

AXION-LIKE ALPS



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Based on: **FAA**, J. Quevillon, C. Smith, 2211.004489

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Fundamentals and Motivation

Axions and ALPs - A Versatile BSM Candidate

The Strong CP Problem

- SM Lagrangian allows a purely gauge term

$$\theta_{QCD} \frac{\alpha_s}{8\pi} G^a_{\mu\nu} \tilde{G}^a_{\mu\nu}$$

- Related to quark masses via the chiral anomaly



$$\bar{\theta} = \theta_{QCD} + \text{Arg}(\text{Det}(M_u M_d))$$

- The observable parameter, $\bar{\theta}$ is bound by neutron EDM, d_n

$$d_n \sim \bar{\theta} \cdot 10^{-16} \text{ e-cm}, \quad \bar{\theta} \lesssim O(10^{-10})$$

The Strong CP Problem - The Axion Mechanism

- $\bar{\theta}$ becomes dynamical thanks to $U(1)_{PQ}$

Peccei and Quinn, PRL 38 (1977) 1440-1443 and PRD 16 (1977) 1791-1797

$$\mathcal{L}_{aGG} = \frac{a}{f_a} \frac{\alpha_s}{8\pi} G^{a\mu\nu} \tilde{G}_{\mu\nu}^a \longrightarrow \theta_{\text{eff}} = \bar{\theta} + \frac{a}{f_a}$$

Weinberg, PRL 40 (1978) 223-226
Wilczek, PRL 40 (1978) 279-282

- Non-perturbative QCD potential ensures CP conservation

$$V_{\text{eff}} \sim 1 - \sqrt{1 + \cos\left(\bar{\theta} + \frac{a}{f_a}\right)} \longrightarrow \langle a \rangle = -f_a \bar{\theta}$$

- The original model required two Higgs doublets

$$f_a \sim v \approx 246 \text{ GeV} !!$$

The Strong CP Problem - Invisible Axion Models

- DFSZ Axion

A. R. Zhitnitsky, Sov. J. Nucl. Phys. 31 (1980)
 M. Dine, W. Fischler, M. Srednicki, Phys. Lett. B104 (1981)

- Adds a new scalar singlet, ϕ , $\chi_\phi = -1$, $v_\phi \gg v$
- The axion is a combination of all pseudoscalars $\rightarrow f_a \approx \frac{v_\phi}{2} \gg v$
- $U(1)_{PQ}$ breaking entangled with $SU(2)_L \times U(1)_Y \rightarrow U(1)_{EM}$
- SM fermions and axion couple at tree level
- Axion couples to SM gauge bosons at 1 loop



The Strong CP Problem - Invisible Axion Models

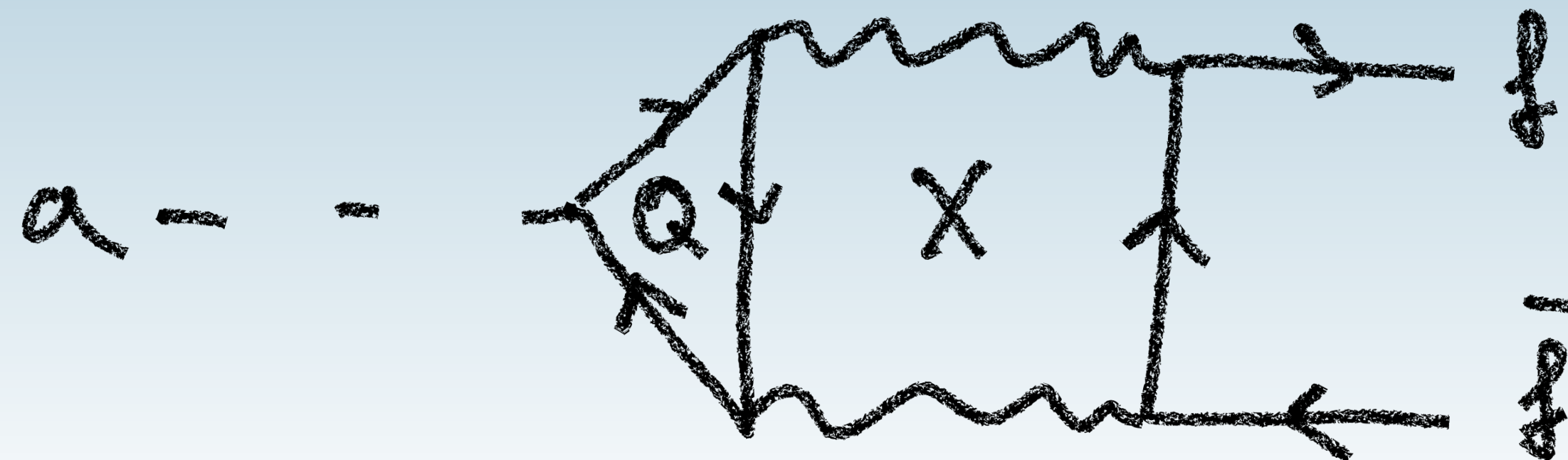
- KSVZ Axion J. E. Kim, PRL 43 (1979)
M. A. Shifman, A. I. Vainshtein, V. I. Zakharov, Nucl. Phys. B166 (1980)

- SM particles neutral under $U(1)_{PQ}$

- New heavy quarks Q and singlet scalar σ , $\chi_Q^{PQ}, \chi_\sigma^{PQ} \neq 0$, $v_\sigma \gg v$

- Gauge representation of Q induces axion-gauge boson coupling

- Axion coupling to SM fermions arises at 2 loops



The Strong CP Problem - Invisible Axion Models

- The QCD axion is a good DM candidate
 - J. Preskill, M. B. Wise and F. Wilczek, PLB 120 (1983) 127
 - L. F. Abbot and P. Sikivie, PLB 120 (1983) 133
 - M. Dine and W. Fischler, PLB 120 (1983) 173
- They arise naturally from string theories
 - E. Witten, PLB 149 (1984) 351
- Present in solutions to other SM problems
 - Y. Ema, K. Hamaguchi, T. Moroi, K. Nakayama, 1612.05492
 - L. Calibbi, F. Goertz, D. Redigolo, R. Ziegler, J. Zupan, 1612.08040
 - FAA**, L. Merlo, 1709.07039
- Relevant cosmological observables
 - FAA**, F. D'Eramo, R. Z. Ferreira, L. Merlo, A. Notari, 2012.04736
 - F. Bianchini, G. Grilli di Cortona, M. Valli, 2310.08169

