



KM3NeT Data processing and Monte Carlo simulations

The path to cosmic neutrino event identification

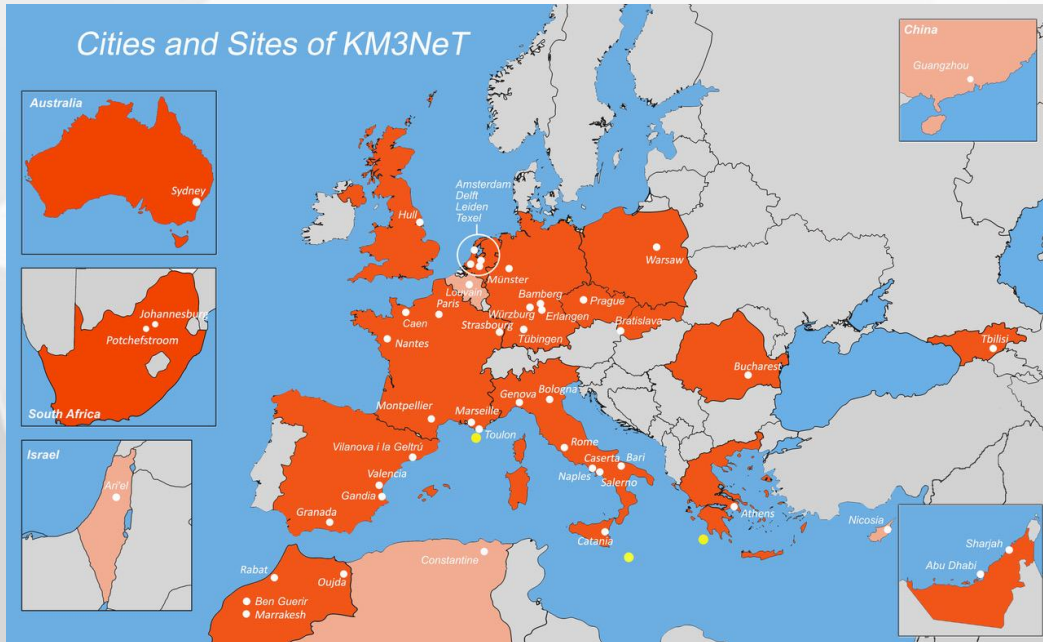
A.Sinopoulou

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Espresso talk, 22.11.2023

KM3NeT

KM3NeT is **1 collaboration** constructing **2 neutrino detectors** in the Mediterranean Sea, based on **1 technology**.



KM3NeT/ARCA:

observation of high energy neutrinos (GeV - TeV)

KM3NeT/ORCA:

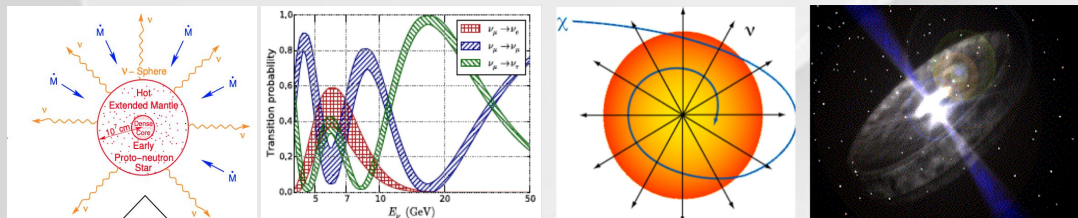
determination of the neutrino mass hierarchy (MeV)



KM3NeT innovative element Digital Optical Module (DOM)

Each DOM is a small detector instrumenting 31 3'' PhotonMultiplier tubes (PMTs)

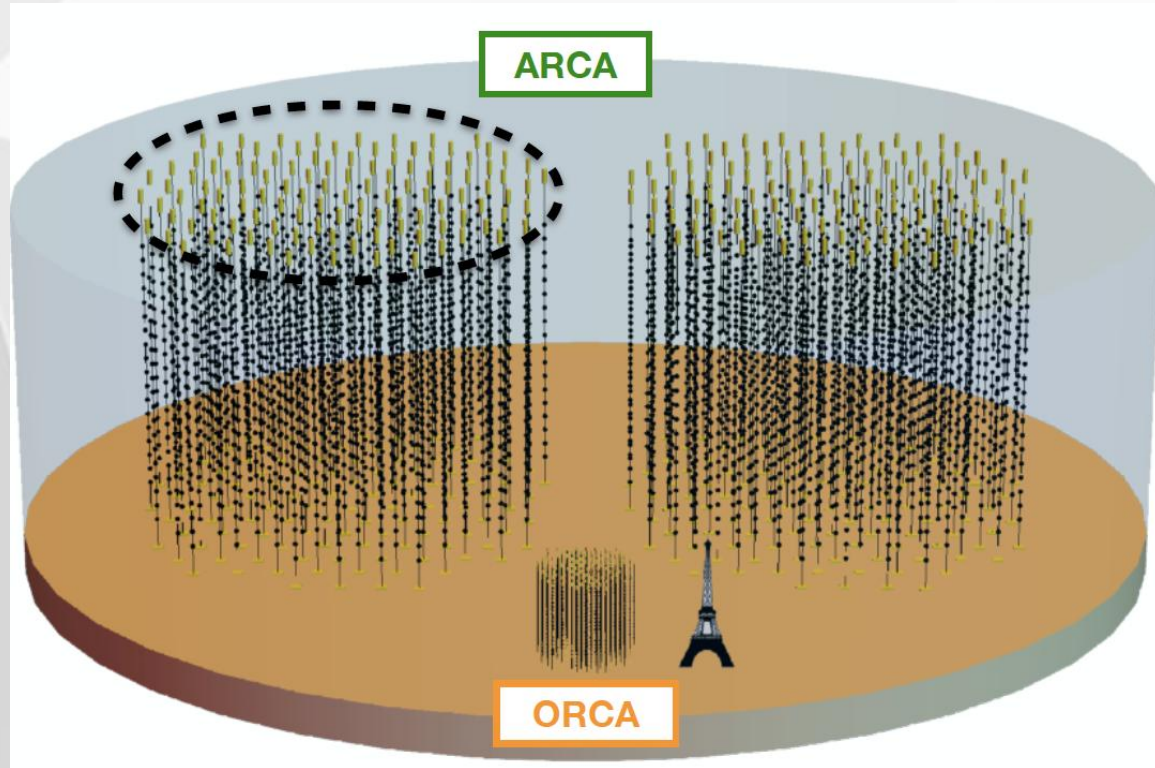
- ☺ 4 π signal coverage
- ☺ excellent angular resolution



Physics studies in a wide energy range
from MeV to PeV

KM3NeT detector configurations

Different objectives -> different geometries
BUT same technology !!!



*115 DUs per building block



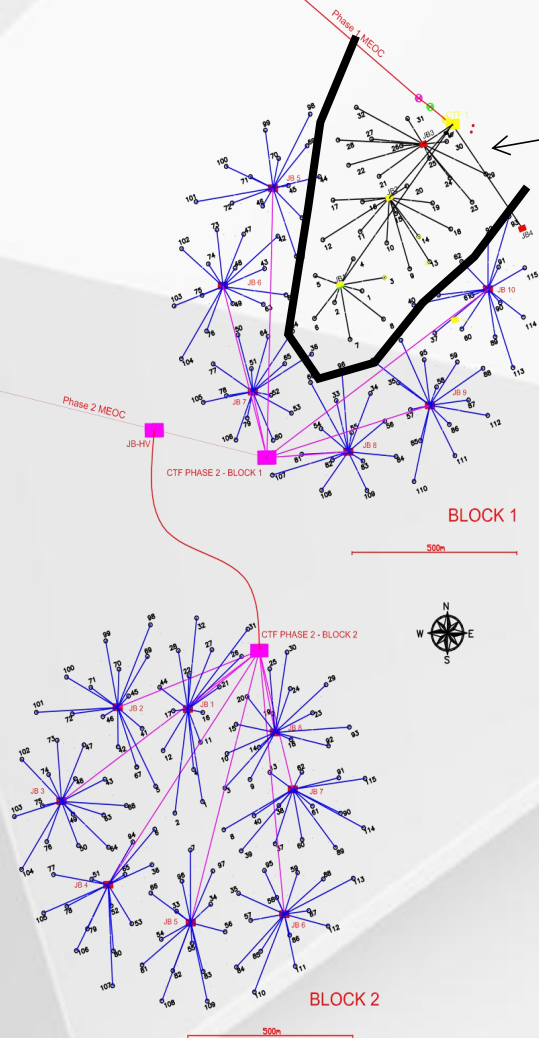
DOM

Detection Unit (DU)

	ORCA (denser)	ARCA (larger)
Eff. Mass	~ 7 Mt	~ 1 Gt
Line length	200 m	650 m
Interline distance	20 m	90 m
Vertical spacing	9 m	36 m
Depth	~ 2500 m	~ 3500 m

