

Exclusive and semi-inclusive diffractive processes in the shockwave approach

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in collaboration with

R. Boussarie A. V. Grabovsky, E. Li, L. Szymanowski, S. Wallon
based on

[M. F., Grabovsky, Li, Szymanowski, Wallon, JHEP 03 (2024) 159]

[M. F., Grabovsky, Li, Szymanowski, Wallon, JHEP 04 (2023) 137]

[R. Boussarie, M. F., L. Szymanowski, S. Wallon (to appear)]

QCD evolution (2024), Pavia, 27-31 May 2024



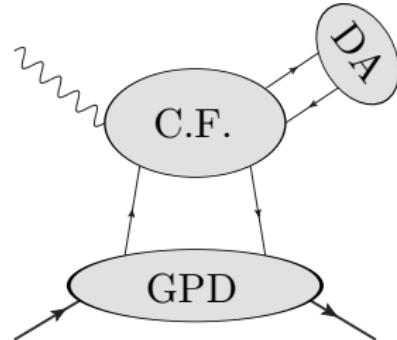
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Deeply virtual meson production (DVMP)

- Exclusive ρ -meson leptoproduction

$$\gamma^{(*)}(p_\gamma) + P(p_0) \rightarrow \rho(p_\rho) + P(p'_0)$$

- Extensively studied at HERA



- NLO corrections to the production of a longitudinally polarized ρ -meson at small- x

[Ivanov, Kotsky, Papa (2004)]

[Boussarie, Grabovsky, Ivanov, Szymanowski, Wallon (2017)]

[Mäntysaari, Pentalla (2022)]

- Transversally polarized ρ -meson production start at the **twist-3**
[Diehl, Gousset, Pire (1999)] [Collins, Diehl (2020)]
- Collinear treatment at the twist-3 leads to end point singularities
[Mankiewicz, Piller (2000)] [Anikin, Teryaev (2002)]

Transversely polarized ρ -meson production

- Momentum space impact factor for the exclusive ρ -meson production at the twist-3 in the dilute limit (BFKL scheme) and forward case

[Anikin, Ivanov, Pire, Szymanowski, Wallon (2009)]

- Phenomenological studies at small- x

[Besse, Szymanowski, Wallon (2013)]

[Bolognino, Celiberto, Ivanov, Papa (2018)]

[Bolognino, Szczerba, Schäfer (2019)]

- i.* Restricted to s -channel helicity conserving (SCHC) amplitudes

- Exclusive light-meson production at the **twist-3** within the Shockwave approach

[Boussarie, M.F., Szymanowski, Wallon (to appear)]

- i.* Saturation corrections to DVMP in the transversely polarized case

- ii.* Both forward and non-forward results

- iii.* Beyond SCHC

- iv.* Coordinate and momentum space representations

- v.* Linearization [Caron-Huot (2013)] \implies BFKL results

Theoretical framework

- Effective background field operator formalism of small- x physics
[Balitsky (2001)]

$$[\psi_{\text{eff}}(z_0)]_{z_0^+ < 0} = \psi(z_0) - \int d^D z_2 G_0(z_{02}) (V_{z_2}^\dagger - 1) \gamma^+ \psi(z_2) \delta(z_2^+)$$

$$[\bar{\psi}_{\text{eff}}(z_0)]_{z_0^+ < 0} = \bar{\psi}(z_0) + \int d^D z_1 \bar{\psi}(z_1) \gamma^+ (V_{z_1} - 1) G_0(z_{10}) \delta(z_1^+)$$

$$[A_{\text{eff}}^{\mu a}(z_0)]_{z_0^+ < 0} = A^{\mu a}(z_0) + 2i \int d^D z_3 \delta(z_3^+) F_{-\sigma}^b(z_3) G^{\mu \sigma \perp}(z_{30}) (U_{z_3}^{ab} - \delta^{ab})$$

- Higher-twist formalisms

i. Covariant collinear factorization

[Braun, Filyanov (1990)]

[Ball, Braun, Koike, Tanaka (1998)]

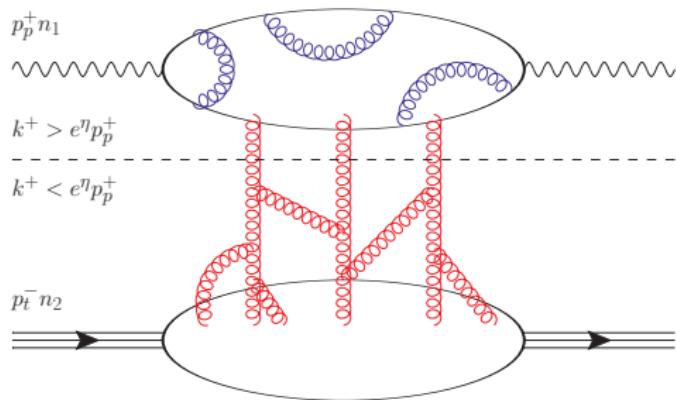
ii. Light-cone collinear factorization

[Ellis, Furmanowski, Petronzio (1982)]

[Anikin, Teryaev (2002)]

Shockwave approach

- High-energy approximation $s = (p_p + p_t)^2 \gg \{Q^2\}$



$$p_p = \cancel{p}_p^+ \cancel{n}_1 - \frac{Q^2}{2\cancel{p}_p^+} n_2$$

$$p_t = \frac{m_t^2}{2\cancel{p}_t^-} n_1 + \cancel{p}_t^- \cancel{n}_2$$

$$\cancel{p}_p^+ \sim \cancel{p}_t^- \sim \sqrt{\frac{s}{2}}$$

$$n_1^2 = n_2^2 = 0 \quad n_1 \cdot n_2 = 1$$

- Separation of the gluonic field into “fast” (quantum) part and “slow” (classical) part through a rapidity parameter $\eta < 0$

[I. Balitsky (1996-2001)]

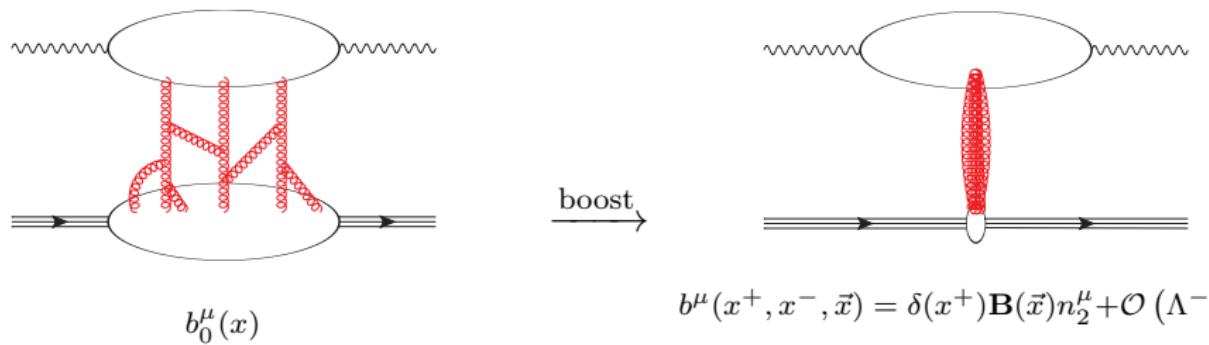
$$\mathcal{A}^\mu(k^+, k^-, \vec{k}) = \cancel{A}^\mu(k^+ > e^\eta \cancel{p}_p^+, k^-, \vec{k}) + \cancel{b}^\mu(k^+ < e^\eta \cancel{p}_p^+, k^-, \vec{k})$$

$$e^\eta \ll 1$$

Shockwave approach

- Large longitudinal Boost: $\Lambda = \sqrt{\frac{1+\beta}{1-\beta}} \sim \frac{\sqrt{s}}{m_t}$

$$\begin{cases} b^+(x^+, x^-, \vec{x}) &= \Lambda^{-1} b_0^+(\Lambda x^+, \Lambda^{-1} x^-, \vec{x}) \\ b^-(x^+, x^-, \vec{x}) &= \Lambda b_0^-(\Lambda x^+, \Lambda^{-1} x^-, \vec{x}) \\ b^i(x^+, x^-, \vec{x}) &= b_0^i(\Lambda x^+, \Lambda^{-1} x^-, \vec{x}) \end{cases}$$



