 2024



$$\partial_{t'}^2 P_3 \sim -4\mu^2 \left(1 - (P_3)^2 \right)$$

Neutrino flavor swaps are inevitable from the perspective of stability .

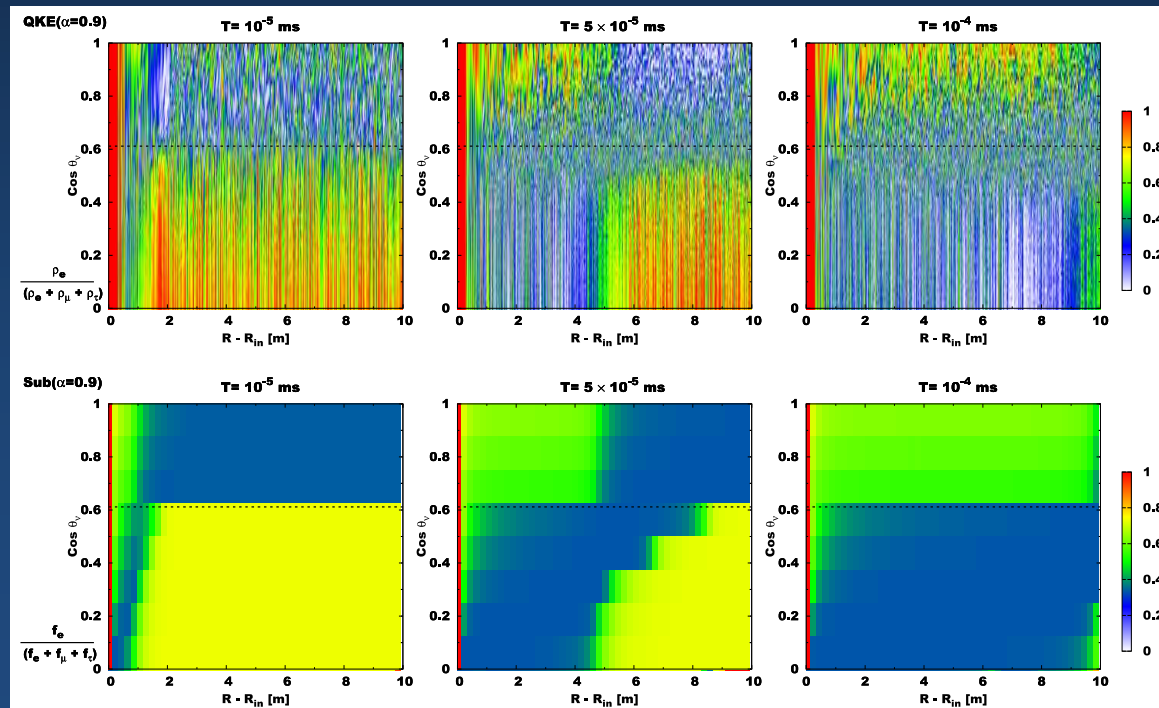
- BGK Subgrid model Nagakura et al. 2024.

See also John's talk:
thermodynamics of neutrino oscillations

$$p^\mu \frac{\partial f}{\partial x^\mu} + \frac{dp^i}{d\tau} \frac{\partial f}{\partial p^i} = -p^\mu u_\mu S + ip^\mu n_\mu [H, f] \quad : \text{Full QKE}$$

$$p^\mu \frac{\partial f}{\partial x^\mu} + \frac{dp^i}{d\tau} \frac{\partial f}{\partial p^i} = -p^\mu u_\mu S + p^\mu n_\mu \frac{1}{\tau_a} (f - f^a) \quad : \text{Relaxation-time approximation}$$

Radial-angular distributions for survival probability of electron-type neutrinos



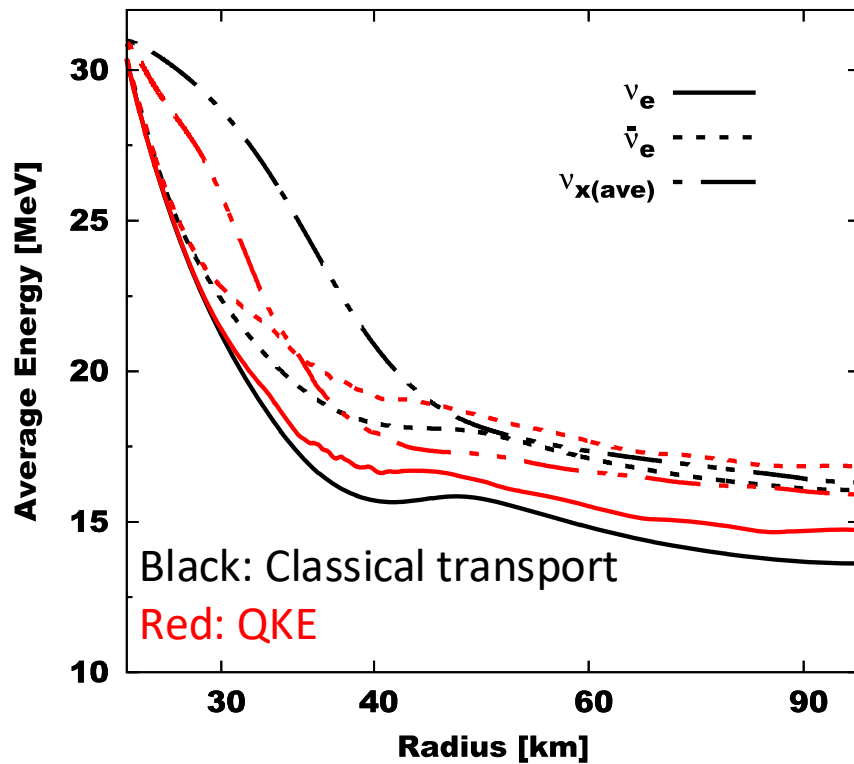
Summary

- ✓ Radiation-hydrodynamic simulations under classical treatments of neutrino kinetics have been matured in CCSN and BNSM community.
- ✓ Collective neutrino oscillations, one of the quantum kinetics features of neutrinos, ubiquitously occur in CCSN and BNSM environments.
- ✓ Fast neutrino-flavor conversion (FFC) and collisional flavor instabilities potentially gives a radical impact on fluid-dynamics, nucleosynthesis, and neutrino signal.
- ✓ We developed a new GRQKNT code for time-dependent global simulations of neutrino quantum kinetics (QKE).
- ✓ Global simulations are currently available, which shows qualitatively different features of flavor conversions from those found in local simulations.

