# Colloquium: the quest for the physical foundations of music



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I. Masina - Colloquium at UniFe - 15/10/24



*Dyad's consonance and dissonance: combining the compactness and roughness approaches* I Masina, G Lo Presti, D Stanzial, The European Physical Journal Plus 137 (11) (2022) 1254

*Triad's consonance and dissonance: a detailed analysis of compactness models* I Masina, The European Physical Journal Plus 138 (7) (2023) 606

*Triad's consonance and dissonance: combining roughness and compactness models* I Masina, G Lo Presti, The European Physical Journal Plus 139 (1) (2024) 79 Online lectures of CERN Academic Training: The Physics of Music; from Pythagoras to Microtones <u>https://indico.cern.ch/event/1172806/</u>

#### **COLLOQUIUM CONTENT**

 $\rightarrow$ The Detector: Our yet unknown hearing system

- $\rightarrow$  The Sources of musical «tones»
- $\rightarrow$  Psychoacoustic perceptions for simultaneous tones

 $\rightarrow$  Consonance and Dissonance (C&D) as an «observable quantity»

- $\rightarrow$  C&D and Musical Practice: a flash review on scale's evolution
- $\rightarrow$  Modeling C&D: Literature review of past models
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 $\rightarrow$  Conclusions

# OUR DETECTOR: THE EAR

#### - The ear (nearly) functions as a Fourier analysis device –



Signal associated to pressure

waves in air, time domain

Ohm's law of hearing: the perception of the tone of a sound is a function of the frequencies and amplitudes of the harmonics and not of the phase relationships between them



https://www.odyo.ca/anatomy-of-theear-and-the-hearing-system-works/

(S)FT in frequency domain

# Fourier Analysis

The Fourier Transform S(f) of a time-dependent function (signal) s(t) is defined as:

$$S(f) := \int_{-\infty}^{+\infty} s(t) e^{-i2\pi ft} dt$$

Typically, the **Power Spectral Density**  $|S(f)|^2$  is analyzed, which relates to the *autocorrelation* 

To capture transients, time-frequency analysis through the *Short-Time* Fourier Transform is common:

$$S_{st}(t,f) = \int_{-\infty}^{+\infty} s(\tau) w(\tau-t) e^{-i2\pi f\tau} d\tau$$

where w(t) is a windowing function (typically a Gaussian). For human ear, its width is about 0.05 s.

The **Spectrogram** is defined as  $|S_{st}(t, f)|^2$ . Easy to produce with your smartphone!

# OUR PYTHON TOOLBOX TO TEST AND BENCHMARK

We\* have developed a set of iPython notebooks to explore sounds and their acoustic effects

- Based on popular Data Science libraries (numpy, matplotlib)
- As shown, we display
  - Time-domain waveform
  - Power spectral density
  - Spectrogram

c.plottimefreq(s, spectrogram) if filename:

630 840

# generate signal for the given cho
s. d = captureoutput(c, chord)

if not addch

c.plav(s)

misch = 0

Notes, Intervals, Temperaments Last Checkpoint: a few seconds ago (autosaved

1050 1260 1470 1680 1890

addcb, miscb, spectrogram, filename)

s(temperament, tonic, chord, duration, harmonics, h\_model,

= harmony.ChordsCollection(temperament, tonic, duration, harmonics, False, h model, k harp, addob)

\*credits: G.Lo Presti (CERN)

Python 3 (ipykernel) C

Power spectrum (log/log

frequency

time

# OUR DETECTOR: THE EAR

Ohm's law is consistent with the *place theory of hearing,* which correlates the observed pitch with the position along the basilar membrane of the inner ear that is stimulated by the corresponding frequency. An electrical signal is produced (ions) and transmitted to the brain.

https://en.wikipedia.org/wiki/File:Journey\_of\_Sound\_to\_the\_Brain.ogv



#### However, this process is far from being fully understood...

As an example:

- $\rightarrow$  the time-domain waveform is also actually relevant (not just the spectrogram)
- $\rightarrow$  non-linearities might also be relevant and represent a current subject of research,

see e.g.

