

# THEORETICAL ASPECTS ON THE PREDICTION OF SPECTRAL DENSITIES FROM LATTICE QCD

Mattia Bruno

work in collab. with Maxwell T. Hansen

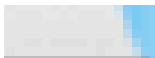


Turin Lattice Meeting 2023  
Torino, IT, December 20th

# On the $N_f$ -dependence of gluonic observables

Mattia Bruno  
ALPHA Collaboration

NIC, DESY Zeuthen



December 19, 2013, Torino

# Advances in simulations with dynamical fermions

Mattia Bruno

John von Neumann Institute for Computing (NIC), DESY



Turin Lattice Meeting 2014, Torino

December 23, 2014

# WEAK DECAYS BEYOND NLO

Mattia Bruno  
RBC/UKQCD Collaboration



Physics Department, Università Torino  
December 23<sup>th</sup>, 2016

# MOTIVATIONS

Predictions of hadronic amplitudes, decay rates, spectral densities

important **tests of the Standard Model**

$(g - 2)_\mu$  based e.g. on  $\gamma \rightarrow \pi^+ \pi^-$ ,  $\pi^0 \rightarrow \gamma\gamma$

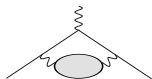
test of CP violation in  $K$ ,  $D$  decays

improve our understanding of strong interactions

properties of resonances like  $\rho^0$

# $(g - 2)_\mu$ : DISPERSIVE APPROACH

Method



$$a_\mu = \frac{\alpha}{\pi} \int \frac{ds}{s} K(s, m_\mu) \frac{\text{Im}\Pi(s)}{\pi} \quad [\text{Brodsky, de Rafael '68}]$$

analyticity  $\hat{\Pi}(k^2) = \Pi(k^2) - \Pi(0) = \frac{k^2}{\pi} \int_0^\infty ds \frac{\text{Im}\Pi(s)}{s(s - k^2 - i\varepsilon)}$

unitarity

$$\text{Im} \left[ \text{Diagram} \right] = \sum_X \left| \text{Diagram}_X \right|^2$$

$$\frac{4\pi^2\alpha}{s} \frac{\text{Im}\Pi(s)}{\pi} = \sigma_{e^+e^- \rightarrow \gamma^* \rightarrow \text{had}}$$

At present  $O(30)$  channels:  $\pi^0\gamma, \pi^+\pi^-, 3\pi, 4\pi, K^+K^-, \dots$

$K(s, m_\mu) \rightarrow \pi^+\pi^-$  dominates due to  $\rho$  resonance

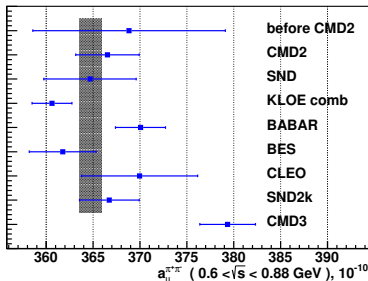
$\pi\pi$  channel is  $\sim 70\%$  of signal and  $\sim 70\%$  of error

# $(g - 2)_\mu$ : DISPERSIVE APPROACH

Tensions in  $\pi^+\pi^-$  channel

Large tensions among experiments: BaBar, KLOE, now CMD3

[CMD3 2302.08834]



very difficult to combine different experiments  
what is the **error** of  $\pi\pi$  contribution to  $a_\mu$ ?  
motivates even more **first-principles Lattice QCD** calculations

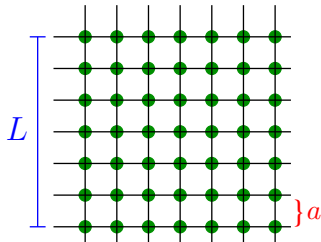
# LATTICE FIELD THEORIES

lattice spacing  $a \rightarrow$  regulate UV divergences

finite size  $L \rightarrow$  infrared regulator

Continuum theory  $a \rightarrow 0, L \rightarrow \infty$

Euclidean metric  $\rightarrow$  Boltzman interpretation  
of path integral



$$\langle O \rangle = Z^{-1} \int [DU] e^{-S[U]} O(U) \approx \frac{1}{N} \sum_{i=1}^N O[U_i]$$