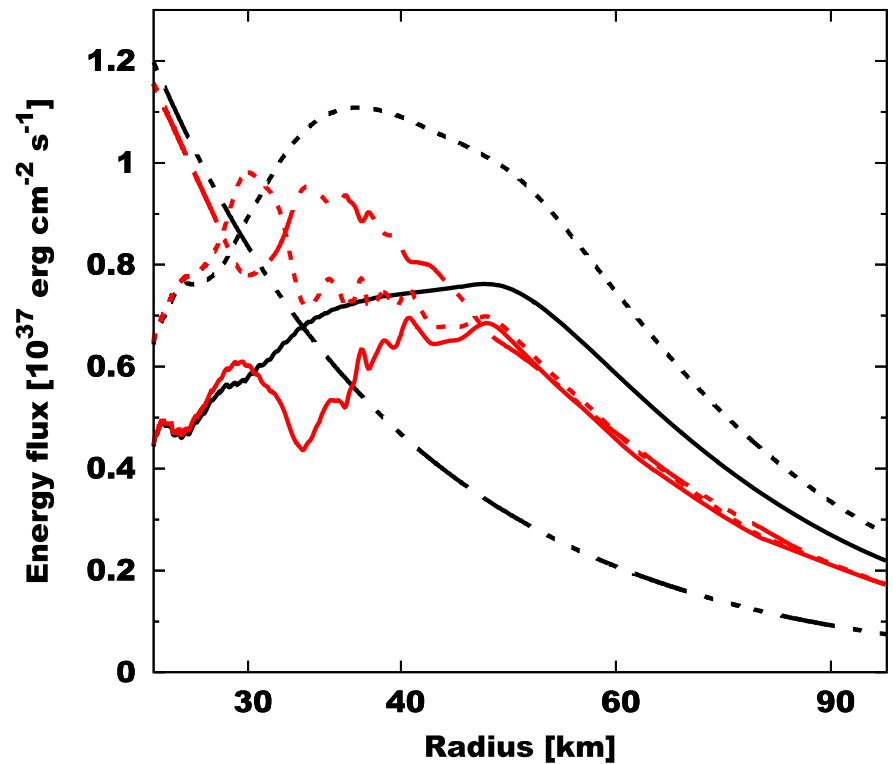
Backup

- Global Simulations of FFC (in CCSN) Nagakura PRL 2023

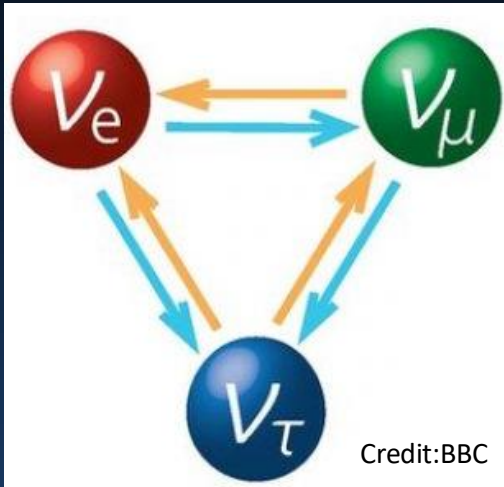
Average energy



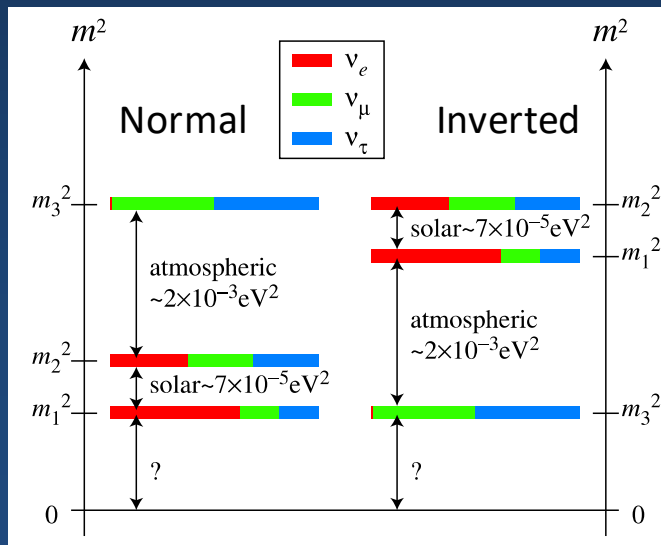
Energy flux



Neutrino oscillations



- ✓ There are many experimental evidences that neutrinos can go through flavor conversion.
- ✓ Neutrinos have at least three different masses.
- ✓ Flavor eigenstates are different from mass eigenstates.



Feruglio et al. 2003

$$|\nu_i\rangle = \sum_{\alpha} U_{\alpha i}^* |\nu_{\alpha}\rangle,$$

Mass state

$$|\nu_{\alpha}\rangle = \sum_i U_{\alpha i} |\nu_i\rangle,$$

Flavor state

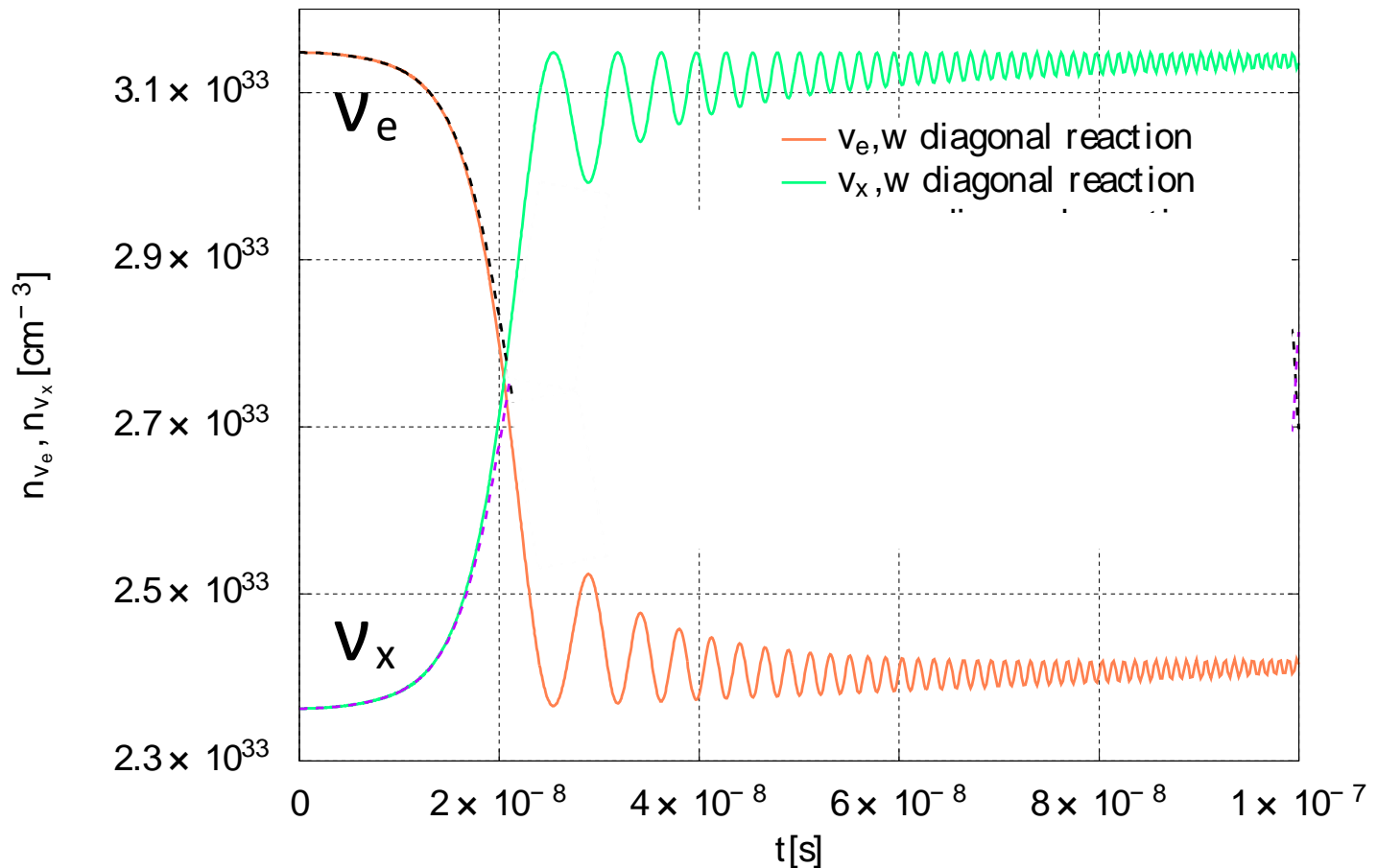
U: Pontecorvo–Maki–Nakagawa–Sakata matrix (PMNS matrix)

$$U = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} e^{i\alpha_1/2} & 0 & 0 \\ 0 & e^{i\alpha_2/2} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} c_{12} c_{13} & s_{12} c_{13} & s_{13} e^{-i\delta} \\ -s_{12} c_{23} - c_{12} s_{23} s_{13} e^{i\delta} & c_{12} c_{23} - s_{12} s_{23} s_{13} e^{i\delta} & s_{23} c_{13} \\ s_{12} s_{23} - c_{12} c_{23} s_{13} e^{i\delta} & -c_{12} s_{23} - s_{12} c_{23} s_{13} e^{i\delta} & c_{23} c_{13} \end{bmatrix} \begin{bmatrix} e^{i\alpha_1/2} & 0 & 0 \\ 0 & e^{i\alpha_2/2} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Collisional flavor swap (associated with resonance-like collisional instability)

Kato, Nagakura, and Johns 2024.



Time-dependent global simulations of FFC

Nagakura and Zaizen PRL 2022, PRD 2023

- Issue:

$$\begin{aligned}\ell_{n\nu} &\equiv c T_{n\nu} \\ &= 0.235 \text{ cm} \left(\frac{L_\nu}{4 \times 10^{52} \text{ erg/s}} \right)^{-1} \\ &\quad \left(\frac{E_{\text{ave}}}{12 \text{ MeV}} \right) \left(\frac{R}{50 \text{ km}} \right)^2 \left(\frac{\kappa}{1/3} \right)\end{aligned}$$

Oscillation wavelength is an order of sub-centimeter.

Too short !!!!

How can we make FFC simulations tractable???

