

# Searches for BSM physics at low energy (theory)

XXI LNF Spring school *Bruno Touschek*

May 2024

**Martín González-Alonso**

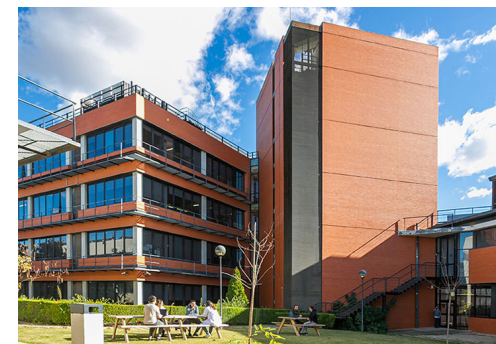
IFIC, Univ. of Valencia / CSIC



# Intro: me

---

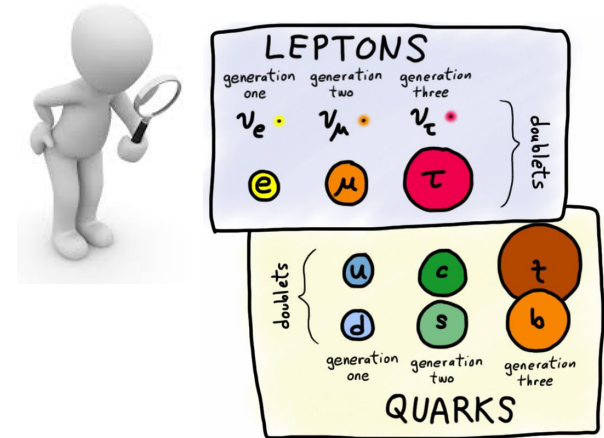
- Theoretical physicist at IFIC (Univ. Valencia & CSIC)



- Research interests: BSM searches with precision measurements, interplay of low- and high-E searches (flavor, neutrino, nuclear beta decays, LHC, ...), Effective Field Theories, ...
- My history with Frascati:
  - 2005: tourist
  - 2007 Spring School (student) [My 1st talk: "Condensates for the light quark V-A correlator"]
  - 2009: 2-month visitor (PhD)
  - 2013-2014: postdoc
  - 2014 Spring School (LOC member)
  - 2024 Spring School (lecturer)

# Outline

- Intro: SM  $\rightarrow$  BSM
- Classes of low-energy BSM searches
- Effective Field Theories
- EFT Phenomenology



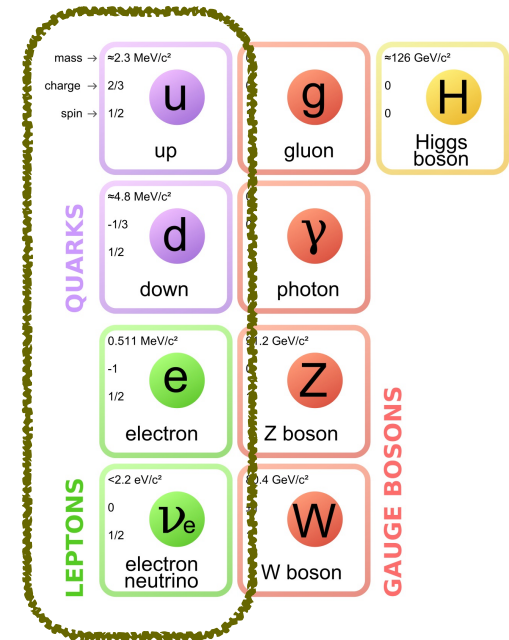
## Disclaimer:

- I don't think a technical 1.5h presentation of the subject would be very useful. Instead I'll give a qualitative overview, hopefully conveying some important ideas.
- For technical details, one should resort to written lectures, books, or longer courses. E.g., A. Pich's EW lectures (0705.4264), A. Falkowski SMEFT review (EPJC 83 (2023) 7, 656), MGA-Naviliat-Severijns's  $\beta$  decay review (1803.08732), ...
- I don't expect to go over all the slides. Stop me if you get lost.
- I took advantage of these lectures to go outside my strict comfort zone in some points and learn new things. Fun but risky.

# The Standard Model

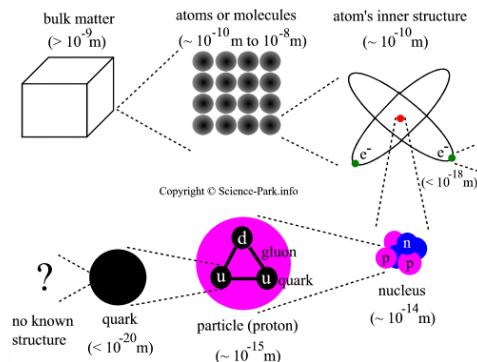


- The SM is the QFT describing electromagnetic, weak & strong interactions.
- It's the ultimate result of reductionism & unification [electromagnetism (→ chemistry), radioactivity, nuclear physics, ...] Our periodic table.
- ~50 years old, spectacularly confirmed [All particles have been observed (Higgs @CERN, 2012)]
- Whatever [future experiments] find, SM has proven to be valid as an effective theory for  $E < \text{TeV}$



x 3 !?

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + i \bar{\psi} \not{D} \psi + h.c. + \bar{\psi}_i \gamma_{ij} \psi_j \phi + h.c. + \frac{1}{2} D_\mu \phi^2 - V(\phi)$$



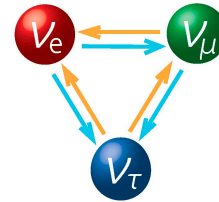
Group	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18
1	1 H																	2 He
2	3 Li	4 Be											5 B	6 C	7 N	8 O	9 F	10 Ne
3	11 Na	12 Mg											13 Al	14 Si	15 P	16 S	17 Cl	18 Ar
4	19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr
5	37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe
6	55 Cs	56 Ba	71 Lu	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn
7	87 Fr	88 Ra	103 Lr	104 Rf	105 Db	106 Sg	107 Bh	108 Hs	109 Mt	110 Ds	111 Rg	112 Cn	113 Nh	114 Fl	115 Mc	116 Lv	117 Ts	118 Og
			* 57 La	* 58 Ce	* 59 Pr	* 60 Nd	* 61 Pm	* 62 Sm	* 63 Eu	* 64 Gd	* 65 Tb	* 66 Dy	* 67 Ho	* 68 Er	* 69 Tm	* 70 Yb		
			* 89 Ac	* 90 Th	* 91 Pa	* 92 U	* 93 Np	* 94 Pu	* 95 Am	* 96 Cm	* 97 Bk	* 98 Cf	* 99 Es	* 100 Fm	* 101 Md	* 102 No		



# The SM is not enough (fortunately for us)



- Neutrinos oscillate → they have a mass!



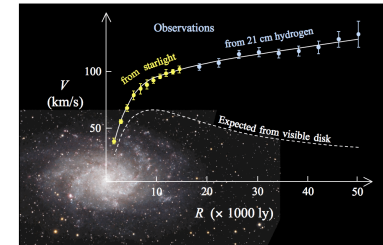
- What lies under the SM periodic table?

- Dark matter, matter-antimatter asymmetry, strong CP problem, hierarchy problem, dark energy, quantum gravity, cosmological problems, ...

- All SM problems are theoretical or astrophysical/cosmological, except for neutrino masses.

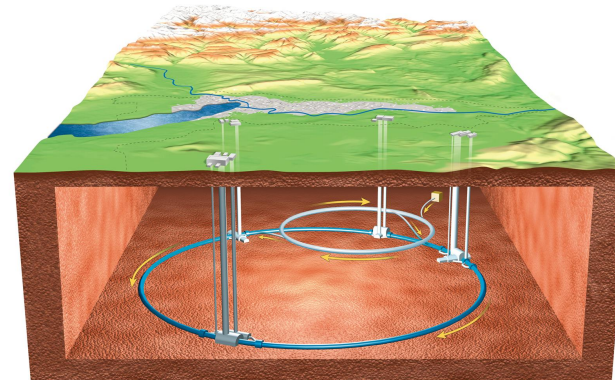
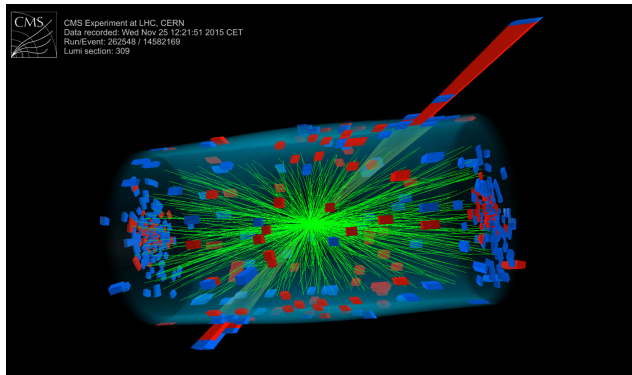
- Many BSM theories around (often not very convincing)

- The SM works too well (quite curious crisis).  
We need new hints. Physics = EXP + TH



# BSM experimental searches

- One can divide them in high- and low-E searches.  
(my reference: low-E means energies  $\ll$  EW scale)
- High-energy searches ( $\rightarrow$  S. Lowette's lecture)



- Low-energy searches



# BSM searches with low-E processes

---

- In this lecture: BSM = heavy new physics  
(heavier than the scale of the process  $\rightarrow$  new particles offshell)

**QCD  $\gg$  QED  $\gg$  EW  $\gg$  BSM**



# BSM searches with low-E processes

- In this lecture: BSM = heavy new physics  
(heavier than the scale of the process  $\rightarrow$  new particles offshell)

~~QED~~  $\gg$  QED  $\gg$  EW  $\gg$  BSM



Choose  
leptonic or  
semileptonic  
processes





# BSM searches with low-E processes

---

**QED** >> **EW** >> **BSM**. What about QED?

# BSM searches with low-E processes

**QED** >> **EW** >> **BSM**. What about QED?

- Solution 1: gargantuan TH+EXP precision (muon  $g-2$ )

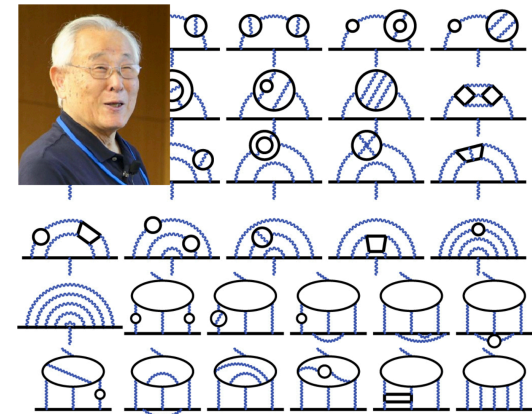
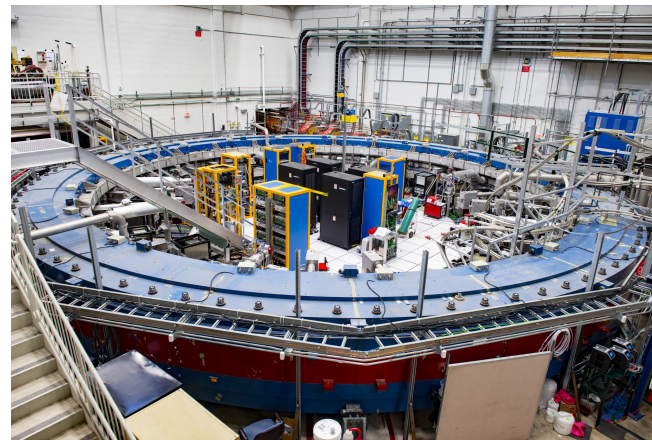
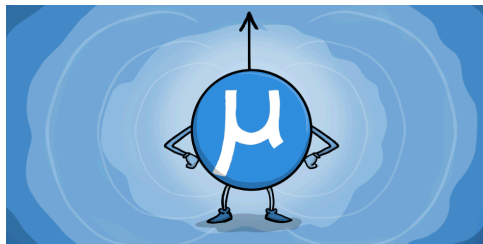
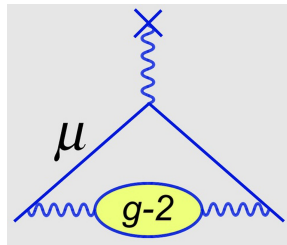


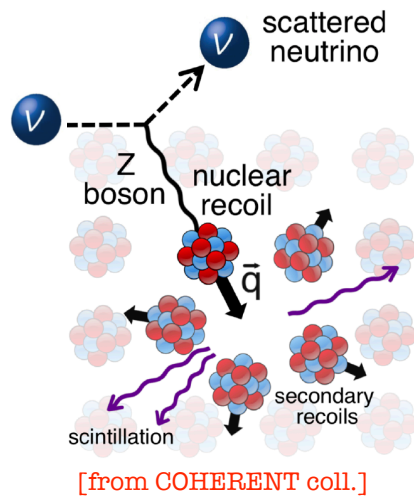
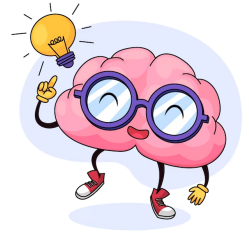
Figure Credit: APS/Jorge Cham

$$a_{\mu}^{\text{Exp}} = 116\,592\,059(22) \times 10^{-11} \text{ (0.19 ppm).}$$

# BSM searches with low-E processes

**QED >> EW >> BSM. What about QED?**

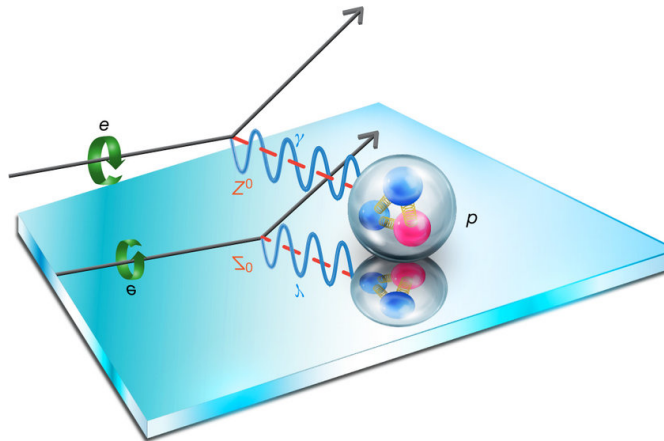
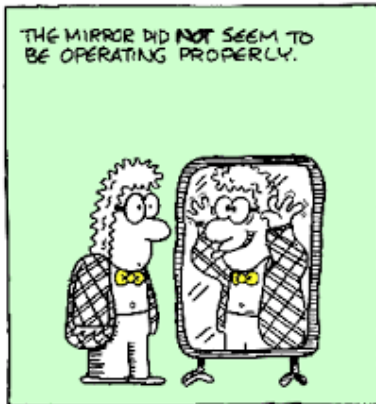
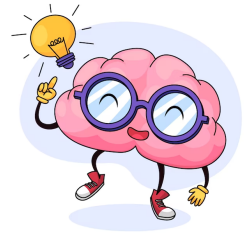
- Solution 1: gargantuan TH+EXP precision (muon  $g-2$ )
- Solution 2: choose a process with neutrinos ( $\nu_i f \rightarrow \nu_i f$ )



# BSM searches with low-E processes

**QED** >> **EW** >> **BSM**. What about QED?

- Solution 1: gargantuan TH+EXP precision (muon g-2)
- Solution 2: choose a process with neutrinos ( $\nu_i f \rightarrow \nu_i f$ )
- Solution 3: choose an observable that violates a QED symmetry
  - Parity! (Atomic PV, PVDIS, Qweak, ...)



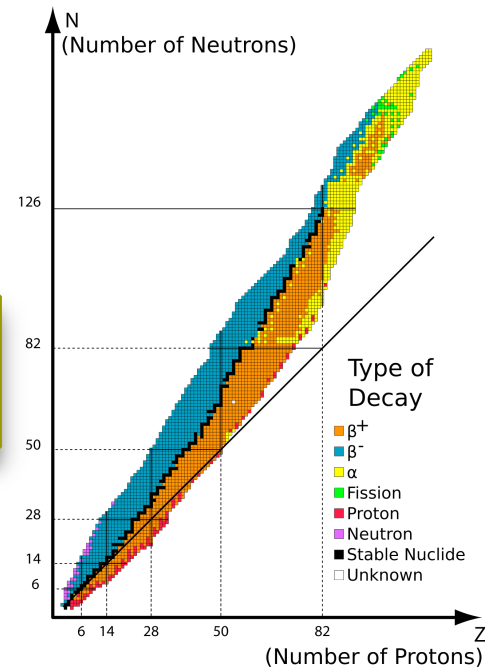
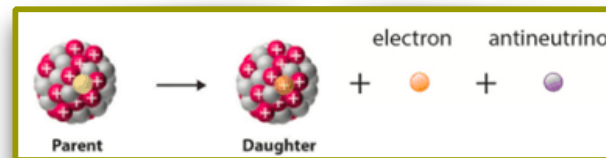
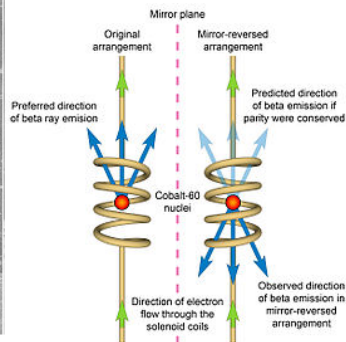
Fields	$\psi_1$	$\psi_2$	$\psi_3$
Quarks	$\begin{pmatrix} u \\ d \end{pmatrix}_L$	$u_R$	$d_R$
Leptons	$\begin{pmatrix} \nu_l \\ l^- \end{pmatrix}_L$	<del><math>\nu_s</math></del>	$l_R^-$

# BSM searches with low-E processes

**QED >> EW >> BSM. What about QED?**

- Solution 1: gargantuan TH+EXP precision (muon g-2)
- Solution 2: choose a process with neutrinos ( $\nu_i f \rightarrow \nu_i f$ )
- Solution 3: choose an observable that violates a QED symmetry
  - Parity! (Atomic PV, PVDIS, Qweak, ...)
  - Flavor ( $f f' \rightarrow f f''$ )
    - 1st quark family:  $d \rightarrow u e \nu \rightarrow \beta$  decays ( $N \rightarrow N' e \nu$ )

three generations of matter (fermions)				
	I	II	III	
QUARKS	mass =2.2 MeV/c <sup>2</sup> spin 1/2 <b>u</b> up	mass =1.28 GeV/c <sup>2</sup> spin 1/2 <b>c</b> charm	mass =173.1 GeV/c <sup>2</sup> spin 1/2 <b>t</b> top	
	mass =4.7 MeV/c <sup>2</sup> spin 1/2 <b>d</b> down	mass =96 MeV/c <sup>2</sup> spin 1/2 <b>s</b> strange	mass =4.18 GeV/c <sup>2</sup> spin 1/2 <b>b</b> bottom	
	mass =0.511 MeV/c <sup>2</sup> spin 1/2 <b>e</b> electron	mass =105.66 MeV/c <sup>2</sup> spin 1/2 <b>μ</b> muon	mass =1.7768 GeV/c <sup>2</sup> spin 1/2 <b>τ</b> tau	
	mass <1.0 eV/c <sup>2</sup> spin 1/2 <b>ν<sub>e</sub></b> electron neutrino	mass <0.17 MeV/c <sup>2</sup> spin 1/2 <b>ν<sub>μ</sub></b> muon neutrino	mass <18.2 MeV/c <sup>2</sup> spin 1/2 <b>ν<sub>τ</sub></b> tau neutrino	
	LEPTONS			



# BSM searches with low-E processes

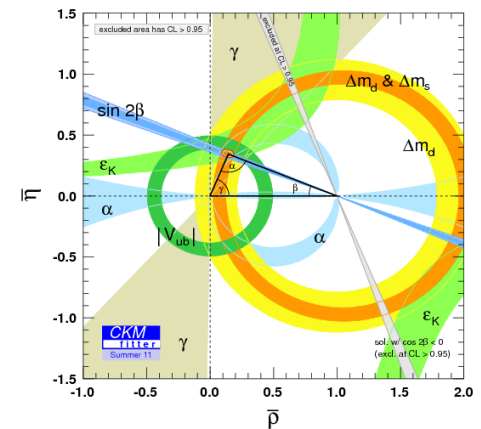
**QED** >> **EW** >> **BSM**. What about QED?

- Solution 1: gargantuan TH+EXP precision (muon g-2)
- Solution 2: choose a process with neutrinos ( $\nu_i f \rightarrow \nu_i f$ )
- Solution 3: choose an observable that violates a QED symmetry
  - Parity! (Atomic PV, PVDIS, Qweak, ...)
  - Flavor ( $f f' \rightarrow f f''$ )
    - 1st quark family:  $d \rightarrow u e \nu \rightarrow \beta$  decays ( $N \rightarrow N' e \nu$ )
    - 3 quark families ( $d_j \rightarrow u_i \ell \nu$ ,  $d_j \rightarrow d_i \ell^+ \ell^-$ , ...)  $\rightarrow$  "flavor physics"

three generations of matter (fermions)

	I	II	III
mass	=2.2 MeV/c <sup>2</sup>	=1.28 GeV/c <sup>2</sup>	=173.1 GeV/c <sup>2</sup>
charge	2/3	2/3	2/3
spin	1/2	1/2	1/2
	<b>u</b> up	<b>c</b> charm	<b>t</b> top
	<b>d</b> down	<b>s</b> strange	<b>b</b> bottom
	<b>e</b> electron	<b>μ</b> muon	<b>τ</b> tau
	<b>ν<sub>e</sub></b> electron neutrino	<b>ν<sub>μ</sub></b> muon neutrino	<b>ν<sub>τ</sub></b> tau neutrino

$$\begin{bmatrix} |V_{ud}| & |V_{us}| & |V_{ub}| \\ |V_{cd}| & |V_{cs}| & |V_{cb}| \\ |V_{td}| & |V_{ts}| & |V_{tb}| \end{bmatrix} \approx \begin{pmatrix} \text{u} & \text{d} & \text{s} & \text{b} \\ \text{c} & & & \\ \text{t} & & & \end{pmatrix}$$

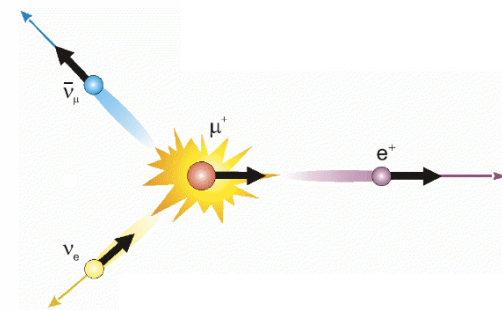


# BSM searches with low-E processes

**QED** >> **EW** >> **BSM**. What about QED?

- Solution 1: gargantuan TH+EXP precision (muon g-2)
- Solution 2: choose a process with neutrinos ( $\nu_i f \rightarrow \nu_i f$ )
- Solution 3: choose an observable that violates a QED symmetry
  - Parity! (Atomic PV, PVDIS, Qweak, ...)
  - Flavor ( $f f' \rightarrow f f''$ )
    - 1st quark family:  $d \rightarrow u e \nu \rightarrow \beta$  decays ( $N \rightarrow N' e \nu$ )
    - 3 quark families ( $d_j \rightarrow u_i \ell \nu$ ,  $d_j \rightarrow d_i \ell^+ \ell^-$ , ...)  $\rightarrow$  "flavor physics"
    - Leptonic (with charged leptons):  $\ell \rightarrow \ell' \nu \bar{\nu}$

three generations of matter (fermions)				
	I	II	III	
QUARKS	mass =2.2 MeV/c <sup>2</sup> charge 2/3 spin 1/2 <b>u</b> up	mass =1.28 GeV/c <sup>2</sup> charge 2/3 spin 1/2 <b>c</b> charm	mass =173.1 GeV/c <sup>2</sup> charge 2/3 spin 1/2 <b>t</b> top	
	mass =4.7 MeV/c <sup>2</sup> charge -1/3 spin 1/2 <b>d</b> down	mass =96 MeV/c <sup>2</sup> charge -1/3 spin 1/2 <b>s</b> strange	mass =4.18 GeV/c <sup>2</sup> charge -1/3 spin 1/2 <b>b</b> bottom	
	mass =0.511 MeV/c <sup>2</sup> charge -1 spin 1/2 <b>e</b> electron	mass =105.66 MeV/c <sup>2</sup> charge -1 spin 1/2 <b>μ</b> muon	mass =1.7768 GeV/c <sup>2</sup> charge -1 spin 1/2 <b>τ</b> tau	
	mass <1.0 eV/c <sup>2</sup> charge 0 spin 1/2 <b>ν<sub>e</sub></b> electron neutrino	mass <0.17 MeV/c <sup>2</sup> charge 0 spin 1/2 <b>ν<sub>μ</sub></b> muon neutrino	mass <18.2 MeV/c <sup>2</sup> charge 0 spin 1/2 <b>ν<sub>τ</sub></b> tau neutrino	
	LEPTONS			



# BSM searches with low-E processes

**QED** >> **EW** >> **BSM**. What about QED?

- Solution 1: gargantuan TH+EXP precision (muon g-2)
- Solution 2: choose a process with neutrinos ( $\nu_i f \rightarrow \nu_i f$ )
- Solution 3: choose an observable that violates a QED symmetry
  - Parity! (Atomic PV, PVDIS, Qweak, ...)
  - Flavor ( $f f' \rightarrow f f''$ )
    - 1st quark family:  $d \rightarrow u e \nu \rightarrow \beta$  decays ( $N \rightarrow N' e \nu$ )
    - 3 quark families ( $d_j \rightarrow u_i \ell \nu$ ,  $d_j \rightarrow d_i \ell^+ \ell^-$ , ...)  $\rightarrow$  "flavor physics"
    - Leptonic (with charged leptons):  $\ell \rightarrow \ell' \nu \bar{\nu}$
    - Neutrino oscillations:  $\nu_\alpha \rightarrow \nu_\beta$

three generations of matter (fermions)				
	I	II	III	
QUARKS	mass charge spin =2.2 MeV/c <sup>2</sup> 2/3 1/2 <b>u</b> up	=1.28 GeV/c <sup>2</sup> 2/3 1/2 <b>c</b> charm	=173.1 GeV/c <sup>2</sup> 2/3 1/2 <b>t</b> top	
	=4.7 MeV/c <sup>2</sup> -1/3 1/2 <b>d</b> down	=96 MeV/c <sup>2</sup> -1/3 1/2 <b>s</b> strange	=4.18 GeV/c <sup>2</sup> -1/3 1/2 <b>b</b> bottom	
	LEPTONS	=0.511 MeV/c <sup>2</sup> -1 1/2 <b>e</b> electron	=105.66 MeV/c <sup>2</sup> -1 1/2 <b>μ</b> muon	=1.7768 GeV/c <sup>2</sup> -1 1/2 <b>τ</b> tau
		<1.0 eV/c <sup>2</sup> 0 1/2 <b>ν<sub>e</sub></b> electron neutrino	<0.17 MeV/c <sup>2</sup> 0 1/2 <b>ν<sub>μ</sub></b> muon neutrino	<18.2 MeV/c <sup>2</sup> 0 1/2 <b>ν<sub>τ</sub></b> tau neutrino





