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Probing ALPs with $K \rightarrow \pi a$

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based on 2308.16903 and work in progress with A. Galda, M. Neubert and D. Wyler

Looking for ALPs with flavor

Low-energy weak processes impose some of the most stringent “particle bounds” on ALP couplings. **Here focus on $K \rightarrow \pi a$:**

- has been studied for a long time, starting with Georgi, Kaplan & Randall in 1986
- previous calculations used implementation of weak currents in the chiral Lagrangian. “Real” branching ratio is ~ 37 times larger [\[Bauer, Neubert, Renner, Schnubel \(2021\)\]](#)
- strongest particle constraint on ALP couplings to gluons and light quarks for $m_a < m_K - m_\pi \sim 354$ MeV

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Only LO calculation in χ PT. **What happens at NLO?**

- In this talk:
- Inclusion of the ALP in the QCD and weak chiral Lag. at LO and NLO
 - Some details on the calculation
 - Discussion of the results

Effective ALP Lagrangian at low energies

Start from the ALP+SM effective Lagrangian around $\mu_\chi = 4\pi F_\pi \sim 1.6 \text{ GeV}$.

Only light flavors: $q=(u,d,s)$.

[Georgi, Kaplan, Randall (1986)]

soft breaking of shift symmetry $a \rightarrow a + c$ anomalous couplings to gauge fields

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{QCD}} + \frac{1}{2}(\partial_\mu a)(\partial^\mu a) - \frac{m_{a,0}^2}{2} a^2 + c_{GG} \frac{\alpha_s}{4\pi} \frac{a}{f} G_{\mu\nu}^a \tilde{G}^{\mu\nu,a} + c_{\gamma\gamma} \frac{\alpha}{4\pi} \frac{a}{f} F_{\mu\nu} \tilde{F}^{\mu\nu}$$

$$+ \frac{\partial_\mu a}{f} (\bar{q}_L k_Q \gamma^\mu q_L + \bar{q}_R k_q \gamma^\mu q_R),$$

derivative couplings to fermions alp decay constant $f \gg v$

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alp decay constant $f \gg v$

derivative couplings to fermions

A chiral transformation can be used to remove the ALP-gluon coupling:

[Srednicki (1985); Georgi, Kaplan, Randall (1986); Krauss, Wise (1986); Bardeen, Peccei, Yanagida (1987)]

$$q(x) \rightarrow e^{-i c_{GG} \frac{a}{f} \kappa_q \gamma^5} q(x) \quad \langle \kappa_q \rangle = \kappa_u + \kappa_d + \kappa_s = 1$$

The κ_q must drop out of physical predictions!

QCD chiral Lagrangian at $O(p^2)$

Below $\mu_\chi = 4\pi F_\pi \sim 1.6$ GeV, the light pseudo-scalar mesons π, η, K take the place of quarks and gluons. We describe them using **Chiral Perturbation Theory (χ PT)**.

- **Dofs** $\Sigma_0(x) = \exp \left[\frac{i\sqrt{2}}{F} \Phi(x) \right], \quad \Phi(x) = \lambda^a \pi^a(x) \quad \det \Sigma_0 = 1$

meson decay constant $F \simeq F_\pi = 130$ MeV

- **Power counting** $\lambda \sim \frac{p}{4\pi F} \quad \Sigma_0 \sim 1 \quad (\partial_\mu \Sigma_0)^n \sim \lambda^n \sim p^n$

- **Symmetries** gauge symmetry, $G_\chi = SU(3)_L \times SU(3)_R$ for $m_q \rightarrow 0$: $\Sigma_0 \rightarrow g_L \Sigma_0 g_R^\dagger$

The most general Lagrangian invariant under G_χ is

$$\mathcal{L}_{\text{QCD}}^{(p^2)} = \frac{F^2}{8} \langle (D_\mu \Sigma_0) (D^\mu \Sigma_0^\dagger) \rangle$$

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$$\mathcal{L}_{\text{QCD}}^{(p^2)} = \frac{F^2}{8} \langle (D_\mu \Sigma_0) (D^\mu \Sigma_0^\dagger) \rangle + \frac{F^2}{8} \langle \chi \Sigma^\dagger + \Sigma \chi^\dagger \rangle \quad \begin{array}{l} \chi = 2B_0 m_q \\ \chi \rightarrow g_L \chi g_R^\dagger \end{array}$$

Quark masses break G_χ in a specific way: incorporated as **spurions**.

QCD chiral Lagrangian at $O(p^2)$, with arbitrary sources

Any interaction of quarks & gluons can be written in terms of **sources**

$$\mathcal{L}_{\text{eff}} \ni \bar{q}(x) [l_\mu(x) \gamma^\mu P_L + r_\mu(x) \gamma^\mu P_R - s + i\gamma_5 p] q(x) - \frac{\alpha_s}{8\pi} \theta(x) G_{\mu\nu}^a(x) \tilde{G}^{\mu\nu,a}(x)$$

These break G_χ in a specific way,

and can be treated as **spurions** by assigning them transformation properties under G_χ :

$$2B_0(s + ip) = \chi \rightarrow g_L \chi g_R^\dagger, \quad l_\mu \rightarrow g_L l_\mu g_L^\dagger, \quad r_\mu \rightarrow g_R r_\mu g_R^\dagger$$

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In presence of a θ source,

$$G_\chi = SU(3)_L \times SU(3)_R \rightarrow G'_\chi = U(3)_L \times U(3)_R$$

$$\det \Sigma_0 = 1 \rightarrow \det \Sigma(x) = e^{-i\theta(x)}$$

$$\Sigma_0(x) \rightarrow \Sigma(x) = e^{-\frac{i}{2}\theta(x)\kappa_q} \Sigma_0(x) e^{-\frac{i}{2}\theta(x)\kappa_q}$$

θ transforms non-linearly under G'_χ , instead $D_\mu\theta = \partial_\mu\theta - 2\langle a_\mu \rangle$ is a singlet of G'_χ

$$a_\mu = \frac{r_\mu - l_\mu}{2}$$

The ALP as a source

For the ALP, the sources are

$$s = m_q, \quad p = 0, \quad l_\mu(x) = k_Q \frac{\partial_\mu a(x)}{f}, \quad r_\mu(x) = k_q \frac{\partial_\mu a(x)}{f}, \quad \theta(x) = -2c_{GG} \frac{a(x)}{f}.$$

So the QCD chiral Lagrangian at $\mathcal{O}(p^2)$ including the ALP is:

$$\mathcal{L}_{\text{QCD}}^{(p^2)} = \frac{F^2}{8} \langle (D_\mu \Sigma) (D^\mu \Sigma)^\dagger + \chi \Sigma^\dagger + \Sigma \chi^\dagger \rangle + \frac{H_0}{2} (D_\mu \theta) (D^\mu \theta) + \frac{1}{2} m_{a,0}^2 a^2$$

ALP kinetic energy and mass

$$\chi = 2B_0 (s + ip) = 2B_0 m_q$$

$$iD_\mu \Sigma = i\partial_\mu \Sigma + (QeA_\mu + l_\mu) \Sigma - \Sigma (QeA_\mu + r_\mu)$$

$$H_0 = \frac{f^2}{(2c_{GG} + \langle c^a \rangle)^2}$$

chiral ALP currents

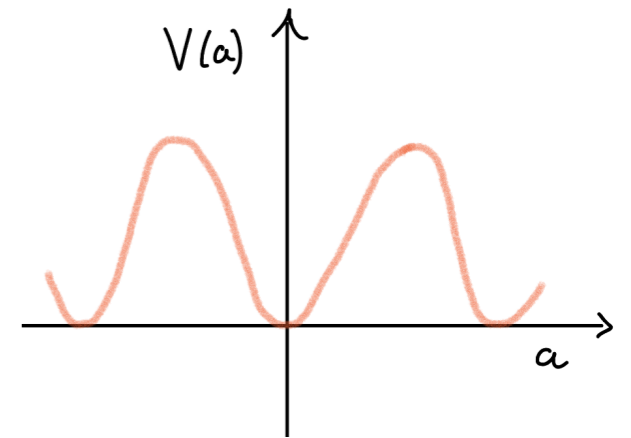
The ALP as a source

π_0 , a and η_8 undergo both mass & kinetic mixing. As a consequence*,

- non-perturbative contribution to ALP mass (also for $m_{a,0} \neq 0$)

[Bardeen et al. (1978);
Shifman et al. (1980);
Di Vecchia, Veneziano (1980)]

$$m_a^2 = m_{a,0}^2 \left\{ 1 - \frac{F^2}{4f^2} \left[\Delta + H_0 (\langle c^a \rangle + 2c_{GG})^2 + \frac{m_a^2}{2(\tilde{m}_{\pi^0}^2 - m_{a,0}^2)} (c_{uu}^a - c_{dd}^a)^2 \right] \right\} + c_{GG}^2 \frac{F^2 \tilde{m}_{\pi^0}^2}{2f^2} - \frac{F^2}{24f^2} \frac{((c_{uu}^a + c_{dd}^a - 2c_{ss}^a) m_{a,0}^2 + 2c_{GG} (m_{a,0}^2 - \tilde{m}_{\pi^0}^2))^2}{\tilde{m}_{\eta_8}^2 - m_{a,0}^2},$$



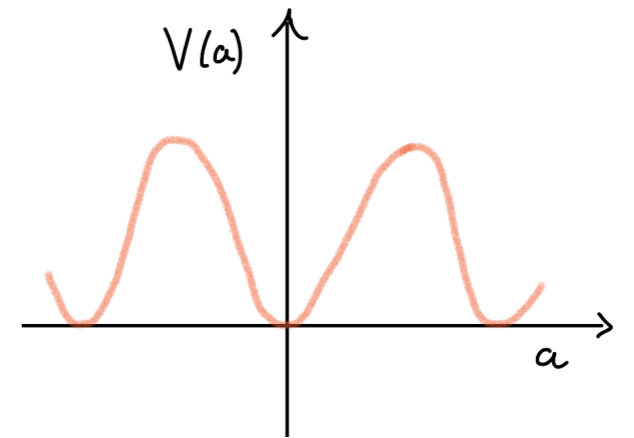
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- Field redefinitions needed to work with physical fields:

$$\begin{pmatrix} \pi_0 \\ \eta_8 \\ a \end{pmatrix} = \mathbf{R} \begin{pmatrix} \pi_{0,\text{phys}} \\ \eta_{8,\text{phys}} \\ a_{\text{phys}} \end{pmatrix}, \quad \mathbf{R} = \begin{pmatrix} 1 & 0 & \frac{f_\pi}{f} \theta_{\pi_0 a} \\ 0 & 1 & \frac{f_\pi}{f} \theta_{\eta_8 a} \\ \frac{f_\pi}{f} \theta_{a \pi_0} & \frac{f_\pi}{f} \theta_{a \eta_8} & 1 \end{pmatrix} + \mathcal{O}\left(\frac{f_\pi}{f^2}\right)$$

$$\theta_{\pi^0 a} = -\frac{M_{13}^2 - m_{a,0}^2 Z_{13}}{\tilde{m}_{\pi^0}^2 - m_{a,0}^2} = \frac{F}{f} \frac{(\hat{c}_{uu}^a - \hat{c}_{dd}^a) m_{a,0}^2 - 2c_{GG} (\kappa_u - \kappa_d) \tilde{m}_{\pi^0}^2}{2\sqrt{2} (\tilde{m}_{\pi^0}^2 - m_{a,0}^2)}$$

κ_q dependence must drop in physical amplitudes!

*all expressions in the isospin-conserving limit $m_u = m_d$

QCD chiral Lagrangian at $O(p^4)$

Gasser & Leutwyler constructed the $O(p^4)$ QCD chiral Lagrangian in 1985, under the assumption $\theta = \langle a_\mu \rangle = \langle v_\mu \rangle = 0$.

