





Towards the production of the 3D pixel detectors for the upgrade of the ATLAS Inner Detector

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Introduction

The HL-LHC and the Inner Tracker (ITk)

HL-LHC: after 2026 luminosity up to 5-7.5 10^{34} cm⁻² s⁻¹

- 4000 fb⁻¹ int. luminosity in 10 years : more statistics to study rare physics processes
- Harsher operational conditions for the detectors:
 - Pile-up collisions increase from 20-50 to 150-200 → challenging for tracking
 - Higher radiation environment → radiation-hard detectors



→ Impossible to operate the current ATLAS tracking system (ID) during HL-LHC

→ will be completley replaced with the ATLAS Inner tracker (ITk):

ITk Pixel detector: see S.Mobius's talk

- Innermost part of ITk
- 5 layers of pixel detectors
- Planar sensors in the 4 outer layers (100 μm and 150 μm active thickness)
- Inner System to be replaced after 2000 fb⁻¹ (1.5 safety factor on max fluence):
 - Fluence up to 1.9e16 n_{eq}/cm²
 - TID up to 1 Grad
 - 3D sensors in the innermost layer L0 (B0, R0, R0.5)

3D pixel sensors in ITk



3D sensors in the innermost layer LO:

- Barrel at 34 mm from collisions
- Endcap rings down to 33.2 mm from beam
- Single bare modules (1 sensor tile to 1 readout ASIC) of size 2x2 cm² arranged in triplets
- Thickness: 150 μm active + 100 μm support
- Two pixel cell sizes:
 - 50x50 μm² (endcap rings R0 & R0.5, 900 sensors)
 - 25x100 μm² (barrel flat stave B0, 288 sensors)
- Total:
 - 1188 modules (installed)
 - 210 pre-production
 - To be produced including yield (~1.6):
 0.80 m², ~2000 sensors





L0 (barrel stave)



R0 (endcap ring)







3D sensors pre-production flow



Sensor vendors:

- **FBK** (25x100 μm² and 50x50 μm²)
- SINTEF (50x50 μm²)

Quality Control (QC):

- IV (all sensors)
- IV and CV (sub-sample)
- \rightarrow See also <u>S.Ronchin's talk</u>

ITk requirements (after irradiation at final fluence):

- >96% (97%) efficiency for normal (tilted 15°) incidence
- with <3% masked pixels
- Operating at V_{bias} <250 V
- Power dissipation <40 mW/cm²



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3D sensors production schedule



- Pre-production (completed):
 - FBK completed and Production Readiness Review passed in November 2022 (50x50) and November 2023 (25x100)
 - SINTEF (50x50) still under review due to sensor bow out of specs after back-thinning, problematic for flipchipping at IZM. Different path investigations ongoing (encouraging results): 1) changing the passivation at SINTEF, 2) Hybridization at Leonardo (indium bumps), 3) Flip-chipping at IZM with glass support
- Pre-production sensors assembled with ITkPixV1.1 chip (RD53B)
 - TimeOverThreshold (ToT) not usable
 - ITkPixV2 chip (final version) submitted at the end of 2023, qualification measurements recently finished, production officially started



3D sensors production schedule



- Production (ongoing):
 - FBK 50x50 production started May 2023 until end mid-2024
 - SINTEF (50x50) in-kind production started November 2023 until end 2024
 - FBK 25x100 production starting in February until end 2024



Results before irradiation

Sensor QC/QA

See also <u>S.Ronchin's talk</u>





• Inter-pixel resistance

- with special "strip" test structures that short together pixel structures, then result scaled by number of pixels in a strip
- 3D sensors must have $R_{int} > 1 G\Omega$

Depletion voltage

- from capacitance vs voltage curves
- on a sub-sample of diodes out of each wafer
- QC measurements values show depletion voltage <5 V



Leakage current

See also <u>S.Ronchin's talk</u>

- ITk requirements: ۲
 - Breakdown voltage > 25V
 - Leakage current < 2.5 μ A/cm²
- **Measurements on wafer:**
 - FBK within specs ۲
 - SINTEF leakage current higher ٠ than specs, but excellent yield based on breakdown
 - ITk considering to relax ٠ leakage current specs









- 3D with ITkPixV1.1 tested on **Single Chip Cards** (SCCs): dedicated PCBs hosting a single module
- Test beams at CERN (PS (12 GeV p) and mainly) SPS (120 GeV pions)
- Efficiency calculation:
 - with tracks on DUTs that **meet spatial and time** cuts w.r.t. reconstructed track
 - disabled, masked pixels and neighbouring ones are not taken into account