Very high dimensional integral  $\rightarrow$  Monte-Carlo methods

Markov Chain of gauge field configs  $U_0 \rightarrow U_1 \rightarrow \dots \rightarrow U_N$



1. Inverse problems and smeared spectral densities
2. Finite-volume effects on smeared spectral densities
3.  $\Theta$  correlators and the Maiani-Testa problem

# ANALYTIC CONTINUATION

**Time-momentum representation** very natural for Lattice QCD  
project operator  $\mathcal{O}$  to definite spatial momentum  
evaluate  $C(t) = \langle \mathcal{O}(t)\mathcal{O}(0) \rangle$

+ Physical observables as **integrals of spectral densities**

$$P = \int d\omega \kappa(\omega) \rho(\omega)$$

e.g. inclusive diff. decay rate semileptonic [Gambino, Hashimoto '20]

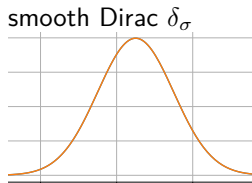
+ Correlator is integral of spectral density  $C(t) = \int d\omega e^{-\omega|t|} \rho(\omega)$

= Solve  $P = \int dt f(t) C(t)$  for unknown  $f$ ?

# INVERSE PROBLEMS

Take  $L = \infty$ ,  $a = 0$  but  $C(t)$  only known on set  $\{t_1, t_2 \dots\}$   
is it possible  $\rho(\omega) = \sum_t g_t C(t)$ ?

$C(t)$  finite discrete Euclidean times  
cannot extract continuous  $\rho(\omega)$   
$$\rho_\sigma(\omega) = \int d\omega' \rho(\omega') \delta_\sigma(\omega' - \omega)$$
  
$$\delta_\sigma(x) = \frac{\sigma/\pi}{x^2 + \sigma^2}$$



let's reformulate the question ... is it possible  $\rho_\sigma(\omega) = \sum_t g_t C(t)$ ?  
problem remains ill-posed, but solution admitted

Ansatz  $\sum_t g_t e^{-\omega t} = \kappa'(\omega) \rightarrow \sum_t g_t C(t) = \int d\omega \kappa'(\omega) \rho(\omega)$   
minimize  $\int d\omega [\kappa'(\omega) - \kappa(\omega)]^2$  w.r.t.  $g_t$   
for finite set of time slices  $\kappa' \neq \kappa$   
but not always a problem [Boito et al]

# APPROXIMATE SOLUTIONS

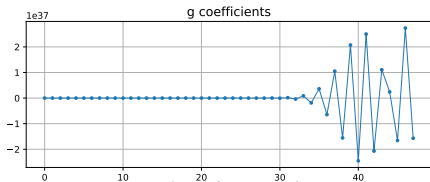
Sketch

$$\text{Ansatz } \sum_t g_t e^{-\omega t} = \kappa(\omega) \rightarrow \sum_t g_t C(t) = \int d\omega \kappa(\omega) \rho(\omega)$$

1. minimize  $L^2$  norm  $\int d\omega [\sum_t g_t e^{-\omega t} - \kappa(\omega)]^2$

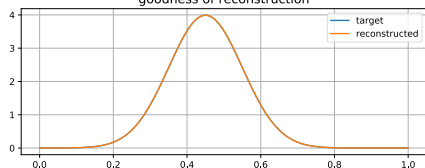
2. define  $A(t, t') = \int d\omega e^{-\omega(t+t')}$ ,  $f(t) = \int d\omega \kappa(\omega) e^{-\omega t}$

3. solution is  $g_t = \sum_{t'} [A^{-1}]_{t,t'} f(t')$



$A^{-1}$  ill-conditioned matrix  
→  $1e32$  y-axis

coefficients useless in practice ↔  
stat. errors



# REGULATORS

[MB, Giusti, Saccardi Hadron23]