With an ALP, these assumptions no longer hold: need to **extend the basis** including operators containing θ , $\langle a_\mu \rangle$ in the invariant combination $D_\mu \theta$!

i	O_i	Γ_i
1	$\langle L^2 \rangle^2$	$\frac{3}{32}$
2	$\langle L^\mu L^\nu \rangle \langle L_\mu L_\nu \rangle$	$\frac{3}{16}$
3	$\langle L^4 \rangle$	0
4	$\langle L^2 \rangle \langle S \rangle$	$\frac{1}{8}$
5	$\langle L^2 S \rangle$	$\frac{3}{8}$
6	$\langle S \rangle^2$	$\frac{11}{144}$
7	$-\langle P \rangle^2$	0
8	$\frac{1}{2} \langle S^2 - P^2 \rangle$	$\frac{5}{48}$

$$\mathcal{L}_{\text{QCD}}^{(p^4)} = \sum_{i=1}^8 L_i O_i + \sum_{i=1}^3 L_i^\theta O_i^\theta$$

[Herrera-Siklody et al. (1997)]

i	O_i^θ	Γ_i^θ
1	$-(\partial^\mu D_\mu \theta) \langle P \rangle$	0
2	$-(D_\mu \theta) \langle L^\mu S \rangle$	$-\frac{1}{4}$
3	$(D_\mu \theta) \langle L^\mu L^2 \rangle$	0



Building blocks

Object	Definition
S	$\chi \Sigma^\dagger + \Sigma \chi^\dagger$
P	$i(\chi \Sigma^\dagger - \Sigma \chi^\dagger)$
L_μ	$\Sigma i(D_\mu \Sigma)^\dagger$
$W_{\mu\nu}$	$2(D_\mu L_\nu - D_\nu L_\mu)$
$D_\mu \theta$	$\partial_\mu \theta - 2 \langle a_\mu \rangle$

$$\dots \rightarrow m_q (\bar{q}_L q_R + \bar{q}_R q_L)$$

$$\dots \rightarrow i m_q (\bar{q}_L q_R - \bar{q}_R q_L)$$

$$\dots \rightarrow \bar{q}_L \gamma_\mu q_L$$

QCD chiral Lagrangian at $O(p^4)$

low-energy constants (LECs)

anomalous dimensions (all known!)

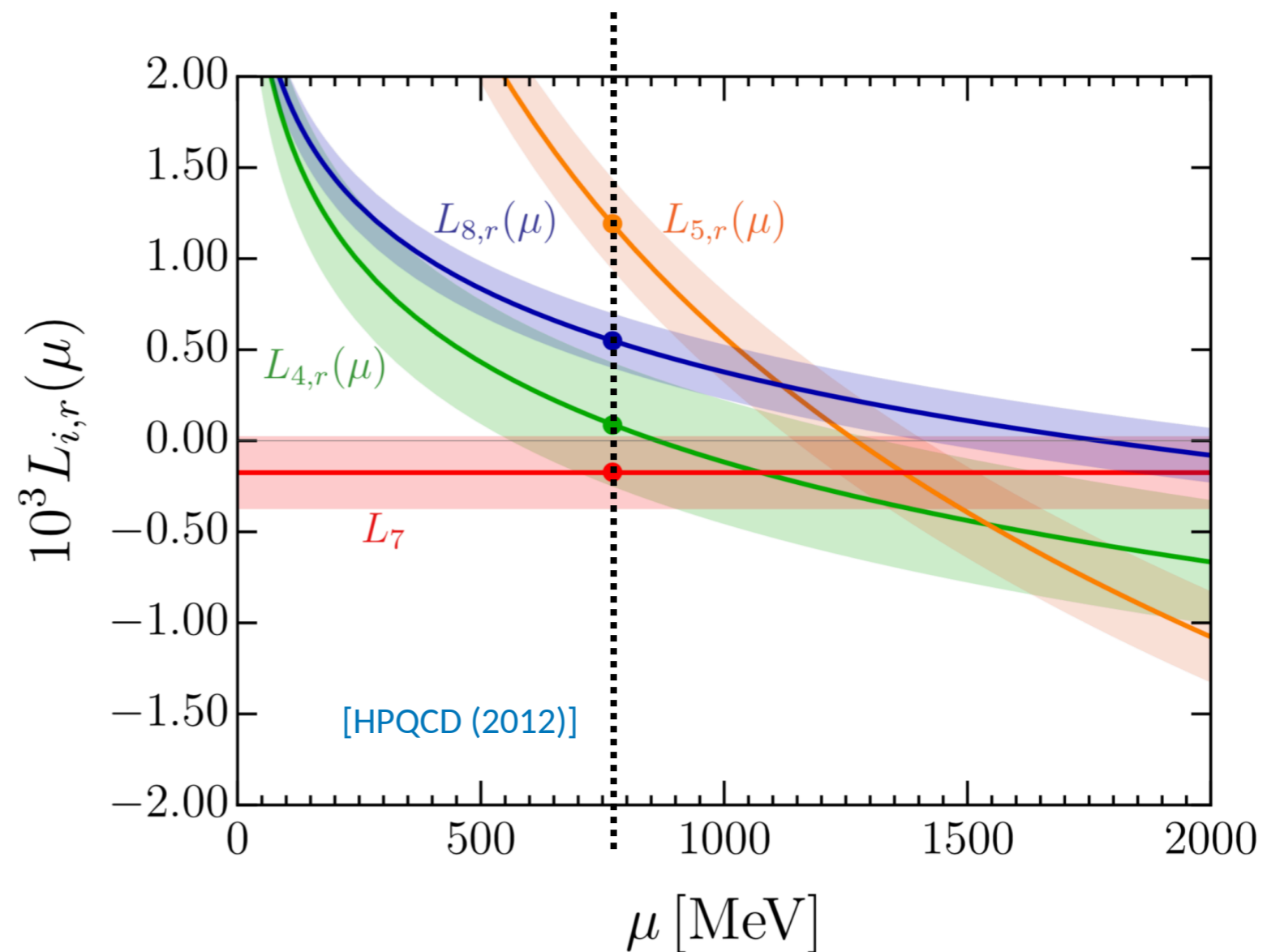
$$L_i^{(\theta)} = L_{i,r}^{(\theta)}(\mu) + \lambda \Gamma_i^{(\theta)}$$

$$\lambda = \frac{\mu^{d-4}}{32\pi^2} \left(\frac{2}{d-4} - \ln 4\pi + \gamma_E - 1 \right)$$

No estimates for $L_{i,r}^{(\theta)}$ exist.

There are estimates for some $L_{i,r}$ at $\mu = m_\rho$ from fits to low-energy data & lattice, with $\sigma \sim 10 - 100\%$

[Bijnens et. al (2014); HPQCD (2012)]



Non-leptonic weak chiral Lagrangian at $O(p^2)$

To build the chiral Lagrangian for non-leptonic weak decays, start from the $\Delta S = 1$ WET Lagrangian and classify its operators in reps of G_χ . [\[see e.g. Pich \(1985\), \(1990\)\]](#)

Neglecting em penguins, one has operators transforming as **(8_L, 1_R)** or **(27_L, 1_R)** of G_χ .
[\[Cronin \(1967\), Bernard et al. \(1985\)\]](#)
 Their chiral representation is:

$$\mathcal{L}_{\text{weak}}^{(p^2)} = \frac{F^4}{4} \left[G_8 \langle \lambda_+ L_\mu L^\mu \rangle + G'_8 \langle \lambda_+ S \rangle + G_{27}^{1/2} \mathcal{O}_{27}^{1/2} + G_{27}^{3/2} \mathcal{O}_{27}^{3/2} + \text{h.c.} \right]$$

$$\mathcal{O}_{27}^{1/2} = L_{\mu 32} L_{11}^\mu + L_{\mu 31} L_{12}^\mu + 2L_{\mu 32} L_{22}^\mu - 3L_{\mu 32} L_{33}^\mu,$$

$$\mathcal{O}_{27}^{3/2} = L_{\mu 32} L_{11}^\mu + L_{\mu 31} L_{12}^\mu - L_{\mu 32} L_{22}^\mu.$$

- proportional to Fermi constant: $G_i = -\frac{G_F}{\sqrt{2}} V_{ud}^* V_{us} g_i$

- $\lambda_+ = 1/2 (\lambda_6 + i \lambda_7)$ selects $s \rightarrow d$ transitions

- L_μ, S represent the currents $\bar{q}_L \gamma_\mu q_L$ and $m_q (\bar{q}_L q_R + \bar{q}_R q_L)$

- “weak mass term” $\langle \lambda_+ S \rangle$ unobservable in the SM [\[Bernard, Draper, Soni, Politzer, Wise \(1985\); Crewther \(1986\); Leurer \(1988\)\]](#)

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$$\mathcal{L}_{\text{weak}}^{(p^2)} = \frac{F^4}{4} \left[G_8 \langle \lambda_+ L_\mu L^\mu \rangle + G'_8 \langle \lambda_+ S \rangle + G_8^\theta (D_\mu \theta) \langle \lambda_+ L^\mu \rangle + G_{27}^{1/2} \mathcal{O}_{27}^{1/2} + G_{27}^{3/2} \mathcal{O}_{27}^{3/2} + \text{h.c.} \right]$$

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- And with the ALP?
- weak mass term is no longer unobservable
 - **new octet** operator $\propto D_\mu \theta$!

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$$G_i = -\frac{G_F}{\sqrt{2}} V_{ud}^* V_{us} g_i$$

- from $K \rightarrow \pi\pi$: $\underline{g_8 = 3.61 \pm 0.28}$ octet strongly enhanced:
 $g_{27}^{1/2} \approx 0.033 \pm 0.003$ $\Delta I = 1/2$ selection rule
 $g_{27}^{3/2} \approx 0.165 \pm 0.016$
- g_8^θ, g'_8 : don't enter processes without ALP, hence **unknown**

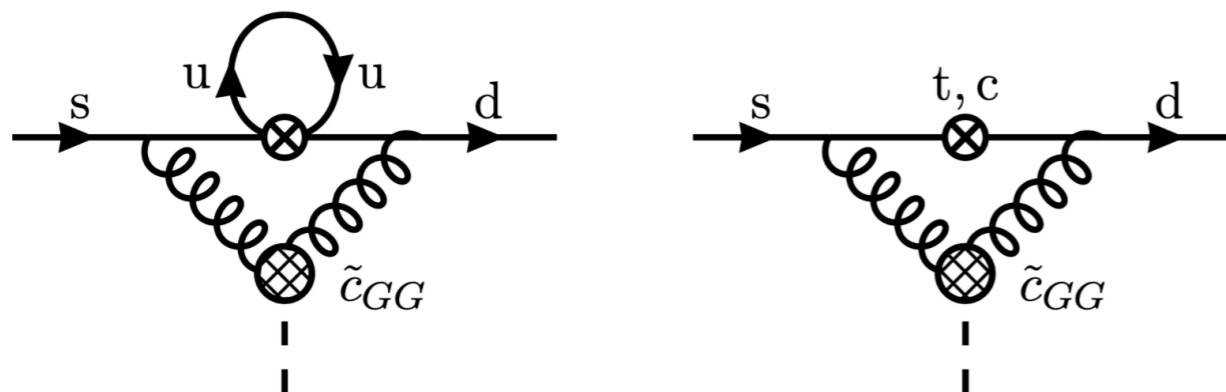
Interpreting the new octet operator

The new octet operator is the chiral representation of

$$\frac{F^2}{4} (D_\mu \theta) \langle \lambda_+ L^\mu \rangle \longleftrightarrow 2 \tilde{c}_{GG}(\mu_\chi) \frac{(\partial_\mu a)}{f} \bar{d}_L \gamma^\mu s_L .$$

$$\tilde{c}_{GG} = c_{GG} + \frac{c_{uu}^a + c_{dd}^a + c_{ss}^a}{2}$$

It accounts for the gluon-induced interactions of the ALP with FCNCs:



Formally a two-loop effect, but can be enhanced at low energies:

$$c_{\gamma\gamma}^{\text{eff}} \approx c_{\gamma\gamma} - (1.92 \pm 0.04) c_{GG}$$

[Villadoro et. al (2016)]

Non-leptonic weak chiral Lagrangian at O(p²)

Because of the large enhancement of the octet with respect to the 27plet, we **only** include **octet** operators at O(p⁴).

