

Progress in Understanding Heavy Quark Fragmentation in the Transverse Plane

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[2305.15461, 2404.08622, and work in progress]

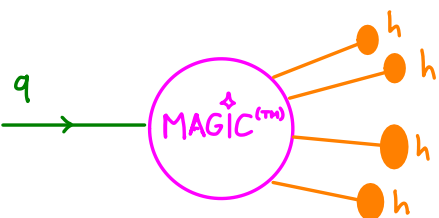
QCD Evolution 2024

Pavia, May 27, 2024

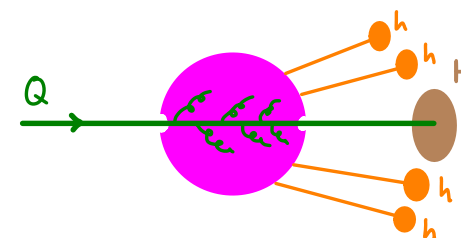


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Motivation: Probe Hadronization in Depth



Heavy-quark fragmentation
 \Leftrightarrow
Response to static color source



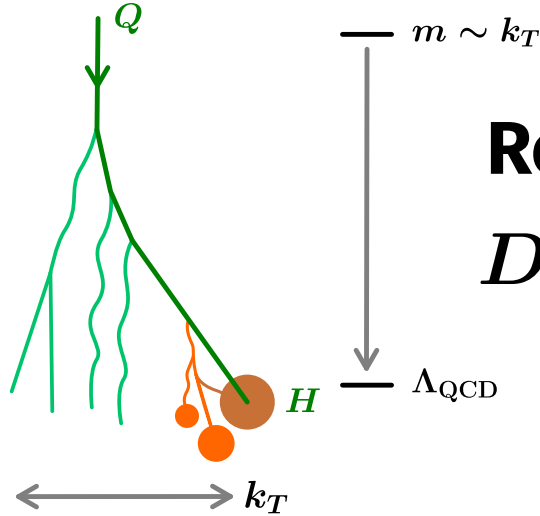
Heavy-quark TMD FFs $D_1^{H/Q}(z_H, k_T)$ $\left\{ \begin{array}{l} \dots \text{provide a 3D picture of this} \\ \dots \text{are a universal building block} \end{array} \right.$

Overview of 2305.15461: Heavy-quark TMD FFs



JHEP 09 (2023) 205 with Rebecca von Kuk (DESY) & Zhiquan Sun (MIT)

[→ See e.g. talk at CFNS TMD Workshop in June '23]



Regime (a)

$$D_{1H/Q}(z_H, k_T) = d_{1Q/Q}(z_H, k_T) \chi_H$$

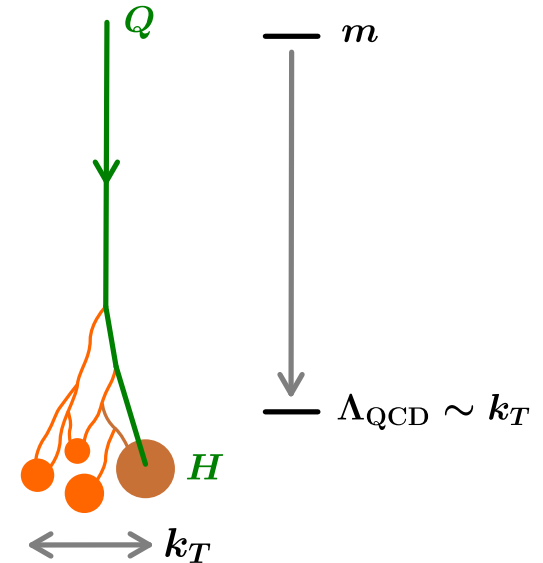
Regime (b)

$$D_{1H/Q} = \delta(\bar{z}_H) C_m(m) N_{H/\ell} \chi_{1,\ell}(k_T)$$

$$H_{1H/Q}^\perp = \delta(\bar{z}_H) C_m(m) N_{H/\ell} \chi_{1,\ell}^\perp(k_T)$$

$$\bar{z}_H \equiv 1 - z_H$$

HQET “TMD Fragmentation Factors”



[See talks by S. Romero, L. Maxia on Wed for progress on TMD FFs from NRQCD]

Overview of today's talk

- 1 Transverse Momentum-Dependent Heavy-Quark Fragmentation at Next-to-Leading Order
- 2 How Many Structure Functions Characterize Polarized Heavy Quark Fragmentation in the Transverse Plane?

Overview of today's talk

1

Transverse Momentum-Dependent Heavy-Quark Fragmentation at Next-to-Leading Order

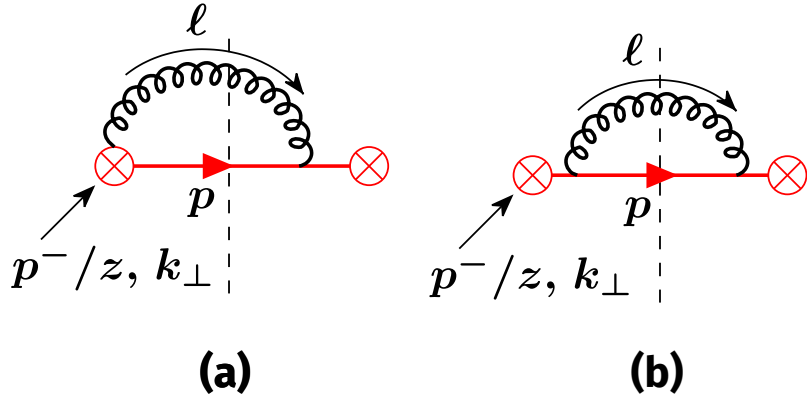
2404.08622 with Rebecca von Kuk (DESY) & Zhiquan Sun (MIT)