# BSM searches with low-E processes

**QED** >> **EW** >> **BSM**. What about QED?

- Solution 1: gargantuan TH+EXP precision (muon g-2)
- Solution 2: choose a process with neutrinos ( $\nu_i f \rightarrow \nu_i f$ )
- Solution 3: choose an observable that violates a QED symmetry
  - Parity! (Atomic PV, PVDIS, Qweak, ...)
  - Flavor ( $f f' \rightarrow f f''$ )
    - 1st quark family:  $d \rightarrow u e \nu \rightarrow \beta$  decays ( $N \rightarrow N' e \nu$ )
    - 3 quark families ( $d_j \rightarrow u_i \ell \nu$ ,  $d_j \rightarrow d_i \ell^+ \ell^-$ , ...)  $\rightarrow$  "flavor physics"
    - Leptonic (with charged leptons):  $\ell \rightarrow \ell' \nu \bar{\nu}$
    - Neutrino oscillations:  $\nu_\alpha \rightarrow \nu_\beta$
- Even better  $\rightarrow$  an observable that violates an EW symmetry: CP (electric dipole moments), B-L (proton decay), cLFV ( $\mu \rightarrow e \gamma$ )
  - $\rightarrow$  *Either NP is very far (??), or it has a SM-like structure (B, CP, ...).*
  - In the 2nd case, precision experiments might find NP first.*

three generations of matter (fermions)			
	I	II	III
QUARKS	mass charge spin =2.2 MeV/c <sup>2</sup> 2/3 1/2 u up	=1.28 GeV/c <sup>2</sup> 2/3 1/2 c charm	=173.1 GeV/c <sup>2</sup> 2/3 1/2 t top
	=4.7 MeV/c <sup>2</sup> -1/3 1/2 d down	=96 MeV/c <sup>2</sup> -1/3 1/2 s strange	=4.18 GeV/c <sup>2</sup> -1/3 1/2 b bottom
	=0.511 MeV/c <sup>2</sup> -1 1/2 e electron	=105.66 MeV/c <sup>2</sup> -1 1/2 μ muon	=1.7768 GeV/c <sup>2</sup> -1 1/2 τ tau
	<1.0 eV/c <sup>2</sup> 0 1/2 ν <sub>e</sub> electron neutrino	<0.17 MeV/c <sup>2</sup> 0 1/2 ν <sub>μ</sub> muon neutrino	<18.2 MeV/c <sup>2</sup> 0 1/2 ν <sub>τ</sub> tau neutrino
	LEPTONS		



# BSM searches with low-E processes

**QED** >> **EW** >> **BSM**. What about QED?

- Solution 1: gargantuan TH+EXP precision (muon g-2)
- Solution 2: choose a process with neutrinos ( $\nu_i f \rightarrow \nu_i f$ )
- Solution 3: choose an observable that violates a QED symmetry
  - Parity! (Atomic PV, PVDIS, Qweak, ...)
  - Flavor ( $f f' \rightarrow f f''$ )
    - 1st quark family:  $d \rightarrow u e \nu \rightarrow \beta$  decays ( $N \rightarrow N' e \nu$ )
    - 3 quark families ( $d_j \rightarrow u_i \ell \nu$ ,  $d_j \rightarrow d_i \ell^+ \ell^-$ , ...)  $\rightarrow$  "flavor physics"
    - Leptonic (with charged leptons):  $\ell \rightarrow \ell' \nu \bar{\nu}$
    - Neutrino oscillations:  $\nu_\alpha \rightarrow \nu_\beta$
- Even better  $\rightarrow$  an observable that violates an EW symmetry: CP (electric dipole moments), B-L (proton decay), cLFV ( $\mu \rightarrow e \gamma$ )  $\rightarrow$  *Either NP is very far (??), or it has a SM-like structure (B, CP, ...).*  
*In the 2nd case, precision experiments might find NP first.*

three generations of matter (fermions)

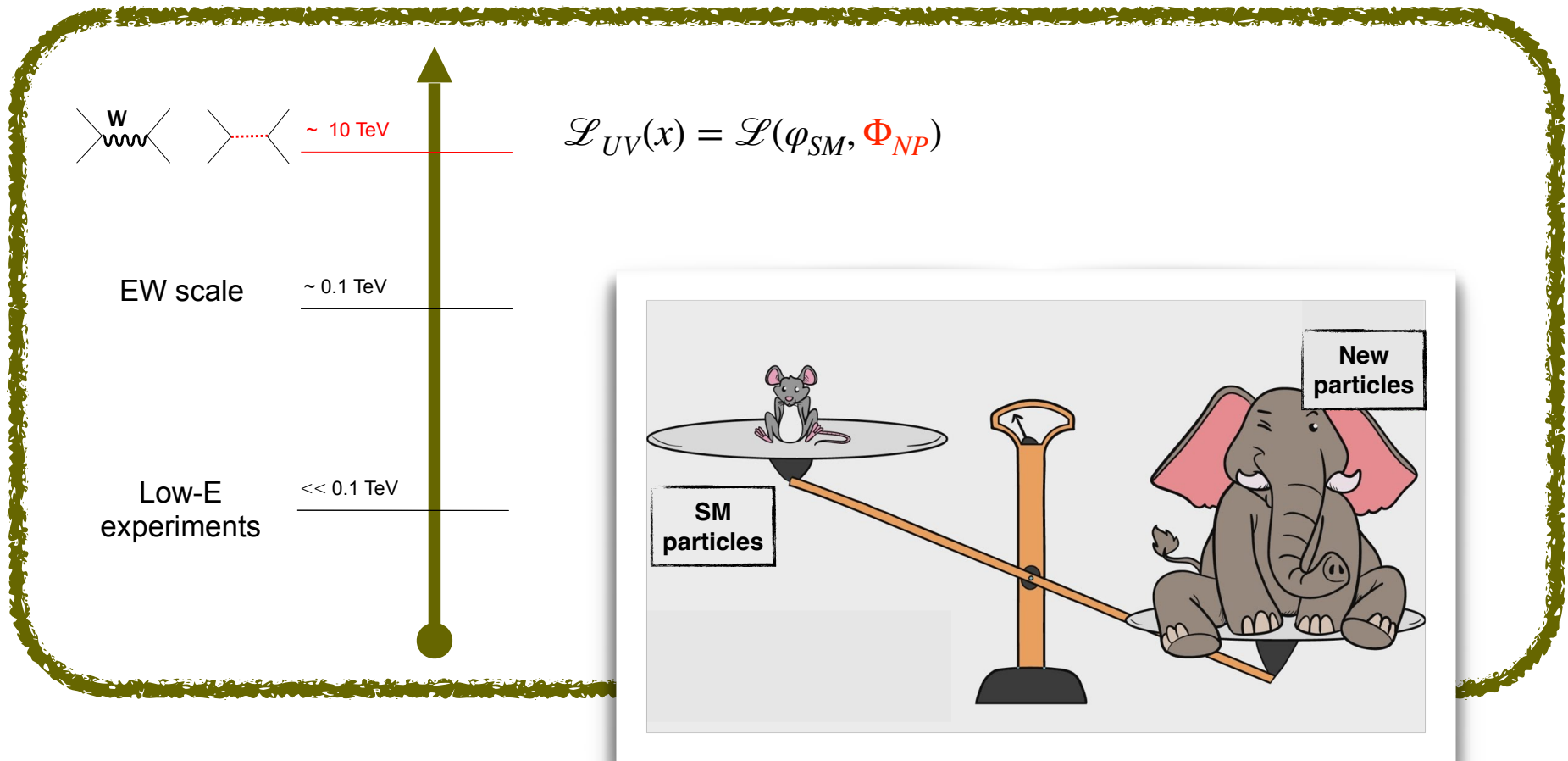
	I	II	III
mass	=2.2 MeV/c <sup>2</sup>	=1.28 GeV/c <sup>2</sup>	=173.1 GeV/c <sup>2</sup>
charge	2/3	2/3	2/3
spin	1/2	1/2	1/2
	<b>u</b> up	<b>c</b> charm	<b>t</b> top
	<b>d</b> down	<b>s</b> strange	<b>b</b> bottom
QUARKS			
	=0.511 MeV/c <sup>2</sup>	=105.66 MeV/c <sup>2</sup>	=1.7768 GeV/c <sup>2</sup>
	-1	-1	-1
	1/2	1/2	1/2
	<b>e</b> electron	<b><math>\mu</math></b> muon	<b><math>\tau</math></b> tau
	<b><math>\nu_e</math></b> electron neutrino	<b><math>\nu_\mu</math></b> muon neutrino	<b><math>\nu_\tau</math></b> tau neutrino
LEPTONS			

**Precision  
EXP+TH**



# Analysing BSM searches

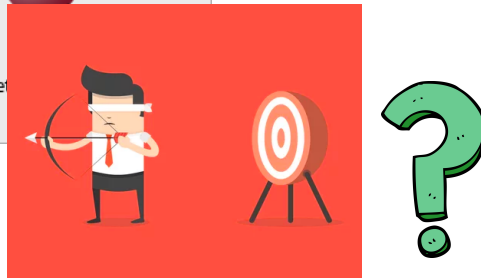
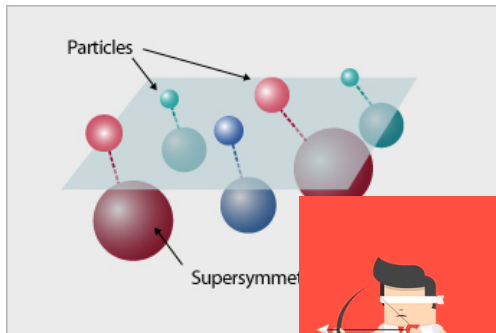
## Theory?



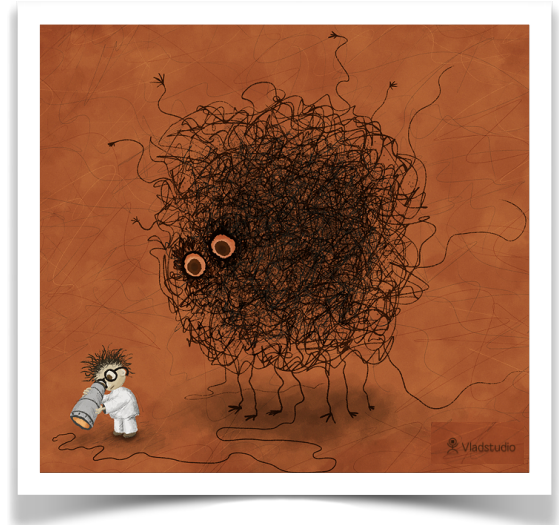
# Analysing BSM searches

## Specific BSM model

$$\mathcal{L}_{BSM} = \mathcal{L}(\phi_{SM}, \Phi_{BSM})$$



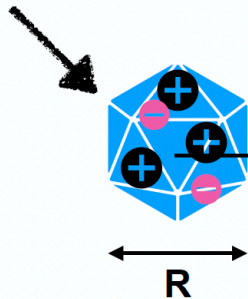
## Effective Field Theory (EFT) approach



# EFT detour

[A. Falkowski's CP2023 lectures]

Some distribution of electric charges



Near observer



L

Far observer



r

Near observer,  $L \sim R$ , needs to know the position of every charge to describe electric field in her proximity

Far observer,  $r \gg R$ , can instead use multipole expansion: 
$$V(\vec{r}) = \frac{Q}{r} + \frac{\vec{d} \cdot \vec{r}}{r^3} + \frac{Q_{ij} r_i r_j}{r^5} + \dots$$

$\sim 1/r \quad \sim R/r^2 \quad \sim R^2/r^3$

$$d_i \sim R$$

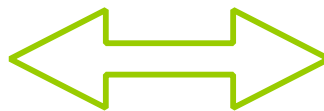
$$Q_{ij} \sim R^2$$

Higher order terms in the multipole expansion are suppressed by powers of the small parameter  $(R/r)$ . One can truncate the expansion at some order depending on the value of  $(R/r)$  and experimental precision

Far observer is able to describe electric field in his vicinity using just a few parameters: the total electric charge  $Q$ , the dipole moment  $\vec{d}$ , eventually the quadrupole moment  $Q_{ij}$ , etc....

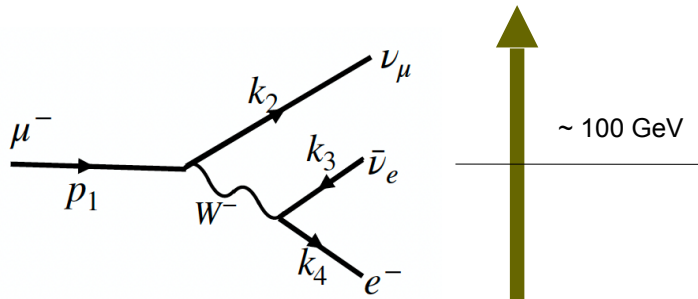
On the other hand, far observer can only guess the "fundamental" distributions of the charges, as many distinct distributions lead to the same first few moments

Small  
distances



High  
energies

# EFT detour: QFT example

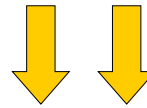


~ 100 GeV

$\mathcal{L}_{SM}$  (EW theory)

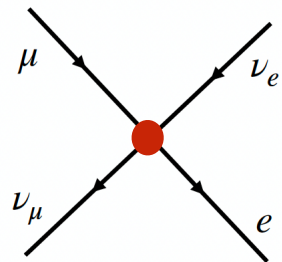
$$\mathcal{M} = \frac{g_L^2}{2} \bar{u}(k_2) \gamma_\rho P_L u(p_1) \frac{1}{q^2 - m_W^2} \bar{u}(k_4) \gamma_\rho P_L v(k_3)$$

$$q = p_1 - k_2$$



$$q^2 \lesssim m_\mu^2 \ll m_W^2$$

$$\mathcal{M} = -\frac{g_L^2}{2m_W^2} \bar{u}(k_2) \gamma_\rho P_L u(p_1) \bar{u}(k_4) \gamma_\rho P_L v(k_3) + \mathcal{O}(q^2/m_W^4)$$



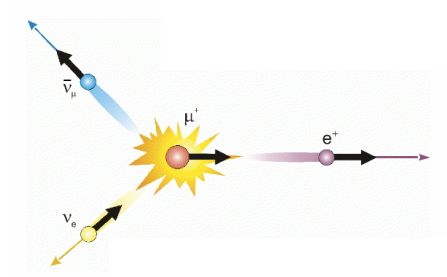
~ GeV

$$\mathcal{L}_{eff} = -\frac{4G_F}{\sqrt{2}} \bar{e} \gamma_\mu (1 - \gamma_5) \nu_e \cdot \bar{\nu}_\mu \gamma^\mu (1 - \gamma_5) \mu$$

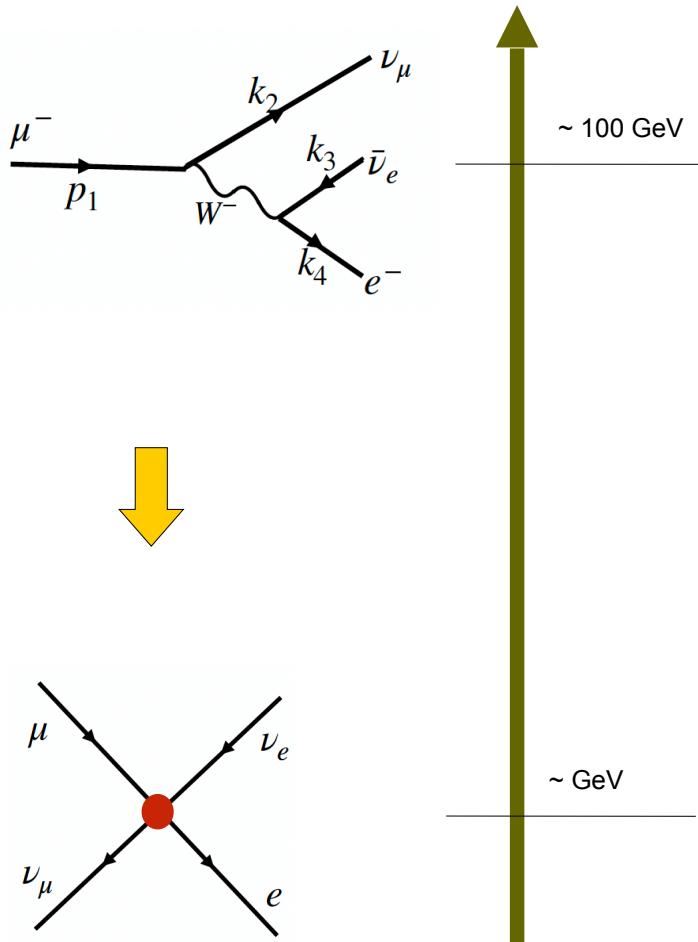
+ higher-dim terms

$$G_F = \frac{g^2}{4\sqrt{2}m_W^2}$$

Wilson coefficient



# EFT detour: QFT example



$\mathcal{L}_{SM}$  (EW theory)

$$\mathcal{M} = \frac{g_L^2}{2} \bar{u}(k_2) \gamma_\rho P_L u(p_1) \frac{1}{q^2 - m_W^2} \bar{u}(k_4) \gamma_\rho P_L v(k_3)$$

$$q = p_1 - k_2$$

$\Downarrow \Downarrow$ 
 $q^2 \lesssim m_\mu^2 \ll m_W^2$

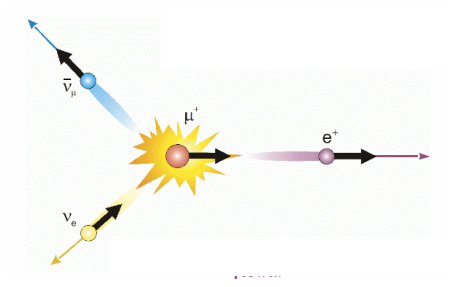
$$\mathcal{M} = -\frac{g_L^2}{2m_W^2} \bar{u}(k_2) \gamma_\rho P_L u(p_1) \bar{u}(k_4) \gamma_\rho P_L v(k_3) + \mathcal{O}(q^2/m_W^4)$$

$$\mathcal{L}_{eff} = -\frac{4G_F}{\sqrt{2}} \bar{e} \gamma_\mu (1 - \gamma_5) \nu_e \cdot \bar{\nu}_\mu \gamma^\mu (1 - \gamma_5) \mu$$

+ higher-dim terms

$$G_F = \frac{g^2}{4\sqrt{2}m_W^2}$$

Wilson coefficient



Historically the logic was quite different:  
Data  $\rightarrow$  Fermi EFT  $\rightarrow$  SM

# EFT detour

---

**Top  
down**



Known theory at  
high-E



EFT at low-E

**Bottom  
up**



EFT that includes  
high-E effects

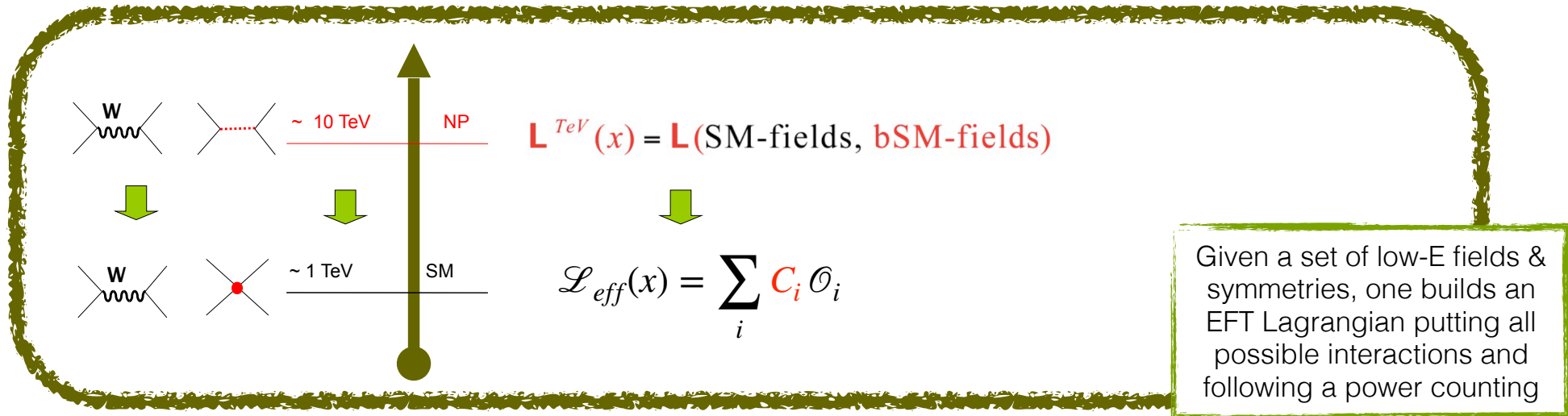


Known theory at low-E  
(or at least symmetries & fields)

Given a set of low-E fields & symmetries, one builds an EFT Lagrangian putting all possible interactions and following a power counting



# EFT at the EW scale: SM $\rightarrow$ SMEFT



Given a set of low-E fields & symmetries, one builds an EFT Lagrangian putting all possible interactions and following a power counting

**EFT = Model-independent approach  $\neq$  Assumption independent**

mass $\rightarrow$	$\approx 2.3 \text{ MeV}/c^2$	$\approx 1.275 \text{ GeV}/c^2$	$\approx 173.07 \text{ GeV}/c^2$	0	$\approx 126 \text{ GeV}/c^2$
charge $\rightarrow$	$2/3$	$2/3$	$2/3$	0	0
spin $\rightarrow$	$1/2$	$1/2$	$1/2$	1	0
	<b>u</b> up	<b>c</b> charm	<b>t</b> top	<b>g</b> gluon	<b>H</b> Higgs boson
<b>QUARKS</b>	$\approx 4.8 \text{ MeV}/c^2$	$\approx 95 \text{ MeV}/c^2$	$\approx 4.18 \text{ GeV}/c^2$	0	
	$-1/3$	$-1/3$	$-1/3$	0	
	$1/2$	$1/2$	$1/2$	1	
	<b>d</b> down	<b>s</b> strange	<b>b</b> bottom	<b><math>\gamma</math></b> photon	
	$0.511 \text{ MeV}/c^2$	$105.7 \text{ MeV}/c^2$	$1.777 \text{ GeV}/c^2$	$91.2 \text{ GeV}/c^2$	
	-1	-1	-1	0	
	$1/2$	$1/2$	$1/2$	1	
	<b>e</b> electron	<b><math>\mu</math></b> muon	<b><math>\tau</math></b> tau	<b>Z</b> Z boson	
<b>LEPTONS</b>	$< 2.2 \text{ eV}/c^2$	$< 0.17 \text{ MeV}/c^2$	$< 15.5 \text{ MeV}/c^2$	$80.4 \text{ GeV}/c^2$	
	0	0	0	$\pm 1$	
	$1/2$	$1/2$	$1/2$	1	
	<b><math>\nu_e</math></b> electron neutrino	<b><math>\nu_\mu</math></b> muon neutrino	<b><math>\nu_\tau</math></b> tau neutrino	<b>W</b> W boson	
					<b>GAUGE BOSONS</b>

1. QFT (Lorentz inv.)
2. SM fields + gap:  
NP scale  $\gg$  EW scale.
3.  $SU(3) \times SU(2) \times U(1)$  gauge symmetry

# Building the SMEFT



## Building blocks:

$G_\mu^a, W_\mu^k, B_\mu, q, u, d, \ell, e, \varphi$

Field	$SU(3)_C$	$SU(2)_L$	$U(1)_Y$	Spin
$G_\mu^a$	8	1	0	1
$W_\mu^k$	1	3	0	1
$B_\mu$	1	1	0	1
$Q$	3	2	1/6	1/2
$u$	3	1	2/3	1/2
$d$	3	1	-1/3	1/2
$L$	1	2	-1/2	1/2
$e$	1	1	-1	1/2
$H$	1	2	1/2	0



## Rules

$$SU(3)_C \times SU(2)_L \times U(1)_Y$$



$$\mathcal{L} = \sum_i C_i \mathcal{O}_i(\phi_j, D_\mu \phi_k)$$

Example:  $\mathcal{L} = C (\varphi^\dagger \varphi)^3$

# Building the SMEFT



There are infinite gauge-invariant terms.  
But that's OK because there's a well-defined expansion:

- Take an operator (=interaction term)  $\mathcal{O}_D$  of dimension D.

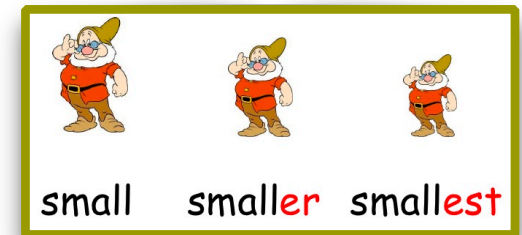
- Since  $[\mathcal{L}] = E^4 \rightarrow \mathcal{L} \supset C_D \mathcal{O}_D$  where  $[C_D] \sim \frac{1}{\Lambda^{4-D}}$



- Its contribution to a (dimensionless) amplitude associated to a process with  $E \gg m$

$$\mathcal{M} \sim C_D E^{D-4} \sim \left(\frac{E}{\Lambda}\right)^{D-4}$$

- Thus, for  $E \ll \Lambda$ :  
a D=5 term gives a larger contribution than a D=6 one,  
a D=6 term gives a larger contribution than a D=7 one,  
and so on.