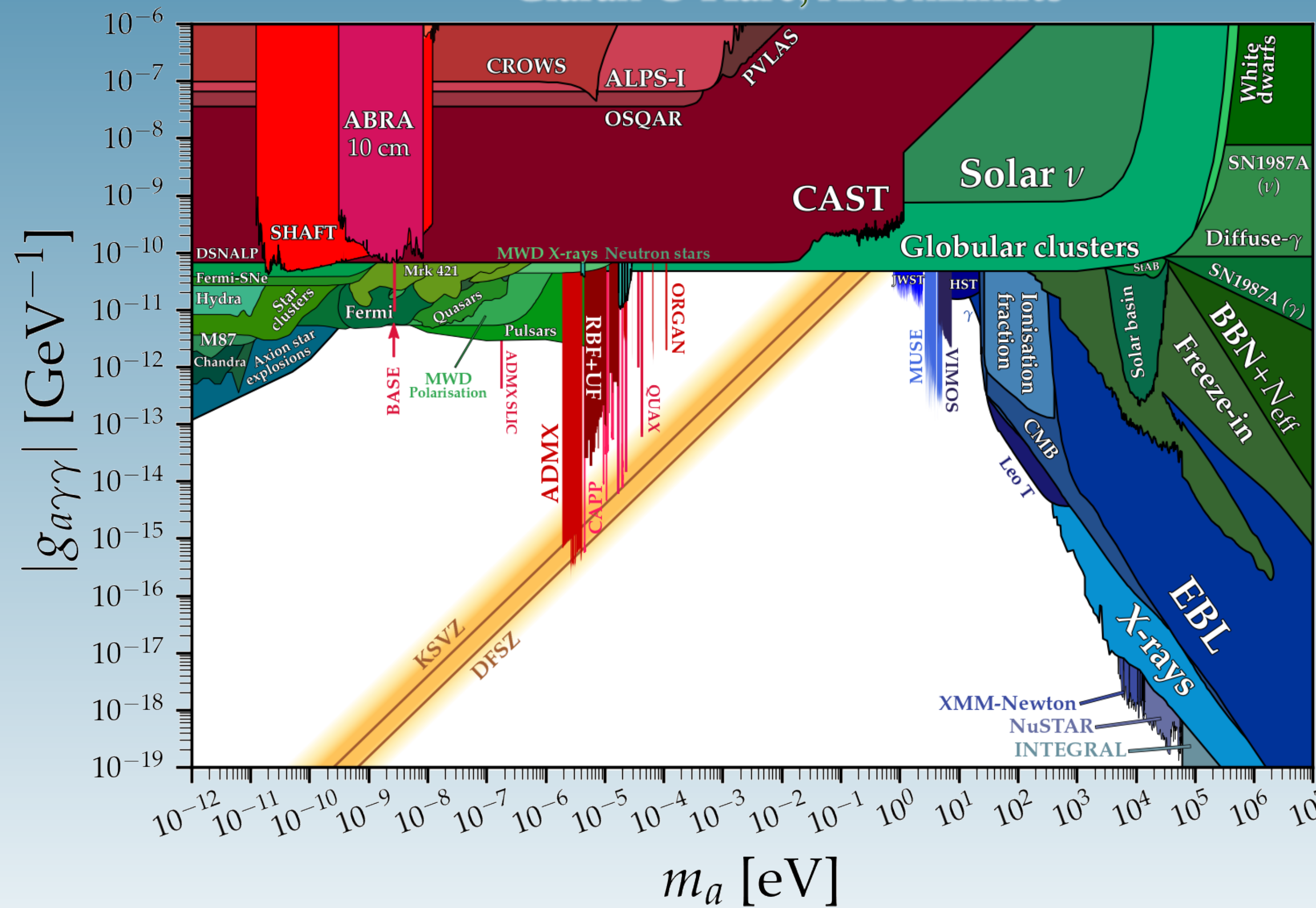


The Strong CP Problem - Invisible Axion Models

- Many search strategies
 - Helioscopes
 - Haloscopes
 - Dark matter recoil
 - Stellar cooling
 - Light shining through wall
 - ...

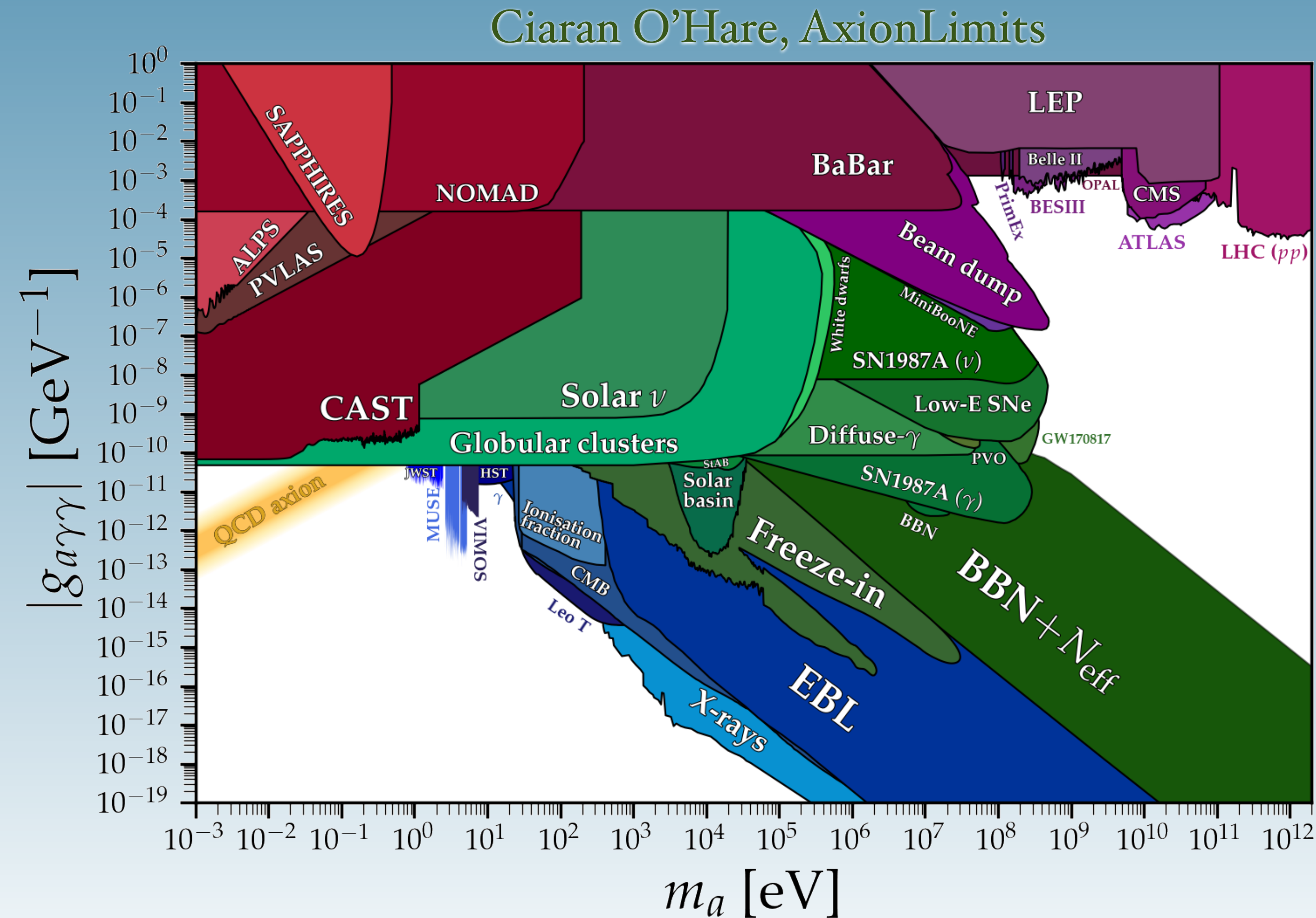
$$m_a \approx 5,7 \left(\frac{10^9 \text{ GeV}}{f_a} \right) \text{ meV}$$

Ciaran O'Hare, AxionLimits



Beyond the QCD Axion Framework: ALPs

- QCD axions feature $m_a(f_a)$
- Axion-like particle: $m_a \neq m_a(f_a)$
- CP odd, $m_a \ll f_a$
- Also motivated from strings
- Possible signals at colliders