Started from the basis of **Ecker, Kambor & Wyler (1993)**, obtained from the redundant basis of Kambor, Missimer, Wyler (1989) via eoms, Cayley-Hamilton, IBP.

- only 19 out of 37 ops. are needed because

$$F_{\mu\nu}^L = \partial_\mu l_\nu - \partial_\nu l_\mu - i[l_\mu, l_\nu] \sim \partial_\mu \partial_\nu a - \partial_\nu \partial_\mu a = 0$$

(same for $F_{\mu\nu}^R$)

- ...but the Ecker basis is derived for $\theta = \langle a_\mu \rangle = 0$.

i	W_i^8	Z_i	Z'_i
1	$\langle \lambda_6 L^2 L^2 \rangle$	2	0
2	$\langle \lambda_6 L_\mu L^2 L^\mu \rangle$	$-\frac{1}{2}$	0
3	$\langle \lambda_6 L_\mu L_\nu \rangle \langle L^\mu L^\nu \rangle$	0	0
4	$\langle \lambda_6 L_\mu \rangle \langle L^\mu L^2 \rangle$	1	0
5	$\langle \lambda_6 \{S, L^2\} \rangle$	$\frac{3}{2}$	$\frac{3}{4}$
6	$\langle \lambda_6 L_\mu \rangle \langle S L^\mu \rangle$	$-\frac{1}{4}$	0
7	$\langle \lambda_6 S \rangle \langle L^2 \rangle$	$-\frac{9}{8}$	$\frac{1}{2}$
8	$\langle \lambda_6 L^2 \rangle \langle S \rangle$	$-\frac{1}{2}$	0
9	$i \langle \lambda_6 [P, L^2] \rangle$	$\frac{3}{4}$	$-\frac{3}{4}$
10	$\langle \lambda_6 S^2 \rangle$	$\frac{2}{3}$	$\frac{5}{12}$
11	$\langle \lambda_6 S \rangle \langle S \rangle$	$-\frac{13}{18}$	$\frac{11}{18}$
12	$-\langle \lambda_6 P^2 \rangle$	$-\frac{5}{12}$	$\frac{5}{12}$
13	$-\langle \lambda_6 P \rangle \langle P \rangle$	0	0
19	$\langle \lambda_6 [l_\mu, [L^2, L^\mu]] \rangle$	$-\frac{5}{4}$	0
20	$\frac{i}{2} \langle \lambda_6 [l_\mu, \{L_\nu, W^{\mu\nu}\}] \rangle$	$\frac{3}{4}$	0
21	$-\langle \lambda_6 [l_\mu, [S, L^\mu]] \rangle$	$\frac{5}{6}$	0
23	$-i \langle \lambda_6 [l_\mu, \{P, L^\mu\}] \rangle$	$\frac{5}{12}$	0
24	$-i \langle \lambda_6 [l_\mu, L^\mu] \rangle \langle P \rangle$	0	0
28	$i \epsilon_{\mu\nu\rho\sigma} \langle \lambda_6 L^\mu \rangle \langle L^\nu L^\rho L^\sigma \rangle$	0	0

Non-leptonic weak chiral Lagrangian at $O(p^2)$

We find that the **extension** to general case requires 9 additional operators!

i	$W_i^{\theta 8}$	Z_i^θ	$Z_i^{\prime\theta}$	$Z_i^{\theta\theta}$
1	$(D_\mu\theta) \langle \lambda_6 \{L^\mu, S\} \rangle$	(2.66)	$\frac{1}{2}$	(2.67)
2	$i(D_\mu\theta) \langle \lambda_6 [L^\mu, P] \rangle$	(2.66)	$\frac{1}{2}$	(2.67)
3	$i(D_\mu\theta) \langle \lambda_6 [L_\nu, W^{\mu\nu}] \rangle$	Z_3^θ	0	$Z_3^{\theta\theta}$
4	$(D_\mu\theta) \langle \lambda_6 L^\mu \rangle \langle S \rangle$	$-\frac{3}{4}$	0	$\frac{1}{2}$
5	$(\partial^\mu D_\mu\theta) \langle \lambda_6 P \rangle$	Z_5^θ	0	$Z_5^{\theta\theta}$
6	$(D_\mu\theta) \langle \lambda_6 \{L^\mu, L^2\} \rangle$	—	0	—
7	$(D_\mu\theta) \langle \lambda_6 L^\mu \rangle \langle L^2 \rangle$	—	0	—
8	$(D_\mu\theta) \langle \lambda_6 L_\nu \rangle \langle L^\mu L^\nu \rangle$	—	0	—
9	$i\epsilon_{\mu\nu\rho\sigma} (D^\mu\theta) \langle \lambda_6 L^\nu L^\rho L^\sigma \rangle$	—	0	—

[CC, Galda, Neubert, Wyler (2023)]

Non-leptonic weak chiral Lagrangian at $O(p^2)$

[Ecker et. al (1993)]

[CC, Galda, Neubert, Wyler (2023)]

$$\mathcal{L}_{\text{weak}}^{(p^4)} = \frac{G_8 F^2}{2} \left(\sum_{i \in \mathcal{S}} N_i W_i^8 + \sum_{i=1}^9 N_i^\theta W_i^{\theta 8} \right)$$

$$\mathcal{S} = \{1, \dots, 13, 19, 20, 21, 23, 24, 28\}$$

weak LECs

Anomalous dimensions

$$N_i = N_{i,r}(\mu) + \lambda \left(Z_i + \frac{G'_8}{G_8} Z'_i \right); \quad \text{Ecker (1993)} \quad i \in \mathcal{S},$$

☹️ ...also practically unknown

$$N_i^\theta = N_{i,r}^\theta(\mu) + \lambda \left(Z_i^\theta + \frac{G'_8}{G_8} Z_i^{\prime\theta} + \frac{G_8^\theta}{G_8} Z_i^{\theta\theta} \right); \quad i = 1, \dots, 9.$$

unknown

we determined* them requiring poles cancellation in $K \rightarrow \pi a$

On the weak mass term

Without external sources, the weak mass term $\mathcal{L}_{\text{weak}}^{(p^2)} \Big|_{G'_8} = \frac{F^4}{4} G'_8 \langle \lambda_+ S \rangle$

can be removed with the redefinition $\Sigma \rightarrow \Sigma' = g_L \Sigma g_R^\dagger$

$$\begin{aligned} g_L &= 1 + i\alpha_L & \alpha_L &= G'_8 F^2 \left(\frac{m_s + m_d}{m_s - m_d} + \frac{m_s - m_d}{m_s + m_d} \right) \lambda_7 \\ g_R &= 1 + i\alpha_R & \alpha_R &= G'_8 F^2 \left(\frac{m_s + m_d}{m_s - m_d} - \frac{m_s - m_d}{m_s + m_d} \right) \lambda_7 \end{aligned}$$

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$$g_R = 1 + i\alpha_R \quad \alpha_R = G'_8 F^2 \left(\frac{m_s + m_d}{m_s - m_d} - \frac{m_s - m_d}{m_s + m_d} \right) \lambda_7$$

With the ALP, the redefinition leaves a remnant:

$$\mathcal{L}^{(p^2)} \Big|_{G'_8} = \frac{F^2}{4} \langle [i\alpha_L, l_\mu] L^\mu + [i\alpha_R, r_\mu] R^\mu \rangle$$

$$\propto \frac{\partial_\mu a}{f} \left[([k_Q]_{ss} - [k_Q]_{dd}) \lambda_6 + \text{Re} [k_Q]_{sd} (\sqrt{3} \lambda_8 - \lambda_3) \right]$$

vanishes for flavor universal ALP!

We use the weak mass term in its transformed form to get rid of tadpoles at $O(p^2)$.

Important: need to perform the redefinition also in the QCD $O(p^4)$ Lagrangian!

$K \rightarrow \pi a$ at $O(p^2)$

We work to first order in $1/f$ and G_F .

Depending on the origin of the $s \rightarrow d$ transition, distinguish:

“direct” contribution:
flavor-violating ALP coupling to s, d

“indirect” contribution:
 G_F x flavor-conserving ALP couplings

$$\mathcal{A} = \mathcal{A}^{\text{FV}} + \mathcal{A}^{\text{FC}}$$

(neglect contributions with both)

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$$G \in \{G_8, G_8^\theta, G'_8, G_{27}^{1/2}, G_{27}^{3/2}\}$$

$$c_{\text{ALP}} \in \{\tilde{c}_{GG}, c_{uu}^a, (c_{dd}^a + c_{ss}^a), (c_{dd}^a - c_{ss}^a), (c_{dd}^v - c_{ss}^v)\}$$

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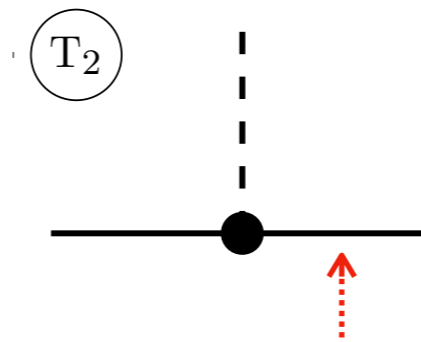
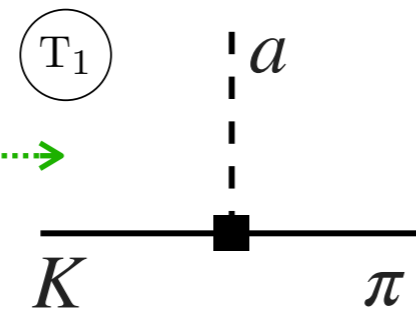
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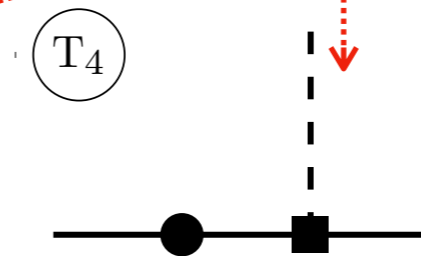
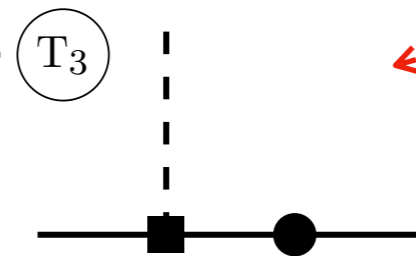
$\bar{d}\gamma^\mu d$ and $\bar{s}\gamma^\mu s$ are not individually conserved in presence of weak interactions
 \rightarrow flavor-diagonal ALP vector couplings are observable in FCNCs!

$K \rightarrow \pi a$ at $O(p^2)$

direct contribution \rightarrow



“indirect” contribution



Legend

- QCD p^2
- weak p^2
- QCD p^4
- weak p^4

- the κ_q dependence cancels exactly
- Isospin-breaking corrections are below 1%, it's safe to neglect them at NLO

$K \rightarrow \pi a$ at $O(p^4)$

We do not consider neither $O(p^4)$ corrections to the 27-plets, nor isospin-breaking terms.

