

# Introduction to Beam-Driven Plasma Wakefield Acceleration

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Livio Verra

EuPRAXIA – DN School on Plasma Accelerators

23.04.2024

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**Istituto Nazionale di Fisica Nucleare**  
**Laboratori Nazionali di Frascati**

# Summary

0a. Few Reminders on Plasma Physics

0b. Few Reminders on Beam Physics

I. Plasma Wakefields: Linear Regime

II. Plasma Wakefields: Non-Linear Regime

III. Beam-plasma instabilities

Purpose:

→ Provide basic tools and understanding of PWFA

→ Stimulate curiosity

Disclaimers:

- We will barely scratch the surface of the topic
- None of the following is my invention –still learning..

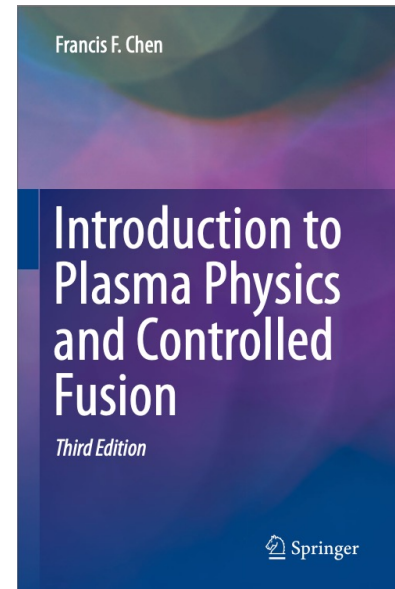
# 0a. Plasma Physics

Plasma:

- Ionized gas
- Collisions can be (most of time) neglected  
→ Electromagnetic interaction dominates
- Large number of particles → **collective** behavior
- Quasi-neutral ( $n_{pe} \sim n_{pi}$ )

electrons (-) →  $n_{pe}$

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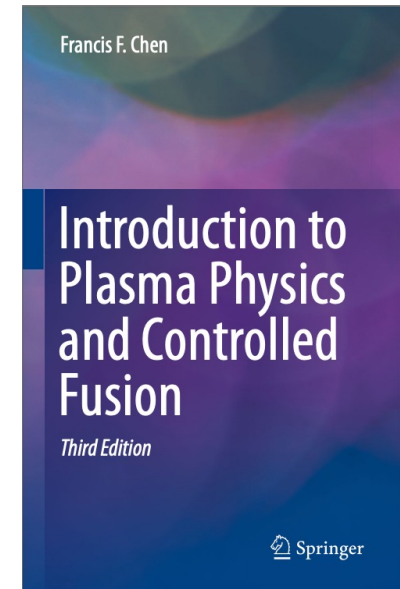


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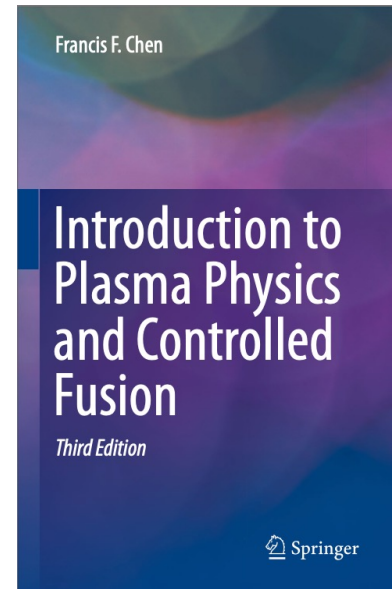
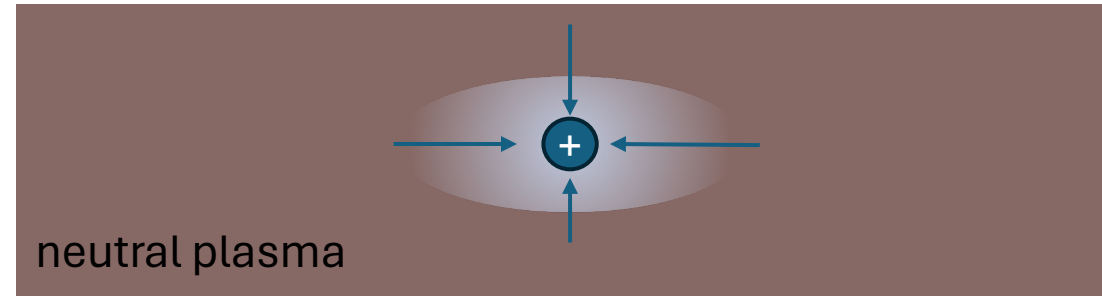
neutral plasma



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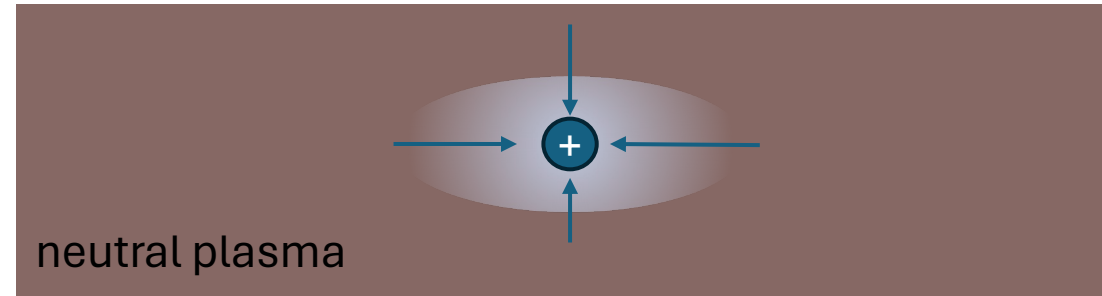
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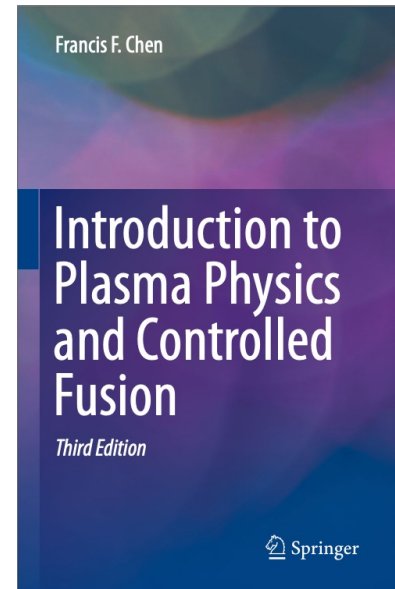
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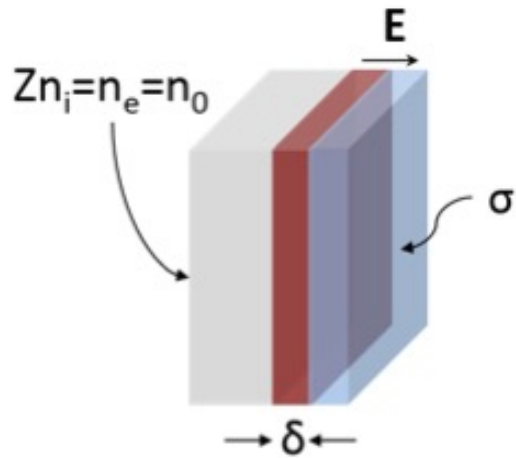
When the equilibrium is perturbed:

- Electrons oscillate with angular frequency  $\omega_{pe} = \sqrt{\frac{n_{pe}e^2}{m_e\epsilon_0}}$
- Ions with  $\omega_{pi} = \sqrt{\frac{n_{pi}e^2}{m_i\epsilon_0}} \ll \omega_{pe}$  (ions considered immobile for short time-scales)



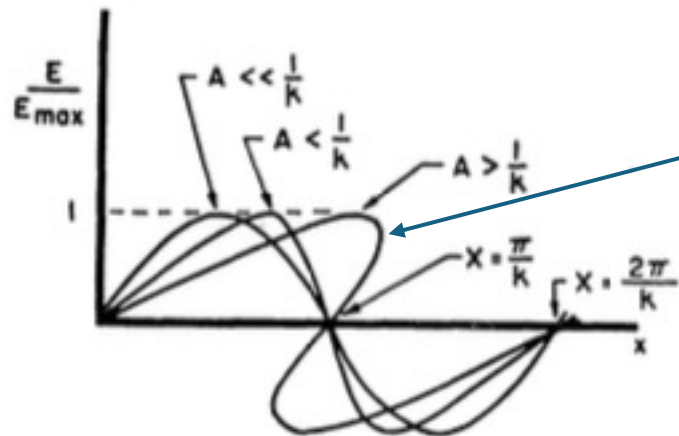
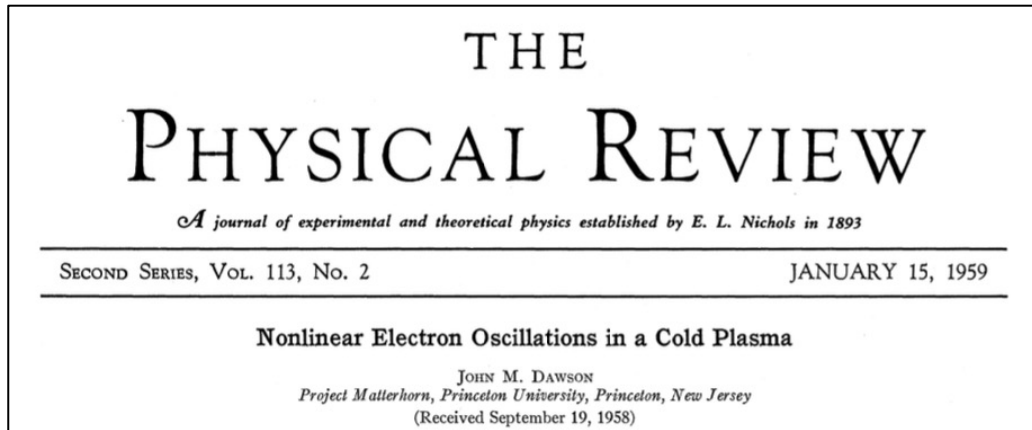
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- Fields in plasmas are sustained by the charge separation
  - As high as the cold wave-breaking field:  $E_{WB} = \frac{m_e c \omega_{pe}}{q} \rightarrow$  oscillation length cannot exceed plasma wavelength
  - E.g. for  $n_{pe} = (10^{14} - 10^{18}) \text{ cm}^{-3}$ ,  $E_{WB} \sim 100 \frac{\text{V}}{\text{m}} \sqrt{n_{pe} [\text{cm}^{-3}]} = (1 - 100 \text{ GV /m})$



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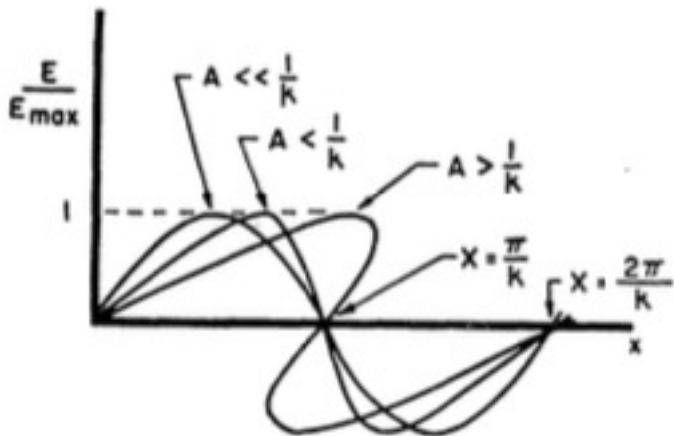
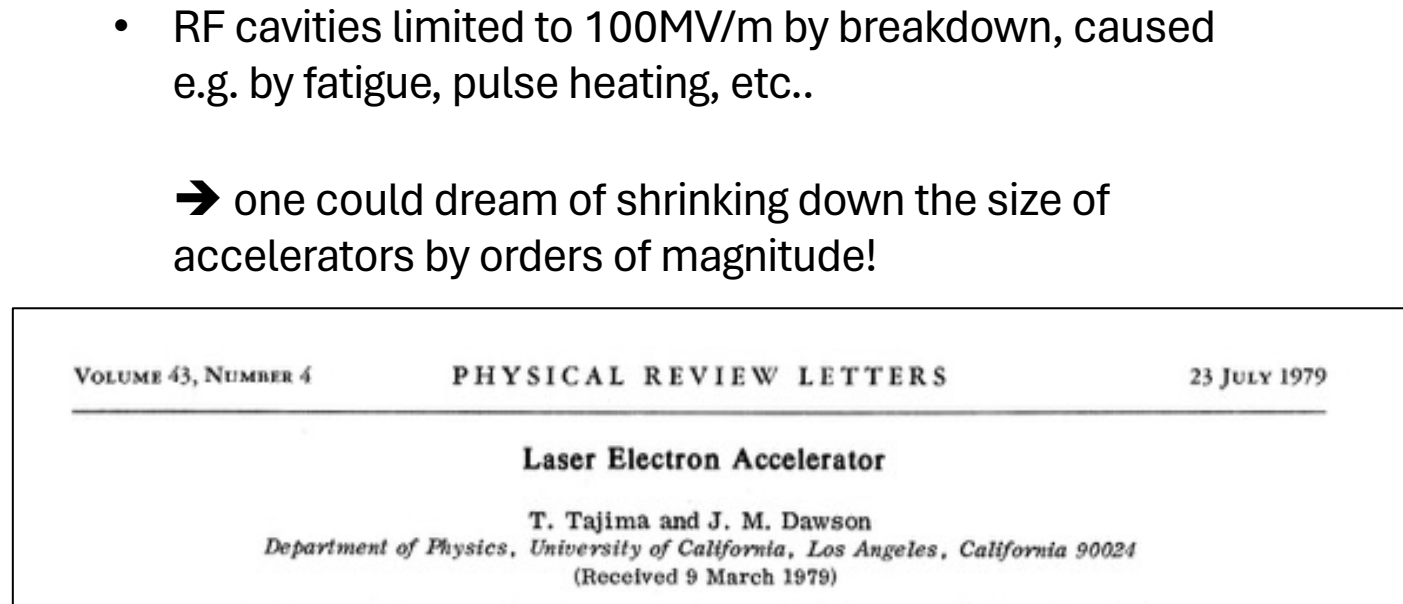
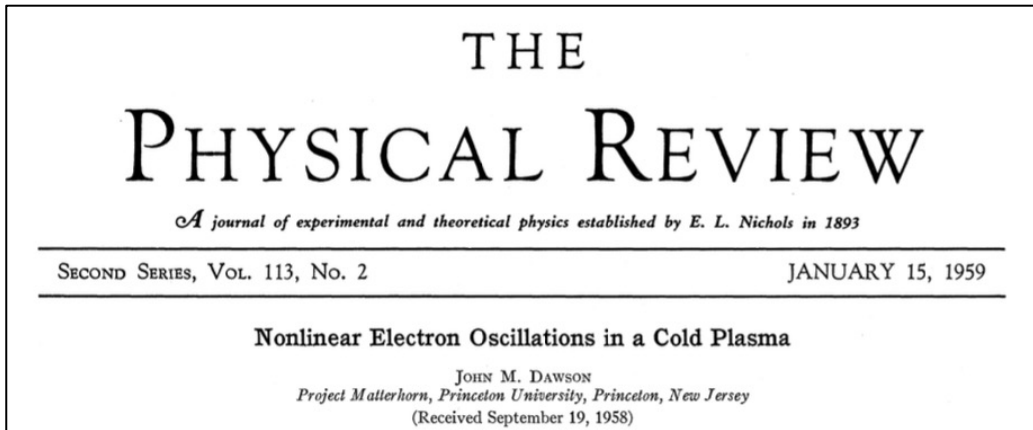


Wave «breaks» when  
the maximum  
amplitude is reached



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- RF cavities limited to 100MV/m by breakdown, caused e.g. by fatigue, pulse heating, etc..
- $\rightarrow$  one could dream of shrinking down the size of accelerators by orders of magnitude!

Seminal paper on plasma wakefield acceleration:  
 $\rightarrow$  Plasma waves excited by laser pulse

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THE  
PHYSICAL REVIEW

Like Prof. Hansen on Stanford Campus Many Decades Before

SLAC

~4 MeV ——— 60 years ———> ~40 GeV

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VOLUME 43, NUMBER 4      PHYSICAL REVIEW LETTERS      23 JULY 1979

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**Laser Electron Accelerator**

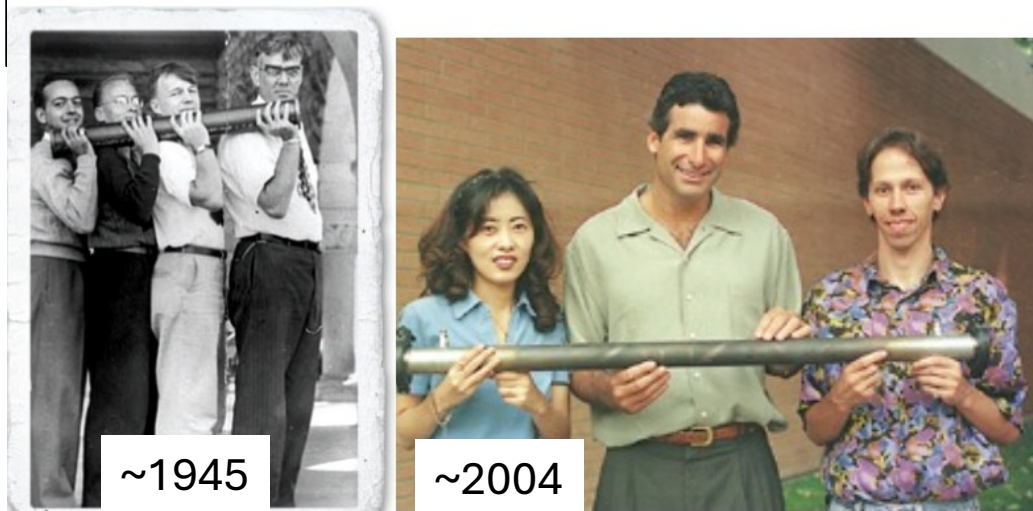
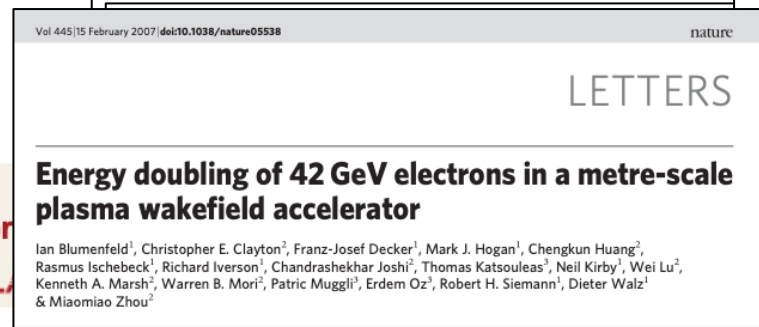
T. Tajima and J. M. Dawson  
*Department of Physics, University of California, Los Angeles, California 90024*  
 (Received 9 March 1979)

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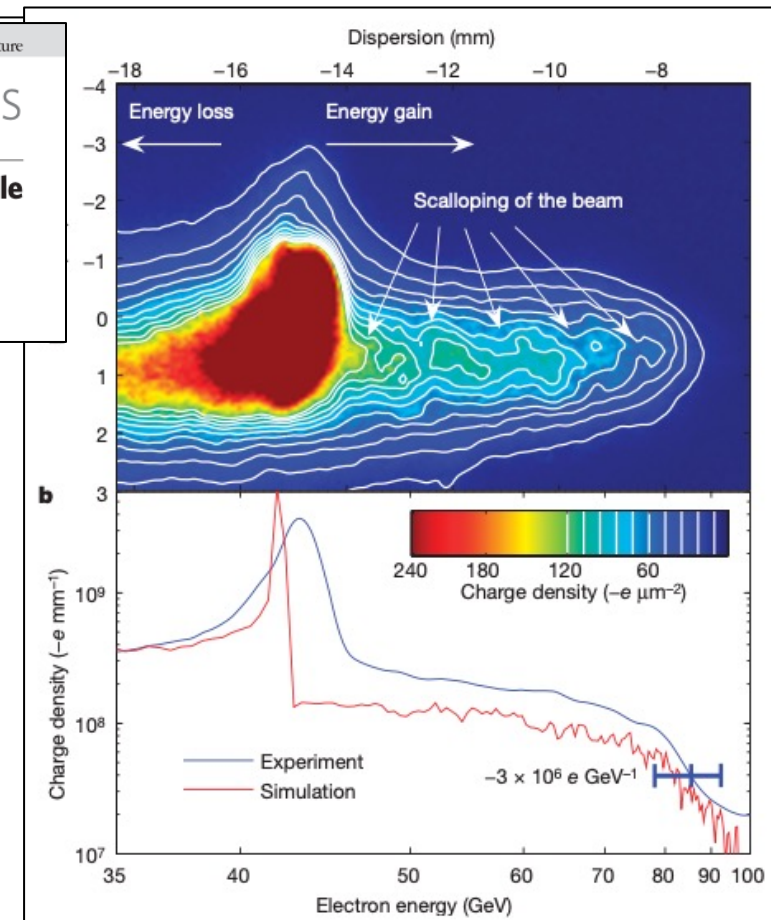


"We have accelerated electrons."

Acceleration of electrons from 42 to 84 GeV in 80 cm



Blumenfeld et al., Nature 445, 741 (2007)

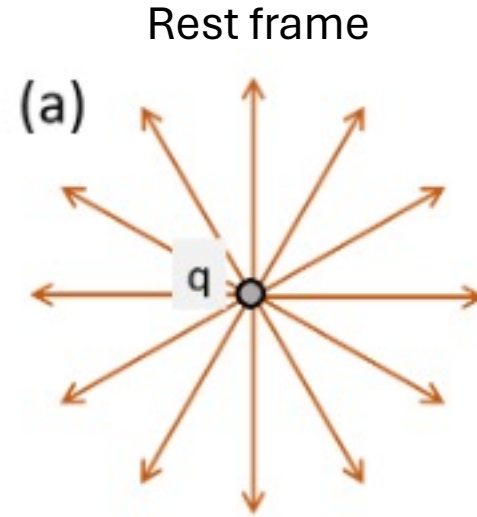


# 0b. Beam Physics

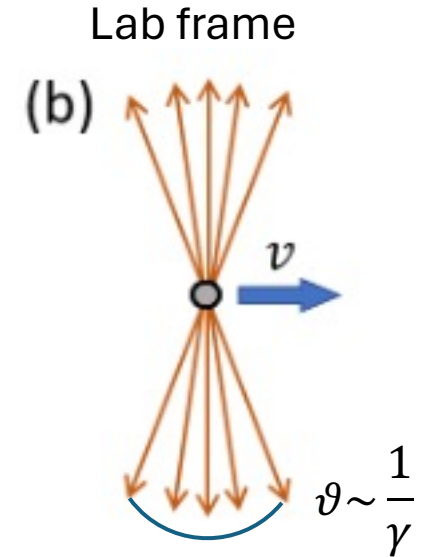
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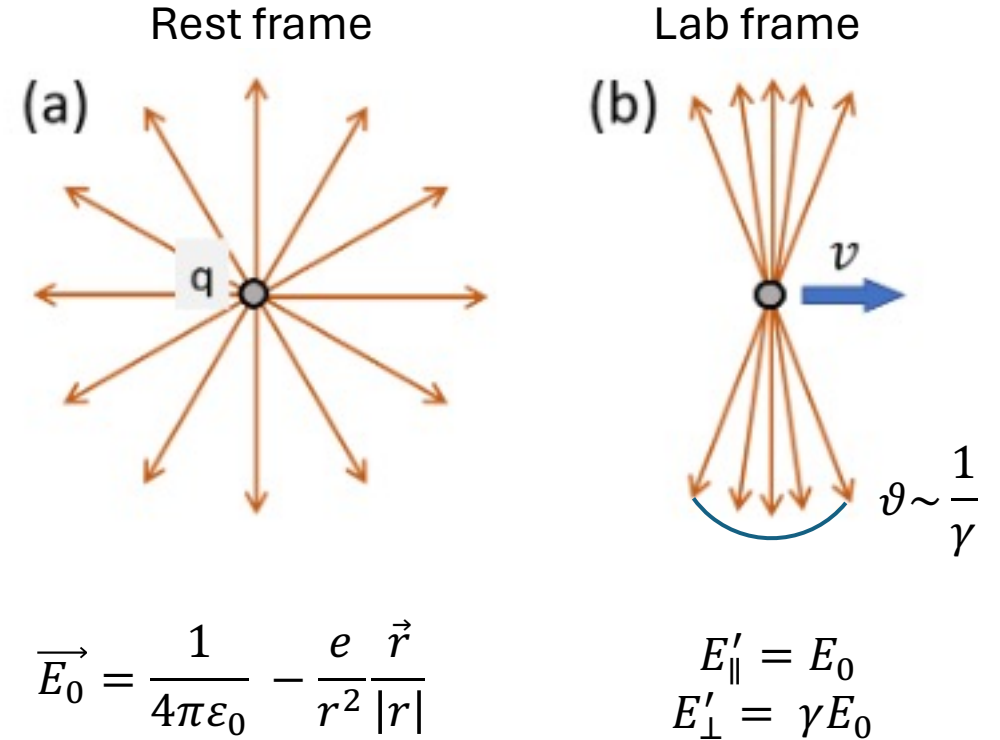
$$\vec{E}_0 = \frac{1}{4\pi\epsilon_0} - \frac{e}{r^2} \frac{\vec{r}}{|r|}$$



$$\begin{aligned} E'_{\parallel} &= E_0 \\ E'_{\perp} &= \gamma E_0 \end{aligned}$$

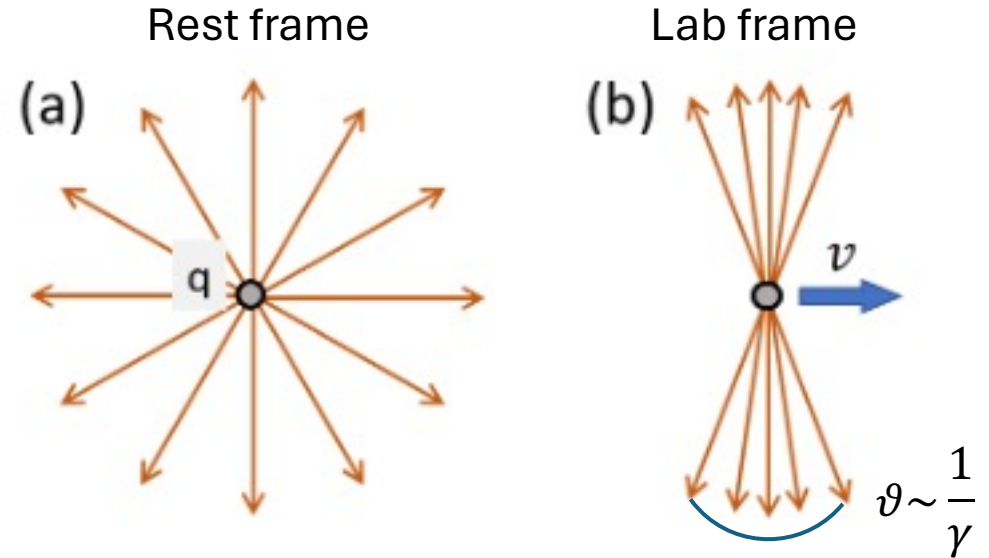
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→ Azimuthal magnetic field associated with beam current:  $B_{\theta} = \frac{\beta}{c} E_0$

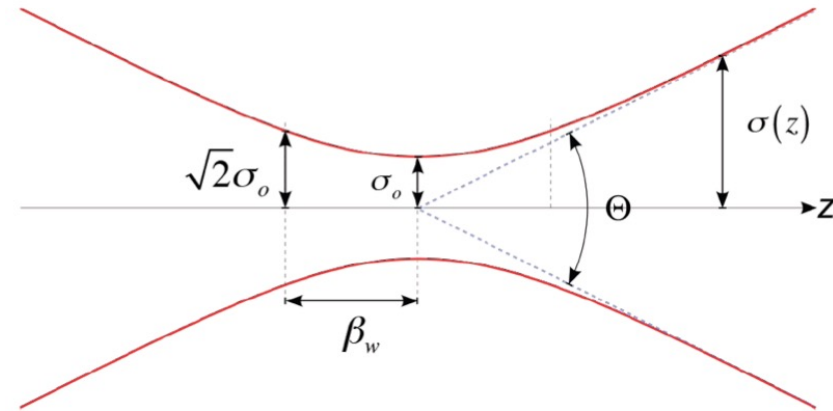
→ Net force acting on each electron:  $F_r = e (E_r - \beta c B_{\theta}) = \frac{e E_r}{\gamma^2} \rightarrow$  Negligible at high energies!

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- Propagation of the beam distribution is dominated by the emittance (at high energies)

$$\sigma'' = \frac{\epsilon_{rms}^2}{\sigma^3}$$

$$\sigma(z) = \sqrt{(\sigma_0 + \sigma_0'(z - z_0))^2 + \frac{\epsilon_{rms}^2}{\sigma_0^3} (z - z_0)^2}.$$



(M. Ferrario)

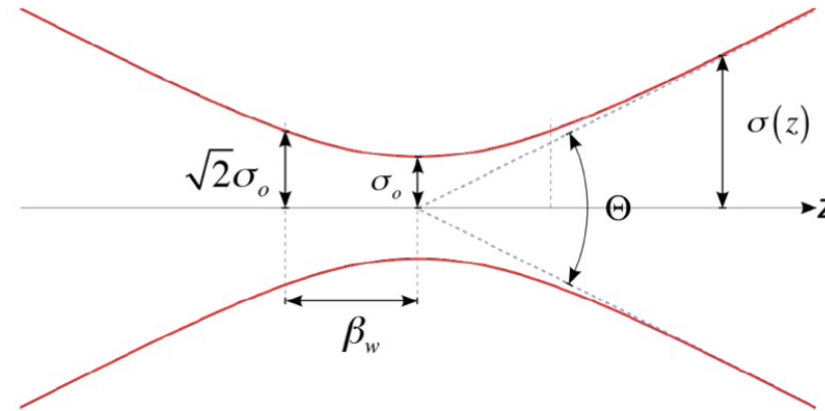


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- If an external focusing force is applied:

$$\sigma'' + k_{ext}^2 \sigma = \frac{\epsilon_{rms}^2}{\sigma^3}$$

- Emittance  $\rightarrow$  parameter describing how small a beam can be focused  
Normally expressed in terms of **normalized** emittance:  $\epsilon_N = \beta \gamma \epsilon_{rms} \rightarrow$  preserved upon acceleration
- For delivery to applications we need:
  - Injectors that generate beams with small emittance
  - Accelerators that preserve emittance

} beam quality

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- Let's take a plasma with density  $n_{pe}$

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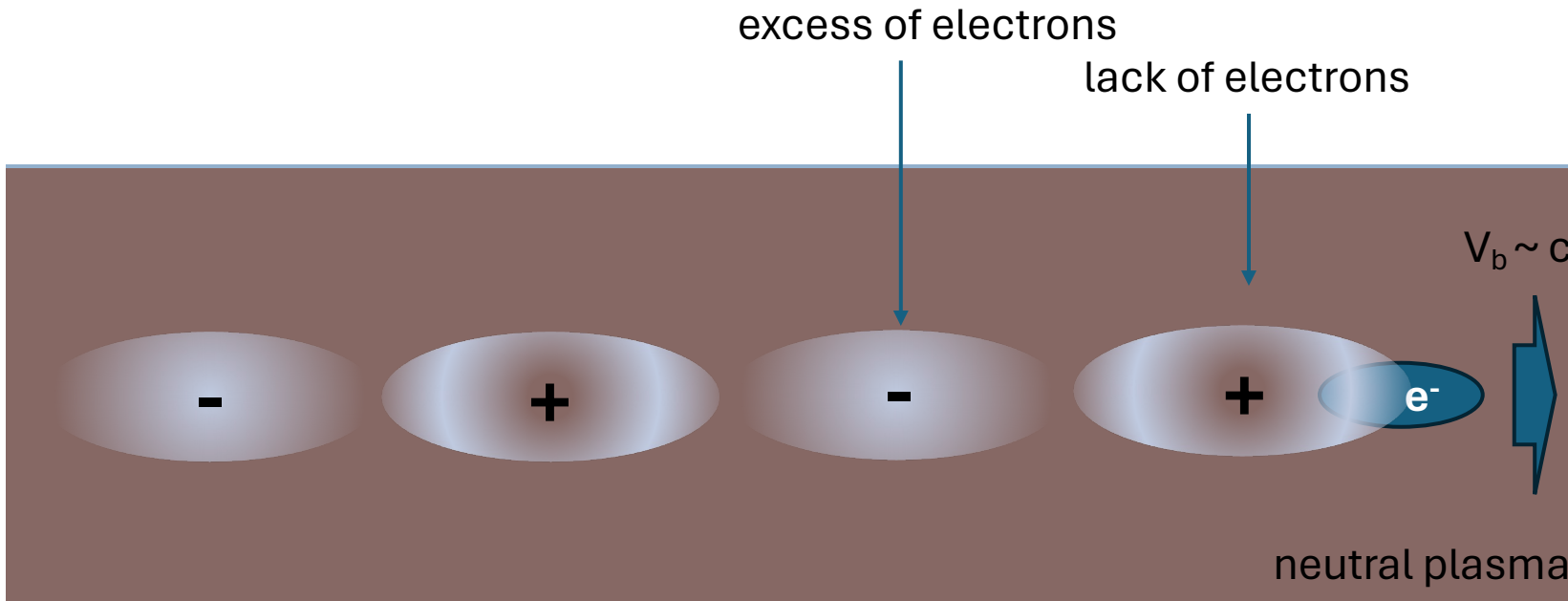