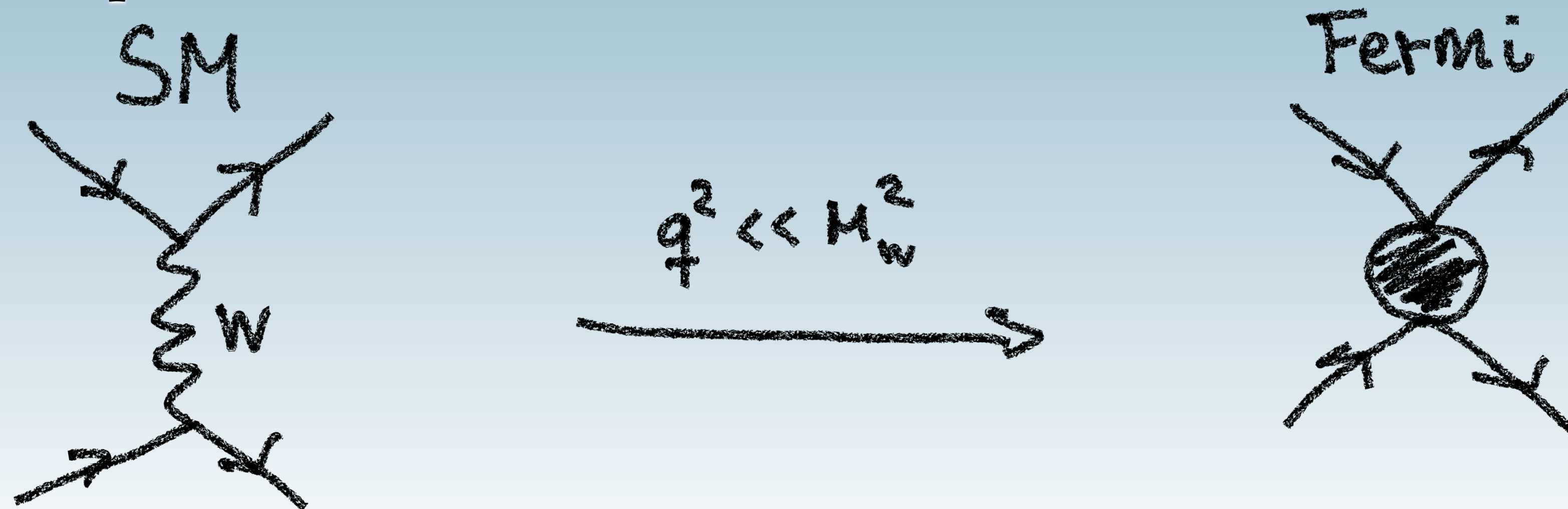


Axion-like ALPs

FAA, J. Quevillon, C. Smith, 2211.004489

A Powerful Tool: EFTs

- No new states found beyond the Higgs
- Top-down approach: model building
- No evidence means no distinction between models
- Bottom-up: Effective Field Theories



A Powerful Tool: EFTs

- Useful for parametrizing the unknown: SMEFT
- Helps characterizing sets of BSM theories
- Effective Field Theory for SM+ALP:

K. Mimasu and V. Sanz, 1409.4792

M. Bauer, M. Neubert, A. Thamm, 1708.00443

I. Brivio, M. B. Gavela, L. Merlo, K. Mimasu, J. M. No, R. del Rey, V. Sanz, 1701.05379

$$\mathcal{L}_{\text{ALP}} = \frac{1}{2} (\partial_\mu a \partial^\mu a - m_a^2 a a) - i \sum_f \frac{\kappa_f}{v_a} \partial_\mu a \bar{f} \gamma^\mu \chi_f f$$

$$+ \frac{a}{16\pi^2 v_a} \left(g_s^2 N_c G_{\mu\nu}^a \tilde{G}^{a\mu\nu} + g_L^2 N_L W_{\mu\nu}^i \tilde{W}^{i\mu\nu} + g_Y^2 N_Y B_{\mu\nu} \tilde{B}^{\mu\nu} \right)$$

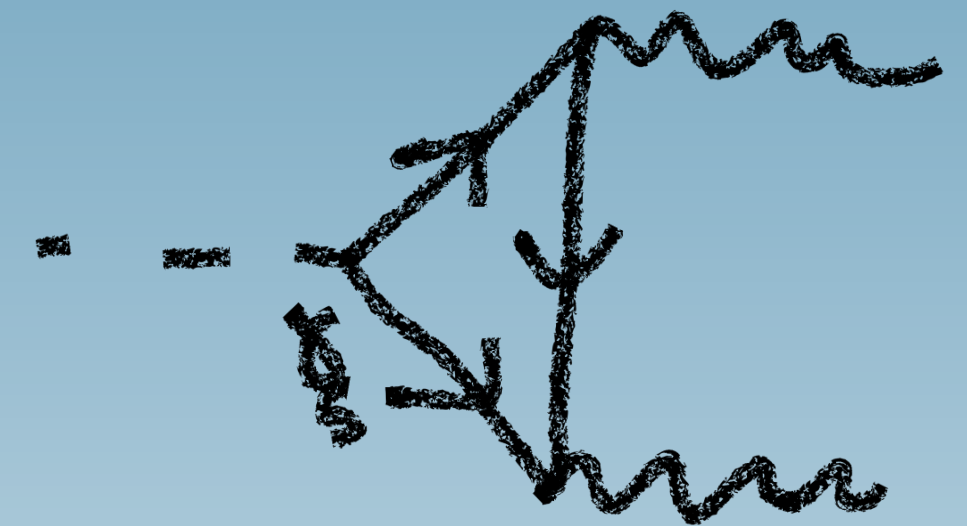
A Powerful Tool: EFTs

- Two possibilities for ALP-fermion coupling:

J. Quevillon, C. Smith, 1903.12559

Linear

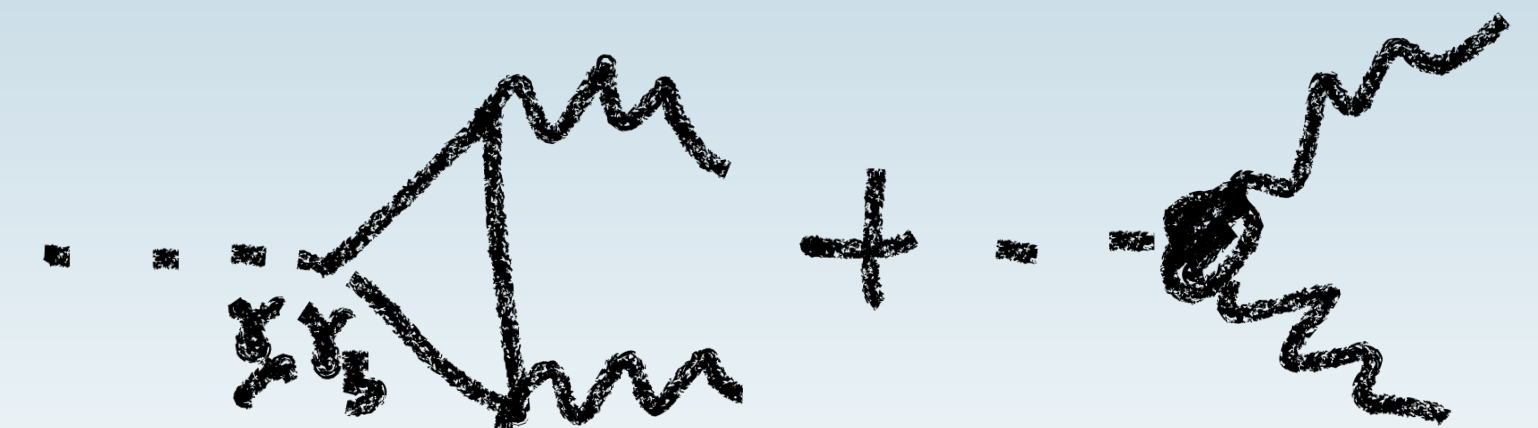
$$\phi = \sigma + ia + v_a \rightarrow i \frac{m_\psi}{v_a} a \bar{\psi} \gamma_5 \psi$$



||

Polar

$$\phi = \frac{\sigma + v_a}{\sqrt{2}} e^{-i \frac{a}{v_a}} \rightarrow \frac{2a}{v_a} \bar{\psi} \gamma^\mu \gamma_5 \psi + \frac{a}{v_a} \chi_{\mu\nu} \tilde{\chi}^{\mu\nu}$$

