

# AI for BSM Physics Searches

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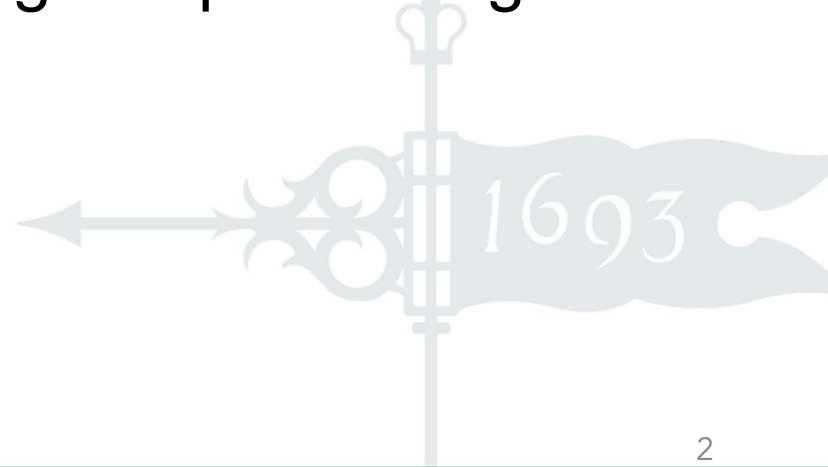


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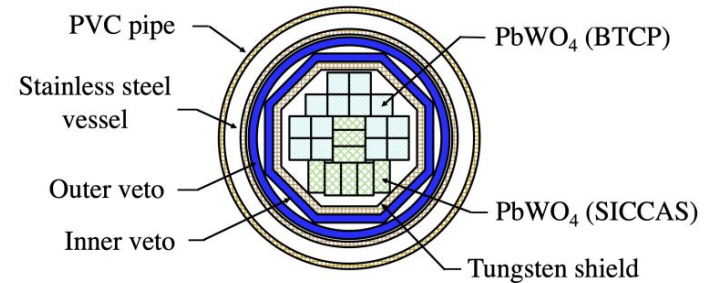
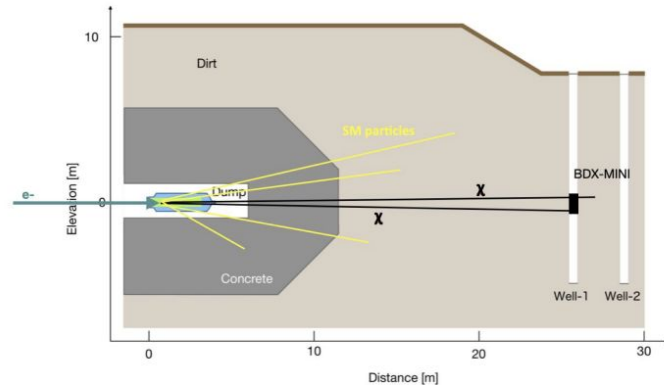
# Overview

1. Dark Matter Detection
2. Anomaly Detection using Generative Models
3. Precision Measurements using Deep Learning



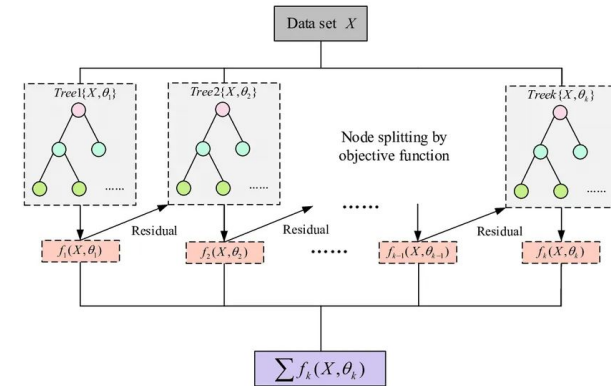
# Mini-BDX

- Pilot version of BDX Experiment
- 25m downstream of Hall A beam dump
- Collected 6 months of data in 2019-20
- Detector consists of two layers of 22  $\text{PbWO}_4$  calorimeters each



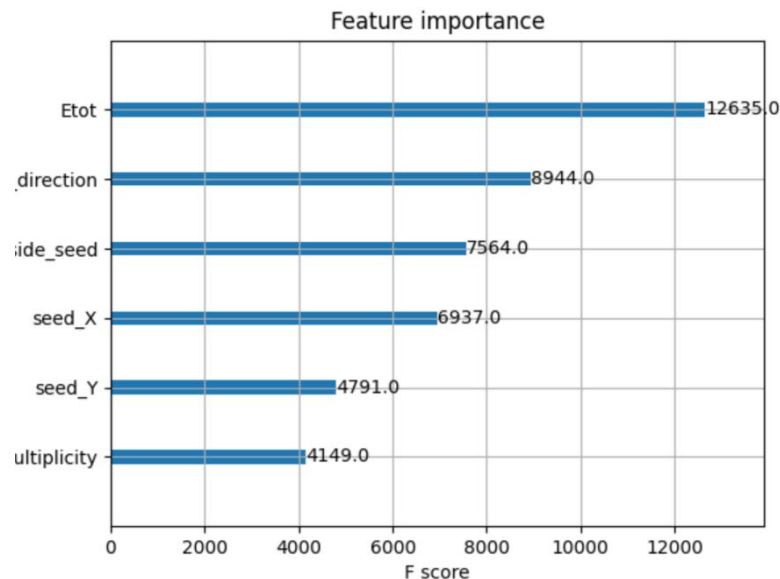
# Boosted Decision Trees

- Boosted Decision Trees (BDTs) are machine learning models that combine multiple decision trees sequentially, where each tree is trained to correct the errors of the previous ones, creating a strong ensemble model
- Highly effective for classification and regression tasks
- XGBoost is an open-source library that uses gradient boosting
- Want to use BDT to discriminate dark matter signal from background (cosmics and neutrinos coming from beam)

