

MSTT factorization: Bridging Large-x and Small-x

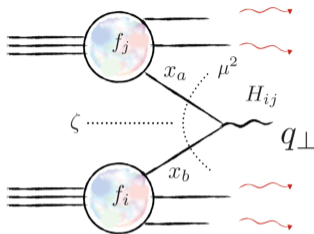
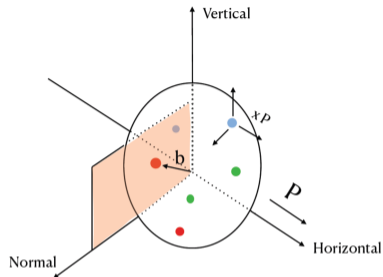
Shaswat Tiwari

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Based on: S. Mukherjee, V. V. Skokov, A. Tarasov, S. T., “Unified description of DGLAP, CSS, & BFKL...”
Phys. Rev. D 109 (3) (2024) 034035



Motivation



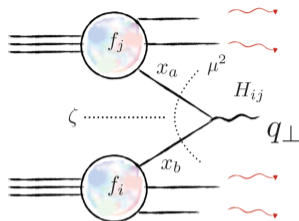
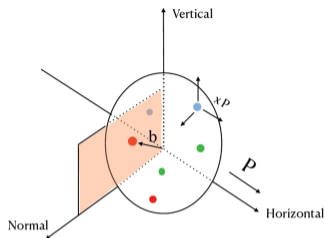
- TMD factorization connects $\text{TMDPDF}(x, b_\perp)$ to cross-sections
- At large- x : collinear matching \rightarrow **DGLAP** and **CSS**
- At small- x : eikonal expansion \rightarrow **BFKL**
- Understanding the general structure of TMDPDFs (large- x logs and small- x logs)

Unified description of DGLAP, CSS, and BFKL evolution: TMD factorization bridging large and small x

Swagato Mukherjee^{1,*}, Vladimir V. Skokov^{2,†}, Andrey Tarasov^{2,3,‡} and Shaswat Tiwari^{2,§}

- A general framework which gives the full UV and IR picture of TMDs
- Based on the background field method
- Reduces to the collinear matching formula and the eikonal expansion formula in the appropriate limits

TMD factorization



$$\Lambda_{QCD}^2 \ll q_{\perp}^2 \ll Q^2 \implies b_{\perp} \leq \Lambda_{QCD}^{-1} \text{ (Large)}$$

$$\frac{d\sigma}{dQ dy d^2 q_{\perp}} = H(Q, \mu) \int d^2 b_{\perp} e^{i q_{\perp} \cdot b_{\perp}} \text{TMDPDF}_1(x_a, b_{\perp}, \mu, \zeta_a) \text{TMDPDF}_2(x_b, b_{\perp}, \mu, \zeta_b) + \mathcal{O}\left(\frac{q_{\perp}^2}{Q^2}\right)$$

Collinear matching

- **Complicated** dependence of TMDPDF(x, b_{\perp}, μ, ζ) on μ and ζ
- Expand in b_{\perp} :

$$\text{TMDPDF}(x, b_{\perp}, \mu, \zeta) = C_1(\mu, \zeta) \otimes \underbrace{\text{PDF}(x, \mu)}_{\text{Twist 2}} + b_{\perp}^2 C_2(\mu, \zeta) \otimes \underbrace{\text{PDF}_3(x, \mu) + \dots}_{\text{Higher twist content}}$$

- This is the collinear twist expansion

Need to resum all twists to get the full picture

Eg. Scimeme, Tarasov, Vladimirov (2019)

CSS framework: Keep leading twist

$$\begin{aligned} \text{TMDPDF}(x_B, b_\perp, \mu, \zeta) = & \text{PDF}(x_B, \mu) - \frac{\alpha_s N_c}{\pi} \underbrace{L_b^\mu \int_0^1 \frac{dz}{z} P_{gg}(z)}_{\text{DGLAP}} \text{PDF}\left(\frac{x_B}{z}, \mu\right) \\ & + \frac{\alpha_s N_c}{2\pi} \underbrace{\left(-\frac{1}{2}(L_b^\mu)^2 + L_b^\mu \ln \frac{\mu^2}{\zeta^2} - \frac{\pi^2}{12} \right)}_{\text{CSS}} \text{PDF}(x_B, \mu) \end{aligned}$$

- Valid for: b_\perp small
- But TMD region: b_\perp large
- Introduce Non-perturbative function to extrapolate
- Large x phenomenology

