



University
of Glasgow

Flavour deconstruction: From the electroweak scale to the GUT scale

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Mario Fernández Navarro

Based on:

MFN, Stephen F. King, [\[2305.07690\]](#) hep-ph, [JHEP 08 \(2023\) 020](#)

MFN, Stephen F. King and Avelino Vicente, [\[2311.05683\]](#) hep-ph, [JHEP 05 \(2024\) 130](#)

MFN, Stephen F. King and Avelino Vicente, [\[2404.12442\]](#) hep-ph, to appear in JHEP

Tri-hypercharge: an example of flavour deconstruction

- **Flavour deconstruction:** SM is embedded in a gauge symmetry that contains a separate factor for each fermion family:

$$G_{\text{universal}} \times G_1 \times G_2 \times G_3$$

[[Salam 79'](#), [Rajpoot 81'](#), [Li and Ma 81'](#), [Georgi 82'](#) ...
[Craig et al 11'](#), [Bordone et al 17'](#), [Greljo et al 18'](#),
[Fuentes-Martín et al, 20'](#), [Davighi et al 23'](#) ...]

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A simple example:

$$\boxed{SU(3)_c \times SU(2)_L \times U(1)_{Y_1} \times U(1)_{Y_2} \times U(1)_{Y_3}} \longrightarrow Y_{\text{SM}} \equiv Y = Y_1 + Y_2 + Y_3$$

Field	$U(1)_{Y_i}$	q_1	q_2	q_3
q_i	$1/6$	u_1^c	u_2^c	u_3^c
u_i^c	$-2/3$	d_1^c	d_2^c	d_3^c
d_i^c	$1/3$	ℓ_1	ℓ_2	ℓ_3
ℓ_i	$-1/2$	e_1^c	e_2^c	e_3^c
e_i^c	1			H_3

► Light families are massless in first approximation:

$$\mathcal{L} = y_t q_3 H_3 u_3^c + y_b q_3 H_3 d_3^c + y_\tau \ell_3 H_3 e_3^c + \text{h.c.}$$

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► Type II 2HDM can take care of $m_{b,\tau}/m_t$ hierarchies via

$$\tan \beta = v_u/v_d \approx \lambda^{-2} \simeq 20$$

Tri-hypercharge: EFT

$$\mathcal{L}_d = (q_1 \quad q_2 \quad q_3) \begin{pmatrix} \approx 0 & \approx 0 & \approx 0 \\ \approx 0 & \approx 0 & \approx 0 \\ \approx 0 & \approx 0 & 1 \end{pmatrix} \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \end{pmatrix} H_3^d$$

- E.g. $q_2 H_3^d d_2^c \sim (0, \frac{1}{2}, -\frac{1}{2})$ and $q_2 H_3^d d_3^c \sim (0, \frac{1}{6}, -\frac{1}{6})$ are forbidden by tri-hypercharge (**gauge**) symmetry

Tri-hypercharge: EFT

$$\mathcal{L}_d = (q_1 \quad q_2 \quad q_3) \begin{pmatrix} \approx 0 & \approx 0 & \approx 0 \\ \approx 0 & \frac{\phi_{\ell 23}}{\Lambda_2} & \frac{\phi_{q 23}}{\Lambda_2} \\ \approx 0 & \frac{\phi_{\ell 23}}{\Lambda_2} \frac{\phi_{q 23}}{\Lambda_2} & 1 \end{pmatrix} \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \end{pmatrix} H_3^d$$

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- Add “23 hyperons” $\boxed{\phi_{\ell 23} \sim (0, -\frac{1}{2}, \frac{1}{2})}$ and $\boxed{\phi_{q 23} \sim (0, -\frac{1}{6}, \frac{1}{6})}$

$$U(1)_{Y_1} \times U(1)_{Y_2} \times U(1)_{Y_3}$$

Tri-hypercharge: EFT

$$\mathcal{L}_d = (q_1 \quad q_2 \quad q_3) \begin{pmatrix} \frac{\phi_{\ell 12}}{\Lambda_1} \frac{\phi_{\ell 23}}{\Lambda_1} & \frac{\phi_{q 12}}{\Lambda_1} \frac{\phi_{\ell 23}}{\Lambda_2} & \frac{\phi_{q 12}}{\Lambda_1} \frac{\phi_{q 23}}{\Lambda_2} \\ \frac{\phi_{\ell 12}}{\Lambda_1} \frac{\tilde{\phi}_{q 12}}{\Lambda_1} \frac{\phi_{\ell 23}}{\Lambda_2} & \frac{\phi_{\ell 23}}{\Lambda_2} & \frac{\phi_{q 23}}{\Lambda_2} \\ \frac{\phi_{q 12}^2}{\Lambda_1^2} \frac{\phi_{q 23}^2}{\Lambda_2^2} & \frac{\phi_{\ell 23}}{\Lambda_2} \frac{\phi_{q 23}}{\Lambda_2} & 1 \end{pmatrix} \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \end{pmatrix} H_3^d$$

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$$\phi_{\ell 23} \sim (0, -\frac{1}{2}, \frac{1}{2})$$

and

$$\phi_{q 23} \sim (0, -\frac{1}{6}, \frac{1}{6})$$

$$U(1)_{Y_1} \times U(1)_{Y_2} \times U(1)_{Y_3}$$

- Add “12 hyperons”

$$\phi_{\ell 12} \sim (-\frac{1}{2}, \frac{1}{2}, 0)$$

and

$$\phi_{q 12} \sim (-\frac{1}{6}, \frac{1}{6}, 0)$$

Tri-hypercharge: EFT

$$\mathcal{L}_d = (q_1 \quad q_2 \quad q_3) \begin{pmatrix} \frac{\phi_{\ell 12}}{\Lambda_1} \frac{\phi_{\ell 23}}{\Lambda_1} & \frac{\phi_{q 12}}{\Lambda_1} \frac{\phi_{\ell 23}}{\Lambda_2} & \frac{\phi_{q 12}}{\Lambda_1} \frac{\phi_{q 23}}{\Lambda_2} \\ \frac{\phi_{\ell 12}}{\Lambda_1} \frac{\tilde{\phi}_{q 12}}{\Lambda_1} \frac{\phi_{\ell 23}}{\Lambda_2} & \frac{\phi_{\ell 23}}{\Lambda_2} & \frac{\phi_{q 23}}{\Lambda_2} \\ \frac{\phi_{q 12}^2}{\Lambda_1^2} \frac{\phi_{q 23}^2}{\Lambda_2^2} & \frac{\phi_{\ell 23}}{\Lambda_2} \frac{\phi_{q 23}}{\Lambda_2} & 1 \end{pmatrix} \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \end{pmatrix} H_3^d$$

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- Add “12 hyperons” $\phi_{\ell 12} \sim (-\frac{1}{2}, \frac{1}{2}, 0)$ and $\phi_{q 12} \sim (-\frac{1}{6}, \frac{1}{6}, 0)$
- When these four hyperons (scalars) get VEVs, **SM flavour structure is dynamically generated** (also in up and charged lepton sectors)
 - $U(1)_{Y_1} \times U(1)_{Y_2} \times U(1)_{Y_3}$
 - Neutrino masses and mixings also included!

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 - Heavy messengers needed for Λ s!
 - Neutrino masses and mixings also included!

