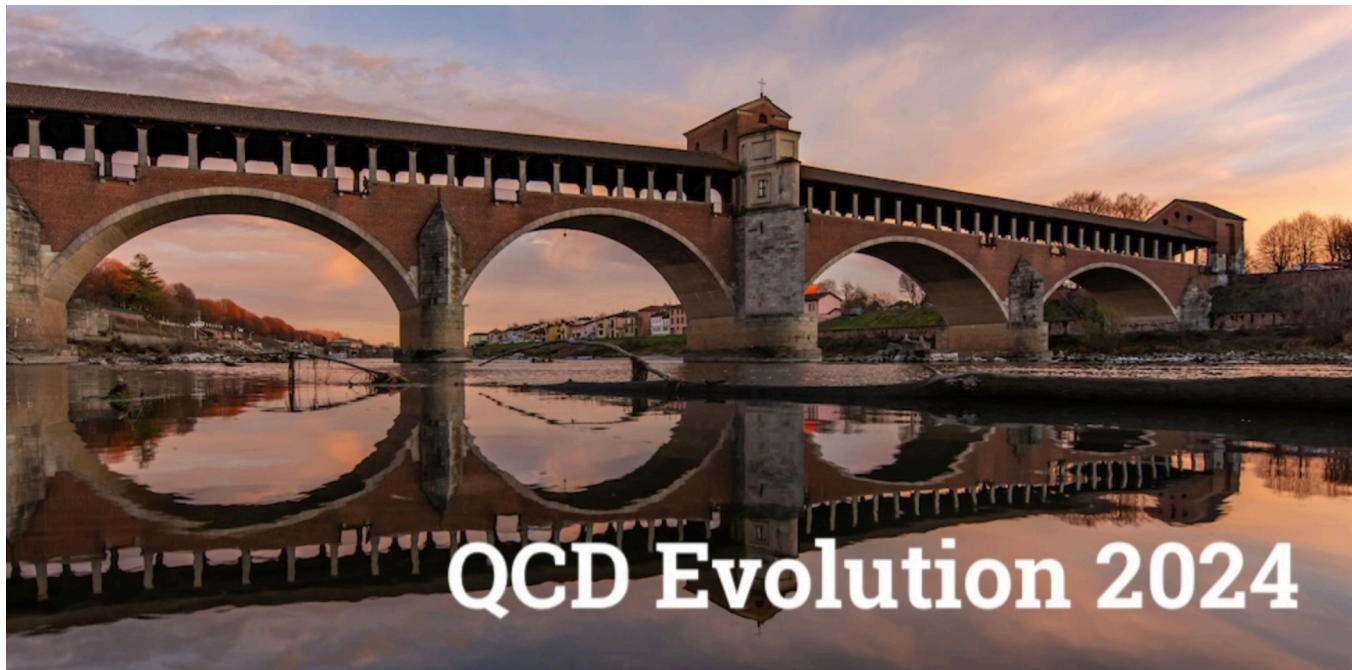



The Axial Current and its Divergence

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Outline

- Introduction and motivation
- Perturbative calculations involving the axial current
 - parton distribution
 - local current and form factor
 - generalized parton distributions
- Summary