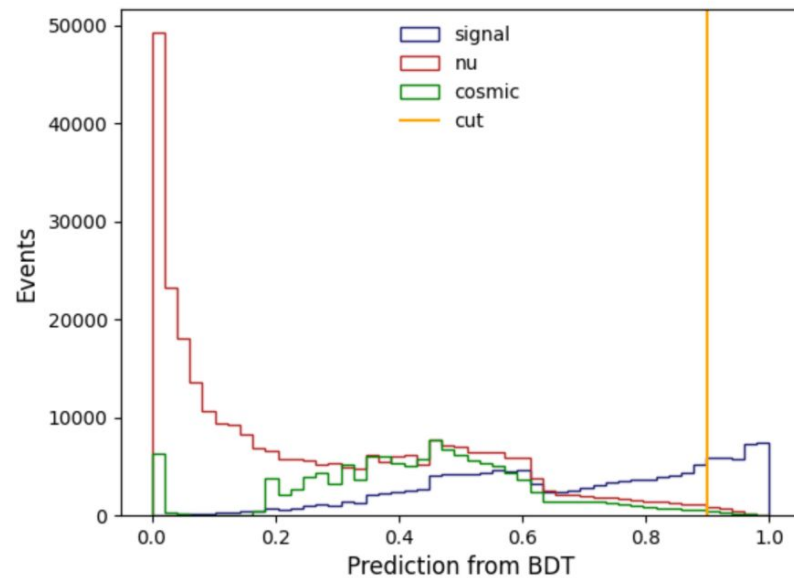
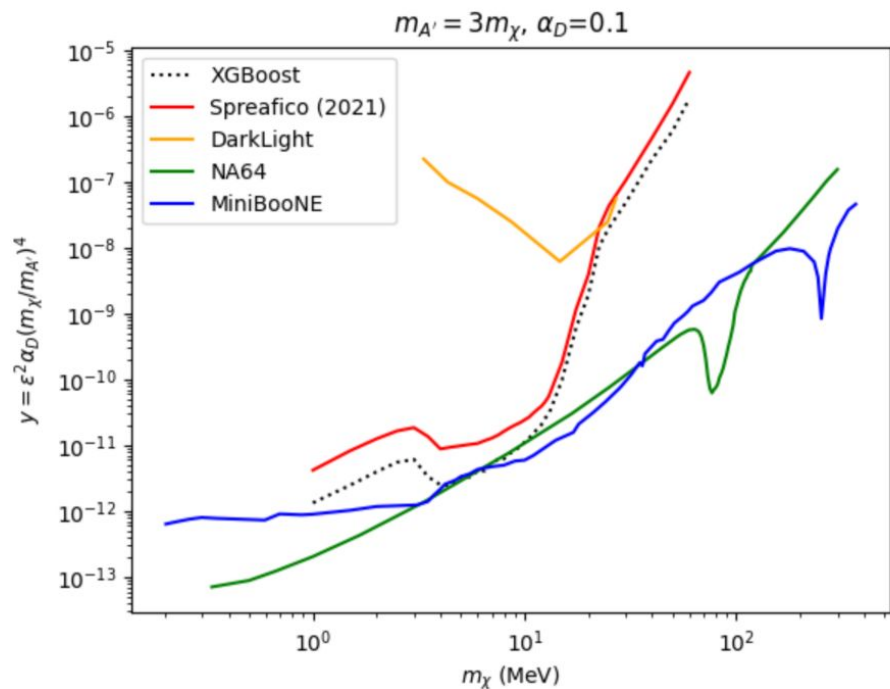


# Input Features

1. Total energy
2. Shower direction
3. Energy outside the seed (i.e. outside the highest energy crystal)
4. x-y position of the seed
5. Multiplicity (number of crystals above the threshold)

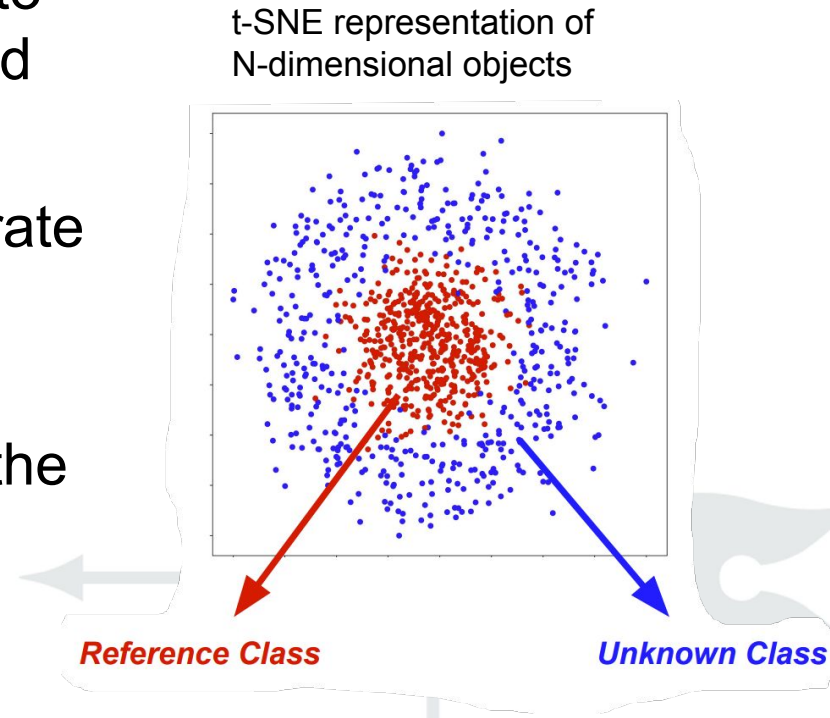


# Experimental reach improved by BDT cut

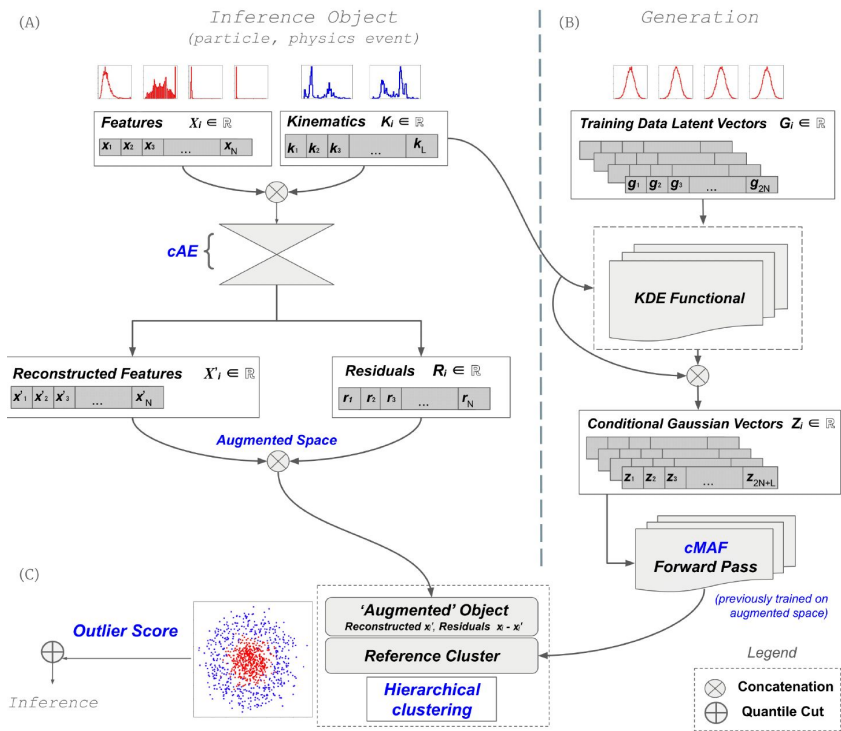


# Flux + Mutability

- A conditional generative approach to One-Class Classification (OCC) and Anomaly Detection (AD)
- Can we use deep learning to separate two classes more efficiently than rectangular cuts?
- While remaining agnostic towards the unknown class?