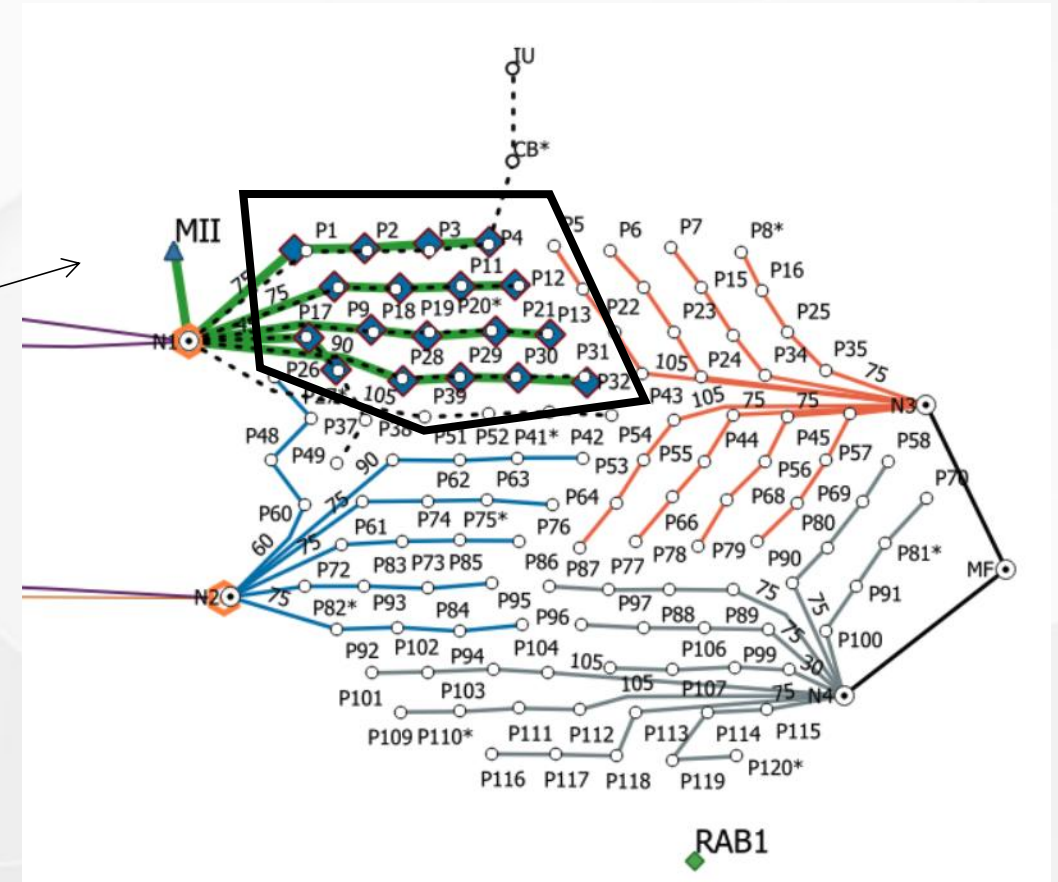
KM3NeT detector status

ARCA 2 b.b. footprint



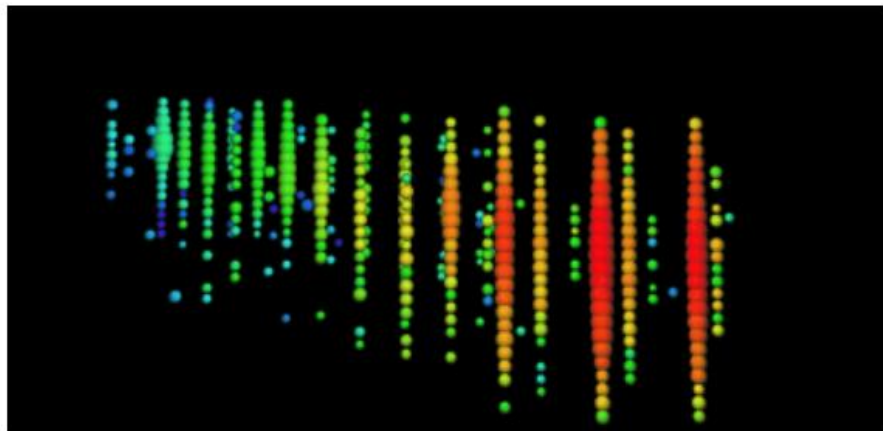
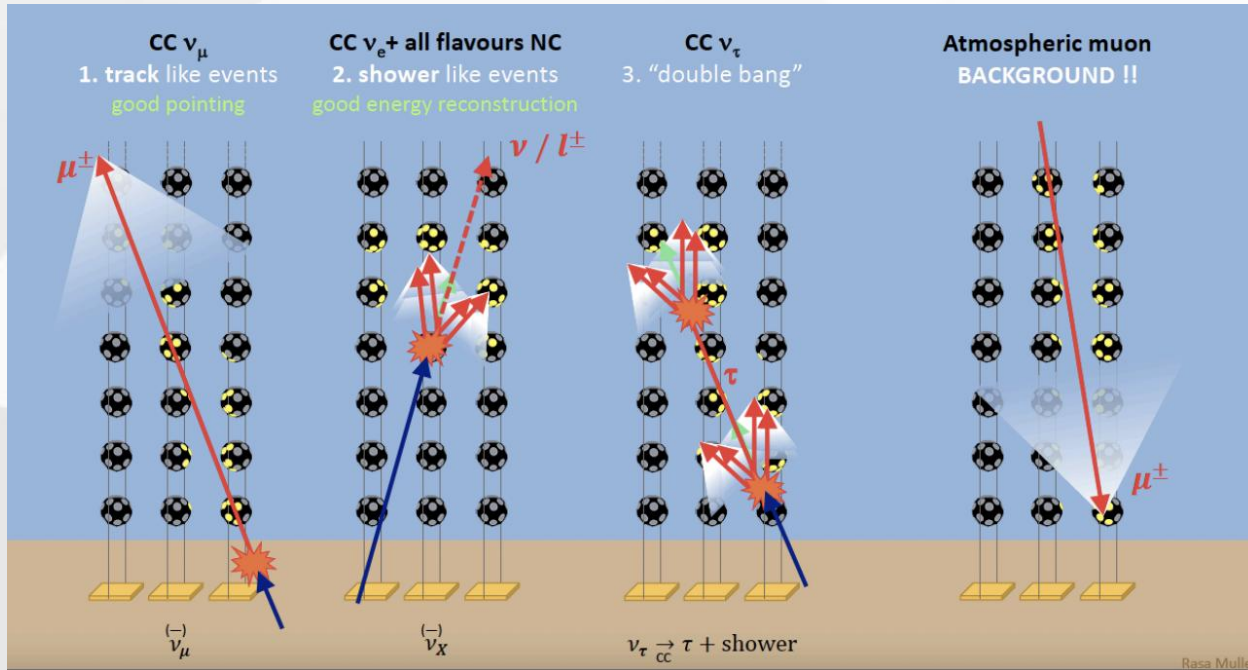
**Current data-taking ARCA configuration
28 DUs deployed**

ORCA b.b. footprint

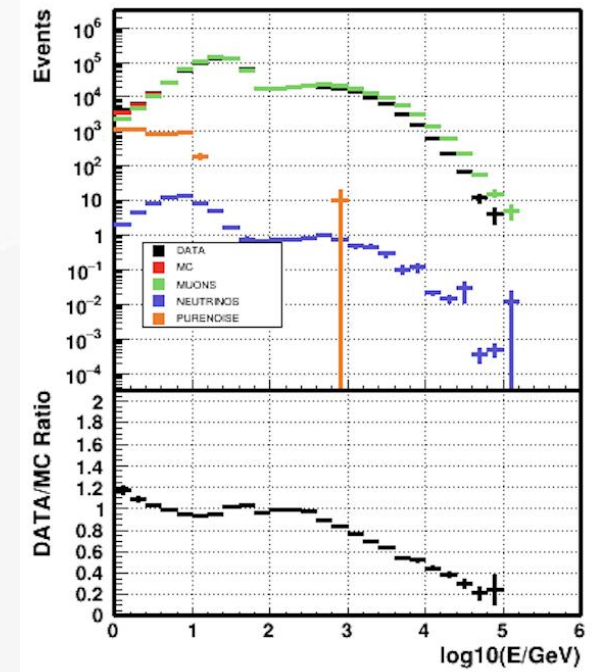


**Current data-taking ORCA configuration
18 DUs deployed**

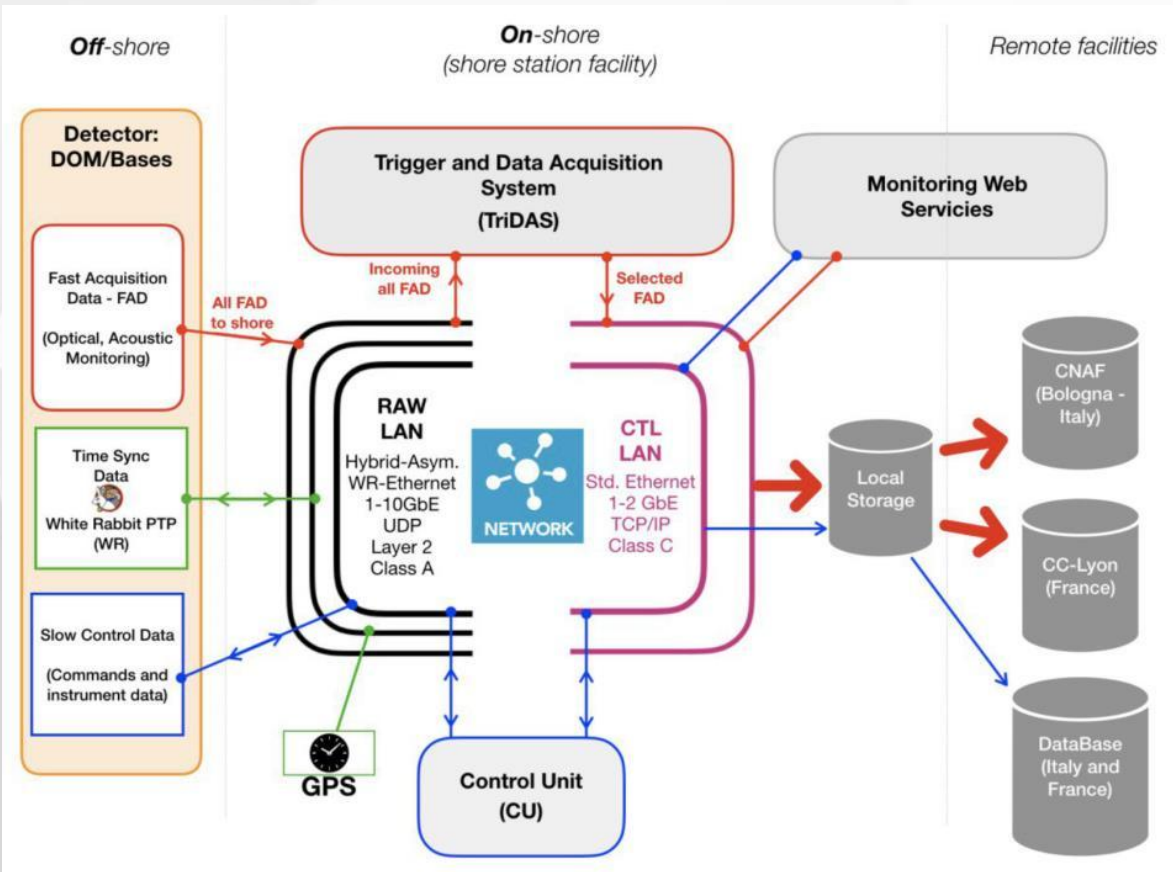
Data from detection to discovery...



IceCube real-time alert IC170922A was a muon neutrino with an estimated energy of 290 TeV. It pointed within 0.06 degrees at the active galaxy TXS0506+06 located four billion light-years from Earth.

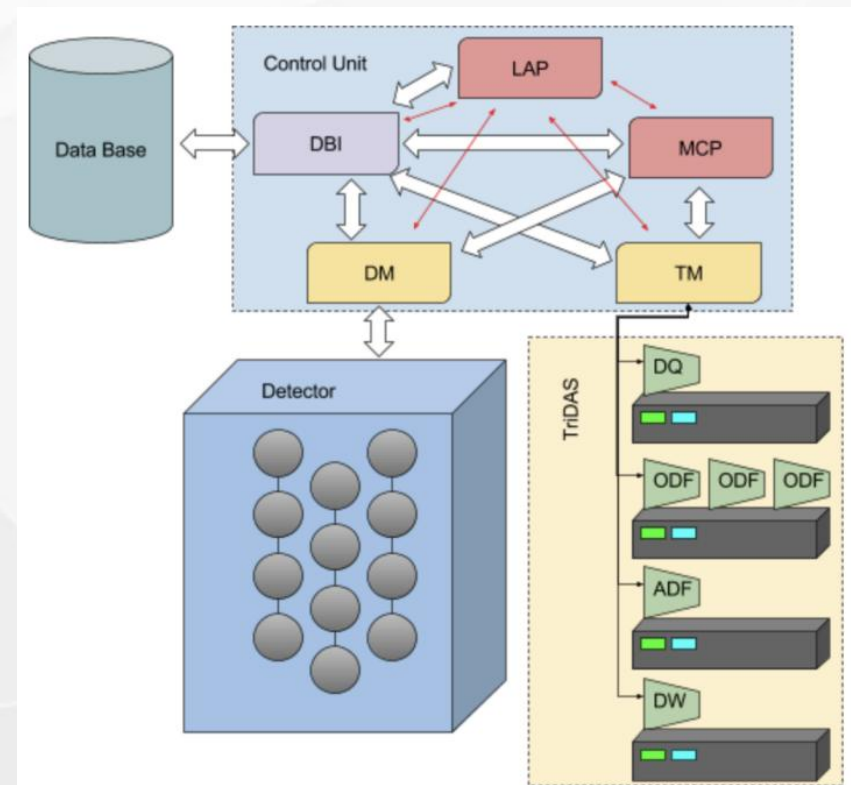


DAQ - how are data recorded and distributed to researchers ?



- complex DAQ structures in extreme conditions (mandatory: minimal underwater complexity)
- different data streams: optical, acoustic, etc.

“All-data-to-shore” principle
(a.k.a. trigger-less streaming readout)



signal-to-noise ratio extremely disfavoured :
 muon rate (atmospheric dominating) : $O(100)$ Hz/km³
 40K decays (~constant) : $O(10)$ kHz/PMT
 Bioluminescence (occasional) : $O(100)$ kHz/PMT

Calibrations - essential info to “correctly” reconstruct the events

In order to achieve the physics goals, we need to ensure:

- **Recording and registering very fast events, events happening in <ms.**
- Event reconstruction relies on **good time-position relative accuracy** within the detector.