Example model

$$Y_d = \begin{pmatrix} c_{11}^d \frac{\phi_{\ell 12}}{M_{D_{13}}} \frac{\phi_{\ell 23}}{M_{D_{12}}} & c_{12}^d \frac{\phi_{q12}}{M_{D_{13}}} \frac{\phi_{\ell 23}}{M_{D_{23}}} & c_{13}^d \frac{\phi_{q12}}{M_{D_{13}}} \frac{\phi_{q23}}{M_{D_{23}}} \\ c_{21}^d \frac{\phi_{\ell 12}}{M_{D_{12}}} \frac{\tilde{\phi}_{q12}}{M_{D_{13}}} \frac{\phi_{\ell 23}}{M_{D_{23}}} & c_{22}^d \frac{\phi_{\ell 23}}{M_{D_{23}}} & c_{23}^d \frac{\phi_{q23}}{M_{D_{23}}} \\ \approx 0 & \approx 0 & c_{33}^d \end{pmatrix} \quad Y_u = Y_d(d \rightarrow u, D \rightarrow U) \quad Y_e = Y_d(d \rightarrow e, q \rightarrow \ell, D \rightarrow E)$$

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- Fit SM flavour structure via three naturally small parameters (includes also up-quarks and charged leptons):

$$\frac{m_2}{m_3} = \frac{\langle \phi_{23} \rangle}{M_{23}} \sim \lambda^3,$$

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$$\frac{m_1}{m_3} = \frac{\langle \phi_{12} \rangle}{M_{12,13}} \frac{\langle \phi_{23} \rangle}{M_{12,13}} \sim \lambda^5 \Rightarrow \frac{\langle \phi_{23} \rangle}{M_{12,13}} \sim \lambda^4$$

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- Fixed relation between VEVs is predicted:

$$\frac{\langle \phi_{23} \rangle}{\langle \phi_{12} \rangle} \sim \lambda^3 \approx 0.01$$



Highly non-generic

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$$Y_u = Y_d(d \rightarrow u, D \rightarrow U) \quad Y_e = Y_d(d \rightarrow e, q \rightarrow \ell, D \rightarrow E)$$

Spectrum up to $\mathcal{O}(1)$ variations

E

$$\mathcal{O}(10^4 \text{ TeV})$$

$$M_{U_{12,13}, D_{12,13}, E_{12,13}}$$

Z'_{12}

$$SU(3)_c \times SU(2)_L \times U(1)_{Y_1} \times U(1)_{Y_2} \times U(1)_{Y_3}$$

$$\mathcal{O}(1000 \text{ TeV})$$

$v_{12} \sim \langle \phi_{q 12} \rangle, \langle \phi_{\ell 12} \rangle, M_{U_{23}, D_{23}, E_{23}}$

Z'_{23}

$$SU(3)_c \times SU(2)_L \times U(1)_{Y_{12} \equiv Y_1 + Y_2} \times U(1)_{Y_3}$$

$$\mathcal{O}(10 \text{ TeV})$$

$$v_{23} \sim \langle \phi_{q 23} \rangle, \langle \phi_{\ell 23} \rangle$$

$SU(3)_c \times SU(2)_L \times U(1)_{Y \equiv Y_1 + Y_2 + Y_3}$

$$174 \text{ GeV}$$

$$v_{\text{SM}} \sim \langle H_3^{u,d} \rangle$$

Correlations and lots of pheno!

- Fit SM flavour structure via three naturally small parameters (includes also up-quarks and charged leptons):

$$\frac{m_2}{m_3} = \frac{\langle \phi_{23} \rangle}{M_{23}} \sim \lambda^3, \sin \theta_c = \frac{V_{ub}}{V_{cb}} = \frac{\langle \phi_{12} \rangle}{M_{12,13}} \sim \lambda$$

$$\frac{m_1}{m_3} = \frac{\langle \phi_{12} \rangle}{M_{12,13}} \frac{\langle \phi_{23} \rangle}{M_{12,13}} \sim \lambda^5 \Rightarrow \frac{\langle \phi_{23} \rangle}{M_{12,13}} \sim \lambda^4$$

- Fixed relation between VEVs is predicted:

$$\boxed{\frac{\langle \phi_{23} \rangle}{\langle \phi_{12} \rangle} \sim \lambda^3 \approx 0.01}$$

Highly non-generic

Take home messages

✓ A simple option for flavour deconstruction is the tri-hypercharge group:

$$SU(3)_c \times SU(2)_L \times U(1)_{Y_1} \times U(1)_{Y_2} \times U(1)_{Y_3}$$

- Translates SM flavour structure into three simple and correlated NP scales that carry meaningful pheno. The lowest scale may be close to TeV.
- Flavour deconstruction may arise from gauge unified frameworks!
- See all this and more in my poster!
- Also CERN BSM forum on 1st August



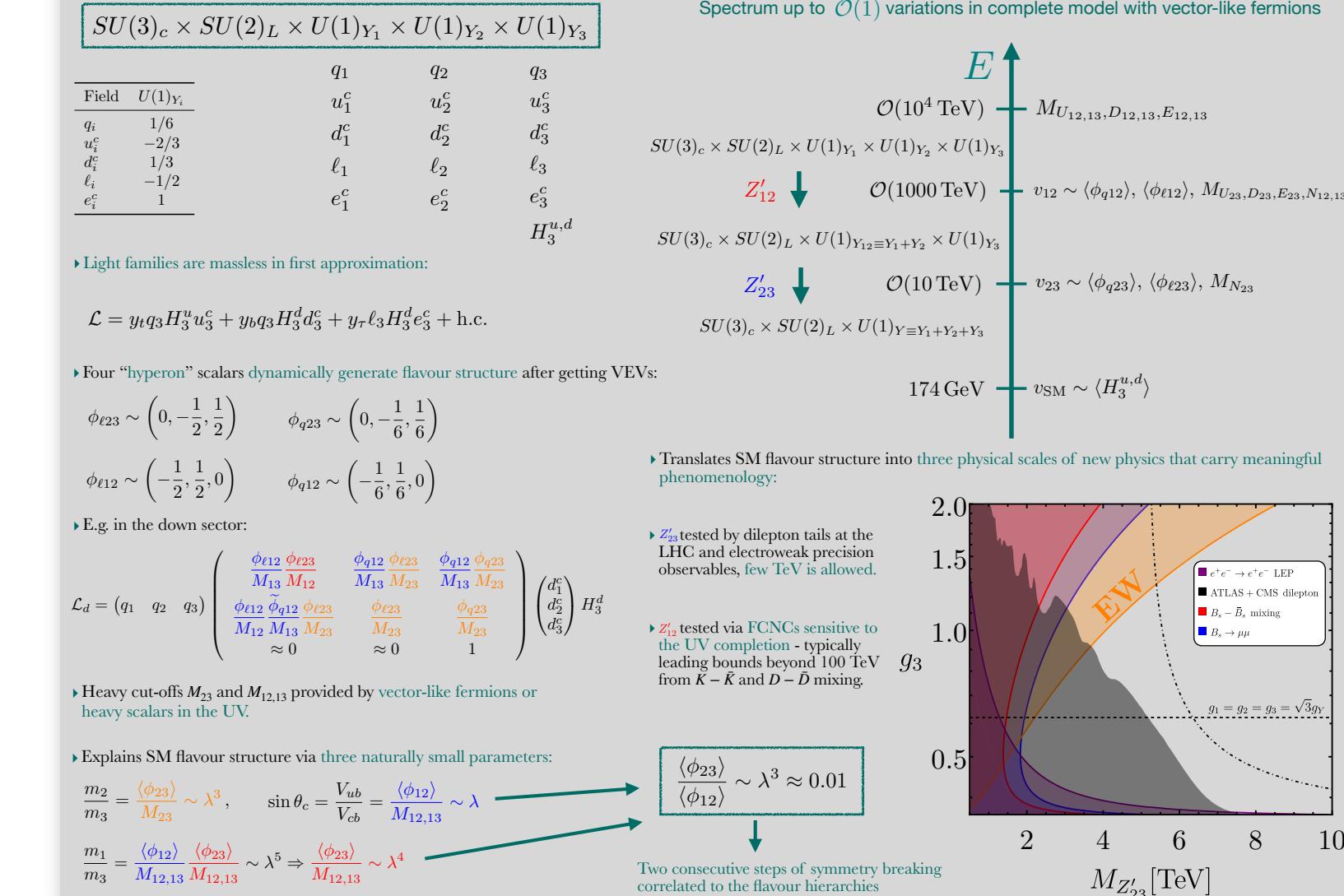
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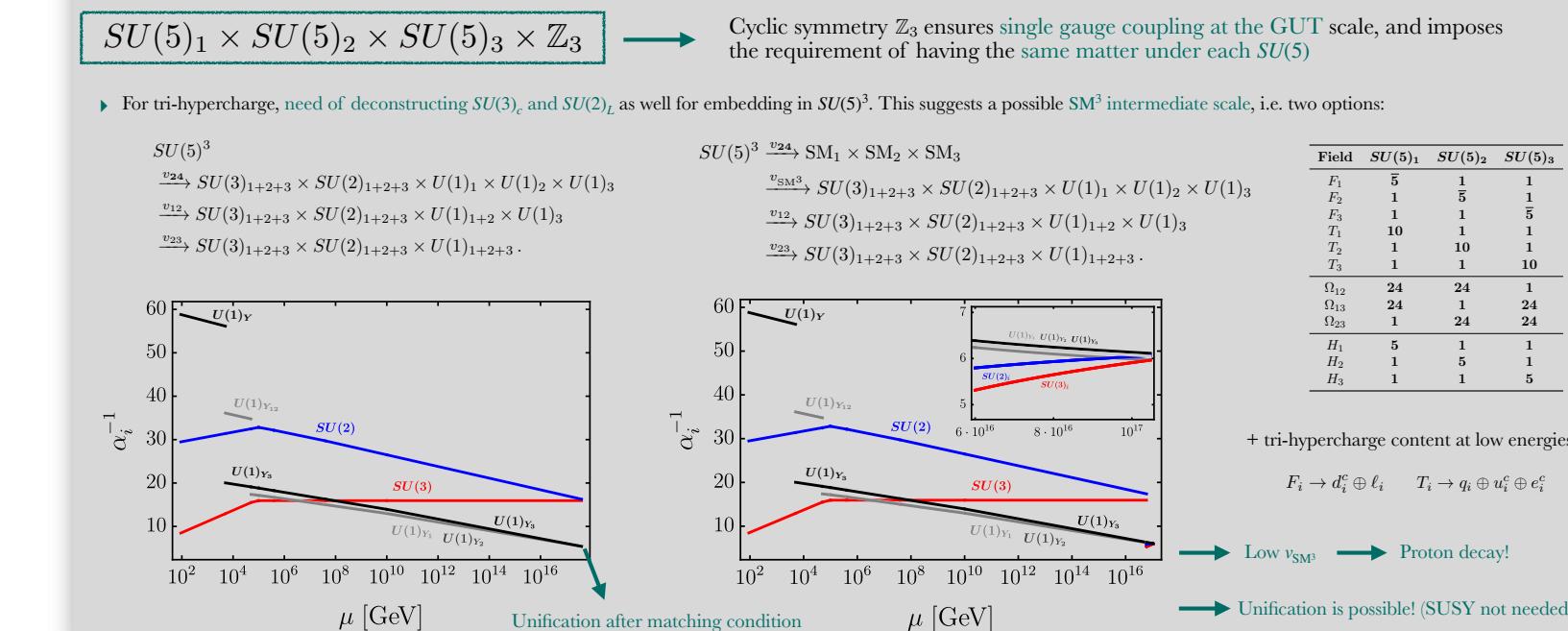
[†]Mario.FernandezNavarro@glasgow.ac.uk