- For a given precision, we only need a finite amount of terms:  $\mathcal{L} = \mathcal{L}_{D \leq 4} + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$

- This power counting allows us to define SMEFT at the quantum level  
(the SMEFT is renormalizable at a any finite order in the EFT expansion)

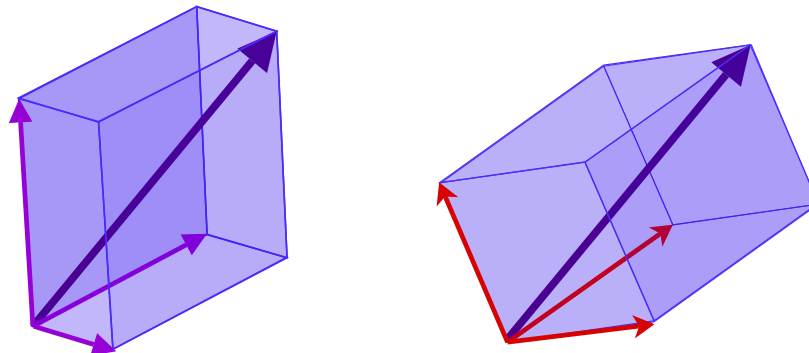
# Building the SMEFT



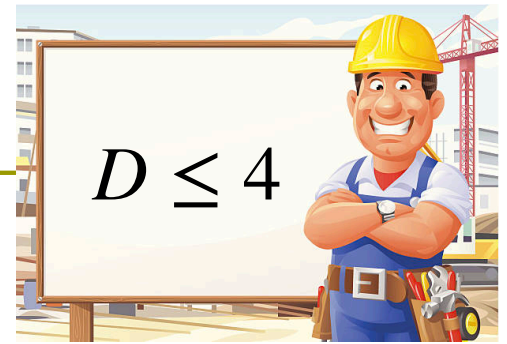
$$\mathcal{L} = \mathcal{L}_{D \leq 4} + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

$\sum_i C_6^i \mathcal{O}_6^i$  Complete (and minimal) set of operators  $\rightarrow$  "Basis"

- Finding a minimal set of operators is a subtle business.
  - It's not just  $(O_1, O_2)$  vs  $(O_1+O_2, O_1-O_2)$ . Operators can be related through integration by parts, Fierz transformation and field redefinitions.
  - Solved recently  
[Grzadkowski et al. 1008.4884; Lehman-Martin 1510.00372; Henning et al. 1512.03433; Li et al. 2201.04639; ...]
- Any physical result will be independent of the basis chosen.



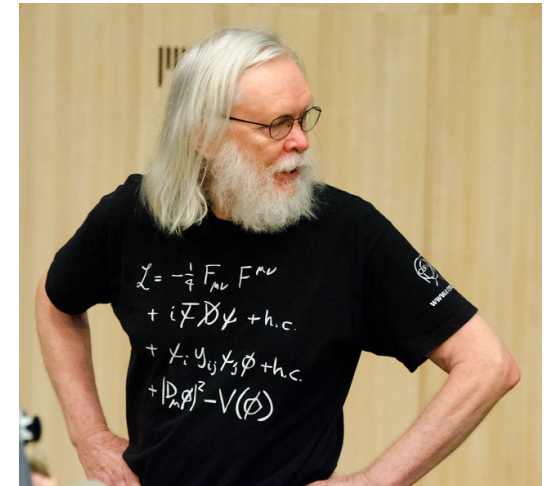
# Building the SMEFT



$$\mathcal{L} = \mathcal{L}_{D \leq 4} + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

- At  $D \leq 4$  we find the SM

$$\begin{aligned} \mathcal{L}_{SM} = & -\frac{1}{4} G^{a\mu\nu} G_{\mu\nu}^a - \frac{1}{4} W^{k\mu\nu} W_{\mu\nu}^k - \frac{1}{4} B^{\mu\nu} B_{\mu\nu} - \tilde{\theta} G^{a\mu\nu} \tilde{G}_{\mu\nu}^a \\ & + i \sum_f \bar{f} D_\mu \gamma^\mu f \\ & - (\bar{\ell} Y_e \phi e + \bar{q} \phi Y_d d + \bar{q} \tilde{\phi} Y_u u) + h.c. \\ & + (D_\mu \phi)^\dagger (D^\mu \phi) - \mu^2 (\phi^\dagger \phi) - \lambda (\phi^\dagger \phi)^2 \end{aligned}$$

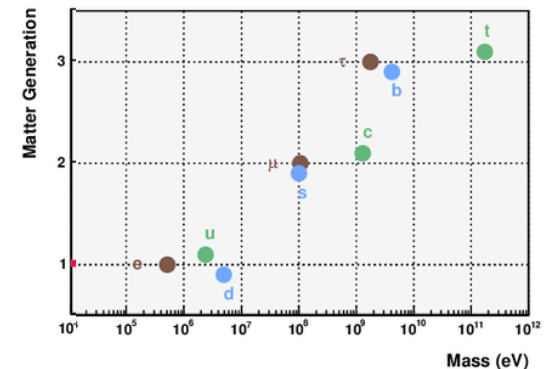


- All coefficients have been measured

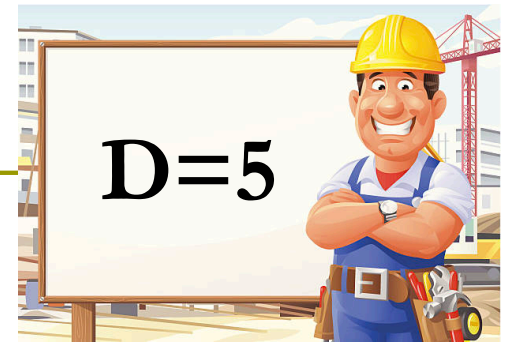
...except the theta term:  $\mathcal{L}_{SM} \supset -\tilde{\theta} G^{a\mu\nu} \tilde{G}_{\mu\nu}^a$

- Interaction size OK except:

- $\mu = M_h \sqrt{2} \sim 100 \text{ GeV} \ll \Lambda$  (??)
- EFT predicts:  $Y_f \sim \mathcal{O}(1) \rightarrow m_f \sim v, V_{ij} \sim \mathcal{O}(1)$



# Building the SMEFT



$$\mathcal{L} = \mathcal{L}_{D \leq 4} + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

- Only one operator (Weinberg'79)

$$\mathcal{L}_5 = \frac{[c_5]_{pr}}{\Lambda} \left( \tilde{\varphi}^\dagger \ell_p \right)^T C \left( \tilde{\varphi}^\dagger \ell_r \right) + h.c.$$

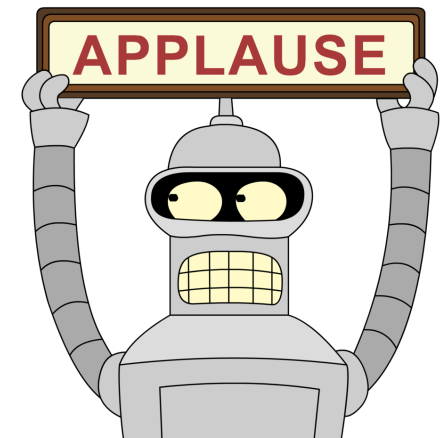
$$\tilde{\varphi} \equiv i \sigma_2 \varphi = \begin{pmatrix} (\varphi^0)^* \\ -(\varphi^+)^* \end{pmatrix} \rightarrow \frac{1}{\sqrt{2}} \begin{pmatrix} \nu + H \\ 0 \end{pmatrix}$$

$$\ell \equiv \begin{pmatrix} \nu_L \\ e_L \end{pmatrix}$$

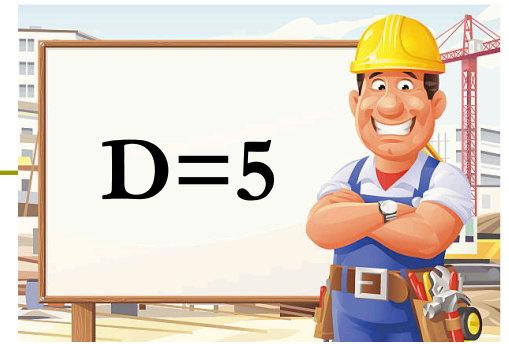
- After EWSB generates Majorana masses (for LH neutrinos):

$$\mathcal{L}_M = -\frac{1}{2} m_M \bar{\nu}_L^c \nu_L + h.c., \quad \nu^c \equiv C \bar{\nu}^T$$

- Perfect! (neutrino oscillations  $\rightarrow$  neutrino masses)  
Great success of the SMEFT approach: corrections to the SM Lagrangian predicted at 1st order in the EFT expansion, are indeed observed!



# Building the SMEFT



$$\mathcal{L}_5 = \frac{[c_5]_{pr}}{\Lambda} \left( \tilde{\varphi}^\dagger \ell_p \right)^T C \left( \tilde{\varphi}^\dagger \ell_r \right) + h.c. \rightarrow m_\nu \sim 2 c_5 v^2 / \Lambda$$

- Oscillation data  $\rightarrow \Delta m^2$ .  
Other experiments (KATRIN!) / observations  $\rightarrow$  bounds on  $m$ .  
All in all,  $m \sim \mathcal{O}(0.01)$  eV. Thus:

$$v^2 / \Lambda \sim 0.1 \text{ eV} \rightarrow \Lambda \sim 10^{15} \text{ GeV} !!$$

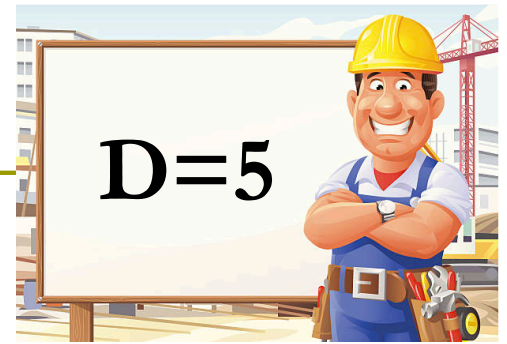


- The mass gap is certainly OK
- But then higher dimensional effects are then extremely suppressed (only hope: B-number violation)

$$D = 6 \rightarrow v^2 / \Lambda^2 \sim 10^{-26} !!$$



# Building the SMEFT



$$\mathcal{L}_5 = \frac{[c_5]_{pr}}{\Lambda} \left( \tilde{\varphi}^\dagger \ell_p \right)^T C \left( \tilde{\varphi}^\dagger \ell_r \right) + h.c. \rightarrow m_\nu \sim 2 c_5 v^2 / \Lambda$$

- Tiny neutrino masses point to huge NP scale:  $\Lambda \sim 10^{15}$  GeV  $\longrightarrow$



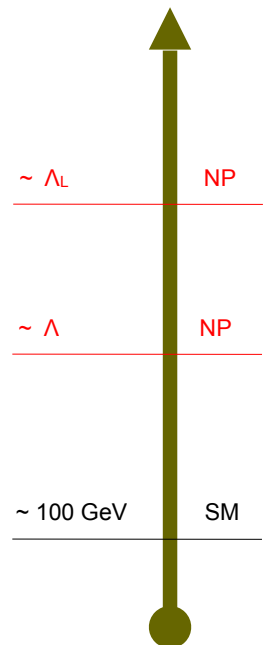
- Alternative:

It's possible (and even natural) that there's more than one NP scale. This is not arbitrary since D=5 is "special": it violates B-L

- A very high scale  $\Lambda_L$  associated to B-L violating physics (D=5, 7, ...)
- A (hopefully) not so high scale,  $\Lambda$ , associated to B-L conserving physics (D=6, 8, ...)

$$\mathcal{L}_{D=5} \sim \frac{1}{\Lambda_L}, \quad \mathcal{L}_{D=6} \sim \frac{1}{\Lambda^2}, \quad \mathcal{L}_{D=7} \sim \frac{1}{\Lambda_L^3}, \quad \mathcal{L}_{D=8} \sim \frac{1}{\Lambda^4}, \quad \text{and so on}$$

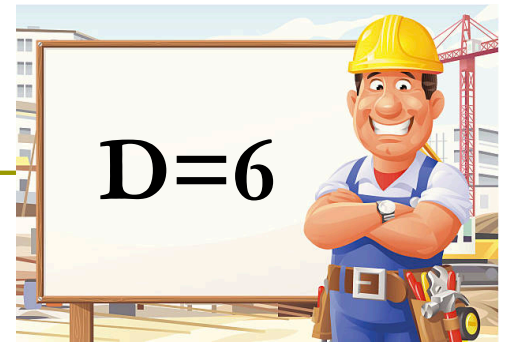
- PS: Outside the SMEFT paradigm there are other explanations for  $m_\nu$ . E.g., SM +  $\nu_R \rightarrow$  one has D=3 Majorana & D=4 yukawas ( $\rightarrow$  Dirac mass).





# Building the SMEFT

D=6



$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

- First B-L conserving corrections to the SM.
- One finds 63 operators [Grzadkowski et al., 1008.4884]  
Flavor structure  $\rightarrow$  3045 coefficients



$X^3$		$\varphi^6$ and $\varphi^4 D^2$		$\psi^2 \varphi^3$	
$Q_G$	$f^{ABC} G_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$	$Q_\varphi$	$(\varphi^\dagger \varphi)^3$	$Q_{e\varphi}$	$(\varphi^\dagger \varphi)(\bar{l}_p e_r \varphi)$
$Q_{\tilde{G}}$	$f^{ABC} \tilde{G}_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$	$Q_{\varphi\Box}$	$(\varphi^\dagger \varphi)\Box(\varphi^\dagger \varphi)$	$Q_{u\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p u_r \tilde{\varphi})$
$Q_W$	$\varepsilon^{IJK} W_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$	$Q_{\varphi D}$	$(\varphi^\dagger D^\mu \varphi)^* (\varphi^\dagger D_\mu \varphi)$	$Q_{d\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p d_r \varphi)$
$Q_{\tilde{W}}$	$\varepsilon^{IJK} \tilde{W}_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$				
$X^2 \varphi^2$		$\psi^2 X \varphi$		$\psi^2 \varphi^2 D$	
$Q_{\varphi G}$	$\varphi^\dagger \varphi G_{\mu\nu}^A G^{A\mu\nu}$	$Q_{eW}$	$(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi l}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{l}_p \gamma^\mu l_r)$
$Q_{\varphi \tilde{G}}$	$\varphi^\dagger \varphi \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$	$Q_{eB}$	$(\bar{l}_p \sigma^{\mu\nu} e_r) \varphi B_{\mu\nu}$	$Q_{\varphi l}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{l}_p \tau^I \gamma^\mu l_r)$
$Q_{\varphi W}$	$\varphi^\dagger \varphi W_{\mu\nu}^I W^{I\mu\nu}$	$Q_{uG}$	$(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \tilde{\varphi} G_{\mu\nu}^A$	$Q_{\varphi e}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{e}_p \gamma^\mu e_r)$
$Q_{\varphi \tilde{W}}$	$\varphi^\dagger \varphi \tilde{W}_{\mu\nu}^I W^{I\mu\nu}$	$Q_{uW}$	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \tilde{\varphi} W_{\mu\nu}^I$	$Q_{\varphi q}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{q}_p \gamma^\mu q_r)$
$Q_{\varphi B}$	$\varphi^\dagger \varphi B_{\mu\nu} B^{\mu\nu}$	$Q_{uB}$	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tilde{\varphi} B_{\mu\nu}$	$Q_{\varphi q}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{q}_p \tau^I \gamma^\mu q_r)$
$Q_{\varphi \tilde{B}}$	$\varphi^\dagger \varphi \tilde{B}_{\mu\nu} B^{\mu\nu}$	$Q_{dG}$	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) \varphi G_{\mu\nu}^A$	$Q_{\varphi u}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{u}_p \gamma^\mu u_r)$
$Q_{\varphi WB}$	$\varphi^\dagger \tau^I \varphi W_{\mu\nu}^I B^{\mu\nu}$	$Q_{dW}$	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi d}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{d}_p \gamma^\mu d_r)$
$Q_{\varphi \tilde{W}B}$	$\varphi^\dagger \tau^I \varphi \tilde{W}_{\mu\nu}^I B^{\mu\nu}$	$Q_{dB}$	$(\bar{q}_p \sigma^{\mu\nu} d_r) \varphi B_{\mu\nu}$	$Q_{\varphi ud}$	$i(\tilde{\varphi}^\dagger D_\mu \varphi)(\bar{u}_p \gamma^\mu d_r)$

Table 2: Dimension-six operators other than the four-fermion ones.

$(\bar{L}L)(\bar{L}L)$		$(\bar{R}R)(\bar{R}R)$		$(\bar{L}L)(\bar{R}R)$	
$Q_{ll}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$	$Q_{ee}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{e}_s \gamma^\mu e_t)$	$Q_{le}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{qq}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{q}_s \gamma^\mu q_t)$	$Q_{uu}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$	$Q_{lu}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$
$Q_{qq}^{(3)}$	$(\bar{q}_p \gamma_\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	$Q_{dd}$	$(\bar{d}_p \gamma_\mu d_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{ld}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{d}_s \gamma^\mu d_t)$
$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{q}_s \gamma^\mu q_t)$	$Q_{eu}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$	$Q_{qe}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{lq}^{(3)}$	$(\bar{l}_p \gamma_\mu \tau^I l_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	$Q_{ed}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{u}_s \gamma^\mu u_t)$
		$Q_{ud}^{(1)}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{u}_s \gamma^\mu T^A u_t)$
		$Q_{ud}^{(8)}$	$(\bar{u}_p \gamma_\mu T^A u_r)(\bar{d}_s \gamma^\mu T^A d_t)$	$Q_{qd}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{d}_s \gamma^\mu d_t)$
		$Q_{qd}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{d}_s \gamma^\mu T^A d_t)$	$Q_{qd}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{d}_s \gamma^\mu T^A d_t)$
$(\bar{L}R)(\bar{R}L)$ and $(\bar{L}R)(\bar{L}R)$		$B$ -violating			
$Q_{ledq}$	$(\bar{l}_p^j e_r)(\bar{d}_s^k q_t^l)$	$Q_{duq}$	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(d_p^\alpha)^T C u_r^\beta] [(q_s^\gamma)^T C l_t^k]$		
$Q_{quqd}^{(1)}$	$(\bar{q}_p^j u_r) \varepsilon_{jk} (\bar{q}_s^k d_t)$	$Q_{quu}$	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(q_p^{\alpha j})^T C q_r^{\beta k}] [(u_s^\gamma)^T C e_t]$		
$Q_{quqd}^{(8)}$	$(\bar{q}_p^j T^A u_r) \varepsilon_{jk} (\bar{q}_s^k T^A d_t)$	$Q_{qqq}$	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jnk} [(q_p^{\alpha j})^T C q_r^{\beta k}] [(q_s^\gamma)^T C l_t^k]$		
$Q_{lequ}^{(1)}$	$(\bar{l}_p^j e_r) \varepsilon_{jk} (\bar{q}_s^k u_t)$	$Q_{duu}$	$\varepsilon^{\alpha\beta\gamma} [(d_p^\alpha)^T C u_r^\beta] [(u_s^\gamma)^T C e_t]$		
$Q_{lequ}^{(3)}$	$(\bar{l}_p^j \sigma_{\mu\nu} e_r) \varepsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$				

Table 3: Four-fermion operators.

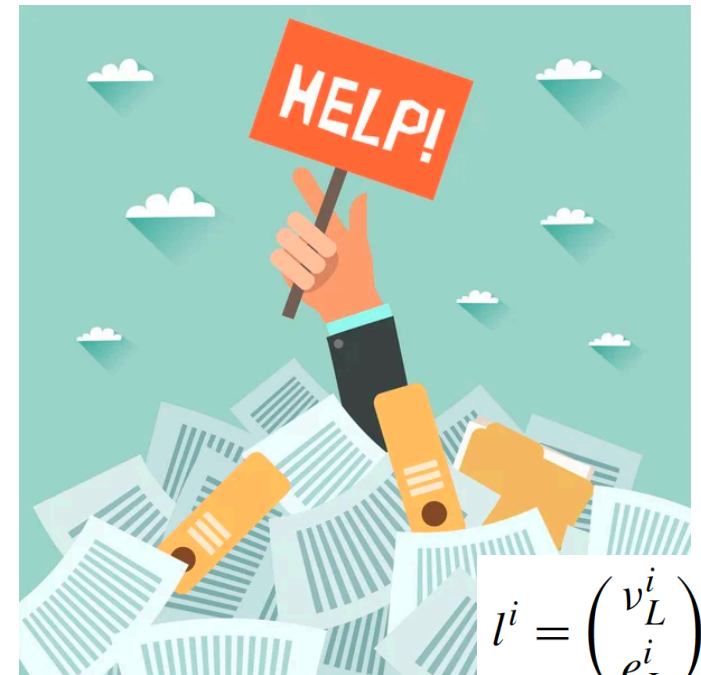
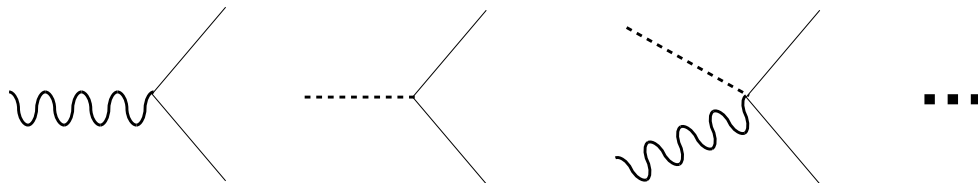
# Building the SMEFT



$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

- First B-L conserving corrections to the SM.
- One finds 63 operators [Grzadkowski et al., 1008.4884]  
Flavor structure  $\rightarrow$  3045 coefficients

$$(\varphi^\dagger i D_\mu \varphi)(l_p \gamma^\mu l_r)$$

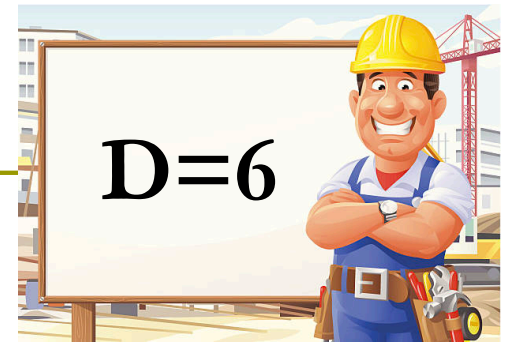


$$l^i = \begin{pmatrix} \nu_L^i \\ e_L^i \end{pmatrix}$$

$$\varphi = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + h(x) \end{pmatrix}$$

$$D_\mu = I\partial_\mu - ig_s \frac{\lambda^A}{2} G_\mu^A - ig \frac{\sigma^a}{2} W_\mu^a - ig' Y B_\mu$$

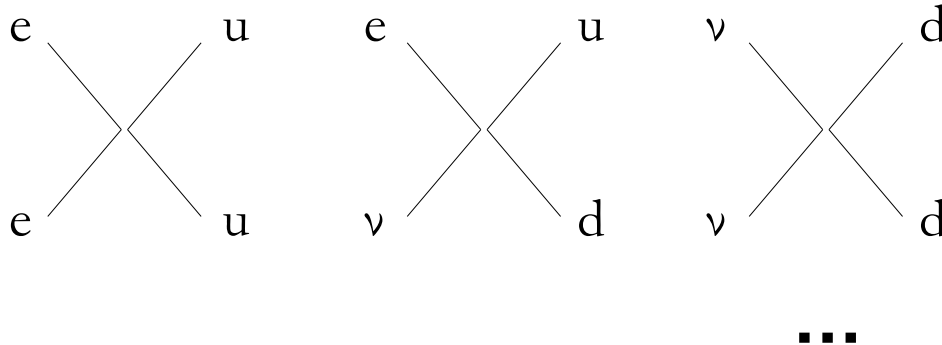
# Building the SMEFT



$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

- First B-L conserving corrections to the SM.
- One finds 63 operators [Grzadkowski et al., 1008.4884]  
Flavor structure  $\rightarrow$  3045 coefficients

$$(\bar{l}_p \gamma_\mu \tau^I l_r) (\bar{q}_s \gamma^\mu \tau^I q_t)$$

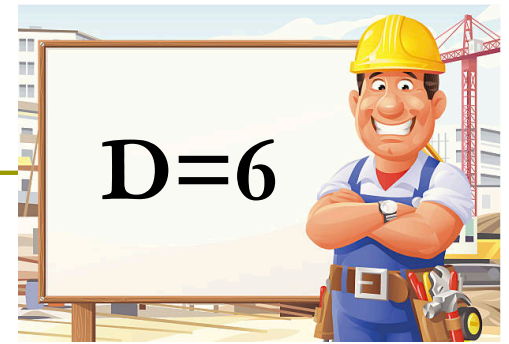


$$l^i = \begin{pmatrix} \nu_L^i \\ e_L^i \end{pmatrix}$$

$$\varphi = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + h(x) \end{pmatrix}$$

$$D_\mu = I\partial_\mu - ig_s \frac{\lambda^A}{2} G_\mu^A - ig \frac{\sigma^a}{2} W_\mu^a - ig' Y B_\mu$$

# Building the SMEFT



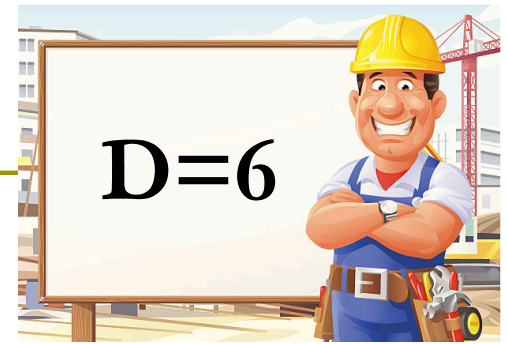
$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

- First B-L conserving corrections to the SM.
- One finds 63 operators [Grzadkowski et al., 1008.4884]  
Flavor structure  $\rightarrow$  3045 coefficients
- Extremely rich phenomenology:  
colliders,  
flavor,  
low-energy searches,  
neutrino physics,  
proton decay,  
CP violation,  
...