Efficiency vs V bias (unirradiated): 0 – 10 V



Unirradiated modules tested at PS (12 GeV protons) and SPS (120 GeV pions), perpendicular to beam:
 → Average Efficiency 97.5%-99%

- → Meets the ITk requirement >96% for normal incidence (already at few volts bias unirradiated)
- Results compatible with 50x50 μm² prototype (RD53A chip + FBK 3D sensor) previously tested at DESY (6 GeV electrons) [reported in <u>https://cds.cern.ch/record/2815570</u>]

In-Pixel efficiency map (unirradiated): 0 – 10 V

- Similar results by FBK and SINTEF
- Average in-pixel efficiency > 99% for normal incidence for both vendors at full depletion
- Central area: higher than 99% efficiency
- Lower efficiency zones visible in corners for normal incidence:

Efficiency

/ 10 V

- Effect (75% 99%) radius: 10 μm
- p⁺ columns max radius: 4 μm
- No other structure visible (e.g. polySilicon cap)
- No evident differences between 10 V and 0 V bias in terms of the extension of the low efficiency zone



Results after irradiation

NEW RESULTS from 2023 TB campaign data:

- SINTEF
- FBK 25x100 µm² pixel cell

for previous results see also:

- S.Ravera, <u>Qualification of irradiated 3D pixel sensors produced by FBK for the pre-production of the ATLAS ITk detector</u>, VERTEX 2023
- S.Hellesund, Qualification of irradiated 3D pixel sensors produced by Sintef for the pre-production of the ATLAS ITk detector, VERTEX 2023
- S.Ravera, Pixel cell local efficiency of FBK 3D pre-production pixel sensors after irradiation up to 1.9 10¹⁶ n_{eo}/cm², TREDI 2023
- M.Ressegotti, <u>Qualification of the first preproduction 3D FBK sensors with ITkPixV1</u>, PIXEL 2022
- A.Lapertosa, <u>Test of ITk 3D sensor pre-production modules with ITkPixv1.1 chip</u>, iWoRiD 2022
- A.Lapertosa, Performance of irradiated FBK 3D sensors for the ATLAS ITk pixel detector, TREDI 2021

Leakage current



- 3D diodes and bare sensors with temporary metal irradiated to 1 x10¹⁶ and 1.7 x10¹⁶ n_{eq}/cm² at CYRIC (protons)
 - Breakdown >100 V
 - Breakdown shifts towards higher voltage after annealing and/or stability tests (IT 48h under bias)



SINTEF Diodes IV

Power dissipation



- ITk requirement: <40 mW/cm² at the operational voltage (efficiency >96-97%)
 - power dissipation (at operational voltage) <10 mW/cm² at -25°C up to 1.7e16 n_{eq}/cm²



SCCs irradiations summary

- Modules irradiated with protons of different energy at different facilities (Bonn, KIT, IRRAD) to different fluences
- Some of them irradiated two times to accumulate higher fluence gradually, studied after each irradiation
- Some irradiations (at CERN IRRAD) are not uniform

Module	Irradiation facility	Fluence
FBK 50x50 μm ²	Bonn (14 MeV protons)	<mark>0.6 · 10¹⁶ n_{eq}/cm² uniform</mark>
	Bonn (14 MeV protons) + IRRAD (12 GeV protons)	1.9 10 ¹⁶ n _{eq} /cm ² (peak) not uniform
SINTEF 50x50 μm^2	KIT (23 MeV protons)	<mark>1 ∙ 10¹</mark> 6 n _{eq} /cm² uniform
	KIT (23 MeV protons) + IRRAD (12 GeV protons)	<pre>1.8 10¹⁶ n_{eq}/cm² (peak) not uniform</pre>
FBK 25x100 μm ²	KIT (23 MeV protons)	<mark>1 ∙ 10¹</mark> 6 n _{eq} /cm² uniform
	IRRAD (12 GeV protons)	2.4 10 ¹⁶ n _{eq} /cm ² (peak) not uniform

- Not uniform irradiations:
 - Note that <u>the average and peak</u> <u>fluence</u> are provided together with the results
 - Not uniform fluence used to map efficiency measurements to different fluence values → results at different fluence values with the same module



Example of reconstructed **fluence map** (from Al dosimeter foil activation matched to efficiency map)



Fluence up to 1.9 $10^{16} n_{eq}/cm^2$

- Fluences studied closest to end-of-life fluence (1.9 10¹⁶ n_{eq}/cm² with 1.5 safety factor)
- Depletion at ~100 V_{bias} (within ITk specs)
- Confirmed depletion obtained at increasing V_{bias} for increasing fluence and efficiency is higher for 15° tilt angle
- Results with modules NOT uniformly irradiated