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Introduction and Motivation

- Throughout we mostly consider QED, with one fermion type
- Vector current

$$J^\mu(x) = \bar{\psi}(x) \gamma^\mu \psi(x)$$

$$\partial_\mu J^\mu(x) = 0$$

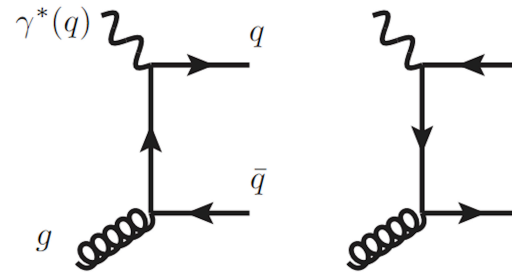
- Axial current

$$J_5^\mu(x) = \bar{\psi}(x) \gamma^\mu \gamma_5 \psi(x)$$

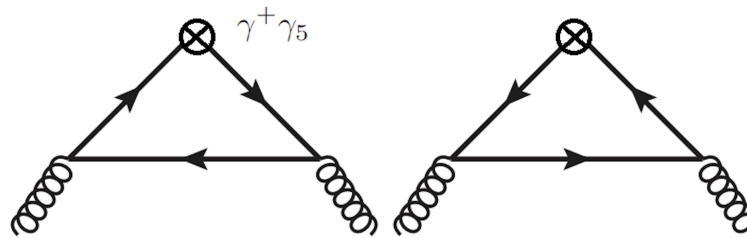
$$\partial_\mu J_5^\mu(x) = 2im \bar{\psi}(x) \gamma_5 \psi(x) - \frac{\alpha_{\text{em}}}{2\pi} F^{\mu\nu}(x) \tilde{F}_{\mu\nu}(x)$$

- axial current not conserved due to (i) nonzero fermion mass and (ii) chiral anomaly (Adler, 1969 / Bell, Jackiw, 1969 / Adler, Bardeen, 1969 / ...)
- chiral anomaly can be derived, e.g., by evaluating $J_5^\mu(x)$ between photon states
- chiral anomaly was intensively discussed in hadronic physics soon after discovery of nucleon spin crisis through DIS measurements

- Pioneering work (Altarelli, Ross, 1988 (AR) / Carlitz, Collins, Mueller, 1988 (CCM) / ...)
 - considering process $\gamma^* + g \rightarrow q + \bar{q}$



- extracting leading power-term of $1/q^2$ expansion and integrating upon x
 - calculation of local axial current



- overall conclusion: difference between measured ($\Delta\Sigma$) and “intrinsic” ($\Delta\tilde{\Sigma}$) quark-spin contributions

$$\Delta\Sigma = \Delta\tilde{\Sigma} - \frac{\alpha_s N_f}{2\pi} \Delta G$$

- * term proportional to ΔG due to chiral anomaly (?)
- * explanation of nucleon spin crisis (?)

- Critique of pioneering papers (Jaffe, Manohar, 1989 / Bodwin, Qiu, 1989 / ...)
 - main concern: result depends on infrared (IR) regulator
 - AR: nonzero quark mass m in denominators of propagators
 - CCM: nonzero off-shellness p^2 of gluons / find **zero** if $m \neq 0$ used throughout
 - this concern, and need for very large ΔG , raised severe doubts
- Recent renewed interest in field
 - (Tarasov, Venugopalan, 2021, 2022 / Bhattacharya, Hatta, Vogelsang, 2022, 2023)
 - considered also the x -dependence as opposed to x -integrated results only
 - statements include:
 - * need off-forward kinematics to capture physics of anomaly
 - * GPDs may have more robust connection to anomaly than PDFs
 - * anomaly manifests in pole contribution for $t = \Delta^2 \rightarrow 0$
 - * anomaly pole could challenge factorization (not stated in all papers)
 - papers reached important conclusions based on perturbative calculations
- Our motivations
 - revisit dependence of perturbative calculations on IR regulator
 - what role is played by fermion mass?
 - relation between “classic papers” and more recent work?

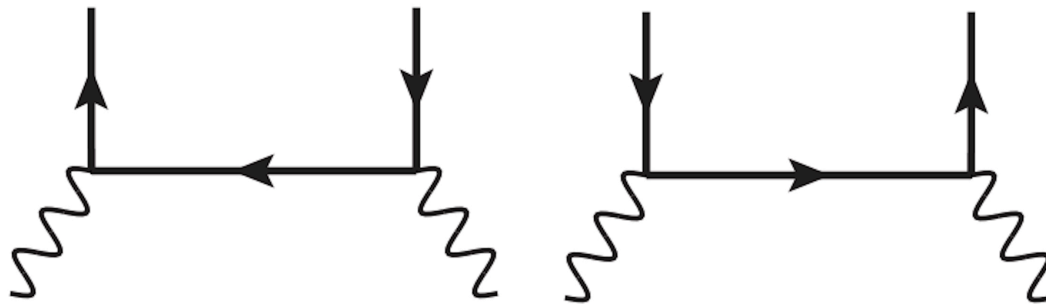
Parton Distribution in Perturbation Theory