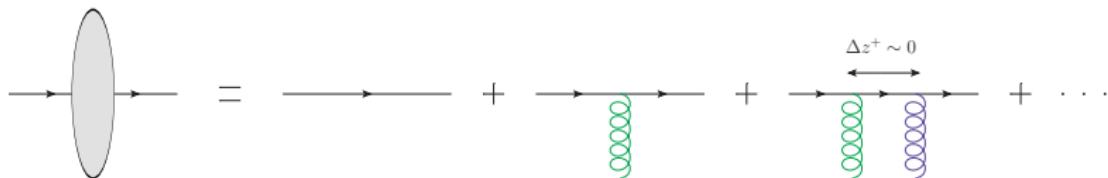
Shockwave approximation

- Light-cone gauge $A \cdot n_2 = 0$

$A \cdot b = 0 \implies$ Simple effective Lagrangian

Shockwave approach

- Interactions with the simple shockwave field
 - i. Independence on $x^- \Rightarrow$ conservation of p^+ (eikonal approximation)
 - ii. $\delta(x^+) \Rightarrow$ interactions at a single transverse coordinate.
- Quark line through the shockwave



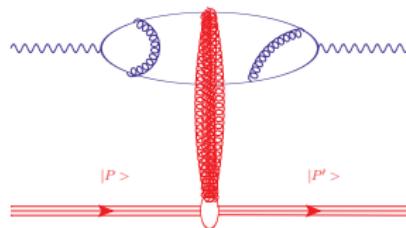
$$V_{\vec{z}_i} = 1 + ig \int_{-\infty}^{+\infty} dz_i^+ b_\eta^- (z_i^+, \vec{z}_i) + (ig)^2 \int_{-\infty}^{+\infty} dz_i^+ dz_j^+ b_\eta^- (z_i^+, \vec{z}_i) b_\eta^- (z_j^+, \vec{z}_i) \theta(z_{ij}^+) + \dots$$

- Multiple interactions with the target \rightarrow **path-ordered Wilson lines**

$$V_{\vec{z}}^\eta = \mathcal{P} \exp \left[ig \int_{-\infty}^{+\infty} dz_i^+ b_\eta^- (z_i^+, \vec{z}) \right]$$

Shockwave approach

- Factorization in the Shockwave approximation



$$\mathcal{M}^\eta = N_c \int d^d z_1 d^d z_2 \Phi^\eta(z_1, z_2) \langle P' | \mathcal{U}_{12}^\eta(z_1, z_2) | P \rangle$$

- Dipole operator
- Evolution equations

$$\mathcal{U}_{ij}^\eta = 1 - \frac{1}{N_c} \text{Tr} \left(V_{\vec{z}_i}^\eta V_{\vec{z}_j}^{\eta\dagger} \right)$$

- Balitsky-JIMWLK evolution equations

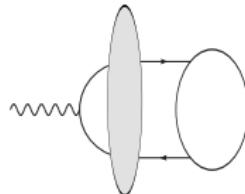
[Balitsky (1995)]

[Jalilian-Marian, Iancu, McLerran, Weigert, Kovner, Leonidov]

- Large $N_c \rightarrow$ Balitsky-Kovchegov (BK) non-linear equation
[Balitsky (1995)] [Kovchegov (1999)]
- Evolution at the NLO
[Balitsky, Chirilli (2007)] [Kovner, Lublinsky, Mulian (2013)]

ρ -meson production: diagrams

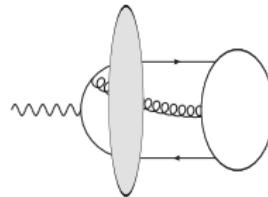
- **2-body contribution**



- i. Dependence of the leading Fock state wave function – with a minimal number of (valence) partons – on transverse momentum

$$\mathcal{A}_2 = -ie_f \int d^D z_0 \theta(-z_0^+) \left\langle P(p') M(p_M) \left| \bar{\psi}_{\text{eff}}(z_0) \hat{\varepsilon}_q e^{-i(q \cdot z_0)} \psi_{\text{eff}}(z_0) \right| P(p) \right\rangle$$

- **3-body contribution**

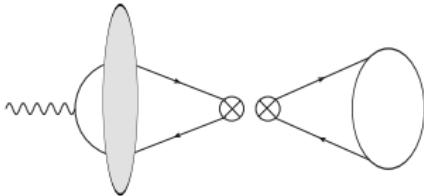


- i. Distribution with a non-minimal parton configuration

$$\begin{aligned} \mathcal{A}_{3,q} = & (-ie_q)(ig) \int d^D z_4 d^D z_0 \theta(-z_4^+) \theta(-z_0^+) \\ & \times \left\langle P(p') M(p_M) \left| \bar{\psi}_{\text{eff}}(z_4) \gamma_\mu A_{\text{eff}}^{\mu a}(z_4) t^a G(z_{40}) \hat{\varepsilon}_q e^{-i(q \cdot z_0)} \psi_{\text{eff}}(z_0) \right| P(p) \right\rangle \end{aligned}$$

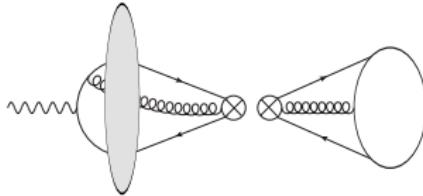
ρ -meson production: factorization

- **2-body contribution**



$$\begin{aligned} \mathcal{A}_2 = & i e_f \int d^D z_0 \int d^D z_1 \int d^D z_2 \theta(-z_0^+) \delta(z_1^+) \delta(z_2^+) \left\langle M(p_M) \left| \text{tr}_c \bar{\psi}(z_1) \Gamma^\lambda \psi(z_2) \right| 0 \right\rangle \\ & \times \left\langle P(p') \left| 1 - \frac{1}{N_c} \text{tr} \left(V_{\mathbf{z}_1} V_{\mathbf{z}_2}^\dagger \right) \right| P(p) \right\rangle \frac{1}{4} \text{tr}_D \left[\gamma^+ G_0(z_{10}) \hat{\varepsilon}_q e^{-i(q \cdot z_0)} G_0(z_{02}) \gamma^+ \Gamma_\lambda \right] \end{aligned}$$

- 3-body contribution



$$\begin{aligned} \mathcal{A}_{q3} = & -ie_q \int d^D z_4 d^D z_3 d^D z_2 d^D z_1 d^D z_0 \theta(-z_4^+) \delta(z_3^+) \delta(z_2^+) \delta(z_1^+) \theta(-z_0^+) e^{-i(q \cdot z_0)} \\ & \times \left\langle P(p') \left| \text{tr} \left(V_{z_1} t^a V_{z_2}^\dagger t^b U_{z_3}^{ab} \right) \right| P(p) \right\rangle \left\langle M(p_M) \left| \bar{\psi}(z_1) \Gamma^\lambda g F_{-\sigma}(z_3) \psi(z_2) \right| 0 \right\rangle \\ & \times \frac{1}{N_c^2 - 1} \text{tr}_D [\gamma^+ G_0(z_{14}) \gamma_\mu G^{\mu\sigma\perp}(z_{34}) G_0(z_{40}) \hat{\varepsilon}_q G_0(z_{02}) \gamma^+ \Gamma_\lambda] - \text{n.i.} \end{aligned}$$