1. Transverse E field expels plasma electrons
2. Positively charged region behind the bunch head  
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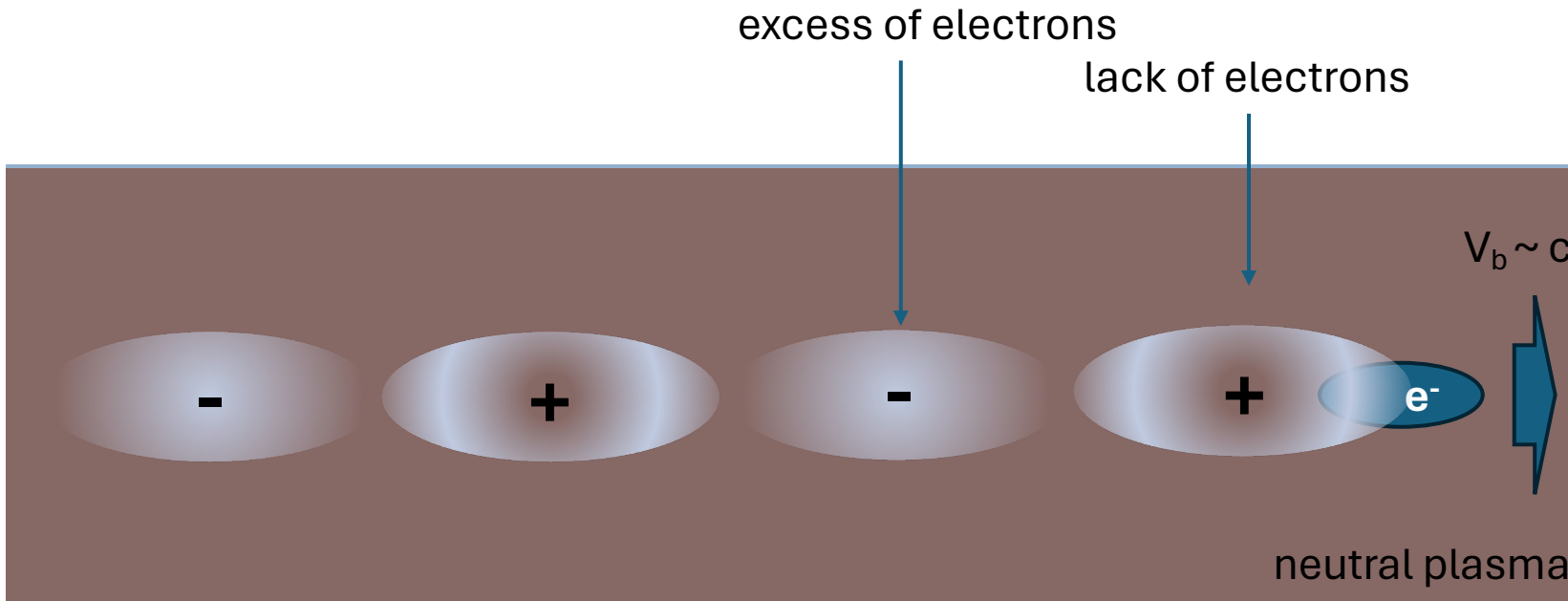
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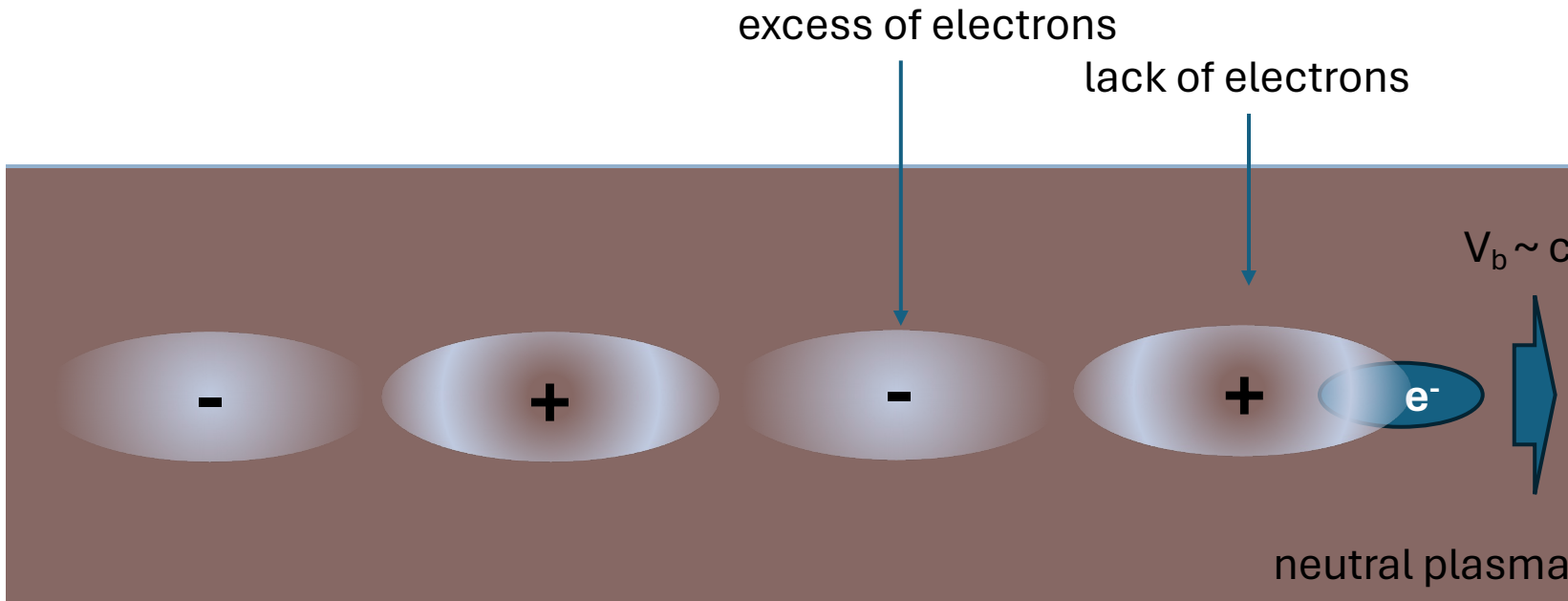
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→ Wakefields ←

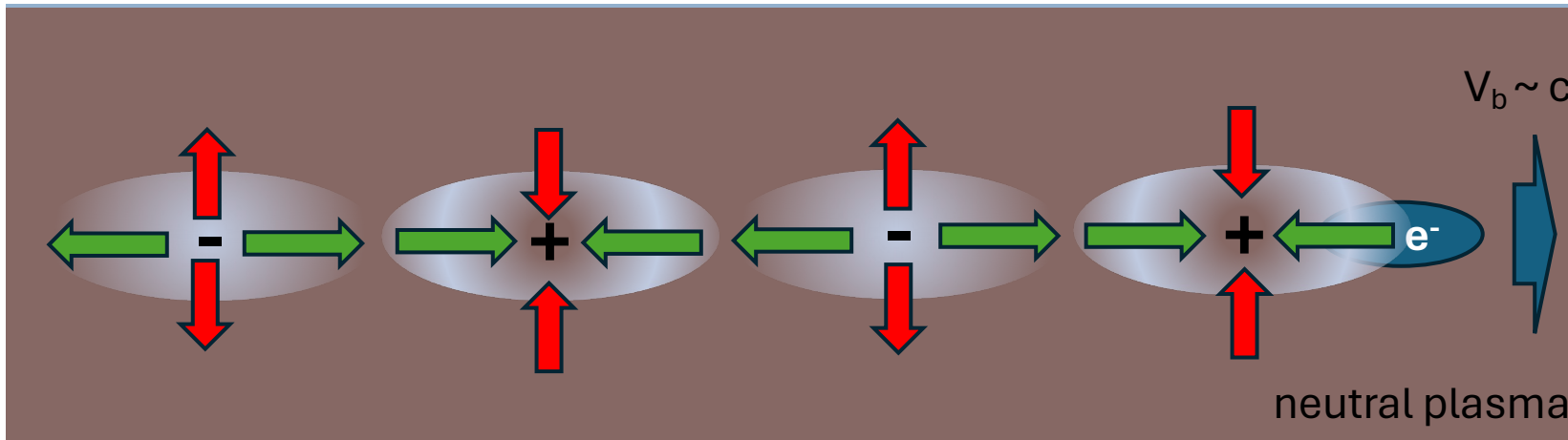
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 Longitudinal (accelerating – decelerating) wakefields

 Transverse (focusing – defocusing) wakefields

$$\nabla \cdot \vec{E} = \frac{\rho}{\epsilon_0}$$

→ Wakefields ←

# I. Linear Regime

- Discussed for the first time here:

VOLUME 54, NUMBER 7

PHYSICAL REVIEW LETTERS

18 FEBRUARY 1985

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## Acceleration of Electrons by the Interaction of a Bunched Electron Beam with a Plasma

Pisin Chen<sup>(a)</sup>

*Stanford Linear Accelerator Center, Stanford University, Stanford, California 94305*

and

J. M. Dawson, Robert W. Huff, and T. Katsouleas

*Department of Physics, University of California, Los Angeles, California 90024*

(Received 20 December 1984)

A new scheme for accelerating electrons, employing a bunched relativistic electron beam in a cold plasma, is analyzed. We show that energy gradients can exceed 1 GeV/m and that the driven electrons can be accelerated from  $\gamma_0 mc^2$  to  $3\gamma_0 mc^2$  before the driving beam slows down enough to degrade the plasma wave. If the driving electrons are removed before they cause the collapse of the plasma wave, energies up to  $4\gamma_0 mc^2$  are possible. A noncollinear injection scheme is suggested in order that the driving electrons can be removed.

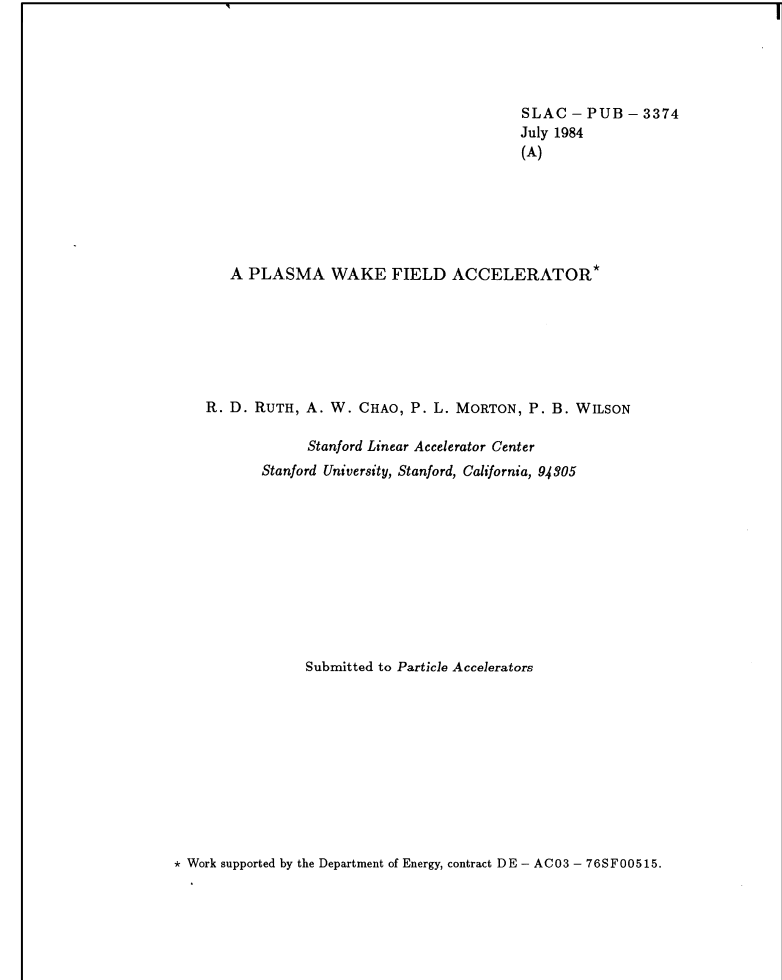
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Basic mechanism:

→ Nonrelativistic fluid equations:

$$\frac{\partial n}{\partial t} + \nabla \cdot (n\vec{v}) = 0 \quad \leftarrow \text{continuity equation}$$

$$\frac{\partial \vec{v}}{\partial t} + (\vec{v} \cdot \nabla)\vec{v} = \frac{e}{m} (\vec{E} + \vec{v} \times \vec{B}) \quad \leftarrow \text{Newton's law, Lorentz force}$$



(Detailed didactic explanation)

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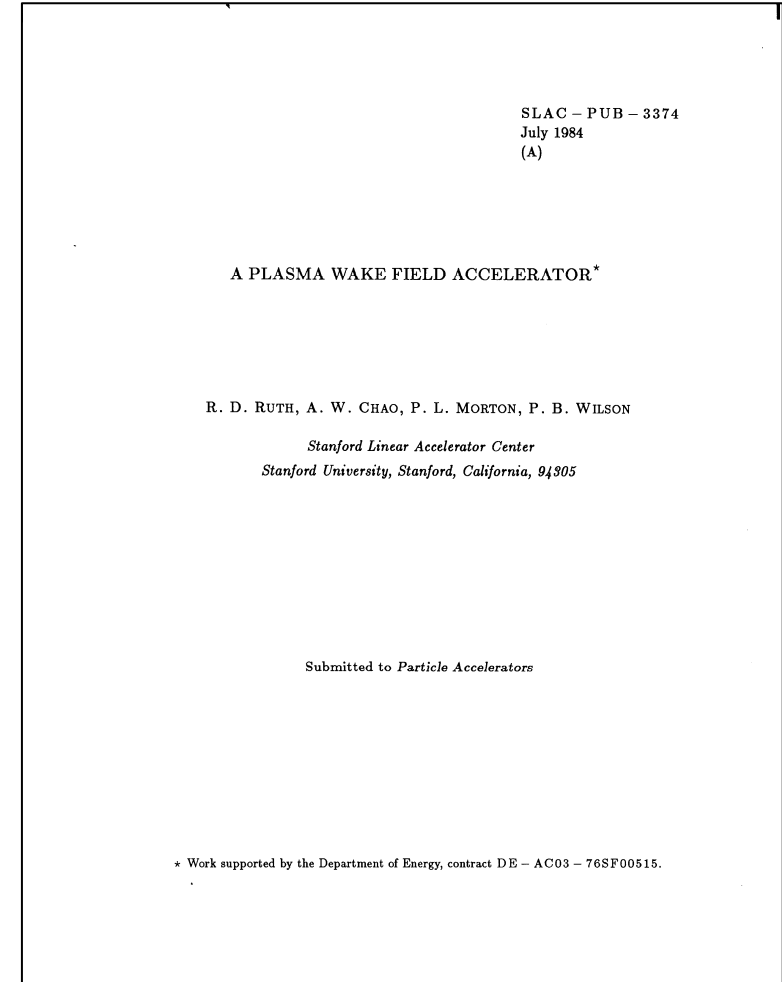
→ Introduce a perturbation  $n_1 \ll n_{pe}$  due to a bunch with density  $n_b \ll n_{pe}$

→ Linearize

→ ...

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→ combining  
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No spatial derivatives → no group velocity → no energy transfer

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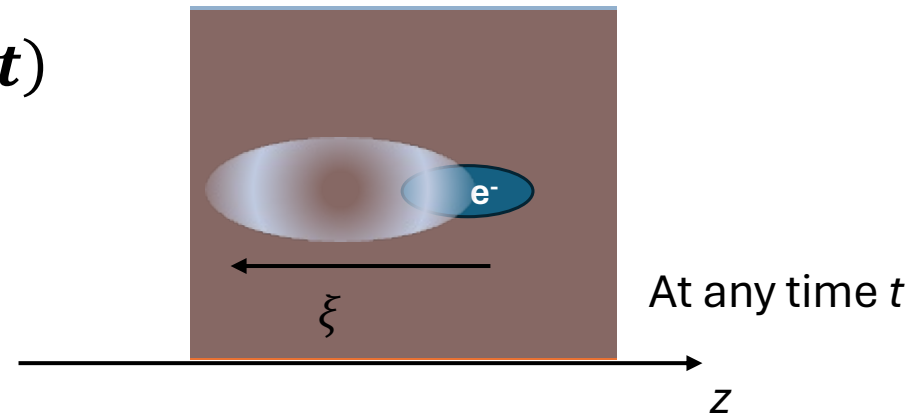
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Change of variables:

- z: distance along plasma
- t: time

→  $\xi = v_b t - z$ : co-moving frame





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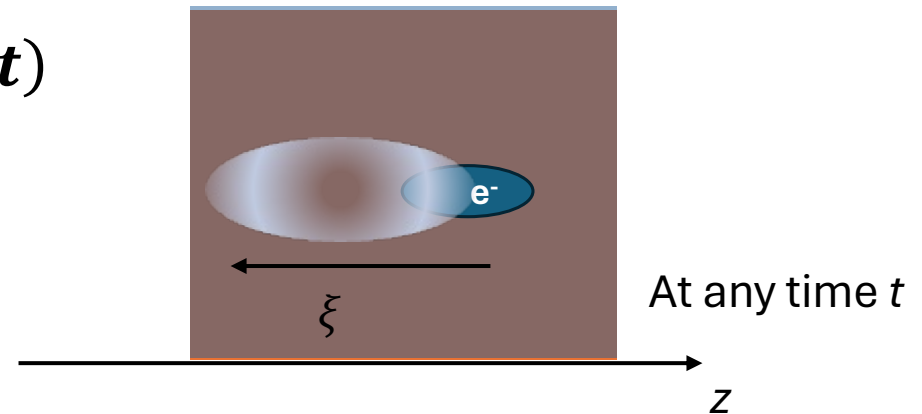
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$$\frac{\partial^2 n_1}{\partial \xi^2} + k^2 n_1 = -k^2 \sigma \delta(\xi), \quad \text{where } k = \frac{\omega_{pe}}{v_b} \rightarrow \text{phase velocity } v_\phi = v_b \rightarrow \mathbf{\text{no dephasing!}}$$

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$$\frac{\partial^2 n_1}{\partial \xi^2} + k^2 n_1 = -k^2 \sigma \delta(\xi)$$

$$\rightarrow n_1 = -k\sigma \sin(k\xi), \xi > 0$$

$$\rightarrow n_1 = 0, \quad \xi < 0$$

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$$\frac{\epsilon_0}{2} E^2(\text{peak}) = \frac{(e\sigma)^2}{2 \epsilon_0}$$

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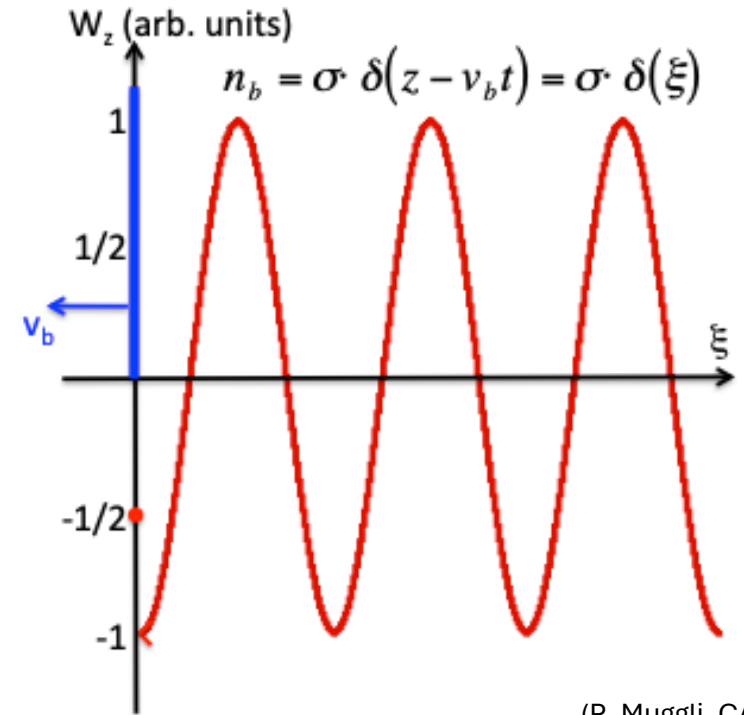
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(P. Muggli, CAS 2014)

The energy gain behind the bunch  $E_+$  can be twice as high as the energy loss  $E_-$ !

It satisfies the “fundamental theorem of beam

$$\text{loading}”:  $R = \frac{E_+}{E_-} \leq 2$$$



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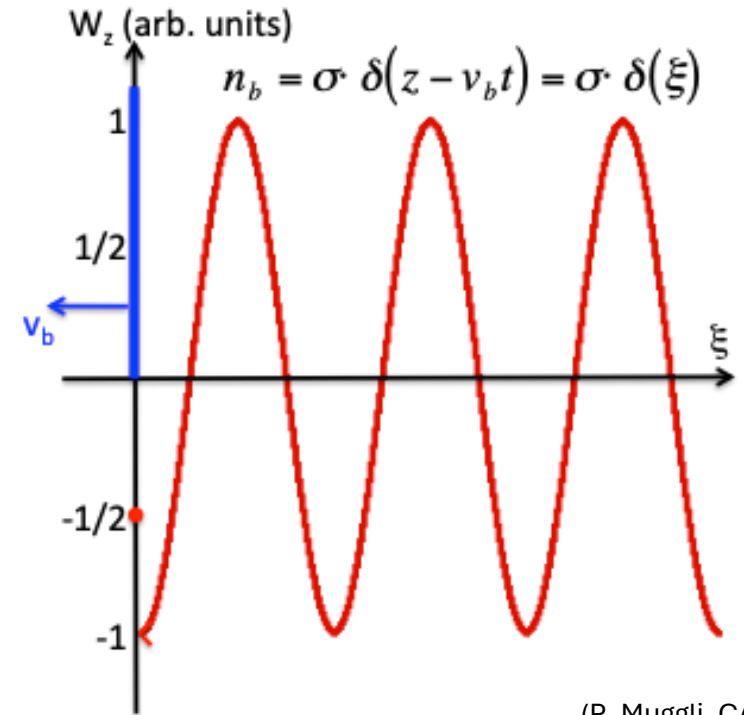
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Energy conservation is satisfied:



(P. Muggli, CAS 2014)

The energy gain behind the bunch  $E_+$  can be twice as high as the energy loss  $E_-$ !

It satisfies the “fundamental theorem of beam loading”:  $R = \frac{E_+}{E_-} \leq 2$

Energy balance:

$$Q_D E_- \geq Q_W E_+$$

# I. Linear Regime

If you are interested into the full derivation and much deeper considerations:

Let's switch to "real" 2-D world:

$$n_b(\xi, r) = n_{b0} n_{b\perp}(r) n_{b\parallel}(\xi)$$

→ Apply Green's function to the solution obtained before:

## **Two-dimensional dynamics of the plasma wakefield accelerator**

Rhon Keinigs and Michael E. Jones

*Applied Theoretical Physics Division, Los Alamos National Laboratory, Los Alamos, New Mexico, 87545*

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Longitudinal wakefields

Convolution

Bunch distribution

Green's function

Radial dependency

$$R(r) = k_{pe}^2 K_0(k_{pe}r) \int_0^r r' n_{b\perp}(r') I_0(k_{pe}r') dr' \\ + k_{pe}^2 I_0(k_{pe}r) \int_r^\infty r' n_{b\perp}(r') K_0(k_{pe}r') dr'$$

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$$W_{\perp} = e(E_r + v_b \times B_{\theta})$$

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Transverse wakefields

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The relevant beam parameter is the bunch DENSITY, NOT CHARGE!!

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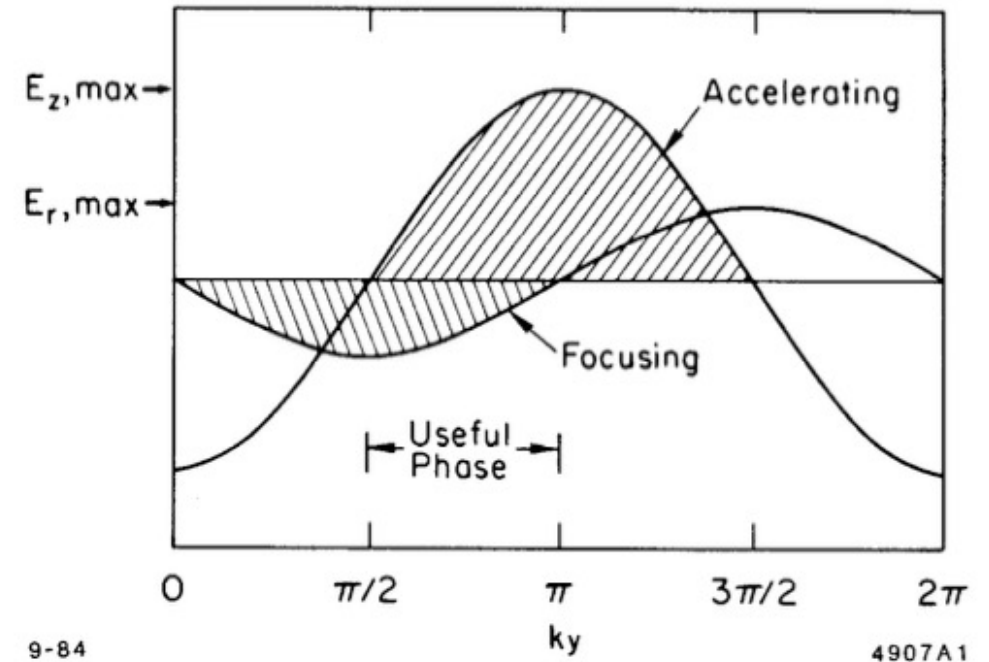
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Wakefields are sinusoidal,  $\frac{\pi}{2}$  out of phase wrt each other



(Ruth et al.)

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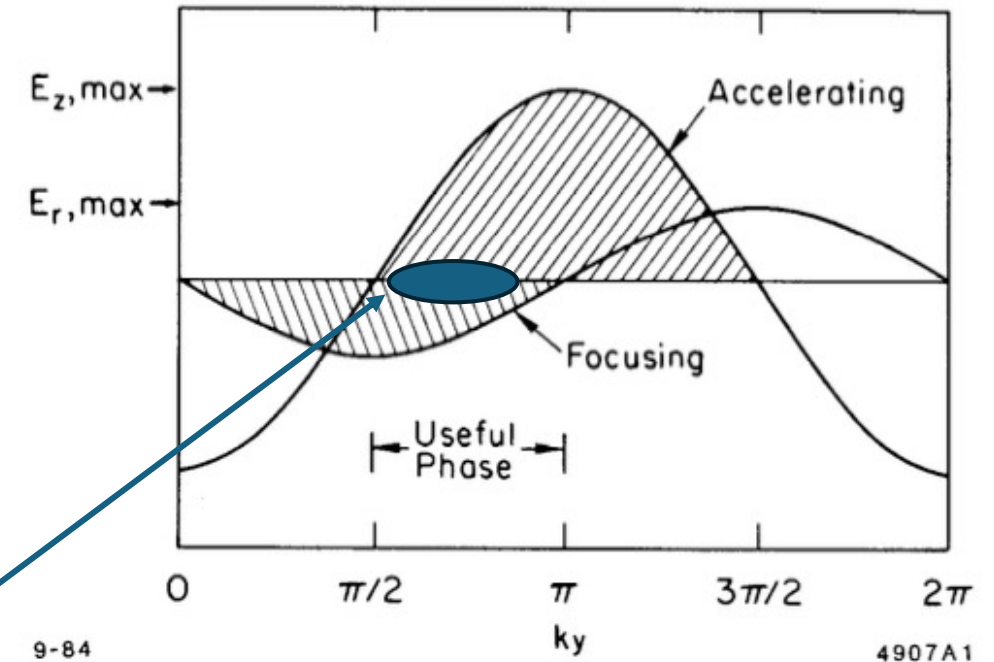
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Wakefields are sinusoidal,  $\frac{\pi}{2}$  out of phase wrt each other  
 Have to give up on the maximum accelerating gradient to have stable beam



(Ruth et al.)

# I. Linear Regime - Results

First experimental demonstration: 1988, Argonne National Laboratory (US)

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## **Experimental Observation of Plasma Wake-Field Acceleration**

J. B. Rosenzweig, D. B. Cline,<sup>(a)</sup> B. Cole,<sup>(b)</sup> H. Figueroa,<sup>(c)</sup> W. Gai, R. Konecny, J. Norem,  
P. Schoessow, and J. Simpson

*High Energy Physics Division, Argonne National Laboratory, Argonne, Illinois 60439*

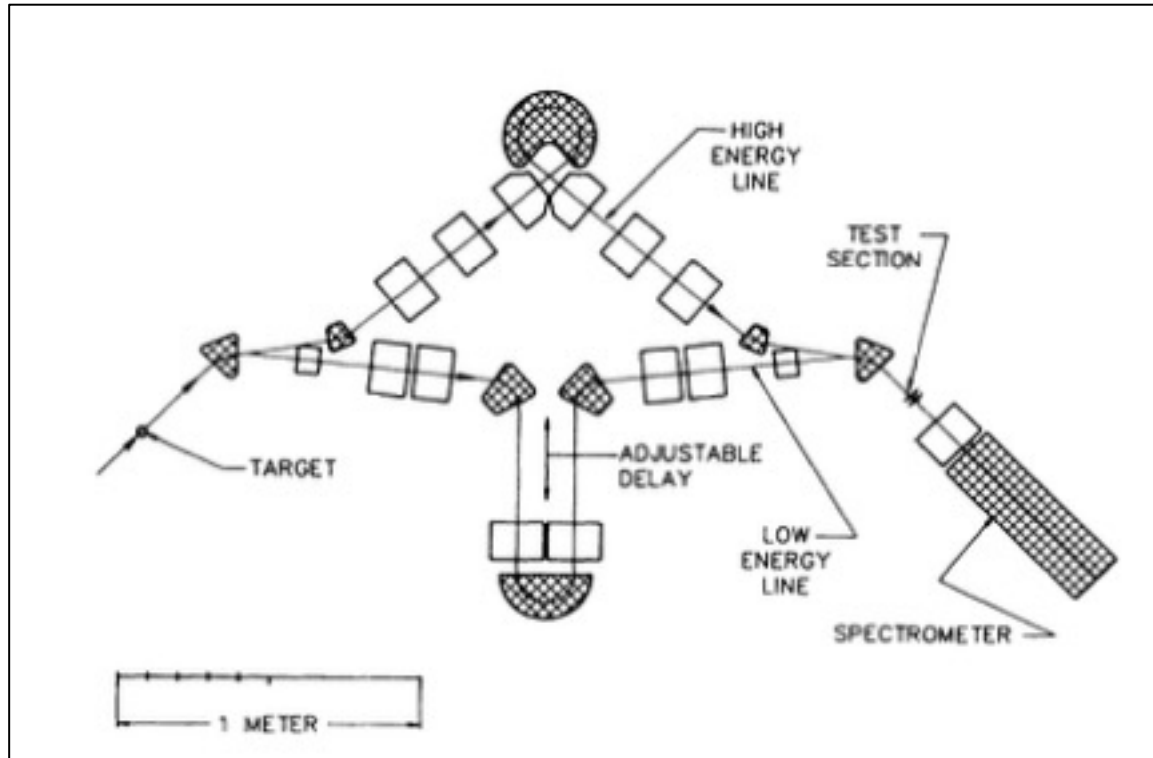
(Received 21 March 1988)

We report the first experimental test of the physics of plasma wake-field acceleration performed at the Argonne National Laboratory Advanced Accelerator Test Facility. Megavolt-per-meter plasma wake fields are excited by a intense 21-MeV, multipiscosecond bunch of electrons in a plasma of density  $n_e \approx 10^{13} \text{ cm}^{-3}$ , and probed by a low-intensity 15-MeV witness pulse with a variable delay time behind the intense bunch. Accelerating and deflecting wake-field measurements are presented, and the results compared to theoretical predictions.



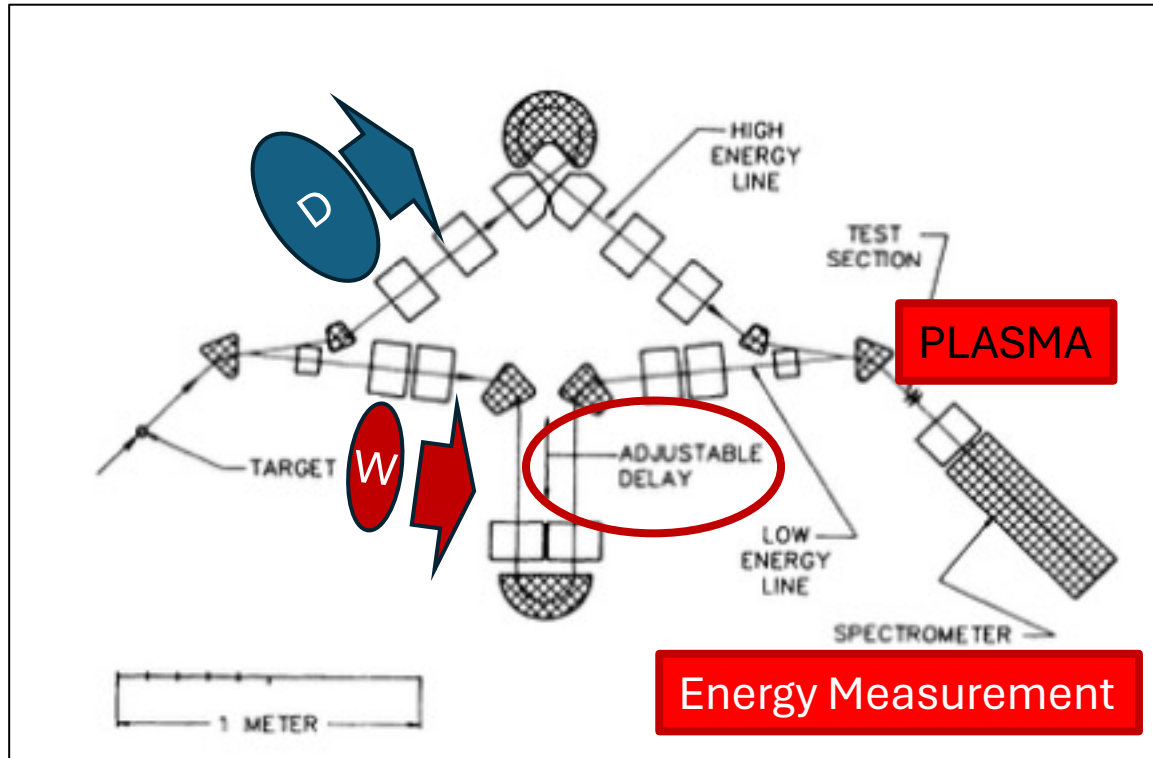
# I. Linear Regime - Results

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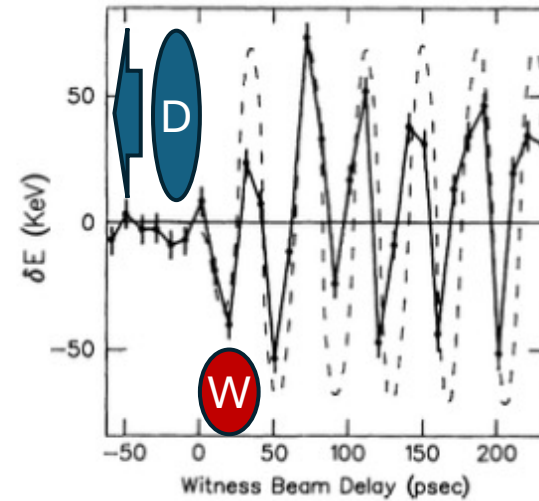
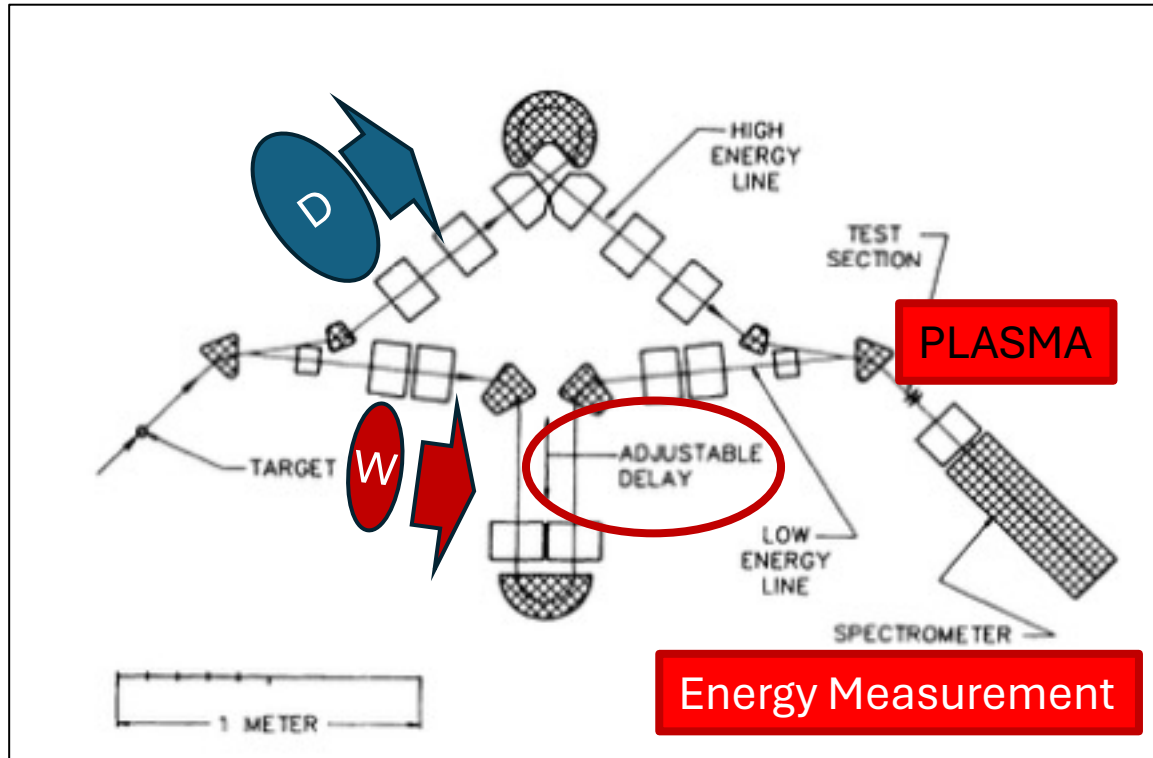
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# I. Linear Regime - Results

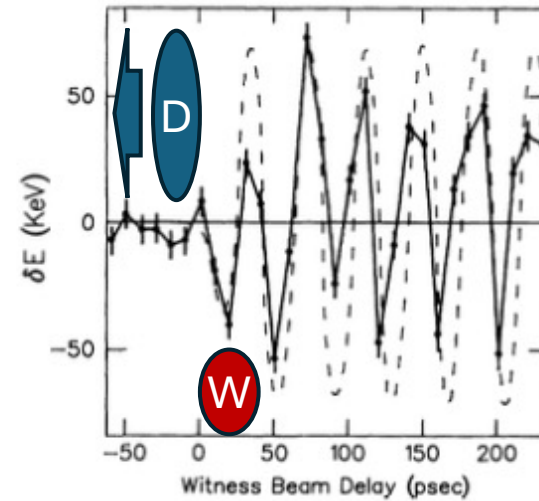
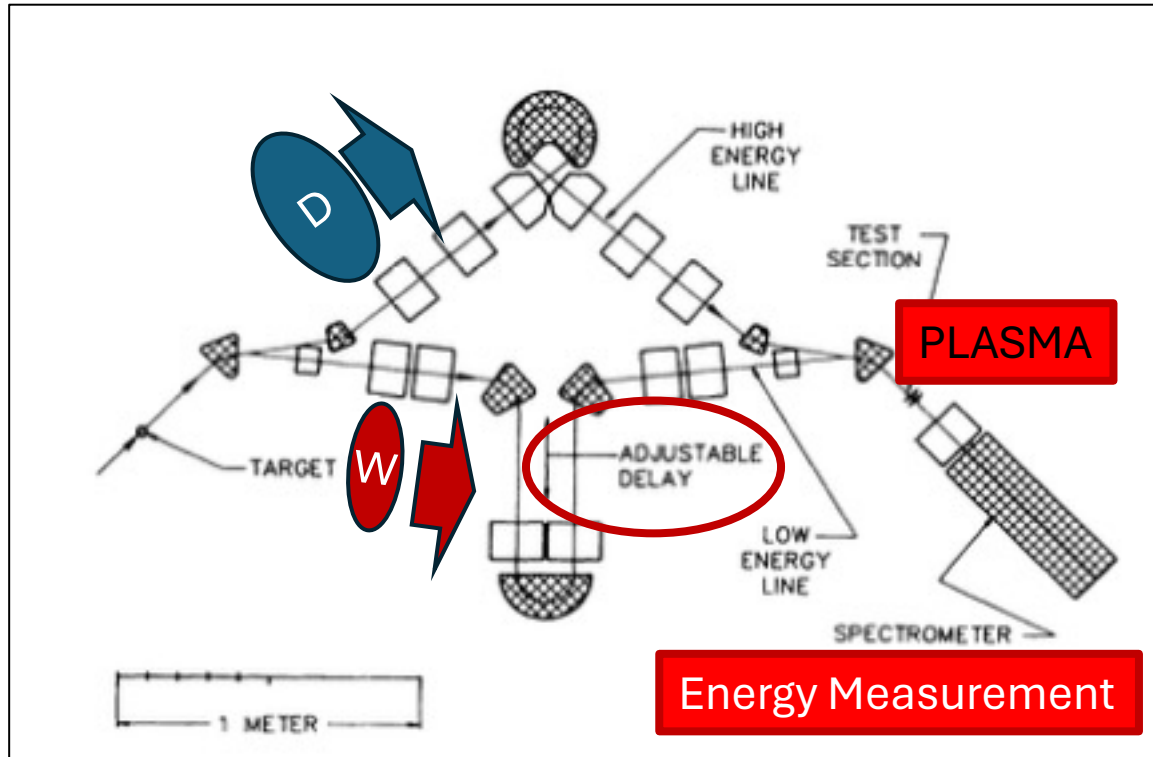
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- Measurement of Witness energy as a function of delay
- Sinusoidal
- Q: HOW DO I TEST THE EXPECTATION WITH FREQUENCY?