- All results compatible with zero  $\rightarrow$  Bounds on  $\Lambda$   
$$\left( \mathcal{L} \supset \frac{1}{\Lambda^2} \mathcal{O}_6 \right)$$



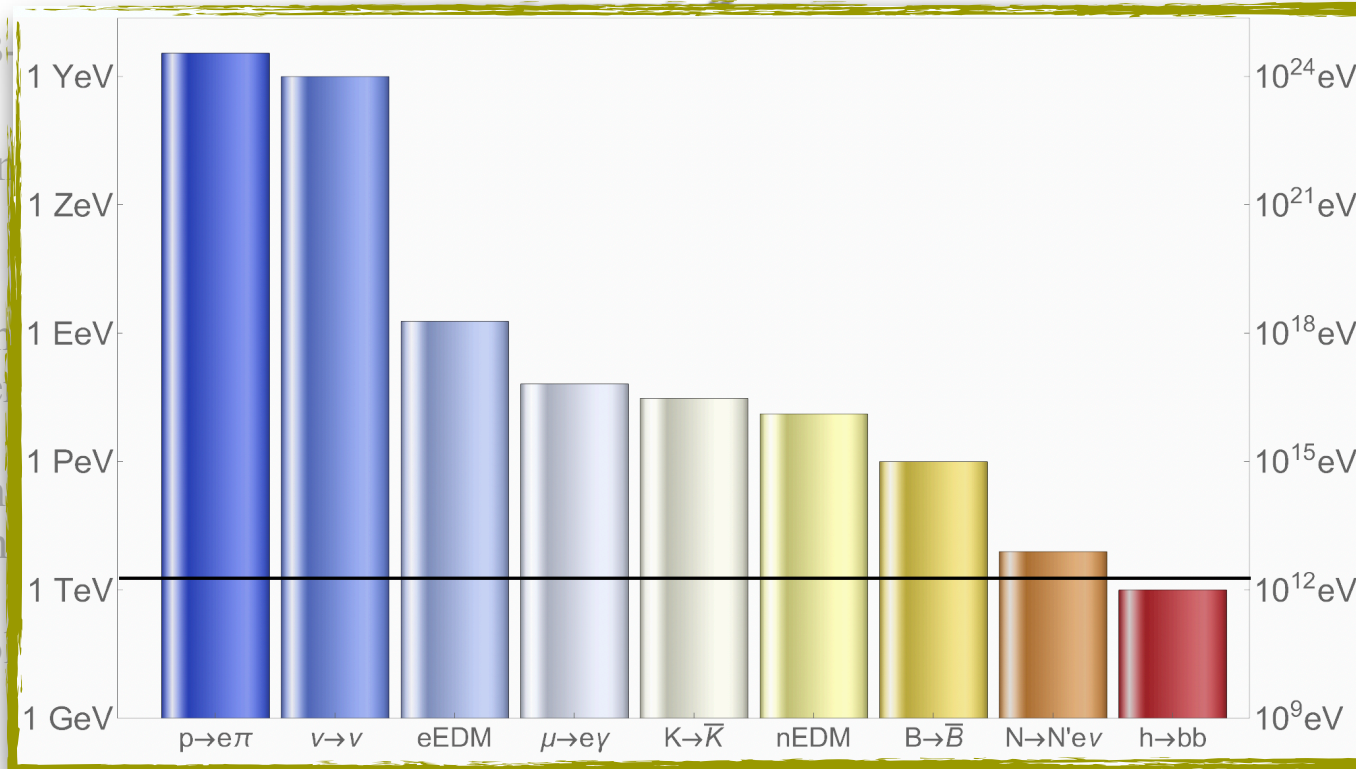
# Building the SMEFT



$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

[A. Falkowski, Eur.Phys.J.C 83 (2023) 7, 656]

- First B...
- One fir  
Flavor
- Extrem  
collide  
flavor,  
low-en  
neutrino
- proton
- CP vio
- ...



- All results compatible with zero  $\rightarrow$  Bounds on  $\Lambda$   

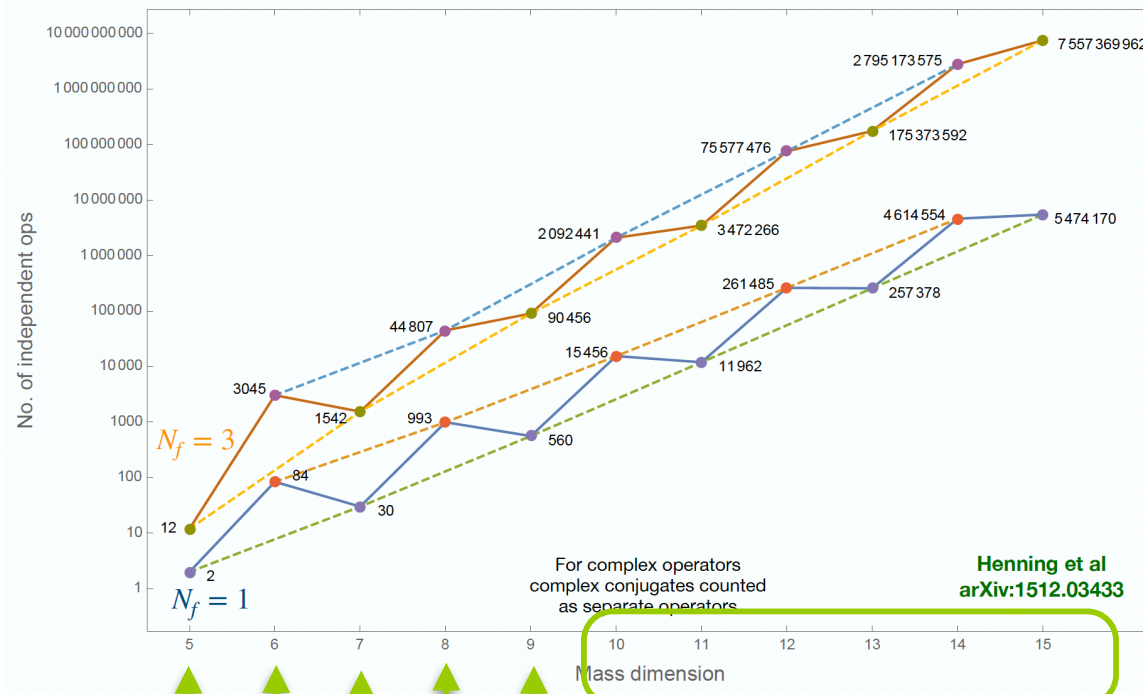
$$\left( \mathcal{L} \supset \frac{1}{\Lambda^2} \mathcal{O}_6 \right)$$

# Building the SMEFT



$$L = L_2 + L_3 + L_4 + L_5 + L_6 + L_7 + \dots$$

- At dim-6 is where all the fun starts, but it's also where it ends



**Exponential growth with D**

Weinberg'79      Lehman'14      Li et al.'21  
 Grzadkowski et al.'10      Li et al.'20      Li et al'22  
 (Code valid at any dimension)

# Building the SMEFT



$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

- At dim-6 is where all the fun starts, but it's also where it ends
  - Really too many operators
  - For  $D=7, 9, \dots$  the effect is expected to be tiny
  - For  $D=8, 10, \dots$  not easy to imagine situations where terms that are so suppressed (if the EFT works) give measurable effects in observable  $X$  whereas all  $D=6$  terms do not give measurable effects in so many other observables.
- A few processes receive their first tree-level correction at  $D>6$ :  
light-by-light scattering (dim-8), neutron-antineutron oscillation (dim-9), ...  
Depending on the mass gap, they could compete w/ loop effects from lower-dim. operators.

# Building the SMEFT



$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

- It's crucial to keep in mind that these operators exist.  
E.g.  $(\text{dim}-6)^2$  vs dim-8 contributions (validity of the EFT expansion)
- Let's think in a (non-forbidden) low-E process ( $E \ll v$ ):

$$\mathcal{M} = \mathcal{M}_{SM} \left( 1 + c_6 \mathcal{O} \left( \frac{v^2}{\Lambda^2} \right) + c_8 \mathcal{O} \left( \frac{v^4}{\Lambda^4} \right) + \dots \right)$$

$$|\mathcal{M}|^2 = |\mathcal{M}_{SM}|^2 \left( 1 + c_6 \mathcal{O} \left( \frac{v^2}{\Lambda^2} \right) + c_6^2 \mathcal{O} \left( \frac{v^4}{\Lambda^4} \right) + c_8 \mathcal{O} \left( \frac{v^4}{\Lambda^4} \right) + \dots \right)$$

- One should \*not\* include quadratic terms  
(equivalently: results should not depend strongly on quadratic terms)
- The reasoning is the same for  $E \sim v$  or higher energies.



# Building the SMEFT

---

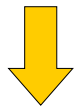


$$\mathcal{L} = \mathcal{L}_{SM} + \text{Majorana neutrino masses} + \sum \frac{c_6^i}{\Lambda^2} \mathcal{O}_6^i + \dots$$

# Down the EFT stairs



Known theory at  
high-E



EFT at low-E



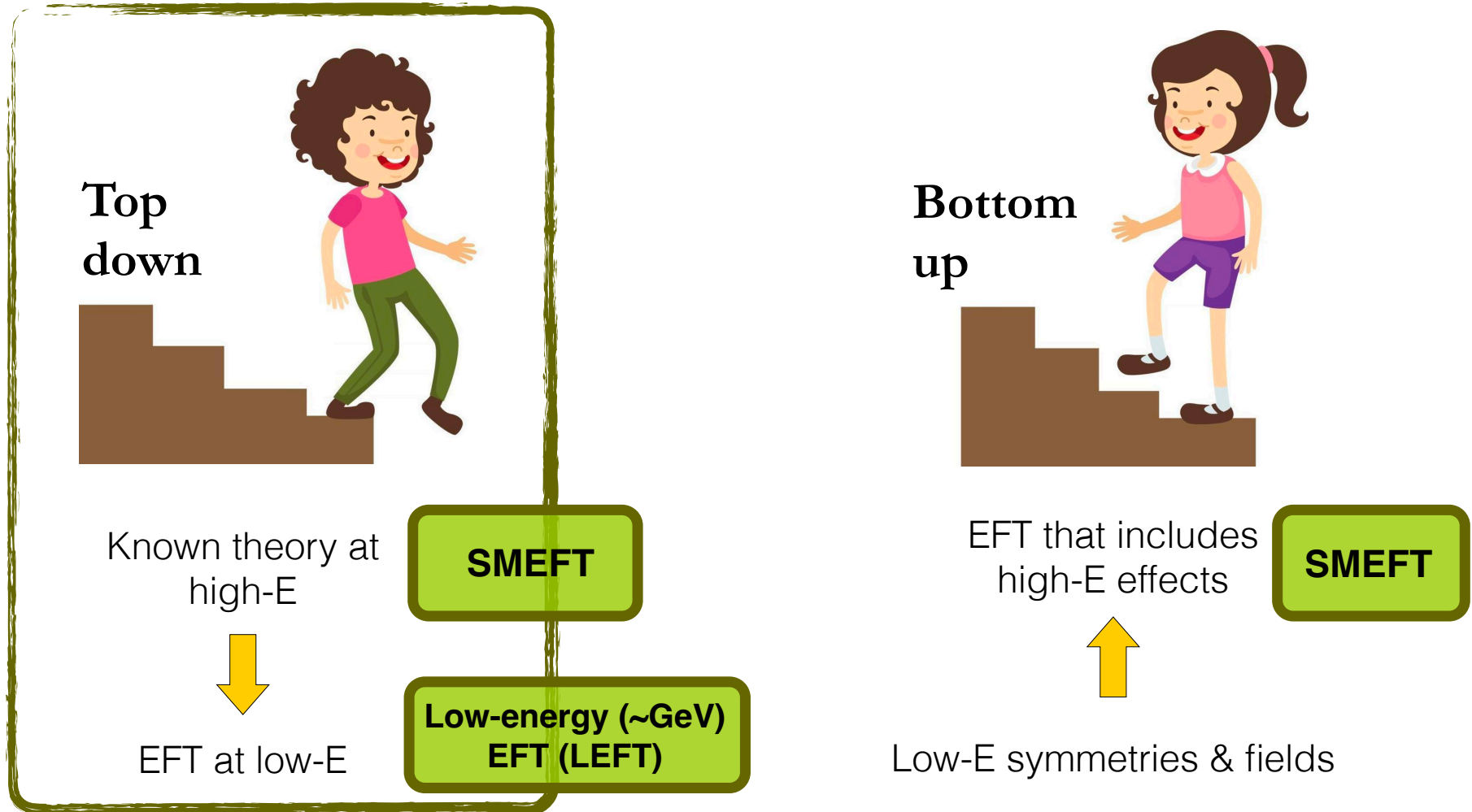
EFT that includes  
high-E effects



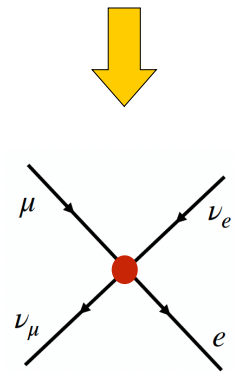
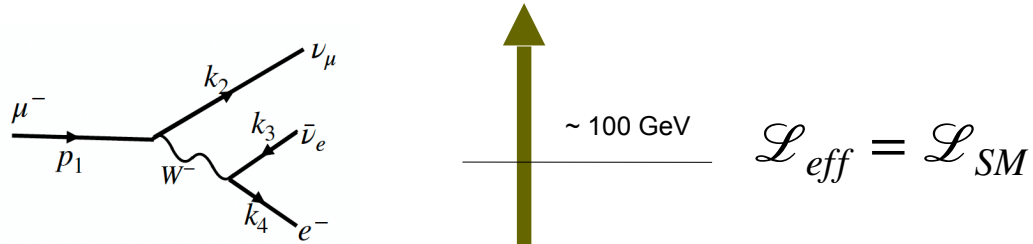
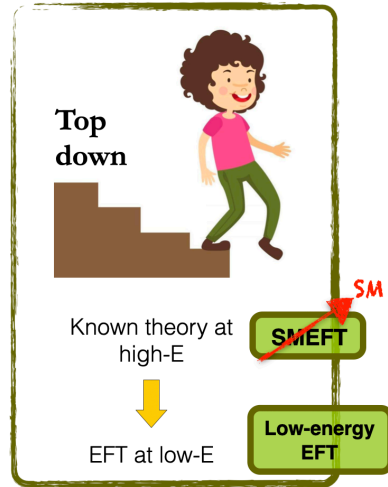
Low-E symmetries & fields

**SMEFT**

# Down the EFT stairs



# Down the EFT stairs

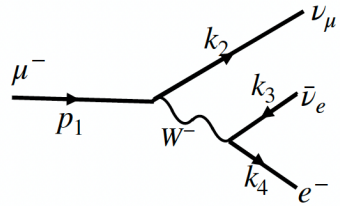
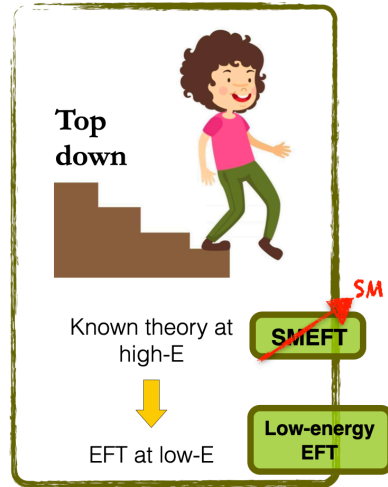


$$G_F = \frac{g^2}{4\sqrt{2} m_W^2}$$

$$\mathcal{L}_{eff} = -\frac{4G_F}{\sqrt{2}} \bar{e} \gamma_\mu (1 - \gamma_5) \nu_e \cdot \bar{\nu}_\mu \gamma^\mu (1 - \gamma_5) \mu$$

+ higher-dim terms

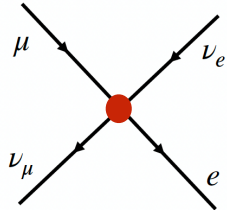
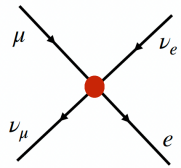
# Down the EFT stairs



~ 100 GeV

$$\mathcal{L}_{eff} = \mathcal{L}_{SM}$$

$$+ \frac{c_5}{\Lambda} \mathcal{O}_5 + \sum_i \frac{c_6^i}{\Lambda^2} \mathcal{O}_6^i + \dots$$



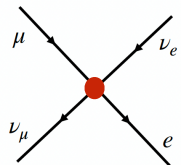
~ GeV

$$\mathcal{L}_{eff} = -\frac{4G_F}{\sqrt{2}} \bar{e} \gamma_\mu (1 - \gamma_5) \nu_e \cdot \bar{\nu}_\mu \gamma^\mu (1 - \gamma_5) \mu + \text{higher-dim terms}$$

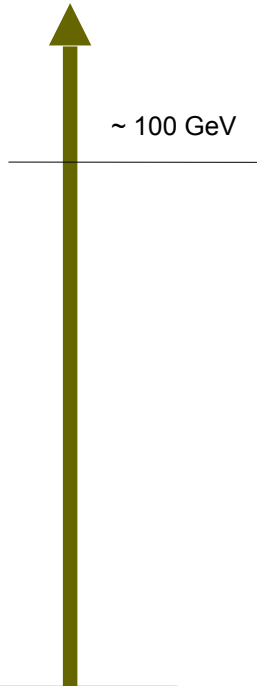
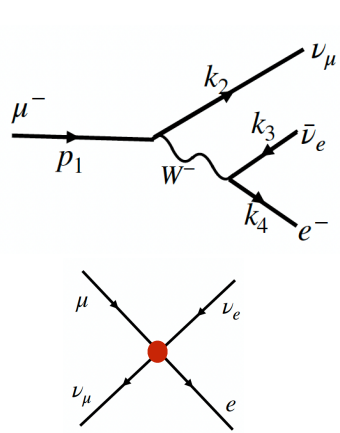
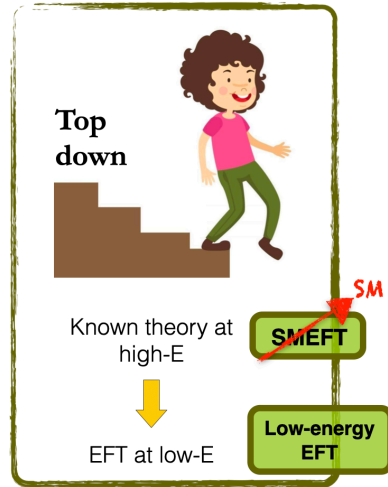
$$G_F = \frac{g^2}{4\sqrt{2} m_W^2} + f \left( \frac{c_6^i}{\Lambda^2} \right)$$

$$+ \frac{4\epsilon}{\sqrt{2}} \bar{e} (1 - \gamma_5) \nu_e \cdot \bar{\nu}_\mu (1 + \gamma_5) \mu$$

$$\epsilon = g \left( \frac{c_6^i}{\Lambda^2} \right)$$

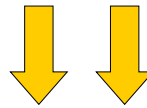


# Down the EFT stairs



$$\mathcal{L}_{eff} = \mathcal{L}_{SM}$$

$$+ \frac{c_5}{\Lambda} \mathcal{O}_5 + \sum_i \frac{c_6^i}{\Lambda^2} \mathcal{O}_6^i + \dots$$



$$G_F = \frac{g^2}{4\sqrt{2} m_W^2} + f \left( \frac{c_6^i}{\Lambda^2} \right)$$

$$\mathcal{L}_{eff} = -\frac{4G_F}{\sqrt{2}} \bar{e} \gamma_\mu (1 - \gamma_5) \nu_e \cdot \bar{\nu}_\mu \gamma^\mu (1 - \gamma_5) \mu + \text{higher-dim terms}$$

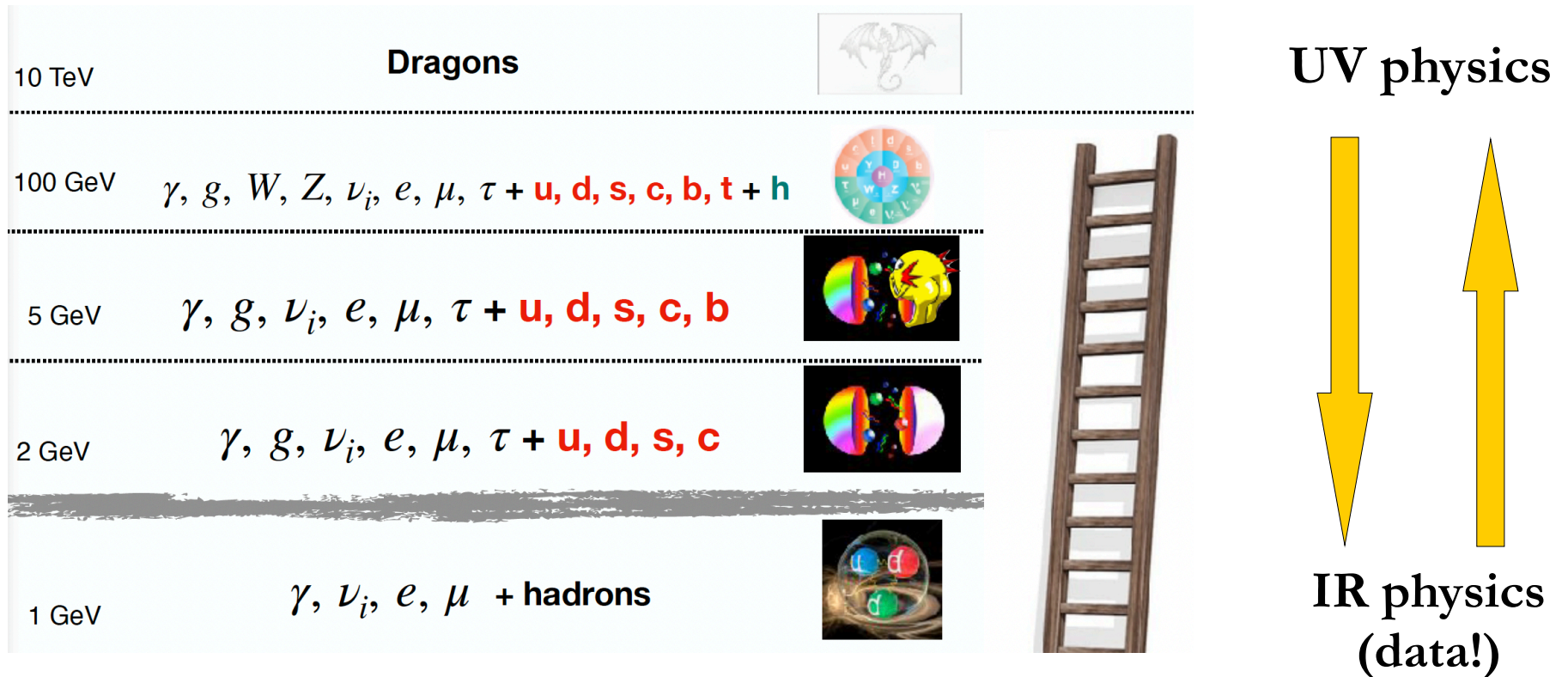
$$+ \frac{4\epsilon}{\sqrt{2}} \bar{e} (1 - \gamma_5) \nu_e \cdot \bar{\nu}_\mu (1 + \gamma_5) \mu$$

$$\epsilon = g \left( \frac{c_6^i}{\Lambda^2} \right)$$

The SMEFT has ~3K coefficients, but it generates only one new term to the muon decay low-energy EFT Lagrangian.

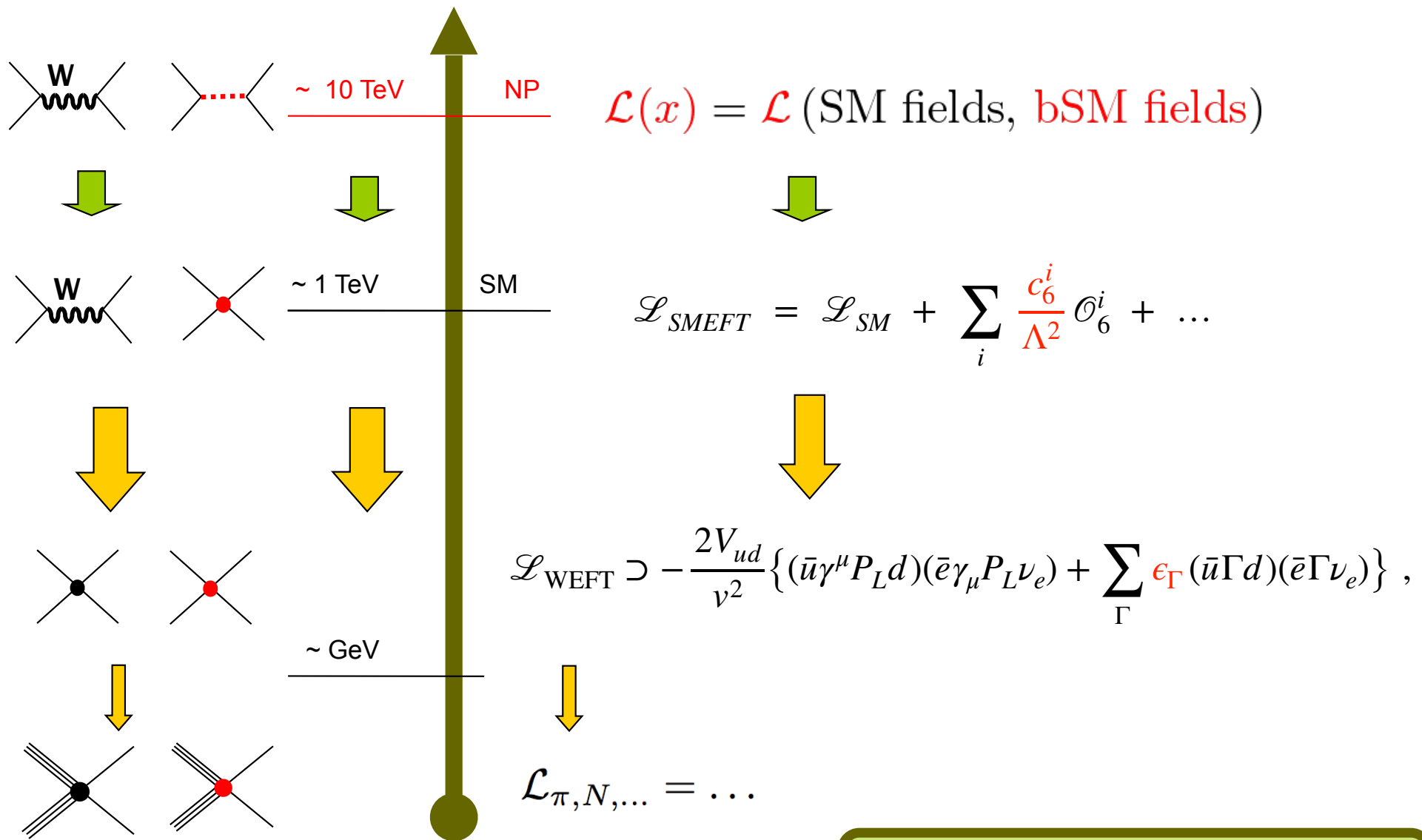
- Moreover this term can be neglected in most cases (contributions  $\sim m_e/m_\mu$ )

# SMEFT $\rightarrow$ Low-energy EFT



- Various names: LEFT, WEFT, WET, ...
  - Variants: LEFT-5, LEFT-4, ...
- In any case, the full LEFT (generated by the SMEFT) has of course many many terms. The matching between LEFT & SMEFT is known at 1-loop [[Jenkins et al., 1709.04486](#); [Dekens & Stoffer, 1908.05295](#)].
- For concreteness, I'll focus on beta decays ( $d \rightarrow ue\bar{\nu}$ ).