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Tri-hypercharge: a simple example of flavour deconstruction



Grand unified origin of flavour deconstruction: tri-unification



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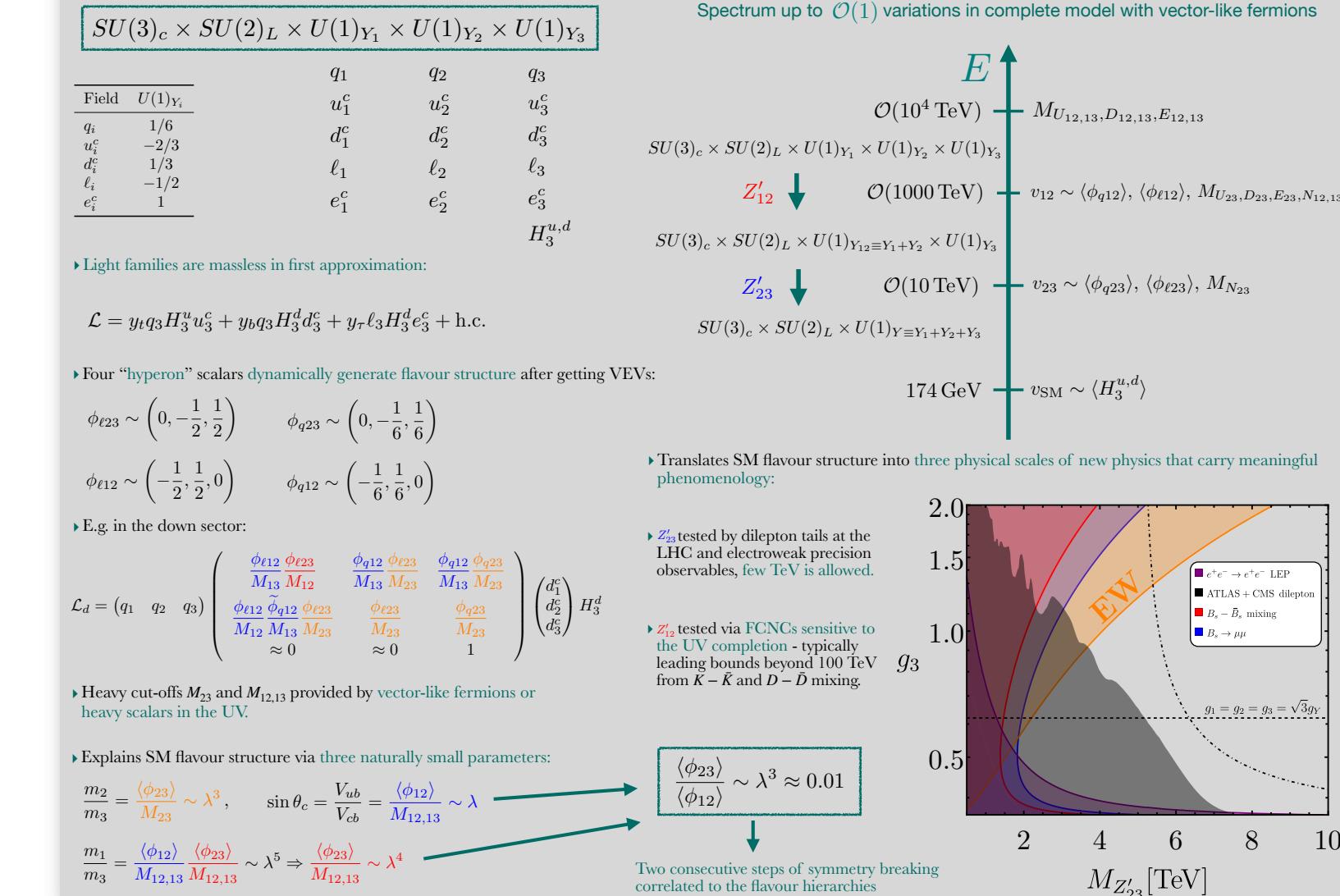
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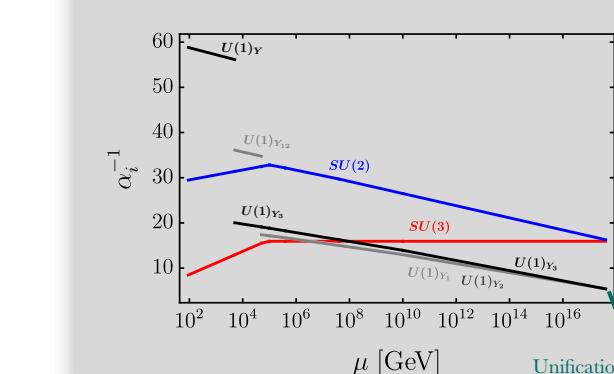


Grand unified origin of flavour deconstruction: tri-unification

$SU(5)_1 \times SU(5)_2 \times SU(5)_3 \times \mathbb{Z}_3$ → Cyclic symmetry \mathbb{Z}_3 ensures single gauge coupling at the GUT scale, and imposes the requirement of having the same matter under each $SU(5)$.

