



Search for New Physics with NA62



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Les Rencontres de Physique de la Vallée d'Aoste

NA62 experiment

NA62: fixed target experiment at CERN SPS

Technique:

Kaon decays in flight

Timeline:

- 2015: commissioning
- 2016-2018: physics runs
-
- 2021-2025: physics runs

Primary goal:

Measure $\text{BR}(K^+ \rightarrow \pi^+ \nu \bar{\nu})$

ECN3 hall at CERN



NA62 collaboration: ~300 participants, ~30 institutions

NA62 recent results

- $K^+ \rightarrow \pi^+ \nu \nu$
 - $BR(K^+ \rightarrow \pi^+ \nu \nu)$ JHEP 06 (2021) 93
 - $K^+ \rightarrow \pi^+ X, X = a, S$ JHEP 03 (2021) 58
- Precision measurements
 - $K^+ \rightarrow \pi^+ \mu^+ \mu^-$ JHEP 11 (2022) 011
 - $K^+ \rightarrow e^+ \pi^0 \nu \gamma$ JHEP 09 (2023) 040
 - $K^+ \rightarrow \pi^+ \gamma \gamma$ PLB 850 (2024) 138513
- LFV/LNV decays
 - $K^+ \rightarrow \pi \mu e$ PRL 127 (2021) 131802
 - $K^+ \rightarrow \pi^- l^+ l^+$ PLB 797 (2019) 134794; PLB830 (2022) 137172
 - $K^+ \rightarrow \pi^- \pi^0 e^+ e^+$ PLB 830 (2022) 137172
 - $K^+ \rightarrow \mu^- \nu e^+ e^+$ PLB 838 (2023) 137679
- Search for pair production of hidden sector mediators $X = a, S$
 - $K^+ \rightarrow \pi^+ X X, X \rightarrow e^+ e^-$ PLB 846 (2023) 138193
- Beam dump searches for DM
 - $A' \rightarrow \mu^+ \mu^-$ JHEP 09 (2023) 035
 - $A' \rightarrow e^+ e^-$ arXiv: 2312.12055

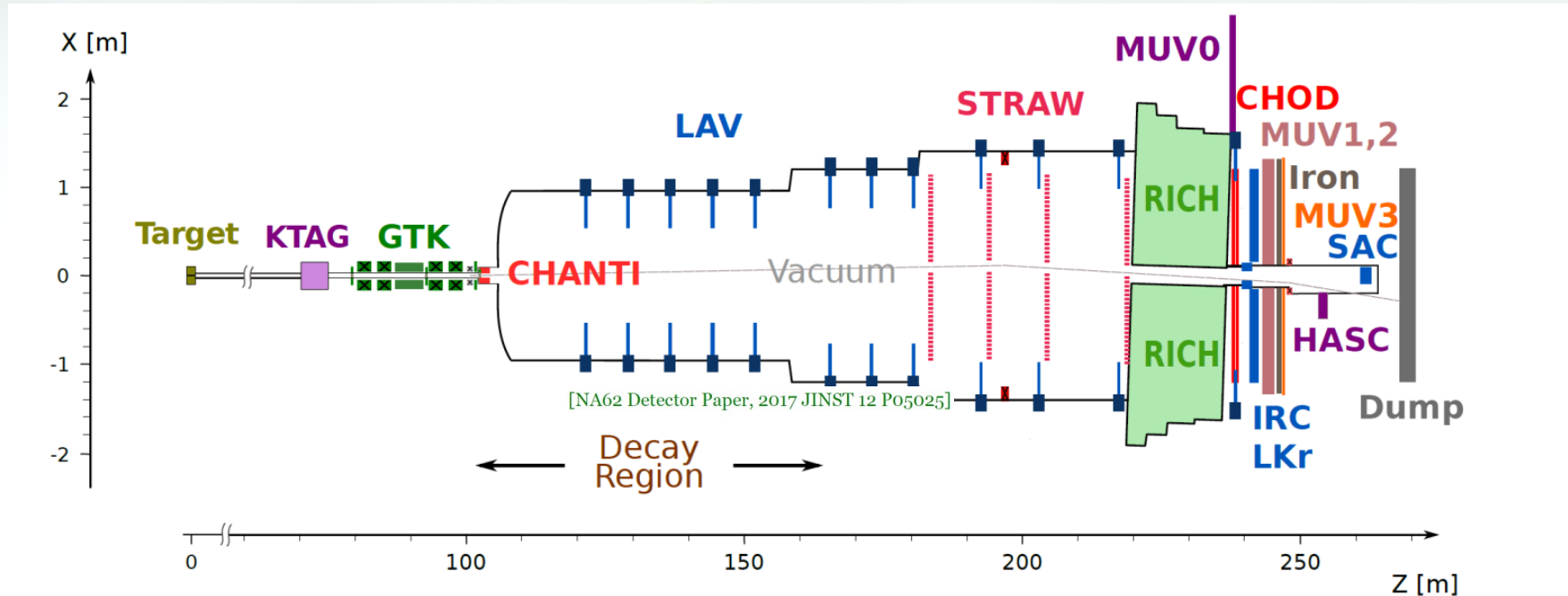
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This talk

NA62 in the standard mode

[NA62 Detector Paper, 2017 JINST 12 P05025]



Primary beam:

