



Simultaneous Bayesian reweighting of TMDs

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M. Boglione, U. D'Alesio, CF, J.O. Gonzalez-Hernandez, F. Murgia, A. Prokudin,
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 - probing TMD effects in **complementary kinematical regions** with respect to semi-inclusive processes

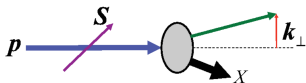
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 - studying universality and factorization breaking effects

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 - probing TMD effects in **complementary kinematical regions** with respect to semi-inclusive processes
 - studying universality and factorization breaking effects
- plenty of data available from RHIC

Introduction - the Sivers function



D.W. Sivers, PRD 41 (1990) 83 & PRD 43 (1991) 261

$$\begin{aligned}
 & f_{a/p\uparrow}(x, \mathbf{k}_\perp) - f_{a/p\uparrow}(x, -\mathbf{k}_\perp) \\
 &= \Delta^N f_{a/p\uparrow}(x, k_\perp^2) \frac{(\hat{\mathbf{p}} \times \mathbf{k}_\perp) \cdot \mathbf{S}}{|\mathbf{k}_\perp|} \quad (\text{TO - CA}) \\
 &= -\frac{2|\mathbf{k}_\perp|}{M} f_{1T}^{\perp a}(x, k_\perp^2) \frac{(\hat{\mathbf{p}} \times \mathbf{k}_\perp) \cdot \mathbf{S}}{|\mathbf{k}_\perp|} \quad (\text{Amsterdam})
 \end{aligned}$$

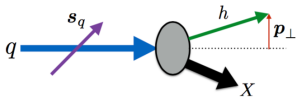
- genuine TMD distribution
- express correlation between proton transverse polarization and parton intrinsic \mathbf{k}_\perp
- extracted from SIDIS azimuthal asymmetries:

$$A_{UT}^{\sin(\phi_h - \phi_s)} \equiv \frac{F_{UT}^{\sin(\phi_h - \phi_s)}}{F_{UU,T}} = \frac{C [f_{1T}^{\perp q} D_1^q]}{C [f_1^q D_1^q]}$$

- modified universality/process dependence:

$$f_{1T}^{\perp q[\text{DY}]}(x, k_\perp^2) = -f_{1T}^{\perp q[\text{SIDIS}]}(x, k_\perp^2)$$

Introduction - the Collins function



J.C. Collins, NPB 396:161-182 (1993)

$$\begin{aligned}
 & D_{h/q^\uparrow}(z, \mathbf{p}_\perp) - D_{h/q^\uparrow}(z, -\mathbf{p}_\perp) \\
 &= \Delta^N D_{h/q^\uparrow}(z, p_\perp^2) \frac{(\hat{\mathbf{p}}_q \times \mathbf{p}_\perp) \cdot \mathbf{s}_q}{|\mathbf{p}_\perp|} \quad (\text{TO - CA}) \\
 &= -\frac{2|\mathbf{p}_\perp|}{zm_h} H_1^{\perp q}(z, p_\perp^2) \frac{(\hat{\mathbf{p}}_q \times \mathbf{p}_\perp) \cdot \mathbf{s}_q}{|\mathbf{p}_\perp|} \quad (\text{Amsterdam})
 \end{aligned}$$

- genuine TMD fragmentation function
- express correlation between quark transverse polarization and produced hadron \mathbf{p}_\perp
- extracted, together with **TMD transversity** h_1^q , in global fits of SIDIS azimuthal asymmetries:

$$A_{UT}^{\sin(\phi_h + \phi_S)} = \frac{2(1-y)}{1+(1-y)^2} \frac{F_{UT}^{\sin(\phi_h + \phi_S)}}{F_{UU,T}} \sim \frac{C [h_1^q H_1^{\perp q}]}{C [f_1^q D_1^q]}$$

and $e^+e^- \rightarrow h_1 h_2 X$ azimuthal asymmetries (double ratio):

$$\frac{R_0^U}{R_0^{L(C)}} = \frac{1 + P_0^U \cos(2\phi_1)}{1 + P_0^{L(C)} \cos(2\phi_1)} \simeq 1 + \cos(2\phi_1) A_0^{UL(UC)} \sim C [H_1^{\perp q} H_1^{\perp q}]$$

Introduction - formalism

U. D'Alesio, F. Murgia PRD 70 (2004) 074009; M. Anselmino *et al.*, PRD 73 (2006) 014020
L. Gamberg, Z.-B. Kang, PLB 696 (2011) 109; U. D'Alesio *et al.*, PRD 96 (2017) 036011 ...

