

# Trace anomalies and the graviton-dilation amplitude

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Denis Karateev

$50 + \varepsilon$  Years of Conformal Bootstrap

# Overview of the talk

**Part 1:** Introduction: QFTs, CFTs and trace anomalies

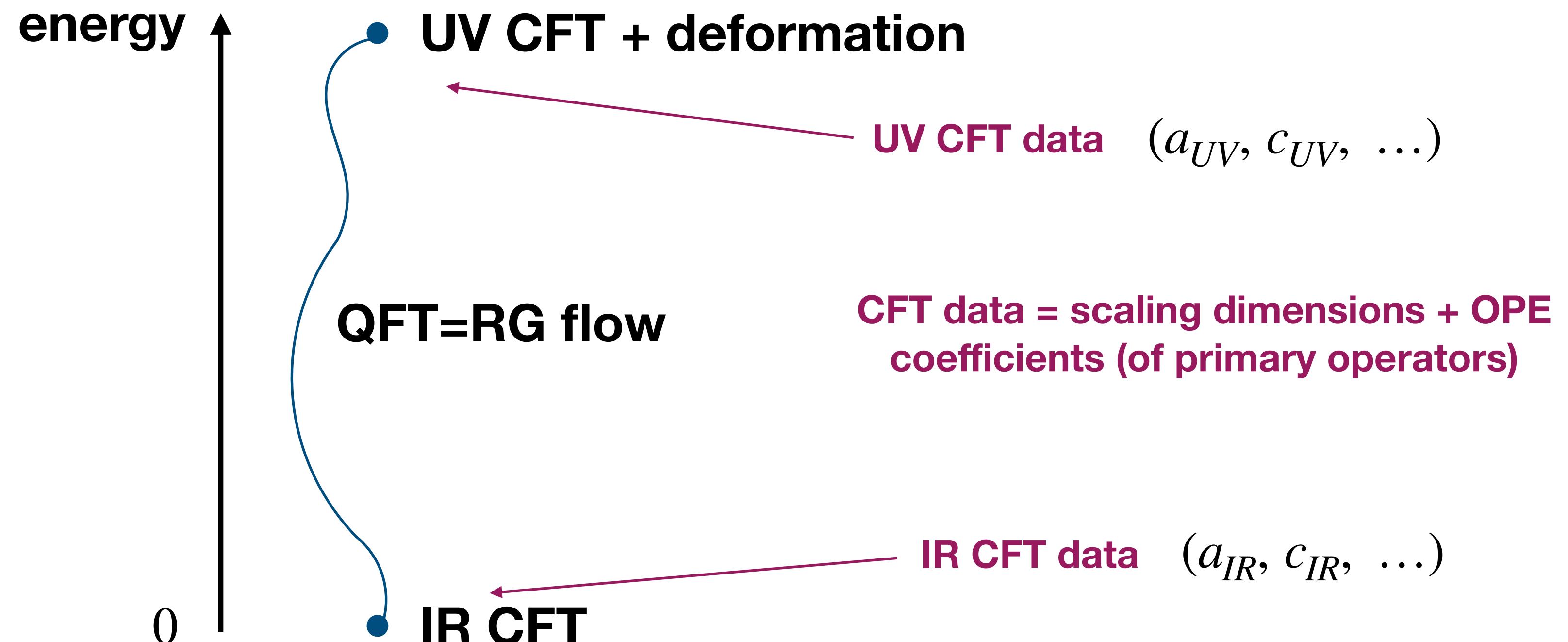
**Part 2:** Background field method for probing trace anomalies

**Part 3:** Test: free massive boson

**Part 4:** Graviton-dilaton amplitude

**Part 5:** Bootstrap applications

# Part 1: QFTs and CFTs



$$\Delta a \equiv a_{UV} - a_{IR} \quad \text{and} \quad \Delta c \equiv c_{UV} - c_{IR}$$

# Part 1: trace anomalies in 4d

$$\langle 0 | T^{\mu\nu}(x_1) T^{\rho\sigma}(x_2) | 0 \rangle_{CFT} = \frac{640}{\pi^2} \frac{c}{x_{12}^8} \mathbf{T}_0^{\mu\nu;\rho\sigma}$$

$$\langle 0 | T^{\mu\nu}(x_1) T^{\rho\sigma}(x_2) T^{\alpha\beta}(x_3) | 0 \rangle_{CFT} = \frac{1}{x_{12}^4 x_{23}^4 x_{31}^4} \left( \mathbb{A} \mathbf{T}_1^{\mu\nu;\rho\sigma;\alpha\beta} + \mathbb{B} \mathbf{T}_2^{\mu\nu;\rho\sigma;\alpha\beta} + \mathbb{C} \mathbf{T}_3^{\mu\nu;\rho\sigma;\alpha\beta} \right)$$

$$c \equiv \frac{\pi^4}{1920} (14\mathbb{A} - 2\mathbb{B} - 5\mathbb{C})$$

$$a \equiv \frac{\pi^4}{5760} (9\mathbb{A} - 2\mathbb{B} - 10\mathbb{C})$$

c-trace anomaly

[H. Osbor, A. Petkos; 1993]

Tensor structures:

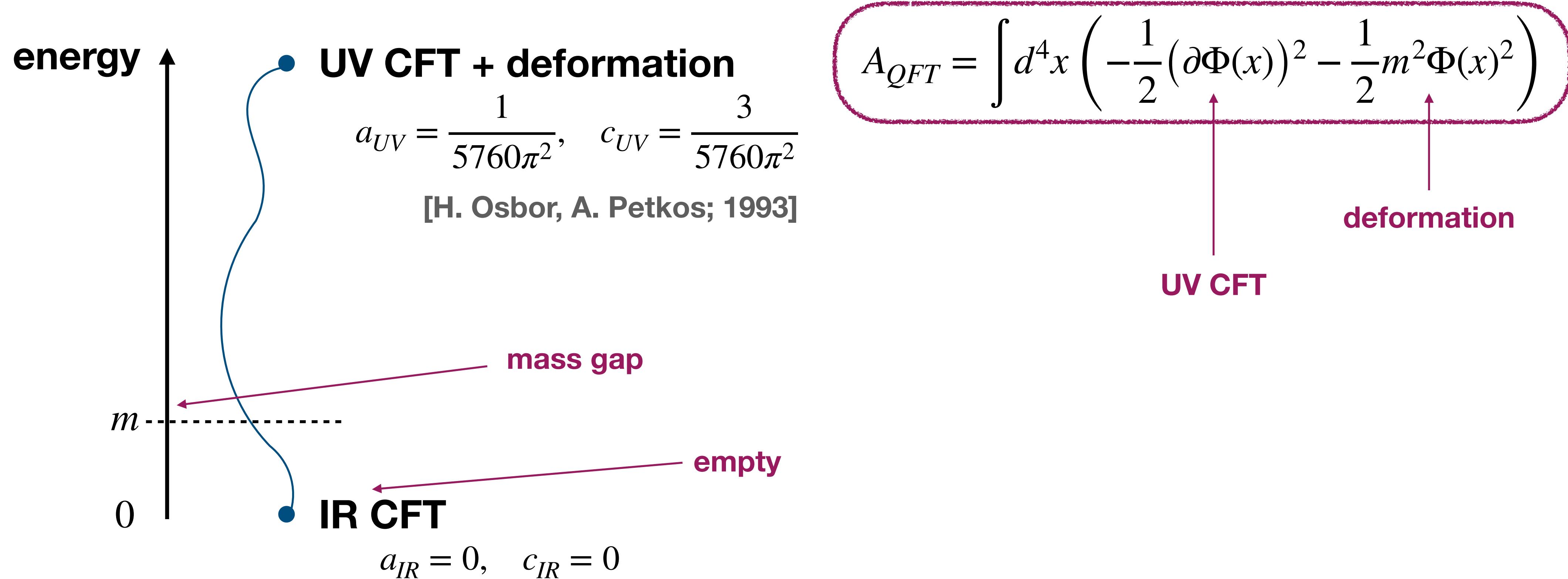
$$x_{ij}^\mu \equiv x_i^\mu - x_j^\nu$$

$$I^{\mu\nu}(x) \equiv \delta^{\mu\nu} - 2 \frac{x^\mu x^\nu}{x^2}$$

$$\mathbf{T}_0^{\mu\nu;\rho\sigma} \equiv \frac{1}{2} I^{\mu\rho}(x) I^{\nu\sigma}(x) + \frac{1}{2} I^{\mu\sigma}(x) I^{\nu\rho}(x) - \frac{1}{4} \eta^{\mu\nu} \eta^{\rho\sigma}$$

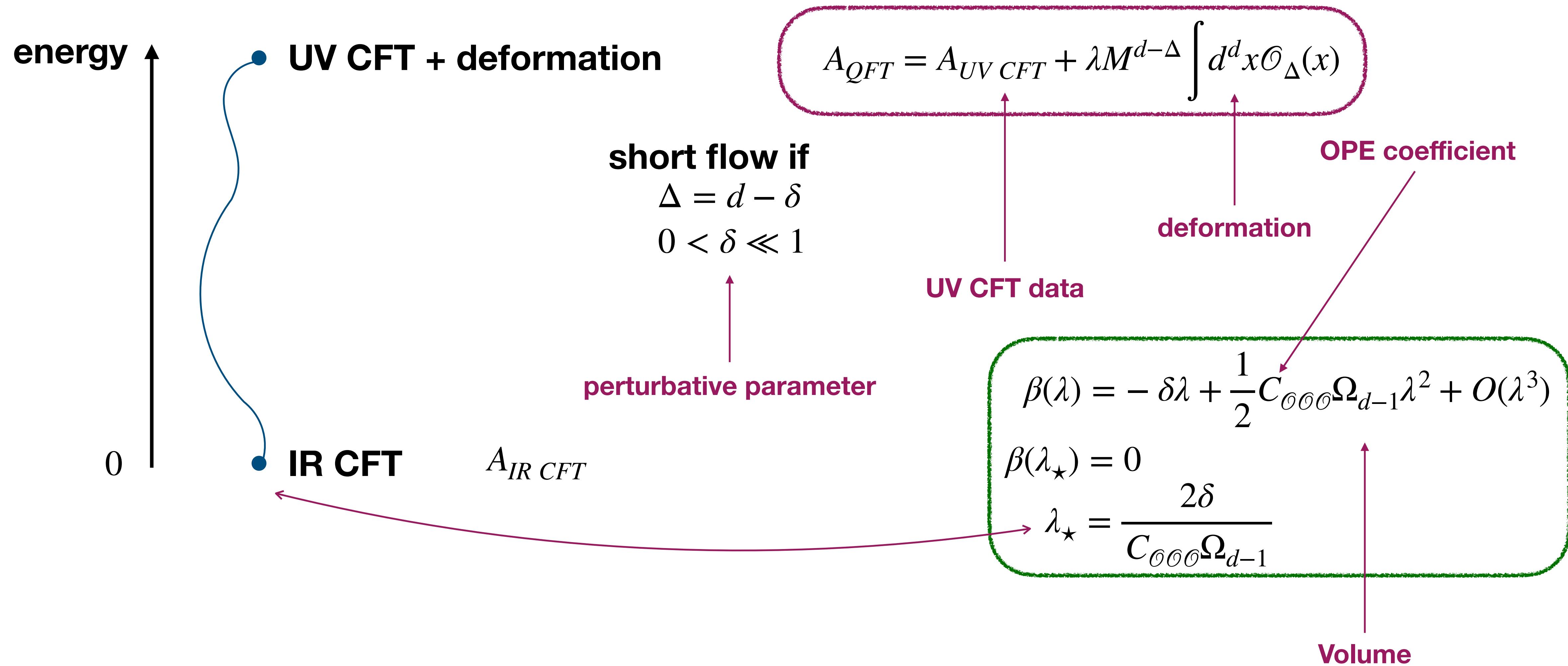
a-trace anomaly

# Part 1: example 1



# Part 1: example 2

[DK, B. Sahoo; in progress]



# Part 1: sum-rules

$$\Delta c = \frac{1}{2(d-1)} \int_0^\infty dr r^{2d-1} R^{abcd}(x) \langle 0 | T^{ab}(x) T^{cd}(0) | 0 \rangle_E,$$

[J. Cardy; 1988]

$$R^{abcd}(x) \equiv (4-d^2) \frac{x^a x^b x^c x^d}{r^4} + \frac{d^2+d-2}{2} \frac{x^a x^b \delta^{cd} + x^c x^d \delta^{ab}}{r^2}$$

[A. Cappelli, D. Friedan, J. Latorre; 1991]

[DK; 2020]

$$-\frac{x^a x^c \delta^{bd} + x^b x^c \delta^{ad} + x^a x^d \delta^{bc} + x^b x^d \delta^{ac}}{r^2} + (d+2) \delta^{ab} \delta^{cd} + (\delta^{ac} \delta^{bd} + \delta^{bc} \delta^{ad}).$$

$d = 2 : \quad \Delta c \geq 0$

Zamolodchikov's c-theorem

[A. Zamolodchikov; 1986]

$d = 4 : \quad \Delta a \geq 0$

a-theorem

[J. Cardy; 1988]

[H. Osborn; 1989]