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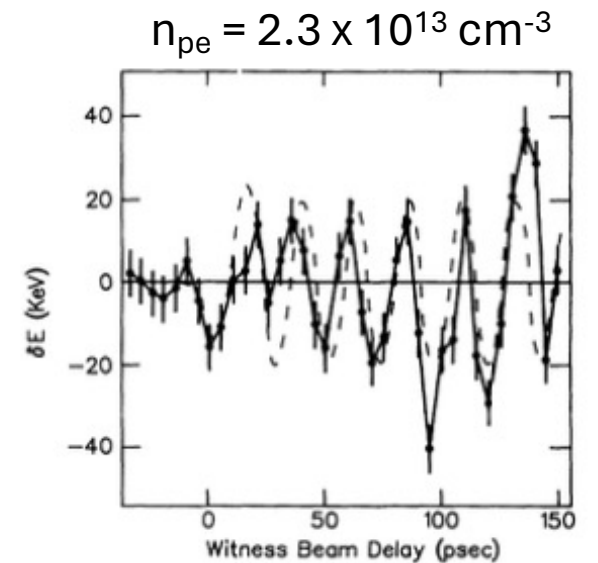
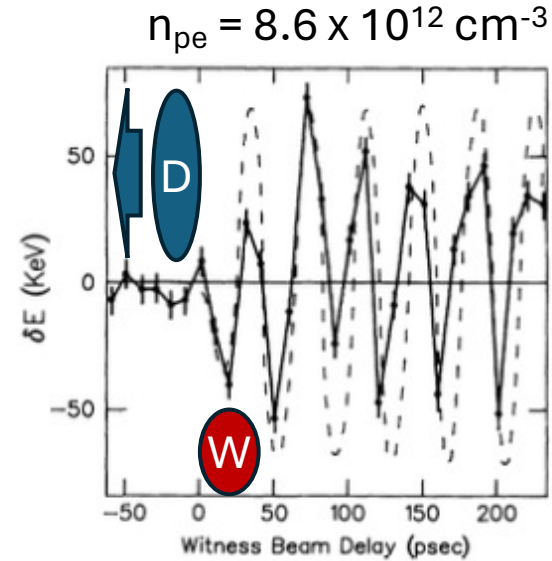
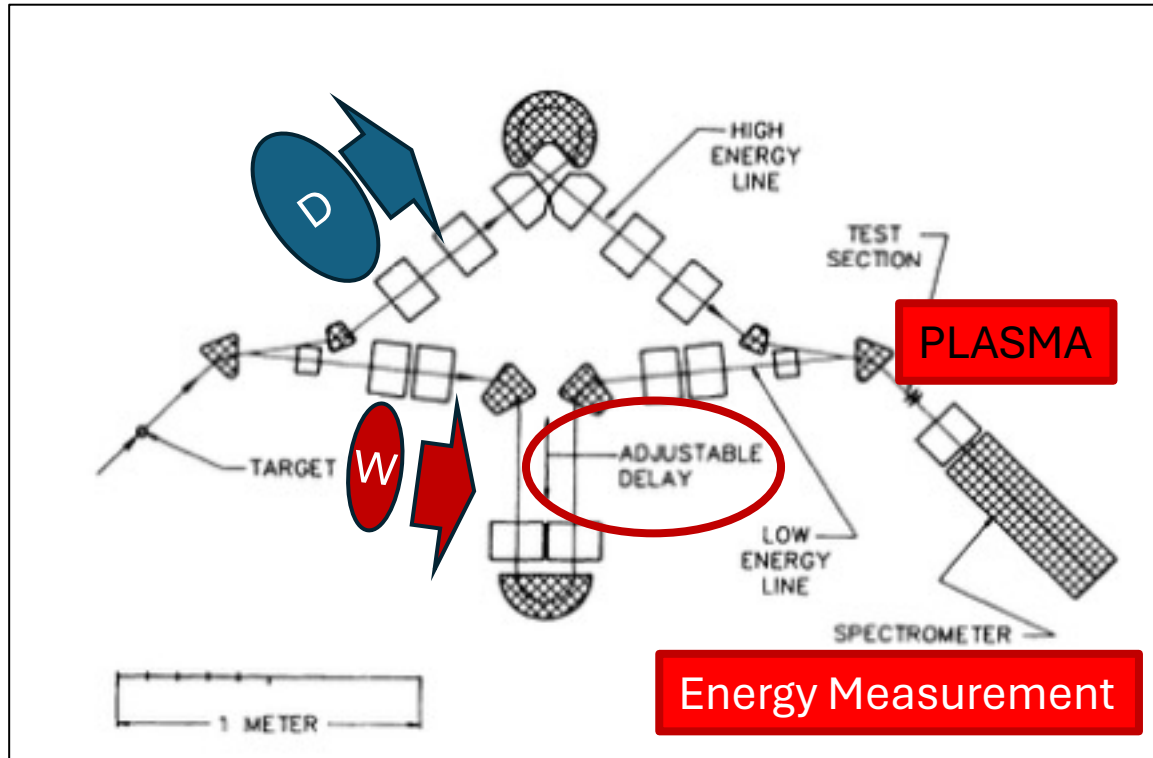
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First experimental demonstration: 1988, Argonne National Laboratory (US)



- Measurement of Witness energy as a function of delay
- Sinusoidal
- Q: HOW DO I TEST THE EXPECTATION WITH FREQUENCY?  
→ I vary  $n_{pe}$
- $n_b = 5.7 \times 10^{10} \text{ cm}^{-3} \ll n_{pe} \rightarrow$  linear regime

# I. Linear Regime - Conclusions

A few considerations:

- Bunch requirements:

- $\sigma_r \leq \frac{c}{\omega_{pe}} \rightarrow$  avoid instabilities (CFI, see later..)



plasma skin depth:  
distance over which plasma  
screens electromagnetic fields

$$E_z(\xi, r) = \frac{n_{b0}q}{\epsilon_0} \int_{-\infty}^{\xi} n_{b||}(\xi') \cos(k_{pe}(\xi - \xi')) d\xi' \cdot R(r)$$

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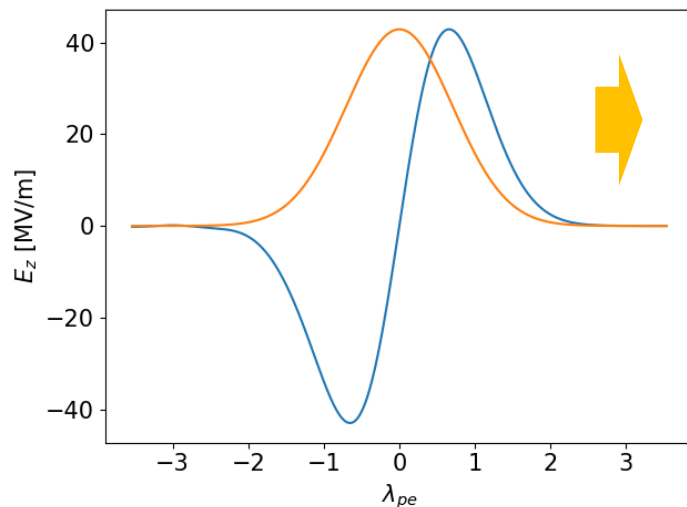
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$\sigma_z = \lambda_{pe}$  : TOO LONG!

$\rightarrow$  NO WAKEFIELDS BEHIND THE BUNCH



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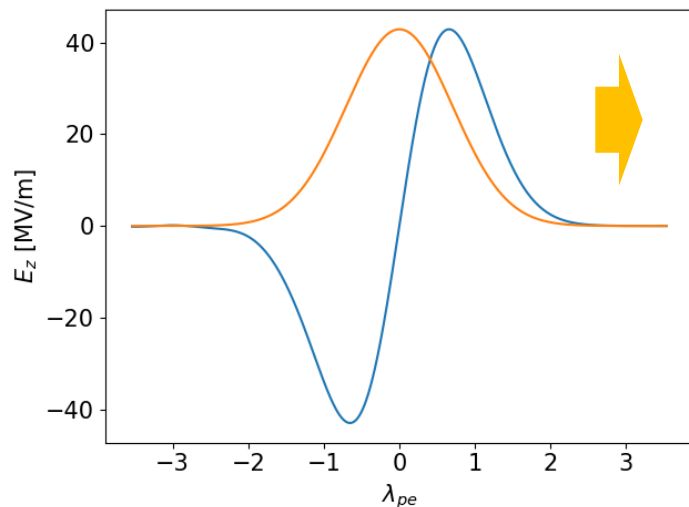
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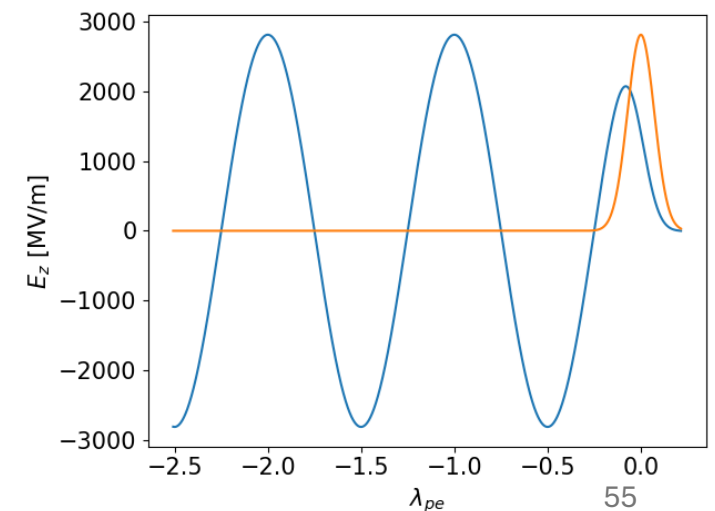
$\sigma_z = \lambda_{pe}$  : TOO LONG!

$\rightarrow$  NO WAKEFIELDS BEHIND THE BUNCH



$\sigma_z = \frac{1}{4} \lambda_{pe}$  : TOO SHORT!

$\rightarrow R \ll 2$  : NOT EFFECTIVE!





# I. Linear Regime - Conclusions

A few considerations:

- Bunch requirements:

- $\sigma_r \leq \frac{c}{\omega_{pe}}$  → avoid instabilities (CFI, see later..)

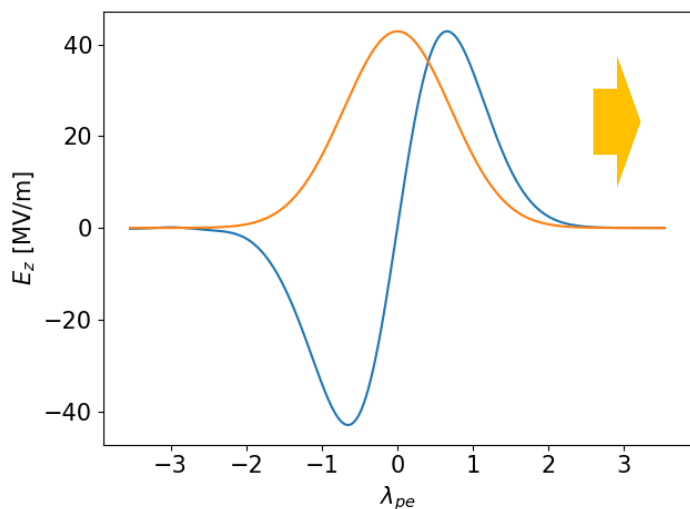
- $\sigma_z = \sqrt{2} \frac{c}{\omega_{pe}}$  → most effective

$$E_z(\xi, r) = \frac{n_{b0}q}{\epsilon_0} \int_{-\infty}^{\xi} n_{b||}(\xi') \cos(k_{pe}(\xi - \xi')) d\xi' \cdot R(r)$$

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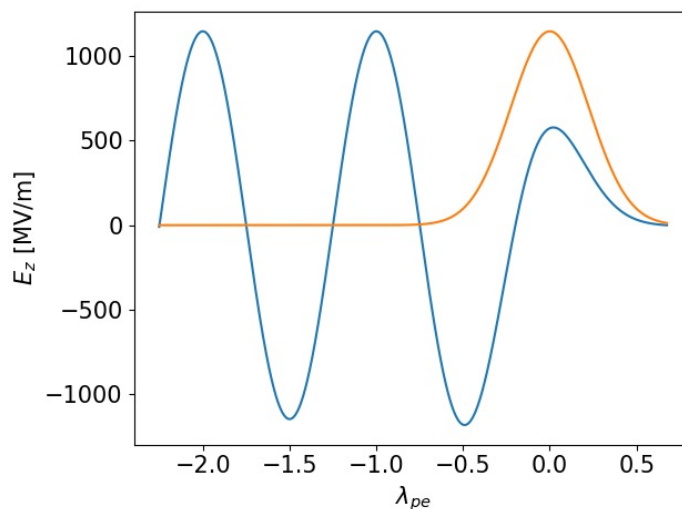
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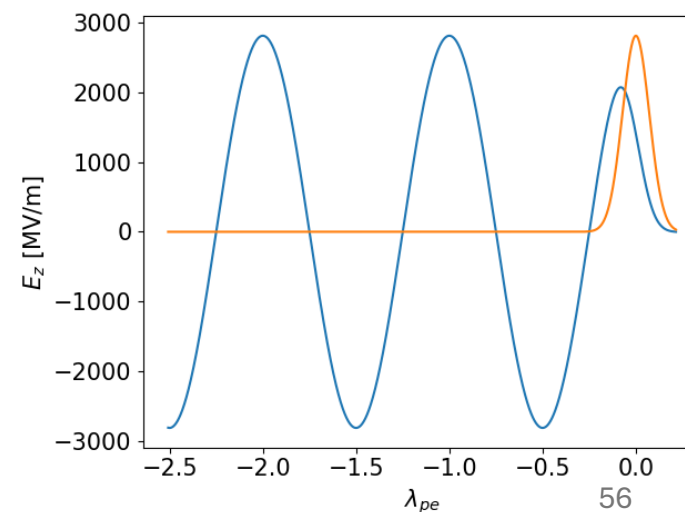
$\sigma_z = \sqrt{2} \frac{c}{\omega_{pe}}$  : JUST RIGHT!

→ R=2: EFFECTIVE!



$\sigma_z = \frac{1}{4} \lambda_{pe}$  : TOO SHORT!

→ R << 2: NOT EFFECTIVE!



# I. Linear Regime - Conclusions

A few considerations:

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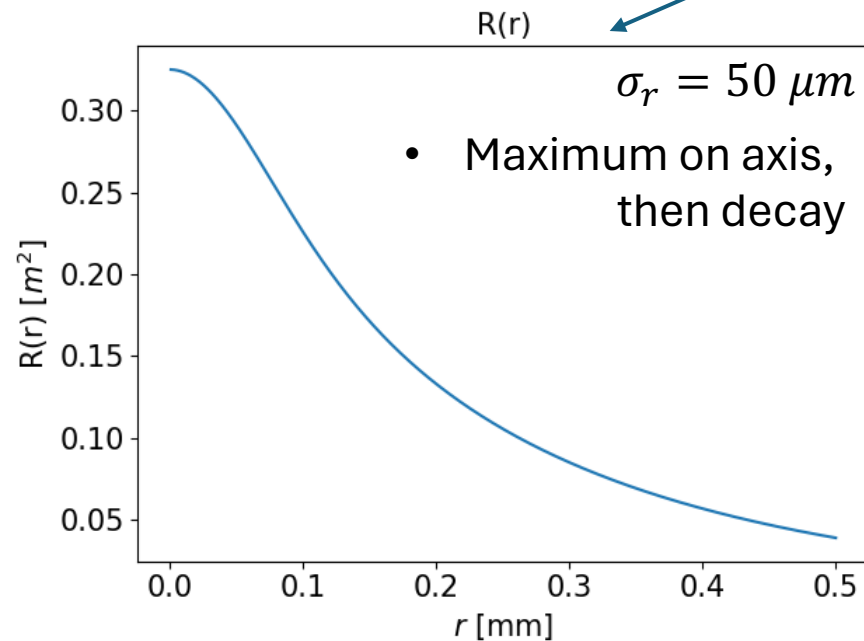
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- Radial dependency:

- Non-uniform  $E_z$  along  $r$   
→ energy spread

$$E_z(\xi, r) = \frac{n_{b0}q}{\epsilon_0} \int_{-\infty}^{\xi} n_{b||}(\xi') \cos(k_{pe}(\xi - \xi')) d\xi' \cdot R(r)$$

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# I. Linear Regime - Conclusions

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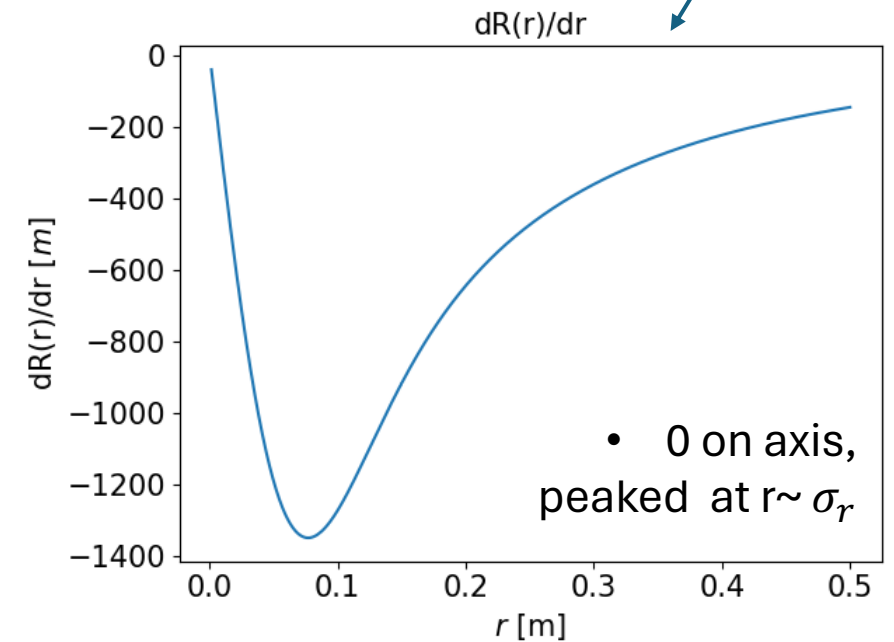
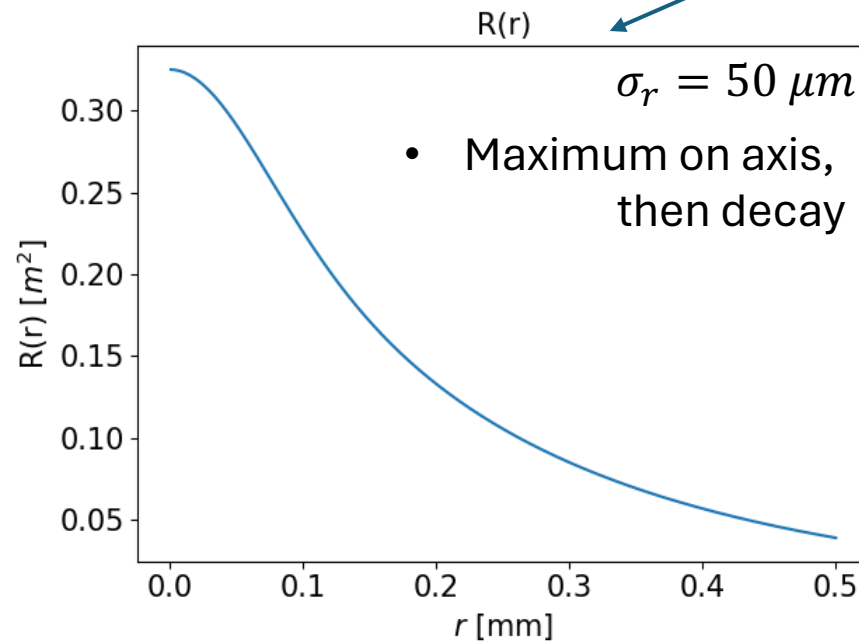
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- Radial dependency:

- Non-uniform  $E_z$  along  $r$   
→ energy spread
- Non-linear  $W_{\perp}$  along  $r$   
→ emittance growth

$$E_z(\xi, r) = \frac{n_{b0}q}{\epsilon_0} \int_{-\infty}^{\xi} n_{b||}(\xi') \cos(k_{pe}(\xi - \xi')) d\xi' \cdot R(r)$$

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# I. Linear Regime - Conclusions

Linear Regime:

- **Satisfying analytical solutions**
- Limited accelerating gradient
- **Radial dependencies → Energy spread + Emittance Growth**

→ Switch to non-linear regime

## II. Non-linear Regime

- When the electric field of the bunch is strong enough to expel ALL plasma electrons  
→ BUBBLE of plasma electrons around a column of pure ions

Requirement:

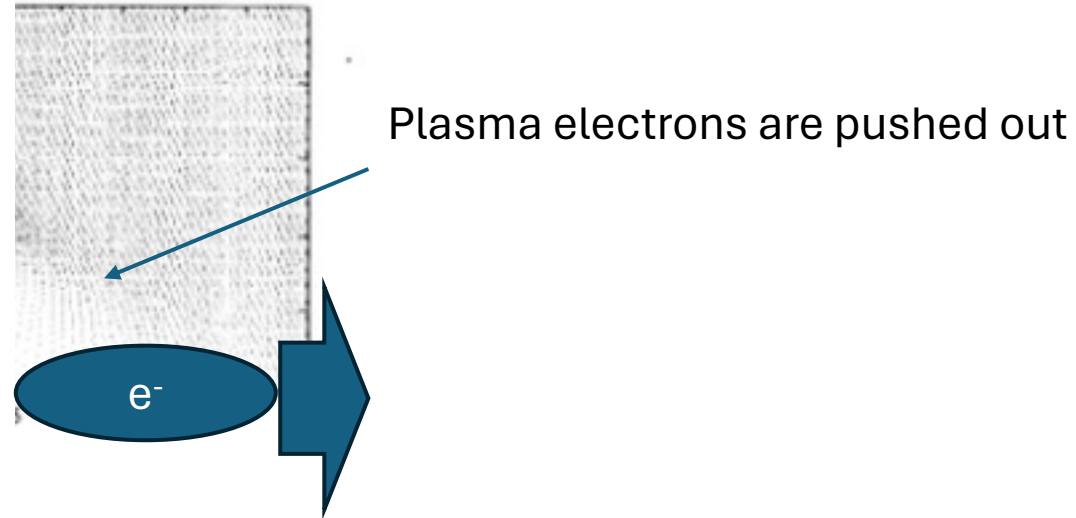
$$n_b \gg n_{pe}$$

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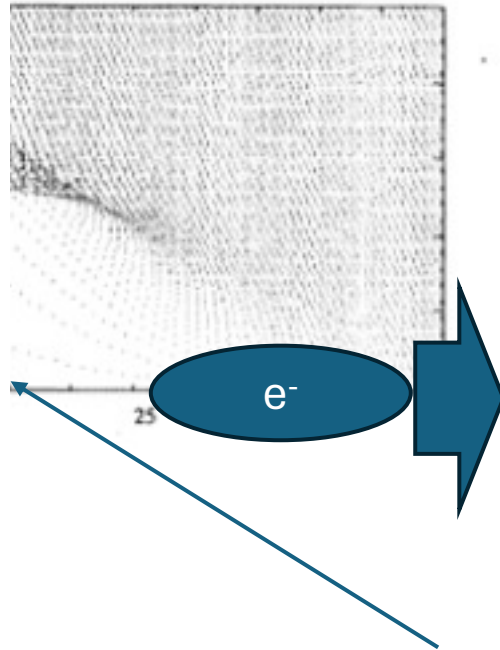


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No electrons on axis  
(blowout)

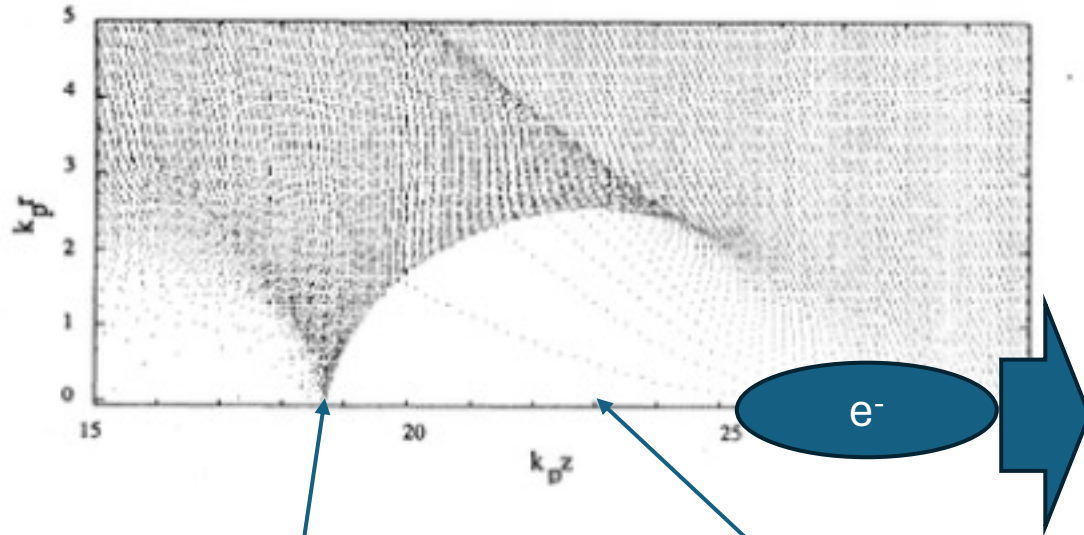
→ Strong restoring force from ions

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They cross the axis after  $\sim \lambda_{pe}$   
→ Singularity  
→ Non-linearities

No electrons on axis  
(blowout)



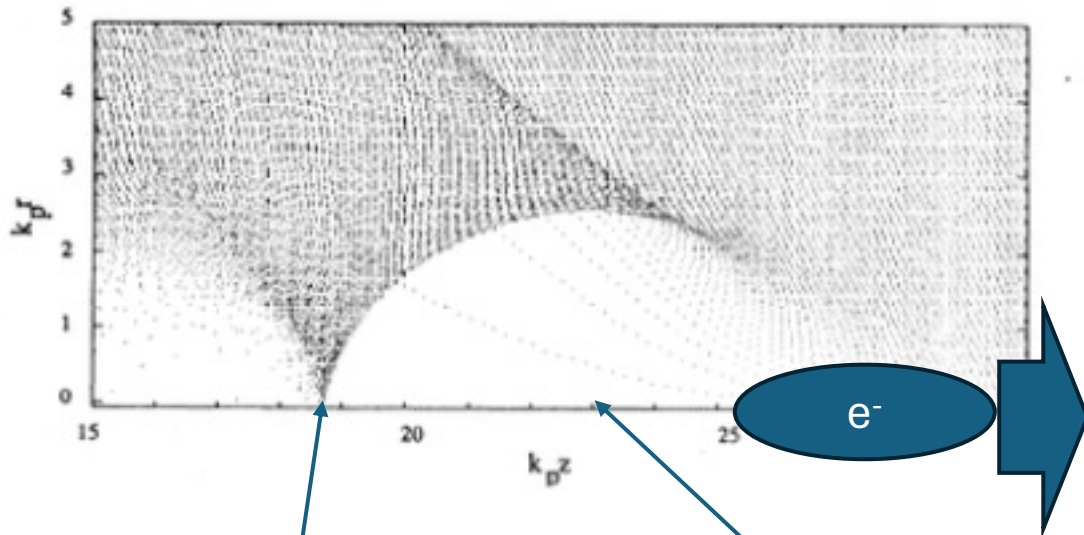


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PHYSICAL REVIEW A VOLUME 44, NUMBER 10 15 NOVEMBER 1991

**Acceleration and focusing of electrons in two-dimensional nonlinear plasma wake fields**

J. B. Rosenzweig, B. Breizman,\* T. Katsouleas,† and J. J. Su  
 Department of Physics, University of California at Los Angeles, Los Angeles, California 90024  
 (Received 10 June 1991)

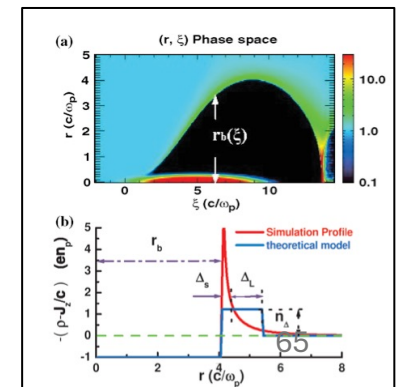
A regime of the plasma wake-field accelerator (PWFA) is proposed, in which a high-intensity electron beam is used to excite extremely nonlinear, transverse motion-dominated plasma oscillations. Through computational analysis of the plasma electron motion and the associated wake fields, it is shown that if the beam is dense enough to eject nearly all of the plasma electrons from the beam channel then the short-range wake fields are of excellent quality for acceleration and focusing of electron beams. These results clear up many conceptual difficulties with the practical realization of a PWFA.