- 400 GeV/c protons
- 3×10^{12} protons per spill

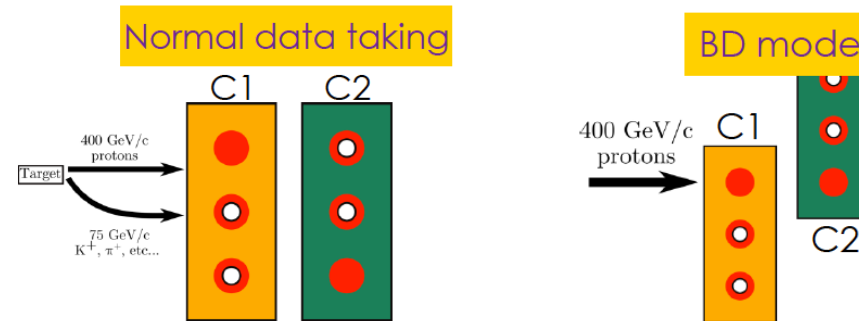
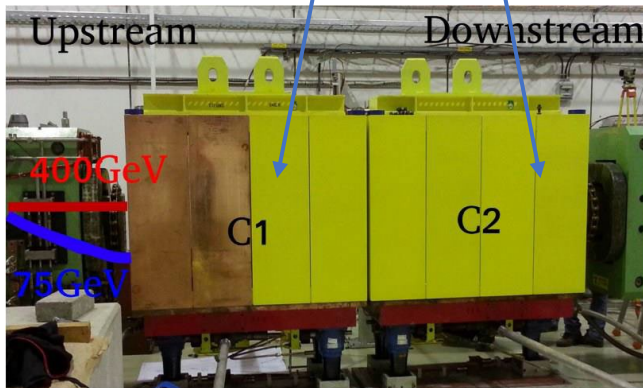
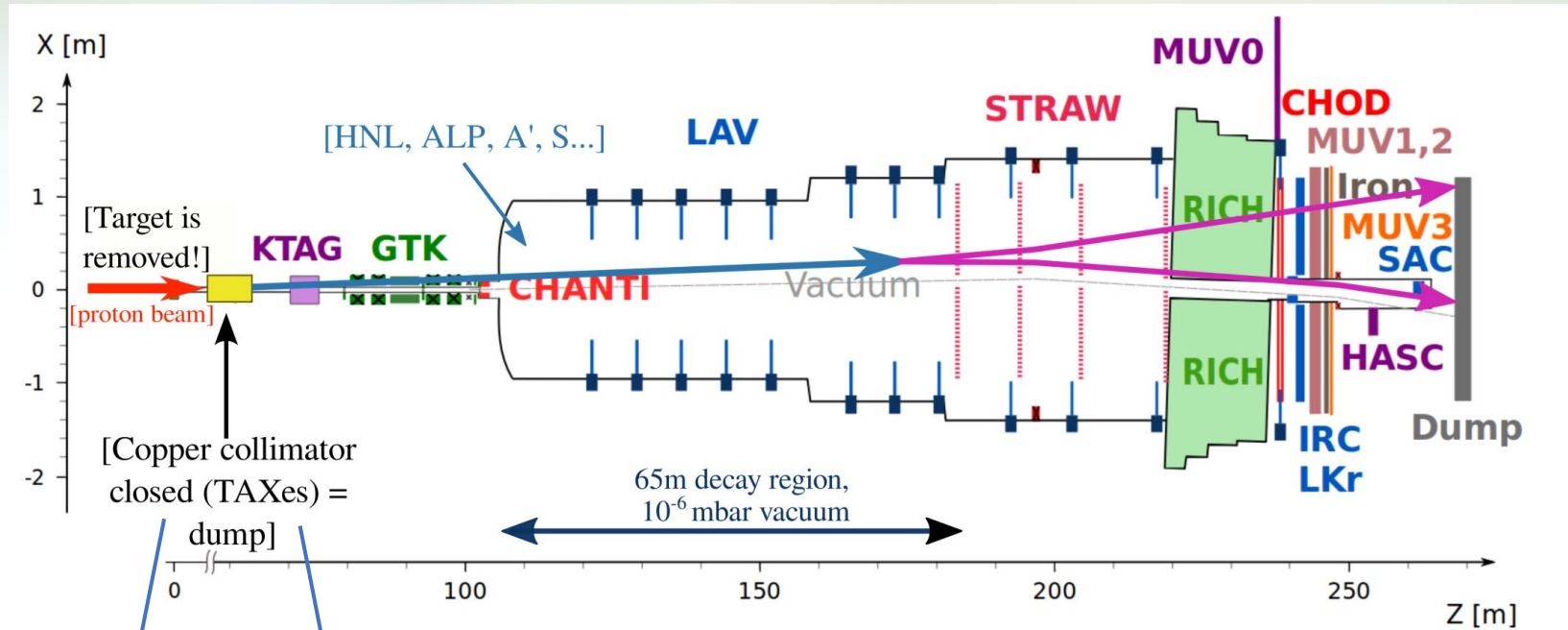
Secondary beam:

- 75 GeV/c ($\pm 1\%$)
- Divergency $< 100 \mu\text{rad}$
- 70% pions, **6% K^+** , 24% protons

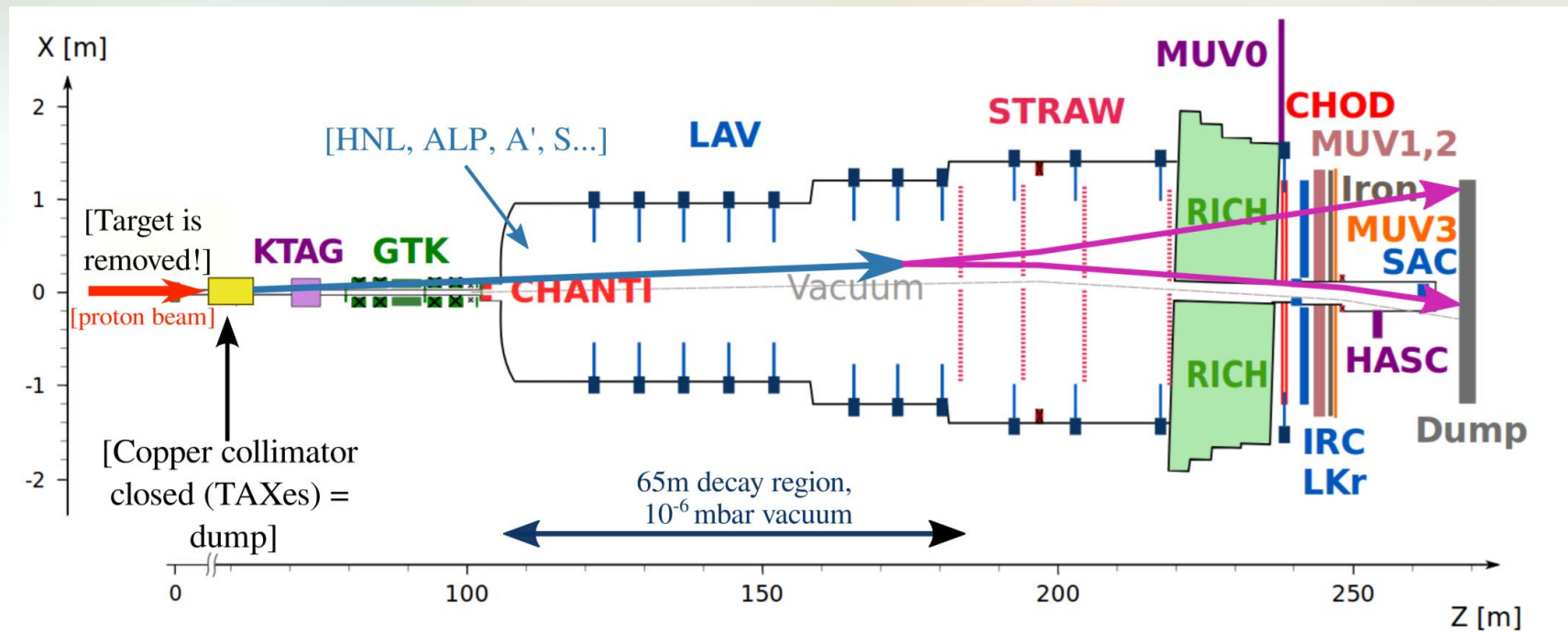
Key detectors:

- PID: KTAG, RICH, LKr, MUV1-2, MUV3
- Momentum: GTK, STRAW
- Time: GTK, KTAG, RICH, CHOD
- Photon veto: LAV, LKr, IRC, SAC

NA62 in the beam dump mode



NA62 in the beam dump mode



Beam dump prerequisites

- Beam line optimized in 2021 (improved sweeping, higher intensity)
- single and 2-track trigger based on CHOD
- Control trigger based on LKr

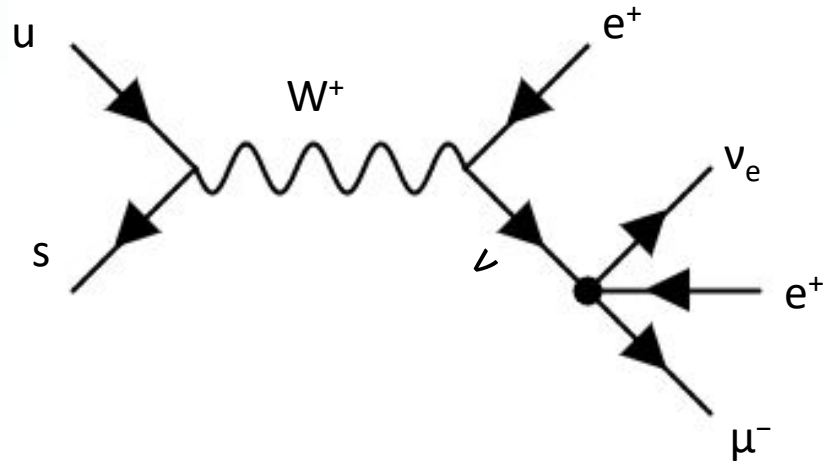
2021 run

- 10 days in beam dump mode
- 3.2 m Cu-Fe collimators (TAXes) used as target
- 1.40×10^{17} POT collected