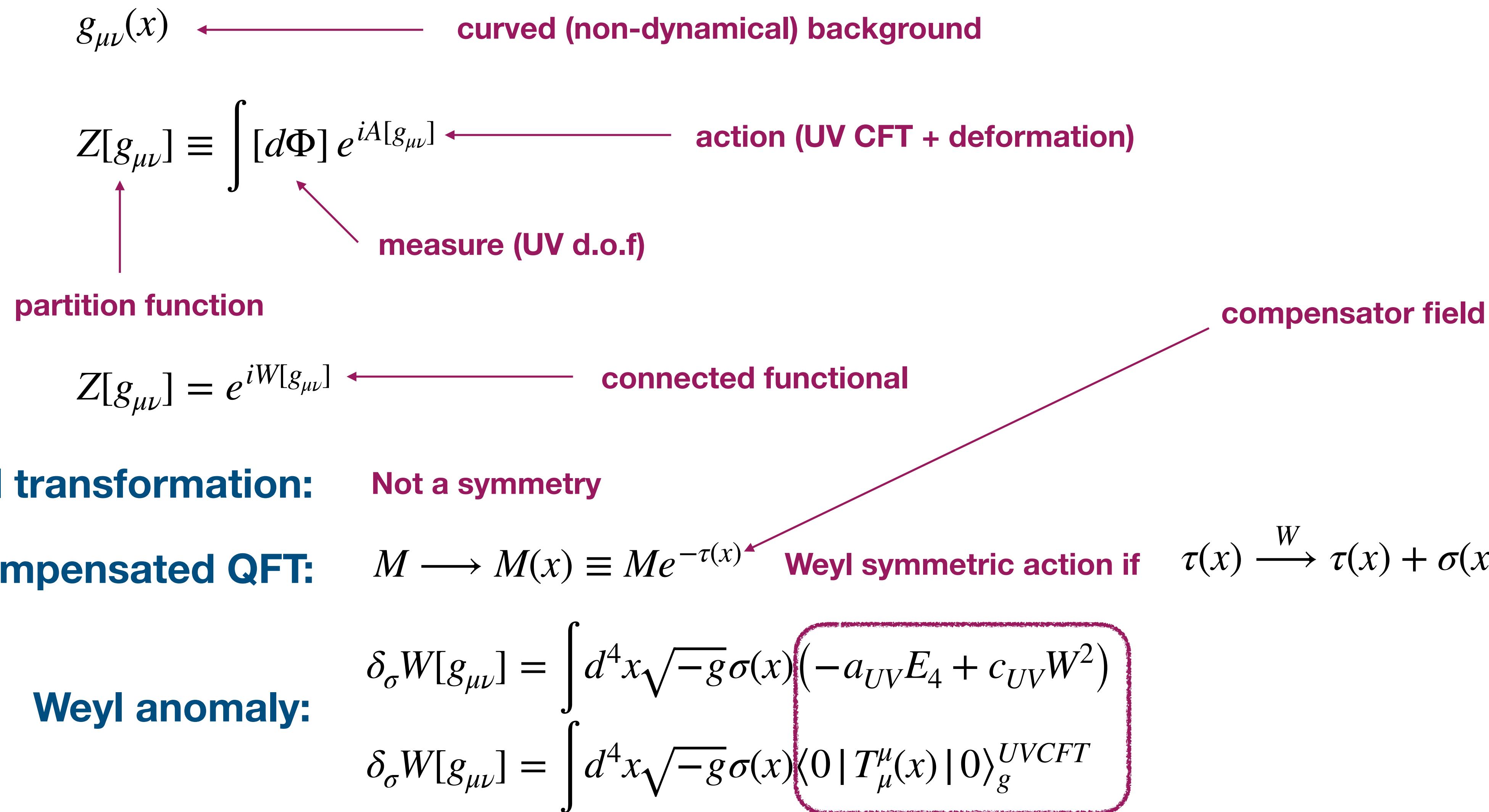
[Z. Komargodski, A. Schwimmer; 2011]

[G. Mathys, T. Hartman; 2023]

# Part 1: why sum-rules matter

- 1. Lead to universal constraints on QFTs**
- 2. Play an important role in bootstrap studies**

# Part 2: background field method



# Part 2: background field method

$$A_{EFT}[\tau, g_{\mu\nu}, \Theta] = -a_{UV} \times A_a[\tau, g_{\mu\nu}] + c_{UV} \times A_c[\tau, g_{\mu\nu}] + A_{invariant}[\hat{g}_{\mu\nu}]$$

$$A_a[\tau, g_{\mu\nu}] = \int d^4x \sqrt{-g} \left( \tau E_4 + 4 \left( R^{\mu\nu} - \frac{1}{2} g^{\mu\nu} R \right) \partial_\mu \tau \partial_\nu \tau + 2(\partial\tau)^4 - 4(\partial\tau)^2 \square \tau \right)$$

$$A_c[\tau, g_{\mu\nu}] = \int d^4x \sqrt{-g} \tau W^2$$

$$A_{invariant}[\hat{g}_{\mu\nu}] = \int d^4x \sqrt{-\hat{g}} \left( M^4 \lambda + M^2 r_0 \hat{R} + r_1 \hat{R}^2 + r_2 \hat{W}^2 + r_3 \hat{E}_4 + \dots \right)$$

$$A_{EFT}[\tau, g_{\mu\nu}, \Theta] = A_{IR\ CFT}[\tau, g_{\mu\nu}, \Theta] - \Delta a \times A_a[\tau, g_{\mu\nu}] + \Delta c \times A_c[\tau, g_{\mu\nu}] + A_{invariant}[\hat{g}_{\mu\nu}]$$

$$+ \sum_{1 \leq \Delta \leq 2} \lambda_\Delta \int d^4x \sqrt{-\hat{g}} M^{2-\Delta} R(\hat{g}) \hat{\mathcal{O}}_\Delta(x)$$

caused confusion

$$\Delta a \equiv a_{UV} - a_{IR}$$

and

$$\Delta c \equiv c_{UV} - c_{IR}$$

[Z. Komargodski, A. Schwimmer; 2011]

[M. Luty, J. Polchinski, R. Rattazzi; 2012]

[E.Fradkin, A. Tseytlin; 1984]

[A. Schwimmer, S. Theisen; 2011]

