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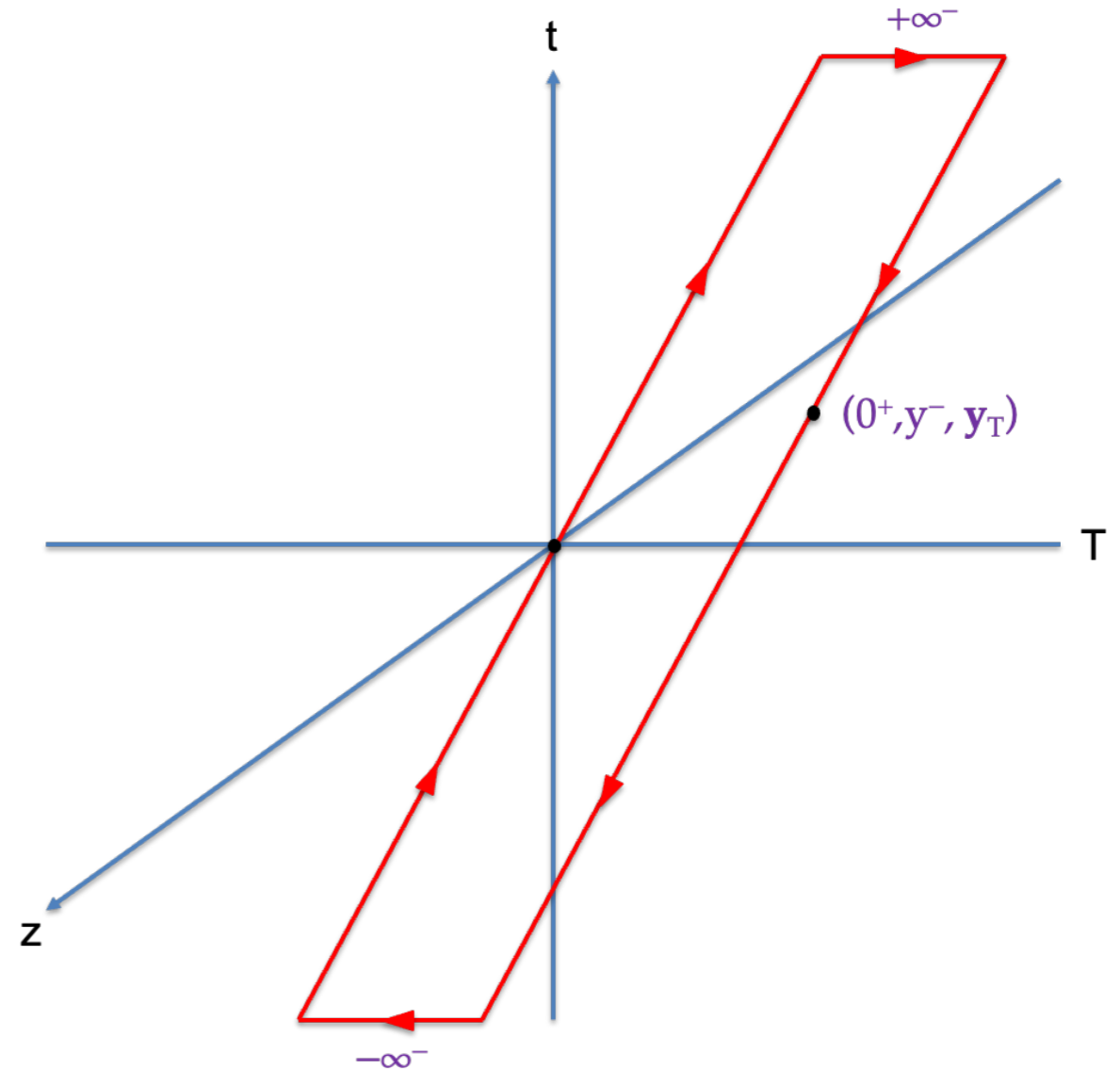
faculty of science  
 and engineering

van swinderen institute for  
 particle physics and gravity

# Wilson loop correlators

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Van Swinderen Institute for  
 Particle Physics and Gravity  
 University of Groningen  
 The Netherlands



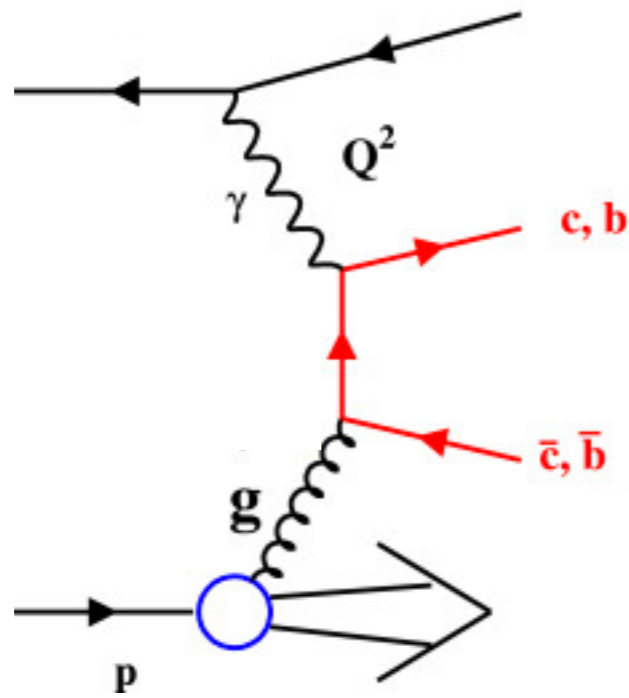
# Overview

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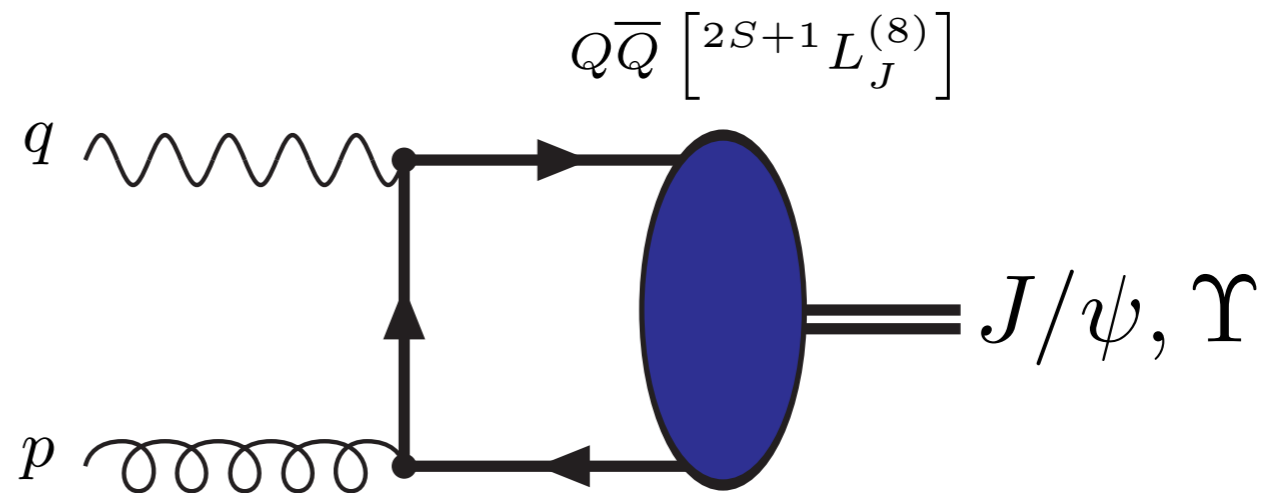
- Gluon TMDs: gauge links & small- $x$  limit
- Wilson loop TMDs: evolution & phenomenology
- Wilson loop GTMDs: phenomenology
- Odderons

# Gluon TMDs

# Probing gluon TMDs



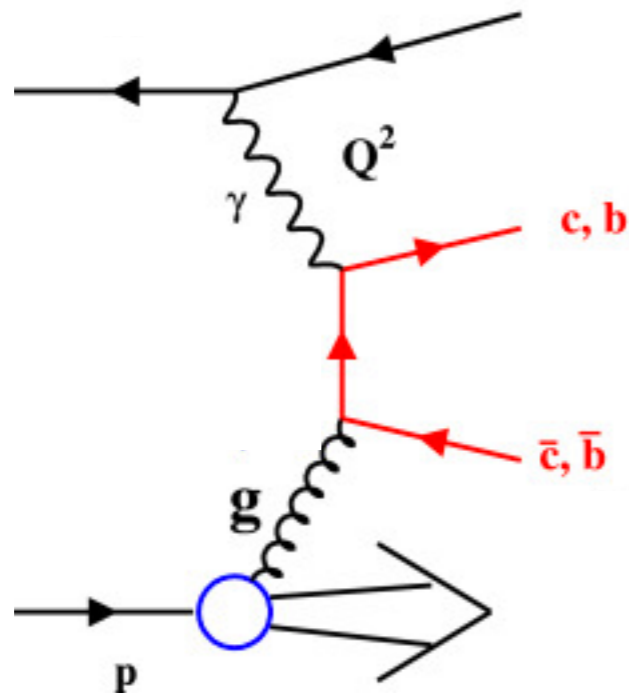
$$ep \rightarrow e' Q \bar{Q} X$$



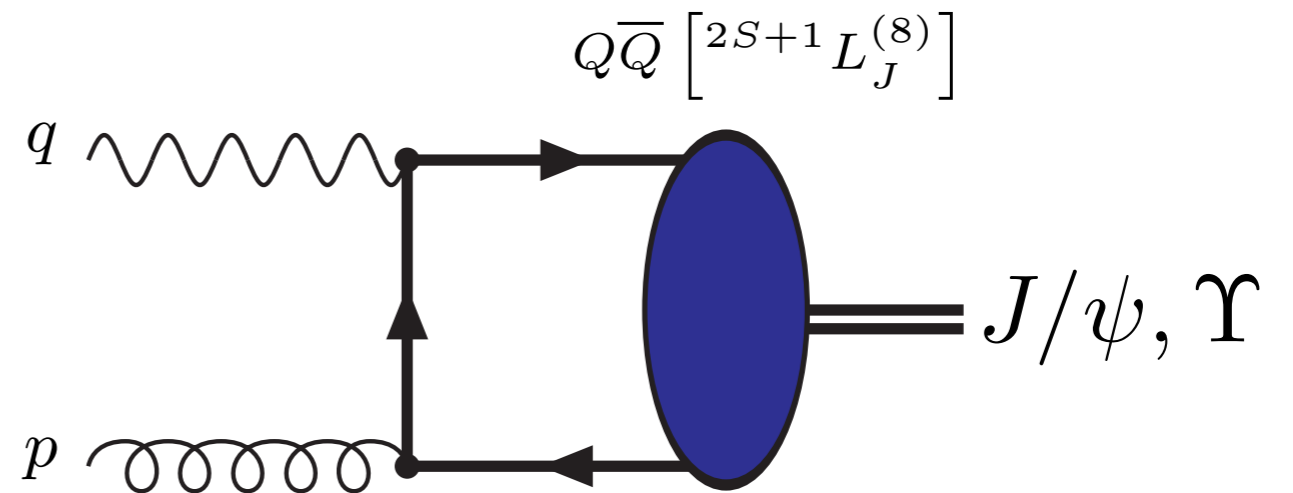
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Open heavy quark pair production and quarkonium production are arguably the simplest processes that are sensitive to the transverse momentum of gluons

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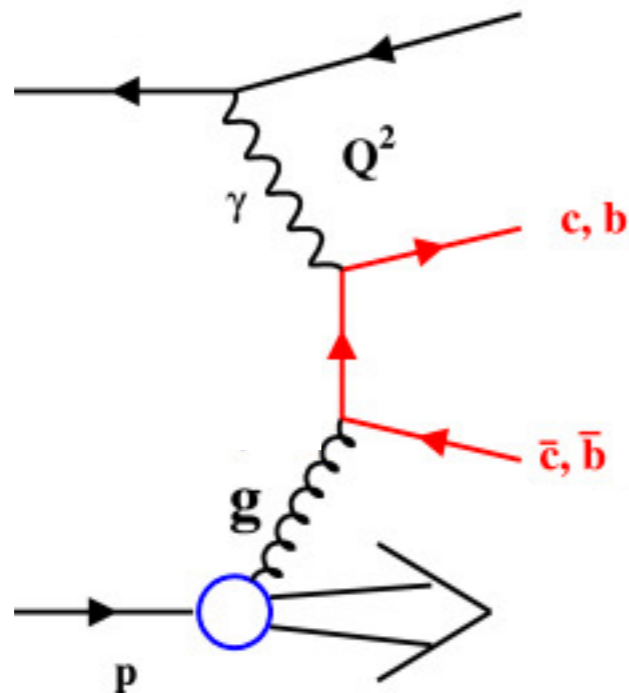


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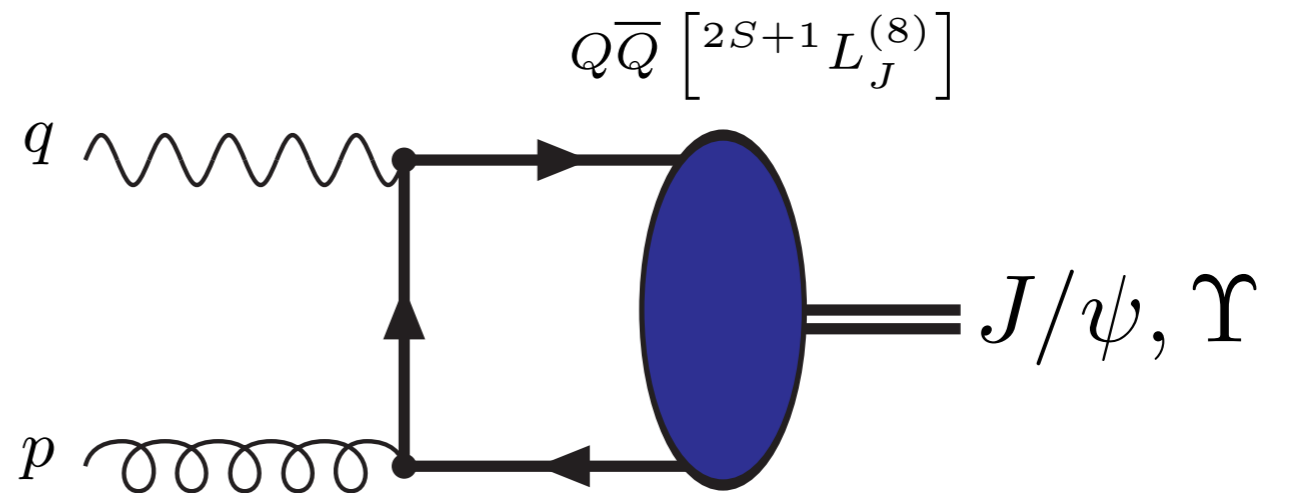
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Jet pair production a good option also, especially at small  $x$ , where gluons dominate

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Open heavy quark pair production and quarkonium production are arguably the simplest processes that are sensitive to the transverse momentum of gluons

Jet pair production a good option also, especially at small  $x$ , where gluons dominate

Nuclei can also help boost the gluon density, but not for the polarized case

# Gluons TMDs

Gluon TMD correlator:  $\Gamma_g^{\mu\nu}(x, p_T) \propto \langle P | F^{+\nu}(0) \mathcal{U} F^{+\mu}(\xi^-, \xi_T) \mathcal{U}' | P \rangle$



transverse momentum dependent (TMD)

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For unpolarized protons:

$$\Gamma_U^{\mu\nu}(x, \mathbf{p}_T) = \frac{x}{2} \left\{ -g_T^{\mu\nu} f_1^g(x, \mathbf{p}_T^2) + \left( \frac{p_T^\mu p_T^\nu}{M_p^2} + g_T^{\mu\nu} \frac{\mathbf{p}_T^2}{2M_p^2} \right) h_1^{\perp g}(x, \mathbf{p}_T^2) \right\}$$



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linearly polarized  
gluon TMD

Gluons inside *unpolarized* protons can be polarized!

Mulders, Rodrigues '01

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For transversely polarized protons:

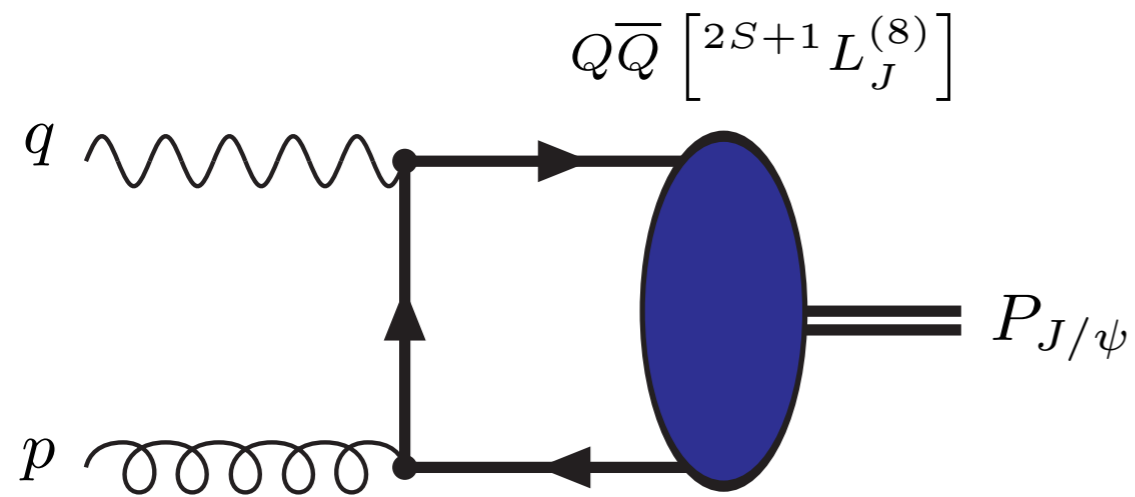
gluon Sivers TMD

$$\Gamma_T^{\mu\nu}(x, \mathbf{p}_T) = \frac{x}{2} \left\{ g_T^{\mu\nu} \frac{\epsilon_T^{\rho\sigma} p_{T\rho} S_{T\sigma}}{M_p} f_{1T}^{\perp g}(x, \mathbf{p}_T^2) + \dots \right\}$$

# Quarkonium production

$e p \rightarrow e' Q X$  with  $Q$  either a  $J/\psi$  or a  $\Upsilon$  meson

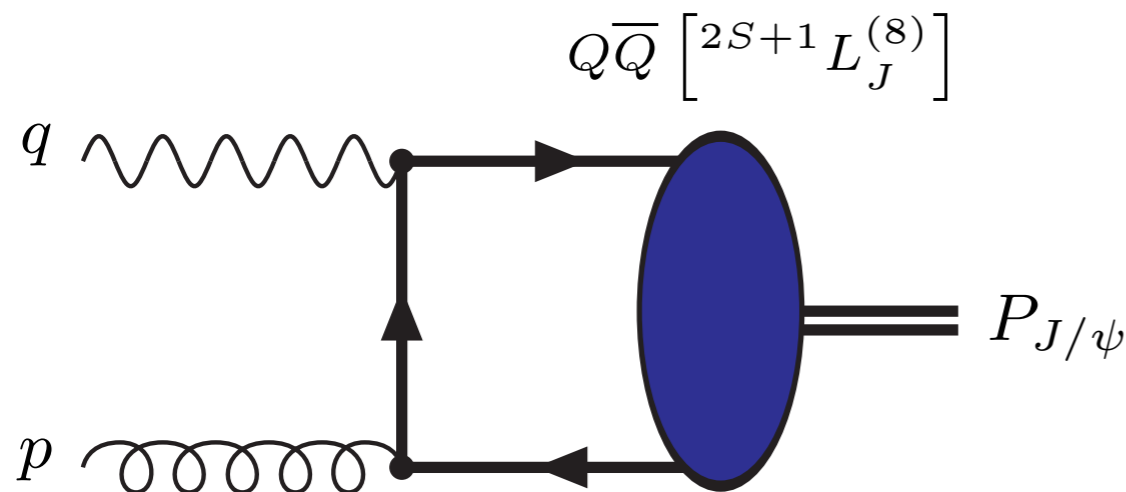
Mukherjee, Rajesh, 2017; Sun, Zhang, 2017; Bacchetta, DB, Pisano, Taelis, 2018;  
Kishore, Mukherjee, 2018; Kishore, Mukherjee, Siddiqah, 2021; ...



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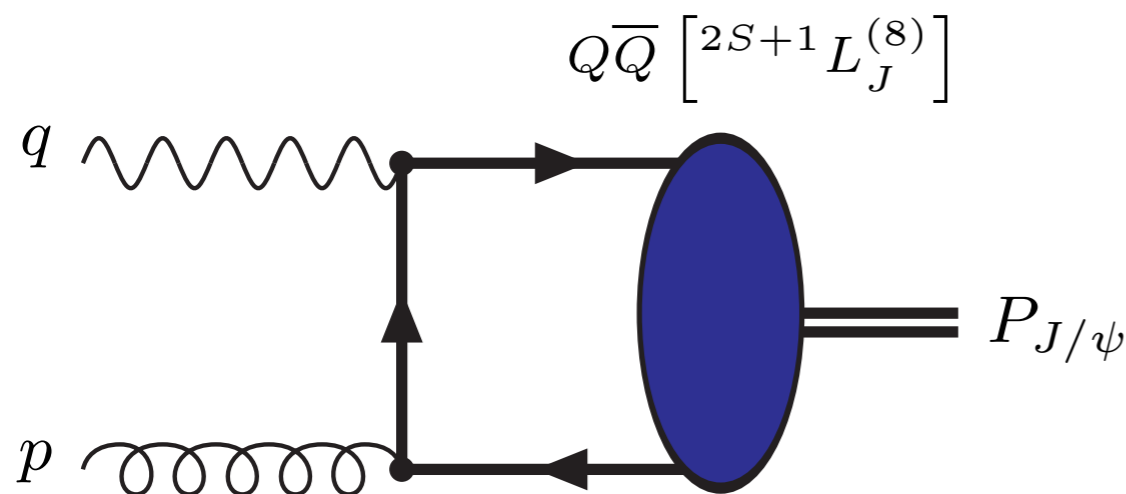
A  $\cos(2\phi_T)$  asymmetry probes  $h_1^\perp g$

$$\langle \cos 2\phi_T \rangle = \frac{(1-y) \mathcal{B}_T^{\gamma^* g \rightarrow Q}}{[1 + (1-y)^2] \mathcal{A}_{U+L}^{\gamma^* g \rightarrow Q} - y^2 \mathcal{A}_L^{\gamma^* g \rightarrow Q}} \times \frac{\mathbf{q}_T^2}{2M_p^2} \frac{h_1^\perp g(x, \mathbf{q}_T^2)}{f_1^g(x, \mathbf{q}_T^2)}.$$

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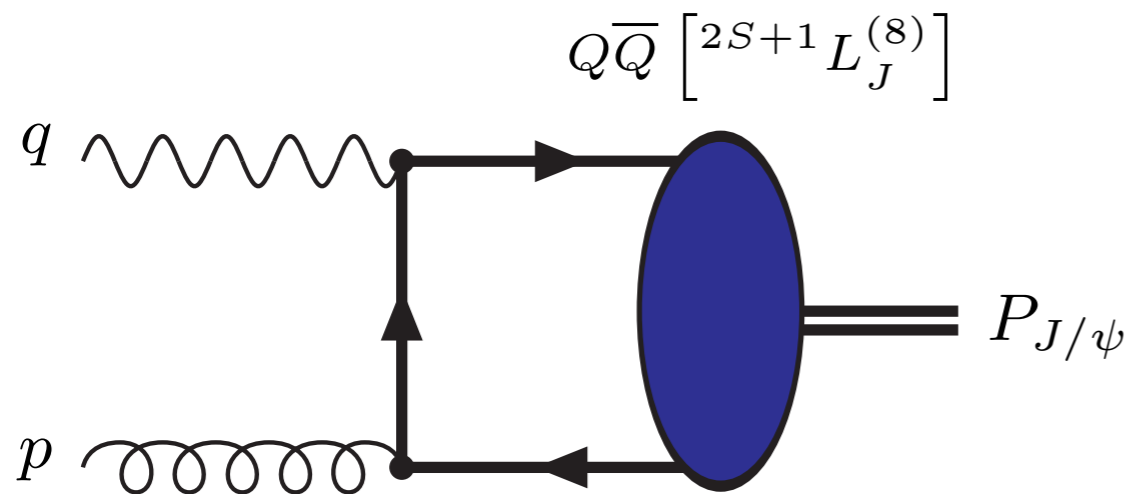
In LO NRQCD the prefactor of the asymmetry depends on two quite uncertain Color Octet (CO) Long Distance Matrix Elements (LDMEs)

One can cancel out the CO LDMEs by considering ratios with spin asymmetries

# Quarkonium production in ep

$ep^\uparrow \rightarrow e' Q X$  with  $Q$  either a  $J/\psi$  or a  $\Upsilon$  meson

Godbole, Misra, Mukherjee, Rawoot, 2012/3; Godbole, Kaushik, Misra, Rawoot, 2015;  
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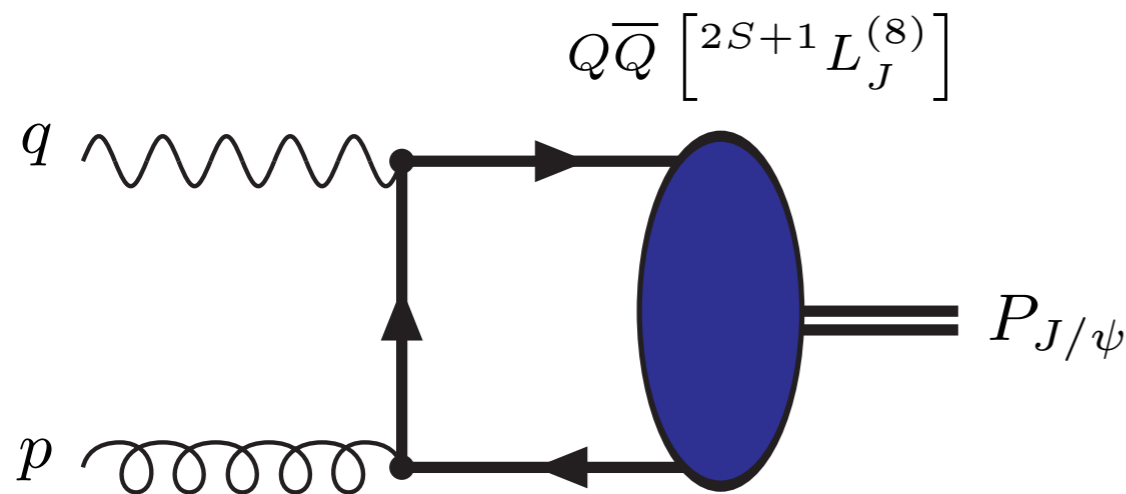
Using LO NRQCD the Sivers asymmetry is:

$$A^{\sin(\phi_S - \phi_T)} = \frac{|\mathbf{q}_T|}{M_p} \frac{f_{1T}^{\perp g}(x, \mathbf{q}_T^2)}{f_1^g(x, \mathbf{q}_T^2)}$$

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CO NRQCD LDMEs cancel out in ratios of asymmetries at LO

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$$\frac{A^{\cos 2\phi_T}}{A^{\sin(\phi_S + \phi_T)}} = \frac{\mathbf{q}_T^2}{M_p^2} \frac{h_1^{\perp g}(x, \mathbf{q}_T^2)}{h_1^g(x, \mathbf{q}_T^2)}$$

$$\frac{A^{\cos 2\phi_T}}{A^{\sin(\phi_S - 3\phi_T)}} = -\frac{1}{2} \frac{h_1^{\perp g}(x, \mathbf{q}_T^2)}{h_{1T}^{\perp g}(x, \mathbf{q}_T^2)}$$

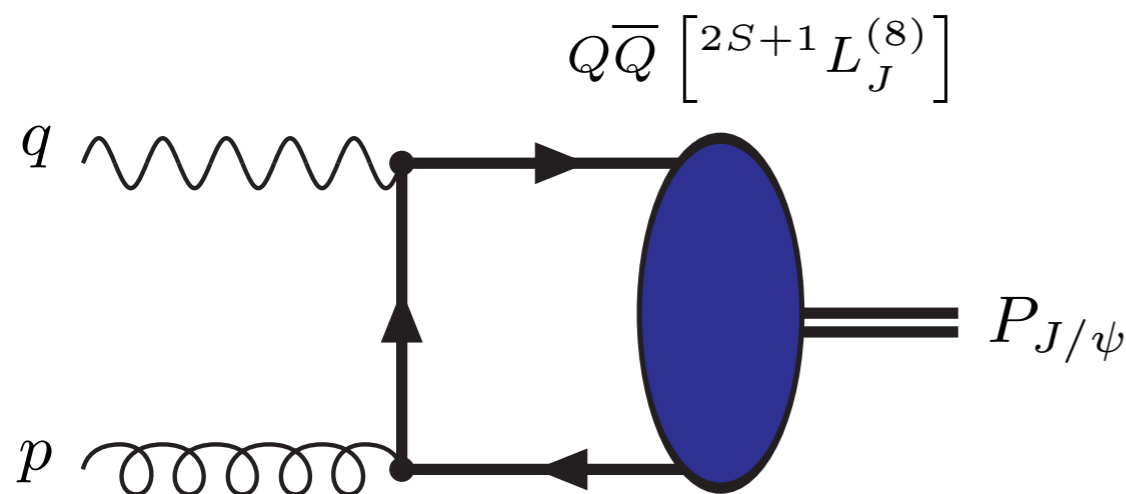
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Higher order corrections and shape functions will complicate this simple picture of course

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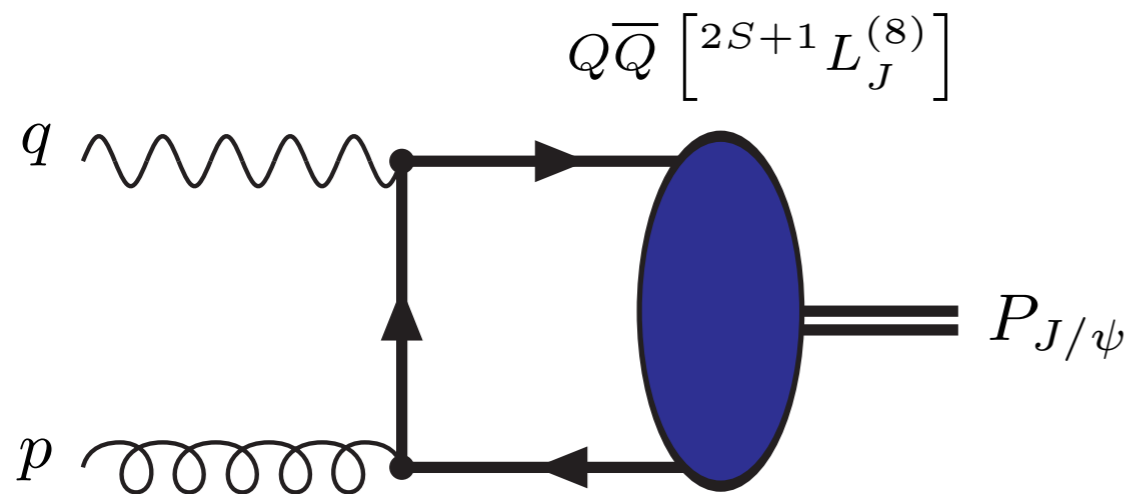
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CO NRQCD LDMEs cancel out in ratios of asymmetries at LO

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Higher order corrections and shape functions will complicate this simple picture of course

In addition, TMDs are process dependent: which functions are probed here?