PRL 96, 165002 (2006) PHYSICAL REVIEW LETTERS week ending 28 APRIL 2006

**Nonlinear Theory for Relativistic Plasma Wakefields in the Blowout Regime**

W. Lu,<sup>1</sup> C. Huang,<sup>1</sup> M. Zhou,<sup>1</sup> W. B. Mori,<sup>1,2</sup> and T. Katsouleas<sup>3</sup>

For an elegant description of the bubble evolution

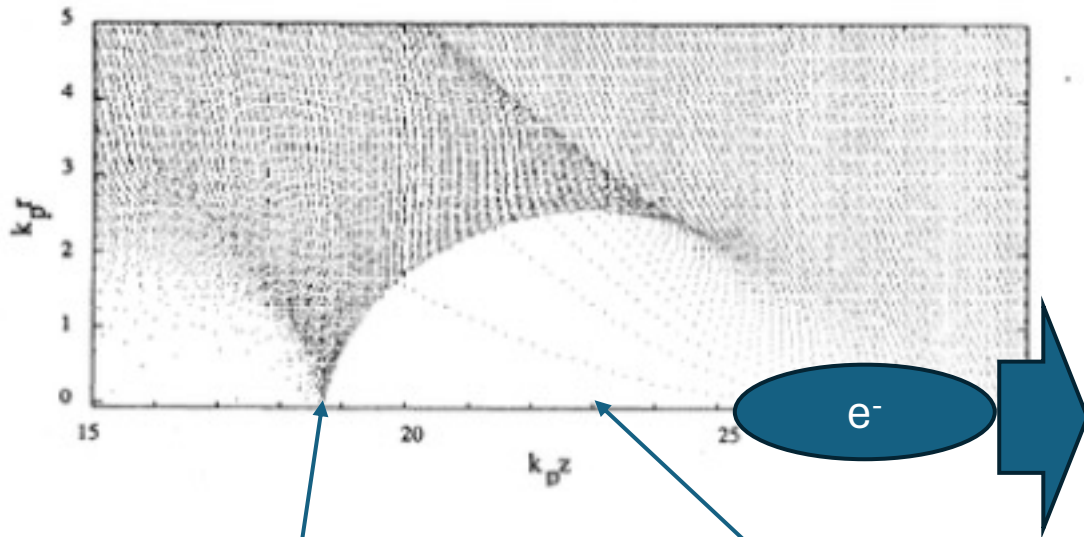


# II. Non-linear Regime

- When the electric field of the bunch is strong enough to expel ALL plasma electrons  
 → BUBBLE of plasma electrons around a column of pure ions

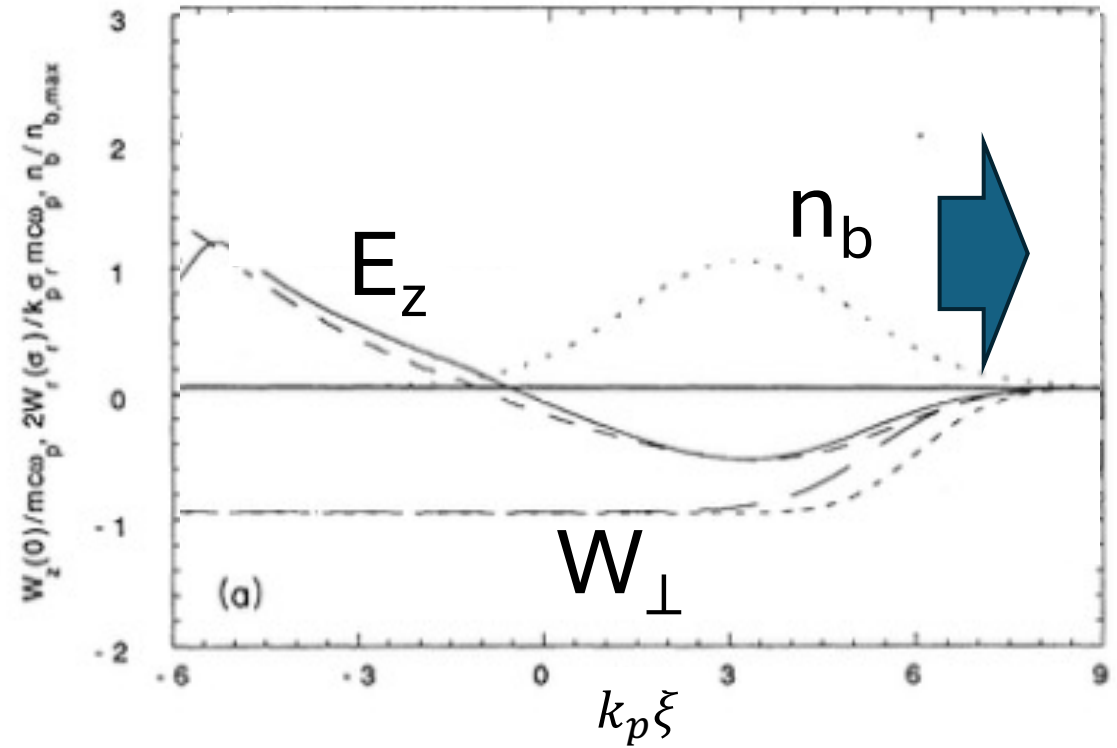
Requirement:

$$n_b \gg n_{pe}$$



They cross the axis after  $\sim \lambda_{pe}$   
 → Singularity  
 → Non-linearities

No electrons on axis  
 (blowout)



Along  $\xi$ :

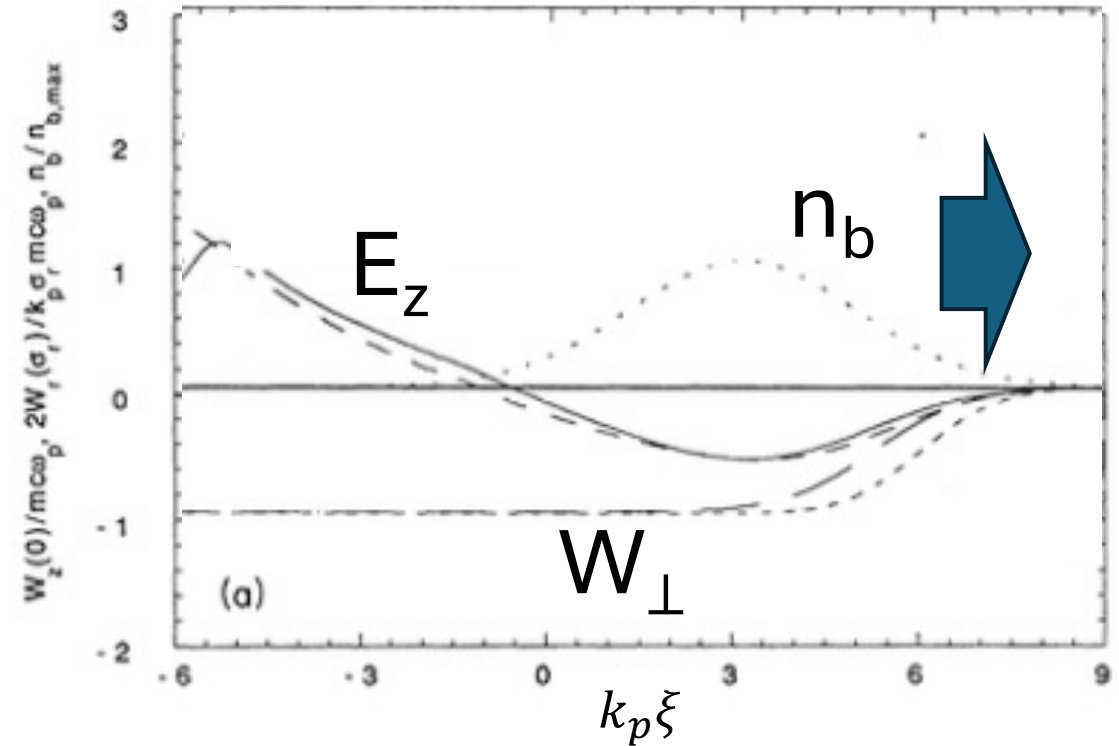
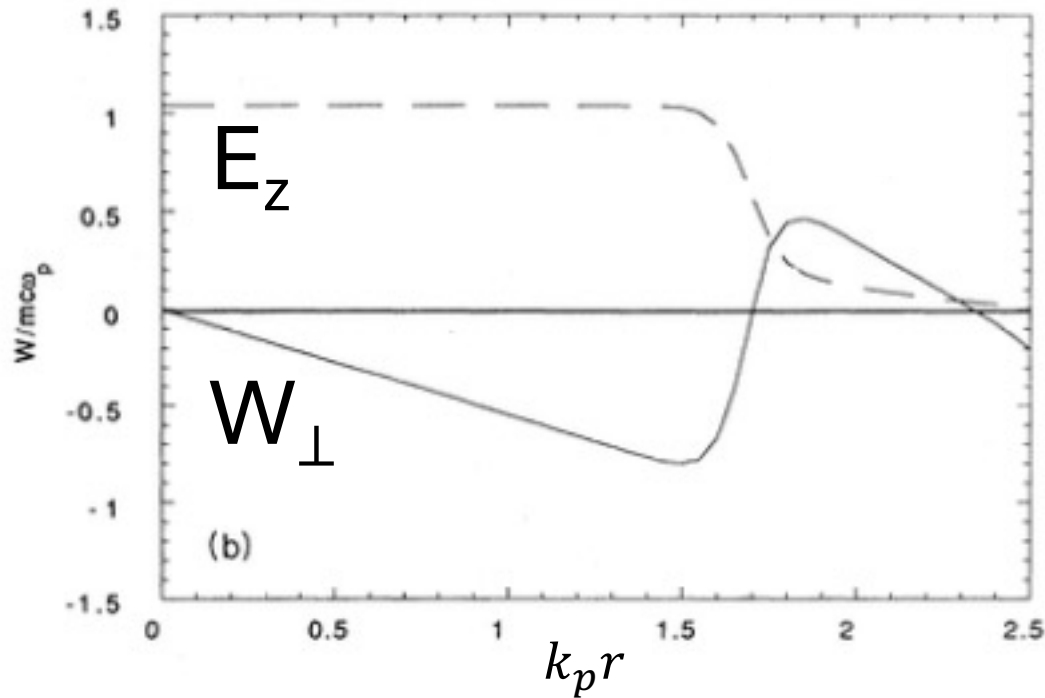
- Periodic "Steepened" accelerating field
- Uniform focusing field

# II. Non-linear Regime

- When the electric field of the bunch is strong enough to expel ALL plasma electrons  
 → BUBBLE of plasma electrons around a column of pure ions

Requirement:

$$n_b \gg n_{pe}$$



Along  $r$  (behind the bunch):

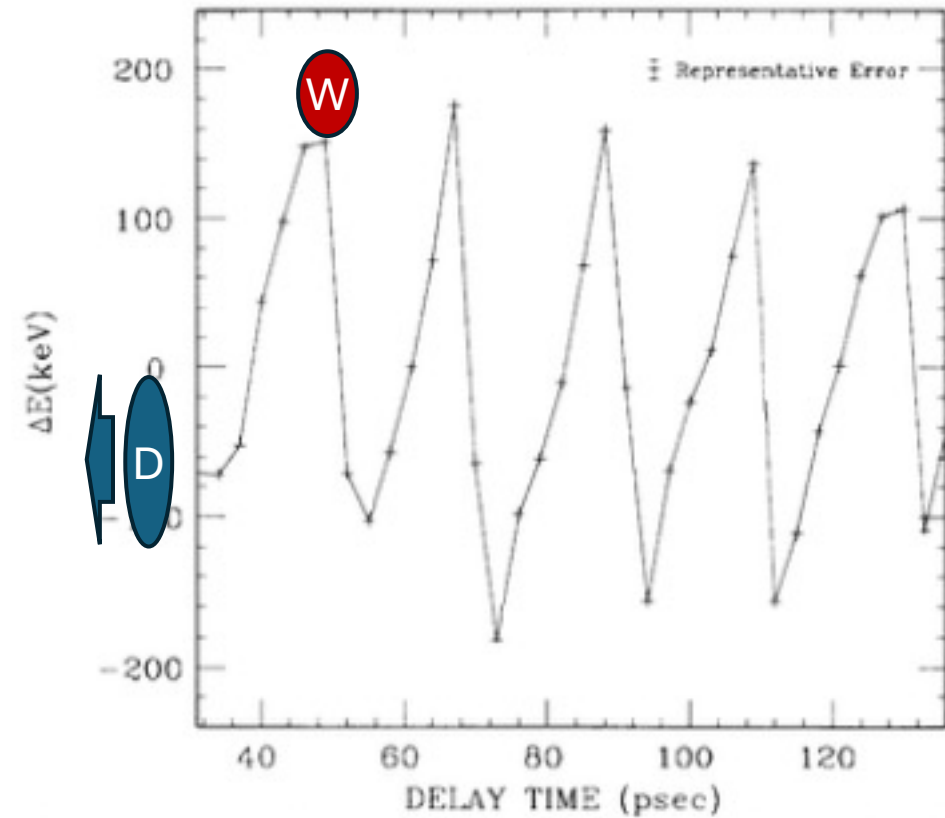
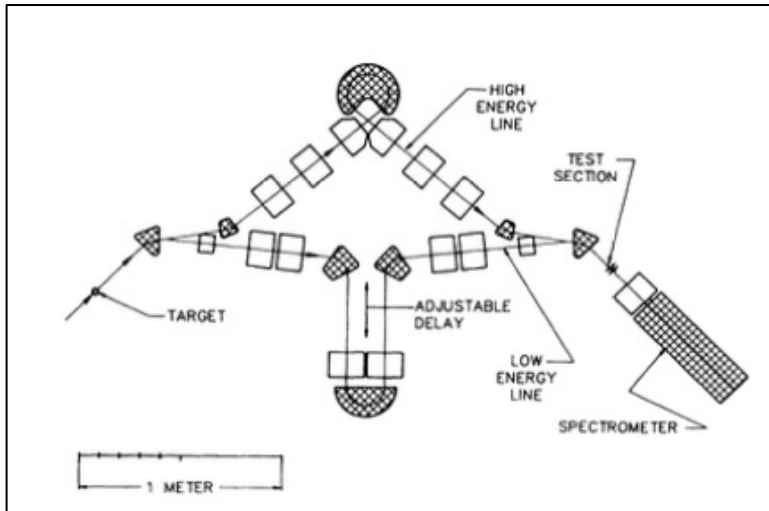
- Uniform accelerating field → uniform acceleration
- Linear focusing force → possible emittance preservation

Along  $\xi$ :

- Periodic "Steepened" accelerating field
- Uniform focusing field

# II. Non-linear Regime - Results

- Observation at Argonne:  
same setup, increased  $n_b$



Periodic, saw-tooth accelerating field

## RAPID COMMUNICATIONS

PHYSICAL REVIEW A

VOLUME 39, NUMBER 3

FEBRUARY 1, 1989

### Experimental measurement of nonlinear plasma wake fields

J. B. Rosenzweig, P. Schoessow, B. Cole, W. Gai, R. Konecny, J. Norem, and J. Simpson  
*High Energy Physics Division, Argonne National Laboratory, Argonne, Illinois 60439*  
(Received 15 August 1988)

We report direct high-resolution observation of nonlinear steepened plasma waves excited in the wake of an intense, self-pinch electron beam. Oscillations in both accelerating and deflecting fields are measured, and analyzed in the context of linear and nonlinear plasma-wave theory. The degree of nonlinearity in the wake fields is shown to be consistent with analytical predictions of the beam self-pinch. The impact of these results on plasma acceleration and focusing schemes is discussed.

# II. Non-linear Regime - Results

- After this, almost all experiments worked in the non-linear/bubble/blowout regime

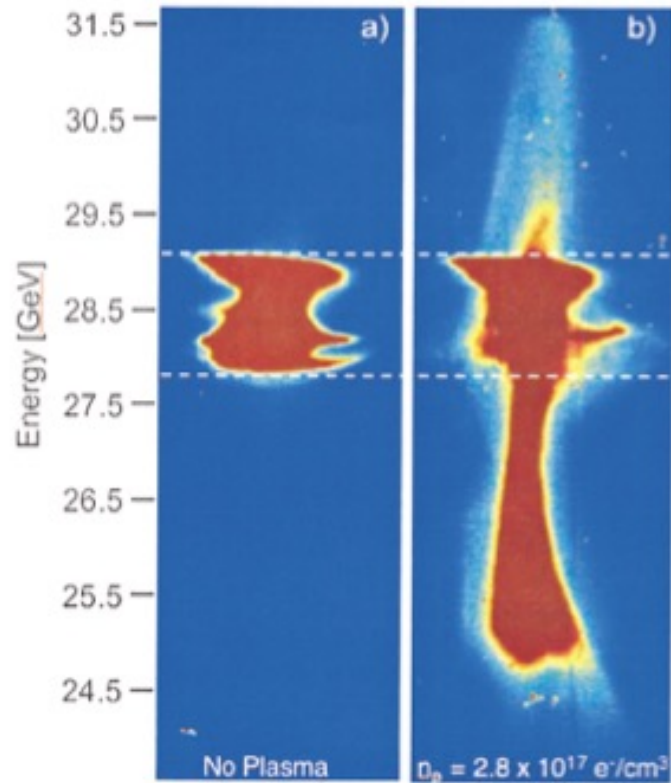
PRL 95, 054802 (2005)

PHYSICAL REVIEW LETTERS

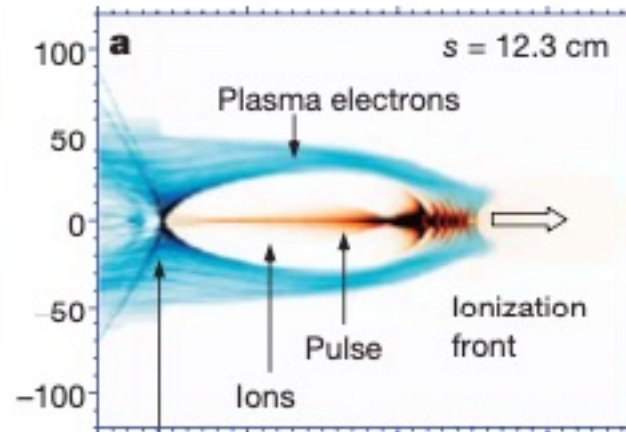
week ending  
29 JULY 2005

## Multi-GeV Energy Gain in a Plasma-Wakefield Accelerator

M. J. Hogan,<sup>1</sup> C. D. Barnes,<sup>1</sup> C. E. Clayton,<sup>2</sup> F. J. Decker,<sup>1</sup> S. Deng,<sup>3</sup> P. Emma,<sup>1</sup> C. Huang,<sup>2</sup> R. H. Iverson,<sup>1</sup> D. K. Johnson,<sup>2</sup> C. Joshi,<sup>2</sup> T. Katsouleas,<sup>3</sup> P. Krejcik,<sup>1</sup> W. Lu,<sup>2</sup> K. A. Marsh,<sup>2</sup> W. B. Mori,<sup>2</sup> P. Muggli,<sup>3</sup> C. L. O'Connell,<sup>1</sup> E. Oz,<sup>3</sup> R. H. Siemann,<sup>1</sup> and D. Walz<sup>1</sup>



$\sim 2.7$  GeV in 10 cm  
 $n_{pe} = 2.8 \times 10^{17} \text{ cm}^{-3}$



# II. Non-linear Regime - Results

- After this, almost all experiments worked in the non-linear/bubble/blowout regime

PRL 95, 054802 (2005) PHYSICAL REVIEW LETTERS week ending 29 JULY 2005

**Multi-GeV Energy Gain in a Plasma-Wakefield Accelerator**

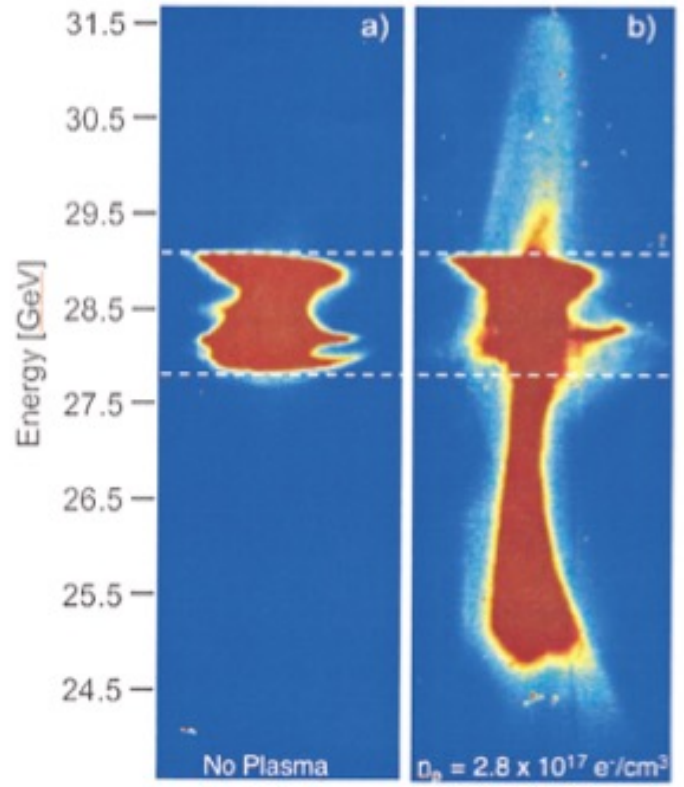
M. J. Hogan,<sup>1</sup> C. D. Barnes,<sup>1</sup> C. E. Clayton,<sup>2</sup> F. J. Decker,<sup>1</sup> S. Deng,<sup>3</sup> P. Emma,<sup>1</sup> C. Huang,<sup>2</sup> R. H. Iverson,<sup>1</sup> D. K. Johnson,<sup>2</sup> C. Joshi,<sup>2</sup> T. Katsouleas,<sup>3</sup> P. Krejcik,<sup>1</sup> W. Lu,<sup>2</sup> K. A. Marsh,<sup>2</sup> W. B. Mori,<sup>2</sup> P. Muggli,<sup>3</sup> C. L. O'Connell,<sup>1</sup> E. Oz,<sup>3</sup> R. H. Siemann,<sup>1</sup> and D. Walz<sup>1</sup>

Vol 445 | 15 February 2007 | doi:10.1038/nature05538 nature

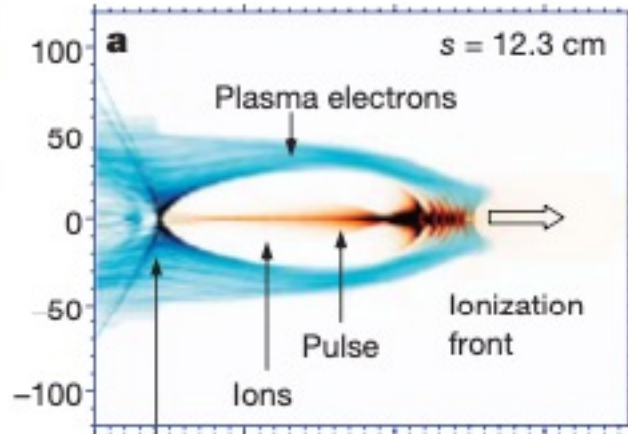
LETTERS

**Energy doubling of 42 GeV electrons in a metre-scale plasma wakefield accelerator**

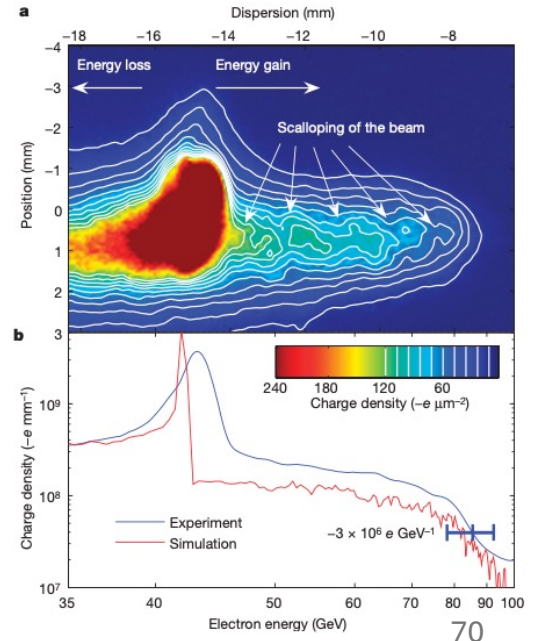
Ian Blumenfeld<sup>1</sup>, Christopher E. Clayton<sup>2</sup>, Franz-Josef Decker<sup>1</sup>, Mark J. Hogan<sup>1</sup>, Chengkun Huang<sup>2</sup>, Rasmus Ischebeck<sup>1</sup>, Richard Iverson<sup>1</sup>, Chandrashekhar Joshi<sup>2</sup>, Thomas Katsouleas<sup>3</sup>, Neil Kirby<sup>1</sup>, Wei Lu<sup>2</sup>, Kenneth A. Marsh<sup>2</sup>, Warren B. Mori<sup>2</sup>, Patric Muggli<sup>3</sup>, Erdem Oz<sup>3</sup>, Robert H. Siemann<sup>1</sup>, Dieter Walz<sup>1</sup> & Miaomiao Zhou<sup>2</sup>



~ 2.7 GeV in 10 cm  
 $n_{pe} = 2.8 \times 10^{17} \text{ cm}^{-3}$



~ 42 GeV in 85 cm  
 $n_{pe} = 2.8 \times 10^{17} \text{ cm}^{-3}$



# II. Non-linear Regime - Results

- After this, almost all experiments worked in the non-linear/bubble/blowout regime

PRL 95, 054802 (2005)      PHYSICAL REVIEW LETTERS      week ending 29 JULY 2005

**Multi-GeV Energy Gain in a Plasma-Wakefield Accelerator**

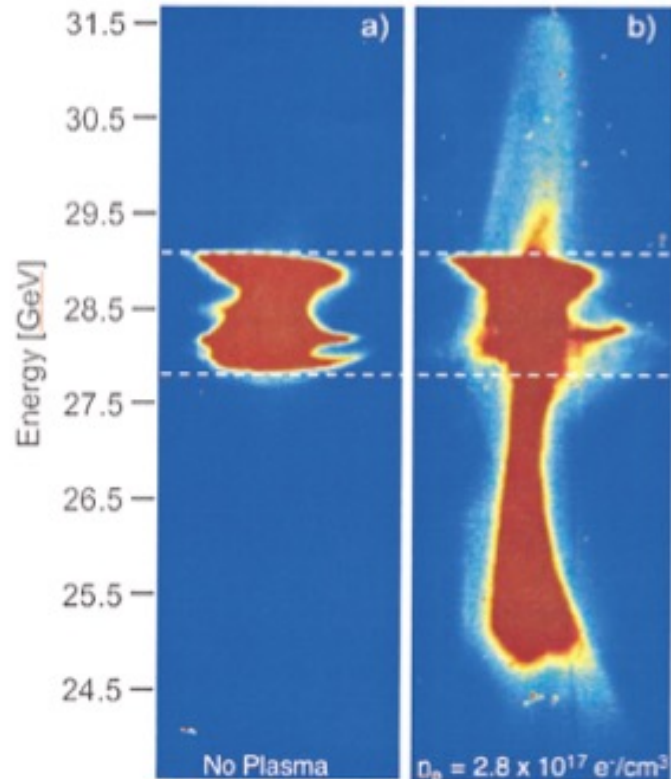
M. J. Hogan,<sup>1</sup> C. D. Barnes,<sup>1</sup> C. E. Clayton,<sup>2</sup> F. J. Decker,<sup>1</sup> S. Deng,<sup>3</sup> P. Emma,<sup>1</sup> C. Huang,<sup>2</sup> R. H. Iverson,<sup>1</sup> D. K. Johnson,<sup>2</sup> C. Joshi,<sup>2</sup> T. Katsouleas,<sup>3</sup> P. Krejcik,<sup>1</sup> W. Lu,<sup>2</sup> K. A. Marsh,<sup>2</sup> W. B. Mori,<sup>2</sup> P. Muggli,<sup>3</sup> C. L. O'Connell,<sup>1</sup> E. Oz,<sup>3</sup> R. H. Siemann,<sup>1</sup> and D. Walz<sup>1</sup>

Vol 445 | 15 February 2007 | doi:10.1038/nature05538      nature

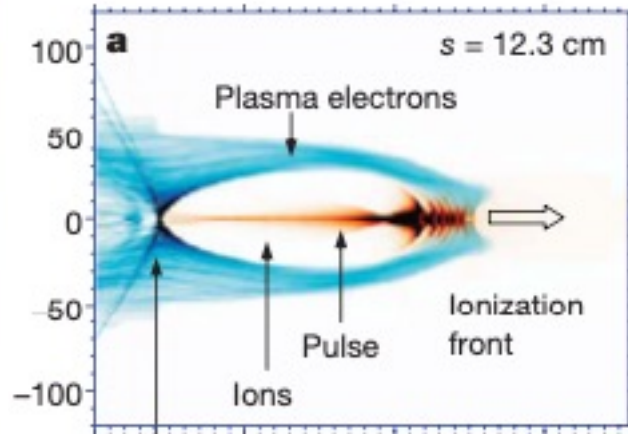
LETTERS

**Energy doubling of 42 GeV electrons in a metre-scale plasma wakefield accelerator**

Ian Blumenfeld<sup>1</sup>, Christopher E. Clayton<sup>2</sup>, Franz-Josef Decker<sup>1</sup>, Mark J. Hogan<sup>1</sup>, Chengkun Huang<sup>2</sup>, Rasmus Ischebeck<sup>1</sup>, Richard Iverson<sup>1</sup>, Chandrashekar Joshi<sup>2</sup>, Thomas Katsouleas<sup>3</sup>, Neil Kirby<sup>1</sup>, Wei Lu<sup>2</sup>, Kenneth A. Marsh<sup>2</sup>, Warren B. Mori<sup>2</sup>, Patric Muggli<sup>3</sup>, Erdem Oz<sup>3</sup>, Robert H. Siemann<sup>1</sup>, Dieter Walz<sup>1</sup> & Miaomiao Zhou<sup>2</sup>

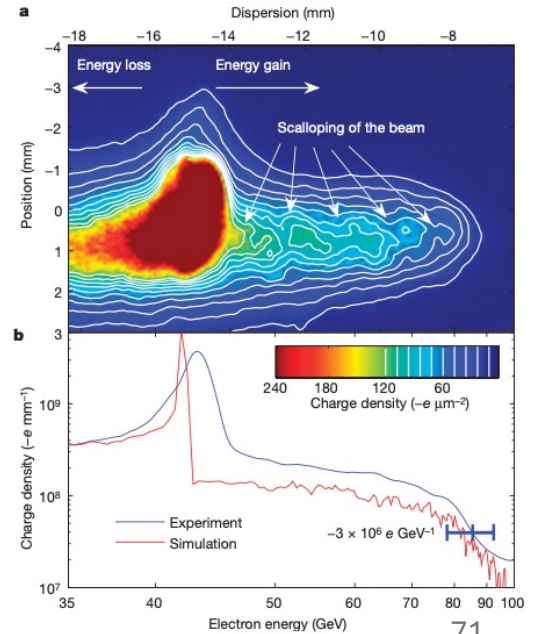


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~ 42 GeV in 85 cm  
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**BUT: What is "wrong" with these results from an accelerator point of view?**





# II. Non-linear Regime - Results

- After this, almost all experiments worked in the non-linear/bubble/blowout regime

PRL 95, 054802 (2005)      PHYSICAL REVIEW LETTERS      week ending 29 JULY 2005

**Multi-GeV Energy Gain in a Plasma-Wakefield Accelerator**

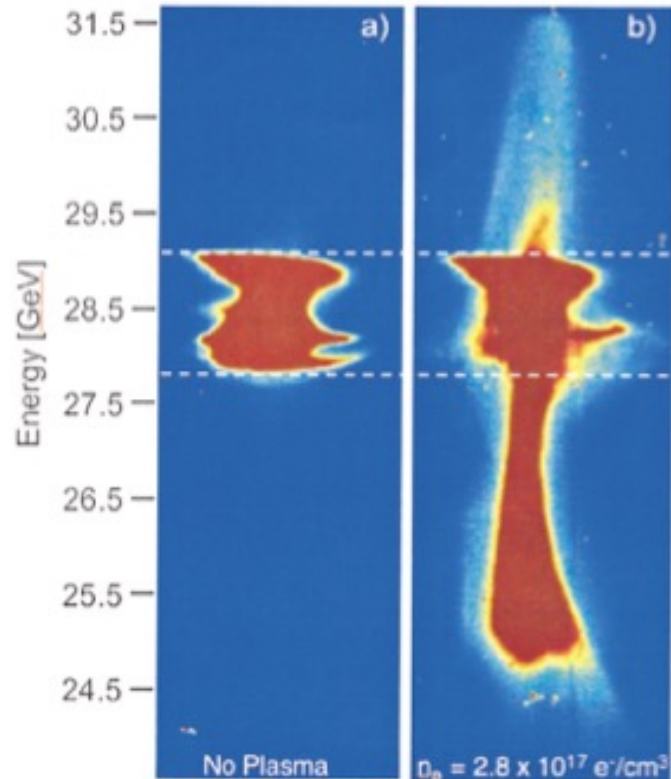
M. J. Hogan,<sup>1</sup> C. D. Barnes,<sup>1</sup> C. E. Clayton,<sup>2</sup> F. J. Decker,<sup>1</sup> S. Deng,<sup>3</sup> P. Emma,<sup>1</sup> C. Huang,<sup>2</sup> R. H. Iverson,<sup>1</sup> D. K. Johnson,<sup>2</sup> C. Joshi,<sup>2</sup> T. Katsouleas,<sup>3</sup> P. Krejcik,<sup>1</sup> W. Lu,<sup>2</sup> K. A. Marsh,<sup>2</sup> W. B. Mori,<sup>2</sup> P. Muggli,<sup>3</sup> C. L. O'Connell,<sup>1</sup> E. Oz,<sup>3</sup> R. H. Siemann,<sup>1</sup> and D. Walz<sup>1</sup>

Vol 445 | 15 February 2007 | doi:10.1038/nature05538      nature

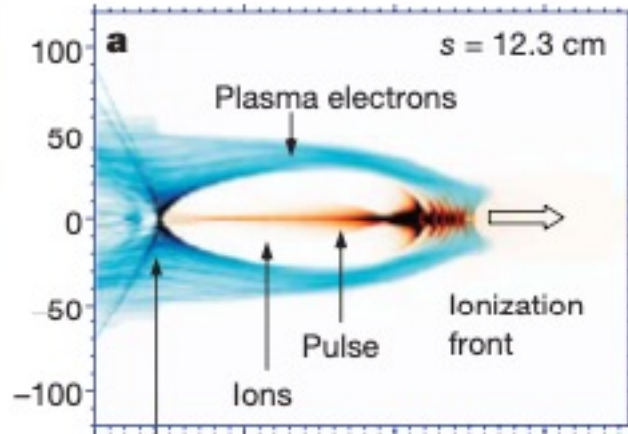
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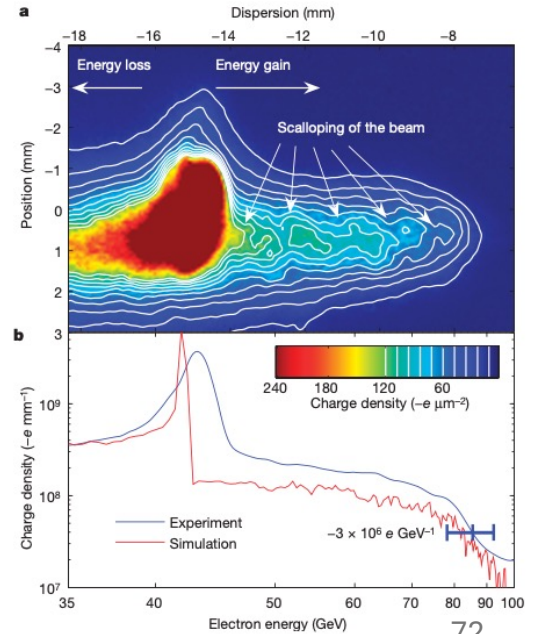


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 $n_{pe} = 2.8 \times 10^{17} \text{ cm}^{-3}$



~ 42 GeV in 85 cm  
 $n_{pe} = 2.8 \times 10^{17} \text{ cm}^{-3}$

**BUT: What is "wrong" with these results from an accelerator point of view?**  
**"Only" acceleration of electrons, not bunches**



## II. Non-linear Regime - Results

- After this, almost all experiments worked in the non-linear/bubble/blowout regime
  - transition to bunch acceleration
  - not only quantity (energy) but also quality (charge, energy spread, emittance)

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PRL 101, 054801 (2008)

PHYSICAL REVIEW LETTERS

week ending  
1 AUGUST 2008

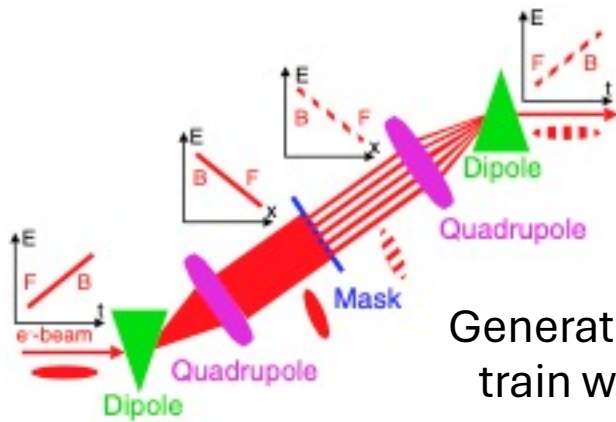
### Generation of Trains of Electron Microbunches with Adjustable Subpicosecond Spacing

P. Muggli,<sup>1</sup> V. Yakimenko,<sup>2</sup> M. Babzien,<sup>2</sup> E. Kallos,<sup>1</sup> and K. P. Kusche<sup>2</sup>

<sup>1</sup>University of Southern California, Los Angeles, California 90089, USA

<sup>2</sup>Brookhaven National Laboratory, Upton, Long Island, New York 11973, USA

(Received 25 December 2007; published 29 July 2008)



Generation of bunch  
train with mask in  
dispersive section (and  
chirped bunch)

# II. Non-linear Regime - Results

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PRL 101, 054801 (2008)      PHYSICAL REVIEW LETTERS      week ending 1 AUGUST 2008

**Generation of Trains of Electron Microbunches with Adjustable Subpicosecond Spacing**

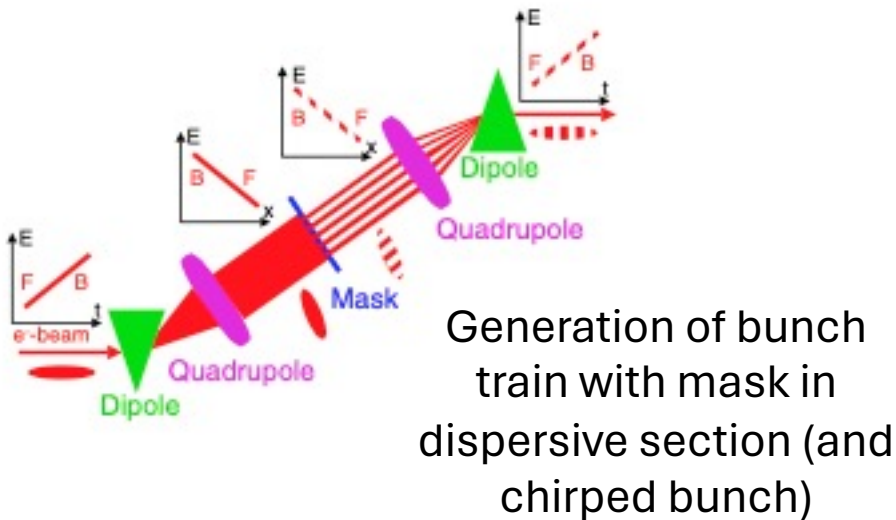
P. Muggli,<sup>1</sup> V. Yakimenko,<sup>2</sup> M. Babzien,<sup>2</sup> E. Kallos,<sup>1</sup> and K. P. Kusche<sup>2</sup>