► For tri-hypercharge, need of deconstructing $SU(3)_c$ and $SU(2)_L$ as well as embedding in $SU(5)^3$. This suggests a possible SM^3 intermediate scale, i.e. two options:

$$\begin{aligned} SU(5)^3 &\xrightarrow{v_{24}} SM_1 \times SM_2 \times SM_3 \\ &\xrightarrow{v_{24}} SU(3)_{1+2+3} \times SU(2)_{1+2+3} \times U(1)_1 \times U(1)_2 \times U(1)_3 \\ &\xrightarrow{v_{12}} SU(3)_{1+2+3} \times SU(2)_{1+2+3} \times U(1)_{1+2} \times U(1)_3 \\ &\xrightarrow{v_{23}} SU(3)_{1+2+3} \times SU(2)_{1+2+3} \times U(1)_{1+2+3}. \end{aligned}$$



$$\begin{array}{ccccc} F_1 & 5 & 1 & 1 \\ F_2 & 1 & 5 & 1 \\ F_3 & 10 & 1 & 5 \\ T_1 & 1 & 10 & 1 \\ T_2 & 1 & 1 & 10 \\ T_3 & 1 & 1 & 10 \\ \Omega_{12} & 24 & 24 & 1 \\ \Omega_{13} & 24 & 1 & 24 \\ \Omega_{23} & 1 & 24 & 24 \end{array}$$

Field	$SU(5)_1$	$SU(5)_2$	$SU(5)_3$
F_1	5	1	1
F_2	1	5	1
F_3	10	1	5
T_1	1	10	1
T_2	1	1	10
T_3	1	1	10
Ω_{12}	24	24	1
Ω_{13}	24	1	24
Ω_{23}	1	24	24

Ω_{ij}	Ω_{ij}	Ω_{ij}
Ω_{12}	5	1
Ω_{13}	1	5
Ω_{23}	10	1
Ω_{12}	24	1
Ω_{13}	24	1
Ω_{23}	1	24

+ tri-hypercharge content at low energies

$F_i \rightarrow d_i^c \oplus \ell_i$

$T_i \rightarrow q_i \oplus u_i^c \oplus e_i^c$

→ Low v_{SM}

→ Unification is possible! (SUSY not needed)

Backup: Phenomenology

$$\begin{aligned} & SU(3)_c \times SU(2)_L \times U(1)_{Y_1} \times U(1)_{Y_2} \times U(1)_{Y_3} \\ \xrightarrow{v_{12}} & SU(3)_c \times SU(2)_L \times U(1)_{Y_1+Y_2} \times U(1)_{Y_3} + \textcolor{red}{Z'_{12}} \\ \xrightarrow{v_{23}} & SU(3)_c \times SU(2)_L \times U(1)_{Y_1+Y_2+Y_3} + \textcolor{blue}{Z'_{23}} + \textcolor{red}{Z'_{12}} \end{aligned}$$

Backup: Phenomenology

$$\begin{aligned} & SU(3)_c \times SU(2)_L \times U(1)_{Y_1} \times U(1)_{Y_2} \times U(1)_{Y_3} & \blacktriangleright Z'_{23} \text{ is lighter and protected by accidental } U(2)^5 \text{ symmetry} \\ \xrightarrow{v_{12}} & SU(3)_c \times SU(2)_L \times U(1)_{Y_1+Y_2} \times U(1)_{Y_3} + Z'_{12} \\ \xrightarrow{v_{23}} & SU(3)_c \times SU(2)_L \times U(1)_{Y_1+Y_2+Y_3} + Z'_{23} + Z'_{12} \end{aligned}$$

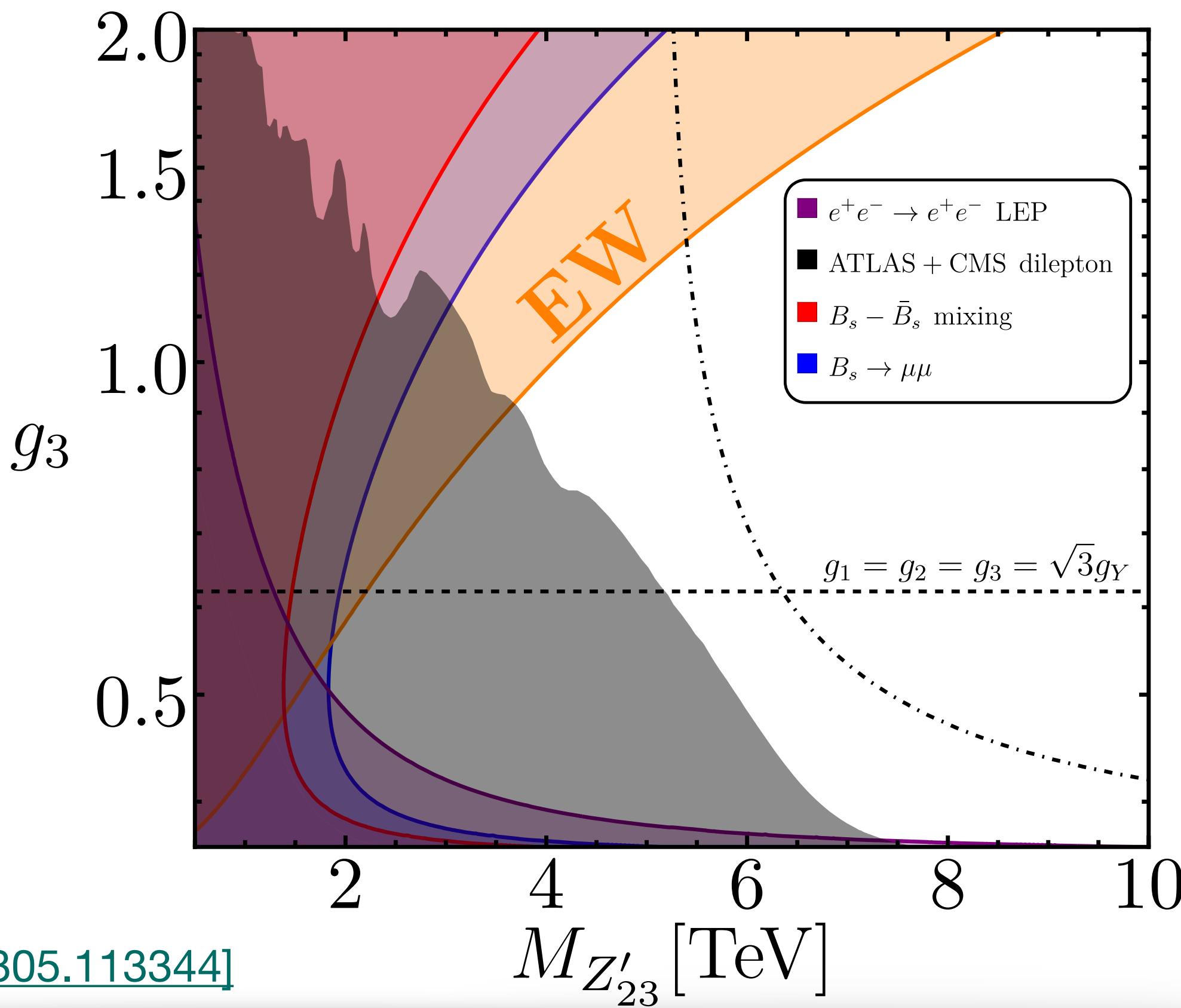
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- Z'_{23} is lighter and protected by accidental $U(2)^5$ symmetry
- Tested by dilepton tails at hadron colliders or EWPOs (independent of UV-completion) - **bounds of order TeV**

$$g_{12} = \frac{g_1 g_2}{\sqrt{g_1^2 + g_2^2}}$$

$$g_Y = \frac{g_{12} g_3}{\sqrt{g_{12}^2 + g_3^2}} \simeq 0.36 (M_Z)$$



[see EW global fit and FCC-ee projections in Davighi and Stefanek, [2305.113344](#)]

Backup: Phenomenology

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- Z'_{12} is heavier and tested via FCNCs sensitive to the different complete models - **bounds typically beyond 100 TeV**