The NLO amplitude takes the standard form:

$$\mathcal{A}_{\text{NLO}} = (\sqrt{Z_\pi Z_K} - 1) \mathcal{A}_{\text{LO}} + \mathcal{A}_{\Delta m_i^2, \Delta F_\pi}^{(p^4)} + \mathcal{A}_{1\text{-loop}}^{(p^4)} + \mathcal{A}_{\text{tree}}^{(p^4)}$$

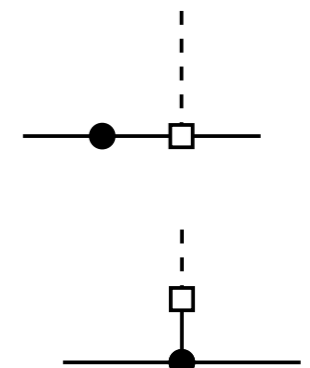
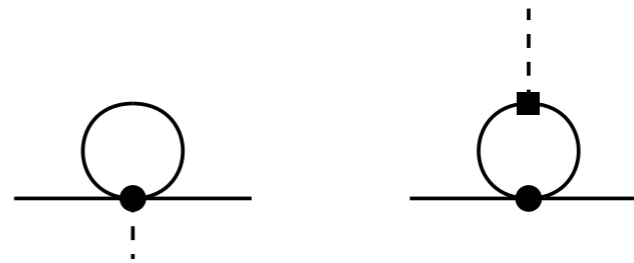
external leg corrections

p^4 shifts of masses & decay constant

tree diagrams with one $O(p^4)$ insertion

$$Z_i = 1 - \left. \frac{d\Sigma_i(p^2)}{dp^2} \right|_{p^2=m_i^2}$$

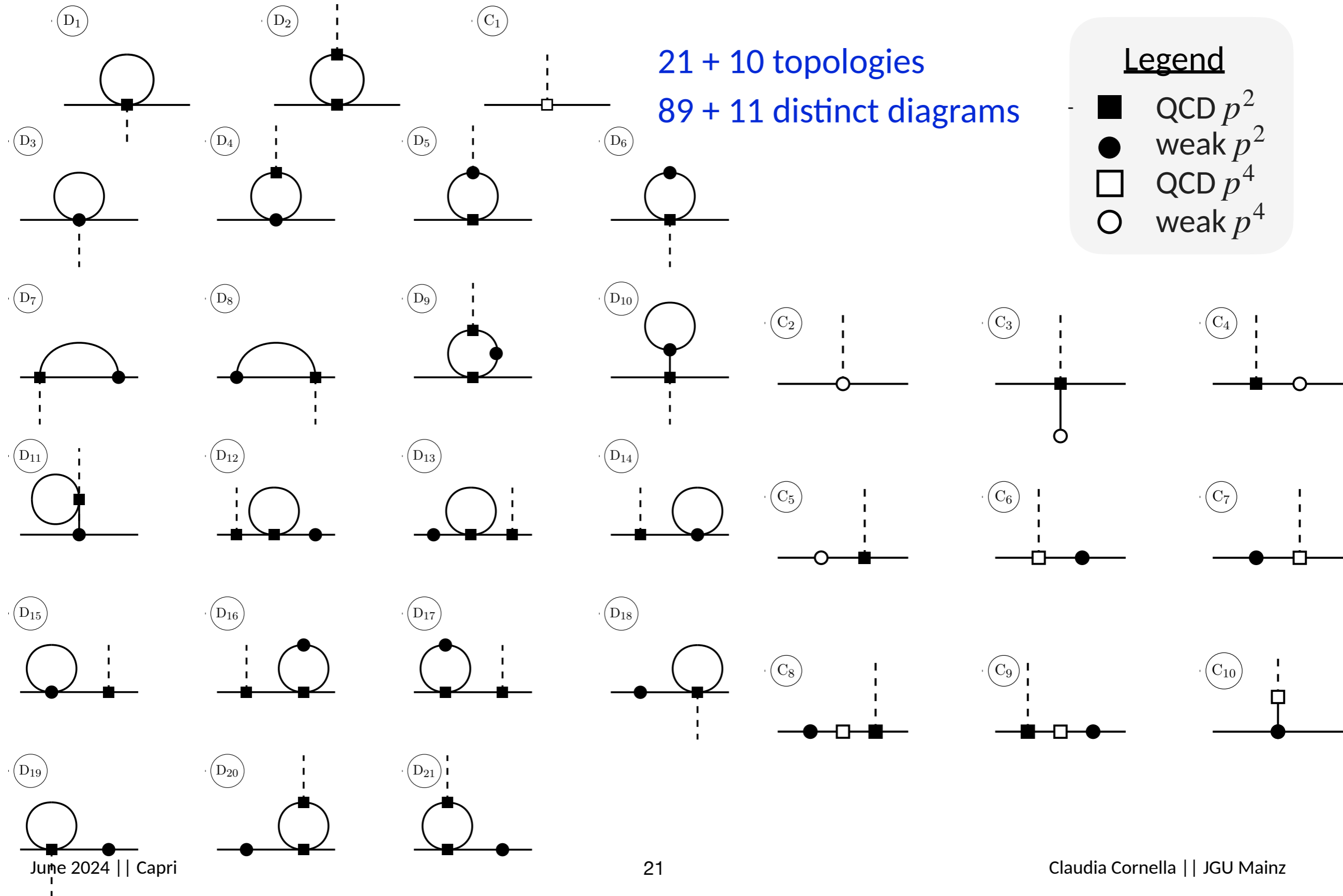
1PI 1-loop diagrams with $O(p^2)$ insertions, at most 1 weak vertex



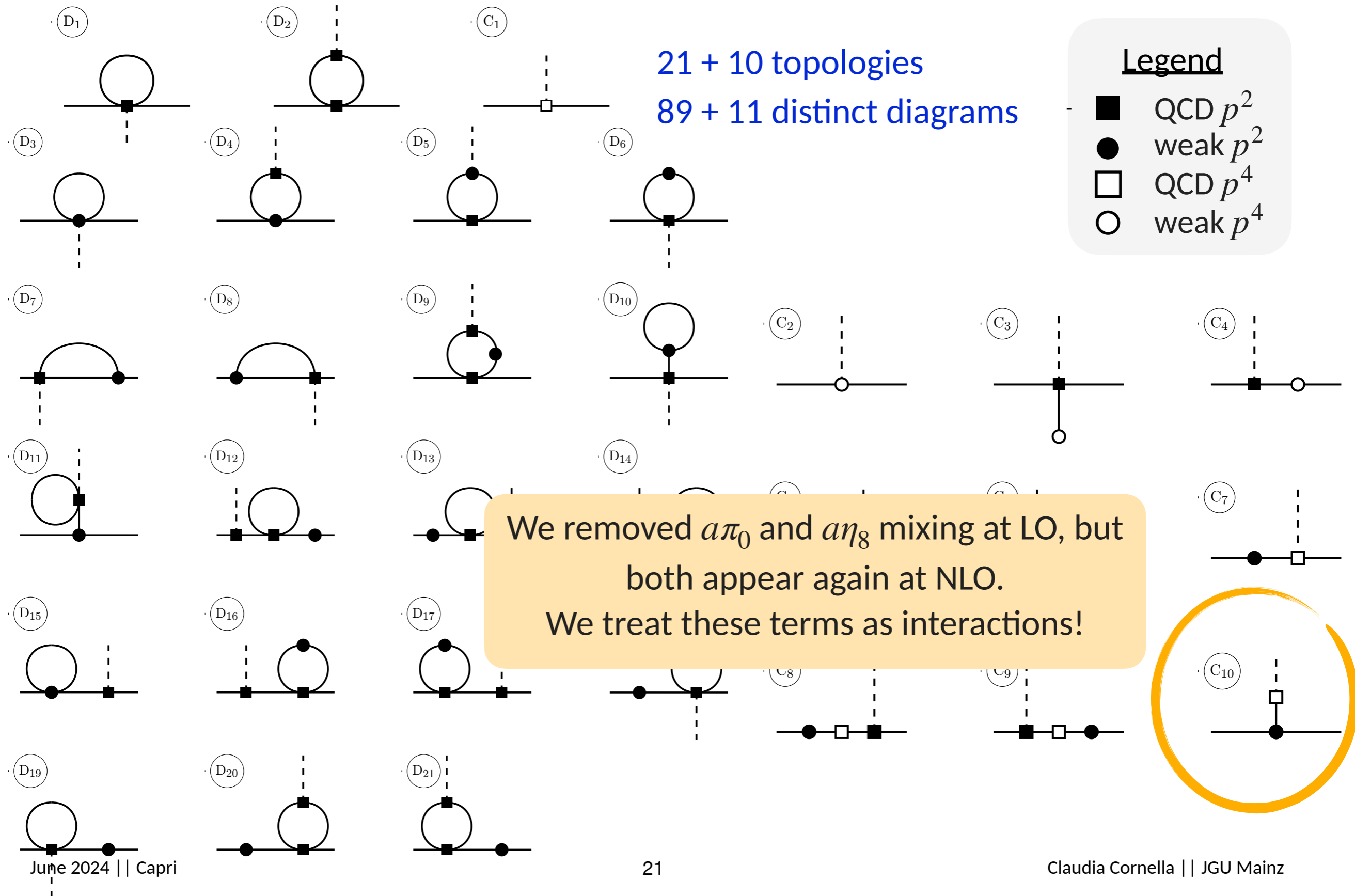
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The result satisfies two important **consistency checks**:

- again, the κ_q dependence cancels
- **UV divergences** cancel.

As a byproduct, the explicit μ dependence of the remaining (finite) result is cancelled by the μ dependence of the various LECs.

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- poles with FV ALP couplings canceled by the QCD counterterms given in the literature
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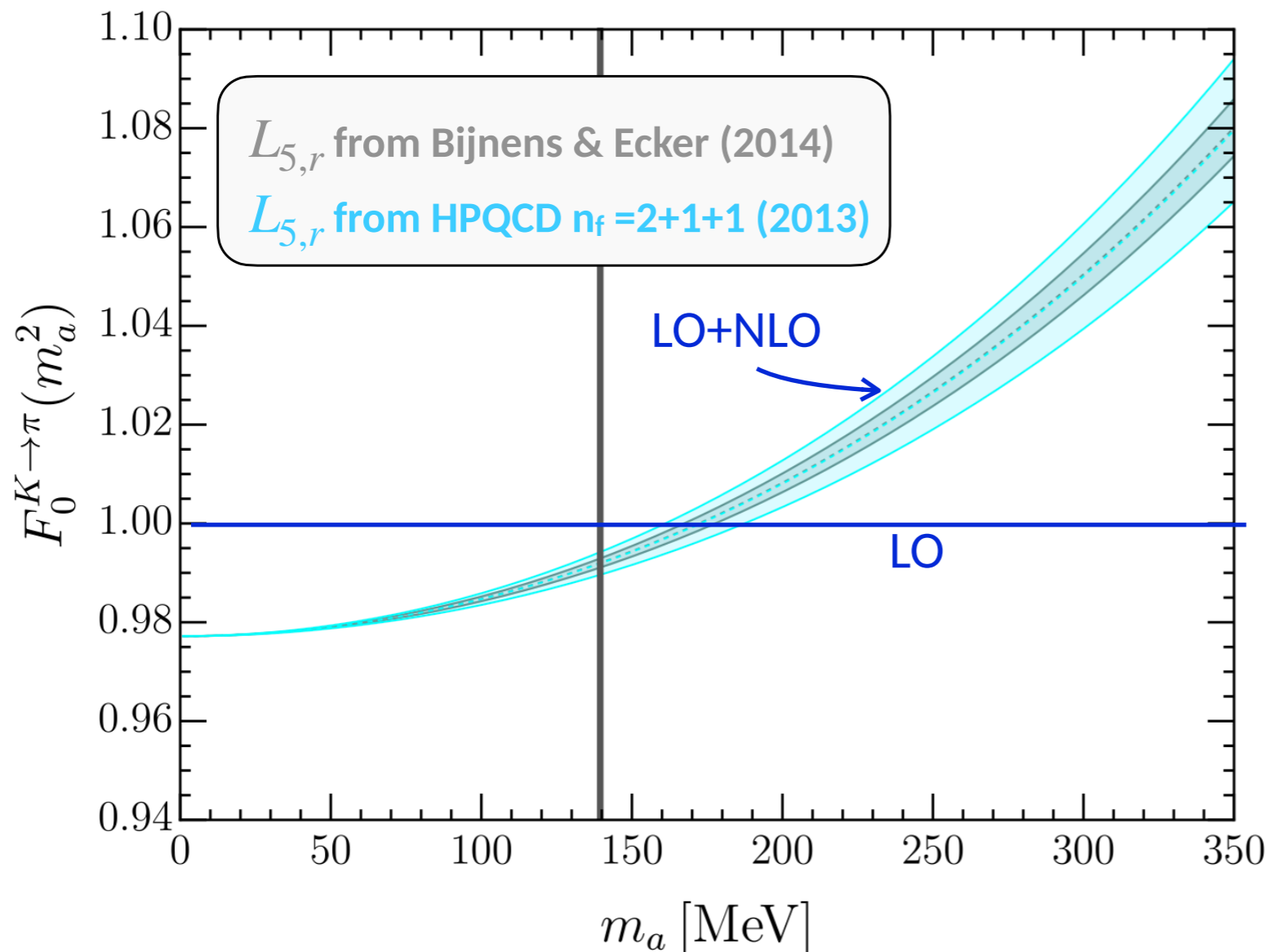
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Surprisingly, the amplitude has **no absorptive part**, in contrast with $K_S \rightarrow \pi^+ \pi^-$, where a strong rescattering phase is generated at NLO.