<sup>1</sup>University of Southern California, Los Angeles, California 90089, USA  
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 (Received 25 December 2007; published 29 July 2008)

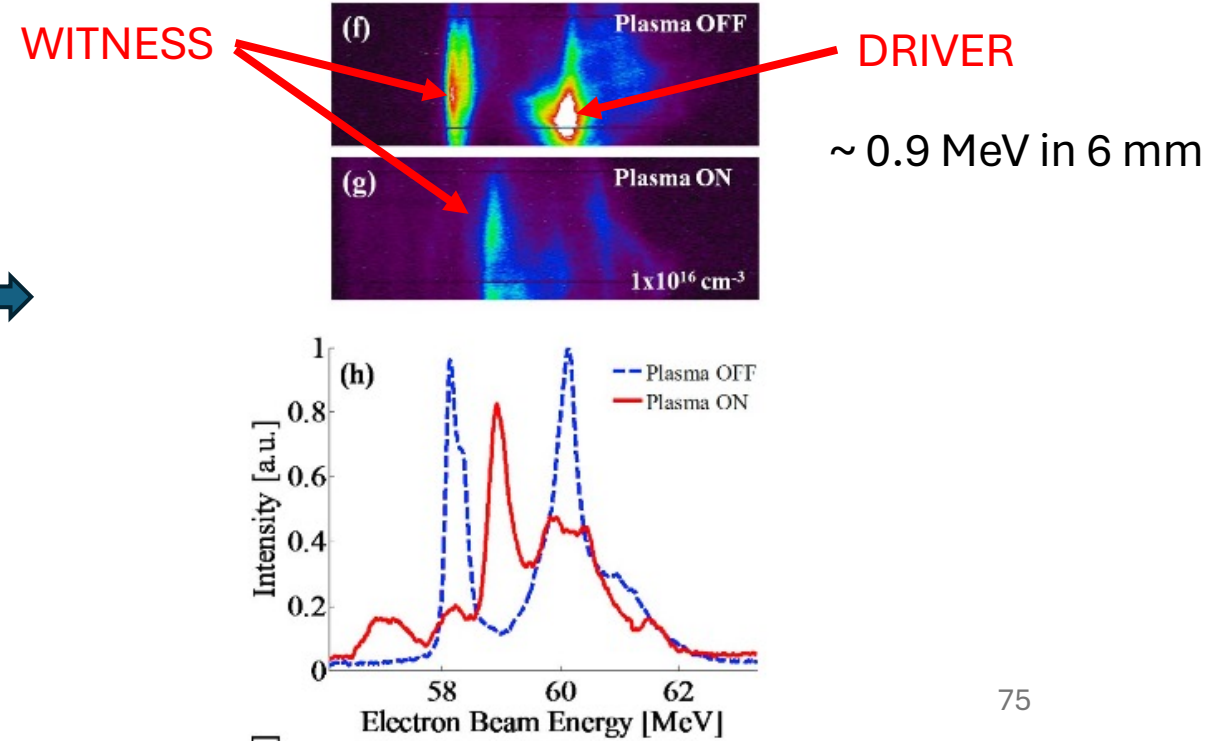
PRL 100, 074802 (2008)      PHYSICAL REVIEW LETTERS      week ending 22 FEBRUARY 2008

**High-Gradient Plasma-Wakefield Acceleration with Two Subpicosecond Electron Bunches**

Efthymios Kallos,<sup>1</sup> Tom Katsouleas,<sup>1</sup> Wayne D. Kimura,<sup>2</sup> Karl Kusche,<sup>3</sup> Patric Muggli,<sup>1</sup> Igor Pavlishin,<sup>3</sup> Igor Pogorelsky,<sup>3</sup> Daniil Stolyarov,<sup>3</sup> and Vitaly Yakimenko<sup>3</sup>

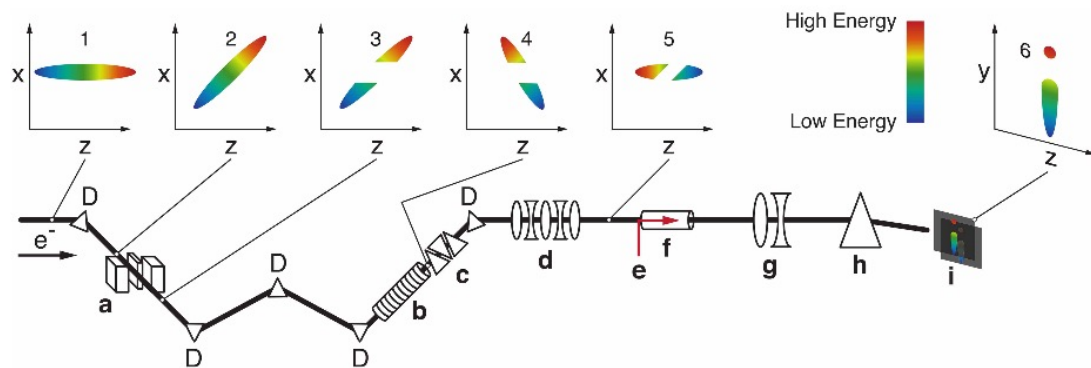
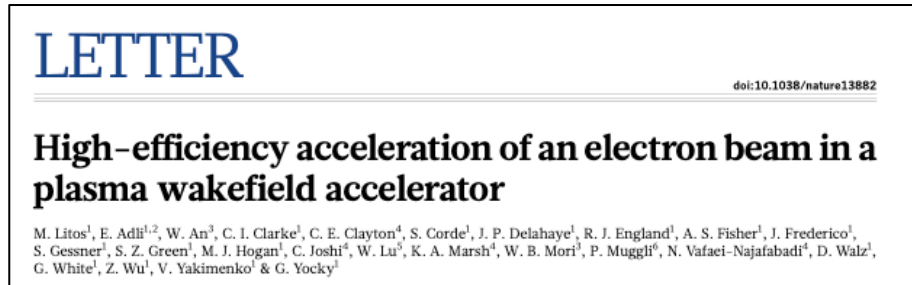


then →



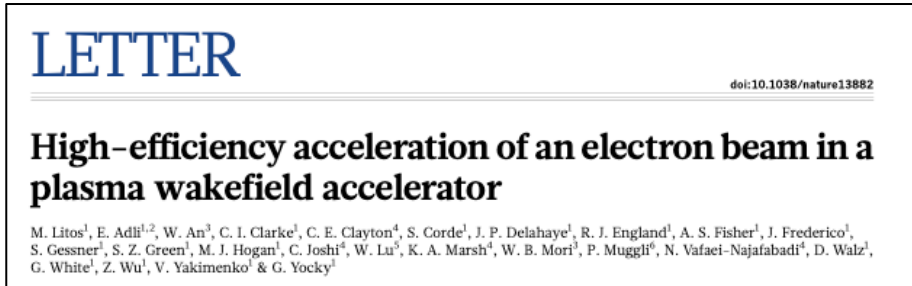
# II. Non-linear Regime - Results

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  - transition to bunch acceleration
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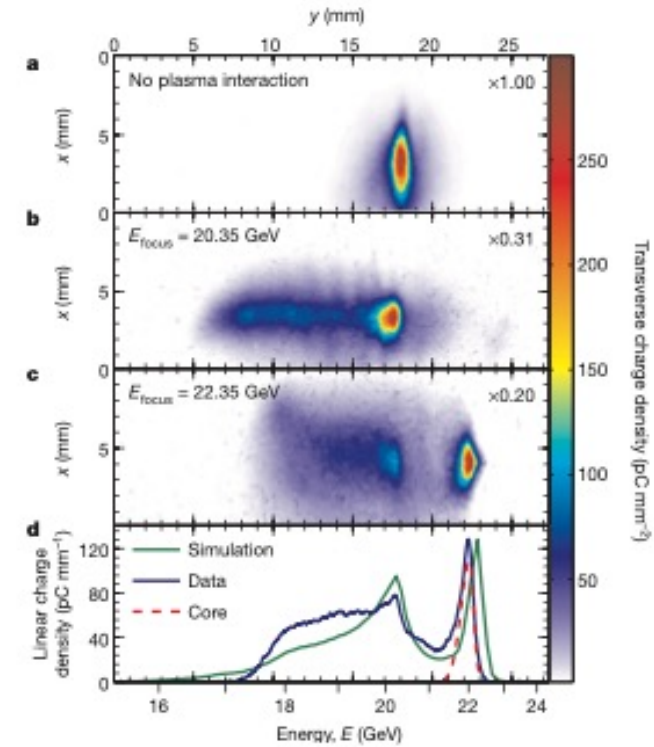
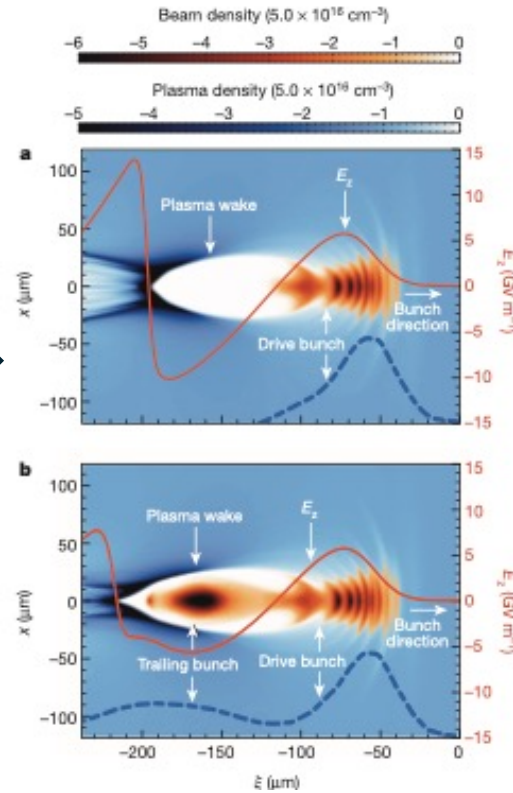
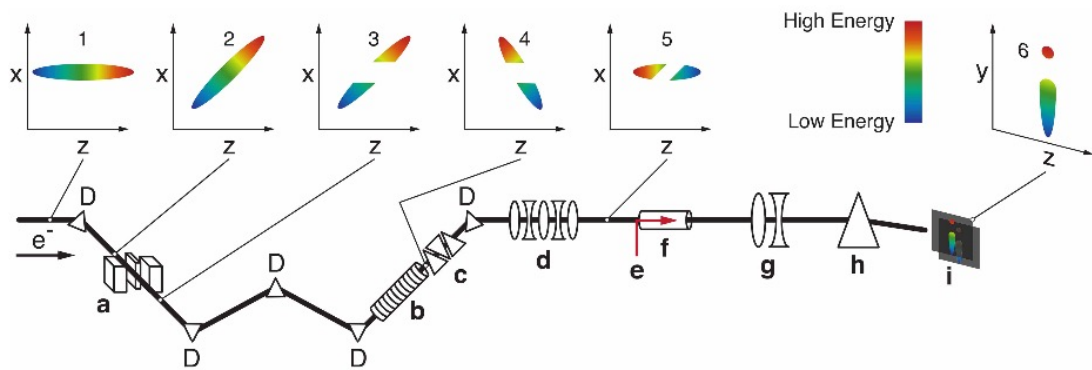


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  - not only quantity (energy) but also quality (charge, energy spread, emittance)



then



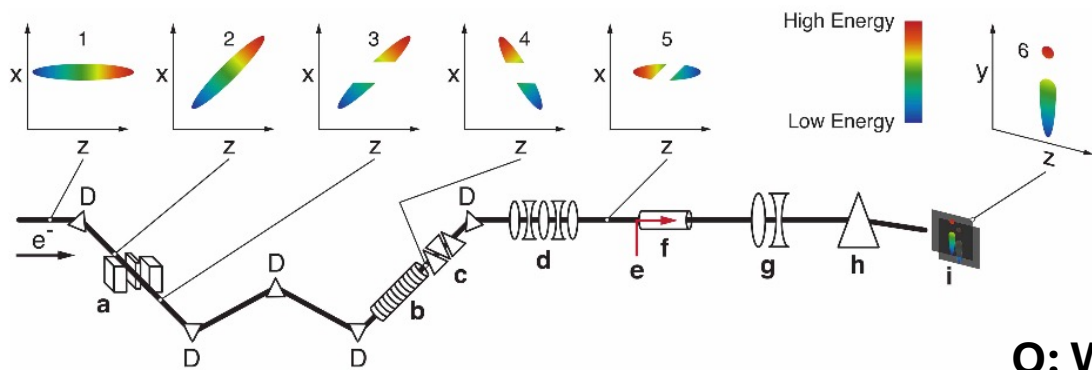
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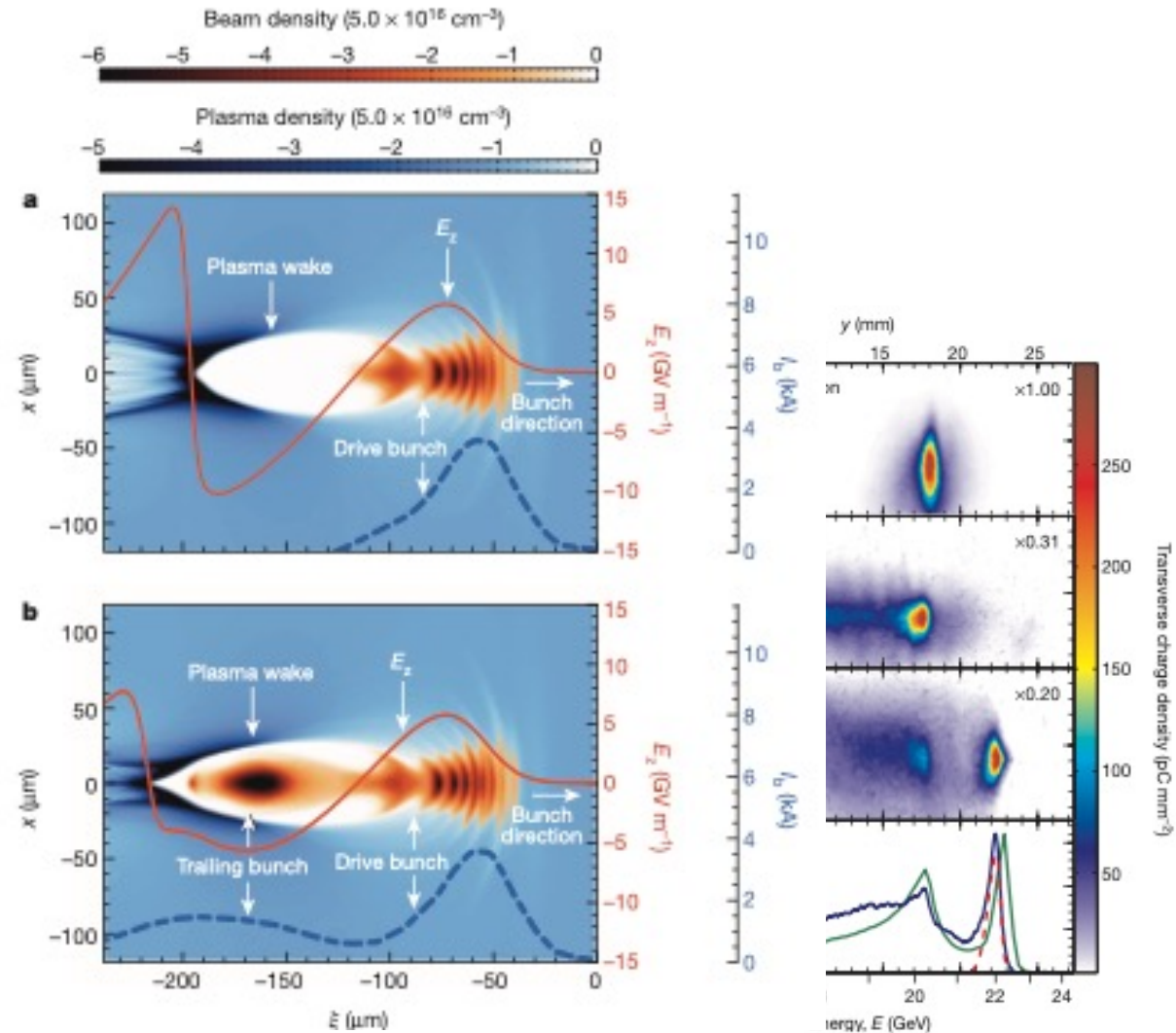
**LETTER** doi:10.1038/nature13882

**High-efficiency acceleration of an electron beam in a plasma wakefield accelerator**

M. Litos<sup>1</sup>, E. Adli<sup>1,2</sup>, W. An<sup>3</sup>, C. I. Clarke<sup>1</sup>, C. E. Clayton<sup>4</sup>, S. Corde<sup>1</sup>, J. P. Delahaye<sup>1</sup>, R. J. England<sup>1</sup>, A. S. Fisher<sup>1</sup>, J. Frederico<sup>1</sup>, S. Gessner<sup>1</sup>, S. Z. Green<sup>1</sup>, M. J. Hogan<sup>1</sup>, C. Joshi<sup>4</sup>, W. Lu<sup>5</sup>, K. A. Marsh<sup>1</sup>, W. B. Mori<sup>1</sup>, P. Muggli<sup>6</sup>, N. Vafaei-Najafabadi<sup>4</sup>, D. Walz<sup>1</sup>, G. White<sup>1</sup>, Z. Wu<sup>1</sup>, V. Yakimenko<sup>3</sup> & G. Yocky<sup>1</sup>



then →



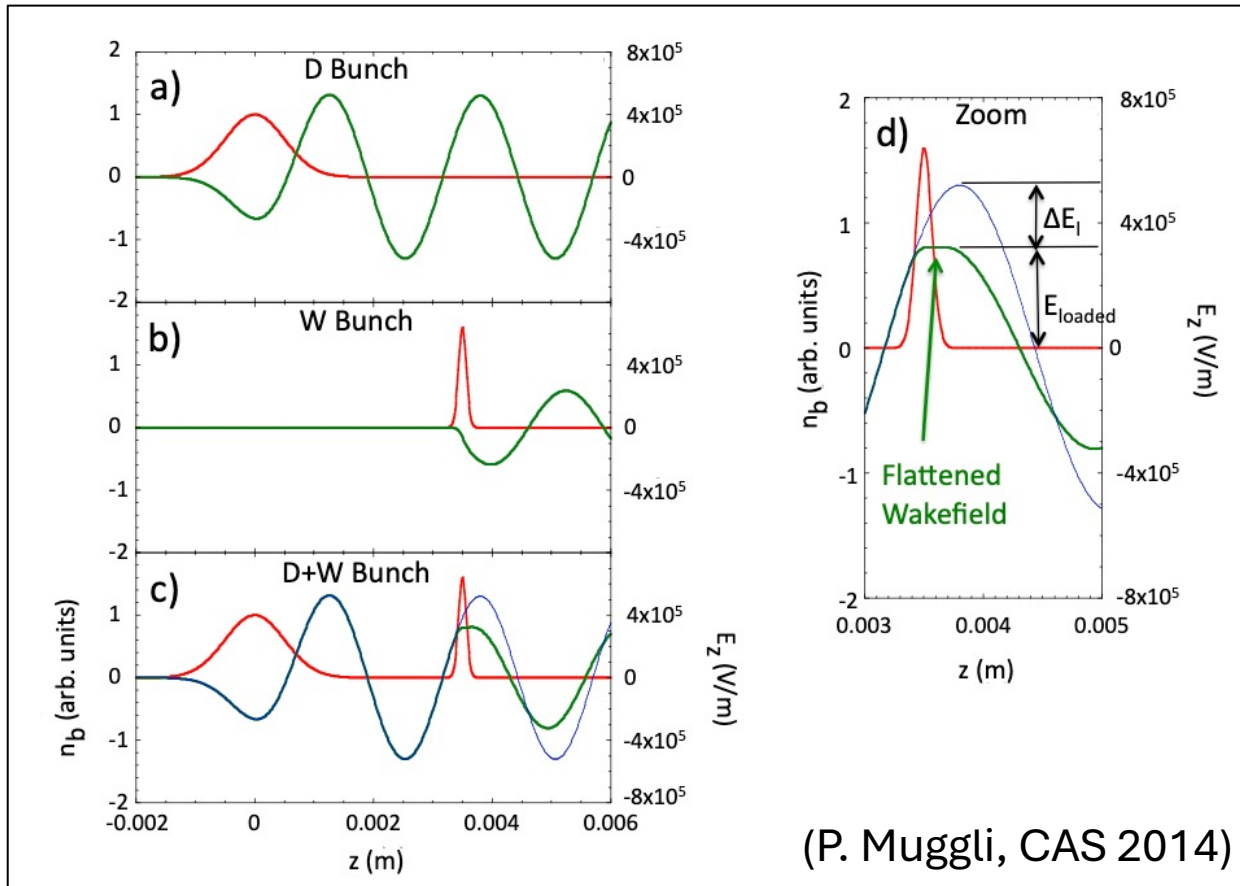
**Q: WHY IS THE ACCELERATING FIELD DIFFERENT WITH AND WITHOUT WITNESS BUNCH? IS IT GOOD OR BAD?**

# II. Non-linear Regime – Beam Loading

## → BEAM LOADING:

The presence of the witness bunch affects the wakefields

Linear regime



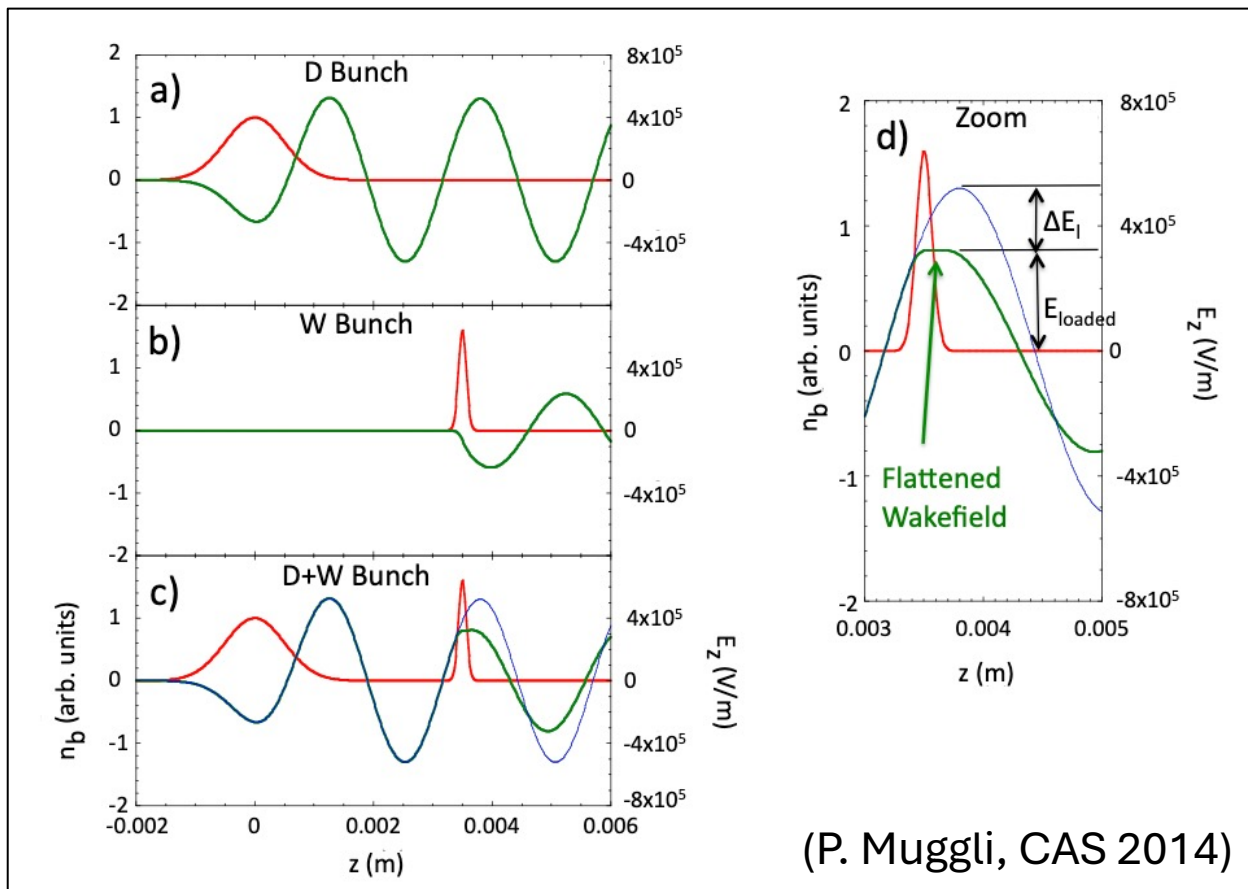


# II. Non-linear Regime – Beam Loading

## → BEAM LOADING:

The presence of the witness bunch affects the wakefields

Linear regime

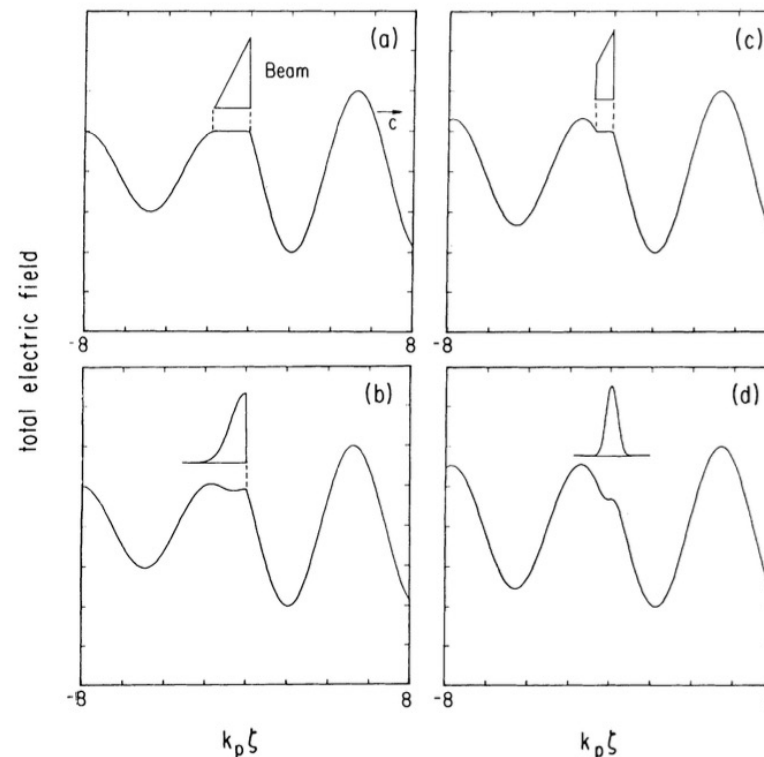


*Particle Accelerators*, 1987, Vol. 22, pp. 81–99  
 Photocopying permitted by license only  
 © 1987 Gordon and Breach Science Publishers, Inc.  
 Printed in the United States of America

## BEAM LOADING IN PLASMA ACCELERATORS

T. KATSOULEAS, S. WILKS, P. CHEN,† J. M. DAWSON and J. J. SU

*Department of Physics, University of California, Los Angeles, CA 90024*



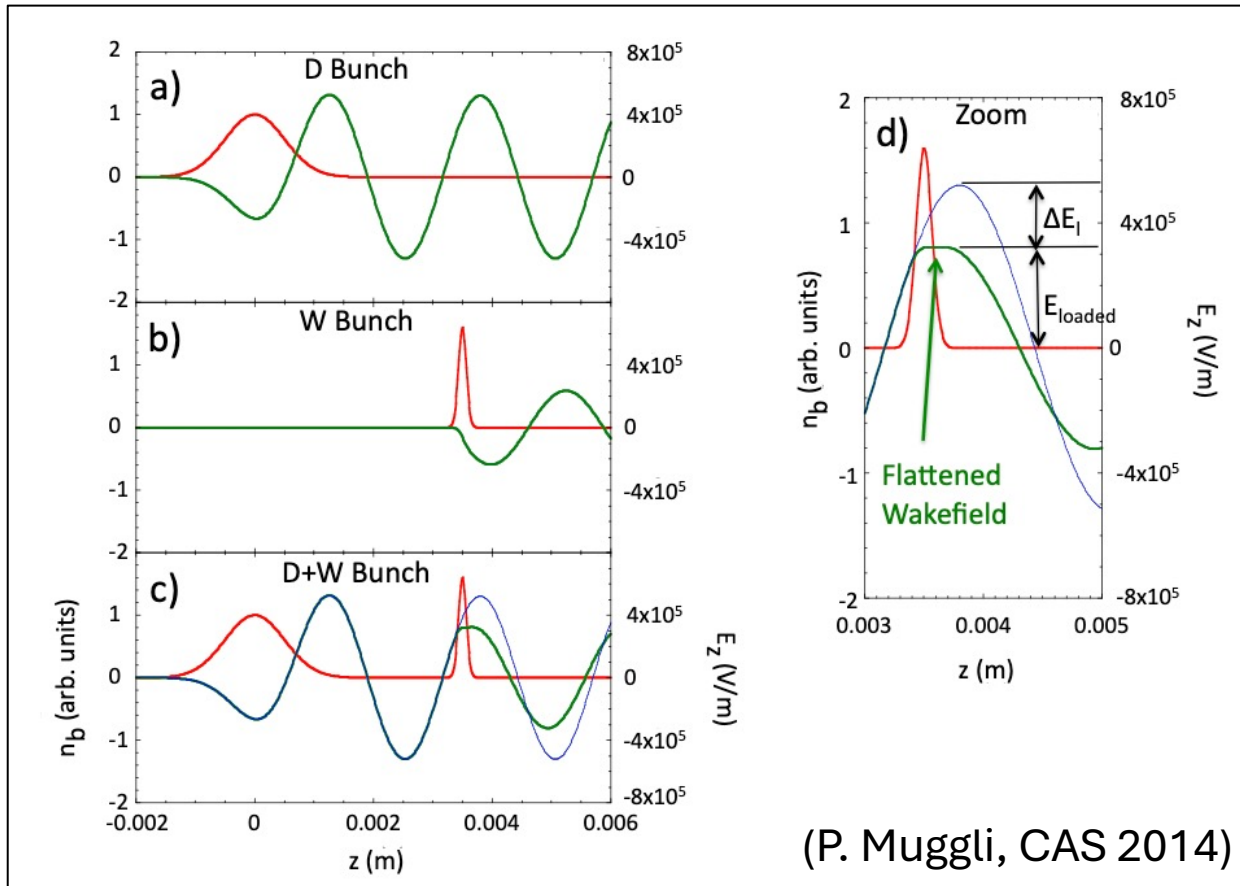
- Triangular/trapezoidal shape gives constant field
- Short Gaussian placed at the right phase can work 80

# II. Non-linear Regime – Beam Loading

## → BEAM LOADING:

The presence of the witness bunch affects the wakefields

Linear regime



## Non-linear regime

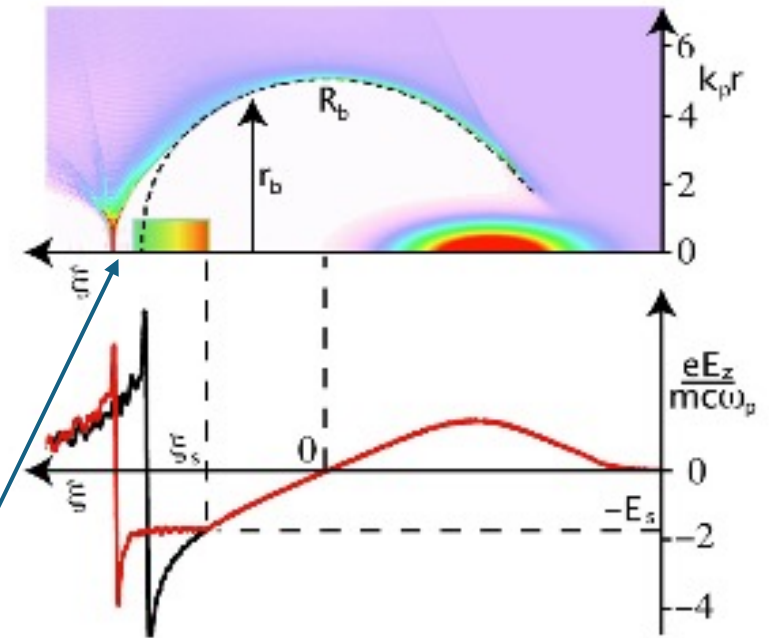
PRL 101, 145002 (2008)

PHYSICAL REVIEW LETTERS

week ending  
3 OCTOBER 2008

### Beam Loading in the Nonlinear Regime of Plasma-Based Acceleration

M. Tzoufras,<sup>1</sup> W. Lu,<sup>1</sup> F. S. Tsung,<sup>2</sup> C. Huang,<sup>2</sup> W. B. Mori,<sup>1,2</sup> T. Katsouleas,<sup>3</sup> J. Vieira,<sup>4</sup> R. A. Fonseca,<sup>4</sup> and L. O. Silva<sup>4</sup>