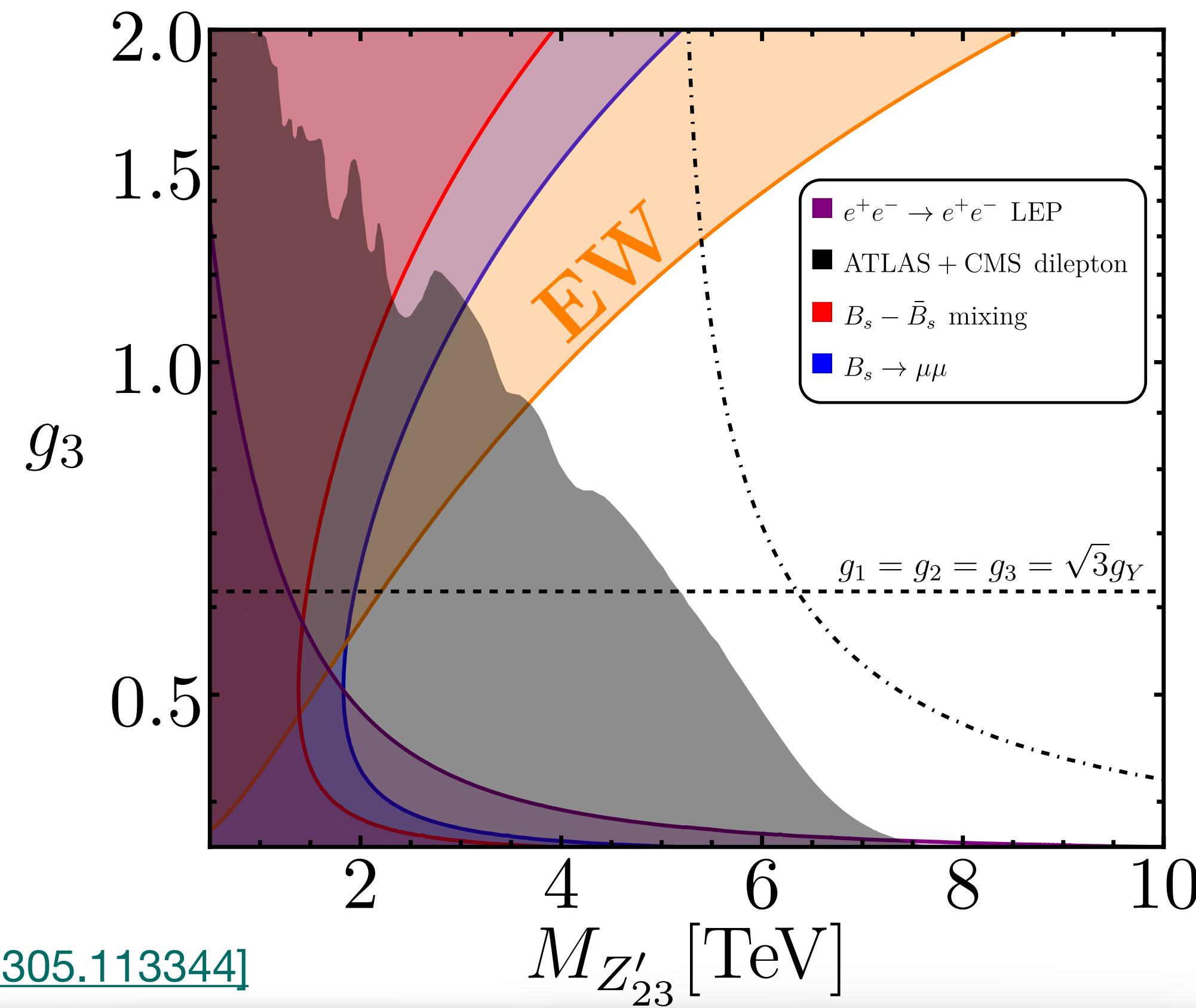
Model	Observable	Mediator	Bound (TeV)
1	$K - \bar{K}$ (Re)	Z'_{12}	$M_{Z'_{12}}/g_1 > 340 \times \left \text{Re} \left[\frac{c_{12}^d}{c_{22}^d} \frac{c_{21}^d}{c_{22}^d} \right] \right $
	$K - \bar{K}$ (Im)	Z'_{12}	$M_{Z'_{12}}/g_1 > 3 \cdot 10^3 \times \left \text{Im} \left[\frac{c_{12}^d}{c_{22}^d} \frac{c_{21}^d}{c_{22}^d} \right] \right $
	$\mu \rightarrow e\gamma$	Z'_{12}	$M_{Z'_{12}}/g_1 > 30 \times c_{12}^e/c_{22}^e $
		Z'_{23}	$M_{Z'_{23}}/g_3 > 8 \times y_{62}^e (y_{65}^e y_{15}^e)^* $
2	$\mu \rightarrow 3e$	Z'_{12}	$M_{Z'_{12}}/g_1 > 30 \times c_{12}^e/c_{22}^e $
	$D - \bar{D}$ (Re)	Z'_{12}	$M_{Z'_{12}}/g_1 > 150 \times \left \text{Re} \left[\frac{c_{12}^u}{c_{22}^u} \frac{c_{21}^u}{c_{22}^u} \right] \right $
	$D - \bar{D}$ (Im)	Z'_{12}	$M_{Z'_{12}}/g_1 > 500 \times \left \text{Im} \left[\frac{c_{12}^u}{c_{22}^u} \frac{c_{21}^u}{c_{22}^u} \right] \right $

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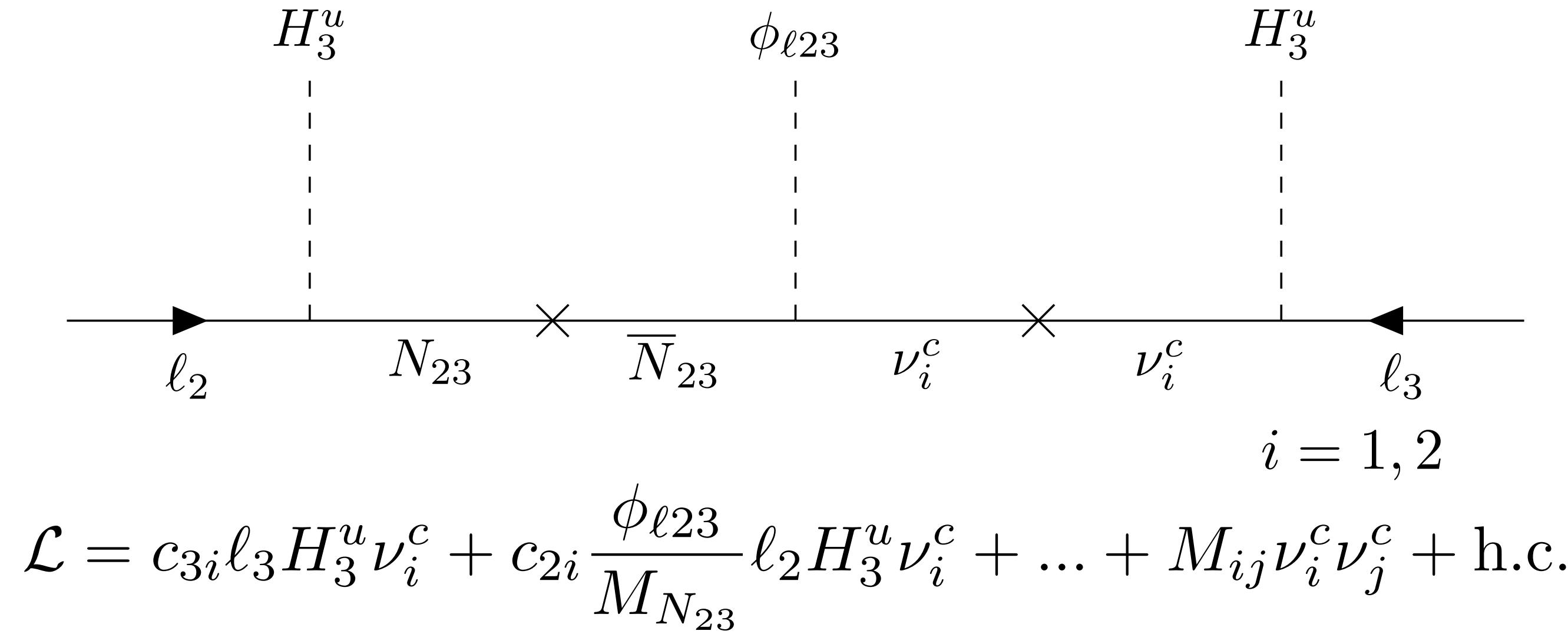


Backup: Neutrino sector

- Typically, flavour deconstruction imposes selection rules on the Weinberg operator.