2

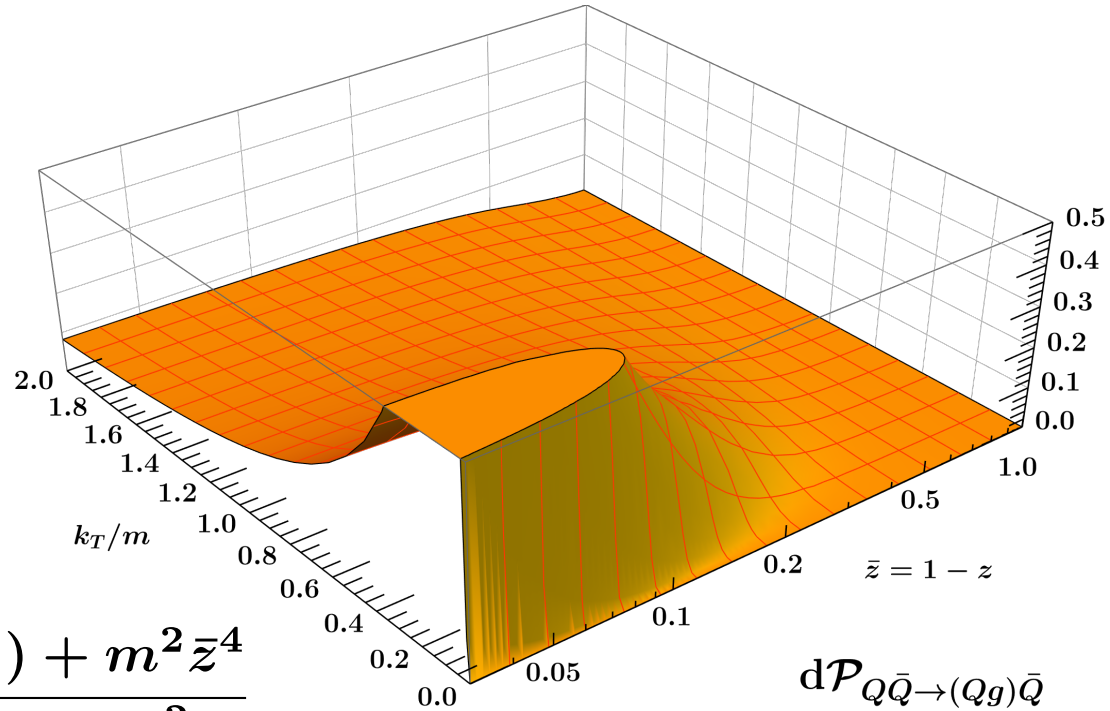
How Many Structure Functions Characterize Polarized Heavy Quark Fragmentation in the Transverse Plane?

Regulating the quasi-collinear splitting probability

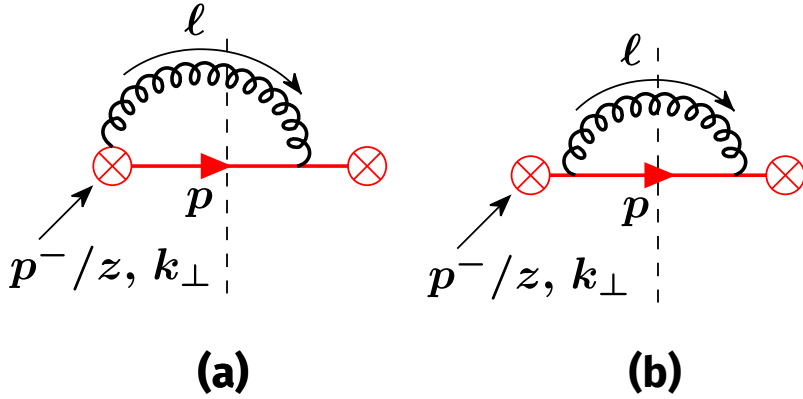


$\bar{z} \equiv z_g = 1 - z > 0$ and $k_T > 0$:

$$\frac{d\mathcal{P}_{Q\bar{Q} \rightarrow (Qg)\bar{Q}}}{dz d(k_T^2)} = \frac{\alpha_s C_F}{2\pi} \frac{k_T^2 z^2 (1 + z^2) + m^2 \bar{z}^4}{\bar{z} [k_T^2 z^2 + m^2 \bar{z}^2]^2}$$