GEOMETRY: PMT positions

Nominal positions: “Ideal” detector positions

ROV updated positions: “Actual” detector positions after deployment (GPS)

Compass corrections: Detector orientation

Acoustic Positioning System correction: based on hydrophones and emitters

Compass + Acoustic Positioning System: Fit of the DU position

Muon crosschecks: track quality etc...



TIME (T0): PMT time constants + efficiencies

Dark Room:

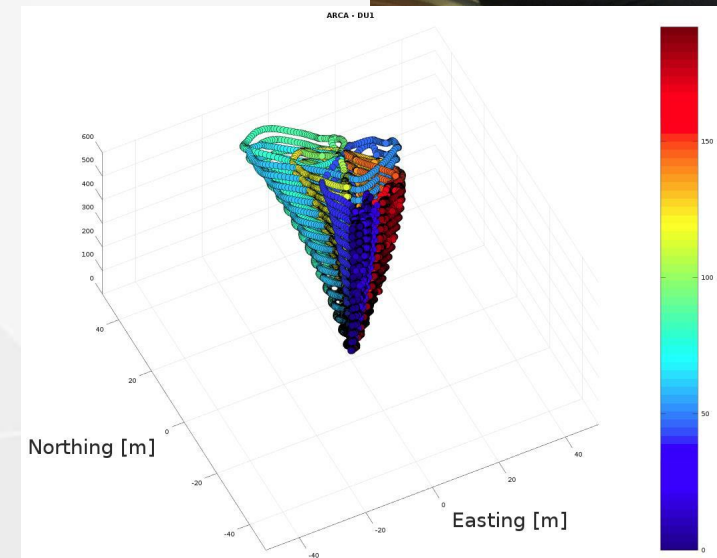
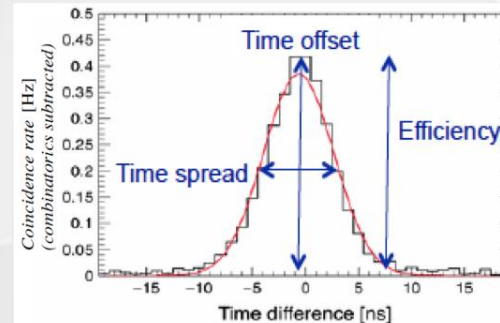
- intra-DOM, efficiencies (K40)
- inter-DOM crosschecks/tests with nanobeacons, muons (in-situ)

Dark Room to Sea:

- inter-DU

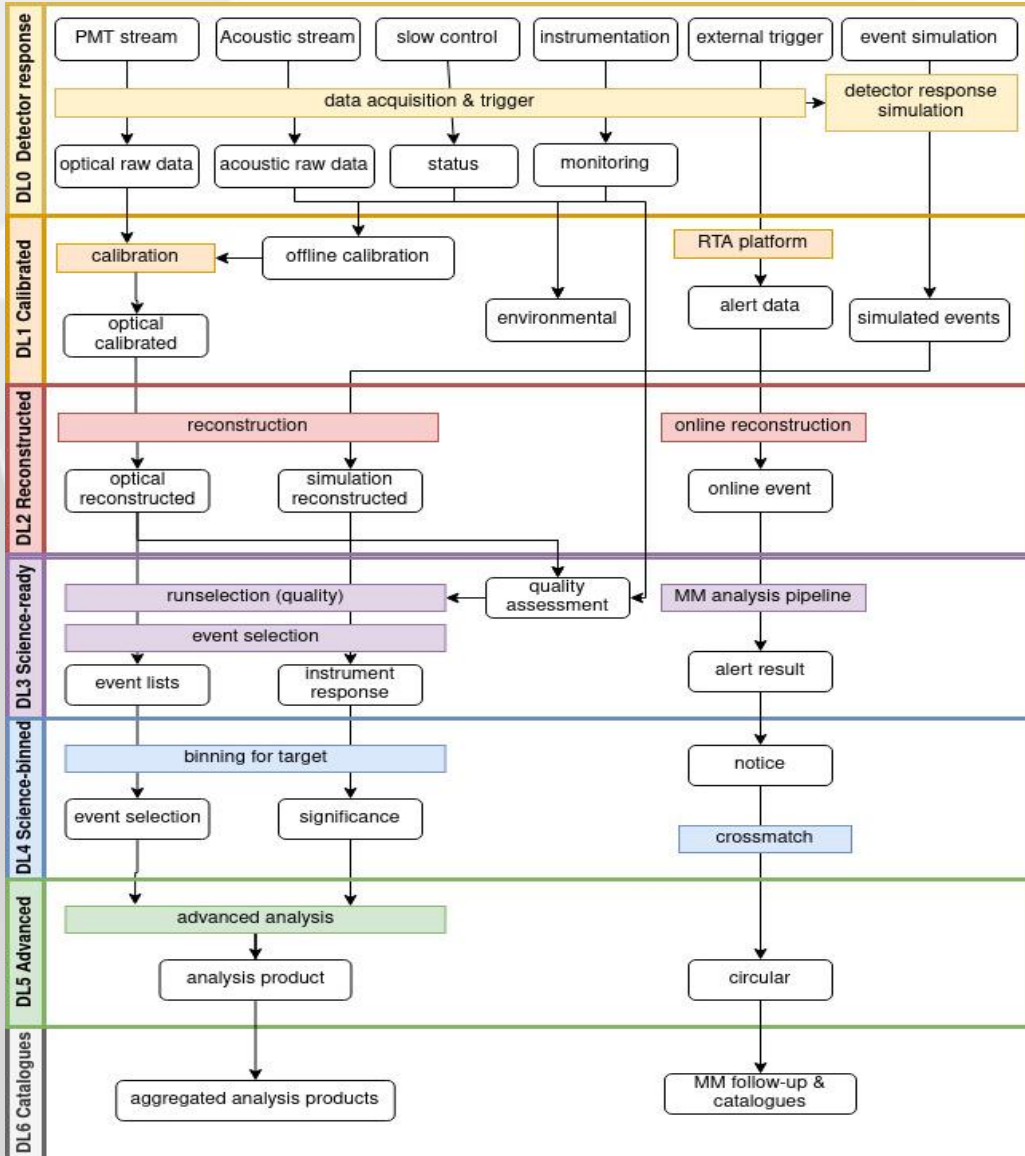
HV-tuning:

- PMT gain equalization



KM3NeT data management

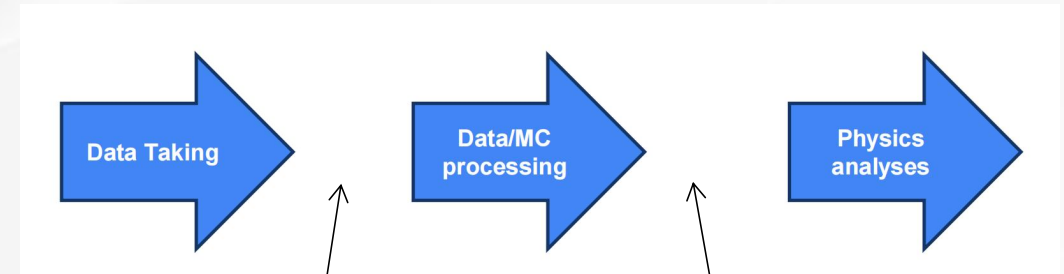
Data management distributed in levels.



Data Processing and Data Quality WG

The **Data Processing & Data Quality** working group (main) responsibilities are:

- To ensure the data taking quality by performing studies on quality assessments of the KM3NeT.
- To regularly process the data and to produce MC simulations ensuring that the input for data analyses are the best obtainable at a given moment.



data quality (level 1)
Investigate data “health” for issues, potentially not reproducible, in simulations

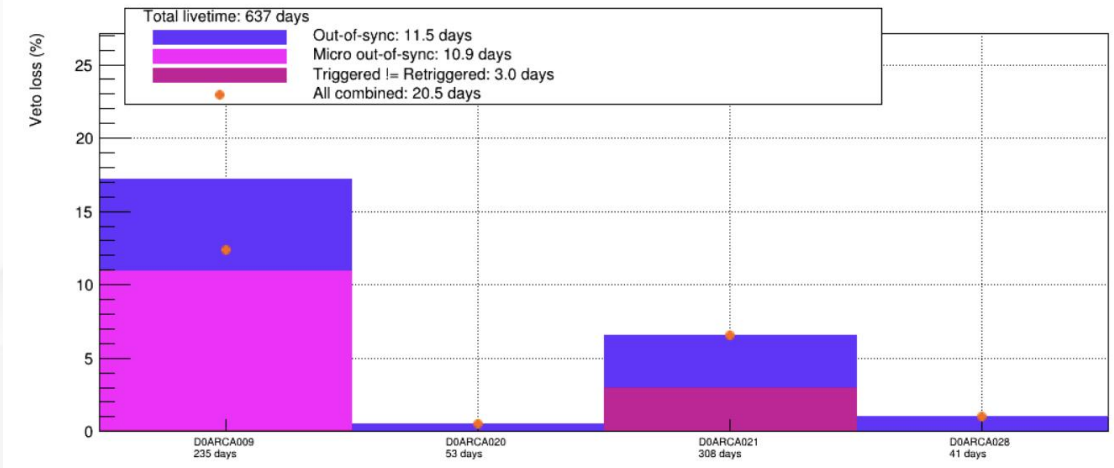
data quality (level 2)
Provide data/simulations runlists to be used for physics analyses

Data quality

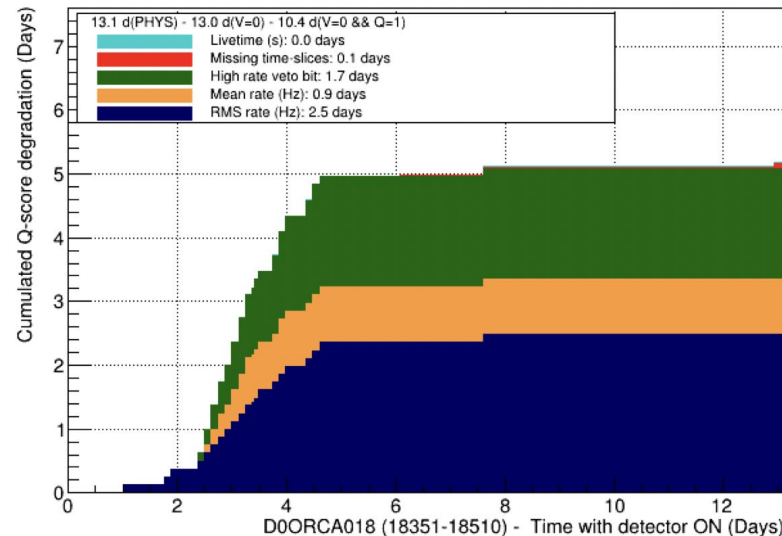
- Investigate data “health” - probable issues that may not be reproducible in simulations
- Cross check with database info and shift reports for potential problems or interruptions in the data taking

Access quality score (Q) and veto score (V) based on important observables during data taking

```
JDataQuality \
-D "$DETECTOR_ID"
-R "${RANGE%%-*} ${RANGE##*-}"
-f $QUALITY_TXT
-Q "livetime_s; 1200 60000"
-Q "(UTCMax_s - UTCMin_s) - livetime_s; -100 +100"
-Q "JDAQEvent / livetime_s; 0.05 50.0"
-Q "HRV; 0.00 0.2"
-Q "DAQ; 0.95 1.05"
-Q "WR; 0.95 1.05"
-Q "FIFO; 0.00 0.01"
-Q "MEAN_Rate_Hz; 4500 15000"
-Q "RMS_Rate_Hz; 200 5000"
-Q "Acoustics / livetime_s; 0.0 100.0"
-Q "AHRs / livetime_s; 0.0 100.0"
-V "(JDAQEvent - JTriggerReprocessor) * 1.0 / (JDAQEvent + 1.0e-10); -5.0e-3 +5.0e-3"
-V "out_sync; 0 0"
-V "out_usync; 0 0"
-o $QUALITY_ROOT
-d $DEBUG --!
```



