



Neutrino Beams & Fluxes

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FERMILAB-SLIDES-24-0121-AD

Background

- Associate Scientist in Target Systems Department at Fermilab (2020 - Present)
 - Neutrino Beam Instrumentation for LBNF
 - NuMI, LBNF beam simulations
 - Run-Coordinator for FY2022 accelerator operations
- Previously served as a run-coordinator and operations manager for Muon g-2 & involved in data analyses for the experiment (2015 - 2020)
- Contact: sganguly@fnal.gov

Outline

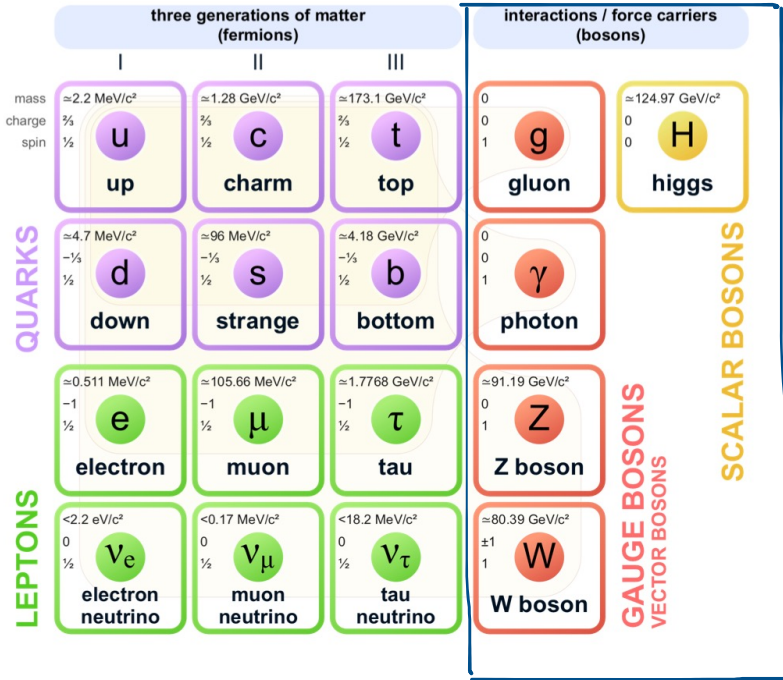
- Accelerator Neutrino Beams
 - Beamline components
- Push towards higher beam power
- Neutrino flux

Poll Everywhere Questions

- Some 'Poll' activities
- How it works
 - I pose a question, clickable link on slide via Poll Everywhere
 - I activate poll
 - Select your answer

Standard Model of Particle Physics

Standard Model of Elementary Particles



Standard Model is most complete explanation of fundamental particles and their interactions to date

- Building blocks of matter are quarks and leptons
- There are force carrier particles (bosons) associated with each force
- Higgs mechanism is responsible for mass of particles

SM is great!!!

What's Next?

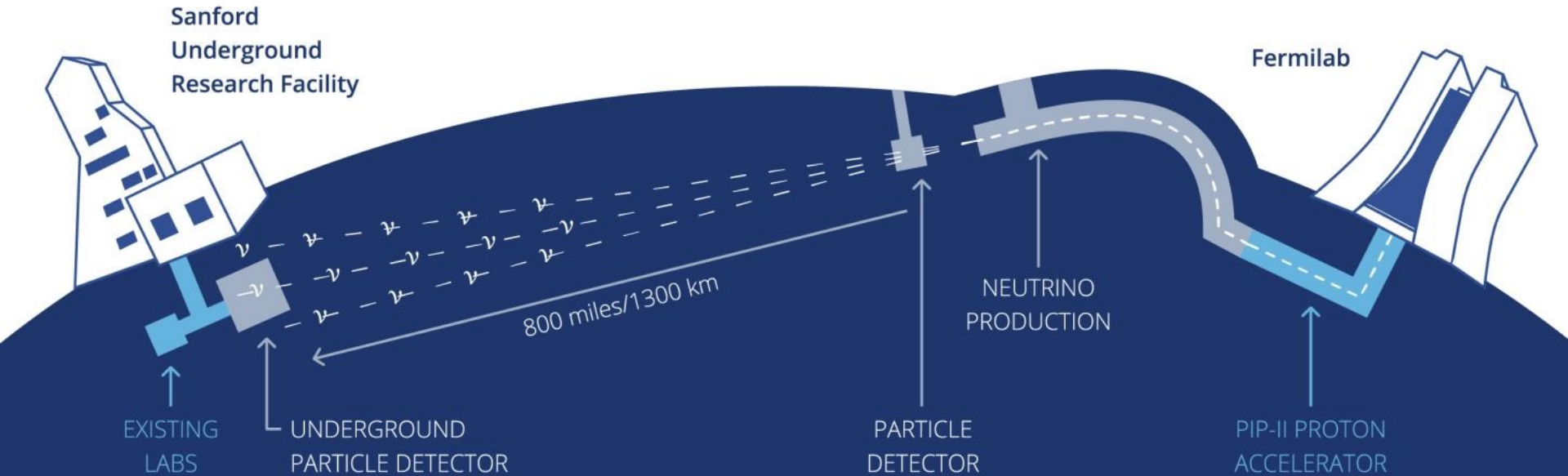
Many things left to discover and understand!

- Why does universe contain much more matter than antimatter?
- Is it possible to find new particles, like dark matter particles?
- What causes significant mass differences between various generations of quarks and leptons?
- What makes gravity so much weaker compared to other fundamental forces?.....
- Discovering answers to these questions could lead to entirely unexpected findings!

Probing unknown through a neutrino lens

DUNE: The world's most capable neutrino experiment, driven by LBNF and PIP-II

Delivering on LBNF/DUNE is Fermilab's highest priority



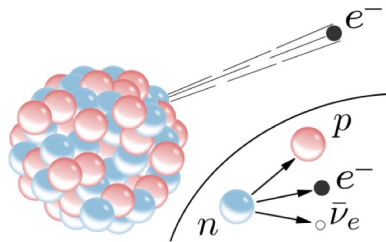
Neutrino Sources

What was the first indication that neutrinos existed?

https://pollev.com/multiple_choice_polls/zZrjKJdgAn6ALSFPtuK7/respond

Pauli Postulated Neutrinos

- Radioactivity: Nucleus emits particle due to nuclear instability
- While studying beta decay, energy did not seem to be conserved in beta decay
- Beta decay empirically seen as a neutron decaying into a proton & an electron – masses well known
- Daughters should have predicted energies
 - We know energy, momentum always conserved
 - **But observed energy spectra continuous – implies missing energy**
 - Discrepancy suggested some unseen particle carrying away missing energy
 - In 1930, Pauli postulated neutrino



Dear Radioactive Ladies and Gentlemen,

I have done a terrible thing.

I have postulated a particle that cannot be detected

Neutrino Sources

https://www.mpi-hd.mpg.de/manitop/Neutrino/sheets/Lecture3_SS21.pdf

Natural sources

We get them free of cost, we have no say in where they came from

- Solar
- Atmospheric
- Supernova
- Big bang
- High energy neutrino from astrophysical sources
- Geoneutrinos

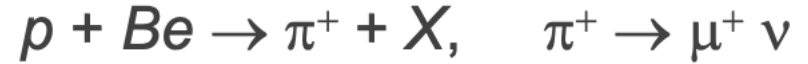
Artificial sources

Intense sources, we can control timing, sometimes energy

- Radioactive sources
- Reactors
- Accelerators
- Beta beams

First Accelerator Neutrino Beam

- In 1957, Brookhaven AGS and CERN PS first accelerators intense enough to make ν beam
 - Achieved sufficient intensities to produce a beam of neutrinos for the first time
- By 1960, SM was under construction... many unsolved problems remained in electroweak sector....
- 1962: Lederman, Steinberger, Swartz proposed experiment to study neutrinos in detail



Schwartz

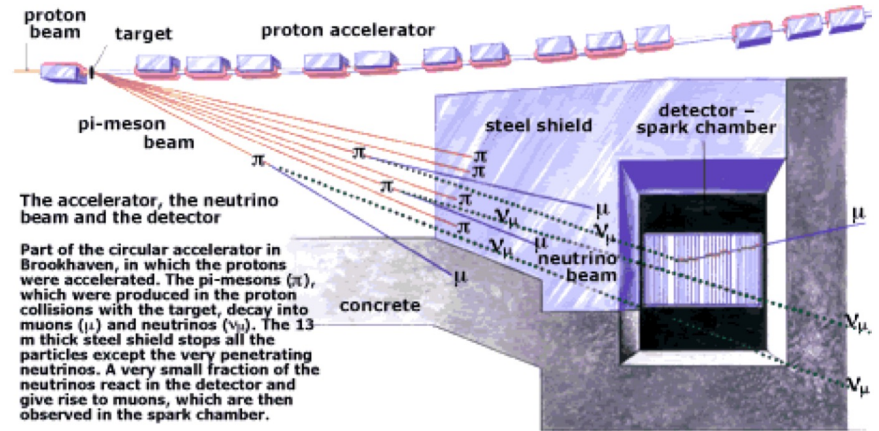
Lederman

Steinberger

Nobel Prize 1988



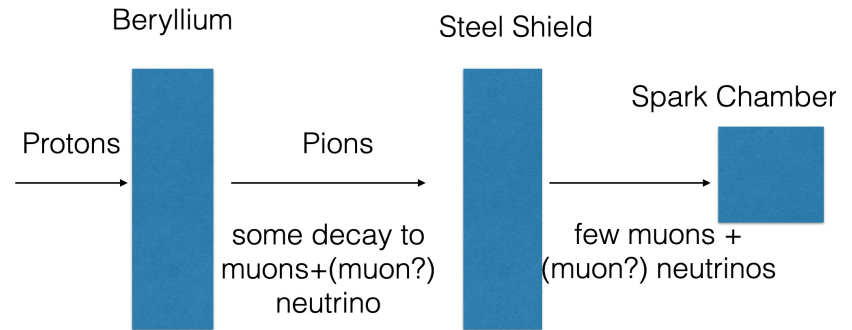
1988 Nobel prize for the neutrino beam method and the demonstration of the doublet structure of leptons through the discovery of the muon neutrino



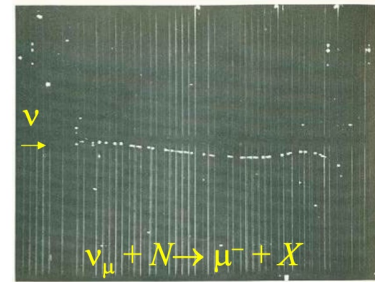
Based on a drawing in Scientific American, March 1963.

First Accelerator Neutrino Beam

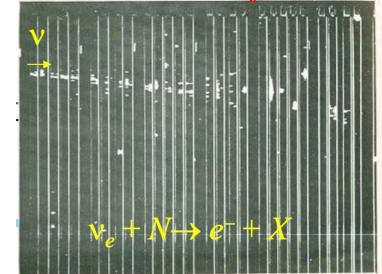
- 15 GeV beam of protons strike a Be target, produces pions
- Pions hit 13.5 m thick iron shield
- Shield absorbs strongly interacting particles
- 5.5 m concrete on floor and roof to reduce cosmic muons
- Interactions observed in a 10-ton Al spark chamber behind steel shield
- If these neutrinos are muon neutrinos, they should only produce muons, not electrons
- Electrons being lighter charged particles, produce electromagnetic shower, spread-out pattern of ionization tracks
- Muon being heavier charged particles produce nice tracks due to high momentum & lower likelihood of scattering/energy loss



Saw lots of...



Saw none of...

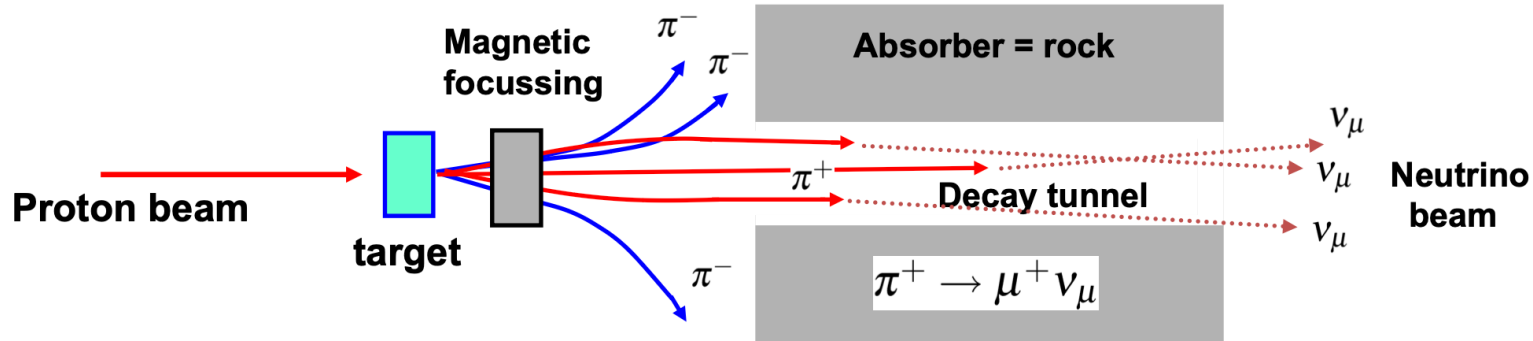


Why a Beam?

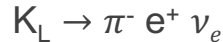
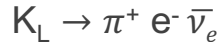
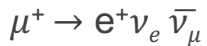
- Natural sources exist – but they are very weak and not necessarily well understood
 - Solar and atmospheric neutrinos only understood once oscillations were established and well understood
 - Moving from observation to experiment
 - Supernovae are hard to come by
- Artificial beams are controlled and intense \Rightarrow Precise!
 - Decide when, where, and how beam is generated
 - Detectors are placed strategically
 - Beams can be controlled with precision – vital as measurements approach 1%

Accelerator Neutrino Beams

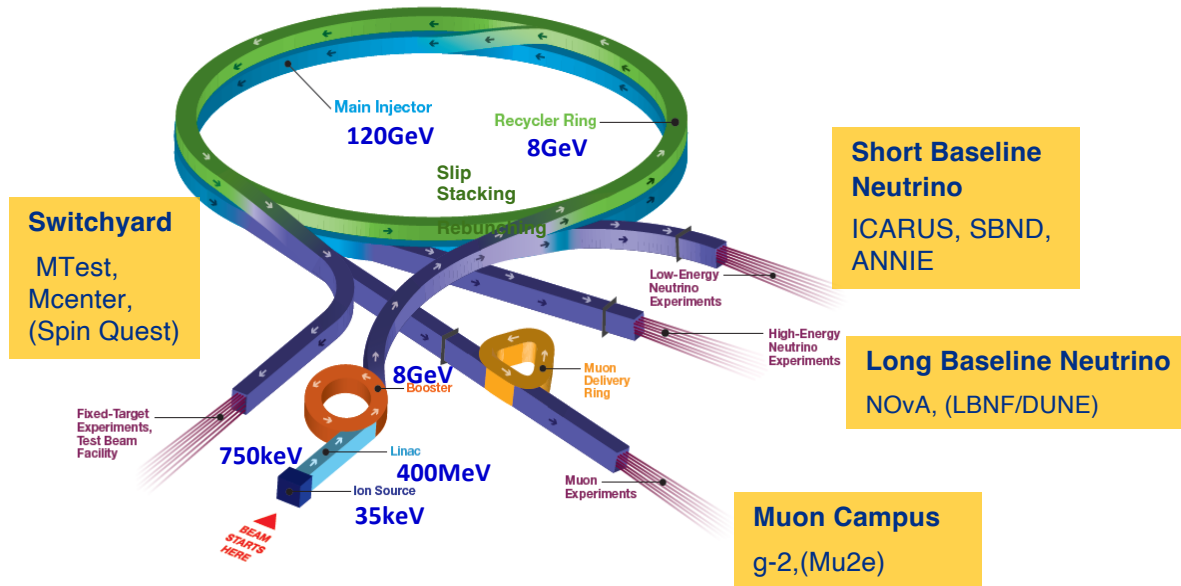
- Smash high-power proton beam onto a target → produces a spray of hadrons (mostly pions)
- Focus either π^+ or π^- using magnetic lenses → focusing horns
- Allow pions (and kaons) to decay $\pi^+ \rightarrow \mu \nu_\mu$: need a long decay tunnel
- Gives an approximately collimated ν_μ beam



- Neutrino energy spectrum determined by decay kinematics & magnetic focusing optics
- Beam is mostly ν_μ but % level backgrounds arise from



Current Accelerator Complex at Fermilab

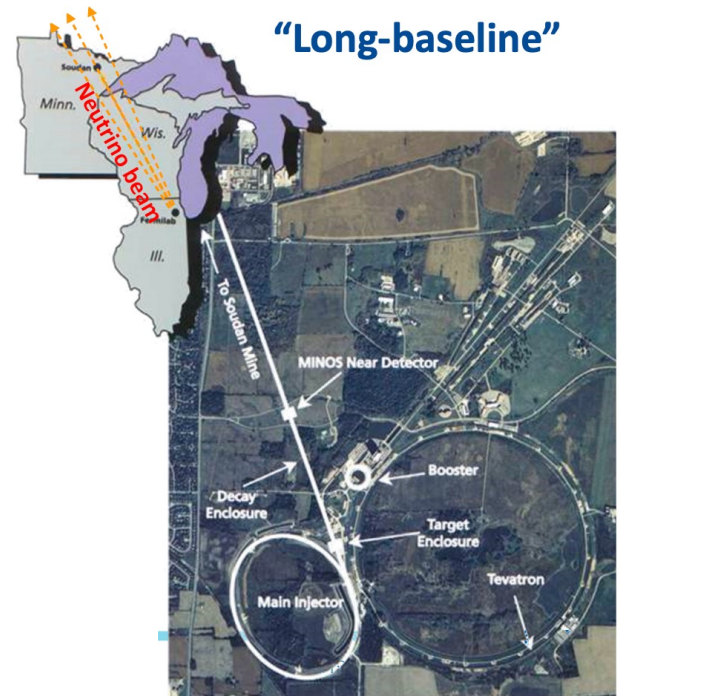


- Fermilab operates largest particle accelerator complex in USA, 6,800 acres of federal land
 - ~1,900 staff with a yearly budget of ~ \$600M
 - Hosts facilities utilized by over 4,000 scientists from 50+ countries
- Continues its mission to unravel mysteries of matter, energy, space, and time for global benefit