Results: 2-body contribution

- Dipole amplitude

$$\mathcal{A}_2 = \int_0^1 dx \int d^2\mathbf{r} \Psi(x, \mathbf{r}) \int d^d\mathbf{b} e^{i(\mathbf{q}-\mathbf{p}_M)\cdot\mathbf{b}} \left\langle P(p') \left| 1 - \frac{1}{N_c} \text{tr} \left(V_{\mathbf{b}+\bar{x}\mathbf{r}} V_{\mathbf{b}-x\mathbf{r}}^\dagger \right) \right| P(p) \right\rangle$$

- Wavefunction overlap

$$\begin{aligned} \Psi_2(x, \mathbf{r}) &= e_q \delta \left(1 - \frac{p_M^+}{q^+} \right) \left(\varepsilon_{q\mu} - \frac{\varepsilon_q^+}{q^+} q_\mu \right) \\ &\times \left[\phi_{\gamma^+}(x, \mathbf{r}) \left(2x\bar{x}q^\mu - i(x-\bar{x}) \frac{\partial}{\partial r_{\perp\mu}} \right) + \epsilon^{\mu\nu+-} \phi_{\gamma^+\gamma^5}(x, \mathbf{r}) \frac{\partial}{\partial r_\perp^\nu} \right] K_0 \left(\sqrt{x\bar{x}Q^2\mathbf{r}^2} \right) \end{aligned}$$

- 2-body matrix elements

$$\phi_{\gamma^+}(x, \mathbf{r}) = \frac{1}{2\pi} \int_{-\infty}^{\infty} dr^- e^{ixp_M^+ r^-} \left\langle M(p_M) \left| \bar{\psi}(r) \gamma^+ \psi(0) \right| 0 \right\rangle_{r^+=0}$$

$$\phi_{\gamma^+\gamma^5}(x, \mathbf{r}) = \frac{1}{2\pi} \int_{-\infty}^{\infty} dr^- e^{ixp_M^+ r^-} \left\langle M(p_M) \left| \bar{\psi}(r) \gamma^+ \gamma^5 \psi(0) \right| 0 \right\rangle_{r^+=0}$$

Results: 3-body contribution

- 3-body amplitude

$$\begin{aligned} \mathcal{A}_3 = & \left(\prod_{i=1}^3 \int dx_i \theta(x_i) \right) \delta(1 - x_1 - x_2 - x_3) \int d^2 z_1 d^2 z_2 d^2 z_3 e^{i\mathbf{q}(x_1 z_1 + x_2 z_2 + x_3 z_3)} \\ & \times \Psi_3(x_1, x_2, x_3, z_1, z_2, z_3) \left\langle P(p') \left| \mathcal{U}_{z_1 z_3} \mathcal{U}_{z_3 z_2} - \mathcal{U}_{z_1 z_3} - \mathcal{U}_{z_3 z_2} + \frac{1}{N_c^2} \mathcal{U}_{z_1 z_2} \right| P(p) \right\rangle \end{aligned}$$

- Wavefunction overlap

$$\begin{aligned} \Psi_3(x_1, x_2, x_3, z_1, z_2, z_3) = & \frac{e_q q^+}{2(4\pi)} \frac{N_c^2}{N_c^2 - 1} \left(\varepsilon_{q\rho} - \frac{\varepsilon_q^+}{q^+} q_\rho \right) \\ & \times \left\{ \chi_{\gamma^+ \sigma} \left[\left(4ig_{\perp\perp}^{\rho\sigma} \frac{x_1 x_2}{1-x_2} \frac{Q}{Z} K_1(QZ) + T_1^{\sigma\rho\nu}(x_1, x_2, x_3) \frac{z_{23\perp\nu}}{z_{23}^2} K_0(QZ) \right) - (1 \leftrightarrow 2) \right] \right. \\ & \left. - \chi_{\gamma^+ \gamma^5 \sigma} \left[\left(4\epsilon^{\sigma\rho+-} \frac{x_1 x_2}{1-x_2} \frac{Q}{Z} K_1(QZ) + T_2^{\sigma\rho\nu}(x_1, x_2, x_3) \frac{z_{23\perp\nu}}{z_{23}^2} K_0(QZ) \right) + (1 \leftrightarrow 2) \right] \right\} \end{aligned}$$

- 3-body matrix elements

$$\chi_{\Gamma^\lambda, \sigma} \equiv \chi_{\Gamma^\lambda, \sigma}(x_1, x_2, x_3, z_1, z_2, z_3) =$$

$$\int_{-\infty}^{\infty} \frac{dz_1^-}{2\pi} \frac{dz_2^-}{2\pi} \frac{dz_3^-}{2\pi} e^{-ix_1 q^+ z_1^- - ix_2 q^+ z_2^- - ix_3 q^+ z_3^-} \left\langle M(p_M) \left| \bar{\psi}(z_1) \Gamma^\lambda g F_{-\sigma}(z_3) \psi(z_2) \right| 0 \right\rangle_{z_{1,2,3}^+ = 0}$$

Covariant collinear factorization

- Light-cone collinear factorization

[Ellis, Furmanowski, Petronzio (1982)] [Anikin, Teryaev (2002)]

- i. Factorization in mom. space around the dominant light-cone direction
- ii. Overcomplete set of distributions → reduced by QCD eqs. of motion and invariance of the amplitude under rotation on the light-cone

[Anikin, Ivanov, Pire, Szymanowski, Wallon (2009)]

- Covariant collinear factorization

[Ball, Braun, Koike, Tanaka (1998)]

- i. Minimal basis of independent distributions
- ii. Minimal numbers of parameters
- iii. Easy to perform the calculation directly into coordinate space

- 2- and 3-body operators in gauge invariant form

$$\langle M(p_M) | \bar{\psi}(z) \Gamma_\lambda [z, 0] \psi(0) | 0 \rangle$$

$$\langle M(p_M) | \bar{\psi}(z) \gamma_\lambda [z, tz] g F^{\mu\nu}(tz) [tz, 0] \psi(0) | 0 \rangle$$

$$\langle M(p_M) | \bar{\psi}(z) \gamma_\lambda [z, tz] g \tilde{F}^{\mu\nu}(tz) [tz, 0] \psi(0) | 0 \rangle$$

where

$$[z, 0] = \mathcal{P}_{\text{exp}} \left[ig \int_0^1 dt A^\mu(tz) z_\mu \right]$$

- Expansion of the matrix elements in powers of deviation from the light-cone $z^2 = 0$

[Balitsky, Braun (1989)]

Covariant collinear factorization

- Matrix elements without gauge links can be easily related to the fully gauge invariant one within **twist-3** accuracy
- Three-body matrix element

$$\left\langle M(p) \left| \bar{\psi}(z_q) \gamma^\lambda g F^{\mu\nu}(z_g) \psi(z_{\bar{q}}) \right| 0 \right\rangle \simeq \left\langle M(p) \left| \bar{\psi}(z_q) [z_q, z_g] \gamma^\lambda g F^{\mu\nu}(z_g) [z_g, z_{\bar{q}}] \psi(z_{\bar{q}}) \right| 0 \right\rangle_{z_i^+ = 0}$$

- Two-body matrix element

$$\left\langle M(p_M) \left| \bar{\psi}(r) [r, 0] \Gamma^\lambda \psi(0) \right| 0 \right\rangle_{r^+ = 0} \longleftrightarrow \left\langle M(p_M) \left| \bar{\psi}(r) \Gamma^\lambda \psi(0) \right| 0 \right\rangle_{r^+ = 0}$$

- In the two body case we need to expand the gauge link to relate the two matrix elements

$$[z, 0] = \mathcal{P} \exp \left[ig \int_0^1 dt A^\mu(tz) z_\mu \right] \simeq 1 + ig \int_0^1 dt A^\mu(tz) z_\mu + \text{h.t.}$$

