

# SMEFT fits

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Les Rencontres de Physique de la Vallée d'Aoste, La Thuile

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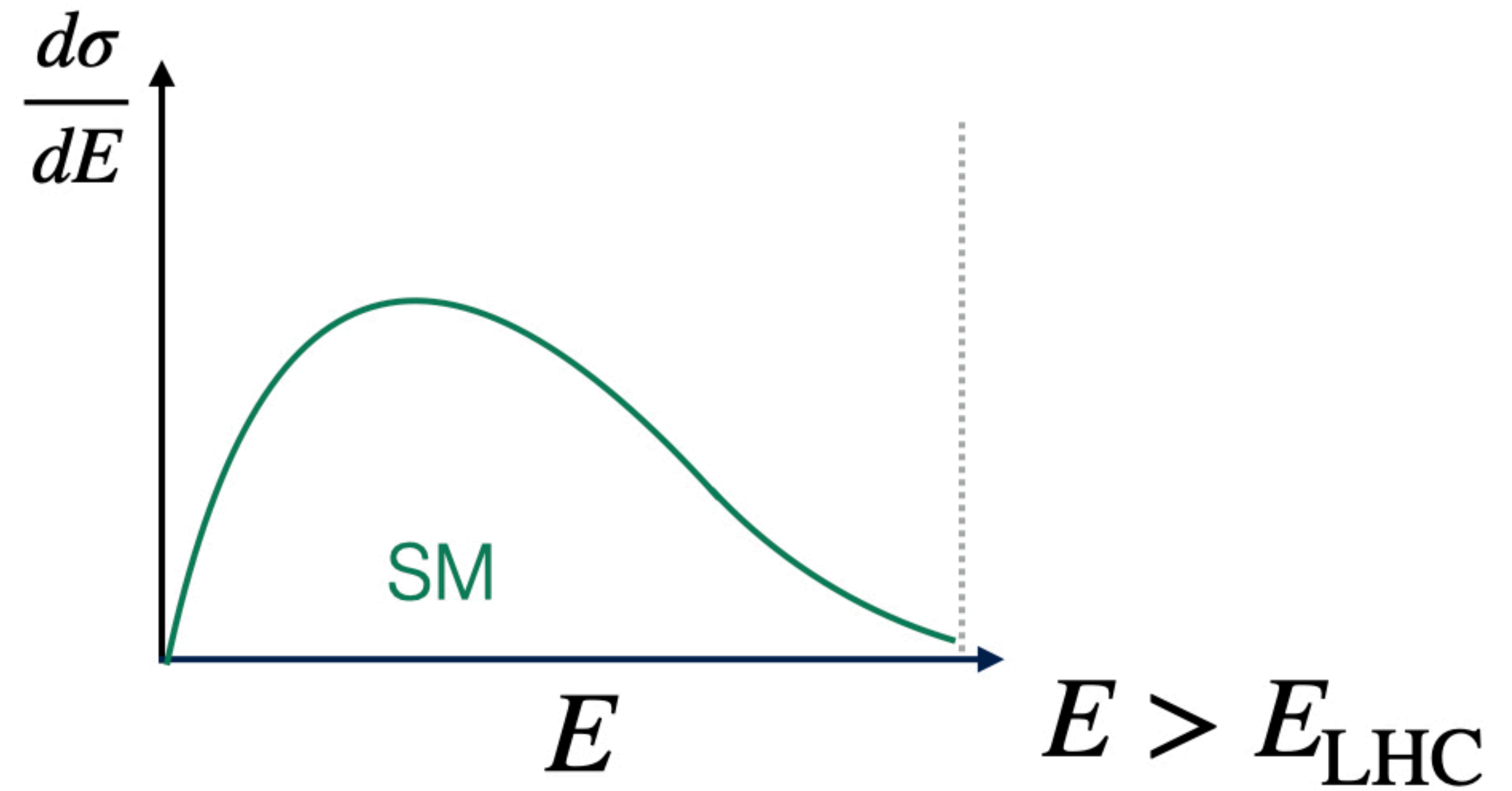
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Technology  
Facilities Council



University of  
**Southampton**



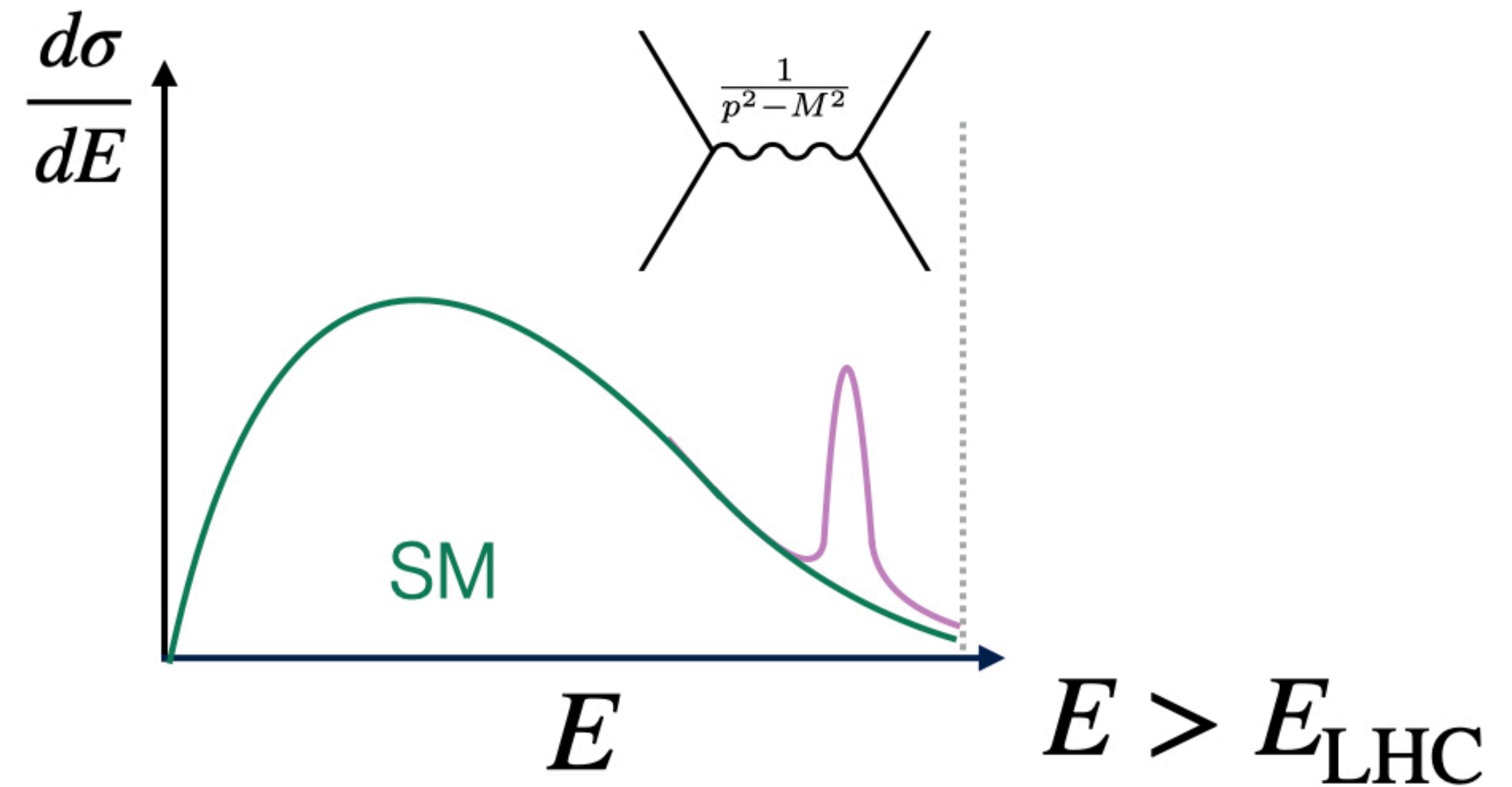
# Energy & precision for BSM





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**2010s: energy**  
Direct (bumps)





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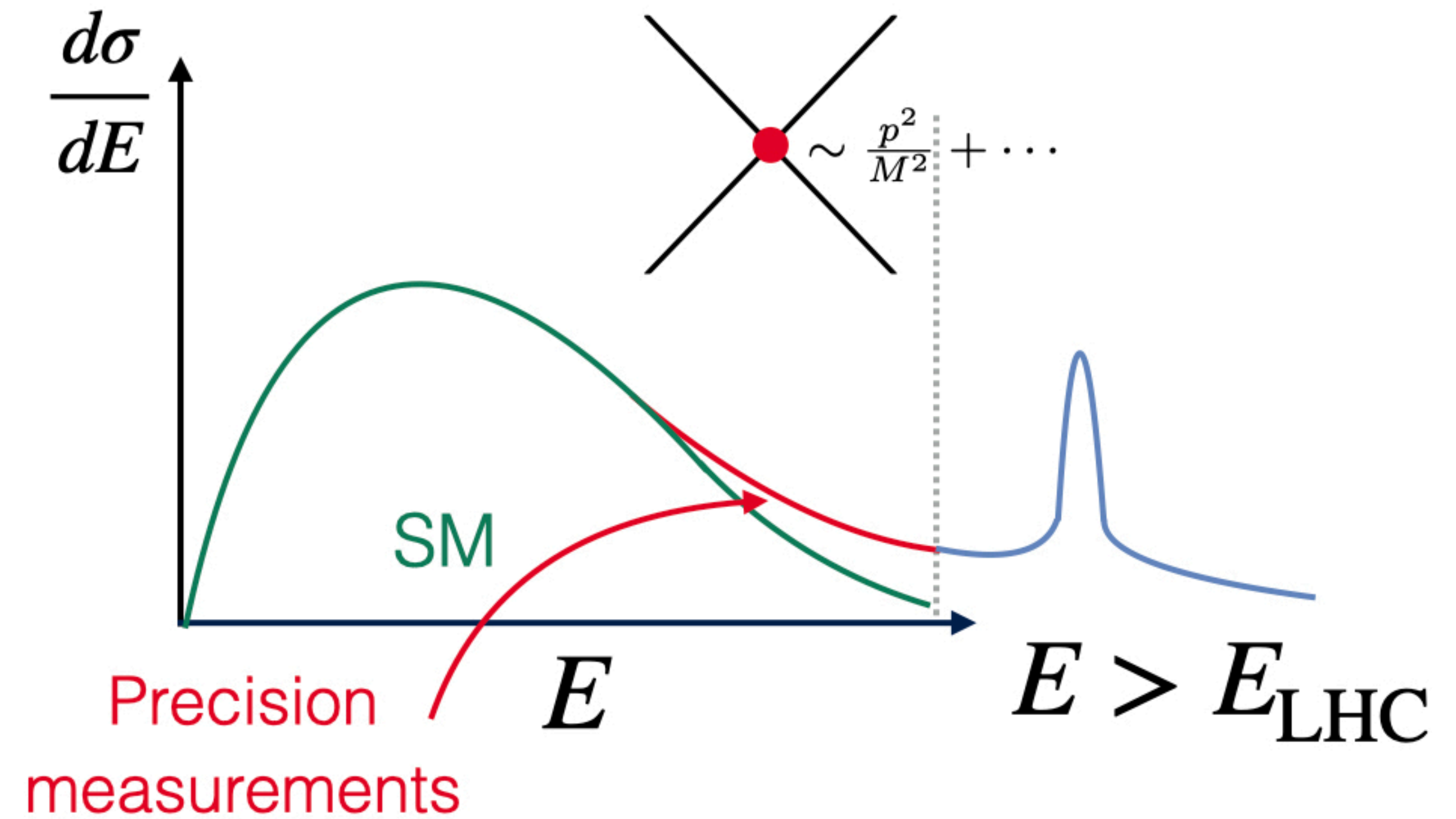
**2010s: energy**

Direct (bumps)

**2020s: intensity**

Indirect (tails/precision)

⇒ New physics is heavy





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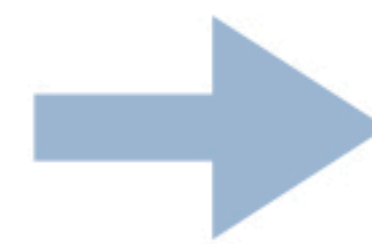
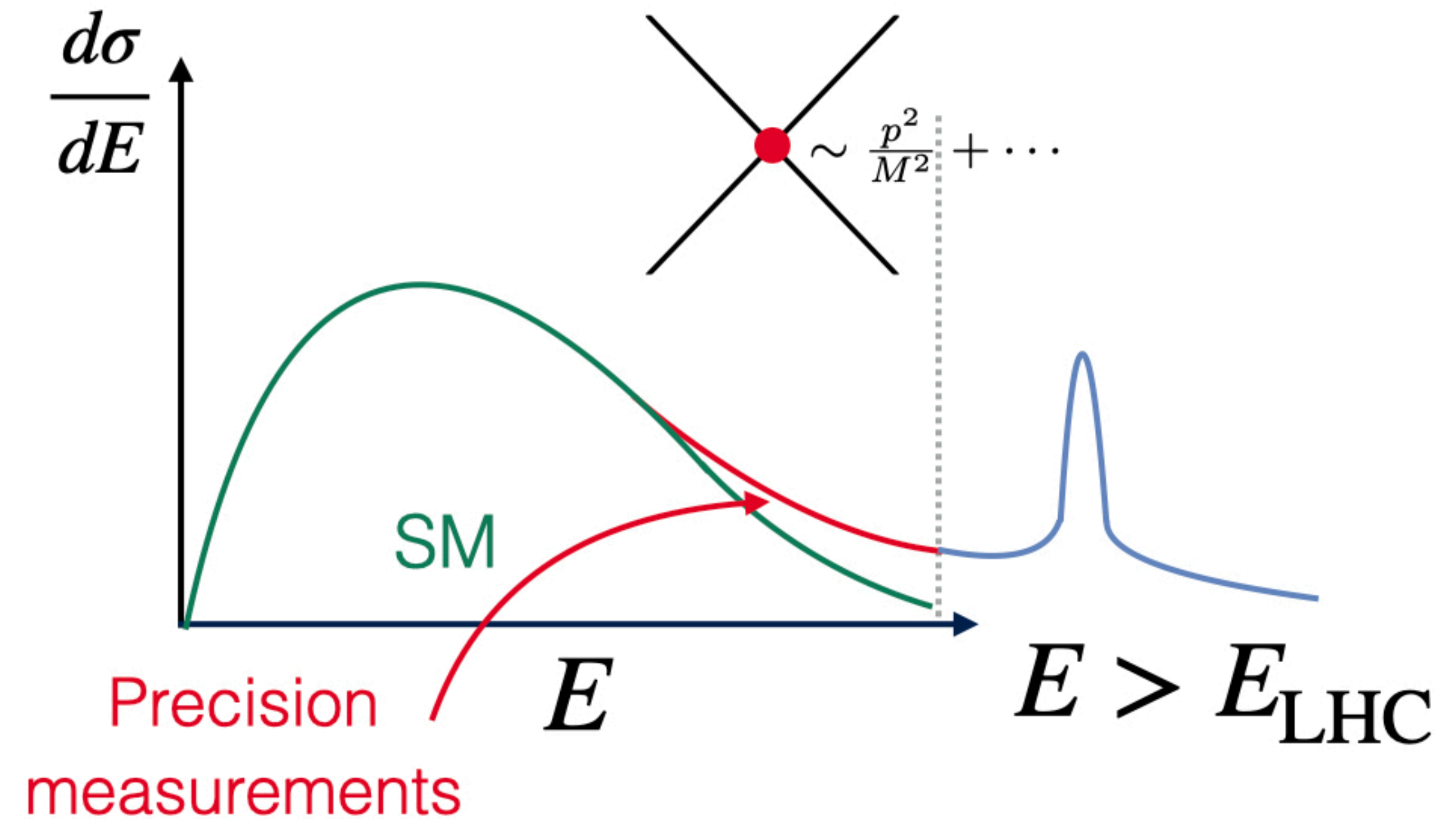
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Heavy new physics

Precision measurements

High energy



**Effective Field  
Theory (EFT)**



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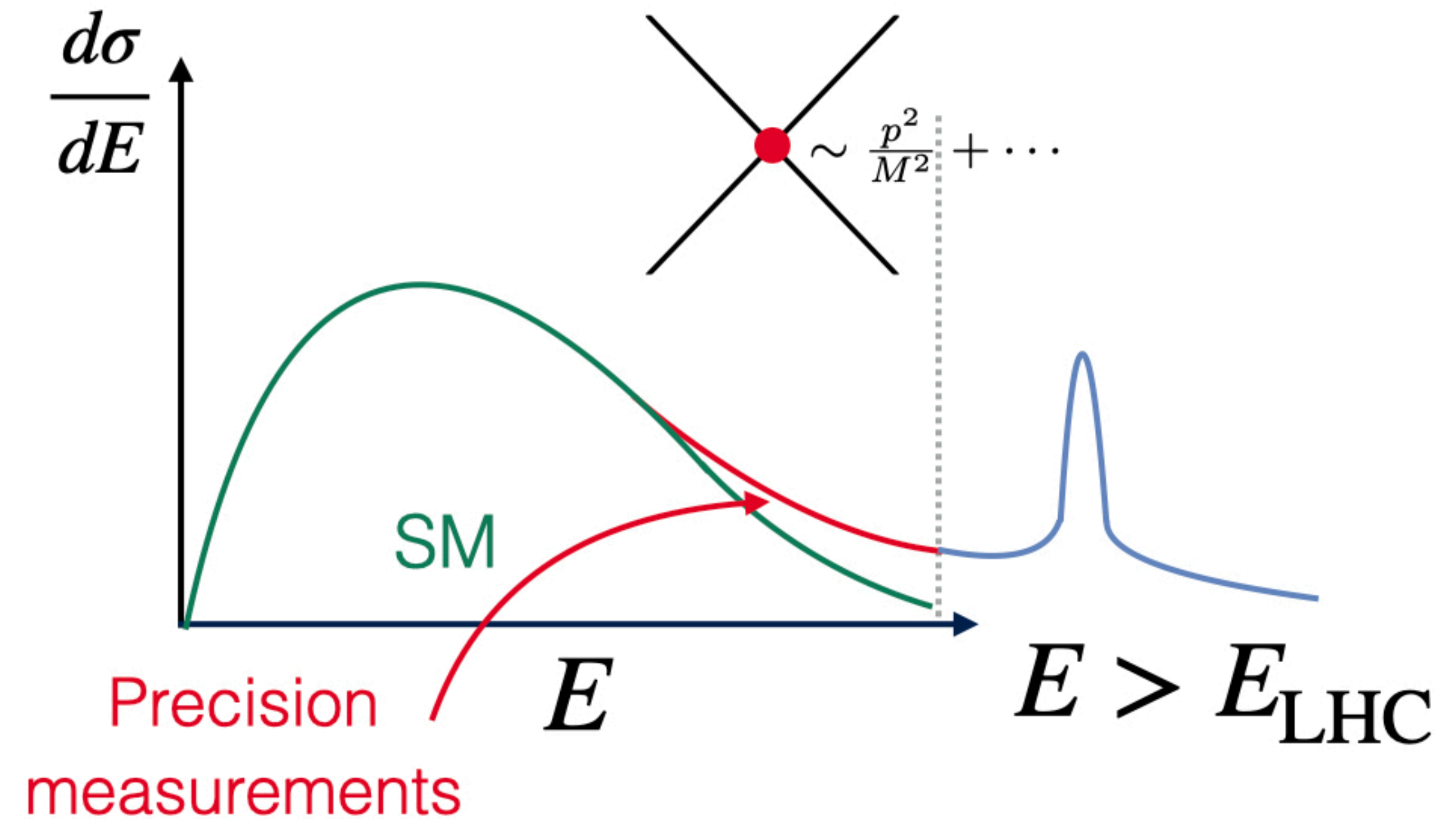
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**Effective Field Theory (EFT)**

$$\mathcal{A}_{\text{BSM}}^n(E, M) \sim E^{4-n} \left( a_0 + a_1 \frac{E}{M} + a_2 \frac{E^2}{M^2} + \dots \right), \quad E \ll M$$



# SMEFT: SM v2.0

$$\begin{array}{l}
 (\bar{F} \sigma_{\mu\nu} f \tilde{\varphi}) V^{\mu\nu} \\
 (\bar{f} \gamma_{\mu} f) (\bar{F} \gamma^{\mu} F) \\
 (\bar{F} f \tilde{\varphi}) (\varphi^{\dagger} \varphi)
 \end{array}
 \mathcal{L} = \mathcal{L}_{\text{SM}} + \sum_{i,D} \frac{c_i^{(D)} \mathcal{O}_i^{(D)}}{\Lambda^{D-4}}
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*BSM particle masses  $M$*



*Generic new physics scale  $\Lambda$*



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*Tower of operators  $\mathcal{O}_i^{(D)}$*

$\mathcal{O}_i^{(D)} \supset$



*Low energy (SM) fields & symmetries*



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*Model parameters  $\{g_{\text{BSM}}^i, M_k\}$*   $\iff$  *Wilson coefficients  $\frac{c_j^{(D)}}{\Lambda^{D-4}} (g_{\text{BSM}}^i, M_k)$*

*measure  $g_i$  : new physics model parameters*

“Matching”

*measure  $c_i$  : coupling strengths of new BSM interactions*



# SMEFT is...

## Model independent

- Underlying assumptions

$$\mathcal{L}_{\text{eff}} = \sum_i \frac{c_i \mathcal{O}_i^D}{\Lambda^{D-4}}$$

*Heavy new physics:  $M > E_{\text{exp}}$   
SM field content & gauge symmetries  
Linear EWSB: Higgs = doublet*

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- **Ultimate goal:** complete *SMEFT likelihood* confronted with HEP data

EWPO, **Higgs**, **multiboson**, **top**, **DY**, **flavor**,...



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$\mathcal{L}(c_i) \Rightarrow$  **indirectly constrain many UV models**



# SMEFT interpretation (fits)

$O_n$   
observables

$$\Delta o_n = o_n^{\text{EXP}} - o_n^{\text{SM}} = \sum_i \frac{a_{n,i}^{(6)}(\mu) c_i^{(6)}(\mu)}{\Lambda^2} + \mathcal{O}\left(\frac{1}{\Lambda^3}\right)$$

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Improving new physics reach means improving...

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## Global nature

As many observables  
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Identify patterns &  
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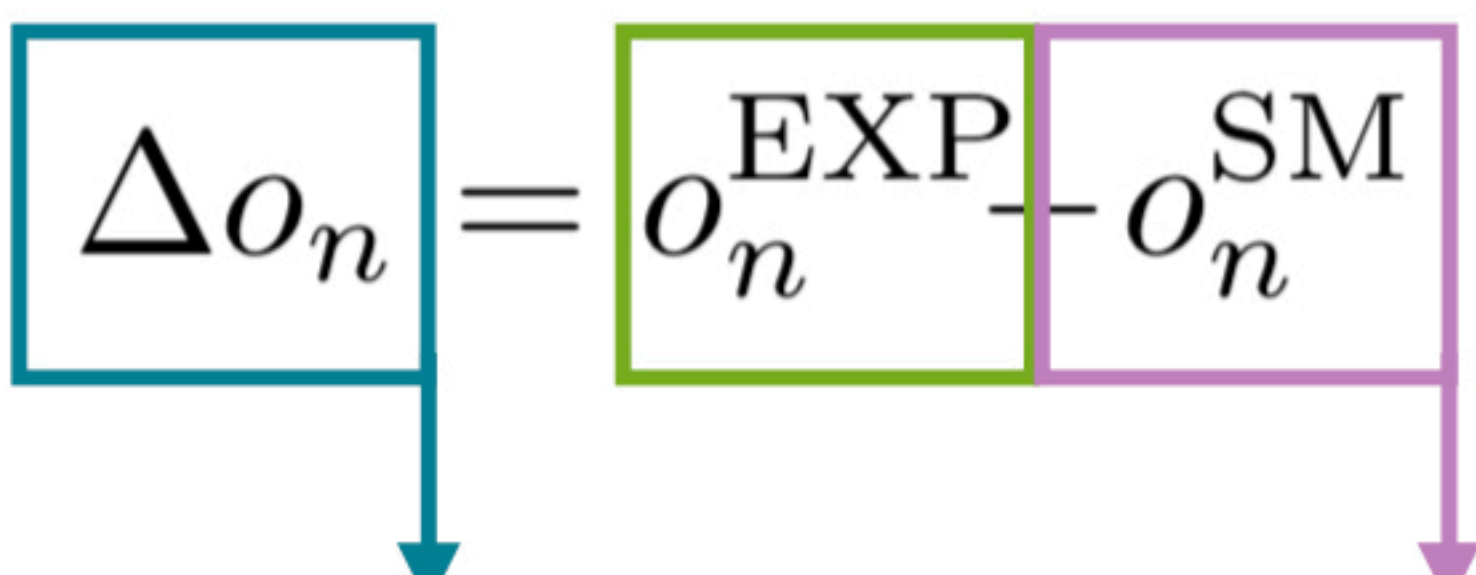
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*Experiment:*

Best measurements &  
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*Theory:*

Best available  
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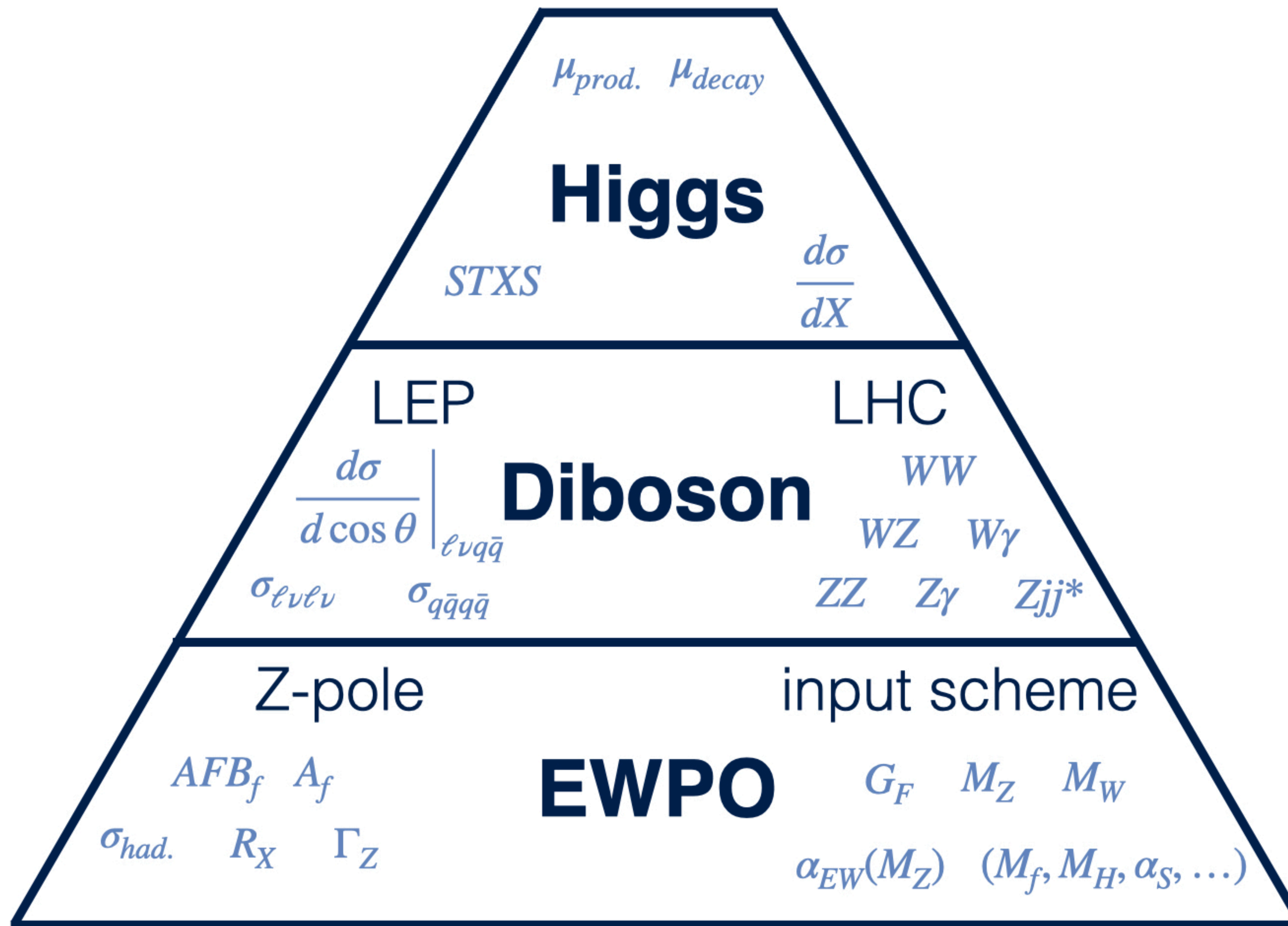
## Interpretation

Relies on accurate  
knowledge of the size  
& correlation among  $a_i$

Determining  $c_i^{(6)}$   
requires most precise  
available SMEFT  
predictions

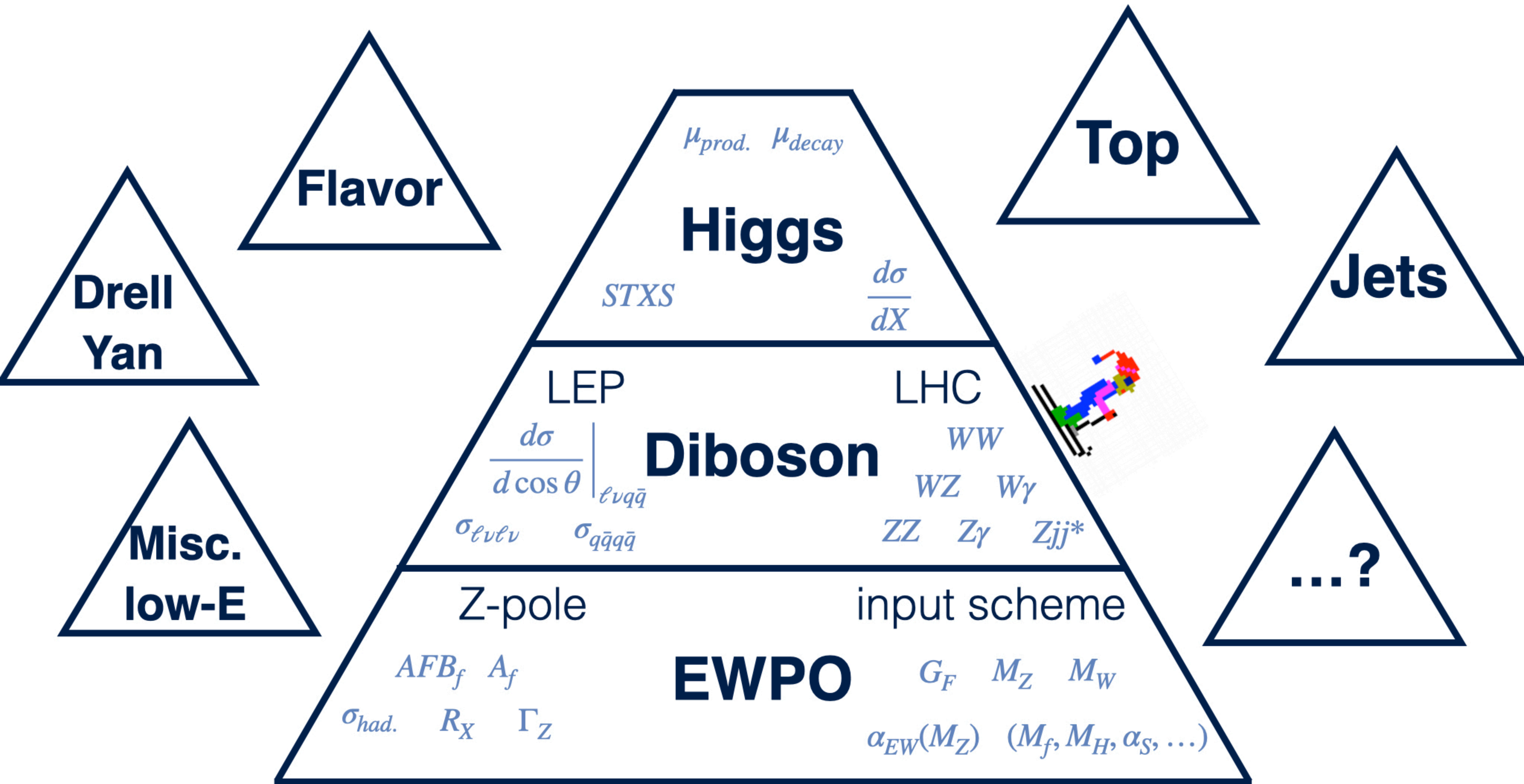


# Datasets



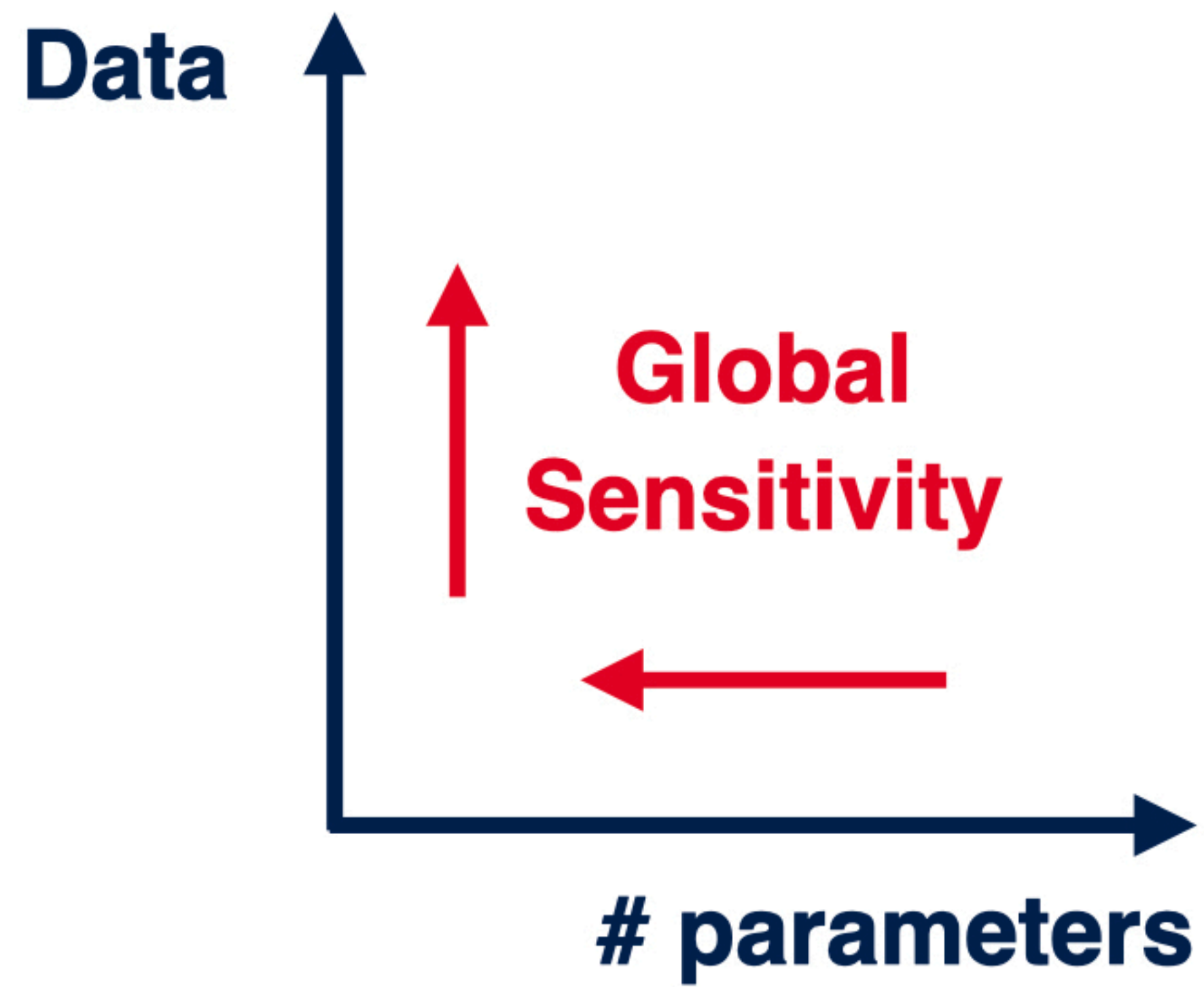


# Datasets





# Breadth and sensitivity





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[Buchmuller & Wyler; Nucl. Phys. B 268 (1986) 621]