Example: NuMI at Fermilab

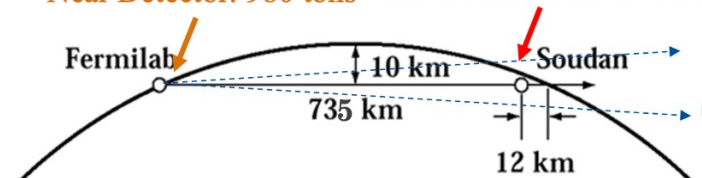
Neutrinos ($\nu \rightarrow \text{Nu}$) at the Main Injector

- Intense muon-neutrino beam directed towards Minnesota
- Main Injector supplies 25 – 50 trillion 120GeV protons every 1.33 seconds
- Each pulse produces about $10^{14} \nu_{\mu}$
- ~ 20,000,000 Pulses per year
- Direct beam 3° down

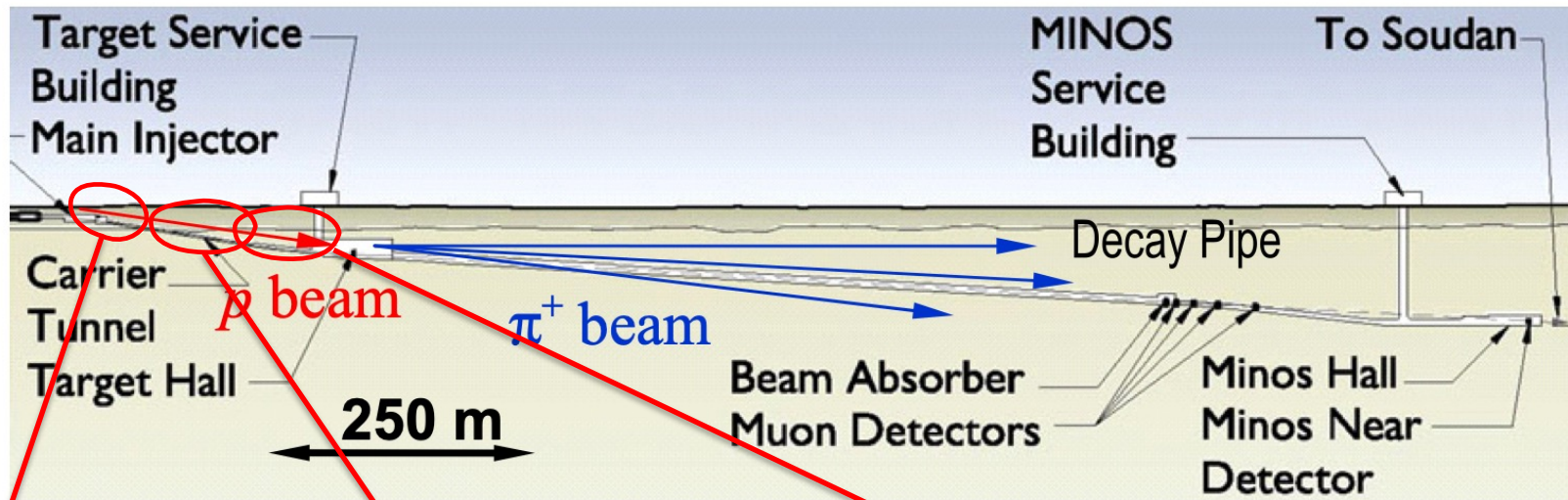


“Long-baseline”

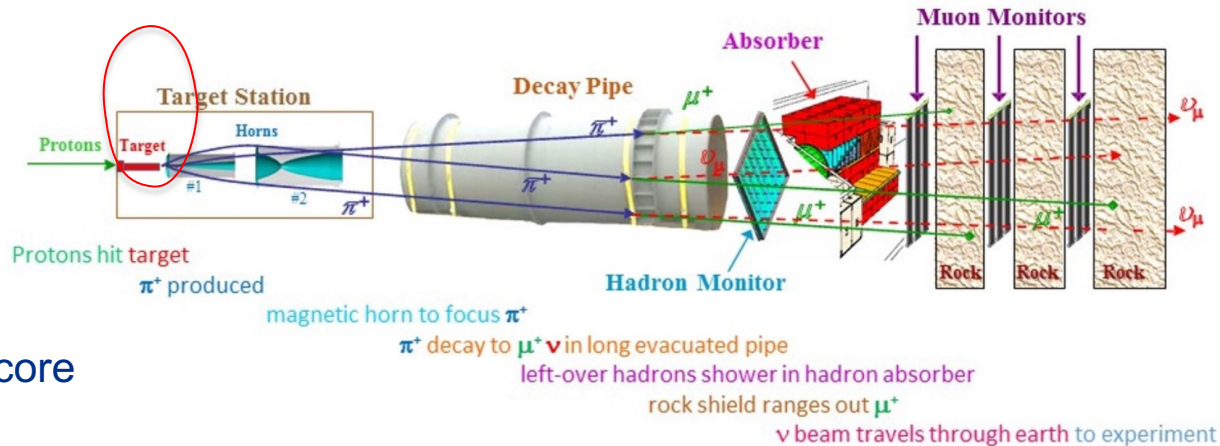
Near Detector: 980 tons Far Detector: 5400 tons



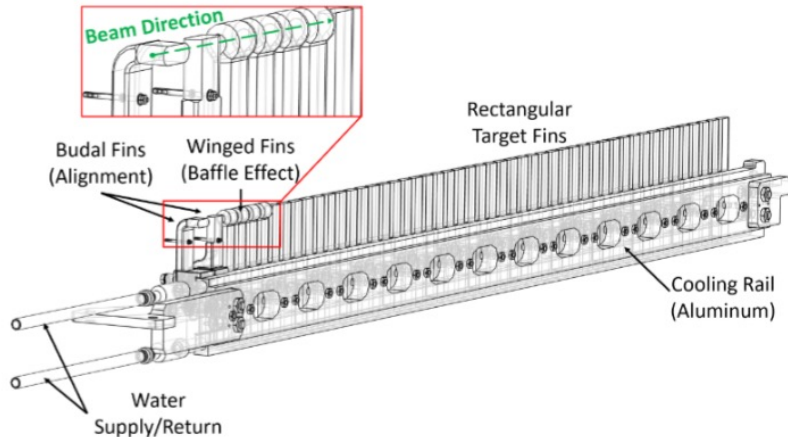
NuMI Overview



NuMI Overview

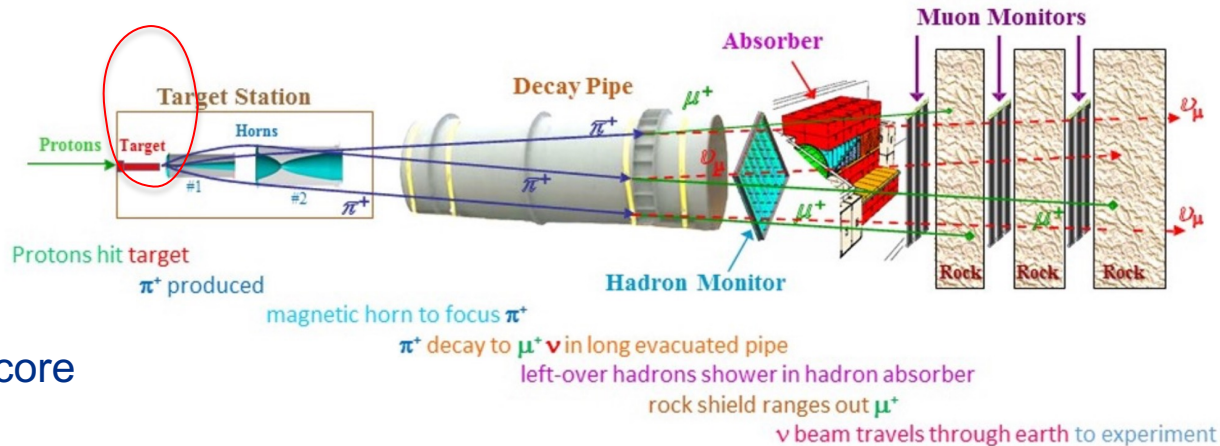


Layout of target core

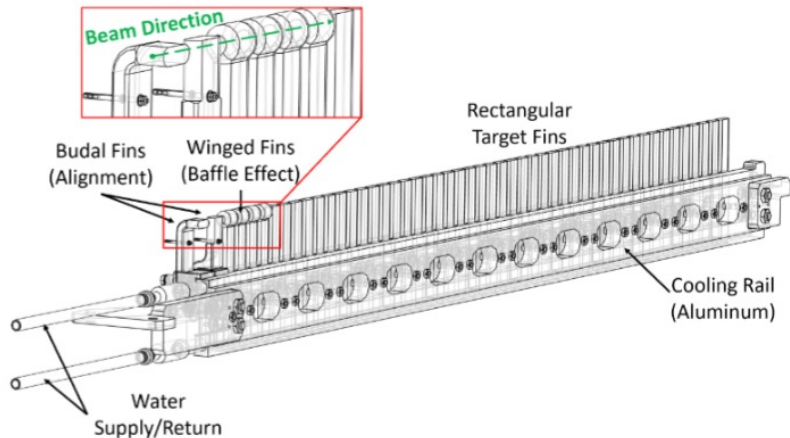


- Nominal beam position at target core is near upper end of fin
- First two fins are used for beam position measurement
- Next four fins have a thick graphite cylinder around top of fin – winged fin
- Rest of target core has 44 rounded rectangular target fin
- Dimensions of fin : balance between pion production yield & thermal stress on fin

NuMI Overview



Layout of target core



- Longer the target, higher probability protons will interact
- Longer the target, more produced particles will scatter
- But, more protons interact, hotter the target will get
- Target N times wider than $\pm\sigma$ of proton beam size

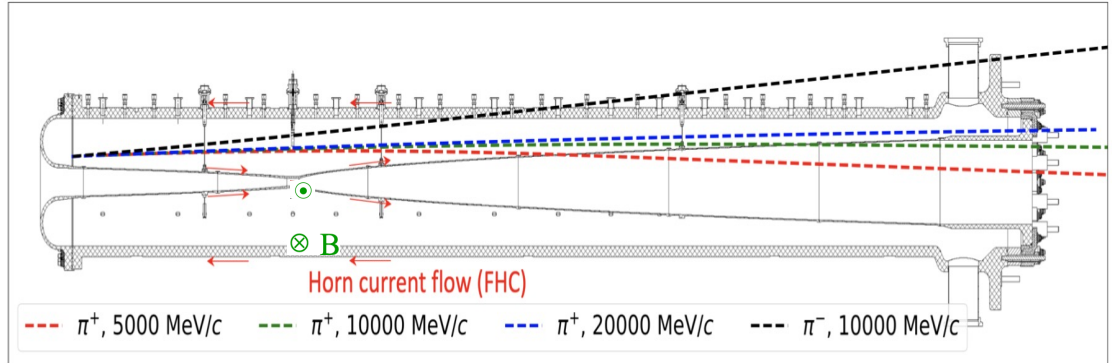
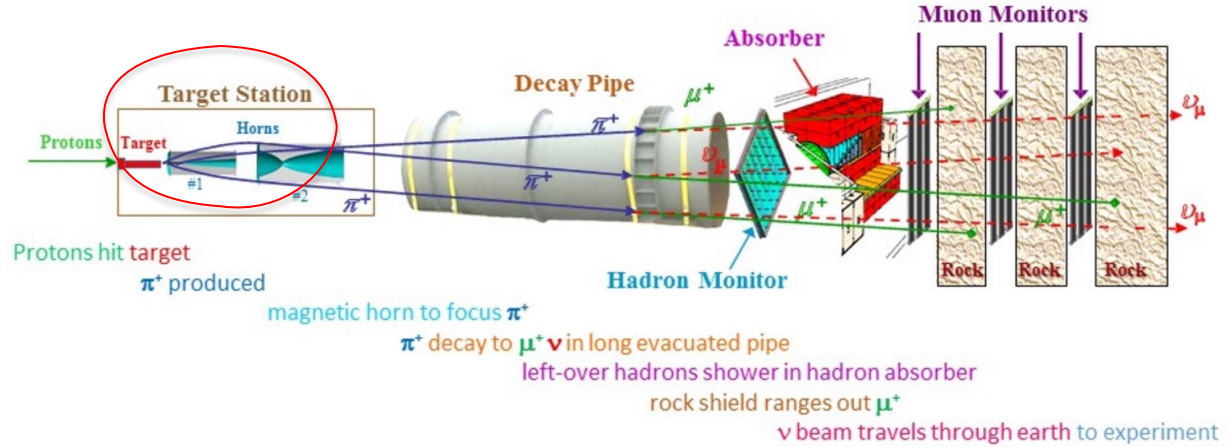
NuMI Overview: Focusin

- Two focusing horns pulsed with 200 kA
- Two axially symmetric conductors with current sheet running down inner & returning on outer
- Maximum field ~3 T

Azimuthal magnetic field between inner and outer conductors

$$\oint \vec{B} \cdot d\vec{l} = \mu_0 I \quad \Rightarrow \quad \vec{B} = \frac{\mu_0 I}{2\pi r} \hat{e}_\phi$$

- B/w conductors toroidal B field, $qvXB$ force provides restoring force
- Momentum kick depends on B and distance traversed between conductors.
- 1/r field + parabolic profile makes horn behave as a highly achromatic lens



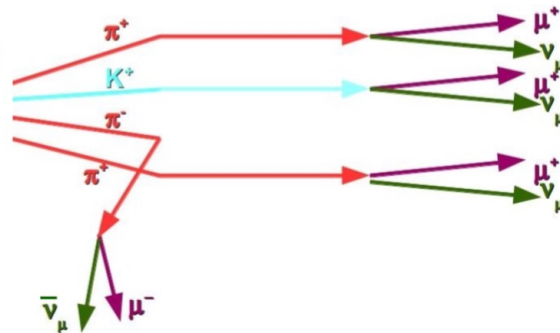
Horns in General ...

Want to focus as many particles as possible and cancel as much background:

- Make $\pi(K)$ decay parallel to the beam direction.
- Deflect unwanted particles.
- Pions diverge from the target with a typical angle:

$$\theta_{\pi} \approx p_T/p_{\pi} \approx \langle p_T \rangle/p = 280\text{MeV}/p_{\pi} = 2/\gamma$$

- Neutrinos from pion decay $\sim 1/\gamma$.



**If no focusing employed
Important to correct**

Angle of pion off target > angle of neutrinos from pion decay

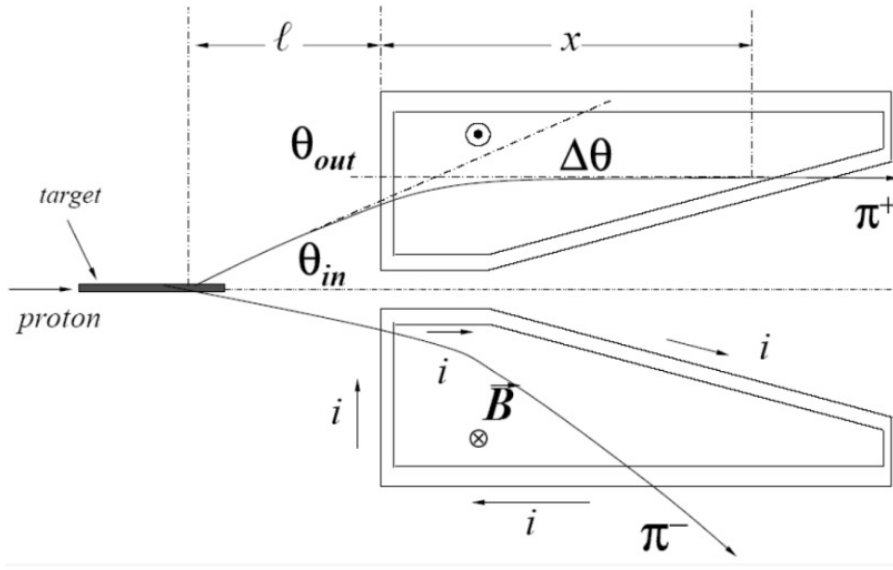
Average incident angle for pions into horn

$$\theta_{in} \approx \langle p_T \rangle/p$$

pT kick: Angular deflection of pion in magnetic field

Focused pion is one for which pT kick cancels incident angle of the pion into horn

Conical Horns



- Van der Meer's original horn was a conical surface for inner conductor
- Focuses all momenta of a given sign for a given angle of pion into horn
- Produces a broad band beam

$$\Delta\theta = \frac{Bx}{p} = \frac{\mu_0 I x}{2\pi r p}$$

A focused pion is one in which $\theta_{out} = 0$

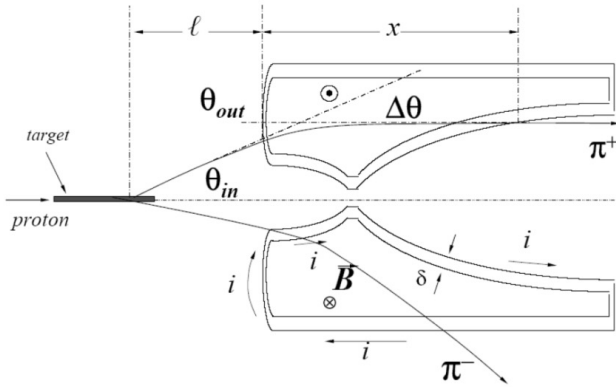
$$\Delta\theta = \bar{\theta}_{in}$$

$$\frac{\mu_0 I x}{2\pi p r} = \frac{\langle p_T \rangle}{p}$$

$$x = \langle p_T \rangle \frac{2\pi}{\mu_0 I} r$$

- Pathlength should grow linearly with radius of entrance into horn
- This implies a cone-shaped horn geometry, where pathlength increases as particles move towards wider end of horn
- Momentum cancels out of the final equation, captures a wide range of momenta for particles

Parabolic Horns



- Parabolic horn whose inner conductor follows a curve $z = ar^2$, with parabolic parameter a in cm^{-1}
- pT kick of any horn results in a change in angle of $\Delta\theta = \frac{Bx}{p} = \frac{\mu_0 I x}{2\pi r p}$,

where $x = 2ar^2$ is pathlength through horn (for a parabolic conductor on either side of neck)

