







Ph.D. School – Nuclear Physics meets Electronic Technology Capacitive techniques for the defect characterization of semiconductor devices

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19 June 2024



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What is a defect in a semiconductor?

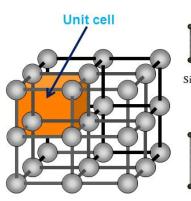
Electrical effects of the traps

• Capacitive techniques to measure a defect



Cristal structure of a semiconductor

- Crystal structure is the atomic distribution into the space
- Each semiconductor has its own and defines the physical properties



Simple cubic



Face-centered cubic

Silicon



В

Simple tetragonal

5.05 Å

Body-centered tetragonal

2H

(wurzite)

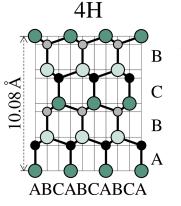
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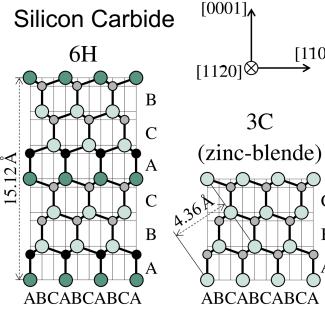


Body-centered

cubic

Hexagonal





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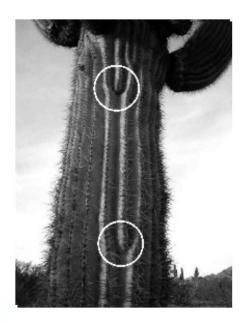
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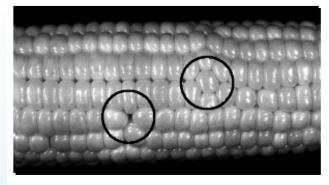
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What is a defect?

- The defect is an imperfection of the regular crystalline structure of a semiconductor atom-by-atom
 - The nature is full of examples
- Defects can be also intentional to achieve a lower energy state (reduction of mechanical stress) or to have a better material (steel).



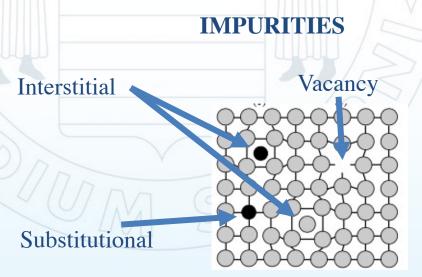




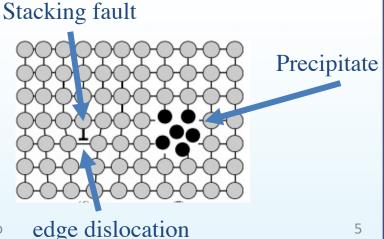
Defects of a semiconductor

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- All semiconductors contain defects:
 - foreign atoms (impurities)
 - crystalline defects
 - Impurities are:
 - intentionally introduced: improve the performance of the device
 - dopant atoms (shallow-level impurities);
 - recombination centers (deep-level impurities) to reduce the device lifetime;
 - deep-level impurities to increase the substrate resistivity.
 - unintentionally incorporated during crystal growth and device processing: the performance of the device gets worse