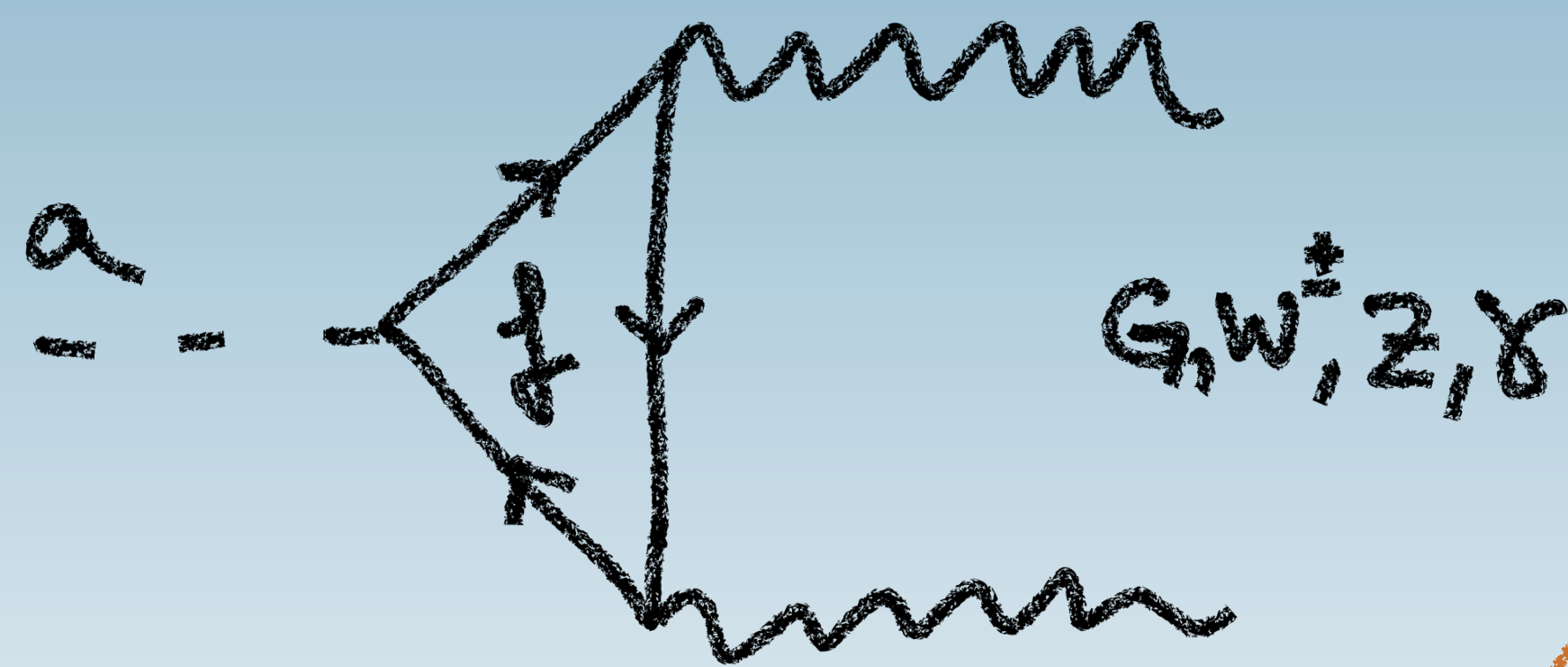


A Powerful Tool: EFTs

- Are ALP theories always so generic?

DFSZ-like

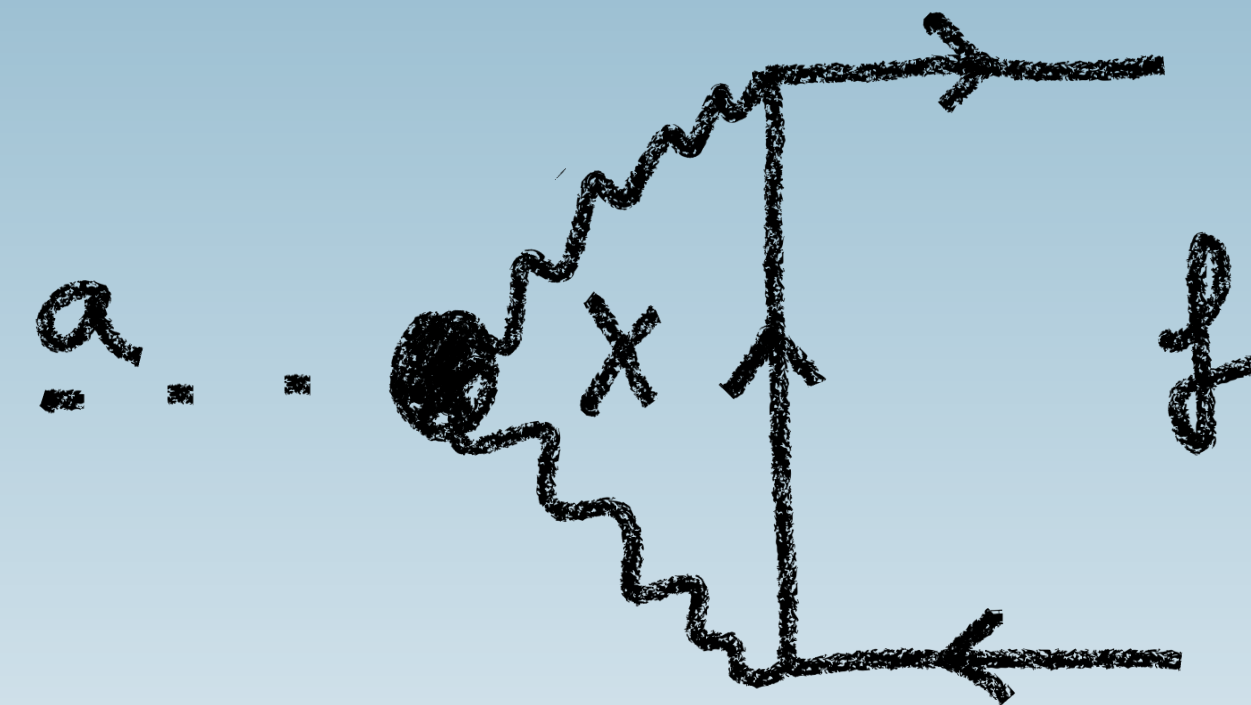
$$-i \sum_{f=u,d,e} \frac{m_f}{v_a} X_f a \bar{f} \gamma_5 f$$



$$\frac{a}{4\pi v_a} g_{\text{mix}}(m_f, X_f) X_{\mu\nu} \tilde{X}^{\mu\nu}$$

KSVZ-like

$$\frac{a}{16\pi^2 v_a} g_X^2 \mathcal{N}_X X_{\mu\nu} \tilde{X}^{\mu\nu}$$



$$\frac{m_f}{v_a} C_{af}(X_f, m_a, m_f) a \bar{f} \gamma_5 f$$

DFSZ-like ALPs

- Four free parameters: $\frac{\chi_u}{v_a}, \frac{\chi_d}{v_a}, \frac{\chi_e}{v_a}, m_a$
- A pedagogical example: ALP coupling to W, Z and photons