- $p \uparrow p \rightarrow h X$ processes can be described within the GPM, where a factorized formulation in terms of TMDs is assumed as a starting point

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- a color gauge invariant formulation of GPM (CGI-GPM) was developed, with inclusion of initial and final state interaction; process dependence of the Sivers function is recovered

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- a **color gauge invariant** formulation of GPM (**CGI-GPM**) was developed, with inclusion of initial and final state interaction; **process dependence of the Sivers function is recovered**
- A_N in $p^\uparrow p \rightarrow h X$:

$$A_N = \frac{d\sigma^\uparrow - d\sigma^\downarrow}{d\sigma^\uparrow + d\sigma^\downarrow} = \frac{d\Delta\sigma}{2d\sigma} \simeq \frac{d\Delta\sigma_{\text{Siv}} + d\Delta\sigma_{\text{Col}}}{2d\sigma}$$

with

$$d\Delta\sigma_{\text{Siv}}^{\text{CGI-GPM}} \propto \sum_{a,b,c,d} f_{1T}^{\perp a}(x_a, k_{\perp a}) \otimes f_{b/p}(x_b, k_{\perp b}) \otimes H_{ab \rightarrow cd}^{\text{Inc}} \otimes D_{h/c}(z, k_{\perp h})$$

$$d\Delta\sigma_{\text{Col}} \propto \sum_{a,b,c,d} h_{1a}(x_a, k_{\perp a}) \otimes f_{b/p}(x_b, k_{\perp b}) \otimes d\Delta\sigma^{a^\uparrow b \rightarrow c^\uparrow d} \otimes H_1^{\perp c}(z, k_{\perp h})$$

and

$$d\sigma \propto \sum_{a,b,c,d} f_{a/p}(x_a, k_{\perp a}) \otimes f_{b/p}(x_b, k_{\perp b}) \otimes H_{ab \rightarrow cd}^U \otimes D_{h/c}(z, k_{\perp h})$$

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- GPM results (Sivers): $H_{ab \rightarrow cd}^{\text{Inc}} \rightarrow H_{ab \rightarrow cd}^U$

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- GPM results (Sivers): $H_{ab \rightarrow cd}^{\text{Inc}} \rightarrow H_{ab \rightarrow cd}^U$
- **gluon Sivers effect negligible** in the region of moderate and forward rapidity

The reweighting method

W.T. Giele, S. Keller PRD 58 (1998) 094023; R.D. Ball *et al.*, NPB 849 (2011) 112

N. Sato, J. Owens, H. Prosper, PRD 89 (2014) 114020; H. Paukkunen, P. Zurita, JHEP 12 (2014) 100

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how to extend the Bayesian reweighting to multiple, independent fits?

Simultaneous Bayesian reweighting

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- consider two independent functions $f(\mathbf{a})$ and $g(\mathbf{b})$, depending on n_a and n_b parameters respectively

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- consider **two independent functions** $f(\mathbf{a})$ and $g(\mathbf{b})$, depending on n_a and n_b parameters respectively
- f and g extracted from fits to independent datasets \mathbf{E}^a and \mathbf{E}^b through χ^2 -minimization:

$$\chi_a^2 \equiv \chi^2[\mathbf{a}; \mathbf{E}^a] = \sum_{i,j=1}^{N_{\text{dat}}^a} (T_i[\mathbf{a}] - E_j^a) (C_{ij}^a)^{-1} (T_j[\mathbf{a}] - E_j^a)$$

$$\chi_b^2 \equiv \chi^2[\mathbf{b}; \mathbf{E}^b] = \sum_{i,j=1}^{N_{\text{dat}}^b} (T_i[\mathbf{b}] - E_j^b) (C_{ij}^b)^{-1} (T_j[\mathbf{b}] - E_j^b)$$

and **probability density functions** $\pi(\mathbf{a})$, $\pi(\mathbf{b})$ reconstructed by generating N_{set}^a \mathbf{a}_k and N_{set}^b \mathbf{b}_l MC sets respectively