Dimension-6 SMEFT [Grzadkowski et al.; 1008.4884]

- O(3000) parameters (independent operators)

Symmetry assumptions

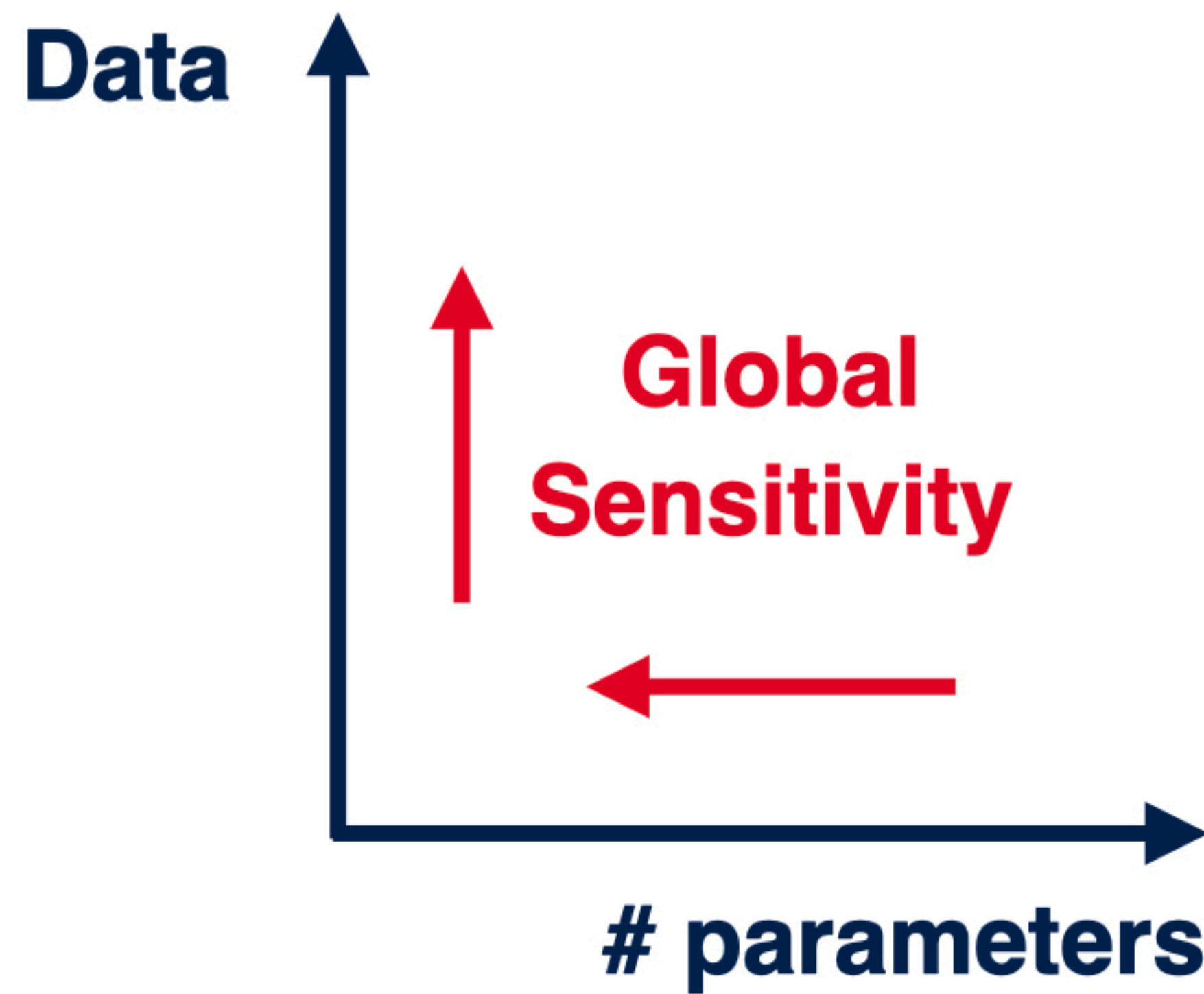
- B/L conservation
- CP conservation

- Flavor symmetries

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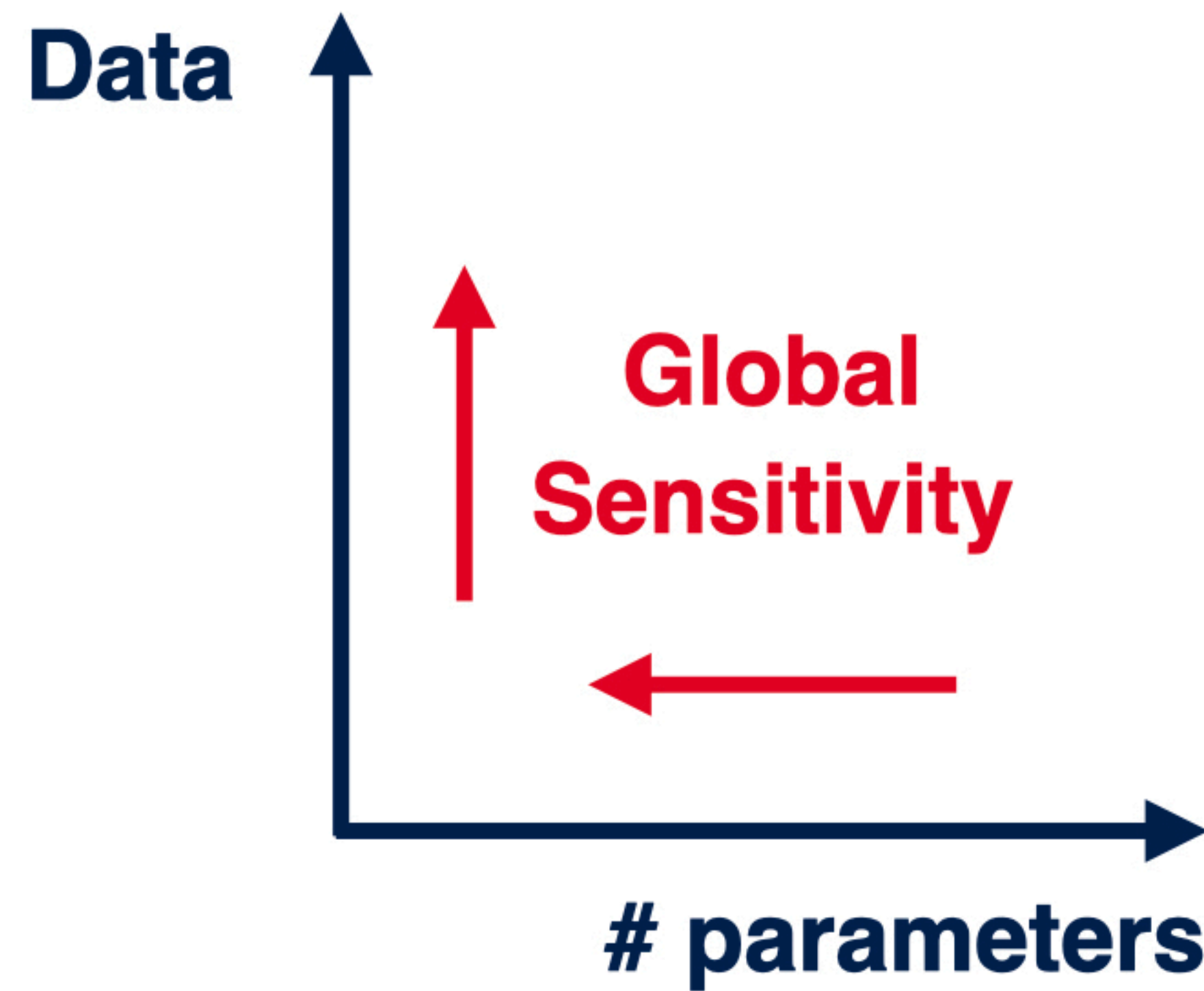
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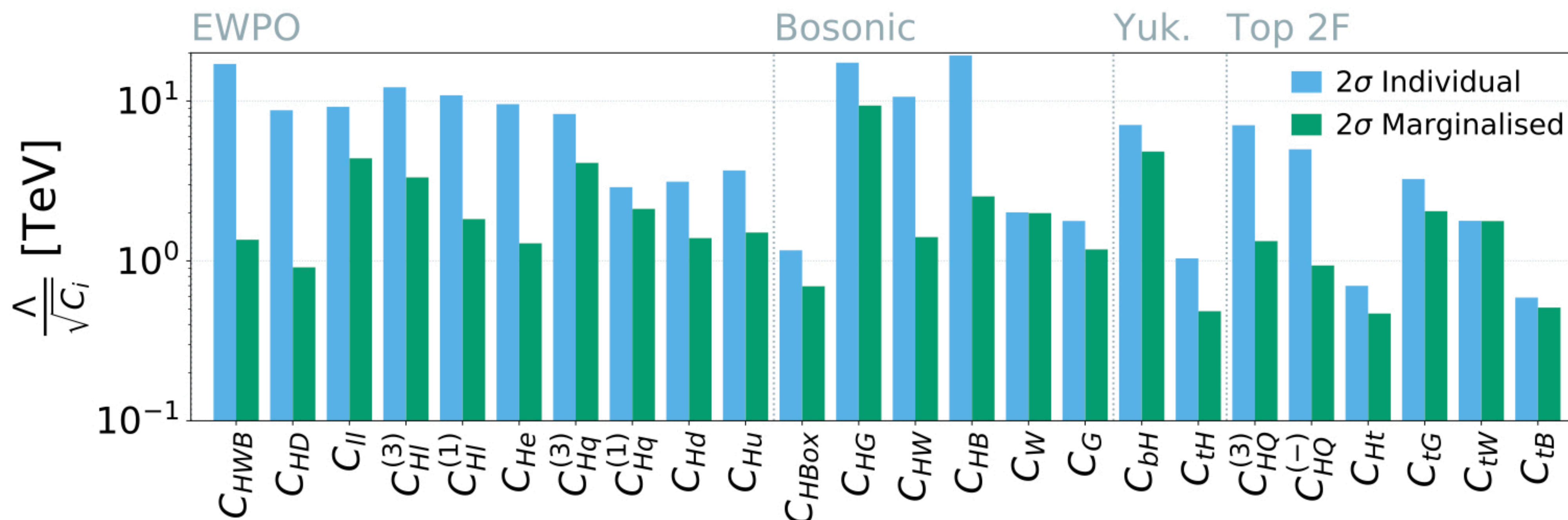
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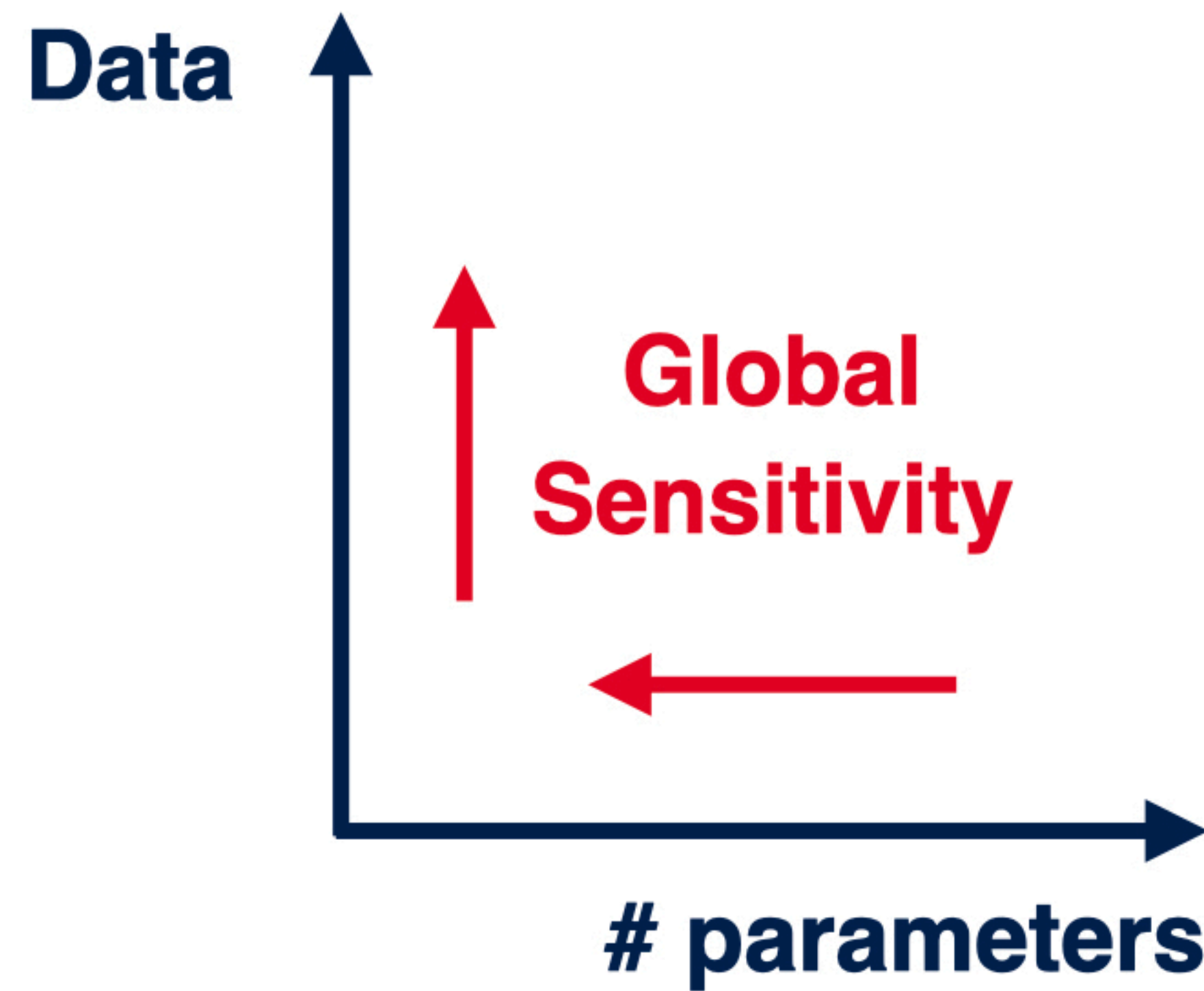
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fitmaker: [Ellis et al.; 2012.02779]





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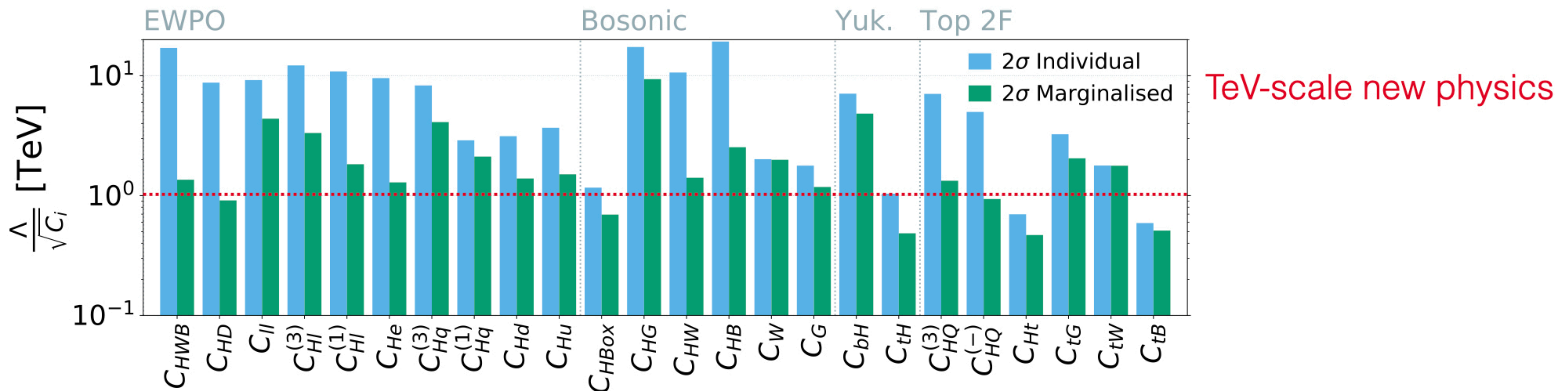
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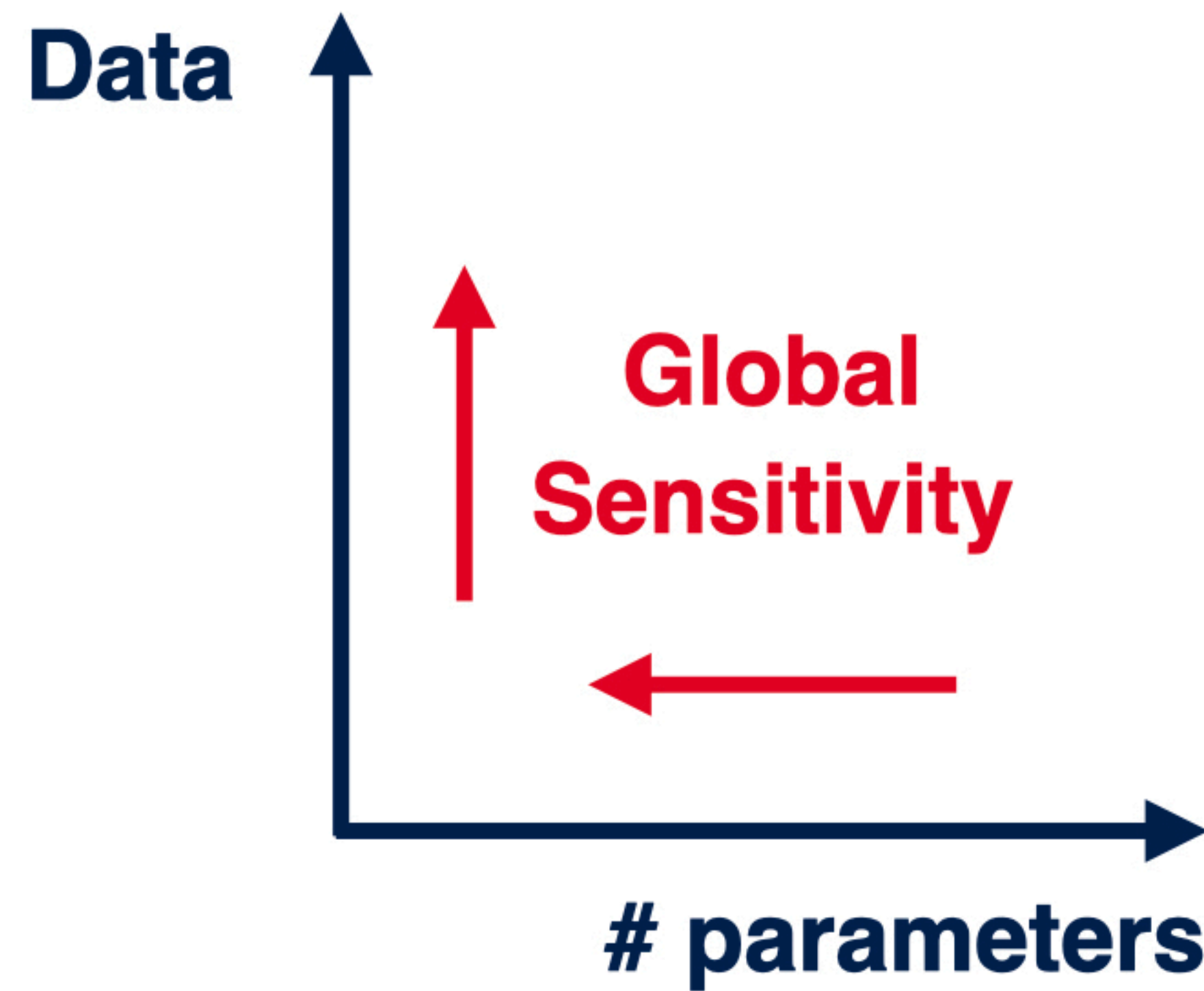
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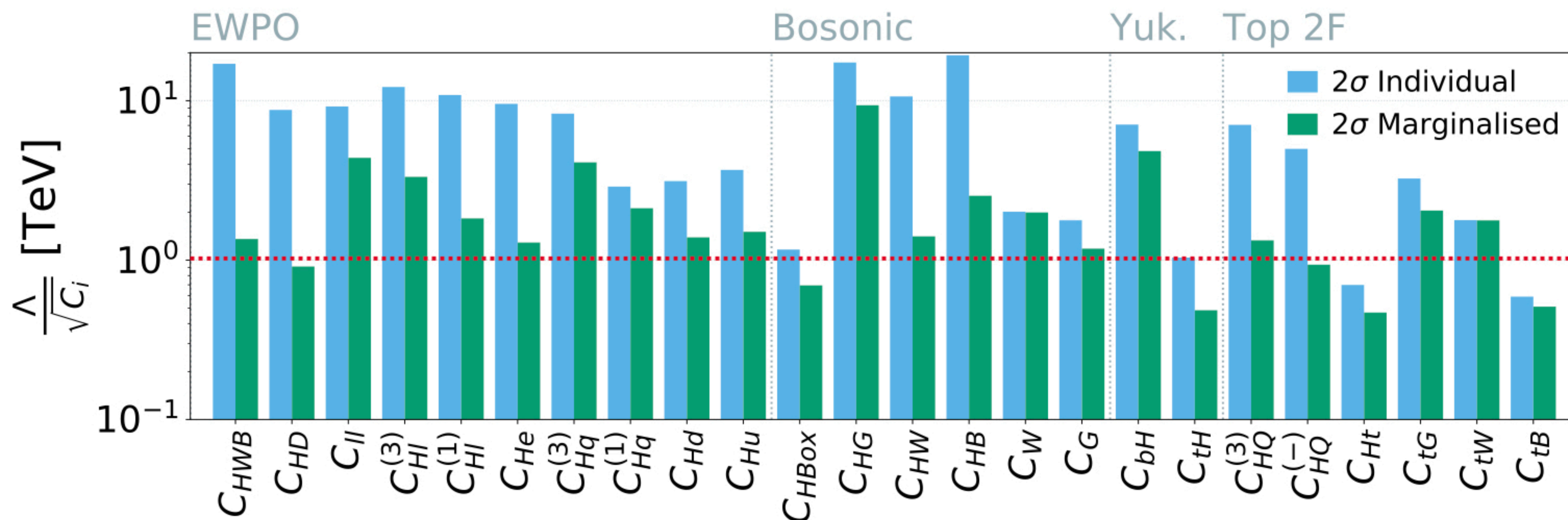
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TeV-scale new physics

Hierarchies in sensitivity

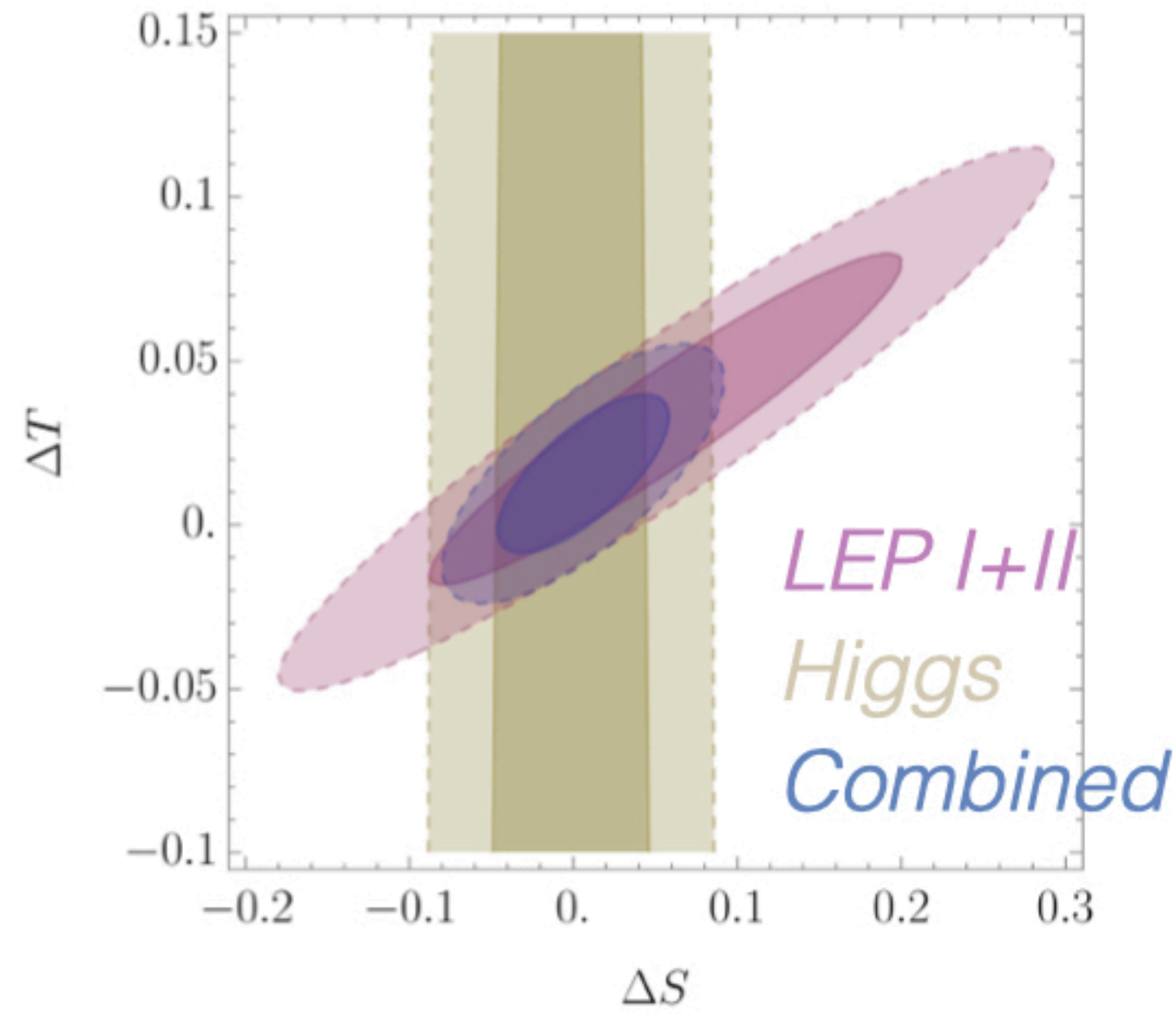
EWPO  $\gtrsim$   
Diboson/Higgs  $>$   
Top



# Interplay

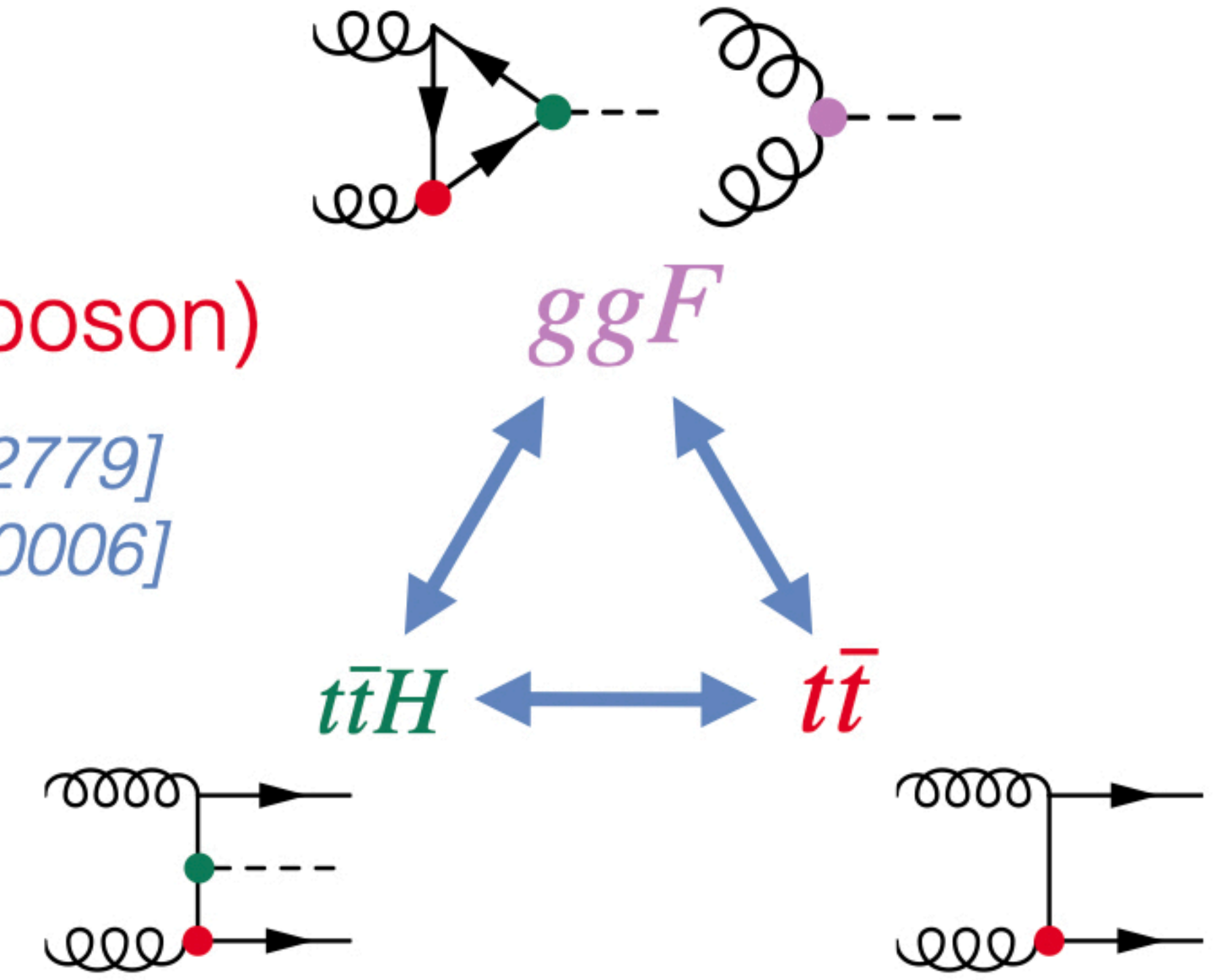
## Higgs & EWPO

[Ellis et al.; 1803.03252]



## Top & Higgs (EWPO, Diboson)

fitmaker: [Ellis et al.; 2012.02779]  
SMEFIT: [Ethier et al; 2105.00006]