Setting $\Delta\theta = \theta_{\text{out}} - \theta_{\text{in}} = \theta_{\text{out}} - r/l$,

a point source located a distance $l = f$ (focal length) upstream of target is focused like a lens if $\theta_{\text{out}} = 0$

$$f = \frac{\pi}{\mu_0 a I} p.$$

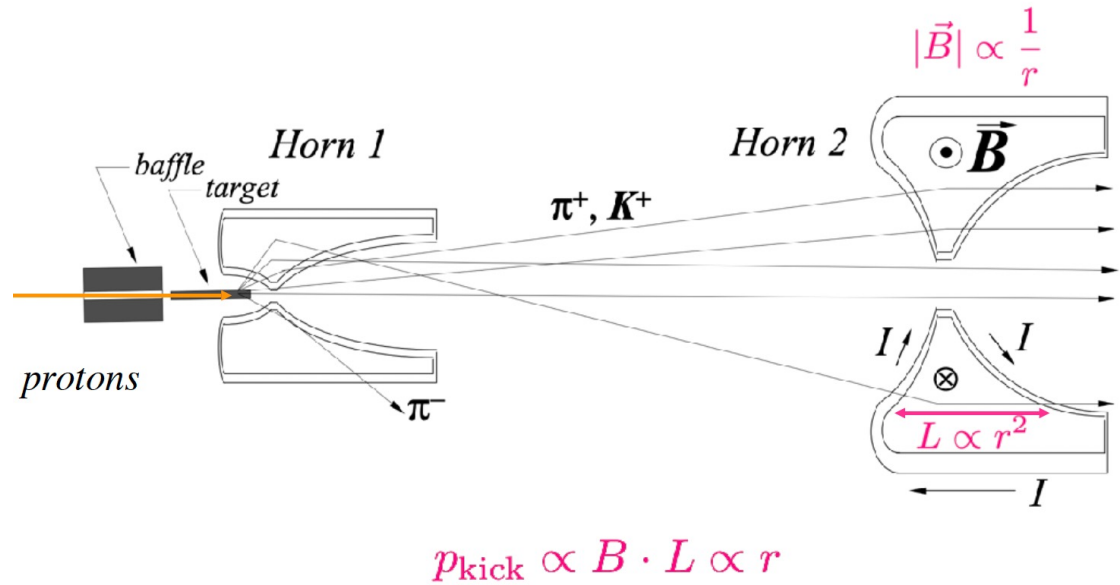
- Focuses a given momentum for all possible angles of entry into horn
- With a parabolic shaped horn inner conductor, horn behaves like a lens (p_t kick proportional to distance from axis), with a focal length proportional to momentum – shows strong chromatic dependence

Parabolic Horns

Two differences with conical horn:

- (1) parabolic horn works for all angles (within limit of small angle approximation), not just “most likely angle” $\theta_{in} = \langle p_T \rangle / p$
- (2) single parabolic horn has a strong chromatic dependence (focal length depends directly on particle momentum p)

Multi-Horn System



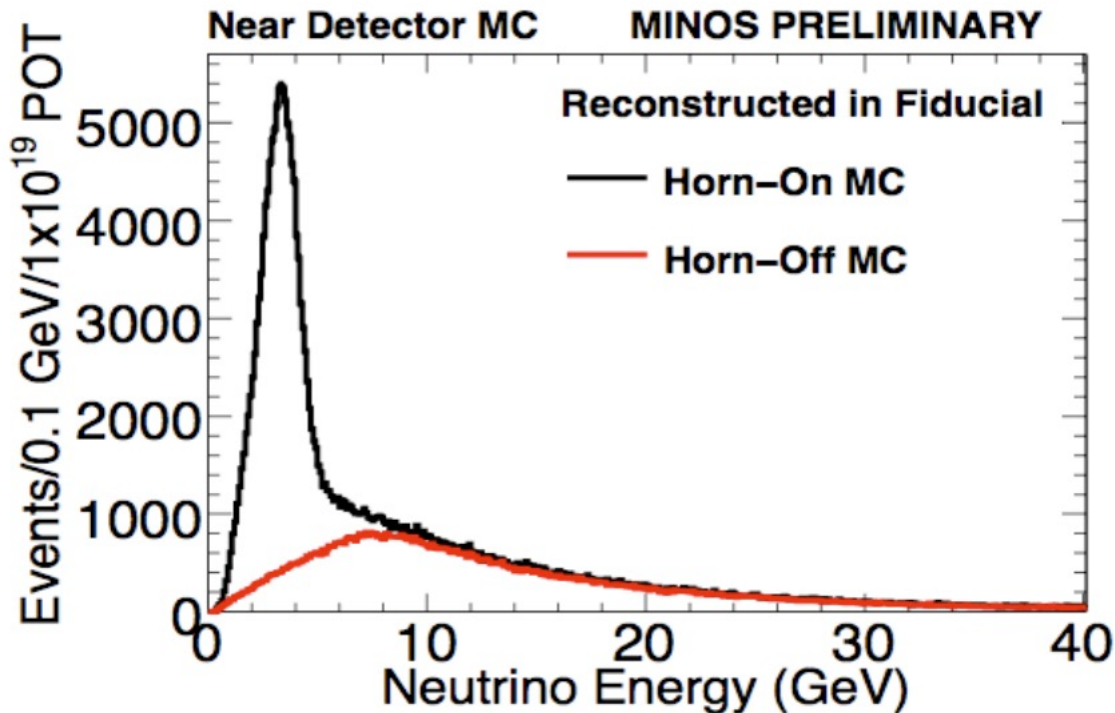
- Second horn expected to halve divergence of beam & should have a larger inner aperture to leave well-focused particles unperturbed by first lens
- As angle of neutrino parent decreases, its momentum $p \approx \langle p_T \rangle / \theta$ increases
- Pions focused by only horn 1 give softer neutrinos than those focused only by horn 2

- Compare horn on/horn off
- Always have high-energy component
- Horn on: focused peak

Question

- **Why high energy tails?**

https://pollev.com/multiple_choice_polls/7DVGqg3TV7rhC6CinPEQD/respond



Moving Target

- By moving target position can vary energy spectrum

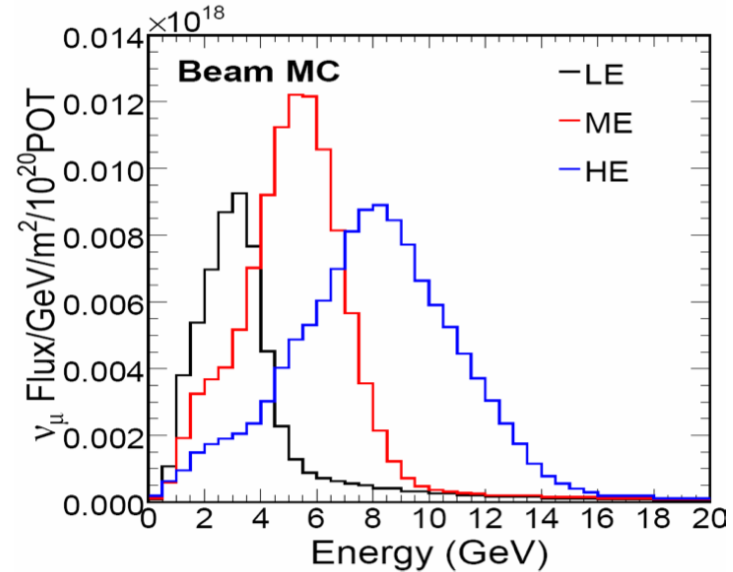
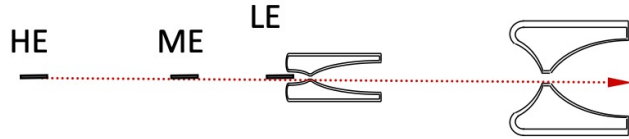


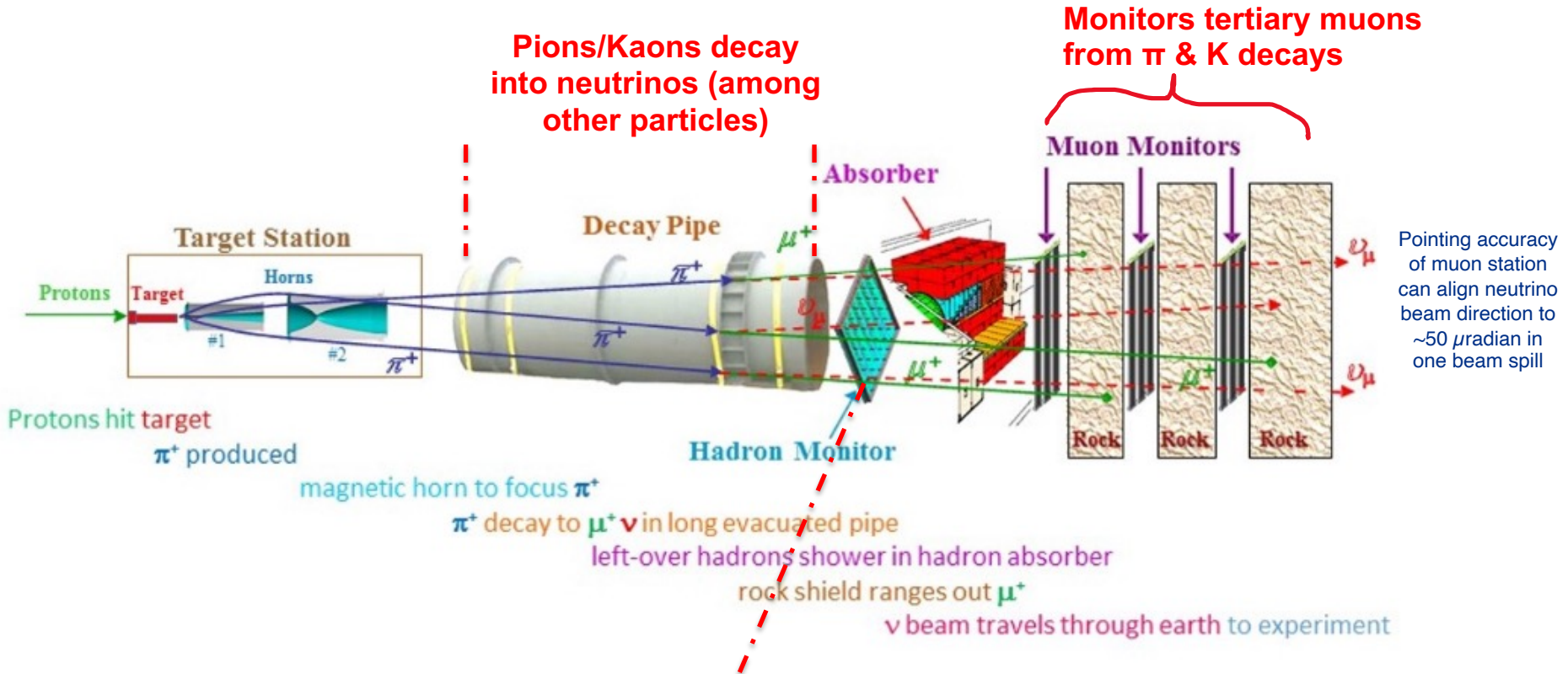
FIGURE 2. Neutrino energy spectra achieved at a distance of 1040 m from the NuMI target with the horns separated by 10 m and the target inside the first horn (LE), or retracted 1 m (ME) or 2.5 m (HE).

Question

- How?

https://pollev.com/multiple_choice_polls/7T6pFpcpYSmAPFuXfgd52/respond

NuMI Overview: Decay Pipe, Muon Monitors, Near Detector



Monitors remnant hadrons at end of decay pipe

Multiple Experiments in NuMI Beamline

Long-baseline oscillation experiments

The MINOS+ Concept *MINOS+*

▶ Long-baseline neutrino oscillation experiment

- ▶ Measure NuMI Neutrino beam energy and flavor composition with two detectors over 735 km
- ▶ $L/E \sim 500 \text{ km/GeV}$

▶ Near Detector at Fermilab

▶ Far Detector at Soudan Underground Lab, MN

▶ Compare Near and Far measurements to study neutrino mixing

5.4 kt
735 km from source

ND 5.4 kt
735 km from source

NOvA

NOvA is designed to answer the next generation of ν questions

- Mass Hierarchy
- ν_3 dominant coupling (θ_{23} octant)
- CPV in ν sector
- Tests of 3-flavor mixing
- Supernovae ν 's



A. Norman, v. 2014

Neutrino scattering experiments

ArgoNeuT in the NuMI beam line

- First LArTPC in a low (1-10 GeV) energy neutrino beam.
- Acquired 1.35×10^{10} POT, mainly in $\bar{\nu}_\mu$ mode.
- Designed as a test experiment.
- But obtaining physics results!

ArgoNeuT tech-paper: *JINST 1 (2012) P10019*

Neutrino mode
Fluxes focus ν_μ, ν_e, ν_τ

$\langle E \rangle = 4.3 \text{ GeV}$

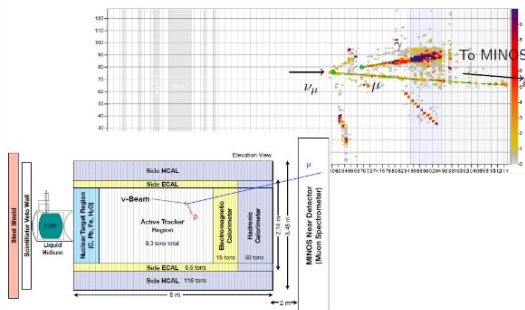
$\nu_\mu: 91.7\%$
 $\nu_e: 7.0\%$
 $\nu_\tau: 1.3\%$

Anti-neutrino Mode
Fluxes focus $\bar{\nu}_\mu, \bar{\nu}_e, \bar{\nu}_\tau$
enhancing the $\bar{\nu}_\mu$ flux

$\langle E \rangle = 3.6(9.6) \text{ GeV}$

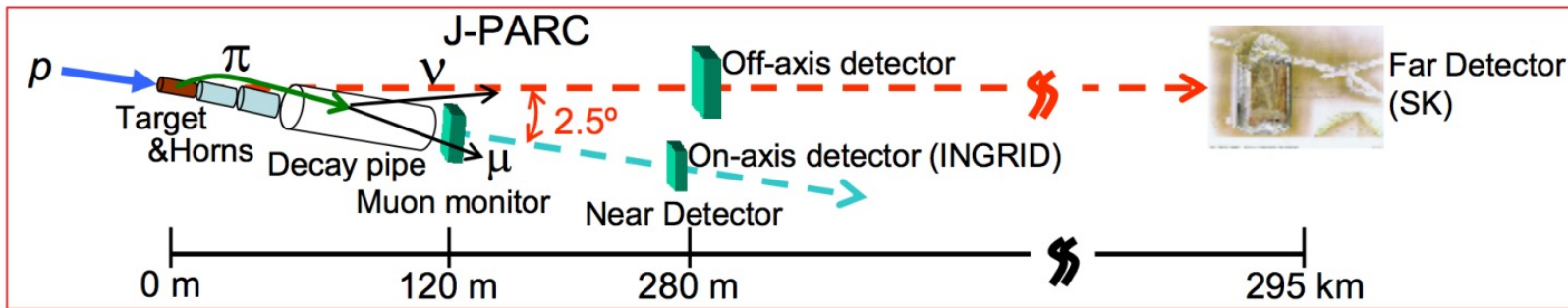
$\bar{\nu}_\mu: 39.9\%$
 $\bar{\nu}_e: 58.1\%$
 $\bar{\nu}_\tau: 2.0\%$

The MINERvA detector provides a fine-grained view of neutrino-nucleus interactions

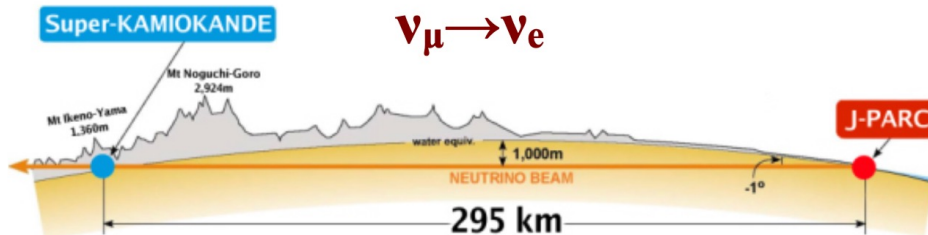
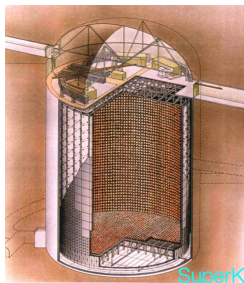


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JPARC Neutrino Facility



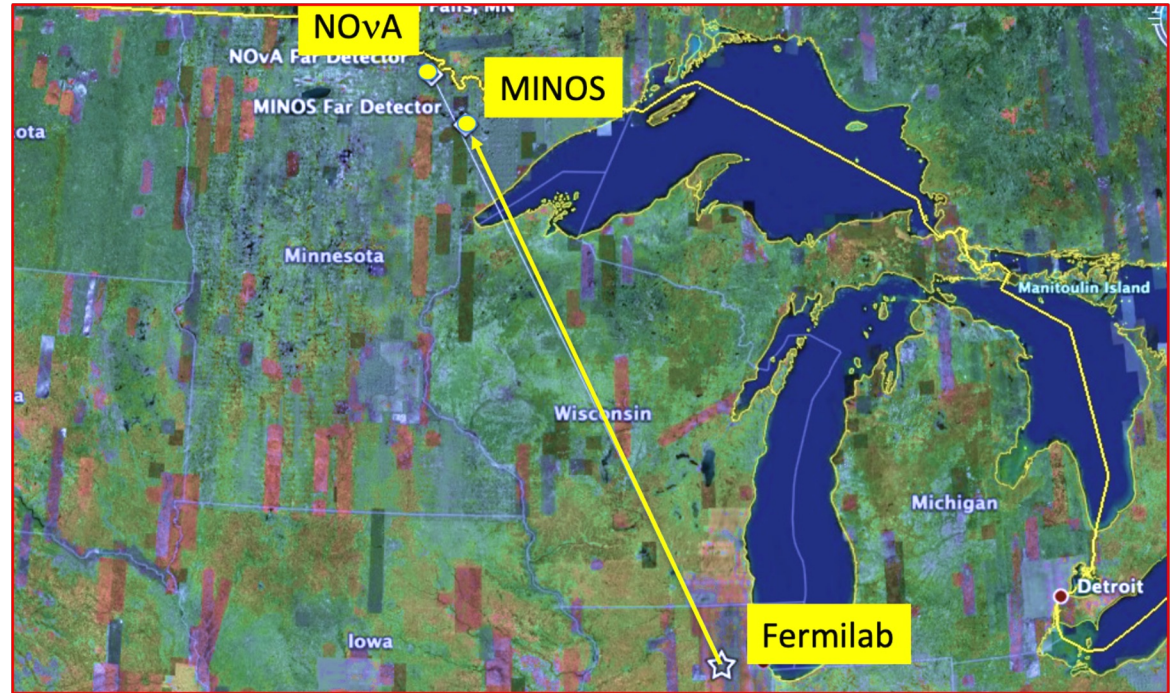
- 30 GeV protons from J-PARC hit a long carbon target, produces pions, kaons
- 295 m baseline
- 100 m long decay volume
- 2.5 off-axis beam allows for narrower neutrino energy spectrum
- $E_\nu \sim 600$ MeV, oscillation probability is maximum for baseline
- ND: ND280 (off-axis)
- INGRID (on-axis)
- FD: 50kt water Cherenkov (SuperK)