Generic ALP EFT

$$\frac{a}{16\pi^2 v_a} \left(g^2 \underbrace{d_L^\mu W_{\mu\nu}^i \tilde{W}^{i\nu\mu}} + g' \underbrace{d_Y^\mu B_{\mu\nu} \tilde{B}^{\nu\mu}} \right)$$



$$g_{a\gamma\gamma}, g_{a\gamma Z}, g_{aZZ}, g_{aWW}$$

Four couplings, two free param.

DFSZ-like

$$\sum_{\nu, d, e} \frac{m_f}{v_a} \underbrace{\chi_f a \bar{f} \gamma_5 f}$$



$$g_{a\gamma\gamma}, g_{a\gamma Z}, g_{aZZ}, g_{aWW}$$

Four couplings, four free param.

DFSZ-like ALPs

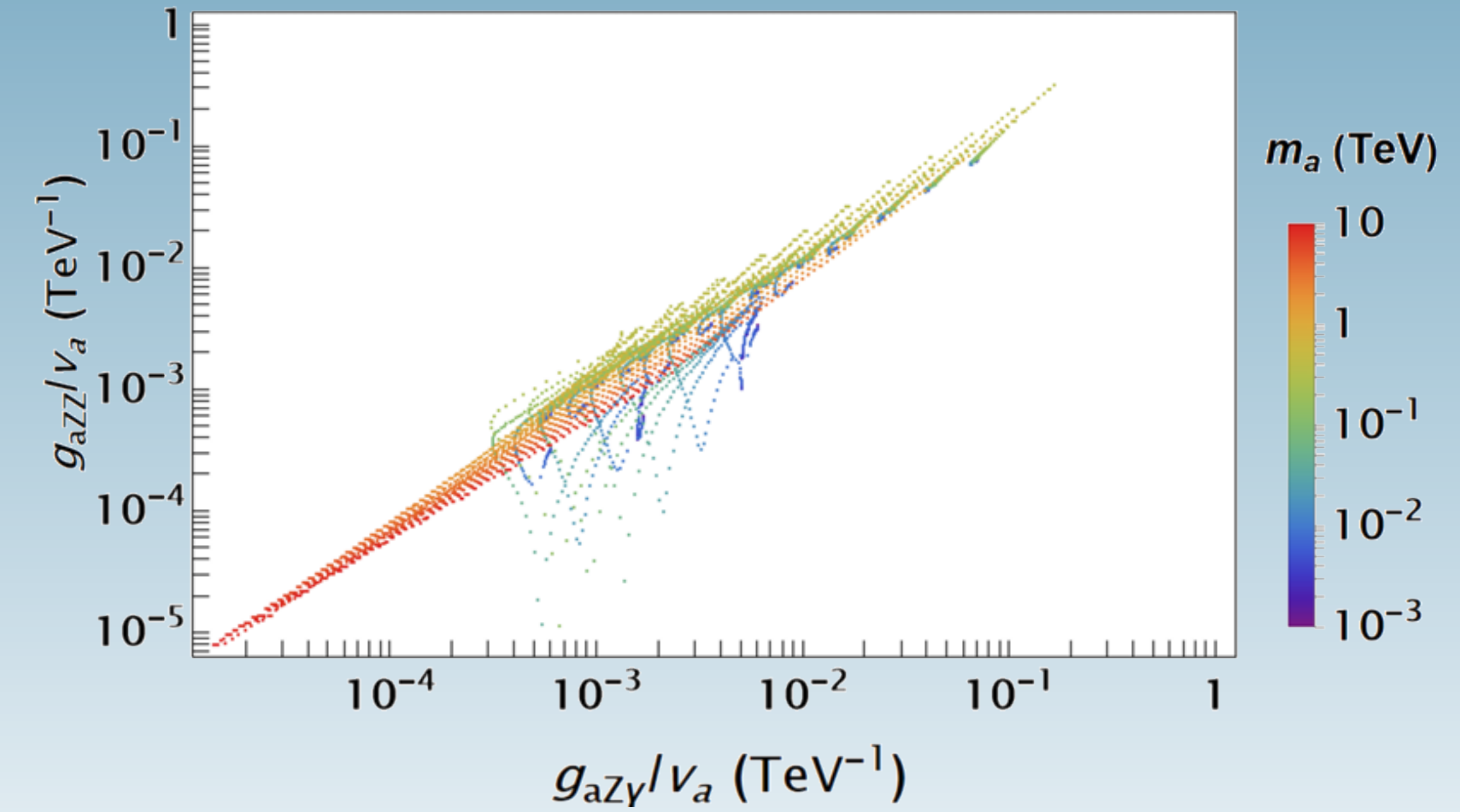
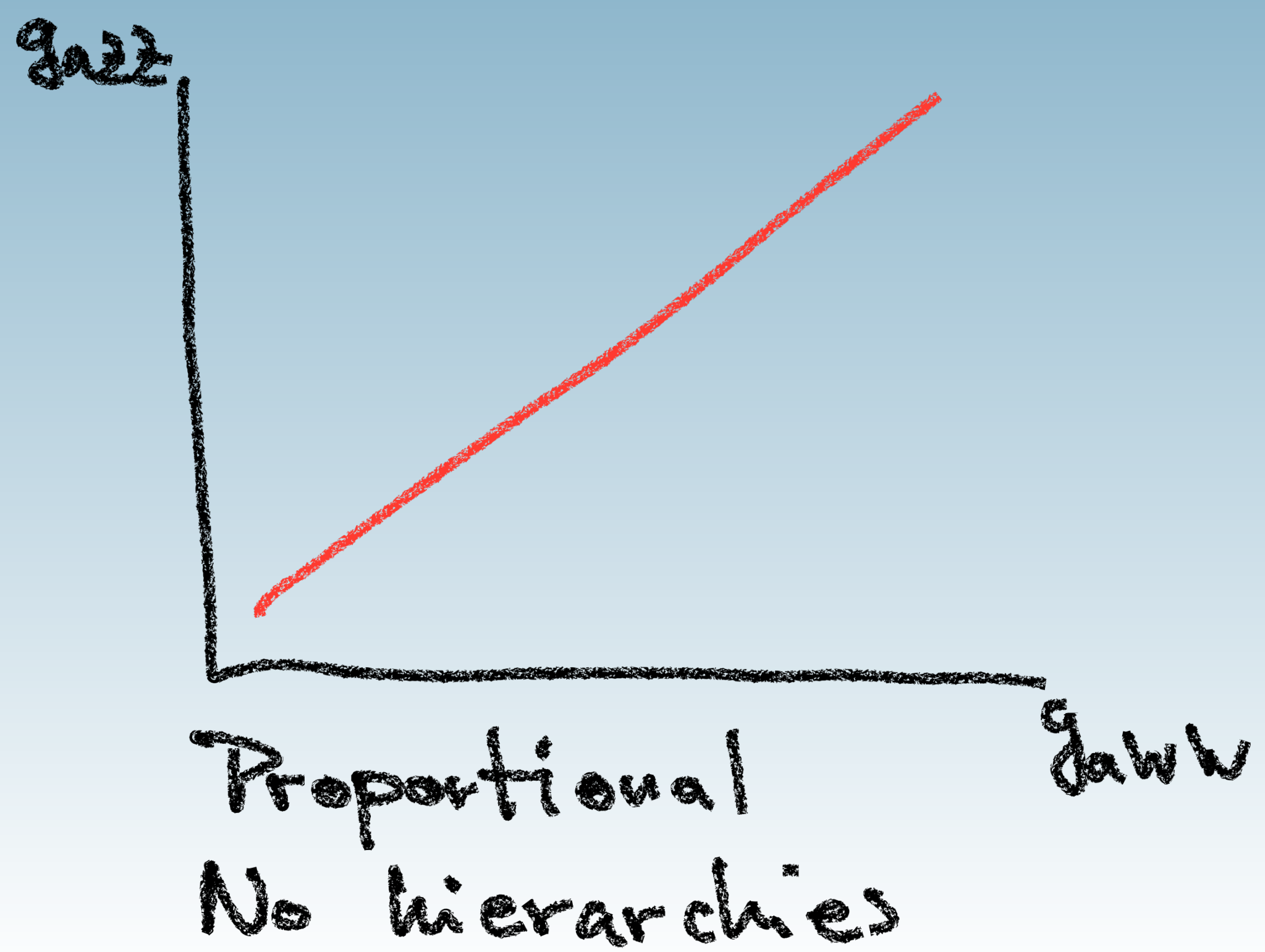
Photophobic ALP: $g_{a\gamma\gamma} = 0$