- Strategy:

$$\begin{aligned}\frac{\partial f^{(-)}}{\partial t} + \frac{1}{r^2} \frac{\partial}{\partial r} (r^2 \cos \theta_\nu f^{(-)}) - \frac{1}{r \sin \theta_\nu} \frac{\partial}{\partial \theta_\nu} (\sin^2 \theta_\nu f^{(-)}) \\ = -i \xi [H^{(-)}, f^{(-)}],\end{aligned}$$

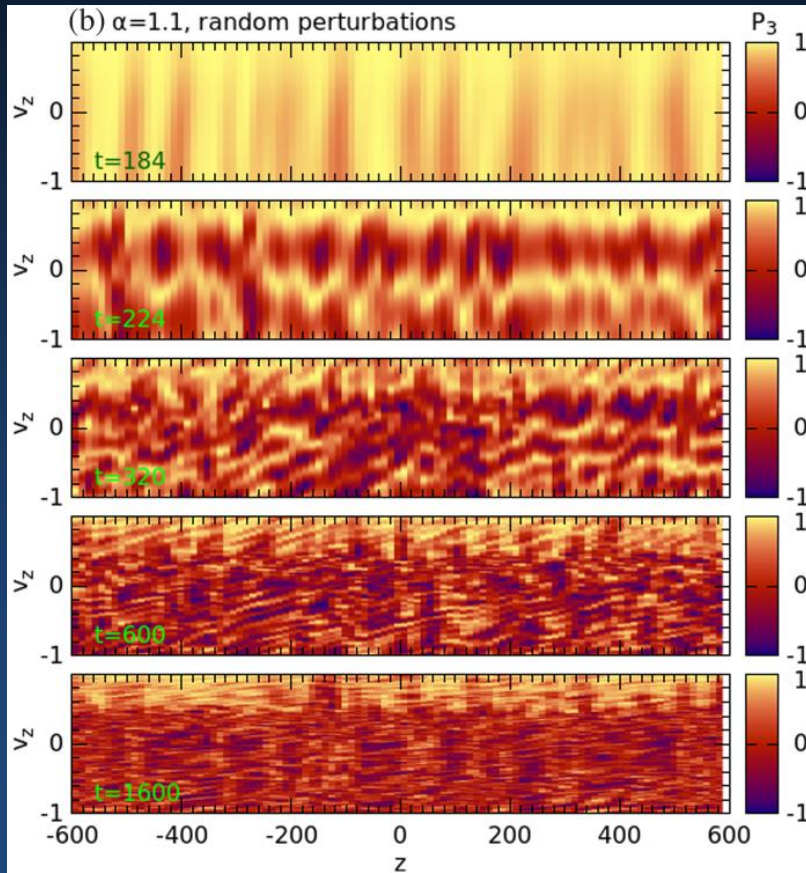
Attenuation parameter ($0 \leq \xi \leq 1$)

- ✓ Attenuating Hamiltonian makes global QKE simulations tractable.
- ✓ Realistic features can be extracted by a convergence study of ξ ($\rightarrow 1$).

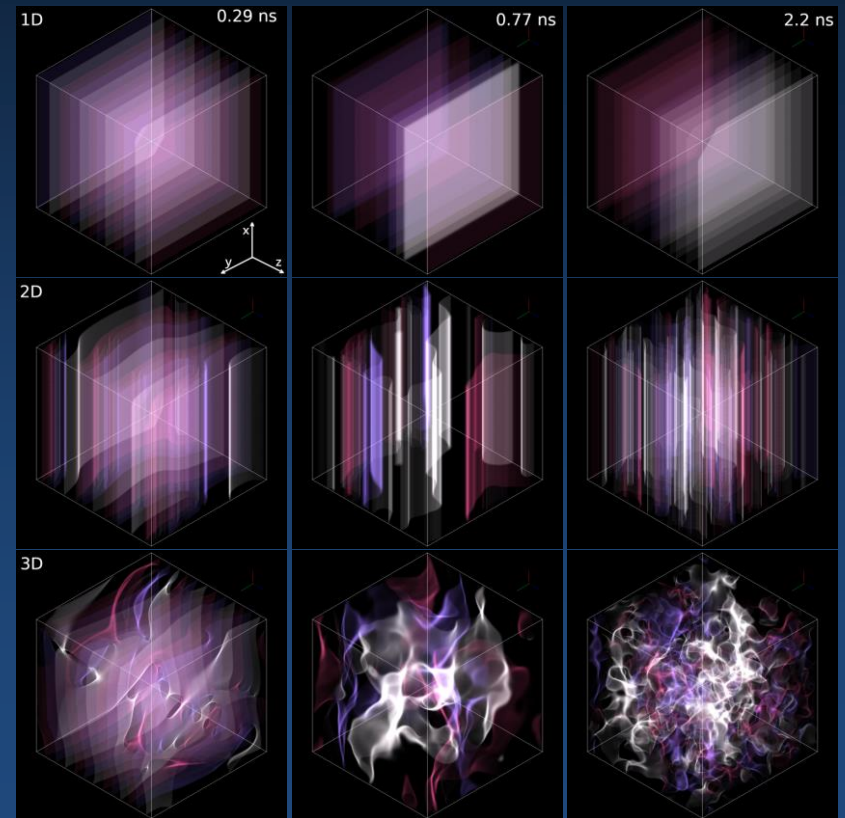
- Local simulations:

$$\frac{\partial f_{ab}}{\partial t} + \underbrace{c\mathbf{\Omega} \cdot \nabla}_{\text{Advection terms (flat + cartesian-coordinate)}} f_{ab} = \mathcal{C}_{ab} - \frac{i}{\hbar} [\mathcal{H}, f]_{ab}$$

Advection terms (flat + cartesian-coordinate)