- Definition of PDF

$$\begin{aligned}
 F_{\lambda, \lambda'}^{[\gamma^+ \gamma_5]}(x) &= \int \frac{dz^-}{4\pi} e^{ik \cdot z} \langle \gamma(p, \lambda') | \bar{\psi}(-\frac{z}{2}) \gamma^+ \gamma_5 \psi(\frac{z}{2}) | \gamma(p, \lambda) \rangle \Big|_{z^+ = 0, \vec{z}_\perp = \vec{0}_\perp} \\
 &= \frac{i}{p^+} \varepsilon^{+\varepsilon\varepsilon'p} g_1(x) = \frac{i}{p \cdot n} \varepsilon^{n\varepsilon\varepsilon'p} g_1(x)
 \end{aligned}$$

$$g_1(x) \sim \left(F_{+,+}^{[\gamma^+ \gamma_5]}(x) - F_{-,-}^{[\gamma^+ \gamma_5]}(x) \right) \quad \text{circularly polarized photons}$$

- Leading-order diagrams



- two diagrams contribute in different regions of x

- Result for $m \neq 0$ and off-shellness $p^2 < 0$, for $0 \leq x \leq 1$ ($\bar{\mu}^2 = 4\pi e^{-\gamma_E} \mu^2$)

$$g_1(x, \mu) = \frac{\alpha_{\text{em}}}{2\pi} \left[\left(\frac{1}{\varepsilon} + \ln \frac{\bar{\mu}^2}{m^2 - p^2 x(1-x)} \right) (1-2x) - \frac{p^2 x(1-x)}{m^2 - p^2 x(1-x)} \right] + \mathcal{O}(\varepsilon)$$

– $\int dx g_1$ provides total spin contribution

- UV behavior

- $g_1(x, \mu)$ UV-divergent, divergence regulated using dimensional regularization (DR)
- $\int dx g_1$ UV-finite, **does not depend on UV regulator**

- IR behavior

- $g_1(x, \mu)$ IR-divergent, divergence regulated using nonzero m and p^2
- result well behaved for $m \neq 0$ and $p^2 = 0$
- result well behaved for $m = 0$ and $p^2 \neq 0$, except for endpoints $x = 0, 1$
- also DR could be used as IR regulator; in that case

$$\int d^{n-2} \vec{k}_\perp \frac{1}{\vec{k}_\perp^2} \sim \frac{1}{\varepsilon_{\text{UV}}} - \frac{1}{\varepsilon_{\text{IR}}}$$

separation of UV and IR divergence needed, otherwise $g_1(x, \mu) = 0$

- $\int dx g_1$ IR-finite, and **does depend on IR regulator**

- Integral upon x
 - full result

$$\begin{aligned} \int_{-1}^1 dx g_1(x, \mu) &= \frac{\alpha_{\text{em}}}{\pi} \int_0^1 dx \frac{-p^2 x(1-x)}{m^2 - p^2 x(1-x)} \\ &= \frac{\alpha_{\text{em}}}{\pi} \left[1 - \int_0^1 dx \frac{2m^2(1-x)}{m^2 - p^2 x(1-x)} \right] \end{aligned}$$

- after $\alpha_{\text{em}} \rightarrow \frac{1}{2} \alpha_s N_f$, full agreement with CCM (1988)
- special cases

$$\int_{-1}^1 dx g_1(x, \mu) \Big|_{m \neq 0, p^2 = 0} = 0 \qquad \int_{-1}^1 dx g_1(x, \mu) \Big|_{m=0, p^2 \neq 0} = \frac{\alpha_{\text{em}}}{\pi}$$

- one can understand origin of

$$\Delta\Sigma = \Delta\tilde{\Sigma} - \frac{\alpha_s N_f}{2\pi} \Delta G$$

- also result of AR (1988) can be obtained by computing $\int dx g_1$ in their scheme