Regularization by suppressing small eigenvalues of  $A$

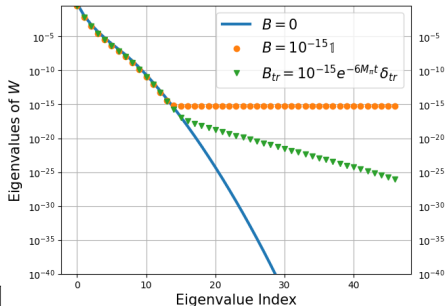
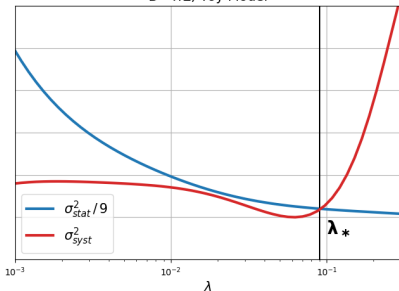
$$W = A + B$$

$$B_{tt'} = \lambda \delta_{tt'} \quad [\text{Tikhonov}]$$

$$B_{tt'} \propto \text{cov}_{tt'} \quad [\text{HLT '19}]$$

sparsening of  $A$  [Boito et al]

$B = \lambda \mathbf{1}$ , Toy Model



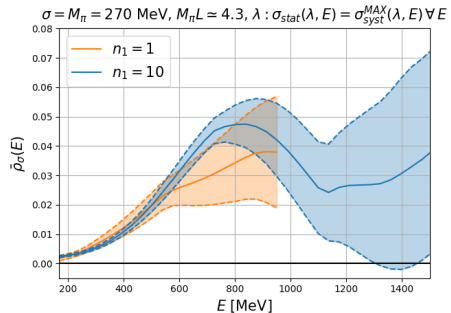
stat. errs down  
sys. errs up  
tuning required...

# OUTLOOKS

[MB, Giusti, Saccardi Hadron23]

Open questions I am working on

- sys. errors from regularization of inverse problem
- signal-to-noise problem in  $\rho_\sigma$ ?
- multi-level useful?

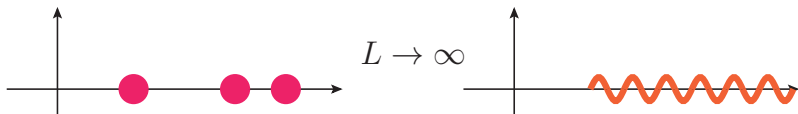


1. Inverse problems and smeared spectral densities
2. Finite-volume effects on smeared spectral densities
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# FINITE VOLUME

## Quantization of spectrum

Lattice Simulations performed in **finite box**  $L^3 \times T$  ( $T$  large)  
periodic BC  $\vec{p} = \frac{2\pi}{L}\vec{n}$ ,  $\vec{n} \in \mathbb{Z}^3$   
→ spectrum quantized



Hamiltonian  $\hat{H}_L$  (on slice  $L^3$ ), momentum operator  $\hat{P}_i$

Hilbert space  $\hat{H}_L |n, \vec{p}\rangle_L = E_n(\vec{p}, L) |n, \vec{p}\rangle_L$

scattering? decay rates?

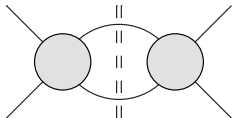
what is meaning of  $|n, \vec{p}\rangle_L$  and  $E_n(\vec{p}, L)$ ?



# QFT IN A FINITE BOX

## Lüscher formalism

### 1. s-channel one-loop diagram



$$\int \frac{dk_0}{2\pi} \int \frac{d\vec{k}}{(2\pi)^3} \frac{iz(k)}{k^2 - m^2 + i\epsilon} \frac{iz(P-k)}{(P-k)^2 - m^2 + i\epsilon}$$
$$\int \frac{dk_0}{2\pi} \frac{1}{L^3} \sum_{\vec{k}} \frac{iz(k)}{k^2 - m^2 + i\epsilon} \frac{iz(P-k)}{(P-k)^2 - m^2 + i\epsilon}$$

### 2. evaluate integral-sum difference w/ Poisson's formula

non-analytic function  $\rightarrow 1/L^n$  corrections, i.e. loop legs **on-shell**

analytic function  $\rightarrow e^{-mL}$  corrections, i.e. loop legs **off-shell**