CRYSTALLINE DEFECTS



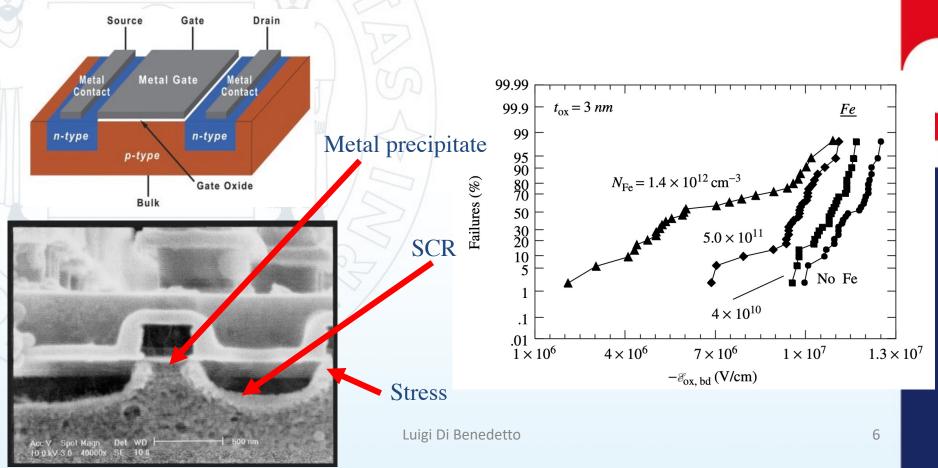
Defects and the electron devices

• Nowadays Silicon is grown very pure ($<10^{10}$ cm⁻³), but process fabrication can introduce concentration of metals of around 10^{10} - 10^{12} cm⁻³

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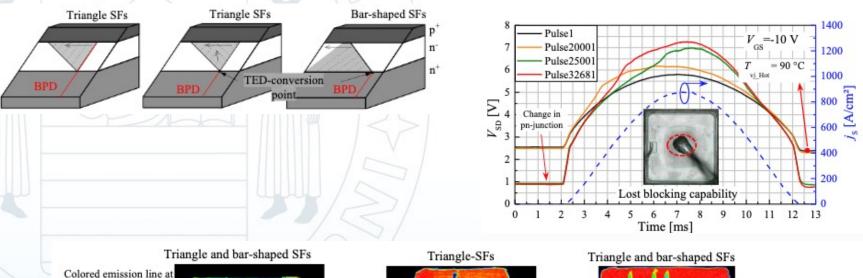
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They affect the reliability of the device, for example oxide failure of a MOSFET



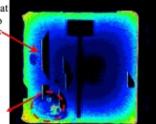
Defects and the electron devices

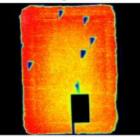
4H-SiC bipolar degradation: the minority charge carriers (holes) might reach the substrate and cause electron-hole recombination in or close to it, which exhibits a certain energy activating the growth of SFs at BPDs or other BPD conversion points.



Colored emission line a the SF corresponds to intersection of the SF with the pn-junction

Possibly due to metallization degradation (molten metal)





EMMI test condition: $I_{\rm S}=1$ A, channel-off mode

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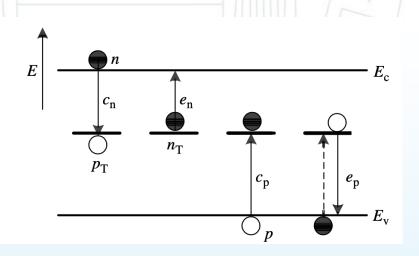
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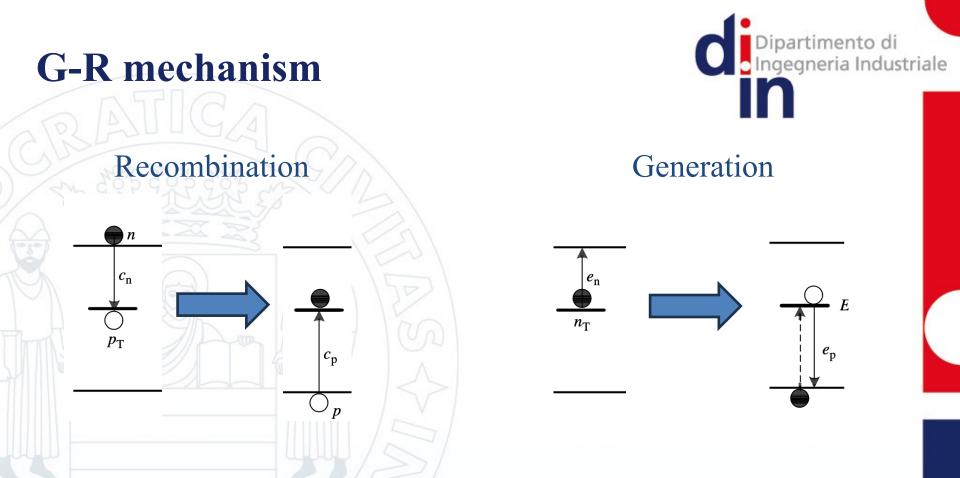
Electron energy band diagram – Graphical representation