Cumulated Q-score degradation (days) - Weekly



**ARCA with active DUs:
Veto-score monitoring**

**ORCA with active 18 DUs:
issues that affect the Q-score**

Data quality - WG developments & activities

Processing DAQ plots Defects Performance DQ plots OoS

All DQ tags Processing summary DQ summary D0ORCA018 ARCA

Job start: Mon, 30 Oct 2023 07:13 +0000
Run range: 16521 (Mon, 01 May 2023 09:30) - 19075 (Sat, 28 Oct 2023 18:01)
Link to [the job log file](#)

DQ tag: Default
Link to [the QAQC file](#)

Direct link to the variables: [UDP packet-loss \(DAQ\)](#) / [Trigger rate\(Hz\)](#) / [FIFO almost full](#) / [High rate veto bit](#) / [Out-of-sync](#) / [Number of PMTs](#) / [Normalized number of PMTs](#) / [Mean rate \(Hz\)](#) / [Missing time-slices](#) / [Normalized missingtime slices](#) / [White rabbit status](#) / [Livetime \(s\)](#) / [RMS rate \(Hz\)](#) / [Micro out-of-sync](#) / [Triggered != Retriggered](#) / [Acoustic rate](#) / [AHRS rate](#)

UDP packet-loss (DAQ)

D0ORCA018

Time (days)

Green rectangle: Q-score allowed range
● Not vetoed (1351 runs)
● Vetoed (66 runs)

Per-detector pages

Site-summary pages

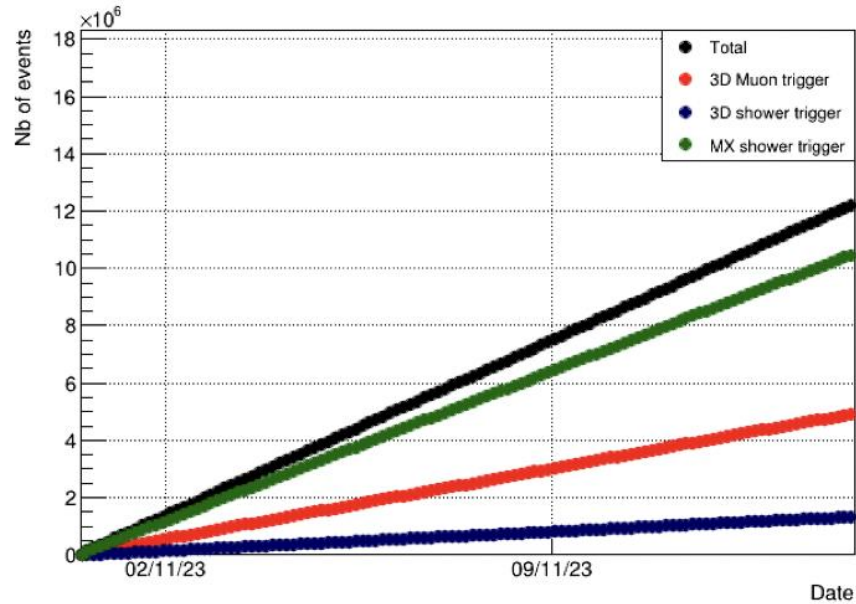
1. **Web page** to monitor data quality for each site.
2. Implementation of **defects** page: for each author, runs, DP-DQ-DAQ, issue “severity”, possible recovery.
3. **Out-of-Sync issue investigation online.**
4. **Cross-checking** of defects with DQ observables and data quality health.
5. **Quality score degradation investigation.**
6. Optimization of the **veto** score conditions.

Biweekly report on the data-taking quality status in DPDQ group meetings.

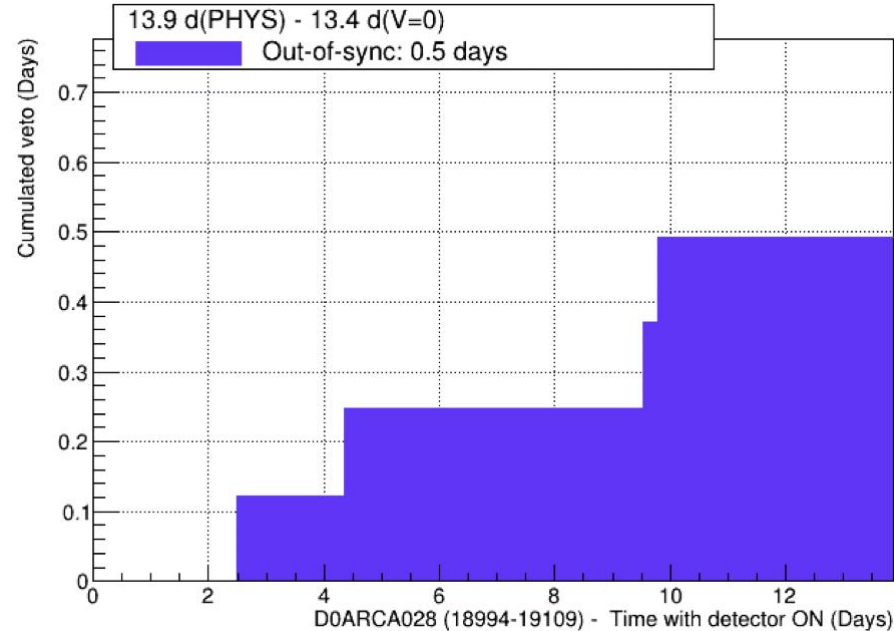
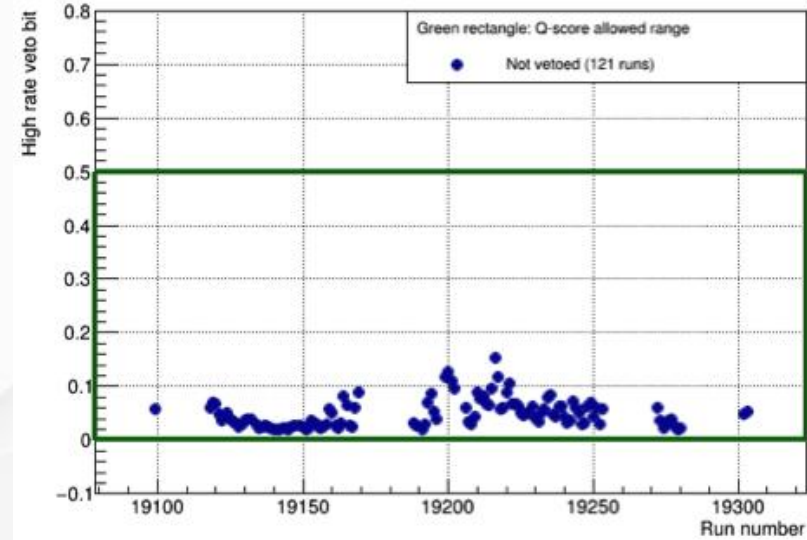
Discussion on on-going issues.

Data quality - Data taking monitoring (a few examples)

D0ARCA028

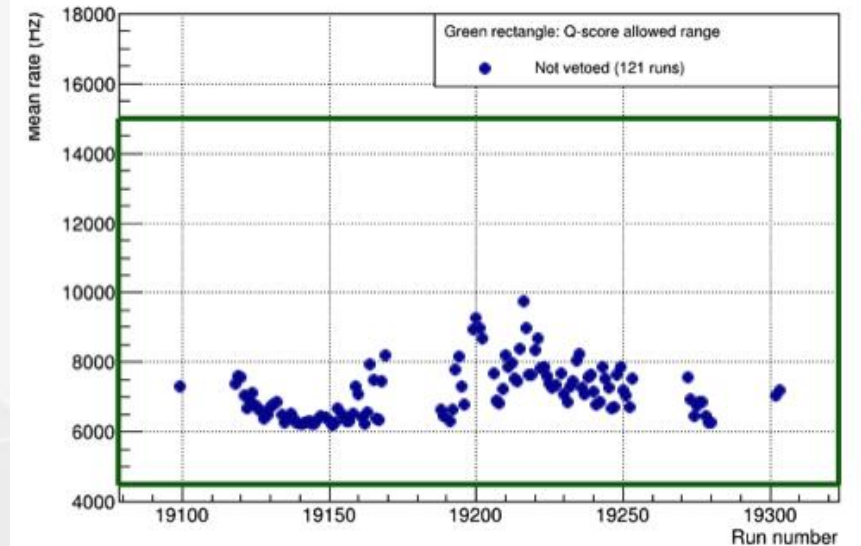


D0ORCA018 - Weekly



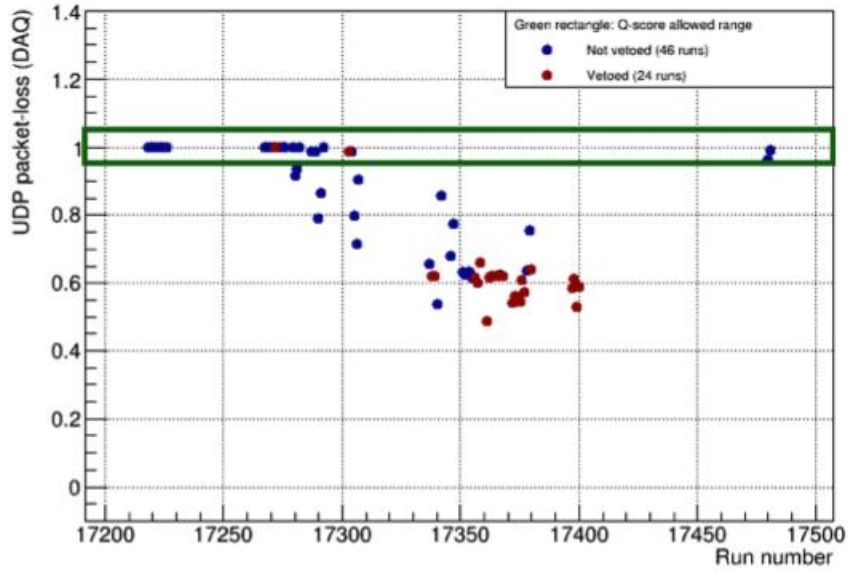
ARCA detector:
stable data taking
a few Out-of-Sync cases

ORCA detector:
hiccups in the data taking
(understood, not warning)
moderate bioluminescence
activity



Data quality - Developments/Investigations (a few examples)