### 3. re-sum all $2 \rightarrow 2$ diagrams

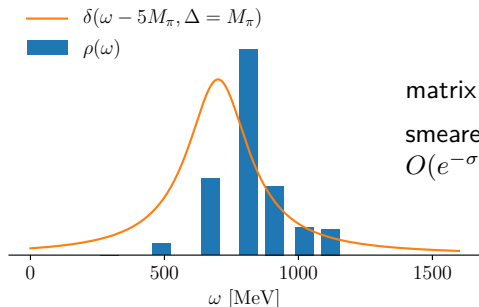
$2 \rightarrow 4$  diagrams  $1/L^k$  correction if  $\sqrt{s} > 4m$

quantization condition  $Q(E_n) = n\pi$

# SPECTRAL DECOMPOSITION

EM current  $J_\mu$ , projected to momentum  $\vec{p}$

$$\langle J_\mu(t, \vec{p}) J_\mu(0, \vec{p}) \rangle = \sum_n |\langle 0 | J_\mu(0) | n, \vec{p} \rangle_L|^2 e^{-E_n(L)t}$$



matrix elements  $1/L^k$  finite vol. effects  
smeared  $\delta_\sigma \rightarrow$  smeared  $\rho_\sigma$  FV  
 $O(e^{-\sigma L})?$

# SMEARED SPECTRAL DENSITIES

## Finite volume effects

Scalar current  $J$  projected to zero-momentum

$$\rho(\omega) = \langle 0 | \hat{J} \delta(\hat{H} - \omega) \delta^3(\vec{P}) \hat{J} | 0 \rangle, \quad \rho(\omega|L) = \sum_n \delta(\omega - E_n) |\langle 0 | \hat{J} | n \rangle_L|^2$$

Goal: finite volume effects of  $\rho_\kappa = \int d\omega \rho(\omega) \kappa(\omega)$  [MB, Hansen in prep]

$\kappa(\omega) = e^{-\omega t}$ : correlator (checks w/ literature)

$\kappa(\omega) = \delta_\sigma(\omega - E)$ : smeared  $\rho_\sigma$

$\kappa(\omega) = \Theta(\omega - E, \Delta) e^{-(\omega - E)t}$ :  $\Theta$  correlators [MB, Hansen '20]

Setup of our derivation:

1. restrict to two-particle form factor  $F_\pi = \langle 0 | \hat{J} | \pi\pi \rangle$
2. lowest partial wave  $Q(E, L) = \delta_0(E) + \varphi(E, L)$
3. applicable to  $I = 1$  vector-vector channel

Our work builds upon [Lellouch-Lüscher '00][Hansen-Sharpe '12][...]

[Bulava, Hansen, Hansen, Patella, Tantalò '21]

# SKETCH OF DERIVATION

MB, Hansen 23xx.yyyy

Quant. condition function  $Q(E, L)$  s.t.  $Q(E_n, L) = n\pi$

1.  $\rho(E_n|L) = |\langle 0|\hat{J}|n\rangle_L| = \frac{\rho(E_n)}{Q^{(1,0)}(E_n, L)}$  Lellouch-Lüscher factor

2. 
$$\int dE \rho(E|L) \kappa(E) = \sum_n \int dE \frac{\rho(E)}{Q^{(1,0)}} \delta(E - E_n) \kappa(E)$$
$$= \sum_n \int dE \delta(n\pi - Q(E, L)) \rho(E) \kappa(E)$$

3. Poisson's summation formula  $\sum_n \delta(n\pi - Q) \rightarrow \sum_k e^{2iQk}$

3.1 perform change of variables  $E = 2\sqrt{m_\pi^2 + p^2}$

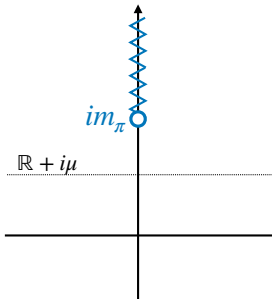
4. Analytically continue  $p$  to  $p + i\mu$  in complex plane

4D proof that  $e^{2i\phi} \simeq e^{ipL}$

# FINITE VOLUME EFFECTS

MB, Hansen 23xx.yyyy

$$\rho_\kappa(L) - \rho_\kappa \rightarrow \int_{\mathbb{R}+i\mu} dp \frac{p^2}{p^2 + m_\pi^2} e^{2iQ(\omega)} e^{-E(p)t}$$



$$E(p) = 2\sqrt{m_\pi^2 + p^2}$$

$$e^{2iQ(\omega)} \simeq e^{ipL} \rightarrow e^{-\mu L}$$

how large  $\mu$ ?