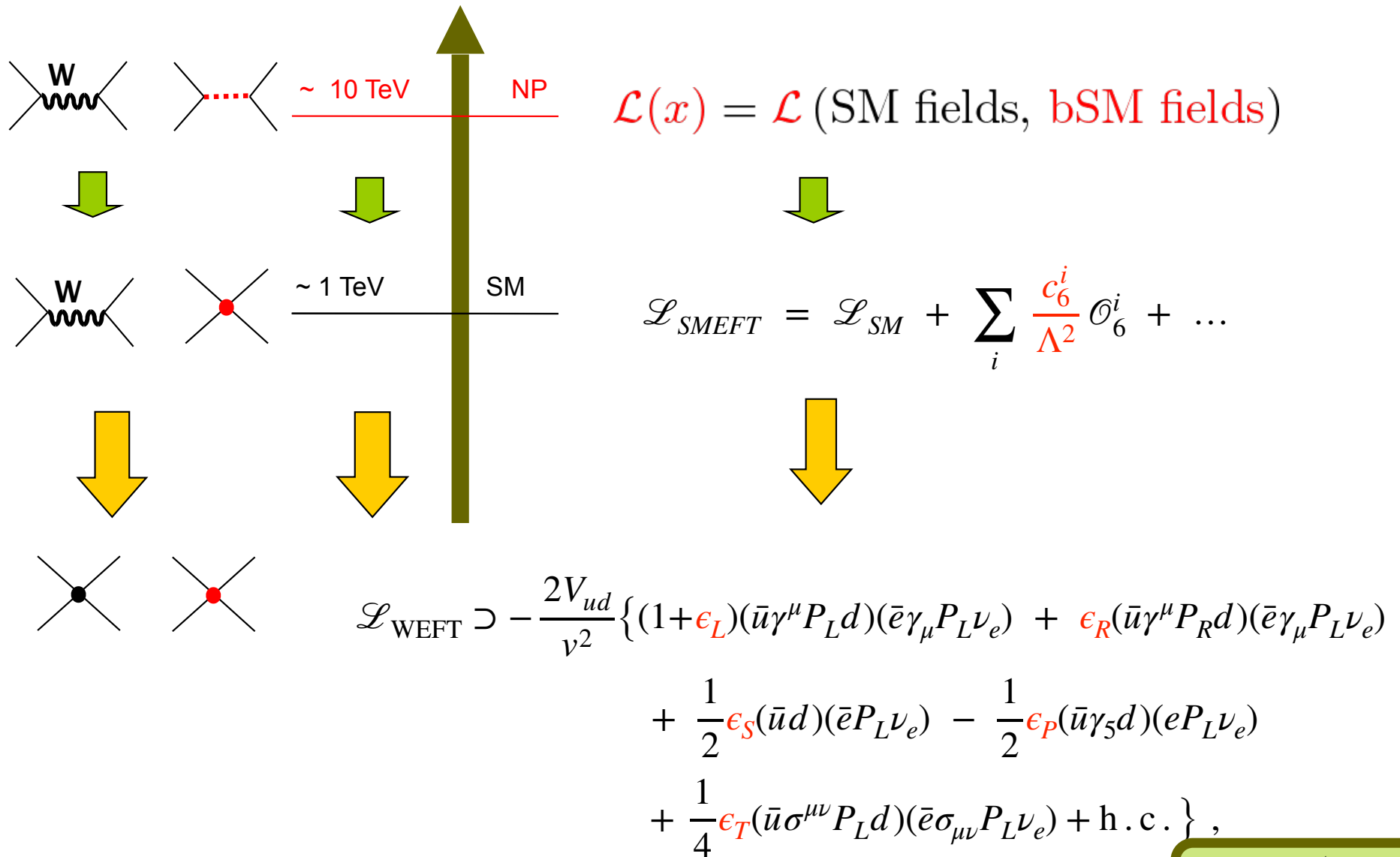
# SMEFT $\rightarrow$ Beta-decay LEFT



$$\frac{\epsilon_\Gamma}{v^2} = f\left(\frac{c_6^i}{\Lambda^2}\right) \rightarrow \epsilon_\Gamma = f\left(c_6^i \frac{v^2}{\Lambda^2}\right)$$



# SMEFT $\rightarrow$ Beta-decay LEFT



$$\epsilon_\Gamma = f \left( c_6^i \frac{v^2}{\Lambda^2} \right)$$

# SMEFT $\rightarrow$ Beta-decay LEFT

$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM} + \sum_i \frac{c_6^i}{\Lambda^2} \mathcal{O}_6^i + \dots$$

$$\begin{aligned} \mathcal{L}_{WEFT} \supset & -\frac{2V_{ud}}{v^2} \left\{ (1 + \epsilon_L) (\bar{u} \gamma^\mu P_L d) (\bar{e} \gamma_\mu P_L \nu_e) + \epsilon_R (\bar{u} \gamma^\mu P_R d) (\bar{e} \gamma_\mu P_L \nu_e) \right. \\ & + \frac{1}{2} \epsilon_S (\bar{u} d) (\bar{e} P_L \nu_e) - \frac{1}{2} \epsilon_P (\bar{u} \gamma_5 d) (e P_L \nu_e) \\ & \left. + \frac{1}{4} \epsilon_T (\bar{u} \sigma^{\mu\nu} P_L d) (\bar{e} \sigma_{\mu\nu} P_L \nu_e) + \text{h.c.} \right\}, \end{aligned}$$

$$\epsilon_L \approx \frac{v^2}{\Lambda^2 V_{ud}} \left( V_{ud} [c_{HI}^{(3)}]_{11} + V_{jd} [c_{Hq}^{(3)}]_{1j} - V_{jd} [c_{lq}^{(3)}]_{111j} \right),$$

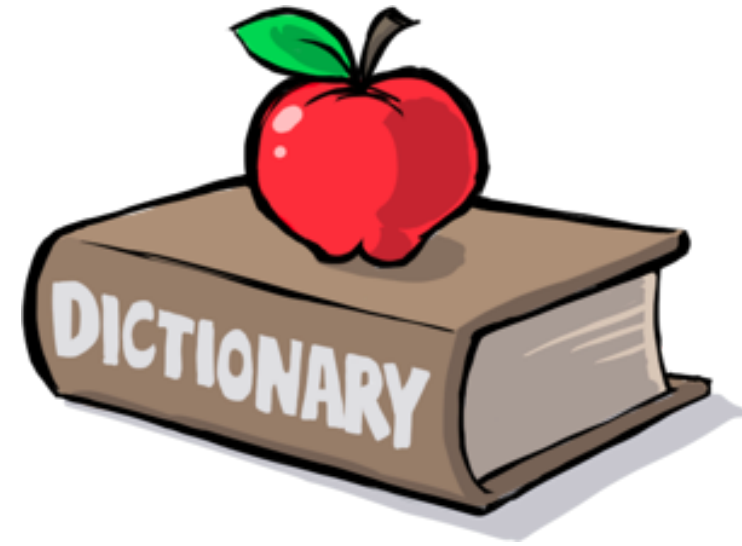
$$\epsilon_R \approx \frac{v^2}{2\Lambda^2 V_{ud}} [c_{Hud}]_{11}$$

$$\epsilon_S \approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left( V_{jd} [c_{lequ}]_{11j1}^* + [c_{ledq}]_{1111}^* \right),$$

$$\epsilon_P \approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left( V_{jd} [c_{lequ}]_{11j1}^* - [c_{ledq}]_{1111}^* \right),$$

$$\epsilon_T \approx -\frac{2v^2}{\Lambda^2 V_{ud}} V_{jd} [c_{lequ}]_{11j1}^*,$$

$$\epsilon_\Gamma = f \left( c_6^i \frac{v^2}{\Lambda^2} \right)$$



# SMEFT $\rightarrow$ Beta-decay LEFT

$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM} + \sum_i \frac{c_6^i}{\Lambda^2} \mathcal{O}_6^i + \dots$$

$$\begin{aligned} \mathcal{L}_{WEFT} \supset & -\frac{2V_{ud}}{v^2} \left\{ (1 + \epsilon_L) (\bar{u} \gamma^\mu P_L d) (\bar{e} \gamma_\mu P_L \nu_e) + \epsilon_R (\bar{u} \gamma^\mu P_R d) (\bar{e} \gamma_\mu P_L \nu_e) \right. \\ & + \frac{1}{2} \epsilon_S (\bar{u} d) (\bar{e} P_L \nu_e) - \frac{1}{2} \epsilon_P (\bar{u} \gamma_5 d) (e P_L \nu_e) \\ & \left. + \frac{1}{4} \epsilon_T (\bar{u} \sigma^{\mu\nu} P_L d) (\bar{e} \sigma_{\mu\nu} P_L \nu_e) + \text{h.c.} \right\}, \end{aligned}$$

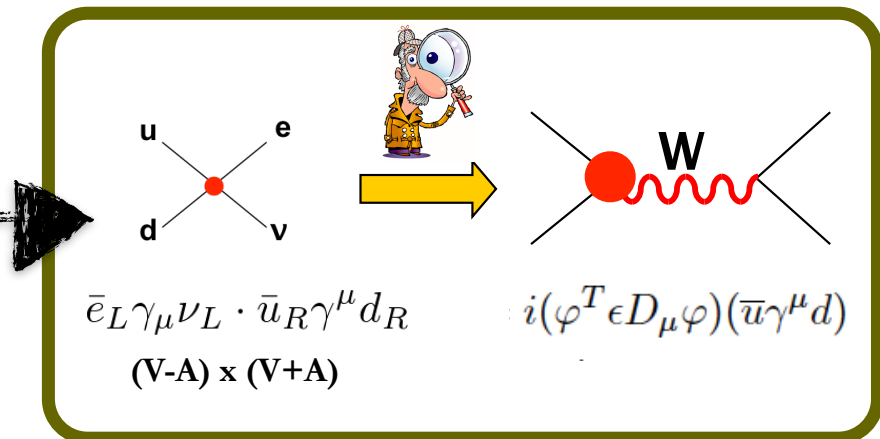
$$\epsilon_L \approx \frac{v^2}{\Lambda^2 V_{ud}} \left( V_{ud} [c_{HI}^{(3)}]_{11} + V_{jd} [c_{Hq}^{(3)}]_{1j} - V_{jd} [c_{lq}^{(3)}]_{111j} \right),$$

$$\epsilon_R \approx \frac{v^2}{2\Lambda^2 V_{ud}} [c_{Hud}]_{11}$$

$$\epsilon_S \approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left( V_{jd} [c_{lequ}]_{11j1}^* + [c_{ledq}]_{1111}^* \right),$$

$$\epsilon_P \approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left( V_{jd} [c_{lequ}]_{11j1}^* - [c_{ledq}]_{1111}^* \right),$$

$$\epsilon_T \approx -\frac{2v^2}{\Lambda^2 V_{ud}} V_{jd} [c_{lequ}]_{11j1}^*,$$



$\rightarrow$  **RH currents are lepton flavor universal!**  
**(SMEFT prediction)**

# SMEFT $\rightarrow$ Beta-decay LEFT

$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM} + \sum_i \frac{c_6^i}{\Lambda^2} \mathcal{O}_6^i + \dots$$

$$\begin{aligned} \mathcal{L}_{WEFT} \supset & -\frac{2V_{ud}}{v^2} \left\{ (1 + \epsilon_L) (\bar{u} \gamma^\mu P_L d) (\bar{e} \gamma_\mu P_L \nu_e) + \epsilon_R (\bar{u} \gamma^\mu P_R d) (\bar{e} \gamma_\mu P_L \nu_e) \right. \\ & + \frac{1}{2} \epsilon_S (\bar{u} d) (\bar{e} P_L \nu_e) - \frac{1}{2} \epsilon_P (\bar{u} \gamma_5 d) (e P_L \nu_e) \\ & \left. + \frac{1}{4} \epsilon_T (\bar{u} \sigma^{\mu\nu} P_L d) (\bar{e} \sigma_{\mu\nu} P_L \nu_e) + \text{h.c.} \right\}, \end{aligned}$$

Reminder:

$$\begin{aligned} \ell &\equiv \begin{pmatrix} \nu_L \\ e_L \end{pmatrix} \\ q &= \begin{pmatrix} u_L \\ d_L \end{pmatrix} \end{aligned}$$

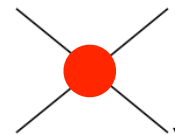
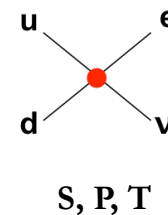
$$\epsilon_L \approx \frac{v^2}{\Lambda^2 V_{ud}} \left( V_{ud} [c_{HI}^{(3)}]_{11} + V_{jd} [c_{Hq}^{(3)}]_{1j} - V_{jd} [c_{lq}^{(3)}]_{111j} \right),$$

$$\epsilon_R \approx \frac{v^2}{2\Lambda^2 V_{ud}} [c_{Hud}]_{11}$$

$$\epsilon_S \approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left( V_{jd} [c_{lequ}]_{11j1}^* + [c_{ledq}]_{1111}^* \right),$$

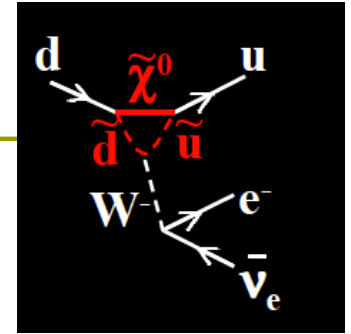
$$\epsilon_P \approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left( V_{jd} [c_{lequ}]_{11j1}^* - [c_{ledq}]_{1111}^* \right),$$

$$\epsilon_T \approx -\frac{2v^2}{\Lambda^2 V_{ud}} V_{jd} [c_{lequ}]_{11j1}^*,$$



$$\begin{aligned} & (\bar{\ell} e) (\bar{d} q) \\ & (\bar{\ell}_a e) \epsilon^{ab} (\bar{q}_b u) \\ & (\bar{\ell}_a \sigma^{\mu\nu} e) \epsilon^{ab} (\bar{q}_b \sigma_{\mu\nu} u) \end{aligned}$$

# SMEFT $\rightarrow$ Beta-decay LEFT

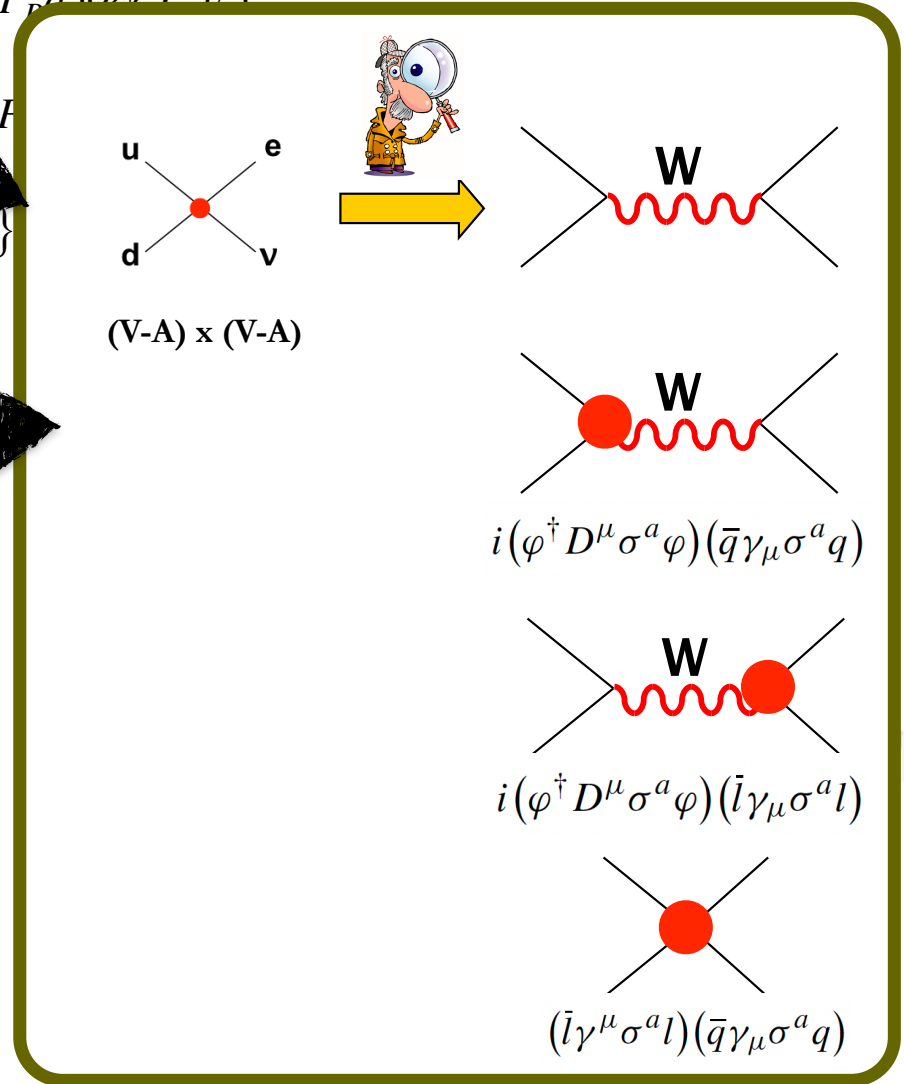


$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM} + \sum_i \frac{c_6^i}{\Lambda^2} \mathcal{O}_6^i + \dots$$

$$\mathcal{L}_{WEFT} \supset -\frac{2V_{ud}}{v^2} \left\{ (1 + \epsilon_L) (\bar{u} \gamma^\mu P_L d) (\bar{e} \gamma_\mu P_L \nu_e) + \epsilon_R (\bar{u} \gamma^\mu P_R d) (\bar{e} \gamma_\mu P_R \nu_e) \right.$$

$$+ \frac{1}{2} \epsilon_S (\bar{u} d) (\bar{e} P_L \nu_e) - \frac{1}{2} \epsilon_P (\bar{u} \gamma_5 d) (e P_L \nu_e)$$

$$\left. + \frac{1}{4} \epsilon_T (\bar{u} \sigma^{\mu\nu} P_L d) (\bar{e} \sigma_{\mu\nu} P_L \nu_e) + \text{h.c.} \right\}$$



$$\epsilon_L \approx \frac{v^2}{\Lambda^2 V_{ud}} \left( V_{ud} [c_{HI}^{(3)}]_{11} + V_{jd} [c_{Hq}^{(3)}]_{1j} - V_{jd} [c_{lq}^{(3)}]_{111j} \right),$$

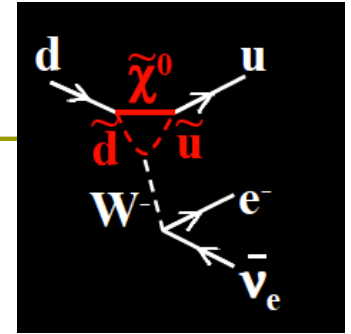
$$\epsilon_R \approx \frac{v^2}{2\Lambda^2 V_{ud}} [c_{Hud}]_{11}$$

$$\epsilon_S \approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left( V_{jd} [c_{lequ}]_{11j1}^* + [c_{ledq}]_{1111}^* \right),$$

$$\epsilon_P \approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left( V_{jd} [c_{lequ}]_{11j1}^* - [c_{ledq}]_{1111}^* \right),$$

$$\epsilon_T \approx -\frac{2v^2}{\Lambda^2 V_{ud}} V_{jd} [c_{lequ}]_{11j1}^*,$$

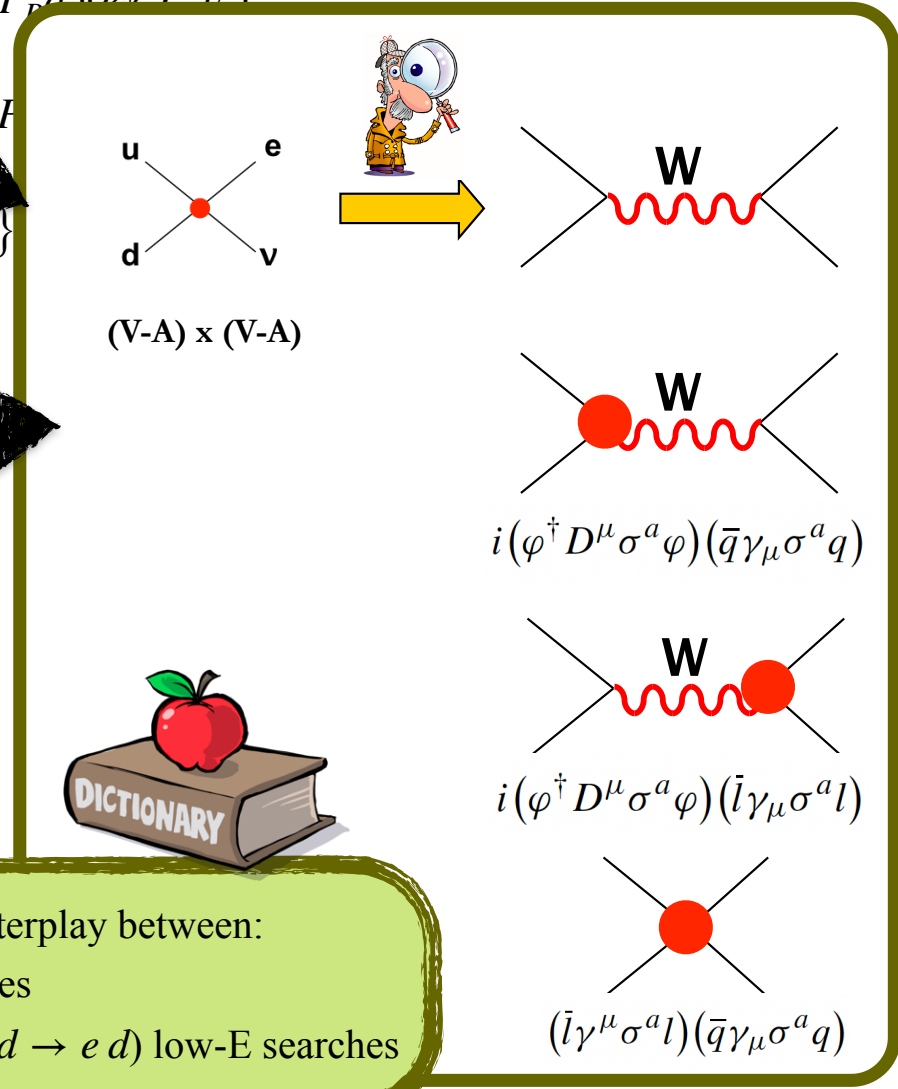
# SMEFT $\rightarrow$ Beta-decay LEFT



$$\mathcal{L}_{SMEFT} = \mathcal{L}_{SM} + \sum_i \frac{c_6^i}{\Lambda^2} \mathcal{O}_6^i + \dots$$

$$\mathcal{L}_{WEFT} \supset -\frac{2V_{ud}}{v^2} \left\{ (1 + \epsilon_L) (\bar{u} \gamma^\mu P_L d) (\bar{e} \gamma_\mu P_L \nu_e) + \epsilon_R (\bar{u} \gamma^\mu P_R d) (\bar{e} \gamma_\mu P_R \nu_e) \right.$$

$$+ \frac{1}{2} \epsilon_S (\bar{u} d) (\bar{e} P_L \nu_e) - \frac{1}{2} \epsilon_P (\bar{u} \gamma_5 d) (e \bar{\nu}_e) + \frac{1}{4} \epsilon_T (\bar{u} \sigma^{\mu\nu} P_L d) (\bar{e} \sigma_{\mu\nu} P_L \nu_e) + \text{h.c.} \left. \right\}$$



$$\epsilon_L \approx \frac{v^2}{\Lambda^2 V_{ud}} \left( V_{ud} [c_{HI}^{(3)}]_{11} + V_{jd} [c_{Hq}^{(3)}]_{1j} - V_{jd} [c_{lq}^{(3)}]_{111j} \right),$$

$$\epsilon_R \approx \frac{v^2}{2\Lambda^2 V_{ud}} [c_{Hud}]_{11}$$

$$\epsilon_S \approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left( V_{jd} [c_{lequ}]_{11j1}^* + [c_{ledq}]_{1111}^* \right),$$