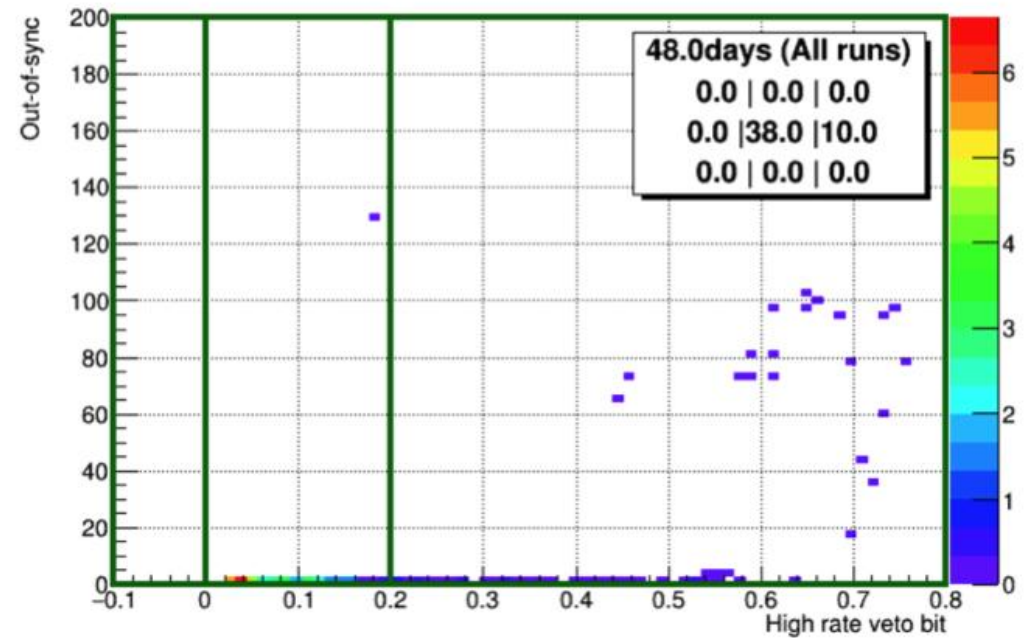
D0ORCA018 - Weekly



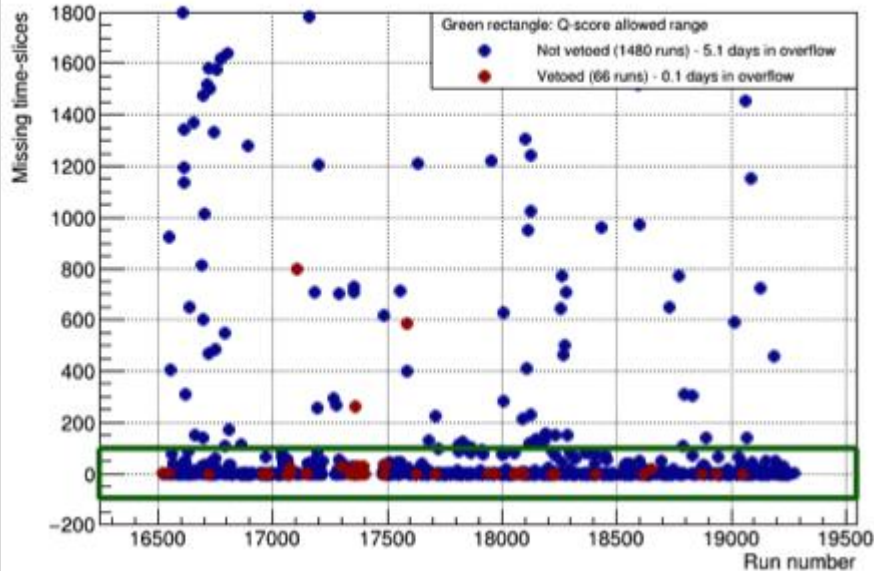
DAQ plots added in the web page

Correlate issues with Out-of-Sync cases

D0ORCA018



D0ORCA018



Missing timeslices investigation

$$d = | (\text{Run time stop} - \text{run time start}) - \text{run livetime} |$$

Data processing

- Organize & schedule mass and test processings.
- Implement mass processing tool/workflow.
- Performance investigations (collab. with software WG and with simulations WG).
- Monitor the computing systems usage.
- Maintain documentation.

Data-taking periods to be processed [edit]

ARCA data-taking periods

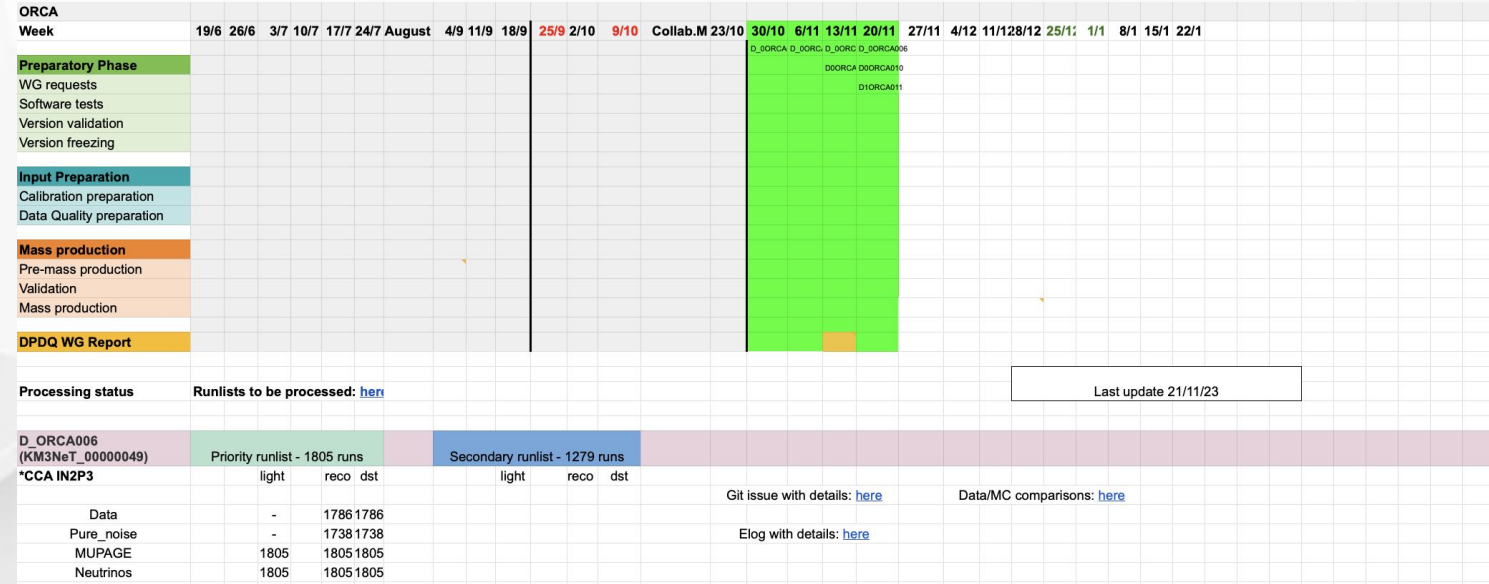
Detector (DB naming)	Detector ID (DB number)	Run range	Dates
D0ARCA020	116	12288 - 13197	July - September 2022
D0ARCA021	133	13198 - 17664	September 2022 - 11 September 2023

ORCA data-taking periods

Detector (DB naming)	Detector ID (DB number)	Run range	Dates
D_ORCA006	49	7221 - 11296	February 2020 - November 2021
D0ORCA010	100	11323 - 13212	December 2021 - May 2022
D0ORCA007	110	13213 - 13703	May - June 2022
D1ORCA013	117	13704 - 13961	July 2022
D0ORCA011	123	13964 - 14318	August - September 2022
D1ORCA011	132	14356 - 15146	October - December 2022
D0ORCA015	138	15158 - 16339	December 2022 - April 2023
D1ORCA015	146	16341 - 16516	5 April 2022 - 29 April 2023
D0ORCA018	148	16517 - 18298	May 2023 - 31st August 2023

Computing Centers & Taskforces [edit]

Computing center	Detector	Taskforce
CC Lyon	D_ORCA006, D0ORCA018	Santiago, Walid
Nikhef	D0ORCA011, D1ORCA011, D0ORCA015, D1ORCA015	Jhllik, Vincent, Mieke
CNAF	D0ARCA020, D0ARCA021	Vittorio, Anna, Mieke
ECAP	D0ORCA010 (KM3NeT_00000100), D0ORCA007 (KM3NeT_00000110), D1ORCA013 (KM3NeT_00000117)	Kay

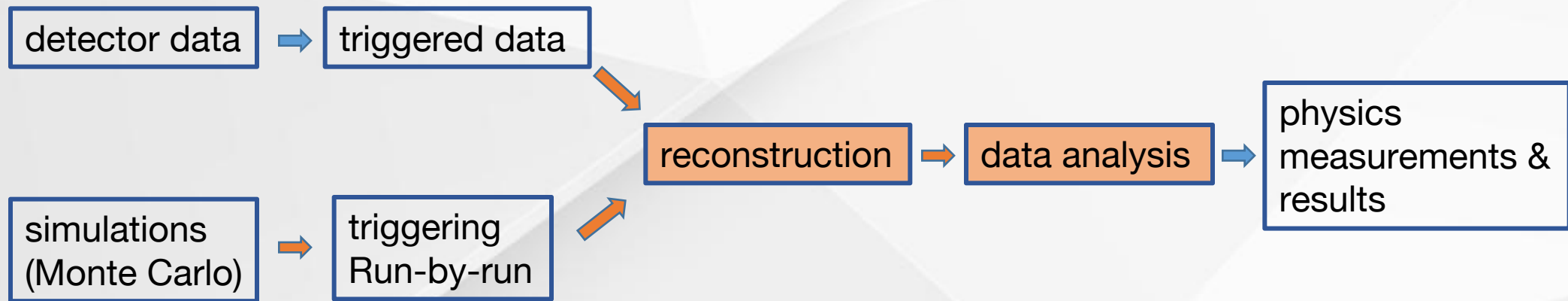


Data processing - General idea

In data processing, **recorded data are reconstructed, simulations are produced, triggered** according to the detector condition by the time of the data taking (**Run-by-run**) and **reconstructed**.

Part of the group task is to verify the “correctness” of the processing and the input by performing a primary **data analysis** (data/MC comparisons etc.).

**Run-by-run approach: using the detector conditions, from a given run for: hit rates (including HRV), detector geometry, PMT efficiency from K40 analysis etc, -> reproducing the run conditions as good as possible.*



Simulation chain:

Event generation (atm. muons, neutrinos) -> Light simulation ->

Detector response simulation (data conditions) -> Event reconstruction (track, shower)

Data processing - New Workflow - Currently used for the 1st time

Using containerized software:

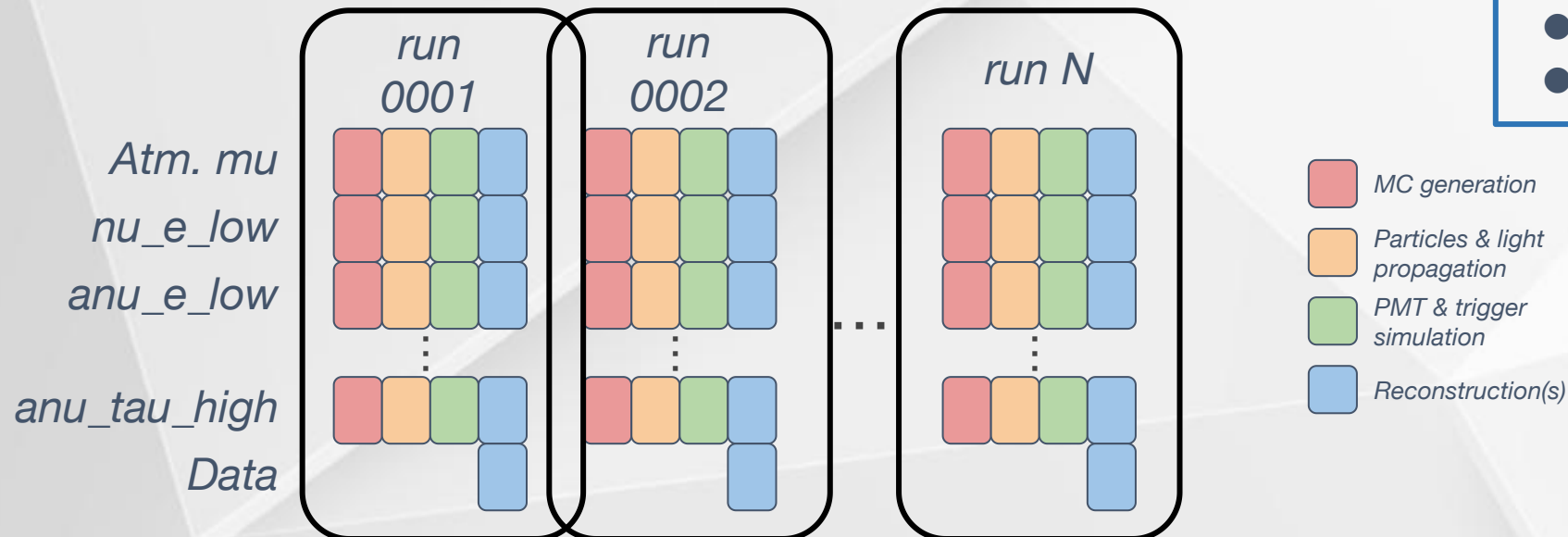
- Ensuring software versions
- No doubt about other dependencies (e.g. which ROOT version)
- Can also include processing tools used in the path

Using a workflow management system:

- Optimize execution, monitoring performances
- Decouple job submission from workflow implementation
- Take care of logging
- Mutualizes resources, allow for early file merging
- Optimizes disk-space used during processing

Workflow steps:

- **Input collection:**
 - Raw data (iRods), online detx (DB), offline detx (git)
- **Generation:**
 - gSeaGen, MUPAGE
- **Light propagation:**
 - Km3sim, JSirene
- **Trigger:**
 - JTERBR, pure_noise MC
- **Reconstruction**
- **DST files production**



Data processing - How to

Simple use: Making a .yaml file containing software needs, input, versions and desired output!