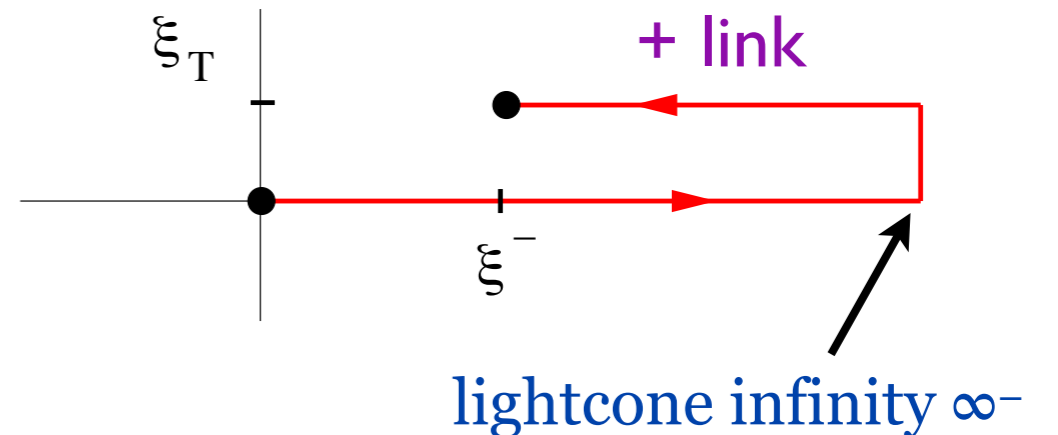
# Process dependence of gluon TMDs

# Operator structure of gluon TMDs

Gluon TMD correlators depend on two gauge links:

$$\Gamma_g^{\mu\nu}[\mathcal{U}, \mathcal{U}'](x, k_T) \equiv \text{F.T.} \langle P | \text{Tr}_c \left[ F^{+\nu}(0) \mathcal{U}_{[0, \xi]} F^{+\mu}(\xi) \mathcal{U}'_{[\xi, 0]} \right] | P \rangle$$

$$\mathcal{U}_c[0, \xi] = \mathcal{P} \exp \left( -ig \int_{\mathcal{C}[0, \xi]} ds_\mu A^\mu(s) \right)$$

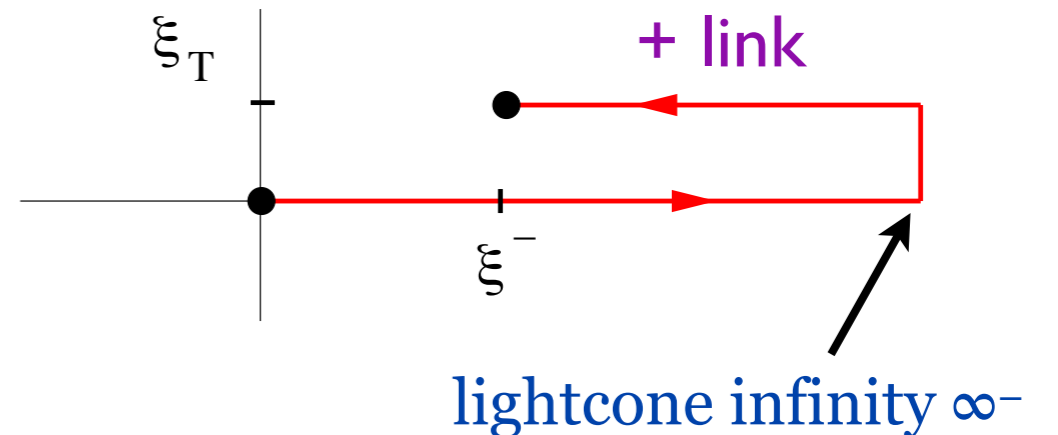


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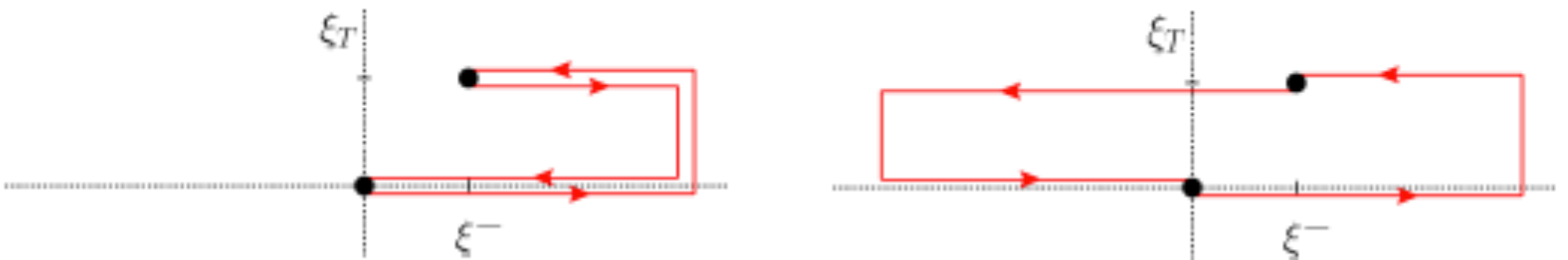
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For most gluon TMDs there are only 2 link combinations of interest:  $[+, +]$  &  $[+, -]$



$[-, -]$  &  $[-, +]$  are related to them by parity and time reversal

# WW vs DP

For unpolarized gluons there are two gluon TMDs of relevance

$$xG^{(1)}(x, k_{\perp}) = 2 \int \frac{d\xi^{-} d\xi_{\perp}}{(2\pi)^3 P^+} e^{ixP^+ \xi^{-} - ik_{\perp} \cdot \xi_{\perp}} \langle P | \text{Tr} [F^{+i}(\xi^{-}, \xi_{\perp}) \mathcal{U}^{[+]\dagger} F^{+i}(0) \mathcal{U}^{[+]}] | P \rangle \quad [+, +]$$

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For unpolarized gluons  $[+, +] = [-, -]$  and  $[+, -] = [-, +]$

At small  $x$  the two correspond to the Weizsäcker-Williams (WW) and dipole (DP) distributions, which are generally different in magnitude and width:

$$xG^{(1)}(x, k_{\perp}) = -\frac{2}{\alpha_S} \int \frac{d^2v}{(2\pi)^2} \frac{d^2v'}{(2\pi)^2} e^{-ik_{\perp} \cdot (v-v')} \langle \text{Tr} [\partial_i U(v)] U^{\dagger}(v') [\partial_i U(v')] U^{\dagger}(v) \rangle_{x_g} \quad \text{WW}$$

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Dominguez, Marquet, Xiao, Yuan, 2011

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Dominguez, Marquet, Xiao, Yuan, 2011

Explains Kharzeev, Kovchegov & Tuchin's "tale of two gluon distributions" (2003)



# Wilson loop correlator

The *leading twist*  $[+,-]$  correlator becomes a Wilson loop correlator in the small- $x$  limit:

$$\Gamma^{[+,-]ij}(x, \mathbf{k}_T) \xrightarrow{x \rightarrow 0} \frac{k_T^i k_T^j}{2\pi L} \Gamma_0^{[\square]}(\mathbf{k}_T) \quad \text{a single Wilson loop matrix element}$$

DB, Cotogno, van Daal, Mulders, Signori & Ya-Jin Zhou, 2016

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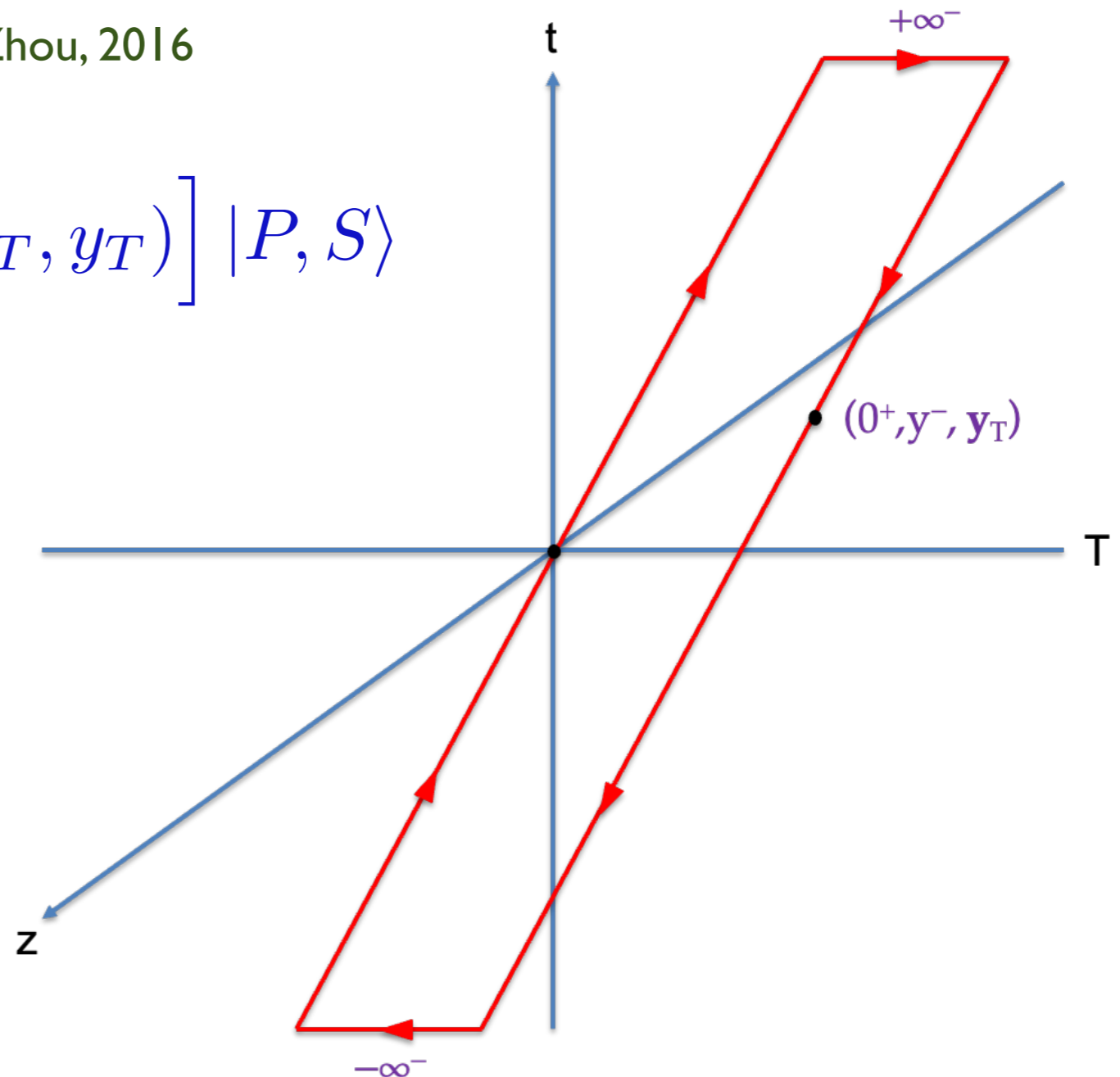
DB, Cotogno, van Daal, Mulders, Signori & Ya-Jin Zhou, 2016

$$\Gamma^{[\square]} \propto \text{F.T.} \langle P, S | \text{Tr} \left[ U^{[\square]}(0_T, y_T) \right] | P, S \rangle$$

$$U^{[\square]} = U_{[0,y]}^{[+]} U_{[y,0]}^{[-]}$$

Measures flux through the loop

Large  $k_T$  corresponds to narrow loop



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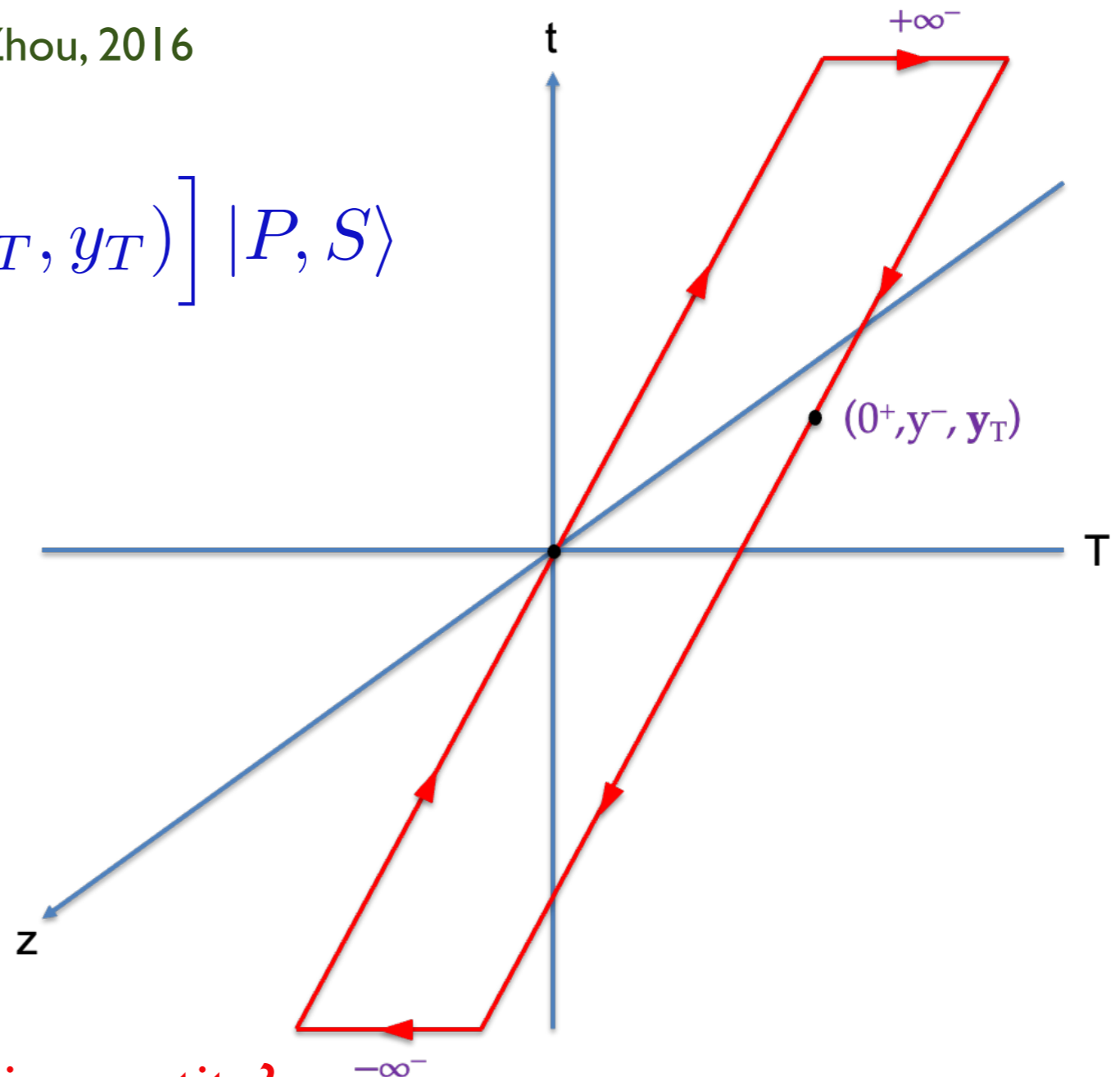
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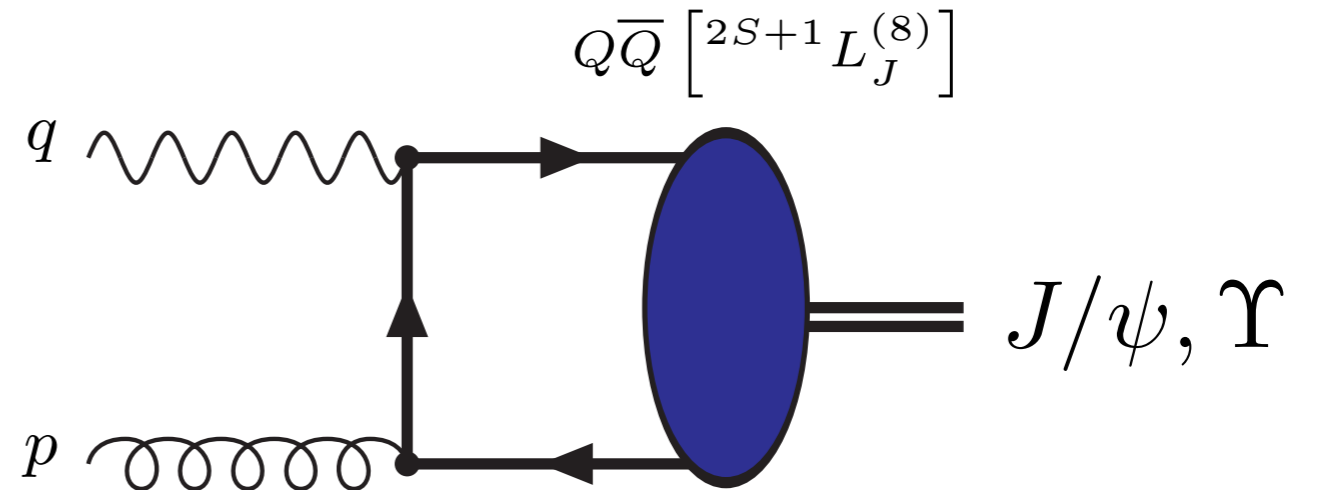
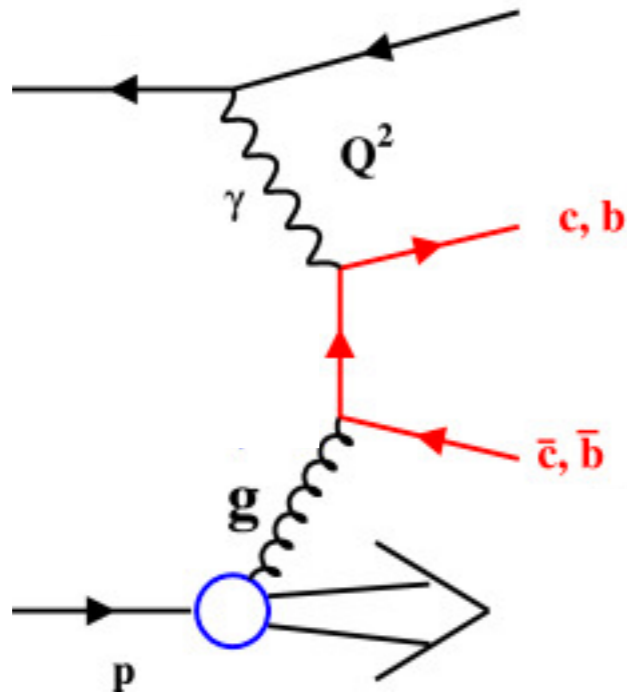
Measures flux through the loop

Large  $k_T$  corresponds to narrow loop



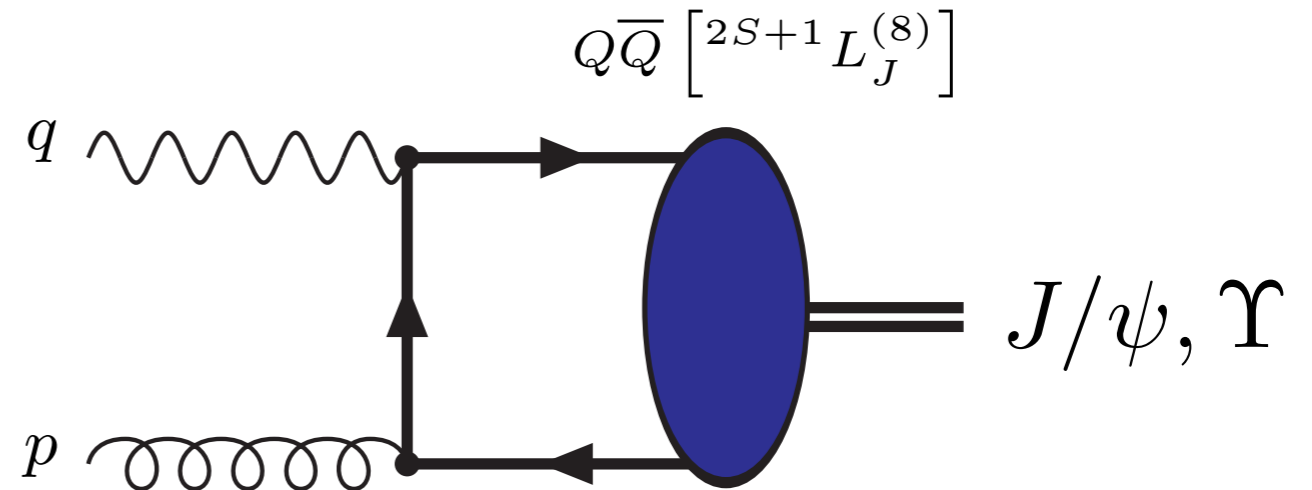
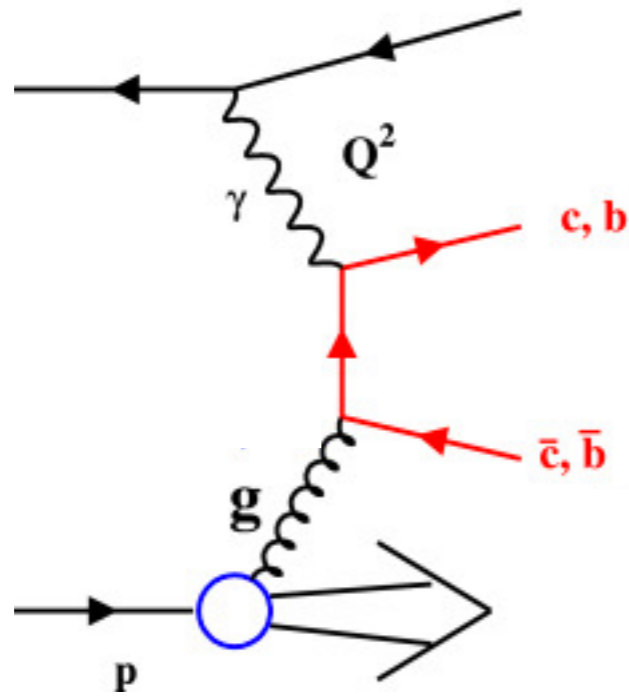
What are the processes that probe this quantity?

# Probing gluon TMDs using heavy quarks



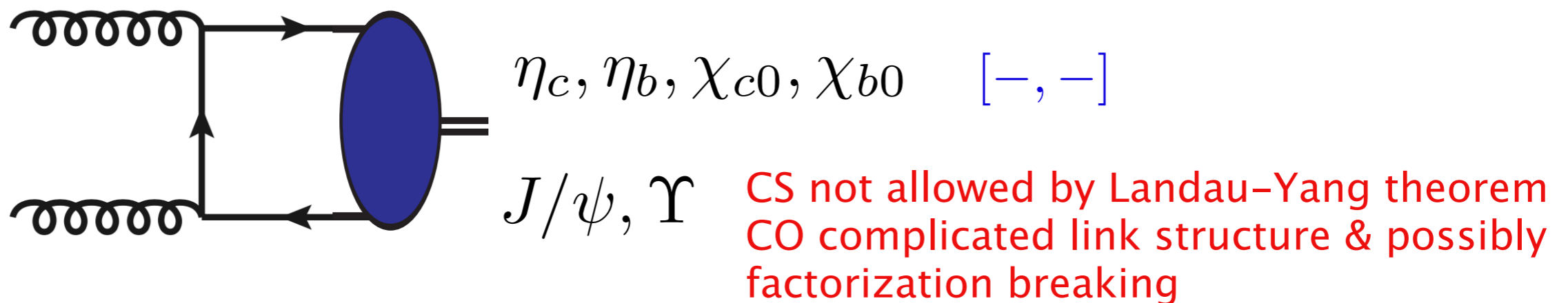
Open heavy quark pair production and single quarkonium production:  $[+, +]$

# Probing gluon TMDs using heavy quarks

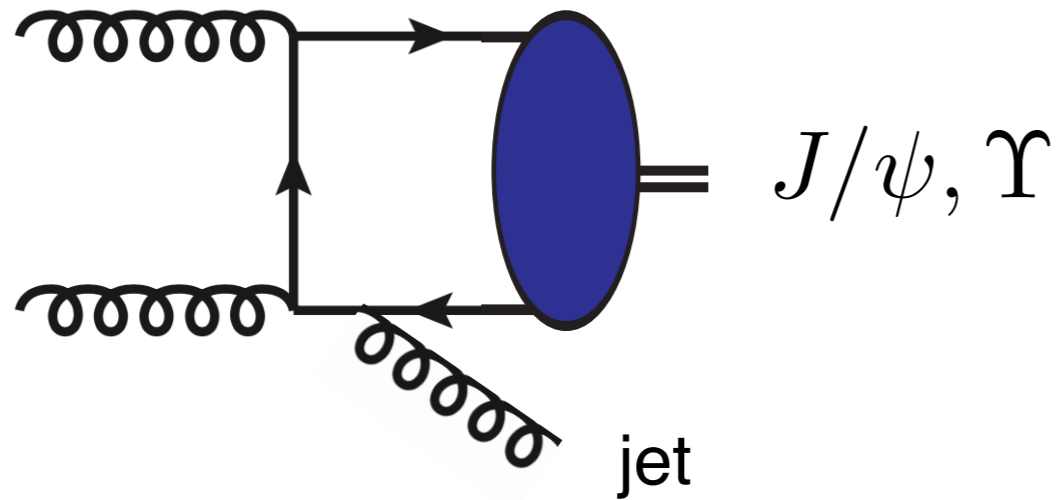


Open heavy quark pair production and single quarkonium production:  $[+, +]$

In pp collisions one probes the  $[-, -]$  correlator through gluon-gluon fusion

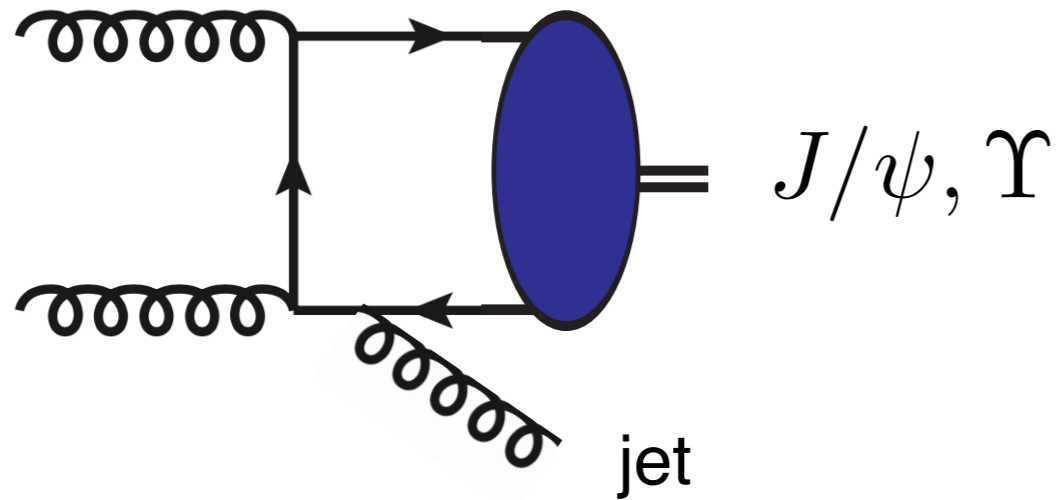


# Probing gluon TMDs using heavy quarks

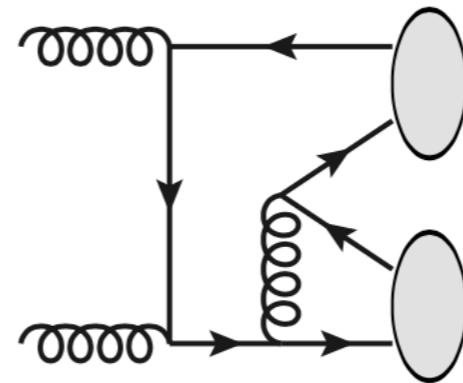
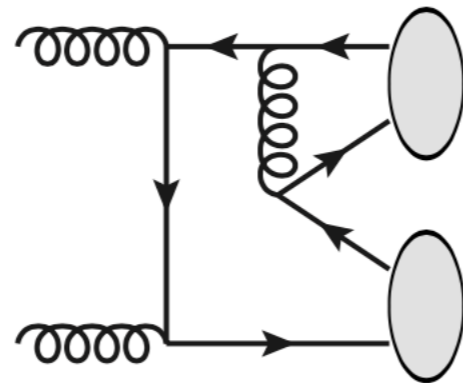
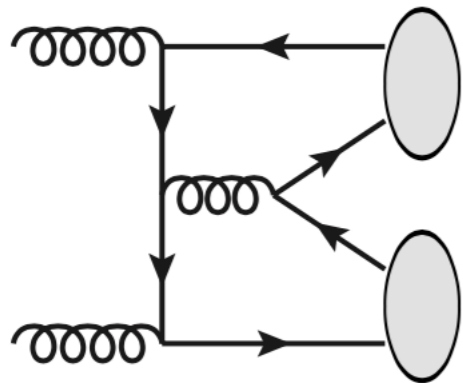


CS allowed, nevertheless complicated link structure & possibly factorization breaking

# Probing gluon TMDs using heavy quarks



CS allowed, nevertheless complicated link structure & possibly factorization breaking



$J/\psi, \Upsilon$

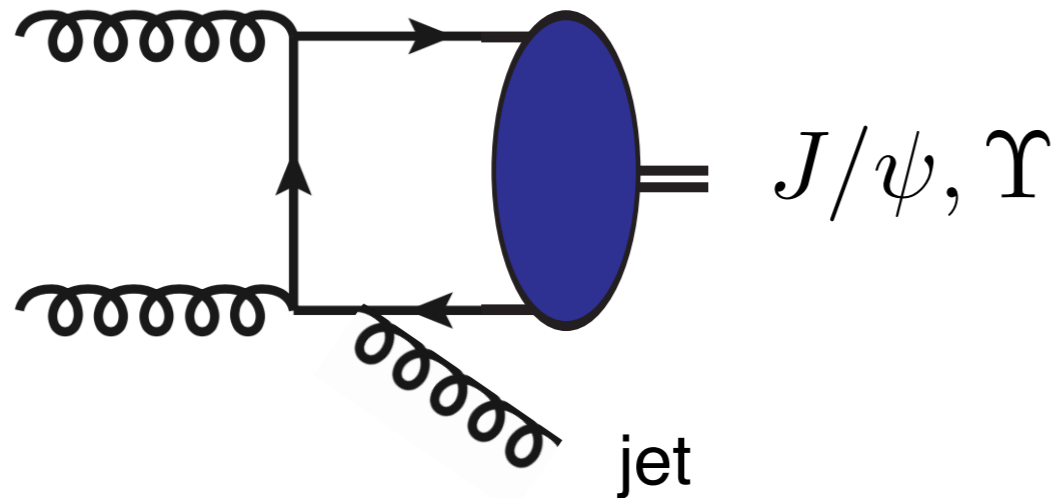
$J/\psi, \Upsilon$

CS-CS  $\gg$  CO-CO

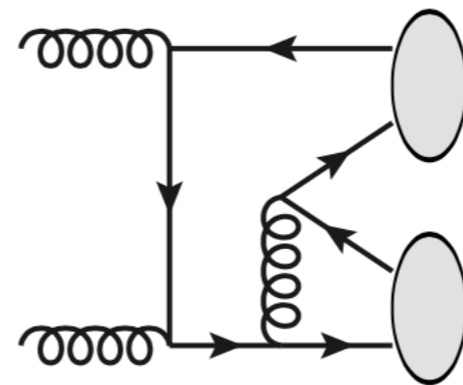
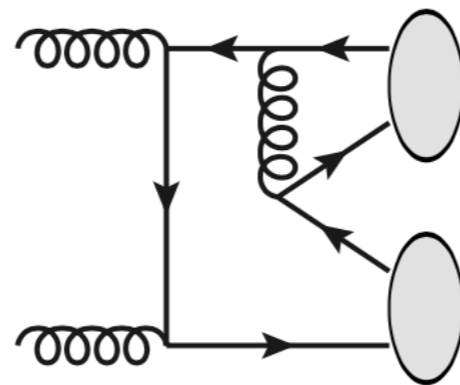
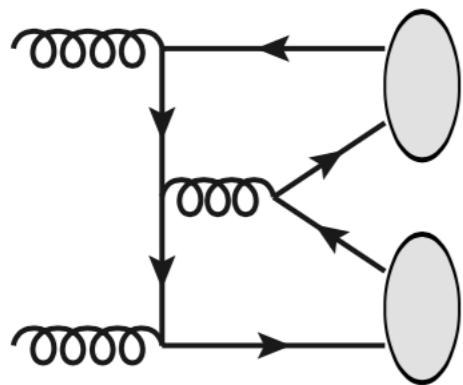
[-, -]

[Scarpa et al., 2020]

# Probing gluon TMDs using heavy quarks



CS allowed, nevertheless complicated link structure & possibly factorization breaking



$J/\psi, \Upsilon$

$J/\psi, \Upsilon$

CS-CS  $\gg$  CO-CO

[-, -]

[Scarpa et al., 2020]

$pp \rightarrow Q\bar{Q} X$

Never CS

TMD factorization is a concern here

[Rogers, Mulders, 2010; Catani, Grazzini, Torre, 2015]



# Processes that probe gluon TMDs

$f_1^g [+,+]$	$pp \rightarrow \gamma J/\psi X$ $pp \rightarrow \gamma \Upsilon X$
$f_1^g [+,-]$	$pp \rightarrow \gamma \text{jet } X$
$h_1^\perp g [+,+]$	$ep \rightarrow e' Q \bar{Q} X$ $ep \rightarrow e' \text{jet jet } X$ $pp \rightarrow \eta_{c,b} X$ $pp \rightarrow H X$
$h_1^\perp g [+,-]$	$pp \rightarrow \gamma^* \text{jet } X$
$f_{1T}^\perp g [+,+]$	$ep^\uparrow \rightarrow e' Q \bar{Q} X$ $ep^\uparrow \rightarrow e' \text{jet jet } X$
$f_{1T}^\perp g [-,-]$	$p^\uparrow p \rightarrow \gamma \gamma X$
$f_{1T}^\perp g [+,-]$	$p^\uparrow A \rightarrow \gamma^{(*)} \text{jet } X$ $p^\uparrow A \rightarrow h X \ (x_F < 0)$

process dependence  
of the TMDs  $\Gamma^{[U,U']}$ :  
 $[+,+] = \pm [-,-]$   
 $[+,-] = \pm [-,+]$   
 with + if T-even  
 and - if T-odd

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Small  $x$

-

Unpolarized case

# Wilson loop correlator

The *leading twist*  $[+,-]$  correlator becomes a Wilson loop correlator in the small- $x$  limit:

$$\Gamma^{[+,-]ij}(x, \mathbf{k}_T) \xrightarrow{x \rightarrow 0} \frac{k_T^i k_T^j}{2\pi L} \Gamma_0^{[\square]}(\mathbf{k}_T)$$

a single Wilson loop matrix element

DB, Cotogno, van Daal, Mulders, Signori & Ya-Jin Zhou, 2016

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As a consequence, the DP  $h_1^{\perp g}$  becomes maximal when  $x \rightarrow 0$