- In a given n light-cone gauge

$$A^\mu(z) = \int_0^\infty d\sigma e^{-\epsilon\sigma} n_\nu F^{\mu\nu}(z + \sigma n)$$

- Then the difference between two matrix elements is parameterized in terms of a 3-body contribution

Dilute regime: 2-body

- Reggeon definition [**Caron-Huot (2013)**]
- Expansion of the Wilson line in Reggeons

$$R^a(z) \equiv \frac{f^{abc}}{gC_A} \ln \left(U_{\mathbf{z}}^{bc} \right)$$

$$V_{\mathbf{z}_1} = 1 + igt^a R^a(z_1) - \frac{1}{2} g^2 t^a t^b R^a(z_1) R^b(z_1) + O(g^3)$$

- BFKL factorization

$$\begin{aligned} \mathcal{A}_2^{\text{dilute}} &= \frac{g^2}{4N_c} (2\pi)^d \delta^d(\mathbf{q} - \mathbf{p}_M - \Delta) \int \frac{d^d \ell}{(2\pi)^d} \mathcal{U}(\ell) \int_0^1 dx \\ &\times \underbrace{\left[\Phi_2 \left(x, \ell - \frac{x - \bar{x}}{2} \Delta \right) + \Phi_2 \left(x, -\ell - \frac{x - \bar{x}}{2} \Delta \right) - \Phi_2(x, \bar{x} \Delta) - \Phi_2(x, -x \Delta) \right]}_{\Phi_{2,\text{BFKL}}(x, \ell, \Delta)} \end{aligned}$$

- $\mathcal{U}(\ell) \rightarrow k_T$ -unintegrated gluon density in the BFKL sense

$$\mathcal{U}(\ell) \equiv \int d^d \mathbf{v} e^{-i(\ell \cdot \mathbf{v})} \left\langle P(p') \left| R^a \left(\frac{\mathbf{v}}{2} \right) R^a \left(-\frac{\mathbf{v}}{2} \right) \right| P(p) \right\rangle ,$$

- Three-body case \rightarrow combination of more impact factors
- The forward and dilute limit matches the previous results

[**Anikin, Ivanov, Pire, Szymanowski, Wallon (2009)**]

Diffractive dijet/hadron production

- Precise predictions to detect **saturation** effects at both the EIC or LHC
[Iancu, Mueller, Triantafyllopoulos (2022)]
- Possibility of studying multi-dimensional **gluon tomography**
[Feng's talk] [Hatta, Xiao, Yuan (2022)]
[Hauksson, Iancu, Mueller, Triantafyllopoulos (2024)]
- Diffractive dijet/hadron(s) production at NLO

$$\gamma^{(*)}(p_\gamma) + P(p_0) \rightarrow j_1(p_{h1}) + j_2(p_{h2}) + P(p'_0)$$

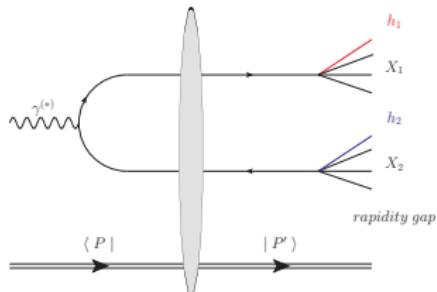
[Boussarie, Grabovsky, Szymanowski, Wallon (2016)]

$$\gamma^{(*)}(p_\gamma) + P(p_0) \rightarrow h_1(p_{h1}) + h_2(p_{h2}) + X + P(p'_0) \quad (X = X_1 + X_2)$$

[M. F., Grabovsky, Li, Szymanowski, Wallon (2023)]

$$\gamma^{(*)}(p_\gamma) + P(p_0) \rightarrow h_1(p_{h1}) + X + P(p'_0)$$

[M. F., Grabovsky, Li, Szymanowski, Wallon (2024)]



i. General kinematics (t, Q^2) and photon polarization

ii. Rapidity gap between ($h_1 h_2 X$) and P'

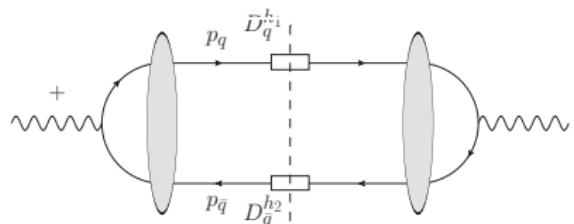
iii. $\vec{p}_{h1}^2, \vec{p}_{h2}^2 \gg \Lambda_{\text{QCD}}^2$

LO cross-section

- Sudakov decomposition for the momenta: $p_i^\mu = x_i p_\gamma^+ n_1^\mu + \frac{\vec{p}^2}{2x_i p_\gamma^+} n_2^\mu + p_\perp^\mu$

$$p_\gamma = \left(p_\gamma^+, -\frac{Q^2}{2p_\gamma^+}, \vec{0} \right)$$

+ - \perp



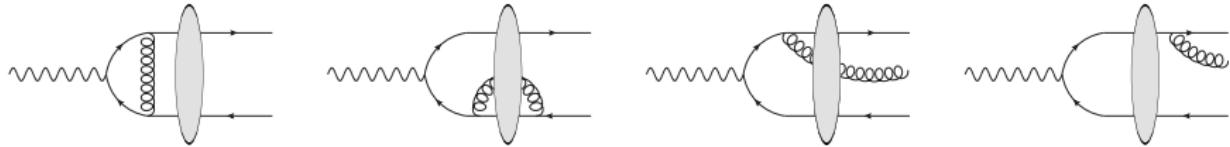
- Collinearity $(p_q^+, \vec{p}_q) = (x_q/x_{h_1})(p_{h_1}^+, \vec{p}_{h_1})$ and $(p_{\bar{q}}^+, \vec{p}_{\bar{q}}) = (x_{\bar{q}}/x_{h_2})(p_{h_2}^+, \vec{p}_{h_2})$

$$\frac{d\sigma_{0JI}^{h_1 h_2}}{dx_{h_1} dx_{h_2} d^d \vec{p}_{h_1} d^d \vec{p}_{h_2}} = \sum_q \int_{x_{h_1}}^1 \frac{dx_q}{x_q} \int_{x_{h_2}}^1 \frac{dx_{\bar{q}}}{x_{\bar{q}}} \left(\frac{x_q}{x_{h_1}}\right)^d \left(\frac{x_{\bar{q}}}{x_{h_2}}\right)^d$$

$$D_q^{h_1} \left(\frac{x_{h_1}}{x_q}\right) D_{\bar{q}}^{h_2} \left(\frac{x_{h_2}}{x_{\bar{q}}}\right) \frac{d\hat{\sigma}_{JI}}{dx_q dx_{\bar{q}} d^d \vec{p}_q d^d \vec{p}_{\bar{q}}} + (h_1 \leftrightarrow h_2)$$

$J, I \rightarrow$ photon polarization for respectively the complex conjugated amplitude and the amplitude.