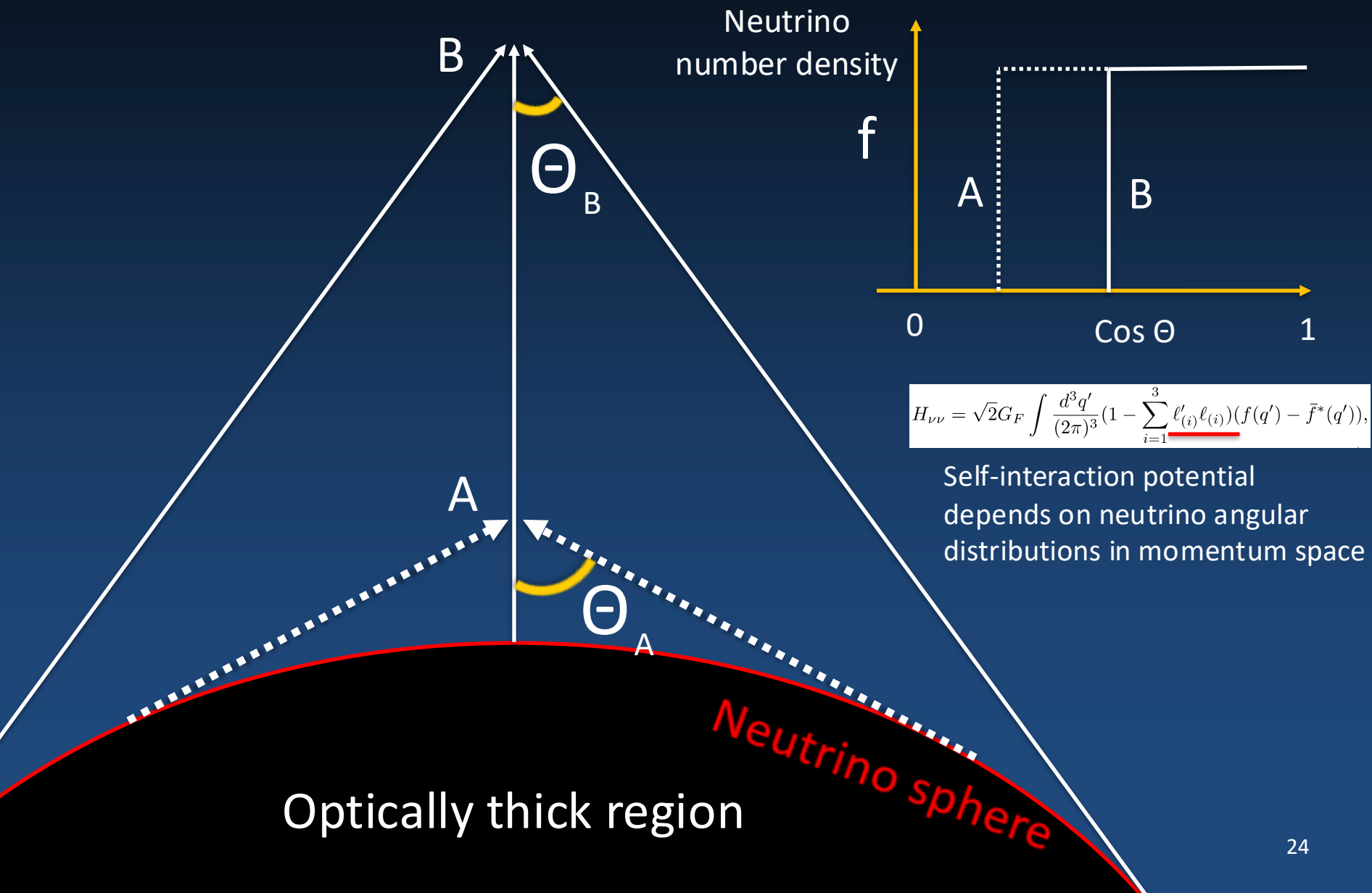


Wu et al. 2021



Richers et al. 2021

- Need of **global simulations** in the study of flavor conversions in CCSN/BNSM

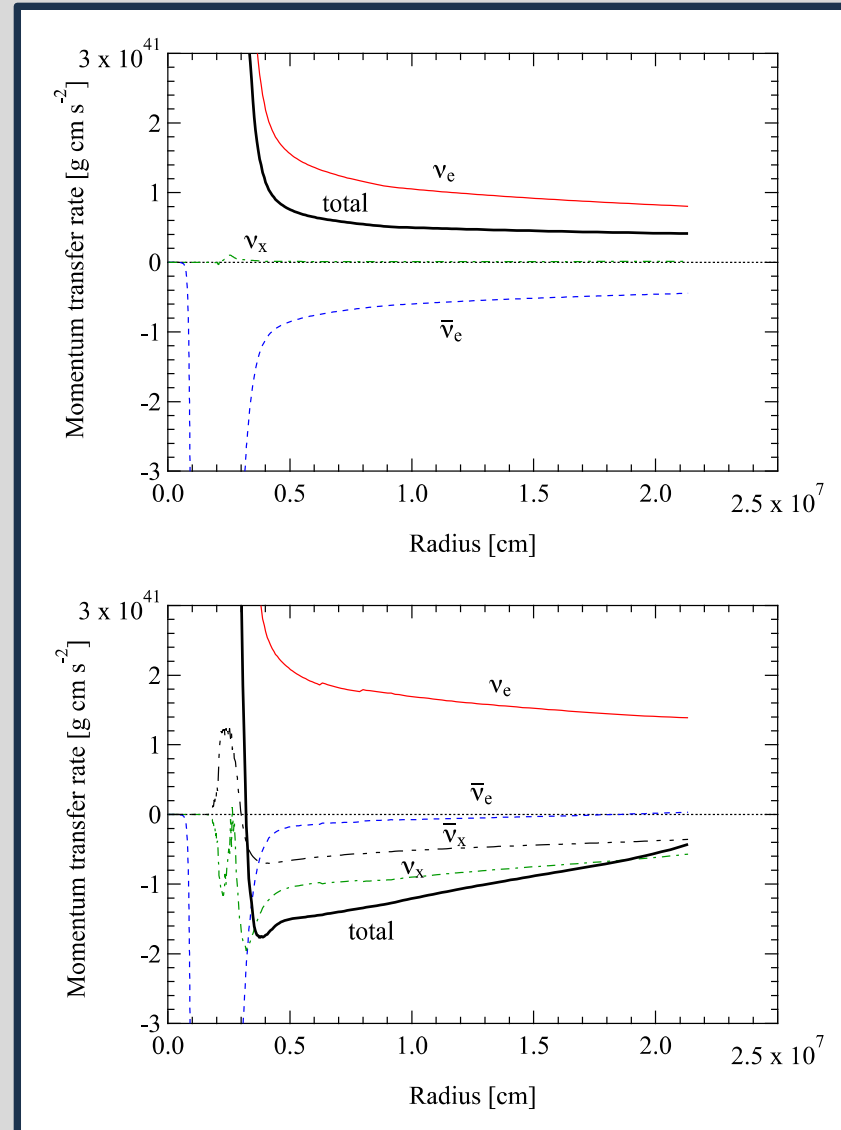
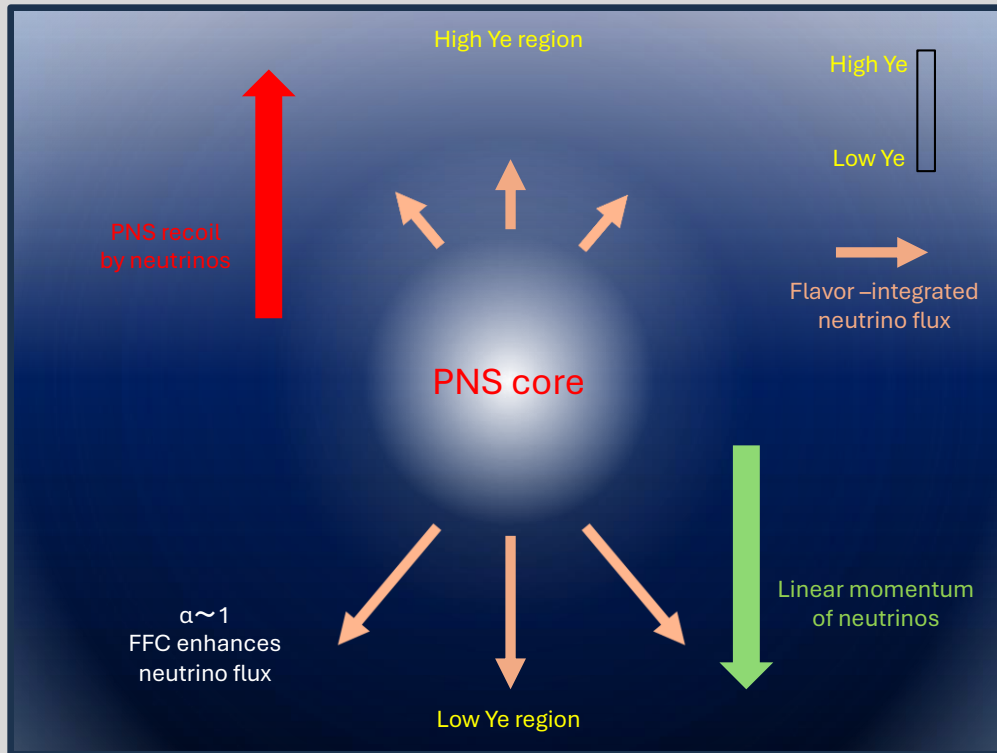


$$H_{\nu\nu} = \sqrt{2}G_F \int \frac{d^3q'}{(2\pi)^3} \left(1 - \sum_{i=1}^3 \ell_{(i)} \ell_{(i)}\right) (f(q') - \bar{f}^*(q')),$$

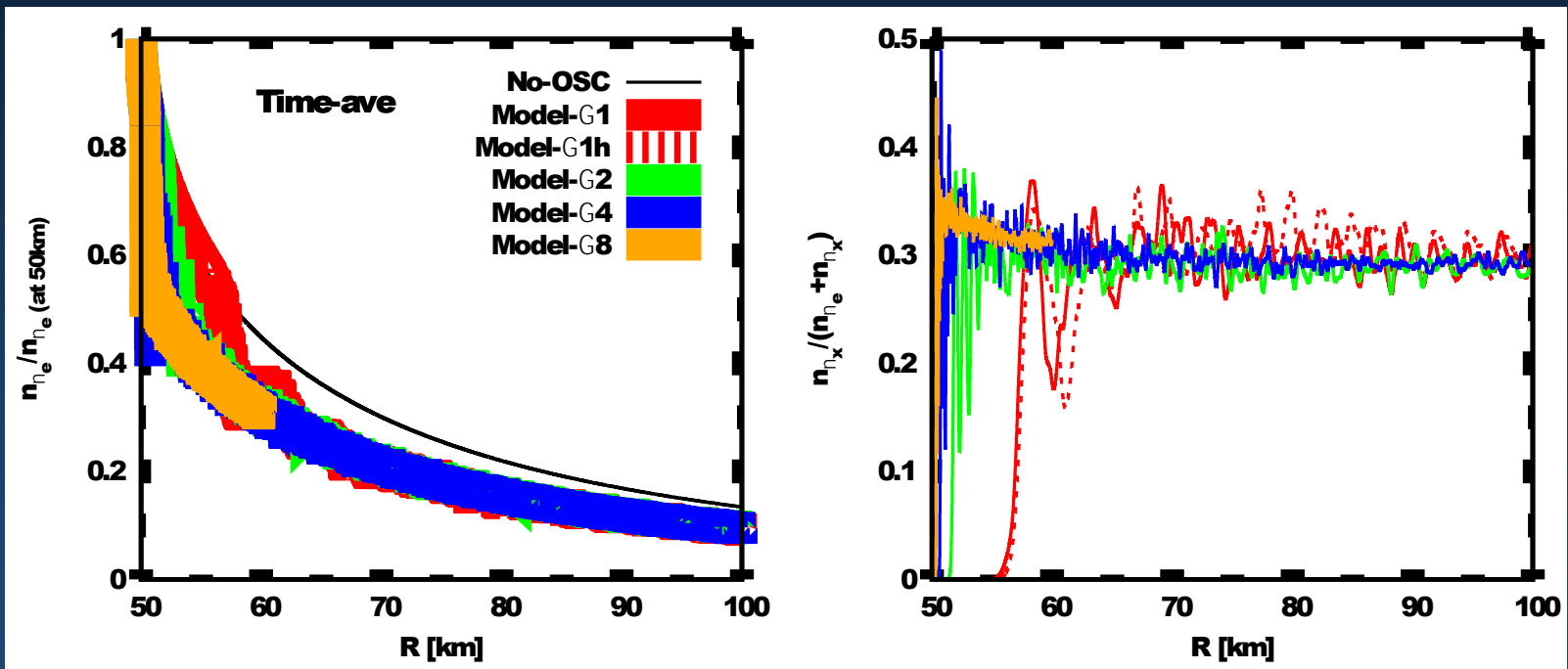
Self-interaction potential depends on neutrino angular distributions in momentum space