$$\hat{g}_{\mu\nu}(x) \equiv e^{2\tau(x)} g_{\mu\nu}(x)$$

$$\hat{\mathcal{O}}_\Delta(x) \equiv e^{\Delta\tau(x)} \mathcal{O}(x)$$

# Part 2: dilaton-graviton vertices

$$e^{-\tau(x)} \equiv 1 - \frac{\varphi(x)}{\sqrt{2f}}$$

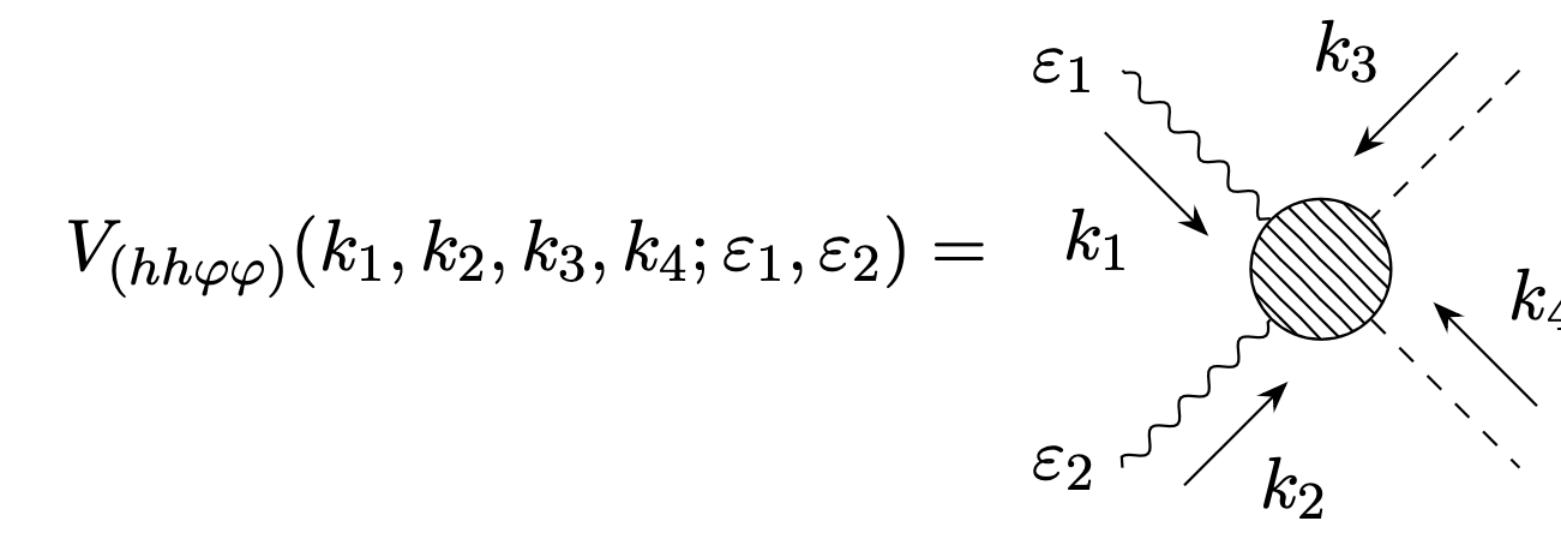
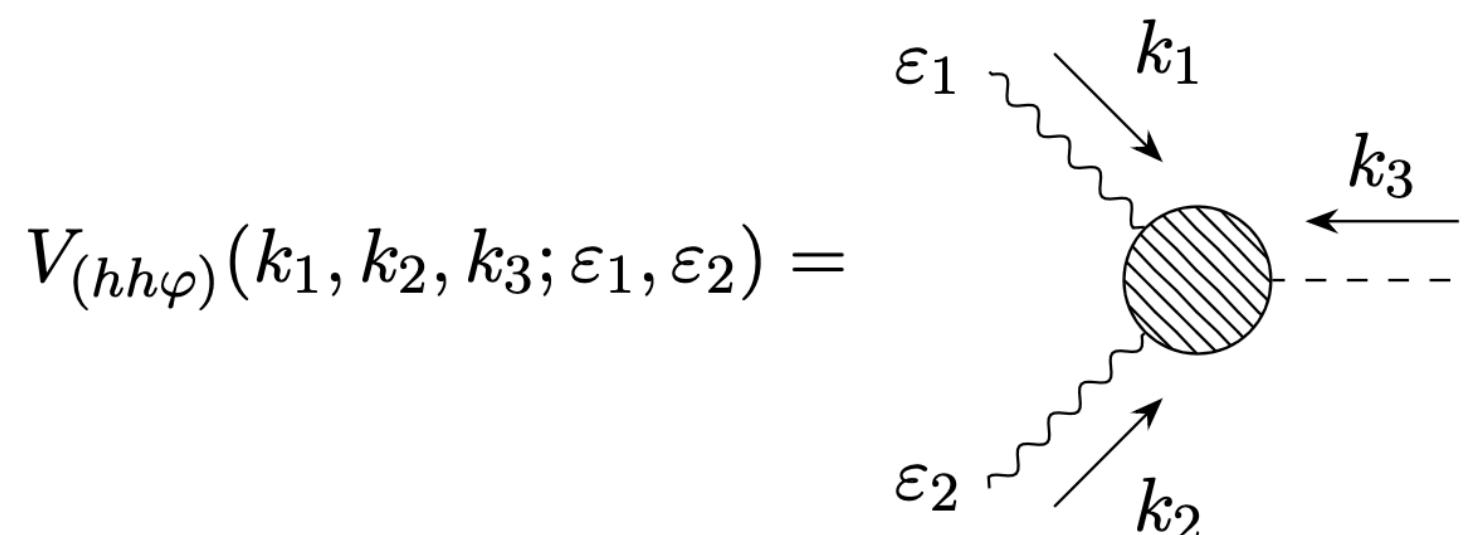
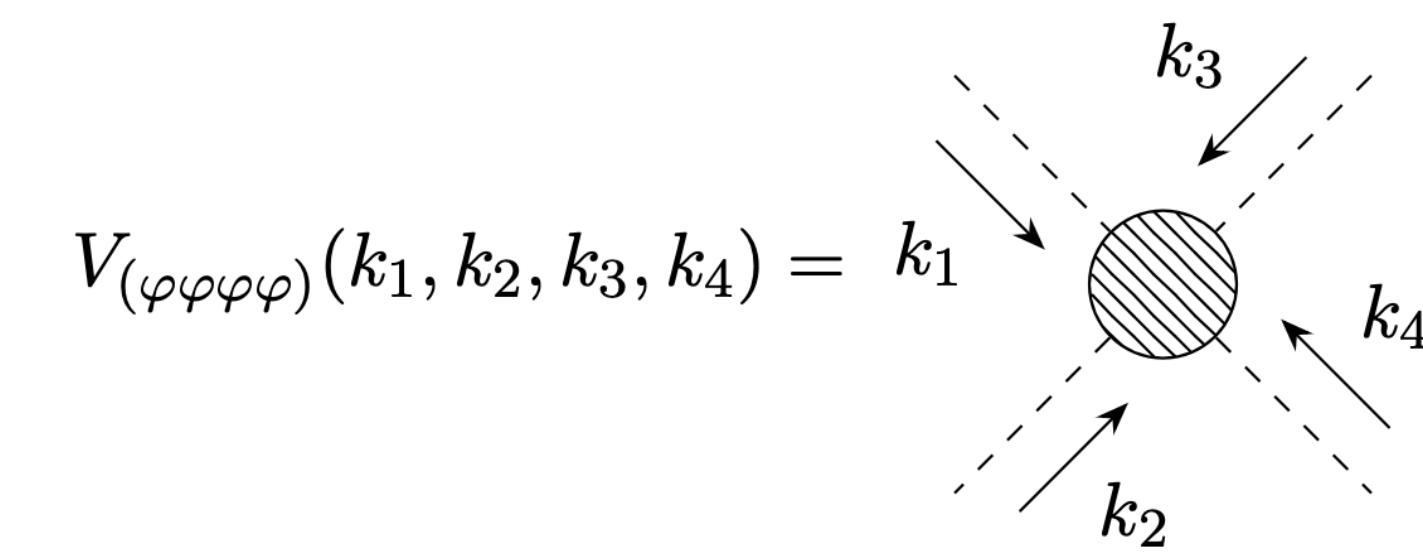
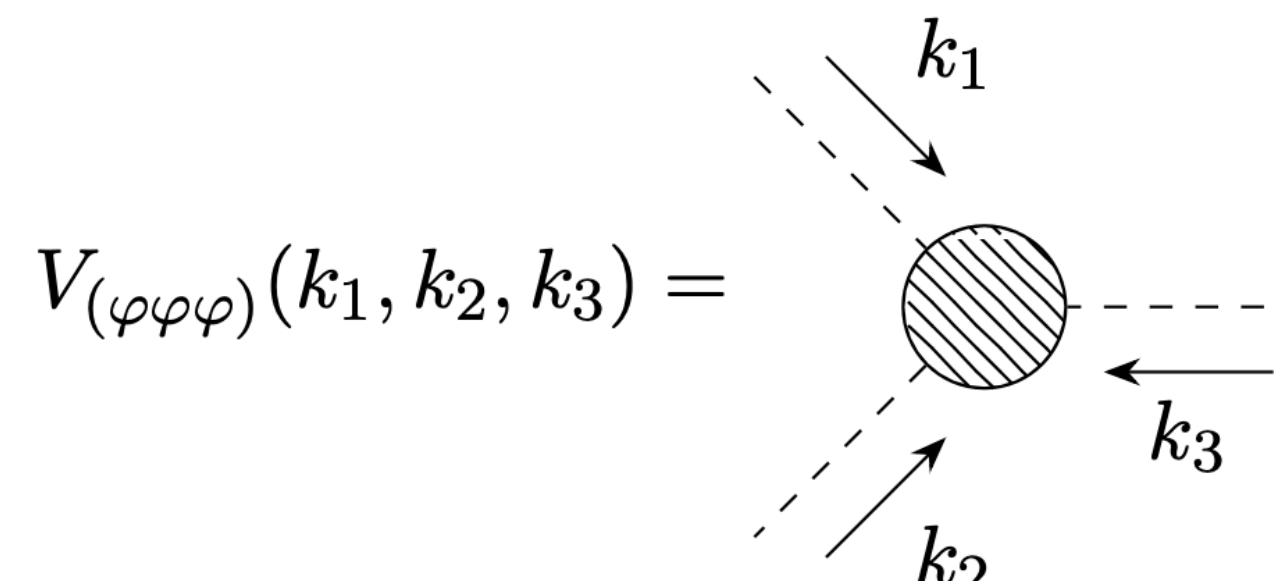
**dilaton field**