Regulating the quasi-collinear splitting probability

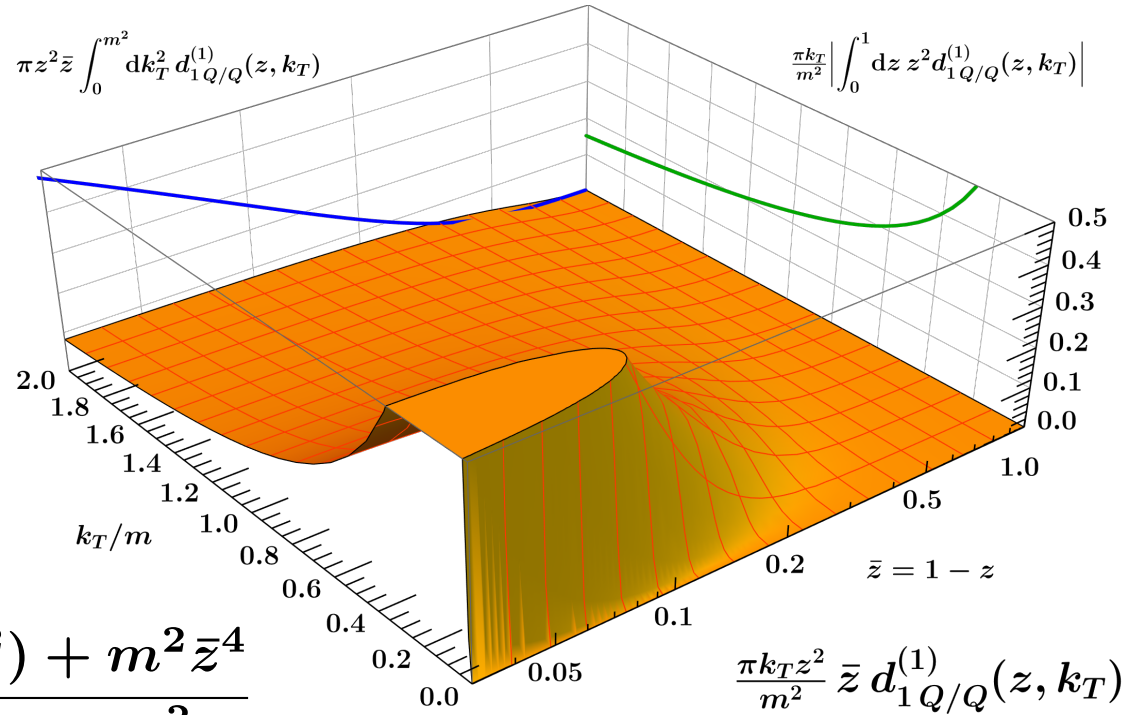


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$$d_{1Q/Q}^{(a)} = \frac{\alpha_s C_F}{4\pi} \frac{e^{\epsilon\gamma_E}}{\Gamma(1-\epsilon)} \left(\frac{\mu}{k_T}\right)^{2\epsilon} \left(\frac{\sqrt{\zeta}}{\nu}\right)^{-\eta} \frac{1}{\pi z^{2-2\epsilon}} \frac{z^\eta}{\bar{z}^{1+\eta}} \frac{4z^3}{k_T^2 z^2 + m^2 \bar{z}^2}$$

$$d_{1Q/Q}^{(b)} = \frac{\alpha_s C_F}{4\pi} \frac{e^{\epsilon\gamma_E}}{\Gamma(1-\epsilon)} \left(\frac{\mu}{k_T}\right)^{2\epsilon} \frac{1}{\pi z^{2-2\epsilon}} \left[2z^2 \bar{z} \frac{k_T^2 z^2 + m^2 (1 - 4z + z^2) - \epsilon (k_T^2 z^2 + m^2 \bar{z}^2)}{(k_T^2 z^2 + m^2 \bar{z}^2)^2} \right]$$



$$\iint = \frac{\alpha_s C_F}{4\pi} \left(5 - \frac{\pi}{2} - \frac{2\pi^2}{3} \right)$$

Expanding in 2D plus distributions

- $\frac{z^\eta}{\bar{z}^{1+\eta}} = -\frac{\delta(\bar{z})}{\eta} + \mathcal{O}(\eta^0)$ cancels as for massless

⇒ Left to expand: $f(x, z, \epsilon)$ $x \equiv k_T^2/m^2$

Recall: $f(x, \epsilon) = [f(x, 0) + \mathcal{O}(\epsilon)]_+ + \delta(x) F(\epsilon)$

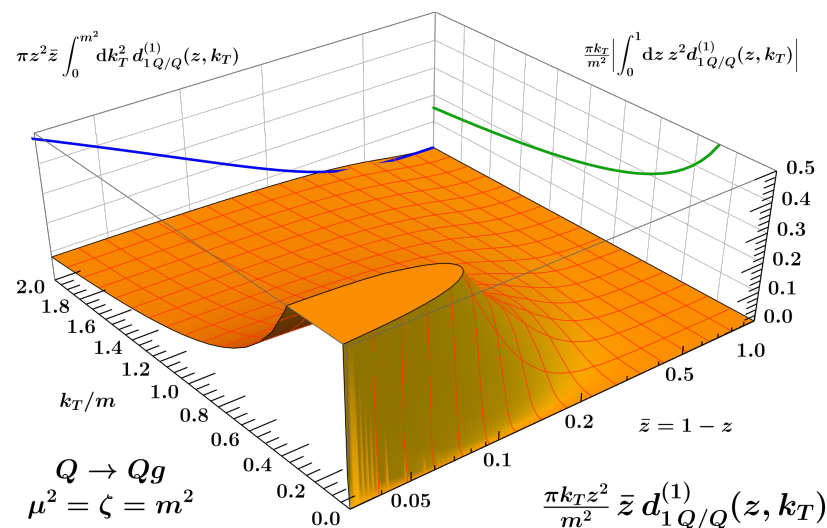
$$F(\epsilon) = \int_0^1 dx' f(x', \epsilon) = \frac{1}{\epsilon} + \dots$$

↓ Generalize to 2D

$$f(x, z, \epsilon) = [f(x, z, 0) + \mathcal{O}(\epsilon)]_{+,+} + \delta(x) [F_x(z, \epsilon)]_+ + \delta(\bar{z}) [F_z(x, \epsilon)]_+ + \delta(x) \delta(\bar{z}) F_{xz}(\epsilon)$$

$$F_x(z, \epsilon) \equiv \int_0^1 dz' f(x, z', \epsilon) \quad F_z(x, \epsilon) \equiv \int_0^1 dx' f(x', z, \epsilon) \quad F_{xz}(\epsilon) \equiv \int_0^1 dx \int_0^1 dz' f(x, z', \epsilon)$$

$$\int_0^1 dx \int_0^1 dz [f(x, z)]_{+,+} g(x, z) \equiv \int_0^1 dx \int_0^1 dz f(x, z) [g(x, z) - g(0, z) - g(x, 0) + g(0, 0)]$$