- Neutron star kick powered by neutrino flavor conversions

Nagakura and Sumiyoshi: arXiv:2401.15180

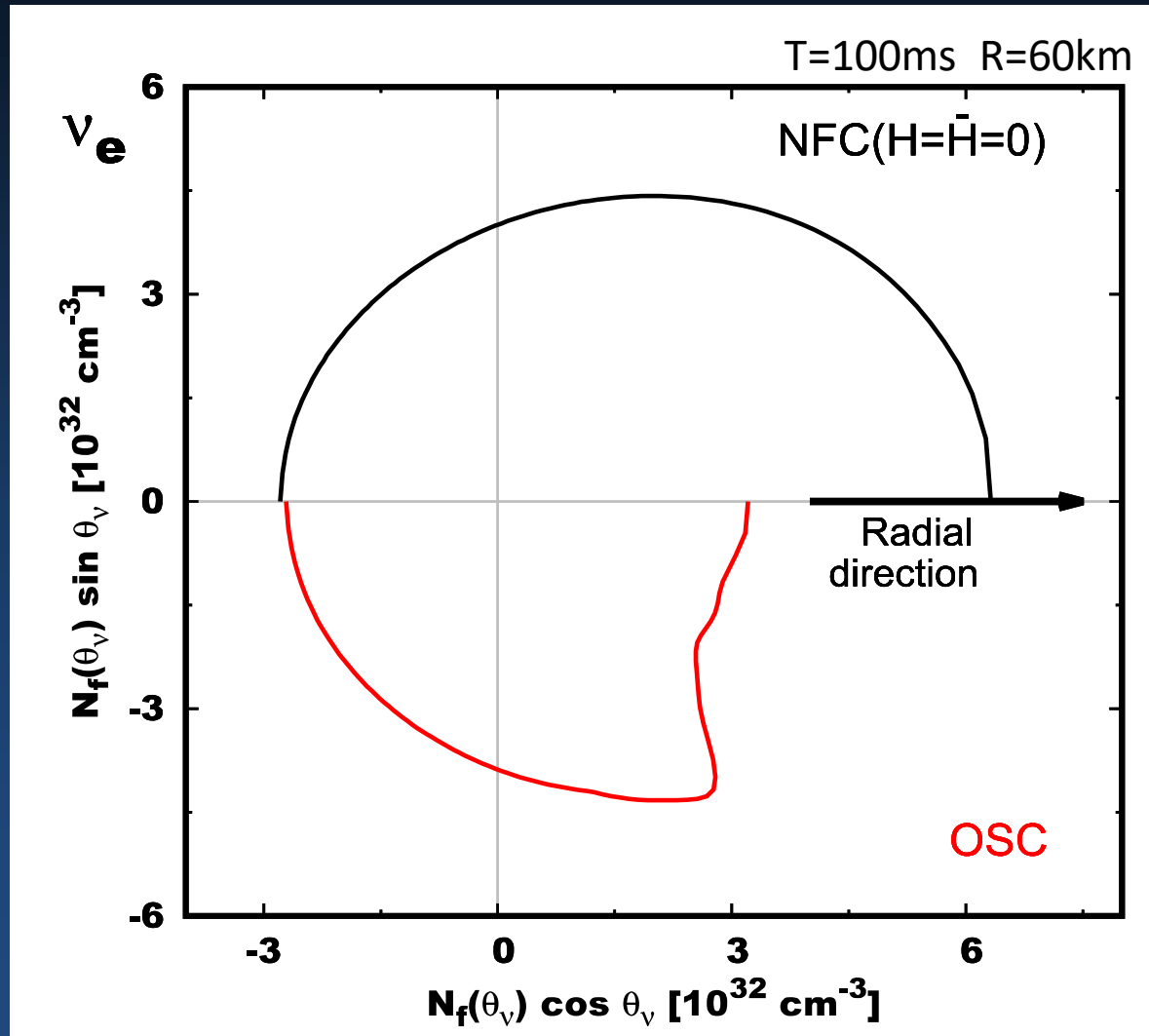


Attenuating Hamiltonian potential does not change the degree of flavor conversion in asymptotic states.



- Global Simulations of FFC (in CCSN)

Neutrino angular distributions



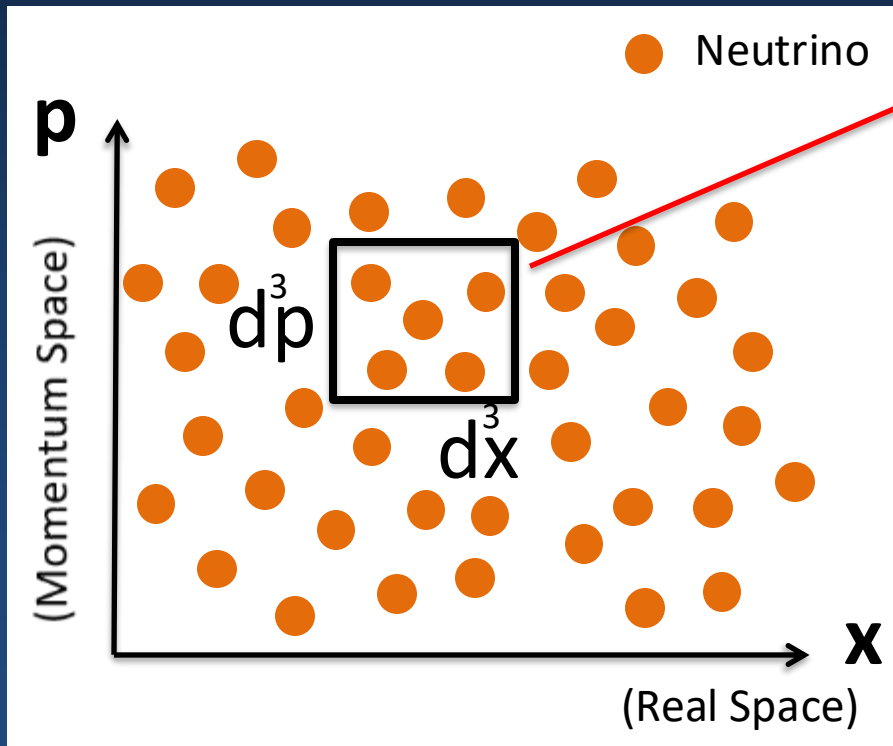
Boltzmann neutrino transport

$$p^\mu \frac{\partial f}{\partial x^\mu} + \frac{dp^i}{d\tau} \frac{\partial f}{\partial p^i} = \left(\frac{\delta f}{\delta \tau} \right)_{\text{col}},$$

(Time evolution + Advection Term)

(Collision Term)