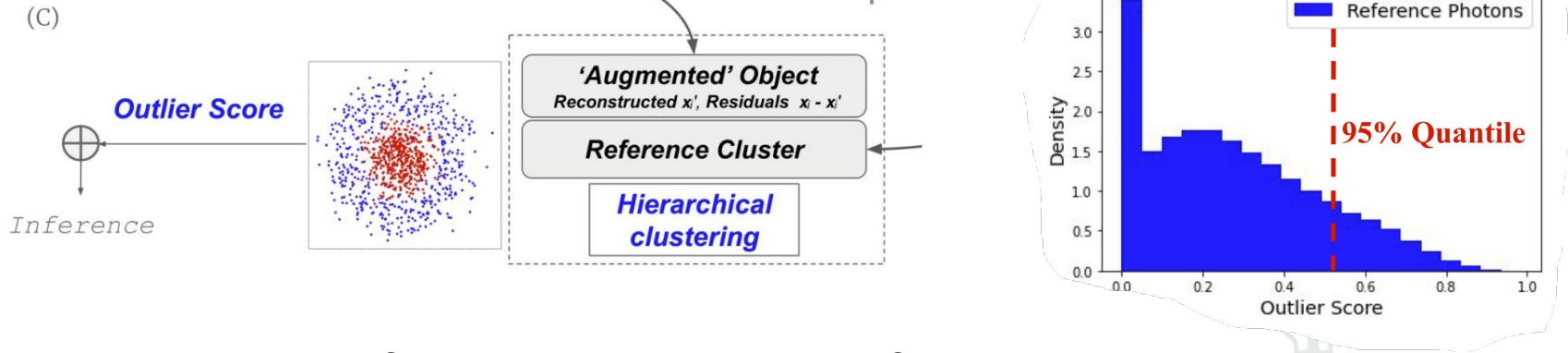
# Flux + Mutability: Architecture



- A. Inference Object fed through cAE
  - a. Features  $\otimes$  Kinematics
  - b. Features  $\otimes$  Residuals ( $x' - x$ )
- B. Continuous Conditional Generation
  - a. Pre-fit KDE Objects in kinematic bins
  - b. Map inference kinematics to KDE object
  - c. Sample new Gaussian vectors from restricted domain
  - d. Gaussian Vectors  $\otimes$  Inference Kinematics
  - e. **Conditionally generate reference population via cMAF**
- C. Compare inference object to **reference population** via Hierarchical clustering and quantile cuts



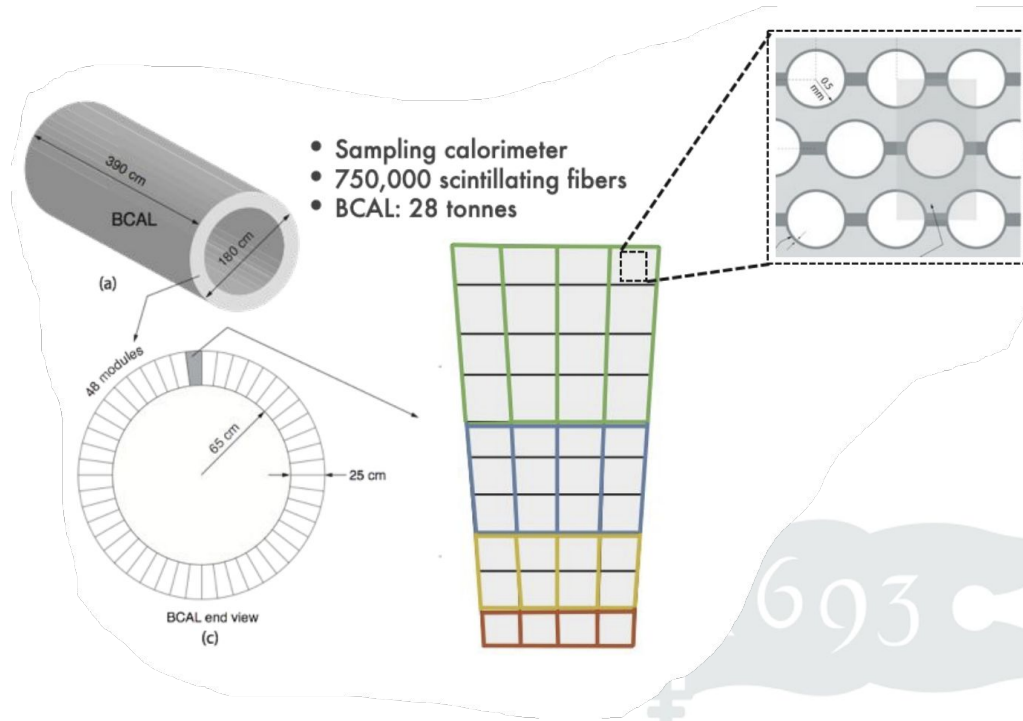
# HDBScan and Quantile Cuts



- Augment the inference particle into the **reference cluster** space
  - Two notions of membership: density-based & distance-based
- Combine the two PMFs and extract a probability of membership ( $P_{in}$ )
- Define Outlier Score as complementary probability  $P_{out} = 1 - P_{in}$
- Extract **reference population** outlier score corresponding to a desired quantile