Eikonal Expansion

- Expand in x_B

$$\text{TMDPDF}(x_B, b_\perp, \mu, \zeta) = \underbrace{\tilde{C}_1 \otimes \text{DIPOLE}(b_\perp, \zeta)}_{\text{BFKL logs}} + x_B \underbrace{\tilde{C}_2 \otimes D_2(b_\perp, \zeta)}_{\text{Sub-eikonal corrections}} + \dots$$

Need to resum all subeikonal corrections to get the full picture

Altinoluk, Arnesto, Beuf, Martinelli, Martinez, Salgado (2014)

Balitsky, Tarasov (2014-2016)

Chirilli (2019)

Cougoulic, Kovchegov, Tarasov, Tawabutr (2022)

Eikonal Expansion

- Expand in x_B

$$\text{TMDPDF}(x_B, b_\perp, \mu, \zeta) = \underbrace{\tilde{C}_1 \otimes \text{DIPOLE}(b_\perp, \zeta)}_{\text{BFKL logs}} + x_B \underbrace{\tilde{C}_2 \otimes D_2(b_\perp, \zeta)}_{\text{Sub-eikonal corrections}} + \dots$$

Hence we need a new scheme which keeps full dependence on x_B and b_\perp

MSTT factorization is based on the background field method

gluon TMDPDF(x_B, b_\perp) operator

NLO(Dilute) background

Introducing IR and UV cutoffs

TMDPDF($x_B, b_\perp, \text{scales}$) valid for all x_B and b_\perp

$x_B \rightarrow 0$

$b_\perp \rightarrow 0$

Eikonal expansion/BFKL

Collinear matching/CSS

CSS (N.P.B, 250, 1985)

DGLAP (N.P.B, 126, 1977)

BFKL (P.L.B, 60, 1975)

MSTT (P.R.D 109, no.3, 034035 (2024))

SCET (P.L.B, 735, 2014)

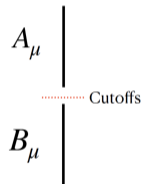
Background field method

$$\langle P_1|O|P_2\rangle = \int DC \psi_{P_1}^*(C(t_i)) O(C) \psi_{P_2}(C(t_f)) e^{-iS_{QCD}(C)}$$

Split the field modes into different parts

$$\mathbf{C}_\mu = \mathbf{A}_\mu + \mathbf{B}_\mu$$

- Separation made using cutoffs
- Integrate A modes out with B fixed



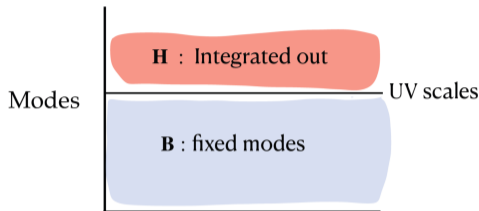
$$\langle P_1|O(C)|P_2\rangle = \text{Coeff} \times \langle P_1|\tilde{O}(B)|P_2\rangle$$

The exact structure depends on the particular factorization scheme

How we use the background field method

- Separate the hard modes:

$$\langle P_1 | \text{Observable} | P_2 \rangle = \text{Hard} \times \langle P_1 | O(B) | P_2 \rangle$$



Gives a factorization formula like the DY TMD factorization

$$\frac{d\sigma}{dQ dy d^2q_\perp} = H(Q, \mu) \int d^2b_\perp e^{iq_\perp \cdot b_\perp} \text{TMDPDF}_1(x_a, b_\perp, \mu, \zeta_a) \text{TMDPDF}_2(x_b, b_\perp, \mu, \zeta_b) + \mathcal{O}\left(\frac{q_\perp^2}{Q^2}\right)$$

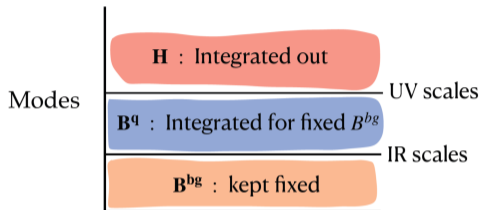
How we use the background field method

- Separate the hard modes:

$$\langle P_1 | \text{Observable} | P_2 \rangle = \text{Hard} \times \langle P_1 | O(B) | P_2 \rangle$$

- Separate into Quantum and background modes

$$\langle P_1 | O(B) | P_2 \rangle = \text{Coeff} \times \langle P_1 | \tilde{O}(B^{bg}) | P_2 \rangle$$