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In line with MV model calculation:

$$x h_{1,DP}^{\perp g}(x, k_\perp) = 2x f_{1,DP}^g(x, k_\perp)$$

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**CGC gluons are maximally linear polarized (amount probed depends on process)**

# Linear gluon polarization at small $x$

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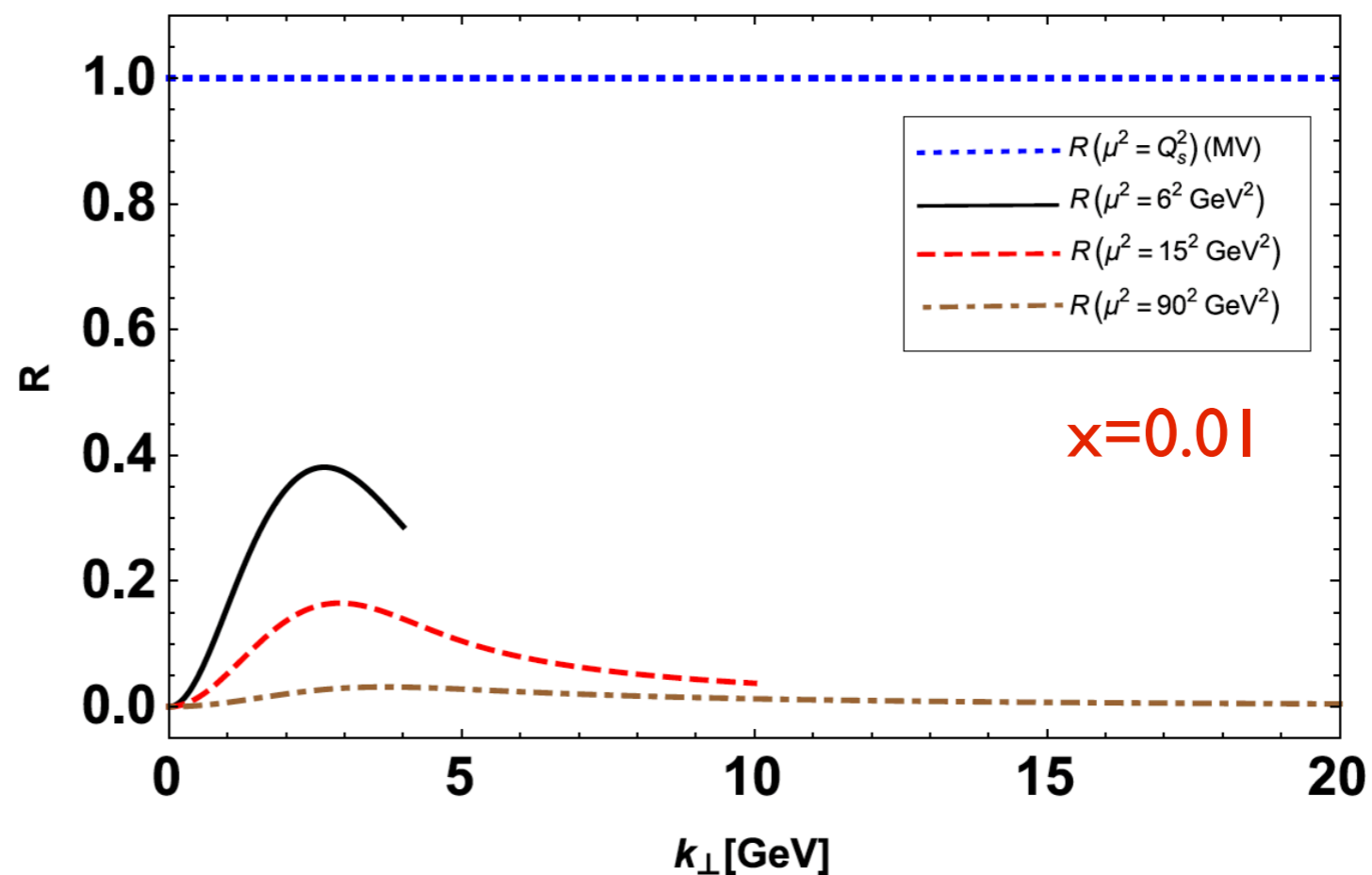
Under small-x evolution it is, as it satisfies the same BK equation as  $f_1$

Dominguez, Qiu, Xiao, Yuan, 2012

Under TMD (scale) evolution however there is Sudakov suppression:

$$R = h_{1\perp}^g / f_1^g$$

MV model

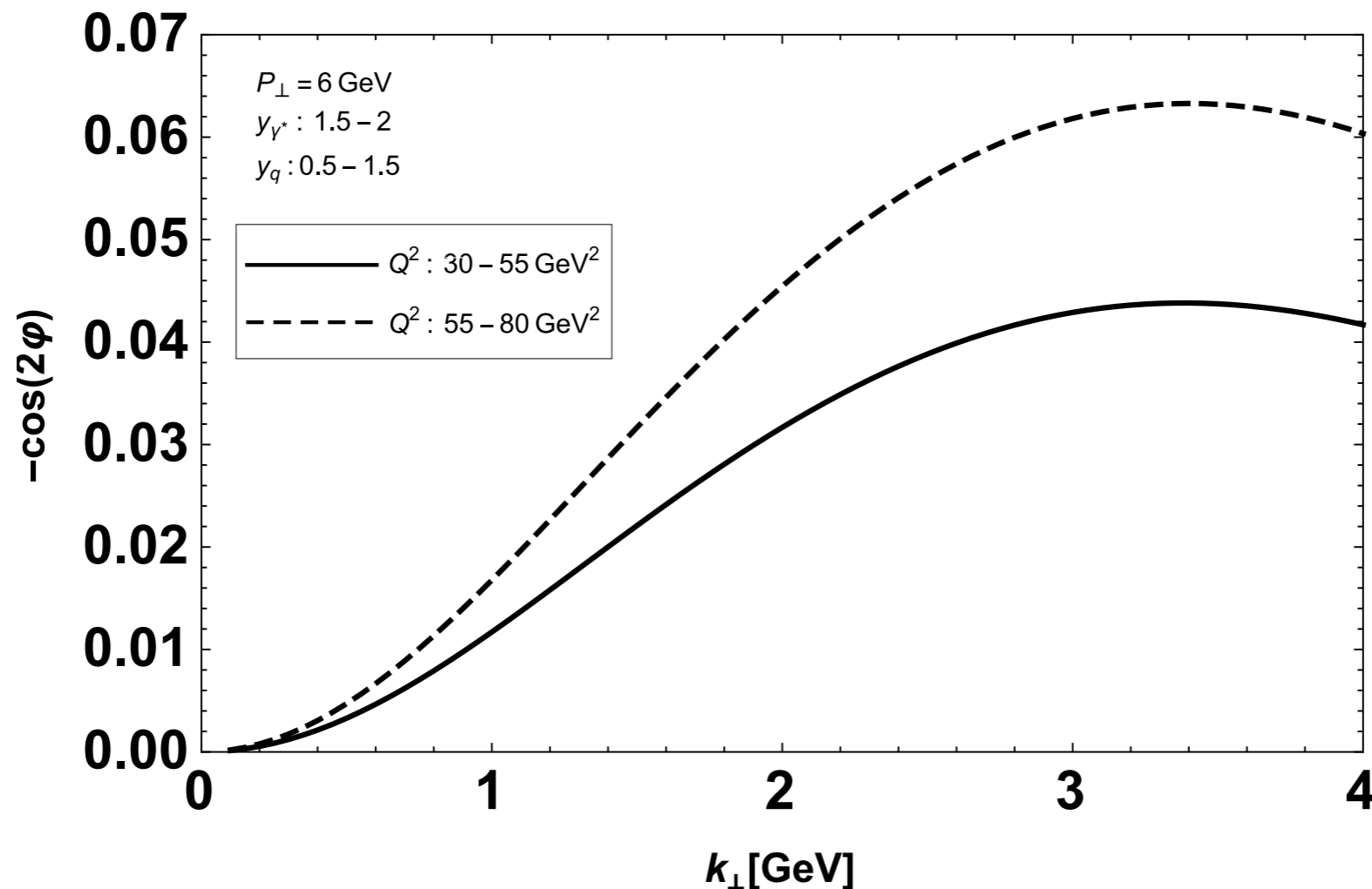


Still it may be accessible

DB, Mulders, Jian Zhou & Ya-Jin Zhou, 2017

# Sudakov suppression of linear gluon polarization

$pA \rightarrow \gamma^* \text{ jet } X$  offers a good opportunity to study the DP linear gluon polarization



Despite the DP linear gluon polarization becoming maximal at small  $x$ , there is **amplitude and Sudakov suppression** of the  $\cos(2\phi)$  asymmetry in  $pA \rightarrow \gamma^* \text{ jet } X$ :

**~5% asymmetry at RHIC (p-Au)**

Small  $x$

-

Polarized case

# Dipole gluon Sivers effect

The d-type gluon Sivers function  $f_{1T}^{\perp g [+,-]}$  at small  $x$  is part of:

$$\Gamma_{(d)}^{(T\text{-odd})} \equiv \left( \Gamma^{[+,-]} - \Gamma^{[-,+]} \right) \propto \text{F.T.} \langle P, S_T | \text{Tr} \left[ U^{[\square]}(0_T, y_T) - U^{[\square]\dagger}(0_T, y_T) \right] | P, S_T \rangle$$

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$$\Gamma_{T\text{-odd}}^{\mu\nu}(x, k_T; S_T) = \frac{k_T^\mu k_T^\nu N_c}{2\pi^2 \alpha_s x} \frac{\epsilon_T^{\alpha\beta} S_{T\alpha} k_{T\beta}}{M} \mathcal{O}_{1T}^\perp(x, k_T^2)$$

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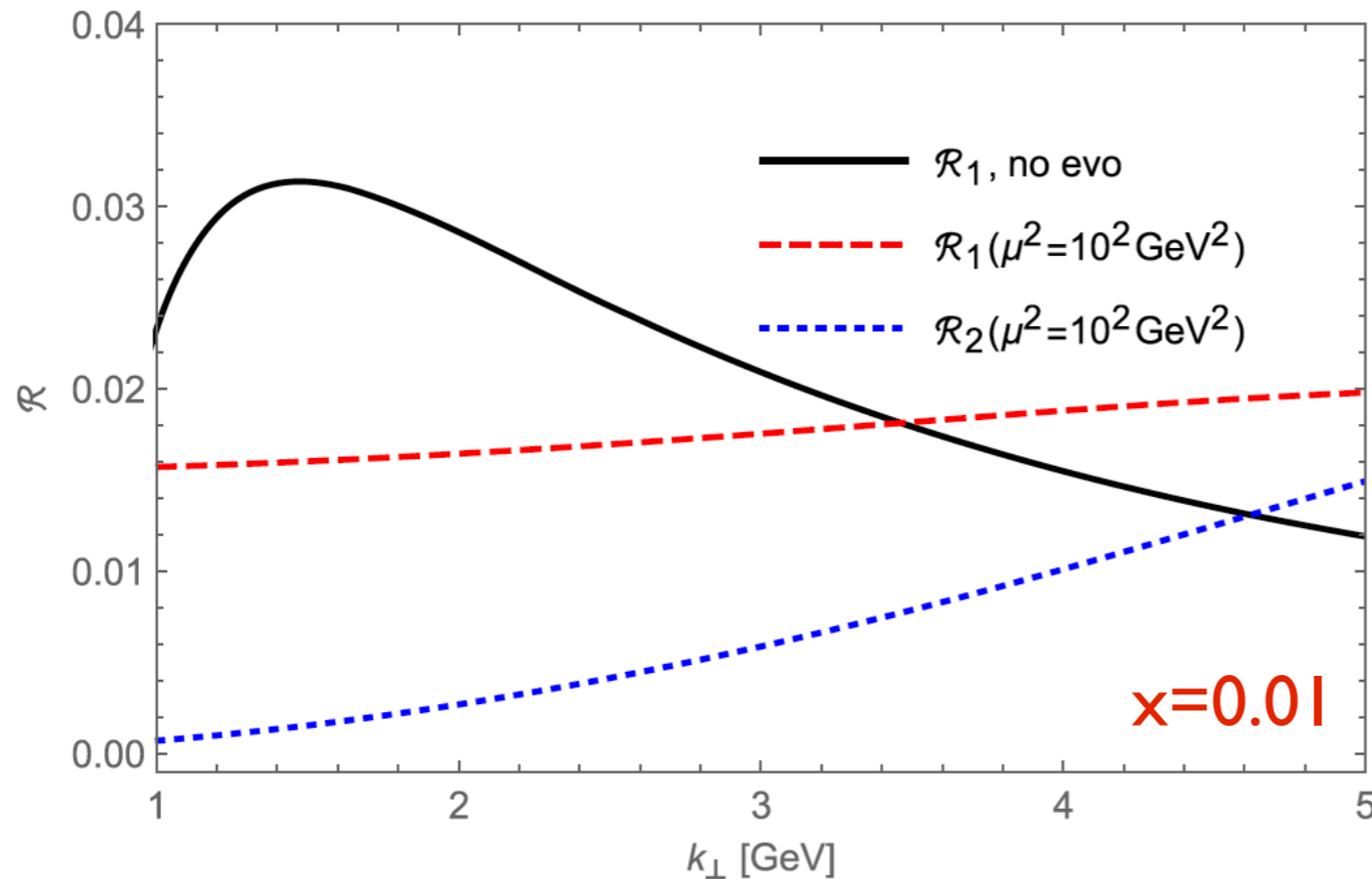
DB, Cotogno, van Daal, Mulders, Signori & Ya-Jin Zhou, 2016

Presumably preserved under  $x$  evolution, but ratio to  $f_1$  rapidly drops

Kovchegov, Szymanowski, Wallon, 2004; Hatta, Iancu, Itakura, McLerran, 2005; ...



# T-odd gluon TMDs at small x - scale evolution



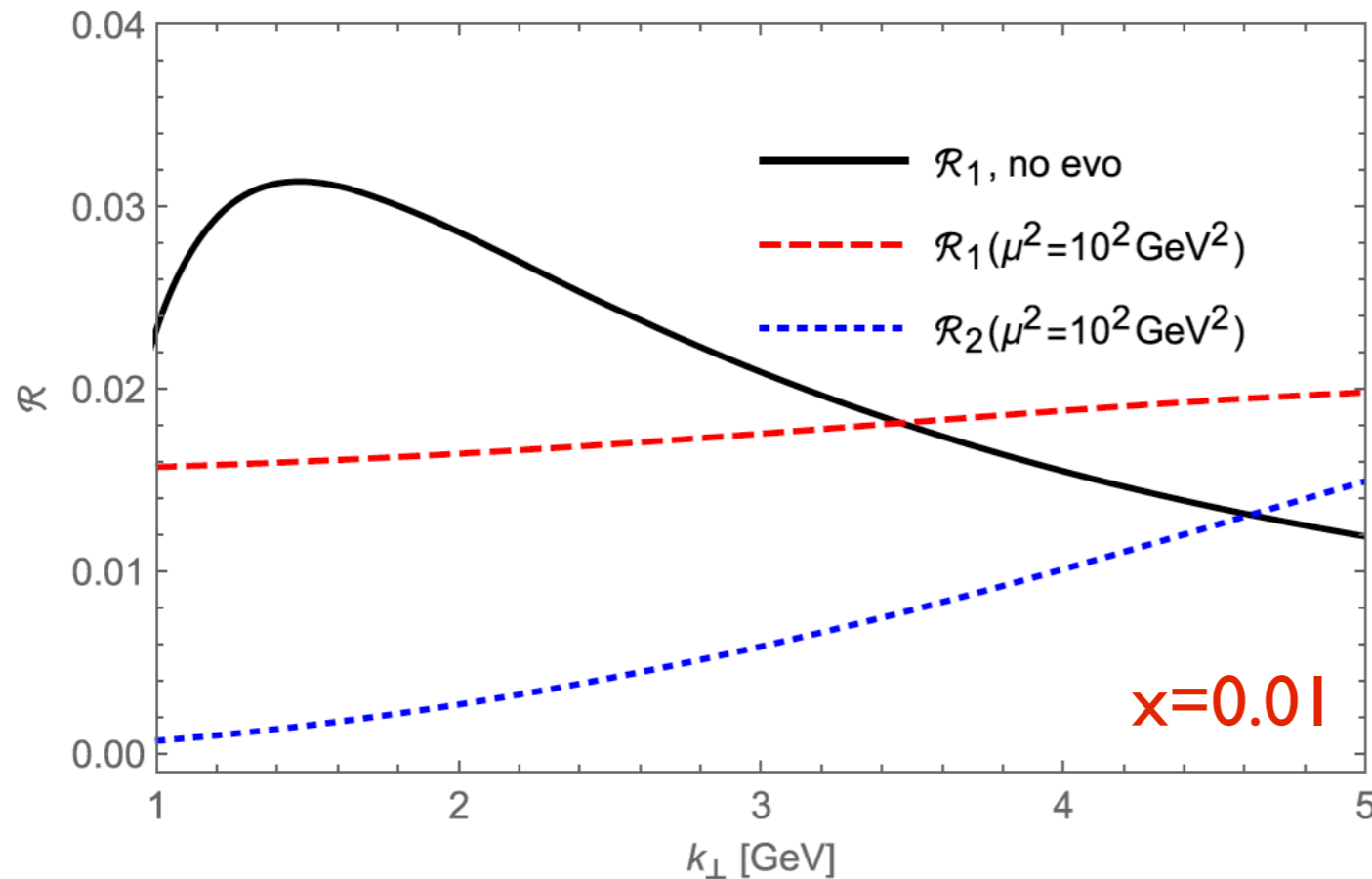
$$\mathcal{R}_1(\mu^2) = \frac{x f_{1T}^{\perp g}(\mu^2)}{x f_1^g(\mu^2)}$$

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DB, Hagiwara, Jian Zhou & Ya-Jin Zhou, 2022

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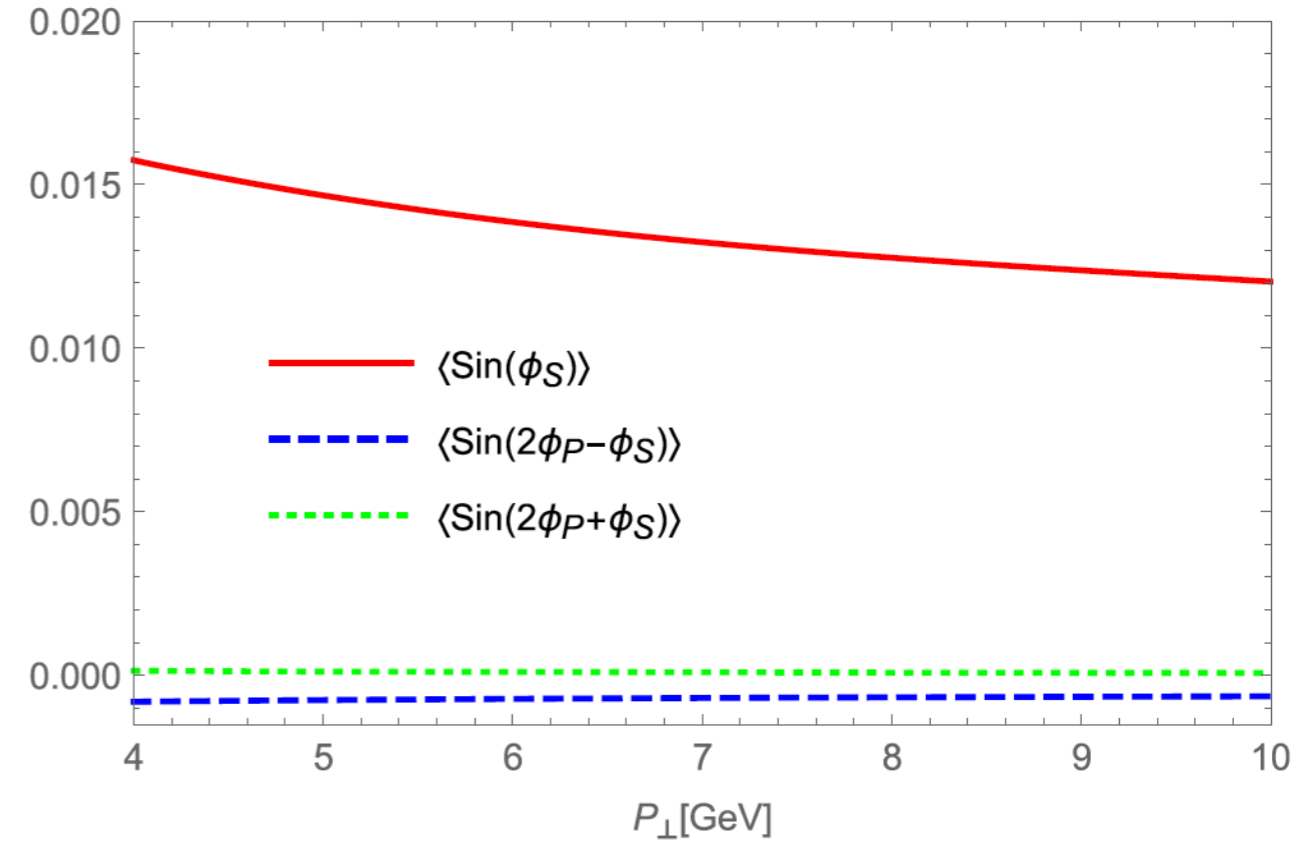
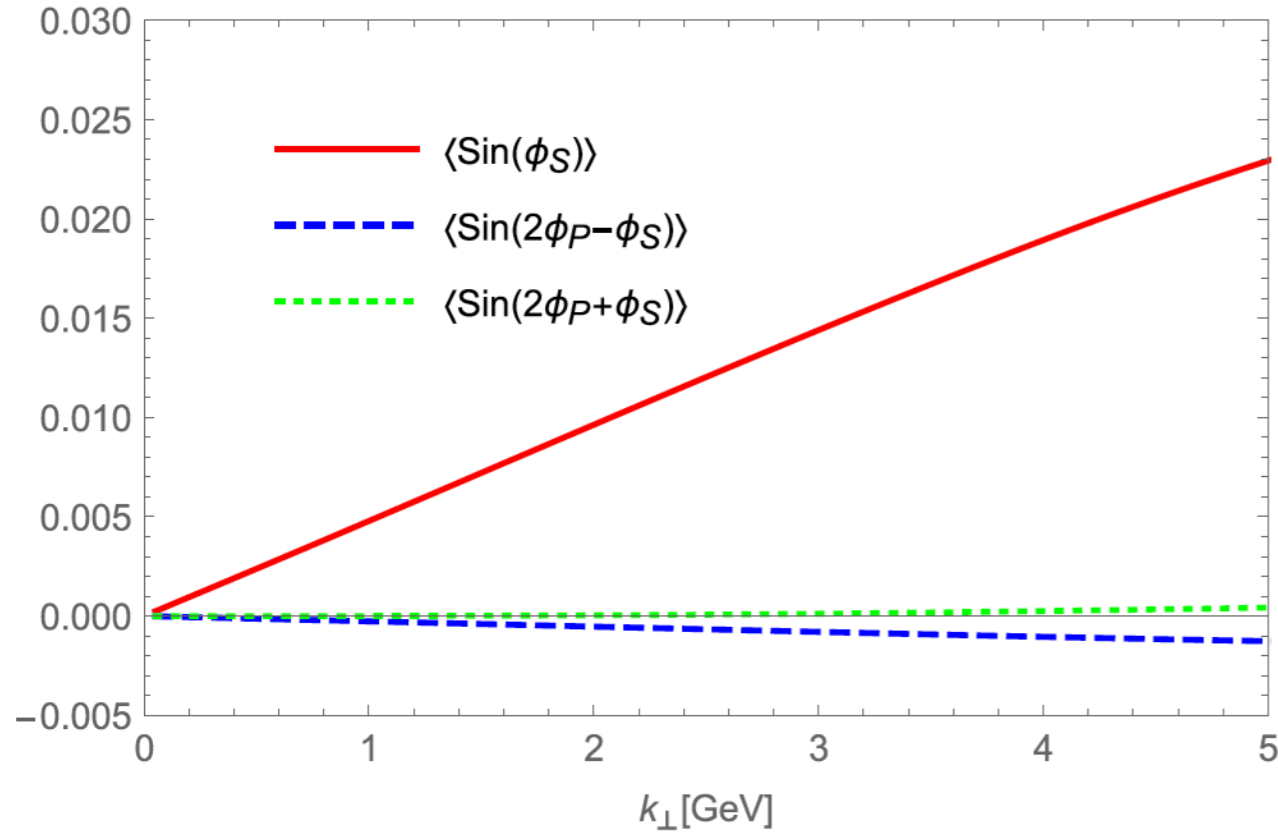
Scale evolution does not preserve the small-x equality of T-odd dipole gluon TMDs

Not the MV model since applied to polarized protons at not too small x  
Rather a diquark model that is used as a source for the gluon distributions

Szymanowski and J. Zhou, 2016

# T-odd DP gluon asymmetries at small x

$$\frac{d\sigma^{p^\uparrow p \rightarrow \gamma^* q X}}{dP.S} = \sum_q x_q f_1^q(x_q) \left\{ H_{UU} \left[ x f_1^g(x, \mathbf{k}_\perp^2) + \sin(\phi_S) \frac{|\mathbf{k}_\perp|}{M} x f_{1T}^{\perp g}(x, \mathbf{k}_\perp^2) \right] + H_{UT} \cos(2\phi_P) \frac{|\mathbf{k}_\perp|^2}{2M^2} x h_1^{\perp g}(x, \mathbf{k}_\perp^2) \right. \\ \left. + \frac{1}{2} H_{UT} \sin(2\phi_P - \phi_S) \frac{|\mathbf{k}_\perp|}{M} x h_1^g(x, \mathbf{k}_\perp^2) + \frac{1}{2} H_{UT} \sin(2\phi_P + \phi_S) \frac{|\mathbf{k}_\perp|}{M} \frac{|\mathbf{k}_\perp|^2}{2M^2} x h_{1T}^{\perp g}(x, \mathbf{k}_\perp^2) \right\},$$



**Gluon Sivers asymmetry largest, but small (% level) in this model**

DB, Hagiwara, Jian Zhou & Ya-Jin Zhou, 2022

# Gluon GTMDs

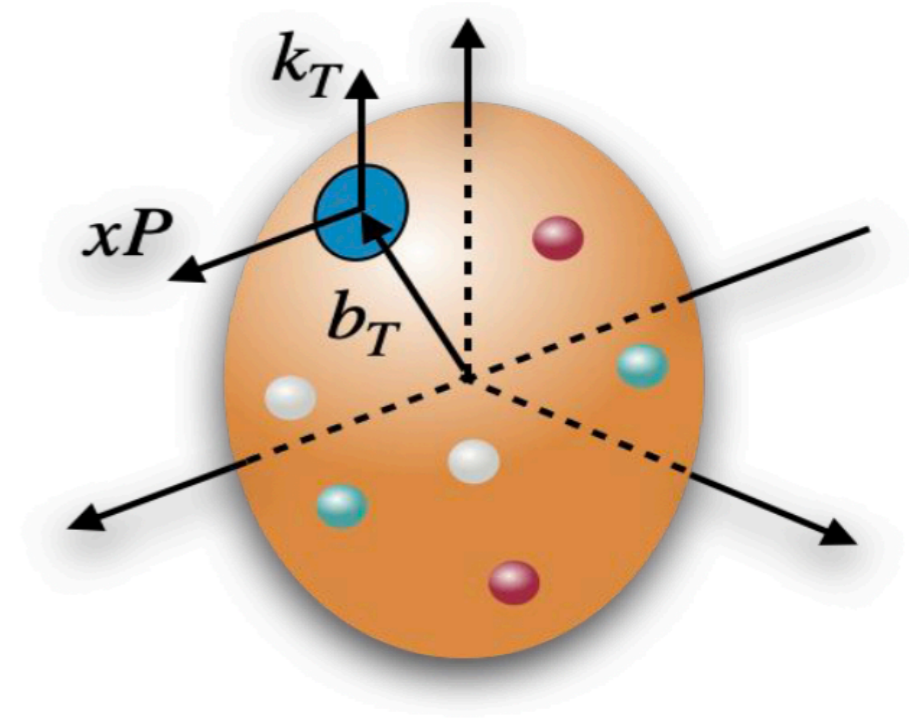
# 3D momentum and spatial distributions

---

TMDs - 3D momentum structure ( $x$  &  $k_T$ )

GPDs - 3D spatial structure ( $\xi$  &  $t$  or  $z$  &  $b_T$ )

GTMDs - combined 5D (or 6D) structure

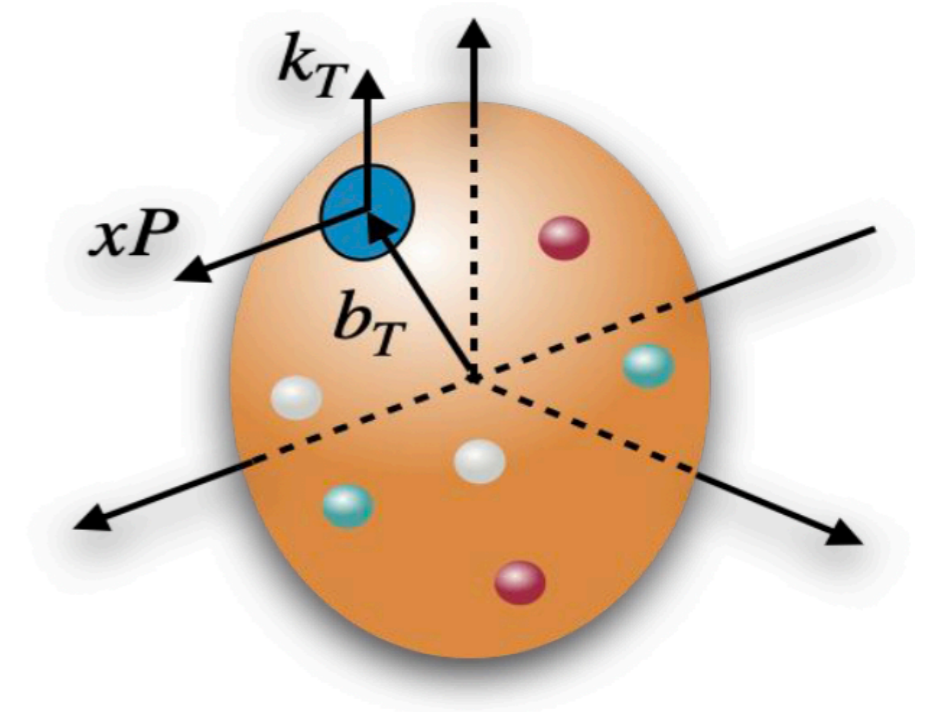


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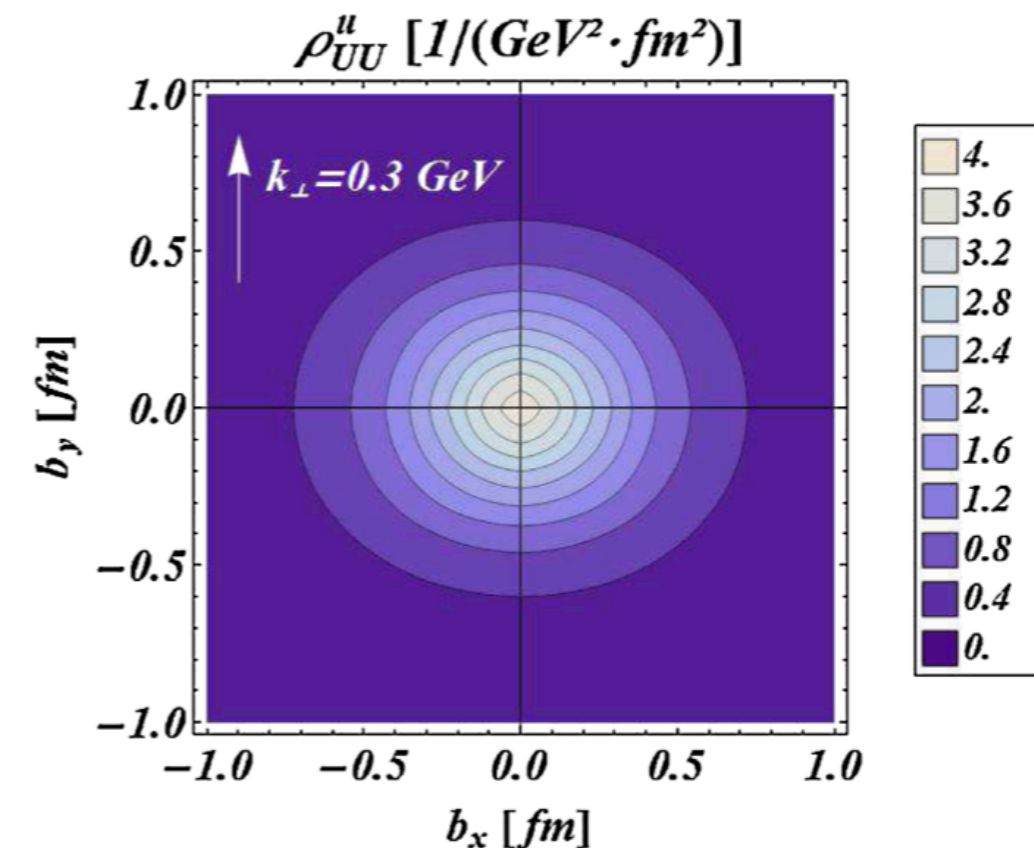
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GTMDs - combined 5D (or 6D) structure



Teaches us about orbital angular momentum

Lorce, Pasquini, 2011; Hatta, 2011; ...