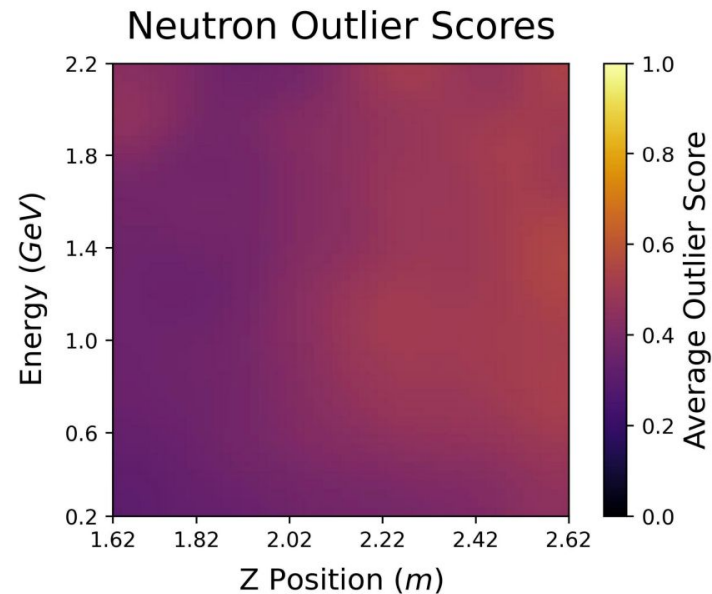
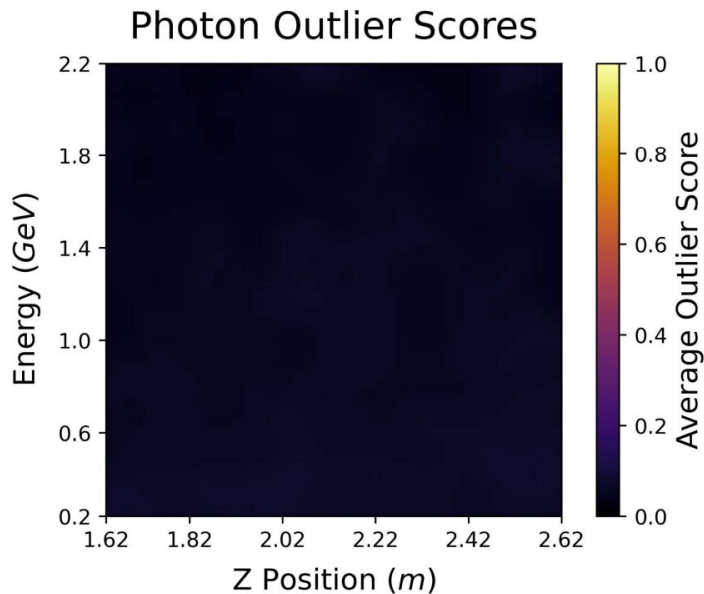
# Case 1: $\gamma/n$ Separation at GlueX (OCC)

- High confidence on one class
- Isolate highly active area of BCAL
- Reconstructed energy and z-position as kinematic conditions
- Simulated showers of photons (**inference**) and neutrons (**reference**)
- Strict preselection cuts
- Deploy fiducial cuts to extract only neutron showers which highly resemble photons
- 14 input features comprising of detector response variables



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# OCC: $\gamma/n$ Separation at GlueX



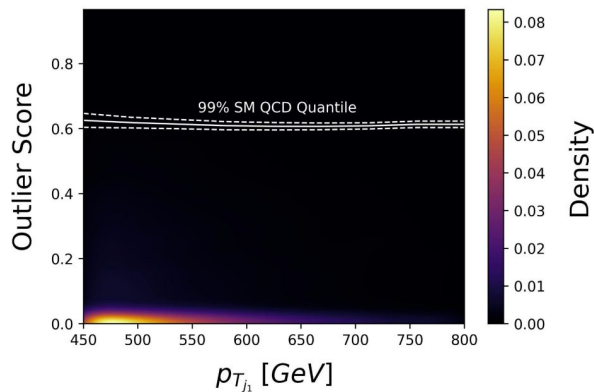
Quantile	True Positive Rate	True Negative Rate
68%	<b>68.15 ± 0.18 %</b>	<b>87.48 ± 0.13 %</b>
95%	<b>95.03 ± 0.08 %</b>	<b>52.70 ± 0.19 %</b>
99%	<b>98.96 ± 0.04 %</b>	<b>35.44 ± 0.18 %</b>

## Case 2: BSM Dijet Separation at LHC (AD)

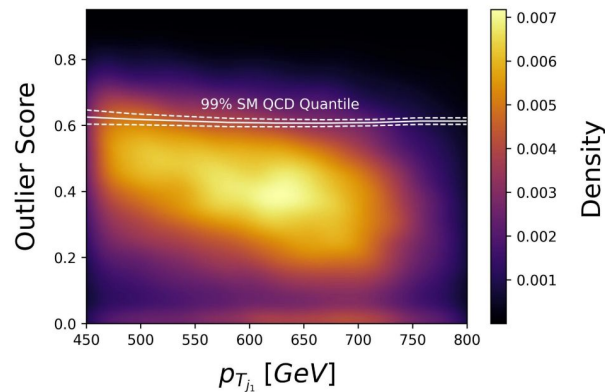
- Consider QCD dijet events as **reference**
- Isolate  $Z' \rightarrow tt$  dijets as **unknown**
- Publicly available datasets generated via MADGRAPH and Pythia8 using the DELPHES framework for fast detector simulation
- Require leading jet transverse momenta  $450 \text{ GeV} < p_T < 800 \text{ GeV}$  and sub-leading jet  $p_T > 200 \text{ GeV}$
- Consider leading jet  $p_T$  as single kinematic condition
- 15 input features
  - Remaining 4 vector properties of the leading jet and n-subjettiness variables
  - Sub-leading jet 4 vector and n-subjettiness variables