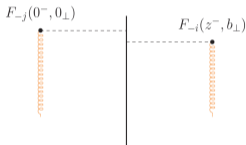


Coeff contains the logarithms which generate the evolution equations

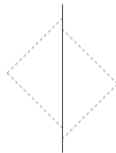
Definitions

We consider the matrix element of gluon TMD

$$B_{ij}(x_B, b_\perp) = \int_{-\infty}^{\infty} dz^- e^{-ix_B P^+ z^-} \langle P, S | F_{-i}^m(z^-, b_\perp) [z^-, \infty]_b^{ma} [\infty, 0^-]_0^{an} F_{-j}^n(0^-, 0_\perp) | P, S \rangle$$



Gluon beam function



Soft function

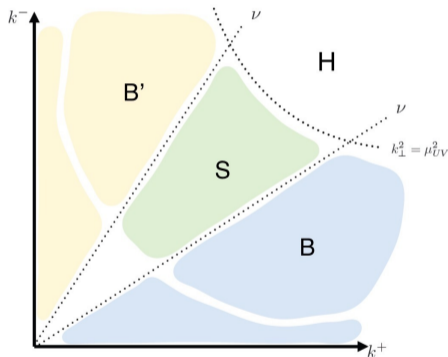
$$\mathcal{S}(b_\perp) = \langle 0 | Tr [S_{\bar{n}}^\dagger(b_\perp) S_n(b_\perp) S_n^\dagger(0_\perp) S_{\bar{n}}(0_\perp)] | 0 \rangle$$

$$f_{ij}(x_B, b_\perp) = B_{ij}(x_B, b_\perp) \sqrt{\mathcal{S}(b_\perp)}$$

$$f_{ij}(x_B, b_\perp) = B_{ij}(x_B, b_\perp) \sqrt{S(b_\perp)}$$

- μ_{UV} separates hard factor and TMD
- ν separates soft factor and TMD

UV scales : μ_{UV}, ν



Soft function is introduced to avoid double-counting

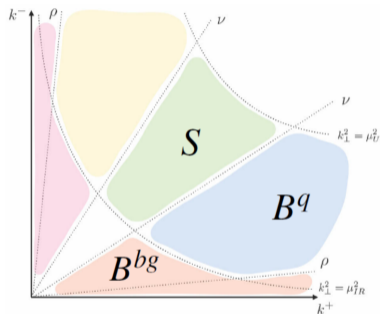
What we want

Separate TMD modes into a quantum part and a background part

Using background field method:

$$f_{ij}(B) = \text{Coeff} \otimes f_{ij}(B^{bg})$$

IR scales ρ , μ_{IR} separate B^q and B^{bg}



$$f_{ij}(\text{UV scales}) = \text{Coeff}(\text{UV scales}, \text{IR scales}) f_{ij}(\text{IR scales})$$

Calculate the coefficient $\text{Coeff}(\text{UV scales}, \text{IR scales})$

How are the scales introduced?

- By regulating divergences. We use renormalization method (not strict cutoffs)
- Dimensional regularization is used to regulate transverse integrals
- η regularization is used to regulate rapidity divergences

$$\int_0^\infty \frac{dk^-}{k^-} \rightarrow \int_0^\infty \frac{dk^-}{k^-} |k^+|^{-\eta}$$

- UV poles are removed by multiplying $B_{ij}(x, b_\perp)$ with Z_{UV} and $\sqrt{S(b_\perp)}$
- IR poles are absorbed in Background field operators

The procedure summarized

gluon beam function

NLO(Dilute) background \downarrow Real + virtual $O(\alpha_S)$ corrections

1-loop Coefficient with UV and IR divergences

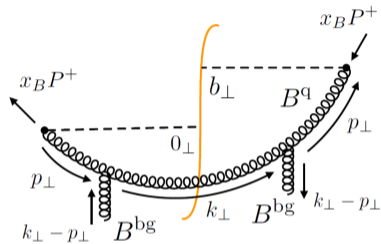
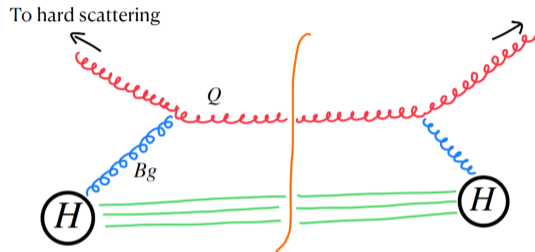
Regulate divergences \downarrow Use Dim reg and η scheme