On-Axis vs Off-Axis at Fermilab

- Low-energy pion spectrum for MINOS (On-Axis)
- Medium-energy pion spectrum for NO ν A (14 mrad Off-Axis)

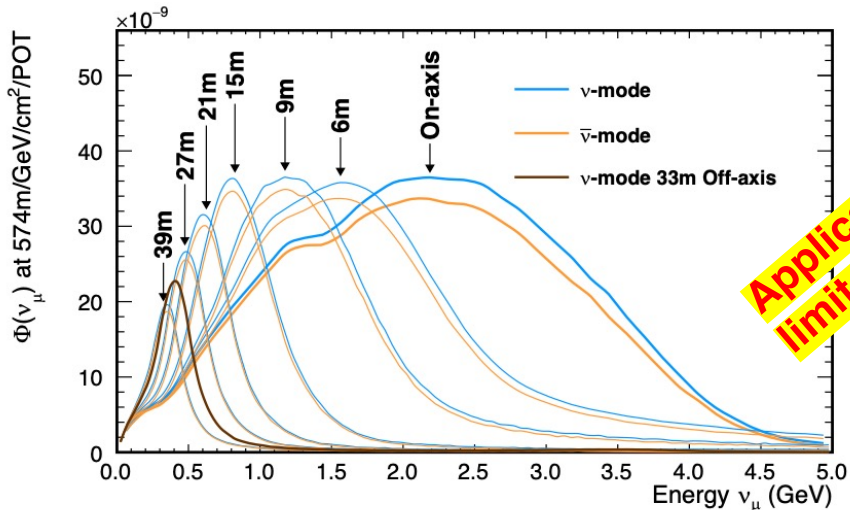
- **On-axis** (detector on axis of neutrino beam)
- **Off-axis** (detector a few degrees off beam axis)



On-Axis vs Off-Axis at Fermilab

DUNE-PRISM

Near detector moves relative to beam axis
(plot courtesy of Michael Wilking and DUNE TDR)



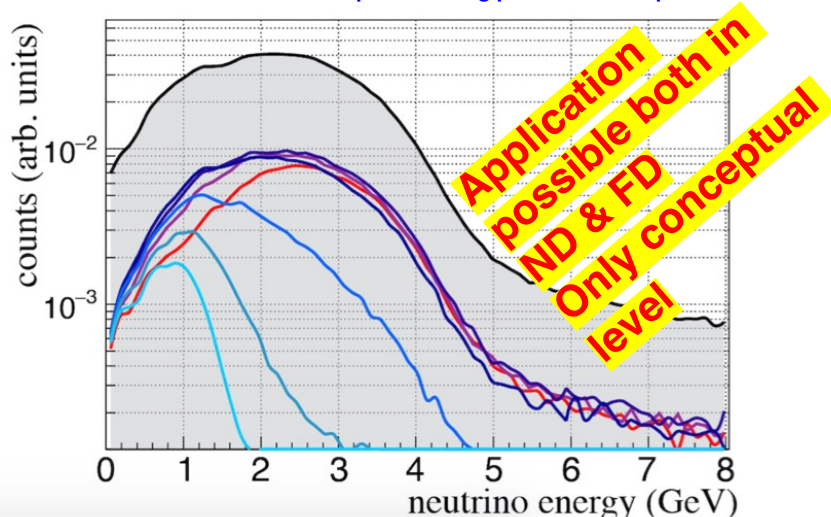
Application limited to ND

utilizes **angular** kinematics of hadrons to select different spectra

Stroboscopic

Measure time-of-arrival relative to proton bunch

Reference: <https://arxiv.org/pdf/1904.01611.pdf>

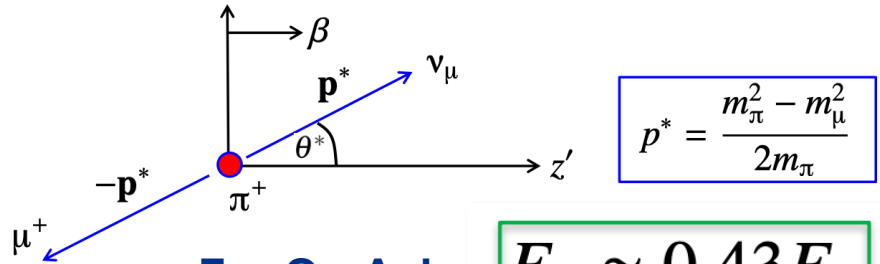


Application possible both in ND & FD
Only conceptual level

utilizes **timing** kinematics of hadrons to select different spectra

On-Axis vs Off-Axis

- Properties of neutrino beam driven by relativistic kinematics
- Consider pion travelling with velocity v/c along z axis
- In pion rest frame neutrino is produced at angle θ^* to z' axis, starred (*) quantities refer to pion rest frame



For On-Axis

$$E_\nu \approx 0.43 E_\pi$$

- Neutrino beam is created with spectrum of pions from target, neutrino spectrum follows a wide-band beam

For Off-Axis

$$E_\nu / \text{GeV} = \frac{0.03}{\theta}$$

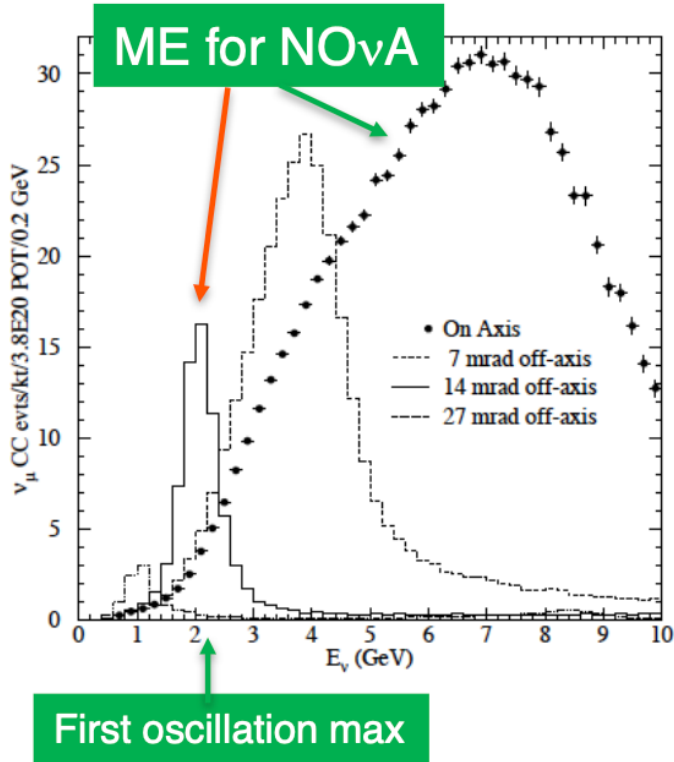
- For a particular off-axis angle, neutrino energies peak around a certain energy value
- Can obtain a narrow-band beam focused on a particular energy

Question:

Reduced neutrino flux, but some advantages...
What?

https://pollev.com/multiple_choice_poll/s/N36b8XrNDkTGIC0rAu44Z/respond

On-Axis vs Off-Axis



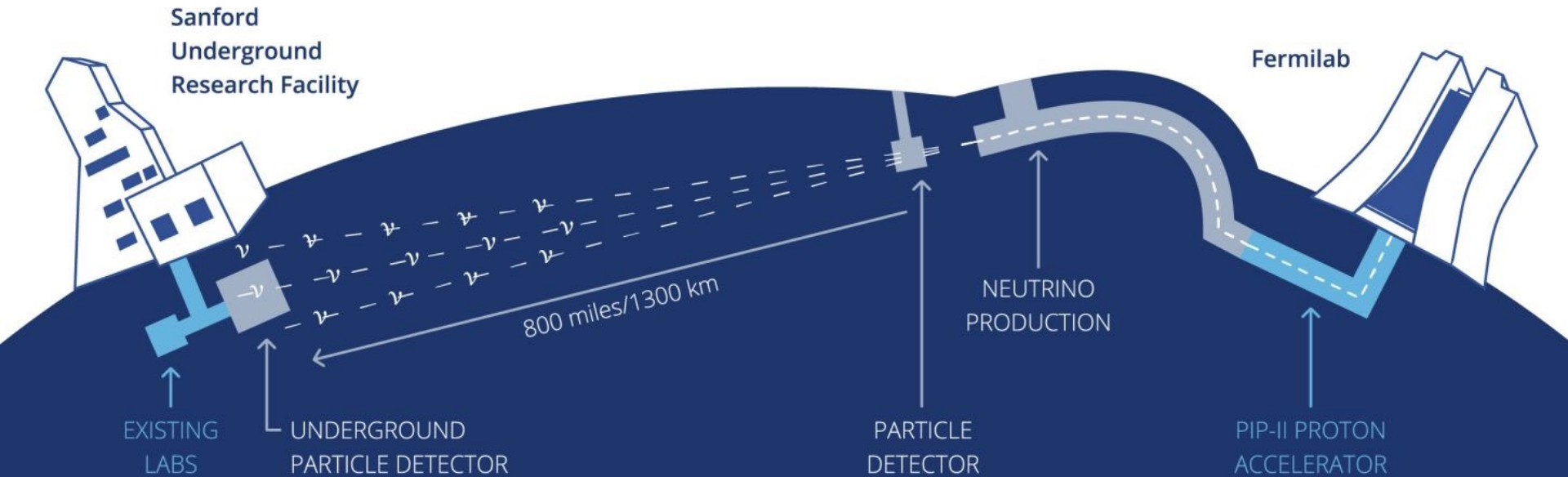
Medium-energy pion spectrum for NO ν A (Off-Axis)

How does NO ν A off-axis help?

https://pollev.com/multiple_choice_polls/9ufAuH7FzlecaWdbRWIBz/respond

Multi-MW Accelerator Facilities: Beam Power

Delivering on LBNF/DUNE is Fermilab's highest priority



NuMI Megawatt Upgrade

	NuMI Design	NOvA	1 MW upgrade
Proton beam energy	120 GeV		
Beam power (kW)	400	700	1 MW
Energy Spectrum	Low Energy	Medium Energy	
Cycle time (s)	1.87	1.33	1.2
Protons per spill	4.0×10^{13}	4.9×10^{13}	6.5×10^{13}
Spot Size (mm)	1.0	1.3	1.5
Beam pulse width	10 microsec		

R. Zwaska | Next-Gen Accelerators at Fermilab | NAPAC 2022

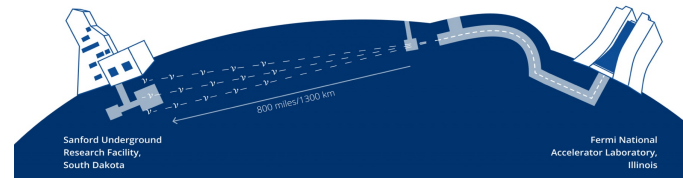
- **Enhanced Beam Power:**
 - Upgraded from 400 kW to 700 kW with NOvA /Accelerator & NuMI Upgrades (ANU)
 - NuMI Megawatt Accelerator Improvement Project (AIP): 2018-2021
- **Extended Capacity:** Modified to accept up to 1 MW beam power
 - Upgrade of target, horns, and supporting systems to be capable of accepting 1 MW beam power through 2025
- **Completion in 2021:** Finished upgrades after three annual shutdowns for component replacement
 - Various upgrade done, beam σ on target = $1 - 1.5$ mm
- **Power Milestone:**
 - Set a record of nearly 959 kW in May 2023
 - Demonstrated capability with 1.133s MI cycle run

LBNF/DUNE

LBNF/DUNE-US Project provides

- Up to 2.4 MW proton beamline
- 1.2 MW target systems
- Up to 2.4 MW of shielding and absorber

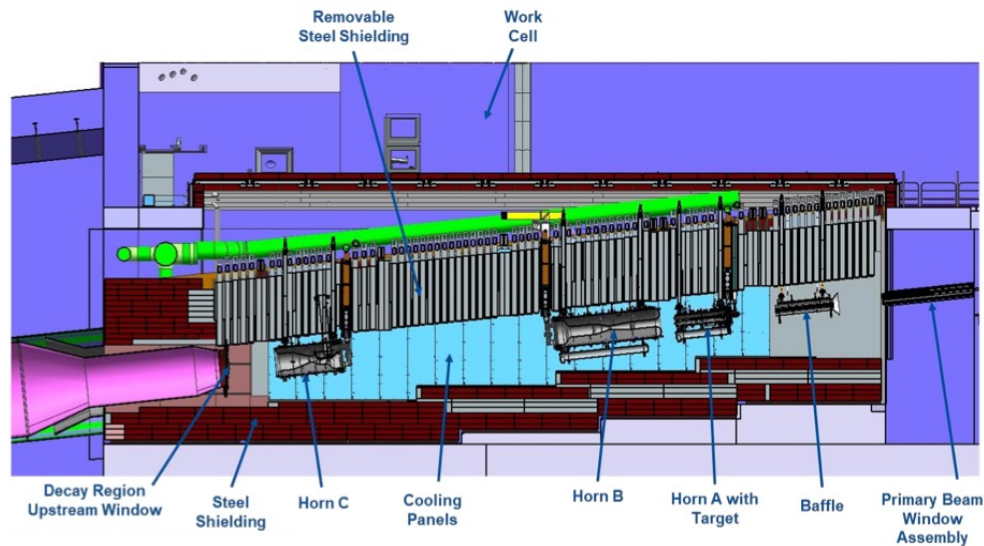
DUNE: World's most powerful neutrino experiment, powered by PIP-II & LBNF



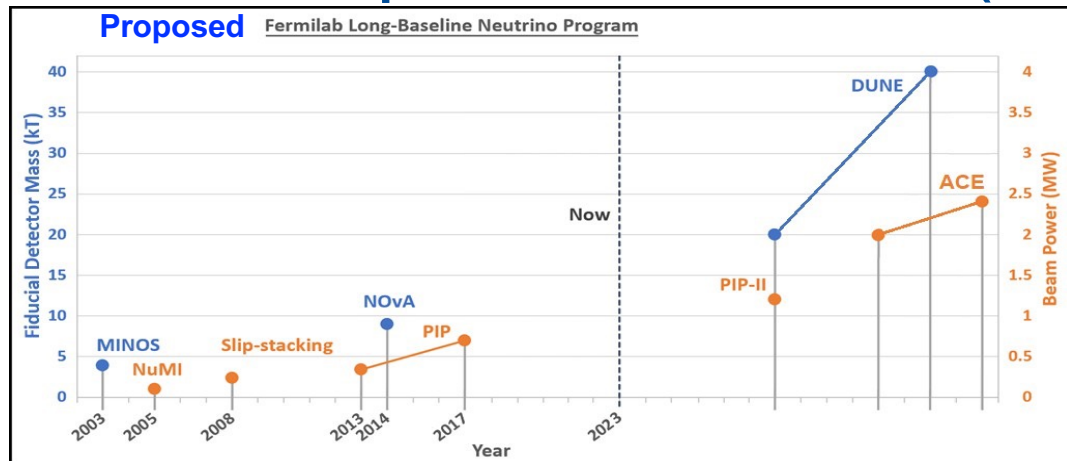
Capability Description	Phase I	Phase II
Beamline		
1.2MW (includes 2.4MW infrastructure)	X	
2.4MW		X ¹
Far Detectors		
FD1 – 17 kton	X	
FD2 – 17 kton	X	
FD3		X
FD4		X
Near Detectors²		
ND Lar	X	
TMS	X	
SAND	X	
MCND (ND GAR)		X

Note 1: requires upgrades to LBNF neutrino target and upgrades to Fermilab accelerator complex. The LBNF facility is built to support 2.4MW in Phase I.