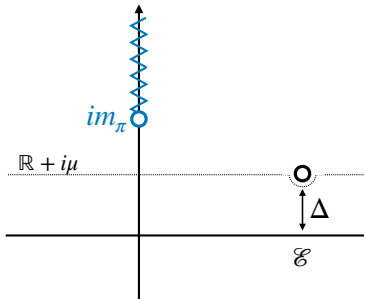
Finite-vol effects driven  
by analytic structure of

$$\begin{aligned} & E(p) \\ & |F_\pi(\omega(p))|^2 \\ & \kappa(\omega(p)) \end{aligned}$$

# FINITE VOLUME EFFECTS

MB, Hansen 23xx.yyyy

$$\rho_\kappa(L) - \rho_\kappa \rightarrow \int_{\mathbb{R}+i\mu} dp \frac{p^2}{p^2 + m_\pi^2} e^{2iQ(\omega)} \kappa(E(p))$$



$$E(p) = 2\sqrt{m_\pi^2 + p^2}$$

$$e^{2iQ(\omega)} \simeq e^{ipL} \rightarrow e^{-\mu L}$$

how large  $\mu$ ?

Finite-volume effects driven by analytic structure of

$$E(p)$$

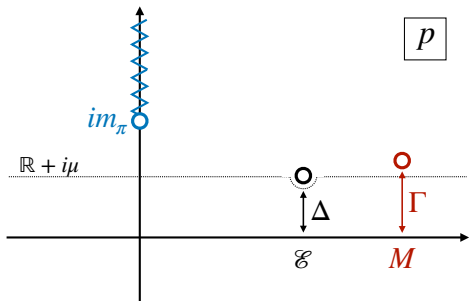
$$|F_\pi(\omega(p))|^2$$

$$\kappa(\omega(p))$$

# FINITE VOLUME EFFECTS

MB, Hansen 23xx.yyyy

$$\rho_\kappa(L) - \rho_\kappa \rightarrow \int_{\mathbb{R}+i\mu} dp \frac{p^2}{p^2 + m_\pi^2} e^{2iQ(\omega)} \kappa(E(p)) |F_\pi(E)|^2$$



$$E(p) = 2\sqrt{m_\pi^2 + p^2}$$

$$e^{2iQ(\omega)} \simeq e^{ipL} \rightarrow e^{-\mu L}$$

how large  $\mu$ ?

Finite-vol effects driven by analytic structure of

$$E(p) \\ |F_\pi(\omega(p))|^2 \\ \kappa(\omega(p))$$

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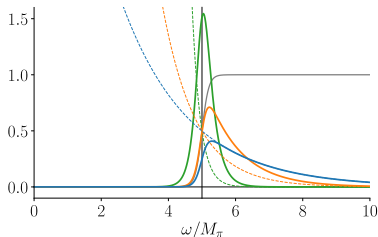
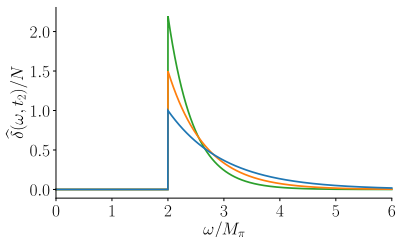