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- a **new dataset** \mathbf{E} is measured; data described by e.g. $T_i[\mathbf{a}, \mathbf{b}] \equiv \alpha T_i[\mathbf{a}] + \beta T_i[\mathbf{b}]$. Define

$$\chi_{\text{new}}^2[\mathbf{a}, \mathbf{b}; \mathbf{E}] = \sum_{i,j=1}^{N_{\text{dat}}} (T_i[\mathbf{a}, \mathbf{b}] - E_j) C_{ij}^{-1} (T_j[\mathbf{a}, \mathbf{b}] - E_j)$$

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- uncertainty on $T_i[\mathbf{a}, \mathbf{b}]$ by taking all possible ($N_{\text{set}}^a \times N_{\text{set}}^b$) combinations
 $\Rightarrow (N_{\text{set}}^a \times N_{\text{set}}^b)$ values $\chi_{\text{new}}^2 \equiv \chi_{kl,\text{new}}^2 = \chi_{\text{new}}^2[\mathbf{a}_k, \mathbf{b}_l; \mathbf{E}]$

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- **Posterior density** through **Bayes theorem**:

$$\mathcal{P}(\mathbf{a}, \mathbf{b} | \mathbf{E}) = \frac{\mathcal{L}(\mathbf{E} | \mathbf{a}, \mathbf{b}) \pi(\mathbf{a}, \mathbf{b})}{Z}$$

with factorized prior $\pi(\mathbf{a}, \mathbf{b}) = \pi(\mathbf{a})\pi(\mathbf{b})$ (extractions *a priori* independent)

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- we take $\mathcal{L}(\mathbf{E} | \mathbf{a}, \mathbf{b}) d\mathbf{E}$ as probability to find new data confined in a differential volume $d\mathbf{E}$ around \mathbf{E} ; **weights** are then defined as

[H. Paukkunen, P. Zurita, JHEP 12 (2014) 100]

$$w_{kl}(\chi_{\text{new}}^2) = \exp \left\{ -\frac{1}{2} \frac{\chi_{kl, \text{new}}^2}{\Delta\chi^2} \right\} / \sum_{k', l'} \exp \left\{ -\frac{1}{2} \frac{\chi_{k'l', \text{new}}^2}{\Delta\chi^2} \right\}$$

$[\Delta\chi^2$ for $n_a + n_b$ parameters at a given CL]

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- expectation value and variance for a quantity \mathcal{O} (symmetric)

$$E[\mathcal{O}] = \sum_{k=1}^{N_{\text{set}}^a} \sum_{l=1}^{N_{\text{set}}^b} w_{kl} \mathcal{O}(\mathbf{a}_k, \mathbf{b}_l) \quad V[\mathcal{O}] = \sum_{k=1}^{N_{\text{set}}^a} \sum_{l=1}^{N_{\text{set}}^b} w_{kl} (\mathcal{O}(\mathbf{a}_k, \mathbf{b}_l) - E[\mathcal{O}])^2$$

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- if \mathcal{O} depends only on \mathbf{a} or \mathbf{b} , then use weights

$$w_k = \sum_{l=1}^{N_{\text{set}}^b} w_{kl} \quad w_l = \sum_{k=1}^{N_{\text{set}}^a} w_{kl}$$

A compression procedure

B. Bauer, D. Pitonyak, C.Shay, PRD 107 (2023) 014013

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- $N_{\text{set}}^a \times N_{\text{set}}^b$ can be very large
- from the full sample of MC sets, randomly sample $N_{\text{set}}^{a'} \ll N_{\text{set}}^a$ $\mathbf{a}'_{k'}$ sets

A compression procedure

B. Bauer, D. Pitonyak, C.Shay, PRD 107 (2023) 014013
M. Boglione, U. D'Alesio, CF, J.O. Gonzalez-Hernandez, F. Murgia, A. Prokudin, PLB 854 (2024) 138712