Searches for New Physics in the standard mode

$K^+ \rightarrow \mu^- \nu e^+ e^+$ in New Physics

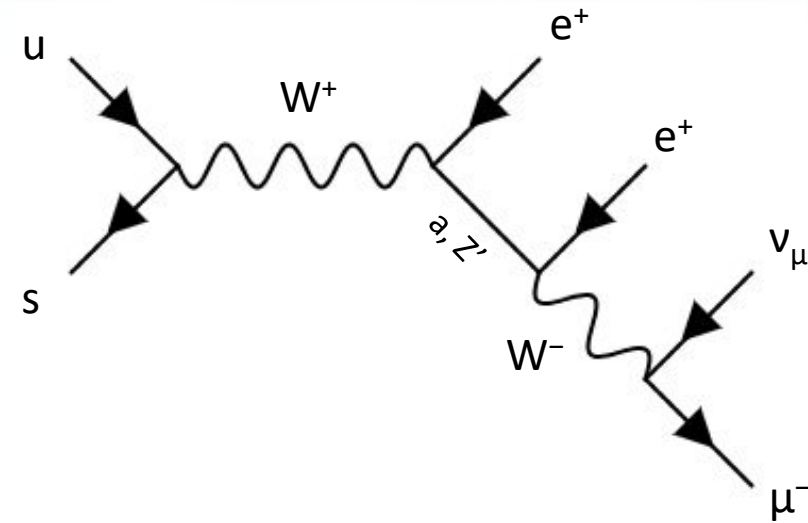
LFV



NP models

- Majorana neutrino

LNV + LFV



NP models

- ALP
- Z'

Search for $K^+ \rightarrow \mu^- \nu e^+ e^+$

Data:

- Run 1 (2016-2018)

Main features

- blind analysis
- $A(\text{sig}) = 1.44\%$
- $N_K = 1.97(7) \times 10^{12}$
- $\text{SES} = 3.5 \times 10^{-11}$

Number of K decays in the fiducial volume

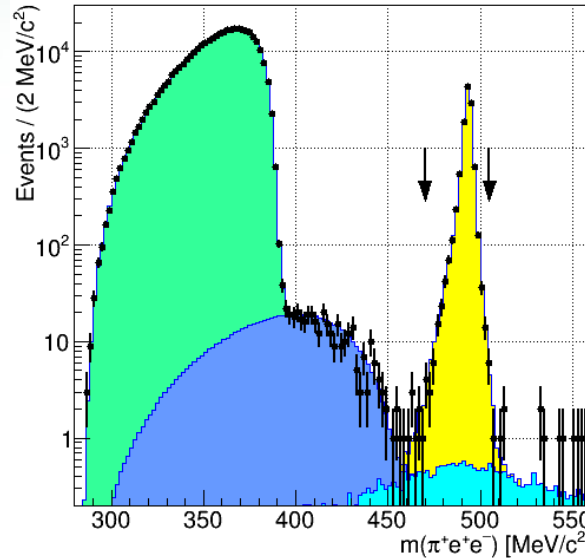
Normalisation:

- $K^+ \rightarrow \pi^+ e^+ e^-$
- $N(K^+ \rightarrow \pi^+ e^+ e^-) = 21401$

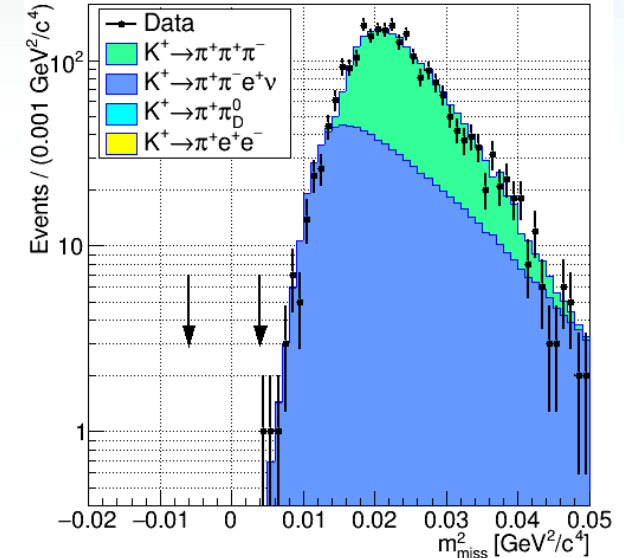
Expected bkg:

- $N = 0.26(4)$

normalisation: $M(\pi^+ e^+ e^-)$



signal: $(P_K - P_{\mu^-} - P_{e^+} - P_{e^+})^2$



SR: $N_{\text{observed}} = 0$



$\text{BR}(K^+ \rightarrow \mu^- \nu e^+ e^+) < 8.1 \times 10^{-11} (90\% \text{ CL})$

- Factor of 250 improvement wrt previous limit: $\text{BR} < 2.1 \times 10^{-8} (90\% \text{ CL})$
- Not sufficient to constrain NP models with Majorana neutrinos, ALP and Z'

$K^+ \rightarrow \pi^+ e^+ e^- e^-$ in the Standard Model

$K^+ \rightarrow \pi^+ \pi^0, \pi^0 \rightarrow \gamma \gamma, \gamma \rightarrow e^+ e^-$

- $(\pi^+ e^+ e^- e^-)$ final signature
- $m(e^+ e^- e^+ e^-) = m(\pi^0)$
- $BR = (6.9 \pm 0.3) \times 10^{-6}$
- Used for normalization

Dominant amplitudes for $K^+ \rightarrow \pi^+ e^+ e^- e^-$ in SM

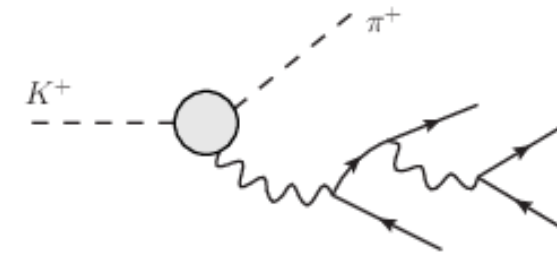
- Outside $m(\pi^0)$ region: one photon exchange
- Near $m(\pi^0)$: resonant two photon exchange

PRD 106 (2022) L071301

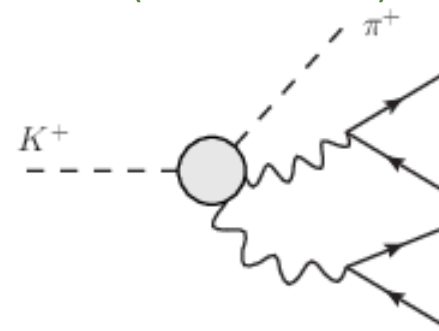
Current analysis

- Resonant two photon exchange suppressed by kinematic cuts
- $BR(\text{non-resonant SM, expected}) = (7.2 \pm 0.7) \times 10^{-11}$