Local Axial Current in Perturbation Theory

- Divergence of axial current
 - recall operator

$$\partial_\mu J_5^\mu(x) = 2im \bar{\psi}(x) \gamma_5 \psi(x) - \frac{\alpha_{\text{em}}}{2\pi} F^{\mu\nu}(x) \tilde{F}_{\mu\nu}(x)$$

- matrix element of anomaly term $(P = \frac{1}{2}(p + p'), \Delta = p' - p)$

$$- \frac{\alpha_{\text{em}}}{2\pi} \langle \gamma(p', \lambda') | F^{\mu\nu}(0) \tilde{F}_{\mu\nu}(0) | \gamma(p, \lambda) \rangle = \frac{2\alpha_{\text{em}}}{\pi} \varepsilon^{\varepsilon\varepsilon'P\Delta} \rightarrow \Delta \neq 0 \text{ needed}$$

- matrix element of mass term $(\tau = -\Delta^2/m^2 > 0)$

$$2im \langle \gamma(p', \lambda') | \bar{\psi}(0) \gamma_5 \psi(0) | \gamma(p, \lambda) \rangle = - \frac{2\alpha_{\text{em}}}{\pi} \varepsilon^{\varepsilon\varepsilon'P\Delta} \frac{1}{\tau} \ln^2 \frac{\sqrt{\tau+4} - \sqrt{\tau}}{\sqrt{\tau+4} + \sqrt{\tau}}$$

$$\xrightarrow{\tau \rightarrow 0} - \frac{2\alpha_{\text{em}}}{\pi} \varepsilon^{\varepsilon\varepsilon'P\Delta} \text{ independent of } m (\neq 0)$$

→ for $\Delta^2 = 0$, exact cancellation between anomaly term and fermion mass term

- General structure of axial current

$$\Gamma_5^\mu = \langle \gamma(p', \lambda') | J_5^\mu(0) | \gamma(p, \lambda) \rangle = \sum_{i=1}^3 \tilde{G}_i(\Delta^2) A_i^\mu$$

$$A_1^\mu = i \varepsilon^{\mu \varepsilon \varepsilon' P}$$

$$A_2^\mu = \frac{i}{2\Delta^2} \Delta^\mu \varepsilon^{\varepsilon \varepsilon' P \Delta}$$

$$A_3^\mu = \frac{i}{\Delta^2} (\varepsilon \cdot P \varepsilon^{\mu \varepsilon' P \Delta} + \varepsilon' \cdot P \varepsilon^{\mu \varepsilon P \Delta})$$

A_2 and A_3 do not exhibit a pole for $\Delta^2 \rightarrow 0$

- Schouten identity

$$A_2^\mu = -\frac{1}{2} A_1^\mu + A_3^\mu$$

- Ward identities related to incoming/outgoing photon provide one more constraint

$$\Gamma_5^\mu = G(\Delta^2) A_2^\mu$$

→ local current is parametrized through just one form factor

- Axial current in perturbation theory
 - consider axial (anomalous) Ward identity

$$\langle J_5^\mu(x) \rangle = \Gamma_5^\mu(x) = \Gamma_5^\mu e^{i\Delta \cdot x}$$

$$\rightarrow \partial_\mu \Gamma_5^\mu(x) = i\Delta_\mu \Gamma_5^\mu e^{i\Delta \cdot x} = \langle \partial_\mu J_5^\mu(0) \rangle e^{i\Delta \cdot x}$$

- here, axial current fully determined by its divergence
- final result ($m \neq 0$ as IR regulator)

$$\Gamma_5^\mu = G(\Delta^2) \frac{i}{2\Delta^2} \Delta^\mu \varepsilon^{\varepsilon\varepsilon'P\Delta}$$

$$G(\Delta^2) = \frac{4\alpha_{\text{em}}}{\pi} \left[\frac{1}{\tau} \ln^2 \frac{\sqrt{\tau+4} - \sqrt{\tau}}{\sqrt{\tau+4} + \sqrt{\tau}} - 1 \right] \xrightarrow{\tau \rightarrow 0} 0$$