 Efficiency in different fluent ranges from reconstruction of fluence map



- Average efficiency in the area hit by beam (no fluence map)
- Fluence: 1.8 $10^{16} n_{eq}$ /cm² (peak), 1.5 $10^{16} n_{eq}$ /cm² (average)
- Masked pixels: 0.7% (80 V) at 1.8 10¹⁶ n_{eq}/cm²





Beyond end-of-life fluence

- Results with fluence at end-of-life with safety factor $(1.9 n_{eq}/cm^2)$ and beyond (up to 2.4 $10^{16} n_{eq}/cm^2)$
- On same modules NOT uniformly irradiated, with test beam hitting in different zones
 - at 1.9 10¹⁶ n_{eq}/cm² (EOL with 1.5 safety factor): only one point taken, at the efficiency requirement (96%)
 - at 2.4 10¹⁶ n_{eq}/cm² (beyond EOL): still operable in a small V_{bias} range where efficient with ~4-6% masked pixels (average)
 - Number of noisy pixels rapidly increases at higher V_{bias}
- Show that sensors are operable up to very high fluences, but next to the limit of the voltage range in which nr. of noisy pixels rapidly increases
- Difficult to precisely evaluate with not uniform irradiations → further uniform irradiation planned to clarify



0.5

Bias voltage [V]

https://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/PLOTS/ITK-2023-003/ 21/02/2024 M. RES

Summary & Outlook

- Sensor Production Readiness Review (PRRs) in November 2022 and November 2023
 - FBK passed PRR, SINTEF follow-up working on bow issue
 - Production for FBK and SINTEF (SINTEF in-kind, hybridization to be verified) ongoing
 - First pre-production triplets with 3D modules are being produced
- 3D modules from pre-production evaluation:
 - Efficiency and power dissipation requirements at fluences up to the expected end-of-life meet the ITk requirements
 - More **uniform irradiations planned** to confirm results
 - Studies on stability of noisy pixels also ongoing
 - Considering to relax specs on breakdown voltage (improved after annealing and/or stability tests)
 - Further studies with ITkPixV2 FE chip including ToT when modules available
 - Working on follow-up of SINTEF issues for the PRR



First assembled triplet for R0.5 with sensor and FE (assembled in Genoa)



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Inner Tracker layout





Pixel: detector structure and modules



- Design: completed! → Prototypes: done! → Pre-production: ongoing!
- Pixel detector made up by 3 main parts: **Outer Barrel**, **Outer Endcap**, **Inner System**
- Two different module concepts:
 - All the external layers (L1-4): Quad-module \rightarrow
 - Innermost layer (L0): Triplet mod. \rightarrow
- Modules glued to carbon structures
- Titanium pipes for CO₂ cooling







r [mm]

ITk pixel: triplet modules





Prototype of ITk R0 ring with RD53A modules: 10 quad-modules 3 R0 triplets



3D sensors will be assembled in triplet modules (1 flex + 3 bare modules)





Design ongoing

3D sensor technology

- ATLAS ITk will use 3D technology in L0 with 2 different pixel cell dimensions
 - 25x100 μm² in the barrel triplet modules (B0, 288 sensors)
 - 50x50 μm² in the endcap triplet modules (R0 & R0.5, 900 sensors)
- Pros: low depletion voltage, fast response rise, less trapping probability → RAD-HARDNESS
- Cons: iniform spatial response, higher capacitance w.r.t. to planar, cost, yield









ITk Pixel: sensor arrangement



- **Planar sensor**: standard pixel technology, n+ implants on p bulk surface
- **3D sensor**: n+ and p+ columns implanted vertically in p bulk substrate
 - Reduced distance between electrodes \rightarrow Shorter path of e/h
 - Lower impact of charge trapping along charge carrier path
 - Improved radiation hardness: perfectly OK @ 1e16 n_{eq}/cm² NIEL
 - Lower depletion voltage → Lower power dissipation after irradiation

Planar sensors arranged in quad-modules: 1 bare module (4 chips + 1 planar sensor) + 1 flexible PCB

3D sensors arranged in triplet modules: 3 bare modules (1 chip + **3D sensor**) + 1 flexible PCB

• Both ring and barrel triplet assembly exercised with RD53A prototypes







SINTEF PRR follow up issues

SINTEF high leakage current

- Leakage current of SINTEF sensors before irradiation outside specs (~2 times over the limit)
- Leakage current within specs after irradiation
- Plan to relax the specifications for this production

SINTEF large bow after back-thinning

- After back-thinning the sensor bow is out of specs
 - Problematic for flip-chipping at IZM
- Possible solutions under investigation
 - 1. Changing the passivation at SINTEF
 - A few wafers have been reworked, two wafers sent to IZM to hybridize
 - 2. Hybridization at Leonardo (indium bumps):
 - First results expected soon (bare modules in institutes for module assembly)
 - 3. Flip-chipping at IZM with glass support
 - Few modules produced, being assembled in modules, results very encouraging.