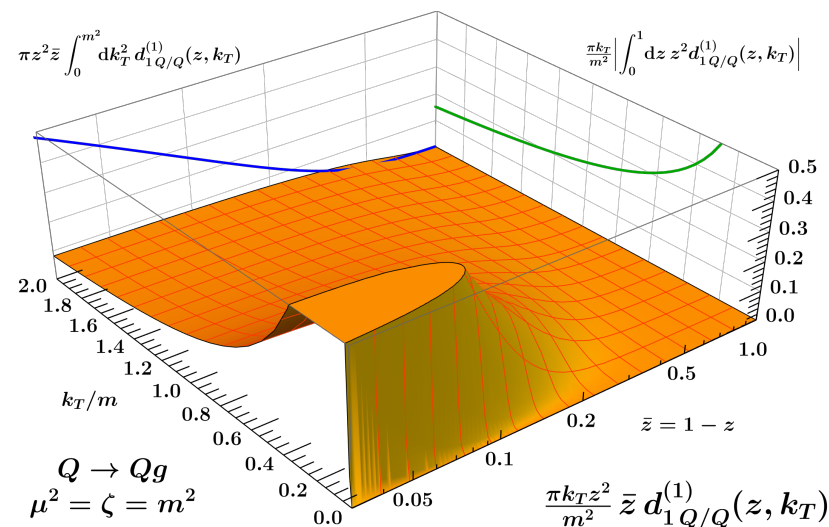
Results in k_T space

$$\begin{aligned}
 & d_{1Q/Q}^{(1)}(z, k_T, \mu, \zeta) \\
 &= \frac{\alpha_s C_F}{4\pi} \frac{1}{\pi z^2} \left\{ \delta(\bar{z}) \left[2 \ln \frac{\zeta}{\mu^2} \mathcal{L}_0(k_T^2, \mu^2) \right. \right. \\
 &+ 2 \ln \frac{\mu^2}{m^2} \mathcal{L}_0(k_T^2, m^2) \\
 &- \ln^2 \frac{\mu^2}{m^2} \delta(k_T^2) + 3 \ln \frac{\mu^2}{m^2} \delta(k_T^2) \left. \right] \\
 &+ \frac{1}{m^2} \left[\frac{2xz^4(1+z^2) + 2z^2\bar{z}^4}{\bar{z}(xz^2 + \bar{z}^2)^2} \right]_{+,+} + \delta(k_T^2) \left[\frac{2(1+z^2)}{\bar{z}} \ln\left(1 + \frac{z^2}{\bar{z}^2}\right) - \frac{4z^3}{\bar{z}(1-2\bar{z}z)} \right]_+ \\
 &+ \delta(\bar{z}) \frac{1}{m^2} \left[- \frac{2 + 3x + 4x^2 + 3x^3 + \pi\sqrt{x}(2 + 7x + x^2) + x(1 + 7x + 2x^2) \ln x}{x(1+x)^3} \right]_+ \\
 &+ \delta(\bar{z}) \delta(k_T^2) \left(5 - \frac{\pi}{2} - \frac{2\pi^2}{3} \right) \left. \right\}
 \end{aligned}$$

$$x \equiv k_T^2/m^2$$

→ Confirms expected renormalization by $\gamma_\mu(\zeta, m, \mu)$ and $\gamma_\zeta(k_T, m, \mu)$

[Secondary quark mass effects in the CS kernel show up at NNLO.]



Results in b_T space

Plus distributions domains align with primary variables \Rightarrow easy to take integral transforms!

$$\int_0^\infty d(k_T^2) J_0(b_T k_T) [f(k_T^2, z)]_{+,+} = \left[\int_0^\infty d(k_T^2) [J_0(b_T k_T) - 1] f(k_T^2, z) \right]_+ \quad \text{only } z \nearrow$$



$$d_{1Q/Q}^{(1)}(z, b_T, \mu, \zeta) = \frac{\alpha_s C_F}{4\pi} \frac{1}{z^2} \left\{ \delta(1-z) \left[-2L_b \ln \frac{\zeta}{m^2} + 4 \ln^2 \frac{\mu}{m} + 6 \ln \frac{\mu}{m} - L_y^2 + 4 - \frac{\pi^2}{6} \right] - 4(1+L_y) \mathcal{L}_0(1-z) - 8\mathcal{L}_1(1-z) + \tilde{\mathcal{R}}(z, b_T m) \right\}$$

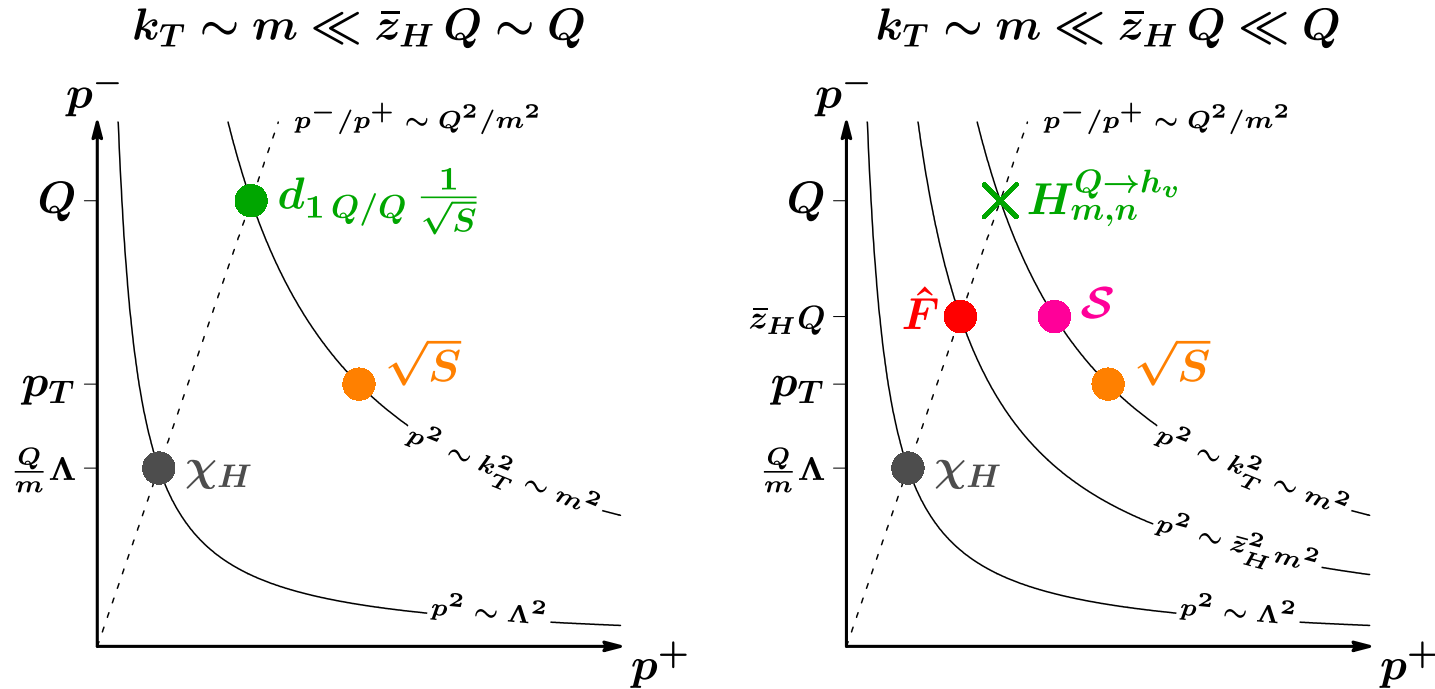
$$\begin{aligned} \tilde{\mathcal{R}}(z, y) &\equiv y \int_0^\infty dt J_1(ty) \mathcal{R}(z, t^2) & L_y &\equiv \frac{m^2 b_T^2}{(2e^{-\gamma_E})^2} & L_b &\equiv \frac{\mu^2 b_T^2}{(2e^{-\gamma_E})^2} \\ &= \frac{4}{\bar{z}} \left[1 + L_y + (1+z^2) K_0\left(\frac{y\bar{z}}{z}\right) - y\bar{z} K_1\left(\frac{y\bar{z}}{z}\right) + 2 \ln \bar{z} \right] = \mathcal{O}(\bar{z}^0) \end{aligned}$$

Full agreement with Dai, Kim Leibovich, 2310.19207, who used a completely orthogonal organization of k_T singularities. ✓

Why so simple as $z \rightarrow 1$? Why only logarithms of $m b_T$?