Treatment of UV and rapidity divergences



Rapidity divergences ($x_g \rightarrow 0$)

- Coming from Φ_{V_2} (double dipole part of the virtual contribution)
- Regularized by **longitudinal cut-off**: $|p_g^+| = |x_g| p_\gamma^+ > \alpha p_\gamma^+ \implies \ln \alpha$ term
- B-JIMWLK evolution from the non-physical cutoff α to the rapidity e^η

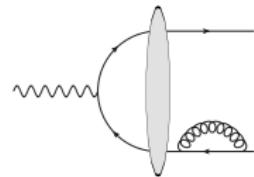
$$U_{\vec{x}}^\alpha = U_{\vec{x}}^{e^\eta} + \int_{e^\eta}^\alpha d\rho \left(\frac{\partial U_{\vec{x}}^\rho}{\partial \rho} \right) \implies \Phi_{V_2} \longrightarrow \tilde{\Phi}_{V_2} = \Phi_{V_2} + 2 \ln \left(\frac{e^\eta}{\alpha} \right) \mathcal{K}_{\text{BK}} \otimes \Phi_0 \widetilde{\mathcal{W}}_{123}$$

UV-divergences ($\vec{p}_g^2 \rightarrow \infty$)

- Just dressing of the external quark lines

$$\Phi_{\text{dress}} \propto \left(\frac{1}{2\epsilon_{IR}} - \frac{1}{2\epsilon_{UV}} \right)$$

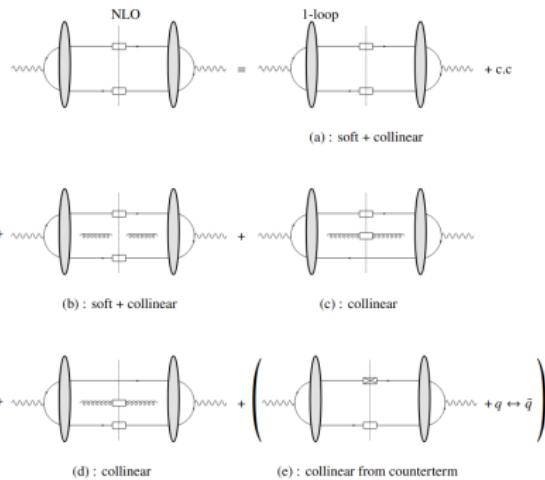
- $\epsilon_{IR} = \epsilon_{UV}$ turns **UV** into **IR** divergences



NLO cross-section in a nutshell

- Different *fragmentation mechanisms*

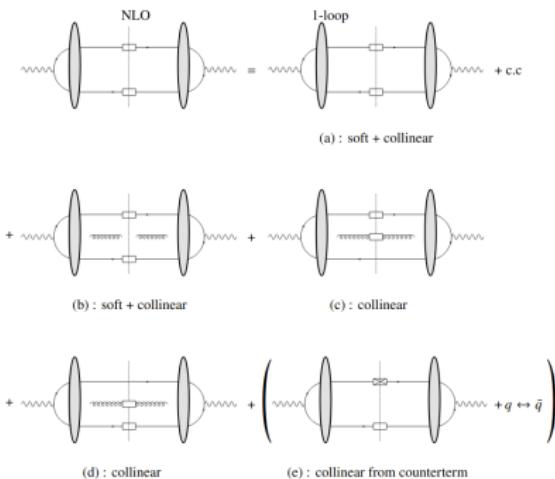
- i. Quark/anti-quark fragmentation
 - ii. Quark/gluon fragmentation
 - iii. Anti-quark/gluon fragmentation



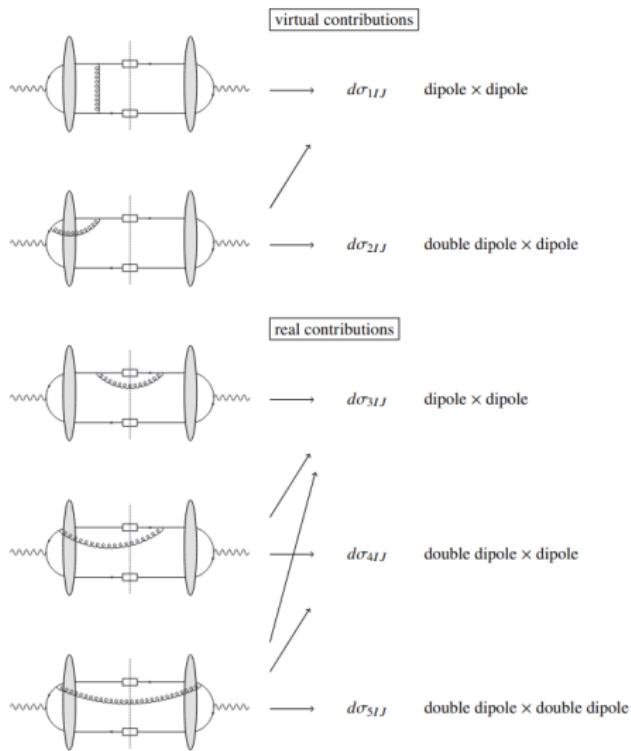
NLO cross-section in a nutshell

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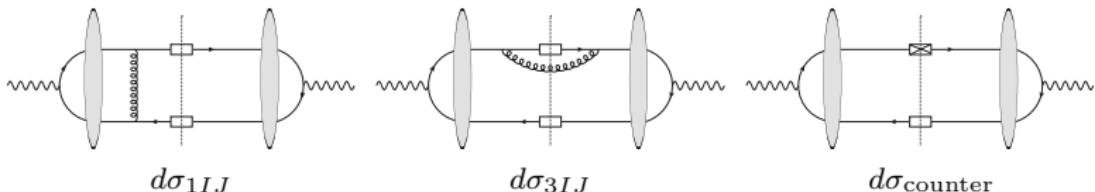


- Operator structure classification

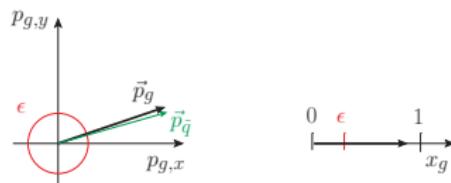


IR singularities: Quark/anti-quark fragmentation

- Divergent contributions



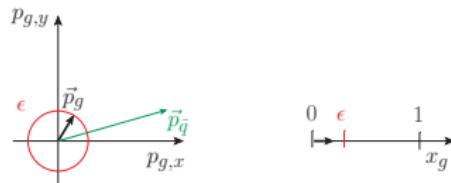
- Collinear divergence



i. $\vec{p}_g \rightarrow \vec{p}_{\bar{q}} = \frac{x_g}{x_q} \vec{p}_q$

ii. x_g generic

- Soft divergence



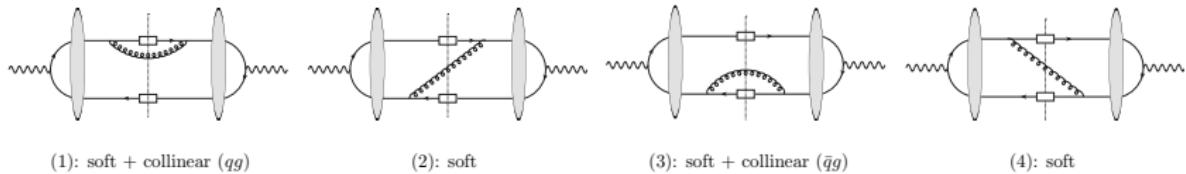
i. $\vec{p}_g \equiv x_g \vec{u}$

ii. $x_g \rightarrow 0$ and \vec{u} generic

- Soft and collinear divergence ($x_g \rightarrow 0$ and $\vec{u} \rightarrow \frac{\vec{p}_q}{x_q}$)

IR singularities

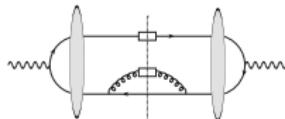
- Divergences: $q\bar{q}$ -fragmentation



- Treatment of divergences in a nutshell

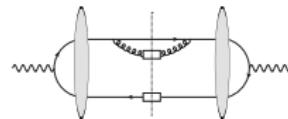
$$d\sigma_1 + d\sigma_{3,\text{soft}} + \underbrace{(d\sigma_3^{(1)} - d\sigma_{3,\text{soft}}^{(1)})}_{d\sigma_{3,\text{collinear}}^{(1)}} + (d\sigma_3^{(2)} - d\sigma_{3,\text{soft}}^{(2)}) + \underbrace{(d\sigma_3^{(3)} - d\sigma_{3,\text{soft}}^{(3)})}_{d\sigma_{3,\text{collinear}}^{(3)}} + ((d\sigma_3^{(4)} - d\sigma_{3,\text{soft}}^{(4)})) + d\sigma_{\text{counter}}$$