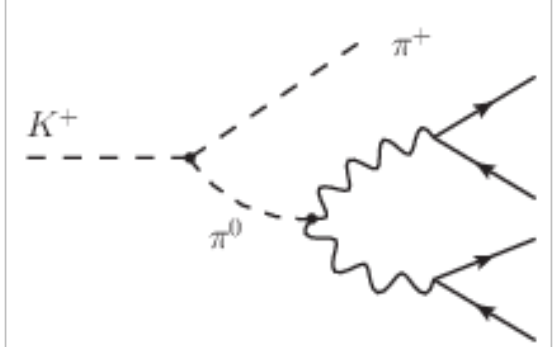
One photon exchange



Two photon exchange (non-resonant)



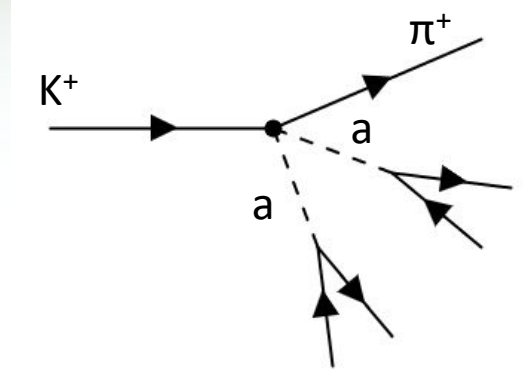
Two photon exchange (resonant)



$K^+ \rightarrow \pi^+ e^+ e^- e^-$ in New Physics

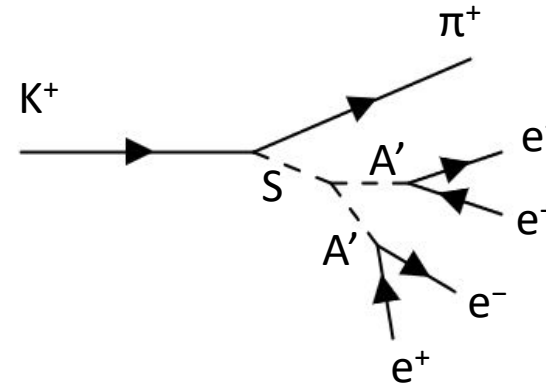
QCD axion a

- $K^+ \rightarrow \pi^+ a a, a \rightarrow e^+ e^-$
- Provides explanation for the 17 MeV anomaly:
in this case $BR(K^+ \rightarrow \pi^+ a a) > 2 \times 10^{-8}$



Dark scalar S and dark photon A'

- $K^+ \rightarrow \pi^+ S, S \rightarrow A' A', A' \rightarrow e^+ e^-$
- $m_S \geq 2m_{A'}$
- A' should decay promptly



$K^+ \rightarrow \pi^+ e^+ e^+ e^- e^-$ analysis strategy

Data:

Run 1 (2017-2018)

Main features

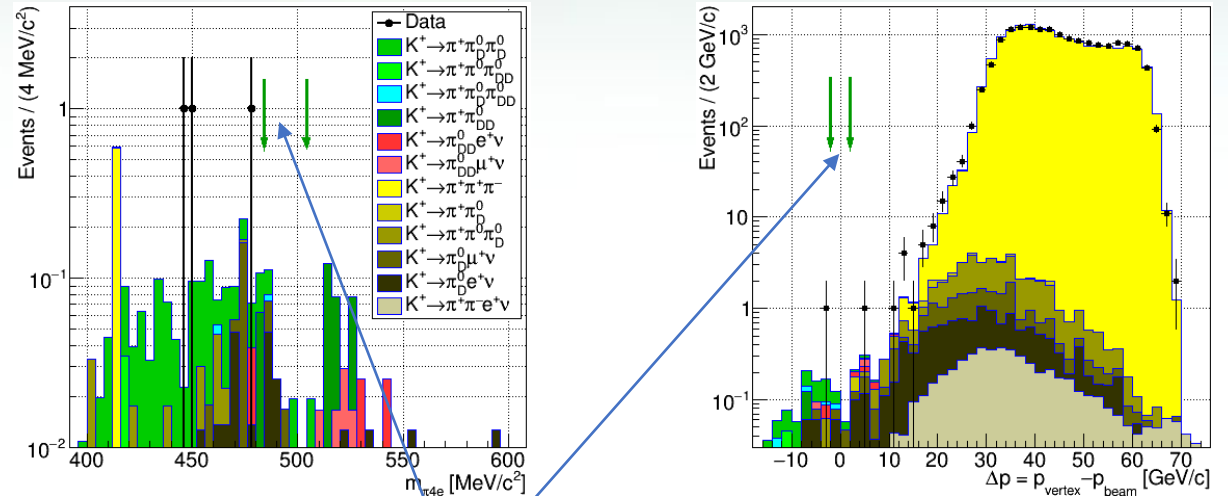
- 5 tracks without PID, just kinematic cuts
- Kinematic variables: $m_{\pi 4e} = m(\pi e e e e)$, $\Delta p = p(\pi e e e e) - p_K$
- $|m_{e e e e} - m_{\pi 0}| > 10 \text{ MeV}$
- Masked signal region in terms of $m_{\pi 4e}$ and Δp
- $A(\text{sig, SM}) = 1.85 \times 10^{-4}$
- $N_K = 8.58(46) \times 10^{11}$

Normalisation:

- $K^+ \rightarrow \pi^+ \pi^0$, $\pi^0 \rightarrow \gamma \gamma$, $\gamma \rightarrow e^+ e^-$
- $|m_{e e e e} - m_{\pi 0}| < 10 \text{ MeV}$
- $N(K^+ \rightarrow \pi^+ \pi^0, \pi^0 \rightarrow \gamma \gamma, \gamma \rightarrow e^+ e^-) = 2023$

Expected bkg:

$N = 0.18(6)$



SR: $N_{\text{observed}} = 0$

$BR(K^+ \rightarrow \pi^+ e^+ e^- e^+ e^-) < 1.4 \times 10^{-8}$ (90% CL)

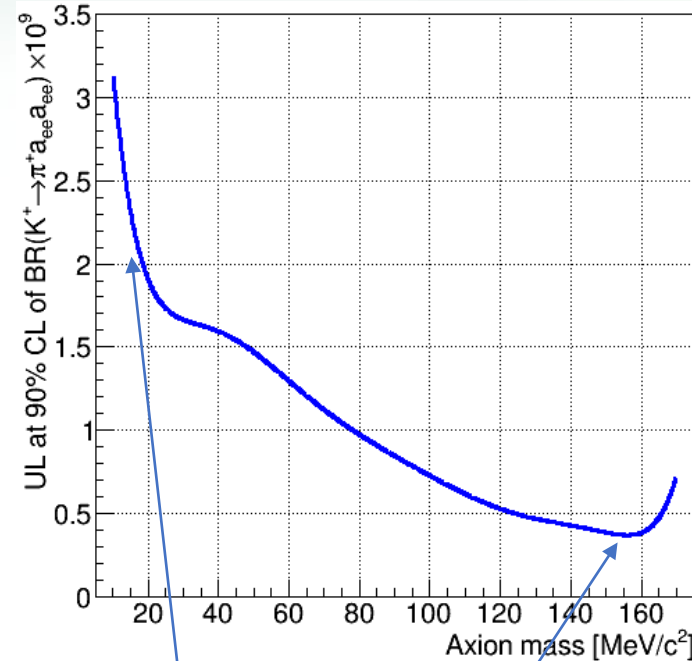
- First upper limit
- Factor of 200 far from $BR(\text{SM, expected}) = 7.2 \times 10^{-11}$