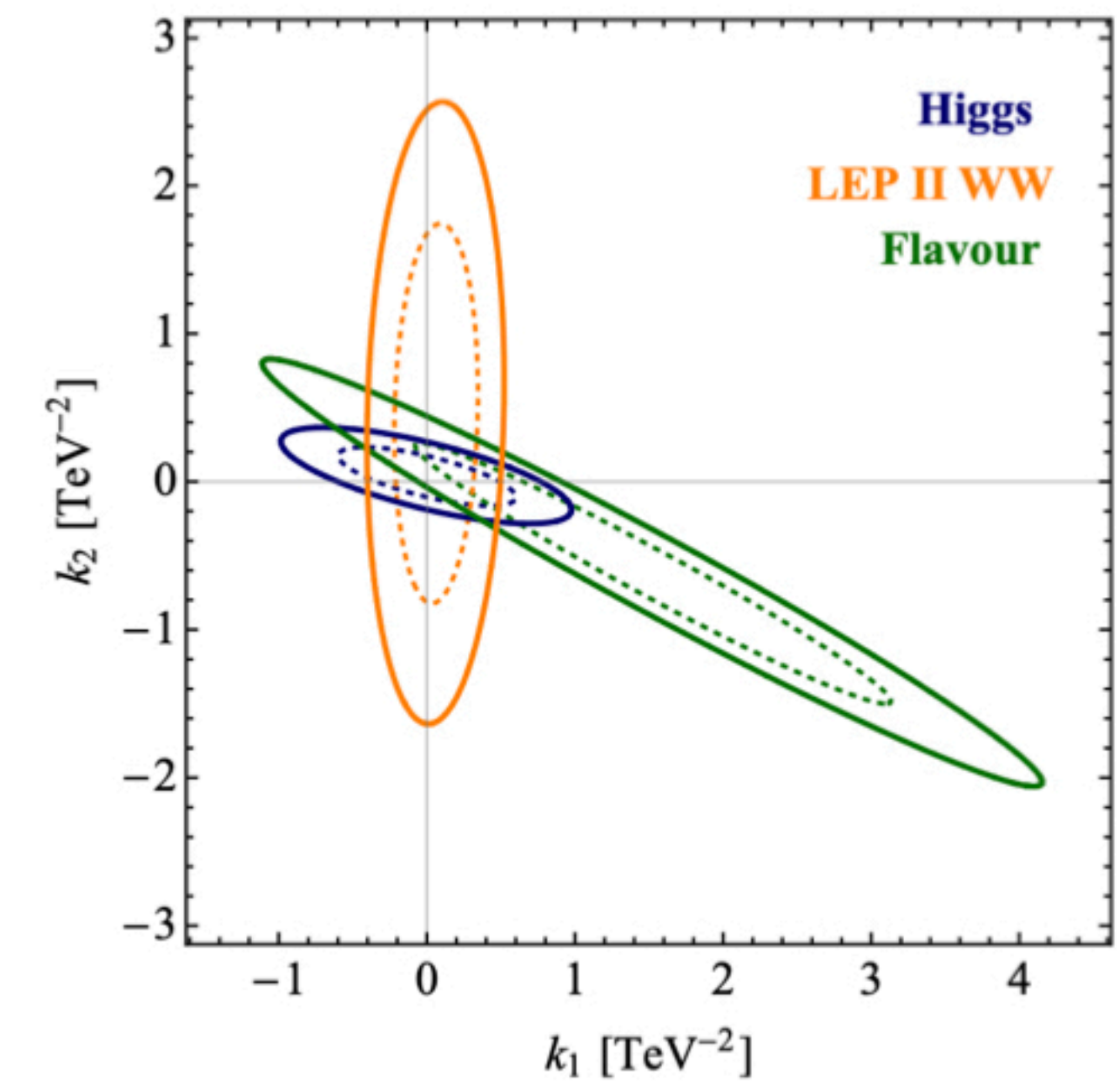
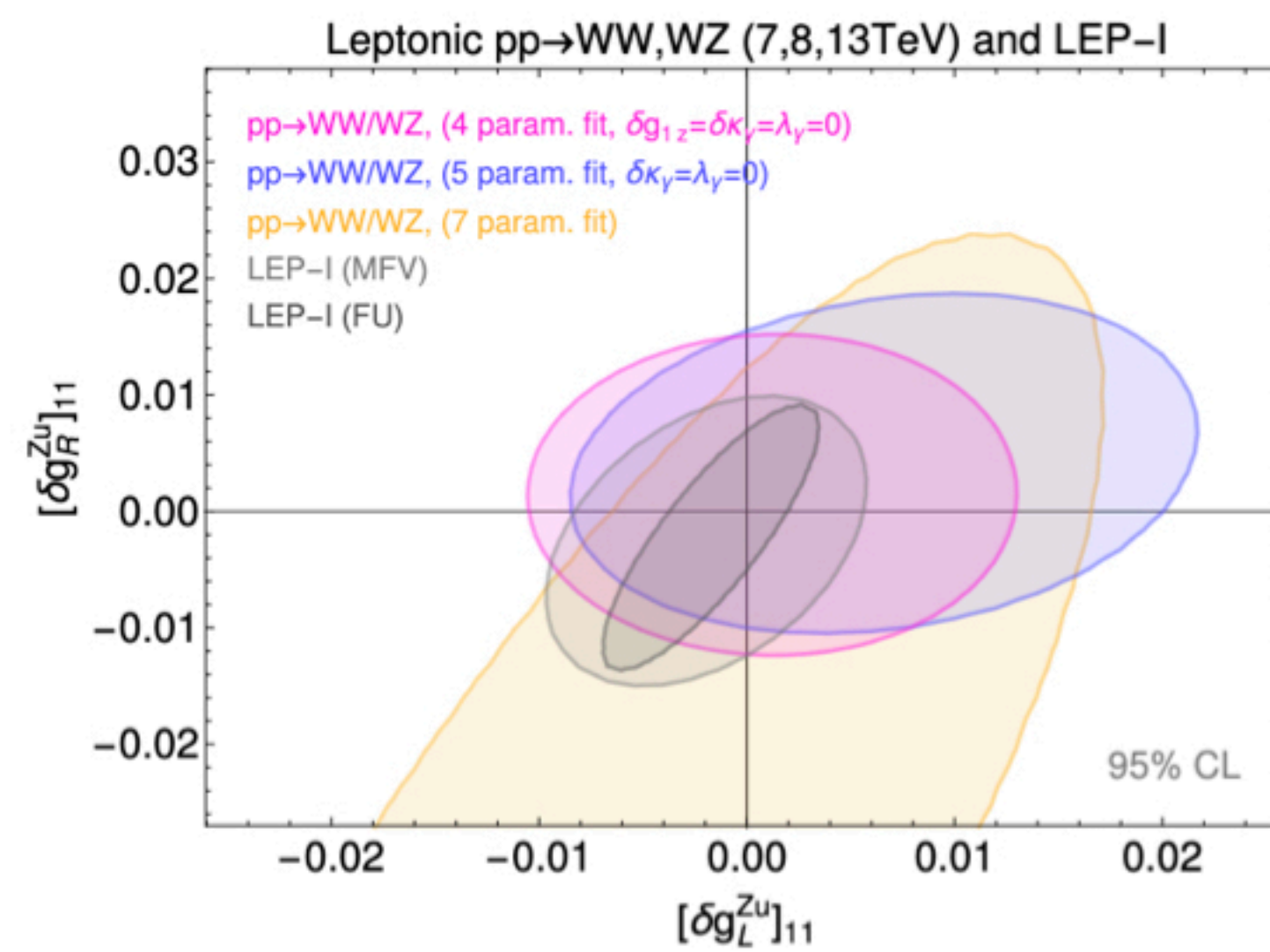
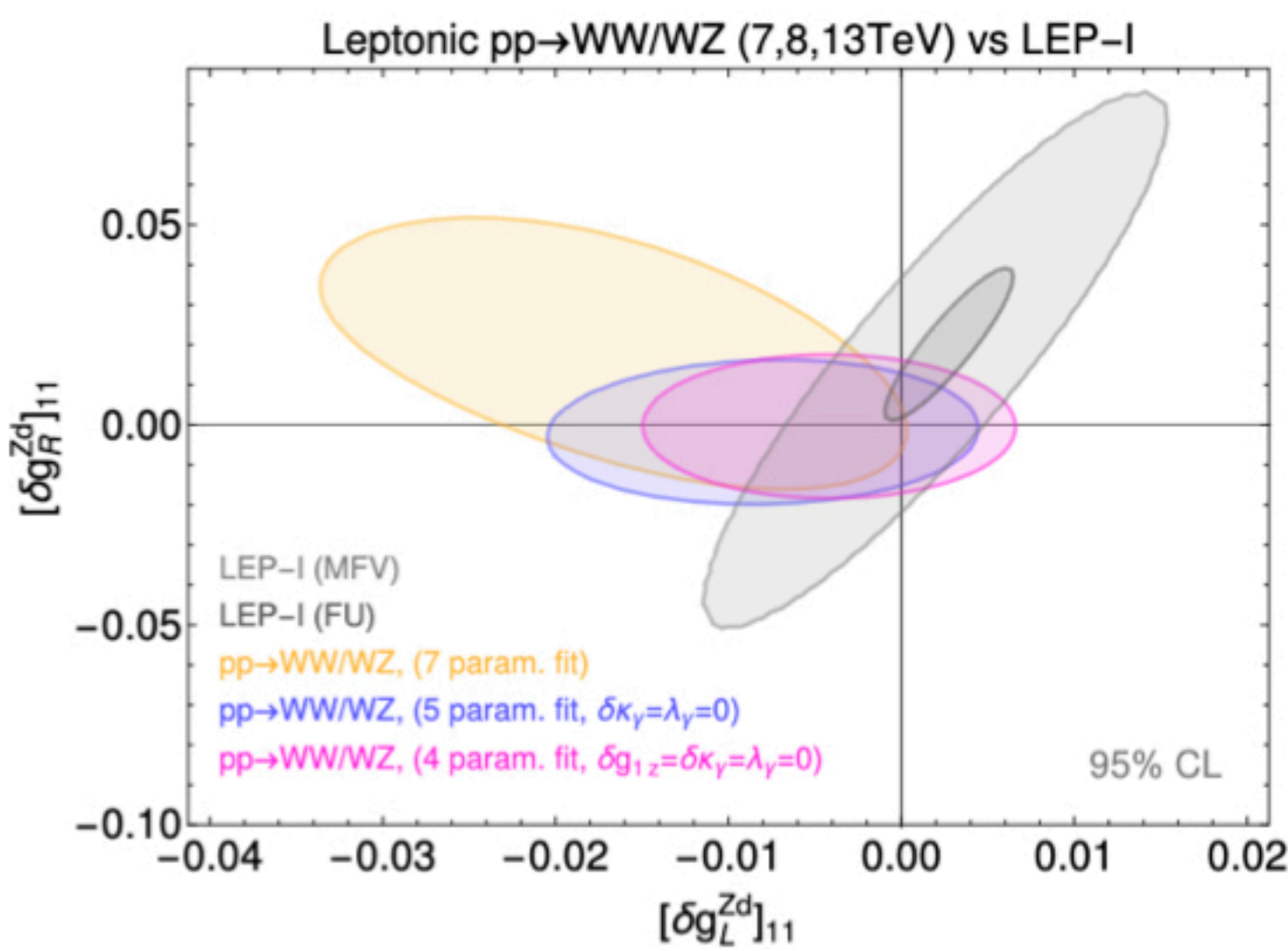
## Where does being global matter?

## Flavor, LEP II & Higgs

[Aoude, Hurth, Renner & Shepherd; 2003.05432]

## Diboson & EWPO

[Grojean, Montull & Riemann; 1810.05149]

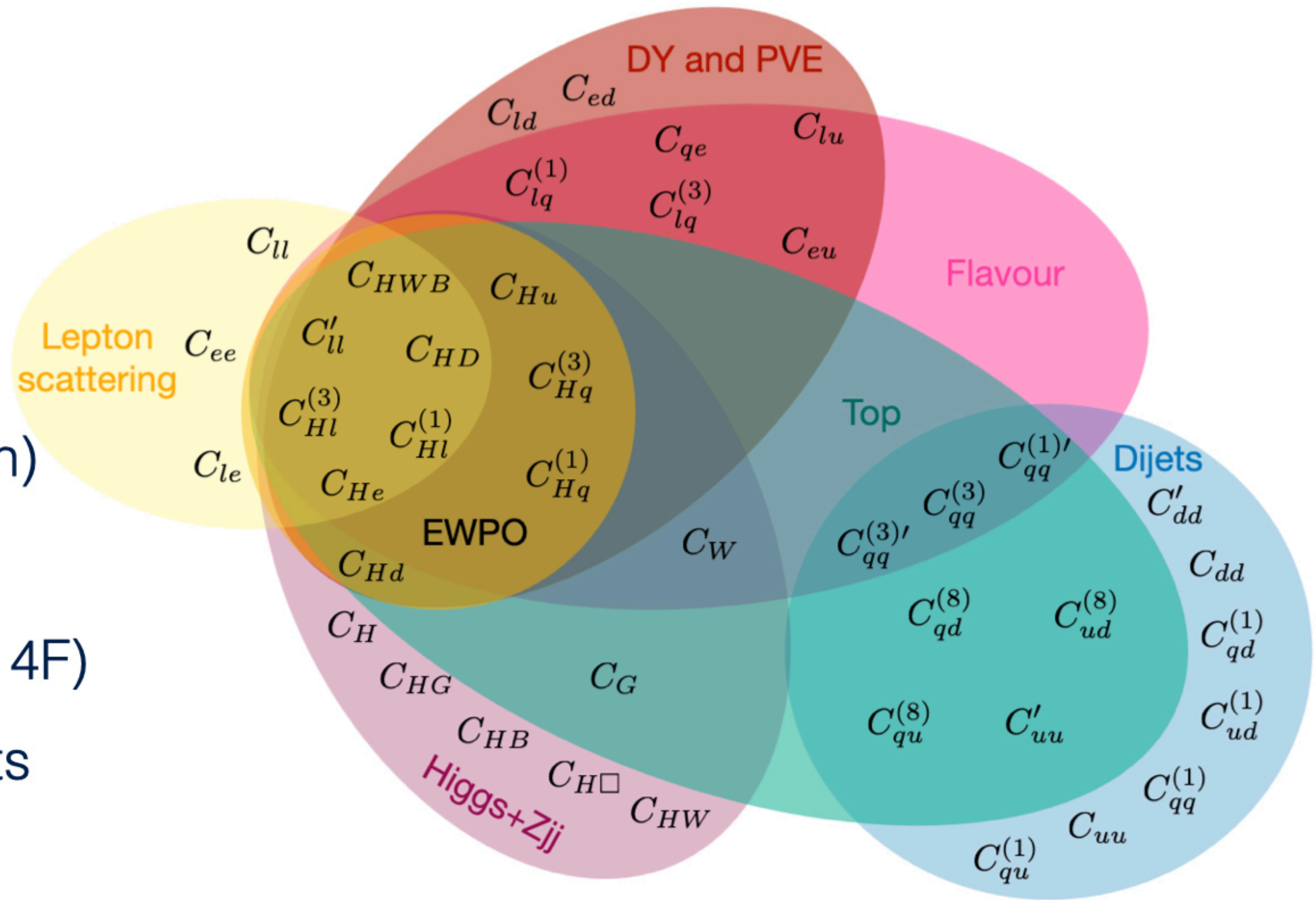




# MFV fit

## Global fit to the MFV SMEFT

- Exact  $U(3)^5$ : 41 operators (CP even)
- No LR interactions (Yukawa/dipole)
- Flavour universal interactions (2F & 4F)
- Synthesis of many public fit datasets

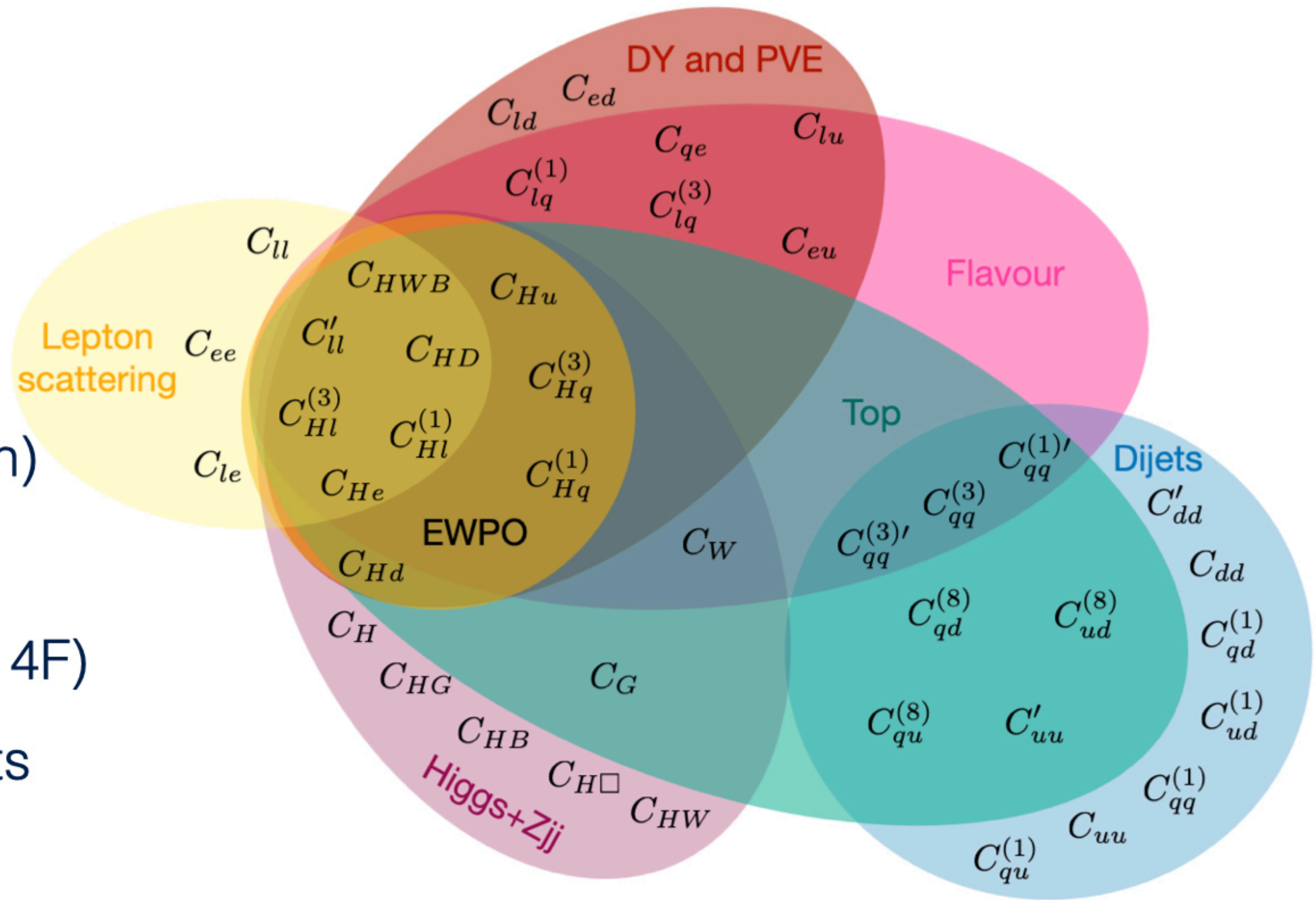




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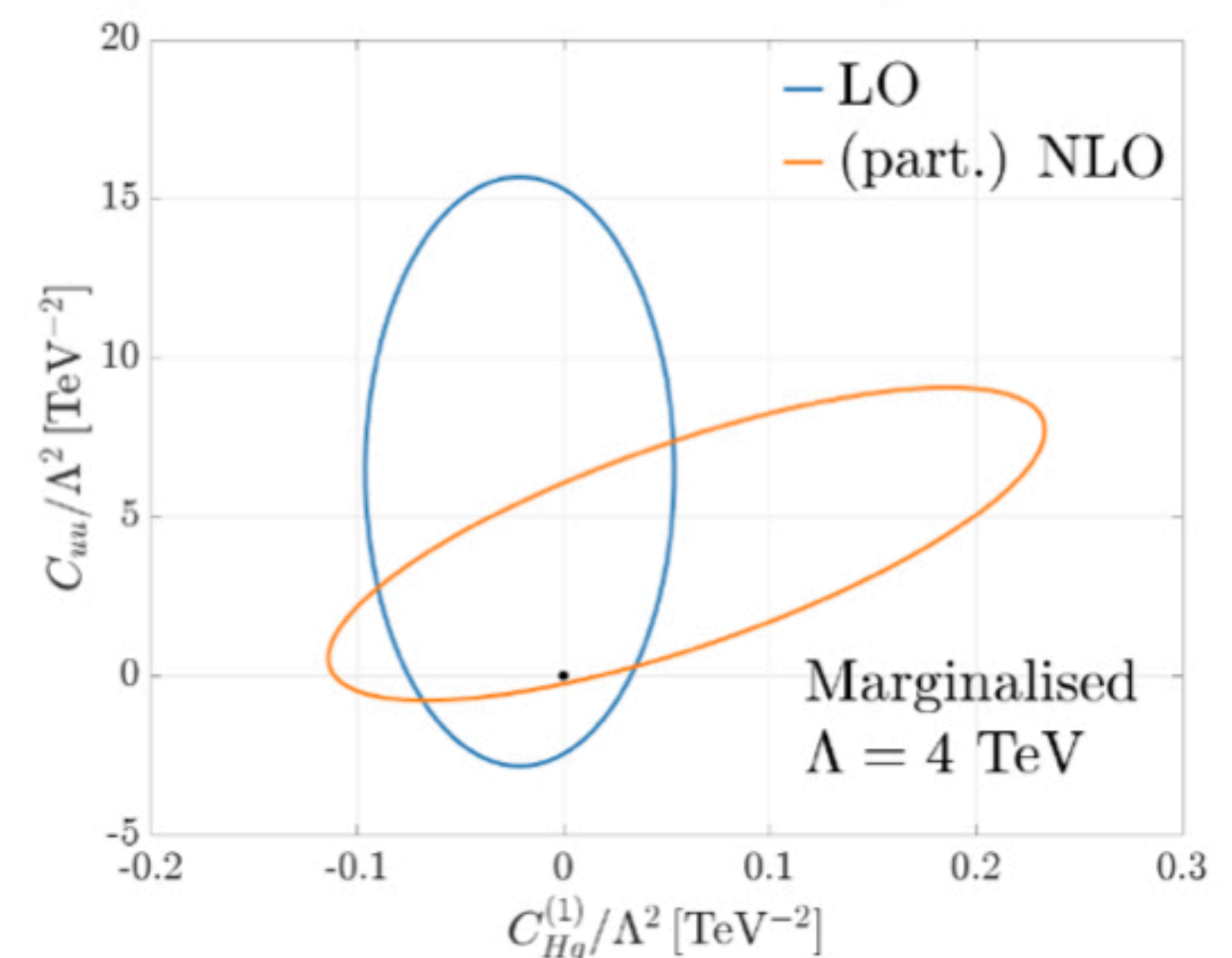
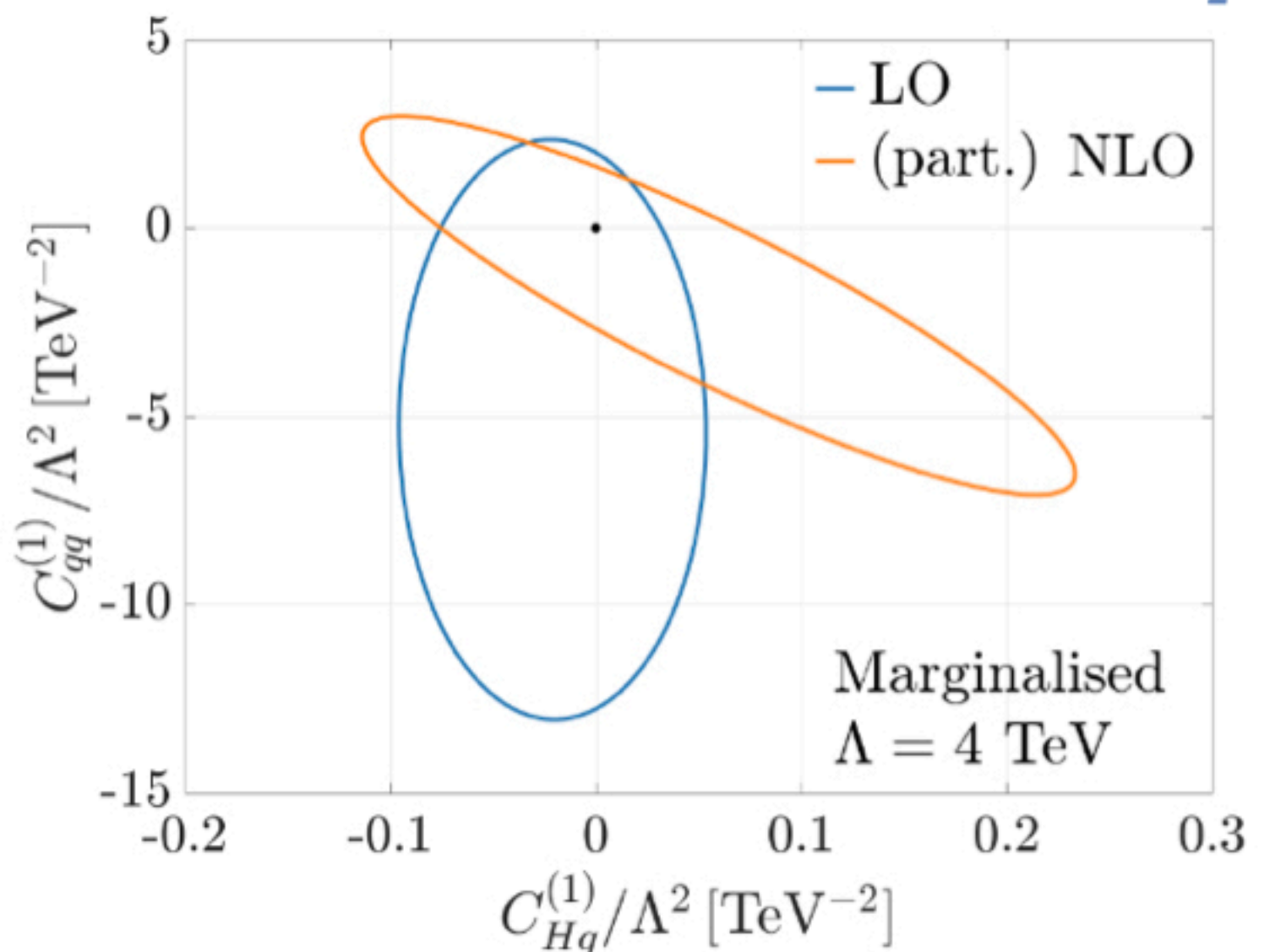
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## Partial NLO results

- EWPO (QCD & EW) [Dawson & Giardino; 1909.02000, 2201.09887]
- Selected Top/Higgs SMEFiT: [Ethier et al; 2105.00006]  
[Alasfar, de Blas & Gröber; 2202.02333]

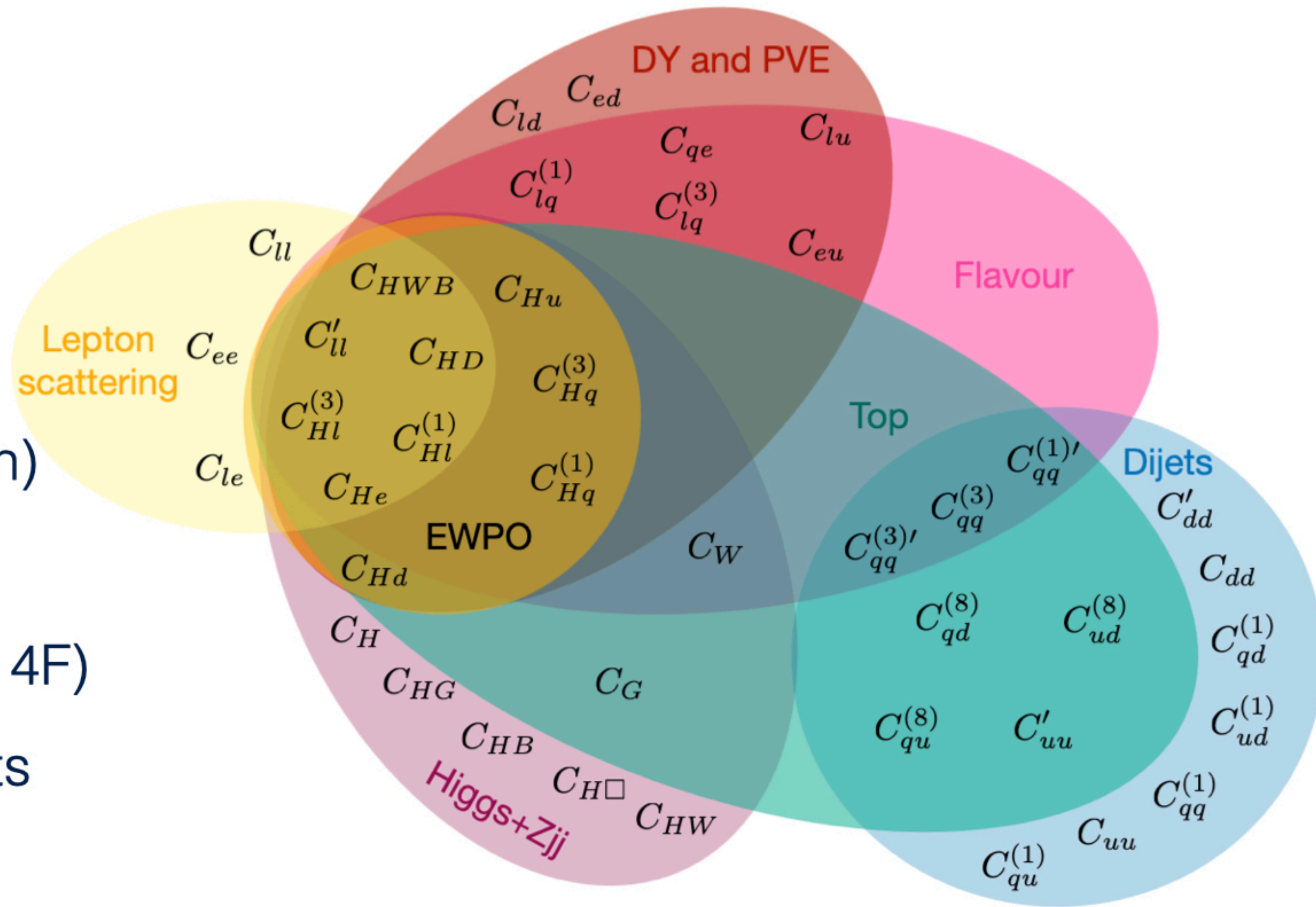




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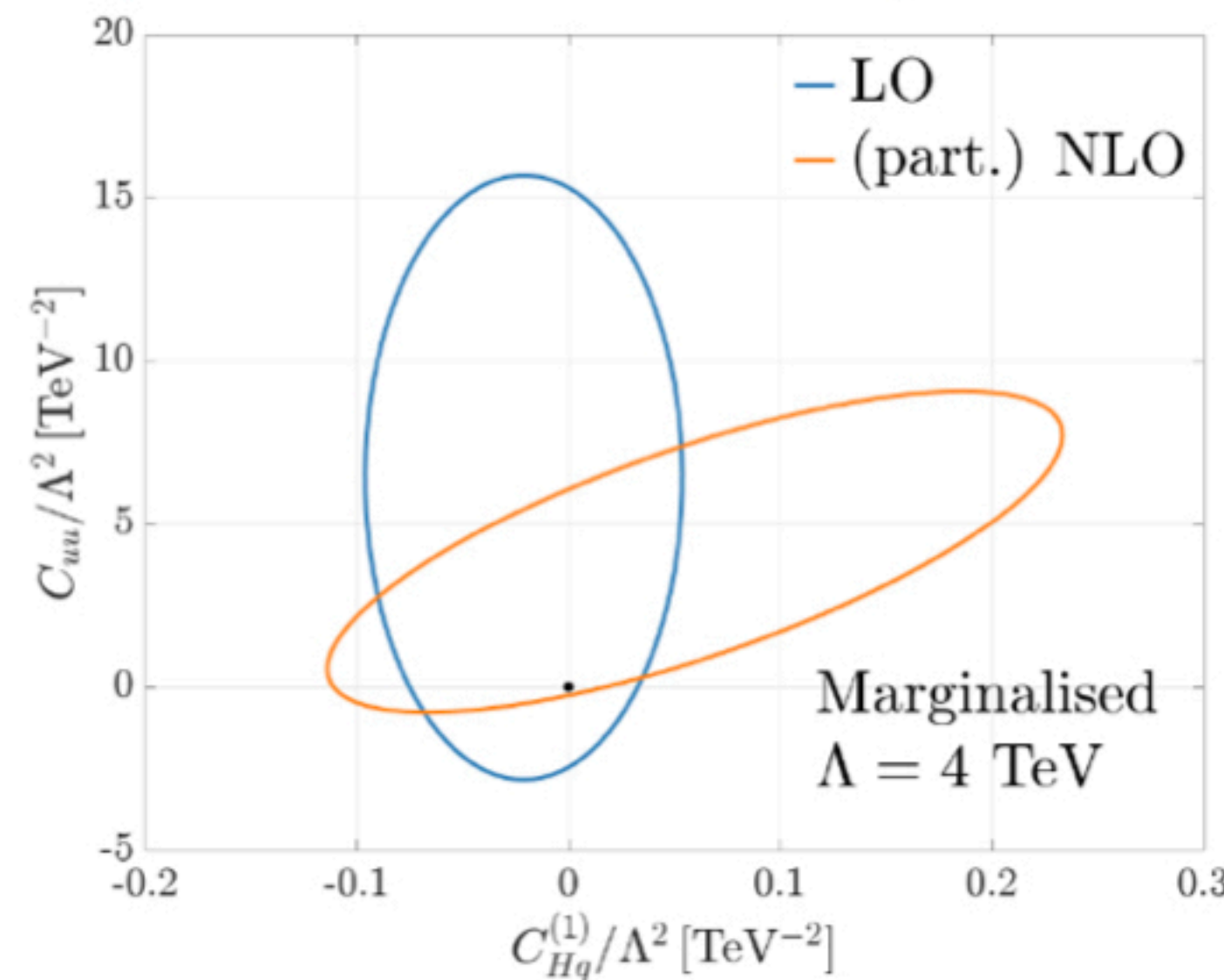
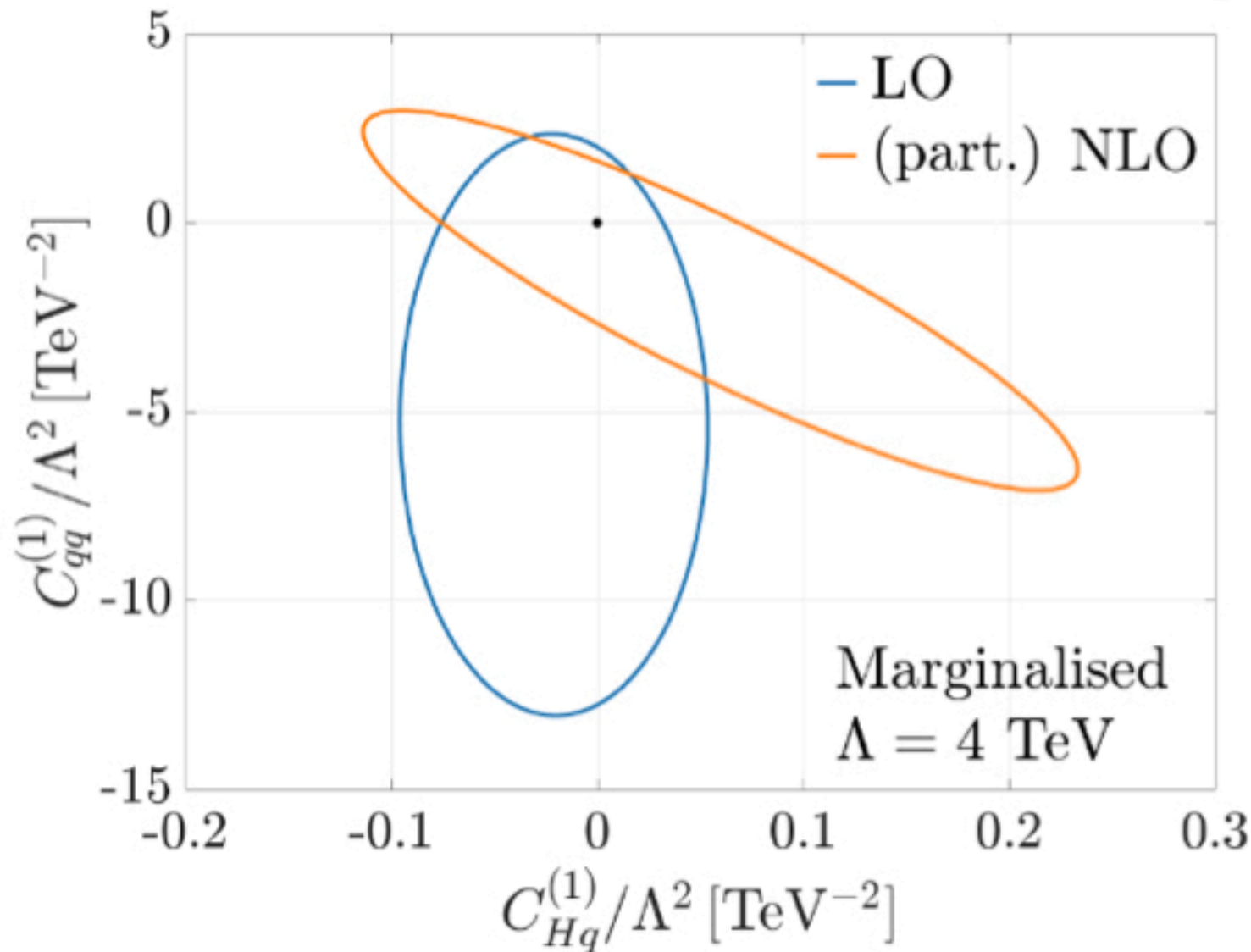
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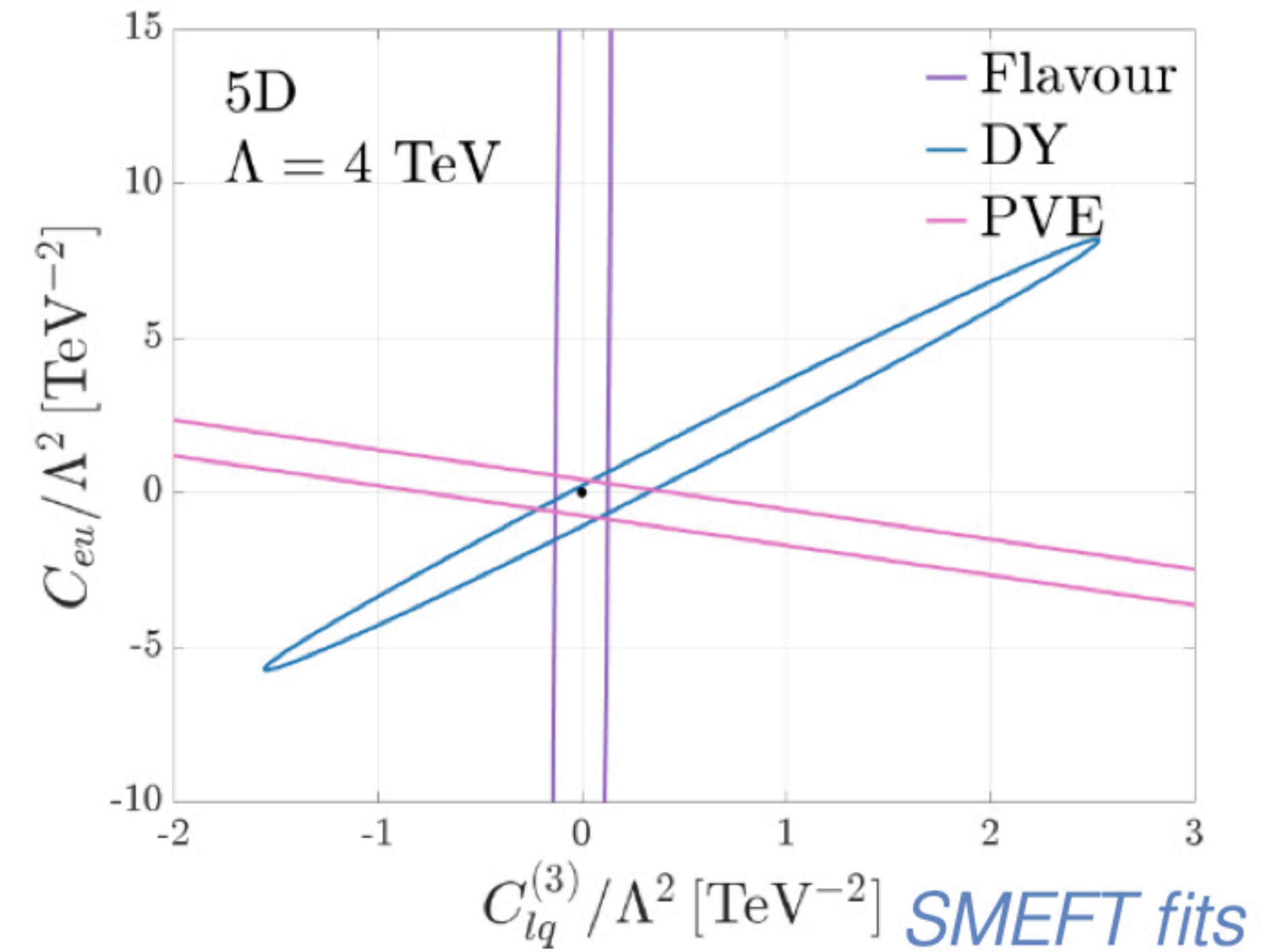
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## Low/high $p_T$ interplay