Coeff^{1-loop}(UV scales, IR scales) with UV and IR poles

Multiply Z_{UV} and \sqrt{S} to remove UV \downarrow Absorb IR poles in background fields

$$f_{ij}(\mathbf{UV\ scales}) = C^{1\ \text{loop}}(\mathbf{UV\ scales}, \mathbf{IR\ scales}) \otimes f_{ij}(\mathbf{IR\ scales})$$

Real corrections



- Computed in 2-gluon background (dilute)
- B^{bg} provides non-zero transverse momentum
- This is different from collinear matching and SCET where background fields transfer zero transverse momentum

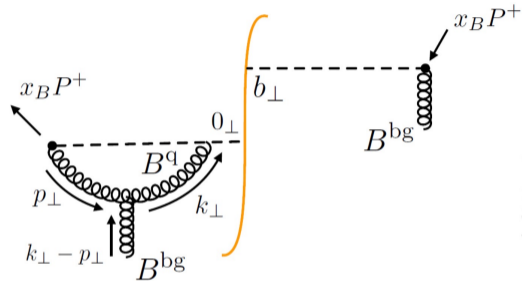
Real corrections: Result

$$\begin{aligned}
 B_{ij}^{real}(x_B, b_\perp) &= -\frac{4\alpha_s N_c}{(2\pi)^4} \int d^2 p_\perp e^{ip_\perp b_\perp} \int_0^1 \frac{dz}{z(1-z)} \int d^2 k_\perp [\text{Finite diagrams}] \\
 &\times \int d^2 z_\perp e^{-i(p_\perp - k_\perp)z_\perp} B_{lm}\left(\frac{x_B}{z}, z_\perp\right) - \frac{\alpha_s N_c}{\pi} \boxed{\left(\frac{1}{\epsilon_{IR}} + L_b^{\mu_{IR}}\right) \int_0^1 dz \left[\frac{1}{(1-z)_+} + \frac{1}{z}\right]} B_{ij}\left(\frac{x_B}{z}, b_\perp\right) \\
 &+ \frac{\alpha_s N_c}{\pi} \boxed{\left(\frac{1}{\epsilon_{UV}} + \ln\left(\frac{b_\perp^2 \mu_{UV}^2}{2e^{-\gamma_E}}\right)\right) \left(\frac{1}{\eta} + \ln\left(\frac{\nu}{x_B P^+}\right)\right)} \\
 &\quad \text{IR pole: Part of DGLAP} \\
 &\quad \text{UV Pole: CSS evolution}
 \end{aligned}$$

$$z = \frac{x_B}{x_B + \frac{k^+}{P^+}}$$

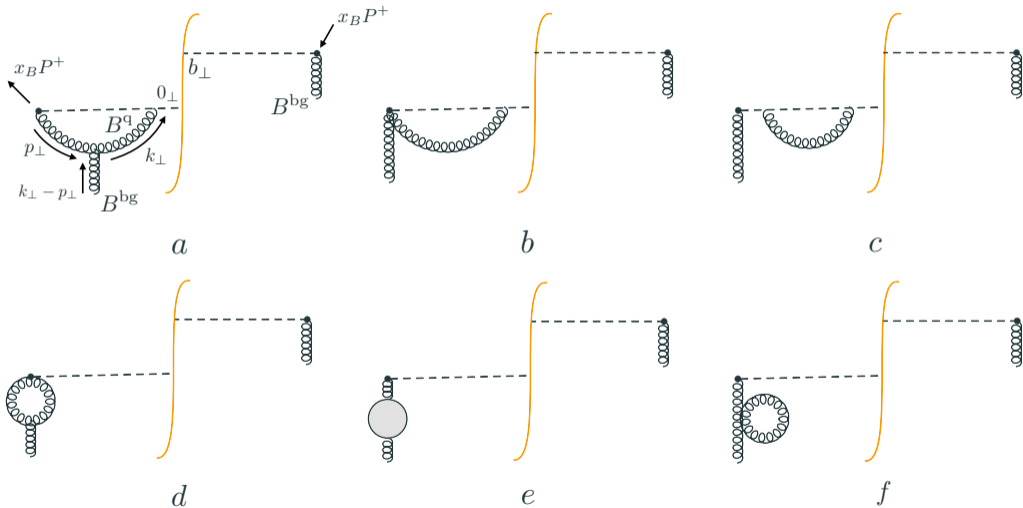
Virtual corrections

- k_{\perp} divergence:
Dim reg: Get μ_{IR}, μ_{UV} scales
- Rapidity IR ($k^{-} \rightarrow 0$) divergence:
 η scheme: Get ρ scale