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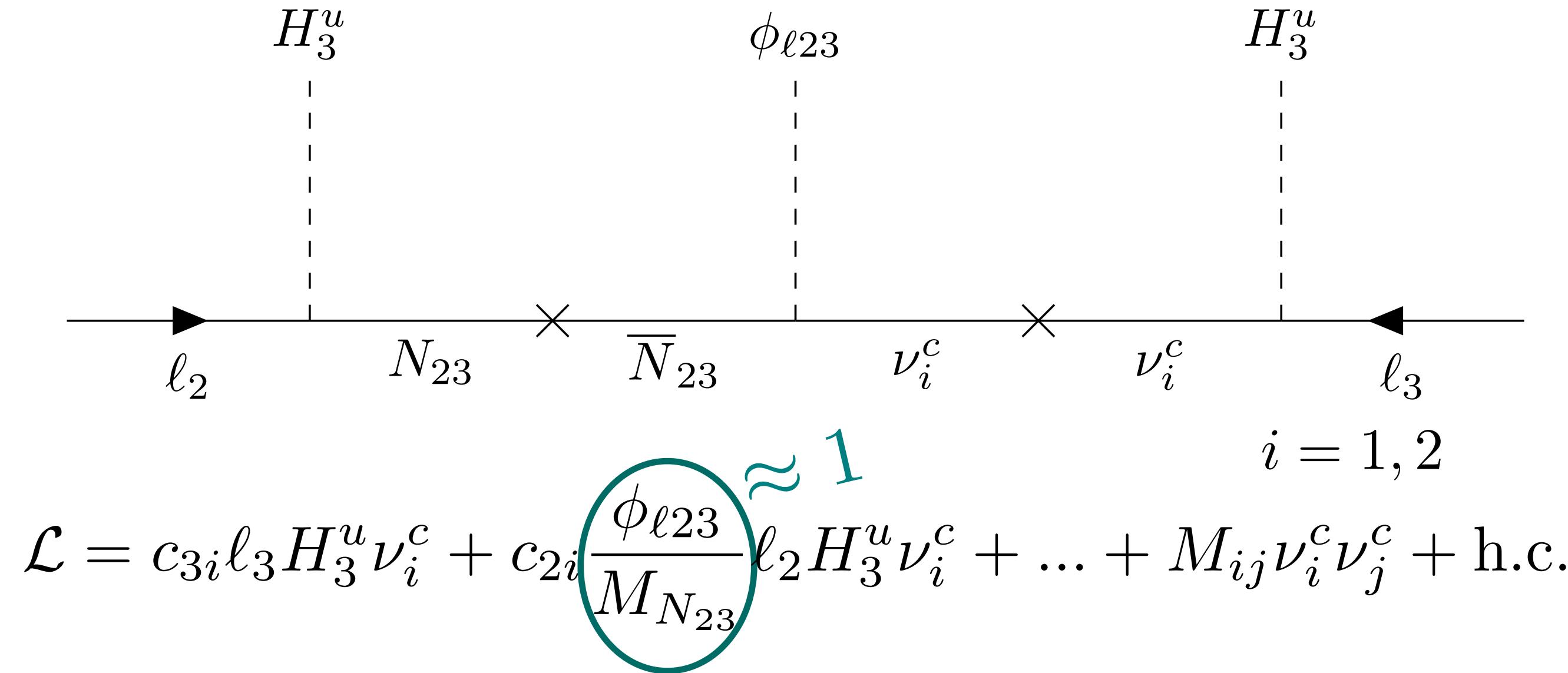
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- However, this difficulty can be alleviated in UV-complete tri-hypercharge:



	$U(1)_{Y_1}$	$U(1)_{Y_2}$	$U(1)_{Y_3}$	$SU(3)_c \times SU(2)_L$
ν_1^c	0	0	0	(1, 1)
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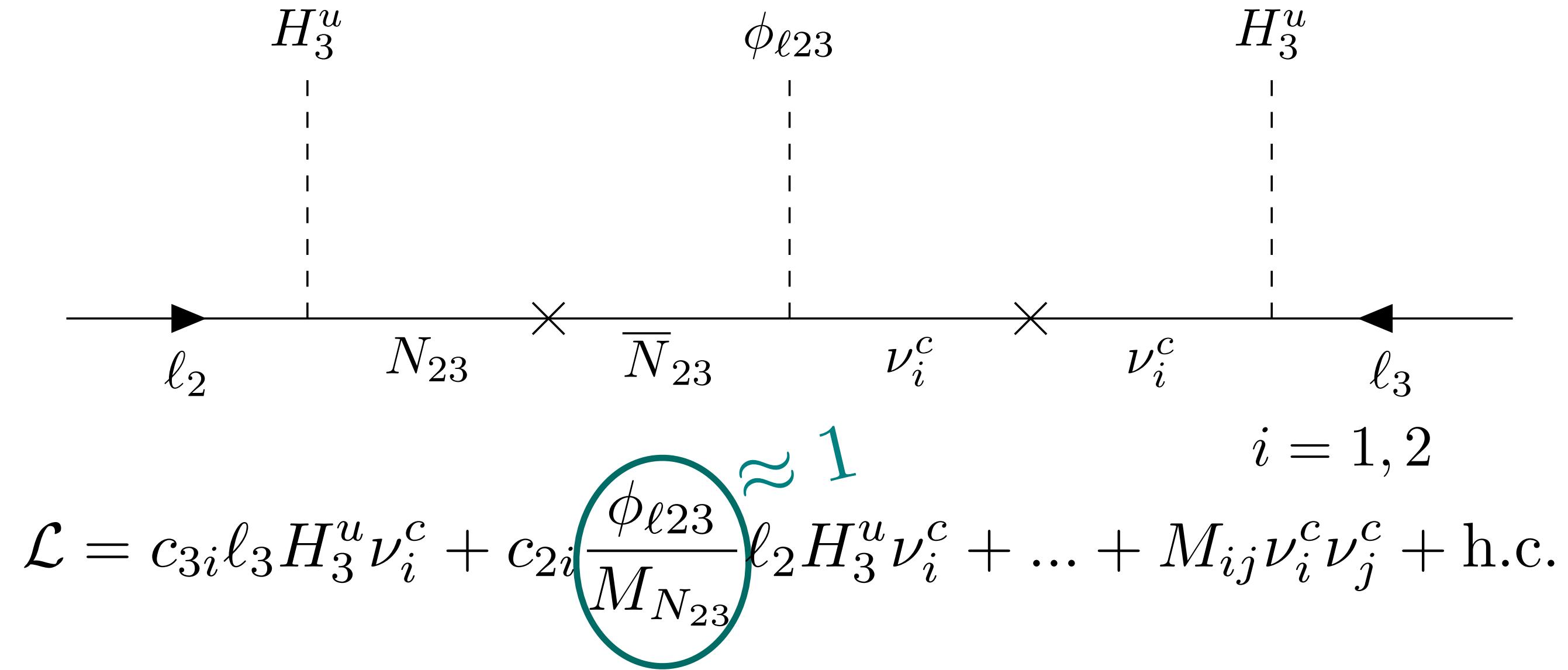
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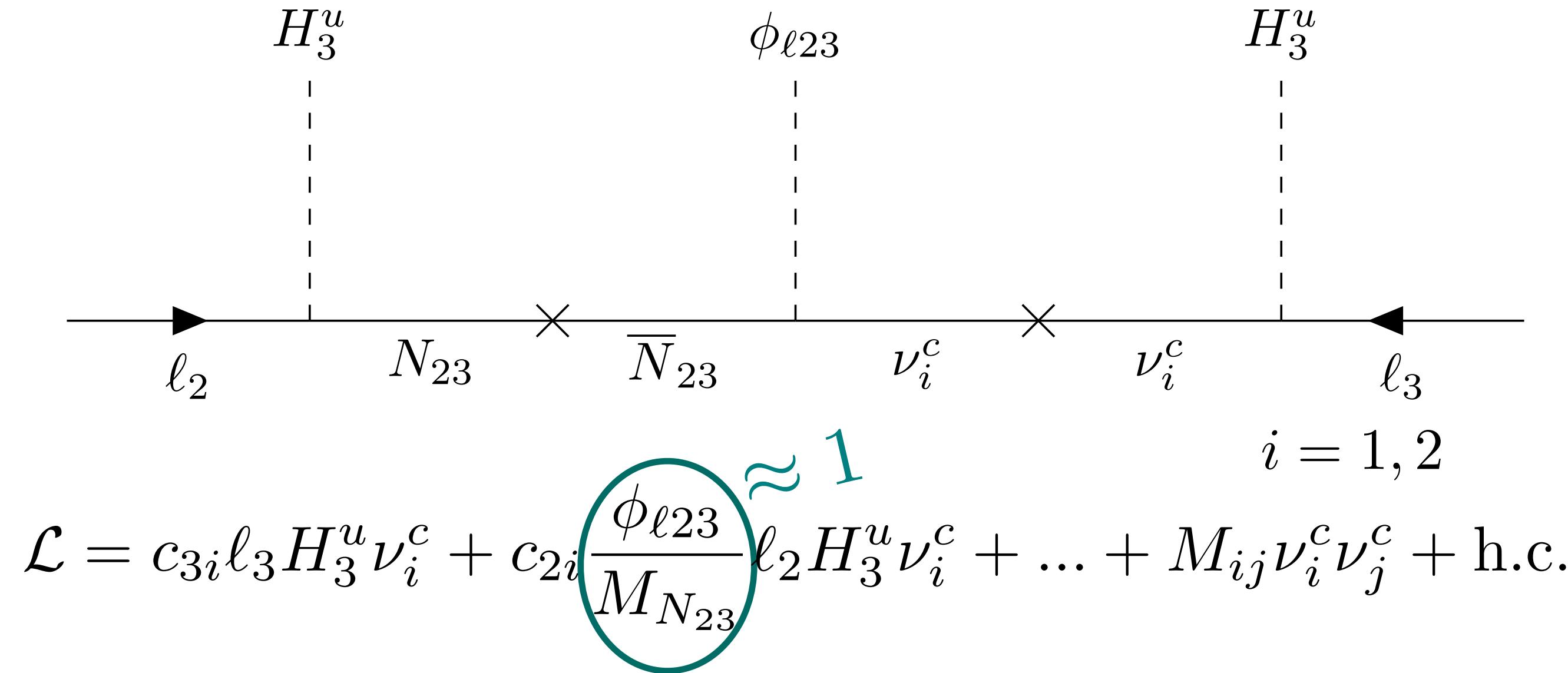