- * anomaly makes form factor vanish for $\Delta^2 = 0$
- * matrix element Γ_5^μ vanishes for $\Delta = 0$ for on-shell photons
- considering $\langle \partial_\mu J_5^\mu(0) \rangle$ is the easiest way to compute $G(\Delta^2)$

- Considering the forward limit
 - relation between PDF g_1 and form factor G

$$\int_{-1}^1 dx g_1(x, \mu) = -\frac{1}{4} G(0)$$

- by computing $G(0)$ (for nonzero m and p^2) we (again) find result of CCM (1988)

$$\int_{-1}^1 dx g_1(x, \mu) = \frac{\alpha_{\text{em}}}{\pi} \left[1 - \int_0^1 dx \frac{2m^2(1-x)}{m^2 - p^2 x(1-x)} \right]$$

- result for $\int dx g_1$ depends on anomaly and fermion mass term in $\partial_\mu J_5^\mu$
- for $m \neq 0$ and $p^2 = 0$, anomaly leads to $\Delta\Sigma = \Delta\tilde{\Sigma}$
- in scheme of AR (1988), one would neglect quark mass term in $\partial_\mu J_5^\mu$

$$\int_{-1}^1 dx g_1(x, \mu) = \frac{\alpha_{\text{em}}}{\pi}$$

→ explanation why (nonzero) results by AR (1988) and CCM (1988) agree (?)

Generalized Parton Distributions in Perturbation Theory

- Definition (using Schouten identities and Ward identities)

$$\begin{aligned}
 F_{\lambda, \lambda'}^{[\gamma^+ \gamma_5]}(x, \Delta) &= \int \frac{dz^-}{4\pi} e^{ik \cdot z} \langle \gamma(p', \lambda') | \bar{\psi}(-\frac{z}{2}) \gamma^+ \gamma_5 \psi(\frac{z}{2}) | \gamma(p, \lambda) \rangle \Big|_{z^+ = 0, \vec{z}_\perp = \vec{0}_\perp} \\
 &= B_1 \tilde{H}_1(x, \xi, \Delta^2) + B_2 \tilde{H}_2(x, \xi, \Delta^2)
 \end{aligned}$$

- agreement with BHV (2022, 2023) about number of independent terms
- structures B_i and gauge invariance (Ward identities)

$$B_1 \xrightarrow{\Delta \rightarrow 0} \frac{1}{P^+} A_1^+ \qquad B_2 = \frac{i}{2\Delta^2} \frac{\Delta \cdot n}{P \cdot n} \varepsilon^{\varepsilon \varepsilon' P \Delta} = \frac{1}{P^+} A_2^+$$

$$B_i(\varepsilon \rightarrow p) = B_i(\varepsilon' \rightarrow p') = 0$$

- to extract two GPDs, one can use circularly and linearly polarized photons

- Usage of nonzero Δ : (i) IR regulator; (ii) generates new structure
 - if no other IR regulator, one cannot recover forward limit of matrix element
- Forward limit, using (additional) IR regulator

$$\lim_{\Delta \rightarrow 0} F_{\lambda, \lambda'}^{[\gamma^+, \gamma_5]}(x, \Delta) = F_{\lambda, \lambda'}^{[\gamma^+, \gamma_5]}(x)$$

$$\tilde{H}_1(x, 0, 0) = g_1(x)$$

- Comparison with local current (form factor)
 - (see also Tarasov, Venugopalan, 2021, 2022 / Bhattacharya, Hatta, Vogelsang, 2022, 2023)

$$\int_{-1}^1 dx \tilde{H}_1(x, \xi, \Delta^2) = 0$$

$$\int_{-1}^1 dx \tilde{H}_2(x, \xi, \Delta^2) = \frac{1}{2} G(\Delta^2) \rightarrow \text{relation with anomaly}$$