- $N_{\text{set}}^a \times N_{\text{set}}^b$ can be very large
- from the full sample of MC sets, randomly sample $N_{\text{set}}^{a'} \ll N_{\text{set}}^a$ $\mathbf{a}'_{k'}$ sets
- if $\pi(\mathbf{a}'_{k'}) \simeq \pi(\mathbf{a}_k)$, we expect $\pi(\mathcal{O}(\mathbf{a}'_{k'})) \simeq \pi(\mathcal{O}(\mathbf{a}_k))$

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$$t = \frac{\mu_{\mathbf{a}} - \mu_{\mathbf{a}'}}{\sqrt{\frac{\sigma_{\mathbf{a}}^2}{N_{\text{set}}^a} + \frac{\sigma_{\mathbf{a}'}^2}{N_{\text{set}}^{a'}}}}$$

- $|t|$ with corresponding **p-value** $\gtrsim 0.1 \Rightarrow$ **statistically equivalent distributions**

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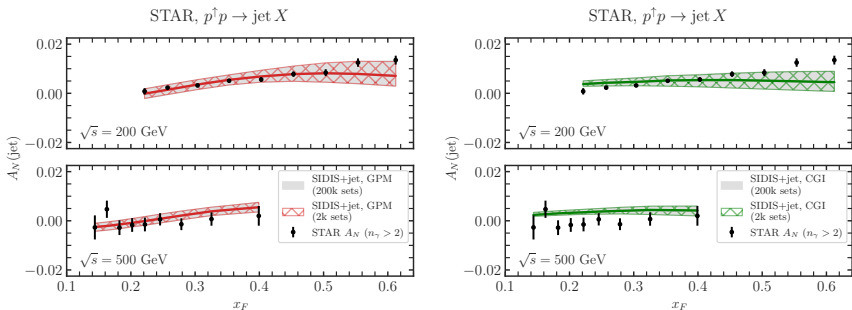
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- $|t|$ with corresponding **p-value** $\gtrsim 0.1 \Rightarrow$ **statistically equivalent distributions**
- underlying assumption: Gaussian probability distributions
- **our strategy:**
 - employ t-test to find an optimal size for a representative sample
 - compare also median and asymmetric uncertainty of samples

A compression procedure - validation

J. Adam et al. (STAR Collaboration), PRD 103 (2021) 092009

M. Boglione, U. D'Alesio, CF, J.O. Gonzalez-Hernandez, F. Murgia, A. Prokudin, PLB 815 (2021) 136135



- we apply the compression procedure and reproduce reweighting performed on A_N for jet production at STAR with a reduced sample of MC sets
- reweighted predictions from full sample (200k sets, gray) and reduced sample (2k sets, GPM and CGI-GPM)
- 2000 sets enough to reproduce same results!

same happens for unweighted predictions (not shown)

Priors from SIDIS and e^+e^- data

M. Boglione, U. D'Alesio, CF, J.O. Gonzalez-Hernandez, F. Murgia, A. Prokudin, PLB 854 (2024) 138712

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M. Boglione, U. D'Alesio, CF, J.O. Gonzalez-Hernandez, JHEP 07 (2018) 148
 - transversity and Collins functions (8 parameters)
U. D'Alesio, CF, A. Prokudin, PLB 803 (2020) 135347

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U. D'Alesio, CF, A. Prokudin, PLB 803 (2020) 135347
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U. D'Alesio, CF, A. Prokudin, PLB 803 (2020) 135347
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- apply compression procedure by randomly sampling **2000 sets for $f_{1T}^{\perp q}$ and 2000 sets for h_1^q & $H_1^{\perp q}$**
 h_1^q fulfil the Soffer Bound, applied a posteriori **U. D'Alesio, CF, A. Prokudin, PLB 803 (2020) 135347**

Results

M. Boggione, U. D'Alesio, CF, J.O. Gonzalez-Hernandez, F. Murgia, A. Prokudin, PLB 854 (2024) 138712

- The simultaneous reweighting is performed on A_N data:
 - BRAHMS for π^\pm production at $\sqrt{s} = 200$ GeV
allow for a direct flavor separation
 - STAR for π^0 production at $\sqrt{s} = 200$ GeV
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$$0.1 \lesssim x_F \lesssim 0.7$$