# Anomaly Detection: BSM Dijet Separation at LHC

QCD Dijet Outlier Score VS Leading Jet  $p_T$



BSM Dijet Outlier Score VS Leading Jet  $p_T$



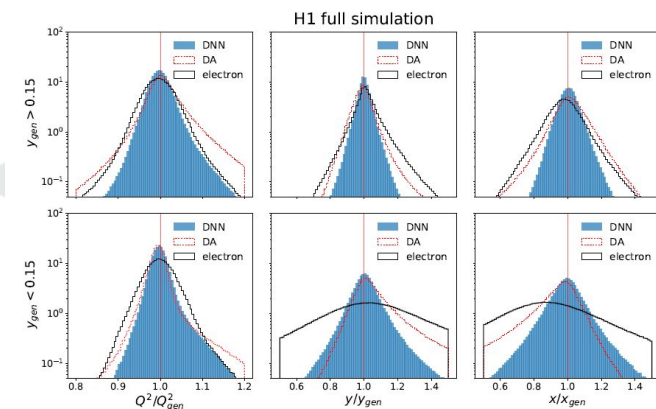
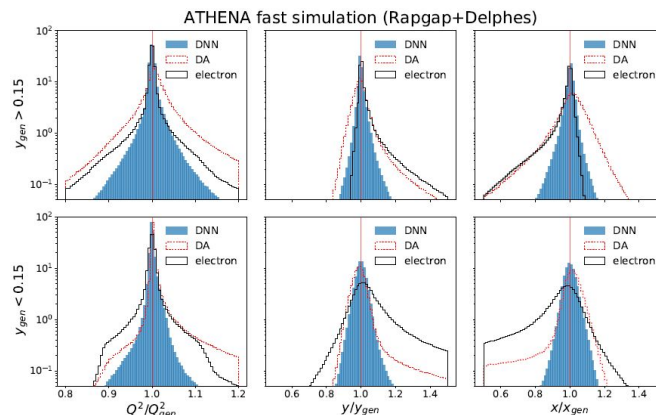
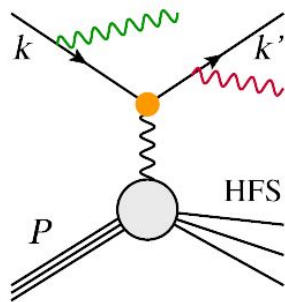
Quantile	True Positive Rate	True Negative Rate
68%	<b><math>68.48 \pm 0.22</math> %</b>	<b><math>93.05 \pm 0.06</math> %</b>
95%	<b><math>95.27 \pm 0.10</math> %</b>	<b><math>43.07 \pm 0.22</math> %</b>
99%	<b><math>99.04 \pm 0.05</math> %</b>	<b><math>12.74 \pm 0.15</math> %</b>
Fiducial cuts (99%)	<b><math>98.92 \pm 0.05</math> %</b>	<b><math>2.35 \pm 0.06</math> %</b>

	F+M	Fraser et al.	Cheng et al.
AUC	<b><math>0.891 \pm 0.005</math></b>	0.87	0.89

# Kinematical Reconstruction with DNN

- Arratia et al (2022) previously showed improved kinematical reconstruction of DIS variables using DNN over standard reconstruction techniques
- Exploited full kinematical information and accounting for the presence of QED radiation
- Did not consider event-level uncertainty quantification

$$s = (k + P)^2, \quad Q^2 = -q^2,$$
$$y = \frac{q \cdot P}{k \cdot P}, \quad \text{and} \quad x = Q^2/(sy)$$



# Event-Level Uncertainty Quantification (ELUQuant)

Total loss function is the sum of components

$$\mathcal{L}_{Tot.} = \mathcal{L}_{Reg.} + \alpha \mathcal{L}_{Phys.} + \beta \mathcal{L}_{MNF.}$$

Learn the posterior over the weights

$$\begin{aligned} \mathcal{L}_{MNF.} &= -KL(q(\mathbf{W})||p(\mathbf{W})) \\ &= \mathbb{E}_{q(\mathbf{W}, \mathbf{z}_T)}[-KL(q(\mathbf{W}|\mathbf{z}_{T_f})||p(\mathbf{W})) + \log r(\mathbf{z}_{T_f}|\mathbf{W}) - \log q(\mathbf{z}_{T_f})] \end{aligned}$$

Access epistemic (systematic) uncertainty through sampling MNF layers

Learn the regression transformation

$$\mathcal{L}_{Reg.} = \frac{1}{N} \sum_i \sum_j \frac{1}{2} (e^{-s_j} \|\mathbf{v}_j - \hat{\mathbf{v}}_j\|^2 + s_j), \quad s_j = \log \sigma_j^2$$

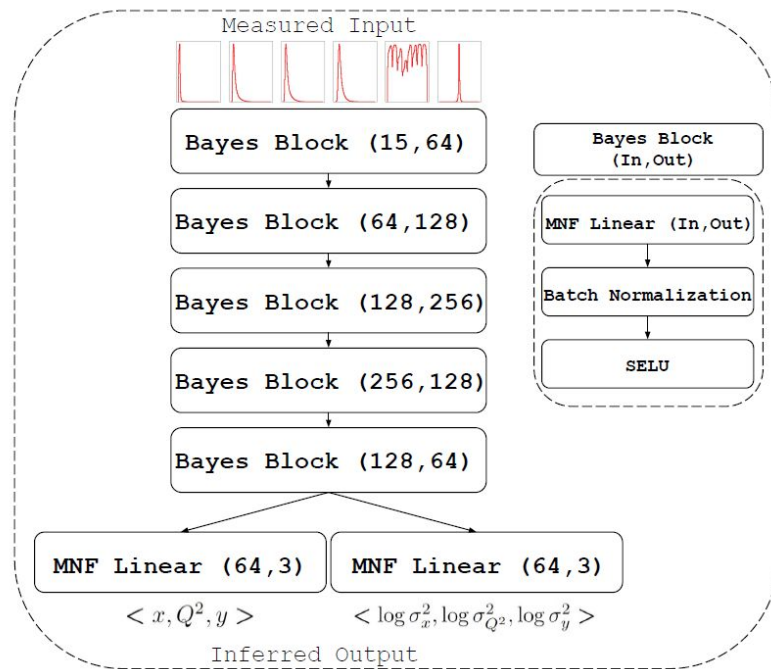
aleatoric

epistemic

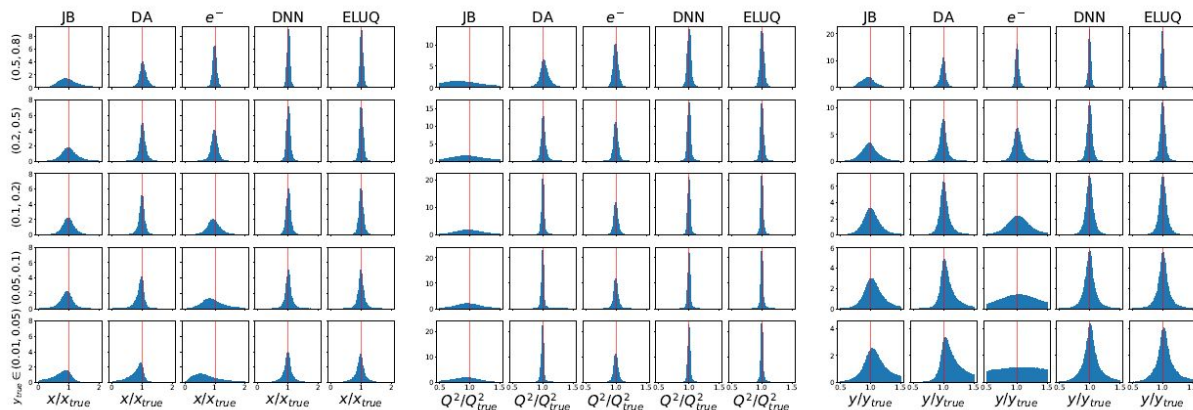
Access aleatoric (statistical) as a function of regressed output