Note 2: Near Detector Subproject threshold scope provides "day 1" requirements to start the DUNE experiment



Accelerator Capabilities Enhancement (ACE) overview and opportunities



From J. Eldred, JINST 2019

PIP-II upgrades will provide proton power of 1.2 MW (at max 1.35 MW)

Set maximum energy (E) to 120 GeV; one option is to boost beam pulse intensity (N), requiring additional 8 GeV upgrades to beam intensity

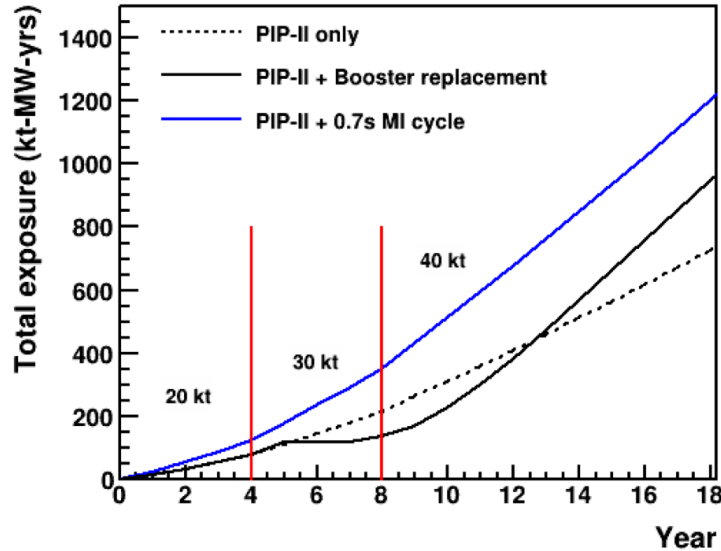
Other option is to **decrease MI ramp time**

$$P = \frac{eNE}{T}$$

- ACE upgrade: accelerate beam delivery to LBNF/DUNE via MI cycle time reduction – faster way to 2+ MW
- ACE-MIRT upgrade: Main Injector Ramp & Targetry: **MI cycle time (~0.7 s)** + improvements of Target Systems capabilities

Accelerator Capabilities Enhancement (ACE) overview and opportunities

- DUNE sensitivities depend on exposure (kt*MW*yrs)
- Oscillation sensitivities depend on total Far Detector exposure
- ACE upgrade to 2+ MW optimizes 40 kT DUNE detector



From C. Marshall, ACE Workshop, 2023

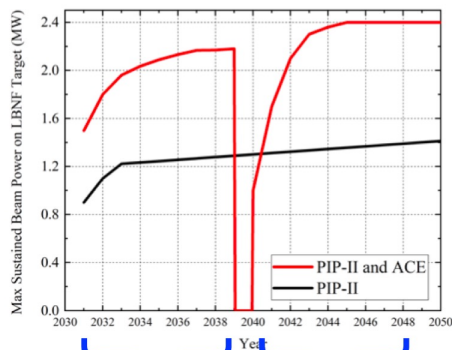
Assume an initial capacity of 20 kt (Phase I; 2 FD modules), with an additional 10 kt module added in year 4 and another 10 kt module in year 8

Accelerator Capabilities Enhancement (ACE) overview and opportunities

ACE-MIRT proposed to reduce Main Injector cyclers to ~ 0.65 s to increase beam power

In ACE-MIRT period:

- Significant beams at 0.8 GeV
- Less at 8 GeV (because of MI cycle time, absolute minimum slip stacking time is 0.65s)



From N. Tran, ACE Science Workshop, Fermilab Users Meeting 2023

ACE-MIRT

ACE-BR

Reduce Main Injector Ramp time
+ Target R&D to get to > 2 MW

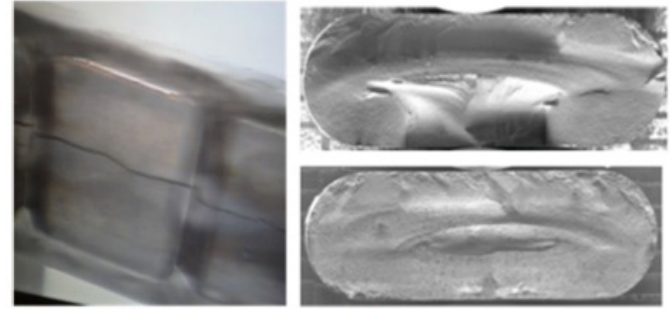
(Booster replacement)

		PIP-II Booster			
Operation scenario	Nominal	PIP-II	A	B	units
MI 120 GeV ramp rate	1.333	1.2	0.9	0.7	s
Booster intensity	4.5			6.5	10^{12} p
Booster ramp rate	15			20	Hz
Number of batches	12		12		
MI power	0.75	1.2	1.7	2.14	MW
cycles for 8 GeV	6	12	6	2	
Available 8 GeV power	29	83	56	24	kW

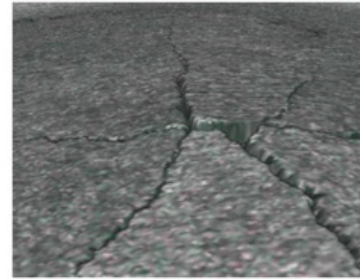
Neutrino Beam Challenges

Targetry R&D

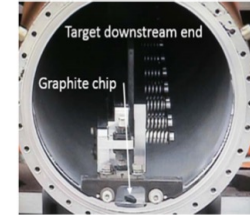
- Major facilities experience limitations in beam power
- Limitations often due to target survivability concerns rather than accelerator capabilities
- Successful HPT R&D enables facilities to operate at higher beam powers
- If 2+ MW upgrade is accelerated, long R&D cycle (~5 years), current data, results should be evaluated now to indicate expected lifetimes
- Need of new facilities: irradiation stations, Post-Irradiation Examination (PIE) facilities), development of modeling



MINOS NT-02 target failure: radiation-induced swelling (FNAL)



Be window embrittlement (FNAL)



NOvA MET-01 target fin fracture (FNAL)

Neutrino Beam Challenges

Beam Instrumentation

- Essential for smooth operation of accelerator complexes
- Impacted by immediate/cumulative radiation exposure, ambient temperature, humidity etc.
e.g. NuMI Muon monitor¹ damaged by radiation
- Affects range of operational beam parameters, e.g. highest possible beam power
- Essential for reliable and efficient operations at higher beam power for future multi-MW facilities
- Fermilab, KEK/J-PARC collaborating on a global R&D efforts to enhance beam instrumentation

Ideas for radiation hardened beam instrumentations

Facility	Beam Energy	Beam Power	Instruments
LBNF	60 - 120 GeV	1.2 MW - 2.4 MW (50-70e12 protons per spill, 0.6-1.2 sec repetition time)	1. Target Health Monitor. (non-contact sensor) 2. More radiation hardened Beam Loss Monitors (BLMs). 3. More radiation hardened Hadron Monitor. 4. Pico-second muon monitor. 5. Primary Proton Beam monitor.
Mu2e	8 GeV	8 kW (slow extraction beam, 1e9 protons per spill)	1. Target health monitor. (non-contact sensor) 2. Use same radiation hardened hadron monitor technology as production target monitor. 3. Primary Proton Beam Monitor.
Mu2e-II	0.8 GeV	100 kW	1. Target health monitor. 2. Primary Proton Beam Monitor.

Neutrino Flux

In a Generic Long-Baseline Oscillation Experiment

What we want to measure

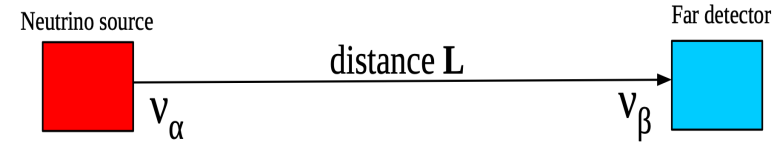


$$P(\nu_\alpha \rightarrow \nu_\beta) = \frac{\Phi_{\nu_\beta}(E_\nu, L)}{\Phi_{\nu_\alpha}(E_\nu, 0)}$$

Oscillation Probability

Oscillations are energy dependent

What we measure



$$N(E_\nu) = \Phi(E_\nu) \times \sigma(E_\nu) \times \epsilon(E_\nu)$$

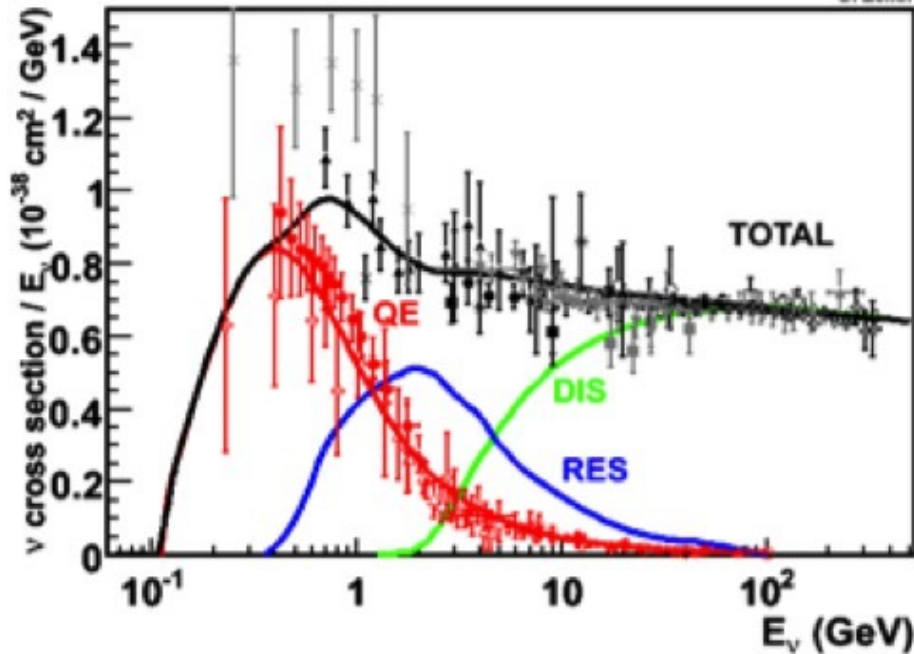
Rates of events defined by a set of specific experimental observable

Neutrino rates are cross section (and detector) dependent

In between what we want to measure and what we experimentally detect, we need to deconvolve initial neutrino flux, reaction cross sections, which are themselves energy dependent

Flux Measurements at Near Detector

Measurement of flux in near detectors



- Flux: rates at which neutrinos pass through a unit area per unit time
- Problem with measuring neutrino flux via neutrino near detectors: **near detectors see event rates**, not flux — i.e. they see product of flux and interaction cross sections
- **Interaction cross sections are poorly known**

Near/Far Detectors

Need to untangle energy dependent neutrino flux & reaction cross sections to better constrain systematics

- Two distinct detectors are supplied by a common neutrino beam
- Oscillation probability :

$$P = \left(\frac{N_2}{N_1} \right) \left(\frac{A_1}{A_2} \right) \left(\frac{\phi_1}{\phi_2} \right)$$

Where:

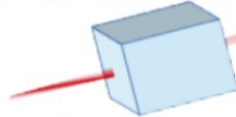
ϕ : ν flux.

σ : ν -nucleus cross section.

A: acceptance.

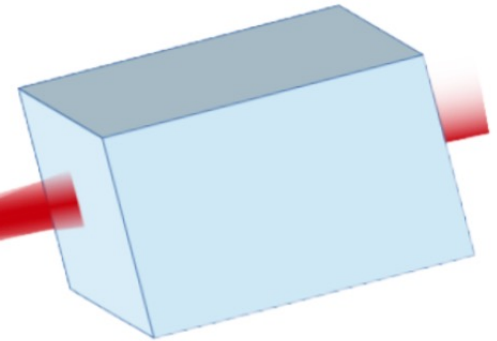
P: oscillation probability.

Near detector



$$N_1 = \phi_1 \sigma A_1$$

ν beam

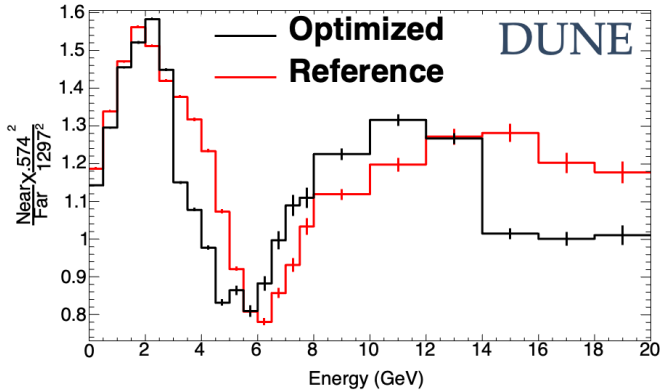


Far detector

$$N_2 = P \phi_2 \sigma A_2$$

Near Detectors

- It is commonly said that we “measure” flux at these detectors, but flux at near and far detectors is quite different:



- Near detectors do help (a lot) to reduce uncertainties on our flux
- But we still **rely critically on beam simulations** to extrapolate between near & far detectors

Can we look at Near to Far ratio?

- It isn't quite that simple...
- Convolution of detector effects with flux · cross section
- Cannot directly compare near and far observables to extract oscillations

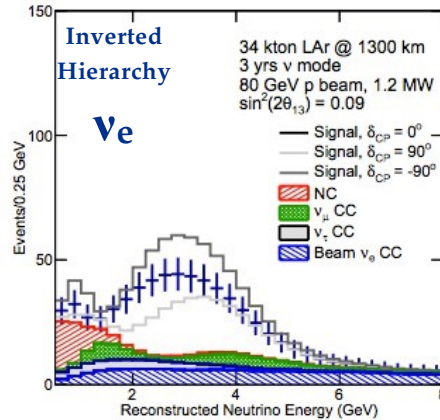
$$\text{Number of Near Detector events} = \text{Flux} \cdot \text{Cross section} \cdot \text{Detector effects}$$

$$\text{Number of Far Detector events} = \text{Flux} \cdot \text{Oscillation probability} \cdot \text{Cross section} \cdot \text{Detector effects}$$

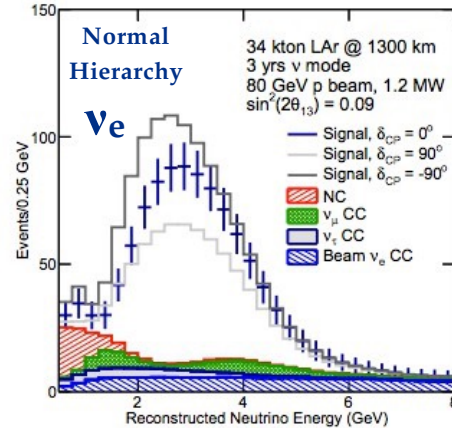
How Oscillation Experiments Work

- DUNE will study CP phase and mass hierarchy by measuring $\nu_\mu \rightarrow \nu_e$ and $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ transition

Predicted energy spectrum of electron neutrinos at far detector for different values of CP phase and mass hierarchy



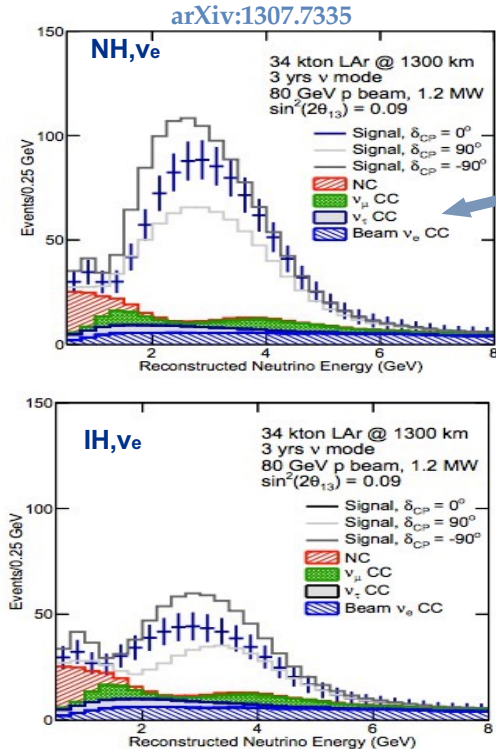
arXiv:1307.7335



Energy spectrum of ν_e 's and $\bar{\nu}_e$'s at far detector is **subtly different for different values of CP violation** and **substantially different for the two mass hierarchies**

How Oscillation Experiments Work

When DUNE data arrives, **place ν_e and $\bar{\nu}_e$ spectra that we observe on top of these predictions** and pick off values of MH and CP phase that best match data



These predicted spectra are therefore **vitaly important to LBNE's ability to measure CP violation and mass hierarchy**

Some of ingredients needed to produce predicted spectra

- **Energy and angular spectrum of neutrinos** that impinge on detector — both signal and backgrounds
- A **model of neutrino interactions** those neutrinos undergo (again, signal and backgrounds)
- **Mapping** between **energy observed** in detector and incoming **neutrino energy**
- **Uncertainties** on all above

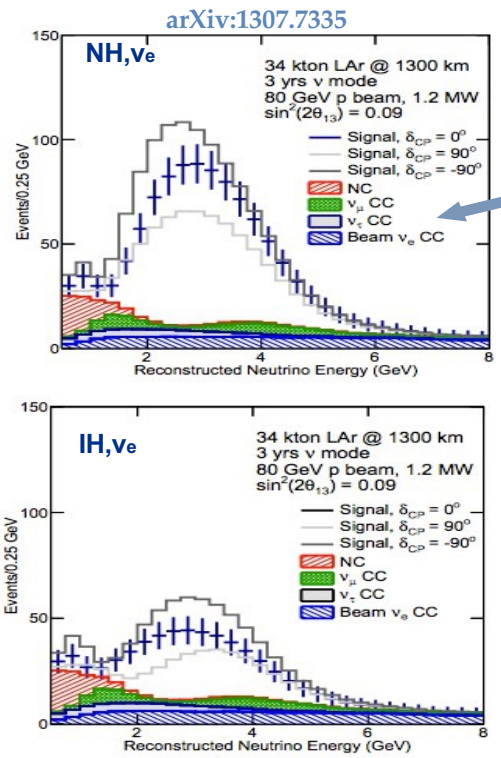
How Oscillation Experiments Work

When DUNE data arrives, we will essentially **place ν_e and $\bar{\nu}_e$ spectra that we observe on top of these predictions** and pick off values of MH and CP phase that best match the data

Some of ingredients needed to produce predicted spectra

- **Energy and angular spectrum of neutrinos** that impinge the detector — both signal and backgrounds

We commonly call this “neutrino flux”. Since we (mostly) can’t measure (mostly) invisible particles, we have to use beam simulations to tell us neutrino flux.



Beam Simulations

- **Beam simulations are also critical for cross section measurements** at near detectors (with which we tune our models of neutrino interaction)

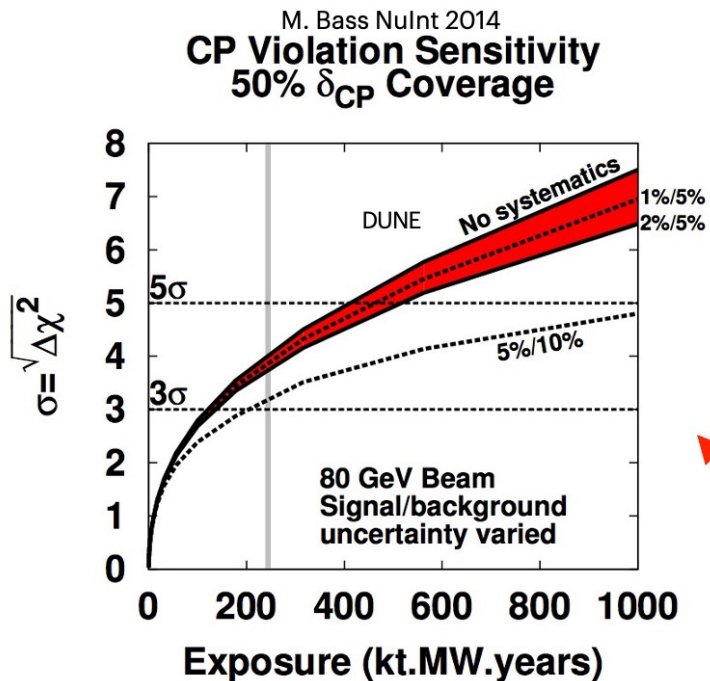
Neutrino flux that normalizes cross section measurements comes from beam simulations

The diagram shows the equation for cross-section measurement:
$$\sigma_i = \frac{U_{ij}(N_j - B_j)}{\Phi_i T \epsilon_i}$$
 Labels with arrows pointing to the equation:

- Unfolding Matrix points to U_{ij}
- Events Observed points to N_j
- Background Estimate points to B_j
- Neutrino flux points to Φ_i
- Target number points to T
- Efficiency points to ϵ_i

A blue circle highlights the denominator terms $\Phi_i T \epsilon_i$.