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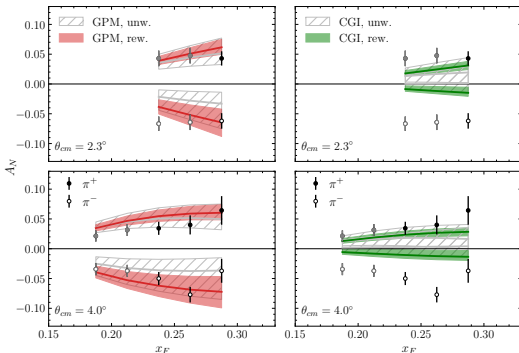
- $P_T > 1$ GeV as hard scale of the process
- median as central value, 2σ CL asymmetric uncertainties; a total of 13 parameters $\Rightarrow \Delta\chi^2 = 22.69$ in the computation of w_{rl}

Results - BRAHMS

J. H. Lee, F. Videbæk, AIP Conf. Proc. 915, 533–538 (2007)

M. Boglione, U. D'Alesio, CF, J.O. Gonzalez-Hernandez, F. Murgia, A. Prokudin, PLB 854 (2024) 138712

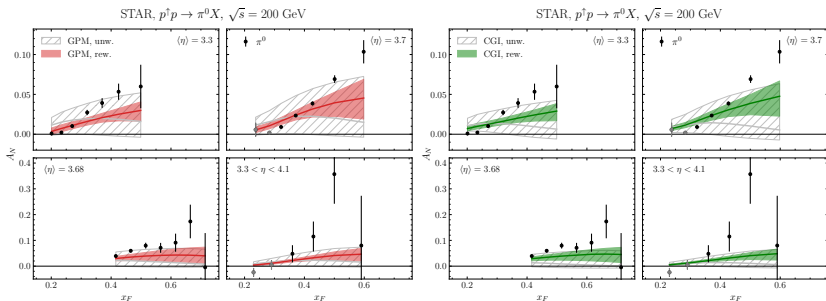
BRAHMS, $\sqrt{s} = 200$ GeV



- reweighted curves with reduced uncertainties
- GPM describes these data better than CGI-GPM
- quality of description increases if data with $P_T < 1.5$ GeV (gray points) is not considered

Results - STAR (I)

B. I. Abelev et al., PRL 101, 222001 (2008); J. Adams et al., PRL 92 171801 (2004); L. Adamczyk et al., PRD 86 (2012) 051101
M. Boglione, U. D'Alesio, CF, J.O. Gonzalez-Hernandez, F. Murgia, A. Prokudin, PLB 854 (2024) 138712



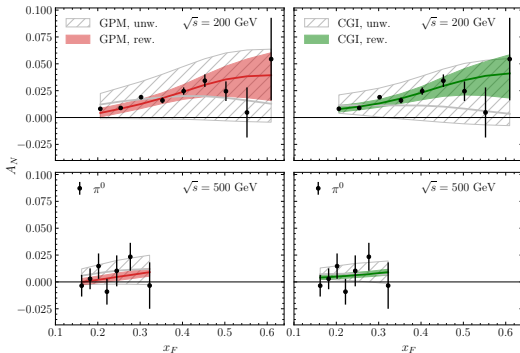
- both GPM and CGI-GPM in qualitative agreement with the data
- reweighted bands able to describe data at moderate x_F
- shape better representing the steady increase of A_N at large x_F

Results - STAR (II)

J. Adams et al., PRD 103 (9) (2021) 092009

M. Boglione, U. D'Alesio, CF, J.O. Gonzalez-Hernandez, F. Murgia, A. Prokudin, PLB 854 (2024) 138712

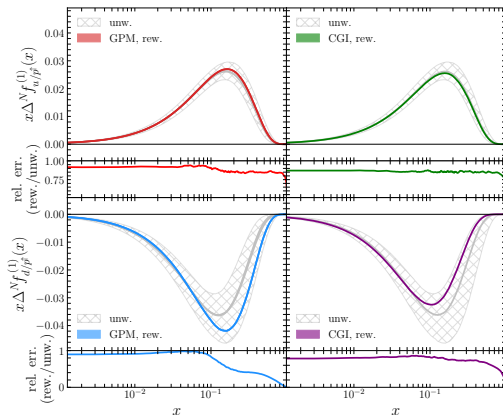
STAR, $p^\uparrow p \rightarrow \pi^0 X$, $2.7 < \eta < 4.0$