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- Band diagram of a pure crystal: no energy level in the bandgap
 - When the periodicity of the crystal is perturbed, a discrete energy level is introduced (E_T), named defects or generation-recombination (G-R) centres or traps.
- Four events are possible between charge carriers and traps

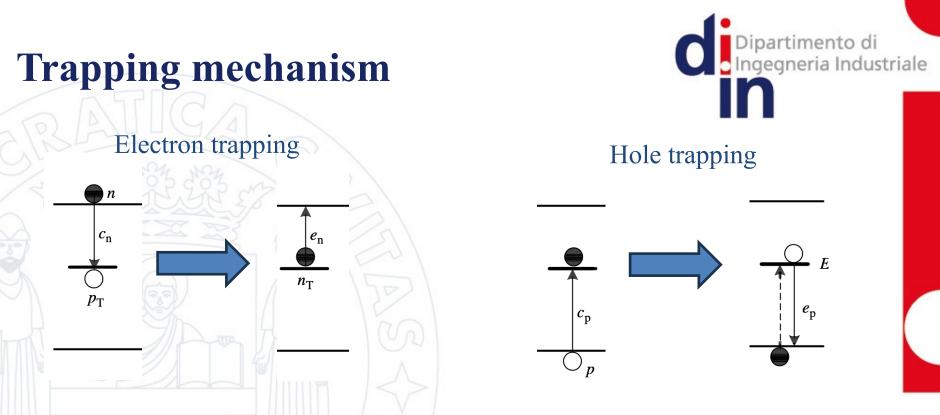


Charge carriers: n (electron) – p (hole) Traps: N_T (impurities)

 $c_{n(p)}$: capture coefficient for electron (hole) $e_{n(p)}$: emission coefficient for electron (hole)



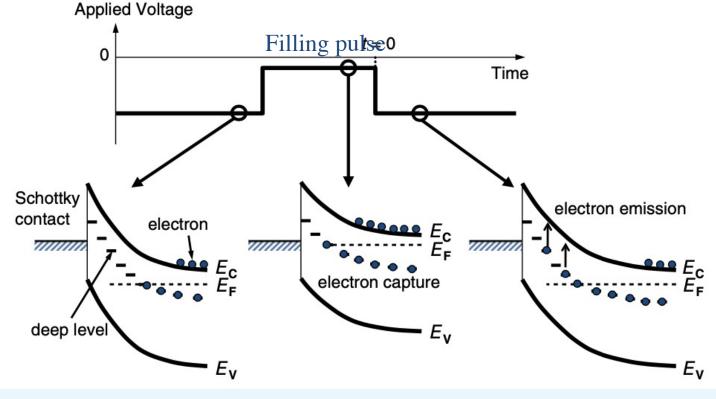
- The impurity is a G-R center and both bands are involved
 This mechanism is related to the lifetime of the charge carriers: relevant parameter for electron devices
- The energy level is in the middle of the band-gap



- A carrier is captured and emitted back to the same band
- The impurity is a Trap
- The energy level is close to the band edges:
 - E_T near E_C : capture and emission rate for electron dominate to hole ones
 - E_T near E_V : capture and emission rate for hole dominate to electron ones

Sample excitation

- A junction is required in order to have a space charge region (Schottky, pin, MOS) used to move the Fermi level
 - An external voltage is applied and varies during the time