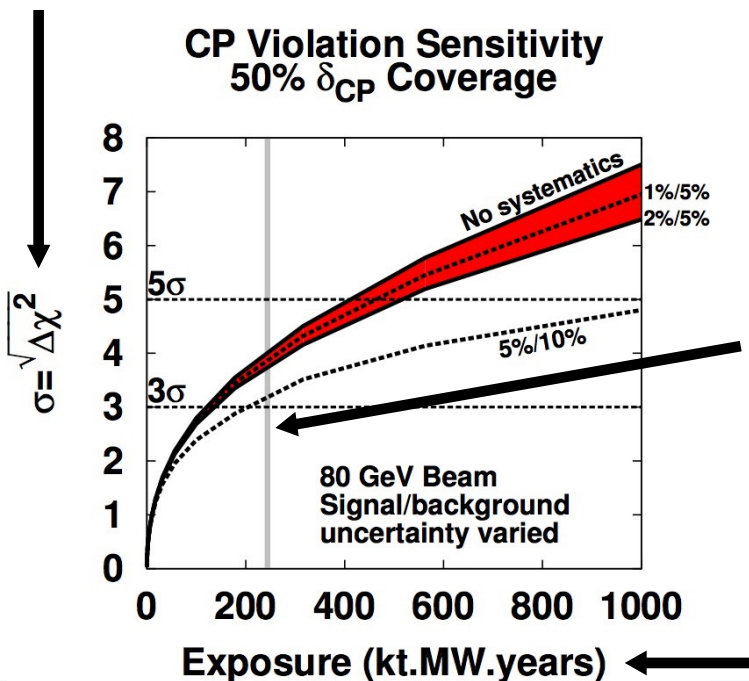
Importance of Systematics Uncertainties



- Currently, measurements of ν_e appearance **statistically dominated**
- DUNE / T2HK will have so many events that systematic uncertainty becomes more important
- **How well DUNE will be able to measure δ_{CP} phase of neutrino mixing matrix, for different systematics scenarios**

What Does This Plot Tell Us?

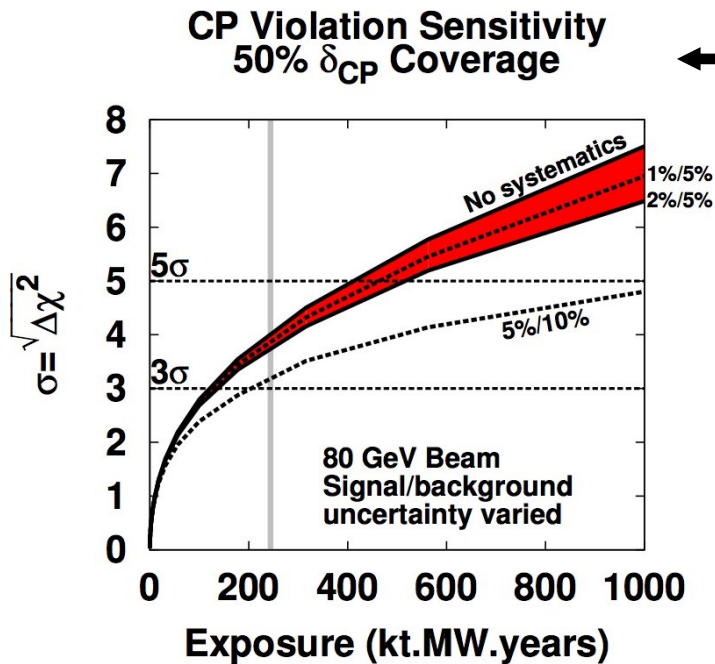
Average significance (in number of standard deviations) of difference between DUNE's measured ν_e spectrum, compared to null hypothesis ($\delta_{CP} = 0$)



First ~6 years of running, exposure used for a lot of DUNE's public plots

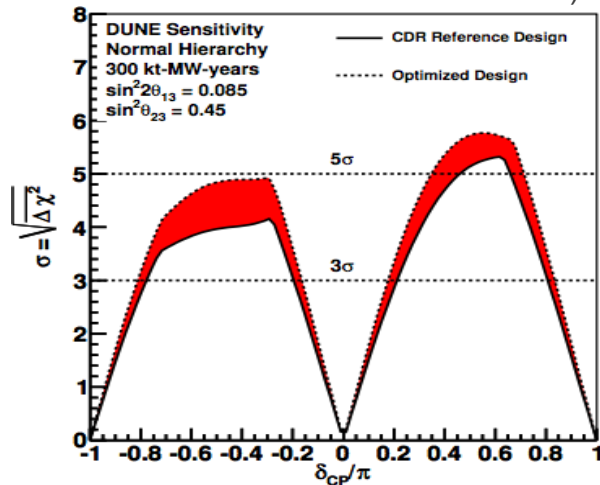
Exposure in kTon * MW * years Assuming 1.2 MW and 40 kTon, 1 year is ~ 50 kT MW years

What Does This Plot Tell Us?

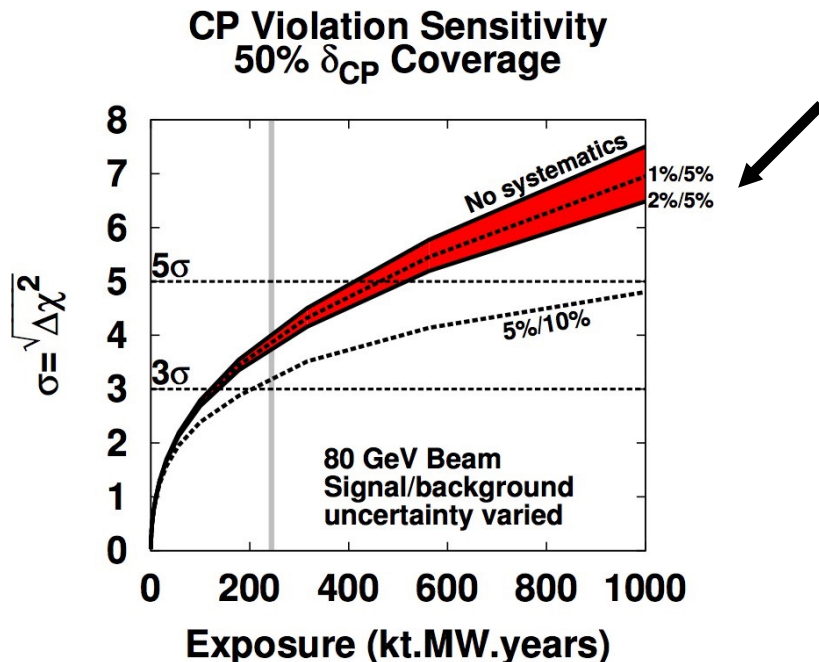


Plot shows number of standard deviations for 50% of the possible values of δ_{CP}

(for some values of δ_{CP} , signal will be too similar to $\delta_{CP} = 0$ for us to see a difference)



What Does This Plot Tell Us?

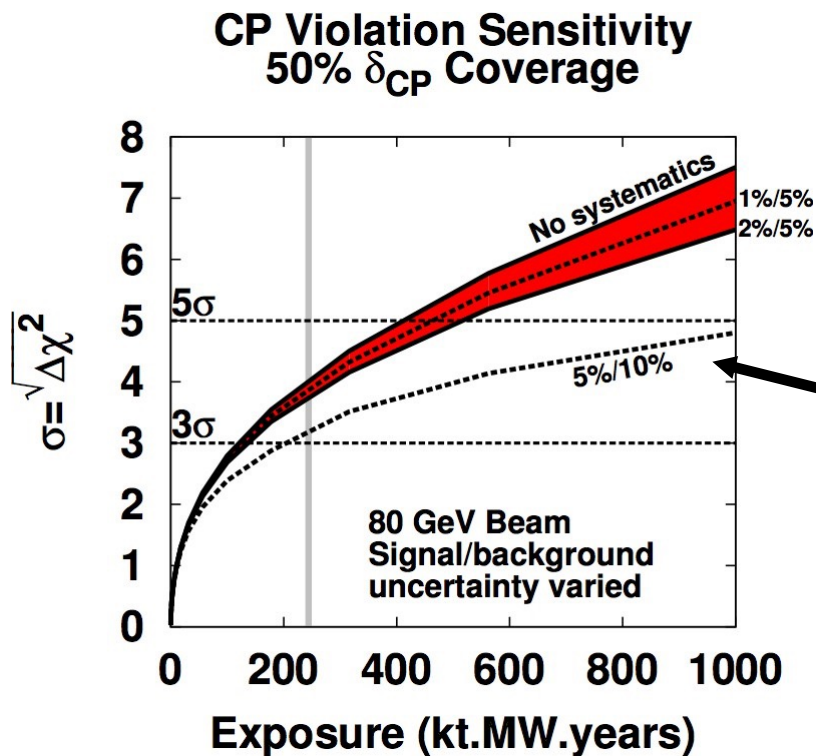


Assumed **systematic uncertainties**
on normalization of appearance
spectrum

First number is the uncertainty in ν_e spectrum
that is **uncorrelated with ν_μ spectrum**

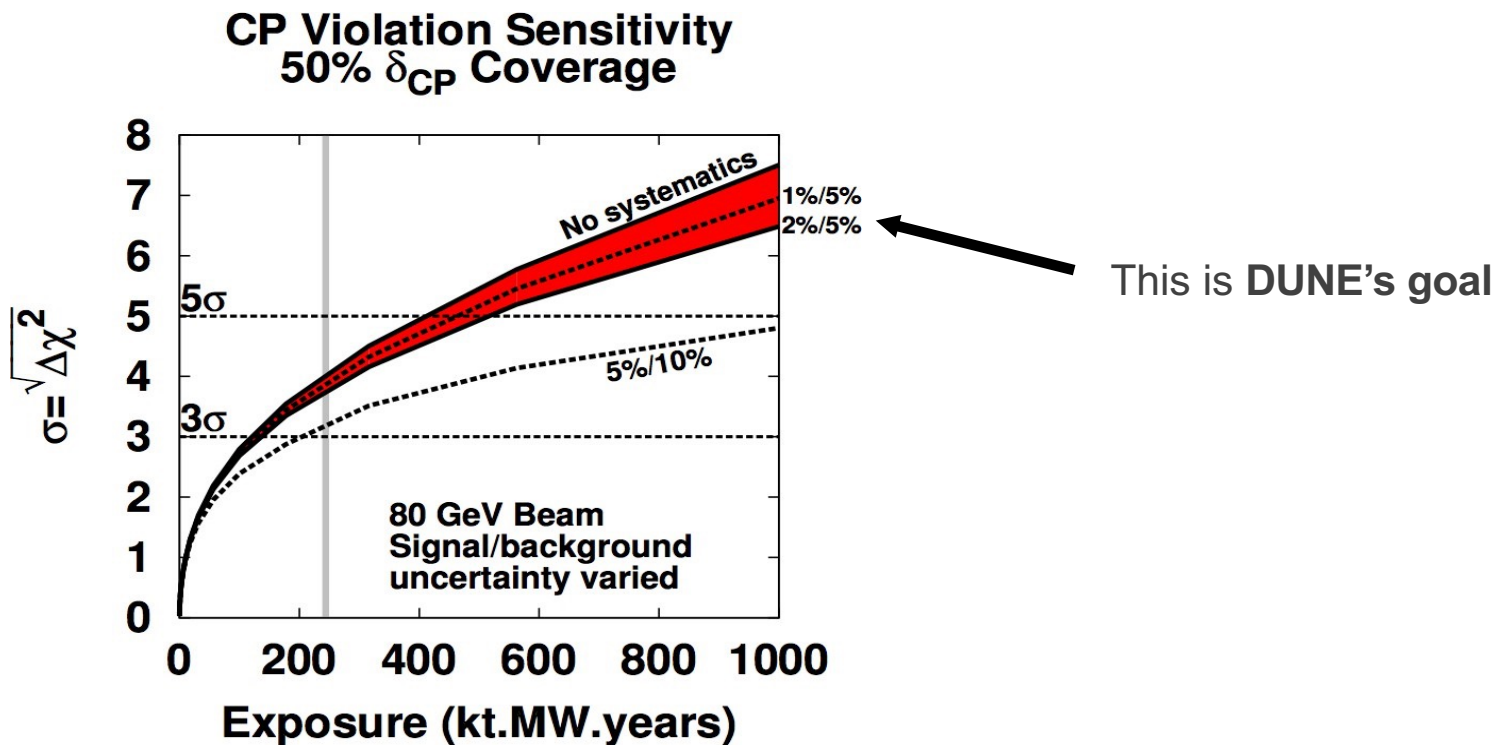
Second number is the uncertainty in ν_e
spectrum that is **correlated with ν_μ
spectrum**

What Does This Plot Tell Us?



This is about what oscillation experiments are able to achieve now

What Does This Plot Tell Us?



Flux Uncertainties

DUNE's Signal Systematics Goal:

- DUNE aims for a precision of 1% in its signal systematics

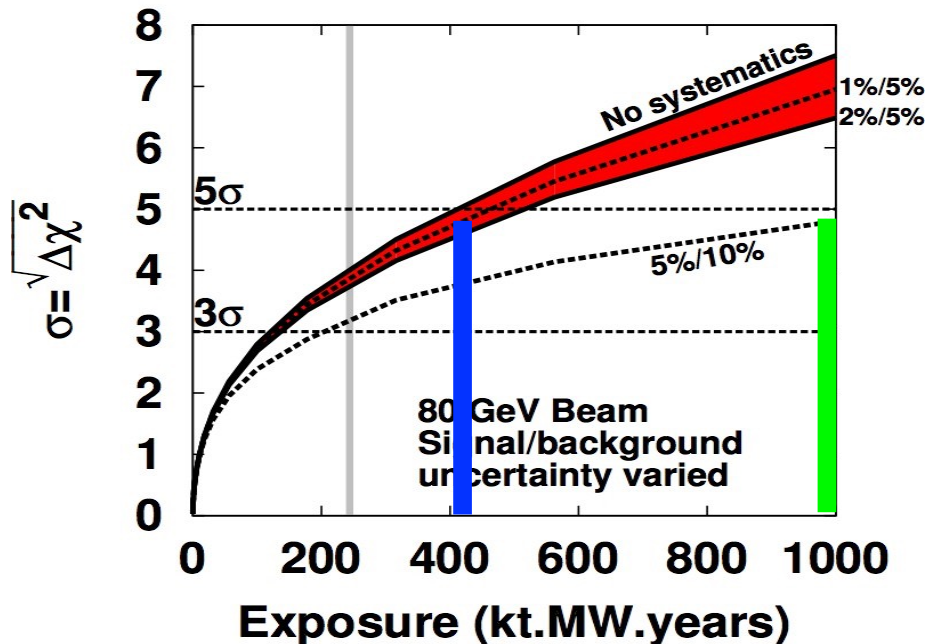
Current State of the Art:

- Current standard for signal systematics is around 5%

Impact on Research Timeline:

- Achieving 1% precision allows reaching nearly 5σ confidence level for 50% of possible values of δ_{CP} in 8 years
- With the current 5% precision, reaching same confidence level would take 21 years

CP Violation Sensitivity 50% δ_{CP} Coverage



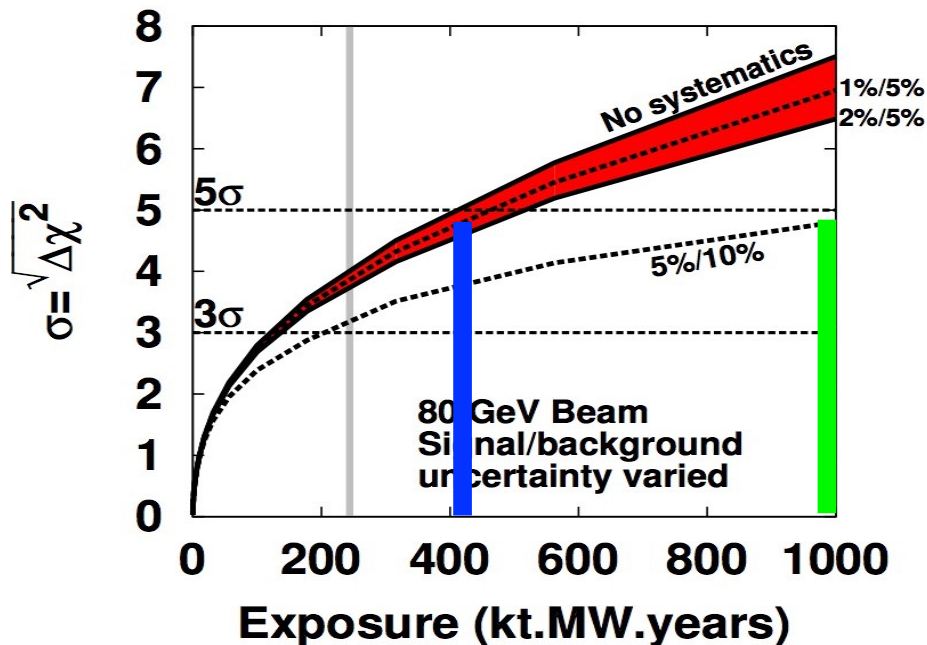
8 years
x 1.2 MW
x 40 kTon

21 years
x 1.2 MW
x 40 kTon

Flux Uncertainties

- Flux uncertainties are one component of these total uncertainties
- For DUNE, near detector will **dramatically reduce** impact of flux uncertainties
- But it **does not reduce them to zero**, and **flux uncertainties can couple to** (and magnify) other uncertainties, such as interaction cross sections and detector effects