# A SIMPLE OBSERVATION

## Maiani-Testa theorem

$$\langle \pi, \vec{q}_1 | \tilde{\pi}_{\vec{q}_2}(0) e^{-(\hat{H} - 2\omega_{\vec{q}})t_2} J(0) | 0 \rangle \quad [\text{Maiani-Testa '90}]$$

$$\langle \pi, \vec{q}_1 | \tilde{\pi}_{\vec{q}_2}(0) \Theta(\hat{H} - 2\omega_{\vec{q}}, \Delta) e^{-(\hat{H} - 2\omega_{\vec{q}})t_2} J(0) | 0 \rangle \quad [\text{Bruno-Hansen, '20}]$$



smooth  $\Theta$ , smearing width  $\Delta$

tames growing exponentials in  $2M_\pi < E < 2\omega_{\vec{q}}$

combination of  $\Theta$  and exponential  $\rightarrow$  localization in energy  $\omega$

like Maiani and Testa we want analytic control by expanding  $t_2$

# SPECTRAL DENSITIES

Preliminary

$$G(t) = \int d^3 \vec{x} \langle J(t, \vec{x}) J(0) \rangle = \langle \tilde{J}_{\vec{q}}(t) J(0) \rangle = \int_{2m}^{\infty} d\omega e^{-\omega t} \rho(\omega^2)$$

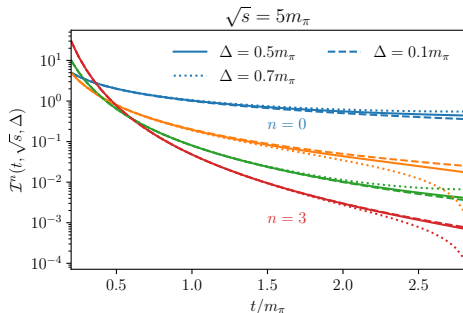
1. smooth  $\Theta$  function

$$G^{\Theta}(t|\mathcal{E}) = \langle \tilde{J}_{\vec{0}}(0) e^{-(\hat{H}-\mathcal{E})t} \Theta(\hat{H} - \mathcal{E}, \Delta) J(0) \rangle$$

2. large  $t$  expansion: localize “right shoulder”

$$G^{\Theta}(t|\mathcal{E}) = \sum_n r_n \mathcal{I}_n(t, \mathcal{E}, \Delta)$$

[MB APLAT'21]



$\mathcal{I}_n$  analytic functions  
asymptotic series

$r_n$  free fit parameters

$r_0 \equiv \rho(s = \mathcal{E}^2)$

$r_n$  all physical  $\leftrightarrow \partial_s^n \rho$

# PROPOSED NUMERICAL STRATEGY

[MB, Hansen '20][MB APLAT '21]

In a finite-volume  $G^\ominus(t) = \sum_n \Theta(E_n - \omega, \Delta) c_n e^{-E_n t}$

1. **build**  $G^\ominus$  from original  $G$

i. **GEVP** large set of operators  $\rightarrow$  first  $N$  states

$$G^\ominus(t) = G(t) - \sum_{n=0}^N c_n \Theta(\omega - E_n, \Delta) e^{-E_n t}$$

ii. **numerical reconstruction** of  $G^\ominus$  a la Backus-Gilbert

2. **fit**  $G^\ominus$ ,  $t \in [t_{\min}, t_{\max}]$  using  $\mathcal{I}_n$  basis functions

similar numerical complexity of syst. error from excited states

3. fixed  $t$   $G^\ominus(t)$  is a smeared spectral density

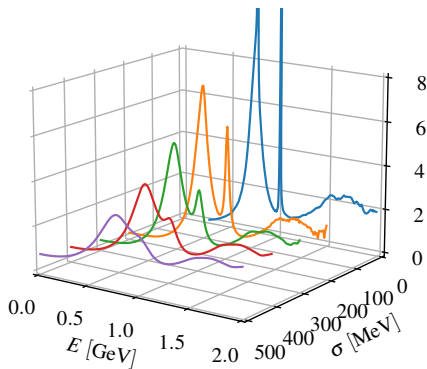
# CONCLUSIONS

Smeared spectral densities  $\rho_\sigma$  have physical meaning

$\sigma \gg 0$  needed to control finite-vol effects

is  $\sigma \simeq 0$  really needed for physics?

e.g. CMD3 vs BaBar vs KLOE  $\sigma \simeq m_\pi$  likely sufficient!



Thanks for the attention