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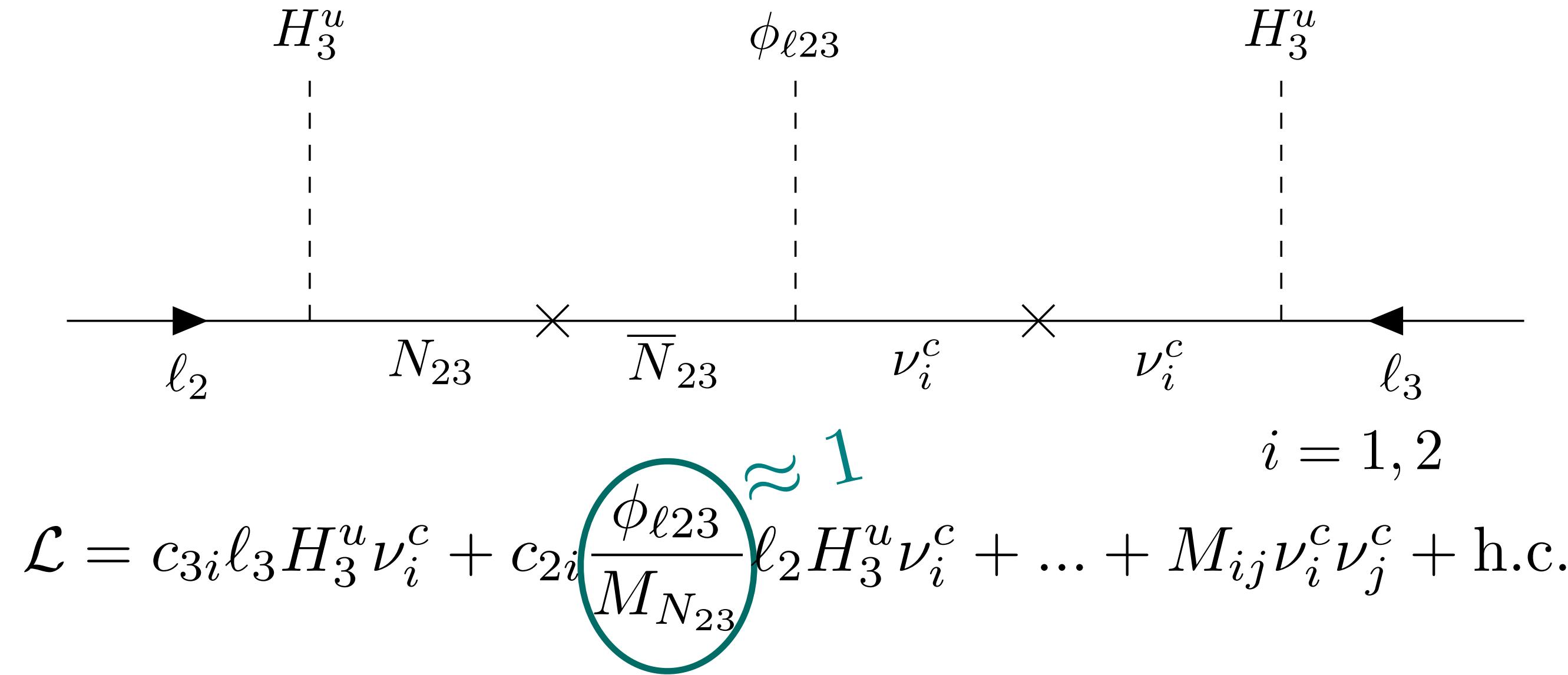
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Seesaw mechanism!

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✓ $M \approx 10^{15} \text{ GeV}$

✓ No need of small couplings nor v_{12} , v_{23} being very heavy

✓ No need of adding extra scalars

✓ $M_{N_{23}} \approx v_{23} \gtrsim \mathcal{O}(10 \text{ TeV})$

Backup: GUT

- Gauge sector of flavour deconstructed models may contain up to 9 gauge couplings:

$$SU(3)_c \times SU(2)_L \times U(1)_{Y_1} \times U(1)_{Y_2} \times U(1)_{Y_3} \quad [\text{This talk}]$$

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- “Deconstructed” theories seem to preserve an approximate \mathbb{Z}_3 (cyclic permutation symmetry) relating the three sites (i.e. approx. **same matter content under the three sites**):

► E.g. $\{\phi_{\ell 12}^{(\frac{1}{2}, -\frac{1}{2}, 0)}, \phi_{\ell 13}^{(\frac{1}{2}, 0, -\frac{1}{2})}, \phi_{\ell 23}^{(0, \frac{1}{2}, -\frac{1}{2})}\}$, $\{H_1^{(\frac{1}{2}, 0, 0)}, H_2^{(0, \frac{1}{2}, 0)}, H_3^{(0, 0, \frac{1}{2})}\}$, $\{D_{12}^{(-\frac{1}{6}, \frac{1}{2}, 0)}, D_{13}^{(-\frac{1}{6}, 0, \frac{1}{2})}, D_{23}^{(0, -\frac{1}{6}, \frac{1}{2})}\}$

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► If \mathbb{Z}_3 is exact at very high energies, then:

[Salam 79', Rajpoot 81', Georgi 82',

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$$SU(5)_1 \times SU(5)_2 \times SU(5)_3 \times \mathbb{Z}_3$$

with \mathbb{Z}_3 permuting the three $SU(5)$, contains a single gauge coupling in the UV.