J.N. Oppenheim and M.O. Magnasco, *Human Time-Frequency Acuity Beats the Fourier Uncertainty Principle* PRL 110, 044301 (2013)

# **MUSICAL TONES**

The hearing system can establish:

fundamental frequency of the tone



the PITCH of a sound if its harmonics (or partials) are «harmonic»

$$f_n = nf_1$$

where n=1,2,3,...

The (whole) sound {f<sub>1</sub>} is then said to be a (*musical*) tone



# **MUSICAL TONES**

**OCTAVE EQUIVALENCE** (universal through cultures, yet not fully understood): tone {f} is perceived to be equivalent to tones {2f}, {4f}, {8f}, ... f/8 4f 8f 16f f/4 f/2 2f C4: f=262 Hz 3 5 C1 C3 C5 C6 C7 C2 **C4 C8** 

In the audible range (20 Hz - 20 kHz), all C's are C's



3

**DISCRIMINATION LIMEN** (or Just Noticeable Difference)

the frequency difference which makes two sounds perceived as *different pitches* when heard separately (in sequence) is 3-4 Hz from C1 up to C5, then it increses

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# **OUR SOURCES**

#### 1) Human Voice

...

2) Acoustics instruments: strings (plucked, striked, bowed), air columns (flutes, ...),

3) Digital instruments



In the following, a self-made example: plucked string, from theory to simulation

# The vibrating string with fixed endpoints + damping



The ear detects the (S)FT of the pressure wave generated in air, that is of a signal that can be written as

$$s(t) = \sum_{n=1,2,...} (C_n^s + C_n^c) \sin(2\pi f_n t) \times e^{-n\frac{\Gamma}{2}t}$$
damping factor:  
$$= \underbrace{\frac{2hK^2}{\pi^2(K-1)n^2} \frac{1}{n^2} \sin\frac{n\pi}{K}}$$
the higher is the harmonic the sooner it vanishes Take e.g.  $\Gamma$ =O(1) s<sup>-1</sup>

# Plucked string: harp (K=2) vs guitar (K=20)

Different timbre is expected depending on where you pluck the string



# Plucked string: harp (K=2) vs guitar (K=20)

We take  $f=C_4$ ,  $\Gamma=1.5 \text{ s}^{-1}$ , and generate a waveform with numpy



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# **COMBINING FREQUENCIES**

Now let's combine two (pure) tones with frequency  $f_1$  and  $f_2$ , and explore the auditory effects when hearing them together:

- "very close" frequencies: primary beatings (waveform modulation amplitude from 0 to max)
- "close" frequencies within Critical Bandwidth: significant roughness
- "far away" frequencies: combined sound relatively less rough
- "simple ratios" (when  $f_2/f_1 = k$  = fraction made with small integers): peaks of consonance
- "close to simple ratios": *secondary beatings* (modulation amplitude from min>0 to max)

# Critical Bandwidth (and Discrimination Limen)





# Secondary beatings of P5: $f_2 = 3/2 f_{1}$ , with $f_1 = C_4$



Mistuned Fifth:  $f_2 = 3/2 f_1 + 1 \text{ Hz} \approx 3/2 f_1$  $\rightarrow$  secondary beatings

amplitude modulation at beating frequency of 2 Hz



The hear is not sensitive to a difference of 1 Hz. However, the hear is extremely sensitive to beatings: this is why we "tune" musical instruments based on beatings!

#### COMPLEX TONES (n=5)

Mistuned Fifth:

 $\rightarrow$  higher harmonics reinforce beatings



# **Fundamental Bass**

Let's now assume: 
$$rac{f_2}{f_1}=rac{m}{n} \quad m,n\in\mathbb{N} ext{ and coprime}$$

 $\rightarrow$  We can define a new frequency  $f_0$ , whose inverse represents the period of the waveform

$$f_0=rac{f_2}{m}=rac{f_1}{n}$$

For *m*, *n* small, it is known in music as the Fundamental Bass, Common Bass or Missing Fundamental. It is VIRTUAL: not present in the frequency spectrum, but...