Size of $O(p^4)$ effects: direct contribution

$$\mathcal{A}^{\text{FV}} = - (m_{K^-}^2 - m_{\pi^-}^2) \frac{[k_d + k_D]_{12}}{2f} F_0^{K \rightarrow \pi}(q^2 = m_a^2)$$



NLO correction:

small (<10%) over the entire kinematically allowed mass range

negligible uncertainty, since it depends on a single and quite well-measured LEC, $L_{5,r}$ that enters $\propto m_a$

$$F_0^{K \rightarrow \pi}(0) = (1_{\text{LO}} - 0.023_{\text{NLO}})$$

[Leutwyler, Roos (1984)]

Size of $O(p^4)$ effects: indirect contribution

Lots of unknown (mostly weak) LECs appear in the amplitude

→ limitation on the predictive power of our results

The best we can do is to assume that at “some” scale μ_0 the unknown LECs are small, so that they can be neglected.

On theory grounds, one expects a reasonable choice for μ_0 to be the scale of chiral symmetry breaking, $\mu_\chi \approx 1.6$ GeV, where the LECs are free of large logs.

Size of $O(p^4)$ effects: indirect contribution

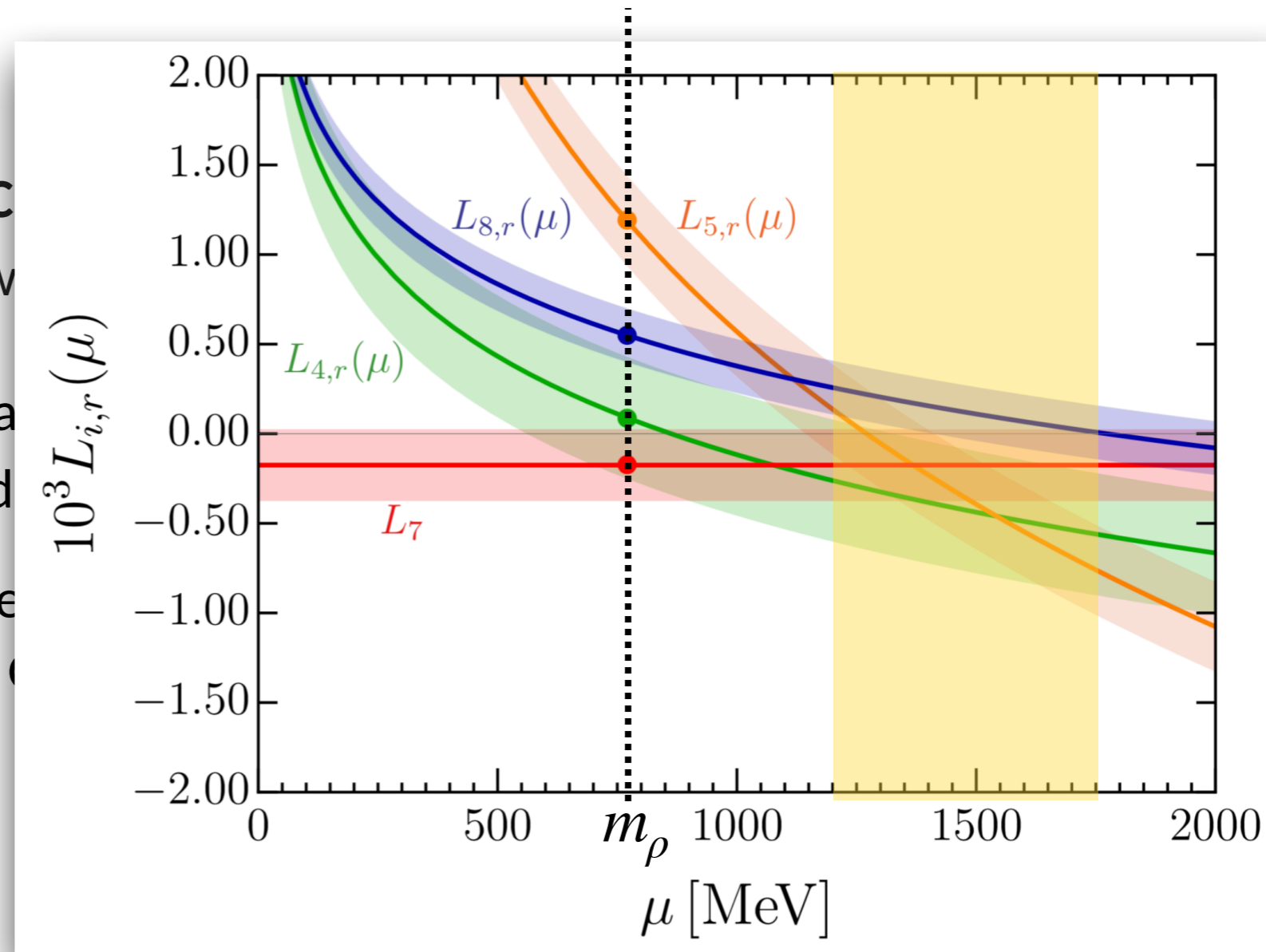
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Works for QCD with $\mu_0 \approx 1.4$ GeV!