6 dimensional phase space



$$dN = f(t, \mathbf{p}, \mathbf{x}) d^3 p d^3 x$$

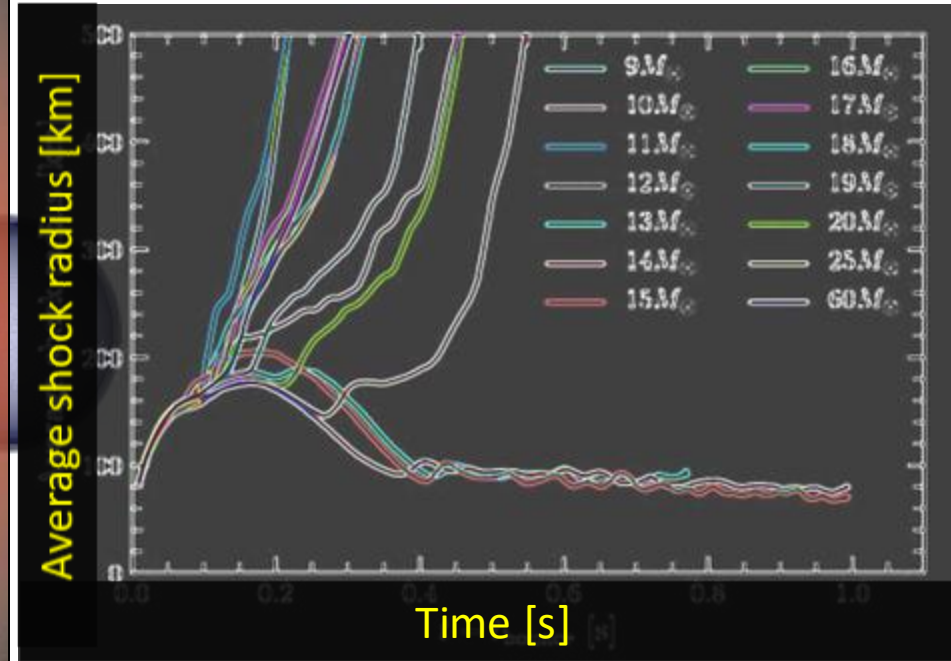
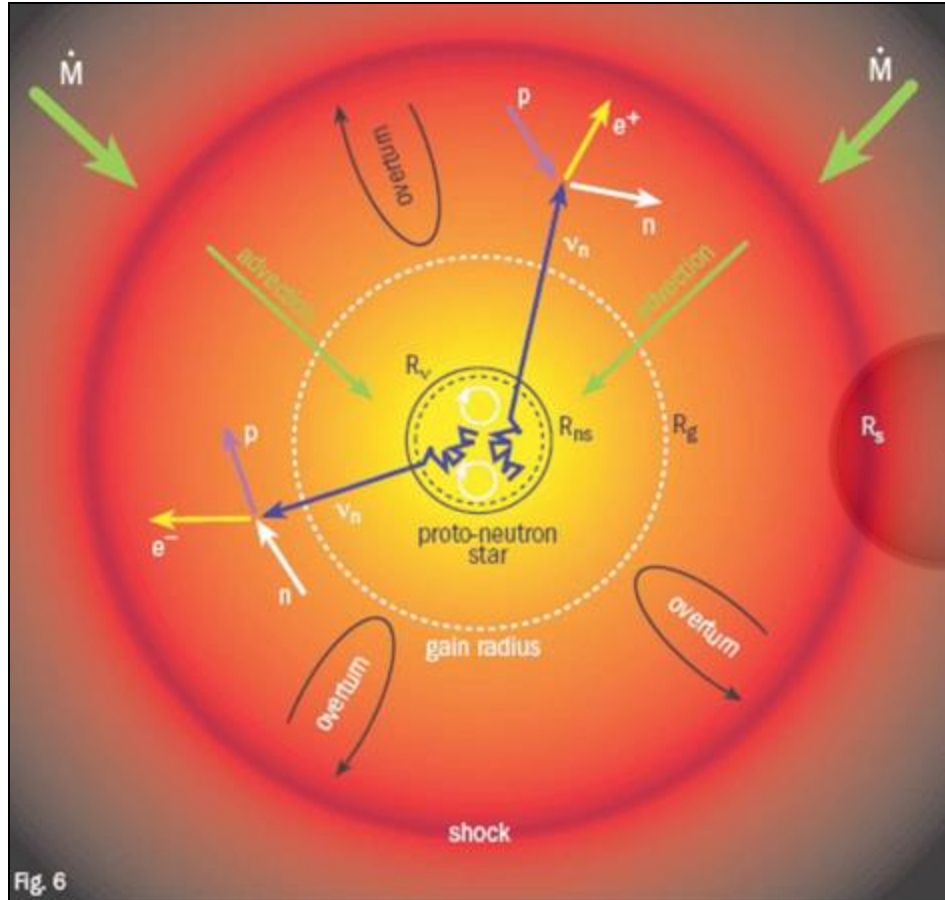
Conservative form of GR Boltzmann eq.

$$\begin{aligned} & \frac{1}{\sqrt{-g}} \frac{\partial (\sqrt{-g} \nu^{-1} p^\alpha f)}{\partial x^\alpha} \Big|_{q(i)} + \frac{1}{\nu^2} \frac{\partial}{\partial \nu} (-\nu f p^\alpha p_\beta \nabla_\alpha e^{\beta}_{(0)}) \\ & + \frac{1}{\sin^2 \bar{\theta}} \frac{\partial}{\partial \bar{\theta}} \left(\nu^{-2} \sin \bar{\theta} f \sum_{j=1}^3 p^\alpha p_\beta \nabla_\alpha e^{\beta}_{(j)} \frac{\partial \ell_{(j)}}{\partial \bar{\theta}} \right) \\ & + \frac{1}{\sin^2 \bar{\theta}} \frac{\partial}{\partial \bar{\varphi}} \left(\nu^{-2} f \sum_{j=2}^3 p^\alpha p_\beta \nabla_\alpha e^{\beta}_{(j)} \frac{\partial \ell_{(j)}}{\partial \bar{\varphi}} \right) = S_{\text{rad}}, \end{aligned}$$

Shibata, Nagakura, Sekiguchi, and Yamada (2014)

$t = 0.010 \text{ s}$

Nagakura et al. 2019



- Core-collapse supernova (CCSN)

- ✓ Massive stars (larger than ~ 8 times solar masses) end up their life as core collapse supernovae (CCSNe)
- ✓ High energy transient/astrophysical phenomena
- ✓ Disseminate heavy elements into ISM
- ✓ Birth places for neutron stars and black holes
- ✓ Astrophysical laboratories for high energy physics
- ✓ Amusement parks for physicists

Linear stability analysis of flavor instabilities

Dispersion relation approach

Example: FFC (Izaguirre et al. 2017)

$$1. \quad \rho_\nu = \frac{f_{\nu_e} + f_{\nu_x} I}{2} + \frac{f_{\nu_e} - f_{\nu_x}}{2} \begin{pmatrix} s_\nu & S_\nu \\ S_\nu^* & -s_\nu \end{pmatrix}.$$

$$2. \quad \begin{aligned} & i(\partial_t + \mathbf{v} \cdot \nabla_r) S_\nu \\ & = -v^\mu (\Lambda_\mu + \Phi_\mu) S_\nu + \int d\Gamma' v'^\mu v'_\mu G_{\nu'} S_{\nu'}, \end{aligned}$$

Momentum-space
integration

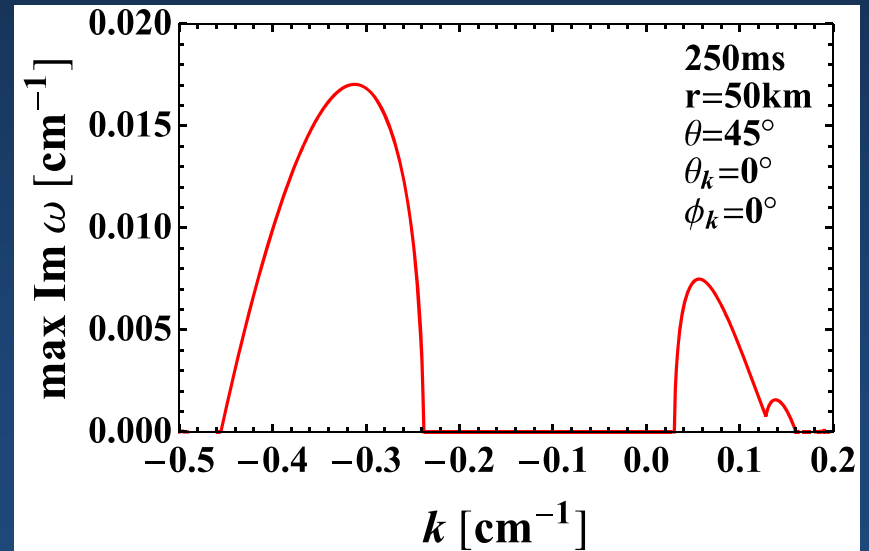
$$3. \quad S_\nu = Q_\nu \exp[-i(\Omega t - \mathbf{k} \cdot \mathbf{r})].$$

$$4. \quad \begin{aligned} \Pi^{\mu\nu} &\equiv \eta^{\mu\nu} + \int d\Gamma G_\nu \frac{v^\mu v^\nu}{v^\gamma k_\gamma} \\ &= \eta^{\mu\nu} - \int d\Gamma G_\nu \frac{v^\mu v^\nu}{\omega - \mathbf{v} \cdot \mathbf{k}}. \end{aligned}$$