- Vanishes for collinear/SCET where $k_{\perp} - p_{\perp} = 0$
 \implies no rapidity IR divergence

Virtual corrections



Virtual corrections: Result

$$B_{ij}^{virt}(x_B, b_\perp) = -\frac{\alpha_S N_c}{2\pi} \left(\frac{1}{\epsilon_{IR}^2} + \frac{1}{\epsilon_{IR}} \left(\frac{1}{\zeta} + \ln\left(\frac{\rho}{x_B P^+}\right) \right) - \frac{\pi^2}{12} \right)$$

Virtual part of the BFKL kernel

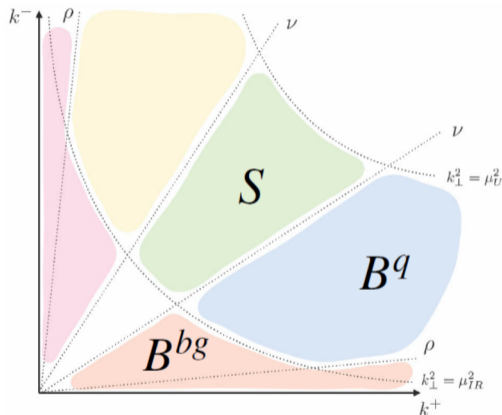
$$\times \int d^2 z_\perp \int \bar{d}^2 p_\perp e^{ip_\perp \cdot (b-z)_\perp} \left(\frac{\mu_{IR}^2}{p_\perp^2} \right)^{\epsilon_{IR}} \frac{g_{il} p_j p_m + p_i p_l g_{mj}}{p_\perp^2} B_{lm}^{bg}(x_B, z_\perp)$$
$$+ \frac{\alpha_S N_c}{2\pi} \left(\frac{1}{\epsilon_{UV}} \frac{\beta_0}{2N_c} + \frac{67}{18} - \frac{5N_f}{9N_c} \right) \int d^2 z_\perp \int \bar{d}^2 p_\perp e^{ip_\perp \cdot (b-z)_\perp} \left(\frac{\mu_{UV}^2}{p_\perp^2} \right)^{\epsilon_{UV}} B_{ij}^{bg}(x_B, z_\perp)$$

- TMDPDFs contain BFKL logs
- Collinear/SCET miss these contribution since virtual diagrams vanish

Construction of full result

- Transverse UV pole, $1/\epsilon_{UV}$ is removed by Z_{UV}
- Rapidity UV pole, $1/\eta$ is removed by $S(b_\perp)$
- The IR poles, $1/\epsilon_{IR}$ and $1/\xi$ are absorbed into $B_{ij}^{bg}(x_B, b_\perp)$

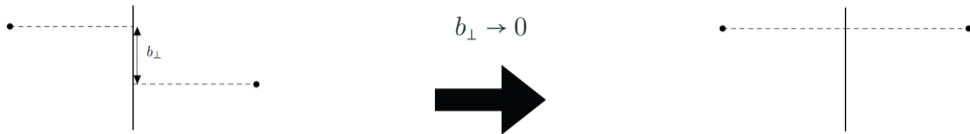
UV scales : μ_{UV}, ν
IR scales : μ_{IR}, ρ