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Mathematical description

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- The electron density in the conduction band is increased by electron emission and is diminished by electron capture.
- The electron time rate of change due to G-R mechanisms is

$$\frac{dn}{dt}|_{G-R} = e_n n_T - c_n n p_T$$

- The emission rate e_n [1/s] represents the electrons emitted per second from electronoccupied G-R centers
- The capture rate $c_n n [cm^3/s]$ represents the density of electrons captured per second from the conduction band
- c_n is the capture coefficient and depends on electron capture cross-section and the thermal velocity

$$c_n = \sigma_n v_{th}$$

Similarly, for the hole:

$$\frac{dp}{dt}|_{G-R} = e_p p_T - c_p p n_T$$

Mathematical description

When hole and electron are emitted and/or captured, the trap occupancy changes with a rate

$$\frac{dn_T}{dt}|_{G-R} = \frac{dp}{dt} - \frac{dn}{dt} = (c_n n + e_p)(N_T - n_T) - (c_p p + e_n)n_T$$

In the case of a n-type the hole are negligible and a solution can be found

$$n_T(t) = n_T(0) \exp\left(-\frac{t}{\tau_1}\right) + \frac{(e_p + c_n n)N_T}{e_n + c_n n + e_p} \left(1 - \exp\left(-\frac{t}{\tau_1}\right)\right)$$

For a relevant trap in the upper half of the band gap, i.e. $e_n >> e_p$, further approximation is assumed

• Emission process:
$$n_T(t) = n_T(0) \exp\left(-\frac{t}{\tau_e}\right) \approx N_T \exp\left(-\frac{t}{\tau_e}\right)$$
 with $\tau_e = 1/e_n$

• Capture process: $n_T(t) = N_T - (N_T - n_T(0)) \exp\left(-\frac{t}{\tau_c}\right)$ with $\begin{array}{c} \tau_c = 1/c_n n \\ n_T = \frac{e_p}{e_n + e_p} N_T \end{array}$

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Macroscopic measurements

- With impurities being charged or neutral, and with electrons or holes emitted or captured, any measurement that detects charged species can be used for their characterization
- The measurable electrical quantity is the junction capacitance It can change by applying an external voltage and depends on the net charge
- In the case of only electron trap density, the capacitance is given by

$$C(t) = \sqrt{\frac{\varepsilon_{\rm S} q \{N_{\rm D} - n_{\rm T}(t)\}}{2(V_{\rm d} - V_{\rm R})}} = C_{\rm st} \sqrt{1 - \frac{n_{\rm T}(t)}{N_{\rm D}}} = C_{\rm st} \sqrt{1 - \frac{N_{\rm T} \exp(-t/\tau_{\rm e})}{N_{\rm D}}}$$

where $C_{\rm st} = \sqrt{\frac{\varepsilon_{\rm S} q N_{\rm D}}{2(V_{\rm d} - V_{\rm R})}}$ is the steady-state capacitance

When the trap density is lower than doping concentration, one obtains

$$C(t) \cong C_{\rm st} \left\{ 1 - \frac{N_{\rm T} \exp\left(-t/\tau_{\rm e}\right)}{2N_{\rm D}} \right\}$$

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Emission of majority carriers

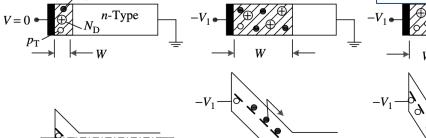
By applying an external voltage, one can change the charge in the SCR and a transient is observed $\left(-\frac{N}{2} \exp\left(-t/\tau\right) \right)$

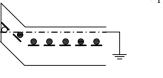
$$C(t) \cong C_{\rm st} \left\{ 1 - \frac{N_{\rm T} \exp\left(-t/\tau_{\rm e}\right)}{2N_{\rm D}} \right\}$$

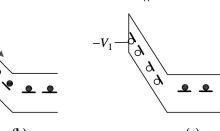
 E_{v}

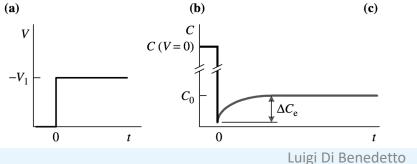
For the emission process

$$\overline{C(\infty) - C(t)} = \frac{n_T(0)}{2N_D} C_0 \exp\left(-\frac{t}{\tau_e}\right)$$