Fundamental Bass for P5: 
$$f_2 = 3/2 f_1$$
, with  $f_1 = C_4$ 

#### PURE TONES

Perfect Fifth +  $f_0$ : ( $f_0 = f_1/2 = C_3$ )

Perfect Fifth + mistuned  $f = f_0 + 1$  Hz:

 $\rightarrow$  (tertiary) Beatings generated (as if f<sub>0</sub> were physically present



Wave packe

#### COMPLEX TONES (n=5) Perfect Fifth + (pure) mistuned $f = f_0 + 1$ Hz $\rightarrow$ Beatings reinforced (out of tune piano)

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# A CONSONANCE TEST

Several scientists and musical theorists did a psychoacoustic test to formalize C/D In the past, limited to one octave and using a specific musical instrument. E.g.,

- Foderà (early 1800)
- Schwartz (early 1900)
- Bowling Purves and Gill (2018), *Vocal similarity predicts the relative attraction of musical chords* Proceedings of the National Academy of Sciences 115(1):201713206

#### We tried it ourselves, with some variants:

→ we built a harmonic timbre that **does not resemble** too much a familiar instrument slow exponential decay + partials with 1/n amplitude

 $\rightarrow$  We chose **38** ratios, with  $f_1 = C_4$  and  $f_2$  running up to  $4f_1 = 2$  octaves

We let volunteers hear several dyads and provide a degree of perceived consonance within a scale 1 to 5: 1 = very dissonant, 3 = neither diss. nor cons., 5 = very consonant

# **Test Results**



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## THE WINNERS



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## THE WINNERS



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## THE WINNERS



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#### THE WOODS



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#### **THE PAPERS**



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### THE INTRUDERS AMONG PAPERS (1st octave)



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THE LASTS



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### OCTAVE EQUIVALENCE

first vs second octave: quite (not fully) equivalent



More consonant dyads are worse if compound\*, except for cM3 (tenth)

\*E.g. Compound octave less severe than mistuned unison

Octave equivalence is NOT fully TRUE! (as composers know)

#### **COMPARISON WITH OTHER TESTS**

Other test restricted to chromatic scale (12) within 1 octave

tipically use piano timbre  $\rightarrow$  cultural effect emphasized (thirds)  $\rightarrow$  that is why we rather used a «neutral» timbre



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# **ANCIENT GREEK MUSIC**

Consonance was obtained by a suitable tuning of the 8 strings of the kithara (or the 4 of the lyre) Musical practice was melodic (voice at unison or octave with the instrument)



Indeed, only 3 intervals (dyads) were considered to be consonant and were involved in the tuning procedure

# **ANCIENT GREEK MUSIC: CONSONANT INTERVALS**


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### **ANCIENT GREEK MUSIC: CONSONANT INTERVALS**



# ANCIENT GREEK MUSIC: 7 NOTES FOR MANY MODES

Two equal tetrachords, with the two middle strings tuned differently (via fifths and fourths) leading to MODES



epogdoon (1/8 more) (take 4th and 5th strings)

$$e = \frac{f_5}{f_4} = \frac{\frac{3}{2}}{\frac{4}{3}} = \frac{9}{8}$$

I = limma (residue) (take 3th and 4th strings for lydian)

$$l = \frac{f_4}{f_3} = \frac{\frac{4}{3}}{e^2} = \frac{256}{243}$$

# PYTHAGOREAN CHROMATIC SCALE

Start from F. Up: Descending 4ths, ascending 5ths → 5ths circle do not close F' different from F Down: Descending 5ths, ascending 4ths → impossible to make # e b coincide



# PYTHAGOREAN CHROMATIC SCALE

#### **SOLUTION:** Select 12 and do not play the wolf fifth

Example of a specific choice for the blacks:

take 2 descending (Bb Eb, not Ab Db Gb) and 3 ascending (F# C# G#, not D# A#)



#### **OTHER SOLUTION:** Take more than 12

24/05/2023

# **12 NOTES FOR MANY SCALES**



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#### APPROACHES TO CONSONANCE AND DISSONANCE



# NUMERICAL APPROACH

#### Antiquity (modes) since Middle Ages (gregorian): only 3 consonant intervals P8 (gold), P5 (silver), P4 (bronze)

 $\rightarrow$  Pythagorean School:

They are associated to ratios (2/1, 3/2, 4/3) involving only integers from 1 to 4

 $\rightarrow$  Post-diction: those numbers have a «mystic» role (*tetraktis*)

#### Renaissance (counterpoint and polphony): also 6M (bronze) and 3M (woods) are imperfect consonances

→ Zarlino (Istitutioni harmoniche,1558): include 5 and 6 among good numbers (senario) → just (or natural) scale

**Even more recently:** Mathematical formulas (without underlying physical argument) that reproduce the ordering of the degree of consonance «popularly» accepted have been proposed, e.g. by Euler, Frova, Stolzenburg,...