# Experimental fits

Z-pole @ LEP + Diboson @ LHC & Higgs STXS

- First experimental SMEFT combination of LHC & EWPO
- External inputs from theoretical literature
- Most sophisticated theoretical predictions for STXS

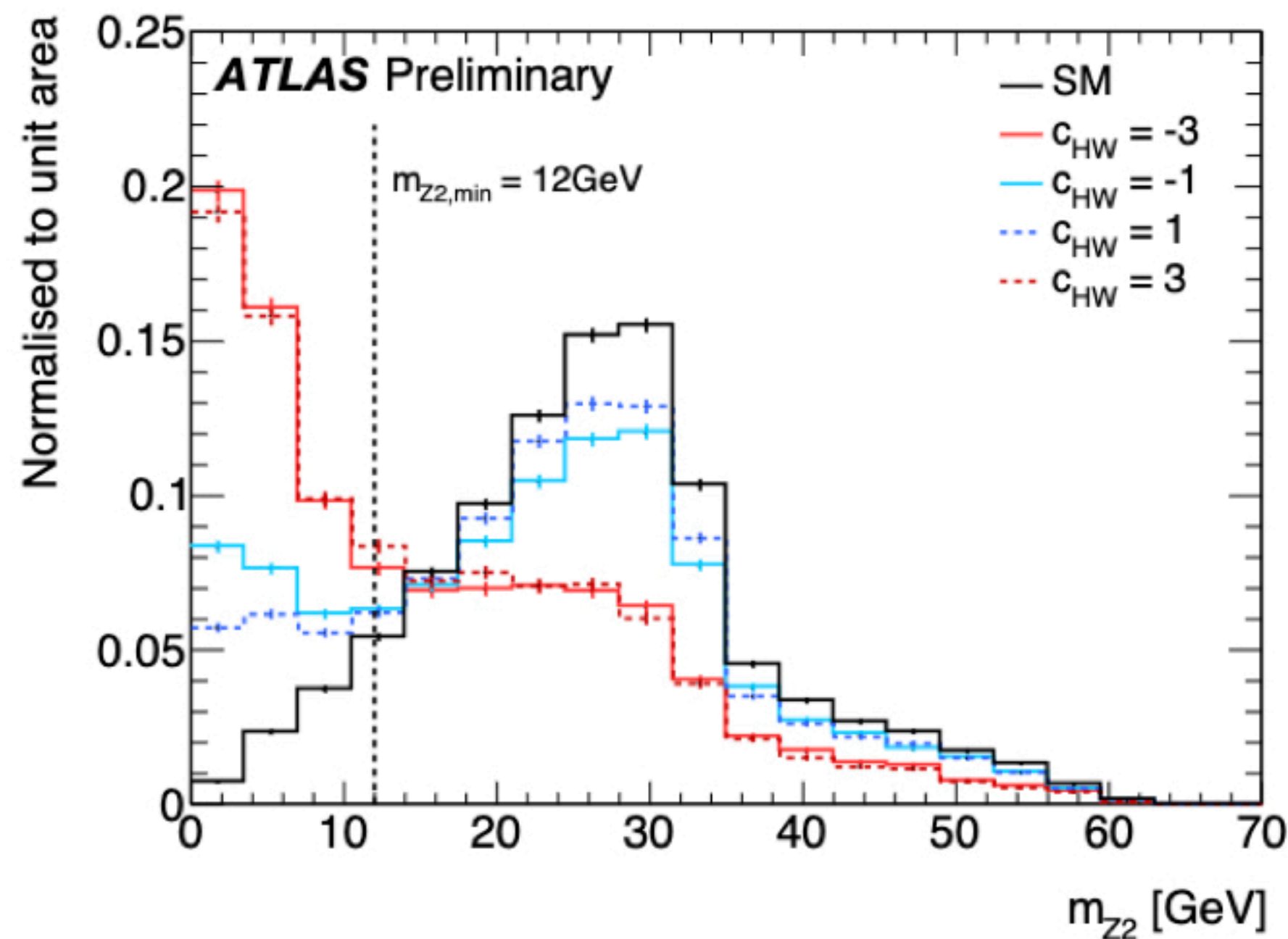


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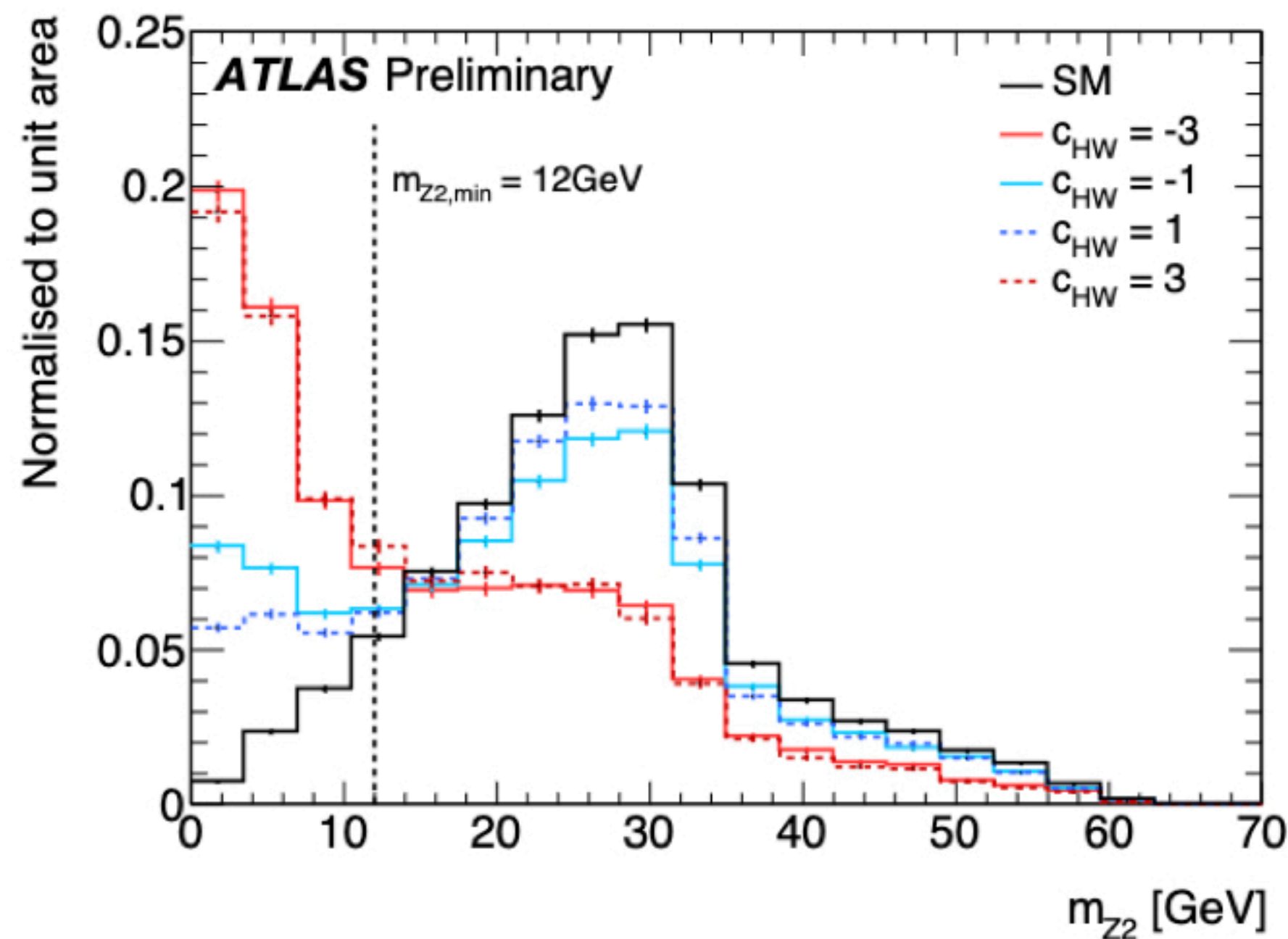


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Principal component analysis

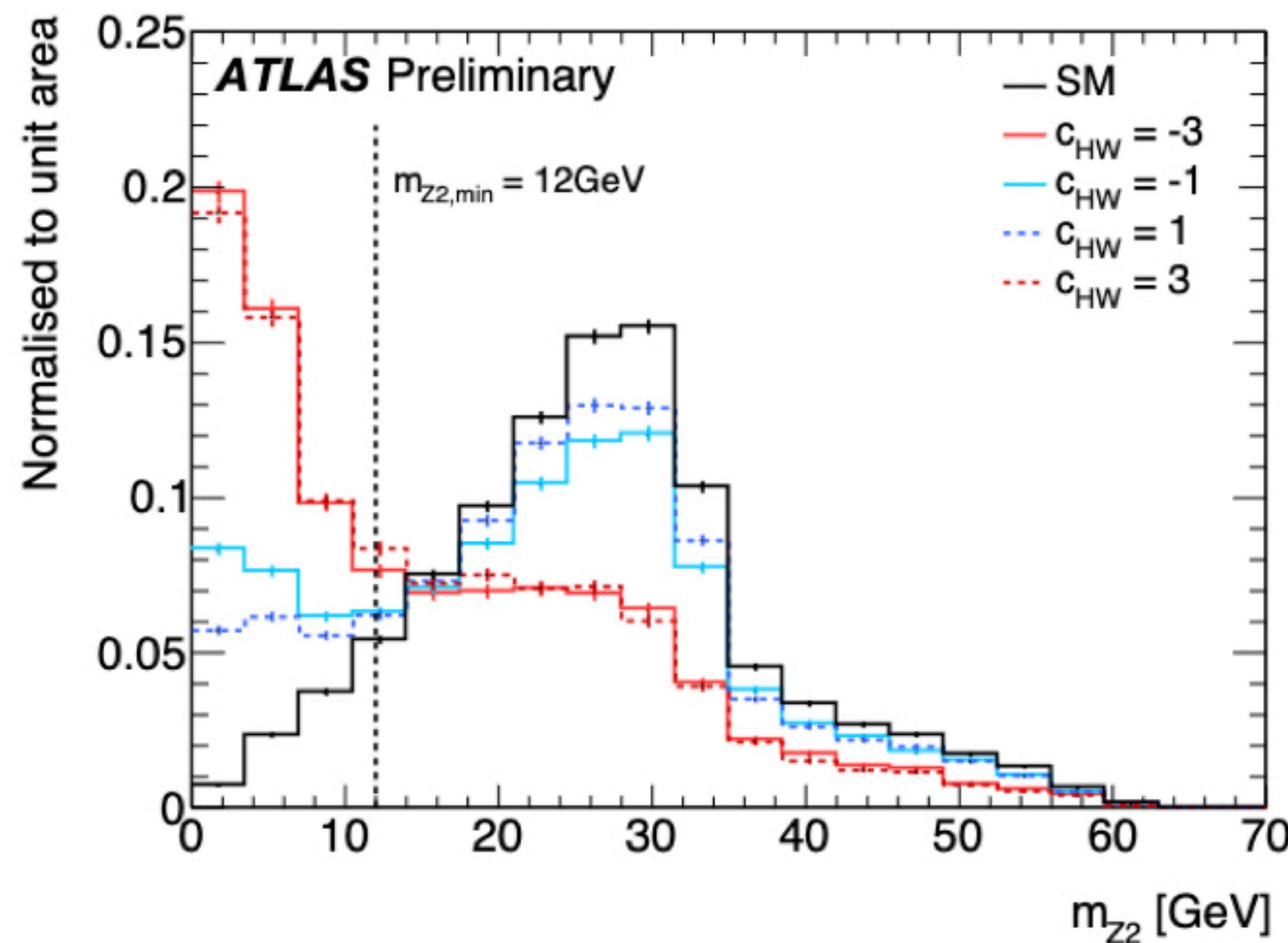


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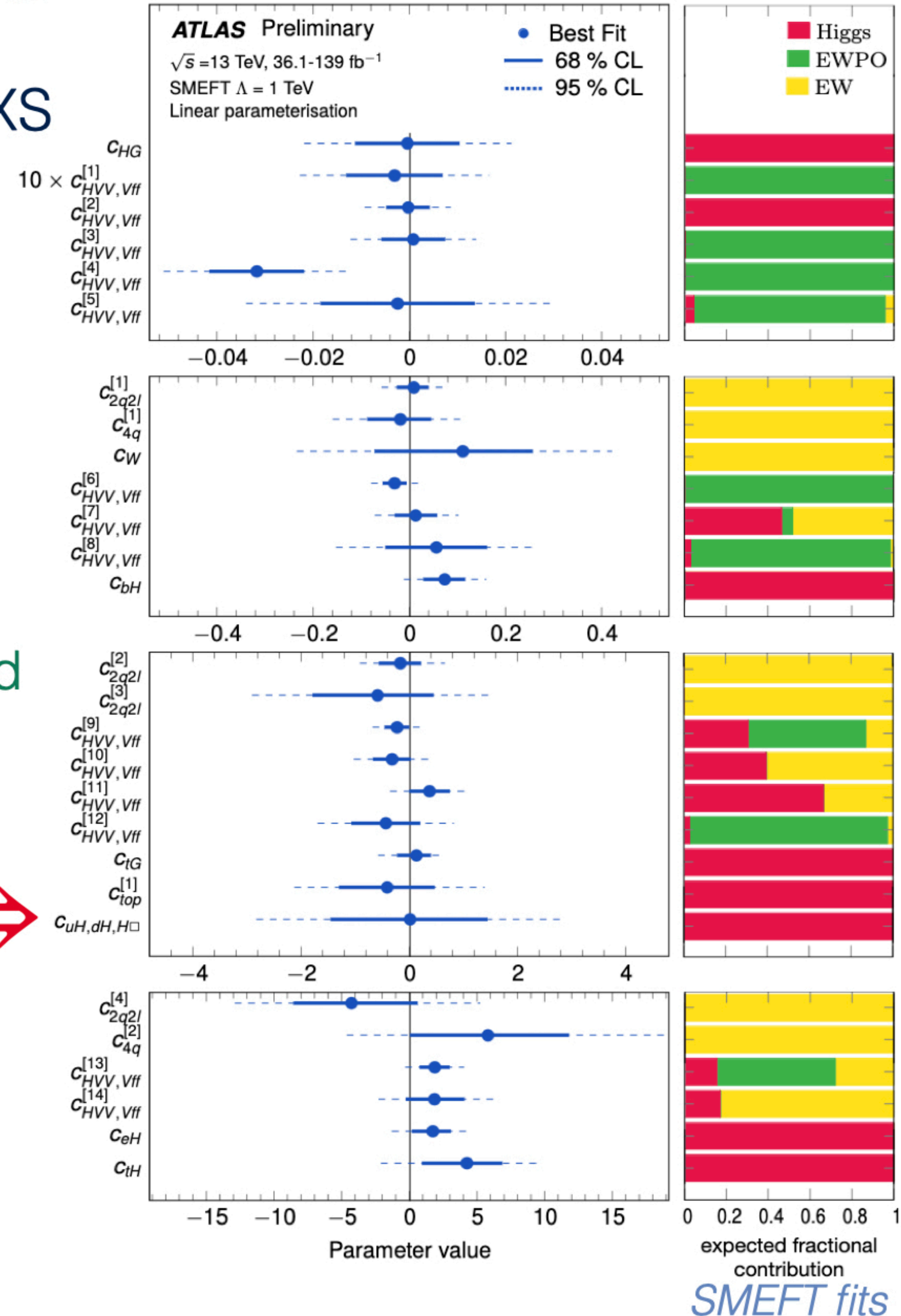
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# Automated UV connection



matchmakereft



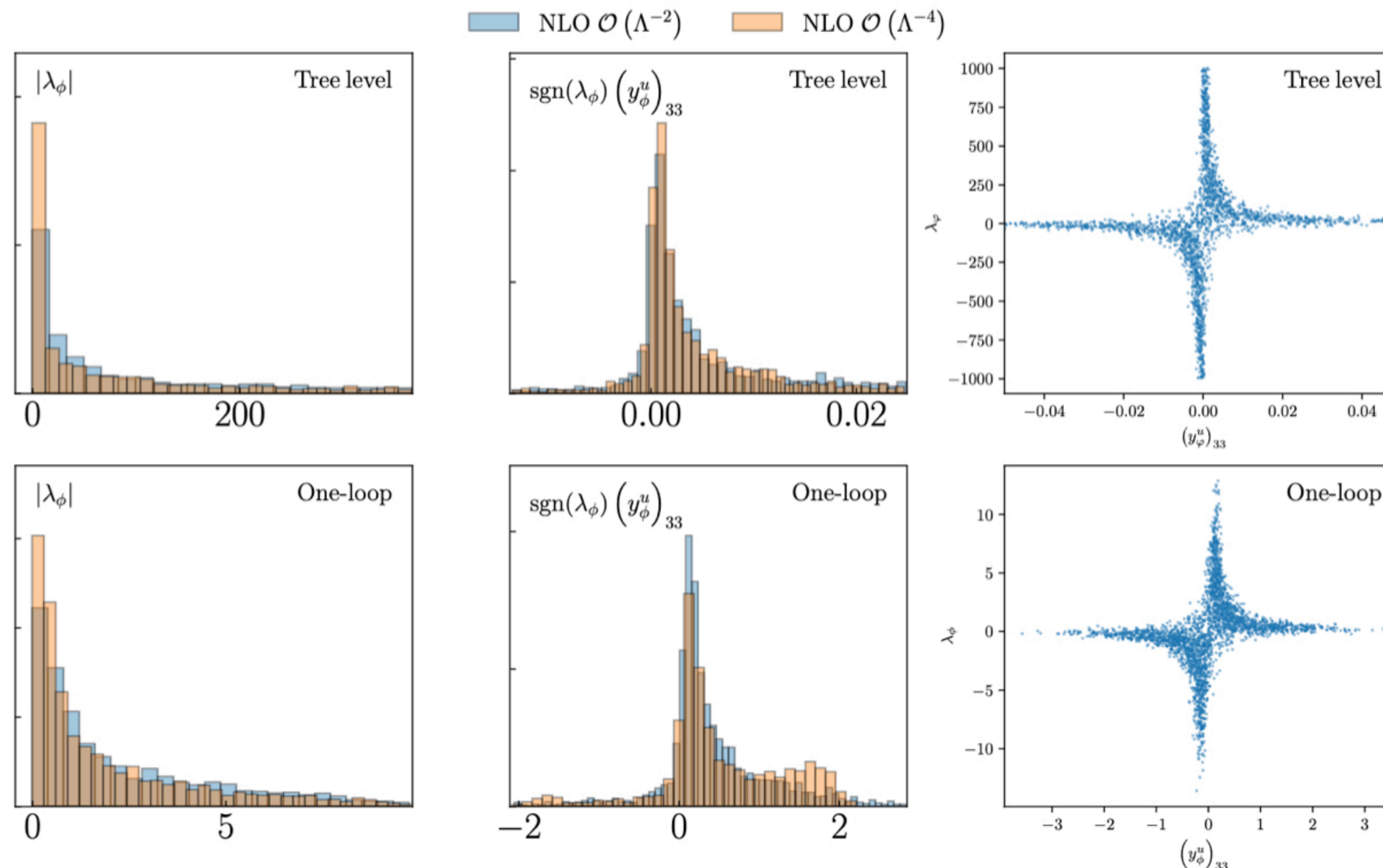
match2fit



[Carmona et al.; 2112.10787]

[Giani et al.; 2302.06660]

$$\mathcal{L}_{\text{UV}} = \mathcal{L}_{\text{SM}} + |D_\mu \phi|^2 - m_\phi^2 \phi^\dagger \phi - \left( (y_\phi^e)_{ij} \phi^\dagger \bar{e}_R^i \ell_L^j + (y_\phi^d)_{ij} \phi^\dagger \bar{d}_R^i q_L^j + (y_\phi^u)_{ij} \phi^\dagger i \sigma_2 \bar{q}_L^{T,i} u_R^j + \lambda_\phi \phi^\dagger \varphi |\varphi|^2 + \text{h.c.} \right)$$

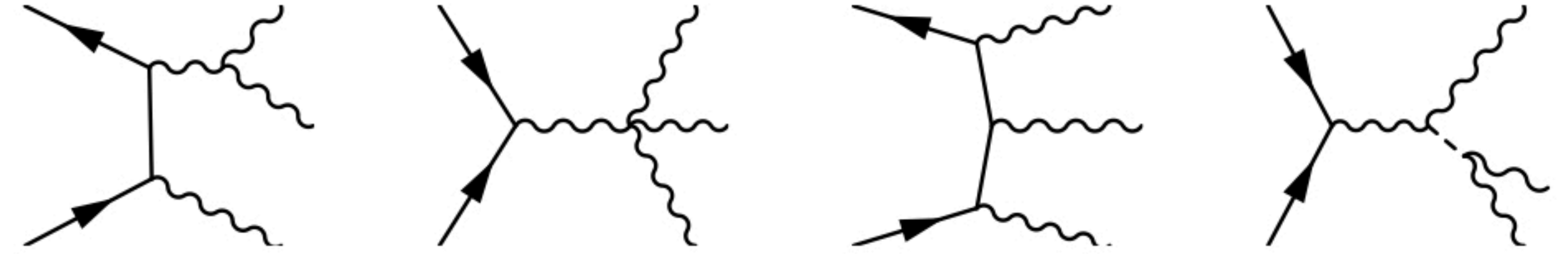




# Triboson in the SMEFT



$$pp \rightarrow VVV, \quad V = W^\pm, Z, \gamma$$

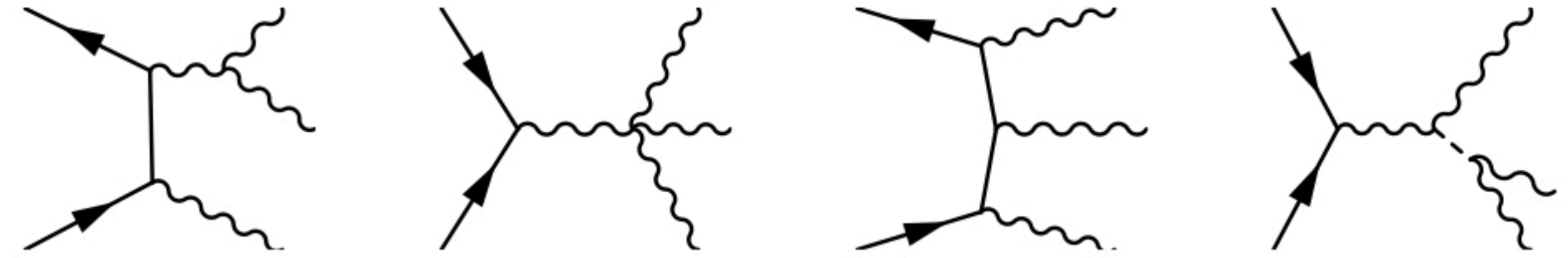






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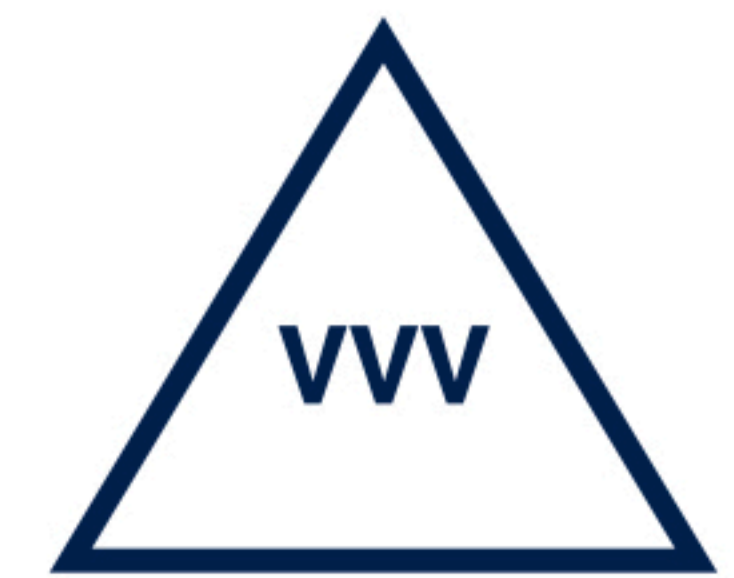


Many VVV processes measured in recent years

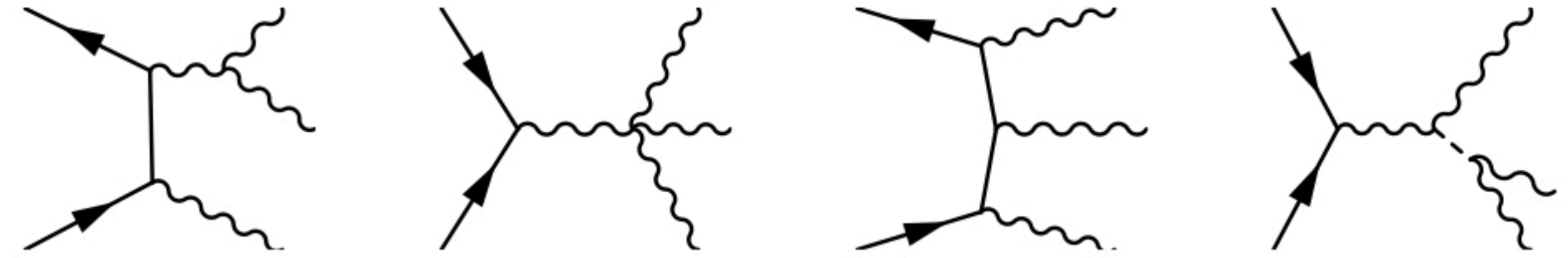
- Sensitivity to many (B)SM couplings in production & decay [Degrande et al; 2008.11743]  
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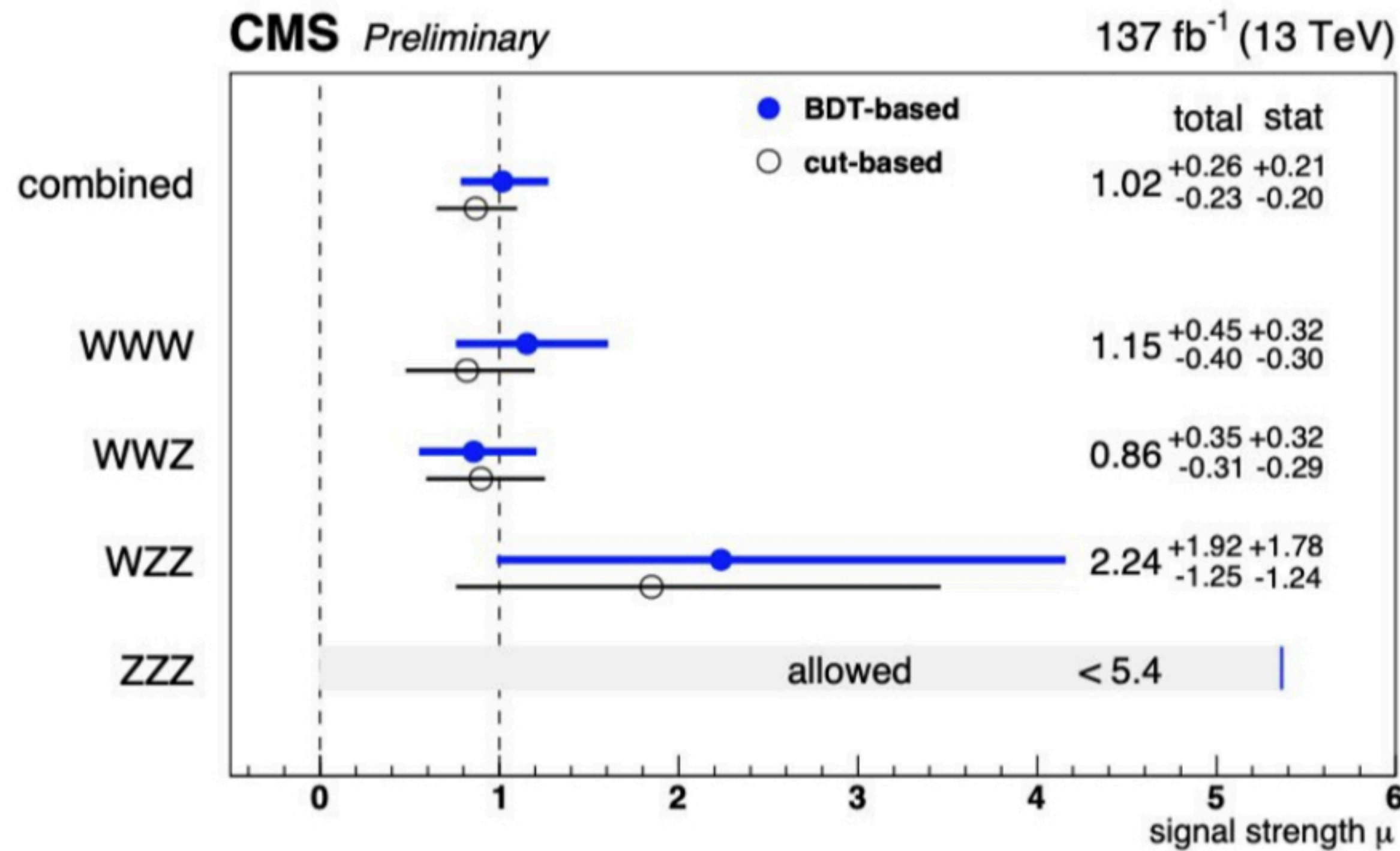