- Divergences: qg -fragmentation



(5): collinear $\rightarrow d\sigma_{3,\text{collinear}}^{\bar{q}g(5)}$

- Divergences: $\bar{q}g$ -fragmentation



(6): collinear $\rightarrow d\sigma_{3,\text{collinear}}^{\bar{q}g(6)}$

Renormalization of FFs and gluon fragmentation

- Renormalized quark FFs (similar for the anti-quark)

$$\tilde{D}_q^{h_1} \left(\frac{x_{h_1}}{x_q} \right) = D_q^{h_1} \left(\frac{x_{h_1}}{x_q}, \mu_F \right) - \frac{\alpha_s}{2\pi} \left(\frac{1}{\epsilon} + \ln \frac{\mu_F^2}{\mu^2} \right) \left[[P_{qq} \otimes D_q^{h_1}] \left(\frac{x_{h_1}}{x_q}, \mu_F \right) + [P_{gq} \otimes D_g^{h_1}] \left(\frac{x_{h_1}}{x_q}, \mu_F \right) \right]$$

Renormalization of FFs and gluon fragmentation

- Renormalized quark FFs (similar for the anti-quark)

$$\begin{aligned} \tilde{D}_q^{h_1} \left(\frac{x_{h_1}}{x_q} \right) &= D_q^{h_1} \left(\frac{x_{h_1}}{x_q}, \mu_F \right) - \frac{\alpha_s}{2\pi} \left(\frac{1}{\hat{\epsilon}} + \ln \frac{\mu_F^2}{\mu^2} \right) \left[[P_{qq} \otimes D_q^{h_1}] \left(\frac{x_{h_1}}{x_q}, \mu_F \right) + [P_{gq} \otimes D_g^{h_1}] \left(\frac{x_{h_1}}{x_q}, \mu_F \right) \right] \\ &\quad \downarrow \\ d\sigma_{LL}^{h_1 h_2} \Big|_{ct} &= \frac{4\alpha_{em} Q^2}{(2\pi)^{4(d-1)} N_c} \sum_q Q_q^2 \int_{x_{h_1}}^1 dx_q \int_{x_{h_2}}^1 dx_{\bar{q}} x_q x_{\bar{q}} \left(\frac{x_q}{x_{h_1}} \right)^d \left(\frac{x_{\bar{q}}}{x_{h_2}} \right)^d \delta(1 - x_q - x_{\bar{q}}) \\ &\times \mathcal{F}_{LL} \left(-\frac{\alpha_s}{2\pi} \right) \left(\frac{1}{\hat{\epsilon}} + \ln \frac{\mu_F^2}{\mu^2} \right) \left\{ \underbrace{[P_{qq} \otimes D_q^{h_1}] \left(\frac{x_{h_1}}{x_q}, \mu_F \right)}_{(1)} D_{\bar{q}}^{h_2} \left(\frac{x_{h_2}}{x_{\bar{q}}}, \mu_F \right) \right. \\ &+ \underbrace{[P_{gq} \otimes D_g^{h_1}] \left(\frac{x_{h_1}}{x_q}, \mu_F \right)}_{(6)} D_{\bar{q}}^{h_2} \left(\frac{x_{h_2}}{x_{\bar{q}}}, \mu_F \right) + \left[(q, x_q, x_{h_1}) \leftrightarrow (\bar{q}, x_{\bar{q}}, x_{h_2}) \right] \left. \right\} + (h_1 \leftrightarrow h_2) \end{aligned}$$

Renormalization of FFs and gluon fragmentation

- Renormalized quark FFs (similar for the anti-quark)

$$\tilde{D}_q^{h_1} \left(\frac{x_{h_1}}{x_q} \right) = D_q^{h_1} \left(\frac{x_{h_1}}{x_q}, \mu_F \right) - \frac{\alpha_s}{2\pi} \left(\frac{1}{\hat{\epsilon}} + \ln \frac{\mu_F^2}{\mu^2} \right) \left[[P_{qq} \otimes D_q^{h_1}] \left(\frac{x_{h_1}}{x_q}, \mu_F \right) + [P_{gq} \otimes D_g^{h_1}] \left(\frac{x_{h_1}}{x_q}, \mu_F \right) \right]$$

↓

$$d\sigma_{LL}^{h_1 h_2} \Big|_{ct} = \frac{4\alpha_{em} Q^2}{(2\pi)^{4(d-1)} N_c} \sum_q Q_q^2 \int_{x_{h_1}}^1 dx_q \int_{x_{h_2}}^1 dx_{\bar{q}} x_q x_{\bar{q}} \left(\frac{x_q}{x_{h_1}} \right)^d \left(\frac{x_{\bar{q}}}{x_{h_2}} \right)^d \delta(1 - x_q - x_{\bar{q}})$$

$$\times \mathcal{F}_{LL} \left(-\frac{\alpha_s}{2\pi} \right) \left(\frac{1}{\hat{\epsilon}} + \ln \frac{\mu_F^2}{\mu^2} \right) \left\{ \underbrace{[P_{qq} \otimes D_q^{h_1}] \left(\frac{x_{h_1}}{x_q}, \mu_F \right)}_{(1)} D_{\bar{q}}^{h_2} \left(\frac{x_{h_2}}{x_{\bar{q}}}, \mu_F \right) \right.$$

$$\left. + \underbrace{[P_{gq} \otimes D_g^{h_1}] \left(\frac{x_{h_1}}{x_q}, \mu_F \right)}_{(6)} D_{\bar{q}}^{h_2} \left(\frac{x_{h_2}}{x_{\bar{q}}}, \mu_F \right) + \left[(q, x_q, x_{h_1}) \leftrightarrow (\bar{q}, x_{\bar{q}}, x_{h_2}) \right] \right\} + (h_1 \leftrightarrow h_2)$$

- Finite part of the cross sections

$$d\sigma_{h_1, h_2} = \sum_{(a,b)} D_a^{h_1} \otimes D_b^{h_2} \otimes d\hat{\sigma}_{ab} \quad (a,b) = \{(q,\bar{q}), (q,g), (g,\bar{q})\}$$

- Extension to the **semi-inclusive diffractive DIS (SIDDIS)** at the NLO
[M.F., Grabovsky, Li, Szymanowski, Wallon (2024)]

Summary and outlook

Summary

- Transversally polarized ρ -meson production
[Boussarie, M.F., Szymanowski, Wallon (to appear)]
- Full NLO computation of diffractive dijet, di-hadron production and SIDDIS
 - [Boussarie, Grabovsky, Szymanowski, Wallon (2016)]
 - [M. F., Grabovsky, Li, Szymanowski, Wallon (2023)]
 - [M. F., Grabovsky, Li, Szymanowski, Wallon (2024)]
- General kinematics (Q^2, t) and arbitrary photon polarization means either photo or electro-production
- Detection of saturation and BFKL effects at both the EIC or at LHC via Ultra Peripheral Collisions (UPC)

Outlook

- Extension of the ρ -transverse production at the NLO
- Special kinematic configurations → diffractive dijet production in the back-to-back limit, TMD factorization in SIDDIS

[Boussarie, M.F., Yuan, Szymanowski, Wallon (ongoing work)]

Thanks for your attention

Backup

Balitsky-JIMWLK evolution equations

- **Balitsky-JIMWLK evolution equations** for the dipole

[Balitsky — Jalilian-Marian, Iancu, McLerran, Weigert, Kovner, Leonidov]