 $\Delta C_e = \frac{n_T(0)}{2N_D}C_0$

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Final manipulation

- Principle of detailed balance: under equilibrium conditions each fundamental process and its inverse must balance independently from any other process that may be occurring inside the material
- Assumption: the emission and capture coefficients remain equal to their equilibrium values under non-equilibrium condition

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Deep-Level Transient Spectroscopy DLTS

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Deep-Level Transient Spectroscopy DLTS

- DLTS is a technique to extract the energy level (E_T) and the cross section (σ) of a trap
 - The technique is merely a method to extract a maximum in a decaying waveform
 - The assumptions are:
 - C-t curve has a transient with a single decay rate;
 - a processing of the transient produces a maximum output for selected decay rate;
 - the maximum output is obtained when the rate of the decay is equal to the rate window of a boxcar average;
 - for the same rate window and varying the temperature, a peak appears in the capacitance versus temperature plot because the decay rate depends on the temperature.

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DLTS basic concept

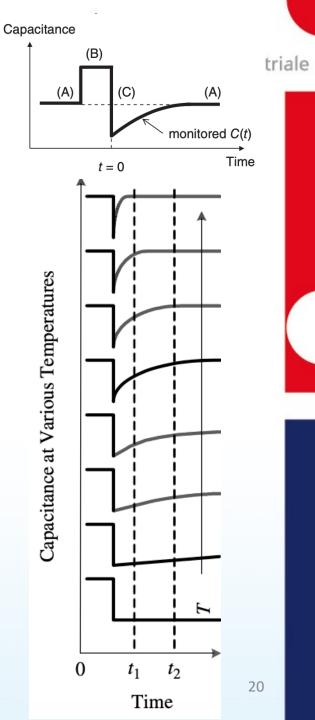
- The transient of the capacitance is exponential and depends on:
 - Doping concentration (N_D)
 - Trap density occupied by electron (n_T)
 - Constant time (τ_e)

The constant time decreases with the temperature and depends on the E_T and the cross section σ_n of the trap

$$C(t) = C_0 \left[1 - \frac{n_T(0)}{2N_D} \exp\left(-\frac{t}{\tau_e}\right) \right]$$

$$\tau_e = \frac{\exp((E_c - E_T)/kT)}{\gamma_n \sigma_n T^2}$$

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Weighted function



- The capacitance decay waveform is corrupted with noise and a technique to clean it is necessary
 - The DLTS is a correlation technique:
 - 1. the input signal is multiplied by a reference signal, named weighting function w(t)
 - 2. the product is filtered (averaged) by a linear filter (integrator or a lowpass filter)

$$\delta C = \frac{1}{T} \int_0^T f(t) w(t) dt = \frac{C_0}{T} \int_0^T \left(1 - \frac{n_T(0)}{2N_D} \exp\left(-\frac{t}{\tau_e}\right) \right) w(t) dt$$

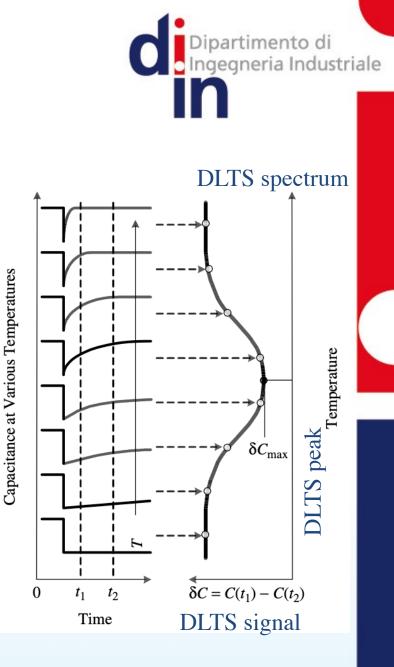
The properties of such a correlator depend strongly on the w(t) and on the filtering method