- data not showing the usual steady increase at large x_F
- reweighted curves describe the data
- if reweighting was performed on these data solely, bands would be flatter

Results - Sivers function

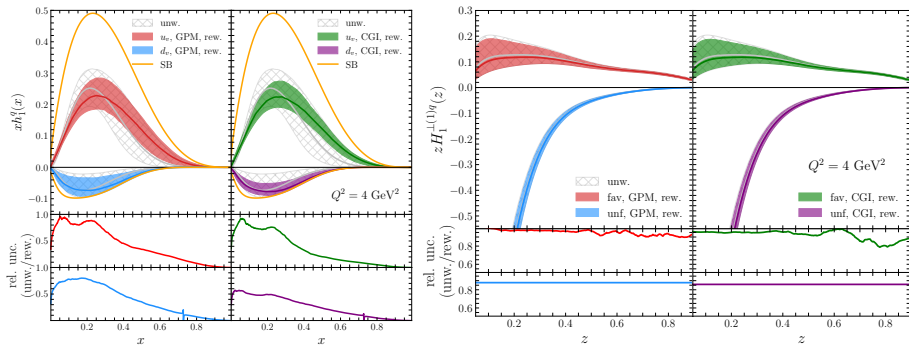
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- reduced uncertainties, especially at large x
- relative reduction up to 20 – 30% for $f_{17}^{\perp u}$ and 40 – 90% for $f_{17}^{\perp d}$

Results - transversity & Collins

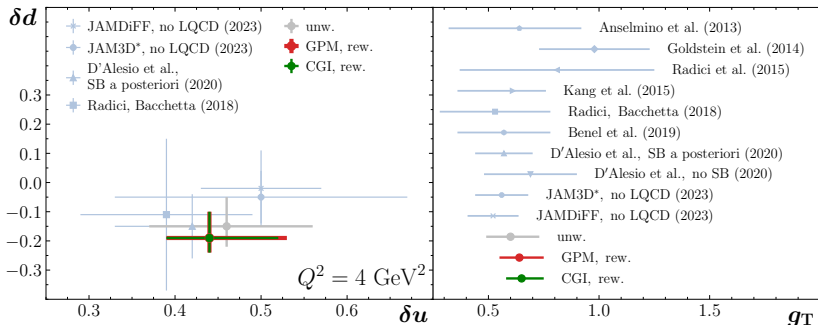
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- A_N data mainly affecting the transversity function
- reweighted transversity functions follow Soffer Bound rather closely at large x
- uncertainty reduction up to 80 – 90% for h_1^q at large x , $\sim 10 - 15\%$ for $H_1^{\perp(1)q}$
- dominant contribution to A_N from the Collins mechanism

Results - tensor charges

M. Boglione, U. D'Alesio, CF, J.O. Gonzalez-Hernandez, F. Murgia, A. Prokudin, PLB 854 (2024) 138712



$$\delta q = \int_0^1 [h_1^q(x) - h_1^{\bar{q}}(x)] dx, \quad g_T = \delta u - \delta d$$

- consistency of different h_1^q extractions within different approaches exploiting a variety of experimental data

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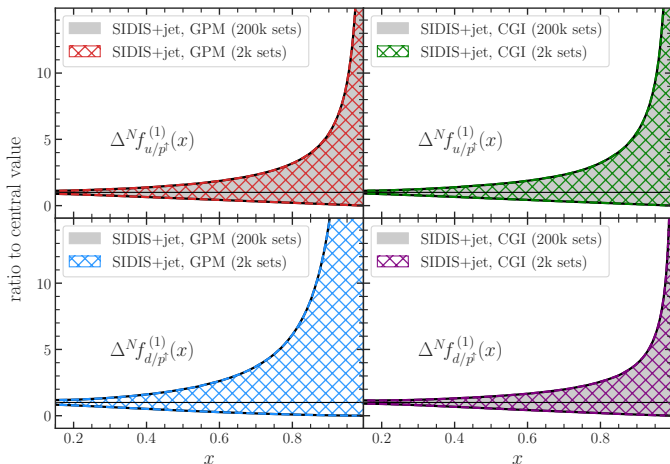
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Thank you

Backup

Validating the compression algorithm

M. Boglione, U. D'Alesio, CF, J.O. Gonzalez-Hernandez, F. Murgia, A. Prokudin, PLB 854 (2024) 138712



correctly reproduce median and asymmetric uncertainties with only 2000 sampled sets!