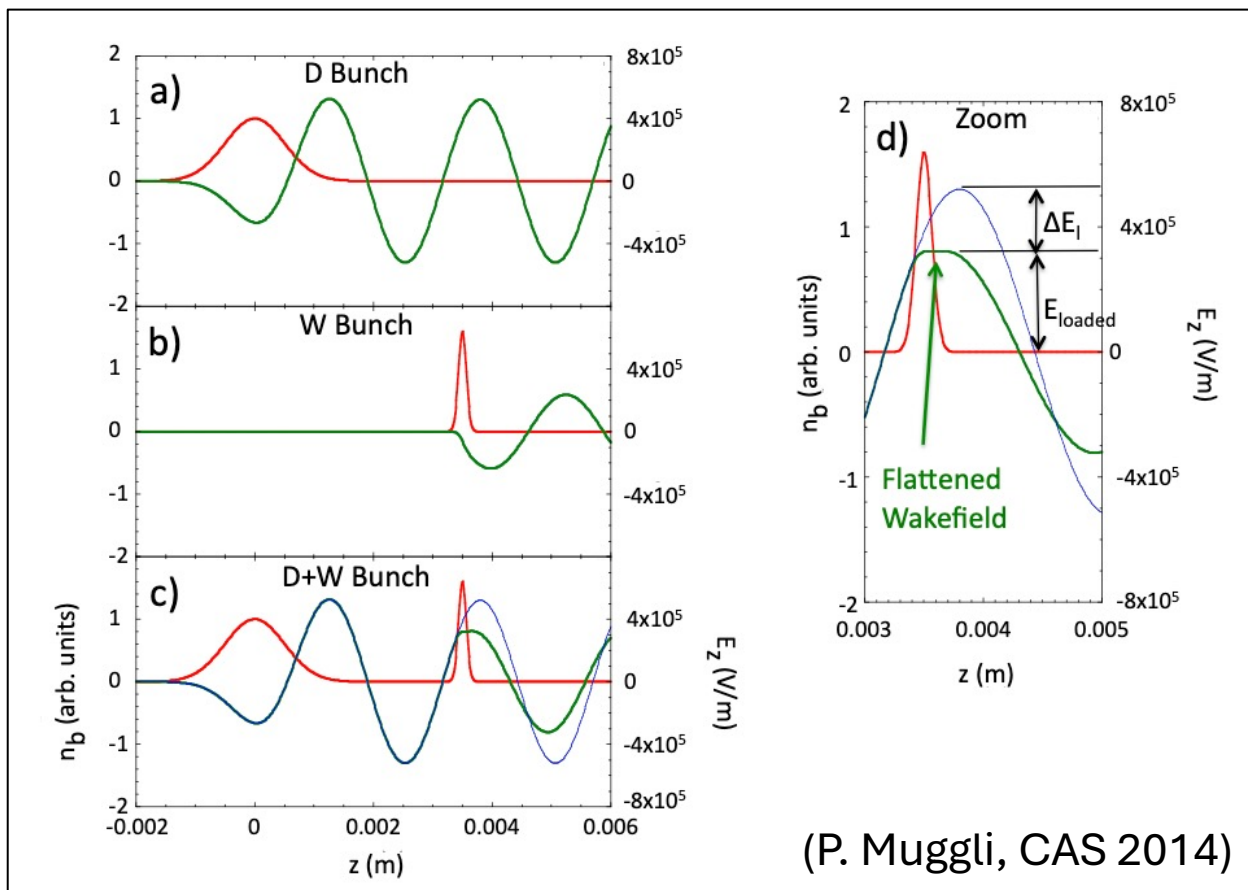
The bubble closes later

# II. Non-linear Regime – Beam Loading

## → BEAM LOADING:

The presence of the witness bunch affects the wakefields

Linear regime



## Non-linear regime

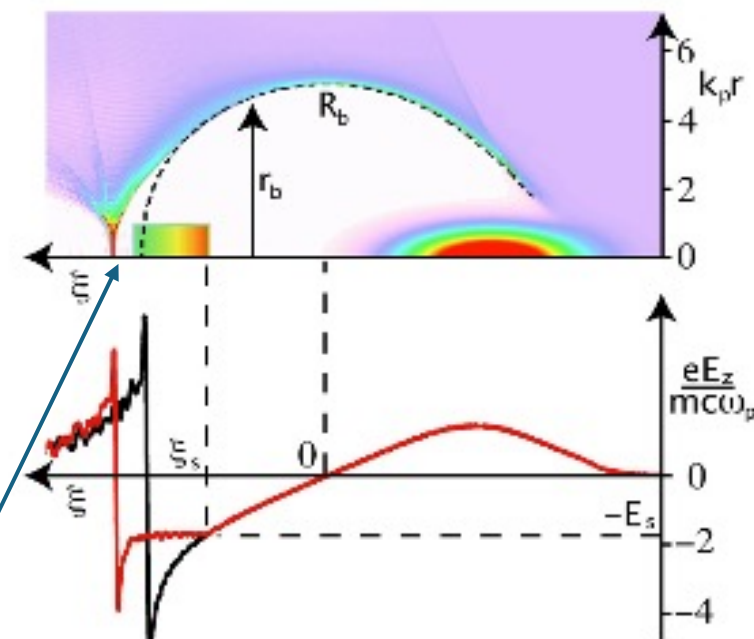
PRL 101, 145002 (2008)

PHYSICAL REVIEW LETTERS

week ending  
3 OCTOBER 2008

### Beam Loading in the Nonlinear Regime of Plasma-Based Acceleration

M. Tzoufras,<sup>1</sup> W. Lu,<sup>1</sup> F. S. Tsung,<sup>2</sup> C. Huang,<sup>2</sup> W. B. Mori,<sup>1,2</sup> T. Katsouleas,<sup>3</sup> J. Vieira,<sup>4</sup> R. A. Fonseca,<sup>4</sup> and L. O. Silva<sup>4</sup>



The bubble closes later

The point is: compromise on accelerating gradient → smaller energy spread

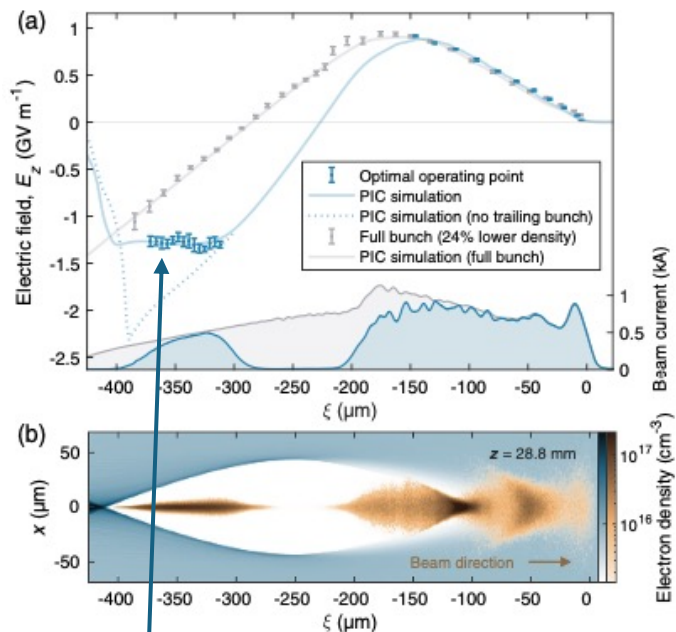
# II. Non-linear Regime – Beam Loading

## ➔ Experimental Demonstration:

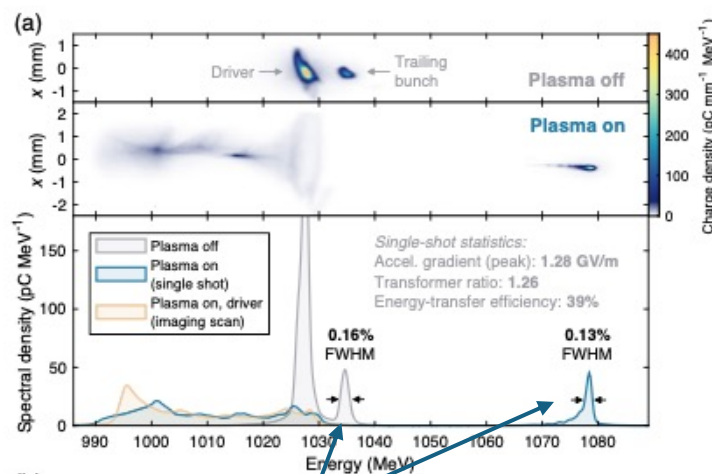
PHYSICAL REVIEW LETTERS **126**, 014801 (2021)

### Energy-Spread Preservation and High Efficiency in a Plasma-Wakefield Accelerator

C. A. Lindström<sup>1,\*</sup>, J. M. Garland<sup>1</sup>, S. Schröder<sup>1,2</sup>, L. Boulton<sup>1,3,4</sup>, G. Boyle<sup>1</sup>, J. Chappell<sup>5</sup>, R. D'Arcy<sup>1</sup>, P. Gonzalez<sup>1,2</sup>, A. Knetsch<sup>1,7</sup>, V. Libov<sup>1</sup>, G. Loisch<sup>1</sup>, A. Martinez de la Ossa<sup>1</sup>, P. Niknejadi<sup>1</sup>, K. Pöder<sup>1</sup>, L. Schaper<sup>1</sup>, B. Schmidt<sup>1</sup>, B. Sheeran<sup>1,2</sup>, S. Wesch<sup>1</sup>, J. Wood<sup>1</sup> and J. Osterhoff<sup>1</sup>



Flattened, loaded wake

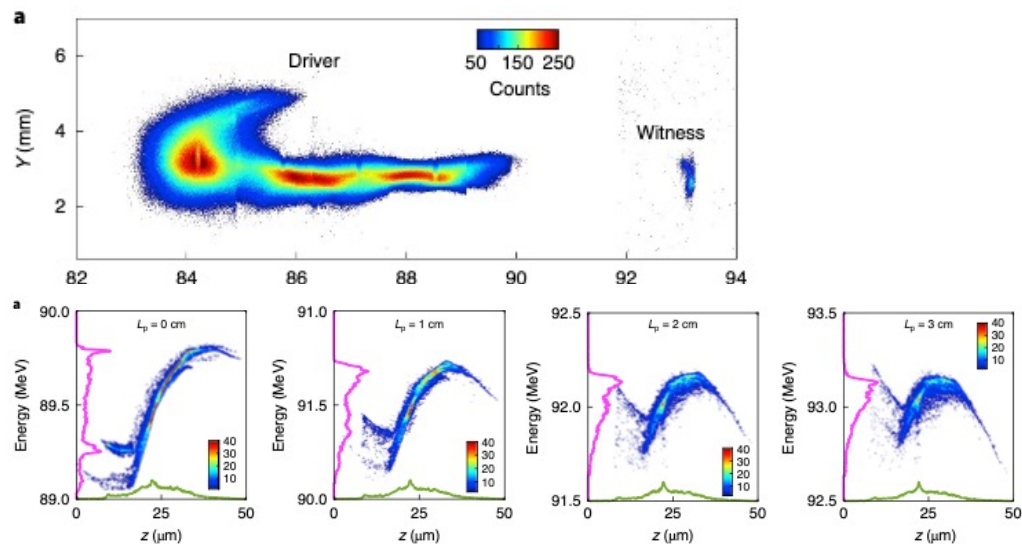


~GV/m acceleration  
Preserved relative energy spread



### Energy spread minimization in a beam-driven plasma wakefield accelerator

R. Pompili<sup>1,✉</sup>, D. Alesini<sup>1</sup>, M. P. Anania<sup>1</sup>, M. Behtouei<sup>1</sup>, M. Bellaveglia<sup>1</sup>, A. Biagioni<sup>1</sup>, F. G. Bisesto<sup>1</sup>, M. Cesarini<sup>1,2</sup>, E. Chiadroni<sup>1</sup>, A. Cianchi<sup>3</sup>, G. Costa<sup>1</sup>, M. Croia<sup>1</sup>, A. Del Dotto<sup>1</sup>, D. Di Giovenale<sup>1</sup>, M. Diomedè<sup>1</sup>, F. Dipace<sup>1</sup>, M. Ferrario<sup>1</sup>, A. Giribono<sup>1</sup>, V. Lollo<sup>1</sup>, L. Magnisi<sup>1</sup>, M. Marongiu<sup>1</sup>, A. Mostacci<sup>2</sup>, L. Piersanti<sup>1</sup>, G. Di Pirro<sup>1</sup>, S. Romeo<sup>1</sup>, A. R. Rossi<sup>4</sup>, J. Scifo<sup>1</sup>, V. Shpakov<sup>1</sup>, C. Vaccarezza<sup>1</sup>, F. Villa<sup>1</sup> and A. Zigler<sup>1,5</sup>



Combination of beam loading and initial chirp to obtain final small energy spread

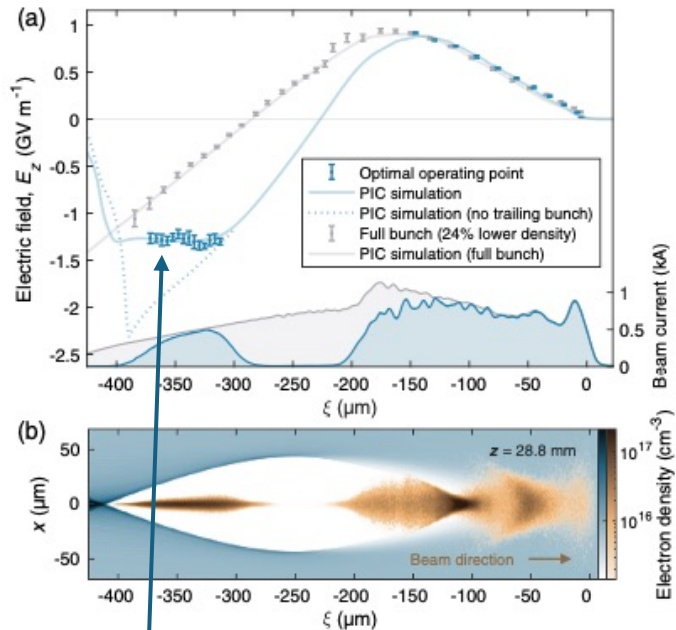
# II. Non-linear Regime – Beam Loading

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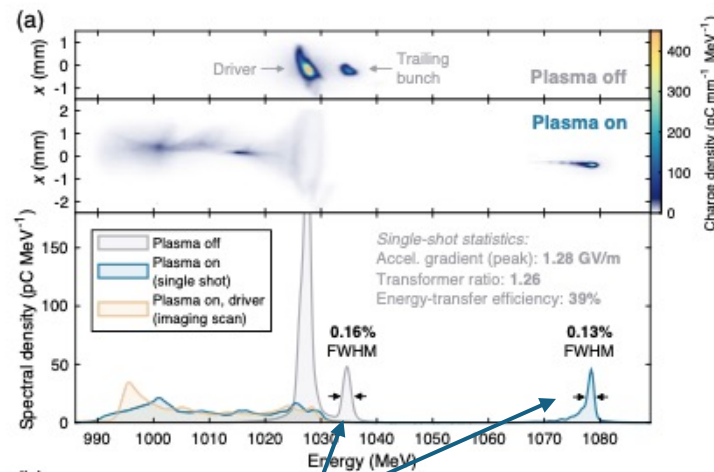
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Flattened, loaded wake



~GV/m acceleration  
Preserved relative energy spread

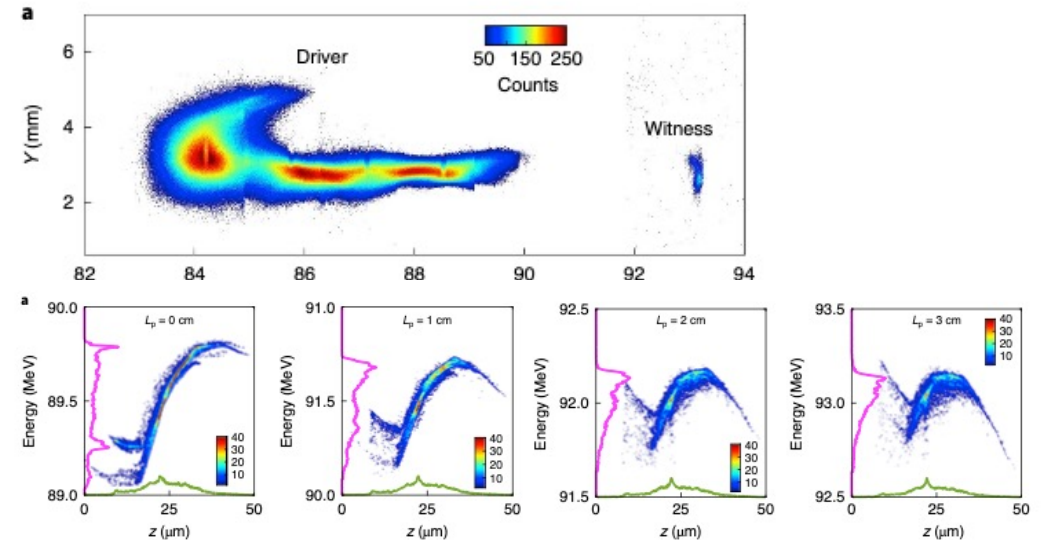
Transformer ratio  $R = \frac{E_+}{E_-}$  still limited to 2

➔ Non-trivial solutions: active reaserch topic

nature physics LETTERS  
https://doi.org/10.1038/s41567-020-01116-9

### Energy spread minimization in a beam-driven plasma wakefield accelerator

R. Pompili<sup>1✉</sup>, D. Alesini<sup>1</sup>, M. P. Anania<sup>1</sup>, M. Behtouei<sup>1</sup>, M. Bellaveglia<sup>1</sup>, A. Biagioni<sup>1</sup>, F. G. Bisesto<sup>1</sup>, M. Cesarini<sup>1,2</sup>, E. Chiadroni<sup>1</sup>, A. Cianchi<sup>3</sup>, G. Costa<sup>1</sup>, M. Croia<sup>1</sup>, A. Del Dotto<sup>1</sup>, D. Di Giovenale<sup>1</sup>, M. Diomedè<sup>1</sup>, F. Dipace<sup>1</sup>, M. Ferrario<sup>1</sup>, A. Giribono<sup>1</sup>, V. Lollo<sup>1</sup>, L. Magnisi<sup>1</sup>, M. Marongiu<sup>1</sup>, A. Mostacci<sup>2</sup>, L. Piersanti<sup>1</sup>, G. Di Pirro<sup>1</sup>, S. Romeo<sup>1</sup>, A. R. Rossi<sup>4</sup>, J. Scifo<sup>1</sup>, V. Shpakov<sup>1</sup>, C. Vaccarezza<sup>1</sup>, F. Villa<sup>1</sup> and A. Zigler<sup>1,5</sup>



Combination of beam loading and initial chirp to obtain final small energy spread

## II. Non-linear Regime – Focusing

→ Ion column provides linear focusing force

Radial electric field:  $E_r(r) = \frac{en_{pe}}{2\epsilon_0} r$  (Gauss' law on cylinder of ions)

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→ Plug it in envelope equation:  $\sigma_r''(z) + \sigma_r(z) \underbrace{\left( K - \frac{\epsilon_g^2}{\sigma_r^4(z)} \right)}_{=0} = 0$

Matching condition →

Equilibrium  
between focusing  
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- $\beta = \frac{\sigma^2(0)}{\epsilon_g} = \sqrt{\frac{2\epsilon_0 m_e c^2 \gamma}{n_{pe} e^2}}$
- Injection at waist:  $\sigma'(z = 0) = 0$

Note: the matching condition is given by  $\beta$ !

→ Stronger focusing required for higher density



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→ Ion column provides linear focusing force

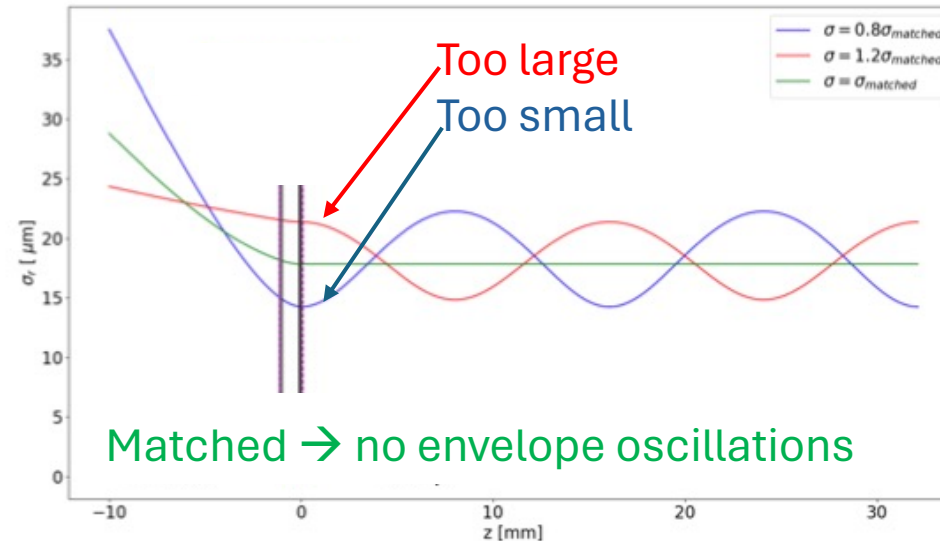
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(L. Verra et al 2020 J. Phys.: Conf. Ser. 1596 012007)

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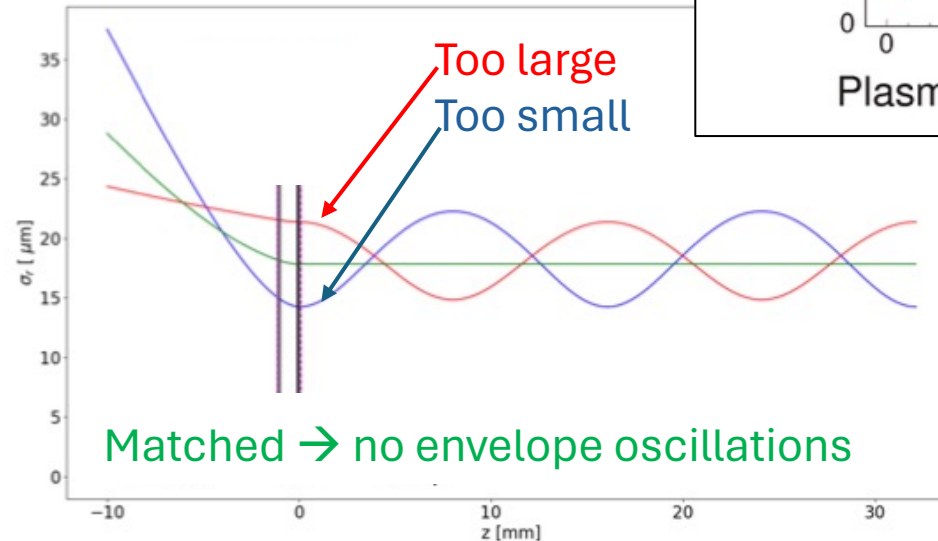
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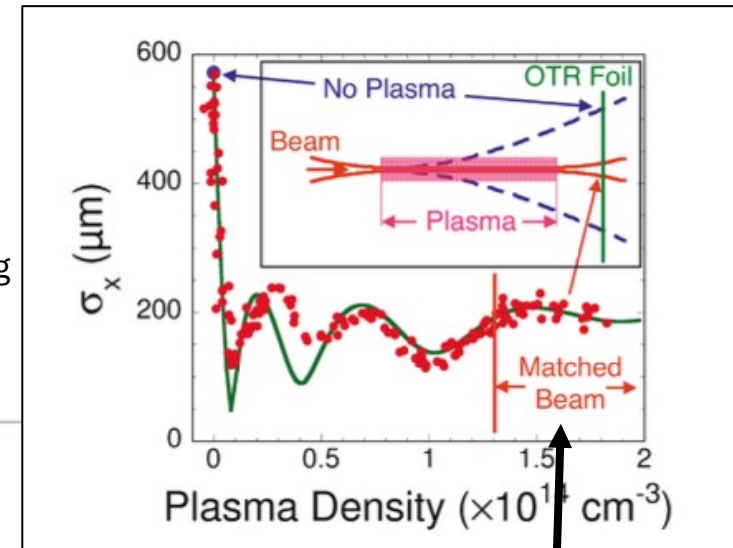


(L. Verra et al 2020 J. Phys.: Conf. Ser. 1596 012007)

VOLUME 93, NUMBER 1      PHYSICAL REVIEW LETTERS      week ending 2 JULY 2004

**Meter-Scale Plasma-Wakefield Accelerator Driven by a Matched Electron Beam**

P. Muggli,<sup>1</sup> B. E. Blue,<sup>2</sup> C. E. Clayton,<sup>2</sup> S. Deng,<sup>1</sup> F.-J. Decker,<sup>3</sup> M. J. Hogan,<sup>3</sup> C. Huang,<sup>2</sup> R. Iverson,<sup>3</sup> C. Joshi,<sup>2</sup> T. C. Katsouleas,<sup>1</sup> S. Lee,<sup>1</sup> W. Lu,<sup>2</sup> K. A. Marsh,<sup>2</sup> W. B. Mori,<sup>2</sup> C. L. O'Connell,<sup>3</sup> P. Raimondi,<sup>3</sup> R. Siemann,<sup>3</sup> and D. Walz<sup>3</sup>



Beam leaves the plasma at waist  
 → matched  
 → constant size

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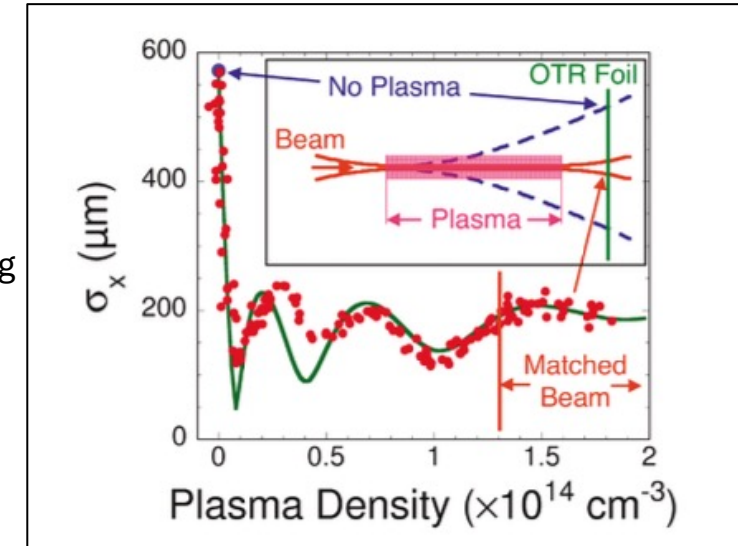
Equilibrium  
between focusing  
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**Q: WHY IS IMPORTANT TO MATCH THE BEAM ENVELOPE?**

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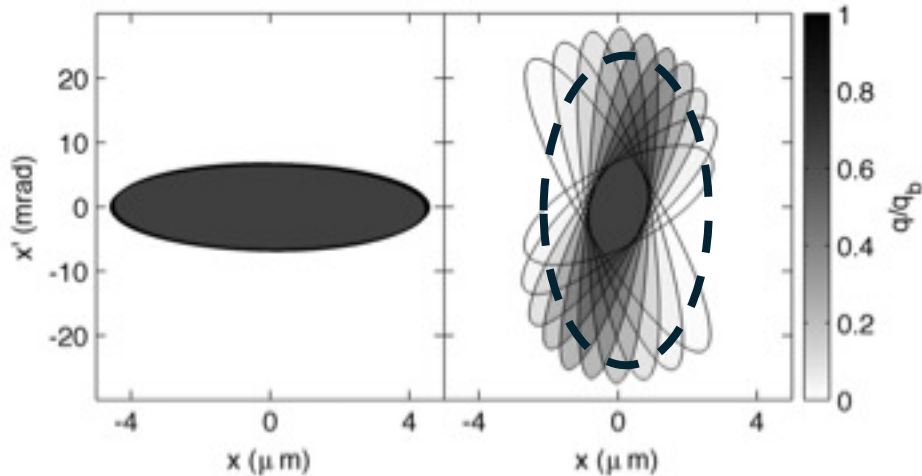
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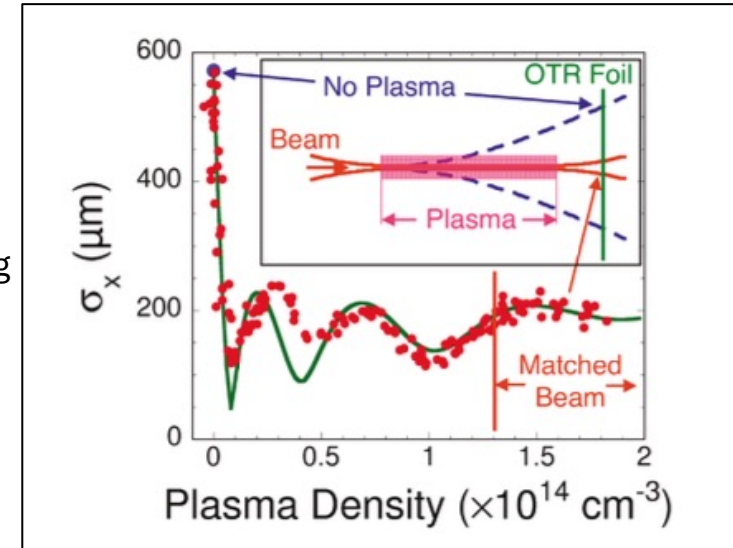


- Finite energy spread:
  - different energy slices rotate at different rates in transverse phase space
  - slice emittance preserved (linear focusing)
  - projected (i.e., overall) emittance grows!

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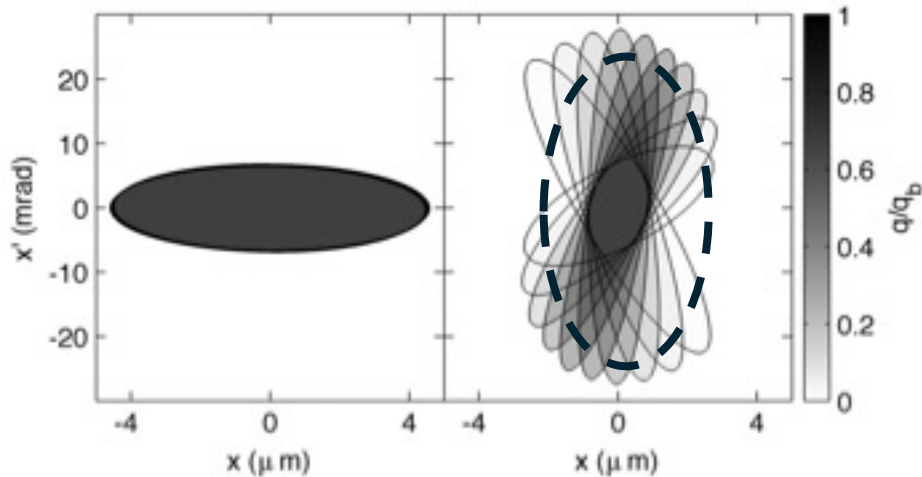
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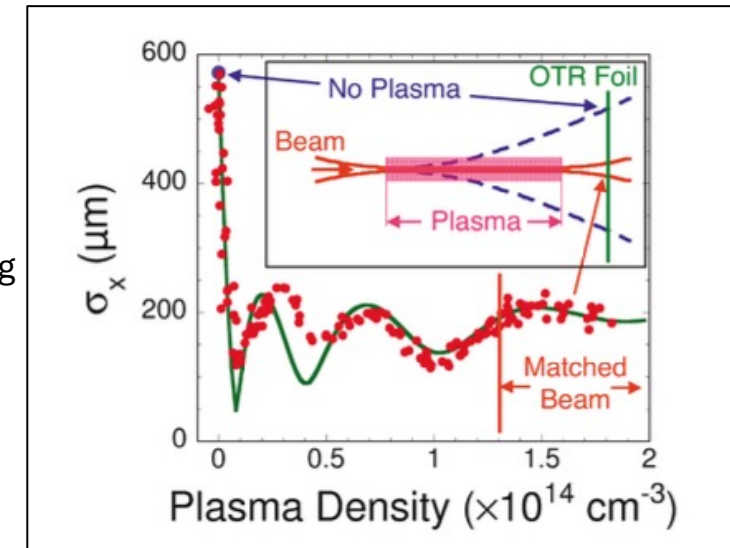
**Active Research Topic**

use phase space

VOLUME 93, NUMBER 1      PHYSICAL REVIEW LETTERS      week ending 2 JULY 2004

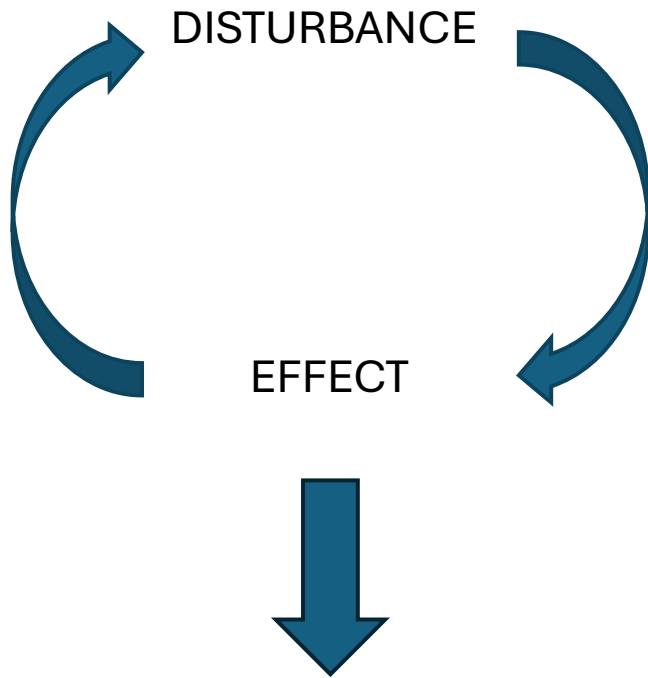
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# III. Beam-Plasma Instabilities

- When the bunch is long and/or wide enough, beam-plasma instabilities may arise
- Need a positive feedback loop for the instability to grow



Until saturation is reached

Plasma Physics, Vol. 9, pp. 301 to 337. Pergamon Press 1967. Printed in Northern Ireland

## EXPERIMENTAL EVIDENCE OF PLASMA INSTABILITIES\*

B. LEHNERT

Royal Institute of Technology, Stockholm, Sweden

PHYSICS OF PLASMAS 17, 120501 (2010)

## Multidimensional electron beam-plasma instabilities in the relativistic regime

A. Bret,<sup>1,a)</sup> L. Gremillet,<sup>2,b)</sup> and M. E. Dieckmann<sup>3,c)</sup>

# III. Beam-Plasma Instabilities – SMI

- Long bunch case:  $\sigma_z \gg \lambda_{pe}$

→ Wakefields act on the bunch itself

PRL **104**, 255003 (2010) PHYSICAL REVIEW LETTERS week ending  
25 JUNE 2010

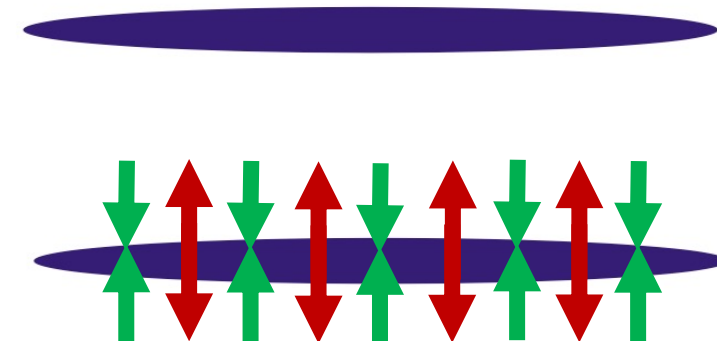
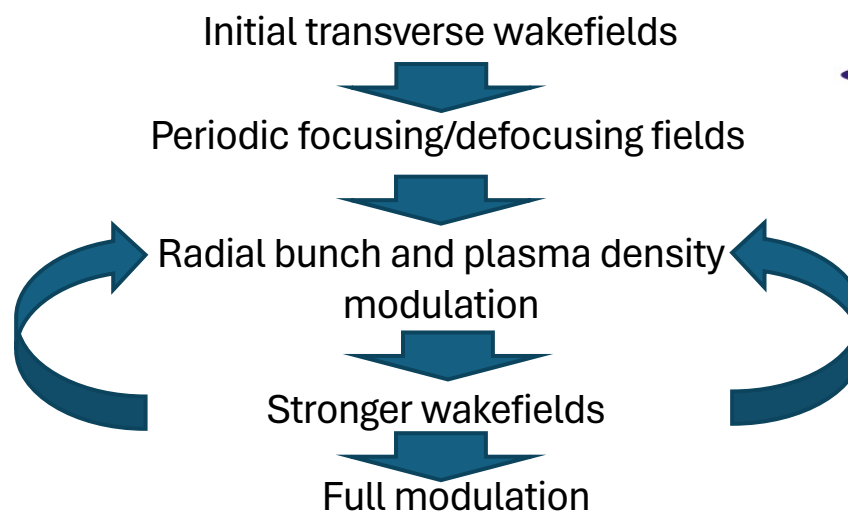
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**Self-Modulation Instability of a Long Proton Bunch in Plasmas**

Naveen Kumar\* and Alexander Pukhov  
*Institut für Theoretische Physik I, Heinrich-Heine-Universität, Düsseldorf D-40225 Germany*

Konstantin Lotov  
*Budker Institute of Nuclear Physics and Novosibirsk State University, 630090 Novosibirsk, Russia*

## Growth mechanism



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PRL 104, 255003 (2010)