Full result

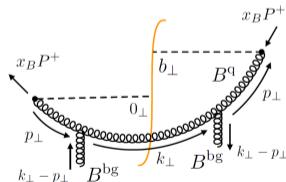
$$\begin{aligned}
 f_{ij}(x_B, b_\perp, \mu_{\text{UV}}^2, \zeta) &= f_{ij}(x_B, b_\perp, \mu_{\text{IR}}^2, \rho) - 4\alpha_s N_c \int \bar{d}^2 p_\perp e^{ip_\perp b_\perp} \int_0^1 \frac{dz}{z(1-z)} \int \bar{d}^2 k_\perp [\mathcal{R}_{ij;lm}^a(z, p_\perp, k_\perp) \\
 &+ \mathcal{R}_{ij;lm}^b(z, p_\perp, k_\perp)] \int d^2 z_\perp e^{-i(p_\perp - k_\perp)z_\perp} f_{lm}\left(\frac{x_B}{z}, z_\perp, \mu_{\text{IR}}^2, \rho\right) + \frac{\alpha_s N_c}{2\pi} \left(-\frac{1}{2} (L_b^{\mu\text{UV}})^2 + L_b^{\mu\text{UV}} \ln \frac{\mu_{\text{UV}}^2}{\zeta^2} - \frac{\pi^2}{12} \right) \\
 &\hspace{15em} \text{CSS} \\
 &\times f_{ij}(x_B, b_\perp, \mu_{\text{IR}}^2, \rho) - \frac{\alpha_s N_c}{\pi} L_b^{\mu_{\text{IR}}} \int_0^1 dz \left[\frac{1}{(1-z)_+} + \frac{1}{z} \right] f_{ij}\left(\frac{x_B}{z}, b_\perp, \mu_{\text{IR}}^2, \rho\right) - \frac{\alpha_s N_c}{2\pi} \int d^2 z_\perp \int \bar{d}^2 p_\perp e^{ip_\perp (b-z)_\perp} \\
 &\hspace{15em} \text{Part of the DGLAP} \\
 &\times \left(\frac{1}{2} \ln^2 \frac{\mu_{\text{IR}}^2}{p_1^2} + \ln \frac{\mu_{\text{IR}}^2}{p_1^2} \ln \frac{\rho}{\zeta} - \frac{\pi^2}{12} \right) \frac{g_{il} p_j p_m + p_i p_l g_{mj}}{p_1^2} f_{lm}(x_B, z_\perp, \mu_{\text{IR}}^2, \rho) \\
 &\hspace{15em} \text{Virtual BFKL} \\
 &+ \frac{\alpha_s N_c}{2\pi} \int d^2 z_\perp \int \bar{d}^2 p_\perp e^{ip_\perp (b-z)_\perp} \left(\frac{\beta_0}{2N_c} \ln \frac{\mu_{\text{UV}}^2}{p_1^2} + \frac{67}{18} - \frac{5N_f}{9N_c} \right) f_{ij}(x_B, z_\perp, \mu_{\text{IR}}^2, \rho) + O(\alpha_s^2).
 \end{aligned}$$

Collinear matching

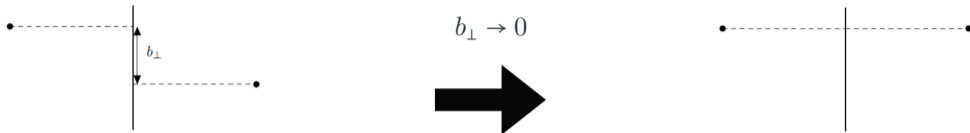


$$B_{ij}^{bg}(x_B, p_\perp) = \int d^2 b_\perp e^{-i p_\perp b_\perp} B_{ij}^{bg}(x_B, b_\perp) \xrightarrow{b_\perp=0} \delta^2(p_\perp) B_{ij}^{bg}(x_B)$$

- No transverse momenta from background fields
- Additional factorization condition: $k_\perp^{\text{background}} \ll k_\perp^{\text{quantum}}$
- Collinear singularity appears in previously finite diagrams



Collinear matching



$$f_1(x_B, b_\perp, \mu_{\text{UV}}^2, \zeta) = f_1(x_B, 0_\perp, \mu_{\text{IR}}^2)$$

$$\underbrace{-\frac{\alpha_s N_c}{\pi} L_b^{\mu_{\text{IR}}} \int_0^1 \frac{dz}{z} P_{gg}(z) f_1\left(\frac{x_B}{z}, 0_\perp, \mu_{\text{IR}}^2\right)}_{\text{DGLAP}} + \underbrace{\frac{\alpha_s N_c}{2\pi} \left(-\frac{1}{2} (L_b^{\mu_{\text{UV}}})^2 + L_b^{\mu_{\text{UV}}} \ln \frac{\mu_{\text{UV}}^2}{\zeta^2} - \frac{\pi^2}{12} \right) f_1(x_B, 0_\perp, \mu_{\text{IR}}^2)}_{\text{CSS}} + \dots,$$