$K^+ \rightarrow \pi^+ e^+ e^+ e^- e^-$: NP limits

Mass scan

- Multiple hypotheses tested
- $10 < m_a < 170$ MeV, 5 MeV step
- $20 < m_S < 340$ MeV and $10 < m_{A'} < 170$ MeV, 5 MeV step
- CLs to set UL(BR)

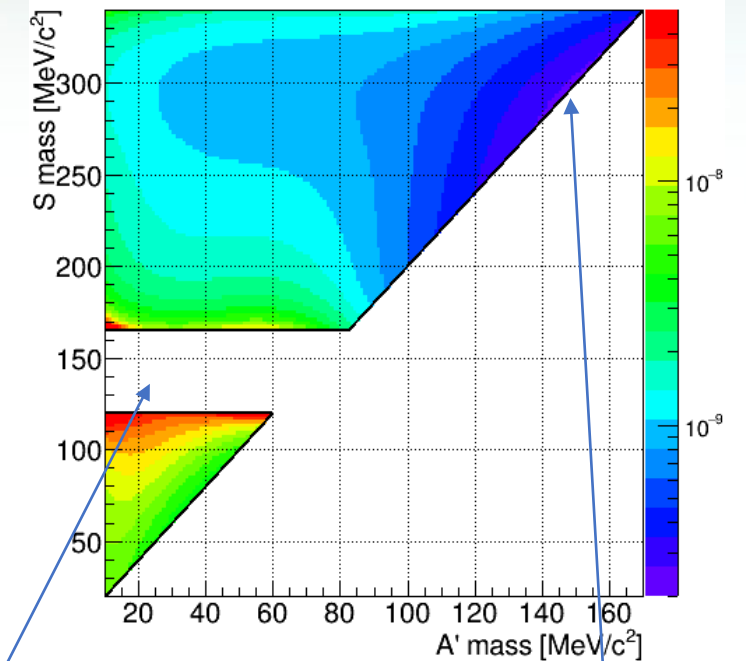
$K^+ \rightarrow \pi^+ a a, a \rightarrow e^+ e^-$



17 MeV anomaly
explanation with QCD axion
excluded ($UL = 2.1 \times 10^{-9}$)

Best limit at 155 MeV
 $UL = 3.7 \times 10^{-10}$

$K^+ \rightarrow \pi^+ S, S \rightarrow A' A', A' \rightarrow e^+ e^-$



m_{π_0} region:

- low acceptance due to $|m_{eeee} - m_{\pi_0}| > 10$ MeV
- Excluded from the search

Best limit at $m_{A'} = 150$ MeV, $m_S = 300$ MeV
 $UL = 2.5 \times 10^{-10}$

Searches for DM in the beam dump mode

DM searches at NA62

Dark sector portals and mediators

- Vector portal → dark photon
- Scalar portal → dark scalar
- Neutrino portal → HNL
- Axion portal → ALP

Dark photon (DP)

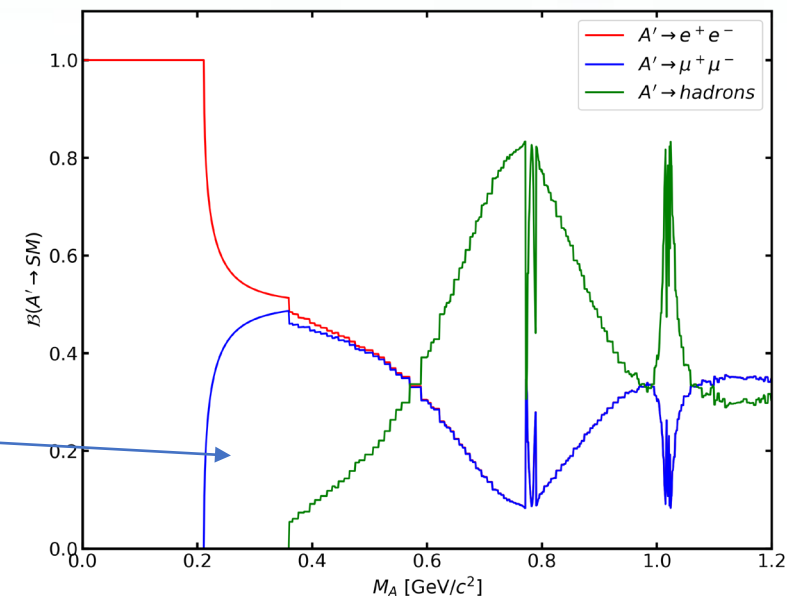
- New vector field $F'_{\mu\nu}$ feebly interacting with SM fields
- Kinetic mixing with the SM hypercharge $B_{\mu\nu}$
- Could decay to SM particles
- $m < 600$ MeV: decays to leptons dominate

$$\mathcal{L} \supset -\epsilon \frac{1}{2\cos\theta_W} F'_{\mu\nu} B_{\mu\nu}$$

DP searches @ NA62

- DP produced in beam-TAX interactions (bremsstrahlung, decays of secondary mesons)
- **Search for DP in decays to a lepton pair**
- **Two free parameters: mass and coupling ϵ**
- Sensitive to **$m < 600$ MeV** (where decays to leptons dominate)

JHEP 06 (2018) 004



Dark photon search in $A' \rightarrow \mu^+ \mu^-$ and $A' \rightarrow e^+ e^-$

Analysis strategy

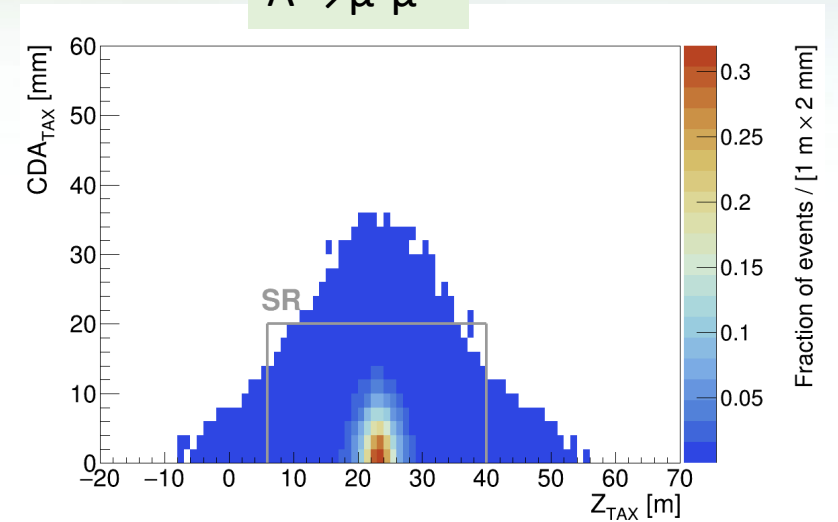
- Lepton-antilepton vertex in the NA62 decay region
- Dilepton momentum pointing back to taxes
- Kinematic variables: Z_{tax} and CDA_{tax}
- CDA_{tax} : closest distance of approach between beam and dimuon direction, $\sigma_{\text{CDA}} = 7 \text{ mm}$
- Z_{tax} : Z coordinate of the beam-TAX interaction vertex (calculated using CDA), $\sigma_z = 5.5 \text{ m}$
- Signal region for $A' \rightarrow \mu^+ \mu^-$: $6 < Z_{\text{tax}} < 40 \text{ m}$ & $\text{CDA}_{\text{tax}} < 20 \text{ mm}$
- Signal region for $A' \rightarrow e^+ e^-$: ellipse centered around $Z_{\text{tax}} = 23 \text{ m}$ & $\text{CDA}_{\text{tax}} = 0 \text{ mm}$