DFSZ-like ALP

Generic EFT

$$eN_L = -eN_Y$$

$$\chi_e(\chi_u, \chi_d, m_a)$$

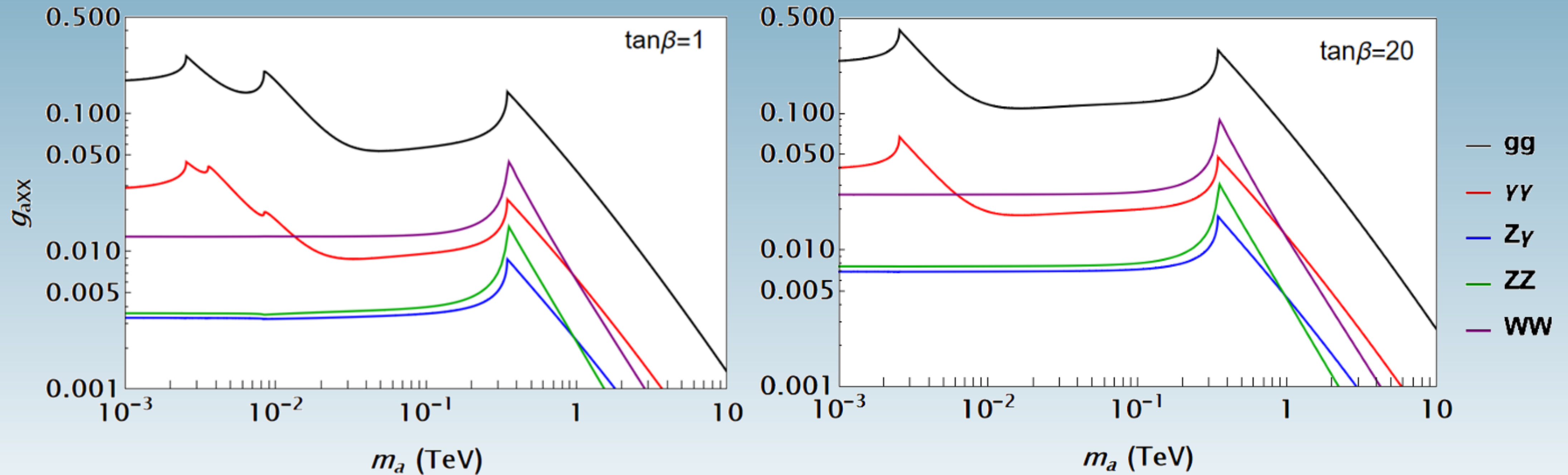


More freedom
Hierarchies are possible

DFSZ-like ALPs

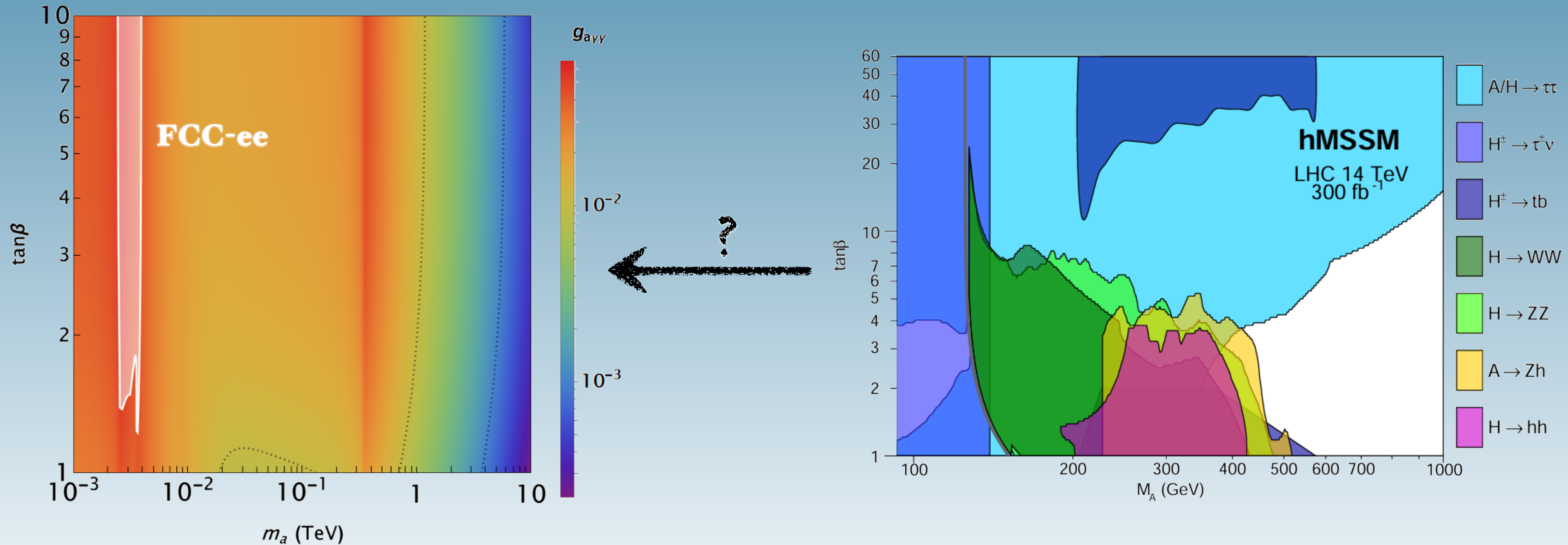
- DFSZ axion is an extended 2HDM

$$\chi_u = \frac{x^2}{1+x^2}, \quad \chi_d = \chi_e = \frac{1}{1+x^2}, \quad x = \tan\beta = \frac{v_u}{v_d}$$