Constrain the physics

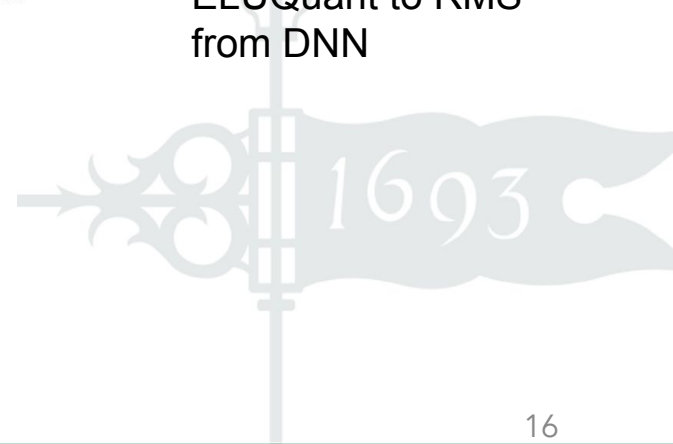
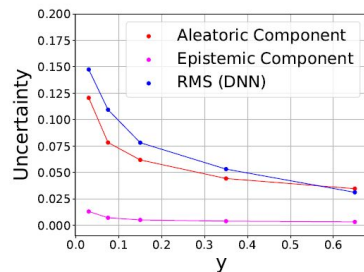
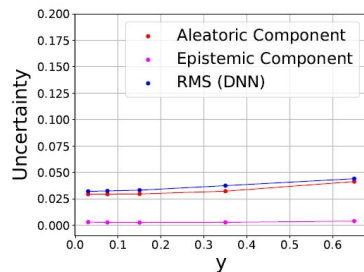
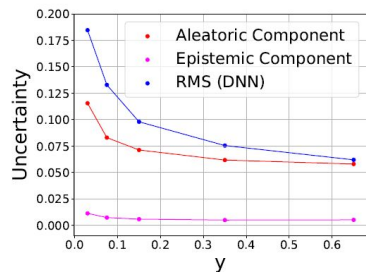
$$\mathcal{L}_{Phys.} = \frac{1}{N} \sum \log \hat{Q}_i^2 - (\log s_i + \log \hat{x}_i + \log \hat{y}_i)$$



# ELUQuant Performance Similar to DNN

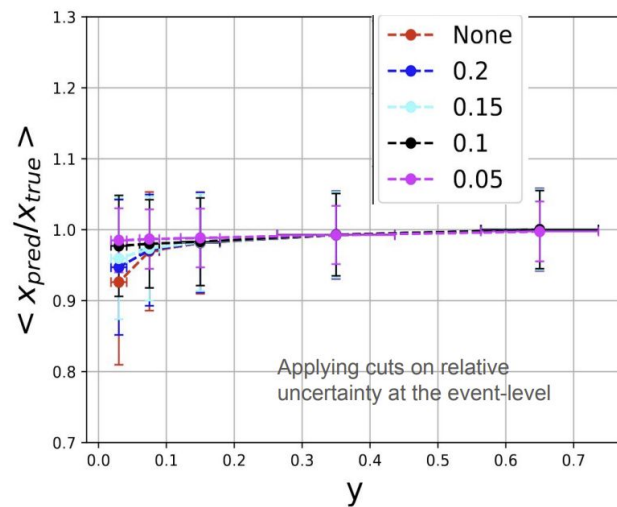
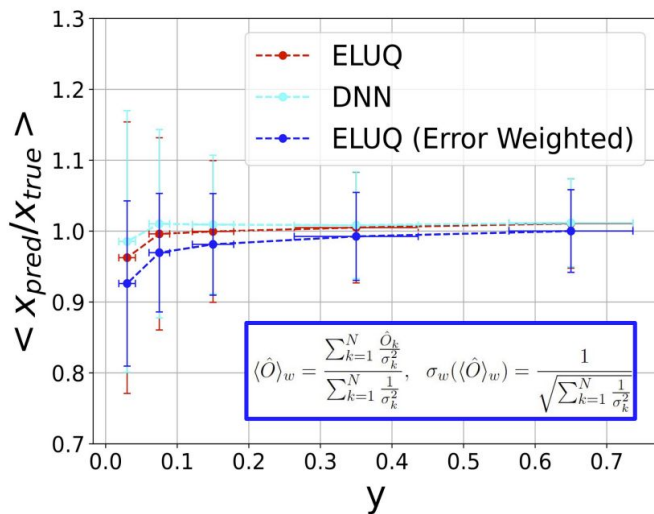


- Reconstruction of NC DIS kinematics from H1 comparable to Arratia (2022)
- Comparing aleatoric/epistemic components from ELUQuant to RMS from DNN