PHYSICAL REVIEW LETTERS

week ending  
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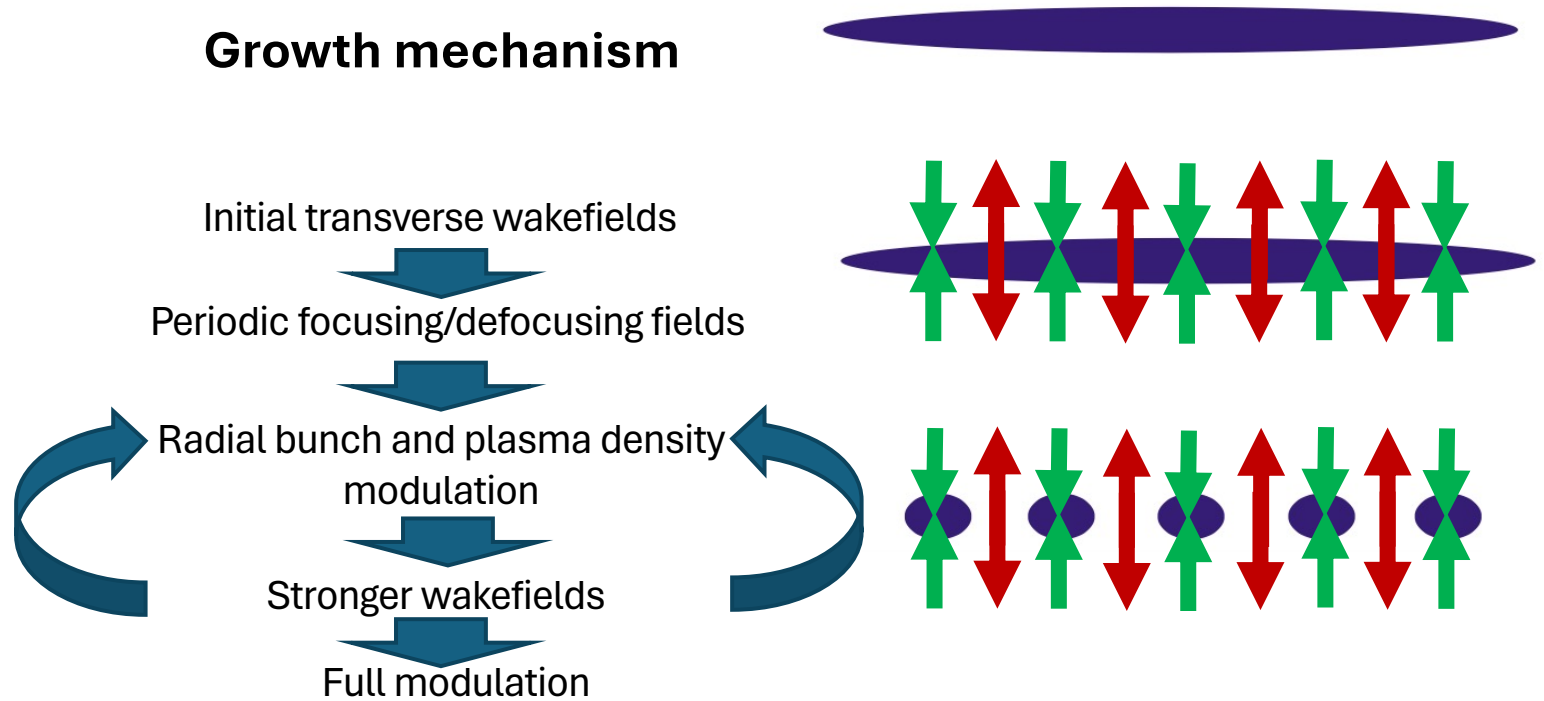
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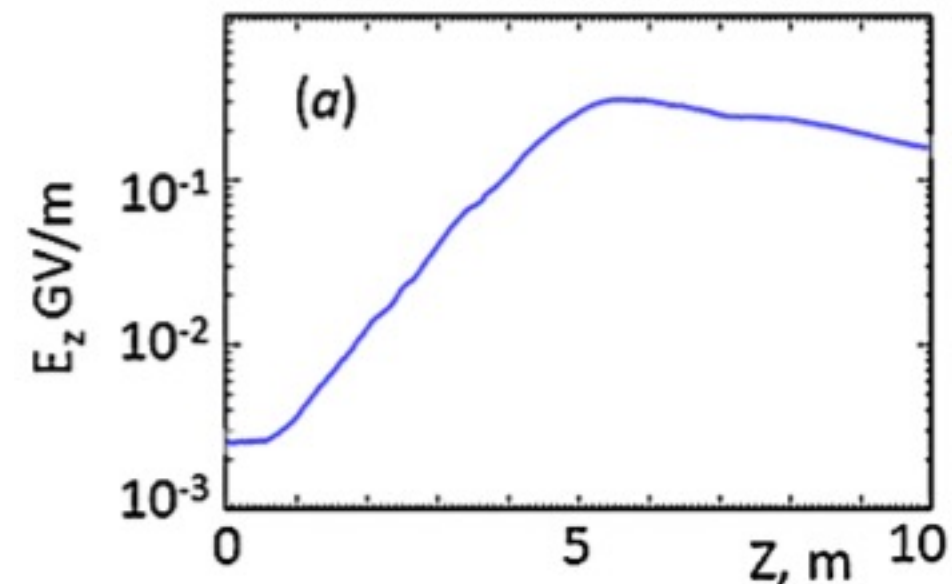
PRL 107, 145003 (2011)

PHYSICAL REVIEW LETTERS

week ending  
30 SEPTEMBER 2011

## Phase Velocity and Particle Injection in a Self-Modulated Proton-Driven Plasma Wakefield Accelerator

A. Pukhov,<sup>1</sup> N. Kumar,<sup>1</sup> T. Tückmantel,<sup>1</sup> A. Upadhyay,<sup>1</sup> K. Lotov,<sup>2</sup> P. Muggli,<sup>3</sup> V. Khudik,<sup>4</sup> C. Siemon,<sup>4</sup> and G. Shvets<sup>4</sup>

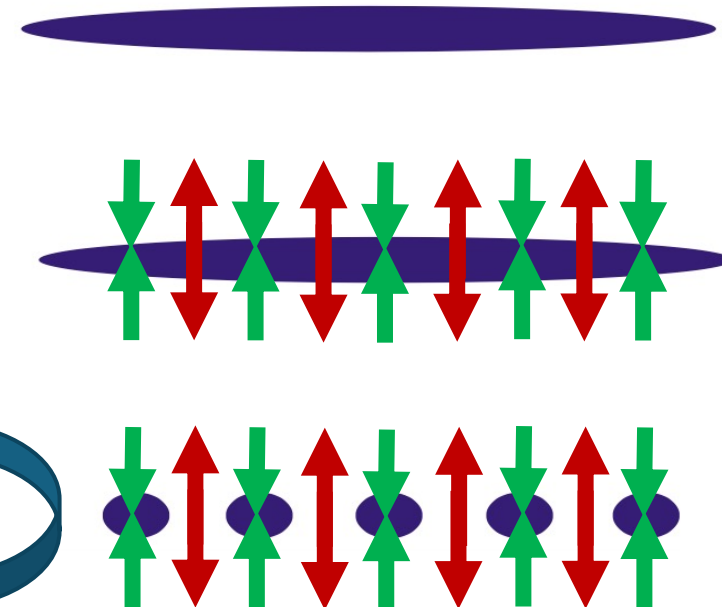


Periodic focusing/defocusing fields

Radial bunch and plasma density modulation

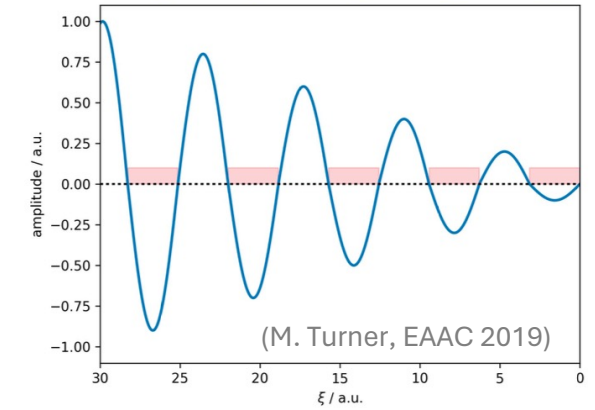
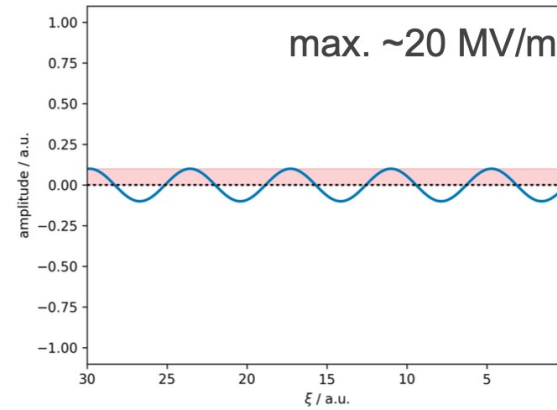
Stronger wakefields

Full modulation



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- Long bunch case:  $\sigma_z \gg \lambda_{pe}$ 
  - Wakefields act on the bunch itself
  - Resonant wakefield excitation
    - interesting for high-gradient acceleration (AWAKE: see Edda Gschwendtner's talk)



PHYSICAL REVIEW LETTERS **122**, 054802 (2019)

Editors' Suggestion Featured in Physics

Experimental Observation of Proton Bunch Modulation in a Plasma at Varying Plasma Densities

(AWAKE Collaboration)

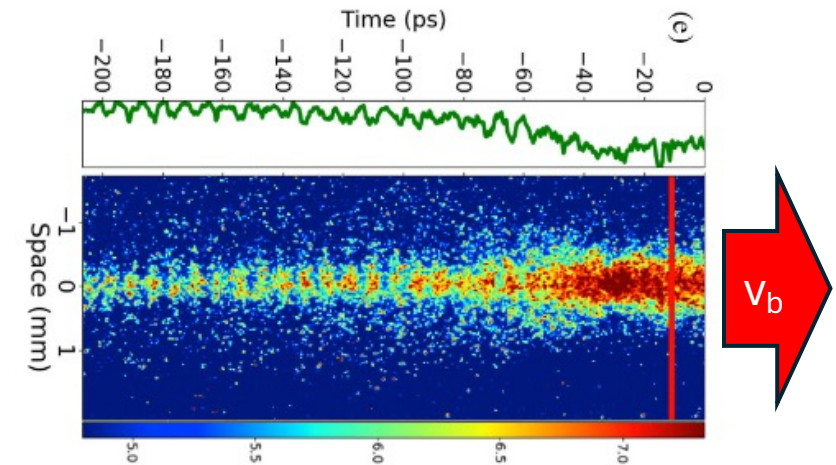
PHYSICAL REVIEW LETTERS **122**, 054801 (2019)

Editors' Suggestion Featured in Physics

Experimental Observation of Plasma Wakefield Growth Driven by the Seeded Self-Modulation of a Proton Bunch

(AWAKE Collaboration)

SMI demonstration



LETTER

OPEN

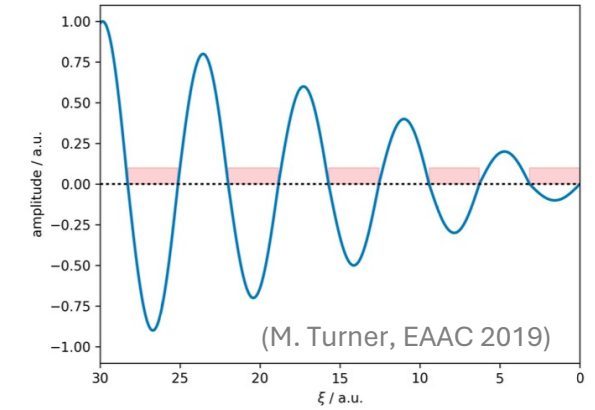
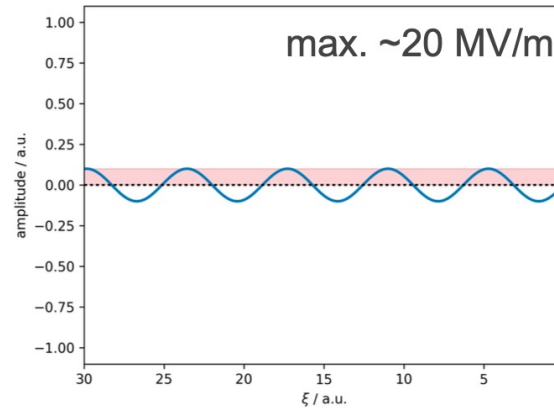
<https://doi.org/10.1038/s41586-018-0485-4>

Acceleration of electrons in the plasma wakefield of a proton bunch

(AWAKE Collaboration)

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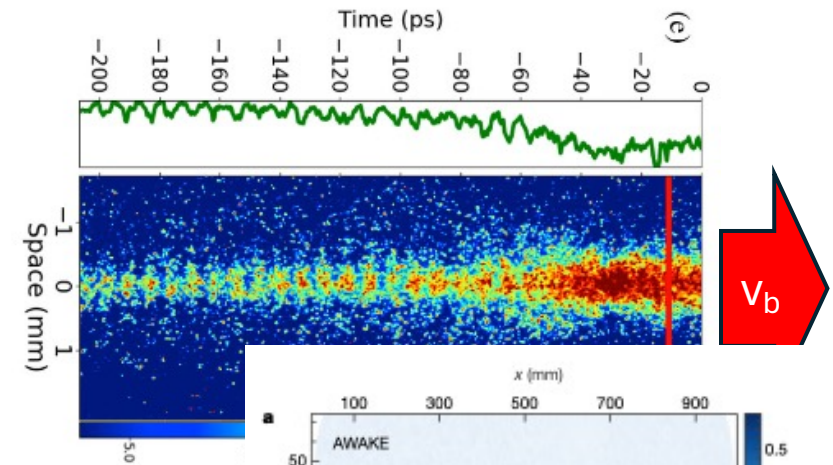
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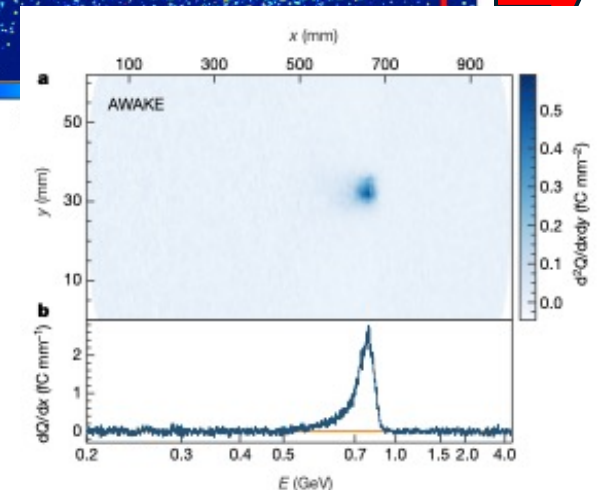
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SMI demonstration



Injection and acceleration



LETTER

OPEN

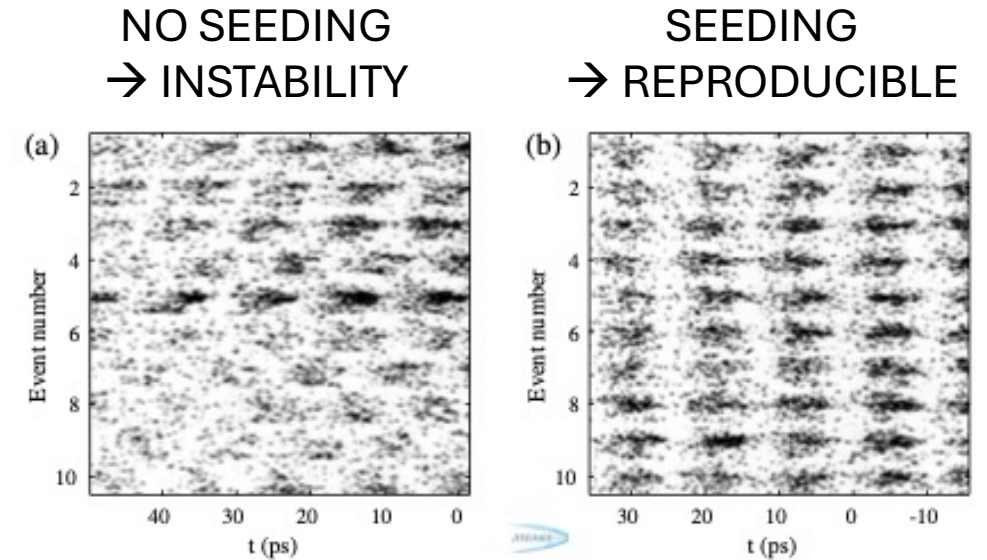
<https://doi.org/10.1038/s41586-018-0485-4>

**Acceleration of electrons in the plasma wakefield of a proton bunch**

(AWAKE Collaboration)

# III. Beam-Plasma Instabilities – SMI

- Long bunch case:  $\sigma_z \gg \lambda_{pe}$ 
  - Wakefields act on the bunch itself
  - Resonant wakefield excitation
    - interesting for high-gradient acceleration (AWAKE: see Edda Gschwendtner's talk)
- but CONTROL is needed!
- SEEDING: DRIVING INITIAL WAKEFIELDS LARGE ENOUGH



PHYSICAL REVIEW LETTERS 126, 164802 (2021)

(AWAKE Collaboration)

**Transition between Instability and Seeded Self-Modulation  
of a Relativistic Particle Bunch in Plasma**

F. Batsch,<sup>1</sup> P. Muggli,<sup>1</sup> R. Agnello,<sup>2</sup> C. C. Ahlida,<sup>3</sup> M. C. Amoedo Goncalves,<sup>3</sup> Y. Andrebe,<sup>2</sup> O. Apsimon,<sup>4,5</sup>

# III. Beam-Plasma Instabilities – SMI

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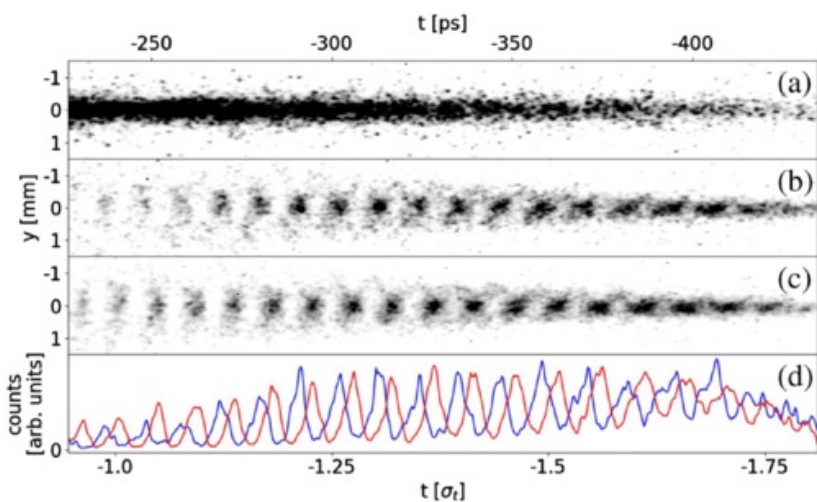
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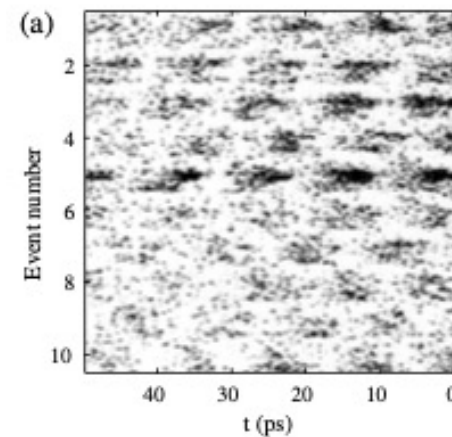
→ but CONTROL is needed!

→ SEEDING: DRIVING INITIAL WAKEFIELDS LARGE ENOUGH

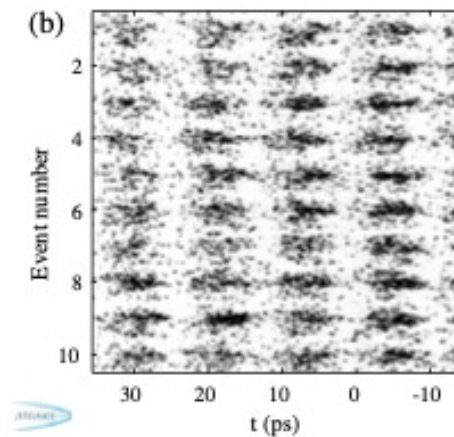


Seeding with wakefields driven  
by preceding electron bunch  
→ Phase defined by seed  
bunch

NO SEEDING  
→ INSTABILITY



SEEDING  
→ REPRODUCIBLE



PHYSICAL REVIEW LETTERS 126, 164802 (2021)

(AWAKE Collaboration)

**Transition between Instability and Seeded Self-Modulation  
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F. Batsch,<sup>1</sup> P. Muggli,<sup>1</sup> R. Agnello,<sup>2</sup> C. C. Ahdida,<sup>3</sup> M. C. Amoedo Goncalves,<sup>3</sup> Y. Andrebe,<sup>2</sup> O. Apsimon,<sup>4,5</sup>

PHYSICAL REVIEW LETTERS 129, 024802 (2022)

Editors' Suggestion

Featured in Physics

**Controlled Growth of the Self-Modulation of a Relativistic Proton Bunch in Plasma**

L. Verra,<sup>1,2,3,\*</sup> G. Zevi Della Porta,<sup>1</sup> J. Pucek,<sup>2</sup> T. Nechaeva,<sup>2</sup> S. Wyler,<sup>4</sup> M. Bergamaschi,<sup>2</sup> E. Senes,<sup>1</sup>  
E. Guran,<sup>1</sup> J. T. Moody,<sup>2</sup> M. Á. Kedes,<sup>5</sup> E. Gschwendtner,<sup>1</sup> and P. Muggli<sup>2</sup>

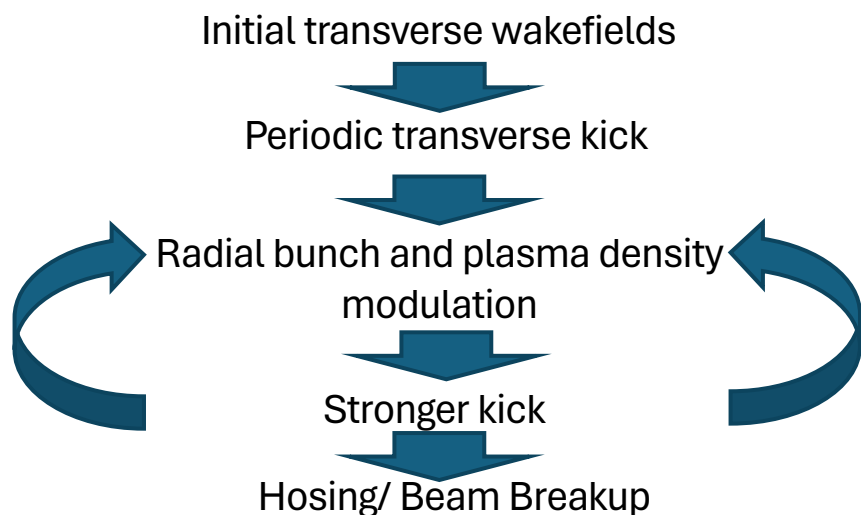
(AWAKE Collaboration)

# III. Beam-Plasma Instabilities – HOSING

- Long bunch case:  $\sigma_z \gg \lambda_{pe}$

- Wakefields act on the bunch itself
- Resonant wakefield excitation

## Growth mechanism



Asymmetric version of SMI

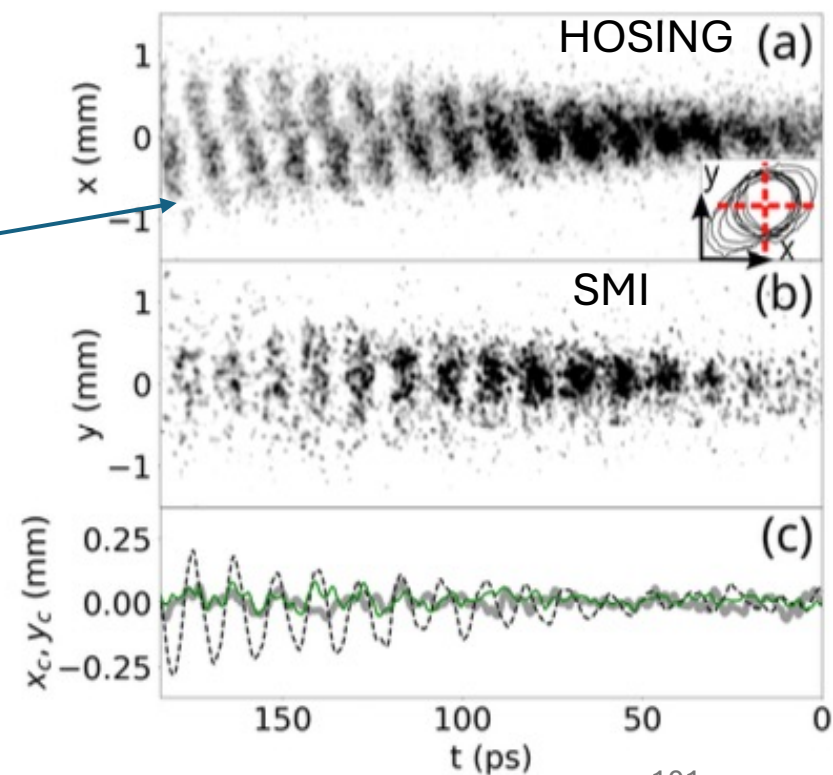
UNWANTED for an accelerator,  
but important to understand

PHYSICAL REVIEW LETTERS 132, 075001 (2024)

**Hosing of a Long Relativistic Particle Bunch in Plasma**

T. Nechaeva<sup>1,\*</sup> L. Verra,<sup>2</sup> J. Pucek,<sup>1</sup> L. Ranc,<sup>1</sup> M. Bergamaschi,<sup>1</sup> G. Zevi Della Porta,<sup>1,2</sup> P. Muggli,<sup>1</sup>

(AWAKE Collaboration)



**Active Research Topic**

# III. Beam-Plasma Instabilities – CFI

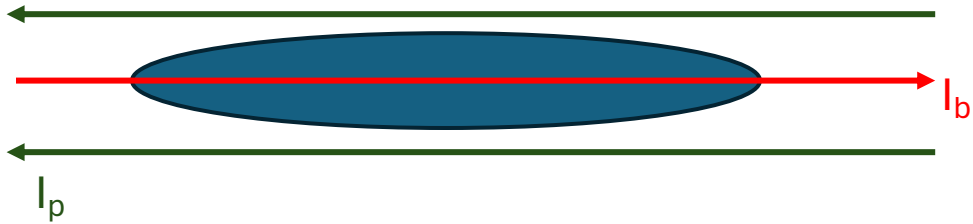
- WIDE bunch case:  $\sigma_r \gg \lambda_{pe}$

→ Plasma tends to neutralize the bunch current

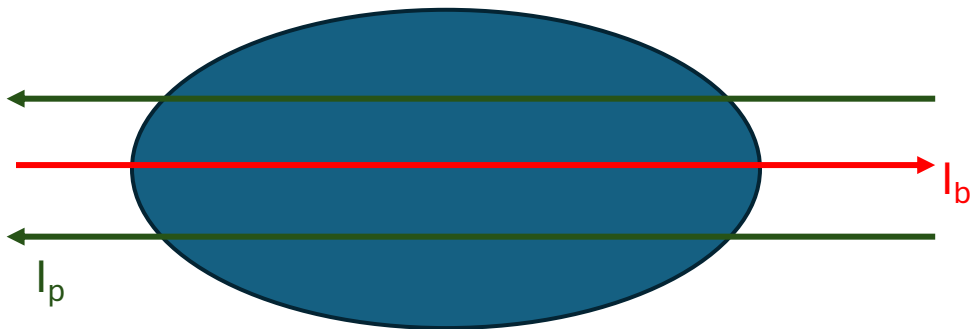
→ Return current flows within the bunch if  $\sigma_r \gg \frac{c}{\omega_{pe}}$  = plasma skin depth

→ Opposite currents tend to repel each other → formation of filaments

Narrow Bunch



Wide Bunch



# III. Beam-Plasma Instabilities – CFI

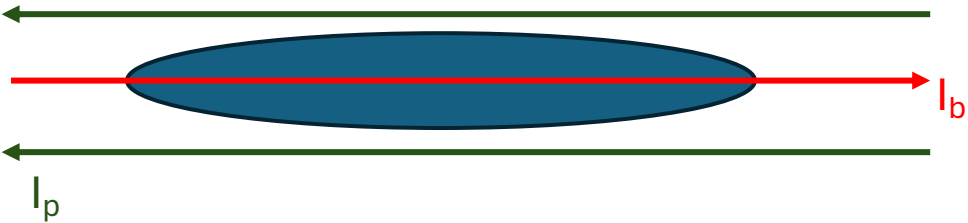
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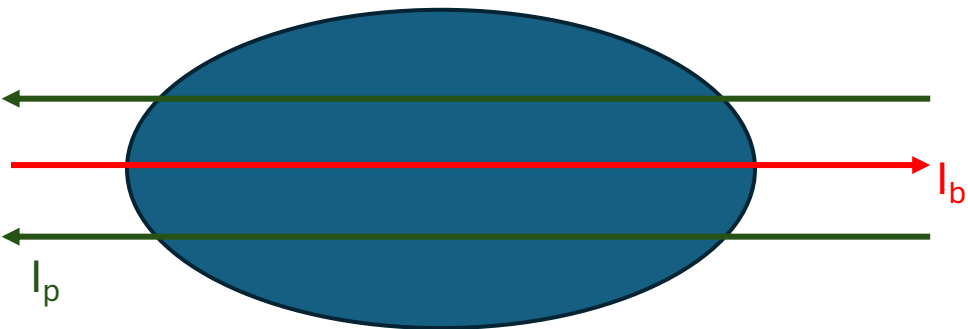
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Narrow Bunch



Wide Bunch



PRL 109, 185007 (2012)

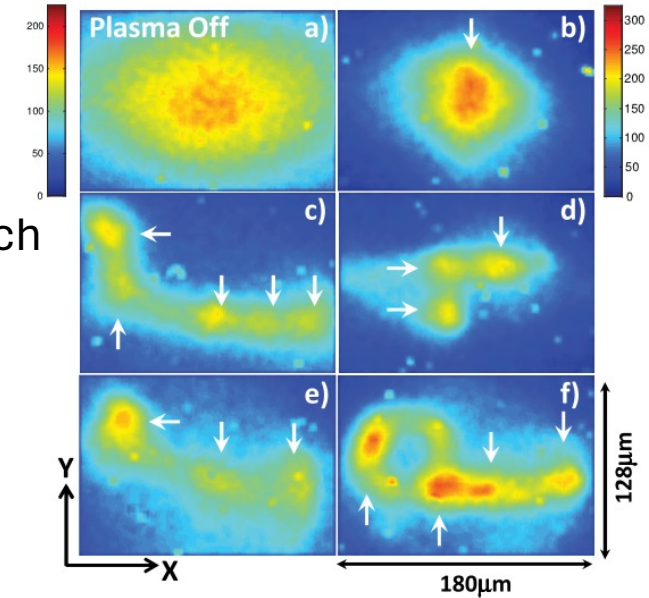
PHYSICAL REVIEW LETTERS

week ending  
2 NOVEMBER 2012

## Experimental Study of Current Filamentation Instability

B. Allen,<sup>1,\*</sup> V. Yakimenko,<sup>2</sup> M. Babzien,<sup>2</sup> M. Fedurin,<sup>2</sup> K. Kutsche,<sup>2</sup> and P. Muggli<sup>3,1</sup>

Electron bunch





# III. Beam-Plasma Instabilities – CFI

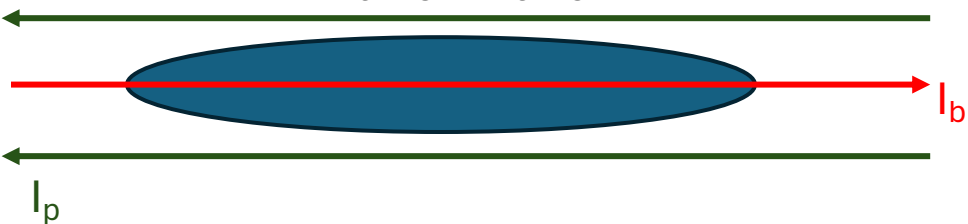
- WIDE bunch case:  $\sigma_r \gg \lambda_{pe}$

→ Plasma tends to neutralize the bunch current

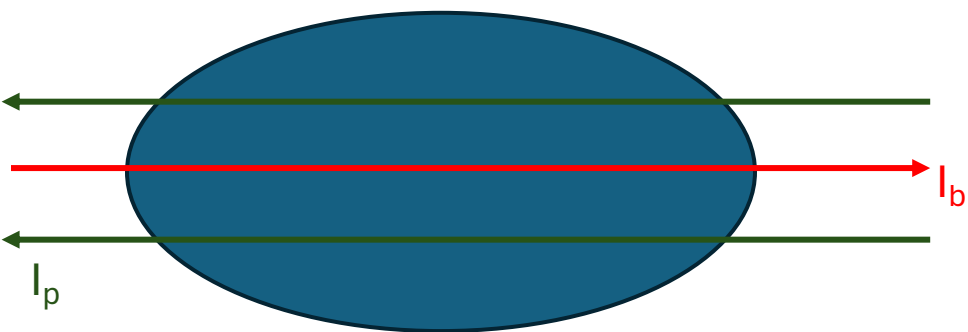
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Narrow Bunch



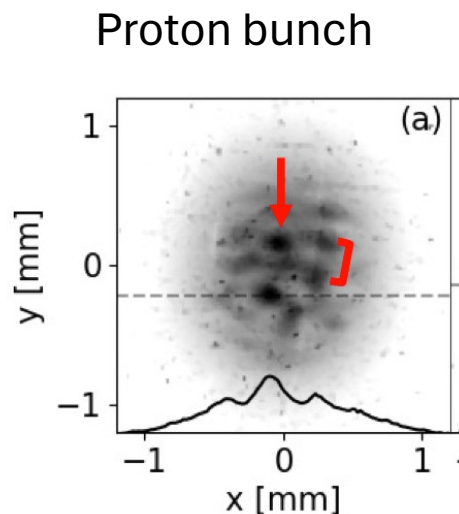
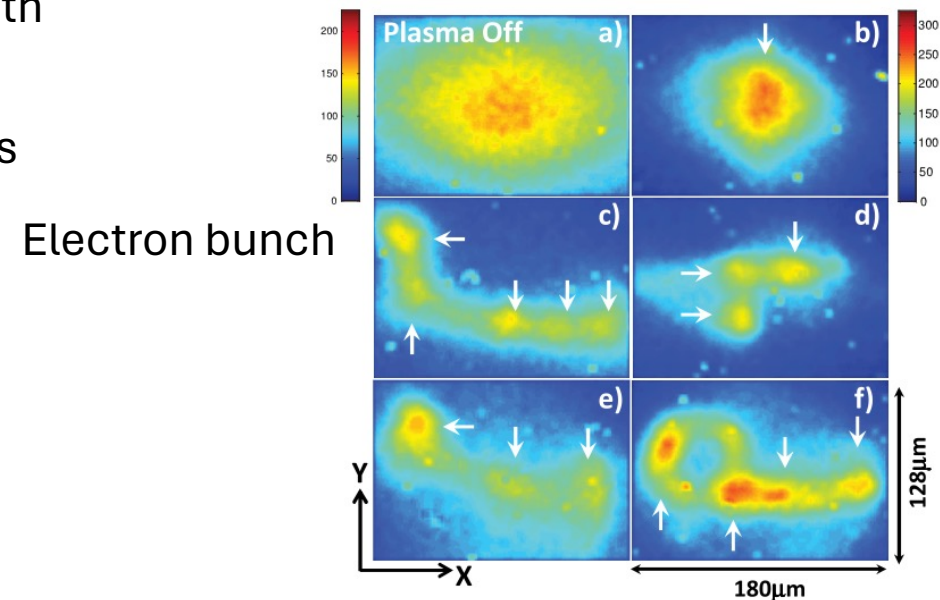
Wide Bunch



PRL 109, 185007 (2012) PHYSICAL REVIEW LETTERS week ending 2 NOVEMBER 2012

**Experimental Study of Current Filamentation Instability**

B. Allen,<sup>1,\*</sup> V. Yakimenko,<sup>2</sup> M. Babzien,<sup>2</sup> M. Fedurin,<sup>2</sup> K. Kusche,<sup>2</sup> and P. Muggli<sup>3,1</sup>



**Filamentation of a Relativistic Proton Bunch in Plasma**

L. Verra,<sup>1,✉</sup> C. Amoedo,<sup>1</sup> N. Torrado,<sup>1,2</sup> A. Clairembaud,<sup>1</sup> J. Mezger,<sup>3</sup> F. Pannell,<sup>4</sup> J. Pucek,<sup>3</sup> N. van Gils,<sup>1</sup> M. Bergamaschi,<sup>3</sup> G. Zevi Della Porta,<sup>1,3</sup> N. Lopes,<sup>2</sup> A. Sublet,<sup>1</sup> M. Turner,<sup>1</sup> E. Gschwendtner,<sup>1</sup> and P. Muggli<sup>3</sup>  
(AWAKE Collaboration)

(Accepted Phys. Rev. E)

**Active Research Topic**

- UNWANTED for PWFA (upper limit for  $\sigma_r$ )
- Relevant for plasma-astrophysics

# Conclusions

- PWFA is an active (and mature) field of research
- Demonstration of very high energy gains
- Quite some work on beam quality
- NOW: working towards real applications

→ Light sources

→ High-energy particle physics

- Your help is needed!!