DFSZ-like ALPs

- This scenario allows to recast bounds on 2HDM for the ALP case



KSVZ-like ALPs

- All SM particles are singlets of $U(1)_{PQ}$
- Heavy fermions couple to a heavy scalar, all charged under PQ
- After being integrated out, they yield:

$$\mathcal{L}_{\text{KSVZ}} = \frac{1}{2}(\partial_\mu a \partial^\mu a - m_a^2 a a) \quad SU(2)_L \times U(1)_Y \text{ symm.}$$

$$+ \frac{a}{16\pi^2 v_a} \left(g_s^2 \mathcal{N}_c G_{\mu\nu}^a \tilde{G}^{a\mu\nu} + g^2 \mathcal{N}_L W_{\mu\nu}^i \tilde{W}^{i\mu\nu} + g'^2 \mathcal{N}_Y B_{\mu\nu} \tilde{B}^{\mu\nu} \right)$$

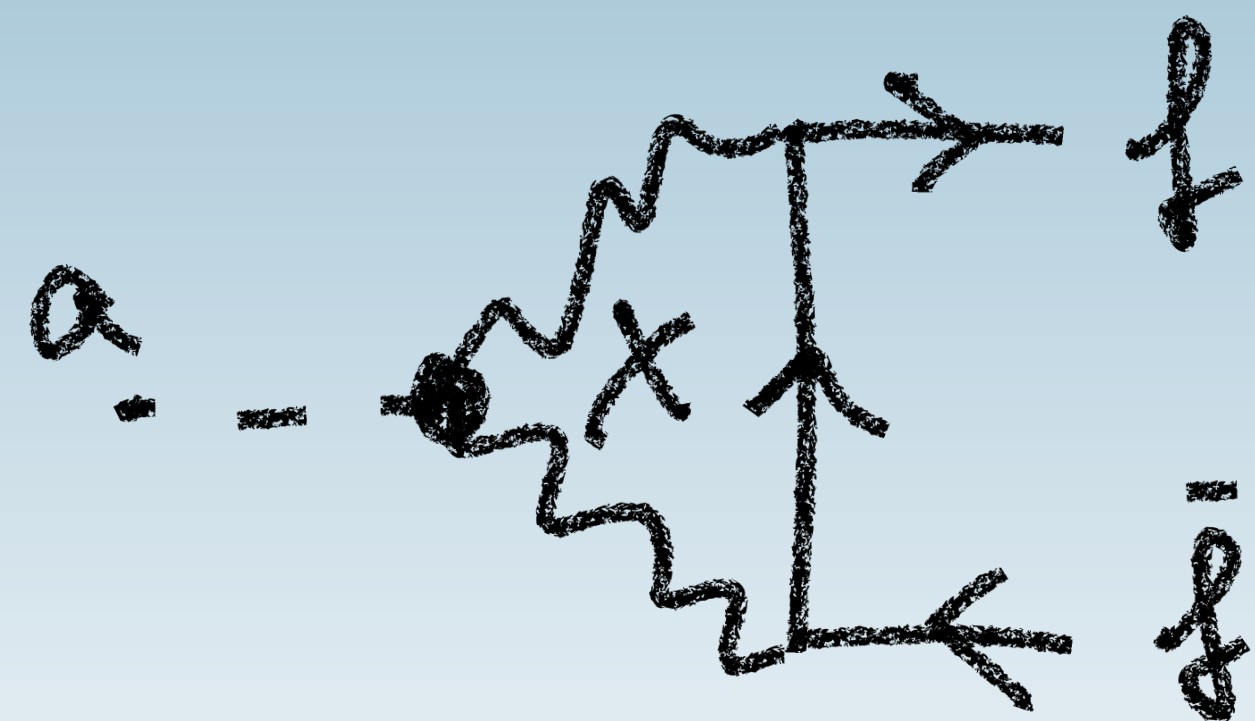
- Arbitrary representations: four free parameters, $m_a, \mathcal{N}_c, \mathcal{N}_L, \mathcal{N}_Y$

KSVZ-like ALPs

- After EWSB, ALP couples to all gauge bosons and fermions

$$g_{agg} = \alpha_s \mathcal{N}_c \quad g_{a\gamma\gamma} = \alpha (\mathcal{N}_L + \mathcal{N}_Y) \quad g_{axz} = 2\alpha \left(-\frac{\mathcal{N}_L}{t_w} + t_w \mathcal{N}_Y \right)$$

$$g_{azz} = \alpha \left(\frac{\mathcal{N}_L}{t_w^2} + t_w^2 \mathcal{N}_Y \right) \quad g_{aw} = \frac{2\alpha}{s_w^2} \mathcal{N}_L$$

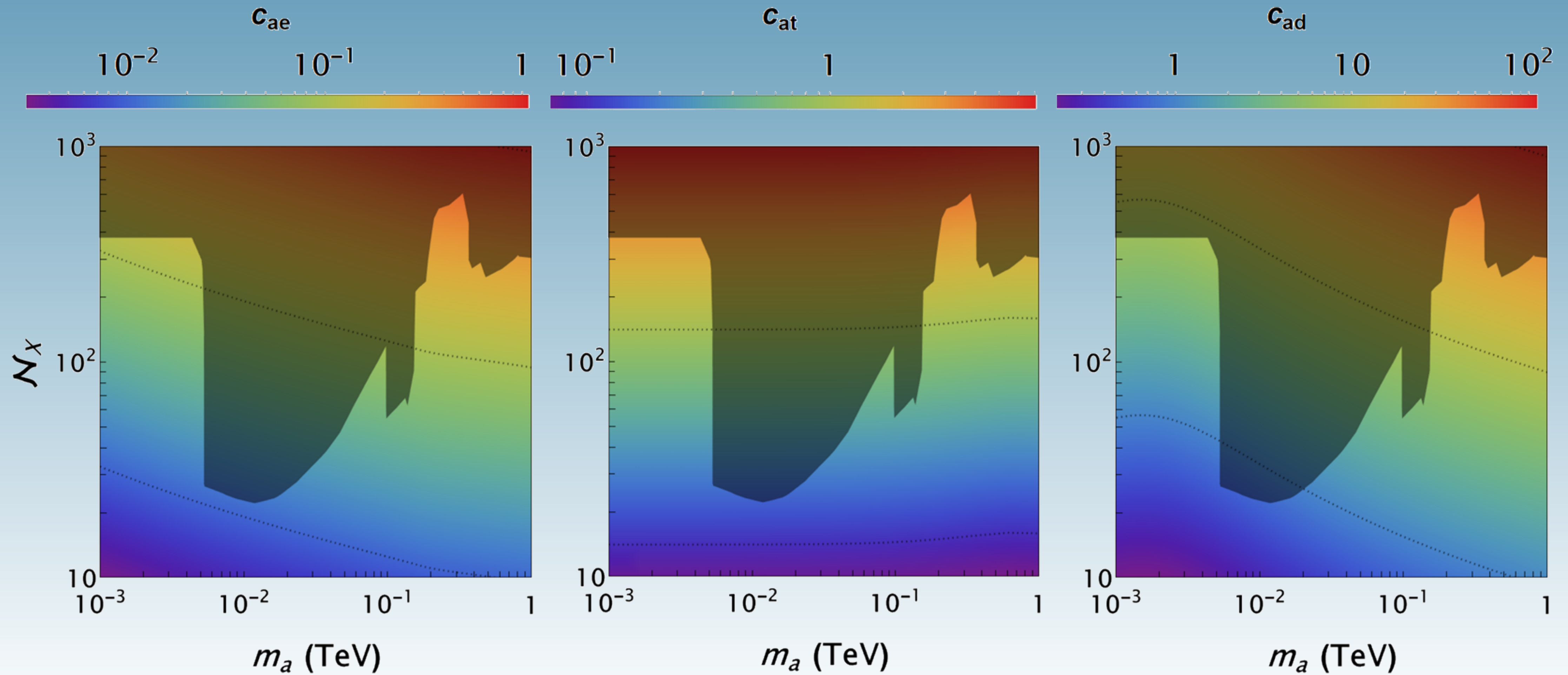


$$\text{Calc}(\mathcal{N}_x, \underbrace{I_{xx}(n_x, m_f, m_x)}_{\text{Scalar loop integrals}})$$