# GTMDs - 5D parton distributions

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Off-forward distributions, like GPDs, give access to the transverse spatial distributions; here the proton stays intact but gets a momentum kick

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GTMDs can be seen as:

- off-forward TMDs
- transverse momentum dependent GPDs
- Fourier transforms of Wigner distributions

$$G(x, \mathbf{k}_T, \Delta_T) \xleftrightarrow{FT} W(x, \mathbf{k}_T, \mathbf{b}_T)$$

Meißner, Metz, Schlegel, 2009

Ji, 2003; Belitsky, Ji & Yuan, 2004



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GTMDs combine all properties of TMDs and GPDs, such as the gauge link and process dependence & translation non-invariance

# Gluon GTMDs for unpolarized protons

---

For unpolarized protons there are 2 (real valued) gluon TMDs:

$$\Gamma_U^{ij}(x, \mathbf{k}_T) = \frac{x}{2} \left[ -g_T^{ij} f_1(x, \mathbf{k}_T^2) + \frac{k_T^{ij}}{M^2} h_1^\perp(x, \mathbf{k}_T^2) \right]$$

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Mulders, Rodrigues, 2001

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Mulders, Rodrigues, 2001

For GTMDs one has one more vector so more anisotropic terms can arise

For unpolarized protons there are 4 (complex valued) gluon GTMDs

$$G^{[U,U']}^{ij}(x, \mathbf{k}_T, \mathbf{\Delta}_T) = x \left( \delta_T^{ij} \mathcal{F}_1 + \frac{k_T^{ij}}{M^2} \mathcal{F}_2 + \frac{\Delta_T^{ij}}{M^2} \mathcal{F}_3 + \frac{k_T^{[i} \Delta_T^{j]}}{M^2} \mathcal{F}_4 \right)$$

DB, van Daal, Mulders, Petreska, 2018

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# Gluon GTMDs for unpolarized protons

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Like for TMDs gauge links  $[U,U']$  will matter for GTMDs → WW and DP versions

# Dipole gluon GTMD

In the  $x \rightarrow 0$  the dipole gluon GTMD becomes a correlator of a single Wilson loop:

$$G^{[+,-]}(\mathbf{k}_\perp, \Delta_\perp) \equiv \frac{1}{2\pi g^2} \left[ \mathbf{k}_\perp^2 - \frac{\Delta_\perp^2}{4} \right] G^{[\square]}(\mathbf{k}_\perp, \Delta_\perp)$$

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This is for the isotropic ( $\delta_T^{ij}$ ) term, and more generally:

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All gluon polarization states (linear & circular) become maximal:

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Real part of  $G^{[\square]}(\mathbf{k}, \Delta)$  only depends on  $k^2$ ,  $\Delta^2$  and  $(\mathbf{k} \cdot \Delta)^2$

# Elliptic Wigner distributions

$$xW(x, \mathbf{b}, \mathbf{k}) = x\mathcal{W}_0(x, \mathbf{b}^2, \mathbf{k}^2) + 2 \cos(\phi_b - \phi_k) x\mathcal{W}_1(x, \mathbf{b}^2, \mathbf{k}^2) \\ + 2 \cos 2(\phi_b - \phi_k) x\mathcal{W}_2(x, \mathbf{b}^2, \mathbf{k}^2) + \dots$$

The  $\cos 2(\phi_b - \phi_k)$  part is called the elliptic Wigner distribution

Hatta, Xiao, Yuan, 2016; J. Zhou, 2016; Mäntysaari, Mueller, Schenke, 2019; Salazar, Schenke, 2019

There can be such an elliptic piece in each Wigner distribution

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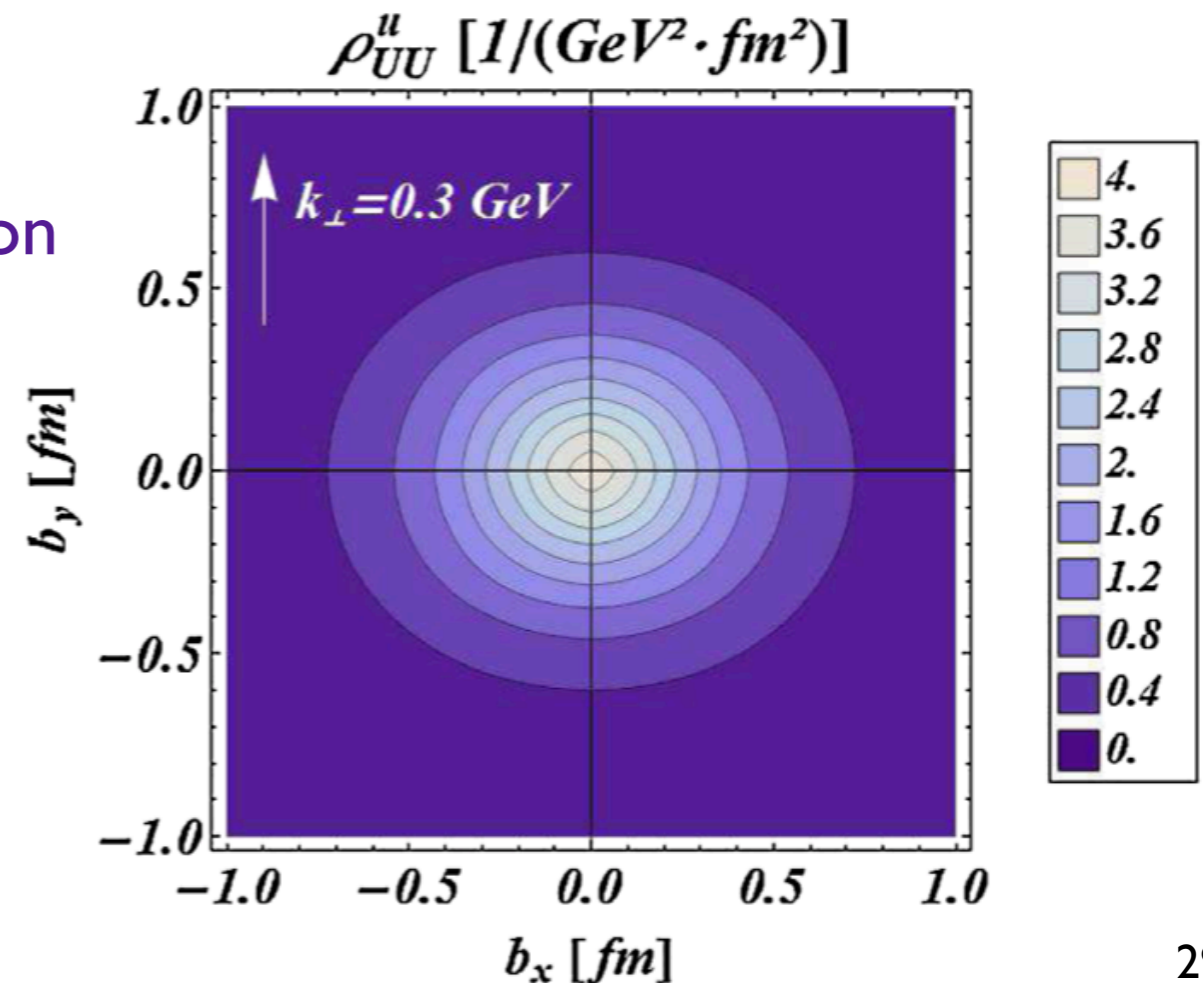
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A nonzero elliptic quark Wigner distribution in the lightcone constituent quark model:

Lorce, Pasquini, 2011

Due to quark orbital angular momentum

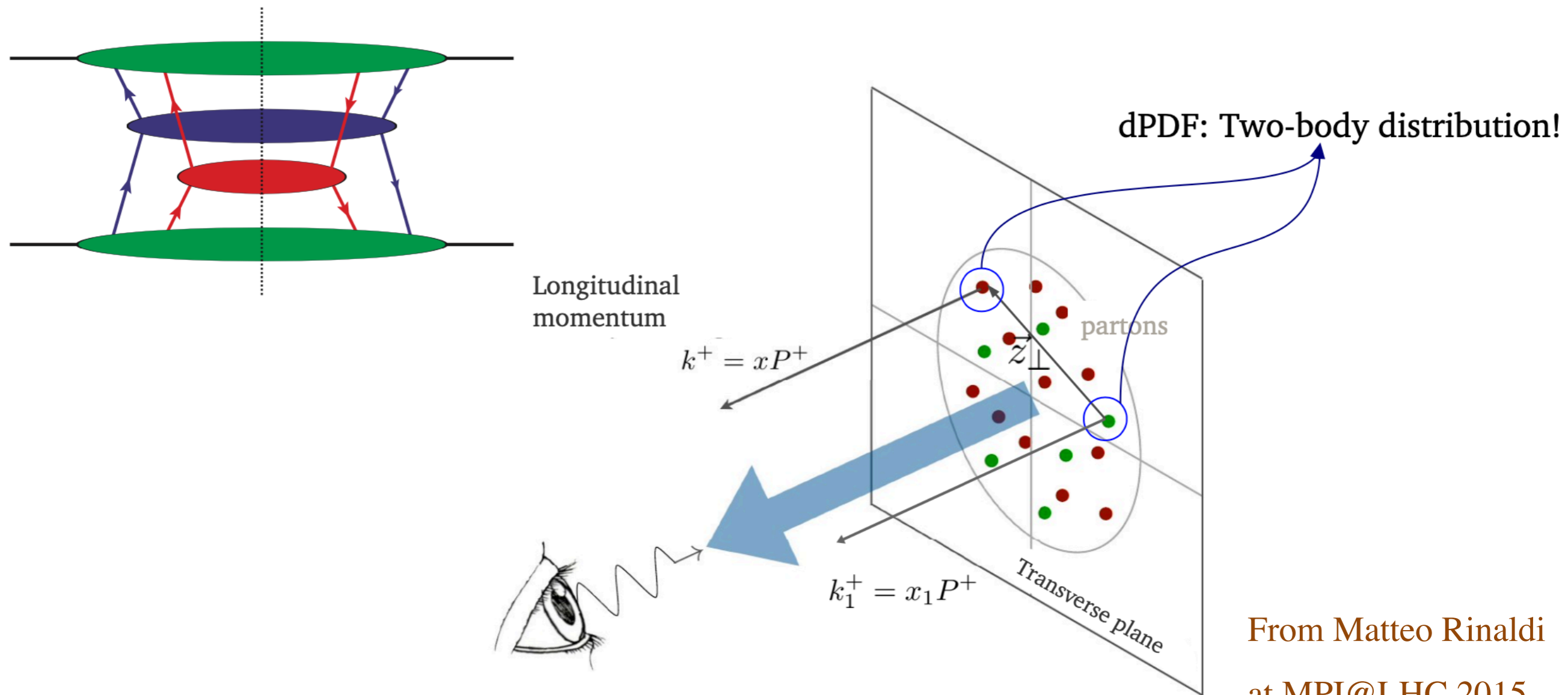
Lorce, Pasquini, 2011; Hatta, 2011



# Accessing gluon GTMDs

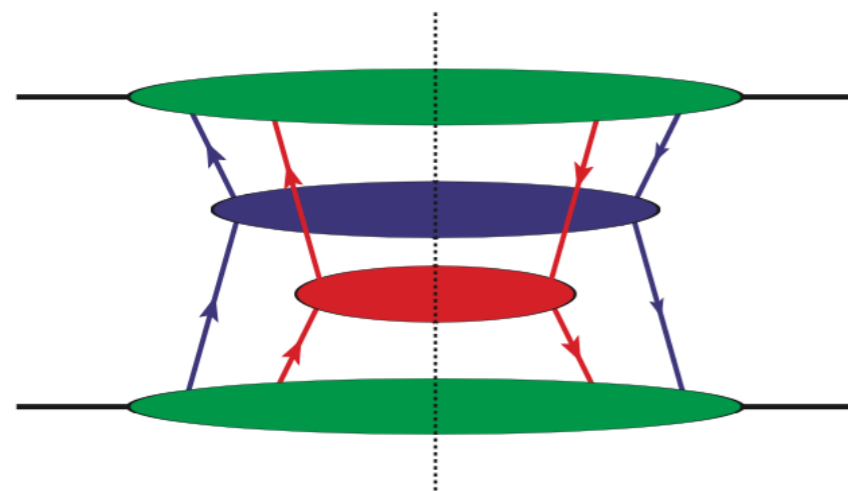
# Double Parton Scattering

DPS: 2 hard scatterings off partons in the same hadron simultaneously



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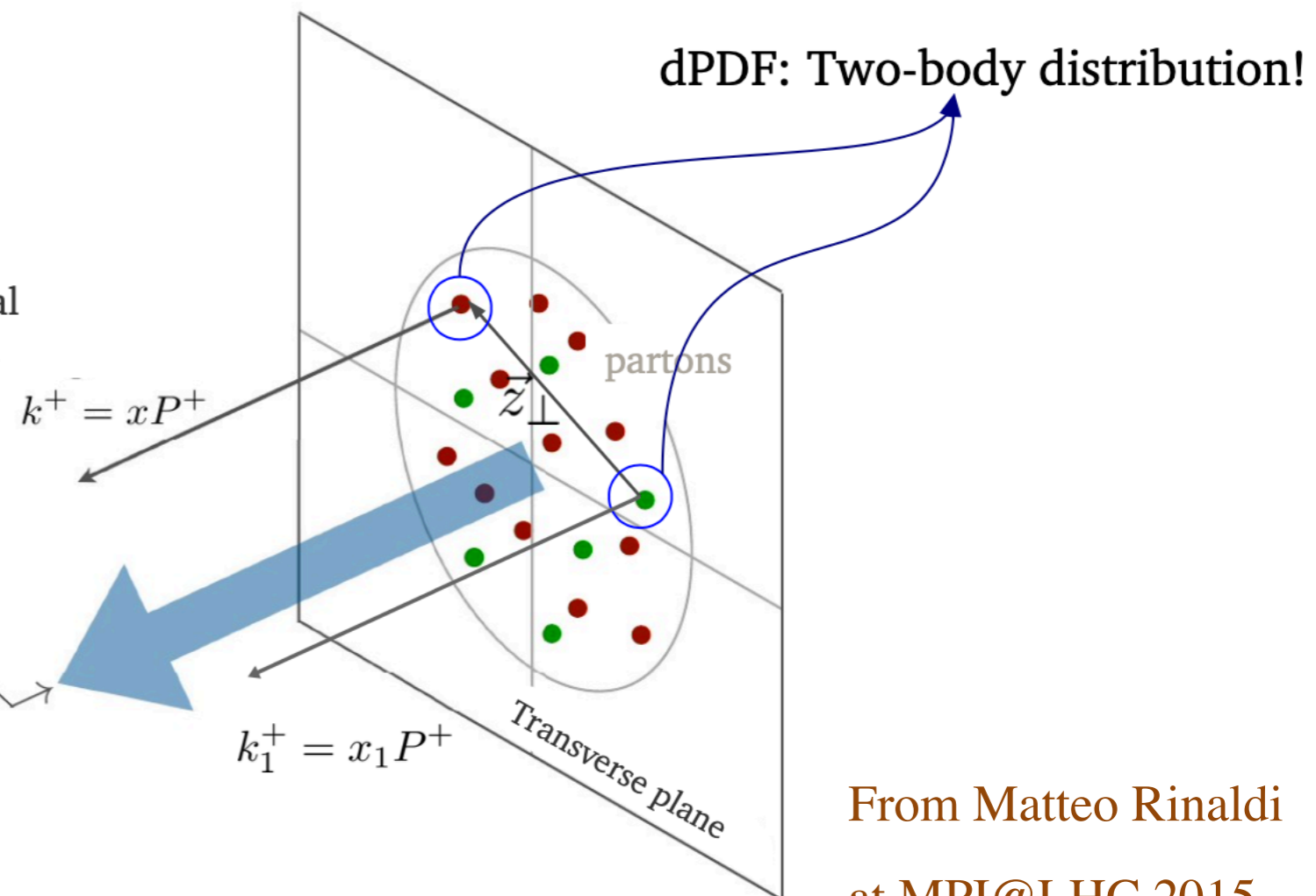
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Longitudinal momentum

DPD = Double Parton Distribution

$$F(x, x'; z_{\perp})$$



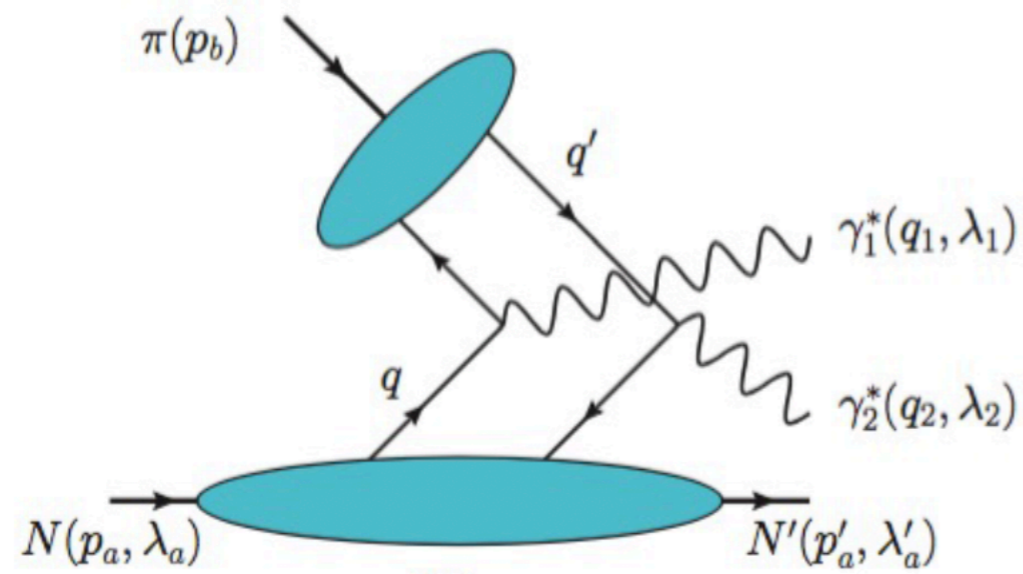
From Matteo Rinaldi  
at MPI@LHC 2015

DPDs capture the spin, color & flavor correlations between partons

# GTMDs from exclusive DPS

If the hadron stays intact, then there is a connection to GTMDs:

DPD  $\rightarrow$  GTMD<sup>2</sup>



Exclusive double Drell-Yan process  
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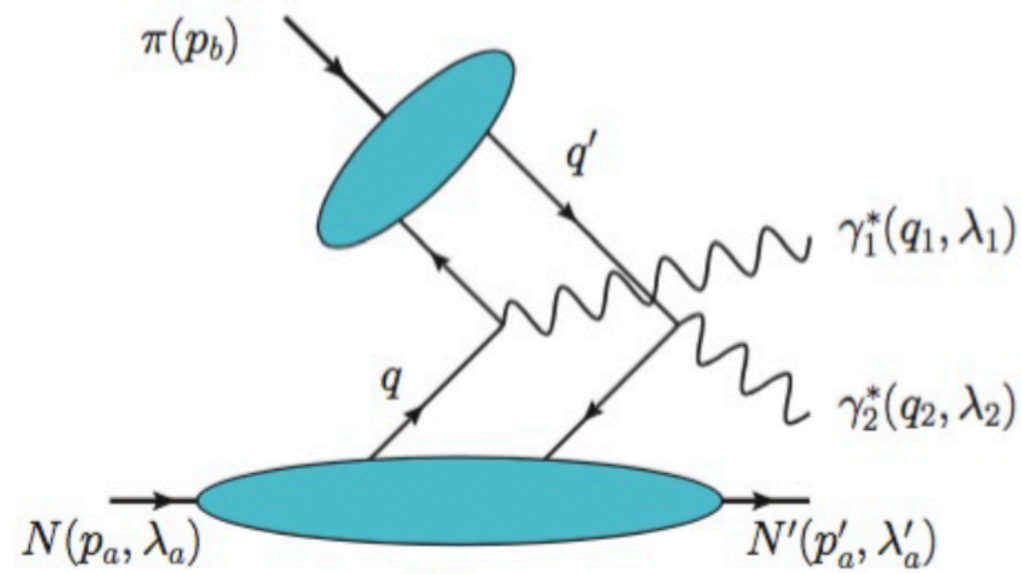
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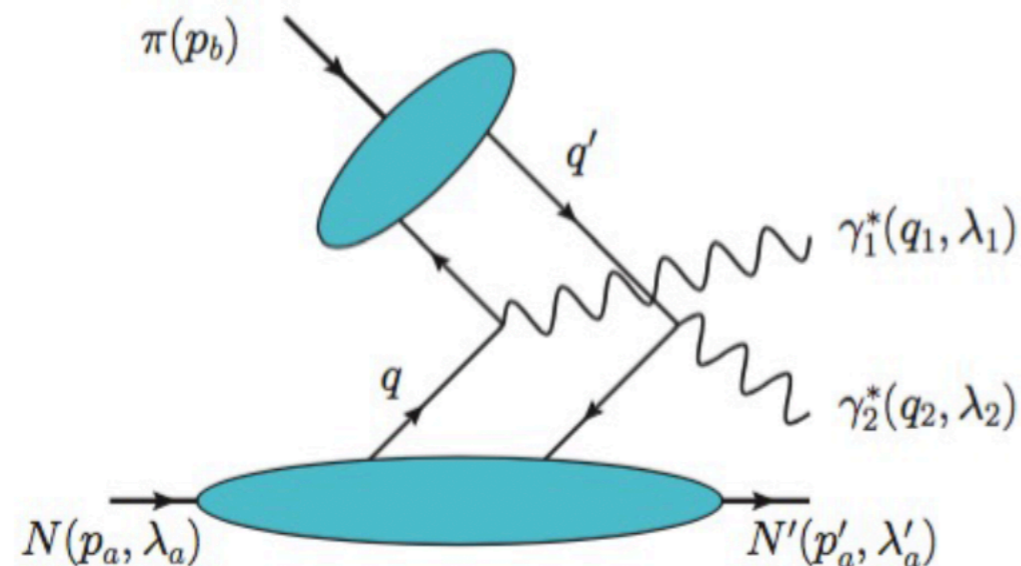
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Also exclusive coherent diffractive processes have been suggested, which involve 2 DP gluon GTMDs, rather than 4 WW ones

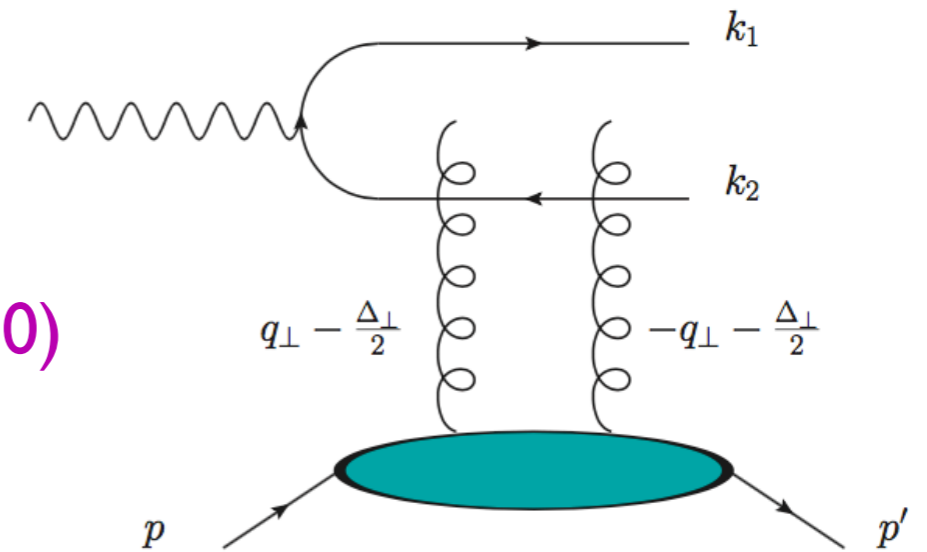
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Probe gluon GTMDs via hard diffractive dijet production in eA ( $\Delta_{\perp} \neq 0, \xi = 0$ )

Altinoluk, Armesto, Beuf, Rezaeian, 2016; Hatta, Xiao, Yuan, 2016

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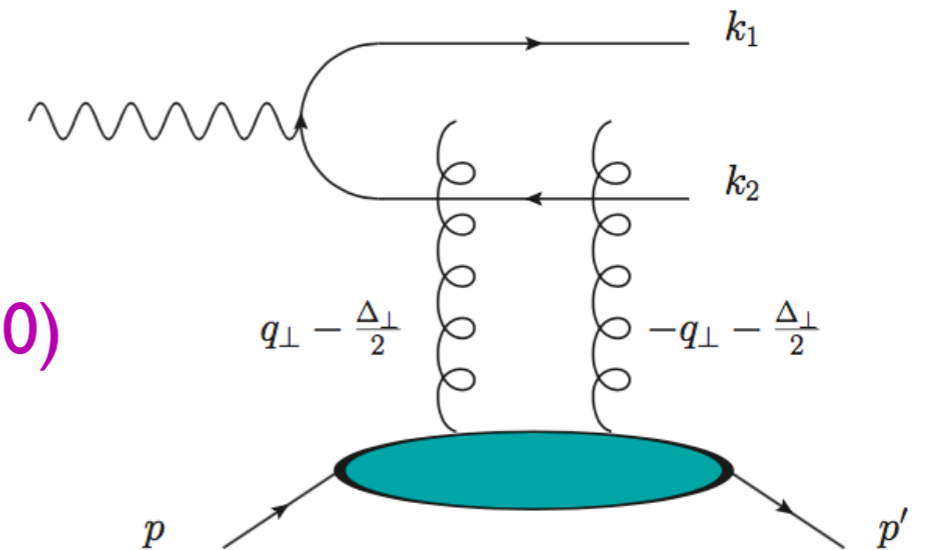
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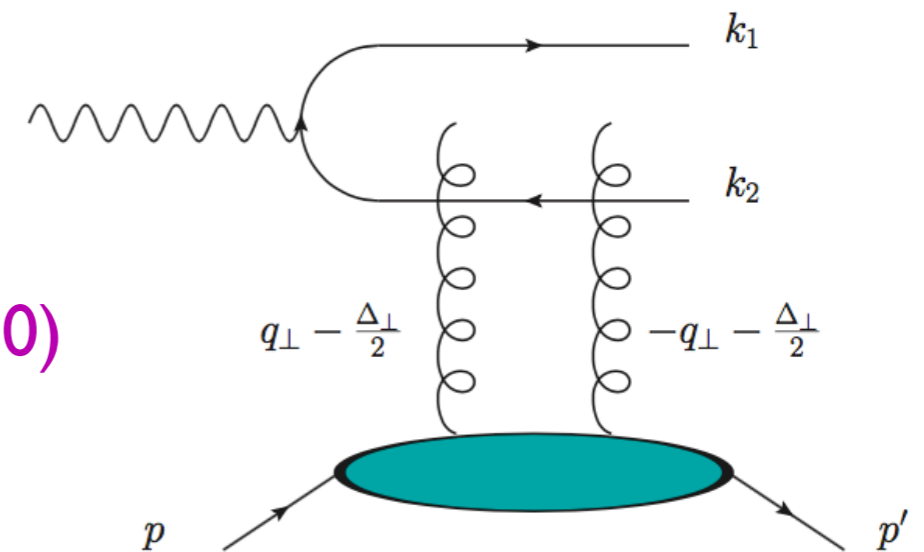
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$$\epsilon_f^2 = z(1-z)Q^2$$

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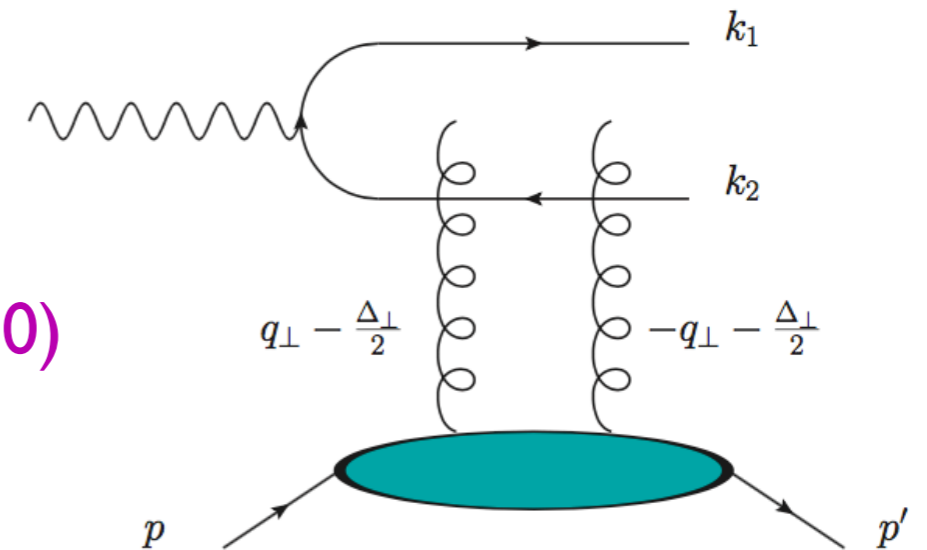
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$$\mathcal{A}_T(K_\perp, \Delta_\perp, z, Q, y) = \int \frac{d^2 q_\perp}{(2\pi)^3} \left[ \frac{K_\perp \cdot (K_\perp - q_\perp)}{z(1-z)Q^2 + (K_\perp - q_\perp)^2} \right] \mathcal{F}_0^{[\square]}(x, q_\perp, \Delta_\perp) \Big|_{x=s/(yQ^2)}$$

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Idem for the longitudinal photon polarization (which requires  $Q^2 \neq 0$ ):

$$\frac{d\sigma_L^{\gamma^* p \rightarrow jjp}}{dK_\perp d\Delta_\perp^2} = \frac{(2\pi)^4 \alpha_{em}}{4N_c} \sum_f e_f^2 \int dz z^2 (1-z)^2 \frac{\mathcal{A}_L^2(K_\perp, \Delta_\perp, z, Q, y)}{K_\perp}$$

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# Diffractional $J/\psi$ production

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$$\delta_\perp = \left(\frac{1}{2} - z\right) \Delta_\perp$$

Kowalski, Teaney, 2003; Kowalski, Motyka, Watt, 2006; many others

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# Phenomenology

# MV-like model

We consider the MV-like model:

$$\mathcal{F}^{[\square]}(\mathbf{k}_\perp, \mathbf{\Delta}_\perp) = 4N_c \int \frac{d^2\mathbf{r}_\perp d^2\mathbf{b}_\perp}{(2\pi)^2} e^{-i\mathbf{k}_\perp \cdot \mathbf{r}_\perp} e^{i\mathbf{\Delta}_\perp \cdot \mathbf{b}_\perp} e^{-\epsilon_r r_\perp^2} \left[ 1 - \exp\left(-\frac{1}{4} r_\perp^2 \chi Q_s^2(b_\perp) \ln\left[\frac{1}{r_\perp^2 \Lambda^2} + e\right]\right) \right]$$

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$\chi$  sets the normalization of  $Q_s$  and is  $x$  dependent (of GBW form)

$$\chi(x) = \bar{\chi} \left( \frac{x_0}{x} \right)^\lambda \quad x_0 = 3 \times 10^{-4} \quad \lambda = 0.29$$

$Q_s$  is proportional to the proton (Gaussian)  
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For details see DB, Setyadi, 2023

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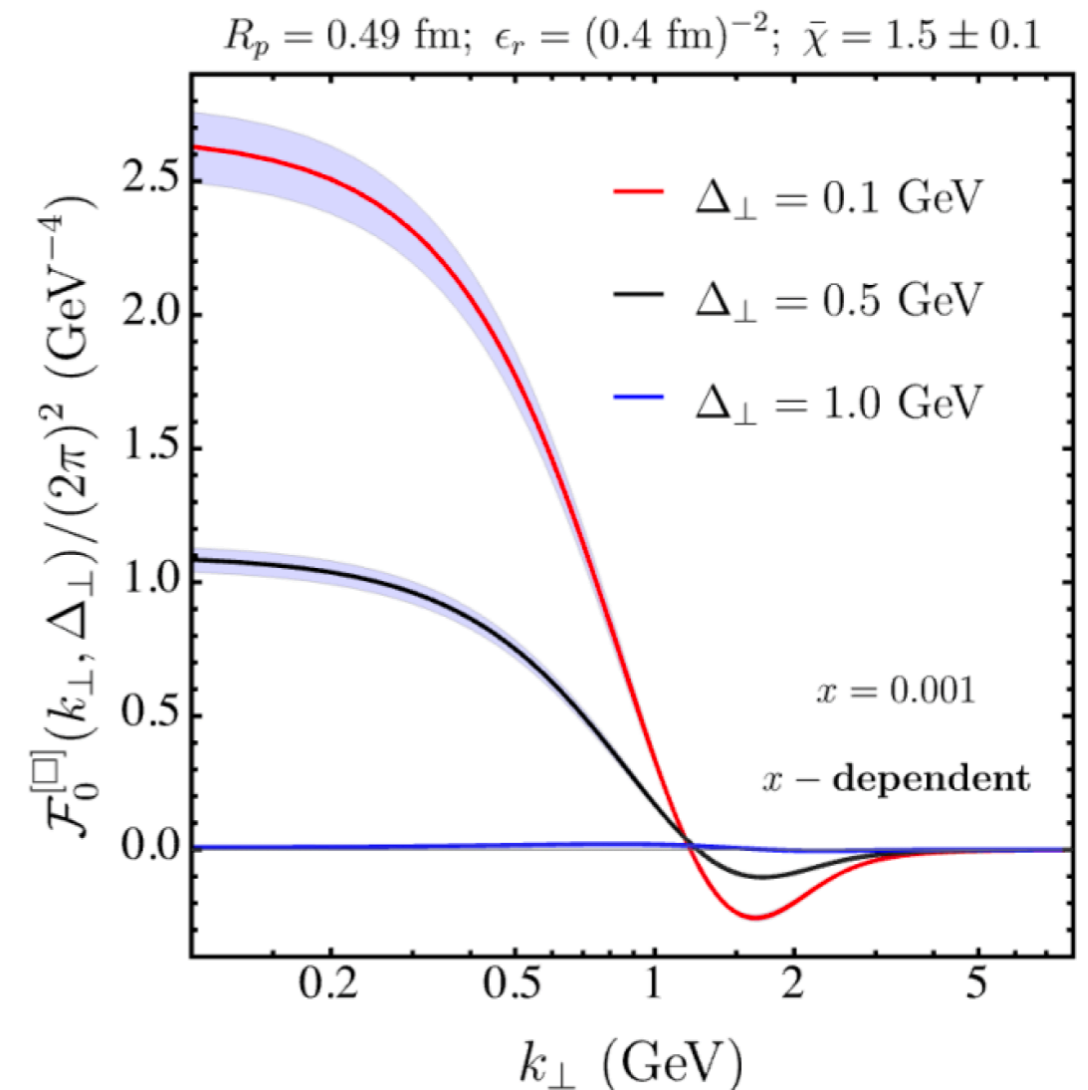
$$\chi(x) = \bar{\chi} \left( \frac{x_0}{x} \right)^\lambda \quad x_0 = 3 \times 10^{-4} \quad \lambda = 0.2$$