Expected DP yield

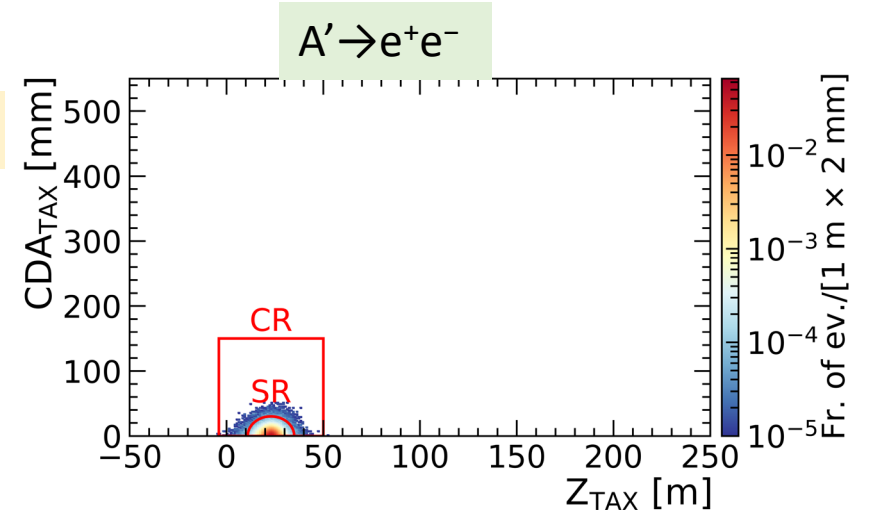
$$N_{\text{exp}} = \text{POT} \times \chi(pp \rightarrow A') \times \text{BR}(A' \rightarrow \mu\mu) \times \text{Prd}(\epsilon) \times A_{\text{acc}} \times A_{\text{trig}}$$

- $\text{POT} = 1.40 \times 10^{17}$
- $\chi(pp \rightarrow A')$: DP production probability
- $\text{BR}(A' \rightarrow \mu\mu)$: DP decay branching fraction
- $\text{Prd}(\epsilon)$: probability to reach the NA62 decay volume and decay there
- A_{acc} : signal selection efficiency
- A_{trig} : trigger efficiency

$A' \rightarrow \mu^+ \mu^-$



$A' \rightarrow e^+ e^-$



Background studies

Combinatorial bkg

- Two uncorrelated “halo” muons
- Dominant for $A' \rightarrow \mu^+ \mu^-$

Prompt bkg

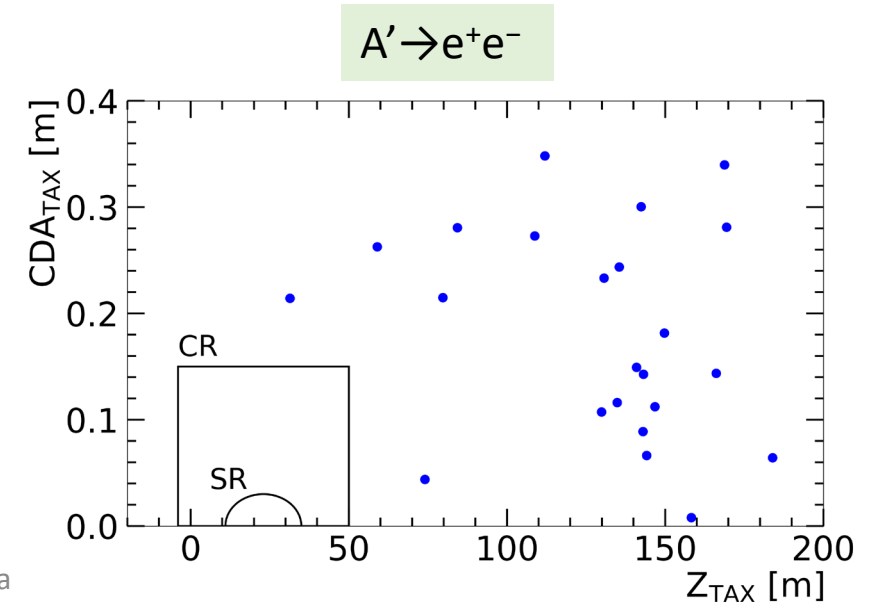
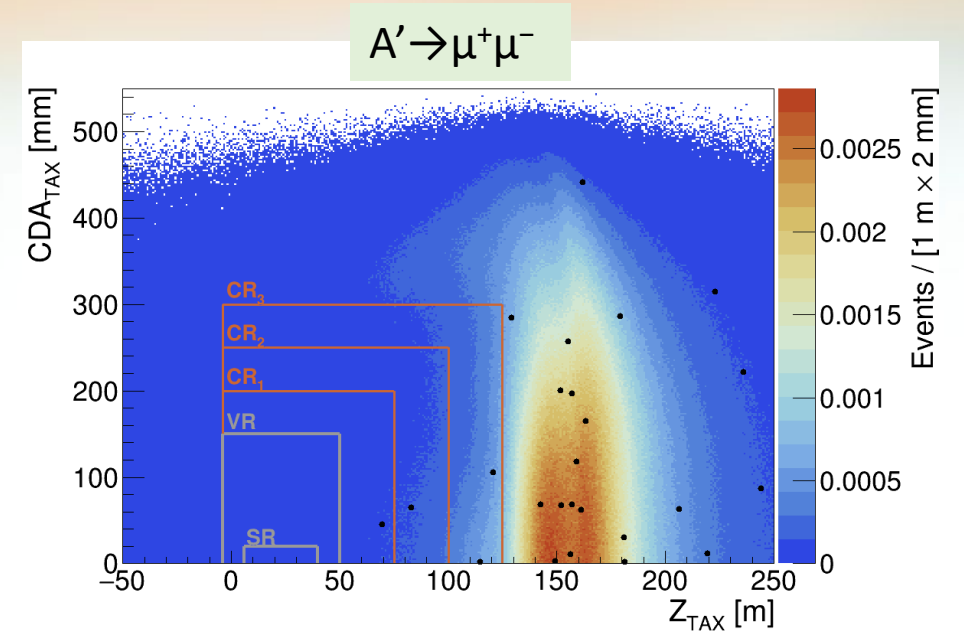
- Secondaries of a muon interaction with the traversed material
- Dominant for $A' \rightarrow e^+ e^-$

Expected bkg for $A' \rightarrow \mu^+ \mu^-$

Region	Combinatorial	Prompt	Upstream-prompt
VR	0.17 ± 0.02	< 0.004	< 0.069
SR	0.016 ± 0.002	< 0.0004	< 0.007

Expected bkg for $A' \rightarrow e^+ e^-$

$$N_{\text{bkg}}^{\text{CR}} = 9.7_{-7.3}^{+21.3} \times 10^{-3}, \quad N_{\text{bkg}}^{\text{SR}} = 9.4_{-7.2}^{+20.6} \times 10^{-3}$$