$$g_{\mu\nu}(x) \equiv \eta_{\mu\nu} + 2\kappa h_{\mu\nu}(x)$$

**graviton field**

$$(2\pi)^4 \delta^{(4)}(p_1 + \dots + p_m + q_1 + \dots + q_n) \times V_{(h\dots h\varphi\dots\varphi)}^{\mu_1\nu_1, \dots, \mu_m\nu_m}(p_1, \dots, p_m, q_1, \dots, q_n) \equiv \left. \frac{i \delta^{m+n} A_{EFT}[\tau, g_{\mu\nu}]}{\delta h_{\mu_1\nu_1}(p_1) \dots \delta h_{\mu_m\nu_m}(p_m) \delta\varphi(q_1) \dots \delta\varphi(q_n)} \right|_{h,\varphi=0}$$

**graviton-dilation vertex**



# Part 2: dilaton-graviton vertices

Diagram illustrating the dilaton-graviton vertex:

$$= \frac{i\sqrt{2}}{f^3} \left( \Delta a \left( (k_1^2)^2 + (k_2^2)^2 + (k_3^2)^2 \right) + 2(18r_1 - \Delta a)(k_1^2 k_2^2 + k_2^2 k_3^2 + k_3^2 k_1^2) + \dots \right)$$

**tensor structures:**

$$(\varepsilon_1 \cdot \varepsilon_2) \equiv \varepsilon_{1\mu\nu} \varepsilon_2^{\mu\nu}$$

$$(k_i \cdot \varepsilon_j \cdot k_k) \equiv k_{i\mu} \varepsilon_j^{\mu\nu} k_{k\nu}$$

$$(k_i \cdot \varepsilon_1 \cdot \varepsilon_2 \cdot k_j) \equiv k_{i\mu} \varepsilon_1^{\mu\rho} \varepsilon_{2\rho\nu} k_j^\nu$$

$$= f_1(k_1, k_2) \times (\varepsilon_1 \cdot \varepsilon_2) + f_2(k_1, k_2) \times (k_1 \cdot \varepsilon_2 \cdot k_1)(k_2 \cdot \varepsilon_1 \cdot k_2) + f_3(k_1, k_2) \times (k_1 \cdot \varepsilon_2 \cdot \varepsilon_1 \cdot k_2)$$

$$f_1(k_1, k_2) = \frac{4i\kappa^2}{\sqrt{2}f} \left( 2(-\Delta a + \Delta c + 18r_1)(k_1 \cdot k_2)^2 + (2\Delta a - \Delta c + 24r_1)k_1^2 k_2^2 + 12r_1(k_1^4 + k_2^4) + 42r_1(k_1 \cdot k_2)(k_1^2 + k_2^2) + \dots \right)$$

$$f_2(k_1, k_2) = \frac{8i\kappa^2}{\sqrt{2}f} (-\Delta a + \Delta c + \dots)$$

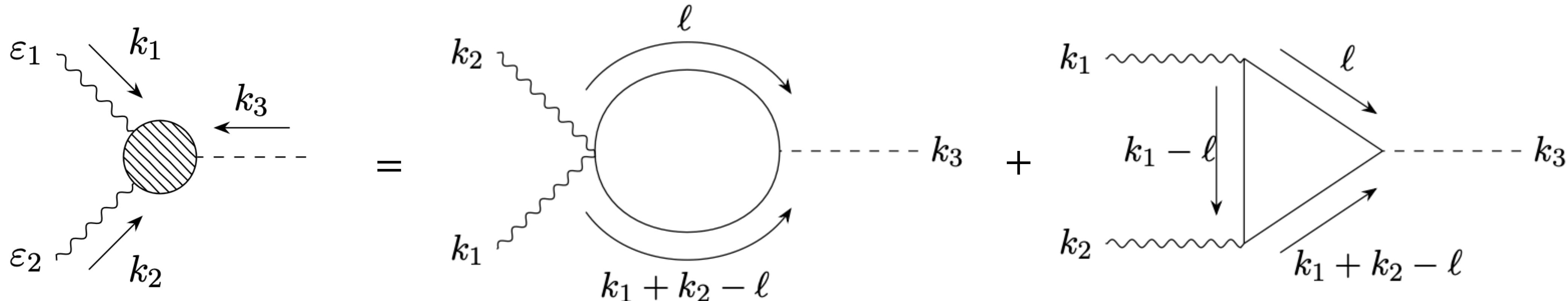
$$f_3(k_1, k_2) = \frac{8i\kappa^2}{\sqrt{2}f} (2(\Delta a - \Delta c - 6r_1)(k_1 \cdot k_2) - 6r_1(k_1^2 + k_2^2) + \dots)$$

# Part 3: example

$$A_{free\ scalar}[\Phi] \equiv \int d^4x \left( -\frac{1}{2}\eta^{\mu\nu}\partial_\mu\Phi(x)\partial_\nu\Phi(x) - \frac{1}{2}m^2\Phi^2(x) \right)$$

$$\left( e^{-\tau(x)} \equiv 1 - \frac{\varphi(x)}{\sqrt{2}f} \right)$$

$$A_{free\ scalar}^{compensated}[\Phi, \varphi, h] \equiv \int d^d x \sqrt{-g} \left( -\frac{1}{2}g^{\mu\nu}\partial_\mu\Phi\partial_\nu\Phi - \frac{1}{2}m^2e^{-2\tau}\Phi^2 - \frac{d-2}{8(d-1)}R\Phi^2 \right)$$



$$f_1(k_1, k_2) = \frac{i\kappa^2}{1440\sqrt{2}\pi^2 f} (2(k_1^2)^2 + 2(k_2^2)^2 + 10(k_1 \cdot k_2)^2 + 7k_1 \cdot k_2(k_1^2 + k_2^2) + 3k_1^2 k_2^2)$$

$$f_2(k_1, k_2) = + \frac{i\kappa^2}{360\sqrt{2}\pi^2 f}$$

$$f_3(k_1, k_2) = - \frac{i\kappa^2}{720\sqrt{2}\pi^2 f} (k_1^2 + k_2^2 + 6(k_1 \cdot k_2))$$

$$\Delta a = \frac{1}{5760\pi^2}, \quad \Delta c = 3\Delta a, \quad r_1 = \frac{\Delta a}{6}$$