$Q_s$  is proportional to the proton (Gaussian) or nuclear (Woods-Saxon) profile

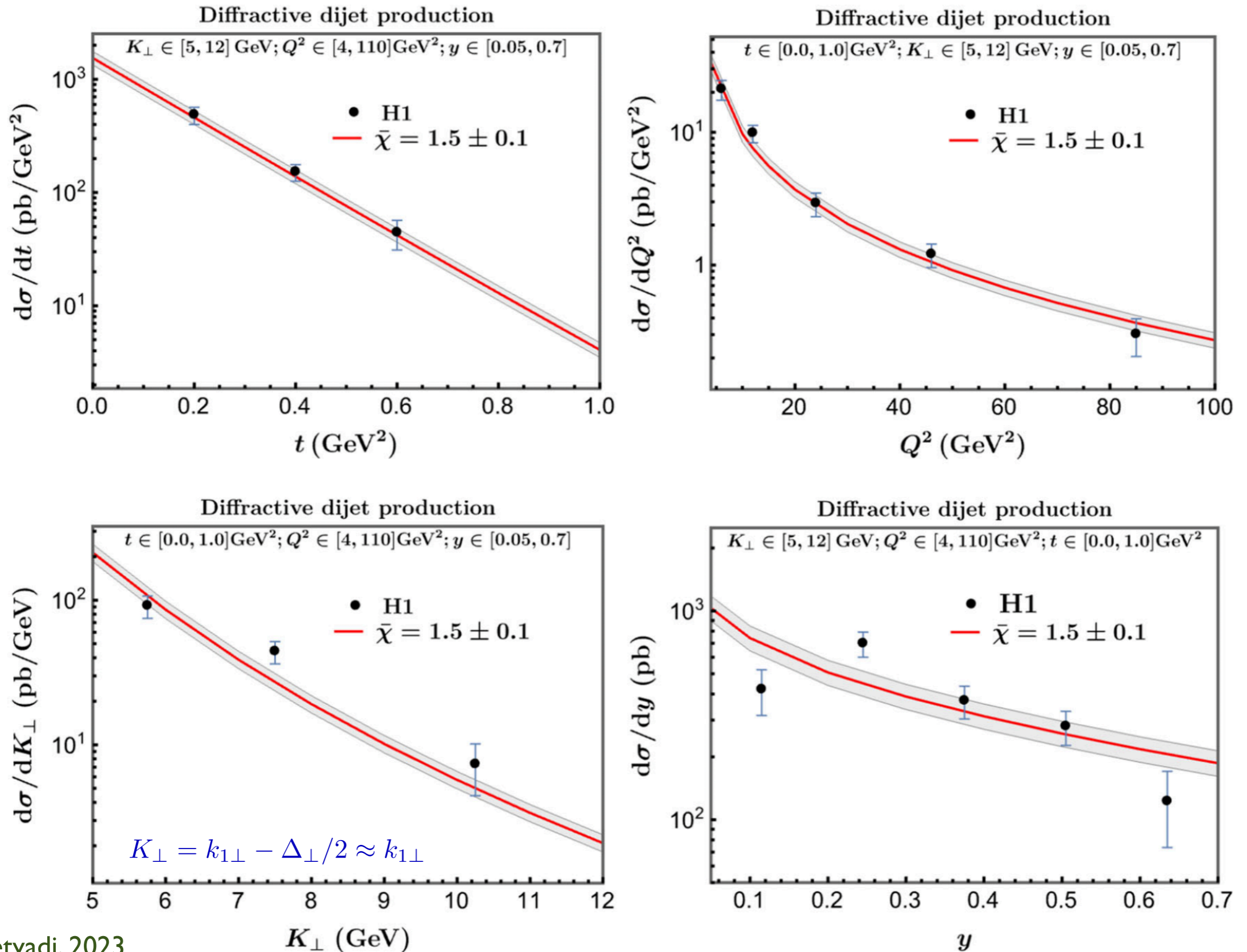
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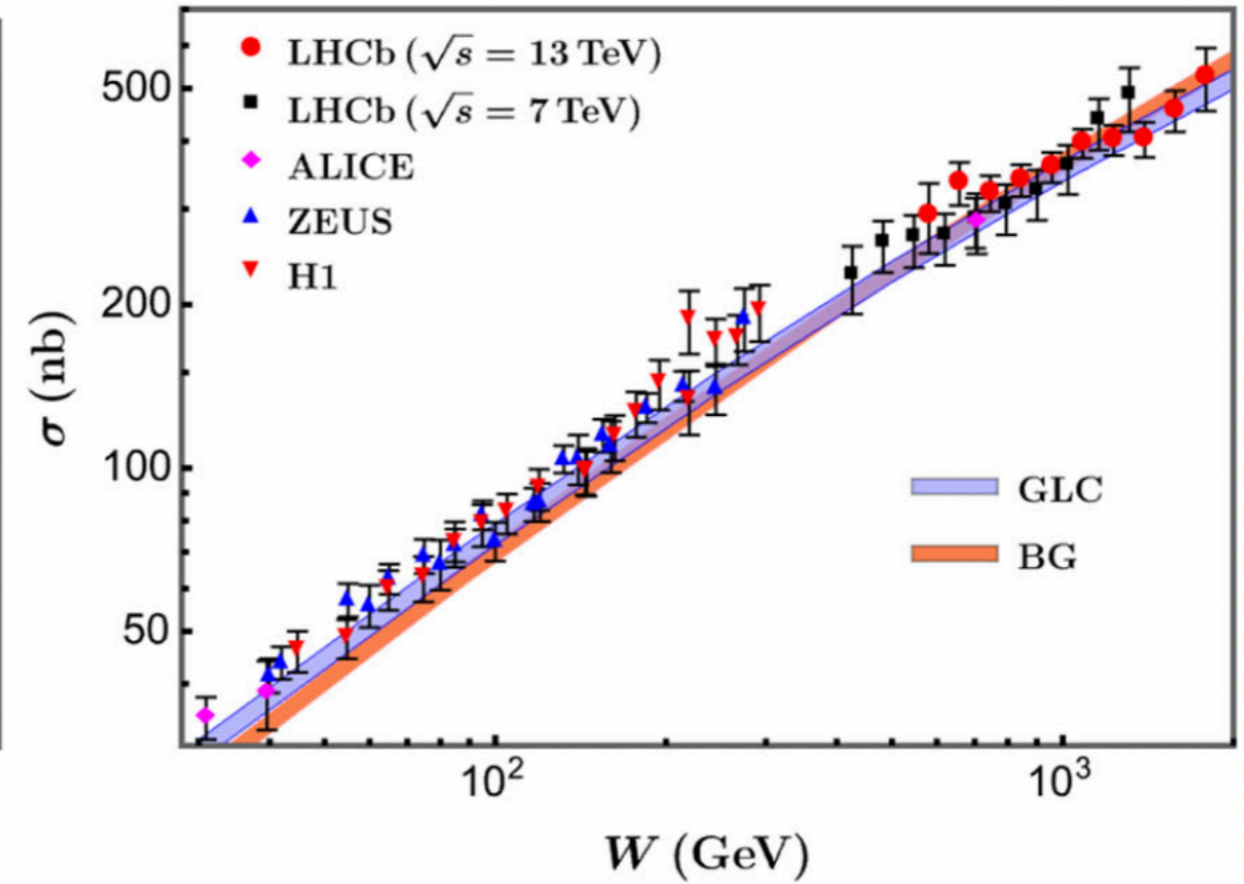
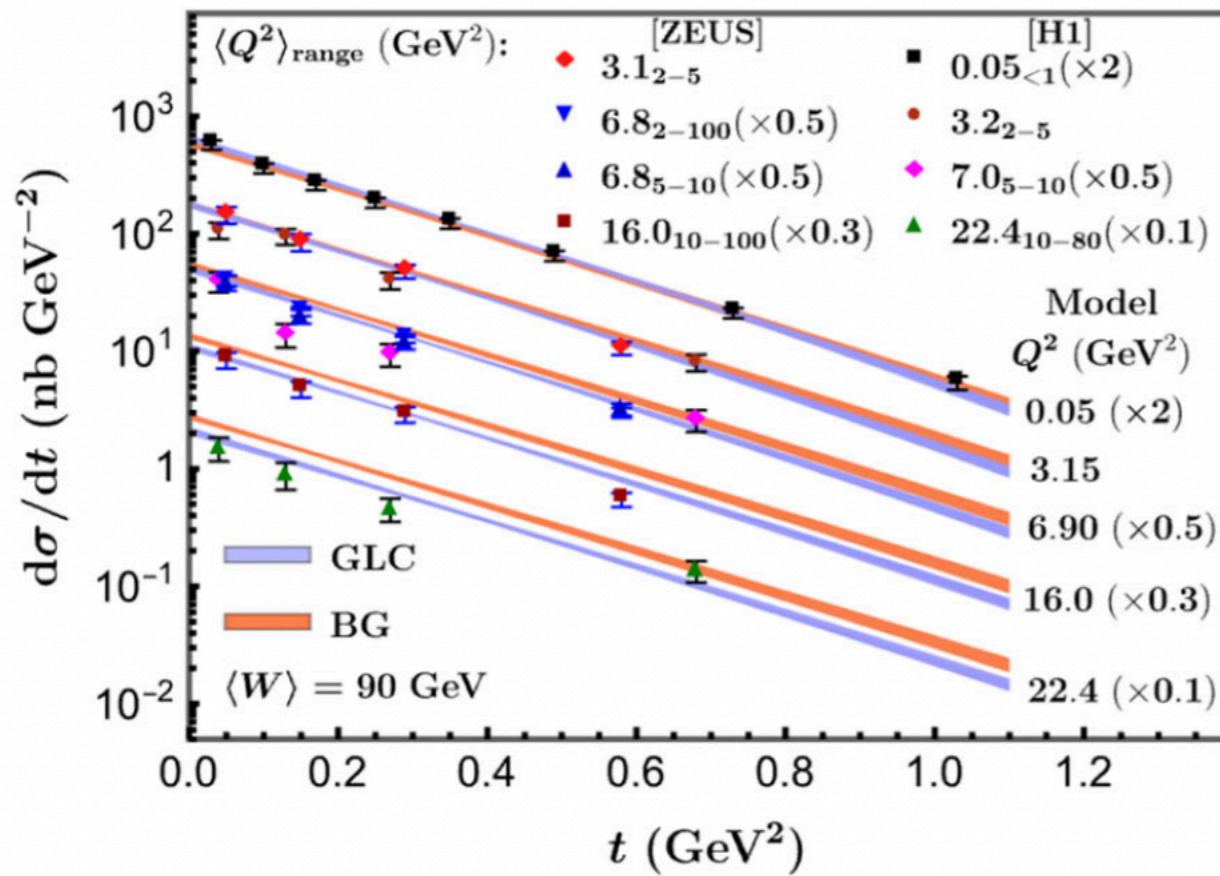
Dominant contribution from:

$$\Delta_\perp \ll K_\perp \text{ or } M_V$$



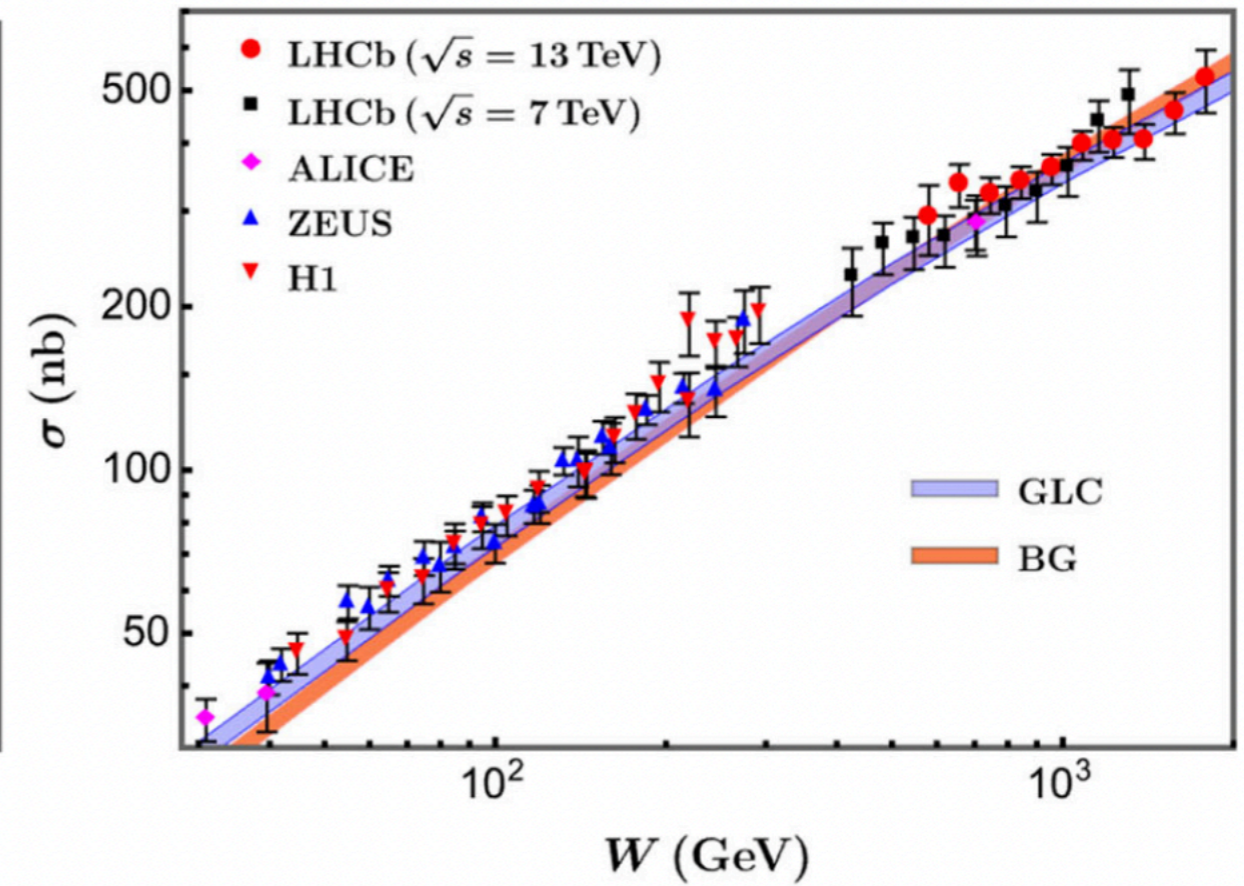
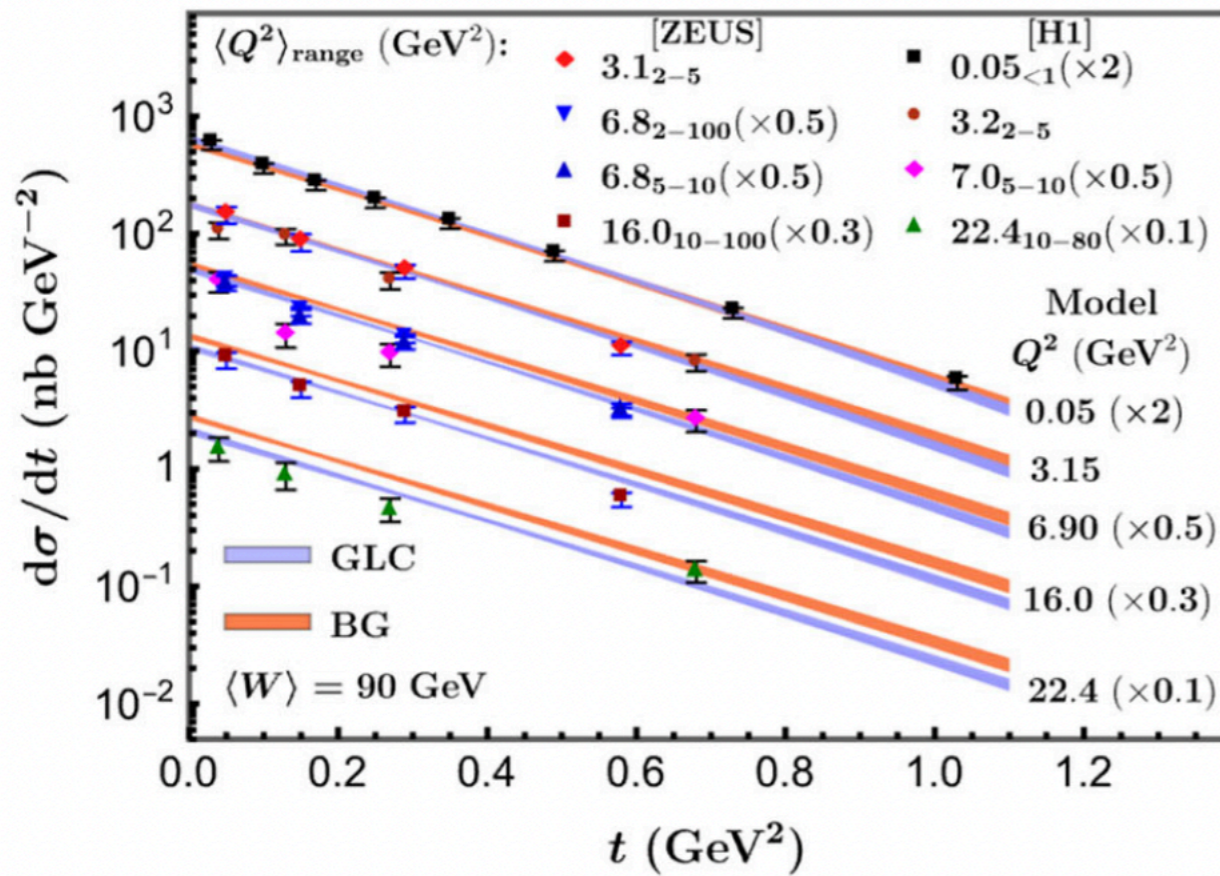
Best fit of H1 dijet data with  $R_p = 0.49$  fm,  $\lambda = 0.29$ , and  $\epsilon_r = (0.4 \text{ fm})^{-2}$





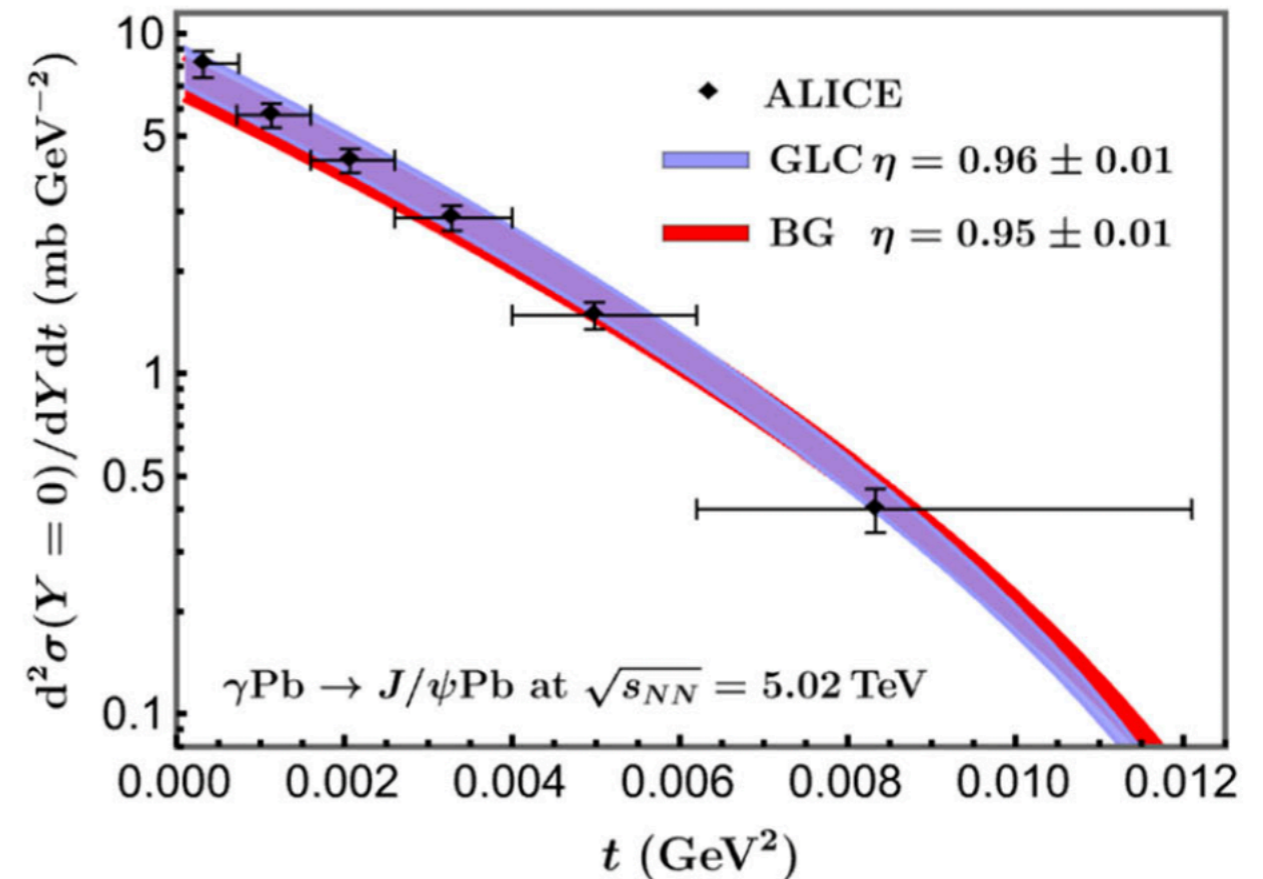
Diffractive  $J/\psi$  production data of HERA (H1 & ZEUS) prefer smaller  $R_p$  (0.40-0.41 fm), smaller  $\lambda$  (0.22), and is more sensitive to  $\epsilon_r$





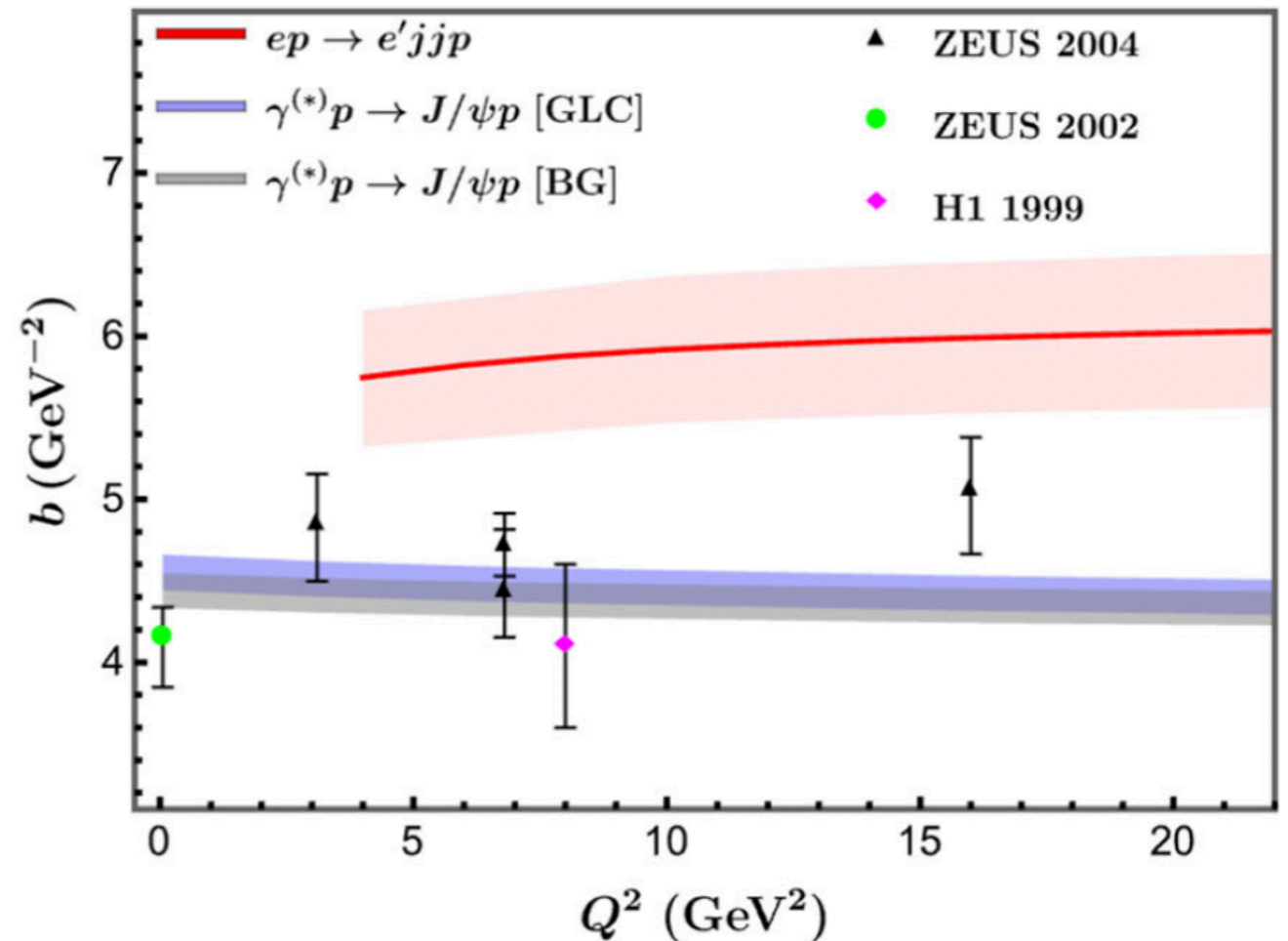
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Description of ALICE UPC data qualitatively fine with an  $A$  dependence somewhat smaller than  $A^{1/3}$ , but this is dependent on the profile functions



# Tension between diffractive dijet and $J/\psi$ production

There is tension between the dijet and  $J/\psi$  data regarding the steepness of the  $t$ -slope (dictated by  $R_p$ )



DB, Setyadi, 2023

UPC data from RHIC and LHC and especially EIC data can shed further light on these issues, in order to check whether a common GTMD description is possible

The image features a minimalist landscape with a clear horizon line. The sky is a pale, uniform blue, and the ground is a light, sandy beige. A thick, vibrant blue horizontal band stretches across the middle of the frame, creating a strong visual contrast. The word "Odderons" is centered in a dark blue, serif font within this band.

Odderons

# Odderon GTMDs

$S^{[\square]}$  can also have an imaginary part:

$$S^{[\square]}(\mathbf{x}, \mathbf{y}) = \mathcal{P}(\mathbf{x}, \mathbf{y}) + i\mathcal{O}(\mathbf{x}, \mathbf{y})$$

$$\mathcal{P}(\mathbf{x}, \mathbf{y}) \equiv \frac{1}{2N_c} \text{Tr} \left( U^{[\square]} + U^{[\square]\dagger} \right) \quad \mathcal{O}(\mathbf{x}, \mathbf{y}) \equiv \frac{1}{2iN_c} \text{Tr} \left( U^{[\square]} - U^{[\square]\dagger} \right)$$

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This “odderon” operator is **C-odd** and **T-odd**

$$\begin{aligned} G_{(d)}^{(\text{T-odd}) ij}(\mathbf{k}, \mathbf{\Delta}) &\equiv \frac{1}{2} \left( G^{[+,-] ij}(\mathbf{k}, \mathbf{\Delta}) - G^{[-,+] ij}(\mathbf{k}, \mathbf{\Delta}) \right) \\ &= \frac{N_c}{\alpha_s} \left[ \frac{1}{2} \left( \mathbf{k}^2 - \frac{\mathbf{\Delta}^2}{4} \right) \delta_T^{ij} + k_T^{ij} - \frac{\Delta_T^{ij}}{4} - \frac{k_T^{[i} \Delta_T^{j]}}{2} \right] \\ &\quad \times \left( G^{[\square]}(\mathbf{k}, \mathbf{\Delta}) - G^{[\square]\dagger}(\mathbf{k}, \mathbf{\Delta}) \right) \end{aligned}$$

$$G^{[\square]}(\mathbf{k}, \mathbf{\Delta}) - G^{[\square]\dagger}(\mathbf{k}, \mathbf{\Delta}) \propto \int \frac{d^2 \mathbf{x} d^2 \mathbf{y}}{(2\pi)^4} e^{-i\mathbf{k} \cdot (\mathbf{x} - \mathbf{y}) + i\mathbf{\Delta} \cdot \frac{\mathbf{x} + \mathbf{y}}{2}} \langle \mathcal{O}(\mathbf{x}, \mathbf{y}) \rangle$$

# Odderon GTMDs

Hermiticity and PT constraints imply:

$$G^{[\square]*}(\mathbf{k}, \Delta) = G^{[\square]}(\mathbf{k}, -\Delta) \quad G^{[\square]*}(\mathbf{k}, \Delta) = G^{[\square^\dagger]}(-\mathbf{k}, -\Delta)$$

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Odderon (for  $\xi = 0$ ) involves only odd harmonics  $\cos[(2n+1)(\phi_k - \phi_\Delta)]$

$$xW(x, \mathbf{b}, \mathbf{k}) = x\mathcal{W}_0(x, \mathbf{b}^2, \mathbf{k}^2) + 2 \cos(\phi_b - \phi_k) x\mathcal{W}_1(x, \mathbf{b}^2, \mathbf{k}^2) \\ + 2 \cos 2(\phi_b - \phi_k) x\mathcal{W}_2(x, \mathbf{b}^2, \mathbf{k}^2) + \dots$$

$\mathcal{W}_1$  leads to odd harmonics in dihadron production through double parton scattering in pA collisions (not exclusive DPS in this case, but using large  $N_c$ )

DB, van Daal, Mulders, Petreska, 2018

Lappi, Schenke, Schlichting, Venugopalan, 2016

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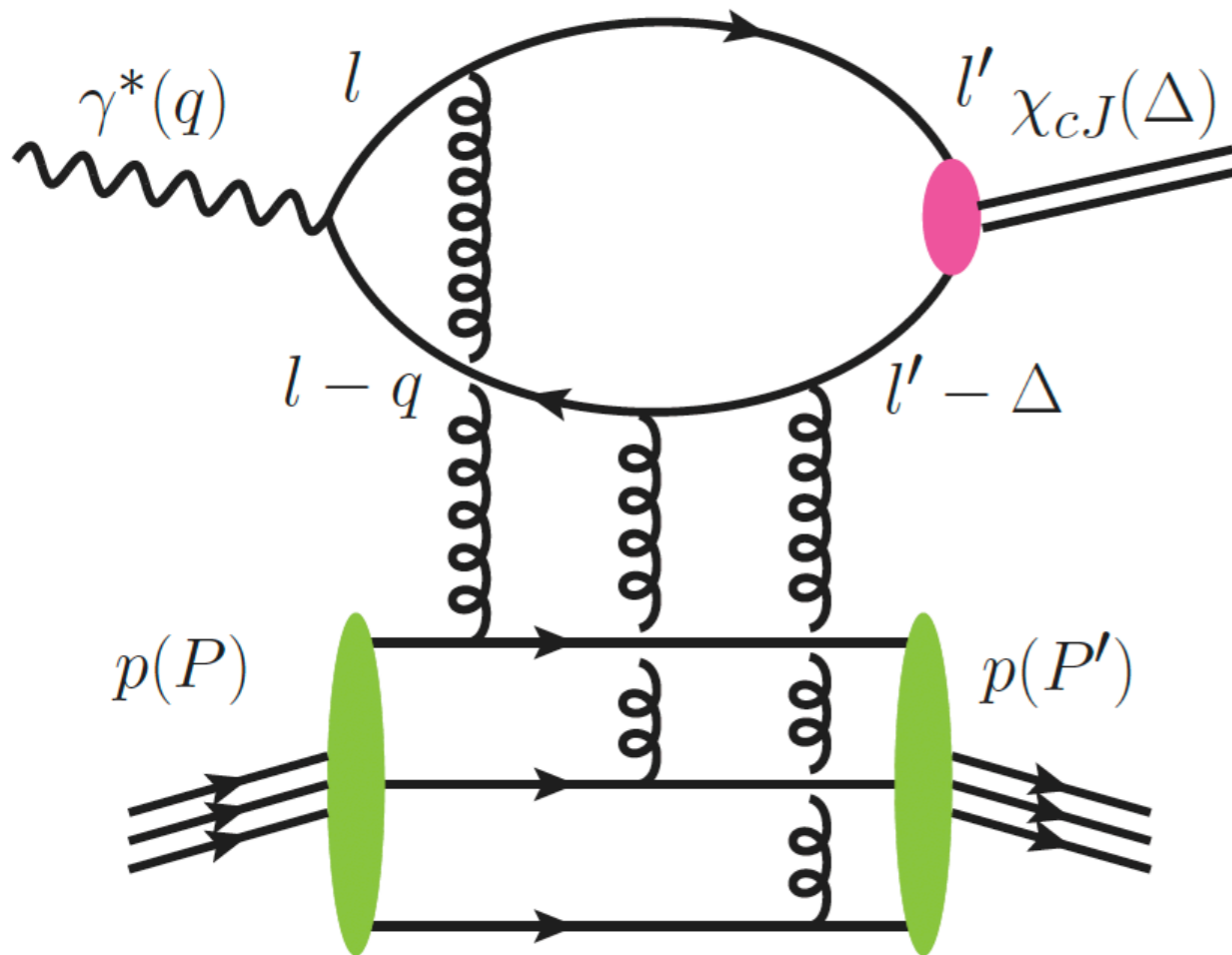
Lappi, Schenke, Schlichting, Venugopalan, 2016