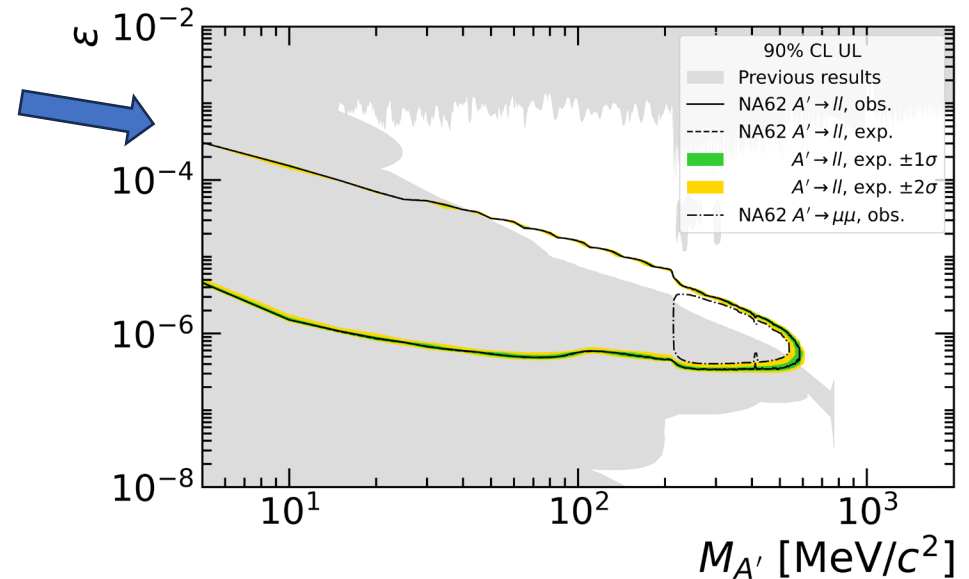
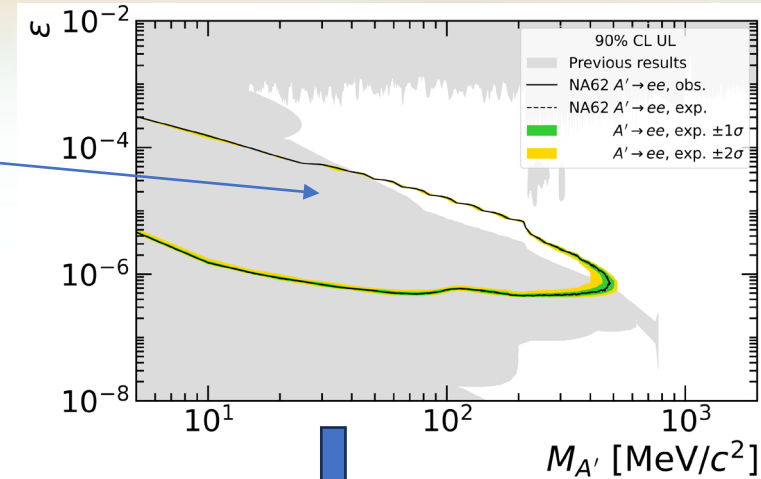
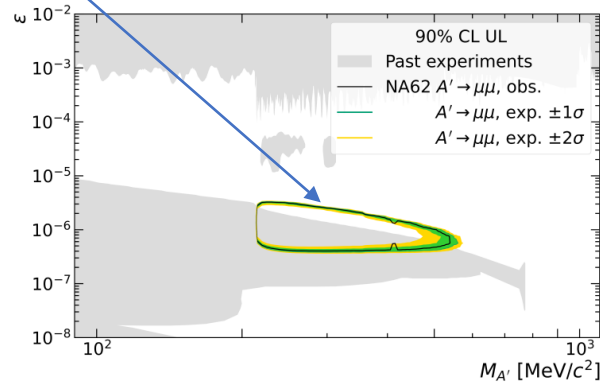
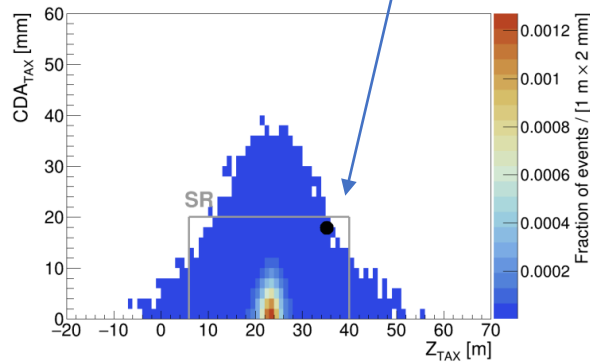


Results on the DP search in $A' \rightarrow l^+l^-$

$A' \rightarrow e^+e^- : N_{\text{obs}} = 0$

$A' \rightarrow \mu^+\mu^- : N_{\text{obs}} = 1$ (2.4 σ significance)

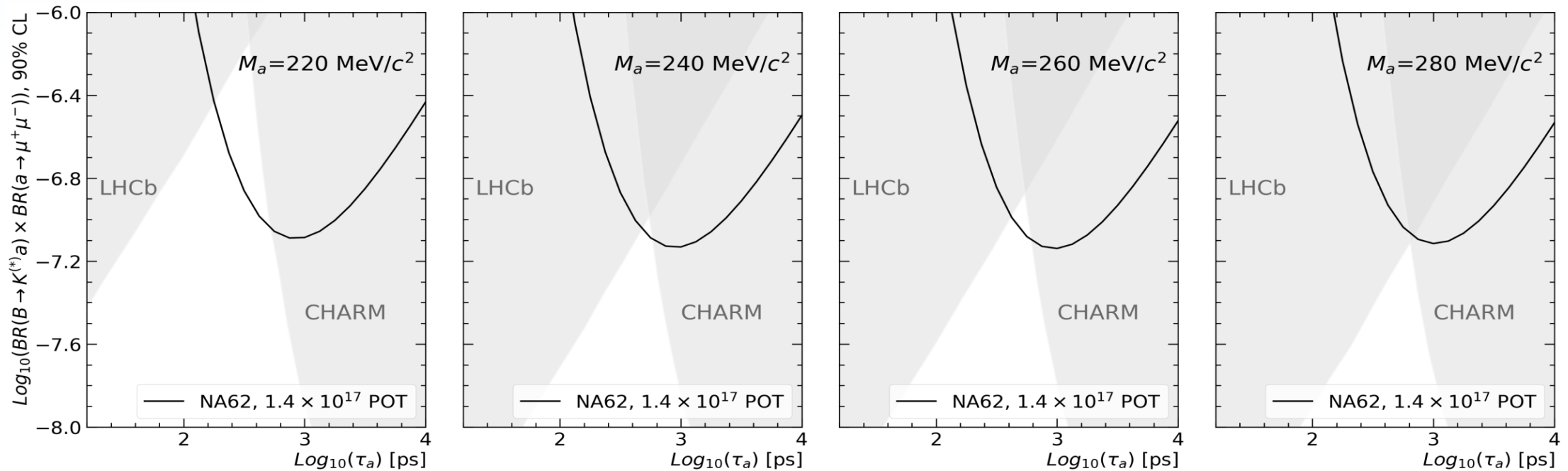
$A' \rightarrow \mu^+\mu^-$



ALP interpretation of $a \rightarrow \mu^+ \mu^-$

a : (pseudo)scalar produced in B decays

- free parameters: m , τ , coupling
- Set model-independent UL on $\text{BR}(B \rightarrow K a) \times \text{BR}(a \rightarrow \mu^+ \mu^-)$ for each (m, τ) combination