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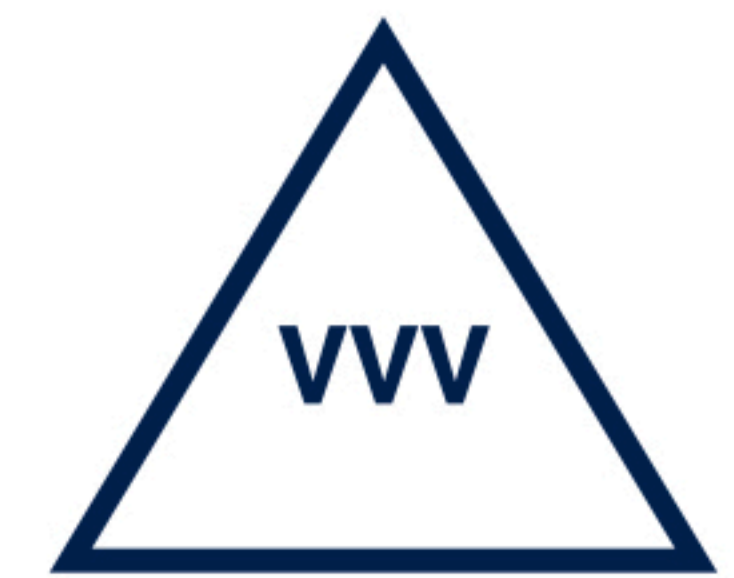
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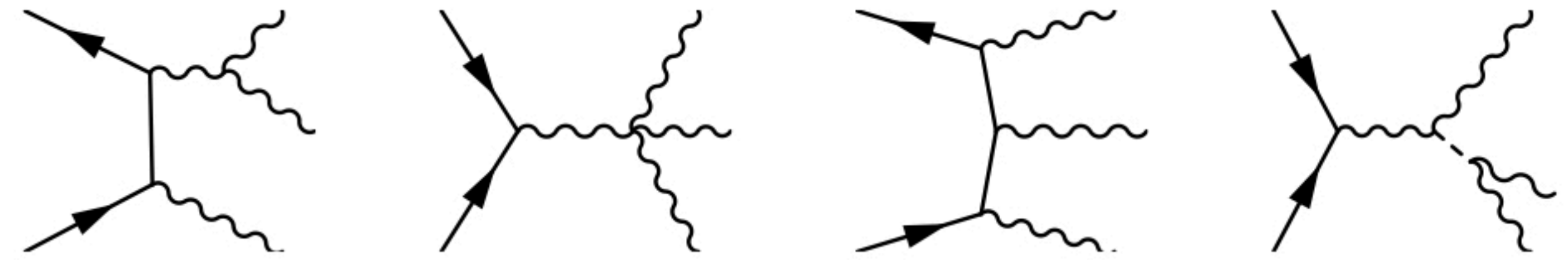
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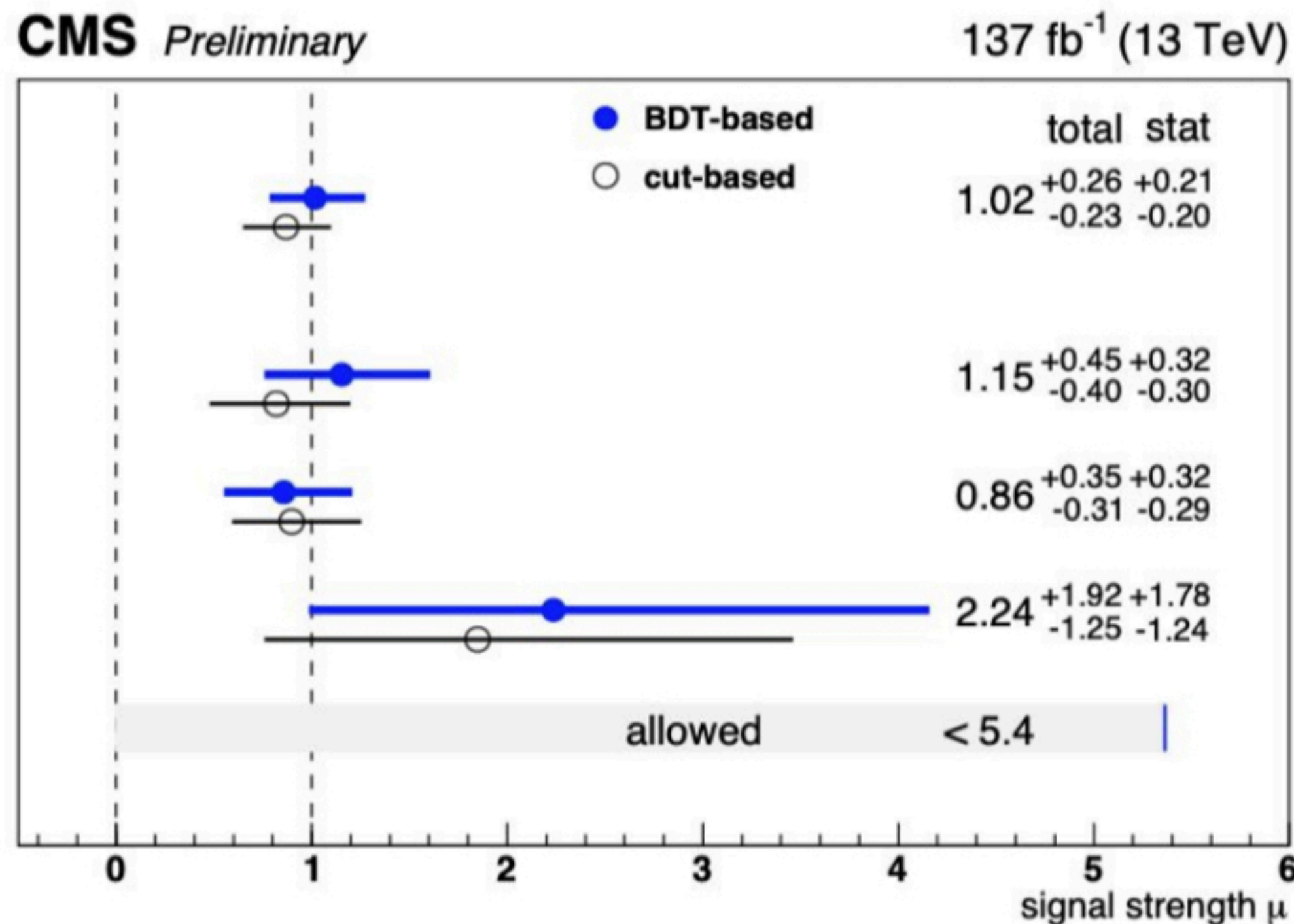


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$$\mu_{WWW} = 1.61 \pm 0.19 \pm 0.16 \quad [ATLAS; 2201.13045]$$

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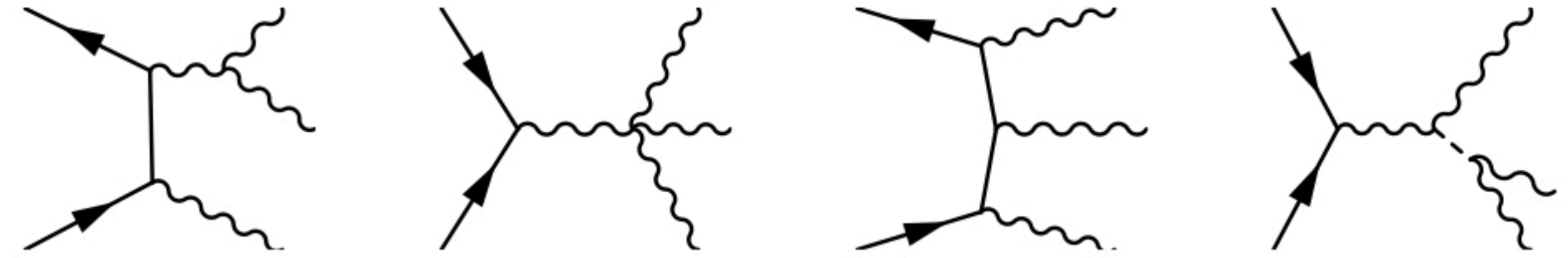
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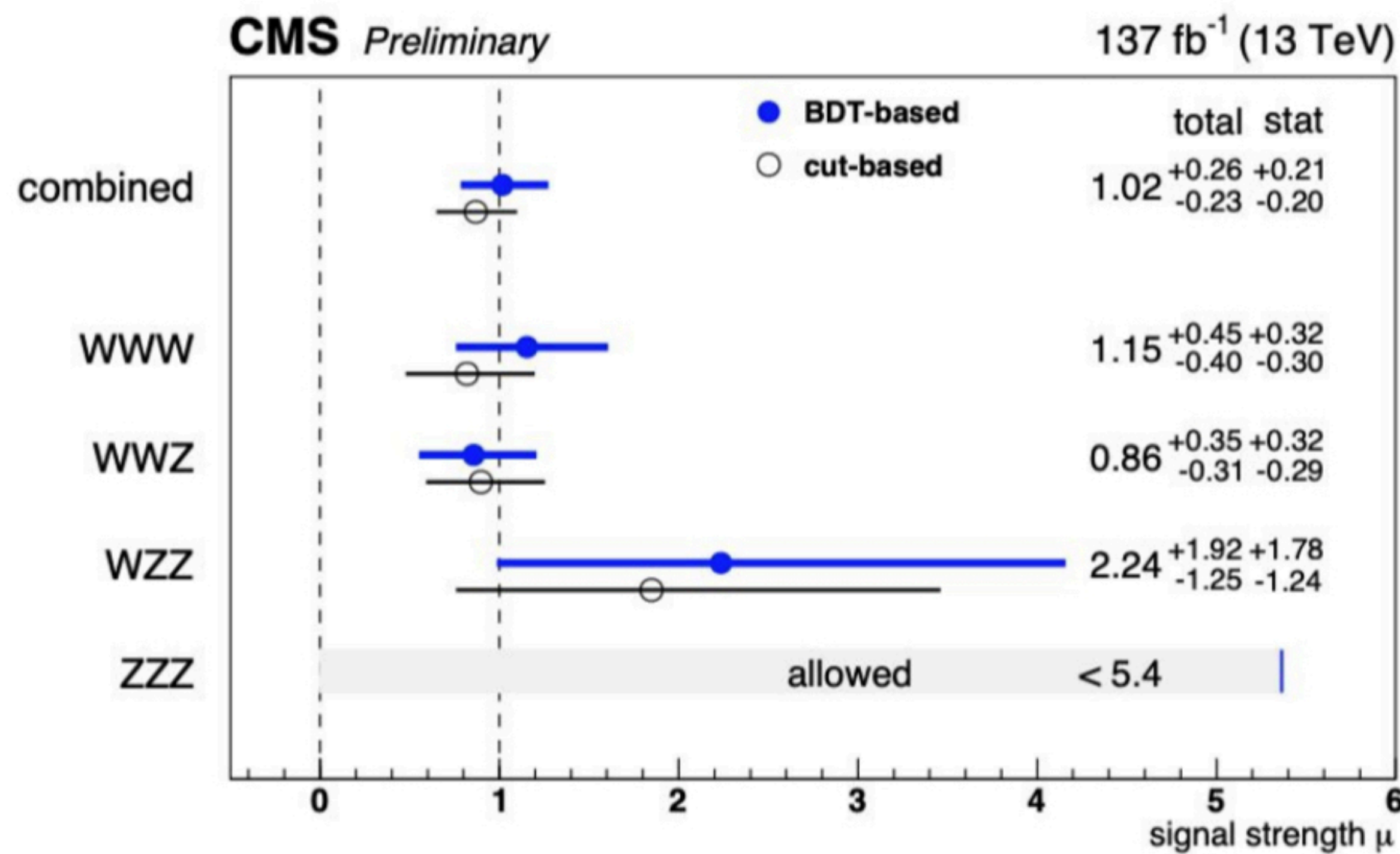


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*What information do they bring to global SMEFT analyses?*

*Not very much...?*



# 1, 2 & 3 bosons: data



Sensitivity baseline: EWPO & LEP/LHC diboson

$$e^+e^- @ \sqrt{s} \simeq M_Z$$

$$\Gamma_Z = 2.495 \pm 0.0023 \text{ GeV}$$

$$\sigma_{\text{had.}} = 41.54 \pm 0.0037 \text{ nb}$$

$$R_\ell^0 = 20.77 \pm 0.025$$

$$A_{FB}^\ell = 0.00171 \pm 0.001$$

$$A_\ell(\text{SLD}) = 0.147 \pm 0.003$$

$$A_\ell(\text{Pt}) = 0.151 \pm 0.002$$

$$R_b^0 = 0.2163 \pm 0.0007$$

$$A_{FB}^b = 0.099 \pm 0.0016$$

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[LEP; hep-ex/0509008]

$$\frac{\alpha(M_Z)}{\alpha(M_Z)_{SM}} \Big|_{\overline{MS}} = 0.998 \pm 0.0011$$

[PDG 2020-2021]



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$$e^+e^- @ \sqrt{s} = 183 - 209 \text{ GeV}$$

[L3; hep-ex/0409016]

$$\sigma(WW \rightarrow \ell\nu\ell\nu, qqqq)$$

[OPAL; 0708.1311]

$$\frac{d\sigma}{d\cos\theta}(WW \rightarrow \ell\nu qq)$$

[ALEPH; CERN-PH-EP-2004-012]

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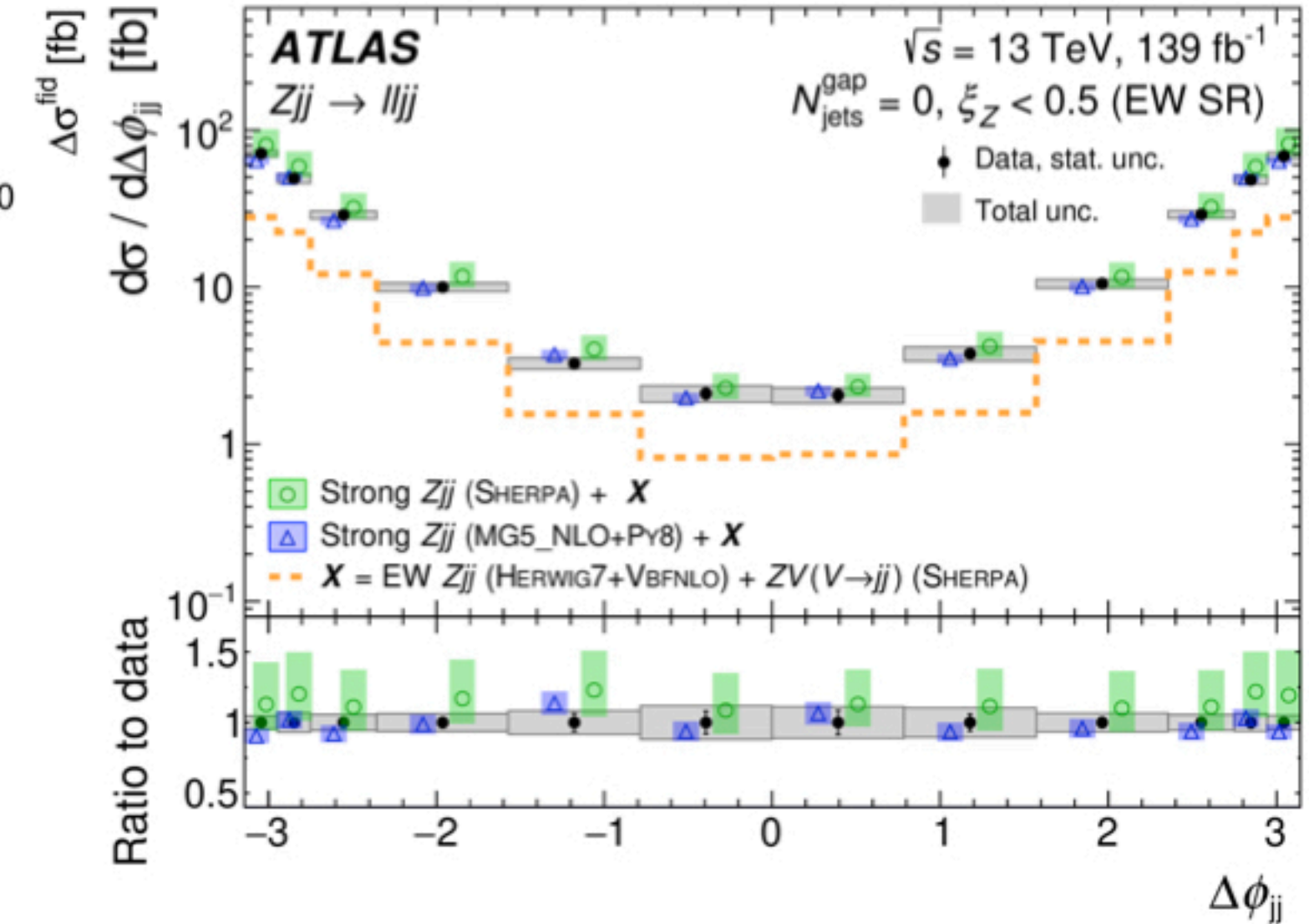
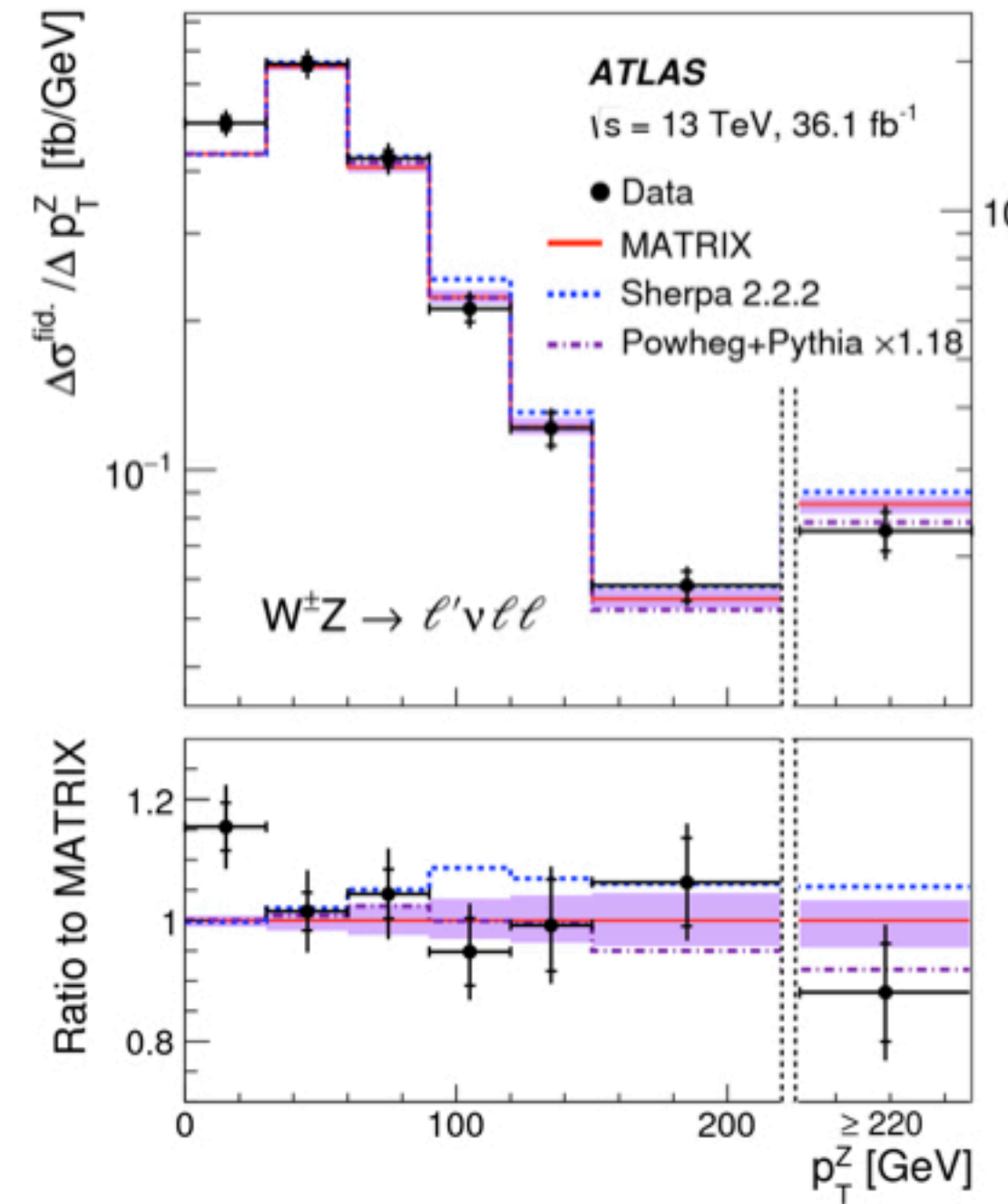
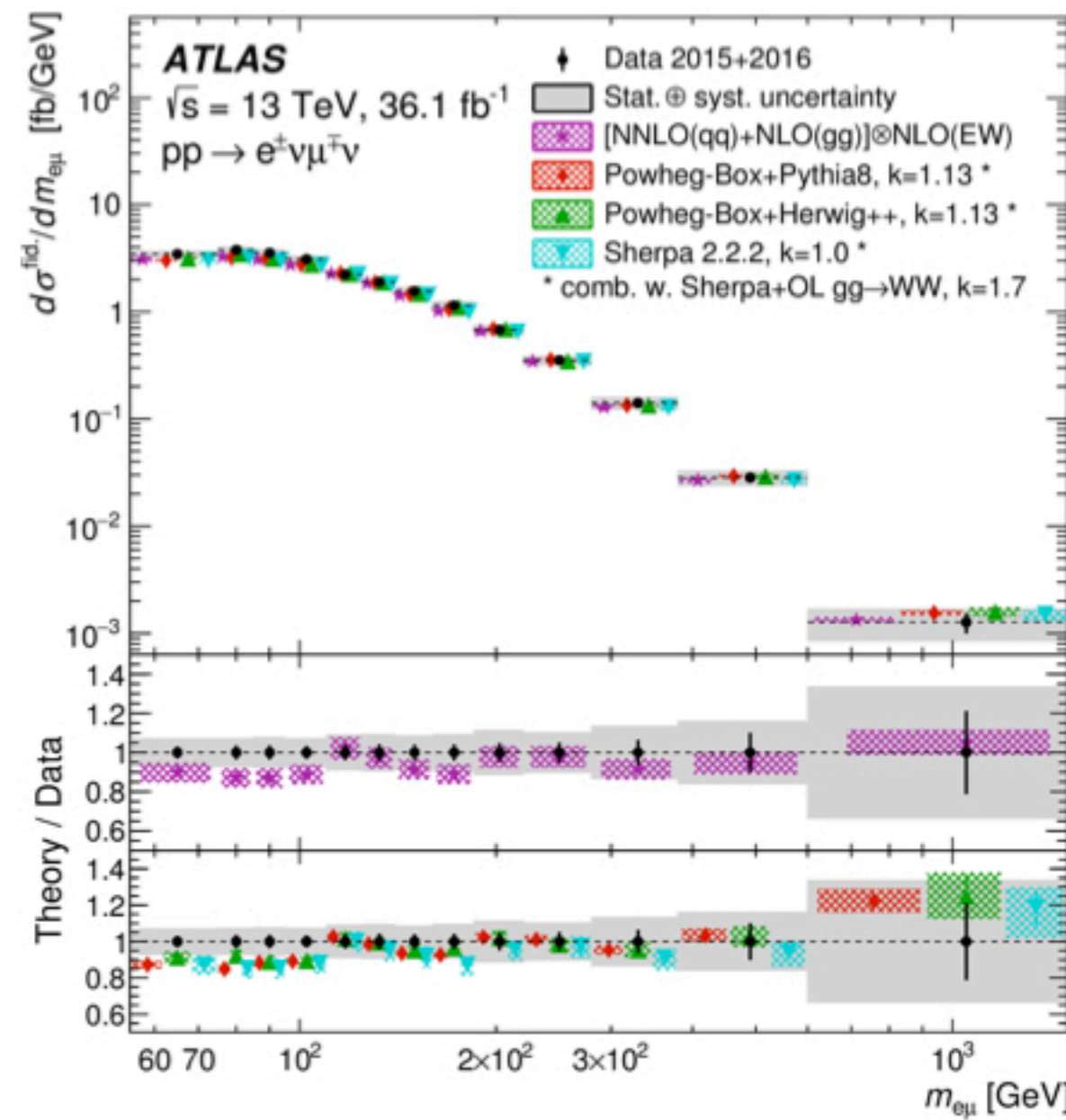
[OPAL; 0708.1311]

[ALEPH; CERN-PH-EP-2004-012]

[LEP; 1302.3415]

LHC @  $\sqrt{s} = 13 \text{ TeV}$

$pp \rightarrow W^+W^- / WZ / Zjj$



$$\left. \frac{\alpha(M_Z)}{\alpha(M_Z)_{SM}} \right|_{\overline{MS}} = 0.998 \pm 0.0011$$

[PDG 2020-2021]

[ATLAS; 1905.04242] [ATLAS; 1902.05759] [ATLAS; 2006.15458]



# 1,2 & 3 bosons: model

Minimal, 11 parameter fit: 10 EWPO + triple gauge coupling

- Flavor universal,  $U(3)^5$

Operator	Definition	EWPOs	LEP $WW$	LHC $VV$	$VVV, VV\gamma, V\gamma\gamma$
bosonic					
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two-fermion					
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$$\delta_{EWPO} \sim 1 - 0.1\% \quad \delta_{VV} \sim 10\%$$

$$\delta_{VVV} \sim 100\%$$

VVV relatively poorly  
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Expectations:

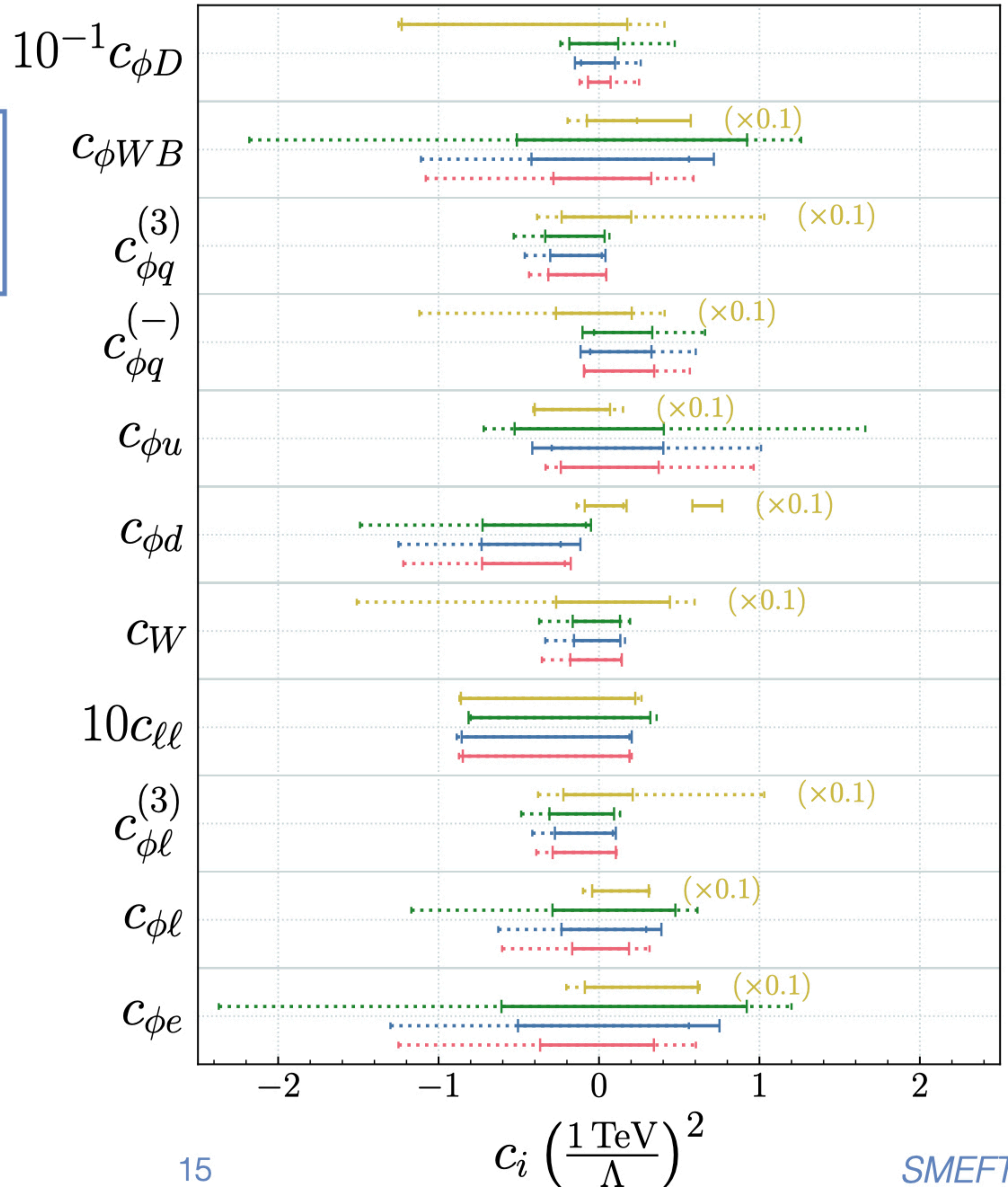
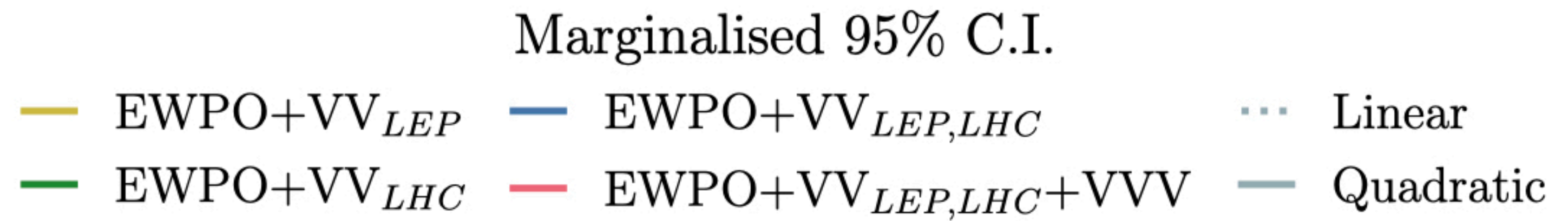
- 1) Minor gain from VVV
- 2) Significant quadratic effects of  $\mathcal{O}(\Lambda^{-4})$
- 3) Linear approx.  $\mathcal{O}(\Lambda^{-2})$  good for EWPO

$$\sigma = \sigma_{SM} + \sum_i \sigma_i \frac{C_i}{\Lambda^2} + \sum_{j \geq i} \sigma_{ij} \frac{C_i C_j}{\Lambda^4}$$



# Results

$$\sigma = \sigma_{SM} + \sum_i \sigma_i \frac{C_i}{\Lambda^2} + \sum_{j \geq i} \sigma_{ij} \frac{C_i C_j}{\Lambda^4}$$



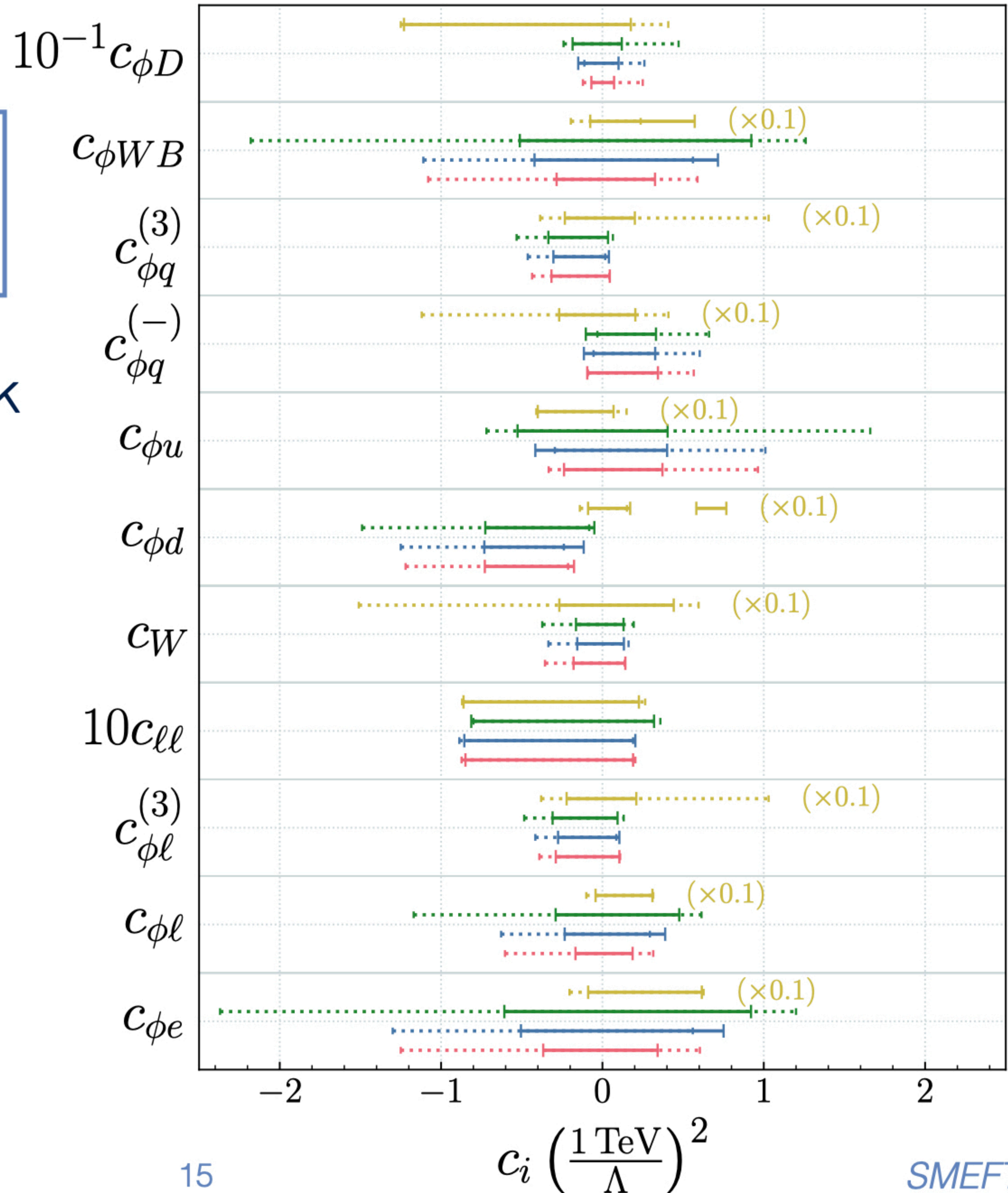
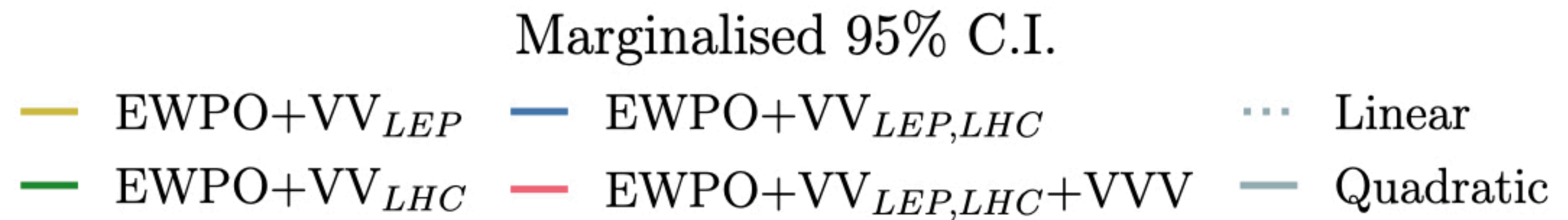