Boxcar DLTS

1. At each T, C-t curve is sampled at times $t=t_1$ and $t=t_2$ and the capacitances are subtracted

$$C(t) = C_0 \left[1 - \frac{n_T(0)}{2N_D} \exp\left(-\frac{t}{\tau_e}\right) \right]$$
$$w(t) = \delta(t - t_1) - \delta(t - \tilde{t_2})$$
$$\delta C = C(t_1) - C(t_2) = \frac{n_T(0)}{2N_D} C_0 \left(\exp\left(-\frac{t_2}{\tau_e}\right) - \exp\left(-\frac{t_1}{\tau_e}\right) \right)$$

- T is slowly scanned
- The device is repetitively pulsed between zero and reverse bias



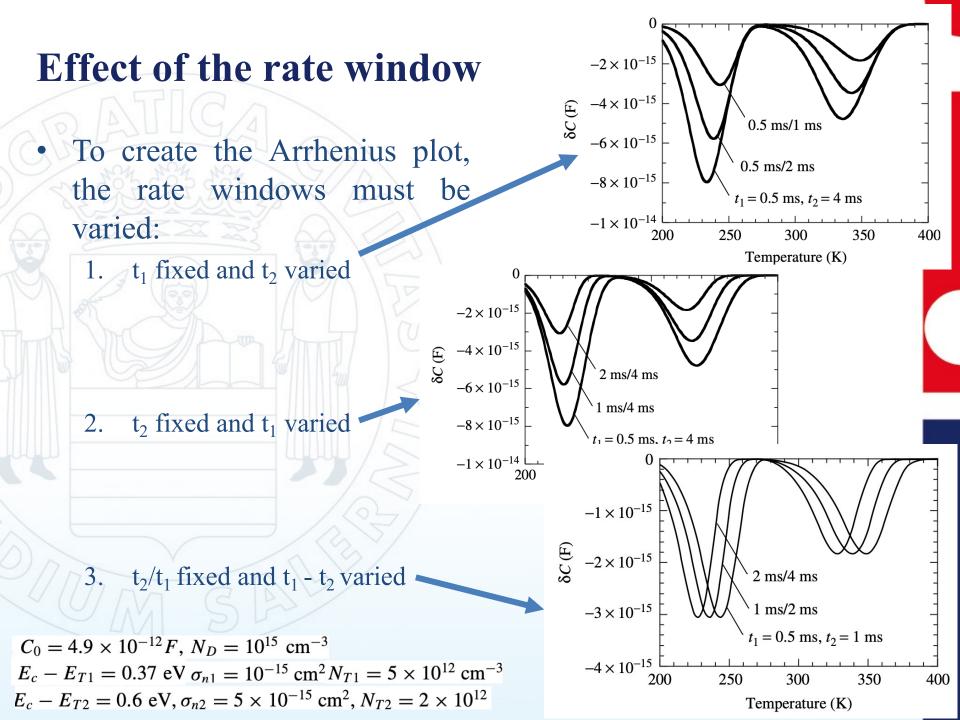
Extracting time constant

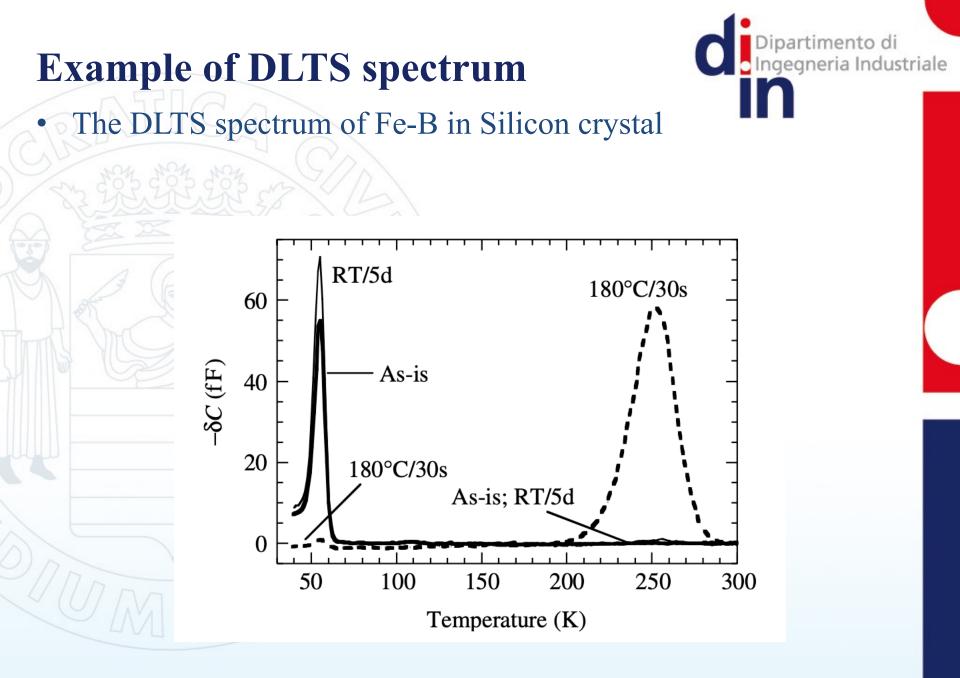
- The maximum time constant $(\tau_{e,max})$ is obtained differentiating the DLTS signal with respect to τ_e and equaling it to zero
 - $\tau_{e,max}$ at δC_{max} is