Priors - parametrizations

- MSHT20nlo proton PDFs and DEHSS FFs
- Sivers (5 parameters):

$$\Delta_{f_{q/p^\dagger}}^{N_f}(x, k_\perp) = \frac{4M_p k_\perp}{\langle k_\perp^2 \rangle_S} \Delta_{f_{q/p^\dagger}}^{N_f(1)}(x) \frac{e^{-k_\perp^2 / \langle k_\perp^2 \rangle_S}}{\pi \langle k_\perp^2 \rangle_S} \quad (q = u, d)$$
$$\Delta_{f_{q/p^\dagger}}^{N_f(1)}(x) = N_q (1-x)^{\beta_q}$$

- transversity and Collins (8 parameters)

$$h_1^q(x, k_\perp^2) = h_1^q(x) \frac{e^{-k_\perp^2 / \langle k_\perp^2 \rangle}}{\pi \langle k_\perp^2 \rangle} \quad (q = u_v, d_v)$$

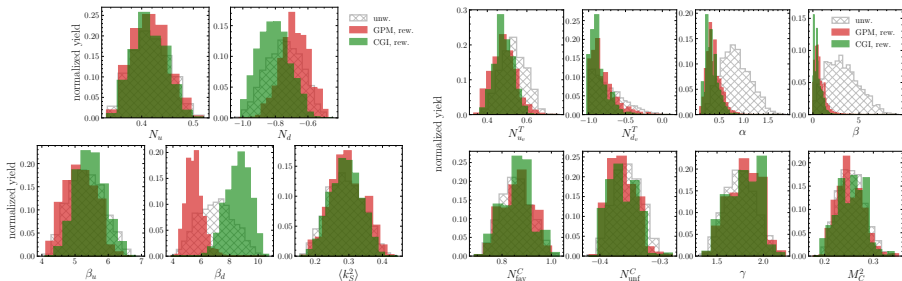
$$h_1^q(x, Q_0^2) \equiv \mathcal{N}_q^T(x) \text{SB}(x, Q_0^2), \quad \mathcal{N}_q^T(x) = N_q^T x^\alpha (1-x)^\beta \frac{(\alpha + \beta)^{\alpha + \beta}}{\alpha^\alpha \beta^\beta}$$

$$H_1^{\perp q}(z, p_\perp^2) = \mathcal{N}_q^C(z) \frac{z m_h}{M_C} \sqrt{2e} e^{-p_\perp^2 / M_C^2} D_{h/q}(z, p_\perp^2) \quad (q = \text{fav}, \text{unf})$$

$$\mathcal{N}_{\text{fav}}^C(z) = N_{\text{fav}}^C z^\gamma, \quad \mathcal{N}_{\text{unf}}^C(z) = N_{\text{unf}}^C$$

Results - parameter distributions

M. Boglione, U. D'Alesio, CF, J.O. Gonzalez-Hernandez, F. Murgia, A. Prokudin, PLB 854 (2024) 138712



Results - N_{eff}

M. Boglione, U. D'Alesio, CF, J.O. Gonzalez-Hernandez, F. Murgia, A. Prokudin, PLB 854 (2024) 138712

$$N_{\text{eff}} = \exp \left\{ \sum_{k=1}^{N_{\text{set}}} w_k \ln \left(\frac{1}{w_k} \right) \right\}$$

- N_{eff} from the reweighting procedure on **BRAHMS, older and latest STAR data**:

	GPM	CGI-GPM
$f_{1T}^{\perp q}$	547	706
h_1^q & $H_1^{\perp q}$	285	110

- N_{eff} from the reweighting procedure on **latest STAR data only**:

	GPM	CGI-GPM
$f_{1T}^{\perp q}$	1807	1961
h_1^q & $H_1^{\perp q}$	1877	1514

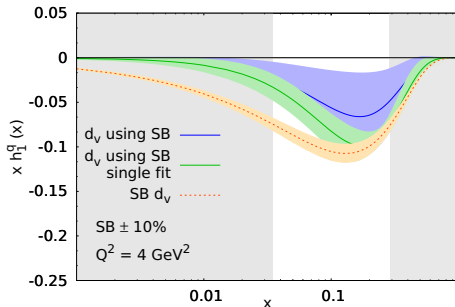
Results - tensor charges

M. Boglione, U. D'Alesio, CF, J.O. Gonzalez-Hernandez, F. Murgia, A. Prokudin, PLB 854 (2024) 138712

Tensor charges at $Q^2 = 4 \text{ GeV}^2$:

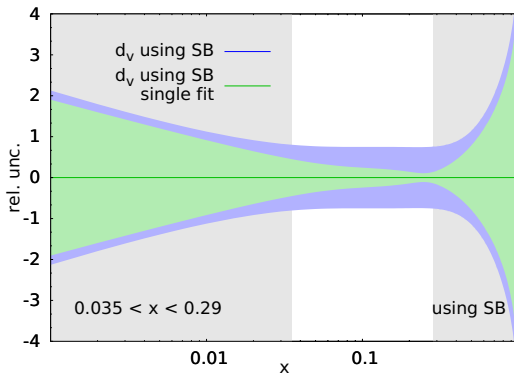
	unw.	rew. (GPM)	rew. (CGI-GPM)
δu	$0.46^{+0.10}_{-0.09}$	$0.47^{+0.09}_{-0.07}$	$0.47^{+0.08}_{-0.05}$
δd	$-0.15^{+0.10}_{-0.07}$	$-0.18^{+0.10}_{-0.06}$	$-0.19^{+0.07}_{-0.05}$
g_T	$0.60^{+0.13}_{-0.11}$	$0.64^{+0.11}_{-0.09}$	$0.65^{+0.10}_{-0.07}$

Transversity and Collins fit - role of the SB



- “using SB single fit”: apply SB a priori – automatic fulfillment of the SB throughout the fit
⇒ $N_{d_v}^T$ saturates at its lower value, MINUIT underestimates the uncertainty on $N_{d_v}^T$ ⇒ uncertainty for $h_1^{d_v}$ underestimated
- “using SB”: apply SB a posteriori ⇒ minimizer explores other configurations in the parameter space, compatible with the SB, that were not seen due to the bias introduced in the parametrization

Fit results - using SB

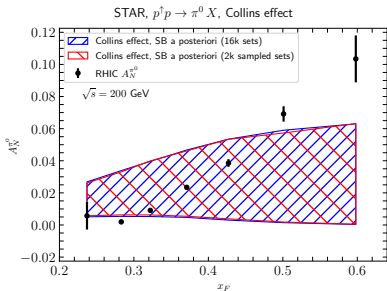
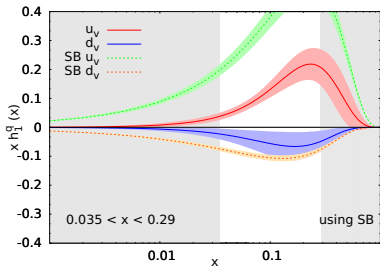


- automatic fulfillment of the SB brings to underestimate the uncertainty
- underestimation is more severe in the region of fitted data

Results - Soffer Bound

U. D'Alesio, CF, A. Prokudin, PLB 803 (2020) 135347

M. Boglione, U. D'Alesio, CF, J.O. Gonzalez-Hernandez, F. Murgia, A. Prokudin, PLB 854 (2024) 138712



- SB applied a posteriori with constraints on fit parameters \Rightarrow less biased estimate of uncertainty
- out of $\mathcal{O}(10^5)$ sets, $\sim 10\%$ respect the SB \Rightarrow sampled 2000 sets using the compression algorithm
- predictions for π^0 production at STAR (full vs sampled) are compatible within uncertainty
- large asymmetries for the Collins effect not seen in the past due to direct SB enforcement