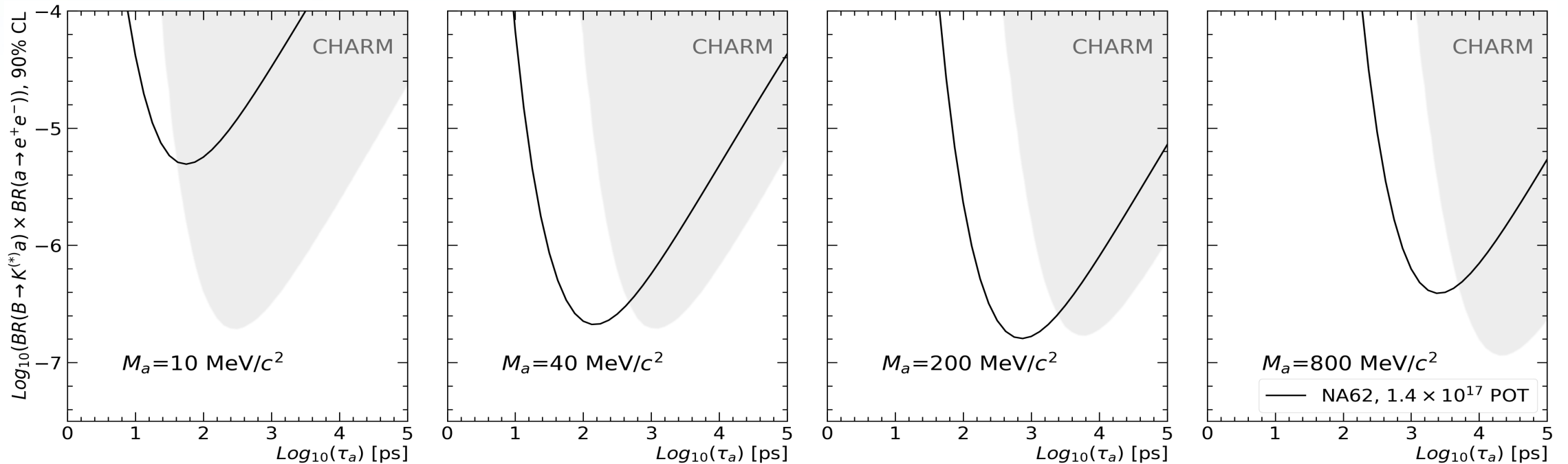


Limits of previous experiments extended for $m < 280$ MeV

ALP interpretation of $a \rightarrow e^+e^-$

a : (pseudo)scalar produced in B decays

- free parameters: m , τ , coupling
- Set model-independent UL on $\text{BR}(B \rightarrow K^* a) \times \text{BR}(a \rightarrow e^+e^-)$ for each (m, τ) combination



Limits of previous experiments extended for $10 < m < 800 \text{ MeV}$

Conclusions

Search for NP in the standard mode

- ❑ $K^+ \rightarrow \mu^- \nu e^+ e^+$: UL(BR) $< 8.1 \times 10^{-11}$, factor of 250 improvement
- ❑ $K^+ \rightarrow \pi^+ a a$, $a \rightarrow e^+ e^-$: UL(BR) for $10 < m_a < 170$ MeV, explanation of the 17 MeV anomaly with QCD axion excluded
- ❑ $K^+ \rightarrow \pi^+ S \rightarrow A' A'$, $A' \rightarrow e^+ e^-$: UL(BR) for $20 < m_S < 340$ MeV, $10 < m_{A'} < 170$ MeV

Search for NP in the beam dump mode

- ❑ NA62 collected 1.40×10^{17} POT in the beam dump mode in 2021
- ❑ Dark photon search performed in $A' \rightarrow \mu^+ \mu^-$ and $A' \rightarrow e^+ e^-$ decays
- ❑ Obtained upper limits exclude new regions in the (ϵ, m) parameter space

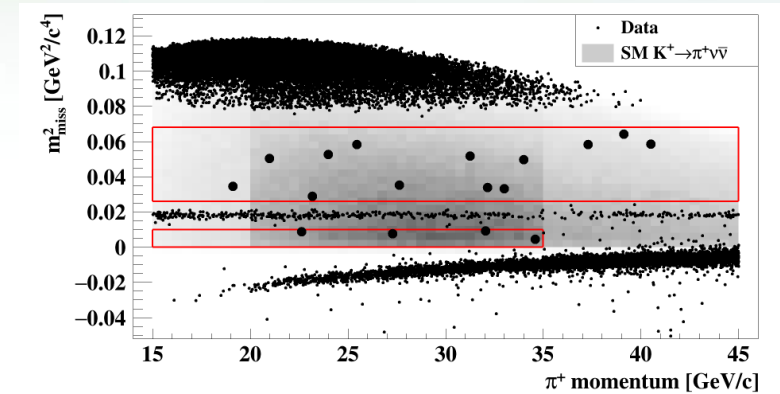
Future plans

- Collect data in 2024-2025 (both standard and beam dump mode)
- Beam dump data analysis: search for exotic particles decaying to $(\gamma \gamma)$, $(\pi^+ \pi^- \gamma)$ states
- ...

Spare

NA62 main goal: $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})$

2018 data sample



Data	N(K decays)	N(K $\rightarrow\pi\nu\nu$ candidates)	publication
2016	2×10^{11}	1	PBL 791 (2019) 156
2017	2×10^{12}	2	JHEP 11 (2020) 042
2018	4×10^{12}	17	JHEP 06 (2021) 093

Combined result:

JHEP 06 (2021) 93

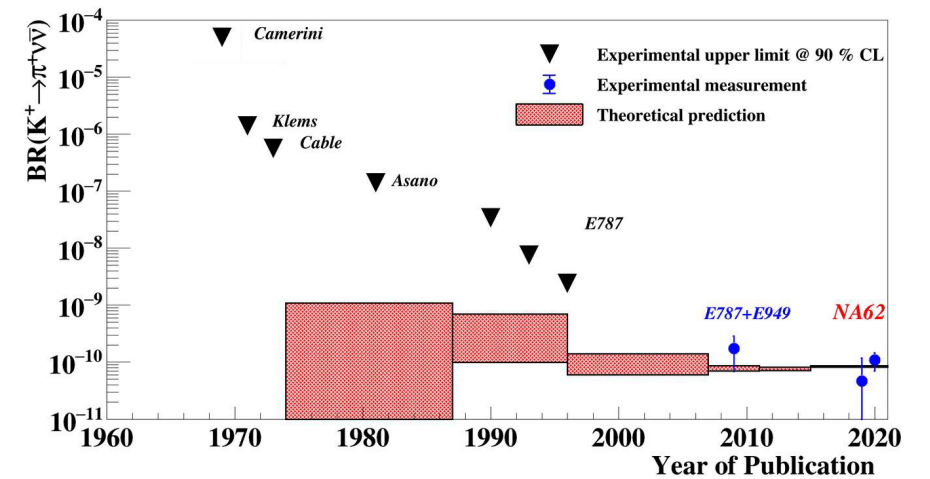
$$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (10.6_{-3.4}^{+4.0}|_{\text{stat}} \pm 0.9_{\text{syst}}) \times 10^{-11} \text{ at } 68\% \text{ CL}$$

Statistical significance:

$$p = 3.4 \times 10^{-4} \text{ (} 3.4 \sigma \text{)}$$



First evidence of the decay



Search for $K^+ \rightarrow \pi^+ S$

JHEP 06 (2021) 93

Data:

Run 1 (2016-2018)

Analysis strategy

- Selection, normalisation and bkg evaluation from the $\pi\nu$ analysis
- Signal acceptance: generate MC samples for 200 mass hypotheses
- Shape analysis of the m_{miss}^2 distribution to search for a signal peak