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LEP WW bounds are weak

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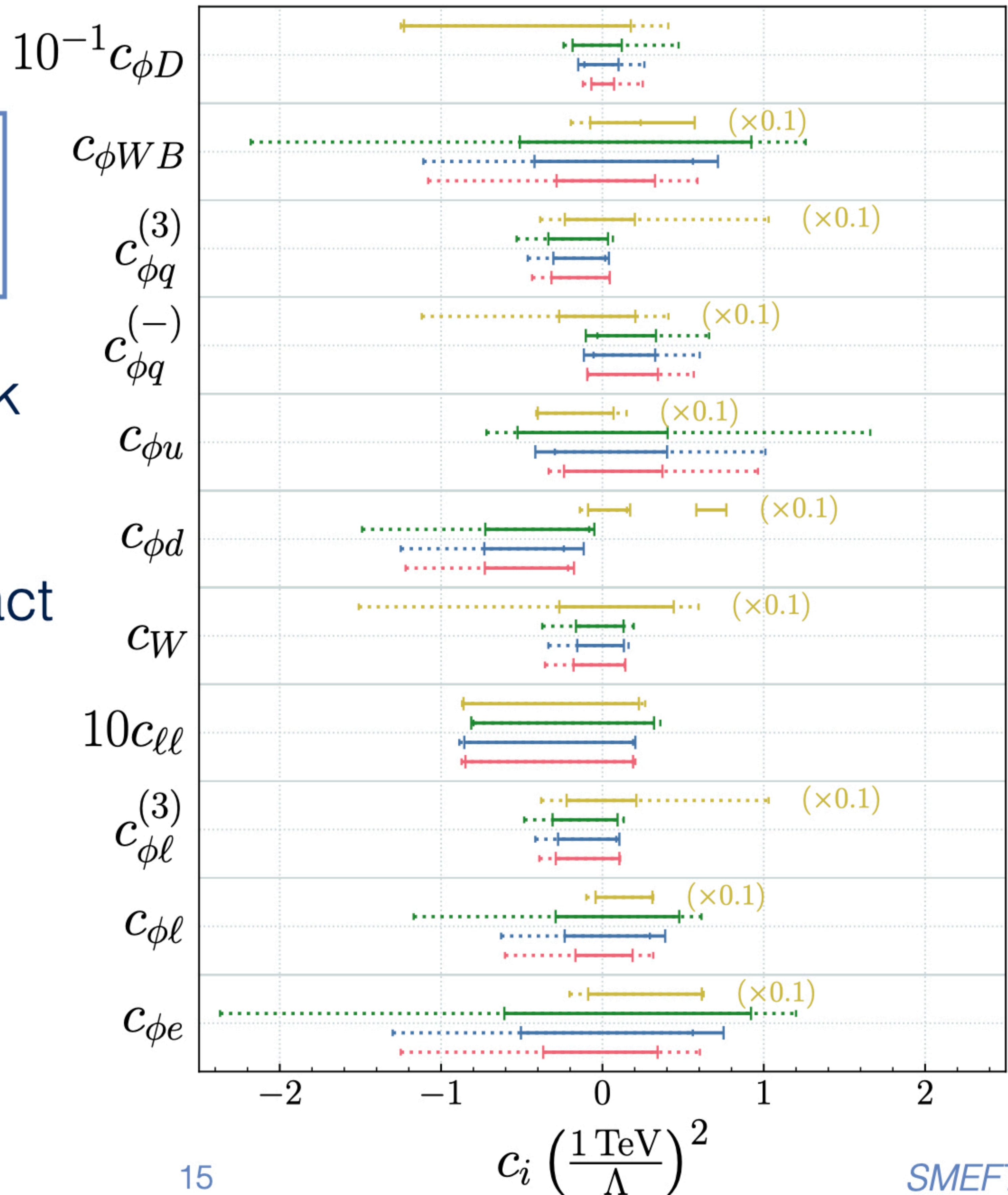
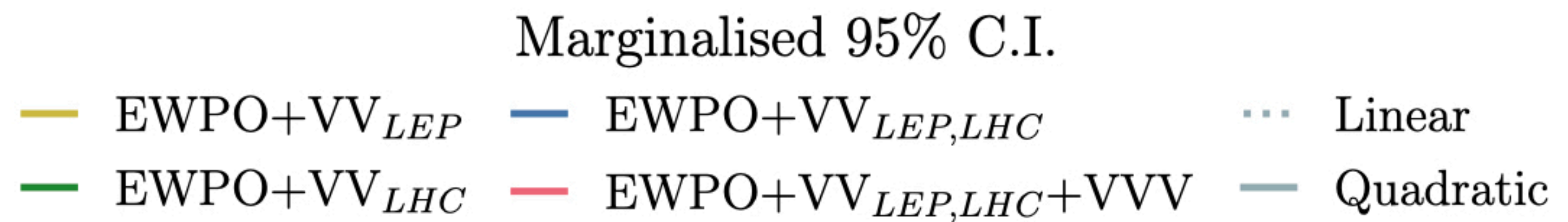
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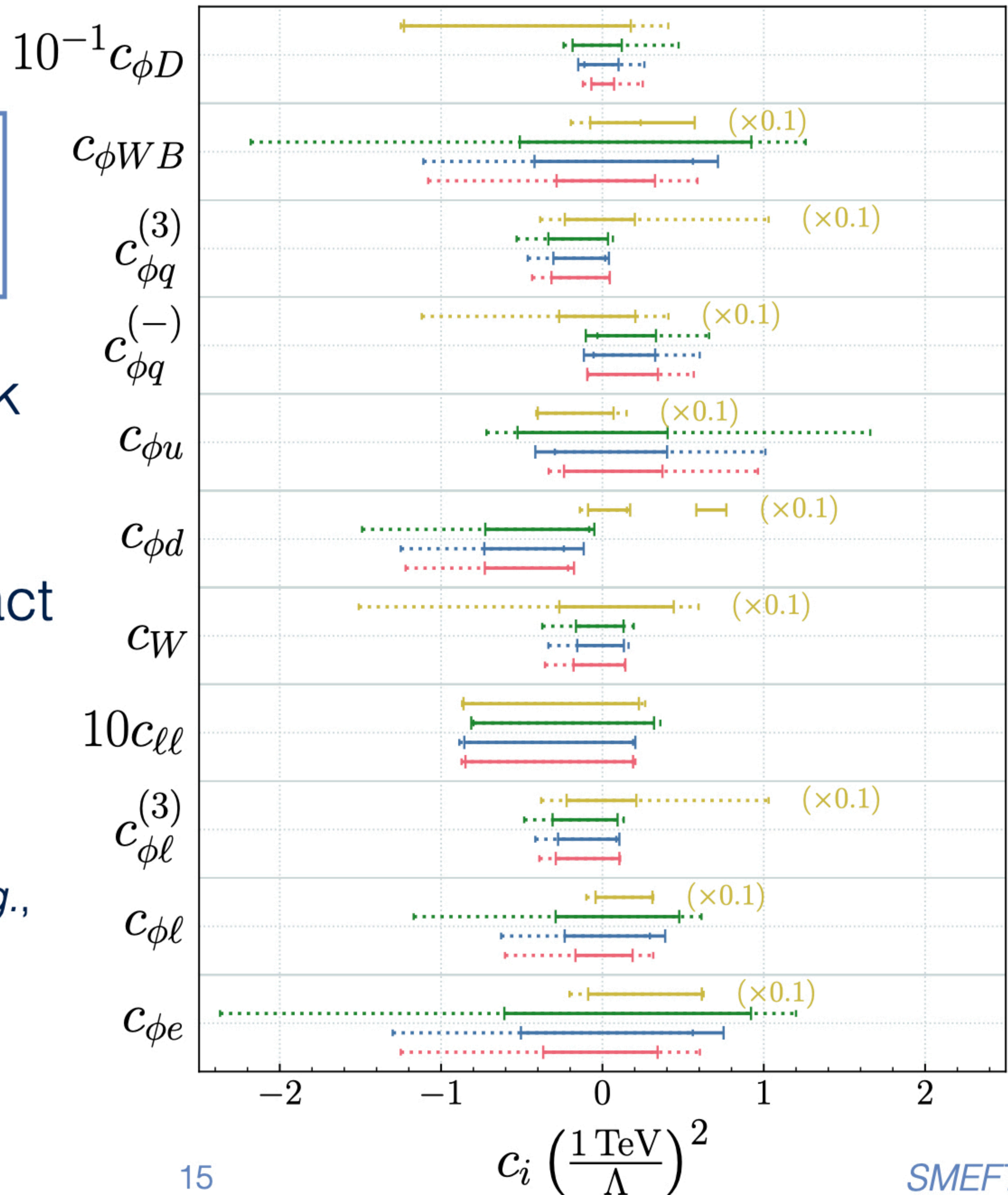
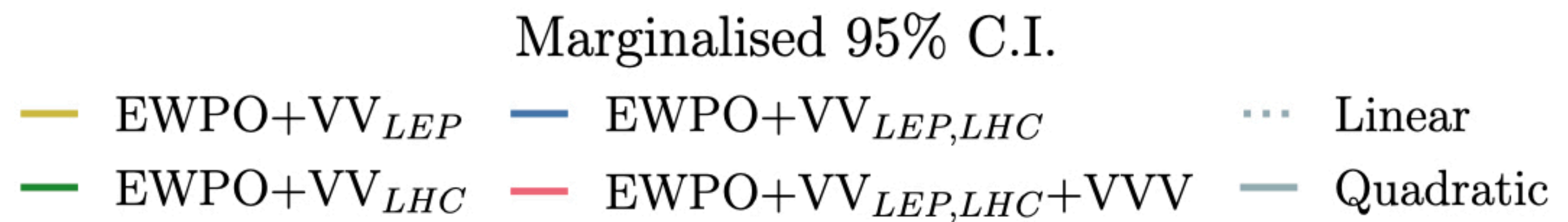
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VVV makes a difference

- Apparently  $\sim 50\%$  effect in, e.g.,  $C_{\phi D}$ ,  $C_{\phi WB}$ ,  $C_{\phi \ell}$ ,  $C_{\phi e}$
- Quadratic only





# Interpretation

Why not look at EWPO only? **We can't...**

- Not sensitive to  $O_W$  & has **2 flat directions** [De Rujula et al.; Nucl. Phys. B 384 (1992) 3-58]  
[Degrande et al.; 1205.4231]
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$$g_1^2 w_B = g_1^2 \frac{\bar{v}_T^2}{\Lambda^2} \left( -\frac{1}{3}C_{Hd} - C_{He} - \frac{1}{2}C_{Hl}^{(1)} + \frac{1}{6}C_{Hq}^{(1)} + \frac{2}{3}C_{Hu} + 2C_{HD} - \frac{1}{2t_{\hat{\theta}}}C_{HWB} \right)$$
$$g_2^2 w_W = g_2^2 \frac{\bar{v}_T^2}{\Lambda^2} \left( \frac{C_{Hq}^{(3)} + C_{Hl}^{(3)}}{2} - \frac{t_{\bar{\theta}}}{2}C_{HWB} \right). \quad [\text{Brivio \& Trott; 1701.06424}]$$



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$$g_2^2 w_W = g_2^2 \frac{\bar{v}_T^2}{\Lambda^2} \left( \frac{C_{Hq}^{(3)} + C_{Hl}^{(3)}}{2} - \frac{t_{\bar{\theta}}}{2} C_{HWB} \right). \quad [Brivio & Trott; 1701.06424]$$

Eigenvectors of the Fisher information,  $\hat{e}_i$

- Unconstrained directions:  $\hat{e}_{1,2} = a_{1,2} \hat{\omega}_B + b_{1,2} \hat{\omega}_B$
- Additional datasets needed to close the fit (LEP/LHC VV, VVW, Higgs...)



# Interpretation

Why not look at EWPO only? **We can't...**

- Not sensitive to  $O_W$  & has **2 flat directions** [De Rujula et al.; Nucl. Phys. B 384 (1992) 3-58]  
[Degrande et al.; 1205.4231]
- Constrains 8 out of 11 combinations of  $C_i$  [Efrati, Falkowski & Soreq; 1503.07282]

$$g_1^2 w_B = g_1^2 \frac{\bar{v}_T^2}{\Lambda^2} \left( -\frac{1}{3} C_{Hd} - C_{He} - \frac{1}{2} C_{Hl}^{(1)} + \frac{1}{6} C_{Hq}^{(1)} + \frac{2}{3} C_{Hu} + 2C_{HD} - \frac{1}{2t_{\hat{\theta}}} C_{HWB} \right)$$

$$g_2^2 w_W = g_2^2 \frac{\bar{v}_T^2}{\Lambda^2} \left( \frac{C_{Hq}^{(3)} + C_{Hl}^{(3)}}{2} - \frac{t_{\bar{\theta}}}{2} C_{HWB} \right). \quad [Brivio & Trott; 1701.06424]$$

Eigenvectors of the Fisher information,  $\hat{e}_i$

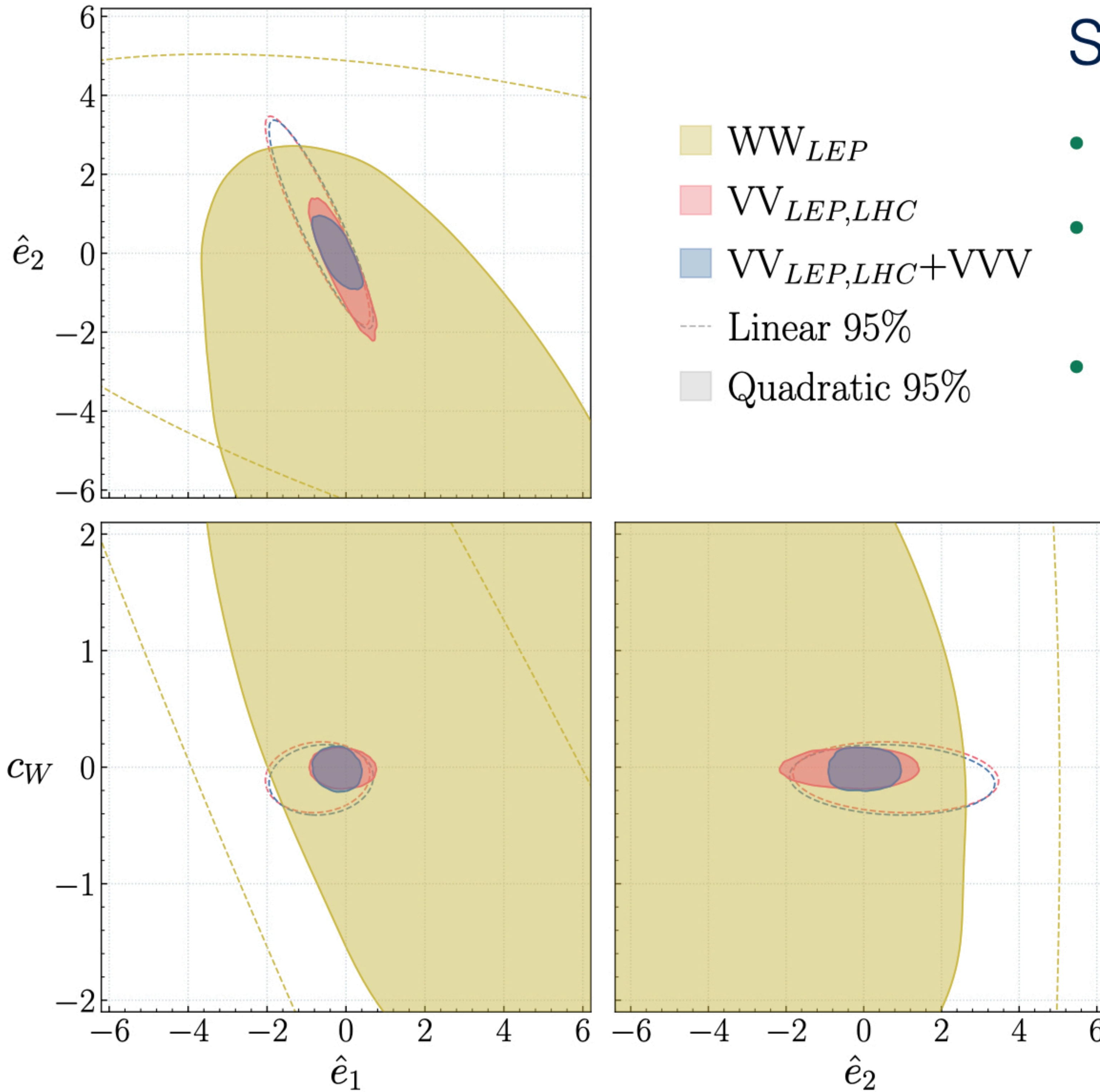
- Unconstrained directions:  $\hat{e}_{1,2} = a_{1,2} \hat{\omega}_B + b_{1,2} \hat{\omega}_B$
- Additional datasets needed to close the fit (LEP/LHC VV, VVW, Higgs...)

**In a global analysis, bounds are limited by the sensitivity of the additional data**





# EWPO blind space



Sufficient to study 3D space

- $c_W + 2$  flat directions,  $\hat{e}_{1,2}$
- Emphasise the huge strength of LHC VV *w.r.t* LEP WW
- Non-negligible impact of VVV

Purely  $O(\Lambda^{-4})$

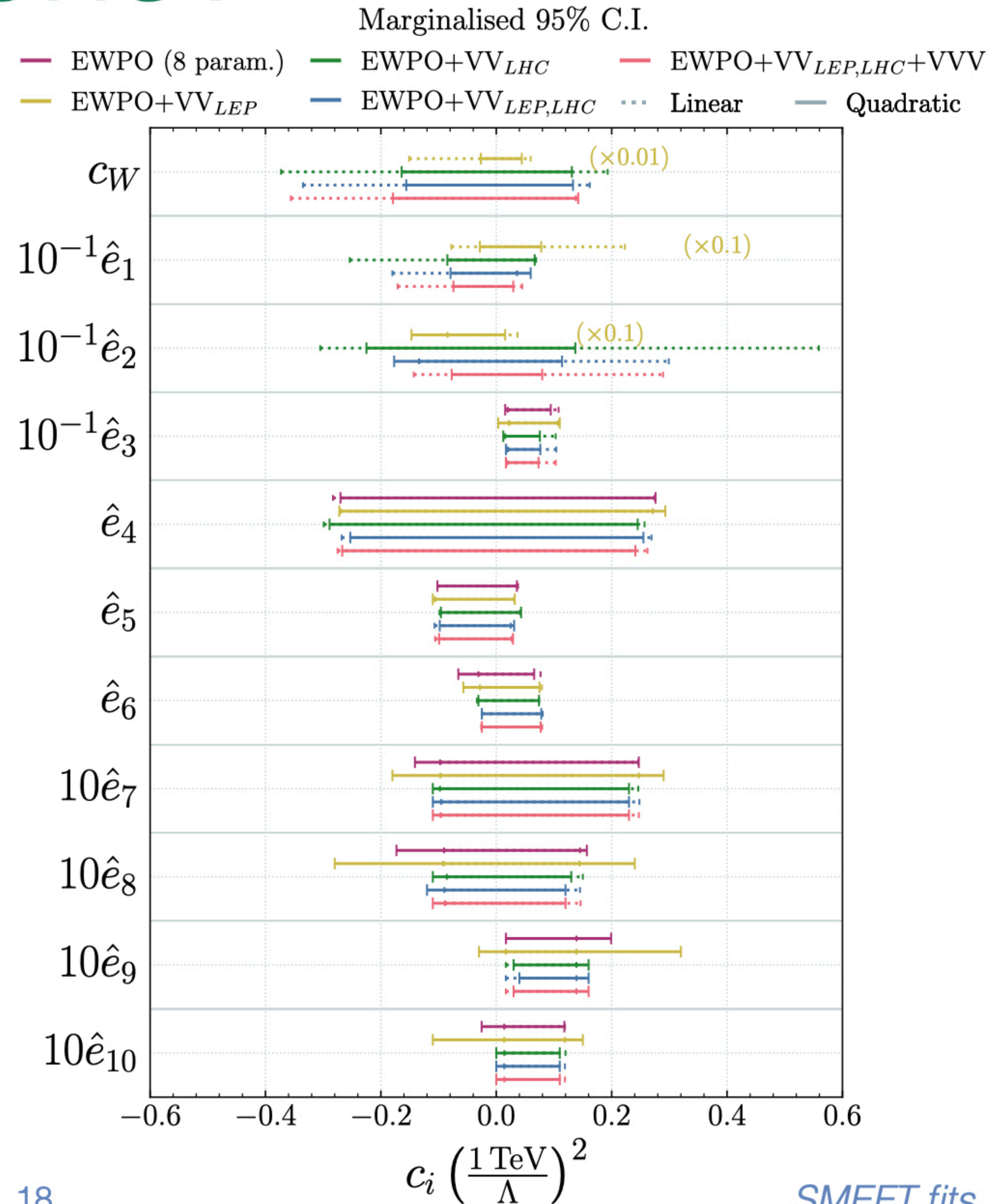
- Significant quadratics everywhere
- Propagate into any global analysis that combines EWPO with other things
- EFT validity...



# Other directions?

## Rotated results to eigenbasis

- Compare to 8 parameter EWPO fit





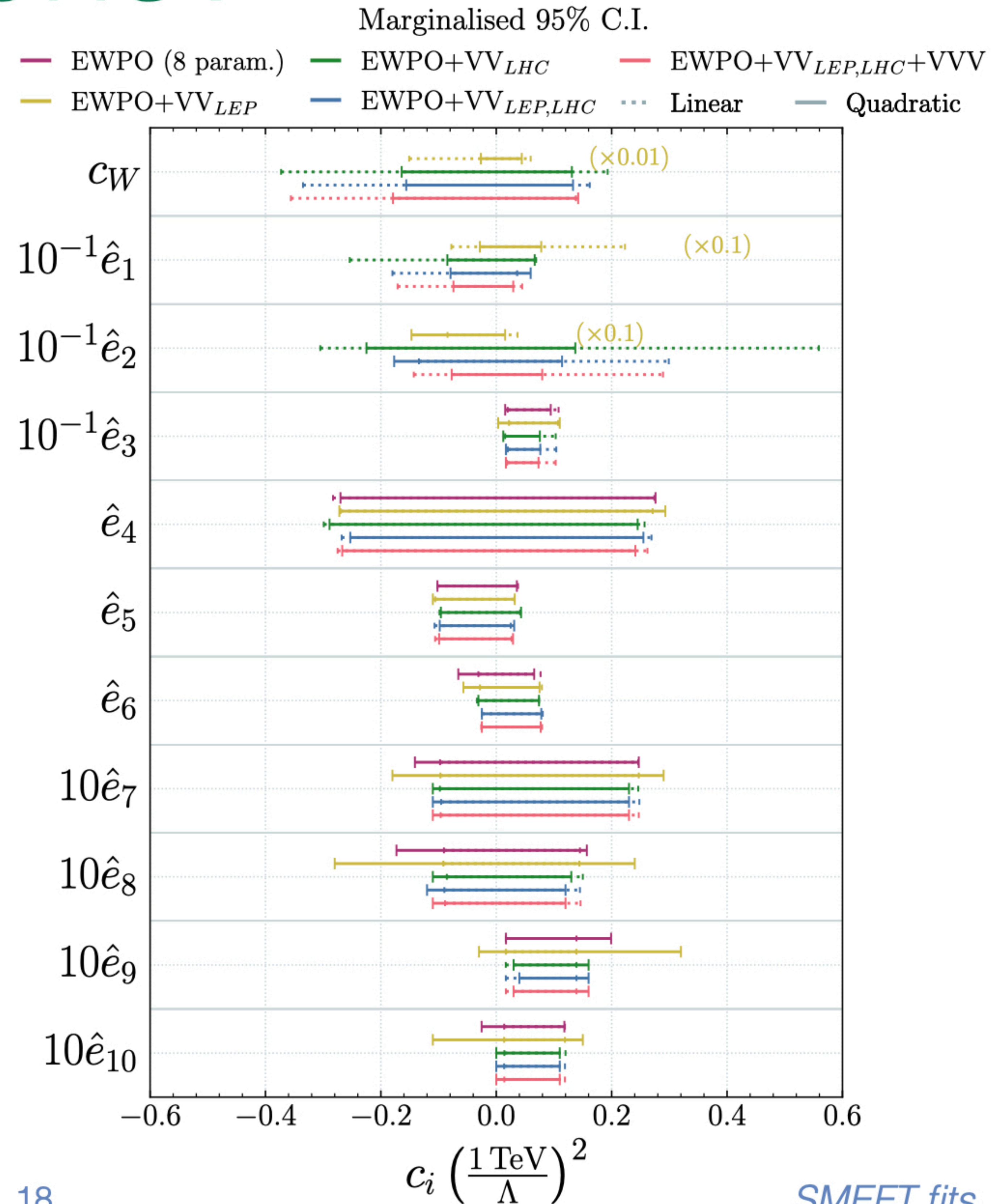
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EWPO bounds dominate remaining directions

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- Secondary minima (not shown) are lifted by VV data





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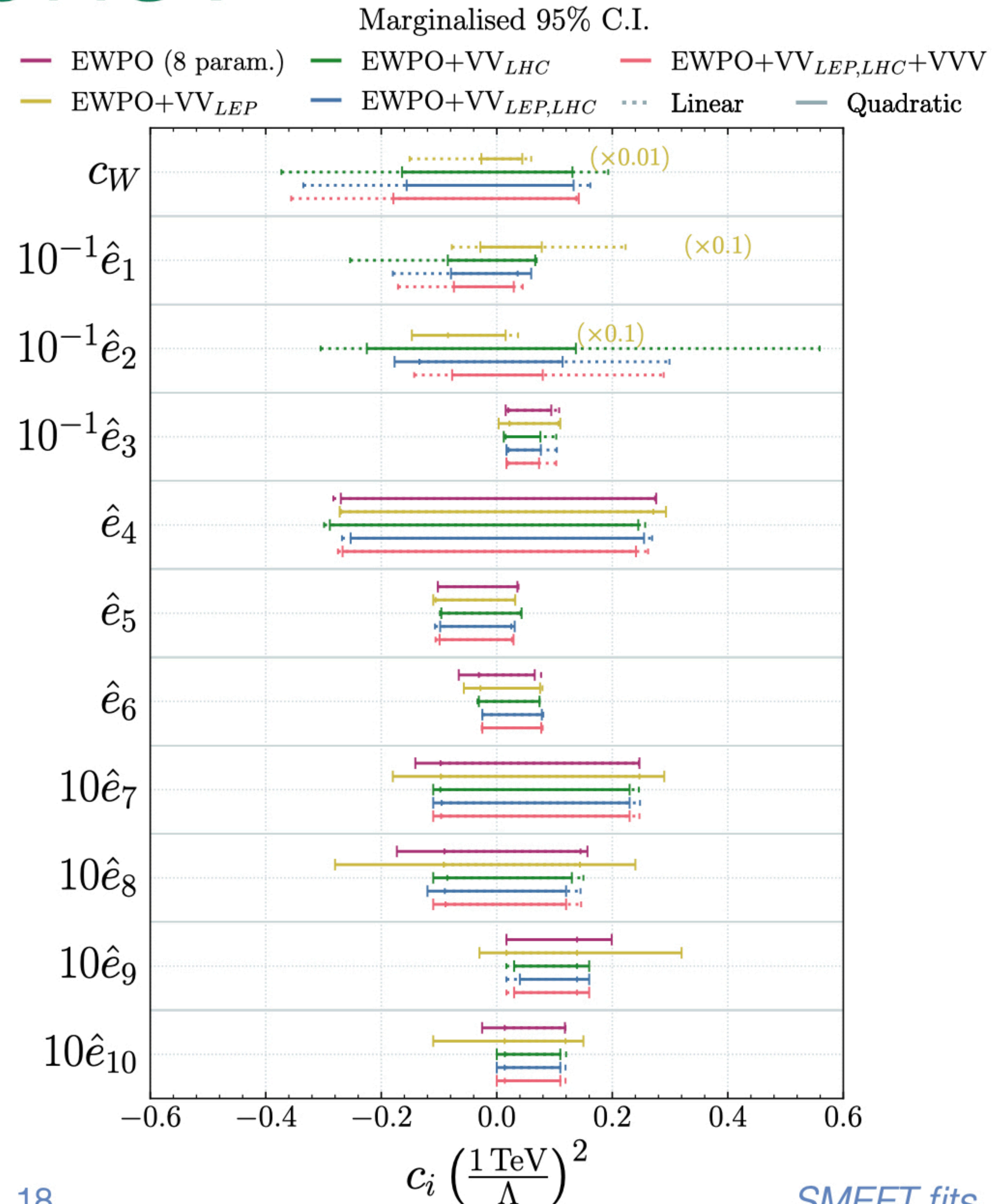
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Mild improvements from LHC VV

- Nothing else from LEP WW & VVV
- Linear approximation is safe in these directions once extra data is added





# Conclusions

Global SMEFT fits: key ingredient in quest for BSM

- Crystallise our understanding of the SM and its interactions
- Bridge between explicit heavy new physics models and HEP datasets



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Frontiers (also many things I couldn't mention)

- Combining EWPO, LEP & LHC EW, Higgs, Top & **flavor**
- NLO & RGE effects important
- Interesting cross talk between many new sectors (flavor, PVE, DY, dijet)
- Automated UV matching frameworks



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## Global SMEFT fits: key ingredient in quest for BSM

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## VVV has a role to play in global SMEFT analyses

- Revisited the combination EWPO with VV/VVV - significant  $\mathcal{O}(\Lambda^{-4})$
- Flat directions quantify the impact of adding data
- Next step: incorporate into bigger global fits



# Backup

+





# Notable omissions

## New physics in top/3rd generation

*[Grunwald et al.; 2304.12837]*

*[Garosi et al.; 2310.00047]*

*[Allwicher et al.; 2311.00020]*

- Nice interplay between top/EWPO/flavor physics

## Using full-likelihood information

*[Elmer et al.; 2312.12502]*

- Experiments publishing statistical likelihoods e.g. pyHF format
- Allows taking into account yields in signal & backgrounds
- More complex BDT/NN outputs?

## New ALP bounds from ALP-SMEFT mixing

*[Biekötter et al.; 2307.10372]*

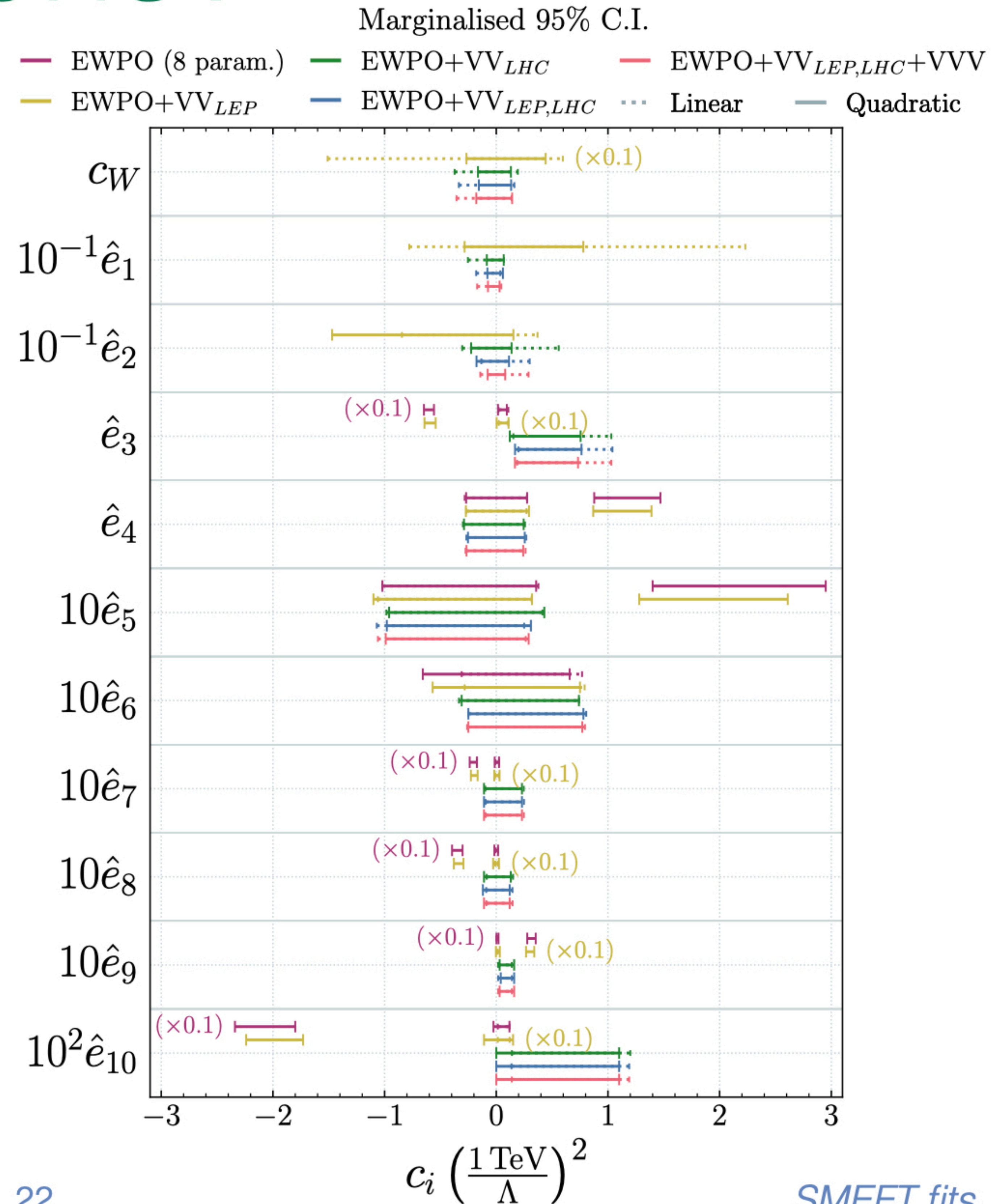
- D=5 ALP interactions mix into SMEFT operators at  $\mathcal{O}(1/f_a^2)$
- Pure SMEFT fits competitively constrain ALP couplings!
- Bounds are  $\sim$ independent of ALP mass



# Other directions?

## EWPO secondary minima

- Related to secondary minimum in  $C_{\phi d}$  in Warsaw basis results
- Big quadratic effects in EWPO constrained directions
- New data, even though less precise, is crucial to lift degeneracies and return a better-behaved fit.