CP Violation Sensitivity 50% δ_{CP} Coverage



8 years
x 1.2 MW
x 40 kTon

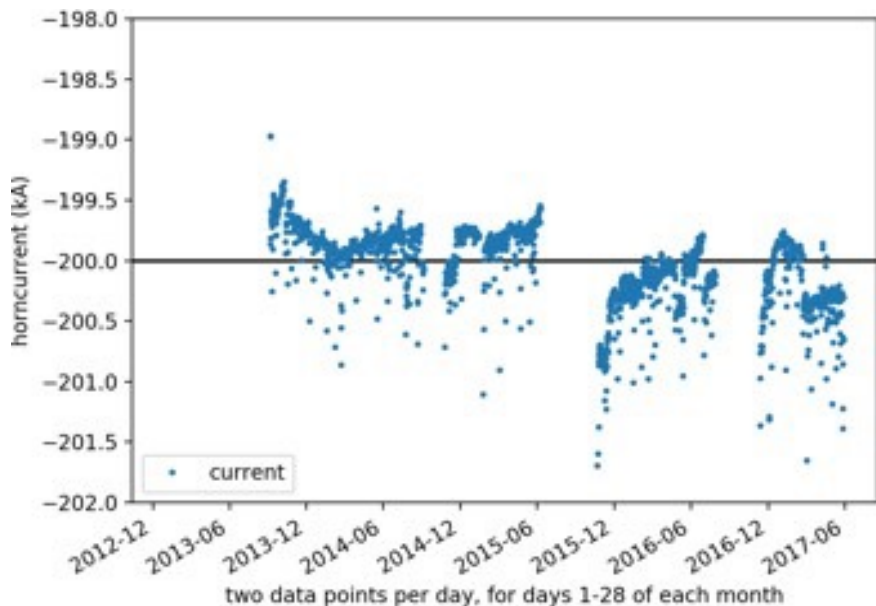
21 years
x 1.2 MW
x 40 kTon

Flux Uncertainties

- **Flux uncertainties** can be divided into two broad categories
 - “**Focusing**”
 - Due to uncertainties in **beamline parameters** such as position of horns, current in horns, density of target, etc.
 - Also includes uncertainty on number of **protons on target**
 - **Hadron production**
 - Due to uncertainties in **models** of pions and kaons produced in target (and other beam line materials)

Focusing Uncertainties

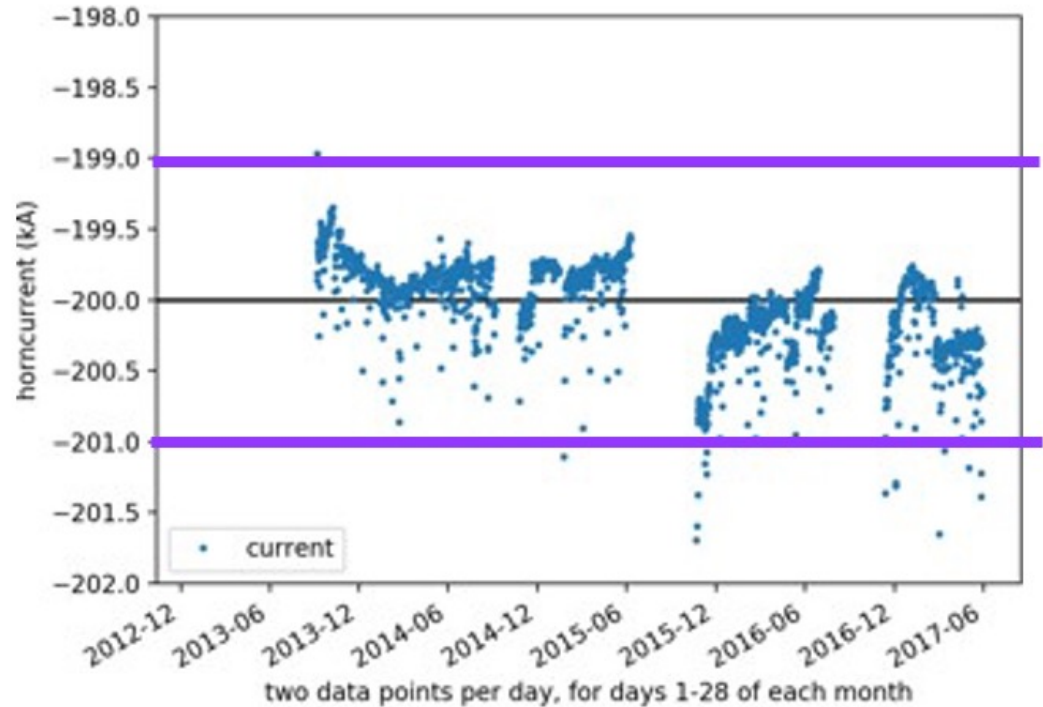
- Uncertainties in focusing stem from various beamline parameters that are simulated with assumed values but often deviate from those values in practice
- For example, **current in horns**:



Measured horn current for NuMI horns between 2013 and 2017

Focusing Uncertainties

- Actual horn current deviates from assumed 200kA, as measured
- There is also uncertainty in calibration of instrument used to measure current



Slide courtesy: Laura Fields

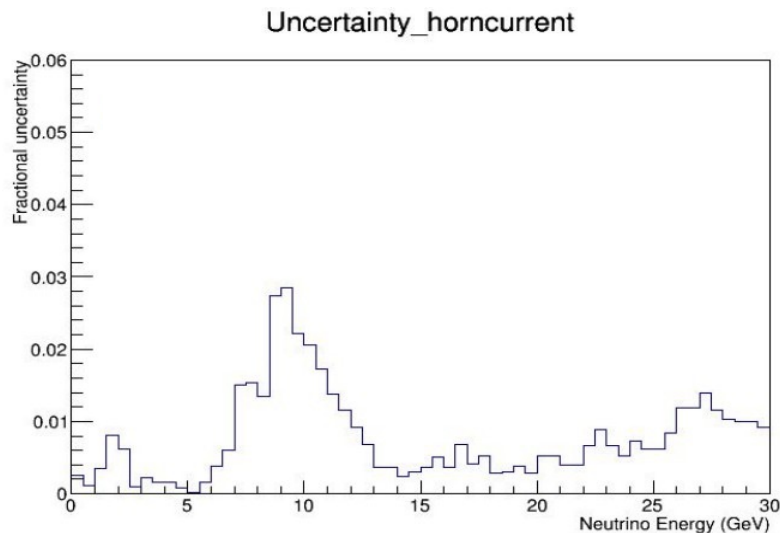
Focusing Uncertainties

- To assess impact on flux, we simulate neutrino beam with horn current adjusted by ± 1 kA

Difference between that simulated flux and our nominal flux (with 200 kA) **becomes our flux uncertainty**

We assume these **uncertainties are gaussian**

They are not, but it's not a terrible approximation



Focusing Uncertainties

- Example focusing uncertainties: DUNE

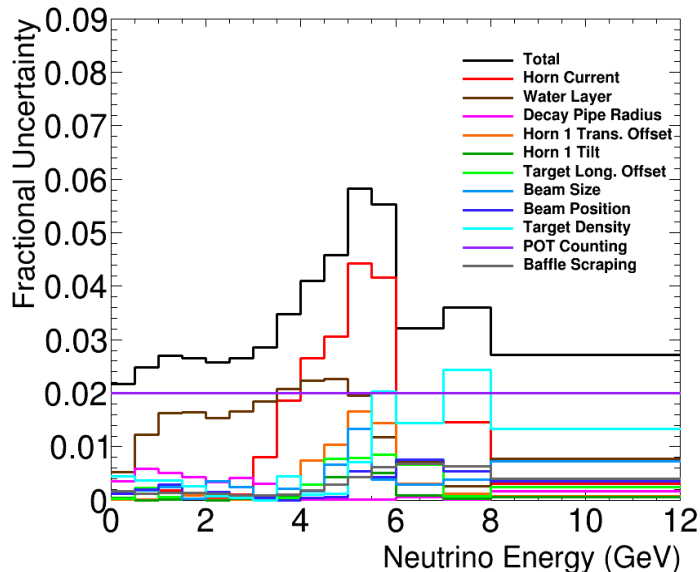
These are tolerances **provided by LBNF team**

Through a combination of **surveying, modeling, and beam instrumentation**, LBNF believes we can keep beam aligned to within these amounts

Target position (each end)	0.5 mm
Horn 1 position (each end)	0.5 mm
Horn 2 position (each end)	0.5 mm
Far detector position	21 m
Decay pipe position	20 mm
Decay pipe radius	0.1 m
Horn current	2 kA
Horn water layer thickness	0.5 mm
Beam size at target	0.1 mm
Misalignment of shielding blocks	1 cm
Baffle scraping	0.25%
Beam position at target	0.45 mm
Beam angle at target	70 μ rad
Near detector position	255 mm
Horn conductor skin depth	6 mm
Target density	2%

Focusing Uncertainties

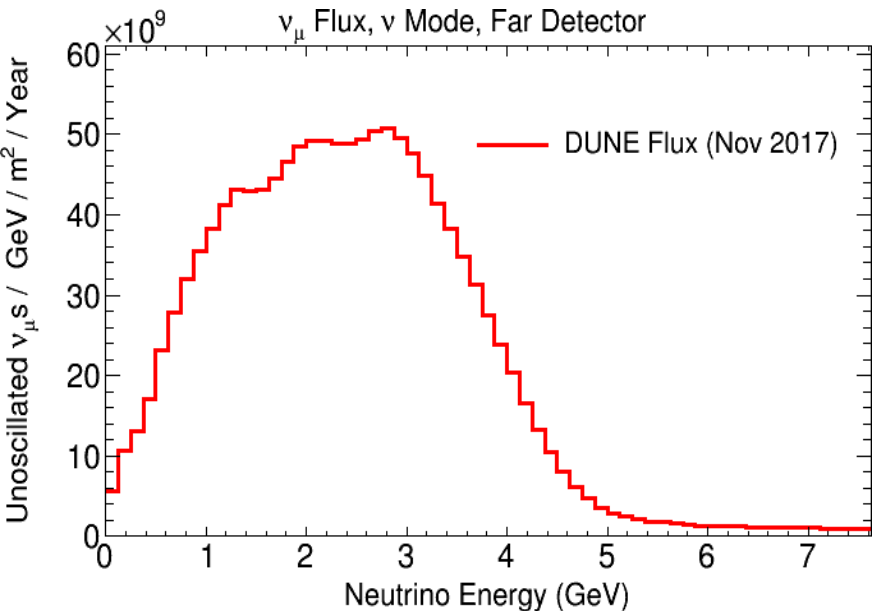
- Example focusing uncertainties: DUNE



Target position (each end)	0.5 mm
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Total focusing uncertainty is just each of individual uncertainties from each source, added in quadrature

Focusing Uncertainties

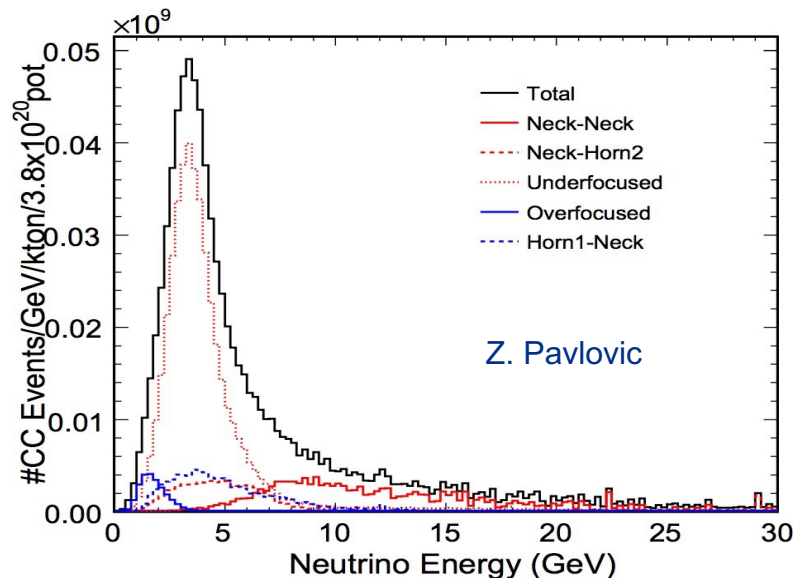


For on axis detectors, focusing uncertainties tend to pile up at **falling edge** of focusing peak

Focusing Uncertainties

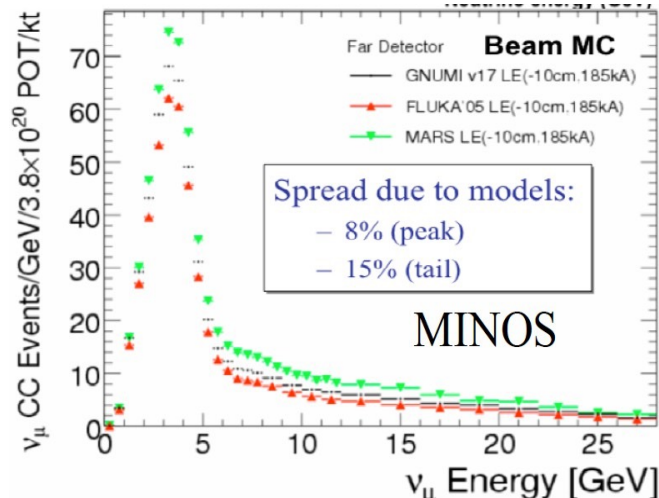
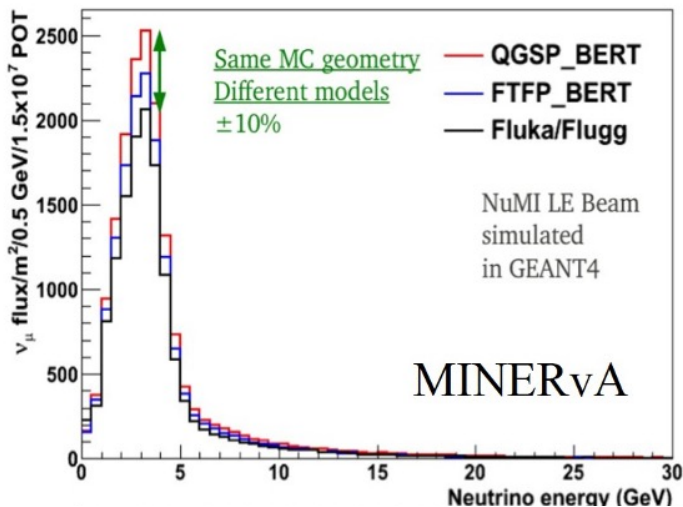
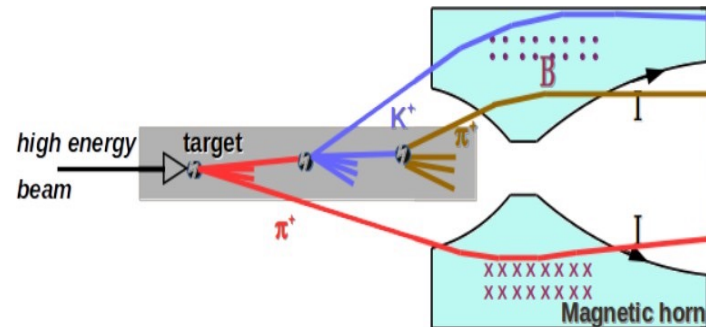
- Why do focusing uncertainties tend to peak at falling edge of the focusing peak?

https://pollev.com/multiple_choice_polls/VtVPRBqHop0U3CXwO2Xkf/respond



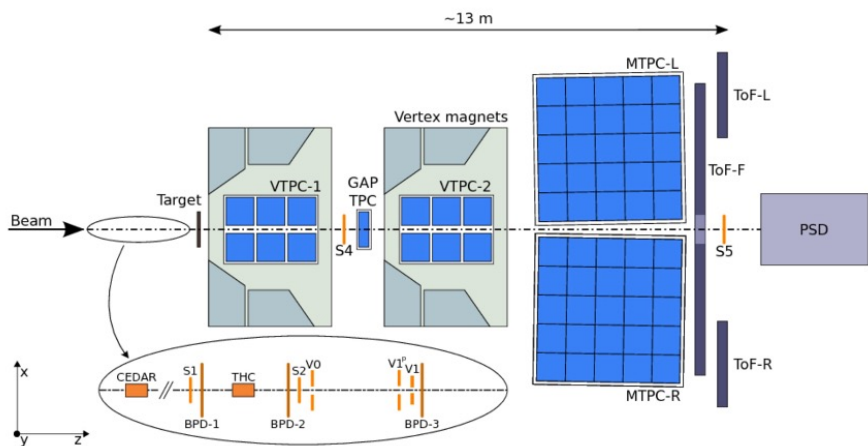
Hadron Production Uncertainties

- **Hadron Production** uncertainties arise from uncertainties in **hadrons produced off target** (& in other material along the beamline)
- Different theoretical models of hadron production give very different neutrino fluxes



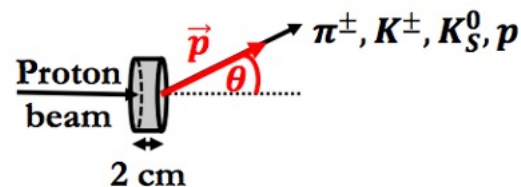
Hadron Production Uncertainties

- A more **modern option** is to make use of “**thin-target**” hadron production measurements:



NA61

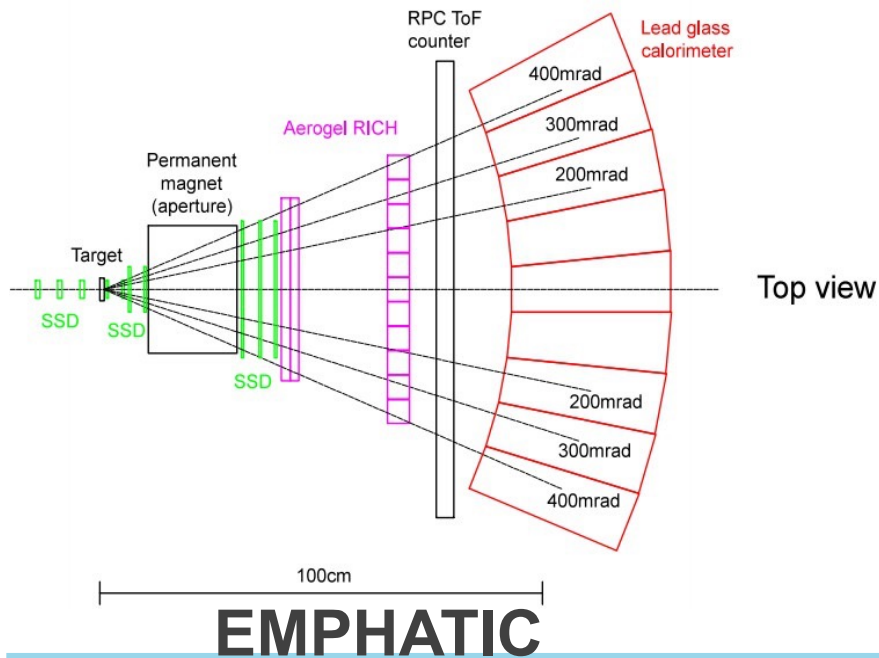
Thin-Target Data



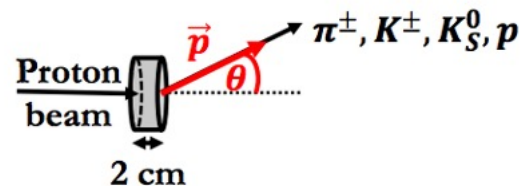
- Hadron production experiments measure **differential cross-sections for hadron production off of thin samples** of various materials
- NA61 at CERN operating hadron production experiment