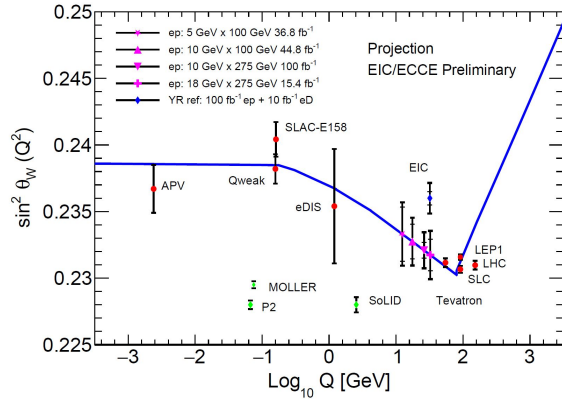
# Leveraging the Event-Level UQ



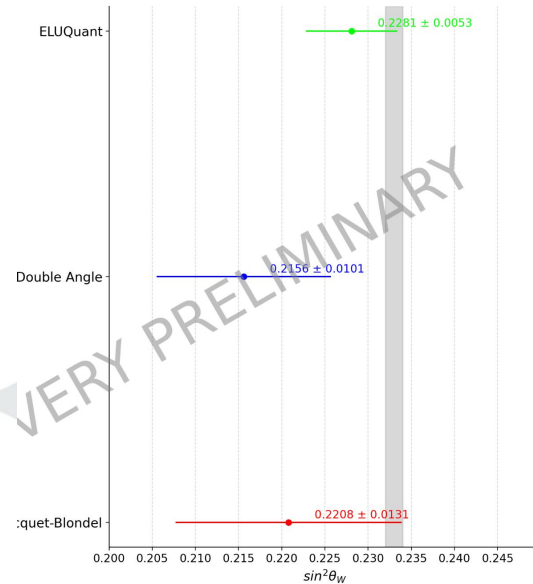
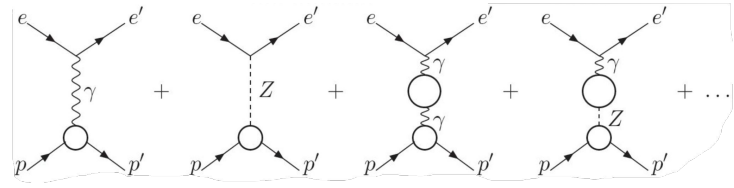
- The ability to remove events with large event-level uncertainty allows us to improve the ratio to truth
- Can be exploited for anomaly detection

# Precision Measurement of $\sin^2\theta_W$

- Deviations from the SM prediction of the running of the weak mixing angle would be evidence of BSM
- Currently in progress: measuring  $\sin^2\theta_W$  at EIC kinematics



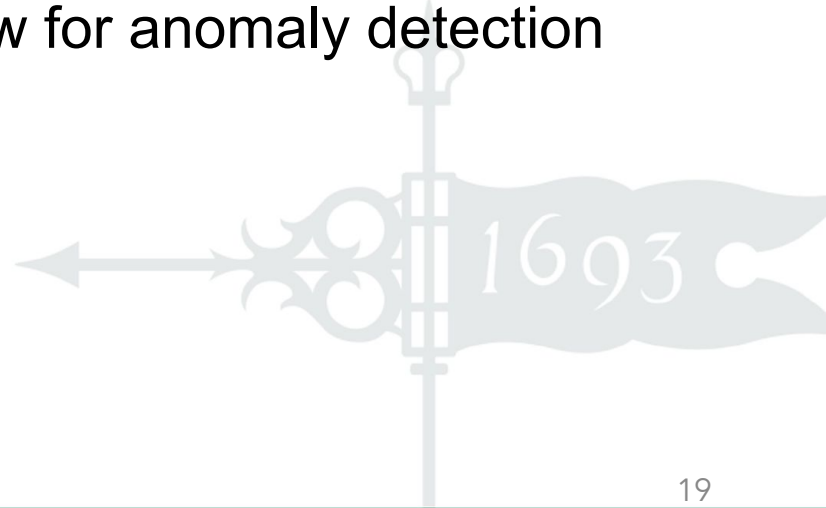
Boughezal et al. (2022) Phys. Rev. D 106, 016006



VERY PRELIMINARY

# Summary

- Boosted Decision Trees demonstrates improved signal discrimination for BDX-MINI
- Flux + Mutability uses generative models in an unsupervised way to identify anomalies with respect to a reference class
- Event-level uncertainty quantification and kinematical reconstruction using BNN can allow for anomaly detection
- **Thank you!**

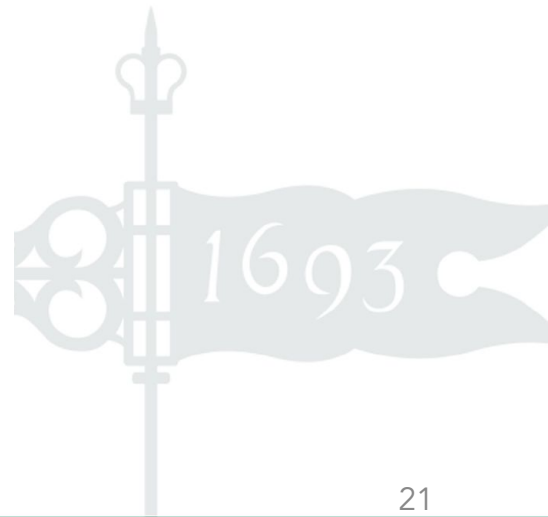


# Backup Slides



# Input Features for GlueX OCC

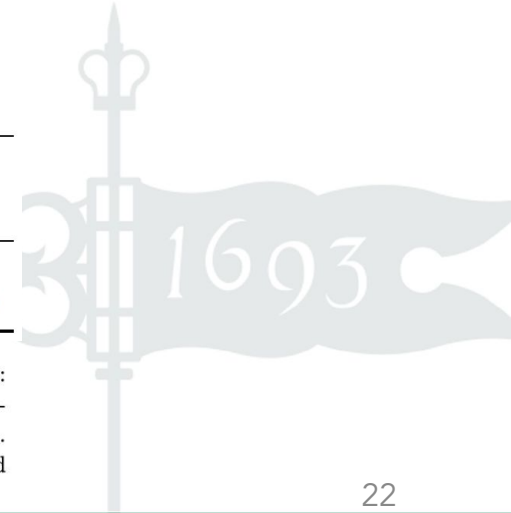
- **LayerM.E** =  $\sum_i^N E_i$   
 $M \in \{1, 2, 3, 4\}$  is the layer number and  $E_i$  is the energy of the  $i^{\text{th}}$  reconstructed point in the layer.
- **LayerMbySumLayers.E** =  $\frac{1}{E_{\text{total}}} \sum_i^N E_i$   
 $M \in \{1, 2, 3, 4\}$  is the layer number and  $E_i$  is the energy of the  $i^{\text{th}}$  reconstructed point in the layer.
- **Z Width** =  $\sqrt{\frac{1}{E_{\text{total}}} \sum_i^N E_i (\Delta z_i)^2}$ ,  $\Delta z_i = (z_i + T_z) - S_z$   
 $E_i$  and  $z_i$  are the energy and z position of the  $i^{\text{th}}$  point in the shower.
- **R Width** =  $\sqrt{\frac{1}{E_{\text{total}}} \sum_i^N E_i (\Delta r_i)^2}$ ,  $\Delta r_i = (R - r_i)$   
 $E_i$  and  $r_i$  are energy and radial position of the  $i^{\text{th}}$  point.
- **T Width** =  $\sqrt{\frac{1}{E_{\text{total}}} \sum_i^N E_i (\Delta t_i)^2}$ ,  $\Delta t_i = t_i - S_t$   
 $E_i$  and  $t_i$  are the energy and timing information of the  $i^{\text{th}}$  point.
- **$\theta$  Width** =  $\sqrt{\frac{1}{E_{\text{total}}} \sum_i^N E_i (\Delta \theta_i)^2}$ ,  $\Delta \theta_i = \theta_i - S_\theta$   
 $E_i$  and  $\theta_i$  are the energy and polar angle (from the target center) of the  $i^{\text{th}}$  point.
- **$\phi$  Width** =  $\sqrt{\frac{1}{E_{\text{total}}} \sum_i^N E_i (\Delta \phi_i)^2}$ ,  $\Delta \phi_i = \phi_i - S_\phi$   
 $E_i$  and  $\phi_i$  are the energy and azimuthal angle of the  $i^{\text{th}}$  point.
- **z Entry** =  $(S_z - T_z) \frac{R}{S_r} + T_z$   
The position at which the particle hits the inner radius of the BCAL.