Sensor in-kind started: acceptance will be considered based on the results



SINTEF 3D batch F (2020)

ITk Pixel: FE chip from RD53A to ITkPixV2



- RD53A chip (by RD53A collaboration) largely used to build module prototypes before 2022
- ITkPixV1.x chip (RD53B): ToT not usable

 → Most of results shown done with ITkPixV1.1
 → ITkPixV1.1: noise of bare FE ~40e (~10e dispersion)
- ITkPixV2 chip submitted at the end of 2023

 → qualification measurements recently finished
 → production officially started

- Main ITkPix features:
 - 65 nm CMOS, 2x2 cm² area
 - 384 x 400 pixels (50x50 μm²)
 - Differential Analog FE
 - Power consumption: 0.56 W/cm²
 - Shunt Low Drop Output regulators (I const.)
 - Timewalk < 25 ns (charge > 1000 e)
 - Radiation hardness > 1 Grad
 - Standard threshold: 1000e (30e dispersion)

Summary of the 3D FBK 50x50 µm² assembled modules (+2 bare chip)

- Threshold tuning to 1000e \rightarrow Threshold dispersion: 30e
- Mean "noise" from S-curve: (decreasing with V bias)
 - Bare chip (no sensor) \rightarrow Average: 40 ± 7 e
 - Module (10 V bias) \rightarrow Average: 70 ± 10 e

SCC	Bare chip	Mean Threshold	Sigma Threshold	Mean Noise	Sigma Noise
А	ITkPixV1.1	969	31	39	7
В	ITkPixV1.1	961	29	41	7

SCC	Module	Mean Threshold	Sigma Threshold	Mean Noise	Sigma Noise
2	3D + ITkPixV1.1	974	28	71	10
3	3D + ITkPixV1.1	979	31	67	9
4	3D + ITkPixV1.1	971	31	70	9
5	3D + ITkPixV1.1	969	31	73	10
6	3D + ITkPixV1.1	973	29	70	10
8	3D + ITkPixV1.1	962	31	75	10



- Leakage current:
 - at sensor level (on wafer): $< 1 \ \mu A$ (3.84 cm²) up to 80 V
 - on bare module (on SCC): < 0.2 μA/cm² (compatible)
- Breakdown voltage V_{bd}:
 - $V_{bd} > 80 V$ (requirement: $V_{bd} > 25 V @$ $V_{depl} + 20 V$)



X-ray scan



- Source: X-ray tube (Amptek Mini-X2), with Ag anode
- Masking noisy pixel (X-rays OFF): 60 seconds random trigger scan (40 kHz)
- Data taking (X-rays ON): 60 seconds selftrigger scan (HitOR)
 - Central pixels: 6700 hits/pixel in 60 s (110 Hz)
 - Edge pixels: 10000 hits/pixel in 60 s
 - 30% more hits due to extension of the electric field
- 3D printed plastic cover between
 X-ray tube and the sensor
 - Visible pattern of the 3D printed filament
 - Visible pattern of the ink on printed label INFN Genova







μm Additional ohmic columns on the slim edge



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Test beam data analysis



•Data analysed with the C++ based framework Corryvreckan

- Procedure:
 - 1. Telescope (6 planes) alignment with track χ^2 minimization \rightarrow stops when telescope residuals comparable to plane resolution (~5 µm)
 - 2. DUTs alignment with track χ^2 minimization \rightarrow stops when the DUTs residuals are of the order of the device resolution (~14 µm)
 - 3. The efficiency is calculated
 - with tracks on DUT that **meets spatial and time** cuts w.r.t. reconstructed track
 - disabled, masked pixels and neighbouring ones are not taken in account



the resulting efficiency is valid for pixels that are not masked or disabled

FBK Irradiations in 2022 details



Two ITkPixV1.1 + 3D FBK 50x50 μm² have been irradiated at two different facilities in 2023:

- First irradiation: ٠
 - in Bonn (May-June 2022) to uniform fluence (uniform) 1 x 10¹⁶ n_{eg}/cm²
 - 14 MeV protons beam, 1 Grad ٠
- Second irradiation:
 - at IRRAD (CERN, 7-27 September 2022) to add to fluence (not uniform) $0.9 \times 10^{16} n_{eq}/cm^2$ (peak), $0.5 \times 10^{16} n_{eq}/cm^2$ average
 - devices inclined to increase irradiated area, scanning horizontally ٠ \rightarrow quite uniform irradiation along x, gaussian along y (beam profile)
 - Visual inspection: visibile dark shape in a ~1x2 cm² area, not vertically centered, dots on the sensor surface ٠
 - Received fluence (local and average) measured from the activation of AI dosimeters placed on the back of the sensor ٠

Front





Total integrated fluence:

- 1.9 x 10¹⁶ n_{eg}/cm² (peak), 1.5 x 10¹⁶ n_{ea}/cm² average
- Not uniform fluence used to map efficiency measurements to different fluence values

bean

SCC3

Evaluation of local fluence



•After irradiation, dosimeters are cut in smaller pieces

- Measured activity of Na-22 with Ge detector, from which the fluence is calculated
- \rightarrow a map of local measured fluence is obtained with granularity of squares / strips
- •Fit the 2D map with a 2D gaussian distribution to obtain a map of fluence vs individual pixel
- Improve the map by correlating it to the noise map or efficiency map

Fluence accumulated during ¹⁰⁰ second irradiation, add 10^{16} ⁵⁰ n_{eq}/cm^2 for total fluence







IV curve and noise







Sensor noise at fluence $10^{16} n_{eq}/cm^2$ stable over the entire V_{bias} range used in datataking at ~80e (same tuning done at 100V used for all V_{bias} values)

Tuning strategy and stability at test beams in 2022



SCCs studied in test beams at CERN SPS after each irradiation

- Strategy (2022 TB campaign): tuned with target 1000e at 100V bias, same tuning used for all V bias
- Threshold and Noise distributions verified to be reasonably stable over a large V bias range



In-pixel efficiency after irradiation



- Effect of p⁺ columns confirmed in perpendicular configuration
- Effect of p⁺ columns not visible in tilted configuration as expected
- Comparable max efficiency in central area

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Efficiency and masked pixels



Efficiency of FBK 25x100 with fraction of masked pixels



SINTEF irradiated in-pixel efficiency





Irradiated 3D pixel cell efficiency projections

Projection of the central row of the local efficiency map for different bias Voltages and fluences

- Efficiency is lower for pixels which received higher fluence
- At 120V Bias no significant differences between the to fluence ranges
- At low bias (< 120 V) partial inefficiency in the middle of the cell (n⁺ column) Normal incidence



1 March 2023

S. Ravera



https://indico.cern.ch/eve nt/1223972/contributions/ 5262028/

Irradiated 3D pixel cell efficiency projections

FBK 50x50 μm² <u>https://indico.cern.ch/eve</u> <u>nt/1223972/contributions/</u> 5262028/

Projection of the central and top rows of the local efficiency map for different bias Voltages 5

- In the edge projection lower efficiency areas are visible in corners (p+ columns) also at 120 V
- At 120 V Bias the central region is fully depleted
- The lower efficiency in the middle of the edge is related to a lower electric field in this region



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S. Ravera

Operability window

- Observed number of noisy / disabled pixels increasing at high voltages (>120V bias)
 - same tuning (1000e @ 100V bias) used for all V bias → may be reduced by tuning at each voltage → <u>under investigation</u>
 - performed analog scan vs V bias to study the effect systematically
 - Slow increase at around ~3% failing pixels up to about ~150V bias
 - Faster rise next to breakdown voltage
 - Possibility to improve the 3% failing plateau <u>under investigation</u>
 - The operability window is reasonably the overlap between the region at high efficiency and the region with low fraction of failing pixels: ~100V to ~160V bias in this example



ITkPixV1.1 chip: threshold tuning





X-ray scan results: issues on SCC 5 and 6



- Bump disconnection area in the center
 - Due to damage to bump structures by handling during hybridization (?)
 - 1000 pixels record lower amount of hits (not noisy pixels)



Large bump disconnection area in the corner



SCC 3: irradiated 1e16 (Bonn) + up to 0.9e16 (IRRAD)





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SCC 5: irradiated 1e16 (Bonn) + up to 0.9e16 (IRRAD)

• 100 V bias \rightarrow Threshold scan after tuning to 1000 e



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Tuning stability vs Vbias: thresh., noise, untuned pix.





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3D sensor detail – Bare module assembly





Preparation of the SCC for the assembly



- SCC have been prepared in Genova for irradiation \rightarrow removed material behind bare module
- SCC v1.4: NTCs, HV bond pad, WB pads



Thin (0.5 mm)
 Aluminum plate
 glued with
 Araldite 2011

 Bare module glued with Araldite 2011 and wirebonded