 $\tau_{e,\max} = \frac{t_2 - t_1}{\ln(t_2/t_1)}$

- 2. For each rate window, namely each pair (t_1,t_2) , single values of $\tau_{e,max}$ and T_m are obtained and collected in the Arrhenius plot $ln(\tau_{e,max} T_m^{-2})$ vs. 1/T
- 3. The steps 1. and 2. are repeated for another rate window in order to have another point in the Arrhenius plot
 - The relation between w(t) and $\tau_{e,max}$ is independent from the capacitance and the baseline

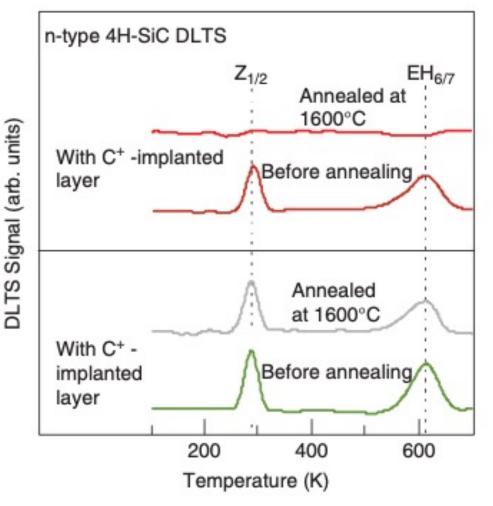
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Example of DLTS spectrum

- The DLTS spectrum of intrinsic defects in 4H-SiC crystal:
 - Z_{1/2} (E_C 0.63eV)
 EH_{6/7} (E_C 1.55eV)