For  $\xi \neq 0$  odd powers of  $\mathbf{k} \cdot \Delta$  can appear in the real parts as well



# Exclusive $\chi_c$ production at EIC

This process also probes the odderon:



C-even final state requires  
C-odd t-channel exchange

Constructive interference  
with photon t-channel exchange  
for  $|t| \sim 1 \text{ GeV}^2$

Benić, Dumitru, Kaushik, Motyka, Stebel, 2024

## Spin dependent odderon

$G^{[\square]}(\mathbf{k}, \Delta) - G^{[\square^\dagger]}(\mathbf{k}, \Delta)$  only depends on odd powers of  $\mathbf{k} \cdot \Delta$

Therefore, no odderon in the forward limit for unpolarized protons

For polarized protons the forward limit does not need to vanish however

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It can be probed for instance in  $p \uparrow p \rightarrow h^\pm X$  at  $x_F < 0$

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It is the only relevant contribution to  $A_N$  in backward ( $x_F < 0$ ) charged hadron production in  $p \uparrow p$  or  $p \uparrow A$  (in contrast to the many contributions at  $x_F > 0$ )

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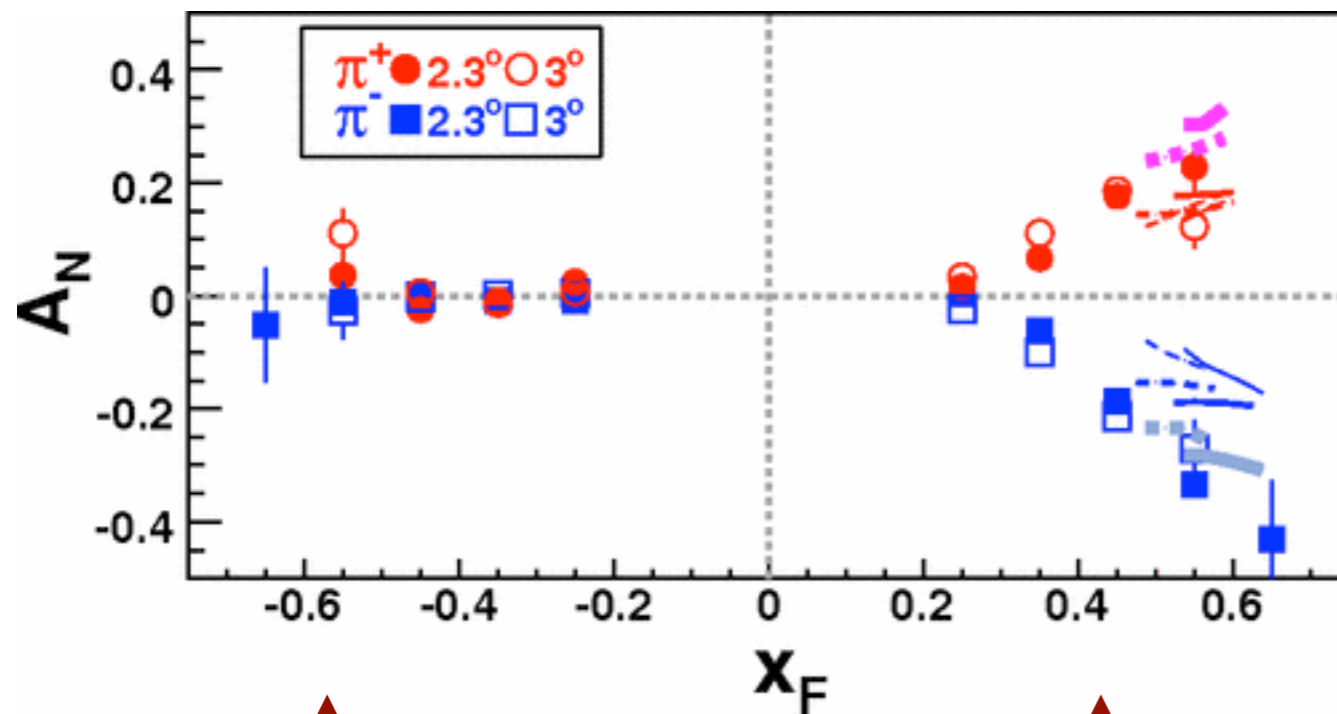
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As the odderon is C-odd, for gg-dominated scattering one should select final states that are not C-even, hence charged hadron production (as opposed to jets or  $\pi^0$ )

### Backward charged hadron production at RHIC



BRAHMS, 2008  $\sqrt{s} = 62.4 \text{ GeV}$   
 low  $p_T$ , up to roughly 1.2 GeV  
 where gg channel dominates

$$x_F = \frac{2p_z}{\sqrt{s}}$$

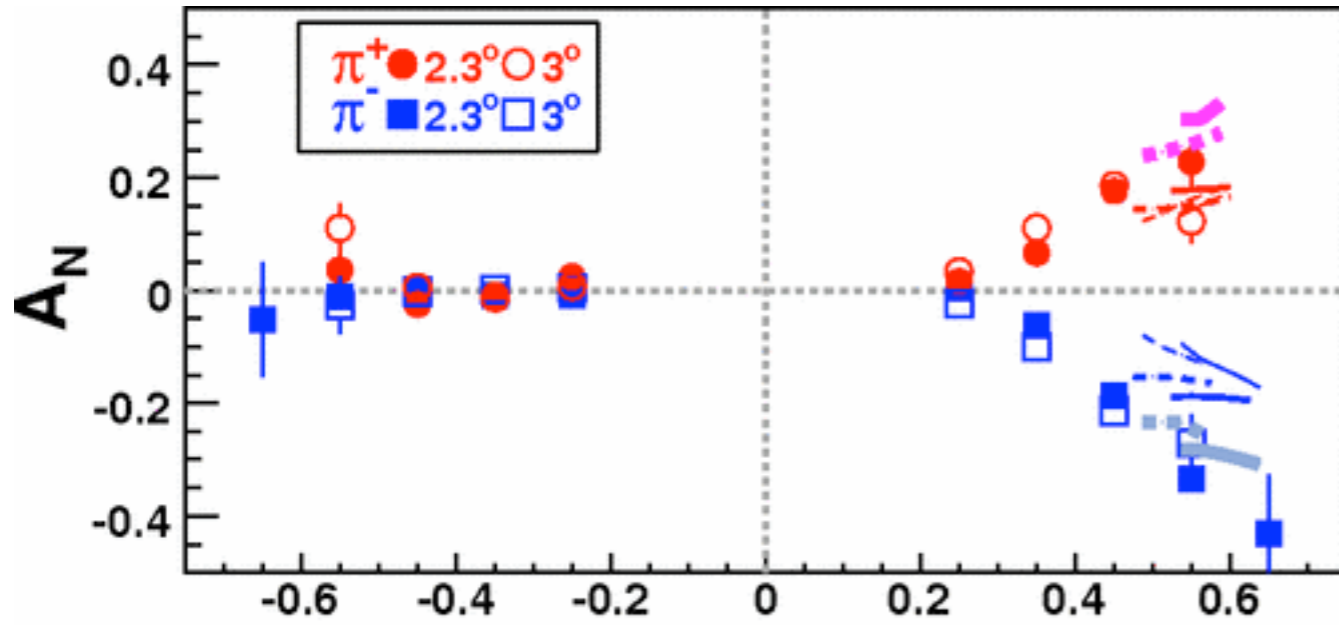
gluon dominated

quark dominated

The asymmetry in the gluon dominated region is smaller and needs more precision

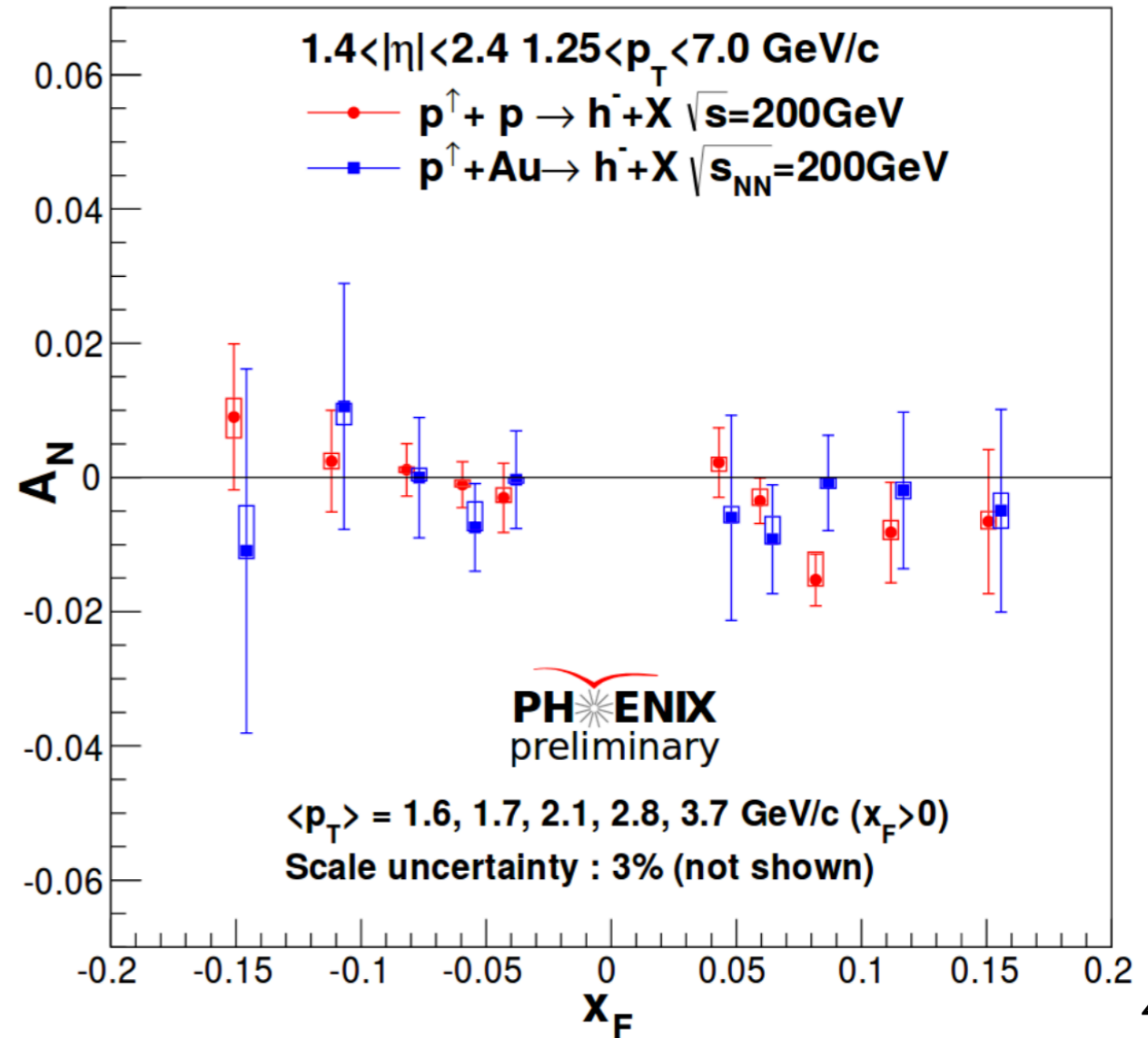
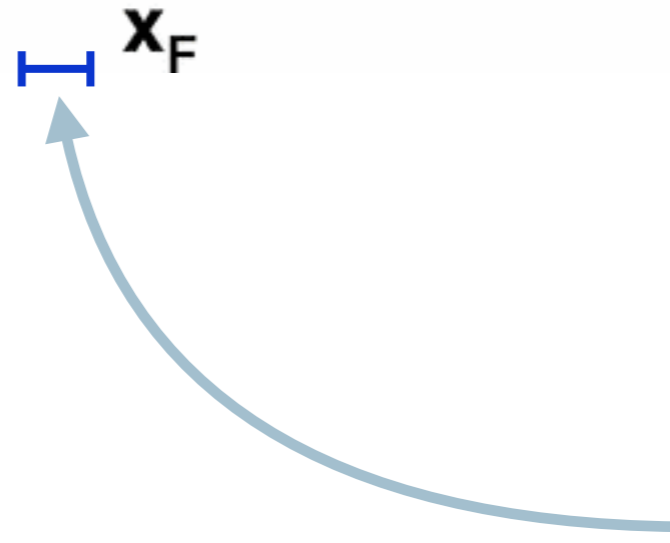


# $p^\uparrow p \rightarrow h^\pm X$ at $x_F < 0$

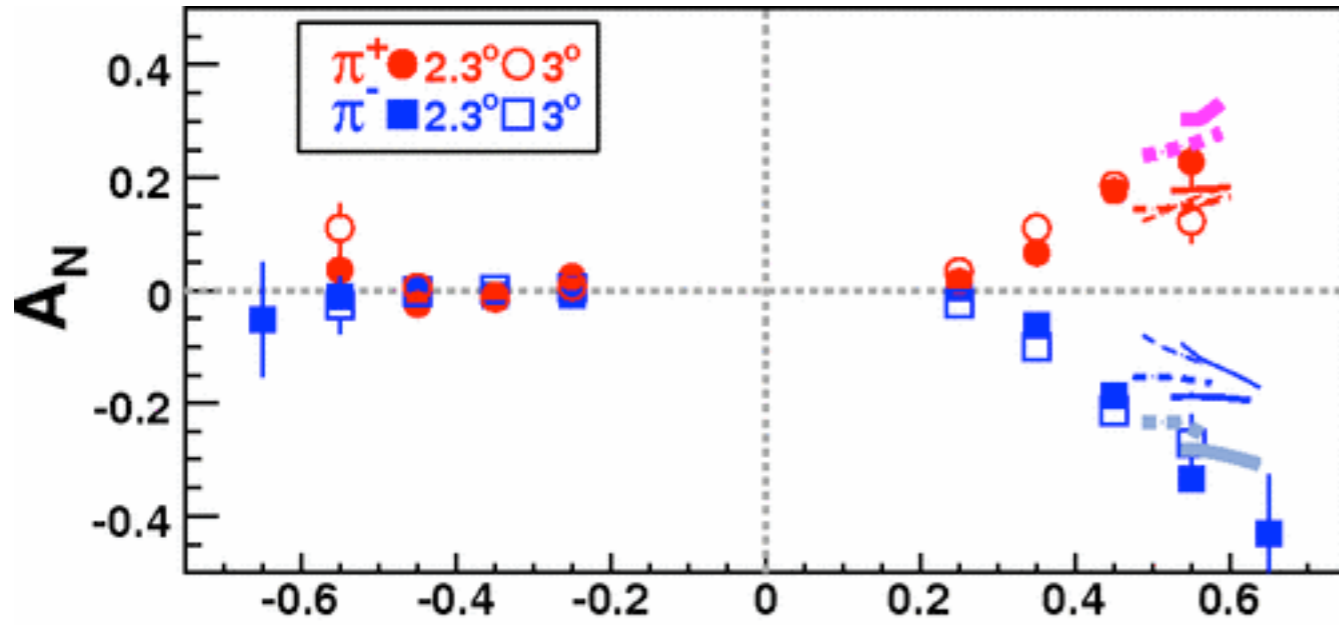


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PHENIX, 2017  
 $\sqrt{s} = 200$  GeV  
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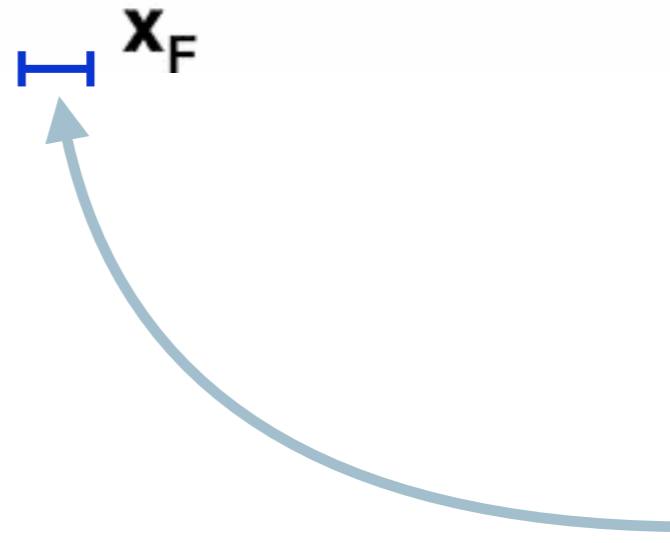


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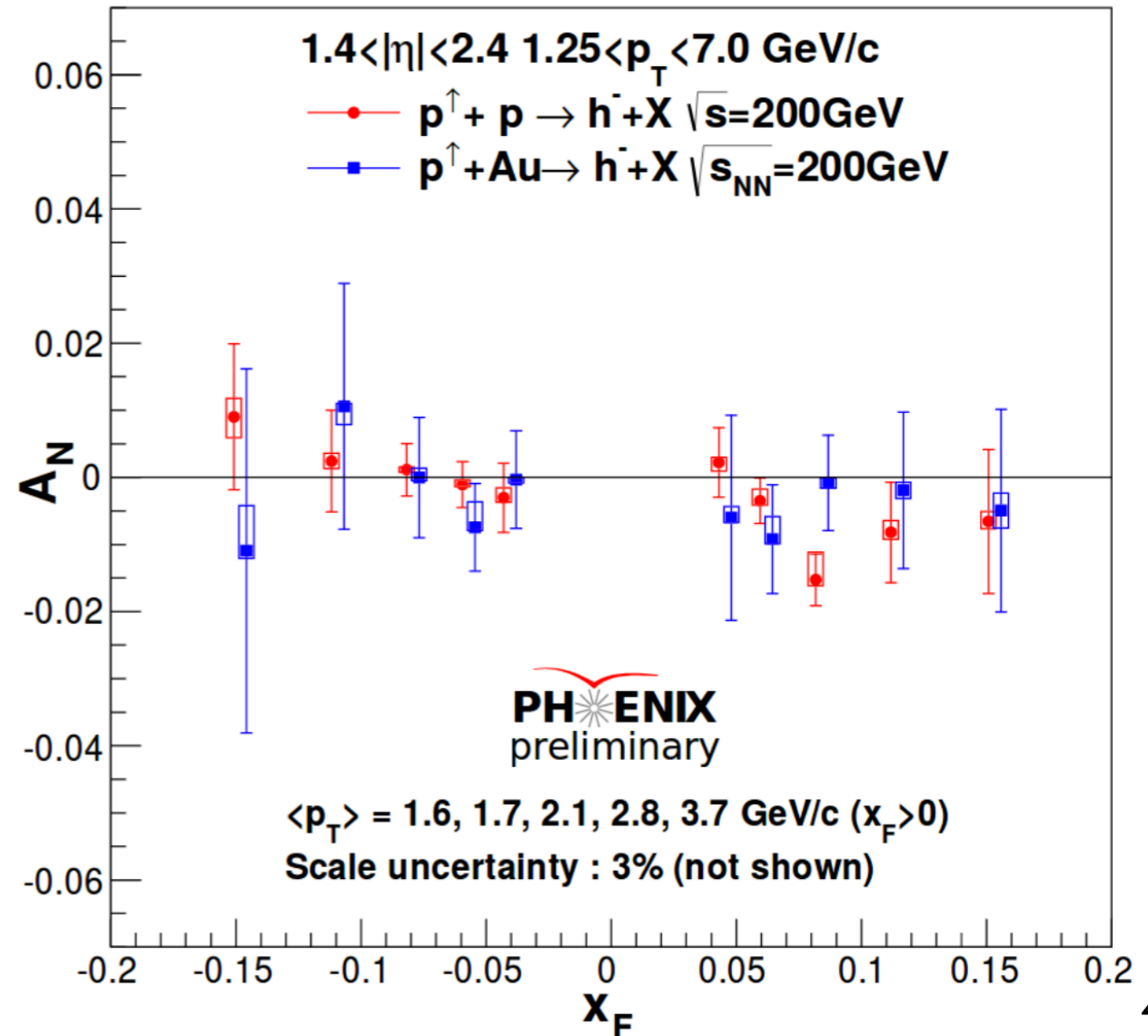


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More data at larger negative  $x_F$  needed



# Conclusions

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- TMDs and GTMDs are process dependent, with WW and DP versions at small  $x$
- The DP gluon (G)TMDs become a Wilson loop correlator in the small- $x$  limit, leading to maximally polarized states, which are preserved under  $x$  evolution (at least for linear gluon polarization), but not scale evolution (Sudakov suppression)
- CGC gluons are maximally polarized, but the amount of polarization observed depends on the process and kinematics
- $pA \rightarrow \gamma^* \text{ jet } X$  offers a good opportunity to study the DP gluon TMD and exclusive coherent diffractive dijet &  $J/\psi$  production in ep the DP gluon GTMD
- The imaginary parts of the DP GTMDs are odderon quantities, which lead at small  $x$  to odd harmonics in forward dihadron production in pA through DPS
- The spin-dependent odderon TMD can be probed in  $p \uparrow p \rightarrow h^\pm X$  at  $x_F < 0$

Back-up slides

# Parallels between quarks and gluons

$$\Phi_U(x, \mathbf{k}) = \frac{1}{2} \left[ \not{n} f_1(x, \mathbf{k}^2) + \frac{\sigma_{\mu\nu} k_T^\mu \bar{n}^\nu}{M} h_1^\perp(x, \mathbf{k}^2) \right],$$

$$\Phi_L(x, \mathbf{k}) = \frac{1}{2} \left[ \gamma^5 \not{n} S_L g_1(x, \mathbf{k}^2) + \frac{i\sigma_{\mu\nu} \gamma^5 \bar{n}^\mu k_T^\nu S_L}{M} h_{1L}^\perp(x, \mathbf{k}^2) \right],$$

$$\Phi_T(x, \mathbf{k}) = \frac{1}{2} \left[ \frac{\not{n} \epsilon_T^{S_T k_T}}{M} f_{1T}^\perp(x, \mathbf{k}^2) + \frac{\gamma^5 \not{n} \mathbf{k} \cdot \mathbf{S}_T}{M} g_{1T}(x, \mathbf{k}^2) \right. \\ \left. + i\sigma_{\mu\nu} \gamma^5 \bar{n}^\mu S_T^\nu h_1(x, \mathbf{k}^2) - \frac{i\sigma_{\mu\nu} \gamma^5 \bar{n}^\mu k_T^{\nu\rho} S_{T\rho}}{M^2} h_{1T}^\perp(x, \mathbf{k}^2) \right]$$

$$\Gamma_U^{ij}(x, \mathbf{k}) = x \left[ \delta_T^{ij} f_1(x, \mathbf{k}^2) + \frac{k_T^{ij}}{M^2} h_1^\perp(x, \mathbf{k}^2) \right],$$

$$\Gamma_L^{ij}(x, \mathbf{k}) = x \left[ i\epsilon_T^{ij} S_L g_1(x, \mathbf{k}^2) + \frac{\epsilon_T^{\{i} k_T^{j\}\alpha} S_L}{2M^2} h_{1L}^\perp(x, \mathbf{k}^2) \right],$$

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For quarks the BM & Sivers  
TMDs are T-odd and the  
h-type functions are chiral-odd

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$$\Phi_T(x, \mathbf{k}) = \frac{1}{2} \left[ \frac{\not{n} \epsilon_T^{S_T k_T}}{M} f_{1T}^\perp(x, \mathbf{k}^2) + \frac{\gamma^5 \not{n} \mathbf{k} \cdot \mathbf{S}_T}{M} g_{1T}(x, \mathbf{k}^2) \right. \\ \left. + i\sigma_{\mu\nu} \gamma^5 \bar{n}^\mu S_T^\nu h_1(x, \mathbf{k}^2) - \frac{i\sigma_{\mu\nu} \gamma^5 \bar{n}^\mu k_T^{\nu\rho} S_{T\rho}}{M^2} h_{1T}^\perp(x, \mathbf{k}^2) \right]$$

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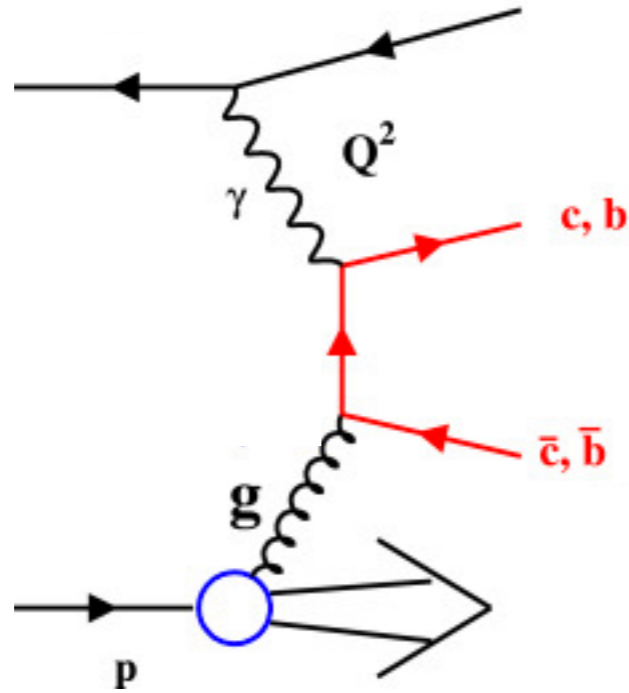
$$\Gamma_T^{ij}(x, \mathbf{k}) = x \left[ \frac{\delta_T^{ij} \epsilon_T^{S_T k_T}}{M} f_{1T}^\perp(x, \mathbf{k}^2) + \frac{i\epsilon_T^{ij} \mathbf{k} \cdot \mathbf{S}_T}{M} g_{1T}(x, \mathbf{k}^2) \right. \\ \left. - \frac{\epsilon_T^{k_T \{i} S_T^{j\}} + \epsilon_T^{S_T \{i} k_T^{j\}}}{4M} h_1(x, \mathbf{k}^2) - \frac{\epsilon_T^{\{i} k_T^{j\}\alpha} S_T}{2M^3} h_{1T}^\perp(x, \mathbf{k}^2) \right]$$

For quarks the BM & Sivers TMDs are T-odd and the h-type functions are chiral-odd

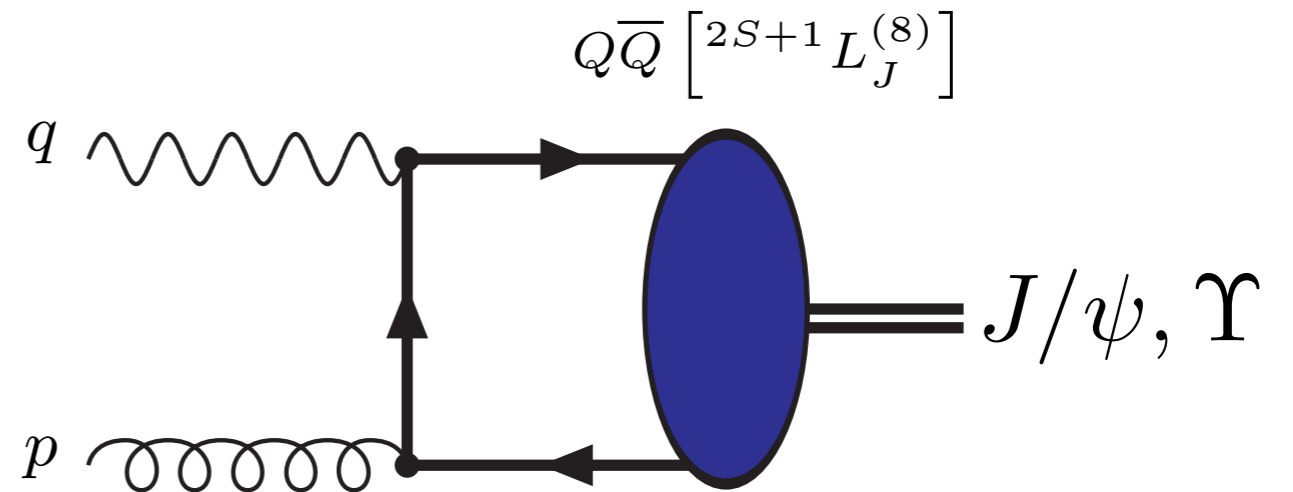
For gluons  $h_1^\perp$  is T-even and  $h_1$  is  $k_T$ -odd, T-odd and unrelated to transversity



# Probing gluon TMDs using heavy quarks in ep



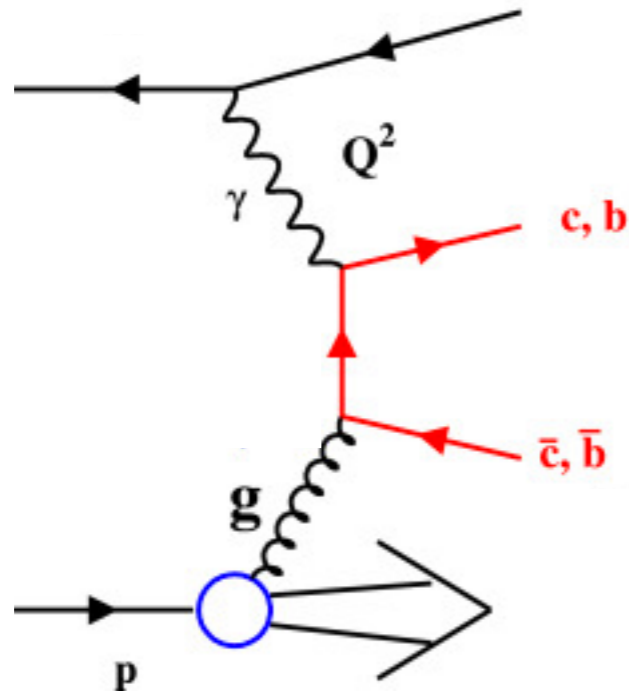
$$ep \rightarrow e' Q \bar{Q} X$$



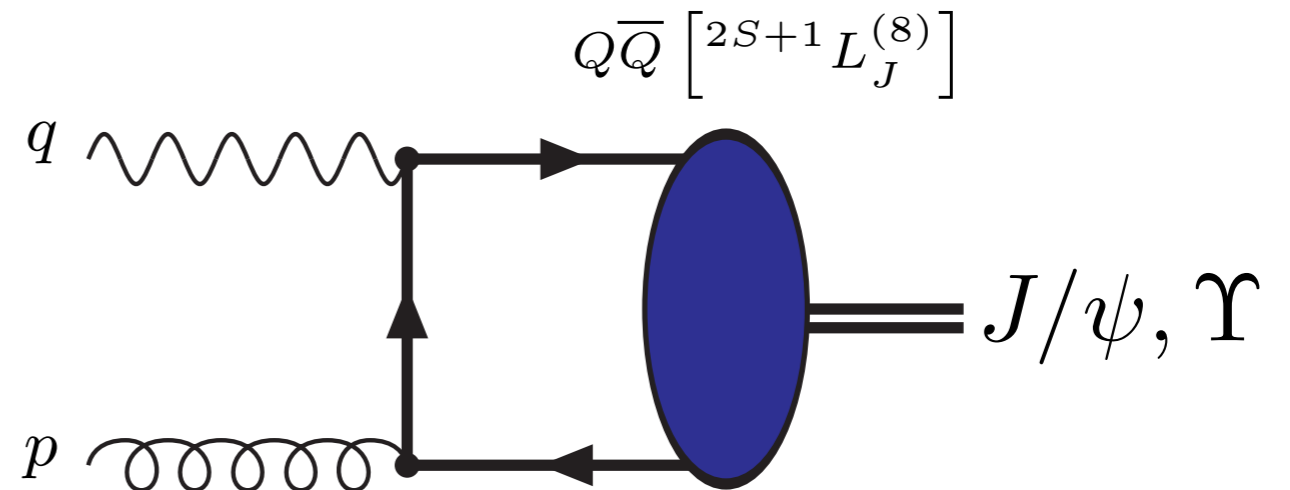
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Open heavy quark pair production and single quarkonium production:  $[+, +]$