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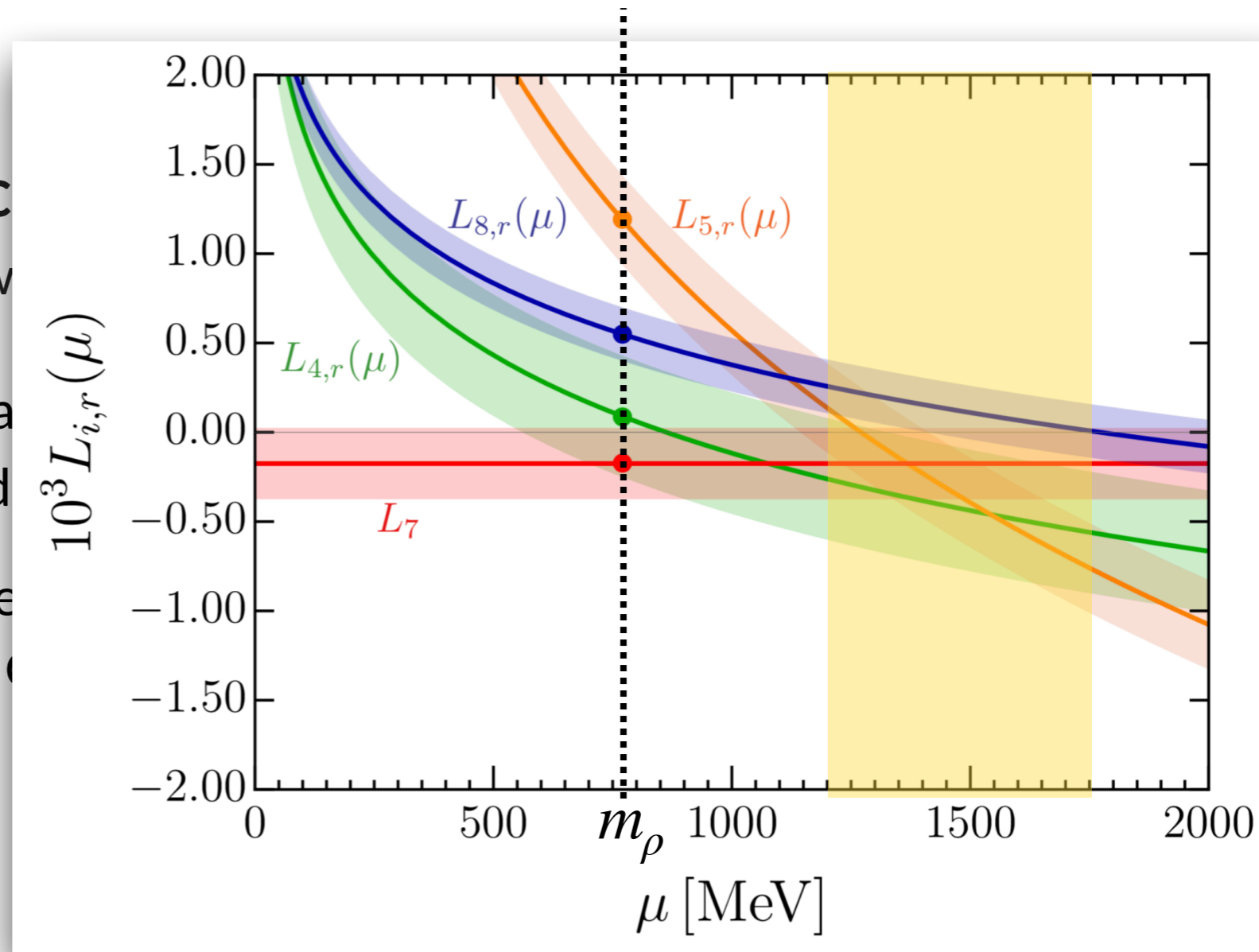
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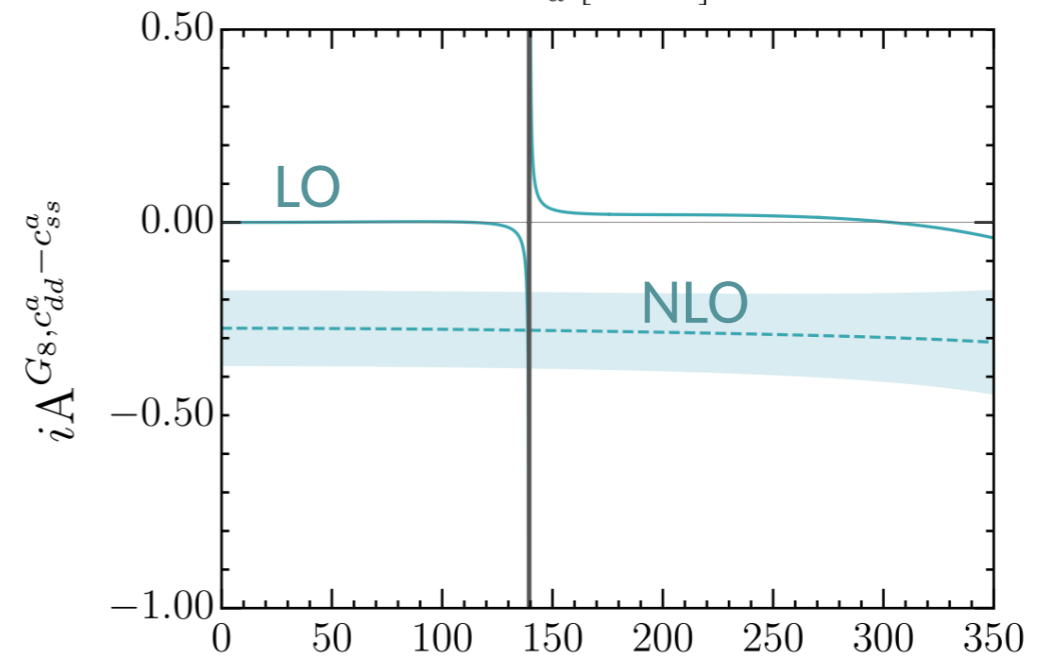
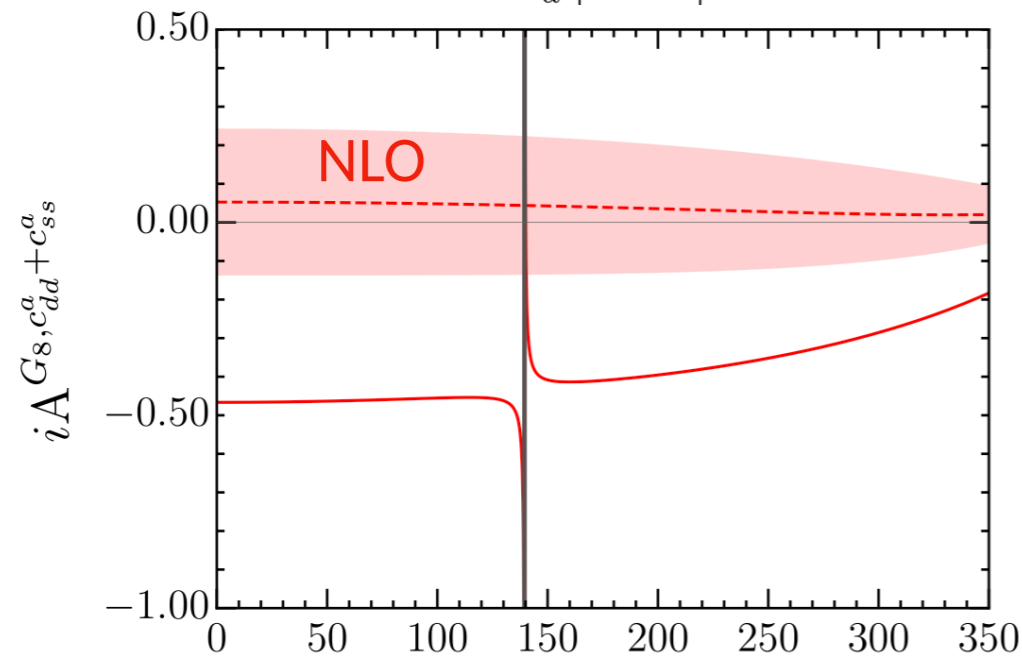
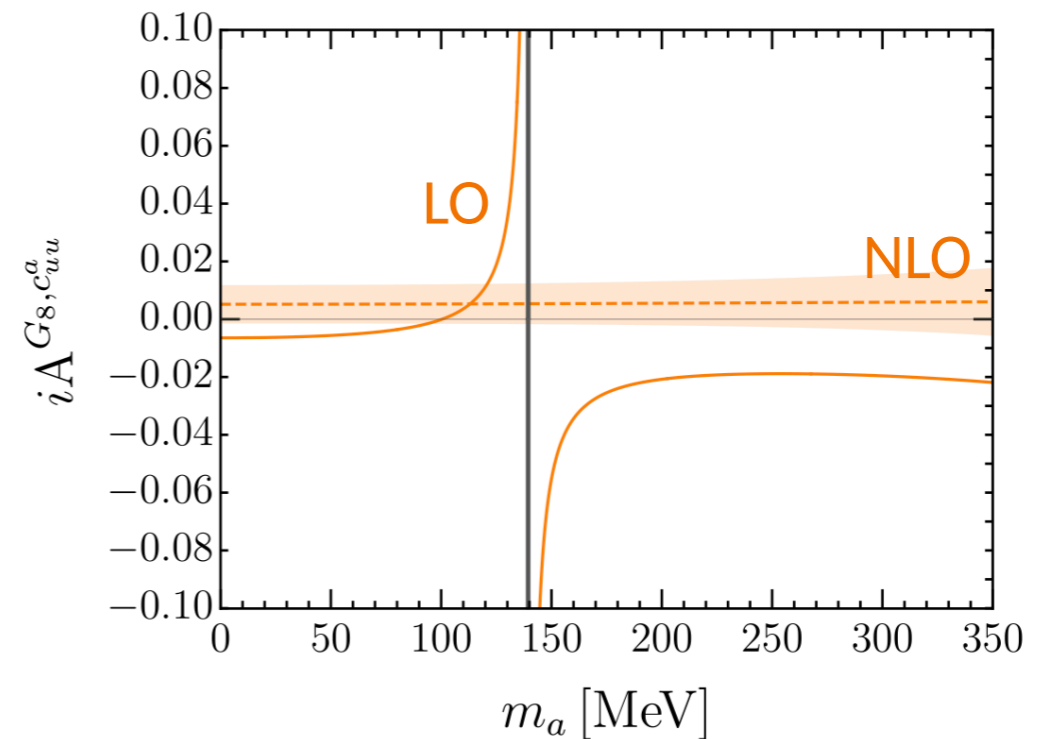
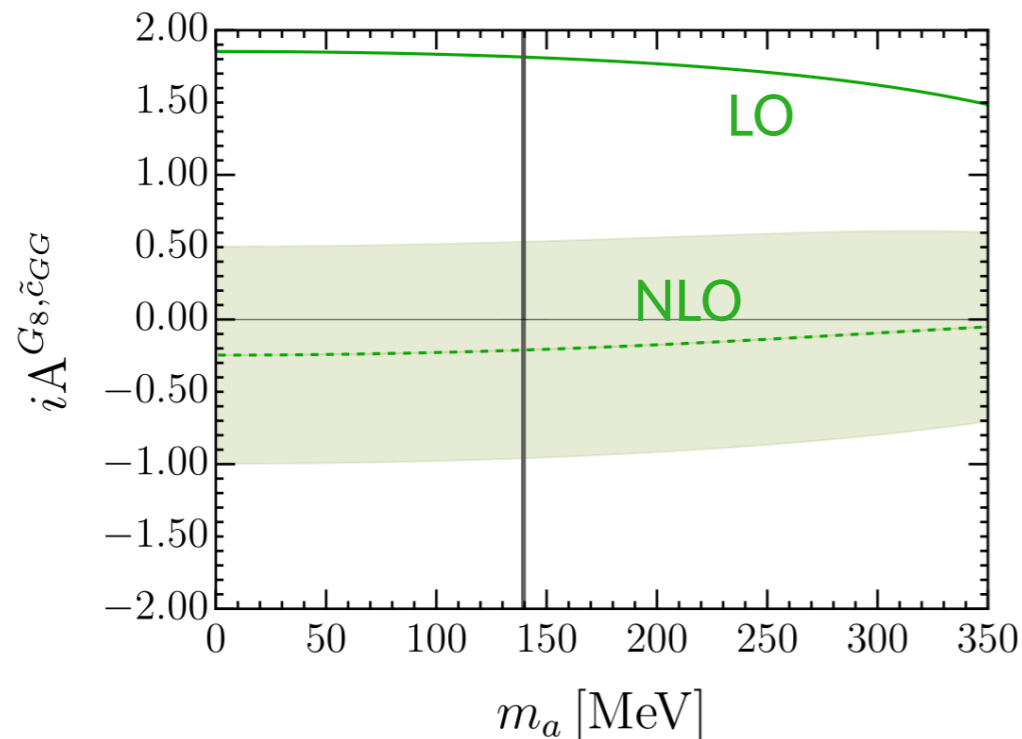
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→ we assume the **unknown LECs** to have a **similar** behaviour:

- set them to 0 at $\mu_0 \approx 1.4$ GeV
- vary μ_0 by $\sqrt{2}$ in both directions (1 - 2 GeV) to estimate model uncertainty

Size of $O(p^4)$ effects: indirect contribution



Weak dependence from the alp mass, except for $m_a \approx m_\pi$

Bounds on ALP couplings

Experimental bound(s) set by NA62:

[NA62 2021]

$$\mathcal{B}(K^+ \rightarrow \pi^+ X) < (3-6) \times 10^{-11} \quad @90\% \text{ CL} \quad m_X \in [0, 110] \text{ MeV}$$

$$\mathcal{B}(K^+ \rightarrow \pi^+ X) < 1 \times 10^{-11} \quad @90\% \text{ CL} \quad m_X \in [160, 260] \text{ MeV}$$

Switching on one coupling at a time, they can be translated into a lower bound on the effective scale $\Lambda_i^{\text{eff}} = f/|c_i|$.

The probed NP scales range from few to tens of TeV.

Strong bounds on flavor-changing ALP couplings call for a flavor symmetry in the UV!

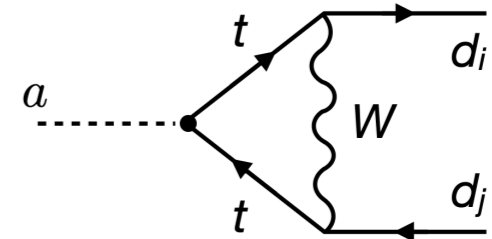
$c_i(\mu_\chi)$	$\Lambda_{c_i}^{\text{eff}} [\text{TeV}]$	
	$m_a = 0$	$m_a = 200 \text{ MeV}$
$[k_D + k_d]_{12}$	$2.9 \cdot 10^8$	$3.0 \cdot 10^8$
$\tilde{c}_{GG}^{(*)}$	43	39
c_{uu}^a	1.5	2.0
$c_{dd}^a + c_{ss}^a$	15	9
$c_{dd}^a - c_{ss}^a (**)$	8	4
$c_{dd}^v - c_{ss}^v (**)$	23	22

(*) assuming $g_{80} = 0$

Bounds on ALP couplings for a flavor universal ALP

Consider a **flavor-universal ALP** at $\Lambda = 4\pi f$.

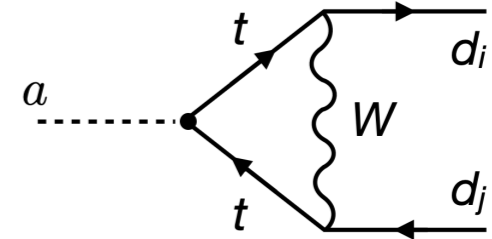
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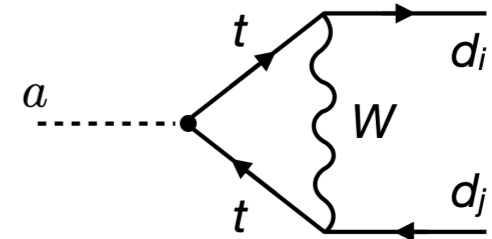
$c_i(\Lambda)$	$\Lambda_{c_i}^{\text{eff}}$ [TeV]	
	$m_a = 0$	$m_a = 200 \text{ MeV}$
$\tilde{c}_{GG}(\Lambda)$	49	97
$\tilde{c}_{WW}(\Lambda)$	2.6	6
$\tilde{c}_{BB}(\Lambda)$	0.02	0.04
$\tilde{c}_u(\Lambda)$	$1.9 \cdot 10^3$	$4.2 \cdot 10^3$
$\tilde{c}_d(\Lambda)$	51	78

(assuming $G_8^\theta = 0$, $f = 1 \text{ TeV}$)

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NLO correction is small & precise...