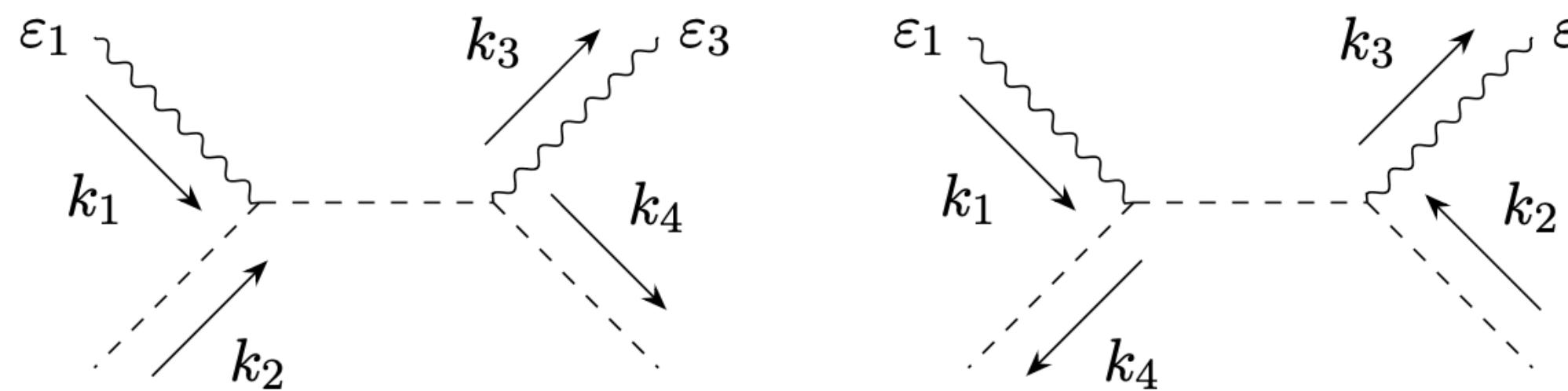
# Part 4: graviton-dilation amplitude

$$A_{kinetic}^\varphi = -\frac{\bar{f}^2}{6} \int d^4x \sqrt{-\hat{g}} \ \widehat{R}, \quad -\frac{\bar{f}^2}{6} \equiv -\frac{f^2}{6} - M^2 r_0$$

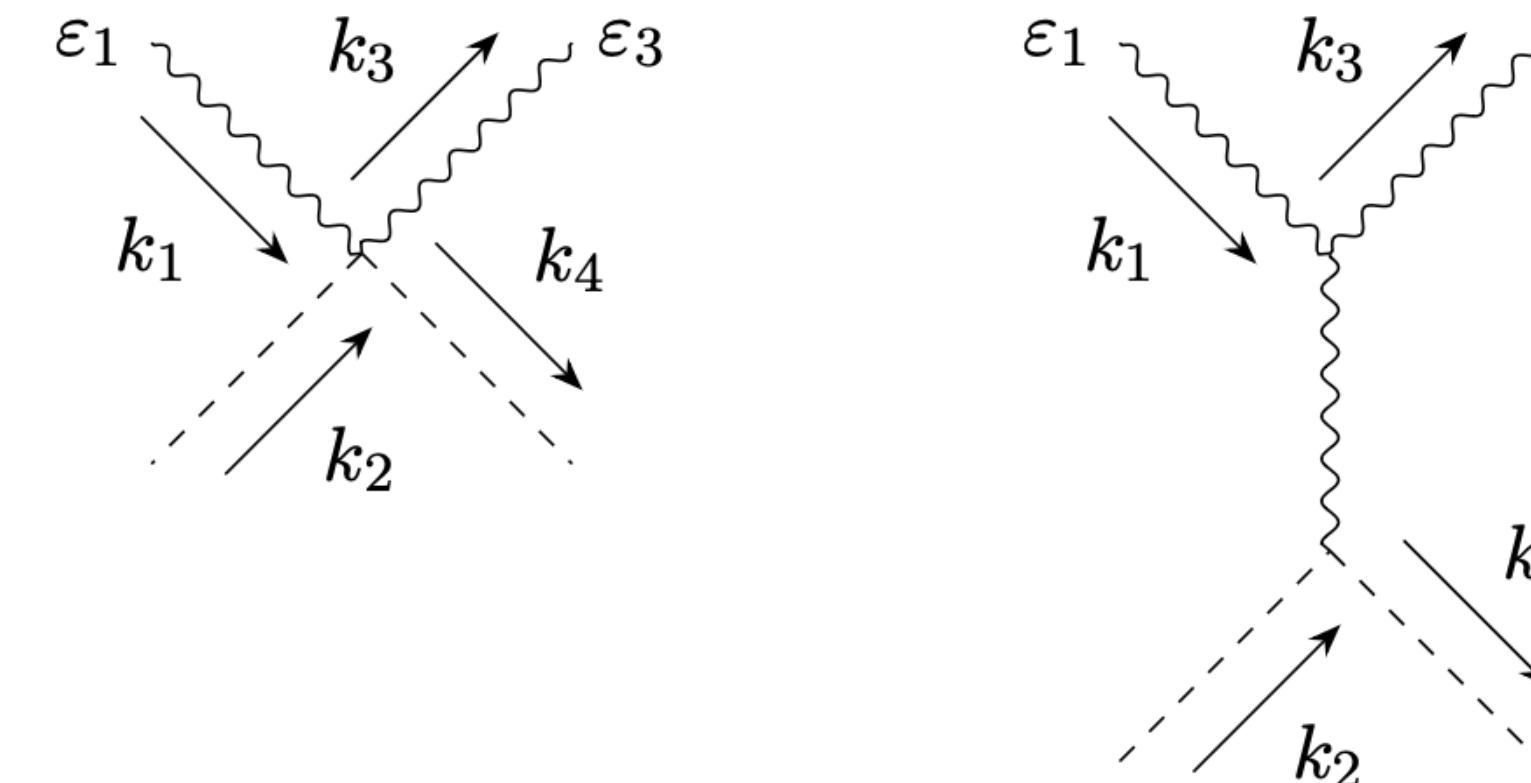
$$A = A_{EFT} + A_{kinetic}^\varphi + A_{kinetic}^h$$

$(\kappa \rightarrow 0, f \rightarrow \infty, \kappa^{-1} \gg f)$

$$A_{kinetic}^h = \frac{1}{2\bar{\kappa}^2} \int d^4x \sqrt{-g} \ R, \quad \frac{1}{2\bar{\kappa}^2} \equiv \frac{1}{2\kappa^2} + \frac{f^2}{6}.$$