($G_\nu \equiv \sqrt{2} G_F f_{\nu_e}(\mathbf{v})$)

$$\det \Pi = 0,$$

1. Decomposing traceless part
2. Linearizing QKE equation
3. Plane-wave ansatz
4. Computing Dispersion relation



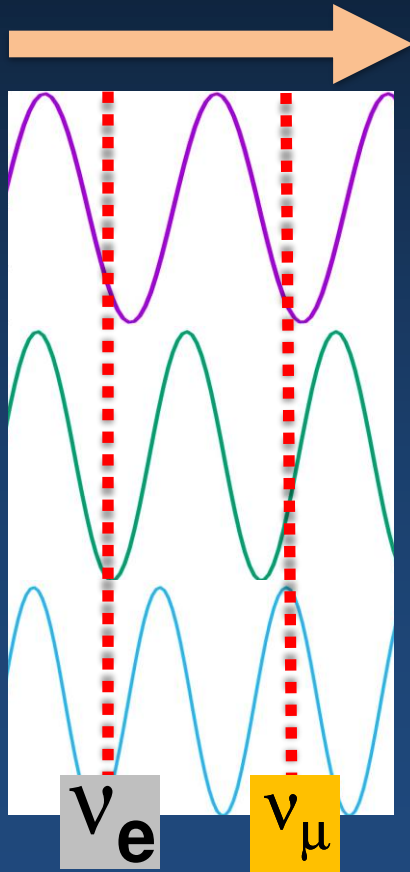
Neutrino oscillation with a plane-wave picture

$$\psi_\ell(0, 0) \equiv |\nu_\ell\rangle = \sum_{i=1}^3 U_{li}^* |\nu_i\rangle$$

$$\psi_\ell(t, x) = \sum_{i=1}^3 U_{li}^* |\nu_i\rangle e^{-i\phi_i}, \quad \text{phase } \phi_i = E_i t - p_i x$$

Vacuum

Time



ν_1

ν_2

ν_3

ν_e

ν_μ

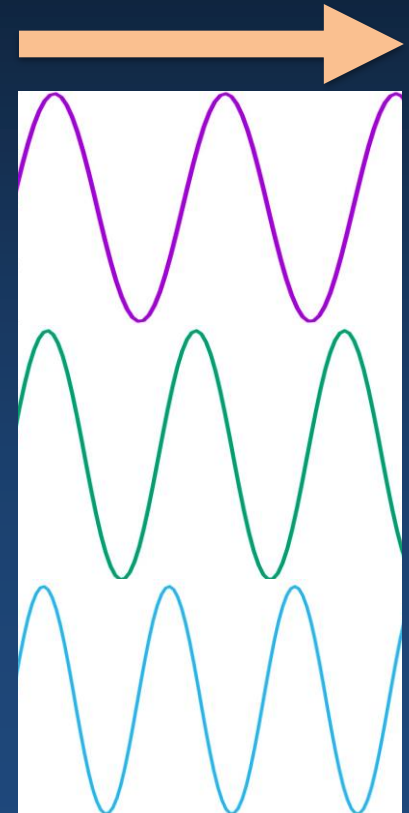
High matter density

Time

$\nu_1^* \sim \nu_\mu$

$\nu_2^* \sim \nu_\tau$

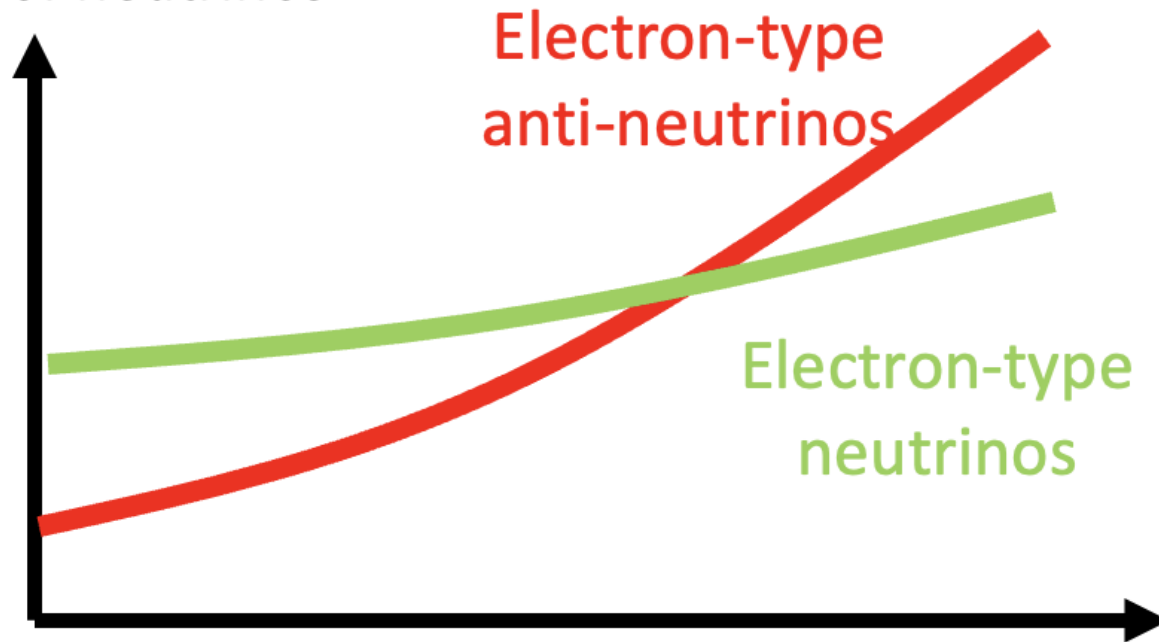
$\nu_3^* \sim \nu_e$



Boltzmann transport becomes a reasonable approximation.

Instability criterion of FFC (ELN angular crossing)

Energy-integrated
number of neutrinos

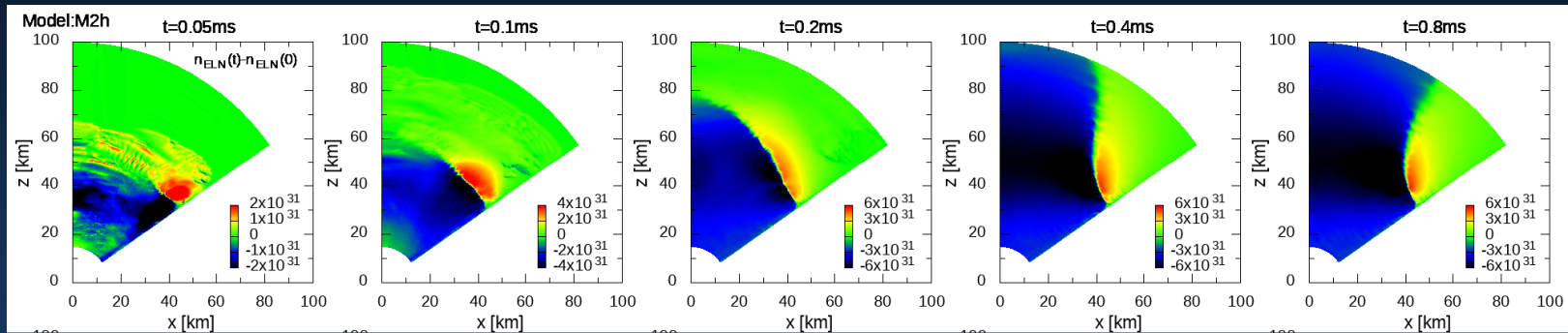


Neutrinos' flight direction
(momentum space)

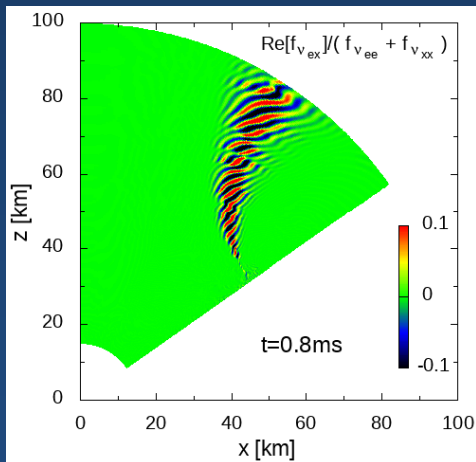
Global Simulations of FFC in a BNSM environment

Nagakura 2023

Temporal evolution of FFCs in global scale:



Off-diagonal component of the density matrix (coherency of flavor states):