List of files to produce. Contains all information about the processing steps:

```
to_produce:  
- "{detid}/{run}/reco/{version}.data.jorcarec.jshower.aanet.{detid}.{run}.root"  
- "{detid}/{run}/reco/{version}.mc.mupage_std.sirene.jterbr.jorcarec.jshower.aanet.{detid}.{run}.root"  
- "{detid}/{run}/reco/{version}.mc.gsg_neutrinos.merged.jterbr.jorcarec.jshower.aanet.merged.{detid}.{run}.root"  
- "{detid}/{run}/reco/{version}.mc.pure_noise.jterbr.jorcarec.jshower.aanet.{detid}.{run}.root"
```

Merging rules to define how to produce combined files

```
light:  
  "{detid}/{run}/light/{version}.mc.gsg_nue_CC.merged.{detid}.{run}.root":  
  - "{detid}/{run}/light/{version}.mc.gsg_nue_low_CC.km3sim.{detid}.{run}.root"  
  - "{detid}/{run}/light/{version}.mc.gsg_anue_low_CC.km3sim.{detid}.{run}.root"  
  - "{detid}/{run}/light/{version}.mc.gsg_nue_high_CC.sirene.{detid}.{run}.root"  
  - "{detid}/{run}/light/{version}.mc.gsg_anue_high_CC.sirene.{detid}.{run}.root"  
  - "{detid}/{run}/light/{version}.mc.gsg_nue_higher_CC.sirene.{detid}.{run}.root"  
  - "{detid}/{run}/light/{version}.mc.gsg_anue_higher_CC.sirene.{detid}.{run}.root"
```

Monte-Carlo generator setup

```
gseagen:  
  types:  
    nue_low_CC: {flavour: 12, nev: 6e4, emin_gev: 1, emax_gev: 100, can_margin_m: 80, gspec: 3.0, part_int: CC, tune: "G18_02a_00_000"}  
    anue_low_CC: {flavour: -12, nev: 6e4, emin_gev: 1, emax_gev: 100, can_margin_m: 80, gspec: 3.0, part_int: CC, tune: "G18_02a_00_000"}
```

Software container version and generic options.

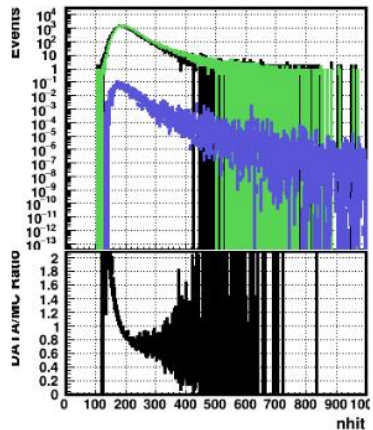
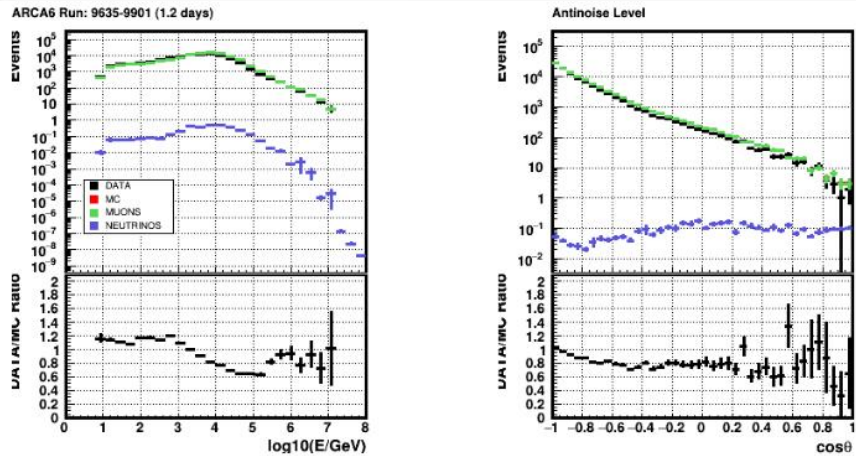
```
00000049/00007794  
├── calibration  
├── detector  
│   └── logs  
├── generator  
│   ├── benchmarks  
│   ├── logs  
│   └── parameters  
├── light  
│   ├── benchmarks  
│   ├── logs  
│   └── parameters  
├── reco  
│   ├── benchmarks  
│   └── logs  
└── trigger  
    ├── benchmarks  
    └── logs
```

Main advantage: Compatible with multiple Computing Centers -> Optimizes the mass processing procedures.

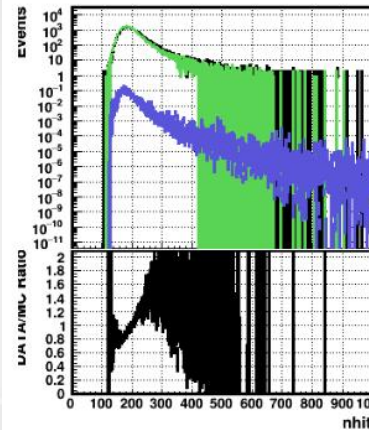
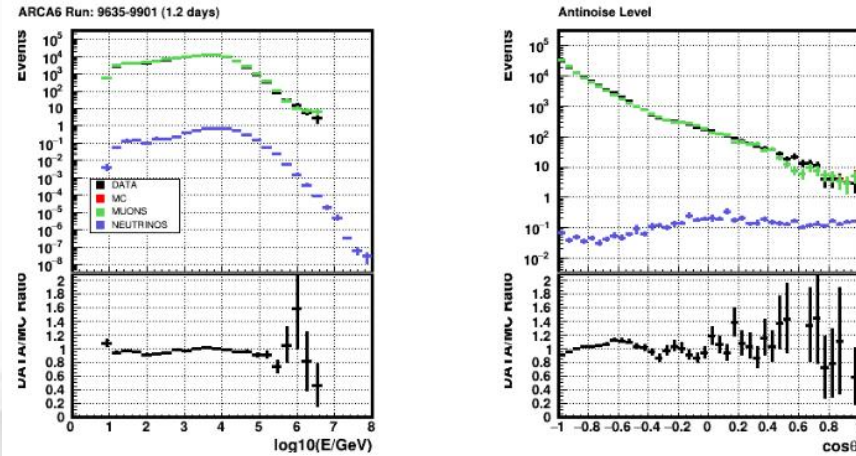
Processing checks & investigations - optimization of simulations input

- Comparing distributions of “basic” variables for data and MC.
- Investigated the MUPAGE (atm. muon simulation) parametrization tunings.

MUPAGE tuning 1



MUPAGE tuning 2



Important variables compared in the first checks:
LogEnergy
CosTheta
N. of reconstructed hits
N. of triggered hits etc.

Mass processing checking tool by C. Distefano

Processing checks & investigations - optimization of simulations input

- Comparing distributions of “basic” variables for data and MC.
- Investigated the MUPAGE (atm. muon simulation) parametrization tunings.

MUPAGE tuning 1

```
Livetime
-----
Units Data   Muons   Neutrinos
-----
sec  104692  210930  15396.6
days 1.21172  2.44132  0.178201
-----

Number of events
-----
Level   Data   Muons   Neutrinos
-----
PreCut  151791 294049  0.69816
Antinoise 97269 213512  0.518314
Upgoing  914    2366   0.307737
Select  13     57     0.0717727
-----

Event rates
-----
Level   Data      Muons      Neutrinos  DATA/MC
-----
PreCut  1.44988   1.39406    4.53451e-05 1.04004
Antinoise 0.929093  1.01224    3.36642e-05 0.917853
Upgoing  0.00873034 0.011217  1.99873e-05 0.777978
Select  0.000124173 0.000270232 4.6616e-06  0.451413
-----

Events in DATA livetime
-----
Level   Data   Muons   Neutrinos
-----
PreCut  151791 145947  4.6904
Antinoise 97269 105974  3.47925
Upgoing  914    1174.34 2.06152
Select  13     28.2886 0.483032
-----

Run list 6
Run processed 6
```

MUPAGE tuning 2

```
Livetime
-----
Units Data   Muons   Neutrinos
-----
sec  104692  115830  104692
days 1.21172  1.34062  1.21172
-----

Number of events
-----
Level   Data   Muons   Neutrinos
-----
PreCut  154735 163144  7.021
Antinoise 100043 112953  5.08005
Upgoing  864    853    3.23718
Select  5     12     0.453275
-----

Event rates
-----
Level   Data      Muons      Neutrinos  DATA/MC
-----
PreCut  1.478     1.40848    6.70631e-05 1.04935
Antinoise 0.95559   0.975162   4.85236e-05 0.979925
Upgoing  0.00825275 0.00736424 3.09209e-05 1.11992
Select  4.7759e-05 0.0001036  4.32959e-06 0.440653
-----

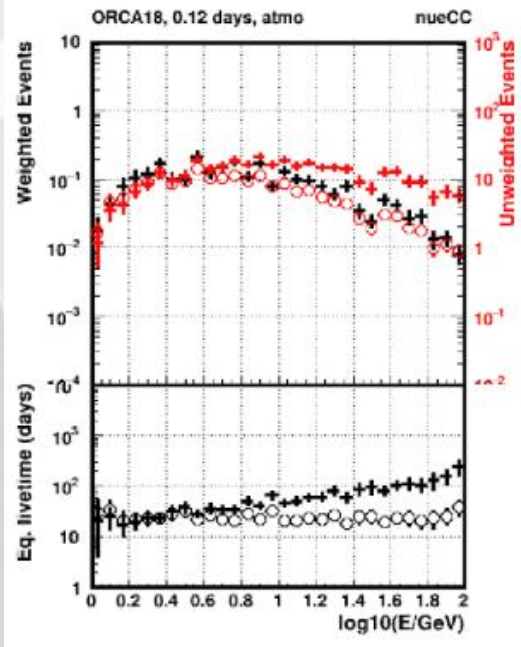
Events in DATA livetime
-----
Level   Data   Muons   Neutrinos
-----
PreCut  154735 148260  7.021
Antinoise 100043 102688  5.08005
Upgoing  864    771.342 3.23718
Select  5     10.966  0.453275
-----

Run list 6
Run processed 6
```

Important variables compared in the first checks:
Data/MC comparisons in different analysis levels. Numbers extrapolated to data livetime. Trigger level is also reported.