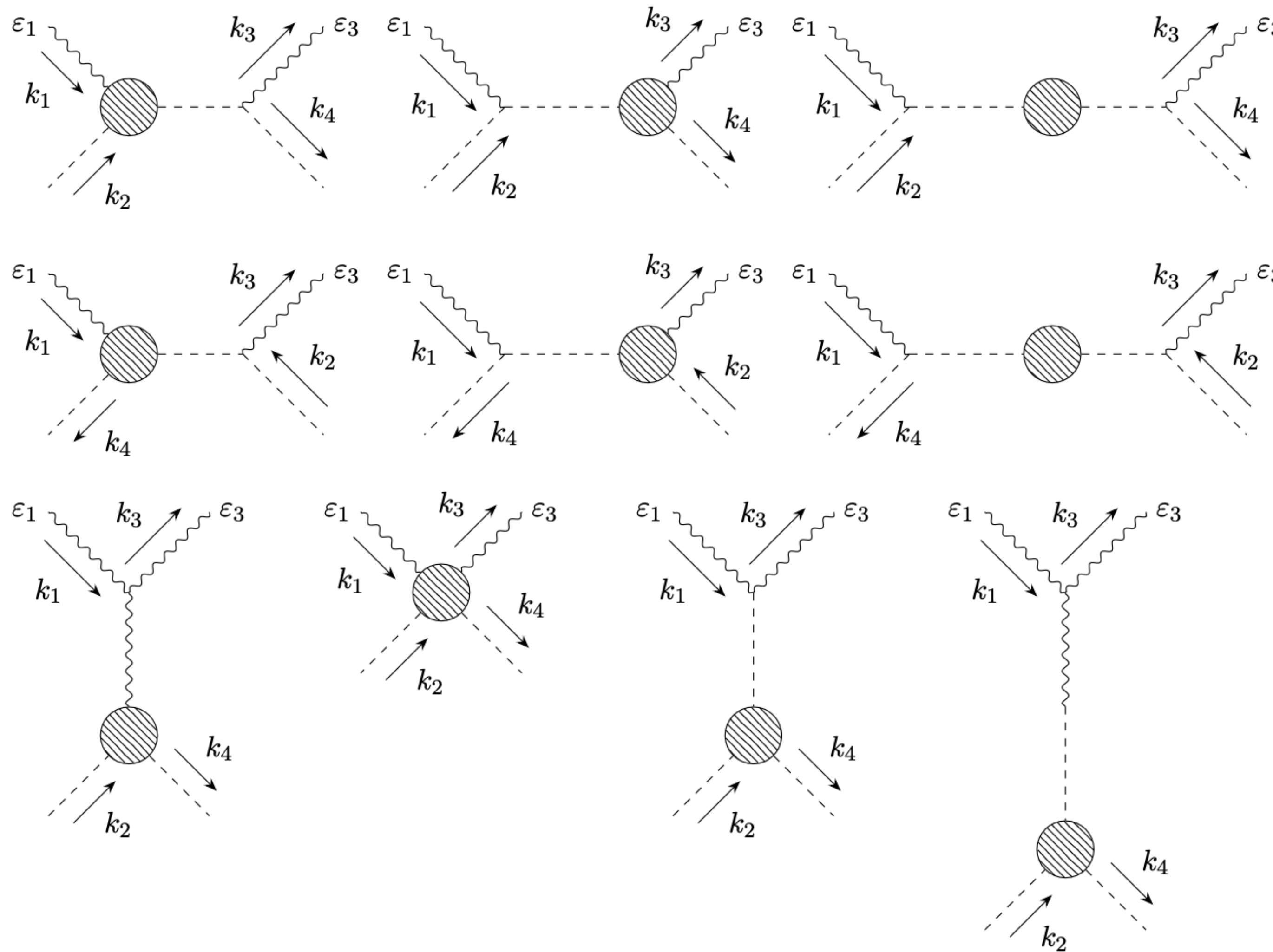


$O(\kappa^2) :$



# Part 4: graviton-dilation amplitude

$$O\left(\frac{\kappa^2}{f^2}\right) :$$



# Part 4: graviton-dilation amplitude

**Scattering amplitude:**

$$\mathcal{T}_{h\varphi \rightarrow h\varphi}(k_1, k_2, k_3, k_4; \varepsilon_1, \varepsilon_3) = \kappa^2 \frac{su}{t^3} \mathbf{T}_2 + \frac{\kappa^2}{f^2} (\Delta c - \Delta a) \mathbf{T}_1$$

**Tensor structures:**

$$\begin{aligned} \mathbf{T}_1 &\equiv (H_1)^{\mu\nu, \rho\sigma} (H_3)_{\mu\nu, \rho\sigma} \\ \mathbf{T}_2 &\equiv (H_1)^{\mu\nu, \rho\sigma} (H_3)_{\mu\nu, \rho\sigma} + \left( \frac{16}{su} \right) k_2^{\alpha_1} k_3^{\alpha_2} (H_1)^{\mu\nu}_{\alpha_1\alpha_2} k_1^{\beta_1} k_2^{\beta_2} (H_3)_{\mu\nu, \beta_1\beta_2} \\ &\quad + \left( \frac{8}{su} \right)^2 \left( k_2^{\alpha_1} k_3^{\alpha_2} k_2^{\alpha_3} k_3^{\alpha_4} (H_1)_{\alpha_1\alpha_2, \alpha_3\alpha_4} \right) \left( k_1^{\beta_1} k_2^{\beta_2} k_1^{\beta_3} k_2^{\beta_4} (H_3)_{\beta_1\beta_2, \beta_3\beta_4} \right) \end{aligned}$$

**Basic building block:**

$$(H_i)^{\mu\nu, \rho\sigma} \equiv k_i^\mu k_i^\rho \varepsilon_i^{\nu\sigma}(k_i) - k_i^\mu k_i^\sigma \varepsilon_i^{\nu\rho}(k_i) - k_i^\nu k_i^\rho \varepsilon_i^{\mu\sigma}(k_i) + k_i^\nu k_i^\sigma \varepsilon_i^{\mu\rho}(k_i)$$

**Linearised gauge transformations:**

$$\varepsilon_i^{\mu\nu}(k_i) \rightarrow \varepsilon_i^{\mu\nu}(k_i) + \chi^\mu k_i^\nu + \chi^\nu k_i^\mu$$

**Center of mass amplitude:**

$$\begin{aligned} \mathcal{T}_{+2}^{+2}(s, t, u) &= \mathcal{T}_{-2}^{-2}(s, t, u) = \kappa^2 \frac{su}{t} \\ \mathcal{T}_{+2}^{-2}(s, t, u) &= \mathcal{T}_{-2}^{+2}(s, t, u) = \frac{\kappa^2}{f^2} (\Delta c - \Delta a) t^2 \end{aligned}$$

# Summary: part 2 - part 4

$$\Delta a = \lim_{f \rightarrow \infty} f^4 \int_{m^2}^{\infty} \frac{ds}{\pi} \frac{\text{Im } \mathcal{T}(s, 0, -s)}{s^3}$$
$$\Delta c - \Delta a = \lim_{f \rightarrow \infty} \lim_{\kappa \rightarrow 0} \frac{f^2}{\kappa^2} \int_{m^2}^{\infty} \frac{ds}{\pi} \frac{\text{Im } \partial_t^2 \mathcal{T}_{+2}^{-2}(s, 0, -s)}{s}$$