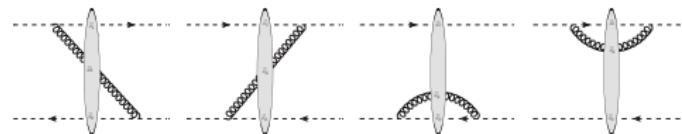
$$\frac{\partial \mathcal{U}_{12}^\eta}{\partial \eta} = \frac{\alpha_s N_c}{2\pi^2} \int d^2 \vec{z}_3 \left(\frac{\vec{z}_{12}^2}{\vec{z}_{23}^2 \vec{z}_{31}^2} \right) \underbrace{\left[\mathcal{U}_{13}^\eta + \mathcal{U}_{32}^\eta - \mathcal{U}_{12}^\eta - \mathcal{U}_{13}^\eta \mathcal{U}_{32}^\eta \right]}_{\text{BFKL}}$$

$$\frac{\partial \mathcal{U}_{13}^\eta \mathcal{U}_{32}^\eta}{\partial \eta} = \dots$$

← *Balitsky hierarchy*

⋮

- Double dipole contribution and Dipole contribution



- Dipole contribution



Balitsky-Kovchegov evolution equation

- Large- N_c limit

[G. 't Hooft (1974)]

$$\text{Diagram: } i \xrightarrow{j} \text{dipole} \xleftarrow{k} l = \frac{1}{2} \begin{array}{c} j \\ \longrightarrow \\ i \end{array} \begin{array}{c} k \\ \longleftarrow \\ l \end{array} - \frac{1}{2N_c} \begin{array}{c} j \\ \downarrow \\ i \end{array} \begin{array}{c} k \\ \uparrow \\ l \end{array}$$

$$t_{ij}^a t_{kl}^a = \frac{1}{2} \left(\delta_{il} \delta_{jk} - \frac{1}{N_c} \delta_{ij} \delta_{kl} \right)$$

- Double dipole \rightarrow Dipole \times dipole

$$\langle \mathcal{U}_{13}^\eta \mathcal{U}_{32}^\eta \rangle \rightarrow \langle \mathcal{U}_{13}^\eta \rangle \langle \mathcal{U}_{32}^\eta \rangle$$

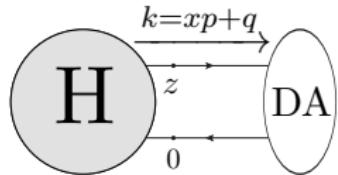
- Hierarchy of equations broken \rightarrow closed non-linear **BK-equation**

[I. I. Balitsky (1995)] [Y. V. Kovchegov (1999)]

$$\frac{\partial \langle \mathcal{U}_{12}^\eta \rangle}{\partial \eta} = \frac{\alpha_s N_c}{2\pi^2} \int d^2 \vec{z}_3 \left(\frac{\vec{z}_{12}^2}{\vec{z}_{23}^2 \vec{z}_{31}^2} \right) [\langle \mathcal{U}_{13}^\eta \rangle + \langle \mathcal{U}_{32}^\eta \rangle - \langle \mathcal{U}_{12}^\eta \rangle - \langle \mathcal{U}_{13}^\eta \rangle \langle \mathcal{U}_{32}^\eta \rangle]$$

with $\langle \mathcal{U}_{12}^\eta \rangle \equiv \langle P' | \mathcal{U}_{12}^\eta | P \rangle$

Light-cone collinear factorization



- 2-body amplitude

$$\mathcal{A}_2 = \int \frac{d^4 k}{(2\pi)^4} \int d^4 z e^{-ik \cdot z} \langle M(p) | \bar{\psi}_\alpha^i(z) \psi_\beta^j(0) | 0 \rangle H_{2,\alpha\beta}^{ij}$$

- 2-body amplitude after Fierz decomposition

$$\begin{aligned} \mathcal{A}_2 = & \frac{1}{4N_c} p^+ \int \frac{dx}{2\pi} \int \frac{dq^-}{2\pi} \int \frac{d^d q}{(2\pi)^d} \int d^D z e^{-ixp^+ z^- - iq^- z^+ + i(\mathbf{q} \cdot \mathbf{z})} \\ & \times \left\langle M(p) \left| \bar{\psi}(z) \Gamma_\lambda \psi(0) \right| 0 \right\rangle \text{tr} \left[H_2(xp + q) \Gamma^\lambda \right] \end{aligned}$$

- Taylor expansion of the hard part

$$H_2(xp + q) = H_2(xp) + q_{\perp\mu} \left[\frac{\partial}{\partial q_{\perp\mu}} H_2(xp + q) \right]_{k=xp} + \text{h.t.}$$

Light-cone collinear factorization

- 2-body factorized form up to twist-3

$$\begin{aligned}\mathcal{A}_2 = & \frac{1}{4N_c} \int dx^+ p^+ \int \frac{dz^-}{2\pi} e^{-ixp^+ z^-} \\ & \times \left\{ \left\langle M(p) \left| \bar{\psi}(z^-) \Gamma_\lambda \psi(0) \right| 0 \right\rangle \text{tr} [H_2(xp) \Gamma^\lambda] \right. \\ & \left. + i \left\langle M(p) \left| \bar{\psi}(z^-) \overleftrightarrow{\partial}_{\perp\mu} \Gamma_\lambda \psi(0) \right| 0 \right\rangle \text{tr} [\partial_\perp^\mu H_2(xp) \Gamma^\lambda] \right\}\end{aligned}$$

- 3-body contribution

$$\begin{aligned}\mathcal{A}_3 = & \int \frac{d^D k_q}{(2\pi)^D} \frac{d^D k_g}{(2\pi)^D} \int d^D z_q d^D z_g e^{-i(k_q \cdot z_q) - i(k_g \cdot z_g)} \\ & \times \left\langle M(p) \left| \bar{\psi}_\alpha^i(z_q) \Gamma_\lambda g A_\mu^a(z_g) \psi_\beta^j(0) \right| 0 \right\rangle \text{tr} [H_{3,\alpha\beta}^{ija,\mu}(k_q, k_g) \Gamma^\lambda]\end{aligned}$$

- 3-body contribution factorized

$$\begin{aligned}\mathcal{A}_3 = & \frac{1}{2(N_c^2 - 1)} \int dx_q dx_g (p^+)^2 \int \frac{dz_q^-}{2\pi} \frac{dz_g^-}{2\pi} e^{-ix_q p^+ z_q^- - ix_g p^+ z_g^-} \\ & \times \left\langle M(p) \left| \bar{\psi}(z_q^-) \Gamma_\lambda g A_\mu(z_g^-) \psi(0) \right| 0 \right\rangle \text{tr} [t^b H_3^{\mu,b}(x_q p, x_g p) \Gamma^\lambda] .\end{aligned}$$

Dilute regime: 3 body

- General three-body small- x amplitude

$$\mathcal{A}_3 = \left(\prod_{i=1}^3 \int dx_i \theta(x_i) \right) \delta(1 - x_1 - x_2 - x_3) \int d^2 z_1 d^2 z_2 d^2 z_3 e^{i\mathbf{q}(x_1 z_1 + x_2 z_2 + x_3 z_3)} \\ \times \Psi_3(x_1, x_2, x_3, z_1, z_2, z_3) \left\langle P(p') \left| \mathcal{U}_{z_1 z_3} \mathcal{U}_{z_3 z_2} - \mathcal{U}_{z_1 z_3} - \mathcal{U}_{z_3 z_2} + \frac{1}{N_c^2} \mathcal{U}_{z_1 z_2} \right| P(p) \right\rangle$$