Processing checks & investigations - data/MC comparisons

- Detailed investigations of the processings to be used for physics analyses
- Investigations in different levels (generation, light simulation, reconstruction)
- Plans to include benchmark checks per processing step



Generation level:

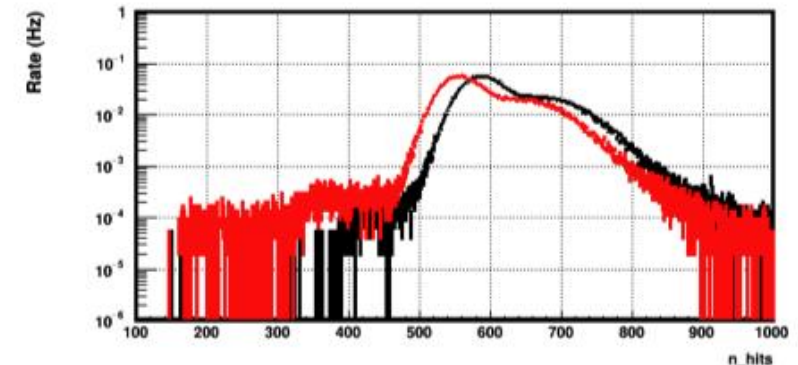
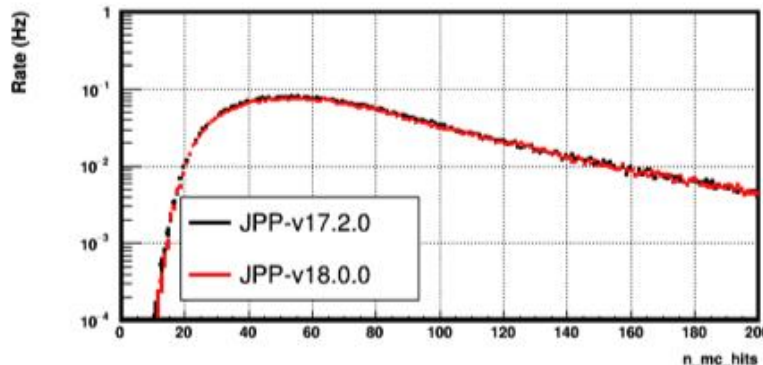
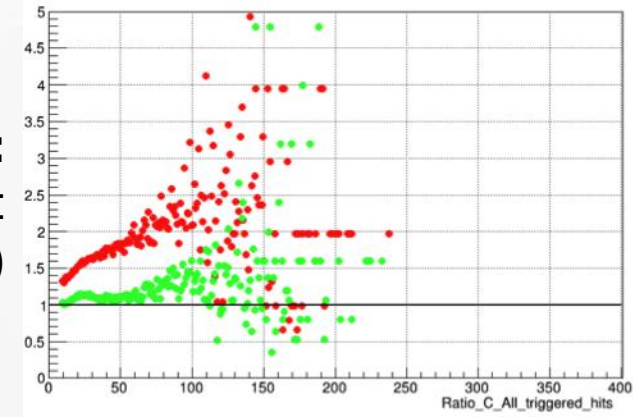
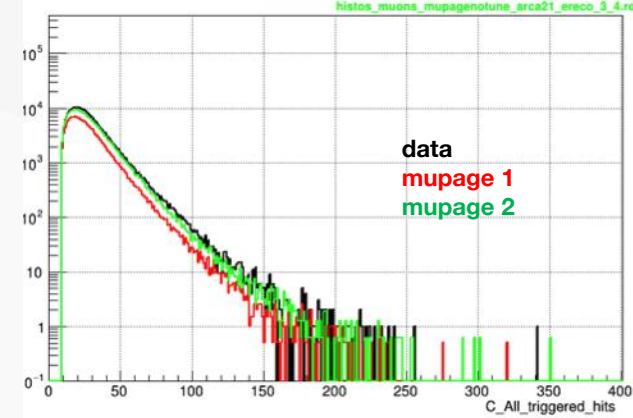
Unweighted/weighted events investigation

Reconstruction level:

Comparison of data with 2 different atmospheric muon productions (tunings)

Trigger level:

Comparisons of productions with different software versions

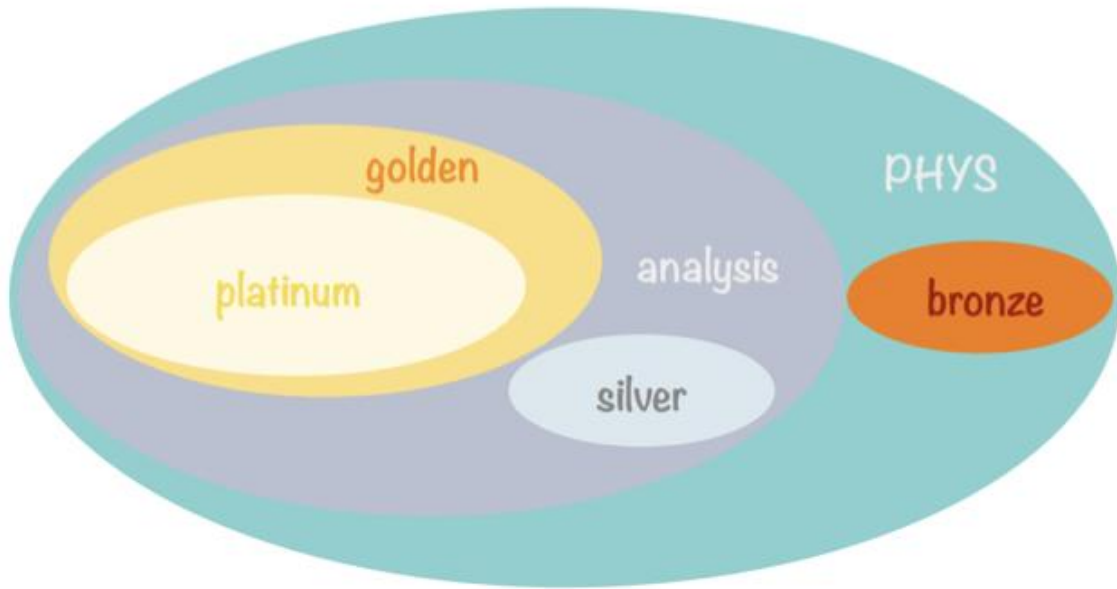


Data quality - “safe” data/MC to be used for physics analyses

- Optimize quality criteria to classify runs that can be used in the analyses – physics runlists.
- Data/data comparisons (investigate differences between data-taking periods)

Physics runlists classification scheme

golden, silver etc. lists



Classification according to data/MC degradation

What is acceptable ?

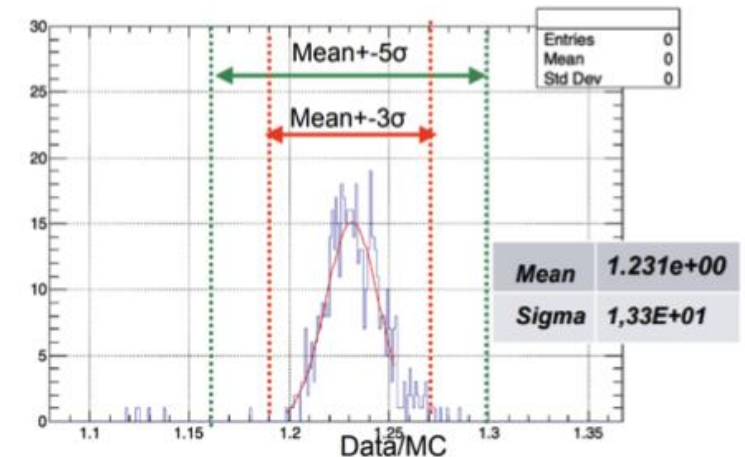
- Golden Run 🖱️ $V=0 \ \&\& \ Q=1 \ \&\& \ \text{data/MC} = \text{Mean} \pm 3\sigma$

- Silver run 🖱️

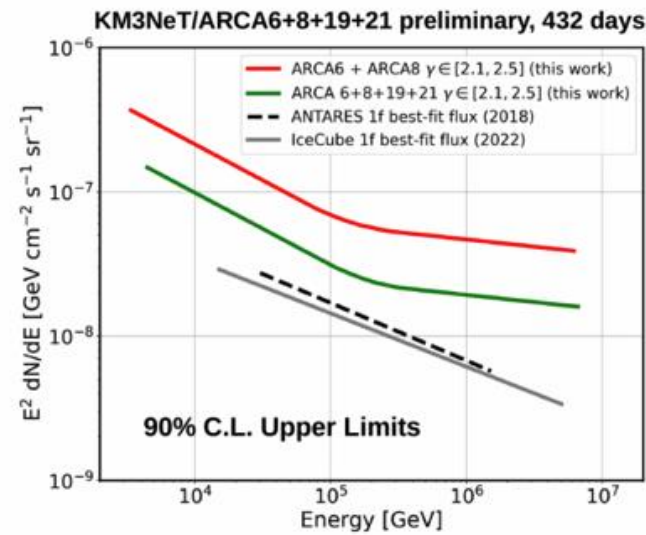
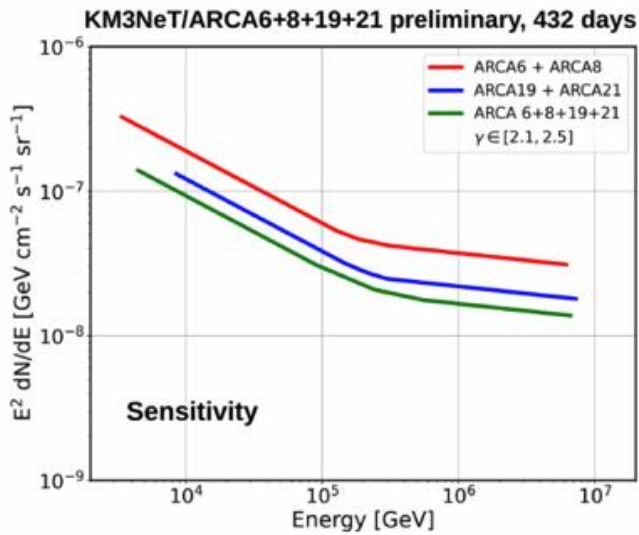
- OOS=1
- Missing Time Slice <500
- Live Time < 1200s
- $3\sigma < \text{Data/MC} < 5\sigma$

- Bronze runs 🖱️ all the remaining

By R. Coniglione



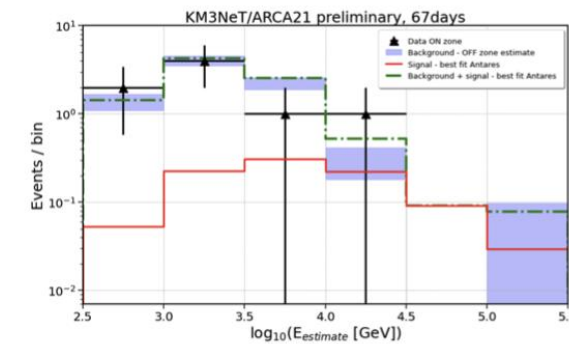
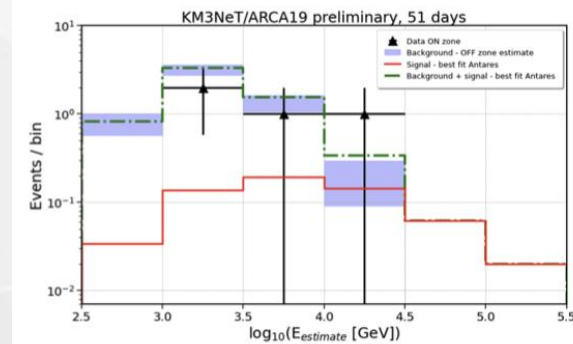
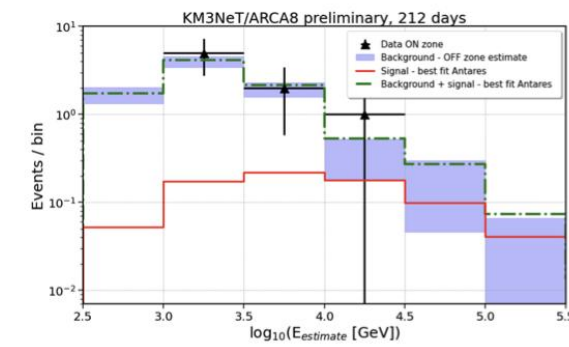
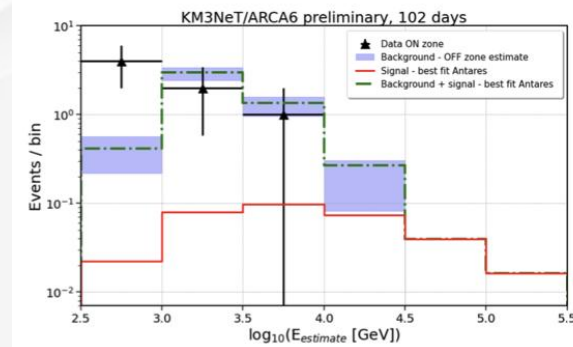
Physics data analyses - Astrophysical analyses performed with KM3NeT data