- Coincides with standard SCET results
- No virtual contributions present

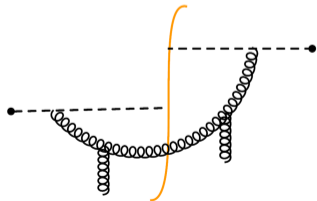
Eikonal expansion

Take the small x limit $x_B \rightarrow 0$

$$B_{ij}^{bg}(x_B, b_\perp) = \int_{-\infty}^{\infty} dz^- e^{-ix_B P^+ z^-} \int d^2 b_\perp e^{-ib_\perp \cdot p_\perp} \langle P | F_{-i}^m(z^-, b_\perp) [z^-, \infty]_b^{ma} [\infty, 0^-]_0^{an} F_{-j}^n(0^-, 0_\perp) | P \rangle^{bg}$$

$$\xrightarrow{x_B \rightarrow 0} \langle P | \text{Tr}(U_b \partial_i U_b^\dagger)(U_0 \partial_j U_0^\dagger) | P \rangle \implies f_{ij}(x_B, p_\perp) = \frac{p_i p_j}{p_\perp^2} h_1(x_b, p_\perp)$$

- At small-x TMDPDFs match to WW distributions
- Additional factorization condition: $k_{\text{quantum}}^- \gg k_{\text{background}}^-$
- Rapidity IR divergence in real corrections as a result



Eikonal expansion

$$f_1(x_B, p_\perp, \mu_{\text{UV}}^2, \zeta) \simeq \mathcal{H}_1(p_\perp, \rho) + \underbrace{\ln \frac{\rho}{\zeta} \int \vec{d}^2 k_\perp K_{\text{BFKL}}(p_\perp, k_\perp) \mathcal{H}_1(p_\perp - k_\perp, \rho)}_{\text{BFKL}}$$
$$+ \frac{\alpha_s N_c}{2\pi} \int d^2 b_\perp \underbrace{\left(-\frac{1}{2} (L_b^{\mu_{\text{UV}}})^2 + L_b^{\mu_{\text{UV}}} \ln \frac{\mu_{\text{UV}}^2}{\zeta^2} - \frac{\pi^2}{12} \right)}_{\text{CSS}} \int \vec{d}^2 k_\perp e^{i k_\perp b_\perp} \mathcal{H}_1(p_\perp - k_\perp, \rho)$$
$$+ \frac{\alpha_s N_c}{2\pi} \left(\frac{\beta_0}{2N_c} \ln \frac{\mu_{\text{UV}}^2}{p_\perp^2} + \frac{67}{18} - \frac{5N_f}{9N_c} \right) \mathcal{H}_1(p_\perp, \rho).$$

TMD phenomenology

- Generalization from collinear framework to MSTT framework
- CSS equation will change due to BFKL type logs
- NP function not needed at large b_{\perp} ?

Lattice

- Compute matching between pseudo/quasi TMDPDFs and TMDPDFS with MSTT
- Changes expected due to BFKL type logs

Small-x

- TMDPDFs calculated with MSTT and extracted from phenomenology/lattice can be used to provide initial conditions for small-x evolution

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NLO(2-gluon) background

Introducing IR and UV cutoffs

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Collinear matching/CSS

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MSTT (P.R.D 109, no.3, 034035 (2024))

SCET (P.L.B, 735, 2014)

- SCET uses modes with fixed scaling on-shell modes,

$$A^n \sim (p^+, p^-, p_\perp) \sim Q(\lambda^2, 1, \lambda), \quad A^{\bar{n}} \sim Q(1, \lambda^2, \lambda), \quad S \sim Q(\lambda, \lambda, \lambda)$$

where $\lambda \ll 1$ is a small scale.

- Glauber SCET off-shell modes: $G \sim Q(\lambda^2, \lambda^2, \lambda)$
- MSTT distribution functions are defined by the cutoffs instead of fixed scaling
- Typical off-shell modes $\sim Q(\lambda^4, 1, \lambda)$

Separation of Rapidity divergences

- Rapidity divergences are typically of the form,

$$\int_0^\infty \frac{dk^-}{k^-}$$

- Introduce the z-variable to separate rapidity divergence,

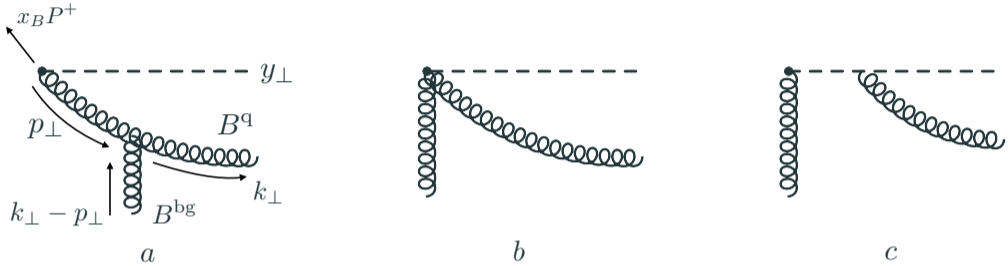
$$z = \frac{x_B}{x_B + \frac{k_\perp^2}{2k^- P^+}}$$