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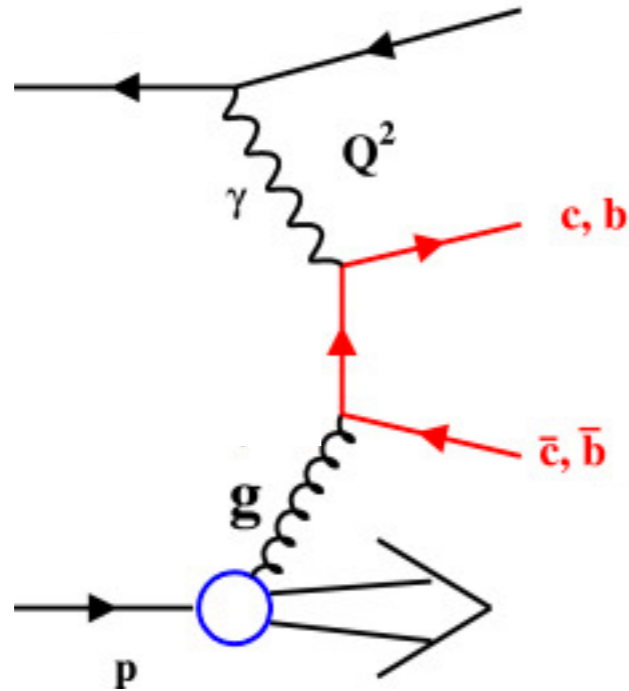
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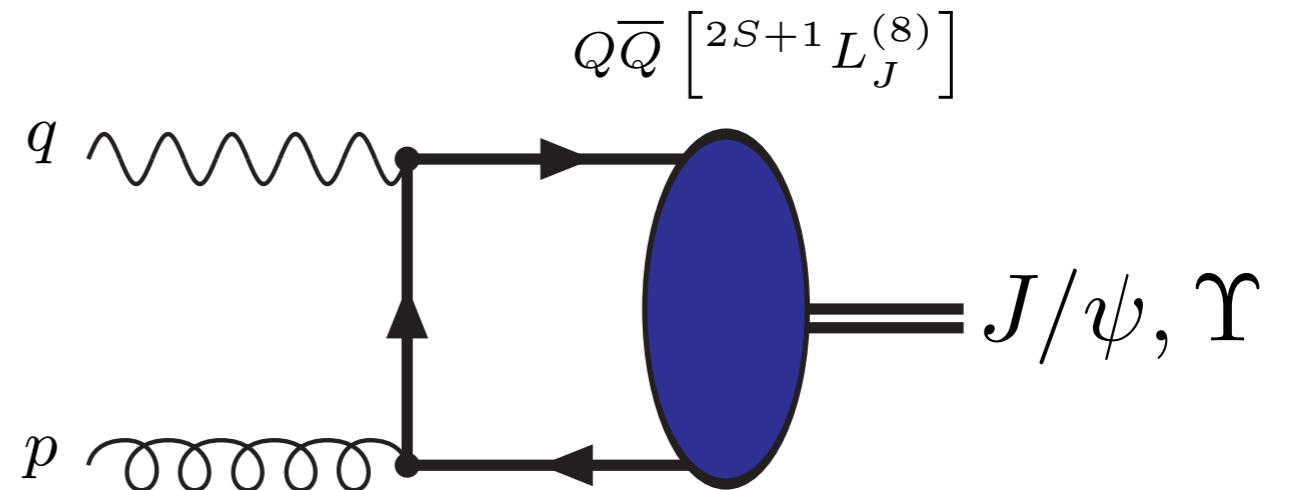
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TMD factorization of quarkonium production will involve new shape functions

Echevarria, 2019; Fleming, Makris & Mehen, 2019; Boer, D'Alesio, Murgia, Pisano, Taelis, 2020;  
Boer, Bor, Maxia, Pisano, Yuan 2023

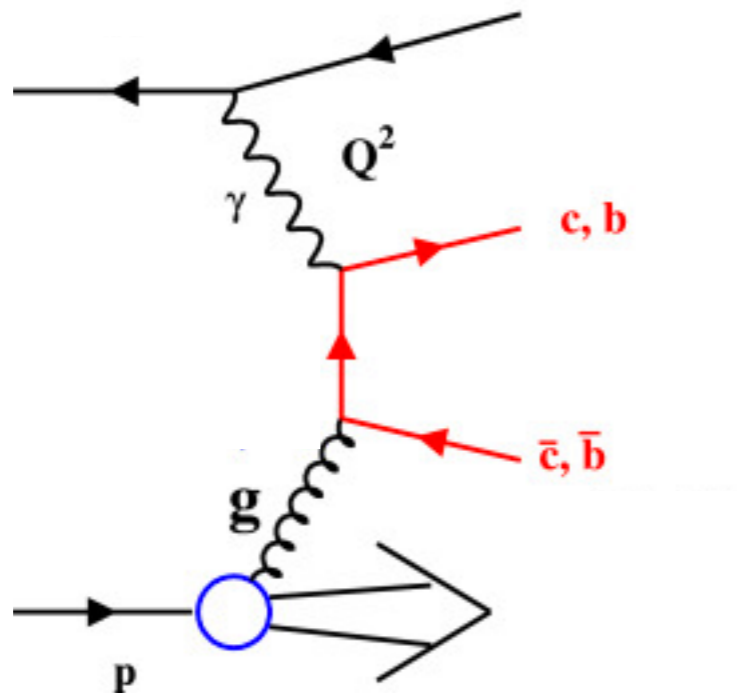
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Unpolarized open heavy quark production at EIC probes the WW  $h_1^{\perp g}(x, p_T^2)$



The heavy quarks will not be exactly back-to-back in the transverse plane:

$$K_{\perp} = (K_{Q\perp} - K_{\bar{Q}\perp})/2$$

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$$|q_T| \ll |K_{\perp}|$$

$\phi_T, \phi_{\perp}$  are the angles of  $q_T, K_{\perp}$

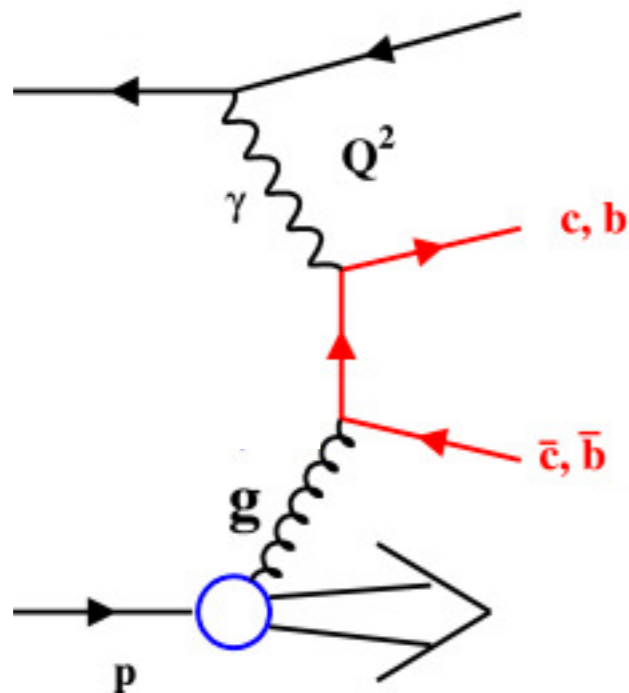
WW linear gluon polarization shows up as a  $\cos 2\phi_T$  or  $\cos 2(\phi_T - \phi_{\perp})$  distribution

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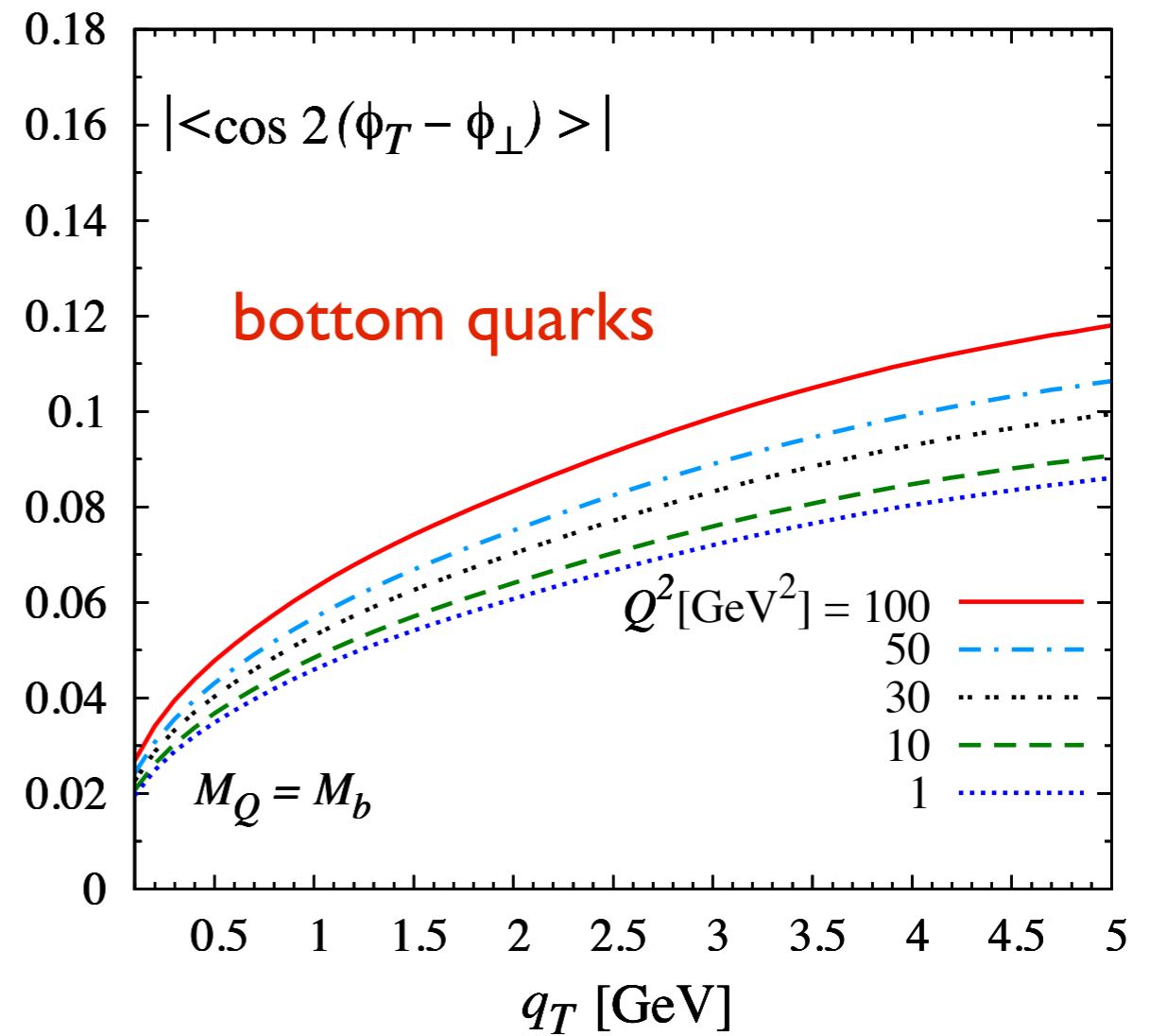
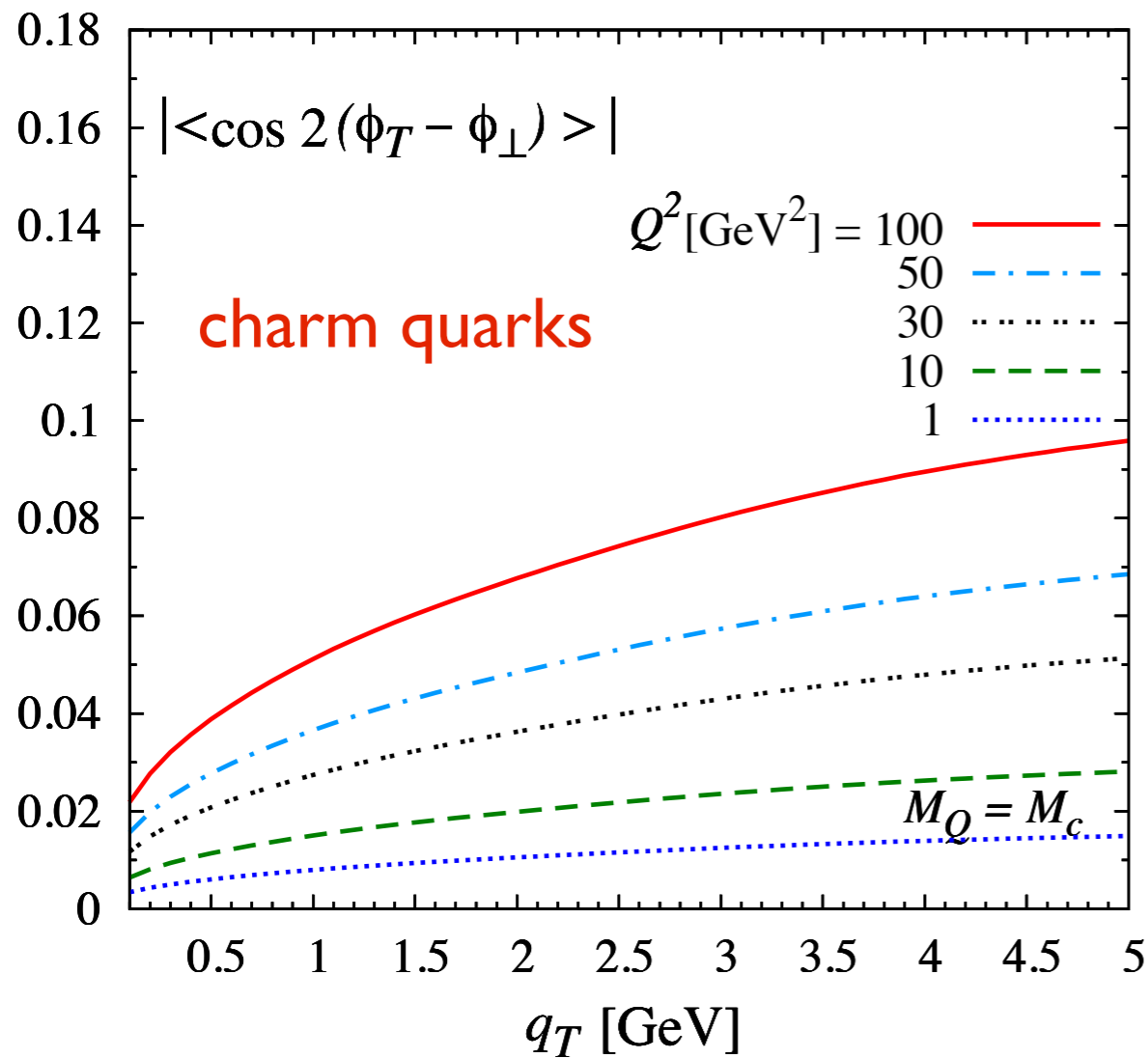
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$h_{1\perp}^g$  (WW) is also accessible in inclusive dijet production at EIC

Metz, Zhou 2011; Pisano, Boer, Brodsky, Buffing, Mulders, 2013; Dumitru, Lappi, Skokov, 2015; ...

# Asymmetries in heavy quark pair production



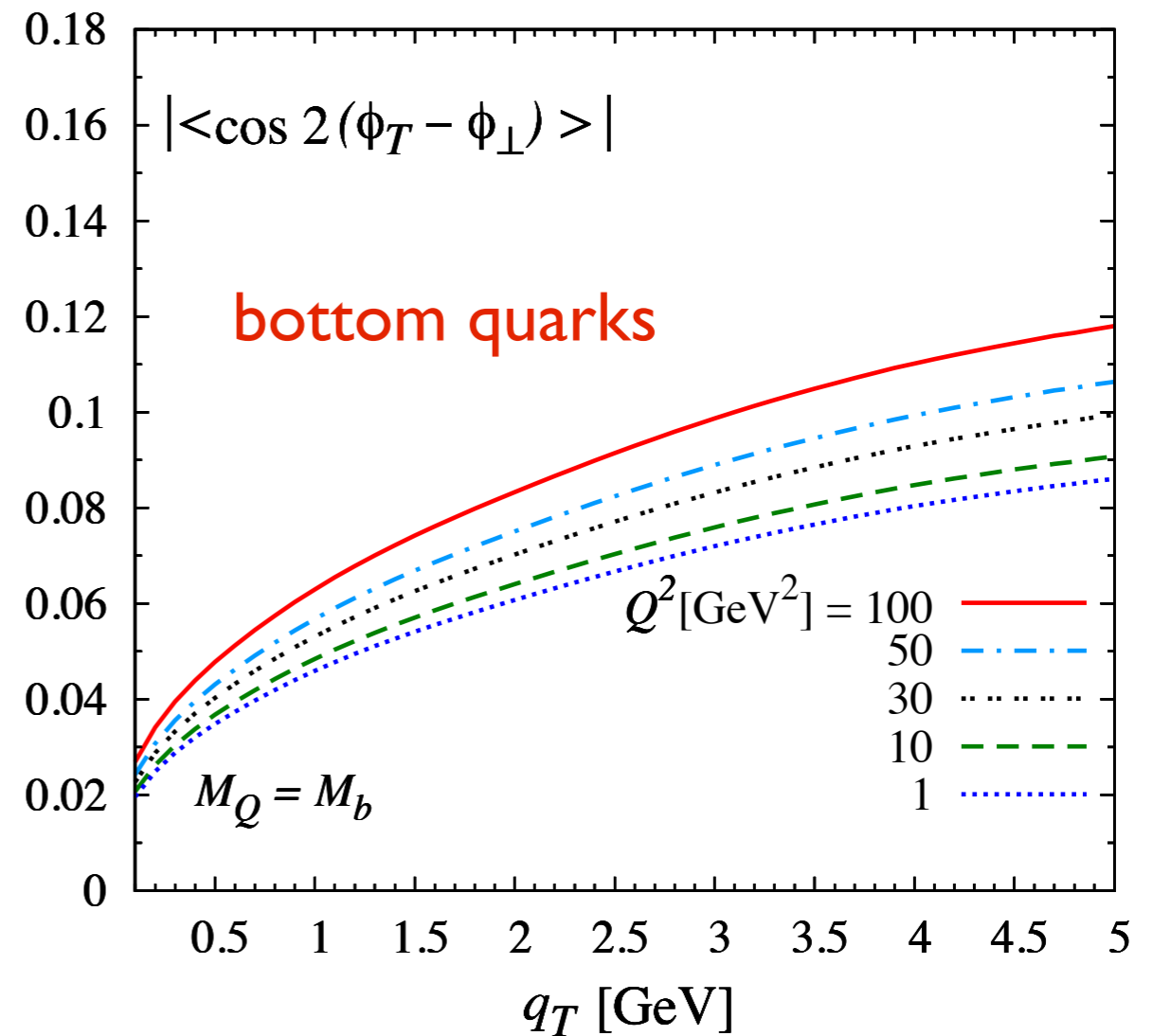
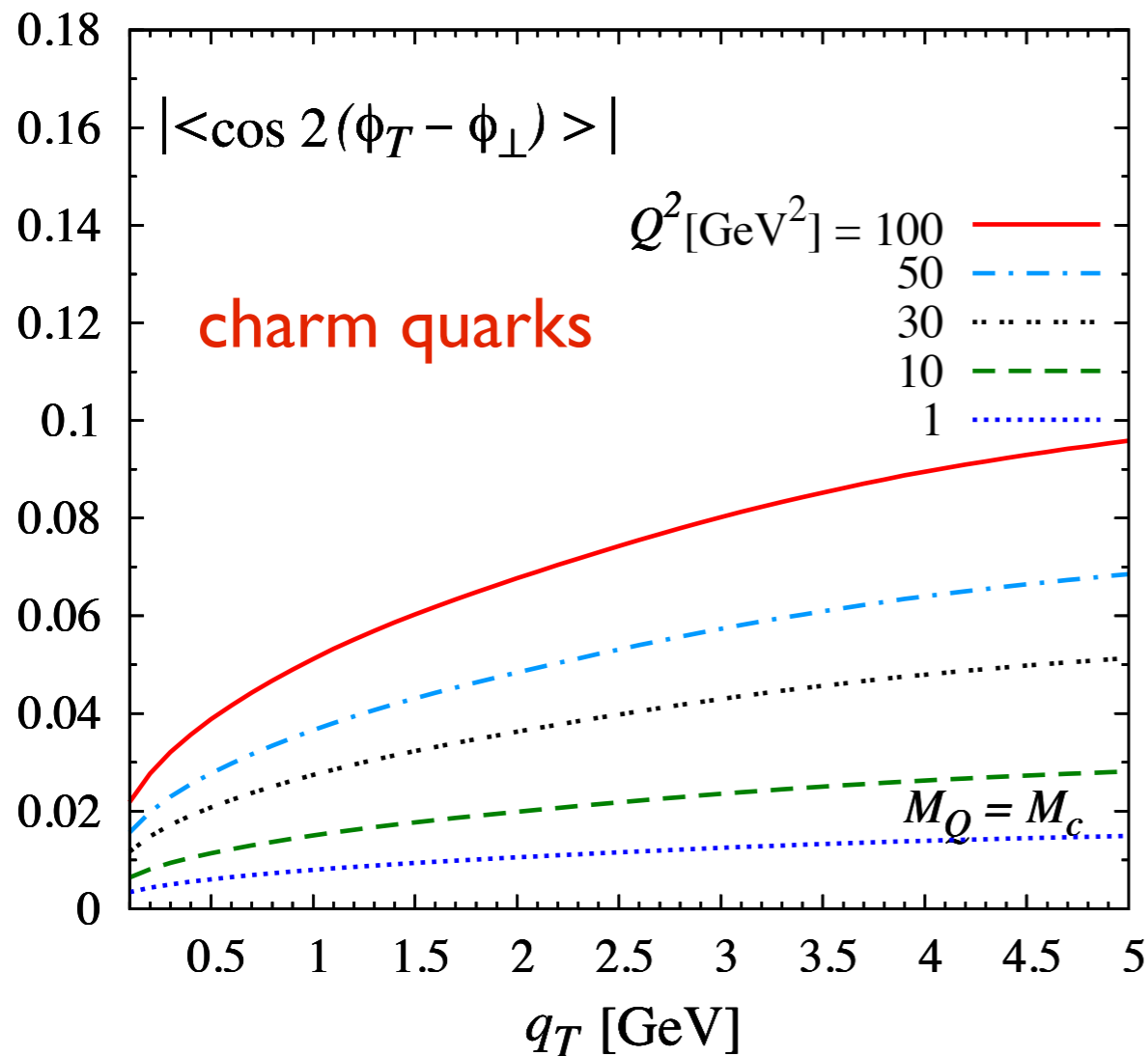
small  $x$   
MV model

$|\mathbf{K}_\perp| = 10 \text{ GeV}$   
 $z = 0.5$   
 $y = 0.3$

Up to 10% asymmetries at EIC

Boer, Pisano, Mulders, Zhou, 2016

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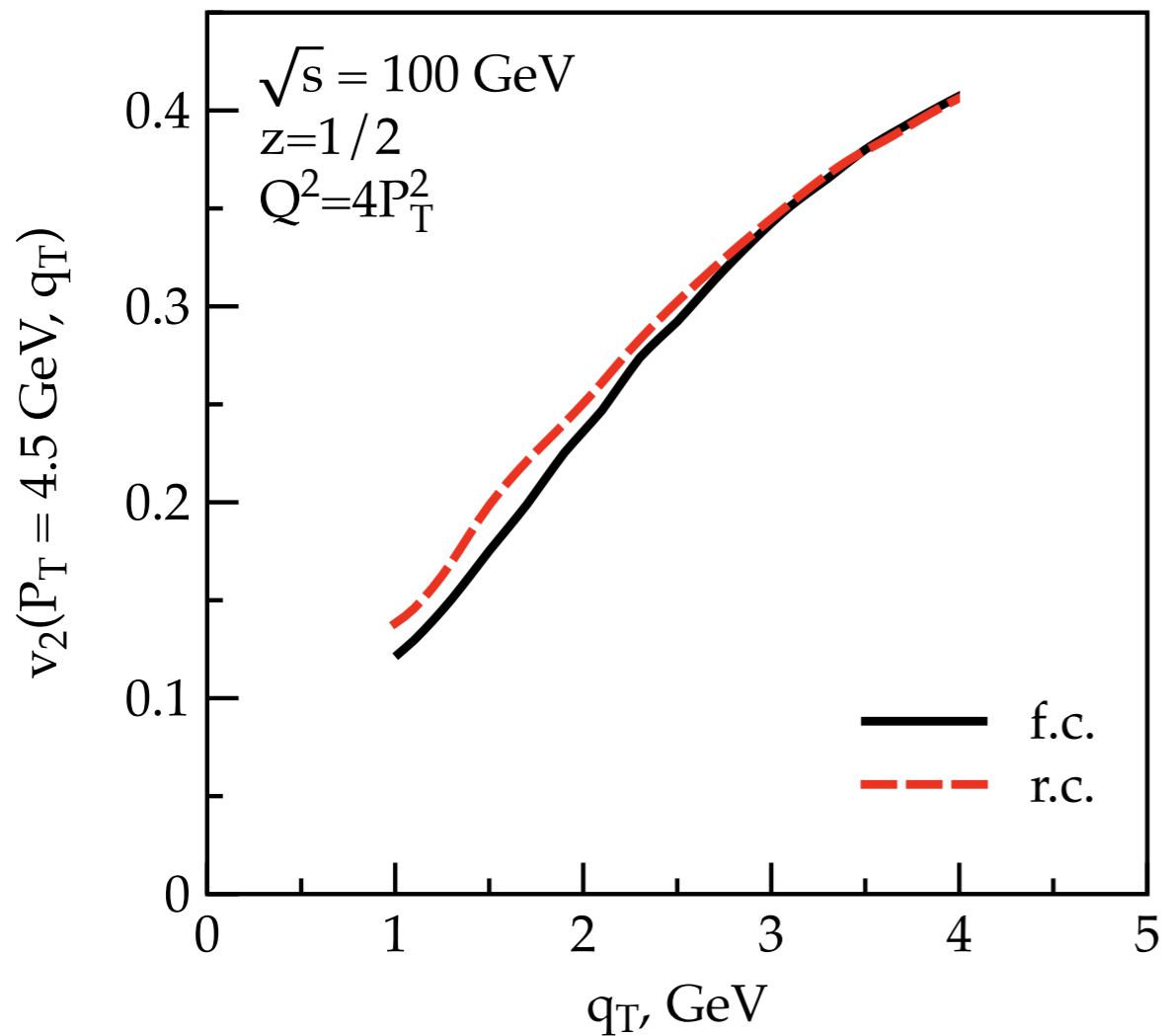
However, this does not include TMD or x-evolution



# Inclusive dijet production at EIC

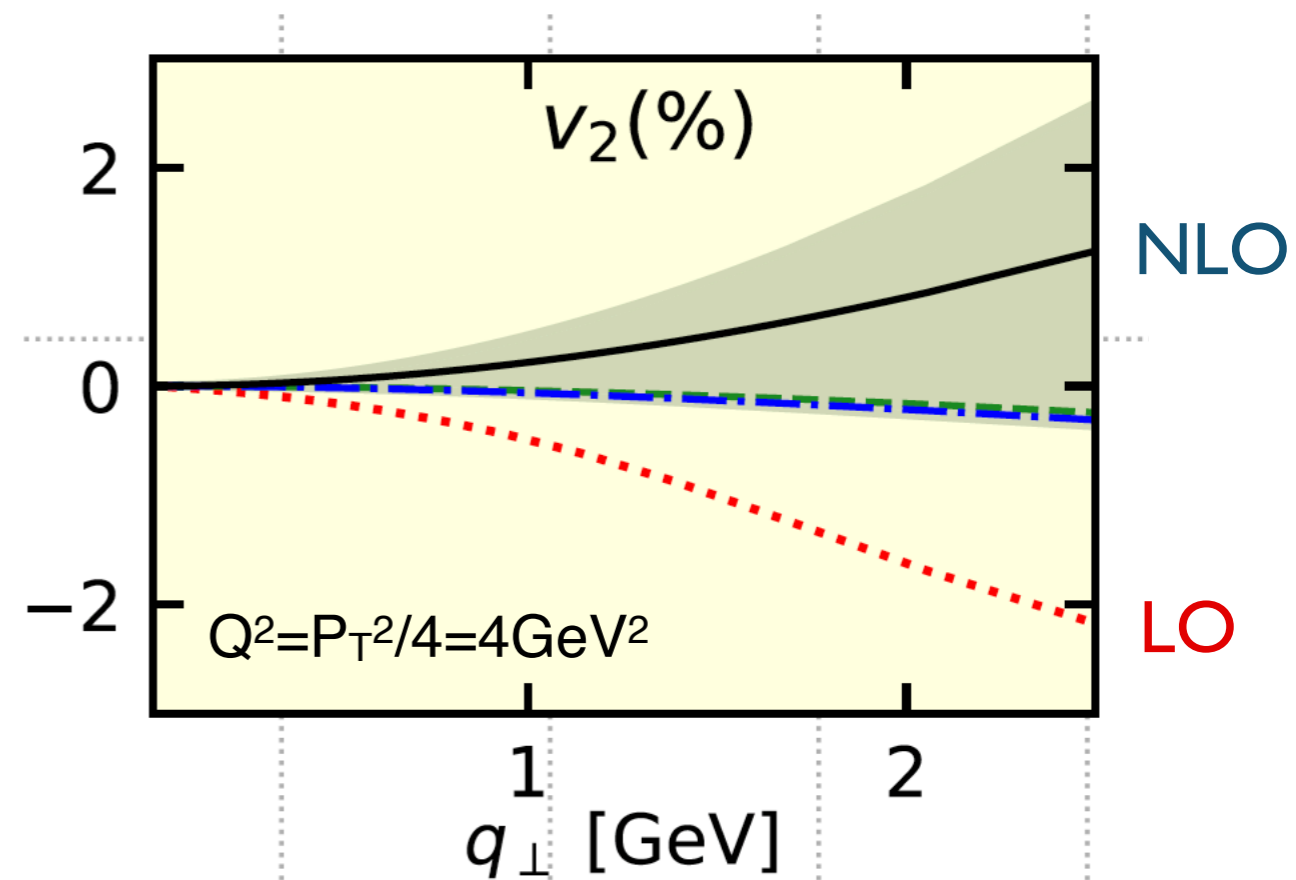
$$\phi = \phi_T - \phi_\perp$$

WW linear gluon polarization shows itself through a  $\cos 2\phi$  distribution (“ $v_2$ ”)



Large effects are found

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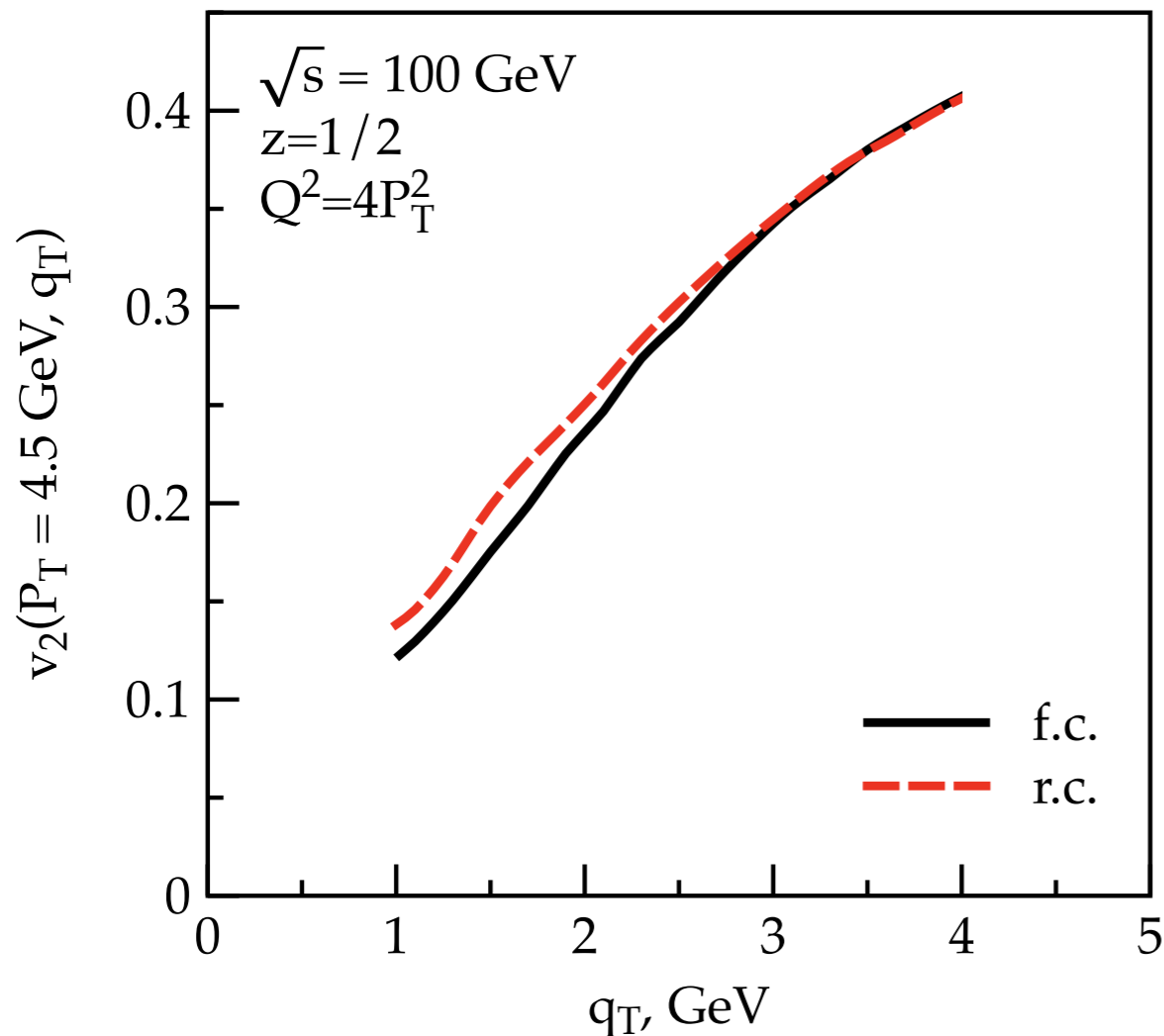
Effect of including NLO corrections