⇒ **NLO bound is similar to the LO one!**

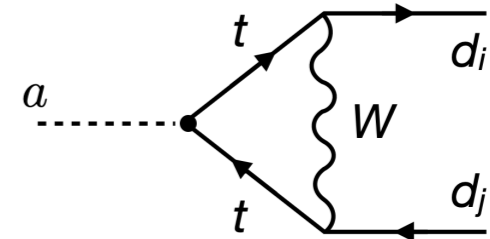
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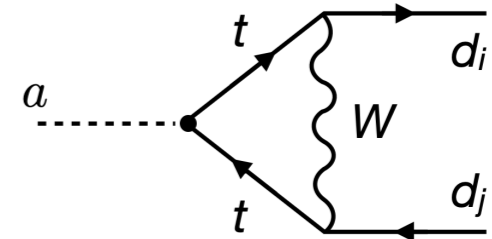
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⇒ **NLO bound 30-40% weaker than LO estimate**

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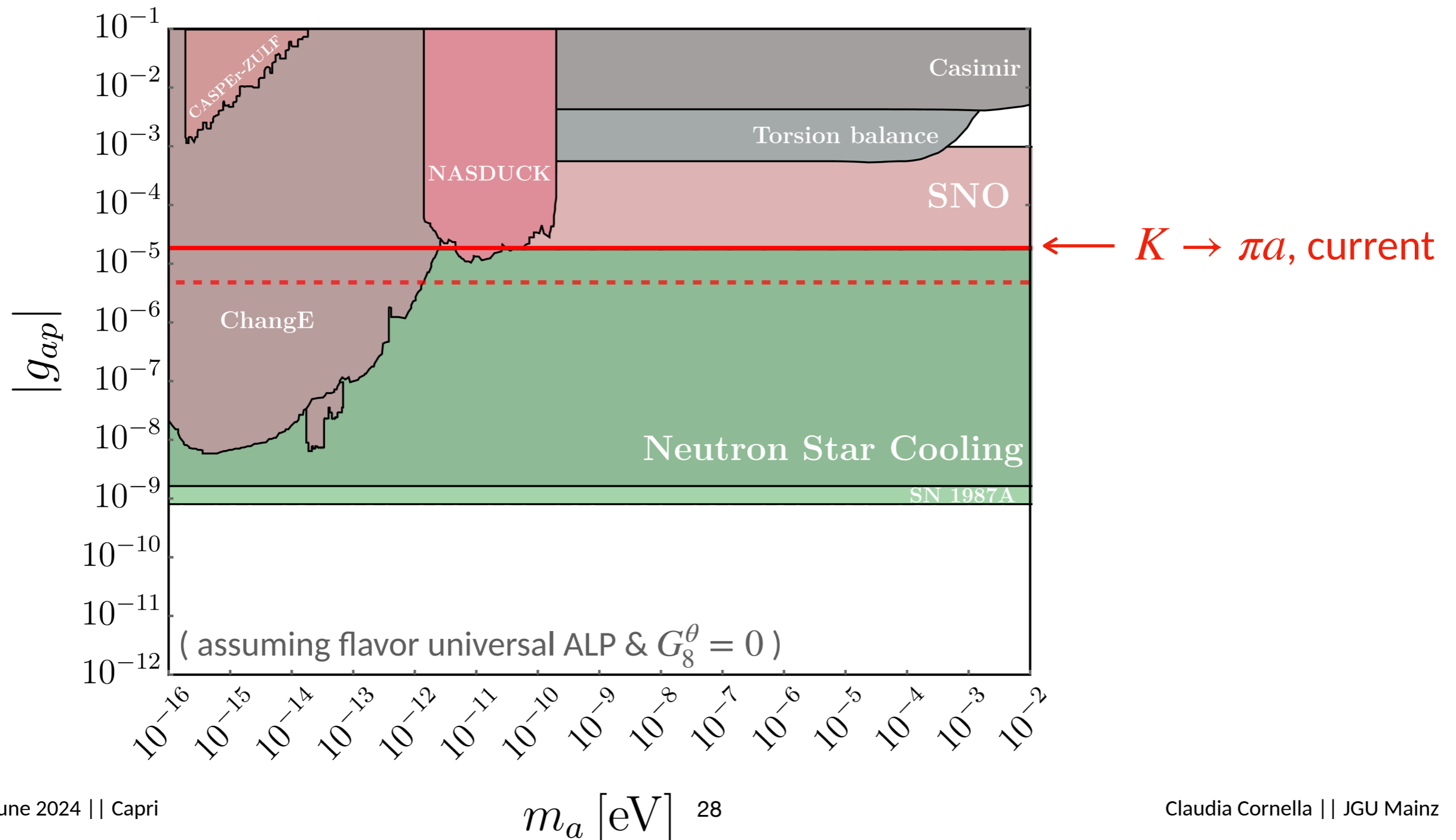
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Still, $K \rightarrow \pi a$ remains the **strongest particle-physics probe** for $m_a \lesssim 300 \text{ MeV}$!

Bounds on ALP couplings to nucleons

$K \rightarrow \pi a$ can be used to constrain the ALP couplings to nucleons.

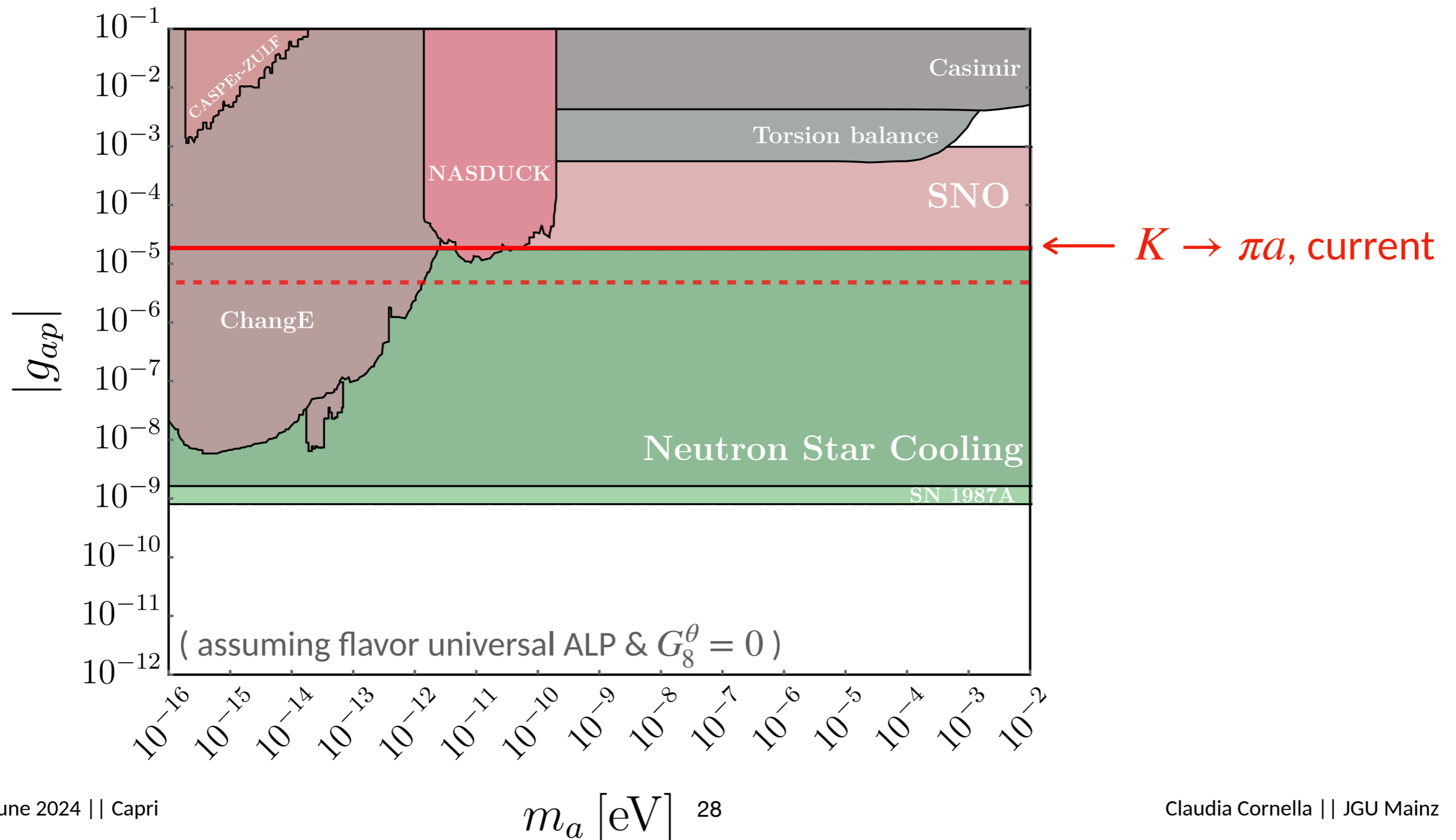
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Conclusions

Weak meson decays are some of the most powerful probes of ALPs.

$K \rightarrow \pi a$ is a good example, and I discussed the associated challenges!

With this rigorous framework at hand, we aim to study other modes, e.g. $K_0 \rightarrow \pi_0 a$ and $\pi^- \rightarrow e^- \bar{\nu} a$.

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Open Questions and Future Directions in Flavour Physics



The cat of Villa Orlandi ©Tiziano Pojer

Back-up

Size of $O(p^4)$ effects: indirect contribution

Contribution proportional to G_8 (for $m_a = 0$):