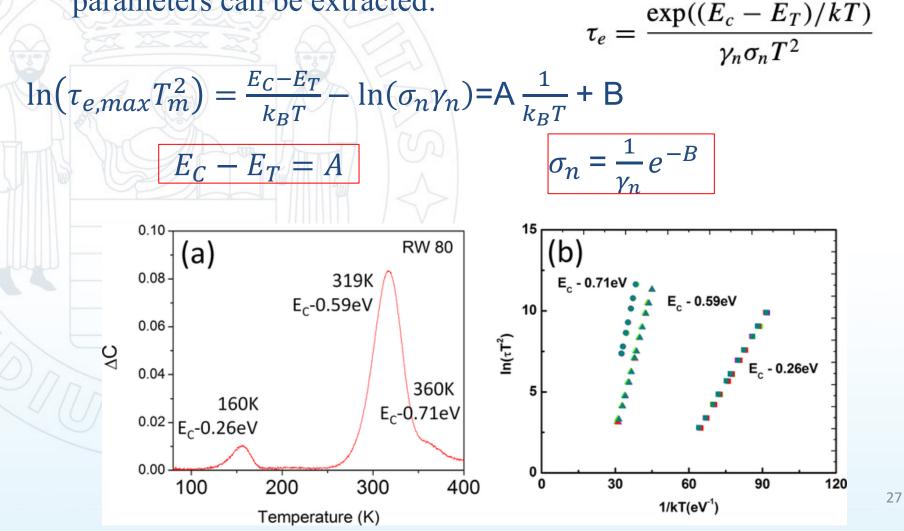


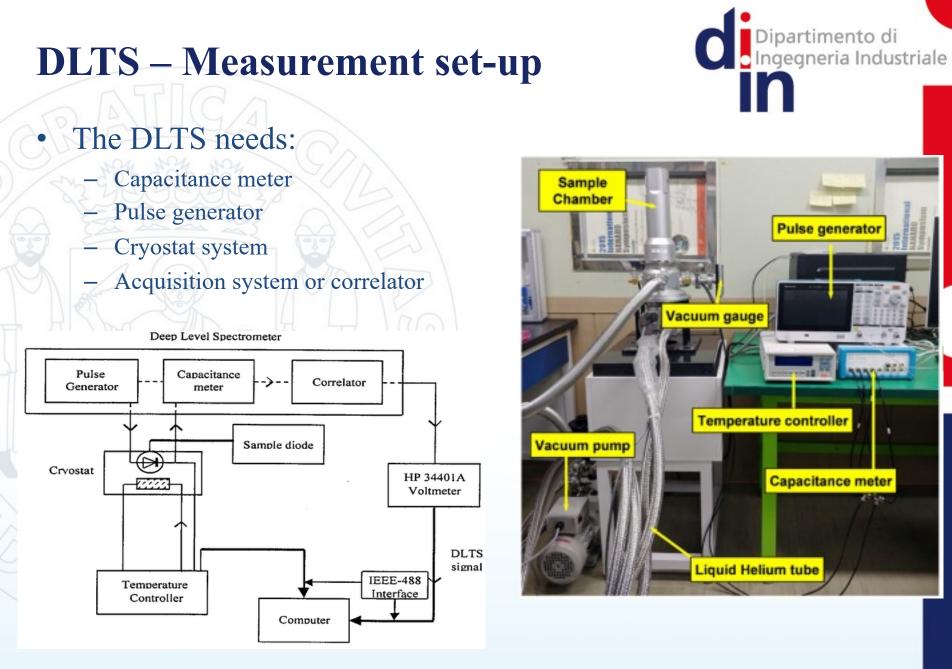
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Extraction procedure

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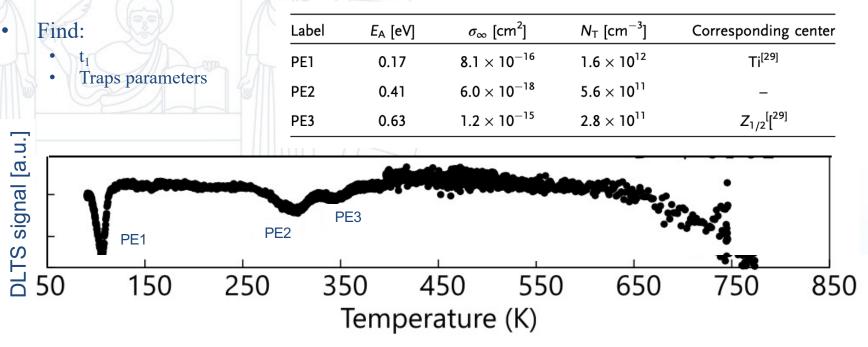
Hands-on: DLTS spectrum Analysis

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Exercise



- This afternoon we will simulate a DLTS spectrum.
- Information:
 - 4H-SiC diode with doping concentration of 3.3E14cm⁻³
 - Rate window of 2
 - Steady-state capacitance of 1pF
 - $k_B = 8.6E-5$, $m_n/m_0 = 0.763$, $\gamma_n = 3.25E21 * m_n/m_0$



Fukaya, S., Yonezawa, Y., Kato, T., & Kato, M. (2022). Depth Distribution of Defects in SiC PiN Diodes Formed Using Ion Implantation or Epitaxial Growth. *physica status solidi* (*b*), 259(9), 2100419.