Joint resummation for massive quark fragmentation

[Joint resummation: Laenen, Sterman, Vogelsang '01; Lusterians, Waalewijn, Zeune '16; Kang, Samanta, Shao, Zeng '22]



$$d_{1Q/Q} \left(1 - \frac{k^-}{\omega}, b_T, \mu, \omega^2 \right) = H_{m,n}^{Q \rightarrow h_\nu} \left(m, \mu, \frac{\omega}{\nu} \right) \mathcal{S}(k^-, b_T, m, \mu, \nu) \otimes_{k^-} \hat{F}(k^-, \bar{n} \cdot v \mu) \\ \times \sqrt{S}(b_T, m, \mu, \nu) + \mathcal{O}[(1 - z_H)^0]$$

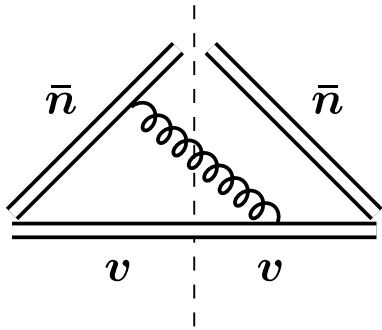


[Full two-loop RHS known: Becher, Neubert '06; Pietrulewicz, Samitz, Spiering, Tackmann '17]

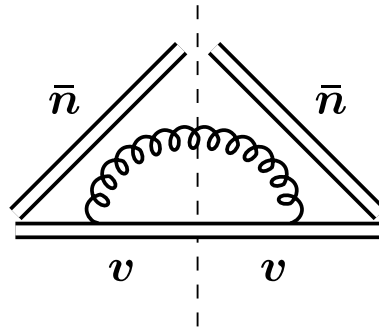
Large-mass limit and renormalization of HQET TMD FFs

$$\sum_H D_{1H/Q}(z, b_T, \mu, \zeta) = \delta(1-z) C_m(m, \mu, \zeta) \chi_1\left(b_T, \mu, \frac{\sqrt{\zeta}}{m}\right) + \mathcal{O}\left(\frac{1}{m}\right)$$

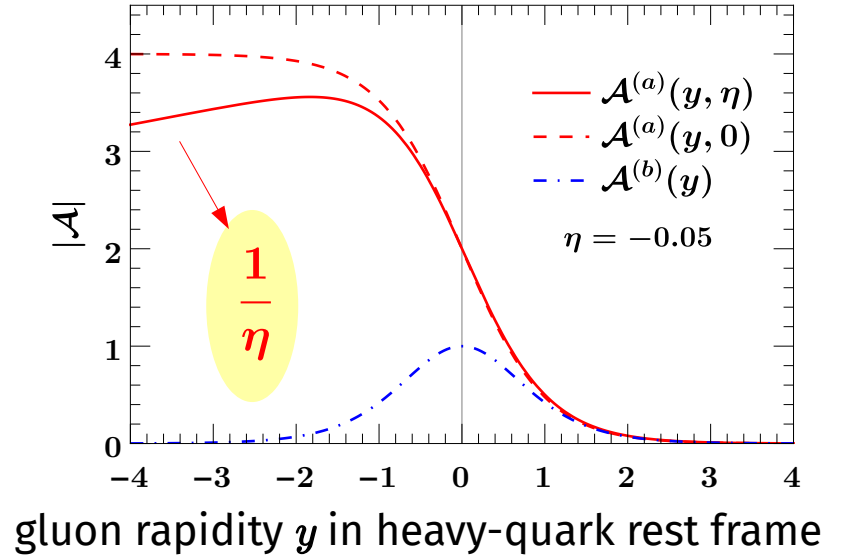
$$\chi_1^{\text{bare}}(b_T, \epsilon, \eta, \bar{n} \cdot v) = \frac{1}{N_c} \text{Tr} \langle 0 | W_\eta^\dagger(b_\perp) Y_v(b_\perp) Y_v^\dagger(0) W_\eta(0) | 0 \rangle$$



(a)



(b)



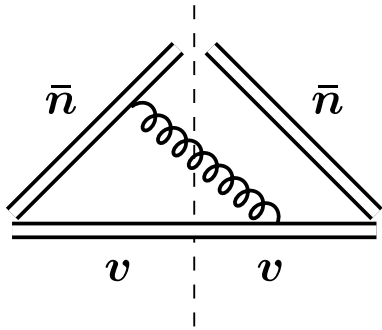
$$\chi_1(b_T, \mu, \rho) = \lim_{\epsilon \rightarrow 0} Z_{\chi_1}^{-1}(\mu, \rho, \epsilon) \lim_{\eta \rightarrow 0} \left[\chi_1^{\text{bare}}(b_T, \epsilon, \eta, \rho) \sqrt{S^{(n_\ell)}(b_T, \epsilon, \eta, \nu)} \right]$$

$$\rho \equiv \bar{n} \cdot v \quad = 1 + \frac{\alpha_s C_F}{4\pi} (-L_b) (4 \ln \rho - 2) + \mathcal{O}(\alpha_s^2) + \mathcal{O}(\Lambda_{\text{QCD}}^2 b_T^2)$$