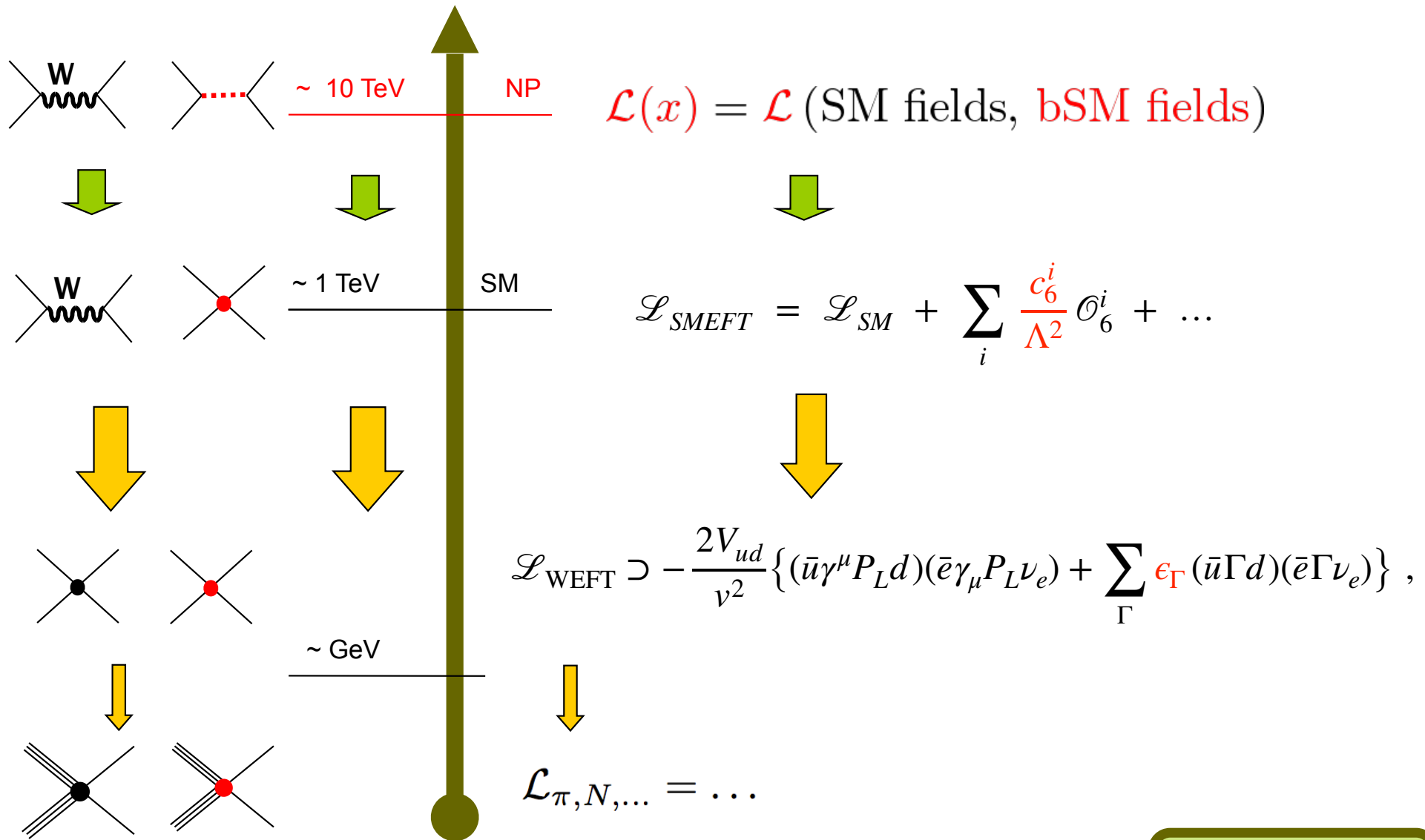
$$\epsilon_P \approx -\frac{v^2}{2\Lambda^2 V_{ud}} \left( V_{jd} [c_{lequ}]_{11j1}^* - [c_{ledq}]_{1111}^* \right),$$

$$\epsilon_T \approx -\frac{2v^2}{\Lambda^2 V_{ud}} V_{jd} [c_{lequ}]_{11j1}^*$$

This dictionary shows the interplay between:

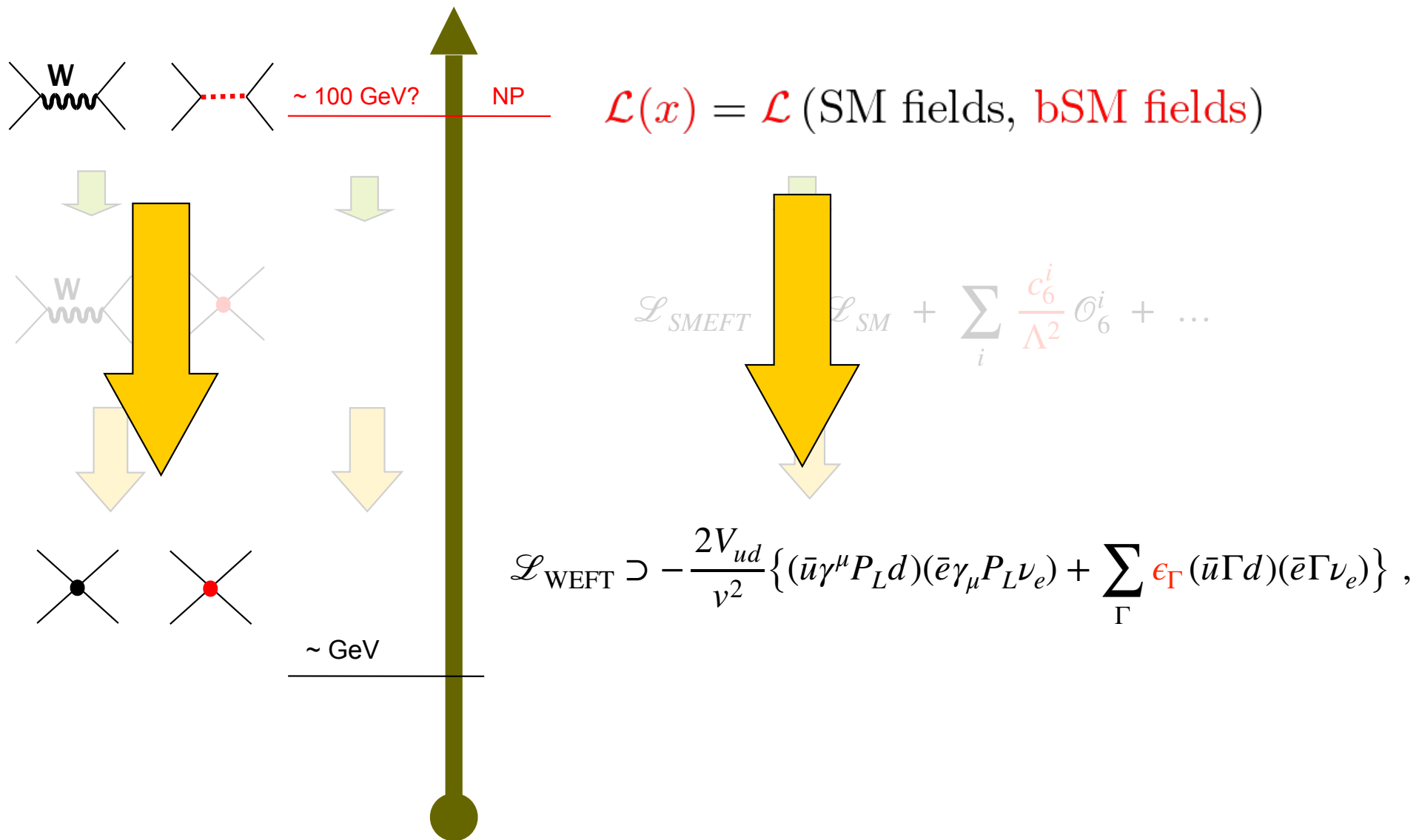
- low-E and high-E searches
- CC ( $d \rightarrow ue\bar{\nu}$ ) & NC ( $ed \rightarrow ed$ ) low-E searches

# LEFT from SMEFT



$$\epsilon_\Gamma = f \left( \frac{c_6^i}{\Lambda^2} \right)$$

# LEFT <sup>without</sup> from SMEFT



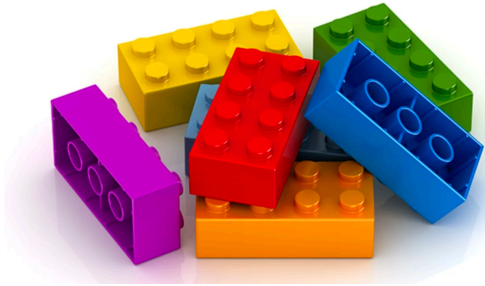


# Building the LEFT



## Building blocks:

$$G_\mu^a, A_\mu, q_L^i, q_R^i, e_L^i, e_R^i, \nu_L^i$$



## Rules

$$SU(3)_c \times U(1)_{em}$$

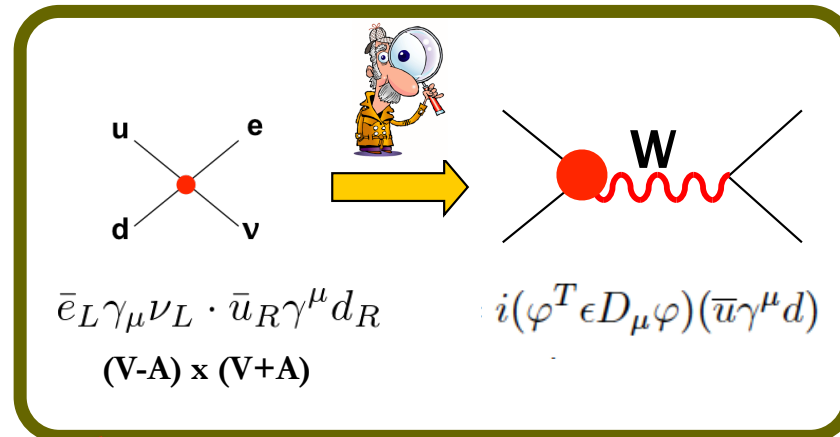


$$\mathcal{L} = \mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_6 + \mathcal{L}_7 + \dots$$

# Beta-decay LEFT (not necessarily from SMEFT)

$$\mathcal{L}_{\text{WEFT}} \supset -\frac{2V_{ud}}{v^2} \left\{ (1+\epsilon_L)(\bar{u}\gamma^\mu P_L d)(\bar{e}\gamma_\mu P_L \nu_e) + \epsilon_R(\bar{u}\gamma^\mu P_R d)(\bar{e}\gamma_\mu P_L \nu_e) \right. \\ \left. + \frac{1}{2}\epsilon_S(\bar{u}d)(\bar{e}P_L \nu_e) - \frac{1}{2}\epsilon_P(\bar{u}\gamma_5 d)(eP_L \nu_e) \right. \\ \left. + \frac{1}{4}\epsilon_T(\bar{u}\sigma^{\mu\nu} P_L d)(\bar{e}\sigma_{\mu\nu} P_L \nu_e) + \text{h.c.} \right\},$$

No new operators (SMEFT generates them all)\*



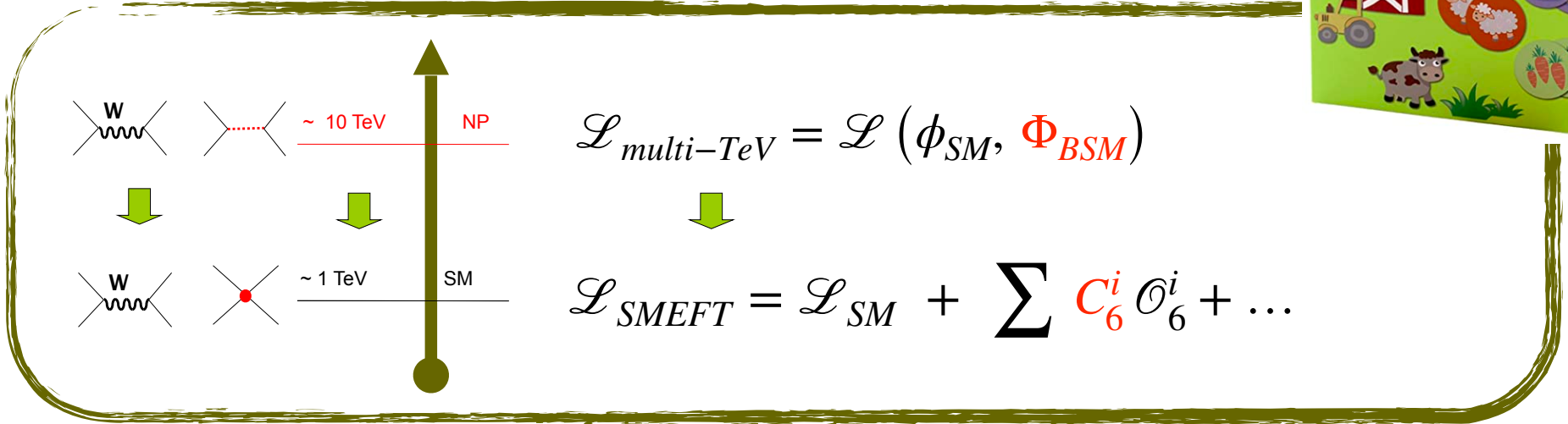
Not necessarily true anymore

~~RH currents are lepton flavor universal (SMEFT prediction)~~

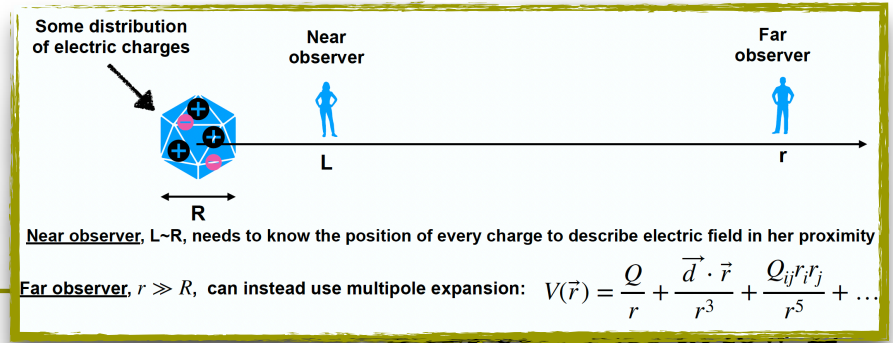
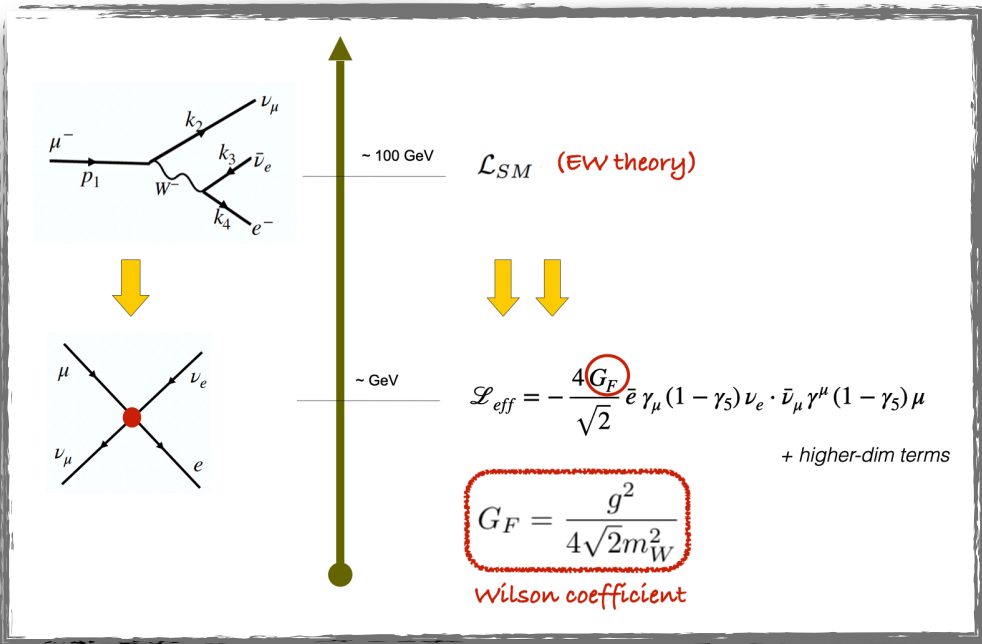
\*Not always the case. E.g., in  $b \rightarrow s e^+ e^-$  some structures are forbidden!

[Alonso, Grinstein & Camalich '2014]

# Matching to NP models



$$C_6^i = f(g_{NP}, M_{NP})$$



# (SM)EFT phenomenology

---



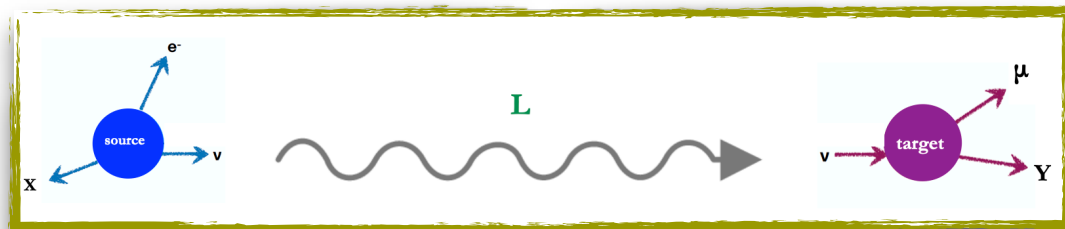
# (SM)EFT phenomenology

- First step: calculate observable  $X$  in the (SM)EFT:

$$\mathcal{L}_{EFT} = \mathcal{L}_{SM} + \sum_i C_6^i O_6^i + \dots \longrightarrow X = X_{SM} + \sum_i \alpha_i C_6^i + \dots$$

- Sometimes it's trivial.  
Sometimes it's not:

- New quark currents? Hadrons? Nuclei?
- "Indirect" BSM effects:  $X = X_{SM}(V_{ud}) + 3C_6^{(13)}$   
One can't just take the value of  $V_{ud}$  from the PDG.  
More generally:  $V_{ud} \rightarrow$  CKM, PMNS, FFs (FLAG), ...
- PDFs, cuts, correlations, FFs, EFT at 1 loop, consistent EFT expansion, ...
- Was the SM assumed to hold in the experimental analysis?
- Other complications...



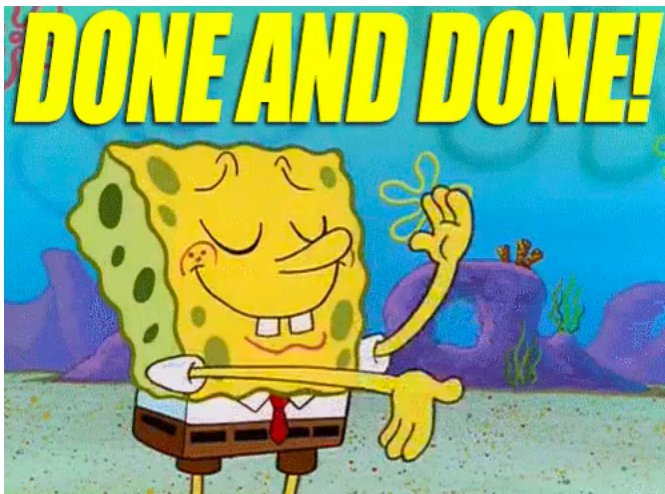
# (SM)EFT phenomenology

---

- First step: calculate observable  $X$  in the (SM)EFT:

$$\mathcal{L}_{EFT} = \mathcal{L}_{SM} + \sum_i C_6^i O_6^i + \dots \longrightarrow X = X_{SM} + \sum_i \alpha_i C_6^i + \dots$$

- Sometimes it's trivial.  
Sometimes it's not.
- But once it's done, it's done.  
(you don't have to do it for each model)

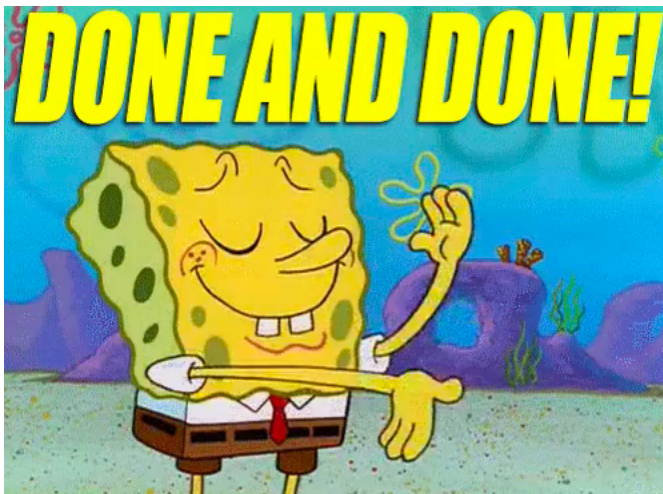


# (SM)EFT phenomenology

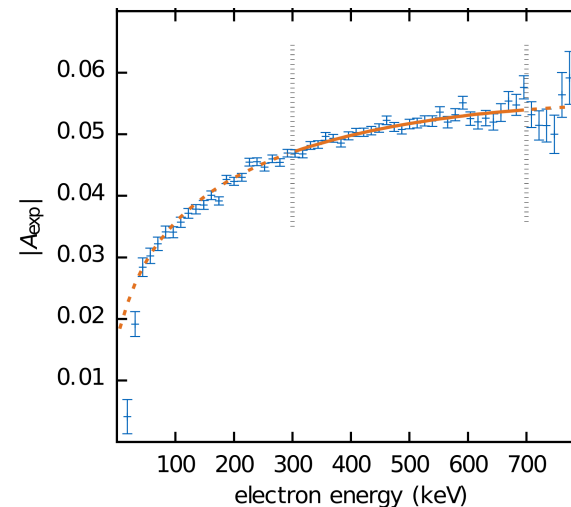
- First step: calculate observable  $X$  in the (SM)EFT:

$$\mathcal{L}_{EFT} = \mathcal{L}_{SM} + \sum_i C_6^i O_6^i + \dots \longrightarrow X = X_{SM} + \sum_i \alpha_i C_6^i + \dots$$

- Sometimes it's trivial.  
Sometimes it's not.
- But once it's done, it's done.  
(you don't have to do it for each model)



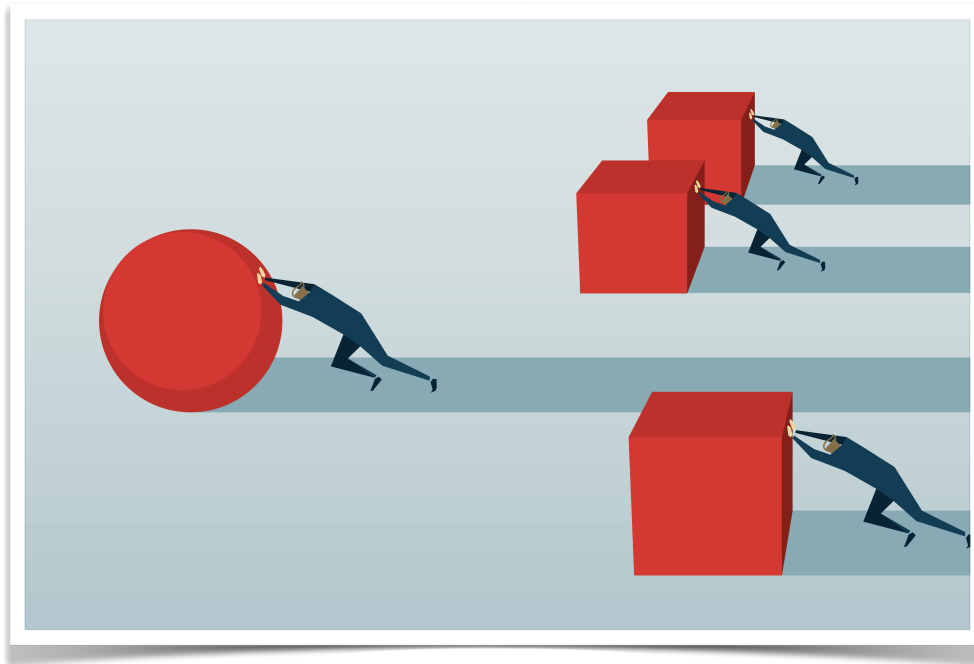
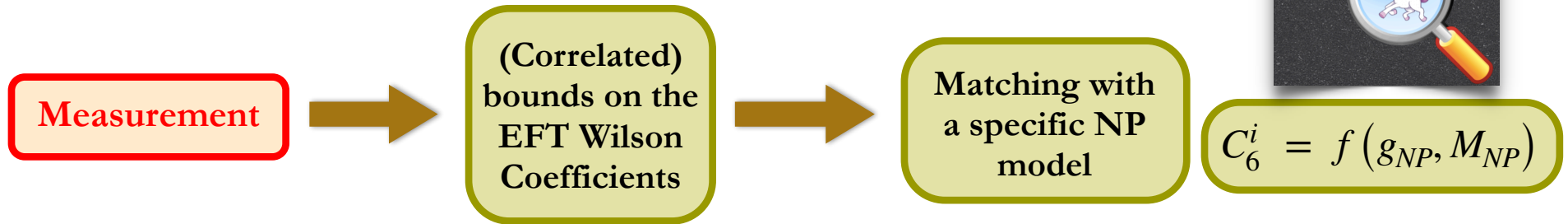
$$X_j = X_{j,SM} + \sum_i \alpha_{ij} C_6^i + \dots$$



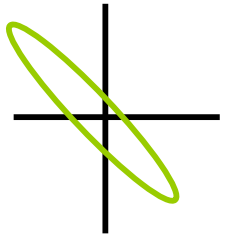
This approach gives us a model-indep. parametrization for the observable  $X$

$$\frac{dX}{dE} = \left( \frac{dX}{dE} \right)_{SM} (1 + 2C_6^{13}) + \frac{m_e}{E_e} C_6^4$$

# (SM)EFT phenomenology

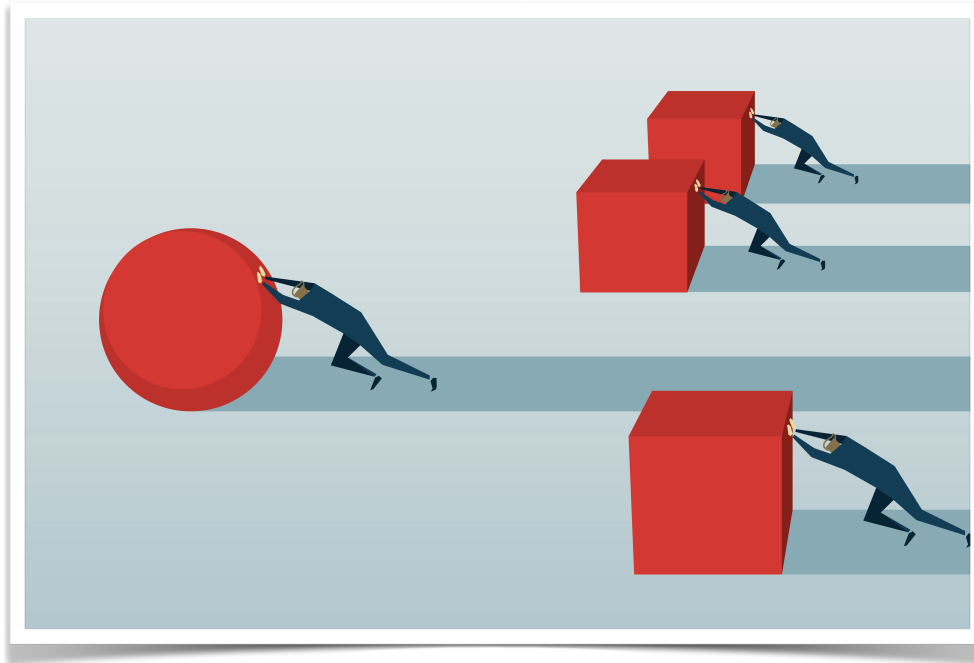
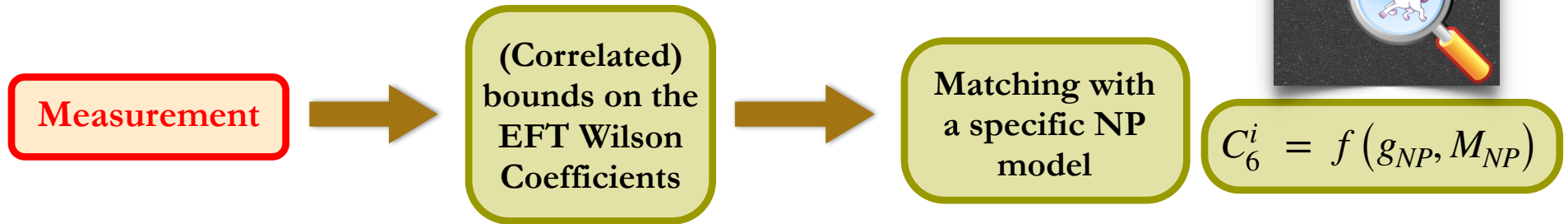


- Useful especially if...
  - Global analysis
  - Final likelihood public (correlation matrix!)
  - Avoid additional assumptions
- Valid also if NP is found!