# **COINCIDENCE THEORIES**

#### more "coincident" (i.e. in-phase) vibrations of the dyad's notes = more consonance

A **physical theory** known as that of "**coincidences**» [based on arguments from Greek and Roman antiquity] was developed by renaissance theorists.

1) The Venetian mathematician and physicist **Giovanni Battista Benedetti** (1530-1590) expands the coincidence theory by suggesting the (first) indicator, providing reasonable rankings for dyads

 $I^B = m \, n$ Benedetti's dissonance indicator

Reasonable rankings are obtained: P8, P5, P4, M6, M3, m3, ...

2) In *Discorsi intorno a due nuove scienze* (1638) Galileo interprets consonance as the ear «preference» for two sounds of commensurable frequencies with simple ratios

nr of pulses of the higher string that are sychronous with the lower string 
$$I^G = \frac{1}{m}$$

Galileii's consonance indicator

Reasonable ranking: P8, P5, P4, M6 and M3, m3, m6, ...

CRITICISM: 1) for non in-phase pulses the perception is the same

2) non-continuous indicator: how to deal with mistuned fractions close (within 3Hz) to simple ratios?



### HARMONICITY THEORIES

*more harmonics coincide = more consonance* 

(historically argued during 18th-19th: Rameau, Esteve, Tartini, Pizzati,...)



*more harmonics are beating = more dissonance* 

(historically developed from fall 19th-20th: Helmholtz 1863, Plomp Levelt 1965, ...)



*more harmonics are beating = more dissonance* 

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#### *more harmonics are beating = more dissonance*

(historically developed from fall 19th-20th: Helmholtz 1863, Plomp Levelt 1965, ...)



Naturally continuous! Enthousiastic reaction: it superseeded coincidence and harmonicity theories

more harmonics are beating = more dissonance

[Plomp Levelt 1965]

P&L include CB: dissonance indicator with equal weights for n=6 harmonics





Maximum roughness at about 1/4 of CB



Does not work so well... problems with 6M, 3m, 3M...

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#### OUR STUDY FOR DYADS [MLPS EPJP 2022]

description of consonance and dissonance.



### OUR STUDY FOR DYADS [MLPS EPJP 2022]

A) Developed many indicators following:

- 1) «periodicity» approach based on the fundamental bass (upgrade of coincidence theories)
- 2) harmonicity apprach
- 3) roughness approach, also including: 1) DL 2) secondary beatings

periodicity and harmonicity indicators provide mathematically similar indicators  $\rightarrow$  Compactness indicator

Extended to continuum by using DL

roughness indicators worse than compactness ones
 astonishing performance of mixed compactness-roughness theories

B) Chi-square Analysis:

# THE PERIODICITY APPROACH

shorter period of the «fundamental bass» with respect to the periods of the dyad's tones = more consonance

$$f_0 = \frac{f_1}{n} = \frac{f_2}{m}$$

Basic consonance indicators are (dim analysis!)

$$I_2^P = \frac{f_0}{f_2} = \frac{1}{m}$$
  $I_1^P = \frac{f_0}{f_1} = \frac{1}{n}$ 

Other indicators are found taking A,G,H means:

$$\int f_A = (f_1 + f_2)/2 \qquad I_A^P = \frac{f_0}{f_A} = \frac{2}{n+m}$$

$$f_G = \sqrt{f_1 f_2} \qquad I_G^P = \frac{f_0}{f_G} = \frac{1}{\sqrt{nm}}$$

$$f_H = f_A^2/f_G \qquad I_H^P = \frac{f_0}{f_H} = \frac{n+m}{2nm}$$

combined waveform s(t)	f/f	
	9/8	M2
MANAMANA	5/4	M3
MANAMAMAA	4/3	P4
MMMMMMMMMMM-	3/2	Р5
MMMMMMMMMMMMMMMMM	5/3	M6
AWAAMAAMAAMAAMAA	15/8	M7
MANANANANANANA	2/1	P8