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[This talk]

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✓ Deconstructed GUTs may be the origin of low energy flavour deconstructed models.

Backup: Gauge coupling unification

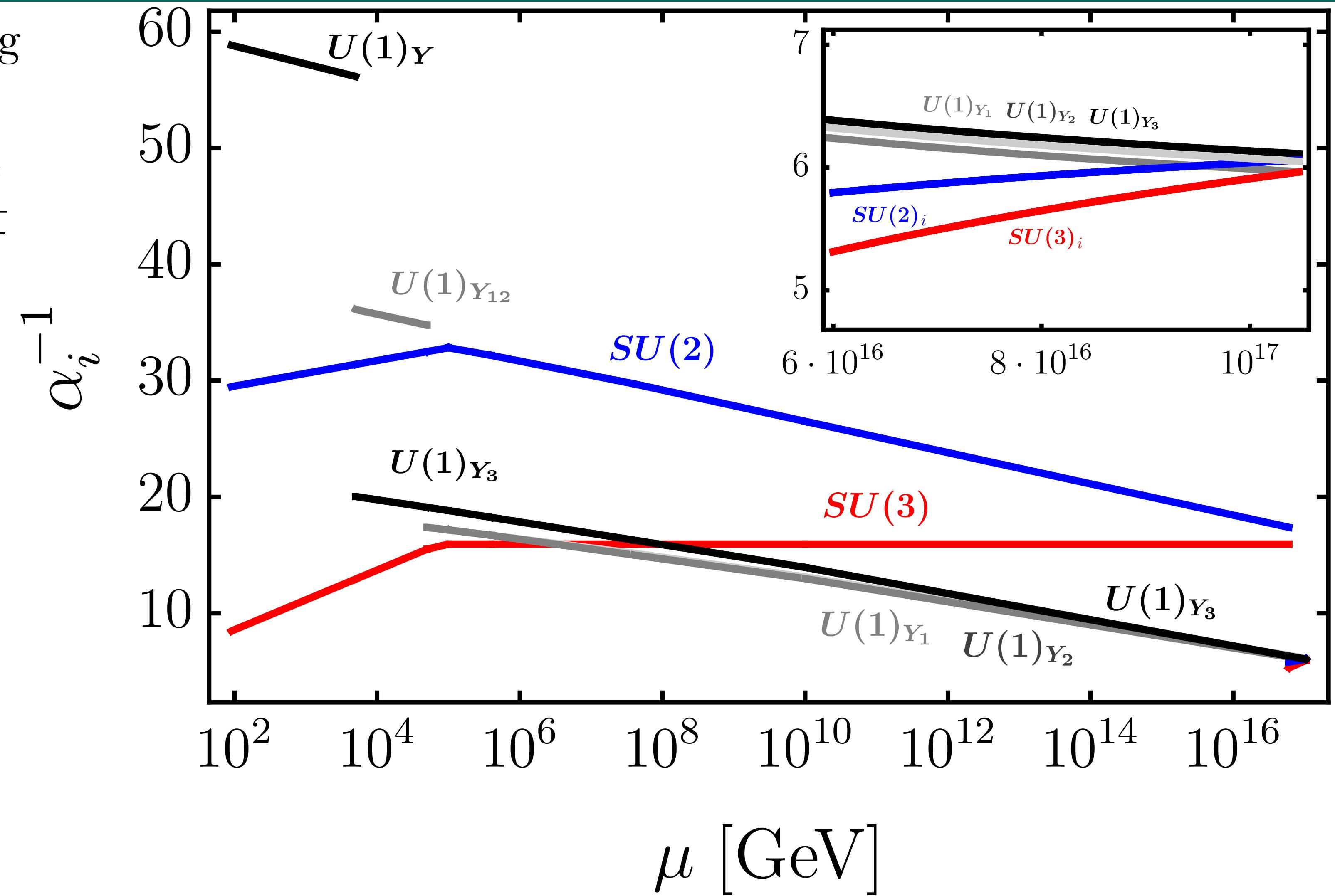
- Discontinuities due to gauge coupling matching conditions:

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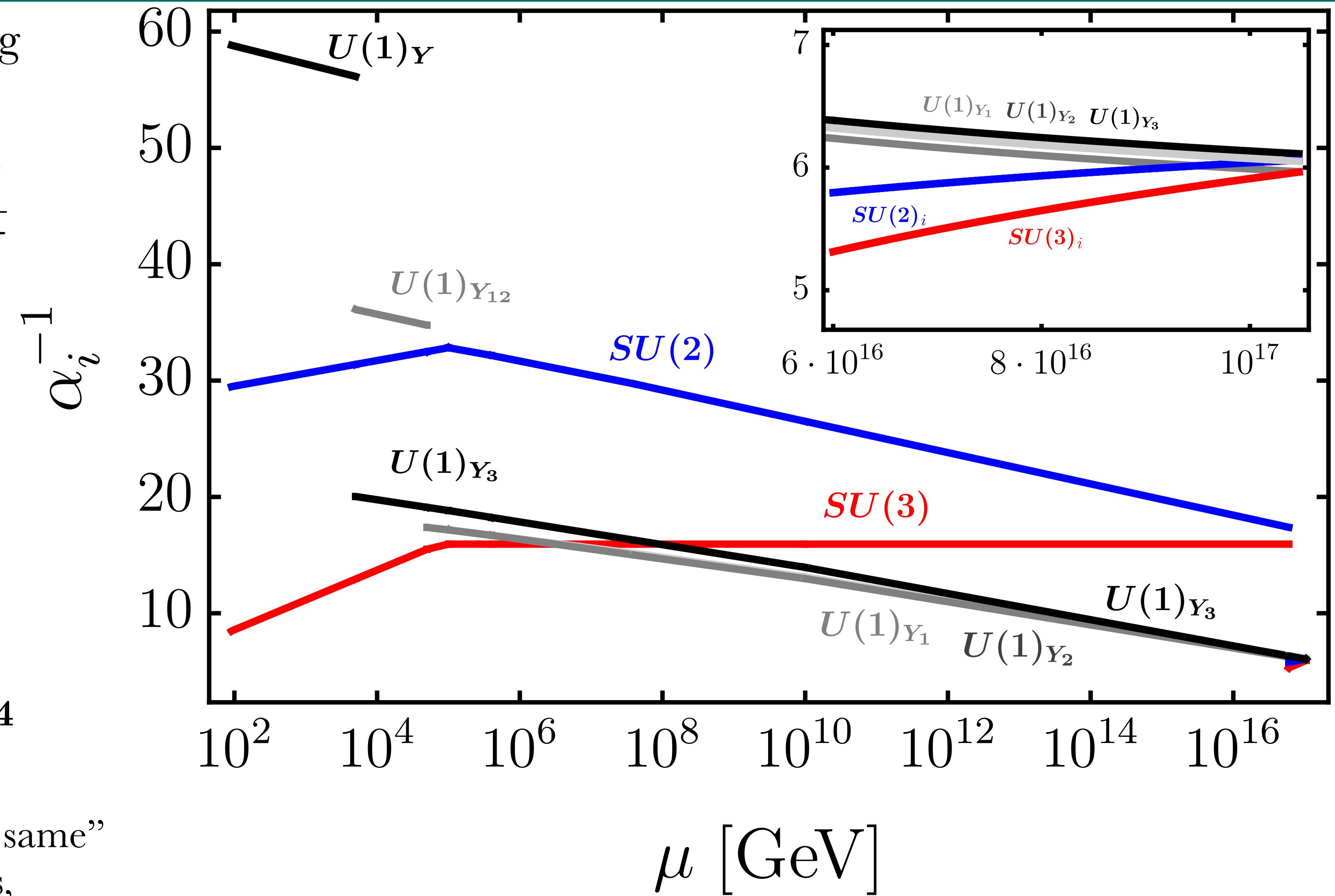
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- Colour octet $\Theta_i \sim (8, 1, 0)_i$ from cyclic **24** at v_{12} scale to bend SU(3) (non-SUSY).

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