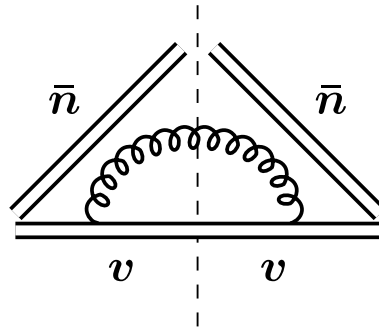
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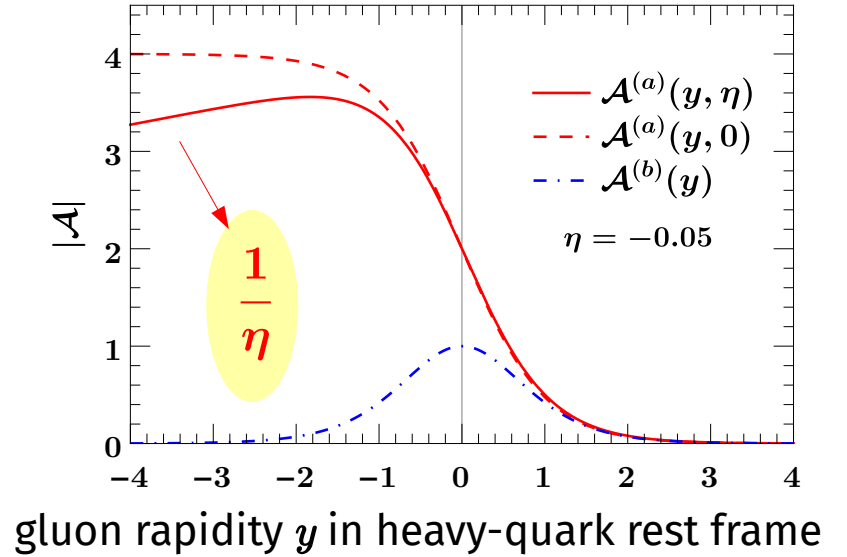
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(a)



(b)



$$\chi_1(b_T, \mu, \rho) = \lim_{\epsilon \rightarrow 0} Z_{\chi_1}^{-1}(\mu, \rho, \epsilon) \lim_{\eta \rightarrow 0} \left[\chi_1^{\text{bare}}(b_T, \epsilon, \eta, \rho) \sqrt{S^{(n_\ell)}(b_T, \epsilon, \eta, \nu)} \right]$$

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Large-mass limit and renormalization of HQET TMD FFs

$$\sum_H D_{1H/Q}(z, b_T, \mu, \zeta) = \delta(1-z) C_m(m, \mu, \zeta) \chi_1\left(b_T, \mu, \frac{\sqrt{\zeta}}{m}\right) + \mathcal{O}\left(\frac{1}{m}\right)$$

$$\chi_1^{\text{bare}}(b_T, \epsilon, \eta, \bar{n} \cdot v) = \frac{1}{N_c} \text{Tr} \langle 0 | W_\eta^\dagger(b_\perp) Y_v(b_\perp) Y_v^\dagger(0) W_\eta(0) | 0 \rangle$$

$$\mu \frac{d}{d\mu} \ln \chi_1(b_T, \mu, \rho) = \gamma_{\chi_1}[\alpha_s(\mu), \rho] = \gamma_\mu^q(\mu, \zeta) - \gamma_{C_m}(\mu, m, \zeta)$$

$$\rho \frac{d}{d\rho} \ln \chi_1(b_T, \mu, \rho) = \gamma_\zeta^{(n_\ell)}(b_T, \mu)$$

↑ TMD μ anom. dim.
← CS kernel (n_ℓ light quarks)

$$\rho \frac{d}{d\rho} \gamma_{\chi_1}[\alpha_s(\mu), \rho] = \mu \frac{d}{d\mu} \gamma_\zeta^{(n_\ell)}(\mu, b_T) = -2\Gamma_{\text{cusp}}[\alpha_s(\mu)]$$

$$\sqrt{\zeta} = \bar{n} \cdot P_H / z$$

$$\stackrel{!}{=} m \bar{n} \cdot v = m \rho$$

$$\chi_1(b_T, \mu, \rho) = \lim_{\epsilon \rightarrow 0} Z_{\chi_1}^{-1}(\mu, \rho, \epsilon) \lim_{\eta \rightarrow 0} \left[\chi_1^{\text{bare}}(b_T, \epsilon, \eta, \rho) \sqrt{S^{(n_\ell)}}(b_T, \epsilon, \eta, \nu) \right]$$

$$\rho \equiv \bar{n} \cdot v = 1 + \frac{\alpha_s C_F}{4\pi} (-L_b) (4 \ln \rho - 2) + \mathcal{O}(\alpha_s^2) + \mathcal{O}(\Lambda_{\text{QCD}}^2 b_T^2)$$

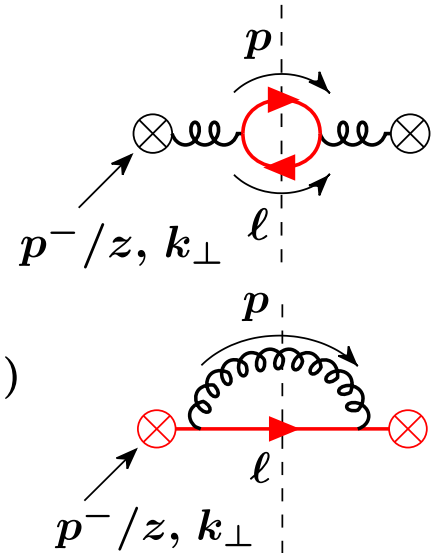
Not discussed today

- Nonvalence channels & small-mass limit ✓

$$d_{1\,Q/i}(z, \mathbf{b}_T, \mu, \zeta) = \frac{1}{z^2} \sum_j \mathcal{J}_{j/i}(z, \mathbf{b}_T, \mu, \zeta) \otimes_z d_{Q/j}(z, \mu) + \mathcal{O}(m^2 b_T^2)$$

$$\mathcal{J}_{k/i}(z, \mathbf{b}_T, \mathbf{m}, \mu, \zeta) = \frac{1}{z^2} \sum_j \mathcal{J}_{j/i}(z, \mathbf{b}_T, \mu, \zeta) \otimes_z \mathcal{M}_{k/j,T}(z, \mathbf{m}, \mu) + \mathcal{O}(m^2 b_T^2)$$