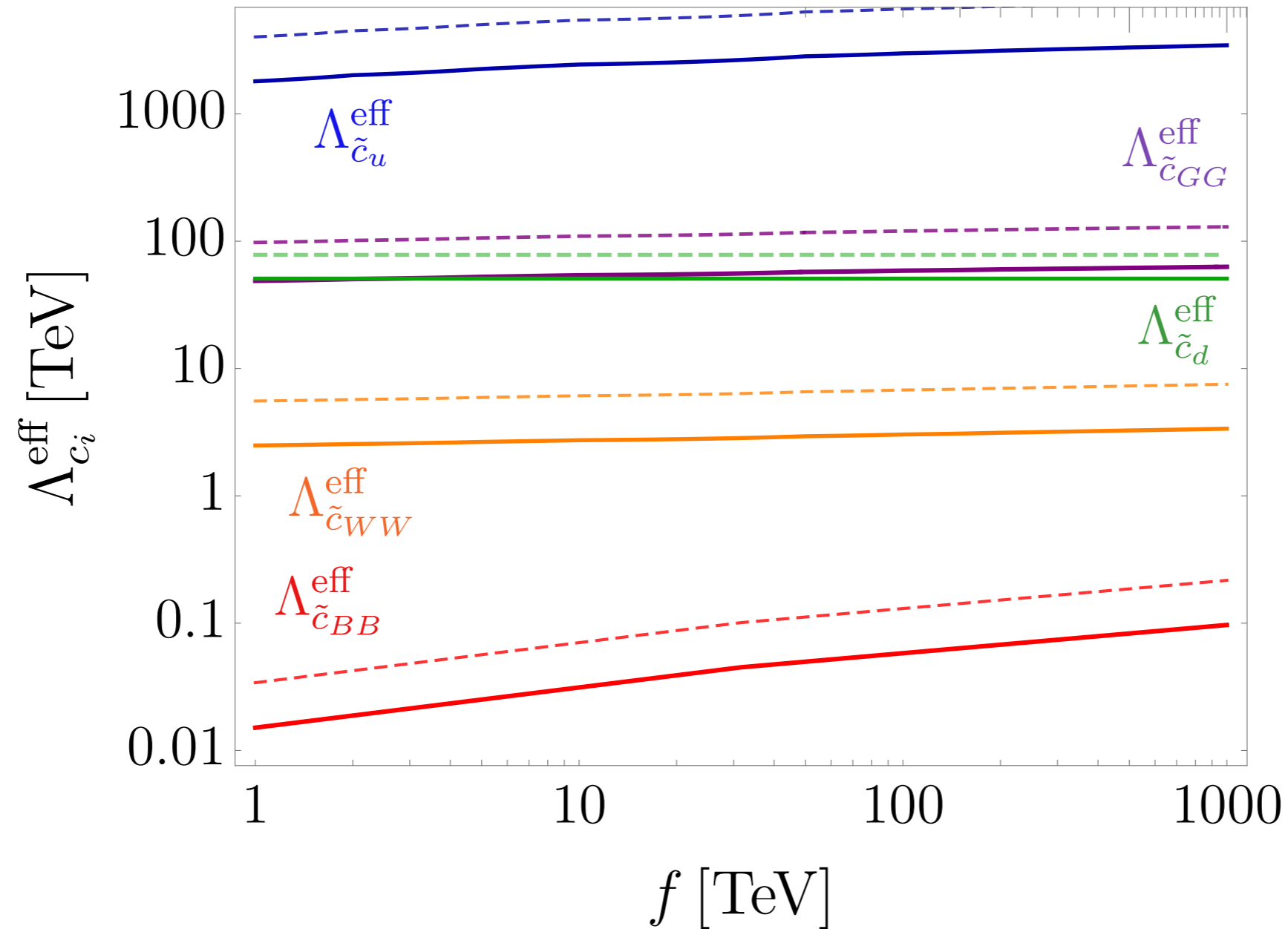
$$i\mathcal{A}_{\text{LO}}^{G_8} = \frac{G_8 F_\pi^2 m_K^2}{2f} \left[(1.88 - 0.88 \varepsilon^{(2)}) \tilde{c}_{GG} - (0.02 - 0.44 \varepsilon^{(2)}) c_{uu}^a \right. \\ \left. - (0.48 - 0.44 \varepsilon^{(2)}) (c_{dd}^a + c_{ss}^a) + 0.54 (c_{dd}^v - c_{ss}^v) \right],$$

$$i\mathcal{A}_{\text{NLO}}^{G_8} = \frac{G_8 F_\pi^2 m_K^2}{2f} \left[(-0.25 \pm 0.43 \pm 0.61) \tilde{c}_{GG} + (5.21 \pm 1.03 \pm 6.52) \cdot 10^{-3} c_{uu}^a \right. \\ \left. + (0.06 \pm 0.11 \pm 0.16) (c_{dd}^a + c_{ss}^a) - (0.27 \pm 0.10 \pm 0) (c_{dd}^a - c_{ss}^a) \right. \\ \left. + (0.24 \pm 0.23 \pm 0.18) (c_{dd}^v - c_{ss}^v) \right],$$

- Moderate NLO corrections with sizeable uncertainties due to $O(15)$ unknown weak LECs + uncertainty of some of the “measured” LECs, especially $L_{7,r}$
- Crossed-out terms vanish for a flavor-universal ALP in the UV

Bounds on ALP couplings: dependence on f

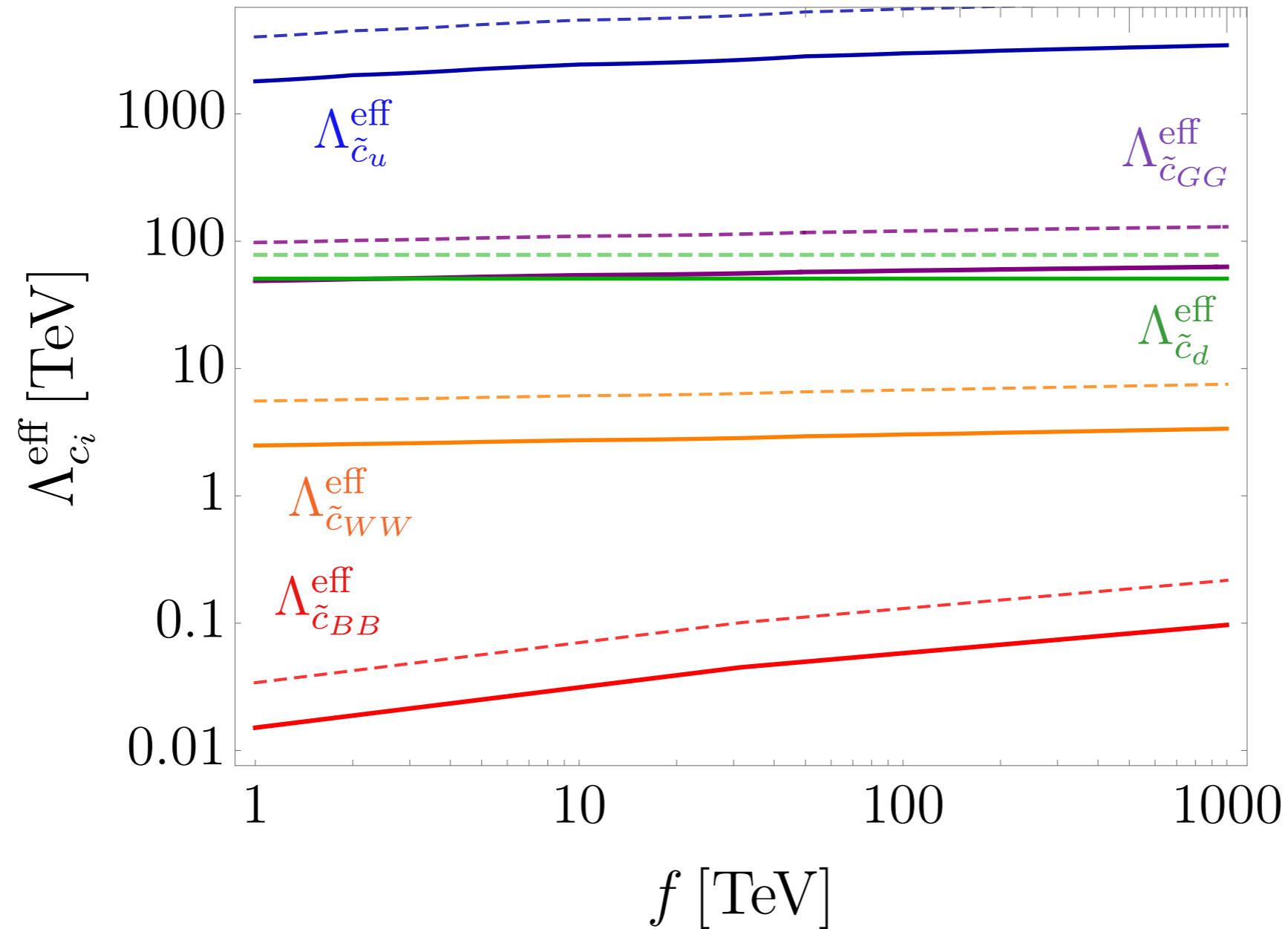
Bounds on the Λ_i^{eff} depend logarithmically on f :



$(G_8^\theta = 0)$

Bounds on ALP couplings: dependence on f

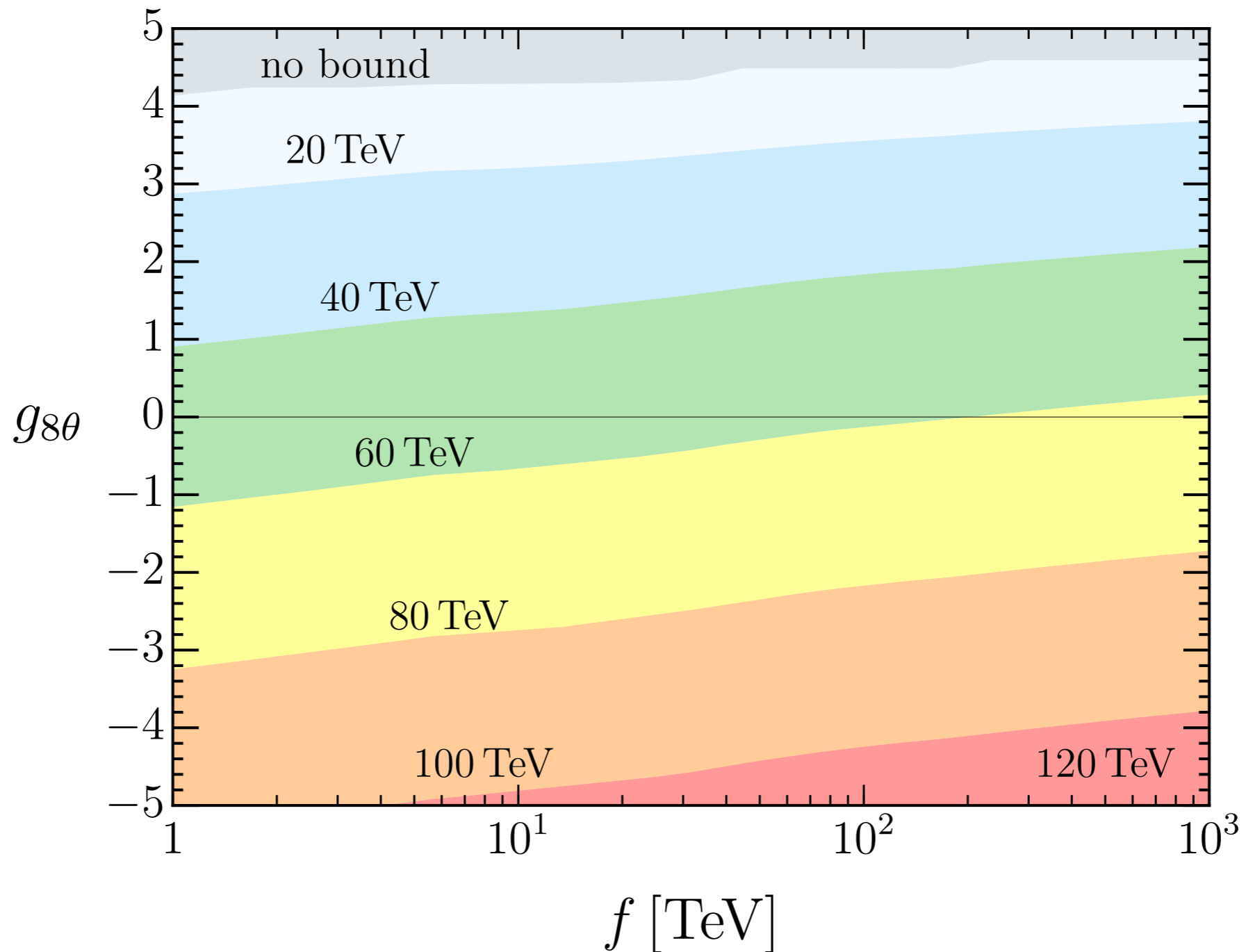
Bounds on the Λ_i^{eff} depend logarithmically on f :



Bounds on ALP couplings: dependence on $g_{8\theta}$

Bounds on the $\Lambda_{\tilde{c}_{GG}}^{\text{eff}}, \Lambda_{\tilde{c}_d}^{\text{eff}}$ depend on the (unknown) low-energy coupling $g_{8\theta}$.

For \tilde{c}_{GG} :



Bounds on ALP couplings: dependence on $g_{8\theta}$

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