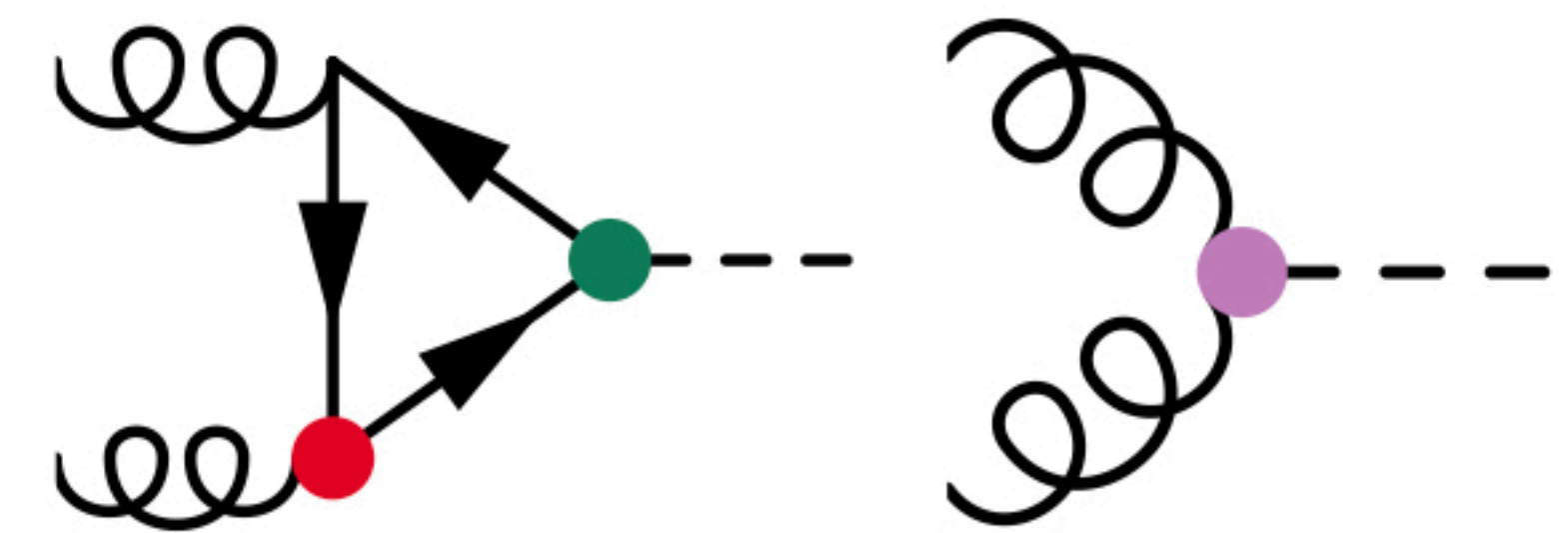




# Top-Higgs interplay

Top data indirectly improves Higgs coupling measurements

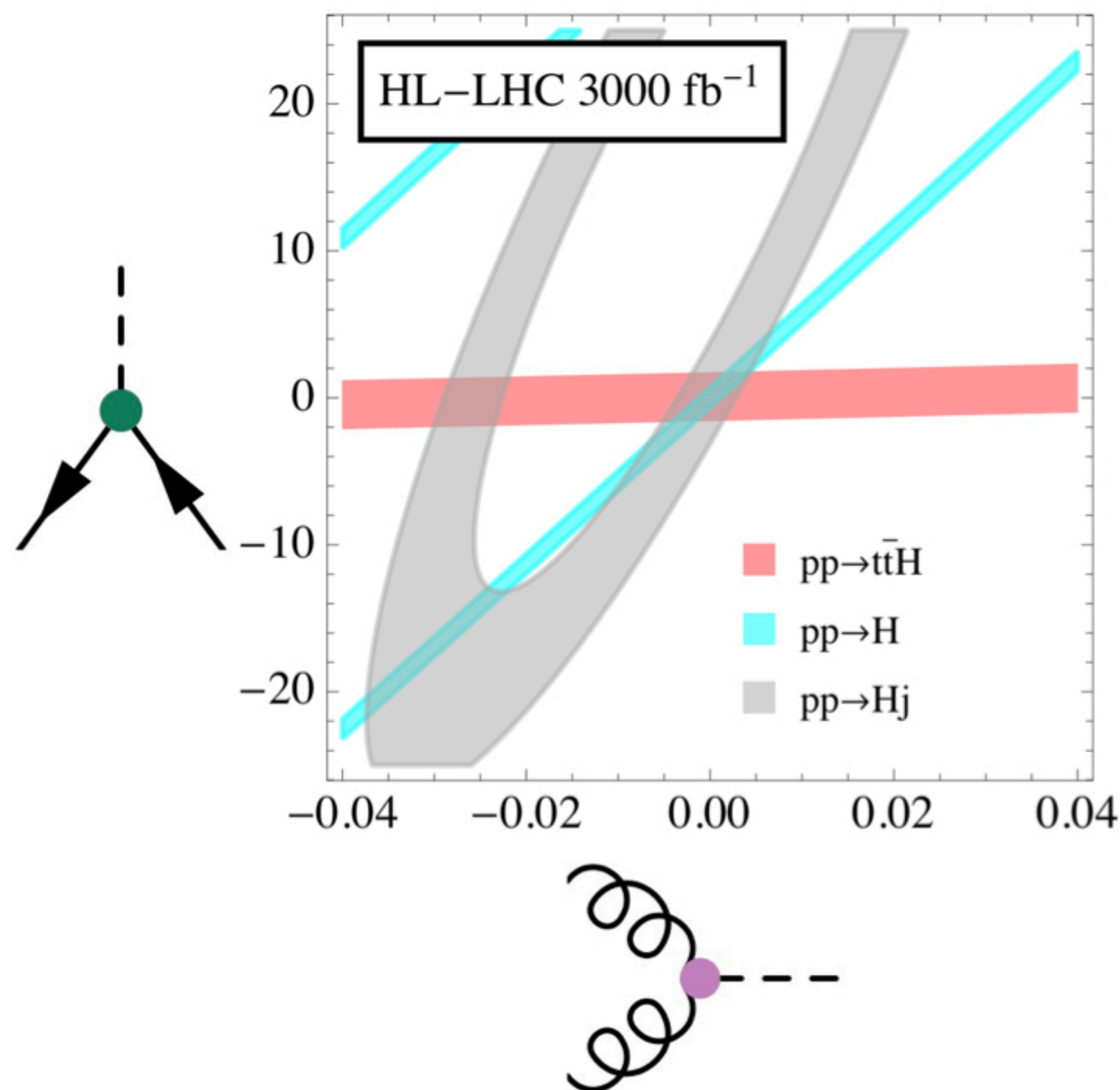
- $gg \rightarrow h$  has 3 relevant new interactions
- Yukawa, dipole & contact term
- Degeneracy in coefficient/theory space



[Maltoni, Vryonidou & Zhang; JHEP 1610 (2016) 123]

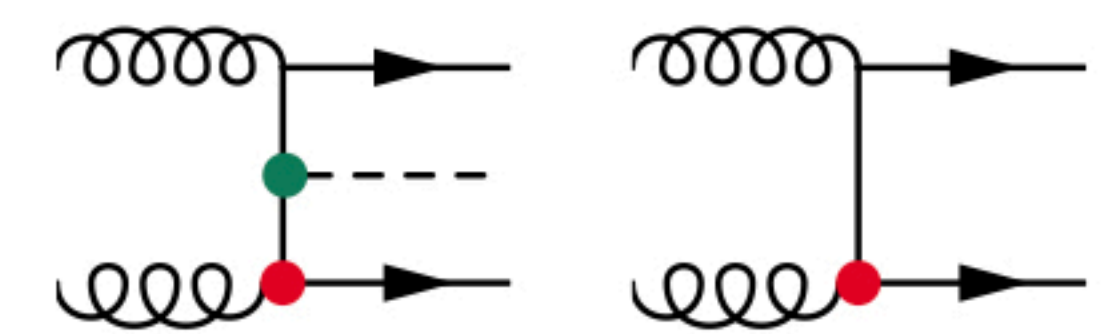
**ggF is well measured, yet...**

**Cannot rule out heavy particles in the loop**



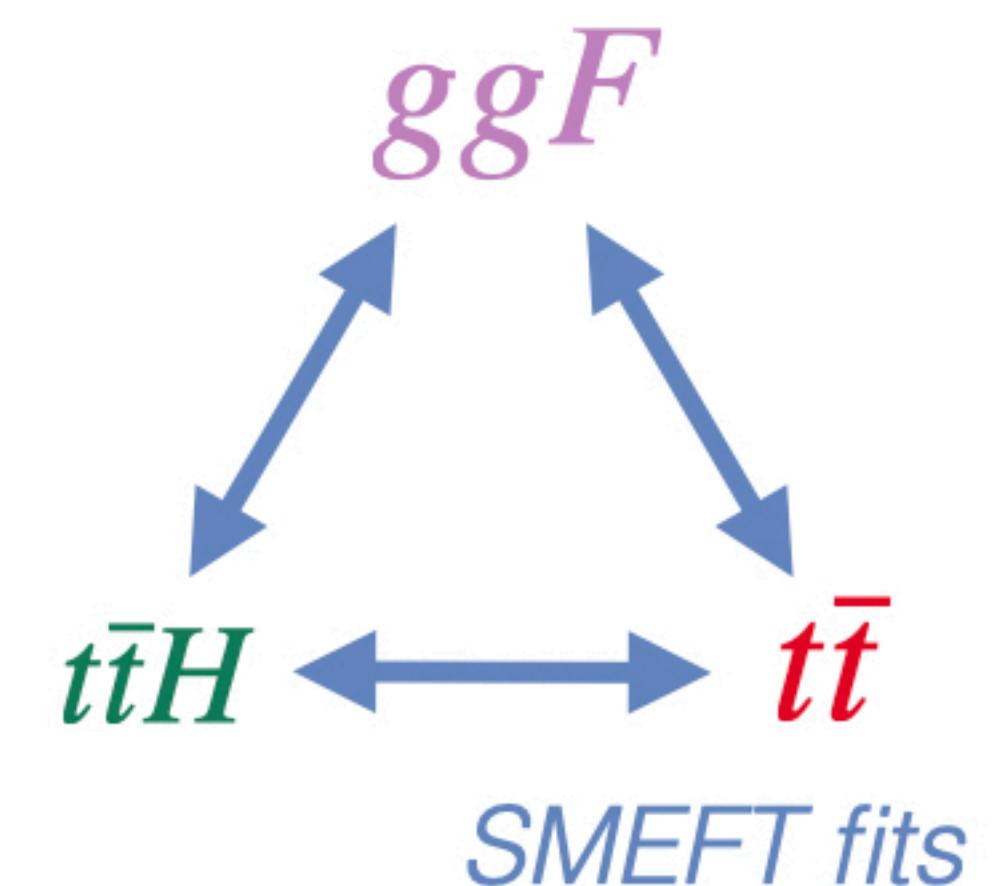
$t\bar{t}$  and  $t\bar{t}h$  data can help

- Constrain dipole & Yukawa



What about 4 fermion ops.?

- Do they limit ultimate sensitivity?





# The role of top data

✓  $t\bar{t}$  cross section measurements constrain  $C_{tG}$

- Indirectly improve bounds on  $C_{HG}$  and  $C_{tH}$



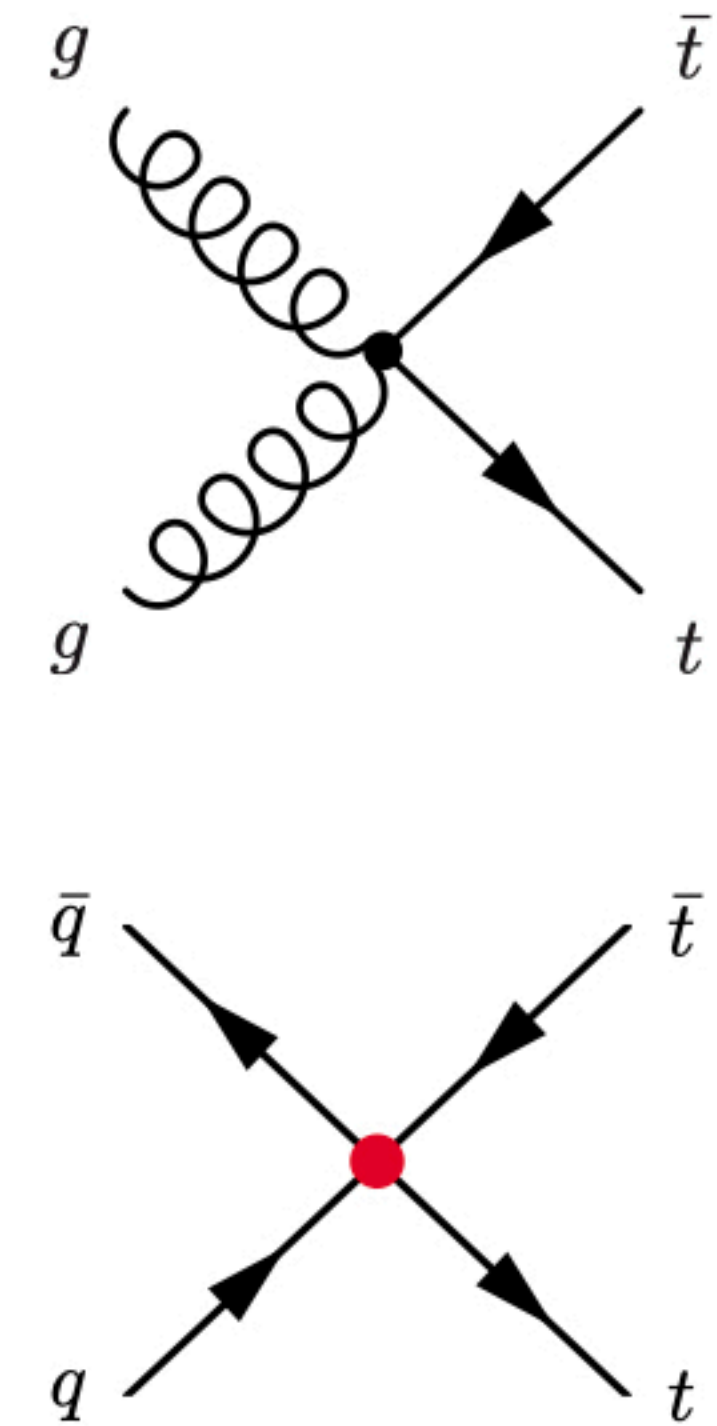
Several other new interactions can affect  $t\bar{t}$

- Notably  $q\bar{q}t\bar{t}$  operators, of which there are many (14)
- Also enter in  $t\bar{t}h/Z/W/\gamma$
- To what extent do these limit ultimate NP sensitivity in top/Higgs sector?



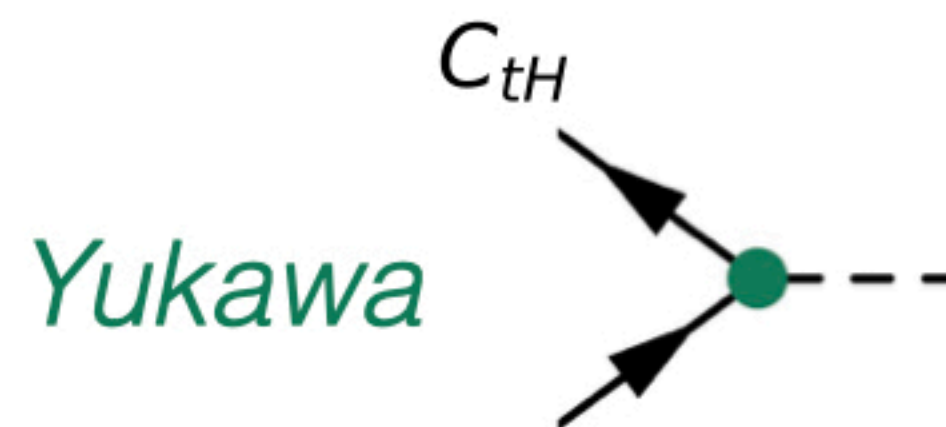
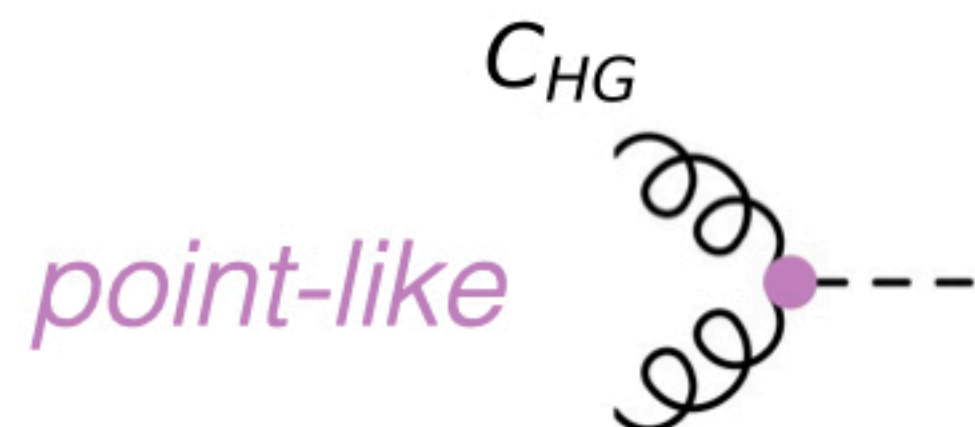
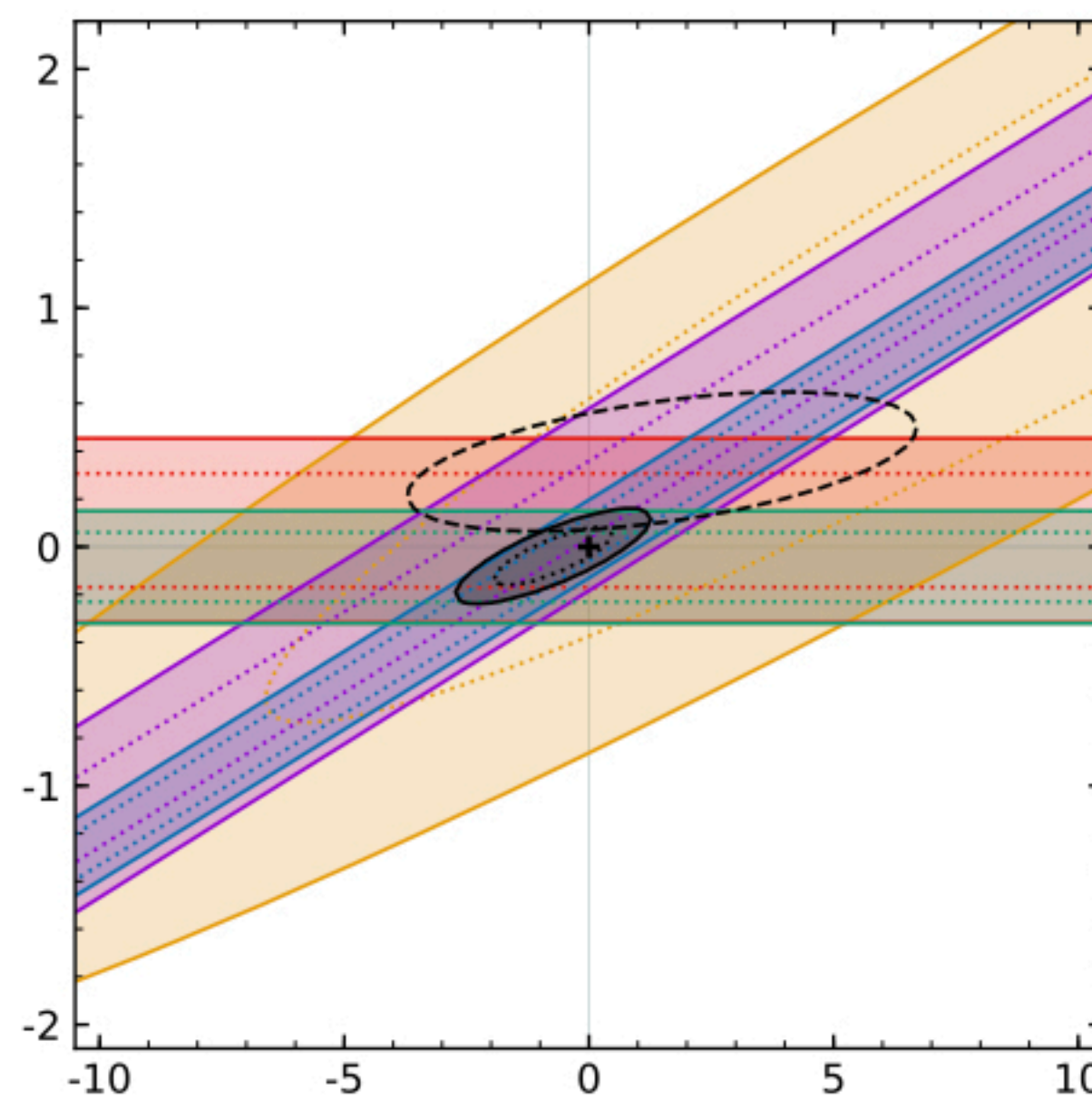
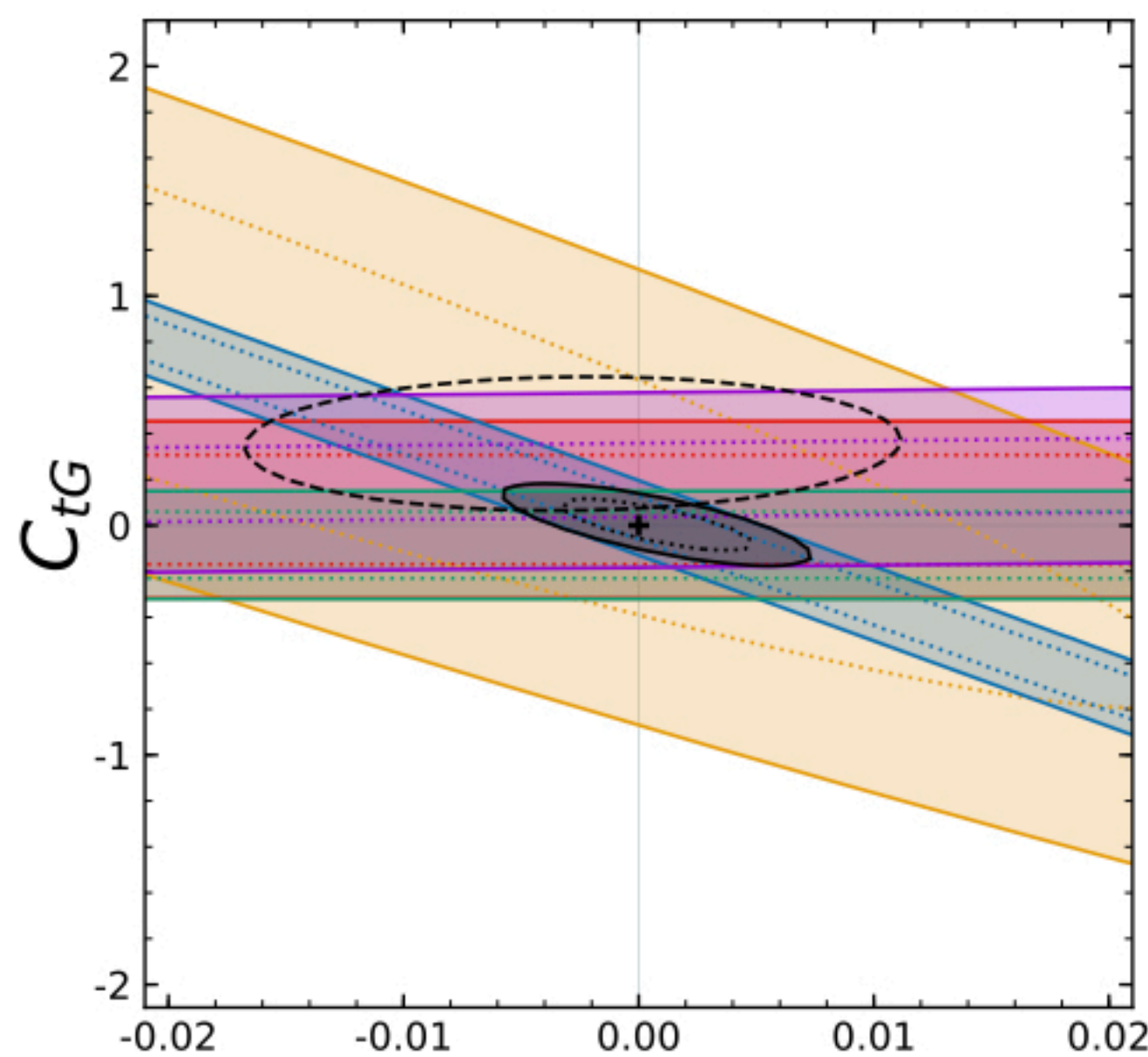
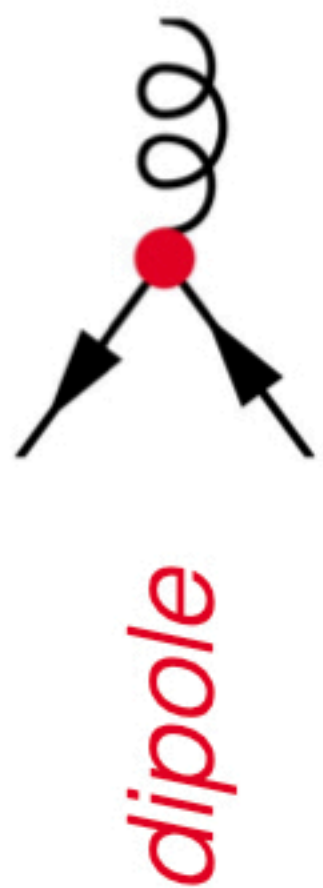
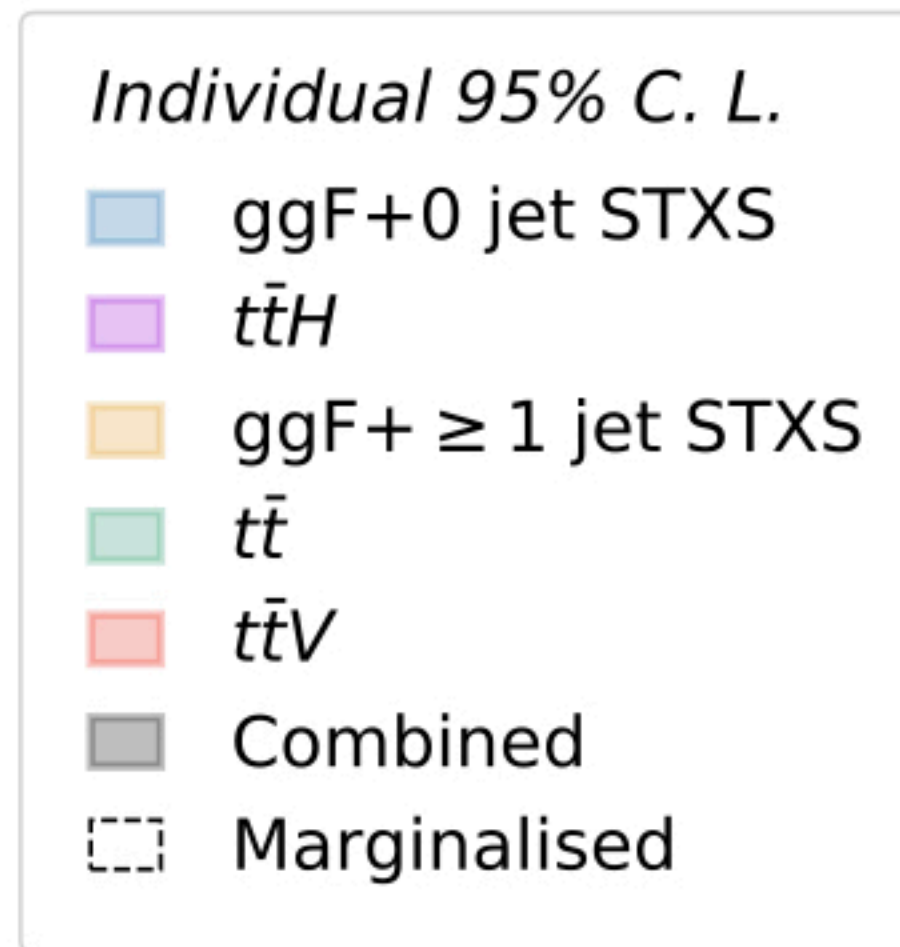
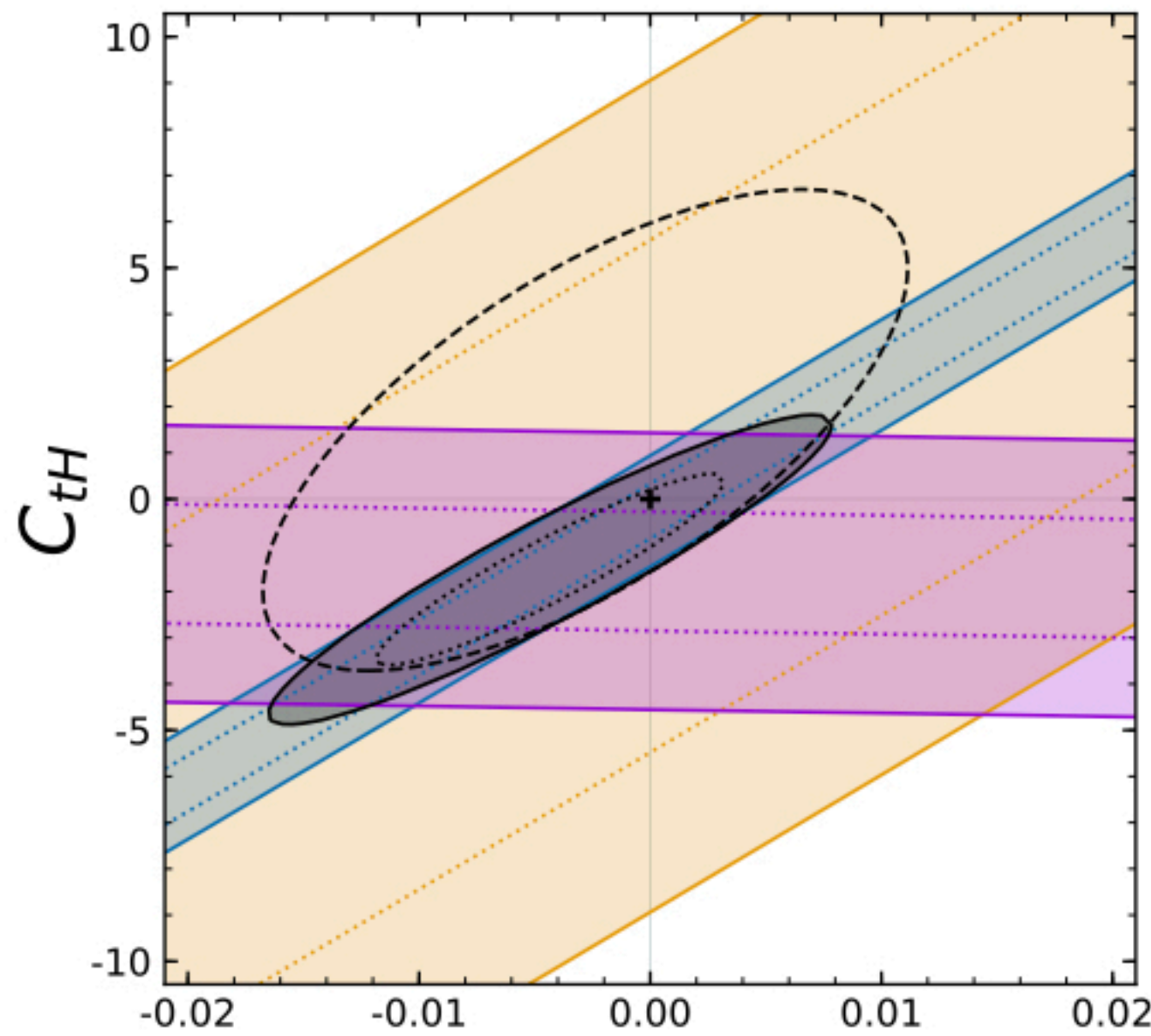
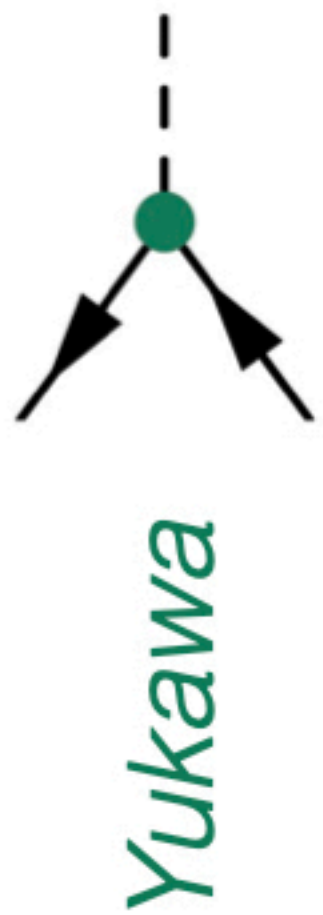
Can only be addressed in combined fit

- Beyond tree-level (at least for ggF) [Degrande et al.; PRD 103 (2021) 9, 096024]  
<http://feynrules.irmp.ucl.ac.be/wiki/SMEFTatNLO>
- Identify other cross-talk (non-trivial correlations)
- Crystallisation of knowledge gained after LHC Run 2/3
- Broaden range of applicability to UV models where the top is special