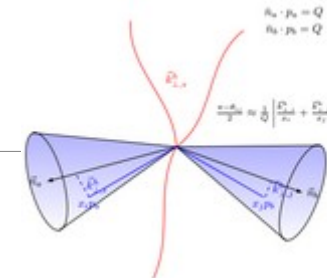
[NLO massless matching coefficients: Echevarria, Idilbi, Scimemi, 1402.0869]



- Full mass dependence in NNLL Energy-Energy-Correlator in the back-to-back limit:

$$\frac{1}{\sigma_0} \frac{d\sigma^{(1)}}{dz_\chi} = \frac{1}{\sigma_0} \frac{d\sigma_{ee \rightarrow q\bar{q}}^{(1)}}{dz_\chi} + 2\mathcal{H}_{ee \rightarrow Q\bar{Q}}^{(0)} \frac{\alpha_s C_F}{4\pi} \left\{ 8(2 \ln \rho - 1) \mathcal{L}_0(\bar{z}_\chi) + 8 \left(2 \ln^2 \rho + \ln \rho + \frac{2\pi^2}{3} - 2 \right) \delta(\bar{z}_\chi) \right. \\ \left. + 4\rho^2 \frac{\pi(-3 - 18x + x^2) + \sqrt{x}(9 + x - 9x^2 - x^3) - \sqrt{x}(1 + 24x + 9x^2 + 2x^3) \ln x}{\sqrt{x}(1+x)^4} \right\} \\ + \mathcal{O}(\alpha_s^2) + \bar{z}_\chi^0 + \mathcal{O}\left(\frac{1}{\rho}\right)$$

Light-quark EEC
[see talk by
G. Ferrera
on Tuesday!]



[Cf. Craft, Lee, Meçaj, Moutl, 2210.09311 for NLO mass effects in the collinear limit of the EEC.]

Overview of today's talk

1

Transverse Momentum-Dependent
Heavy-Quark Fragmentation at Next-to-Leading Order

2

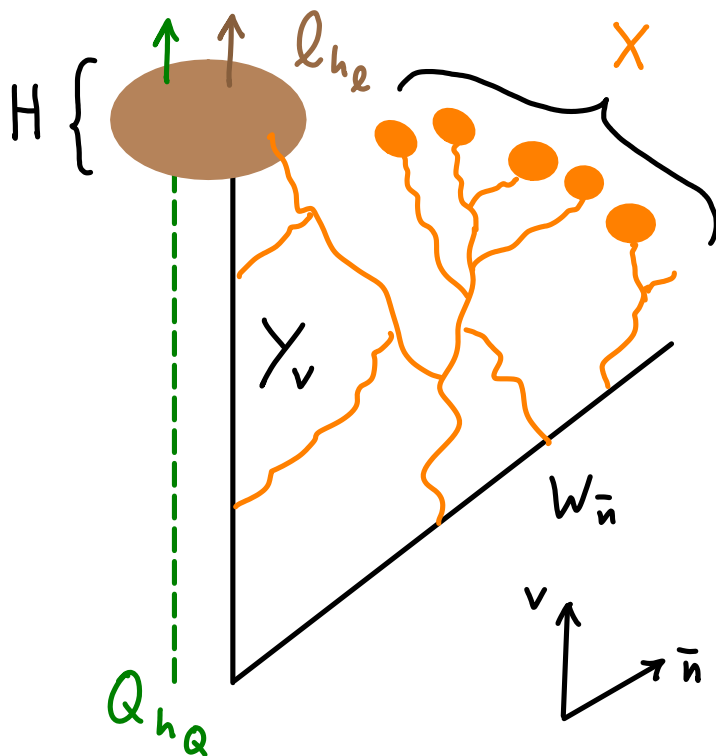
How Many Structure Functions Characterize Polarized
Heavy Quark Fragmentation in the Transverse Plane?

Work in progress with Rebecca von Kuk (DESY), Kyle Lee (MIT), Zhiquan Sun (MIT)



TMD light-spin density matrix

$$\rho_{\ell, h_\ell h'_\ell}(\mathbf{b}_\perp, z^\mu) \equiv \frac{1}{N_c} \text{Tr} \sum_X \langle 0 | W^\dagger(\mathbf{b}_\perp) Y_v(\mathbf{b}_\perp) | s_\ell, h_\ell, f_\ell; X \rangle \langle s_\ell, h'_\ell, f_\ell; X | Y_v^\dagger(0) W(0) | 0 \rangle$$



Useful unit vector choices:

$$x^\mu = \frac{b_\perp^\mu}{b_T}$$

$$z^\mu = v^\mu - \frac{\bar{n}^\mu}{\bar{n} \cdot v}$$

$$y^\mu = x_\nu \epsilon_\perp^{\mu\nu}$$

Building a basis

$$\rho_{\ell, h_\ell h'_\ell}(b_\perp, z) \equiv \frac{1}{N_c} \text{Tr} \int_{\mathbf{X}} \langle 0 | W^\dagger(b_\perp) Y_v(b_\perp) | s_\ell, h_\ell, f_\ell; \mathbf{X} \rangle \langle s_\ell, h'_\ell, f_\ell; \mathbf{X} | Y_v^\dagger(0) W(0) | 0 \rangle$$

- Hermiticity: $\rho_\ell^\dagger(b_\perp, z) = \rho_\ell(-b_\perp, z)$

- Parity: $\rho_\ell(b_\perp, z) = \rho_\ell(-b_\perp, -z)$

- Rotations: $\rho_\ell(R_{\vec{\alpha}} b_\perp, R_{\vec{\alpha}} z) = e^{+i\vec{\alpha} \cdot \Sigma_\ell} \rho_\ell(b_\perp, z) e^{-i\vec{\alpha} \cdot \Sigma_\ell}$

$$x^\mu = \frac{b_\perp^\mu}{b_T}$$

$$z^\mu = v^\mu - \frac{\bar{n}^\mu}{\bar{n} \cdot v}$$

$$y^\mu = x_\nu \epsilon_\perp^{\mu\nu}$$

$$\Sigma_\ell^{\mu_1 \dots \mu_N} \equiv \left\{ \Sigma_\ell^{\mu_1}, \dots, \Sigma_\ell^{\mu_N} \right\} - \text{Gram-Schmidt} \quad N \leq 2s_\ell$$

$$\rho_\ell(b_\perp, z) = \sum_N \sum_n \chi_{1,\ell}^{(N,n)}(b_T) \Sigma^{\mu_1, \dots, \mu_N}$$



$$\times \begin{cases} x^{\mu_1} \dots x^{\mu_n} z^{\mu_{n+1}} \dots z^{\mu_N}, & N \in 2\mathbb{Z} \\ ix^{\mu_1} \dots x^{\mu_{n-1}} y^{\mu_n} z^{\mu_{n+1}} \dots z^{\mu_N}, & N \notin 2\mathbb{Z} \end{cases}$$



So how many structure functions are there?