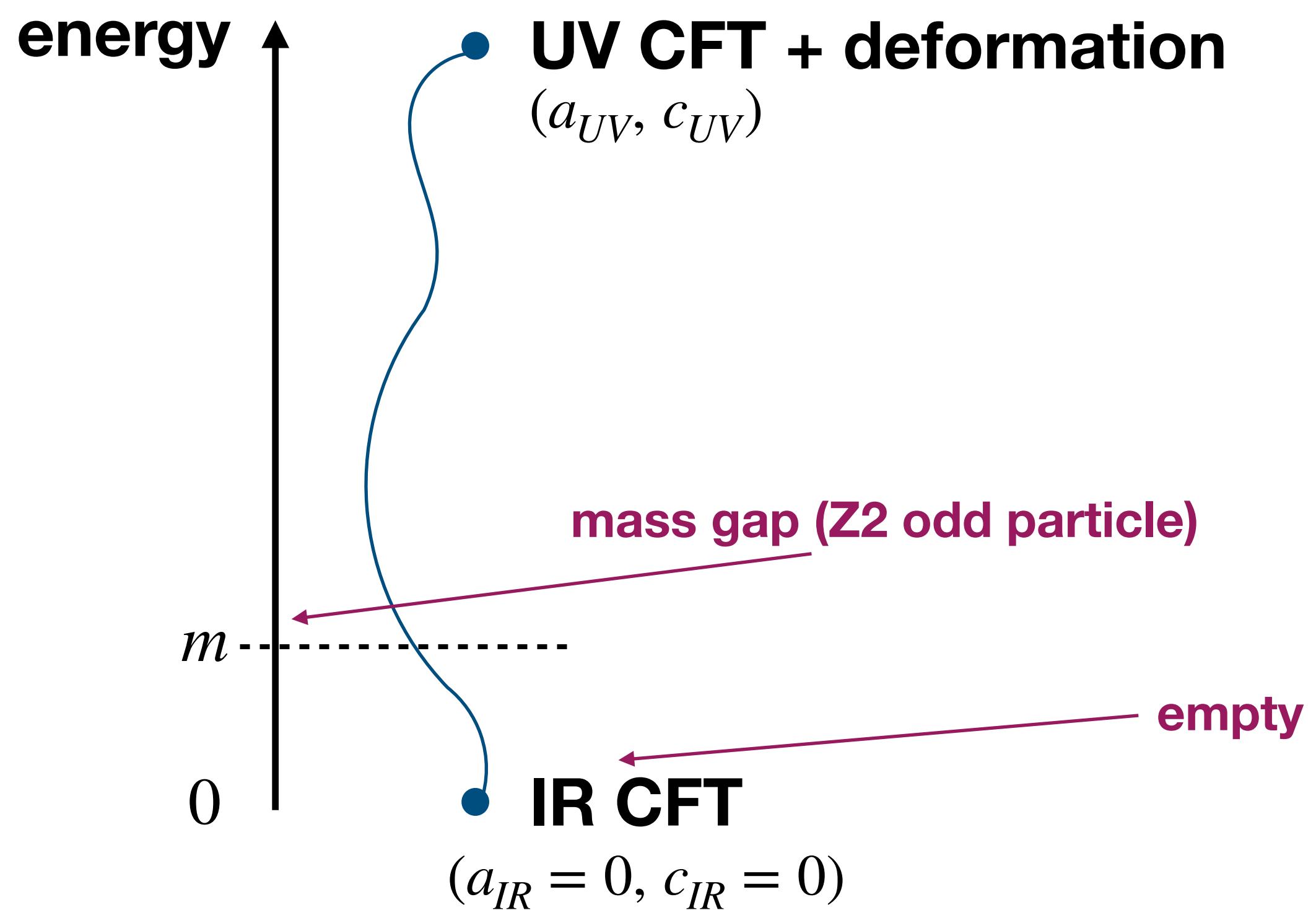
[Z. Komargodski, A. Schwimmer; 2011]

new

(only states with spin=2,4,6,... contribute)

1. We used the background field method to study QFTs (dilatons and gravitons)
2. EFT of background fields is fixed by trace anomalies (Weyl anomaly matching)
3. Trace anomalies of QFTs are extracted from the vertices of background fields
4. We tested this technology in several examples (free scalar example presented)
5. We compactly package all the vertices into a dilaton-graviton amplitude

# Part 5: bootstrap application



**Scattering amplitude:**  $\mathcal{T}_{mm \rightarrow mm}(s, t, u)$

**Observables:**

$$\lambda_0 \equiv \frac{1}{32\pi} \mathcal{T}_{mm \rightarrow mm}(4m^2/3, 4m^2/3, 4m^2/3)$$
$$\lambda_2 \equiv \frac{1}{32\pi} m^4 \partial_s^2 \mathcal{T}_{mm \rightarrow mm}(4m^2/3, 4m^2/3, 4m^2/3)$$

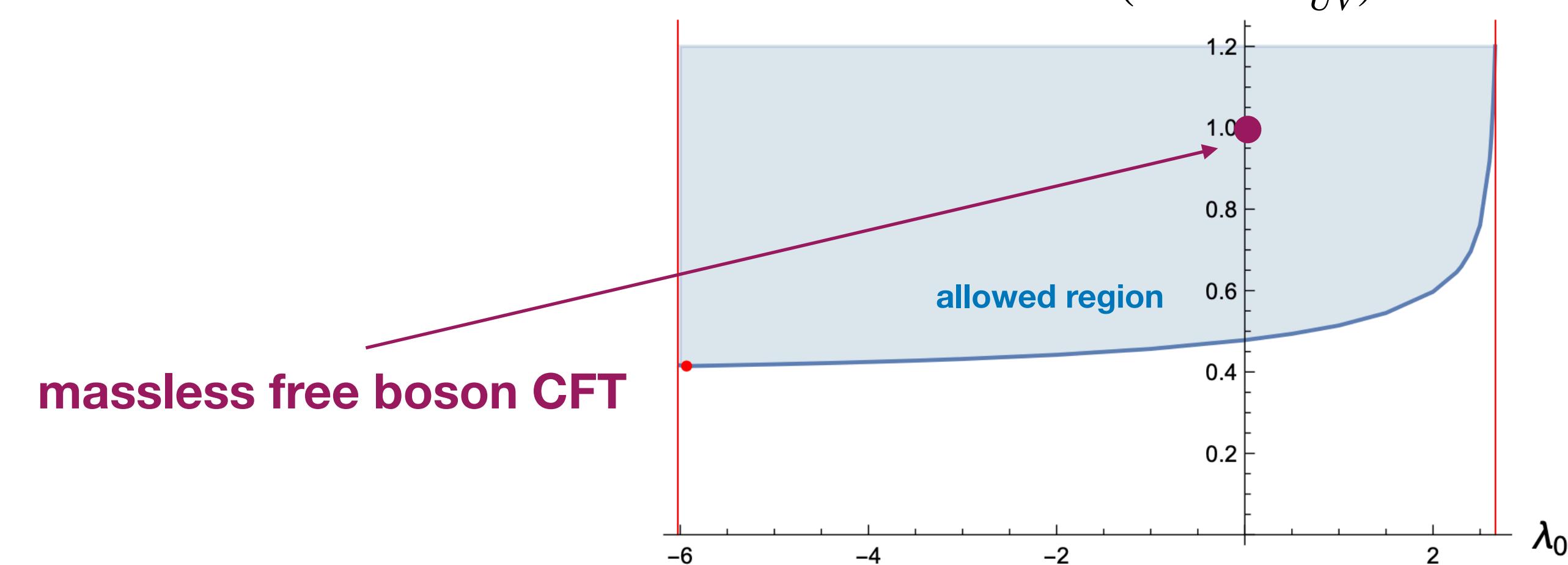
**S-matrix bootstrap bounds:**

$$-6.0253 \leq \lambda_0 \leq +2.6613$$
$$0 \leq \lambda_2 \leq +2.2568$$

[DK, J. Marucha, B. Sahoo, J. Penedones; 2022]

**New result:**

$(5760\pi^2 a_{UV})$



**Thank you!**