Hadron Production Uncertainties

- A more **modern option** is to make use of “**thin-target**” hadron production measurements:



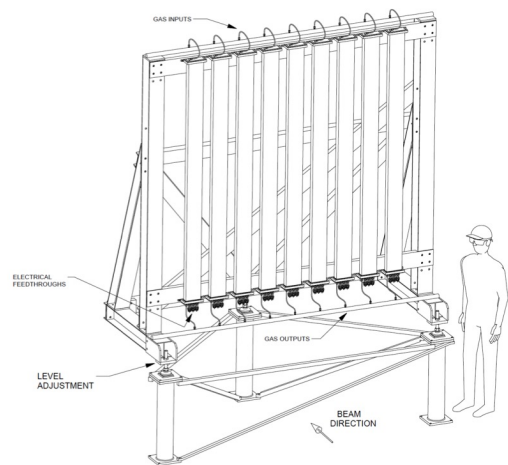
Thin-Target Data



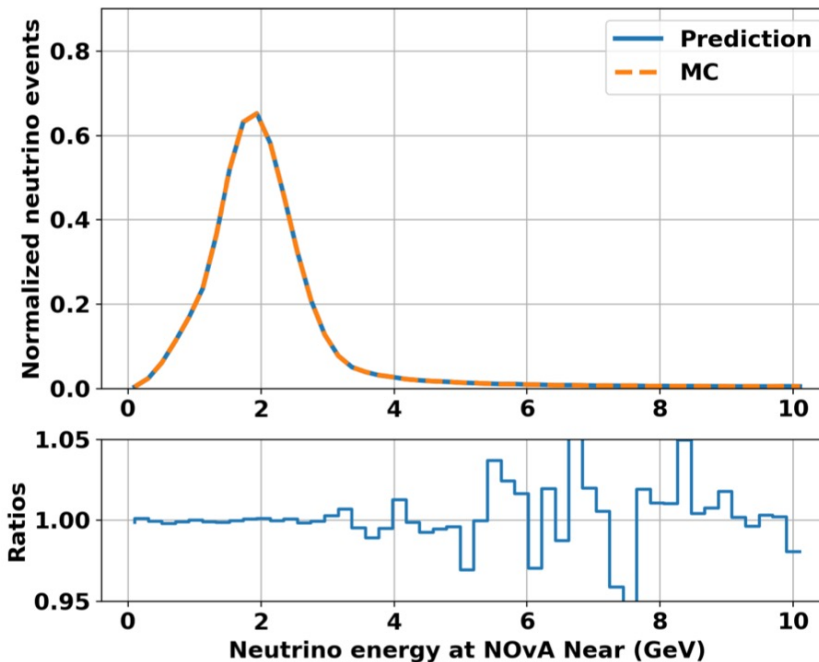
- Hadron production experiments measure **differential cross-sections for hadron production off of thin samples** of various materials
- **EMPHATIC** is a new hadron production experiment proposed at Fermilab

Use Muon Monitors to Predict Near Detector Flux

- Possibility to measure neutrino flux via muon detectors that measure muons created along with neutrinos:

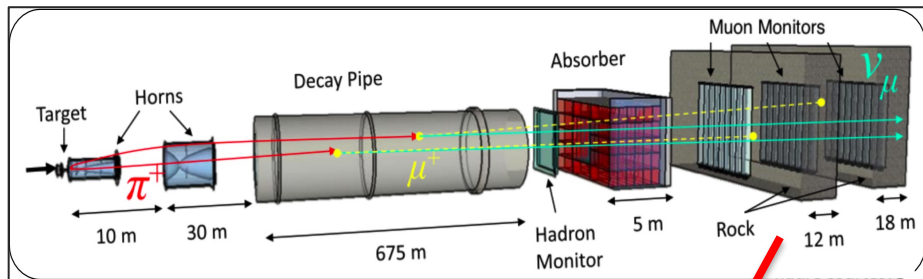


9 tubes containing a row of nine pixels each, filled with pure He gas



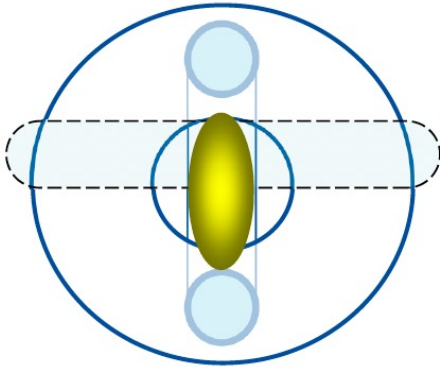
Example of ML application to predict ND flux with muon monitor signal as input

Muon Monitors

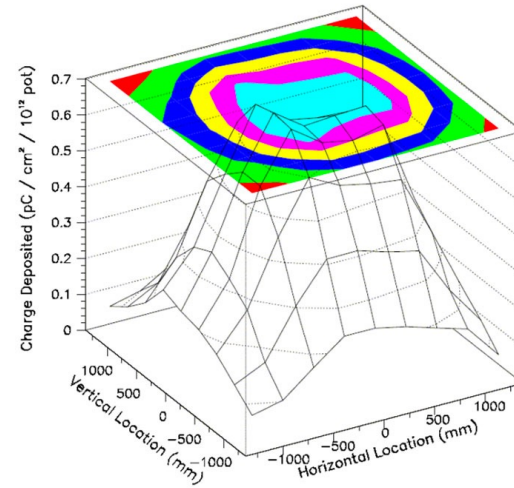


- 9X3 arrays of ionization chambers
- Each ionization chamber consists of two parallel plate electrodes with a gap of 3 mm
- Chambers filled with pure He gas at atmospheric pressure
- Muon Monitor intensities show baffle and target positions
- Profiles change with horn focusing
- Thickness of absorber material in front and between monitors is different
- Each muon monitor detects muons of a different energy spectrum

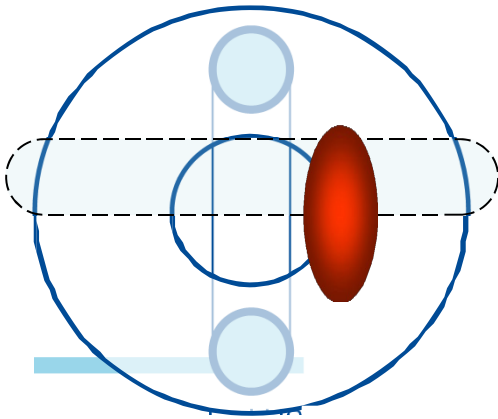
Muon Monitors



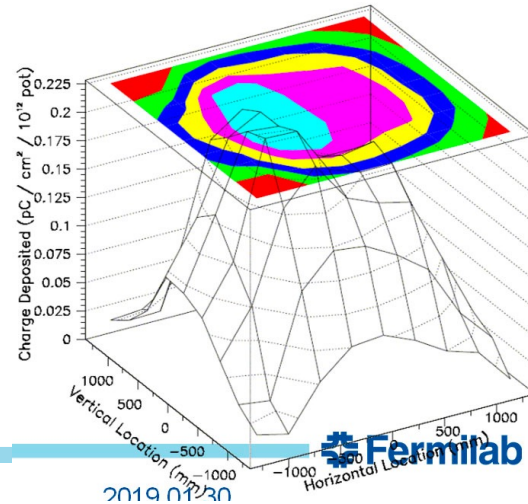
Beam centered on target



Muon Alcove 1



Beam off 6 mm horizontally



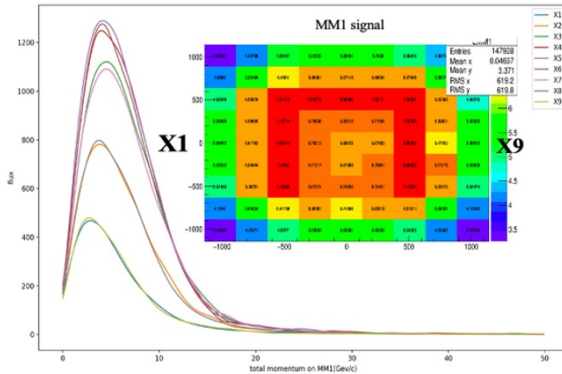
Muon Alcove 1

New Instrumentation Ideas

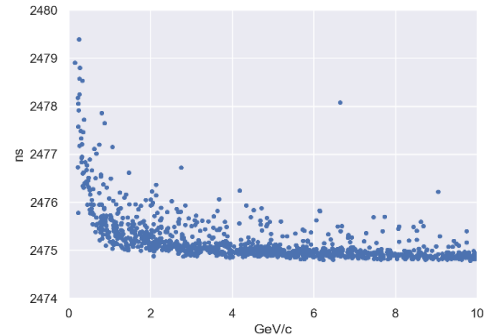
Large Area Picosecond Photodetector (LAPPD)

- Use LAPPD as muon monitors, provides muon TOF measurement in alcoves across transverse plane
- Allows application of precision timing in neutrino experiments
- LAPPDs already offer a space resolution of 1x1 mm and a time resolution of ~55 ps or better

Simulated momentum spectra on central row of MM1



Simulated time-of-flight vs muon momentum at MM1



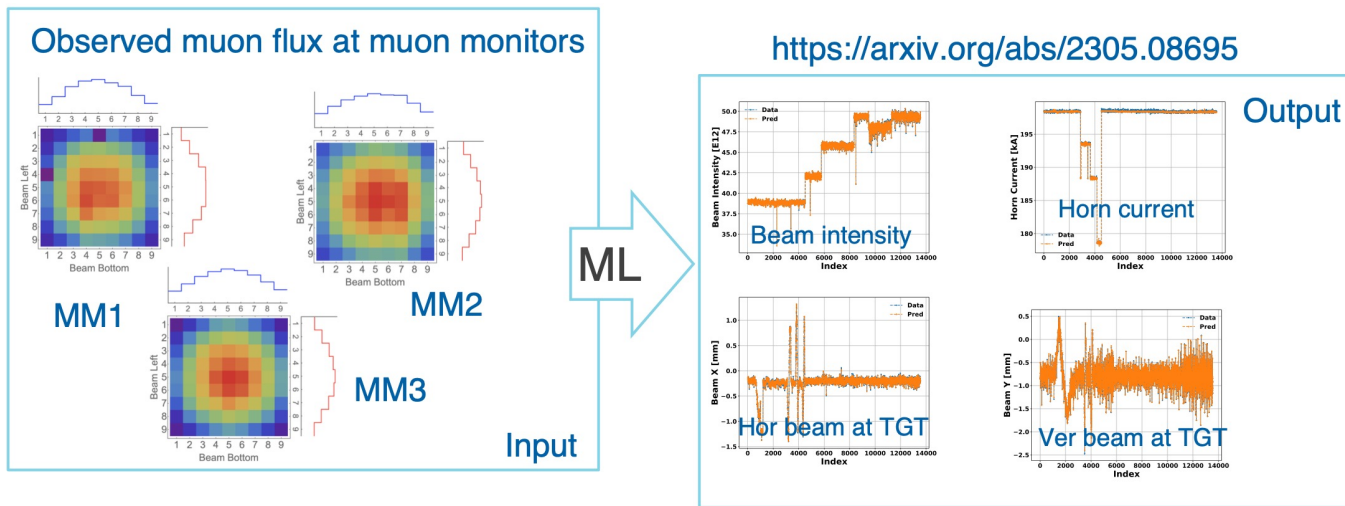
- Individual pixel sees different muon spectrum
- X1 & X9, X2 & X8, X3 & X7, X4 & X6 shows similar shape

- Observed time distribution will be different at different pixel position

New Instrumentation Ideas

Machine Learning for Beam Quality Assessment in NuMI:

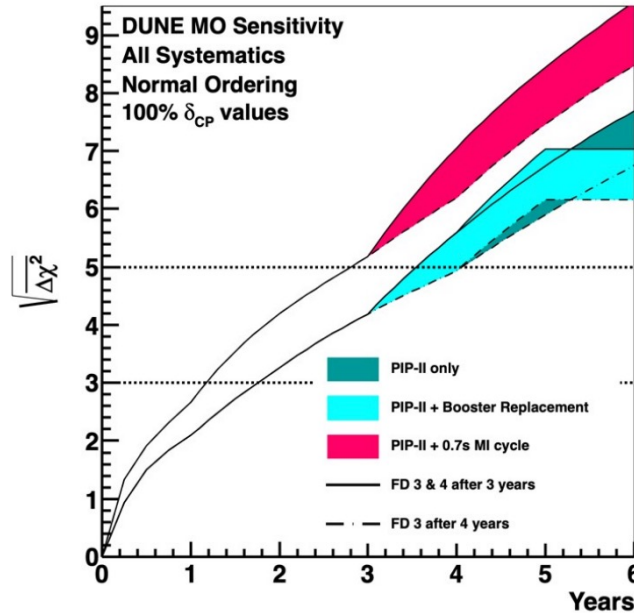
- NuMI horn's linear beam optics implies linear response to beam changes.
- ML algorithm with ANN predicts target beam positions.
- Based on 241 observed values, accuracy: ± 0.018 mm horizontally, ± 0.013 mm vertically observed
- ML matches traditional instrumentation accuracy



> 1,000 flux images are required for training ML

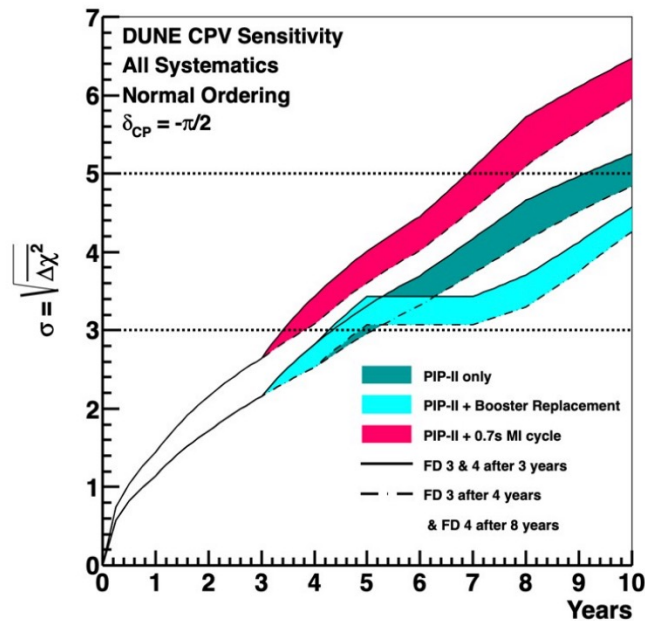
Backup

Mass ordering sensitivity with updated beamline scenarios



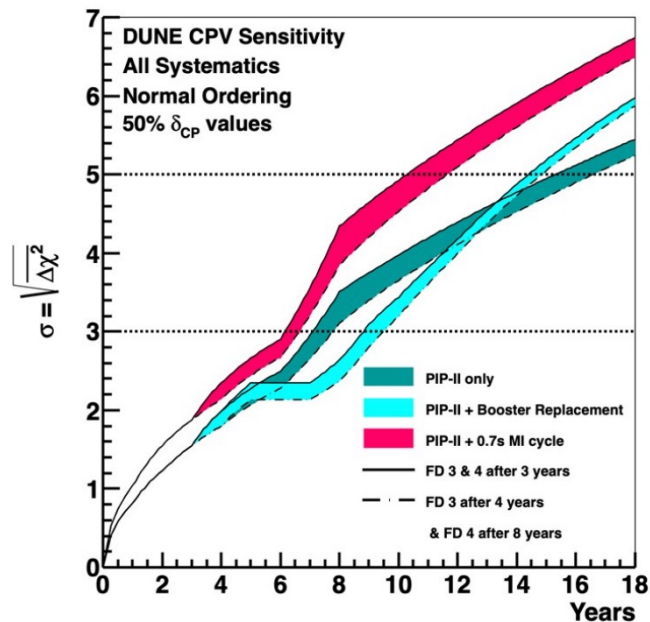
- Band corresponds to different FD staging scenarios
- This is shown for the **worst case** scenario in other oscillation parameters
- **DUNE determines the mass ordering at $>5\sigma$ in Phase I no matter what**
- Option 0 pushes milestones earlier by ~ 1 year

CP violation sensitivity for maximal CPV (easiest case)



- Scenario where $\delta_{CP} = -\pi/2$, the easiest possible scenario for establishing CPV
- **3σ milestone is achieved DUNE Phase I**
- Option 0 pushes milestone forward by ~ 1 year

CP violation sensitivity in more challenging case: 50% δ values



- CP violation significance over 50% of possible δ_{CP} values, essentially the median significance if you have a flat prior on true δ_{CP}
- DUNE could be competitive with Hyper-K if 5σ can be achieved in 10 years
- Kinks at 6-8 years are due to incorporation of constraint from upgraded Near Detector installed by year 6
- **Option 0 significantly increases DUNE's competitiveness**

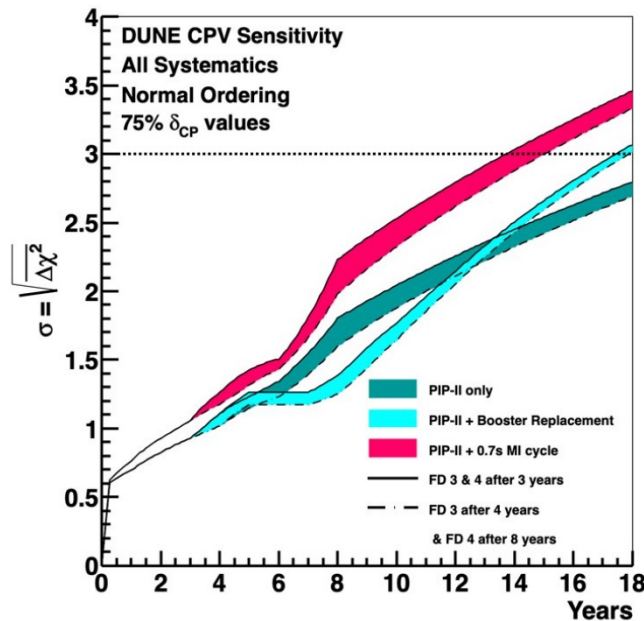
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Even more challenging scenario: 75% δ values



- CP violation significance over 75% of possible δ_{CP} values
- This is the primary physics goal established in the 2014 P5 recommendations
- It is extremely challenging to establish CPV at 3σ in this scenario
- **DUNE and Hyper-K are competitive in this scenario, and Option 0 significantly increases DUNE's competitiveness**

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