# Summary of Basic DIS Kinematic Reconstruction Methods

Method name	Observables	$y$	$Q^2$	$x \cdot E_p$
Electron ( $e$ )	$[E_0, E, \theta]$	$1 - \frac{\Sigma_e}{2E_0}$	$\frac{E^2 \sin^2 \theta}{1-y}$	$\frac{E(1+\cos \theta)}{2y}$
Double angle (DA) [6, 7]	$[E_0, \theta, \gamma]$	$\frac{\tan \frac{\gamma}{2}}{\tan \frac{\gamma}{2} + \tan \frac{\theta}{2}}$	$4E_0^2 \cot^2 \frac{\theta}{2} (1-y)$	$\frac{Q^2}{4E_0 y}$
Hadron ( $h$ , JB) [4]	$[E_0, \Sigma, \gamma]$	$\frac{\Sigma}{2E_0}$	$\frac{T^2}{1-y}$	$\frac{Q^2}{2\Sigma}$
ISigma ( $I\Sigma$ ) [9]	$[E, \theta, \Sigma]$	$\frac{\Sigma}{\Sigma + \Sigma_e}$	$\frac{E^2 \sin^2 \theta}{1-y}$	$\frac{E(1+\cos \theta)}{2y}$
IDA [7]	$[E, \theta, \gamma]$	$y_{DA}$	$\frac{E^2 \sin^2 \theta}{1-y}$	$\frac{E(1+\cos \theta)}{2y}$
$E_0 E \Sigma$	$[E_0, E, \Sigma]$	$y_h$	$4E_0 E - 4E_0^2 (1-y)$	$\frac{Q^2}{2\Sigma}$
$E_0 \theta \Sigma$	$[E_0, \theta, \Sigma]$	$y_h$	$4E_0^2 \cot^2 \frac{\theta}{2} (1-y)$	$\frac{Q^2}{2\Sigma}$
$\theta \Sigma \gamma$ [8]	$[\theta, \Sigma, \gamma]$	$y_{DA}$	$\frac{T^2}{1-y}$	$\frac{Q^2}{2\Sigma}$
Double energy (A4) [7]	$[E_0, E, E_h]$	$\frac{E-E_0}{(xE_p)-E_0}$	$4E_0 y (xE_p)$	$E + E_h - E_0$
$E \Sigma T$	$[E, \Sigma, T]$	$\frac{\Sigma}{\Sigma + E \pm \sqrt{E^2 + T^2}}$	$\frac{T^2}{1-y}$	$\frac{Q^2}{2\Sigma}$
$E_0 E T$	$[E_0, E, T]$	$\frac{2E_0 - E \mp \sqrt{E^2 - T^2}}{2E_0}$	$\frac{T^2}{1-y}$	$\frac{Q^2}{4E_0 y}$
Sigma ( $\Sigma$ ) [9]	$[E_0, E, \Sigma, \theta]$	$y_{I\Sigma}$	$Q_{I\Sigma}^2$	$\frac{Q^2}{4E_0 y}$
eSigma ( $e\Sigma$ ) [9]	$[E_0, E, \Sigma, \theta]$	$\frac{2E_0 \Sigma}{(\Sigma + \Sigma_e)^2}$	$2E_0 E (1 + \cos \theta)$	$\frac{E(1+\cos \theta)(\Sigma + \Sigma_e)}{2\Sigma}$

**Table 1.** Summary of basic reconstruction methods that employ only three out of five quantities:  $E_0$  (electron-beam energy),  $E$  and  $\theta$  (scattered electron energy and polar angle),  $\Sigma$  and  $\gamma$  (longitudinal energy-momentum balance,  $\Sigma = \sum_{\text{HFS}} (E_i - p_{z,i})$ , and the inclusive angle of the HFS). Alternatively, the A4 method makes use of the HFS total energy  $E_h$ . Shorthand notations are used



# Input features of ELUQuant

Utilized input features and H1 MC dataset of paper NIM-A 1025 (2022): 166164



- Define variables to characterize the strength of QED radiation

$$p_T^{\text{bal}} = 1 - \frac{p_{T,e}}{T} = 1 - \frac{\Sigma_e \tan \frac{\gamma}{2}}{\Sigma \tan \frac{\theta}{2}} \quad \text{and} \quad p_z^{\text{bal}} = 1 - \frac{\Sigma_e + \Sigma}{2 E_0}.$$

7 features to help indicate QED radiation in the event

+ additional 8 features

- The values of  $p_T^{\text{bal}}$  and  $p_z^{\text{bal}}$ .
- The energy,  $\eta$ , and  $\Delta\phi$  of the reconstructed photon in the event that is closest to the electron-beam direction, where  $\Delta\phi$  is with respect to the scattered electron.
- The sum ECAL energy within a cone of  $\Delta R < 0.4$  around the scattered electron divided by the scattered-electron track momentum.
- The number of ECAL clusters within a cone of  $\Delta R < 0.4$  around the scattered electron.
- Scattered-electron quantities  $p_{T,e}$ ,  $p_{z,e}$  and  $E$ .
- HFS four-vector quantities  $T$ ,  $p_{z,h}$  and  $E_h$ .
- $\Delta\phi(e, h)$  between the scattered electron and the HFS momentum vector.
- The difference  $\Sigma_e - \Sigma$ .

Tot. 15 input features

Dataset	Training Events	Validation Events	Testing Events	Size on Disk
H1	$8.7 \times 10^6$	$1.9 \times 10^6$	$1.9 \times 10^6$	8 GB

Slide borrowed from C. Fanelli