- Momentum space impact factor

$$\Phi_3(\{x\}, \{\mathbf{p}\}) = \frac{e_q q^+ m_M}{4} c_f \left(\varepsilon_{q\rho} - \frac{\varepsilon_q^+}{q^+} q_\rho \right) \left(\varepsilon_M^{*\beta} - \frac{p_M^\beta}{p_M^+} \varepsilon_M^{*+} \right) \delta(q^+ - p_M^+) \\ \times \left(\prod_{j=1}^3 \frac{\theta(1-x_j)\theta(x_j)}{x_j} \right) \frac{(2\pi)^3 \delta^{(2)} \left(\sum_{i=1}^3 \mathbf{p}_i + x_i \mathbf{p}_M \right)}{\left[Q^2 + \sum_{i=1}^3 (\mathbf{p}_i + x_i \mathbf{p}_M)^2 / x_i \right]} \left\{ g_{\beta\sigma} f_{3M}^V V(x_1, x_2) \left(4g_{\perp\perp}^{\rho\sigma} \frac{x_1 x_2}{1-x_2} \right. \right. \\ \left. \left. + \tilde{T}_1^{\sigma\rho\nu}(\{x\}) \Big|_{\mathbf{k}_i = -x_i \mathbf{p}_M} \frac{x_1 x_2 (p_3 + x_3 \mathbf{p}_M)_{\perp\nu} - x_1 x_3 (p_2 + x_2 \mathbf{p}_M)_{\perp\nu}}{(\mathbf{p}_1 + x_1 \mathbf{p}_M)^2 + x_1(1-x_1)Q^2} \right) - \epsilon_{-\sigma\beta} f_{3M}^A A(x_1, x_2) \right. \\ \left. \times \left(4 \frac{x_1 x_2}{1-x_2} \epsilon^{\sigma\rho+-} + i \tilde{T}_2^{\sigma\rho\nu}(\{x\}) \Big|_{\mathbf{k}_i = -x_i \mathbf{p}_M} \frac{x_1 x_2 (p_3 + x_3 \mathbf{p}_M)_{\perp\nu} - x_1 x_3 (p_2 + x_2 \mathbf{p}_M)_{\perp\nu}}{(\mathbf{p}_1 + x_1 \mathbf{p}_M)^2 + x_1(1-x_1)Q^2} \right) \right\} \\ + (1 \leftrightarrow 2)$$

Dilute Regime: 3-body

- Linearization in the three-body case → combination of more impact factors

$$\begin{aligned}
 \mathcal{A}_3^{\text{dilute}} = & \left(\prod_{i=1}^3 \int dx_i \theta(x_i) \right) \delta(1 - x_1 - x_2 - x_3) \frac{-g^2}{4N_c} \int \frac{d^d l}{(2\pi)^d} \mathcal{U}(l) \\
 & \left\{ \Phi'_3(\{x\}, \bar{x}_1 \Delta, -x_2 \Delta, -x_3 \Delta) - \Phi'_3\left(\{x\}, \left(\frac{1-2x_1}{2}\right) \Delta - l, -x_2 \Delta, \left(\frac{1-2x_3}{2}\right) \Delta + l\right) \right. \\
 & - \Phi'_3\left(\{x\}, \left(\frac{1-2x_1}{2}\right) \Delta + l, -x_2 \Delta, \left(\frac{1-2x_3}{2}\right) \Delta - l\right) + \Phi'_3(\{x\}, -x_1 \Delta, -x_2 \Delta, \bar{x}_3 \Delta) \\
 & + \Phi'_3(\{x\}, -x_1 \Delta, -x_2 \Delta, \bar{x}_3 \Delta) - \Phi'_3\left(\{x\}, -x_1 \Delta, \left(\frac{1-2x_2}{2}\right) \Delta + l, \left(\frac{1-2x_3}{2}\right) \Delta - l\right) \\
 & - \Phi'_3\left(\{x\}, -x_1 \Delta, \left(\frac{1-2x_2}{2}\right) \Delta - l, \left(\frac{1-2x_3}{2}\right) \Delta + l\right) + \Phi'_3(\{x\}, -x_1 \Delta, \bar{x}_2 \Delta, -x_3 \Delta) \\
 & - \frac{1}{N_c^2} \left[\Phi'_3(\{x\}, \bar{x}_1 \Delta, -x_2 \Delta, -x_3 \Delta) - \Phi'_3\left(\{x\}, \left(\frac{1-2x_1}{2}\right) \Delta - l, \left(\frac{1-2x_2}{2}\right) \Delta + l, -x_3 \Delta\right) \right. \\
 & \left. - \Phi'_3\left(\{x\}, \left(\frac{1-2x_1}{2}\right) \Delta + l, \left(\frac{1-2x_2}{2}\right) \Delta - l, -x_3 \Delta\right) + \Phi'_3(\{x\}, -x_1 \Delta, \bar{x}_2 \Delta, -x_3 \Delta) \right] \}
 \end{aligned}$$

where

$$\Phi'_3(\{x\}, \{\mathbf{p} + x\mathbf{p}_M\}) \equiv \Phi_3(\{x\}, \{\mathbf{p}\})$$

Explicit 3-body in the dilute and $\Delta = 0$ limit

- Forward and dilute limit in momentum space

$$\begin{aligned}
 \mathcal{A}_{3T, \Delta=0}^{\text{dilute}} &= e_q m_M \frac{g^2}{N_c} (2\pi) q^+ \delta(q^+ - p_M^+) (2\pi)^2 \delta^2(\mathbf{q} - \mathbf{p}_M) \int \frac{d^d \ell}{(2\pi)^d} \mathcal{U}(\ell) \\
 &\times \left(\prod_{i=1}^3 \int_0^1 \frac{dx_i}{x_i} \right) \frac{\delta(1 - x_1 - x_2 - x_3)}{x_3} \frac{\ell^2}{Q^2} \left\{ T_{\text{f.}} \left[f_{3M}^V V(x_1, x_2) - f_{3M}^A A(x_1, x_2) \right] \right. \\
 &\times 2x_1 \left(\frac{x_3 c_f}{\ell^2 + \frac{x_2 x_3}{x_2 + x_3} Q^2} + \frac{x_3 c_f}{\ell^2 + \frac{x_1 x_3}{x_1 + x_3} Q^2} - \frac{\bar{x}_3 (1 - c_f)}{\ell^2 + \frac{x_1 x_2}{x_1 + x_2} Q^2} + \frac{x_2 - \bar{x}_1 c_f}{\ell^2 + x_1 \bar{x}_1 Q^2} + \frac{x_1 - \bar{x}_2 c_f}{\ell^2 + x_2 \bar{x}_2 Q^2} \right) \\
 &\quad \left. - T_{\text{n.f.}} \left[f_{3M}^V V(x_1, x_2) + f_{3M}^A A(x_1, x_2) \right] \right. \\
 &\times \left(\frac{(1 - c_f) x_1 \bar{x}_3}{\bar{x}_3 \ell^2 + x_1 x_2 Q^2} - \frac{c_f x_3^2}{\bar{x}_1 \ell^2 + x_2 x_3 Q^2} - \frac{(x_2 - \bar{x}_1 c_f) x_1 x_2}{\bar{x}_1 (\ell^2 + x_1 \bar{x}_1 Q^2)} - \frac{(x_1 - \bar{x}_2 c_f) \bar{x}_2}{(\ell^2 + x_2 \bar{x}_2 Q^2)} \right) ,
 \end{aligned}$$

- Helicity structures

$$T_{\text{f.}} = \frac{(\boldsymbol{\varepsilon}_q \cdot \mathbf{l})(\boldsymbol{\varepsilon}_M^* \cdot \mathbf{l})}{\mathbf{l}^2} - \frac{\boldsymbol{\varepsilon}_q \cdot \boldsymbol{\varepsilon}_M^*}{2} \quad T_{\text{n.f.}} = \boldsymbol{\varepsilon}_q \cdot \boldsymbol{\varepsilon}_M^*$$

- The forward and dilute limit matches the previous result

[Anikin, Ivanov, Pire, Szymanowski, Wallon (2009)]