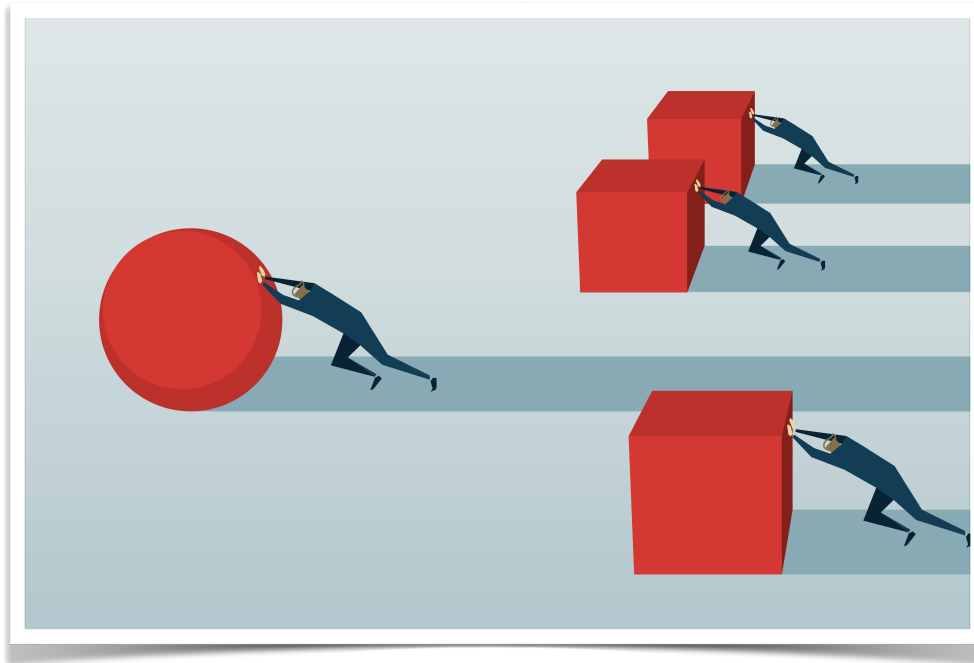
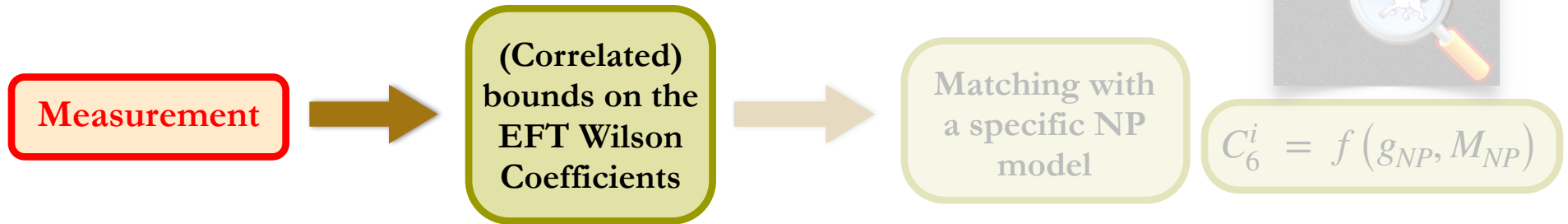
# (SM)EFT phenomenology



The EFT setup allows us to...

- Obtain results that can be applied to any given model later;
- Assess the interplay between processes (related by symmetries) in a general setup;

# (SM)EFT phenomenology

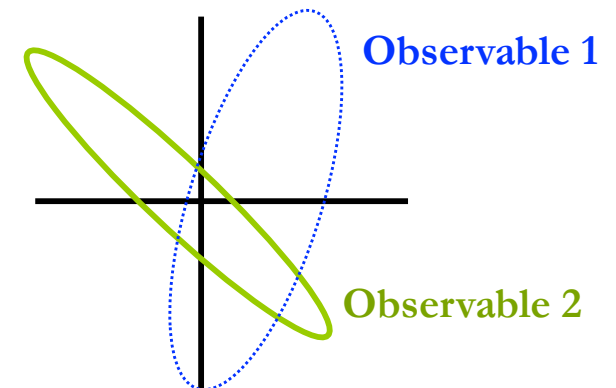


The EFT setup allows us to...

- Obtain results that can be applied to any given model later;
- Assess the interplay between processes (related by symmetries) in a general setup;

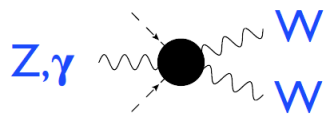
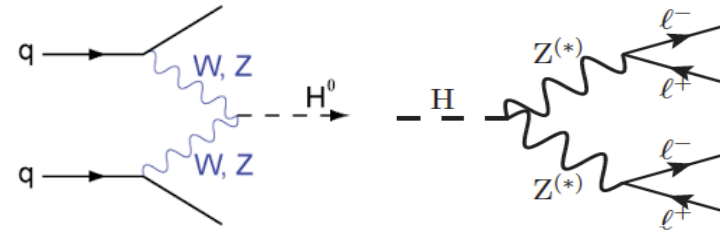
# Comparing different probes

- Choose an operator basis  $\{O_1, O_2, \dots, O_n\}$ , *e.g. the Warsaw basis*  
 $\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \sum C_i O_i$
- Calculate the observable you like in the EFT, *e.g.  $O = O_{\text{SM}} + 3C_1 - C_6$*
- What are the known limits on the Wilson coeff.? *e.g. from LEP...  $C_1 = 0.001(3)$ ,  $C_2 = \dots$ , ...*  
More precisely:  $\chi^2$  with (*LEP*) measurements gives you central values and error matrix.
- Implications for your observable? *e.g. error matrix  $\rightarrow 3C_1 - C_6 = 0.02(4)$* 
  - $\sim 4\%$  sensitivity (th+exp) to be competitive (or to check a LEP anomaly);
  - If your sensitivity is better than that, you are exploring new SMEFT territory and your measurement should be added to the big fit.
  - A deviation larger than that indicates some wrong assumptions in your EFT!
- Often we have a dataset (instead of a single data point  $O$ ).  
The same logic applies, but it's often better to look at the  $(C_1, C_6)$  space  $\rightarrow$  example.

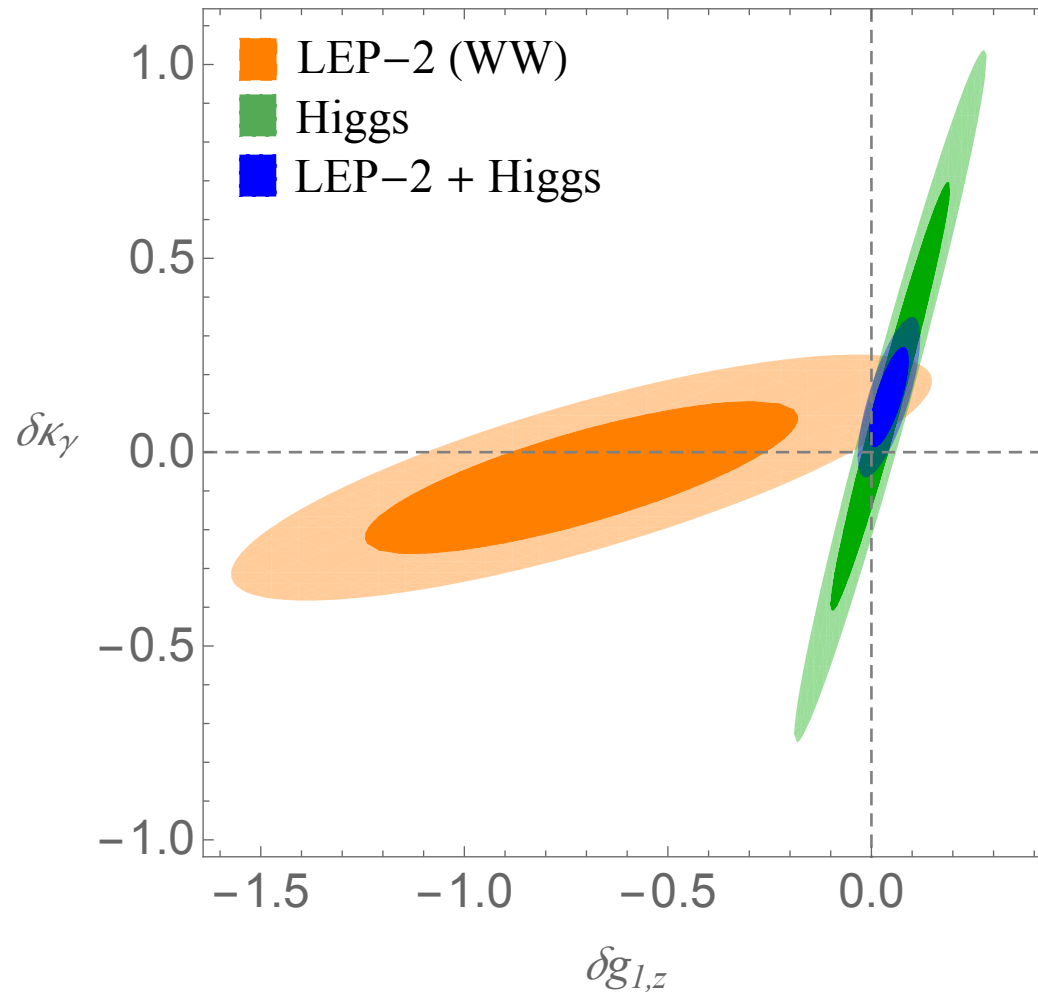
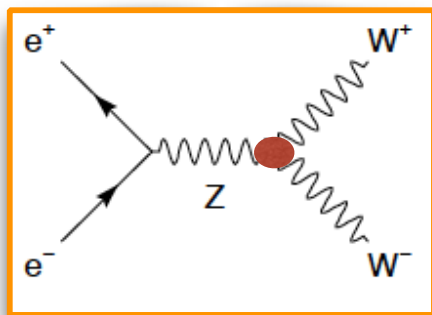


# Comparing different probes

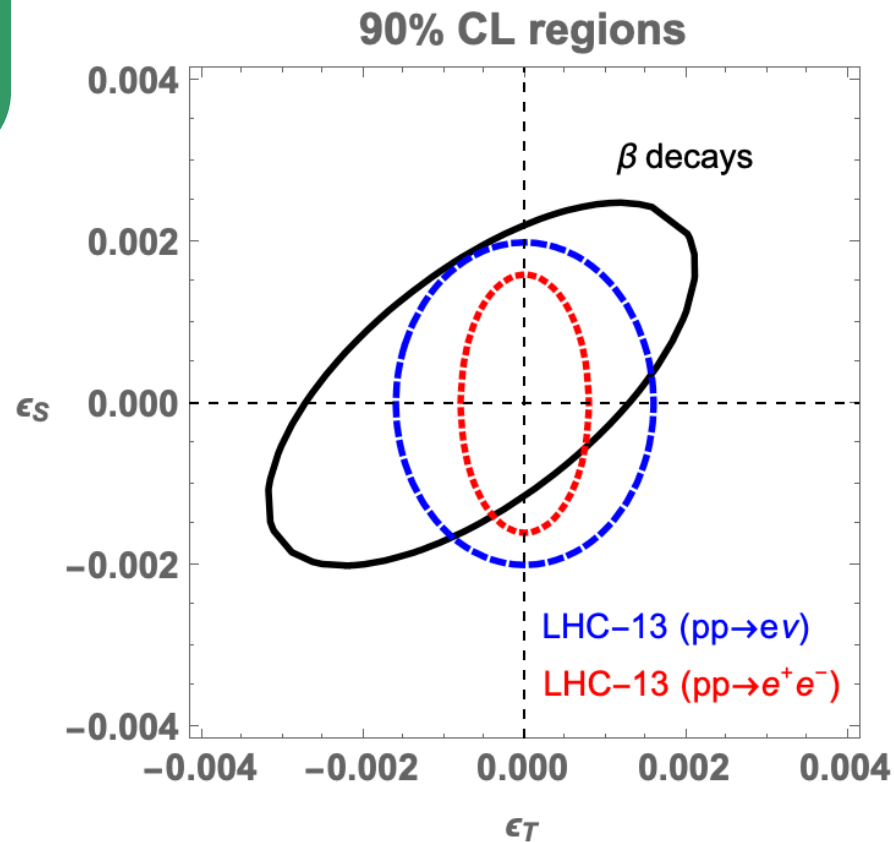
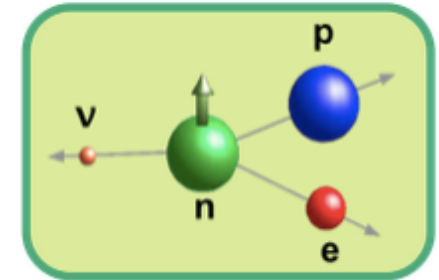
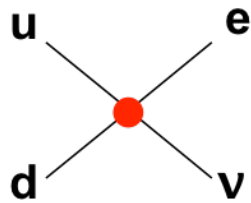
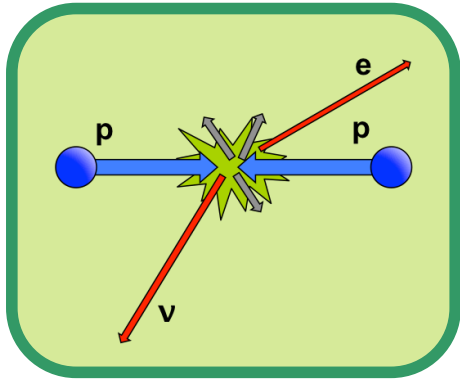
$$(D^\mu H)^\dagger (D^\nu H) B_{\mu\nu}$$



$e^+e^- \rightarrow W^+W^-$  (LEP2)



# Comparing different probes

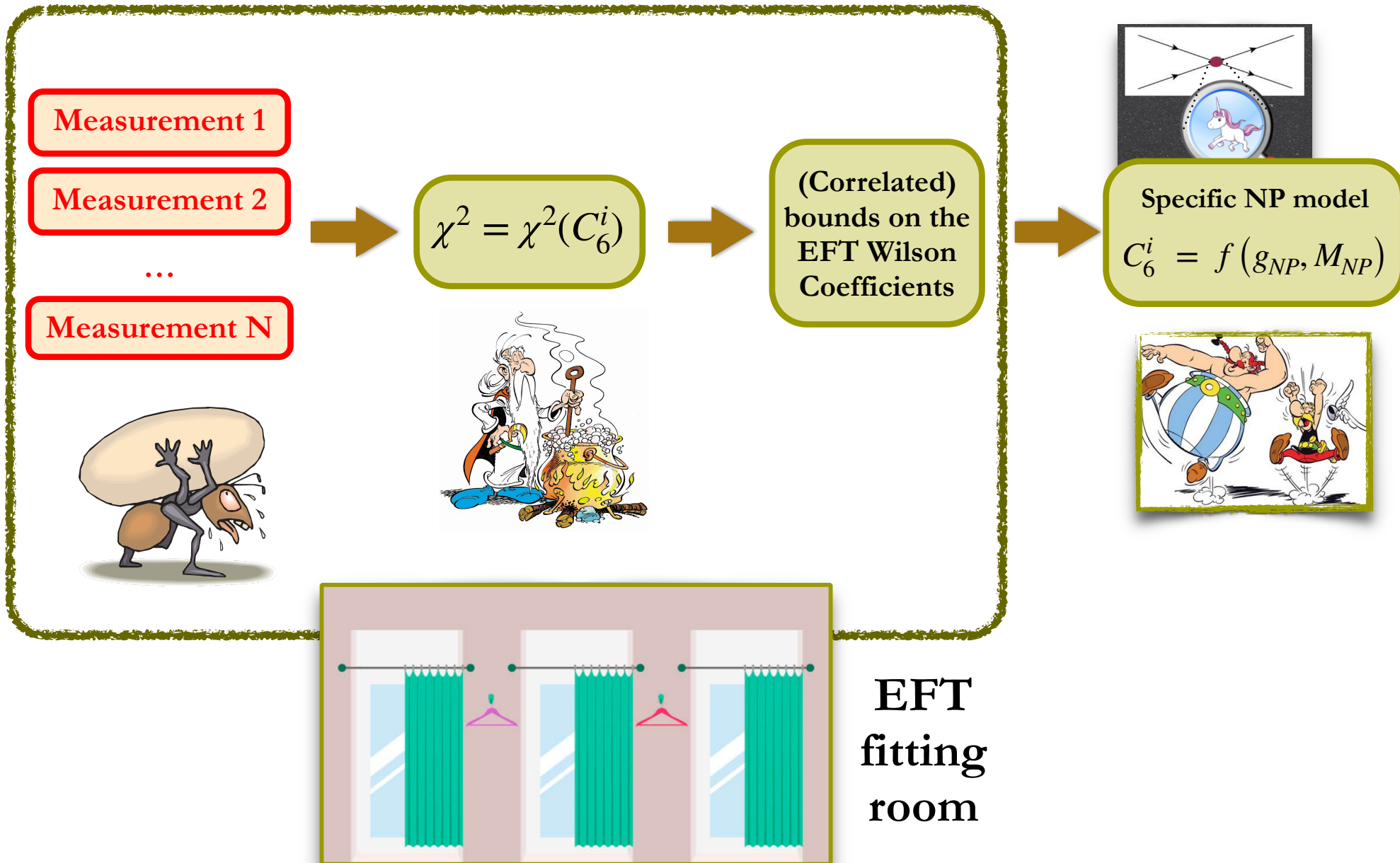


[Falkowski et al, JHEP 04 (2021) 126]

[Gupta et al., PRD98 (2018)]

[Gupta et al., PRD98 (2018)]

# Fitting room: global analyses



# EWPO fit in the flavorful SMEFT

$$\mathbf{O} = \mathbf{O}_{\text{SM}} + \mathbf{O}(c_1, c_2, \dots, c_{80}) \rightarrow \chi^2 = \chi^2(c_i)$$

◆ 264 experimental input

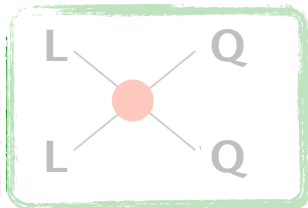
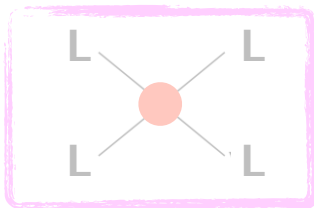
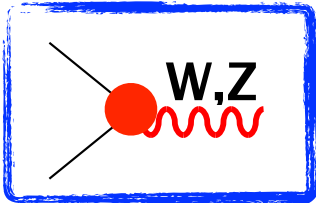
◆ Z- & W-pole data

◆  $[e^+e^- \rightarrow l^+l^-]_{qq}$

◆ Low-energy processes:

- ◆ hadron decays ( $d \rightarrow ul\nu$ )
- ◆ neutrino scattering
- ◆ PV in atoms and in scattering
- ◆ Leptonic tau decays

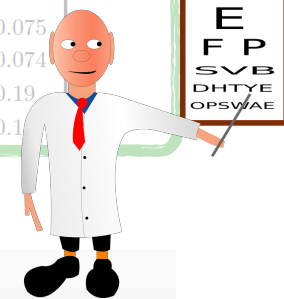
◆ They constrain 61 combinations of Wilson Coefficients [Higgs / Warsaw basis]



$\delta g_L^{We}$	$-1.00 \pm 0.64$
$\delta g_L^{W\mu}$	$-1.36 \pm 0.59$
$\delta g_L^{W\tau}$	$1.95 \pm 0.79$
$\delta g_L^{Ze}$	$-0.023 \pm 0.028$
$\delta g_L^{Z\mu}$	$0.01 \pm 0.12$
$\delta g_L^{Z\tau}$	$0.018 \pm 0.059$
$\delta g_R^{Ze}$	$-0.033 \pm 0.027$
$\delta g_R^{Z\mu}$	$0.00 \pm 0.14$
$\delta g_R^{Z\tau}$	$0.042 \pm 0.062$
$\delta g_L^{Zu}$	$-0.8 \pm 3.1$
$\delta g_L^{Zc}$	$-0.15 \pm 0.36$
$\delta g_L^{Zt}$	$-0.3 \pm 3.8$
$\delta g_R^{Zu}$	$1.4 \pm 5.1$
$\delta g_R^{Zc}$	$-0.35 \pm 0.53$
$\delta g_L^{Zd}$	$-0.9 \pm 4.4$
$\delta g_L^{Zs}$	$0.9 \pm 2.8$
$\delta g_L^{Zb}$	$0.33 \pm 0.17$
$\delta g_R^{Zd}$	$3 \pm 16$
$\delta g_R^{Zs}$	$3.4 \pm 4.9$
$\delta g_R^{Zb}$	$2.30 \pm 0.88$

$[c_{\ell\ell}]_{1111}$	$1.01 \pm 0.38$
$[c_{\ell e}]_{1111}$	$-0.22 \pm 0.22$
$[c_{ee}]_{1111}$	$0.20 \pm 0.38$
$[c_{\ell\ell}]_{1221}$	$-4.8 \pm 1.6$
$[c_{\ell e}]_{1122}$	$1.5 \pm 2.1$
$[c_{\ell e}]_{1122}$	$1.5 \pm 2.2$
$[c_{\ell e}]_{2211}$	$-1.4 \pm 2.2$
$[c_{ee}]_{1122}$	$3.4 \pm 2.6$
$[c_{\ell\ell}]_{1331}$	$1.5 \pm 1.3$
$[c_{\ell\ell}]_{1133}$	$0 \pm 11$
$[c_{\ell e}]_{1133}$	$-2.3 \pm 7.2$
$[c_{\ell e}]_{3311}$	$1.7 \pm 7.2$
$[c_{ee}]_{1133}$	$-1 \pm 12$
$[\hat{c}_{\ell\ell}]_{2222}$	$-2 \pm 21$
$[c_{\ell\ell}]_{2222}$	$2.0 \pm 2.2$

$[c_{\ell q}^{(3)}]_{1111}$	$-2.2 \pm 3.2$
$[\hat{c}_{e q}]_{1111}$	$100 \pm 180$
$[\hat{c}_{\ell u}]_{1111}$	$-5 \pm 11$
$[\hat{c}_{\ell d}]_{1111}$	$-5 \pm 23$
$[\hat{c}_{e u}]_{1111}$	$-1 \pm 12$
$[\hat{c}_{e d}]_{1111}$	$-4 \pm 21$
$[c_{\ell q}^{(3)}]_{1122}$	$-61 \pm 32$
$[c_{\ell u}]_{1122}$	$2.4 \pm 8.0$
$[\hat{c}_{\ell d}]_{1122}$	$-310 \pm 130$
$[c_{e q}]_{1122}$	$-21 \pm 28$
$[c_{e u}]_{1122}$	$-87 \pm 46$
$[\hat{c}_{e d}]_{1122}$	$270 \pm 140$
$[c_{\ell q}^{(3)}]_{1133}$	$-8.6 \pm 8.0$
$[c_{\ell d}]_{1133}$	$-1.4 \pm 10$
$[c_{e q}]_{1133}$	$-3.2 \pm 5.1$
$[c_{e d}]_{1133}$	$18 \pm 20$
$[c_{\ell q}^{(3)}]_{2211}$	$-1.2 \pm 3.9$
$[c_{\ell q}]_{2211}$	$1.3 \pm 7.6$
$[c_{\ell u}]_{2211}$	$15 \pm 12$
$[c_{\ell d}]_{2211}$	$25 \pm 34$
$[\hat{c}_{e q}]_{2211}$	$4 \pm 41$
$[c_{\ell e q u}]_{1111}$	$-0.080 \pm 0.075$
$[c_{\ell e d q}]_{1111}$	$-0.079 \pm 0.074$
$[c_{\ell e q u}^{(3)}]_{1111}$	$-0.02 \pm 0.19$
$\epsilon_P^{\Delta\mu}(2 \text{ GeV})$	$-0.02 \pm 0.1$