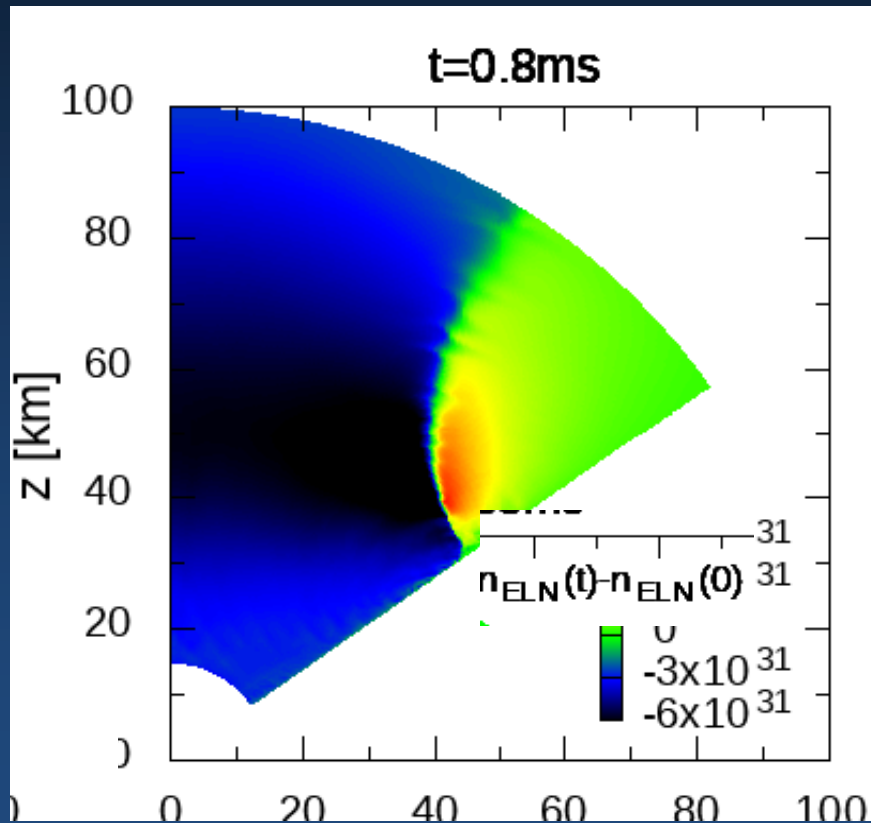
Take-home messages:

- FFC occurs vividly in a narrow region.
- The converted neutrinos spread in space by advectations, leading to a radical change of neutrino radiation field.

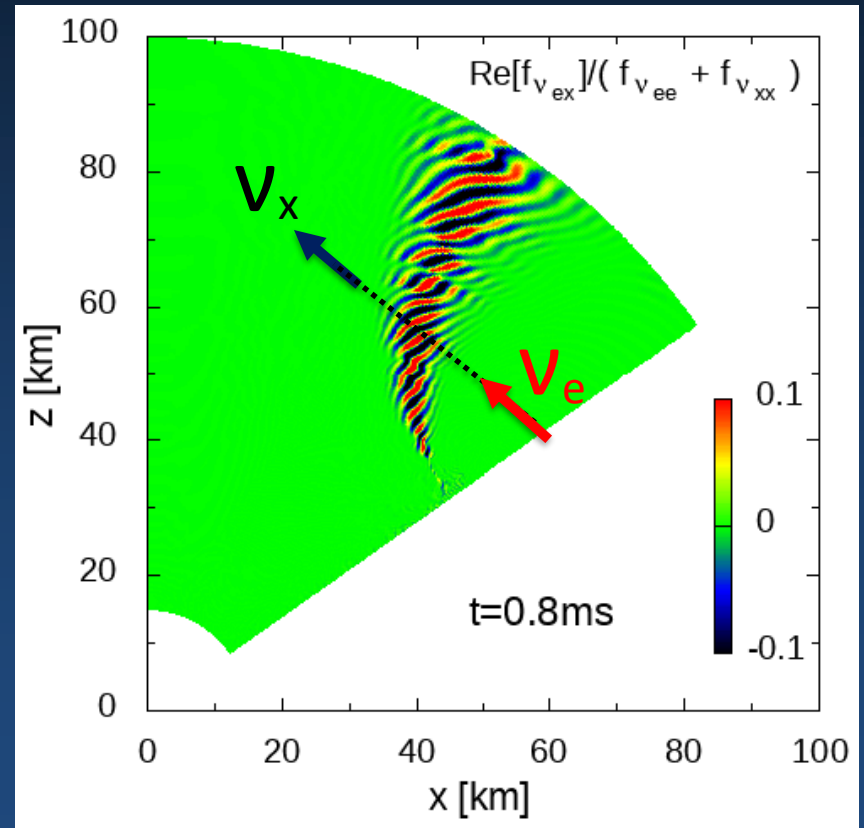
- Global simulations of FFC (in BNSM) Nagakura 2023

Appearance of flavor swap and EXZS (ELN-XLN Zero Surface):

ELN - XLN



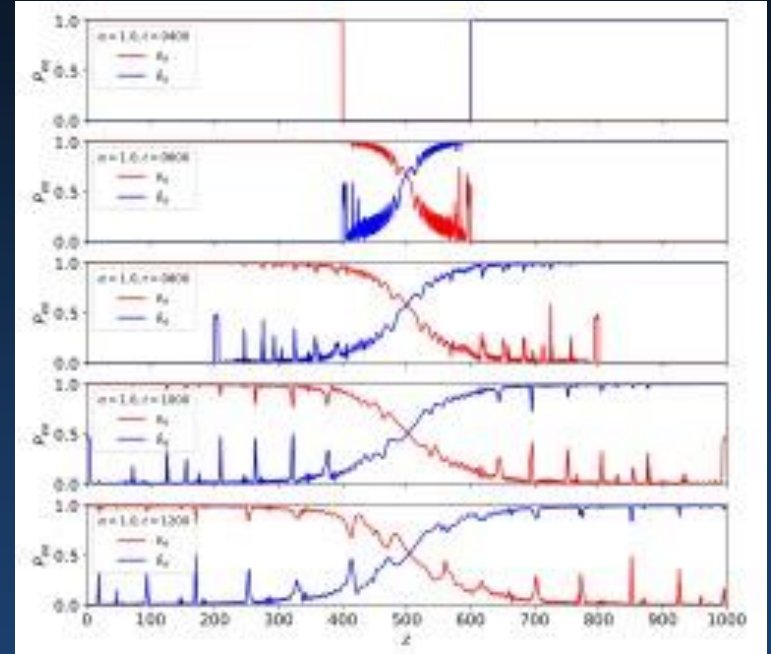
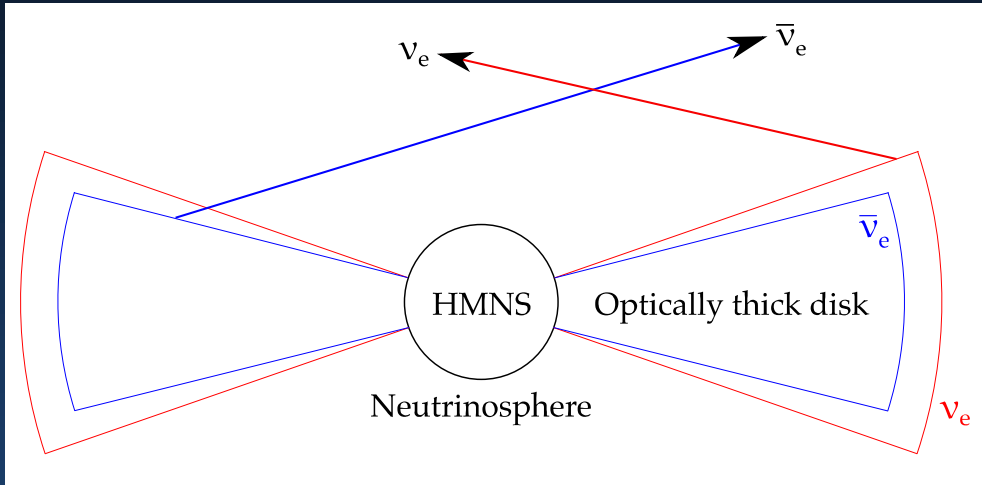
Flavor coherency



Fast flavor swap would be ubiquitous in BNSM

Zaizen and Nagakura 2024

Colliding-beam model



$$\partial_{t'}^2 P_3 \sim -4\mu^2 \left(1 - (P_3)^2 \right)$$

- $P_3 = 1$: electron-type → Unstable
- $P_3 = 0$: equipartition → Non-steady
- $P_3 = -1$: heavy-lepton type → Stable

Neutrino flavor swaps are inevitable from the perspective of stability .