It is the fraction of longitudinal (+) momenta coming from the hadron before the loop correction

- Separation of rapidity divergence

$$\int_0^\infty \frac{dk^-}{k^-} \rightarrow \underbrace{\int_0^1 \frac{dz}{z}}_{\text{Rapidity IR}} + \underbrace{\int_0^1 \frac{dz}{1-z}}_{\text{Rapidity UV}}$$

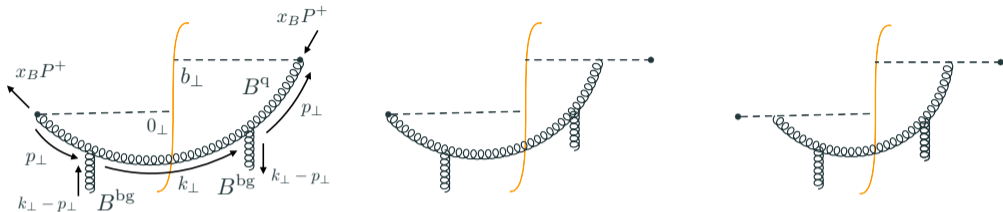
Real corrections: Lipatov vertex



$$L_{\mu j}^{ab}(k, y_{\perp}, x_B) \equiv i \lim_{k^2 \rightarrow 0} k^2 \langle B_{\mu}^{\text{qa}}(k) \int_{-\infty}^{\infty} dy^- e^{ix_B P^+ y^-} [\infty, y^-]_y^{bd} F_{-j}^{\text{q+bg};d}(y^-, y_{\perp}) \rangle_{B^{\text{bg}}}$$

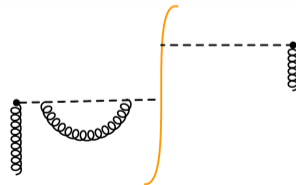
$$\langle \tilde{\mathcal{F}}_i^a(x_B, x_{\perp}) \mathcal{F}_j^a(x_B, y_{\perp}) \rangle^{\text{real}} = - \int \frac{d^4 k^-}{2k^-} \int d^2 k_{\perp} \tilde{L}_i^{\mu ba}(k, x_{\perp}, x_B) L_{\mu j}^{ab}(k, y_{\perp}, x_B)$$

Rapidity Divergence in real corrections

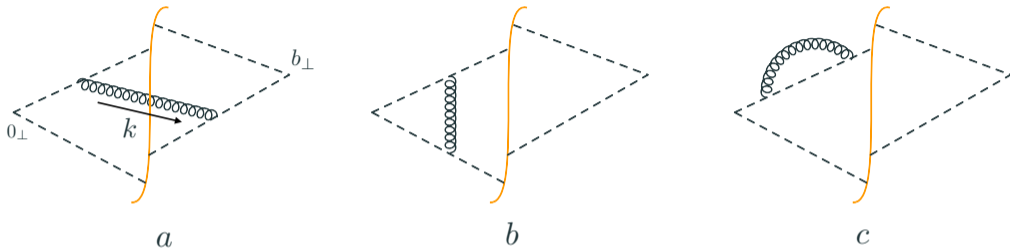


By kinematics of real correction: $z \geq x_B$

- MSTT only has Rapidity UV divergences in real corrections
- Simple computation shows that CSS log comes with μ_{IR}
- Need to combine with wilson line virtual correction diagram to get the shown μ_{UV} dependence



Soft factor and UV renormalization



$$S^{(1)}(b_\perp) = \frac{\alpha_s N_c}{2\pi} \left(\frac{2}{\epsilon_{UV}^2} + 4 \left(\frac{1}{\epsilon_{UV}} + L_b \right) \left(-\frac{1}{\eta} + \ln \frac{\mu}{\nu} \right) - L_b^2 - \frac{\pi^2}{6} \right)$$

$$Z_{UV} = 1 - \frac{\alpha_s N_c}{2\pi} \left[\frac{1}{\epsilon_{UV}^2} + \frac{1}{\epsilon_{UV}} \ln \left(\frac{\mu_{UV}^2}{(x_B P^+)^2} \right) \right]$$