At light spin s_ℓ , rank $N = 2s_\ell$, add only $2[s_\ell] + 1$ structure functions!

Hadron multiplet	s_ℓ	# LP TMD FFs	# $\chi_{1,\ell}^{(N,n),s}$	# $\chi_{1,\ell}^{(N,0),s} (b_T \rightarrow 0)$
Λ_b	0	8	1	1
B, B^*	1/2	2 + 12	2	1
Σ_b, Σ_b^*	1	8 + 16	5	2
B_1, B_2^*	3/2	12 + 20	8	2

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⇒ Can get complete spin 1/2 density matrix from unpolarized hadrons!

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⇒ Can get complete spin 1/2 density matrix from unpolarized hadrons!

⇒ Nice target e.g. for an LHCb polarized hadron-in-jet measurement!

Stay tuned for final results for TMD FFs!

Summary

- Showed complete NLO results for unpolarized heavy-quark TMD FFs, with nontrivial momentum-space singularities.
- Checked vs. large-mass, small-mass, and new joint threshold/transverse momentum resummation regime
- HQET fragmentation factors feature CS-like rapidity evolution with respect to a *dimensionless* boost parameter $\rho = \sqrt{\zeta}/m = \bar{n} \cdot v$.
- Showed how many structure functions characterize all possible polarized heavy-quark TMD FFs in the HQET limit (pleasingly few!).

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Thank you for your attention!

Backup

Application: Back-to-back EEC with heavy quarks

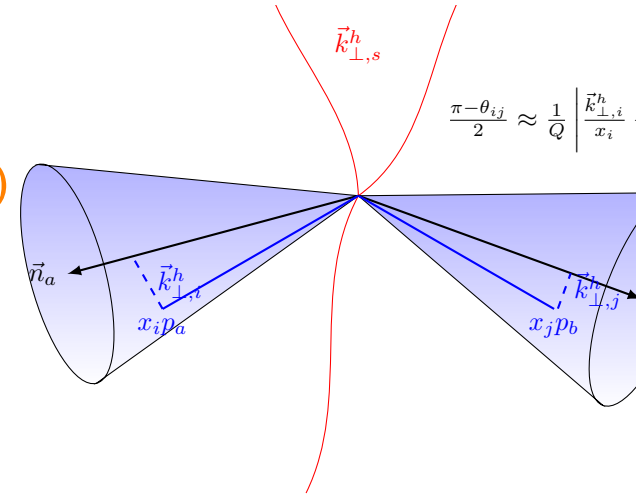
$$\frac{1}{\sigma_0} \frac{d\sigma}{dz_\chi} = \sum_{i,j} \mathcal{H}_{ee \rightarrow ij}(Q^2, \mu) Q^2 \int_0^\infty db_T b_T J_0(\sqrt{1-z_\chi} b_T Q)$$

$$\times J_i(b_T, m, \mu, Q/\nu) J_j(b_T, m, \mu, Q/\nu) S(b_T, m, \mu, \nu)$$

$i, j = q, Q, g$



[See Craft, Lee, Meçaj, Moutl, 2210.09311
for mass effects in the collinear limit.]



[Figure credit:
Moutl, Zhu, 1801.02627]

$$\frac{1}{\sigma_0} \frac{d\sigma^{(1)}}{dz_\chi} = \frac{1}{\sigma_0} \frac{d\sigma_{ee \rightarrow q\bar{q}}^{(1)}}{dz_\chi} + 2\mathcal{H}_{ee \rightarrow Q\bar{Q}}^{(0)} \frac{\alpha_s C_F}{4\pi} \left\{ 8(2 \ln \rho - 1) \mathcal{L}_0(\bar{z}_\chi) + 8 \left(2 \ln^2 \rho + \ln \rho + \frac{2\pi^2}{3} - 2 \right) \delta(\bar{z}_\chi) \right.$$

$$\left. + 4\rho^2 \frac{\pi(-3 - 18x + x^2) + \sqrt{x}(9 + x - 9x^2 - x^3) - \sqrt{x}(1 + 24x + 9x^2 + 2x^3) \ln x}{\sqrt{x}(1+x)^4} \right\}$$

$$+ \mathcal{O}(\alpha_s^2) + \bar{z}_\chi^0 + \mathcal{O}\left(\frac{1}{\rho}\right)$$



[Cf. Lepenik, Mateu, 1912.08211 for analytic SCET₁ massive event shapes at one loop.]

Fun Fact: The heavy-quark EEC at nonperturbative values

Sum over heavy hadrons is built into EEC ...

$$\frac{1}{\sigma_0} \frac{d\sigma}{dz_\chi} \Big|_{\text{heavy}} = \mathcal{H}_{ee \rightarrow Q\bar{Q}}(Q^2, \mu) C_m^2(m, \mu, Q^2) \times Q^2 \int_0^\infty db_T b_T J_0(\sqrt{1 - z_{\chi,H}} b_T Q) \left[\chi_1\left(b_T, \mu, \frac{Q}{m}\right) \right]^2$$

... which lets us complete the sum over states in the EFT! [2305.15461]

$$\chi_1(b_T) \equiv \frac{1}{N_c} \text{Tr} \langle 0 | W^\dagger(b_\perp) Y_v(b_\perp) Y_v^\dagger(0) W(0) | 0 \rangle$$

⇒ Plain Wilson line correlators, also nonperturbatively!