# THE PERIODICITY APPROACH

shorter period of the «fundamental bass» with respect to the periods of the dyad's tones = more consonance

Related to Galilei's arguments about «coincidence» theory (16th-18th)

 $I_2^P = \frac{f_0}{f_2} = \frac{1}{m}$ 

Number of strikes of the sharper string being in coincidence with strikes of the lower string



combined waveform s(t)	f./f.	
ATTAMATATION	9/8	M2
MAAMAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA	5/4	M3
	4/3	P4
MMMMMMMMMMMM	3/2	Р5
WMMMMMMMMMMMMMMMMMMMMMMMMMMMMMMMMMMMMM	5/3	M6
AMAMMANAMMANAMMAN	15/8	M7
NNNNNNNNNNNNN	2/1	P8

### THE PERIODICITY APPROACH: CONTINUUM

Problem: discontinuous indicators  $\rightarrow$  Solution: make them continuos by «guassianizing» the peaks with a  $\sigma$  = DL

$$x = f_2/f_1 \qquad C_X^P(x) = \operatorname{Max}_i \tilde{I}_X^P(x_i) e^{-\frac{(x-x_i)^2}{2\sigma(x)^2}}$$

## THE PERIODICITY APPROACH: CONTINUUM

Problem: discontinuous indicators  $\rightarrow$  Solution: make them continuos by «guassianizing» the peaks with a  $\sigma$  = DL



Quite good! ... but consonance peaks are not reached, especially for 2nd octave

### THE HARMONITCITY APPROACH: CONTINUUM

#### more harmonics coincide = more consonance



Good! ... but consonance peaks are not reached for 1st octave, even too much for 2nd...

### THE ROUGHNESS APPROACH

#### more harmonics are beating = more dissonance

P&L include CB: dissonance indicator with equal weights for n=6 harmonics (ad hoc, n=7 is a disaster)



Prediction of too much consonance in general, especially for dissonant dyads...

### THE ROUGHNESS APPROACH

Model can be improved by adding:

1) Proper weights to suppress roughness effect for higher harmonics, like e.g.  $w_n = 1/n$  [Hutchinson Knopoff 1978]

- 2) Modification of g(x) to account for DL
- 3) Effect of secondary beats of mistuned octave and fifth



Representative example

$$\tilde{\chi}^2_{\alpha_{85}} = 2.9$$

... can't do much better

In any case, too large consonance predicted for dissonant dyads

#### COMBINING THE COMPACTNESS AND ROUGHNESS APPROACHES

Two approaches do different job: **PRIZES** vs **PENALTIES** 

#### COMBINING THE COMPACTNESS AND ROUGHNESS APPROACHES

Two approaches do different job: PRIZES PENALTIES VS Combination of previous F is fractional contribution of compactness with respect to roughness representative models educed chi- square  $C_{X,Y}^{tot} = \frac{F C_X^{P/H} + (1 - F) C_Y^R}{N_{X,Y}}$  $\mathcal{S}_{2,\alpha_{85}}^{tot}$ 0.5 normalization 0.3  $\approx 0.3$ 0.2  $\bar{0}$  0 0.6 0.8 0.2 0.4 1.0 compactness (P/H) roughness (R) F Compactness and roughness are both essential ingredients to explain C&D chi-square has a minimum for **all possible** on physical grounds **combinations** of models around F=50%

### COMBINING THE COMPACTNESS AND ROUGHNESS APPROACHES



#### EXTENSION TO TRIADS GIVES CONSISTENT RESULTS

#### [IM, EPJP 2023; IM and GLP, EPJP 2024]



Same conclusions found for also triads, using data on 66 triads from Bowling et al. test



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### A TRIP TO TRIAD'S LAND

#### [IM, EPJP 2023; IM and GLP, EPJP 2024]

C maj in 12-TET: a pipe-like timbre makes those beatings unsatisfactory, in comparison to other tunings. Unequal temperaments typically remain preferred for organs, to limit such beatings

**C maj in 31-TET:** significantly similar to the Quarter-Comma Meantone temperament





### A TRIP TO TRIAD'S LAND

#### [IM, EPJP 2023; IM and GLP, EPJP 2024]



features beatings; it is a wellknown chord to create tension

**C7(h7) in 31-TET**: the 7<sup>th</sup> degree in 31-TET can be played with a "B superflat", which approximates the 7:4 ratio better than 12-TET, producing less beatings. As a result, there is less "tension" as the chord is more stable.