Scalar loop integrals

KSVZ-like ALPs

- Bounds on ALP-photon can be used to set limits on c_{af}



KSVZ-like ALPs

- The loop computation involves the Levi-Civita tensor
- Intrinsically four-dimensional: tricky in dimensional regularization
- Regularization scheme dependence

M. Bauer, M. Neubert, A. Thamm, 1708.00443
 J. Bonilla, I. Brivio, M. B. Gavela, V. Sanz, 2107.11392
 S. A. Larin, hep-ph/9302240

$$\text{dim } \gamma_\mu = \overline{\gamma}_\mu + \hat{\gamma}_\mu \quad \{\gamma_5, \overline{\gamma}_\mu\} = [\gamma_5, \hat{\gamma}_\mu] = 0$$

$\overline{\gamma}_\mu$ is dimension 4, $\hat{\gamma}_\mu$ is dimension ϵ .

$$c_{af}^{\gamma\gamma} = c \left(D_\epsilon + \ln \frac{\mu^2}{m_f^2} - \frac{4}{3} \right) + \dots$$

KSVZ-like ALPs

- The choice of scheme is unphysical. Can it be alleviated?

B. R. Martin, E. de Rafael, J. Smith, PRD 2 (1970) 179-200

- ALP is a pseudoscalar: enforce momentum conservation

$$J^{PC} = 0^{-+} \text{ projector} \rightarrow P_{S=0} = \frac{1}{2\sqrt{p^2}} \left(-\frac{1}{2} \epsilon_{\mu\nu\rho\sigma} (\not{p}_1 \not{p}_2 - \not{p}_1 \not{p}_2) \sigma^{\mu\nu} + (p^2 - 2m_f (\not{p}_1 + \not{p}_2)) \gamma_5 \right)$$

$$\mathcal{M}(a \rightarrow f\bar{f}) = \bar{u}(p_1) T(a \rightarrow f\bar{f}) v(p_2) = \bar{u}(p_1) \gamma_5 v(p_2) F(a \rightarrow f\bar{f})$$

$$F(a \rightarrow f\bar{f}) = \frac{1}{\sqrt{2p^2}} \text{Tr} (P_{S=0} \cdot T(a \rightarrow f\bar{f}))$$

KSVZ-like ALPs

- Dirac structure outside the loop integral: no scheme dependence

- Same procedure used for dealing with $K_L \rightarrow \gamma\gamma \rightarrow \mu^+\mu^-$

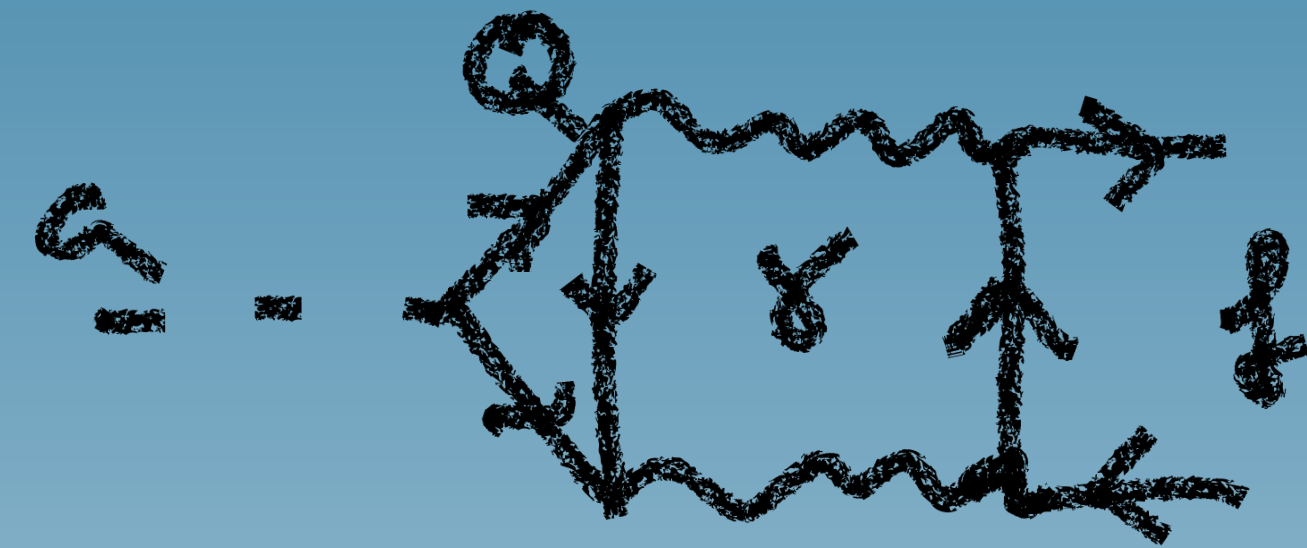
G. Isidori, R. Unterdorfer, hep-ph/0311084

$$c_a^{\text{eff}} = c \left(D_\epsilon + \ln \frac{M^2}{m_f^2} + \frac{5}{3} \right) + \dots$$

- Different asymptotic coupling for $m_a \ll m_f$
- What about the renormalization scale μ ?

KSVZ-like ALPs

- The 2-loop process in the UV is finite
- Considering only intermediate photons:



$$c_a^{\text{tot}} = c \left(0 + \ln \frac{m_Q^2}{m_f^2} + \frac{17}{6} + \frac{5}{27} \frac{m_f^2}{m_Q^2} \ln \frac{m_Q^2}{m_f^2} + \frac{11}{54} \frac{m_f^2}{m_Q^2} + \dots \right)$$

L. Ametller, L. Bergstrom, A. Bramon, E. Masso, NPB 228 (1983) 301-315
 G. Ecker, A. Pich, NPB 366 (1991) 189-205

$$\mu = m_Q \approx v_a$$

- No $O(1) c_{af}$ at the EW scale, as opposed to generic ALP EFT

Conclusions

Conclusions

- ALPs, like axions, are a strong BSM candidate
- Both the theoretical and experimental communities are very involved
- EFTs are a very powerful tool
- Bounds deriving from them can be tricky to apply on specific models
- Two well motivated ALP benchmarks: DFSZ-like and KSVZ-like
- DFSZ-like analogous to 2HDM
- KSVZ-like free of scheme dependence
- A dedicated analysis that recasts existing bounds is necessary

THANK YOU FOR YOUR ATTENTION