- Our perturbative GPD results satisfy quoted constraints

- GPD results for $m = 0$ and $\Delta_{\perp} \neq 0$ (shown for $\xi \leq x \leq 1$ only)

$$\tilde{H}_1(x, \xi, \Delta^2) \sim \frac{\alpha_{\text{em}}}{2\pi} \left[\frac{1 - 2x + \xi^2}{1 - \xi^2} \left(\frac{1}{\varepsilon} - \ln \left(-\frac{\Delta^2}{\bar{\mu}^2} \right) - \ln \frac{(1-x)^2}{1 - \xi^2} \right) - 2 \frac{1-x}{1 - \xi^2} \right]$$

$$\tilde{H}_2(x, \xi, \Delta^2) \sim \frac{\alpha_{\text{em}}}{2\pi} \left[-2 \frac{1-x}{1 - \xi^2} \right]$$

- full agreement with results of BHV (2023)
- presence of $\ln(-\Delta^2/\bar{\mu}^2)$ prevents one from taking forward limit
- upon integration, results satisfy

$$\int_{-1}^1 dx \tilde{H}_1(x, \xi, \Delta^2) = 0 \quad \int_{-1}^1 dx \tilde{H}_2(x, \xi, \Delta^2) = \frac{1}{2} G(\Delta^2)$$

- $G(\Delta^2) = G(0)$ anomaly effect that was computed by CCM (1988) for $p^2 \neq 0$
- term $\sim \tilde{H}_2(x, \xi, \Delta^2)$ in $F_{\lambda, \lambda'}^{[\gamma^+, \gamma_5]}(x, \Delta)$ has no pole

- GPD results for $m \neq 0$ and $\Delta_{\perp} = 0$ (implies $\Delta^2 = 0$)

$$\tilde{H}_1^{\text{DGLAP}}(x, \xi, 0) = \frac{\alpha_{\text{em}}}{2\pi} \left(\frac{1}{\varepsilon} + \ln \frac{\bar{\mu}^2}{m^2} \right) \frac{1 - 2x + \xi^2}{1 - \xi^2} \quad (\xi \leq x \leq 1)$$

$$\tilde{H}_1^{\text{ERBL}}(x, \xi, 0) = \frac{\alpha_{\text{em}}}{2\pi} \left(\frac{1}{\varepsilon} + \ln \frac{\bar{\mu}^2}{m^2} \right) \frac{(1 - \xi)(x + \xi)}{2\xi(1 + \xi)} + (x \rightarrow -x)$$

$$\tilde{H}_2^{\text{DGLAP}}(x, \xi, 0) = \tilde{H}_2^{\text{ERBL}}(x, \xi, 0) = 0$$

- for $\xi \rightarrow 0$, we recover result for $g_1(x)$
- $\int dx \tilde{H}_1 = \int dx \tilde{H}_2 = 0$, in agreement with $G(0)|_{m \neq 0} = 0$

- GPD results for $m \neq 0$ and $\Delta_{\perp} \neq 0$
 - for $\Delta_{\perp} \rightarrow 0$, we recover results above
 - again, we find agreement with

$$\int_{-1}^1 dx \tilde{H}_1(x, \xi, \Delta^2) = 0 \quad \int_{-1}^1 dx \tilde{H}_2(x, \xi, \Delta^2) = \frac{1}{2} G(\Delta^2)$$

Summary

- Potential imprints of chiral anomaly in polarized DIS and DVCS have been discussed in literature
- We confirm “classic” results by AR (1988) and CCM (1988) for DIS

$$\Delta\Sigma = \Delta\tilde{\Sigma} - \frac{\alpha_s N_f}{2\pi} \Delta G$$

- Perturbative results (for PDF, FF, GPDs) depend on IR scheme
- Going from $m = 0$ to $m \neq 0$ can qualitatively change results
- How to embed anomaly-related perturbative results in full process has been a matter of debate
- Additional contribution arises for $\Delta \neq 0$
(Tarasov, Venugopalan, 2021, 2022 / Bhattacharya, Hatta, Vogelsang, 2022, 2023)
- Additional contribution has no pole for $\Delta \rightarrow 0$ (and no challenge for factorization)
- Perturbative calculations show that imprints of anomaly can be seen by
(i) using off-shell photons and/or (ii) going to off-forward kinematics