- All references here:

[https://istnazfisnucl-my.sharepoint.com/:f:/g/personal/lverra\\_inf\\_nucl\\_inf\\_nucl/EuyCJFCNnxdDsp99Fh5icw4BmlywN4a2mYmevjnhvxkeCQ?e=tZ8ShE](https://istnazfisnucl-my.sharepoint.com/:f:/g/personal/lverra_inf_nucl_inf_nucl/EuyCJFCNnxdDsp99Fh5icw4BmlywN4a2mYmevjnhvxkeCQ?e=tZ8ShE)

Thank You For Listening!

# Additional Material

# II. Non-linear Regime – Transformer Ratio

Transformer ratio  $R = \frac{E_+}{E_-}$  still limited to 2

→ How can it be increased?

VOLUME 56, NUMBER 12      PHYSICAL REVIEW LETTERS      24 MARCH 1986

## Energy Transfer in the Plasma Wake-Field Accelerator

Pisin Chen,<sup>(a)</sup> J. J. Su, and J. M. Dawson

*Department of Physics, University of California, Los Angeles, California 90024*

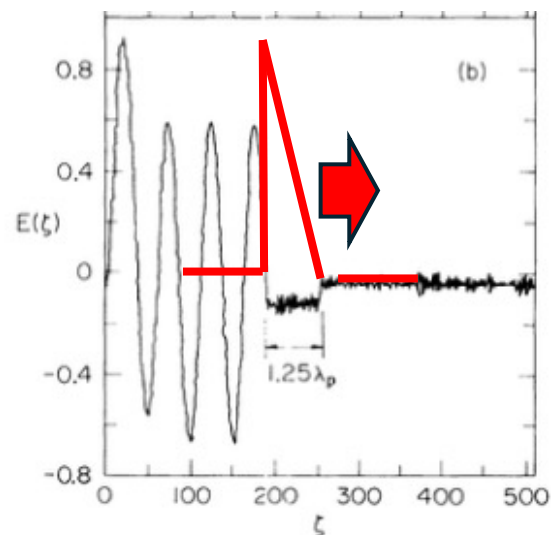
and

K. L. F. Bane and P. B. Wilson

*Stanford Linear Accelerator Center, Stanford University, Stanford, California 94305*

(Received 16 September 1985)

The maximum possible transformer ratio for a bunch with given length and total charge corresponds to that charge distribution which causes all particles in the bunch to see the same retarding field



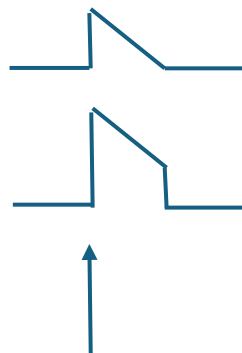
# II. Non-linear Regime – Transformer Ratio

Transformer ratio  $R = \frac{E_+}{E_-}$  still limited to 2

→ How can it be increased?

## 1) Shaping the drive bunch distribution

Triangular / trapezoidal longitudinal distribution



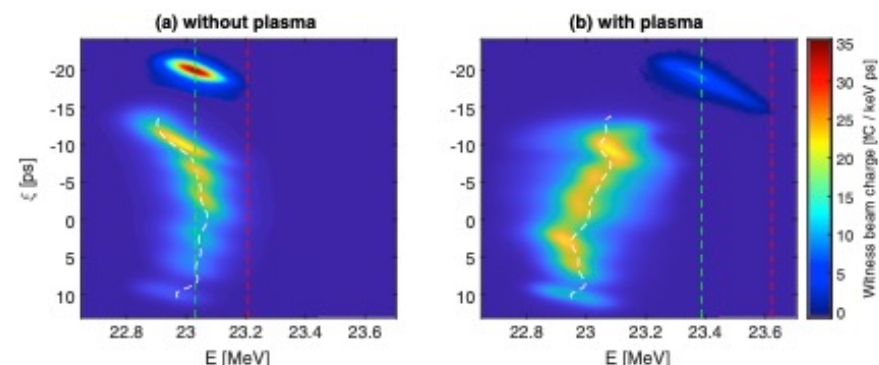
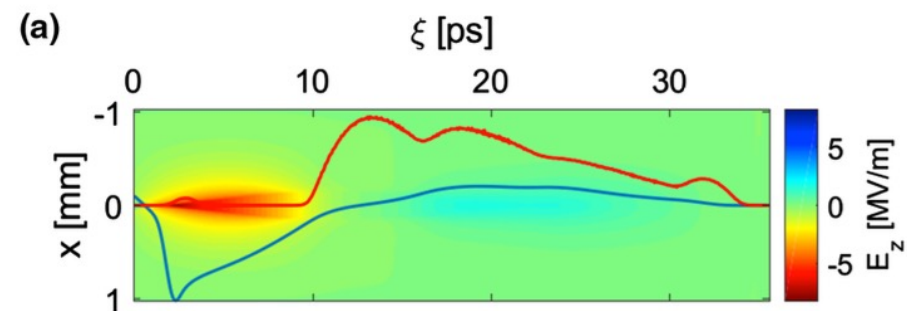
Abrupt down ramp, much shorter than  $\lambda_{pe}$  is key for effective excitation

→ Challenging to obtain experimentally

PHYSICAL REVIEW LETTERS 121, 064801 (2018)

**Observation of High Transformer Ratio Plasma Wakefield Acceleration**

Gregor Loisch,<sup>1,\*</sup> Galina Asova,<sup>1,2</sup> Prach Boonpornprasert,<sup>1</sup> Reinhard Brinkmann,<sup>3</sup> Ye Chen,<sup>1</sup> Johannes Engel,<sup>1</sup> James Good,<sup>1</sup> Matthias Gross,<sup>1</sup> Florian Grüner,<sup>4,5</sup> Holger Huck,<sup>1</sup> Davit Kalantaryan,<sup>1</sup> Mikhail Krasilnikov,<sup>1</sup> Osip Lishilin,<sup>1</sup> Alberto Martinez de la Ossa,<sup>4</sup> Timon J. Mehring,<sup>3,8</sup> David Melkumyan,<sup>1</sup> Anne Oppelt,<sup>1</sup> Jens Osterhoff,<sup>3</sup> Houjun Qian,<sup>1</sup> Yves Renier,<sup>1,1</sup> Frank Stephan,<sup>1</sup> Carmen Tenholt,<sup>6</sup> Valentin Wohlfarth,<sup>1</sup> and Quantang Zhao<sup>1,1</sup>



Proof of principle experiment with moderate energy gain

**R = 4.6**

VOLUME 56, NUMBER 12      PHYSICAL REVIEW LETTERS      24 MARCH 1986

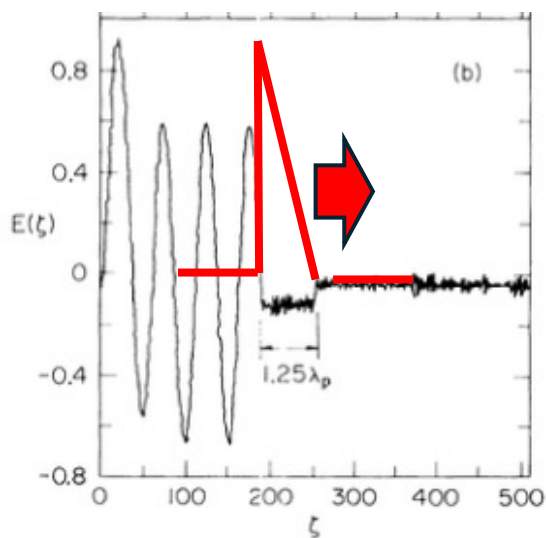
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and

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VOLUME 56, NUMBER 12      PHYSICAL REVIEW LETTERS      24 MARCH 1986

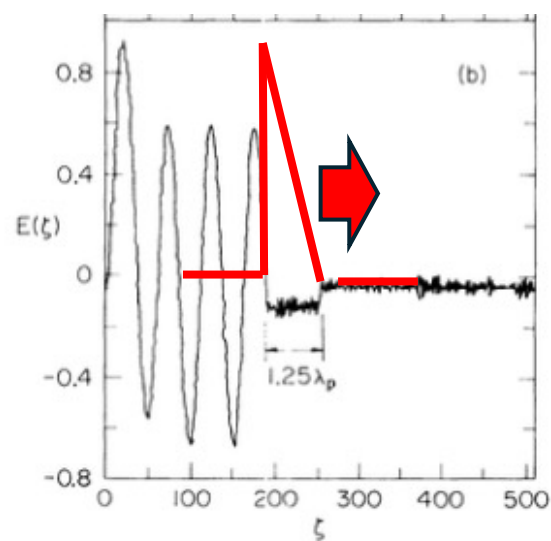
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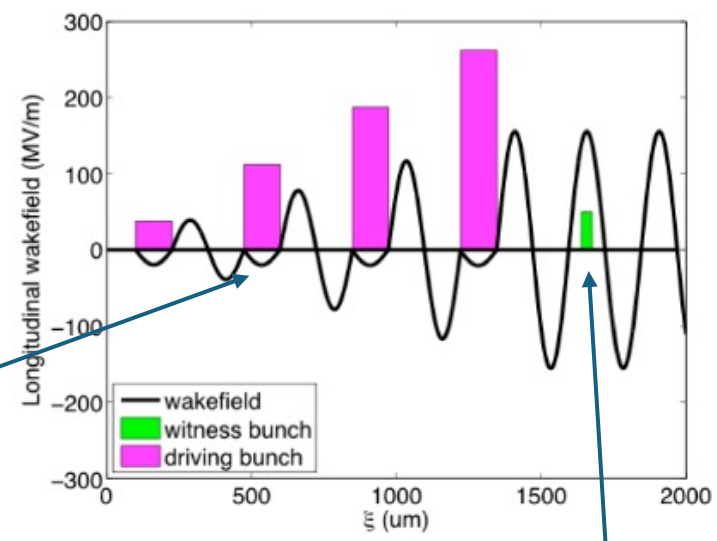
→ Constant decelerating field within each driver

## 2) Ramped bunch train

Proceedings of 2011 Particle Accelerator Conference, New York, NY, USA      MOP158

**NUMERICAL STUDY OF PLASMA WAKEFIELDS EXCITED BY A TRAIN OF ELECTRON BUNCHES\***

Y. Fang<sup>1</sup>, P. Muggli, University of Southern California, Los Angeles, CA  
 C. Huang, Los Alamos National Laboratory, Los Alamos, NM  
 W. Mori, University of California, Los Angeles, Los Angeles, CA



→ Enhanced accelerating field within the witness →  $R \gg 2$

**Active Research Topic**

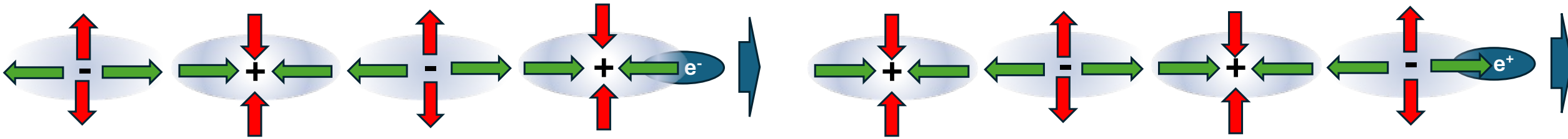
# IIb. Positrons

→ How do wakefields work with positively charged particles?



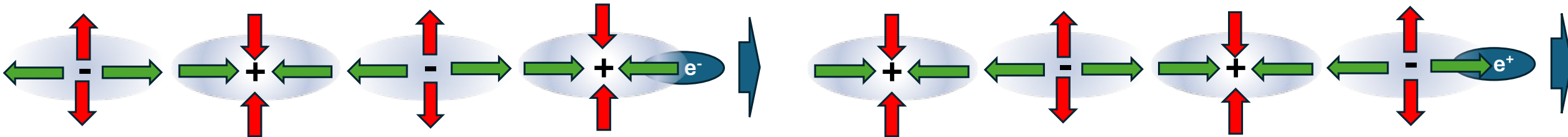
# IIb. Positrons

→ How do wakefields work with positively charged particles?  
In principle, just a  $\pi$  phase difference



# Ib. Positrons

→ How do wakefields work with positively charged particles?  
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VOLUME 93, NUMBER 1      PHYSICAL REVIEW LETTERS      week ending 2 JULY 2004

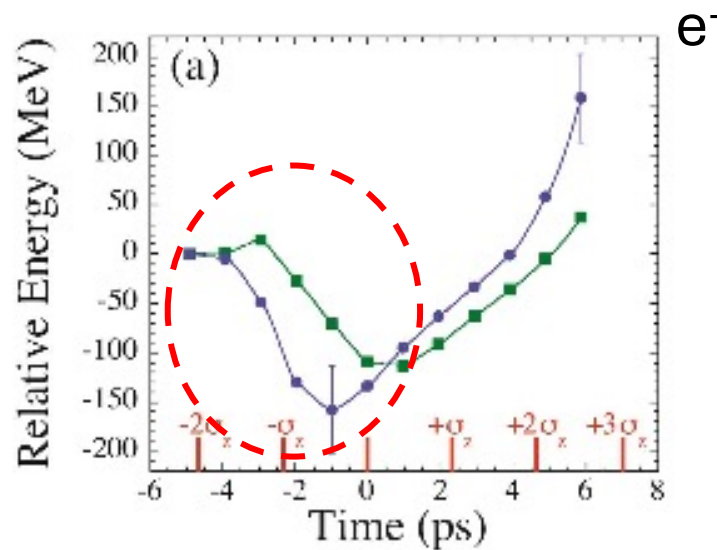
**Meter-Scale Plasma-Wakefield Accelerator Driven by a Matched Electron Beam**

P. Muggli,<sup>1</sup> B. E. Blue,<sup>2</sup> C. E. Clayton,<sup>2</sup> S. Deng,<sup>1</sup> F.-J. Decker,<sup>3</sup> M. J. Hogan,<sup>3</sup> C. Huang,<sup>2</sup> R. Iverson,<sup>3</sup> C. Joshi,<sup>2</sup> T. C. Katsouleas,<sup>1</sup> S. Lee,<sup>1</sup> W. Lu,<sup>2</sup> K. A. Marsh,<sup>2</sup> W. B. Mori,<sup>2</sup> C. L. O'Connell,<sup>3</sup> P. Raimondi,<sup>3</sup> R. Siemann,<sup>3</sup> and D. Walz<sup>3</sup>

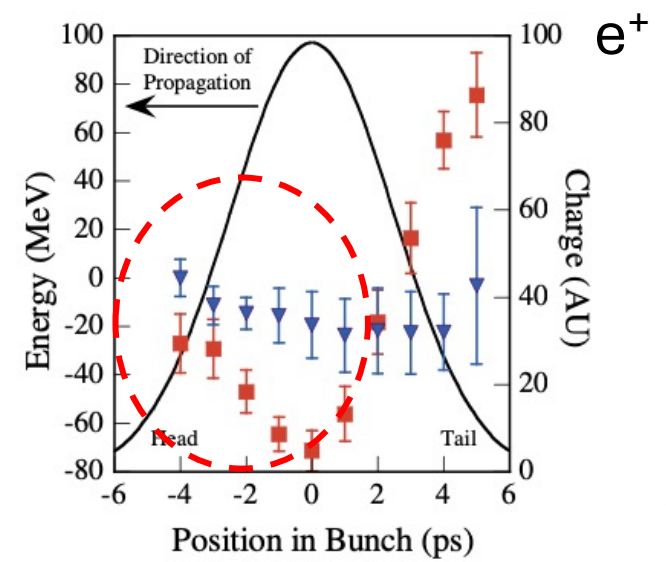
VOLUME 90, NUMBER 21      PHYSICAL REVIEW LETTERS      week ending 30 MAY 2003

**Plasma-Wakefield Acceleration of an Intense Positron Beam**

B. E. Blue,<sup>1</sup> C. E. Clayton,<sup>1</sup> C. L. O'Connell,<sup>2</sup> F.-J. Decker,<sup>2</sup> M. J. Hogan,<sup>2</sup> C. Huang,<sup>1</sup> R. Iverson,<sup>2</sup> C. Joshi,<sup>1</sup> T. C. Katsouleas,<sup>3</sup> W. Lu,<sup>1</sup> K. A. Marsh,<sup>1</sup> W. B. Mori,<sup>1</sup> P. Muggli,<sup>3</sup> R. Siemann,<sup>2</sup> and D. Walz<sup>2</sup>



Long Bunches:  
Head and center lose energy





# Ib. Positrons

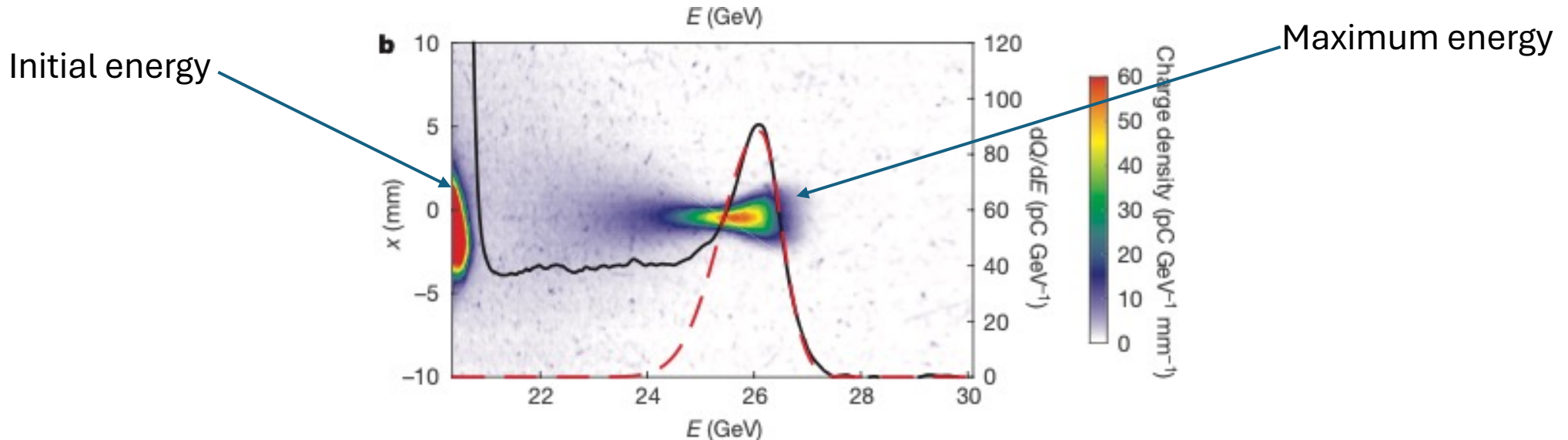
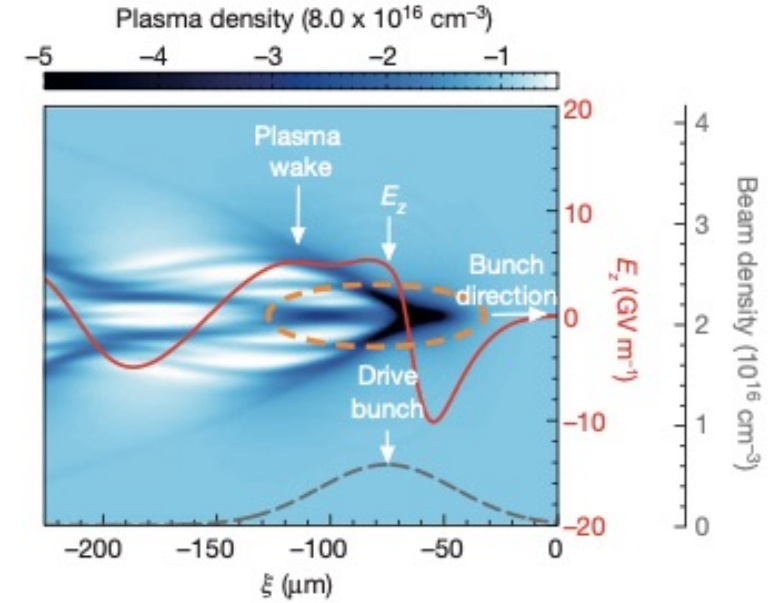
- How do wakefields work with positively charged particles?  
In principle, just a  $\pi$  phase difference (in the linear regime)
- Acceleration demonstrated also in the non-linear regime

## LETTER

doi:10.1038/nature14890

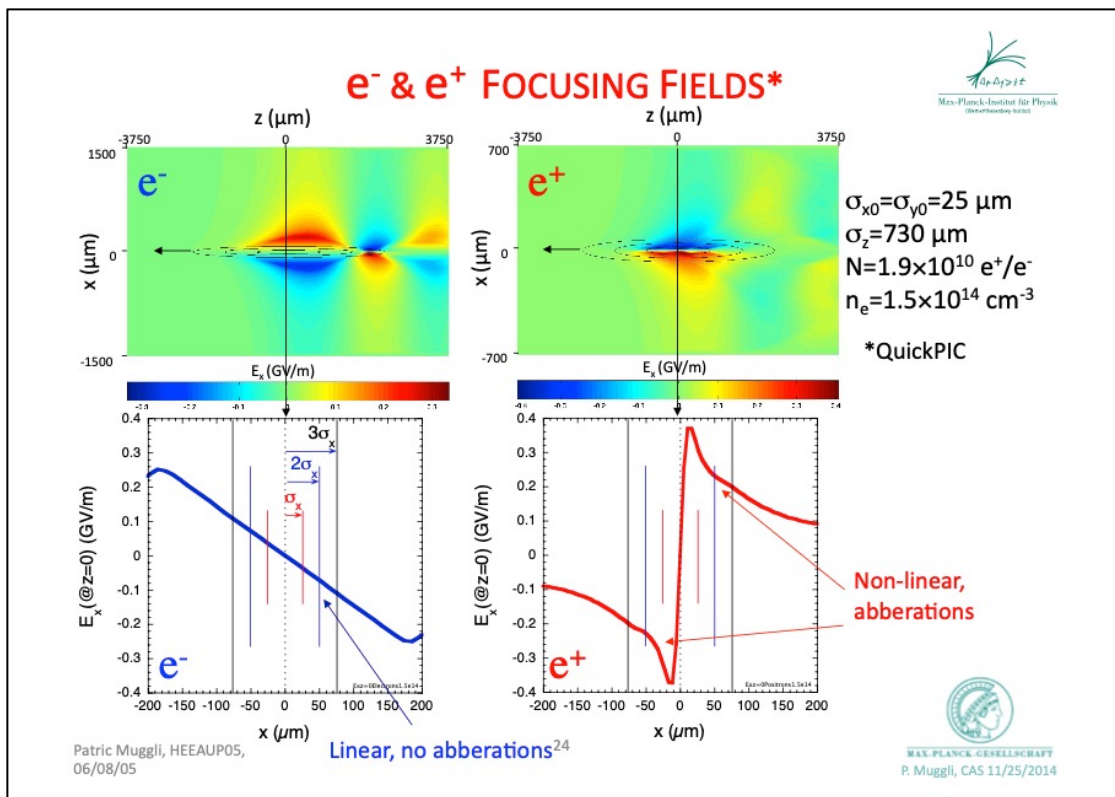
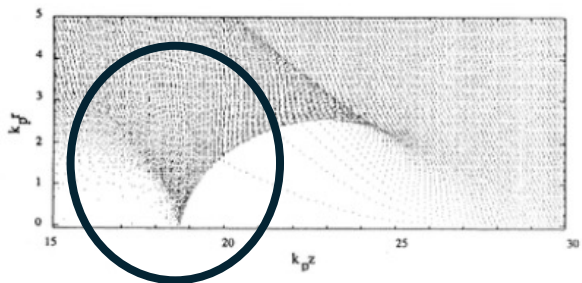
### Multi-gigaelectronvolt acceleration of positrons in a self-loaded plasma wakefield

S. Corde<sup>1,2</sup>, E. Adli<sup>1,3</sup>, J. M. Allen<sup>1</sup>, W. An<sup>4,5</sup>, C. I. Clarke<sup>1</sup>, C. E. Clayton<sup>4</sup>, J. P. Delahaye<sup>1</sup>, J. Frederico<sup>1</sup>, S. Gessner<sup>1</sup>, S. Z. Green<sup>1</sup>, M. J. Hogan<sup>1</sup>, C. Joshi<sup>4</sup>, N. Lipkowitz<sup>1</sup>, M. Litos<sup>1</sup>, W. Lu<sup>6</sup>, K. A. Marsh<sup>4</sup>, W. B. Mori<sup>4,5</sup>, M. Schmeltz<sup>1</sup>, N. Vafaei-Najafabadi<sup>4</sup>, D. Walz<sup>1</sup>, V. Yakimenko<sup>1</sup> & G. Yocky<sup>1</sup>



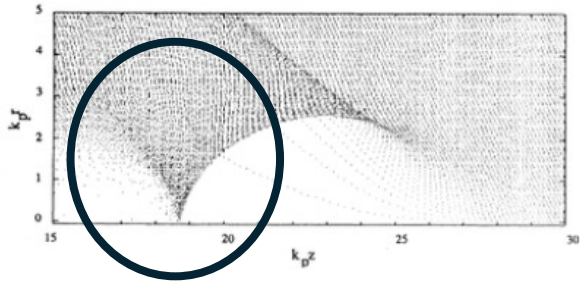
# Ib. Positrons

→ BUT: In the blowout regime,  $e^+$  witness bunches need to be placed in the singularity



# Ib. Positrons

→ BUT: In the blowout regime,  $e^+$  witness bunches need to be placed in the singularity



PRL **101**, 055001 (2008)

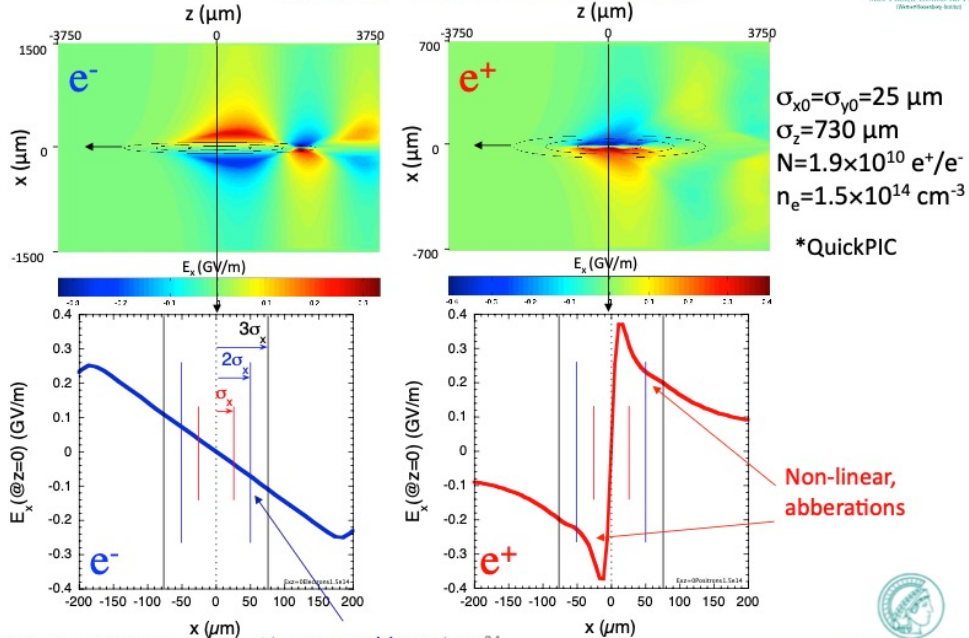
PHYSICAL REVIEW LETTERS

week ending  
1 AUGUST 2008

## Halo Formation and Emittance Growth of Positron Beams in Plasmas

P. Muggli,<sup>1</sup> B. E. Blue,<sup>2</sup> C. E. Clayton,<sup>2</sup> F. J. Decker,<sup>3</sup> M. J. Hogan,<sup>3</sup> C. Huang,<sup>2</sup> C. Joshi,<sup>2</sup> T. C. Katsouleas,<sup>1</sup> W. Lu,<sup>2</sup> W. B. Mori,<sup>2</sup> C. L. O'Connell,<sup>3</sup> R. H. Siemann,<sup>3</sup> D. Walz,<sup>3</sup> and M. Zhou<sup>2</sup>

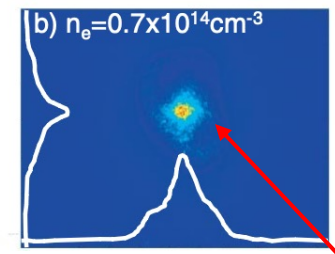
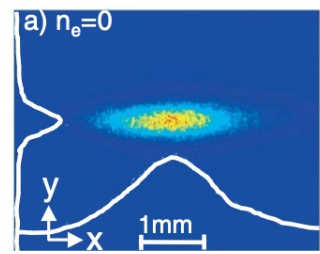
### $e^-$ & $e^+$ FOCUSING FIELDS\*



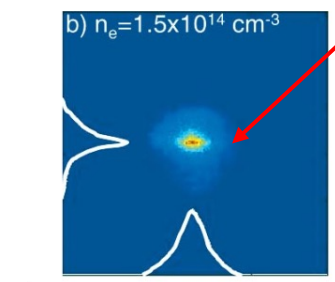
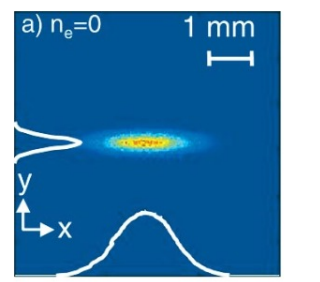
Exp

Plasma OFF

Plasma ON



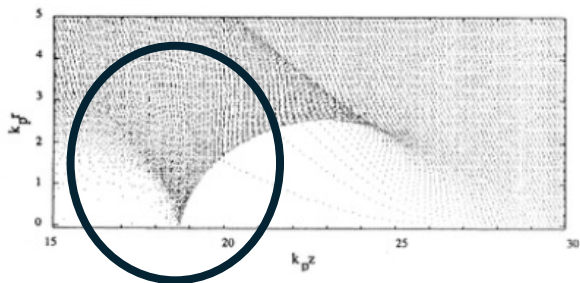
Sim



Halo due to aberrations  
→ emittance growth

# Ib. Positrons

→ BUT: In the blowout regime,  $e^+$  witness bunches need to be placed in the singularities



PRL 101, 055001 (2008)

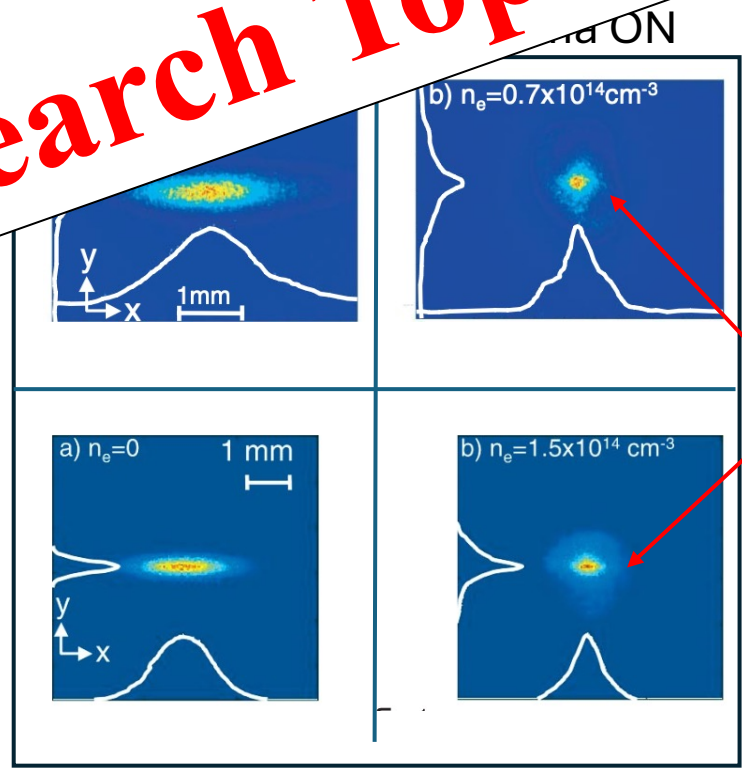
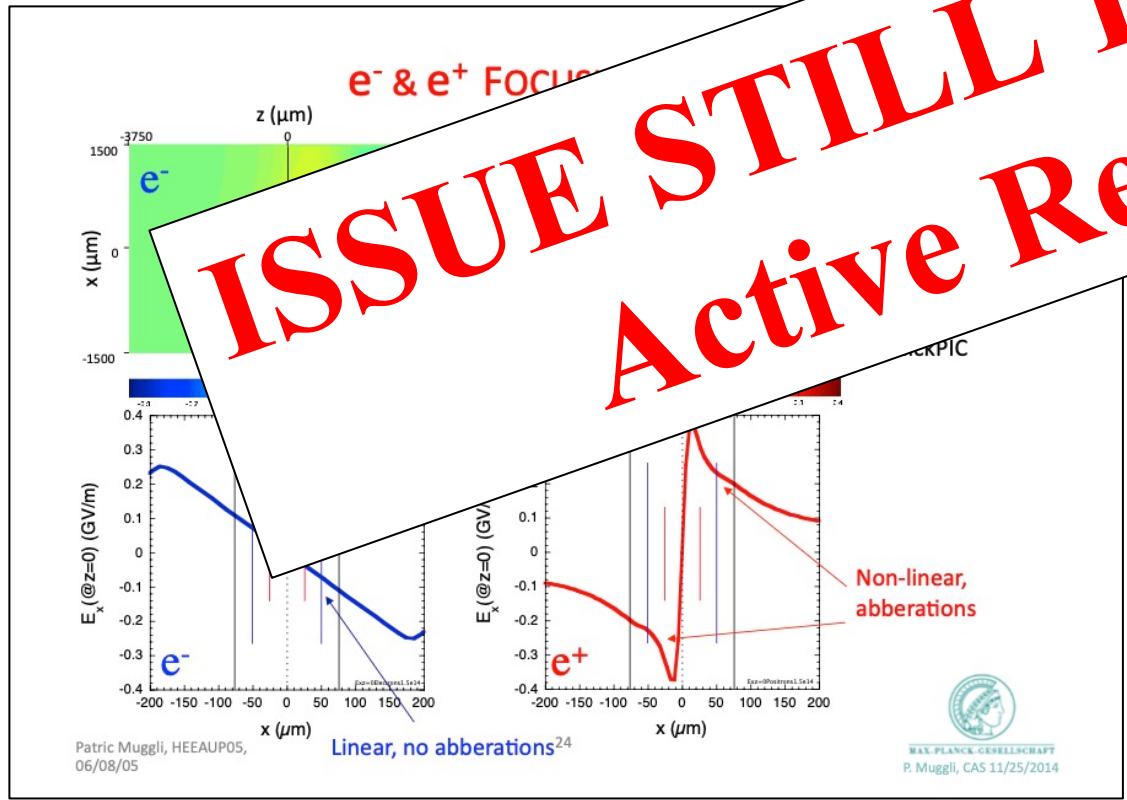
week ending 1 AUGUST 2008

mas

atsouleas,<sup>1</sup> W. Lu,<sup>2</sup>

Zhou<sup>2</sup>

**ISSUE STILL TO BE SOLVED!!**  
**Active Research Topic**



Halo due to aberrations  
 → emittance growth