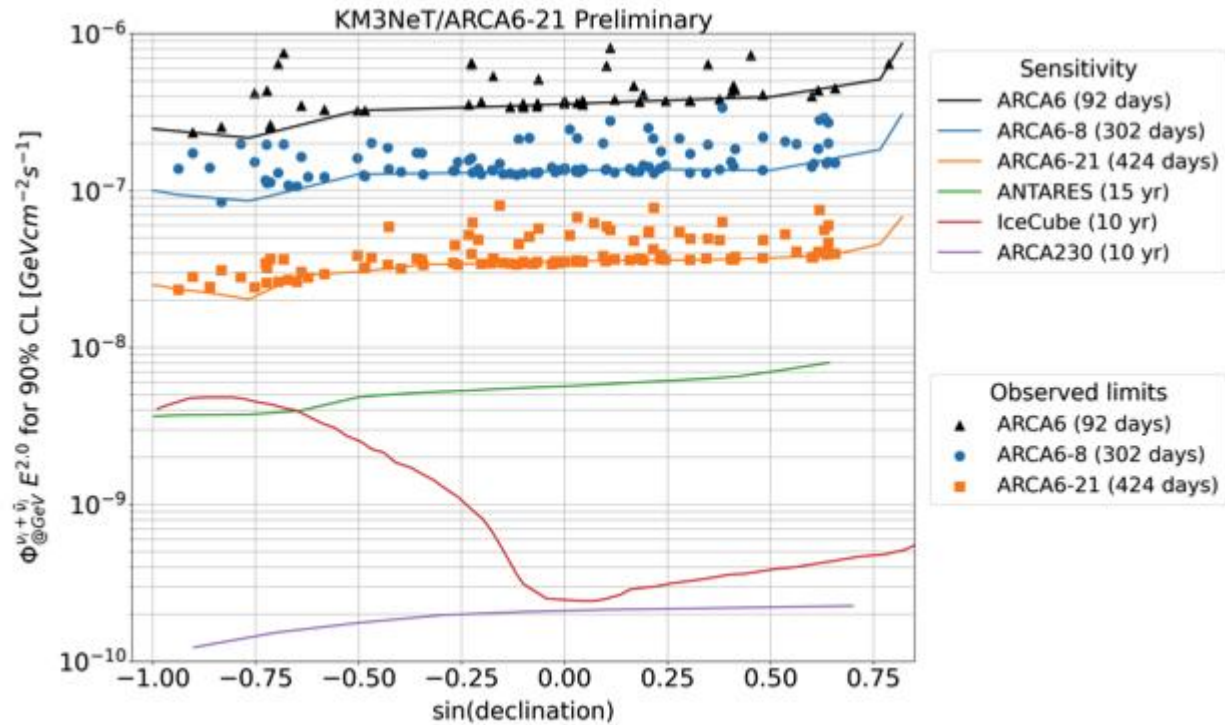
Search for a diffuse astrophysical neutrino flux from the Galactic Ridge using KM3NeT/ARCA data
<https://pos.sissa.it/444/1190/pdf>

Search for a diffuse astrophysical neutrino flux with KM3NeT/ARCA

<https://pos.sissa.it/444/1195/pdf>



Physics data analyses - Astrophysical analyses performed with KM3NeT data



Search for cosmic neutrino point sources and extended sources with 6-21 lines of KM3NeT/ARCA

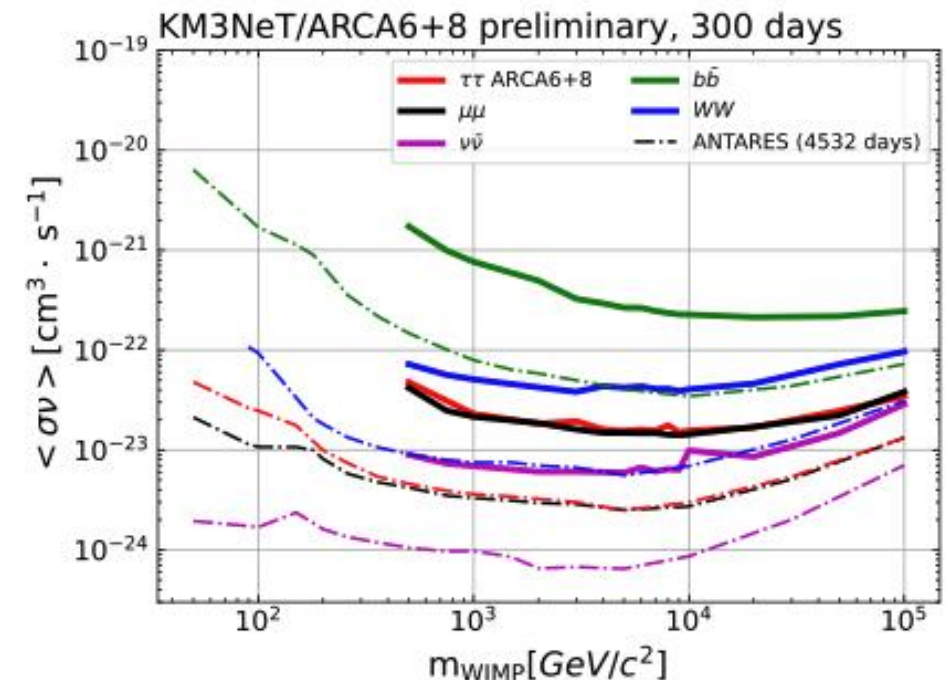
<https://pos.sissa.it/444/1018/pdf>

and many more to come soon...

KM3NeT upper limits quickly reaching the ANTARES 15yr limits

Indirect Search for Dark Matter with the KM3NeT Neutrino Telescope

<https://pos.sissa.it/444/1377/pdf>



Physics data analyses - Multimessenger program

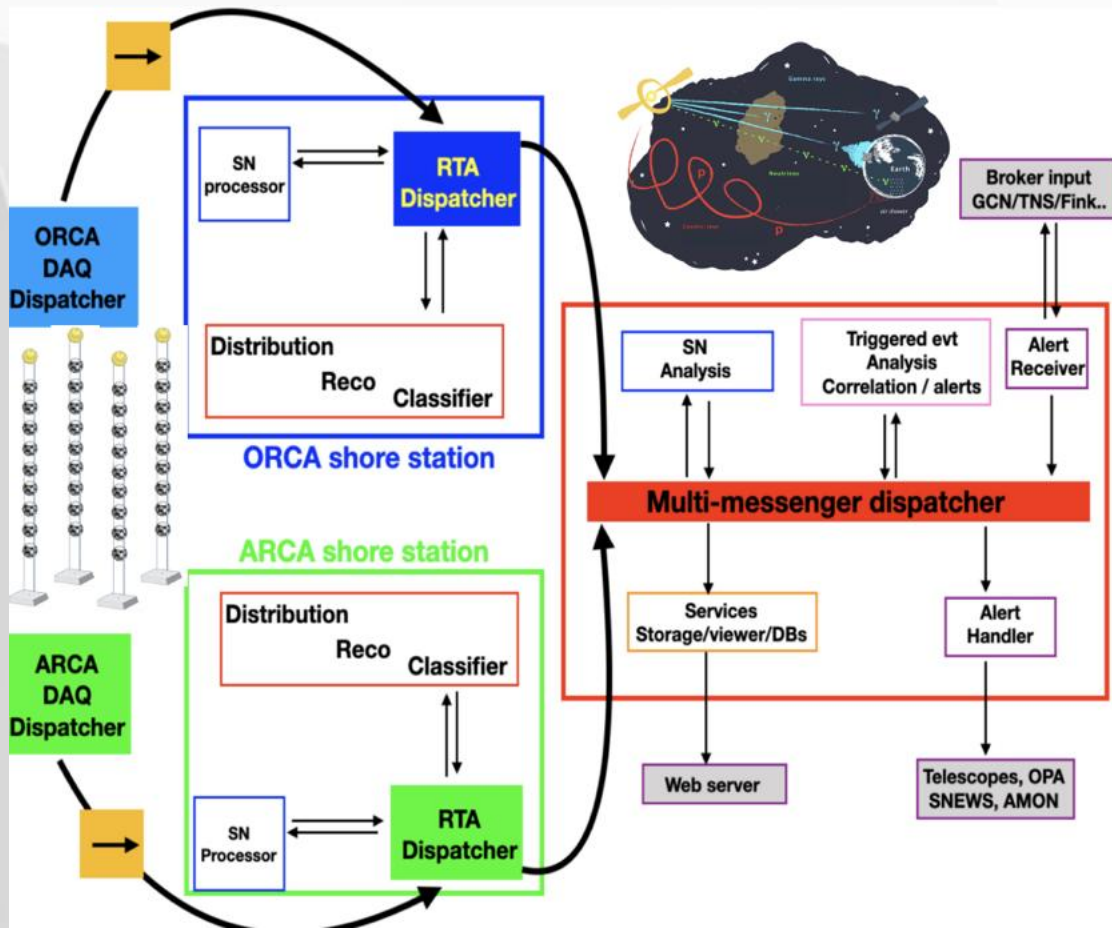
With the Real-Time Analysis platform, we perform:

- Auto-correlation searches
- Follow-up studies

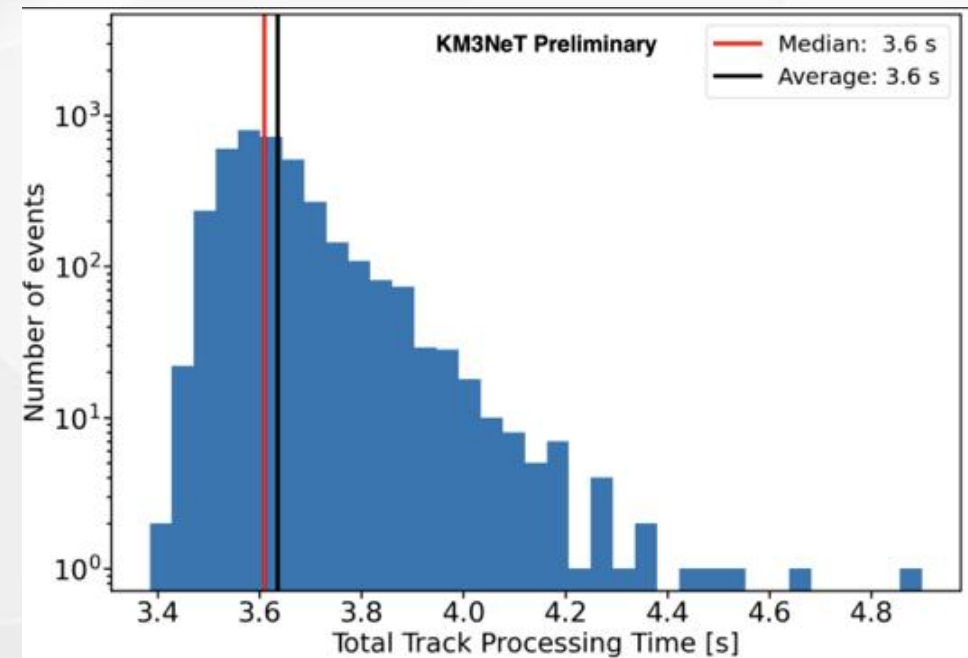
starting automatically whenever an interesting external alert is received

By 2024, high-energy neutrino alerts will be sent in real-time!!!

The RTA platform of KM3NeT



In ARCA21 a median delay of 4 s is obtained from data filtering to classification, including event buffering, dispatching and reconstruction times.



<https://pos.sissa.it/444/1125/pdf>



Thank you for your attention!

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Stay tuned:

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