# Top-Higgs interplay



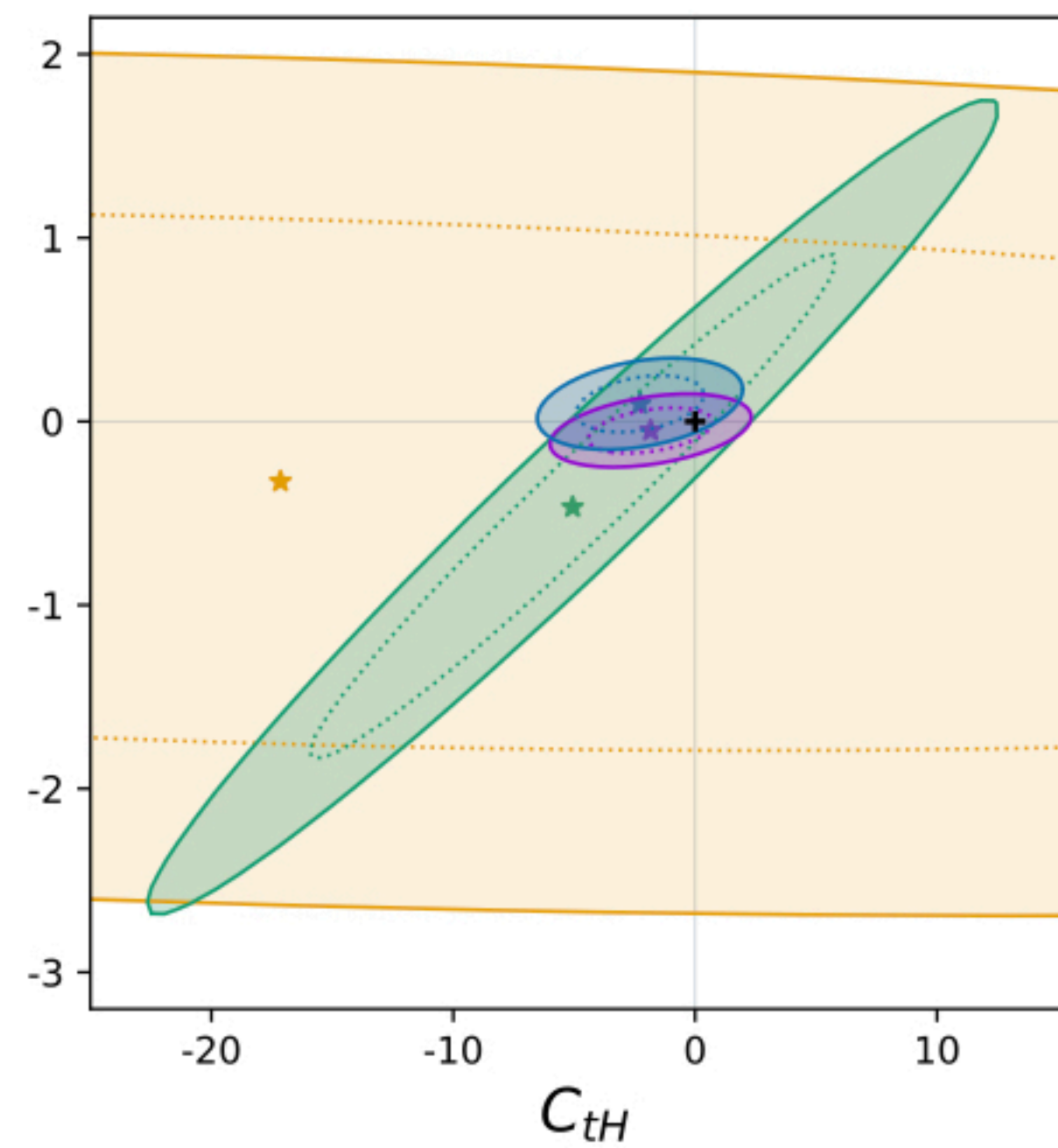
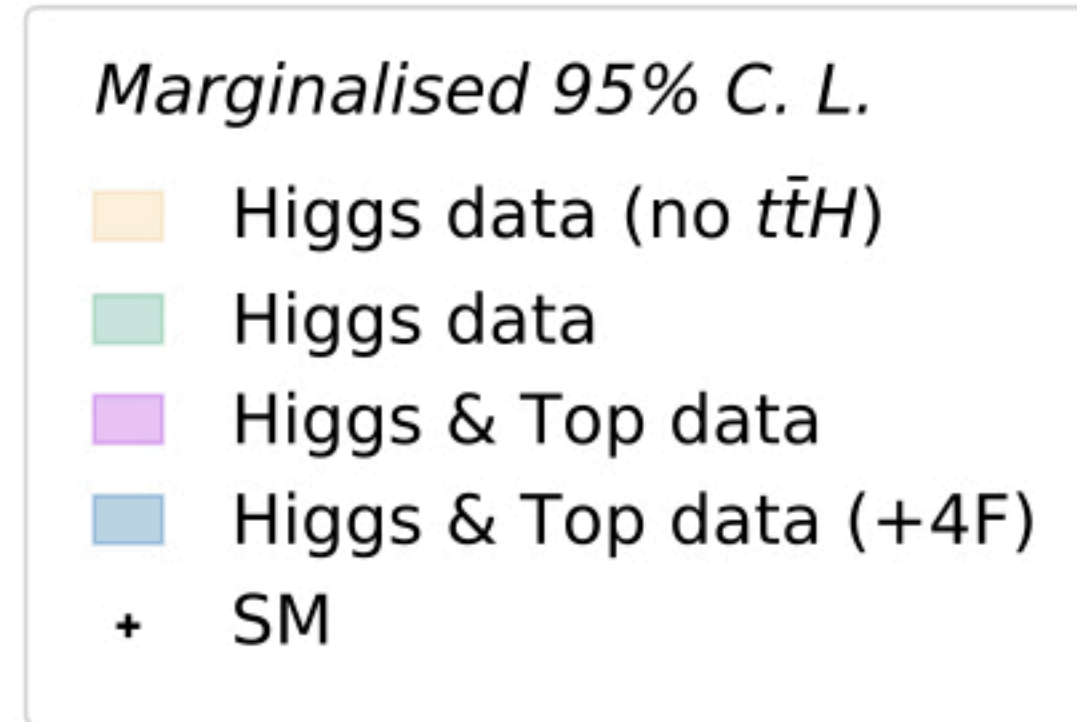
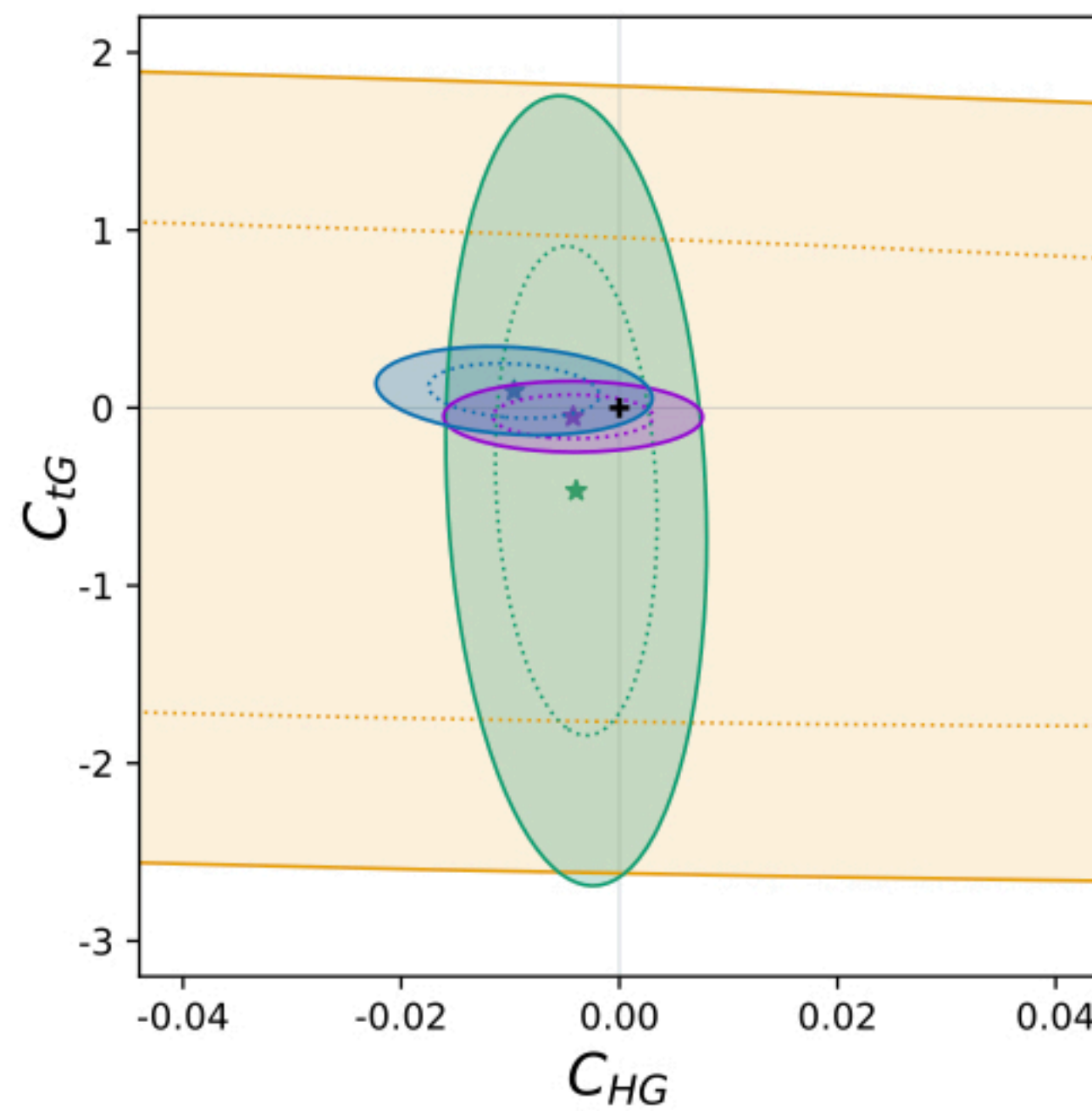
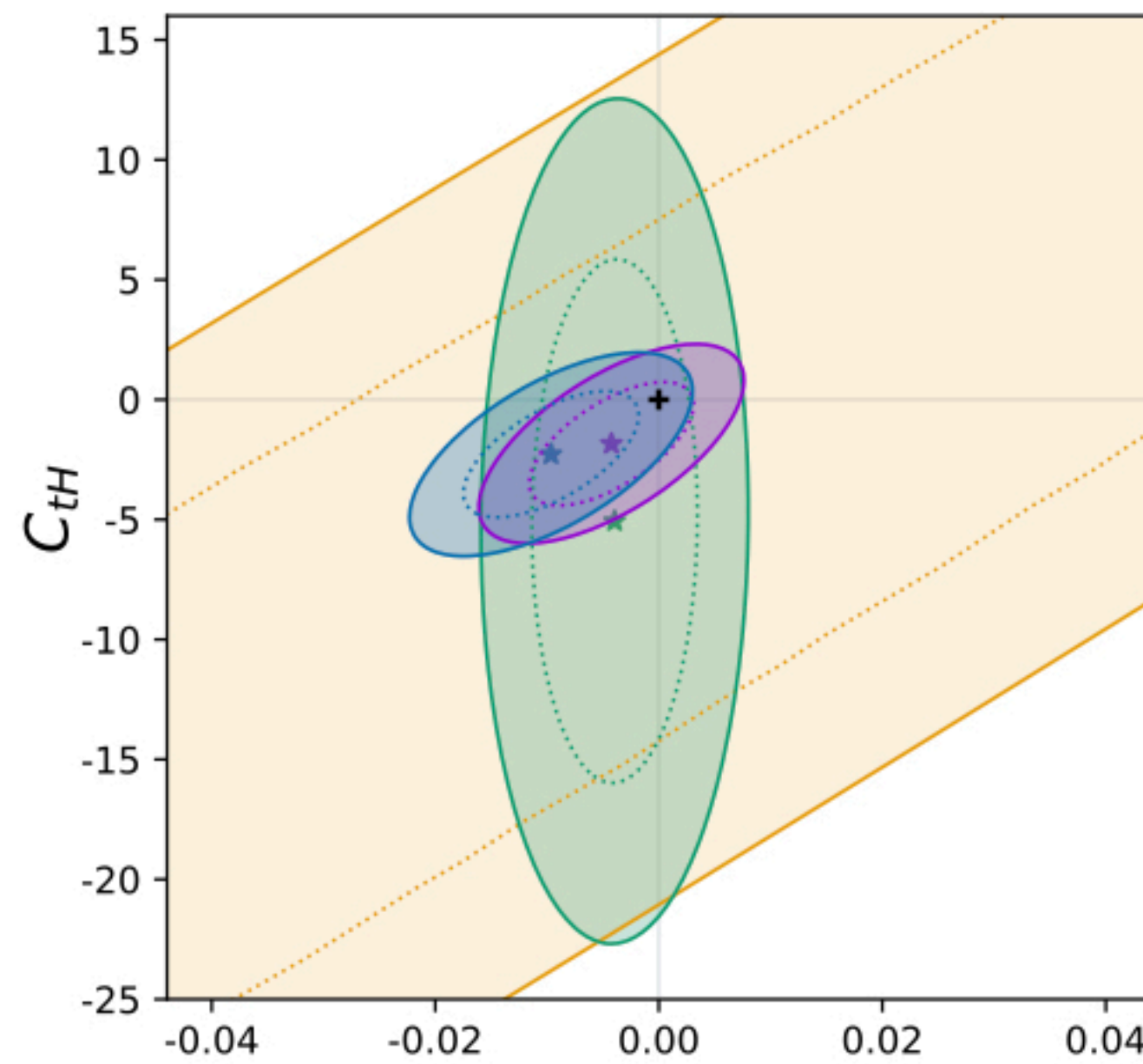
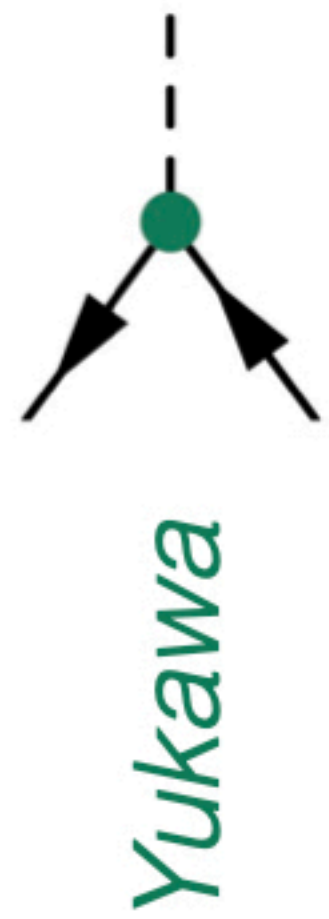
## 2D individual constraints

- All others set to 0
- $ggF/t\bar{t}H$  complementarity for  $(C_{HG}, C_{tH})$
- H+jets STXS &  $t\bar{t}V$  not yet competitive
- Strong impact of  $t\bar{t}$  evident for  $C_{tG}$
- Tension with SM  $\sim 2\sigma$
- Significant correlations remain
- Large marginalisation effects

**What is the concrete impact of 4F?**



# Top-Higgs interplay



Fit: Higgs SS & STXS  $\mathcal{O}(\Lambda^{-2})$

8 Higgs operators +  $C_{tG}$

- Marginalised confidence regions
- Significant impact of  $t\bar{t}H$  &  $t\bar{t}(V)$

Now add in  $t\bar{t}$  4F operators

+  $C_{Qq}^{3,8}, C_{Qq}^{1,8}, C_{Qu}^8, C_{Qd}^8, C_{tq}^8, C_{tu}^8, C_{td}^8$

- Relatively mild impact
- Preferred  $t\bar{t}$  phase space is different

$C_{tG}$  : low  $m_{t\bar{t}}$

4F : high  $m_{t\bar{t}}$

- Able to constrain them independently

**Top data is crucial!**

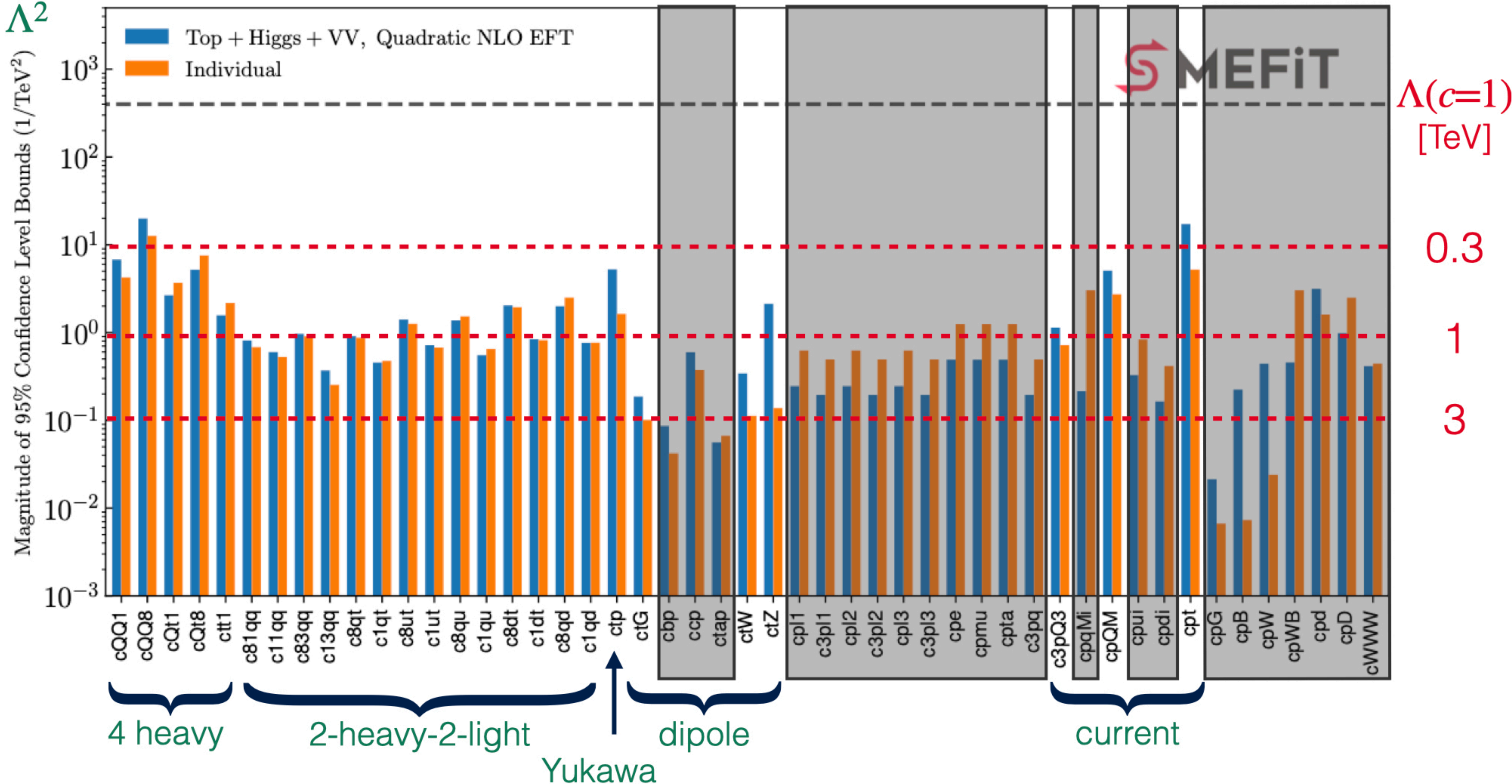


# SMEFiT

Top, Higgs & Diboson w/ 'perfect' EWPO

- NLO QCD
- top loop sensitivity

$$\frac{C_i}{\Lambda^2}$$





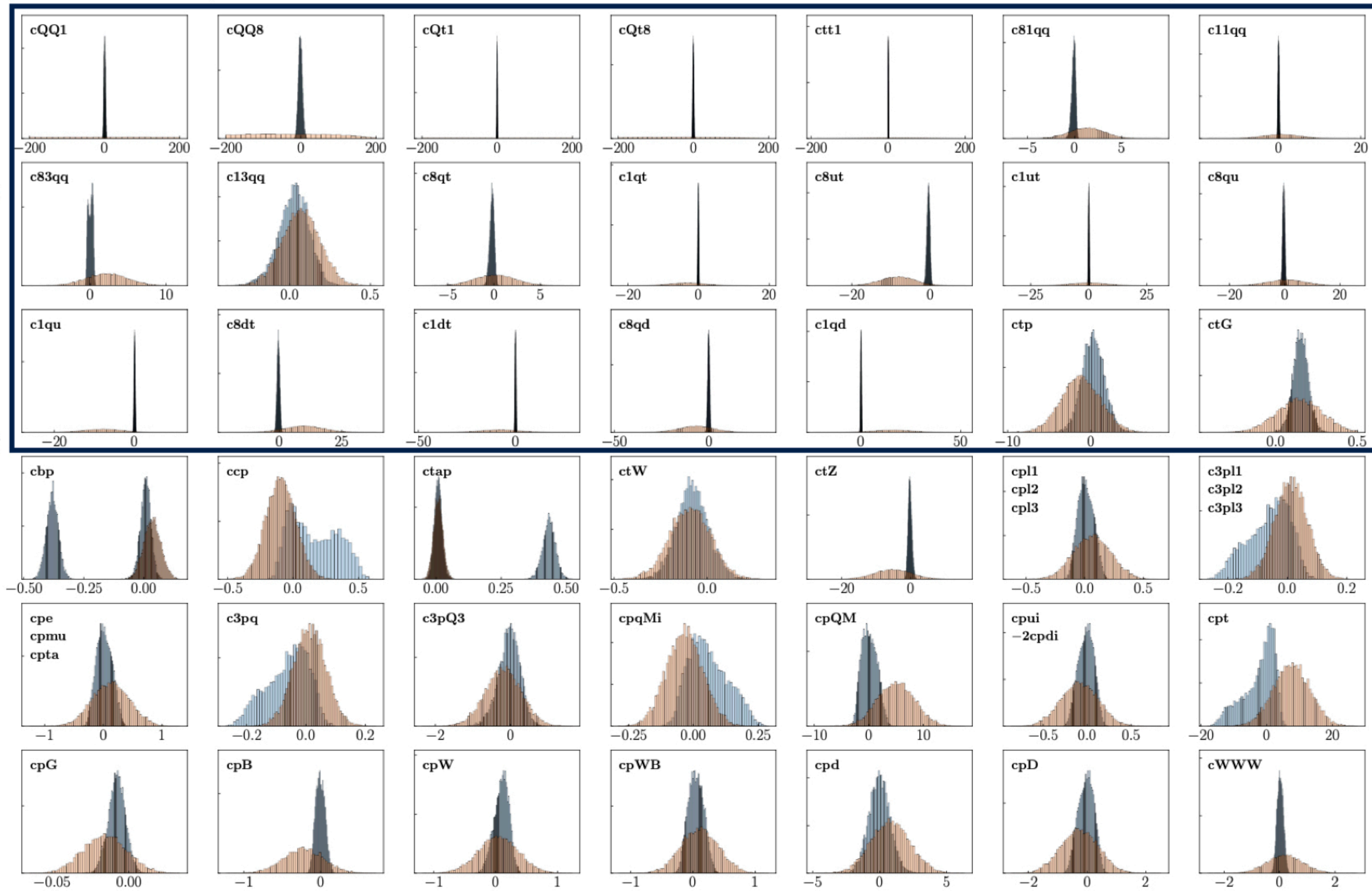
# Linear ( $\Lambda^{-2}$ ) vs Quadratic ( $\Lambda^{-4}$ )

■ Top + Higgs + VV, Quadratic NLO EFT
 ■ Top + Higgs + VV, Linear NLO EFT

Some bounds purely  $O(\Lambda^{-4})$

- 1) imprecise data
- 2) non-interference

Non-Gaussian posteriors:  
Quadratic effects important



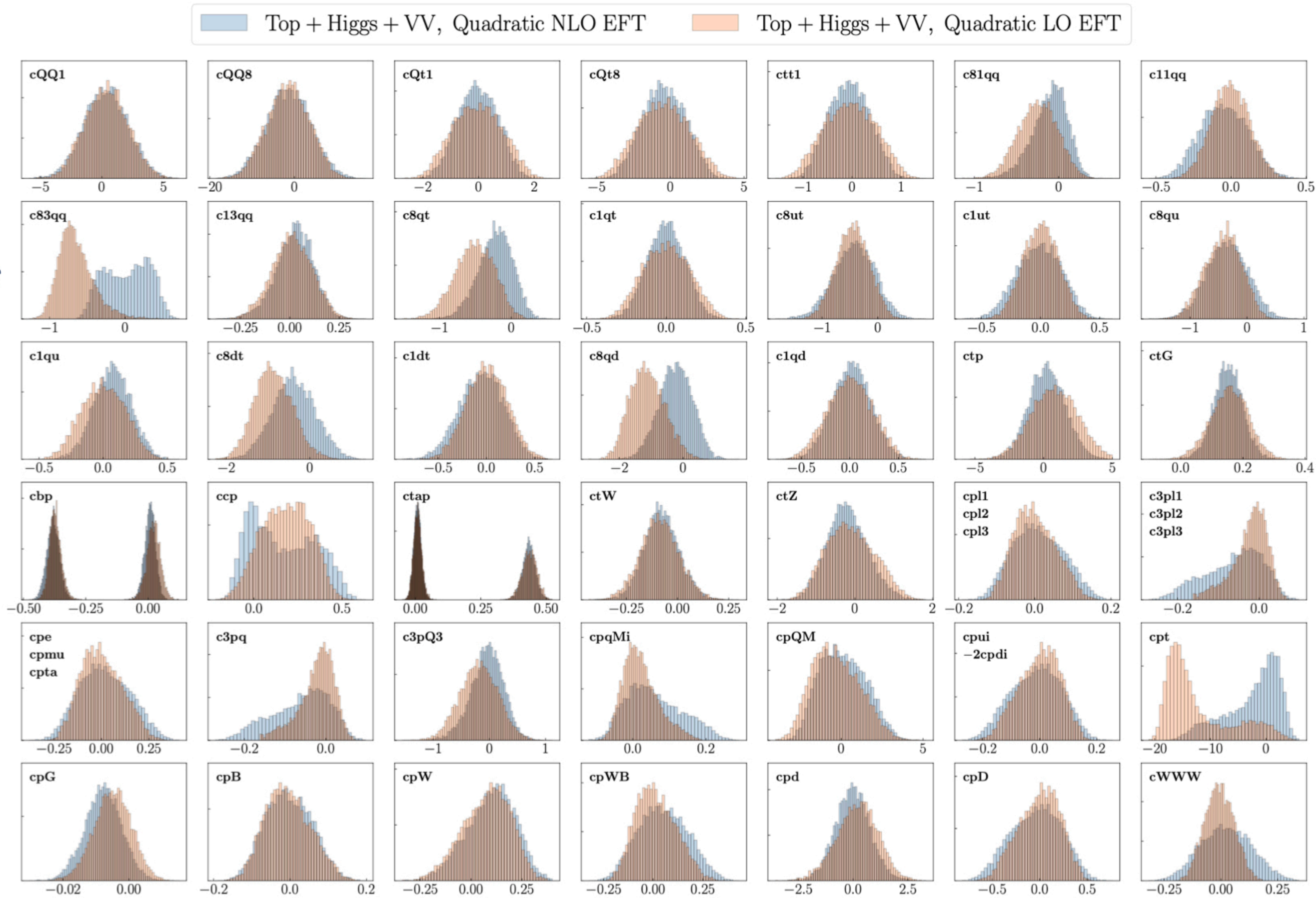
**Dim-8 effects?**  
**EFT validity?**



# NLO vs LO

Top is coloured

Non-trivial  
QCD corrections





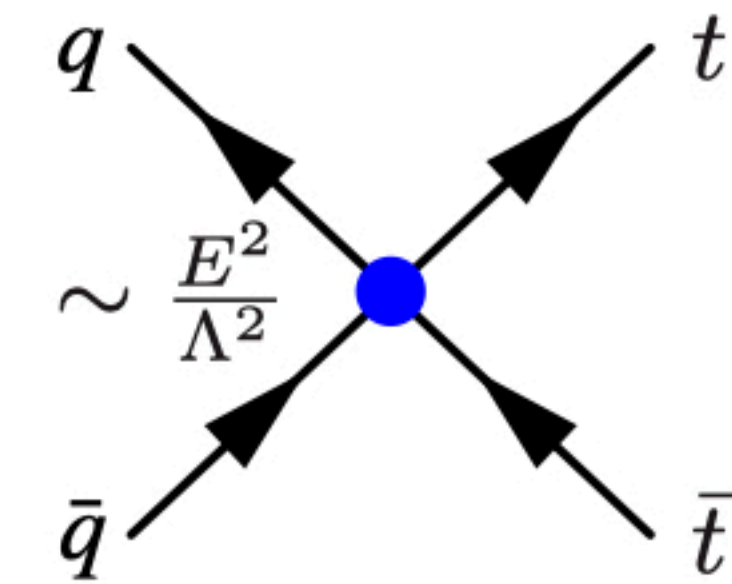
# $t/h$ interplay via loops

[Ethier et al.; JHEP 11 (2021) 089]

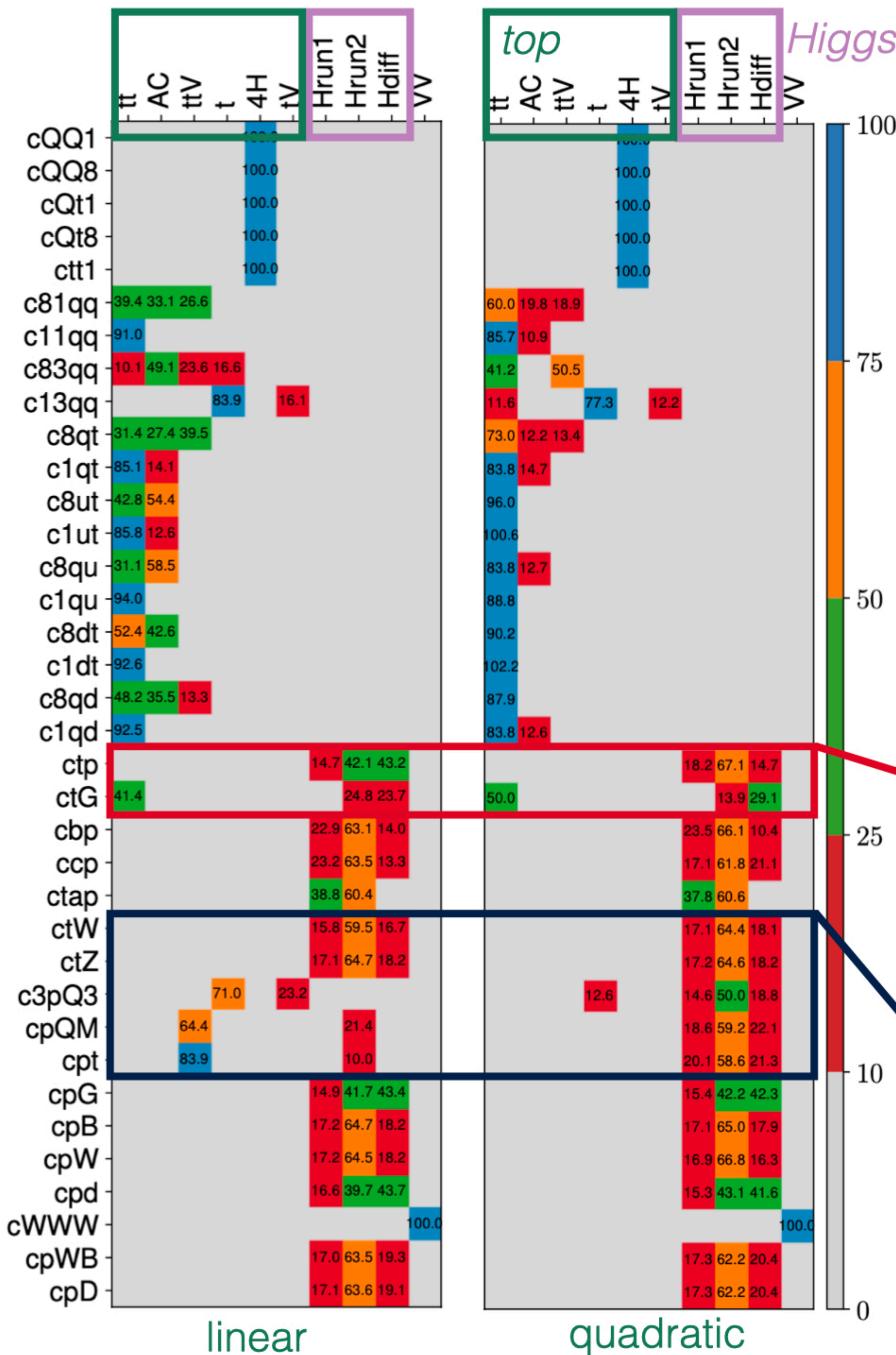
## Fisher Information:

Hessian of Log-likelihood  
at the best-fit point

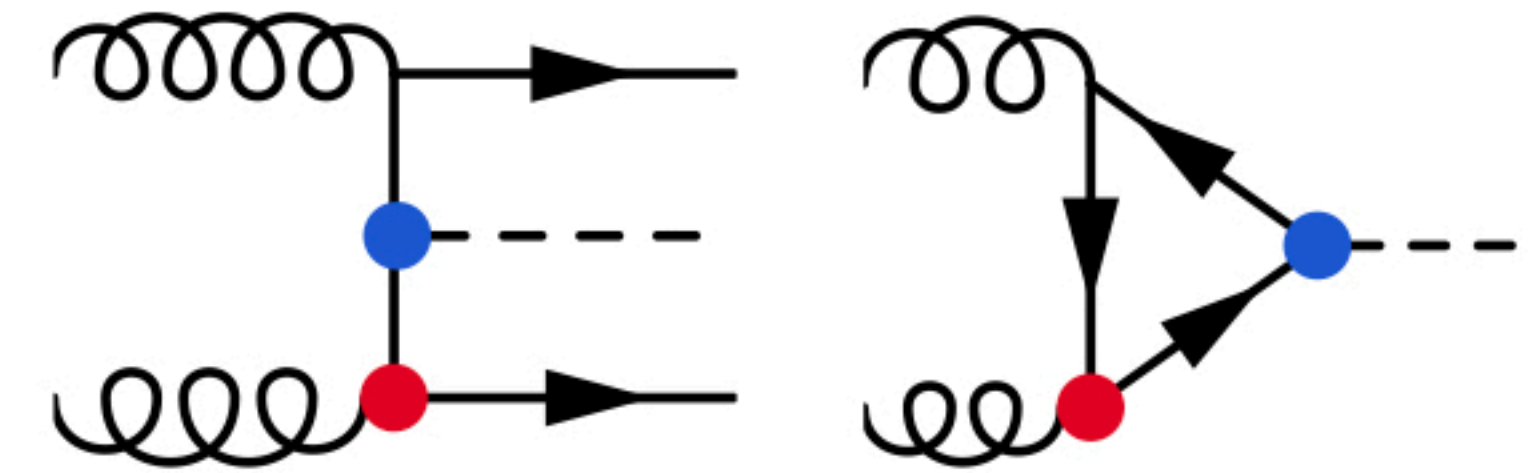
4F operators:  
mostly top data



Normalized Fisher Value



Yukawa &  
Chromo-dipole



$t\bar{t}V$  couplings