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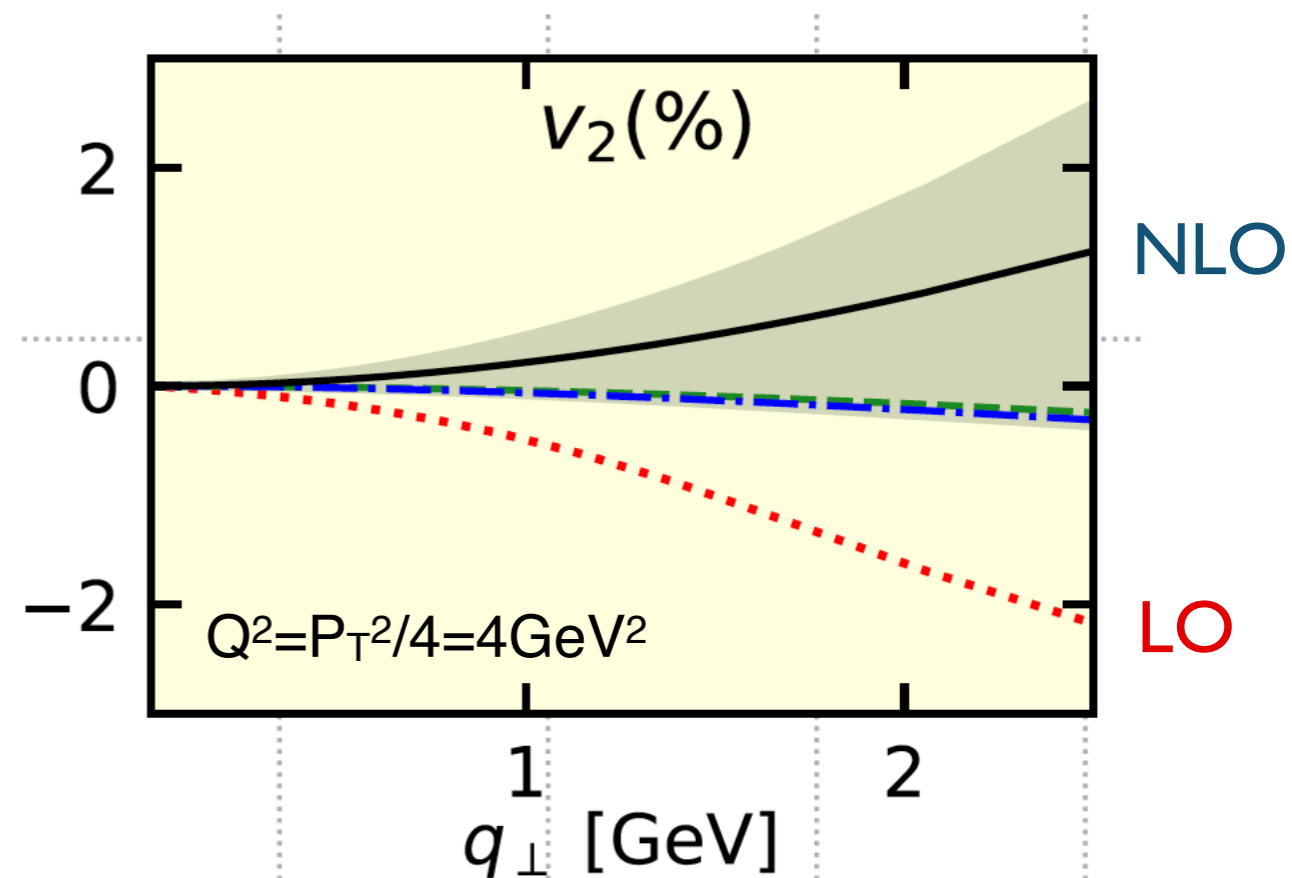
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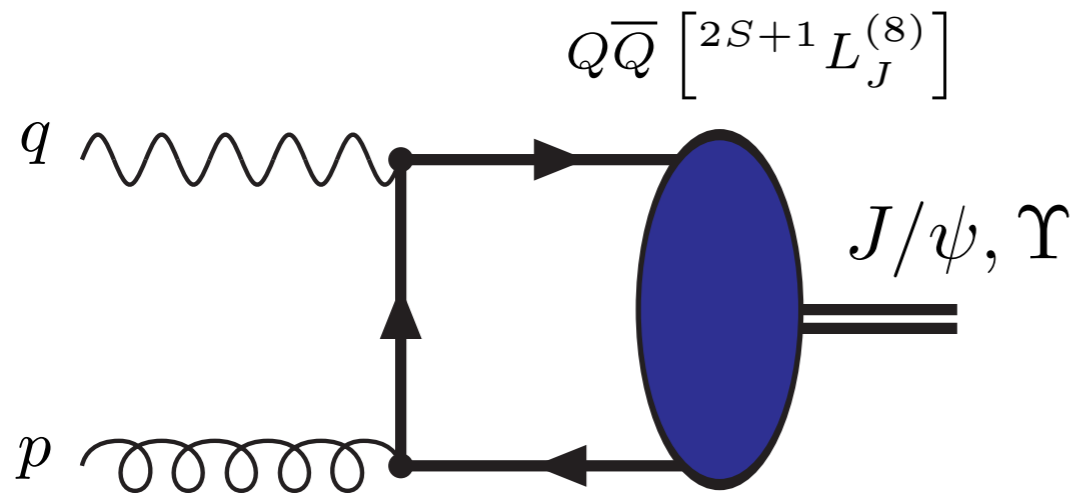


Effect of including NLO corrections

Caucal, Salazar, Schenke, Stebel, Venugopalan, 2024

Sign of  $v_2$  is matter of definition and depends on sign of  $h_{1\perp}$ , but it can apparently flip due to HO corrections; note that WW distribution does not satisfy same BK eq as  $f_1$

# Quarkonium production in ep

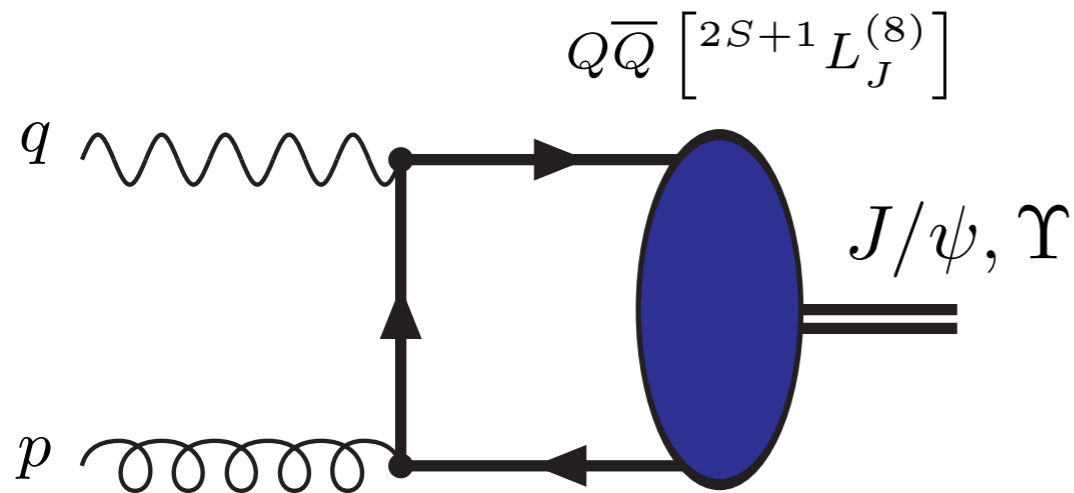


A  $\cos(2\phi_T)$  asymmetry probes  $h_1^\perp g$

$$\langle \cos 2\phi_T \rangle = \frac{(1-y) \mathcal{B}_T^{\gamma^* g \rightarrow Q}}{[1 + (1-y)^2] \mathcal{A}_{U+L}^{\gamma^* g \rightarrow Q} - y^2 \mathcal{A}_L^{\gamma^* g \rightarrow Q}}$$

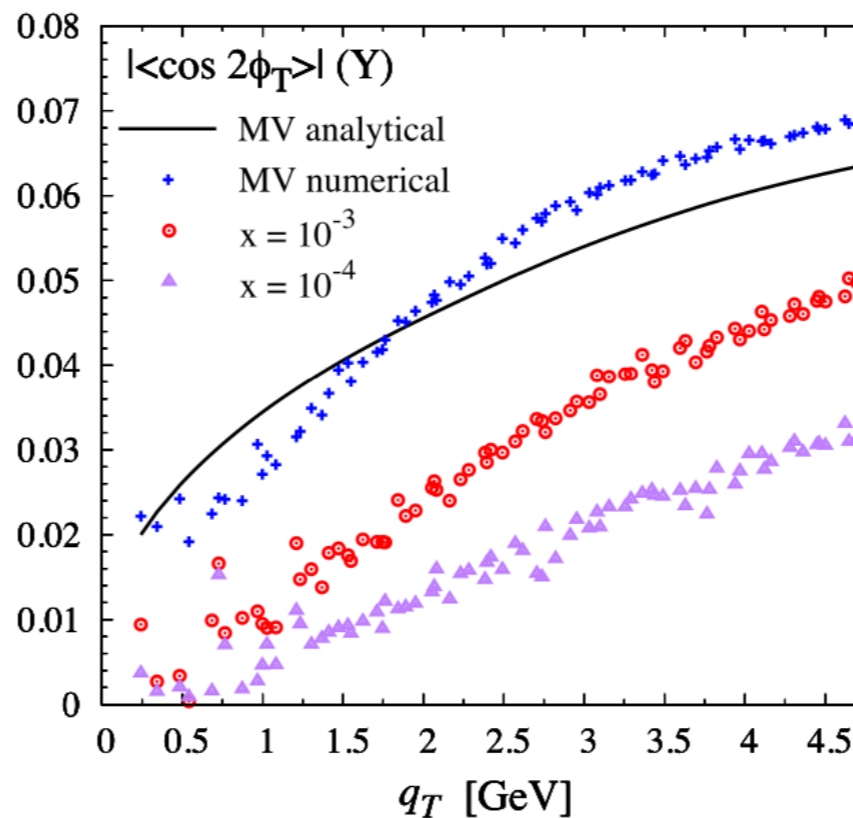
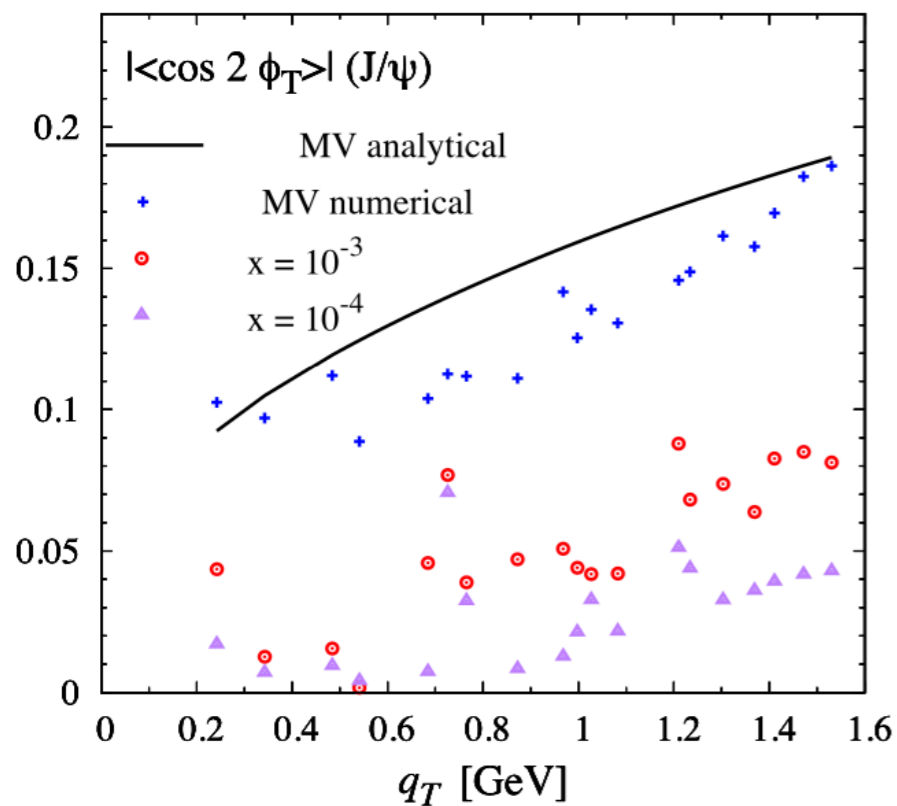
$$\times \frac{\mathbf{q}_T^2}{2M_p^2} \frac{h_1^\perp g(x, \mathbf{q}_T^2)}{f_1^g(x, \mathbf{q}_T^2)}.$$

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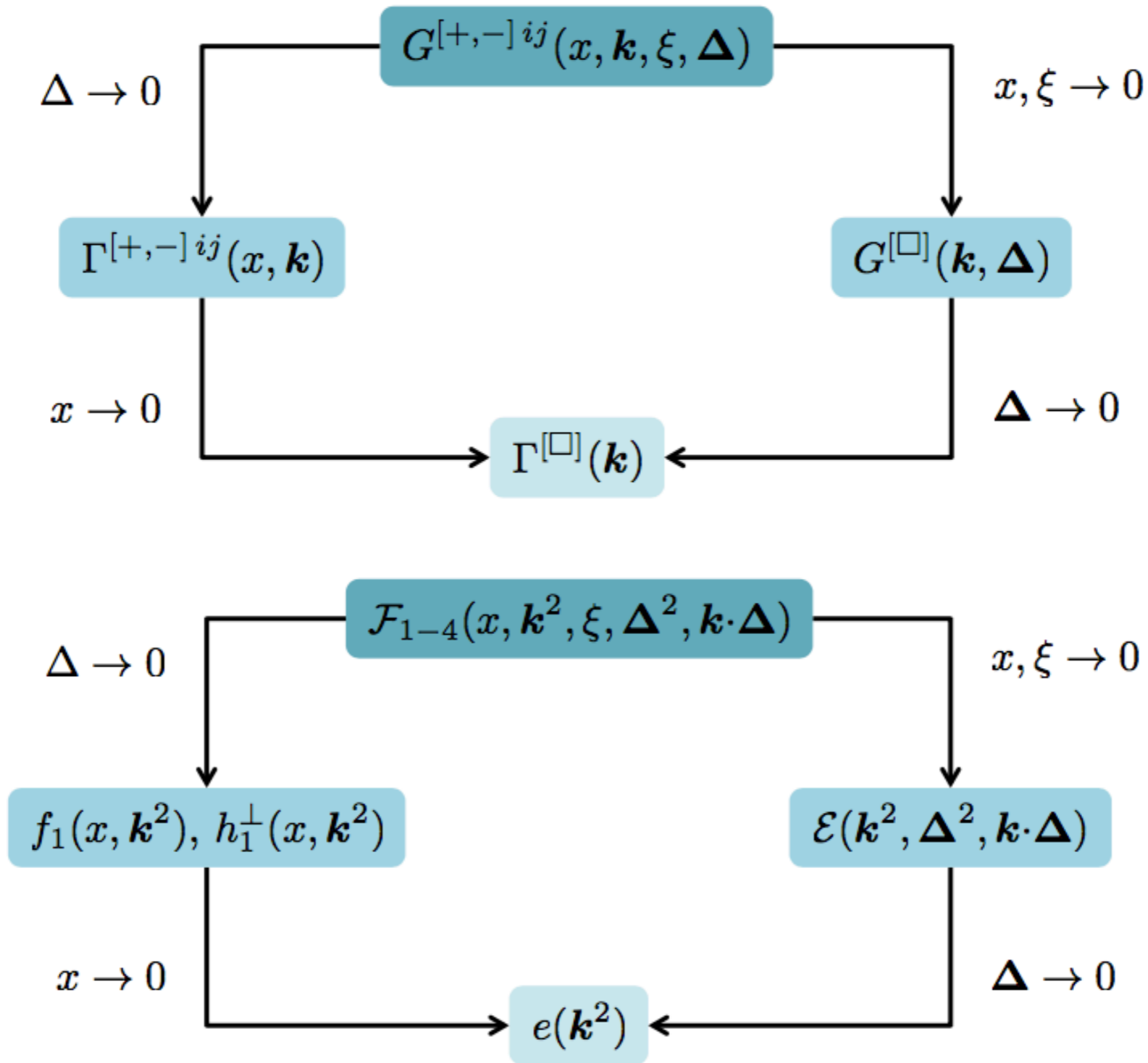


Asymmetries for  $Q=M_Q$  &  $y=0.1$  in the MV model and including nonlinear evolution on a 2D lattice

$\cos 2\phi_T$  asymmetry decreases towards small  $x$

Bacchetta, Boer, Pisano, Tael, 2018

# Dipole gluon GTMDs



# T-odd gluon TMDs at small $x$

The spin-dependent odderon

J. Zhou, 2013

$$\Gamma_{\text{T-odd}}^{\mu\nu}(x, k_T; S_T) = \frac{k_T^\mu k_T^\nu N_c}{2\pi^2 \alpha_s x} \frac{\epsilon_T^{\alpha\beta} S_{T\alpha} k_{T\beta}}{M} O_{1T}^\perp(x, k_T^2)$$

Implies

$$xf_{1T}^{\perp g} = xh_{1T}^g = xh_{1T}^{\perp g} = \frac{-k_T^2 N_c}{4\pi^2 \alpha_s} O_{1T}^\perp(x, k_T^2)$$

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$$\Delta\Gamma^{ij}(x, \mathbf{k}_T) = \frac{x}{2} \left[ \frac{g_T^{ij} \epsilon_T^{k_T S_T}}{M} f_{1T}^\perp(x, \mathbf{k}_T^2) + i\epsilon_T^{ij} g_{1s}(x, \mathbf{k}_T^2) - \frac{\epsilon_T^{k_T \{i} S_T^{j\}} + \epsilon_T^{S_T \{i} k_T^{j\}}}{4M} h_{1T}(x, \mathbf{k}_T^2) - \frac{\epsilon_T^{k_T \{i} k_T^{j\}}}{2M^2} h_{1s}^\perp(x, \mathbf{k}_T^2) \right]$$

$$\lim_{x \rightarrow 0} x f_{1T}^\perp(x, \mathbf{k}_T^2) = \lim_{x \rightarrow 0} x h_1(x, \mathbf{k}_T^2) = -\frac{k_T^2}{2M^2} \lim_{x \rightarrow 0} x h_{1T}^\perp(x, \mathbf{k}_T^2) = \frac{1}{2} \lim_{x \rightarrow 0} x h_{1T}(x, \mathbf{k}_T^2)$$

$$h_1(x, \mathbf{k}_T^2) \equiv h_{1T}(x, \mathbf{k}_T^2) + \frac{k_T^2}{2M^2} h_{1T}^\perp(x, \mathbf{k}_T^2)$$

Similar relations hold for spin-1 hadrons as well

DB, Cotogno, van Daal, Mulders, Signori & Ya-Jin Zhou, 2016

# MV-like model

We consider the MV-like model:

$$\mathcal{F}^{[\square]}(\mathbf{k}_\perp, \mathbf{\Delta}_\perp) = 4N_c \int \frac{d^2\mathbf{r}_\perp d^2\mathbf{b}_\perp}{(2\pi)^2} e^{-i\mathbf{k}_\perp \cdot \mathbf{r}_\perp} e^{i\mathbf{\Delta}_\perp \cdot \mathbf{b}_\perp} e^{-\epsilon_r r_\perp^2} \left[ 1 - \exp \left( -\frac{1}{4} r_\perp^2 \chi Q_s^2(b_\perp) \ln \left[ \frac{1}{r_\perp^2 \Lambda^2} + e \right] \right) \right]$$

Similar expression as considered by Hagiwara, Hatta, Pasechnik, Tasevsky & Teryaev, 2017;  
Salazar, Schenke, 2019

$\epsilon_r$  cuts out the region where the dipole size becomes large compared to the target size, where the model should not be applicable

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$\chi$  sets the normalization of  $Q_s$  and is  $x$  dependent (of GBW form)

$$\chi(x) = \bar{\chi} \left( \frac{x_0}{x} \right)^\lambda \quad x_0 = 3 \times 10^{-4} \quad \lambda = 0.29$$

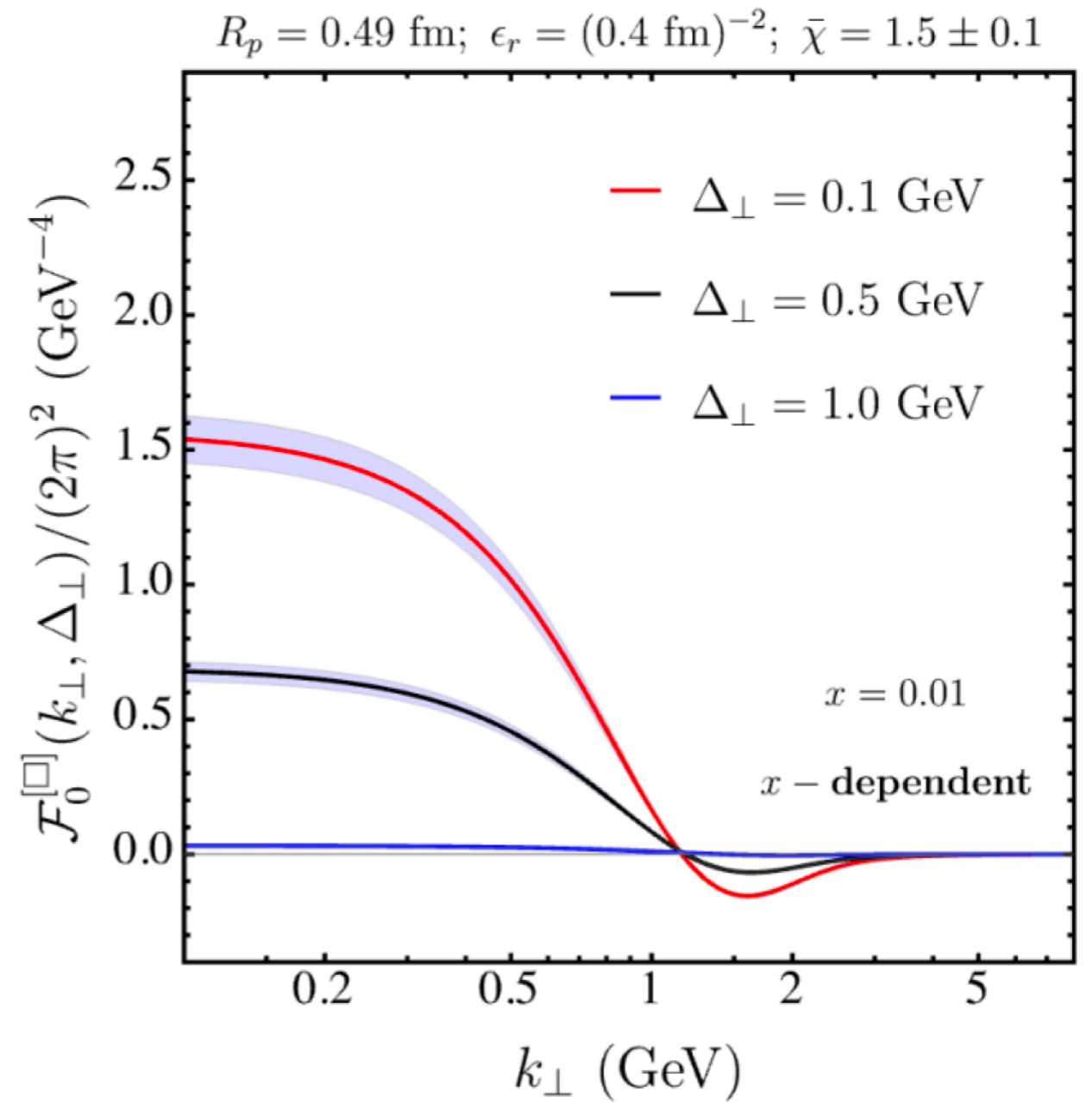
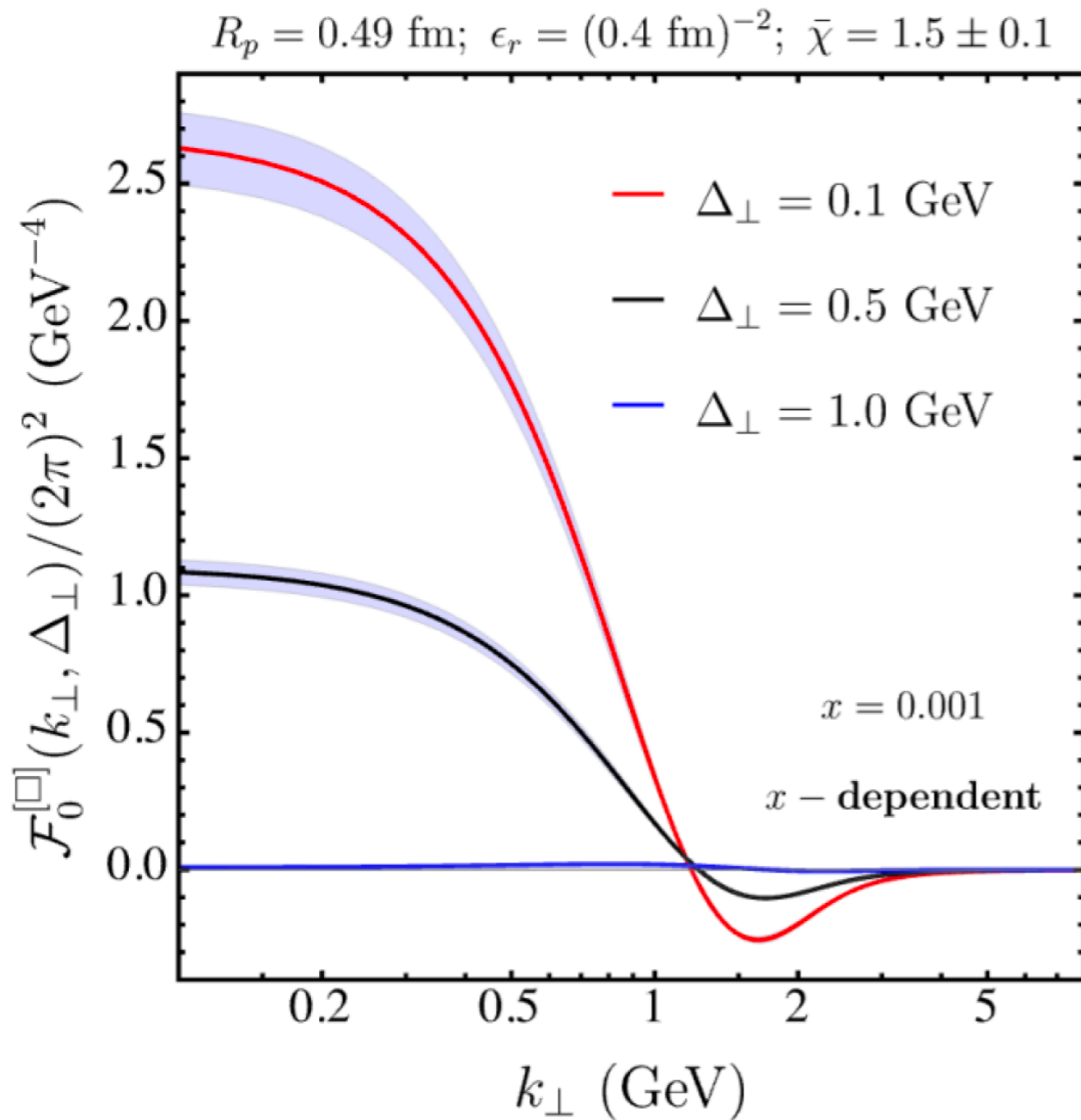
$Q_s$  is proportional to the proton (Gaussian) or nuclear (Woods-Saxon) profile

For details see DB, Setyadi, 2023

# x-dependent gluon GTMD model

$$\mathcal{F}^{[\square]}(\mathbf{k}_\perp, \Delta_\perp) = \mathcal{F}_0^{[\square]}(k_\perp, \Delta_\perp) + 2\mathcal{F}_2^{[\square]}(k_\perp, \Delta_\perp) \cos 2\theta_{k\Delta} + \dots$$

The data is angle integrated, therefore we restrict to  $\mathcal{F}_0$



Dominant contribution from:  $\Delta_\perp \ll K_\perp$  or  $M_V$

# Dihadron production through DPS

$W_1$  does lead to odd harmonics in dihadron production through double parton scattering in pA collisions (not exclusive DPS in this case)

$$\frac{d\sigma_{\text{DPS}}^{pA \rightarrow h_1 h_2 X}}{dy_1 dy_2 d^2\mathbf{k}_1 d^2\mathbf{k}_2} \propto \int d^2\mathbf{b}_1 d^2\mathbf{b}_2 F_p(x_1, x_2, \mathbf{b}_1 - \mathbf{b}_2) \int \frac{d^2\mathbf{r}_1 d^2\mathbf{r}_2}{(2\pi)^4} e^{-i\mathbf{k}_1 \cdot \mathbf{r}_1 - i\mathbf{k}_2 \cdot \mathbf{r}_2} \\ \times \langle S(\mathbf{b}_1 + \frac{\mathbf{r}_1}{2}, \mathbf{b}_1 - \frac{\mathbf{r}_1}{2}) S(\mathbf{b}_2 + \frac{\mathbf{r}_2}{2}, \mathbf{b}_2 - \frac{\mathbf{r}_2}{2}) \rangle$$

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Lappi, Schenke, Schlichting, Venugopalan, 2016

In the large  $N_c$  limit this factorizes further:

$$\frac{d\sigma_{\text{DPS}}^{pA \rightarrow h_1 h_2 X}}{dy_1 dy_2 d^2\mathbf{k}_1 d^2\mathbf{k}_2} \propto \int d^2\mathbf{b}_1 d^2\mathbf{b}_2 F_p(x_1, x_2, \mathbf{b}_1 - \mathbf{b}_2) xW(x, \mathbf{b}_1, \mathbf{k}_1) xW(x, \mathbf{b}_2, \mathbf{k}_2)$$

Simplify further assuming a Gaussian form for the double quark distribution:

$$F_p(x_1, x_2, \mathbf{b}_1 - \mathbf{b}_2) = f_p(x_1, x_2) \frac{1}{4\pi R_N^2} e^{-\frac{(\mathbf{b}_1 - \mathbf{b}_2)^2}{4R_N^2}}$$

# Directed flow

$$\begin{aligned}
 \frac{d\sigma_{\text{DPS}}^{pA \rightarrow h_1 h_2 X}}{dy_1 dy_2 d^2\mathbf{k}_1 d^2\mathbf{k}_2} &\propto \frac{\pi}{8R_N^2} f_p(x_1, x_2) \int db_1^2 db_2^2 e^{-\frac{b_1^2 + b_2^2}{4R_N^2}} \\
 &\times \left[ 2I_0 \left( \frac{b_1 b_2}{2R_N^2} \right) x\mathcal{W}_0(x, \mathbf{b}_1^2, \mathbf{k}_1^2) x\mathcal{W}_0(x, \mathbf{b}_2^2, \mathbf{k}_2^2) \right. \\
 &+ 4 \cos(\phi_{k_1} - \phi_{k_2}) I_1 \left( \frac{b_1 b_2}{2R_N^2} \right) x\mathcal{W}_1(x, \mathbf{b}_1^2, \mathbf{k}_1^2) x\mathcal{W}_1(x, \mathbf{b}_2^2, \mathbf{k}_2^2) \\
 &+ 4 \cos 2(\phi_{k_1} - \phi_{k_2}) I_2 \left( \frac{b_1 b_2}{2R_N^2} \right) x\mathcal{W}_2(x, \mathbf{b}_1^2, \mathbf{k}_1^2) x\mathcal{W}_2(x, \mathbf{b}_2^2, \mathbf{k}_2^2) \left. \right] \\
 &+ \dots
 \end{aligned}$$

This shows the cross section displays directed flow ( $v_1$ )

This can arise from azimuthal anisotropy (rotationally non-invariant targets)

Dumitru, Giannini 2015; Dumitru, Skokov, 2015; Lappi, Schenke, Schlichting, Venugopalan, 2016

but also without breaking of rotational symmetry (C-odd squared effect)

Boer, van Daal, Mulders, Petreska, 2018