#### A TRIP TO TRIAD'S LAND

#### [IM, EPJP 2023; IM and GLP, EPJP 2024]

C neut (31-TET): with a "neutral" Third (close to 11:9)

More dissonant than a pure minor Third (6:5), significant beatings reinforce the perception of out-of-tune





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#### CONCLUSIONS

 $\rightarrow$  Is Music a Science or an Art? Both!

→ Music is objectively founded on physics (via mathematics) and more generally in science (psyco-acoustics, neuroscience)

 $\rightarrow$  Recent advances in C&D  $\rightarrow$  Futher directions: Temperaments (ongoing)



«Music is the pleasure the human mind experiences from counting without being aware that it is counting.» **G. Leibniz** 

# BACKUP



"Science cannot tell us a word about why music delights us, of why and how an old song can move us to tears." **E. Schrödinger**, 'Nature and the Greeks' and 'Science and Humanism'

"It would be possible to describe everything scientifically, but it would make no sense; it would be without meaning, as if you described a Beethoven symphony as a variation of wave pressure." A. Einstein

*"If I was not a physicist, I would probably be a musician. I often think in music. I live my daydreams in music. I see my life in terms of music. ... I cannot tell if I would have done any creative work of importance in music, but I do know that I get most joy in life out of my violin."* **A. Einstein** 

*"It occurred to me by intuition, and music was the driving force behind that intuition. My discovery was the result of musical perception."* **A. Einstein,** When asked about his theory of relativity



«But the beauty of Einstein's equations, for example, is just as real to anyone who's experienced it as the beauty of music. We've learned in the 20th century that the equations that work have inner harmony.» **E. Witten**
## Pythagorean Tuning

#### DISADVANTAGE 1: Thirds and sixths are not at their best

Counterpoint, polyphony and organs led to abandoning Pythagorian scale by upgrading 3rds and 6ths from dissonances to (imperfect) consonances.



DISADVANTAGE 2: including chromatic scale (developed in the Middle Ages), the circle of fifths does not close

Tempered scales: meantone, equal, etc

## **Complete Just Intonation Scale**

- Advantages
  - All intervals are pure => highest possible consonance
  - Naturally adopted by a cappella choirs
- Disadvantages
  - Increased complexity
    - Major tone (tM) and minor tone (tm)
  - Hard to introduce modulations
    - Wolf Fifths and "Wolf Thirds"
    - Key changes during a composition were not common practice...



### Meantone Temperaments

- Principle: keep as many pure Major Thirds as possible, and tune the Fifths accordingly. 4 Fifths = (3:2)<sup>4</sup> = 2 Octaves + 1 Major Third + S.C.
  - S.C. = *Syntonic Comma* = 81:80
- Most common: Quarter-Comma Meantone
  - "Mean" Tone: mean of M3 =  $\sqrt{5/4}$
  - Why Quarter-Comma? Because it can be obtained by flattening the Fifths by ¼ of a S.C.
- Advantages
  - Tolerable beatings of the Fifths in most tonalities
  - Suitable for Orgues and fixed-tuning instruments
  - Procedures exist to tune instruments based on beatings
- Disadvantages
  - Wolf Fifth still present => "remote" tonalities must be avoided



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## 12-TONE EQUALLY TEMPERED SCALE

- Disadvantages
  - Major Thirds and Sixths are not great
  - Minor Thirds are similarly mistuned
- Advantages
  - Excellent approximation of P5
  - Modulations (key changes) allowed for all tonalities







# 31-Tones Equal Temperament

- It was realized that 31-TET is close to Quarter-Comma Meantone
  - Excellent M3
  - Very good P5
- It also matches well some 7-limit ratios, most notably 7:4 (the Harmonic Seventh)