# EWPO fit in the flavorful SMEFT

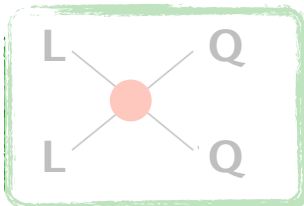
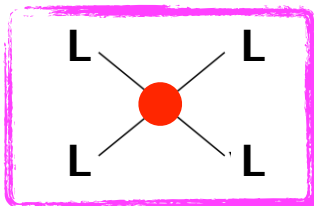
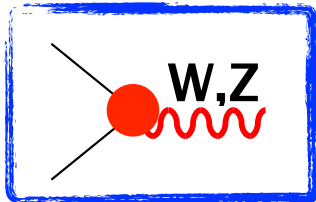
$$\mathbf{O} = \mathbf{O}_{\text{SM}} + \mathbf{O}(c_1, c_2, \dots, c_{80}) \rightarrow \chi^2 = \chi^2(c_i)$$

- 264 experimental input
  - Z- & W-pole data
  - $[e^+e^- \rightarrow l^+l^-]_{qq}$
  - Low-energy processes:

- hadron decays ( $d \rightarrow ul\nu$ )
- neutrino scattering
- PV in atoms and in scattering

Leptonic tau decays

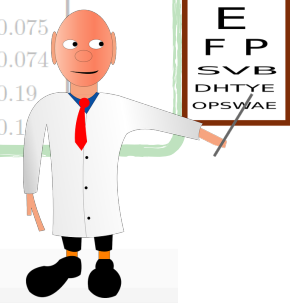
- They constrain 61 combinations of Wilson Coefficients [Higgs / Warsaw basis]



$\delta g_L^{We}$	$-1.00 \pm 0.64$
$\delta g_L^{W\mu}$	$-1.36 \pm 0.59$
$\delta g_L^{W\tau}$	$1.95 \pm 0.79$
$\delta g_L^{Ze}$	$-0.023 \pm 0.028$
$\delta g_L^{Z\mu}$	$0.01 \pm 0.12$
$\delta g_L^{Z\tau}$	$0.018 \pm 0.059$
$\delta g_R^{Ze}$	$-0.033 \pm 0.027$
$\delta g_R^{Z\mu}$	$0.00 \pm 0.14$
$\delta g_R^{Z\tau}$	$0.042 \pm 0.062$
$\delta g_L^{Zu}$	$-0.8 \pm 3.1$
$\delta g_L^{Zc}$	$-0.15 \pm 0.36$
$\delta g_L^{Zt}$	$-0.3 \pm 3.8$
$\delta g_R^{Zu}$	$1.4 \pm 5.1$
$\delta g_R^{Zc}$	$-0.35 \pm 0.53$
$\delta g_L^{Zd}$	$-0.9 \pm 4.4$
$\delta g_L^{Zs}$	$0.9 \pm 2.8$
$\delta g_L^{Zb}$	$0.33 \pm 0.17$
$\delta g_R^{Zd}$	$3 \pm 16$
$\delta g_R^{Zs}$	$3.4 \pm 4.9$
$\delta g_R^{Zb}$	$2.30 \pm 0.88$

$[c_{\ell\ell}]_{1111}$	$1.01 \pm 0.38$
$[c_{\ell e}]_{1111}$	$-0.22 \pm 0.22$
$[c_{ee}]_{1111}$	$0.20 \pm 0.38$
$[c_{\ell\ell}]_{1221}$	$-4.8 \pm 1.6$
$[c_{\ell e}]_{1122}$	$1.5 \pm 2.1$
$[c_{ee}]_{1122}$	$1.5 \pm 2.2$
$[c_{\ell e}]_{2211}$	$-1.4 \pm 2.2$
$[c_{ee}]_{1122}$	$3.4 \pm 2.6$
$[c_{\ell\ell}]_{1331}$	$1.5 \pm 1.3$
$[c_{\ell\ell}]_{1133}$	$0 \pm 11$
$[c_{\ell e}]_{1133}$	$-2.3 \pm 7.2$
$[c_{\ell e}]_{3311}$	$1.7 \pm 7.2$
$[c_{ee}]_{1133}$	$-1 \pm 12$
$[\hat{c}_{\ell\ell}]_{2222}$	$-2 \pm 21$
$[c_{\ell\ell}]_{3333}$	$3.0 \pm 2.2$

$[c_{\ell q}^{(3)}]_{1111}$	$-2.2 \pm 3.2$
$[\hat{c}_{eq}]_{1111}$	$100 \pm 180$
$[\hat{c}_{\ell u}]_{1111}$	$-5 \pm 11$
$[\hat{c}_{\ell d}]_{1111}$	$-5 \pm 23$
$[\hat{c}_{eu}]_{1111}$	$-1 \pm 12$
$[\hat{c}_{ed}]_{1111}$	$-4 \pm 21$
$[c_{\ell q}^{(3)}]_{1122}$	$-61 \pm 32$
$[c_{\ell u}]_{1122}$	$2.4 \pm 8.0$
$[\hat{c}_{\ell d}]_{1122}$	$-310 \pm 130$
$[c_{eq}]_{1122}$	$-21 \pm 28$
$[c_{eu}]_{1122}$	$-87 \pm 46$
$[\hat{c}_{ed}]_{1122}$	$270 \pm 140$
$[c_{\ell q}^{(3)}]_{1133}$	$-8.6 \pm 8.0$
$[c_{\ell d}]_{1133}$	$-1.4 \pm 10$
$[c_{eq}]_{1133}$	$-3.2 \pm 5.1$
$[c_{ed}]_{1133}$	$18 \pm 20$
$[c_{\ell q}^{(3)}]_{2211}$	$-1.2 \pm 3.9$
$[c_{\ell q}]_{2211}$	$1.3 \pm 7.6$
$[c_{\ell u}]_{2211}$	$15 \pm 12$
$[c_{\ell d}]_{2211}$	$25 \pm 34$
$[\hat{c}_{eq}]_{2211}$	$4 \pm 41$
$[c_{\ell equ}]_{1111}$	$-0.080 \pm 0.075$
$[c_{\ell edq}]_{1111}$	$-0.079 \pm 0.074$
$[c_{\ell equ}^{(3)}]_{1111}$	$-0.02 \pm 0.19$
$\epsilon_P^{\mu} (2 \text{ GeV})$	$-0.02 \pm 0.1$





# EWPO fit in the flavorful SMEFT

[Efrati et al., 2015]

[Falkowski & Mimouni, 2015]

[Falkowski, MGA & Mimouni, 2017]

$$\mathbf{O} = \mathbf{O}_{\text{SM}} + \mathbf{O}(c_1, c_2, \dots, c_{80}) \rightarrow \chi^2 = \chi^2(c_i)$$

◆ 264 experimental input

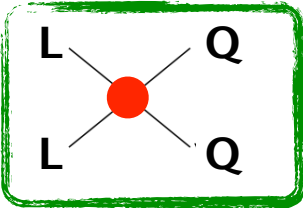
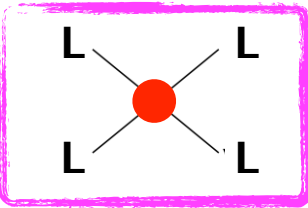
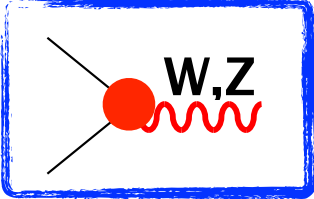
◆ Z- & W-pole data

◆  $[e^+e^- \rightarrow l^+l^-]_{qq}$

◆ Low-energy processes:

- ◆ hadron decays ( $d \rightarrow ul\nu$ )
- ◆ neutrino scattering
- ◆ PV in atoms and in scattering
- ◆ Leptonic tau decays

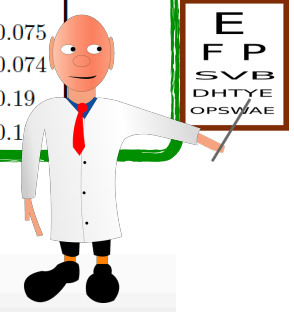
◆ They constrain 61 combinations of Wilson Coefficients [Higgs / Warsaw basis]



$\delta g_L^{We}$	$-1.00 \pm 0.64$
$\delta g_L^{W\mu}$	$-1.36 \pm 0.59$
$\delta g_L^{W\tau}$	$1.95 \pm 0.79$
$\delta g_L^{Ze}$	$-0.023 \pm 0.028$
$\delta g_L^{Z\mu}$	$0.01 \pm 0.12$
$\delta g_L^{Z\tau}$	$0.018 \pm 0.059$
$\delta g_R^{Ze}$	$-0.033 \pm 0.027$
$\delta g_R^{Z\mu}$	$0.00 \pm 0.14$
$\delta g_R^{Z\tau}$	$0.042 \pm 0.062$
$\delta g_L^{Zu}$	$-0.8 \pm 3.1$
$\delta g_L^{Zc}$	$-0.15 \pm 0.36$
$\delta g_L^{Zt}$	$-0.3 \pm 3.8$
$\delta g_R^{Zu}$	$1.4 \pm 5.1$
$\delta g_R^{Zc}$	$-0.35 \pm 0.53$
$\delta g_L^{Zd}$	$-0.9 \pm 4.4$
$\delta g_L^{Zs}$	$0.9 \pm 2.8$
$\delta g_L^{Zb}$	$0.33 \pm 0.17$
$\delta g_R^{Zd}$	$3 \pm 16$
$\delta g_R^{Zs}$	$3.4 \pm 4.9$
$\delta g_R^{Zb}$	$2.30 \pm 0.88$

$[c_{\ell\ell}]_{1111}$	$1.01 \pm 0.38$
$[c_{\ell e}]_{1111}$	$-0.22 \pm 0.22$
$[c_{ee}]_{1111}$	$0.20 \pm 0.38$
$[c_{\ell\ell}]_{1221}$	$-4.8 \pm 1.6$
$[c_{\ell\ell}]_{1122}$	$1.5 \pm 2.1$
$[c_{\ell e}]_{1122}$	$1.5 \pm 2.2$
$[c_{\ell e}]_{2211}$	$-1.4 \pm 2.2$
$[c_{ee}]_{1122}$	$3.4 \pm 2.6$
$[c_{\ell\ell}]_{1331}$	$1.5 \pm 1.3$
$[c_{\ell\ell}]_{1133}$	$0 \pm 11$
$[c_{\ell e}]_{1133}$	$-2.3 \pm 7.2$
$[c_{\ell e}]_{3311}$	$1.7 \pm 7.2$
$[c_{ee}]_{1133}$	$-1 \pm 12$
$[\hat{c}_{\ell\ell}]_{2222}$	$-2 \pm 21$
$[c_{\ell\ell}]_{3333}$	$3.0 \pm 2.2$

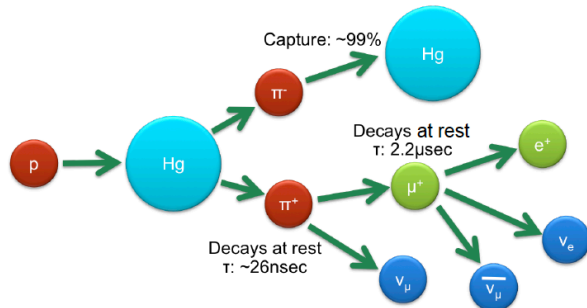
$[c_{\ell q}^{(3)}]_{1111}$	$-2.2 \pm 3.2$
$[\hat{c}_{e q}]_{1111}$	$100 \pm 180$
$[\hat{c}_{\ell u}]_{1111}$	$-5 \pm 11$
$[\hat{c}_{\ell d}]_{1111}$	$-5 \pm 23$
$[\hat{c}_{e u}]_{1111}$	$-1 \pm 12$
$[\hat{c}_{e d}]_{1111}$	$-4 \pm 21$
$[c_{\ell q}^{(3)}]_{1122}$	$-61 \pm 32$
$[c_{\ell u}]_{1122}$	$2.4 \pm 8.0$
$[\hat{c}_{\ell d}]_{1122}$	$-310 \pm 130$
$[c_{e q}]_{1122}$	$-21 \pm 28$
$[c_{e u}]_{1122}$	$-87 \pm 46$
$[\hat{c}_{e d}]_{1122}$	$270 \pm 140$
$[c_{\ell q}^{(3)}]_{1133}$	$-8.6 \pm 8.0$
$[c_{\ell d}]_{1133}$	$-1.4 \pm 10$
$[c_{e q}]_{1133}$	$-3.2 \pm 5.1$
$[c_{e d}]_{1133}$	$18 \pm 20$
$[c_{\ell q}^{(3)}]_{2211}$	$-1.2 \pm 3.9$
$[c_{\ell q}]_{2211}$	$1.3 \pm 7.6$
$[c_{\ell u}]_{2211}$	$15 \pm 12$
$[c_{\ell d}]_{2211}$	$25 \pm 34$
$[\hat{c}_{e q}]_{2211}$	$4 \pm 41$
$[c_{\ell e q u}]_{1111}$	$-0.080 \pm 0.075$
$[c_{\ell e d q}]_{1111}$	$-0.079 \pm 0.074$
$[c_{\ell e q u}^{(3)}]_{1111}$	$-0.02 \pm 0.19$
$\epsilon_P^{\mu} (2 \text{ GeV})$	$-0.02 \pm 0.1$



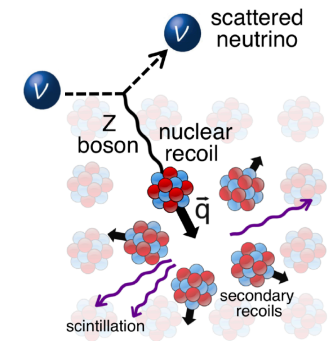
# A new low-energy observable: the COHERENT experiment



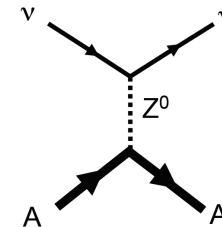
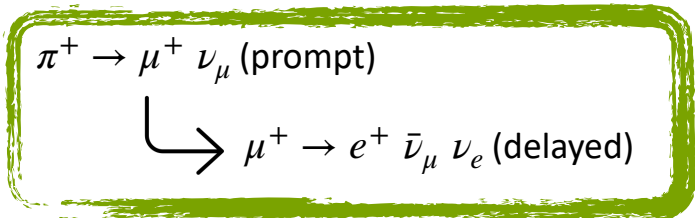
# EFT analysis of NP at COHERENT



[from Scholberg's talk at IPA18]

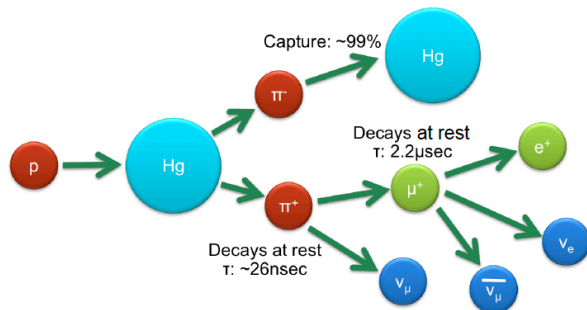


[from COHERENT coll.]

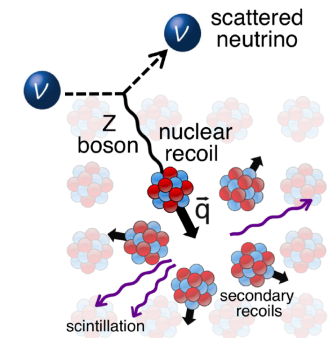


- COHERENT observed for the first time CEvNS (Coherent Elastic Neutrino-Nucleus Scattering):  $\nu N \rightarrow \nu N$
- It occurs for  $E_\nu$  small enough so that the neutrino does not resolve the nucleus  $\rightarrow$  CEvNS cross section enhanced by  $N^2$ .  
Theoretically known since the 70's [[Freedman'74](#); [Kopeliovich & Frankfurt'74](#)]
- Extremely challenging experimentally (very small nuclear recoil)

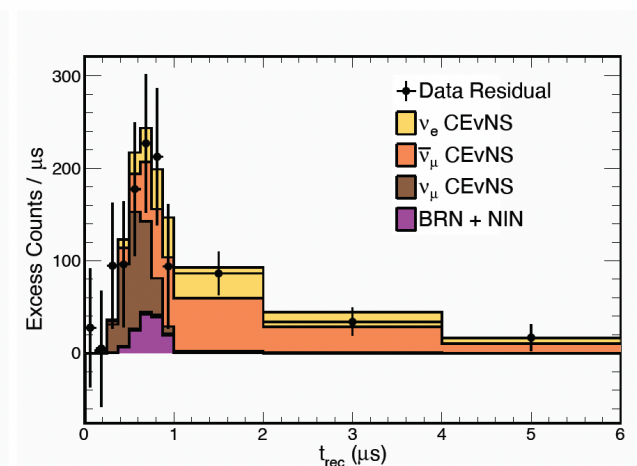
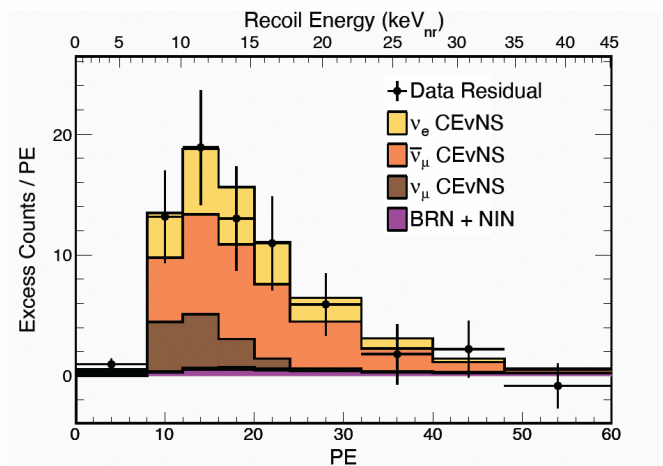
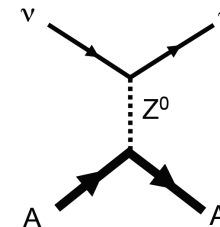
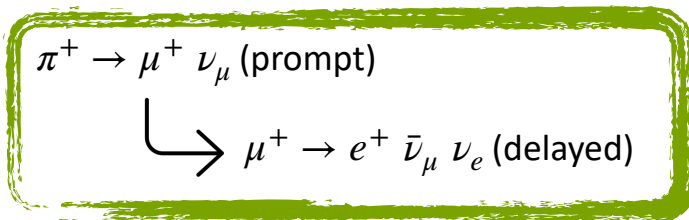
# EFT analysis of NP at COHERENT



[from Scholberg's talk at IPA18]



[from COHERENT coll.]

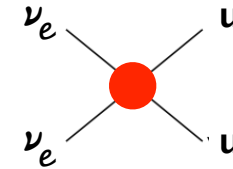


# EFT analysis of NP at COHERENT

- COHERENT data (LAr + CsI, recoil & time distribution: 664 data, 13 nuisance parameters) give:

$$0.68 \epsilon_{ee}^{dd} + 0.61 \epsilon_{ee}^{uu} - 0.30 \epsilon_{\mu\mu}^{dd} - 0.27 \epsilon_{\mu\mu}^{uu} = 0.037(42)$$

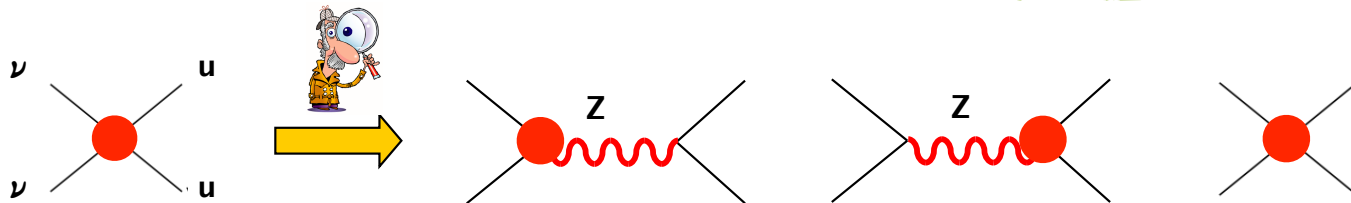
$$0.30 \epsilon_{ee}^{dd} + 0.27 \epsilon_{ee}^{uu} + 0.68 \epsilon_{\mu\mu}^{dd} + 0.61 \epsilon_{\mu\mu}^{uu} = -0.004(13)$$



$$\epsilon_u = \delta g_L^{Zu} + \delta g_R^{Zu} + \left(1 - \frac{8s_\theta^2}{3}\right) \delta g_L^{Z\nu} - \frac{1}{2} \left(c_{lq}^{(1)} + c_{lq}^{(3)} + c_{lu}\right)$$

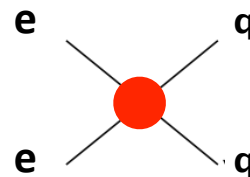
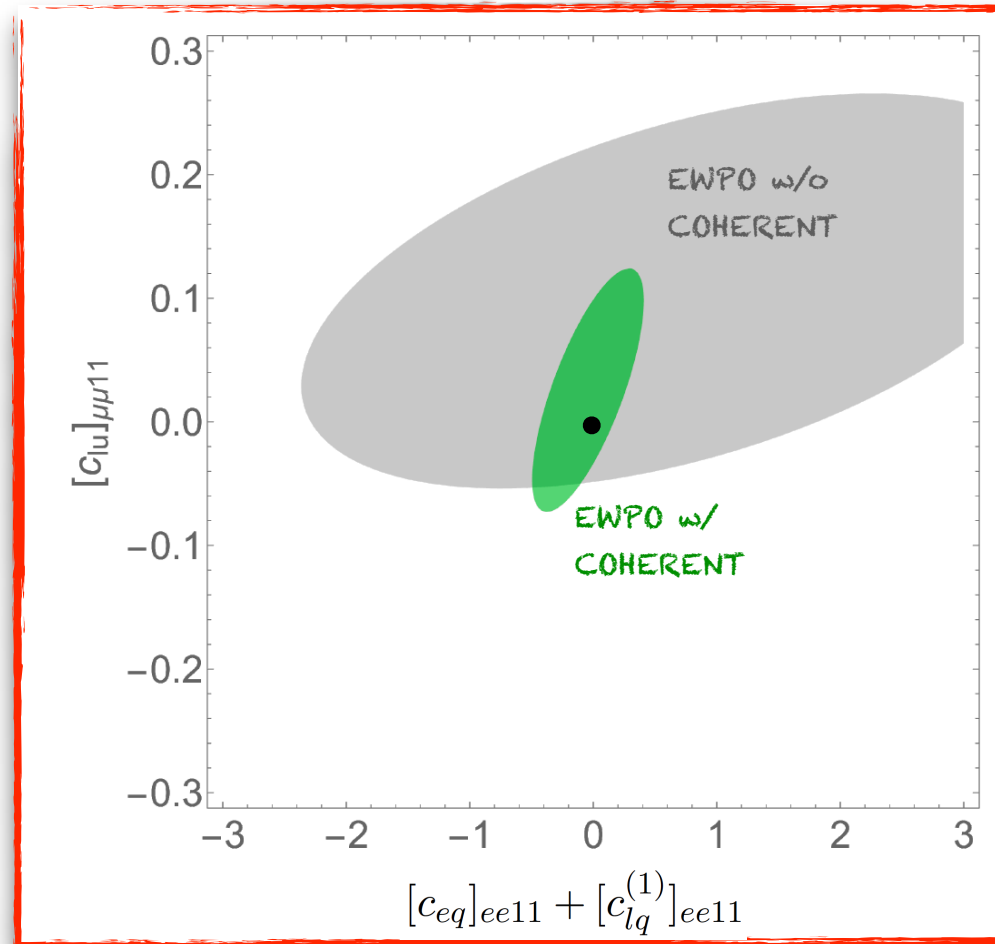
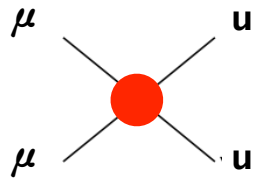
$$\epsilon_d = \delta g_L^{Zd} + \delta g_R^{Zd} - \left(1 - \frac{4s_\theta^2}{3}\right) \delta g_L^{Z\nu} - \frac{1}{2} \left(c_{lq}^{(1)} - c_{lq}^{(3)} + c_{ld}\right)$$

WEFT/SMEFT  
Matching



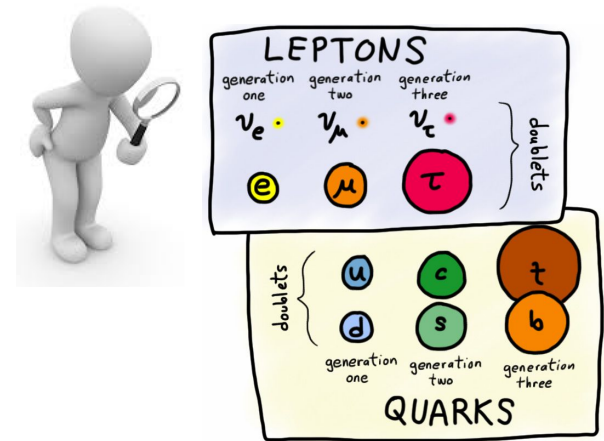
- Is COHERENT probing a new region in the SMEFT parameter space?  
These operators are constrained by many EWPO: LEP1, LEP2, APV, ... → Global fit needed!

# COHERENT in the SMEFT



# ~~Outline~~ Summary

- Intro: SM  $\rightarrow$  BSM
- Classes of low-energy BSM searches  
 $\rightarrow$  *Plenty of activity!*
- Effective Field Theories  
 $\rightarrow$  *A natural theory setup to study low-E probes with minimal assumptions (plenty of theory activity)*
- EFT Phenomenology
  - $\rightarrow$  *Efficient & model-independent (plenty of theory activity)*
  - $\rightarrow$  *Forbidden processes probe huge scales*
  - $\rightarrow$  *Precision low-E measurements probe TeV scales (interplay with high-E!)*



**EFT!!**

