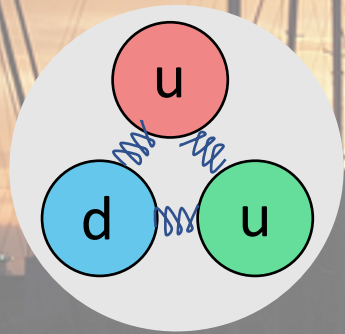


Transversity 2024

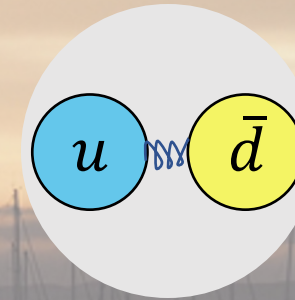
Trieste, 3-7 June 2024

Gravitational form factors from lattice QCD



Dimitra Anastasia Pefkou

UC Berkeley/LBNL



Berkeley
UNIVERSITY OF CALIFORNIA

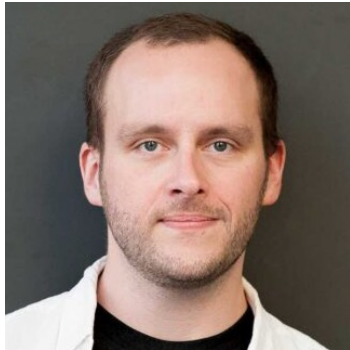


BERKELEY LAB

7th international workshop on
transverse phenomena in hard processes

In collaboration with:

1. [**DAP** Hackett Shanahan PRD (2022) [arXiv:2107.10368](#)]
2. [Hackett Oare **DAP** Shanahan PRD (2023) [arXiv:2307.11707](#)]
3. [Hackett **DAP** Shanahan PRL (2024) [arXiv:2310.08484](#)]



Dan Hackett
FNAL



Phiala Shanahan
MIT



Patrick Oare
MIT

Energy-momentum tensor (EMT) and Noether's theorems

The energy-momentum tensor is the conserved current under spacetime translational symmetry

- Noether's theorems: Conserved current under $x^\mu \rightarrow x'^\mu$
 - 1st (global) : $x'^\mu = x^\mu + \epsilon^\mu$
 - 2nd (local) : $x'^\mu = x^\mu + \epsilon^\mu(x)$
- QCD : $\mathcal{L}_{QCD} = -\frac{1}{4}F^{a,\mu\nu}F_{\mu\nu}^a + \sum_f[\bar{\psi}_f i \gamma^\mu D_\mu \psi_f + m_f \bar{\psi}_f \psi_f]$
- $\mathcal{L}_{QCD} \xrightarrow{\text{Noether's 1st theorem}}$ Canonical EMT (not symmetric)
- Canonical EMT $\xrightarrow{\text{Belinfante improvement}}$ Belinfante-improved EMT (symmetric)
- Belinfante improvement adds $c \partial_\alpha \Theta^{\alpha\mu\nu}$ term with $c = 1$, but could also have $c \neq 1$
 → Different operators (and form factors) for different choices (Hudson Schweitzer PRD 2017)
- * Newer work: Freese PRD 2022: $\mathcal{L}_{QCD} \xrightarrow{\text{Noether's 2nd theorem}}$ recover Belinfante-improved EMT ?



Contents of this talk

- Introduction
- Bare gravitational form factors (GFFs) from lattice QCD
- Non-perturbative renormalization
- GFFs of the proton, pion, and other hadrons: selected results

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Gravitational form factors

Gravitational form factors are the form factors of the energy-momentum tensor

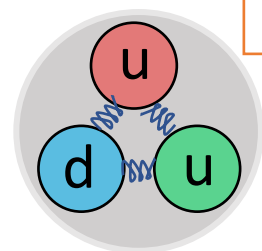
$$T^{\mu\nu} = \underbrace{-F_a^{\mu\alpha} F_{a,\alpha}^{\nu} + \frac{1}{4} g^{\mu\nu} F_a^{\alpha\beta} F_{a,\alpha\beta}}_{T_g^{\mu\nu}} + \underbrace{\sum_f i\bar{\psi}_f \gamma^\mu D^\nu \psi_f}_{T_q^{\mu\nu}}$$

increases with spin

- $\langle p', s' | T_i^{\mu\nu} | p, s \rangle \sim$ Kinematic coefficients (Lorentz structure) \times Gravitational form factors $G_i(t)$ (scalar functions of $t = -(p' - p)^2$)
- $\partial_\mu T_i^{\mu\nu} \neq 0 \rightarrow G_q(t), G_g(t)$ renormalization scheme and scale dependent
- $\partial_\mu T^{\mu\nu} = 0 \rightarrow G(t) \equiv G_{q+g}(t)$ scheme and scale independent
- Poincaré symmetry constraints, e.g., $\int d^3x T^{00} |p, s\rangle = m |p, s\rangle$, encoded in $G(t)$
- Proton: $\langle p', s' | T_i^{\mu\nu} | p, s \rangle \sim A_i(t), J_i(t), D_i(t), \bar{c}_i(t)$
 totals: $A(0) = 1$, $J(0) = \frac{1}{2}$, $\bar{c}(t) = 0$, $D(0) = ?$
 momentum angular momentum conserved "The last global unknown"
 Polyakov Schweitzer 2018

T^{00}	T^{01}	T^{02}	T^{03}	energy
T^{10}	T^{11}	T^{12}	T^{13}	momentum density
				energy flux
T^{20}	T^{21}	T^{22}	T^{23}	pressure
				shear stress
T^{30}	T^{31}	T^{32}	T^{33}	momentum flux

Gravitational form factors encode the distribution of energy, angular momentum, and mechanical properties within hadrons



Gravitational FFs \ni Generalized FFs

The second Mellin moment of generalized parton distributions yields the gravitational form factors (generalized form factors)

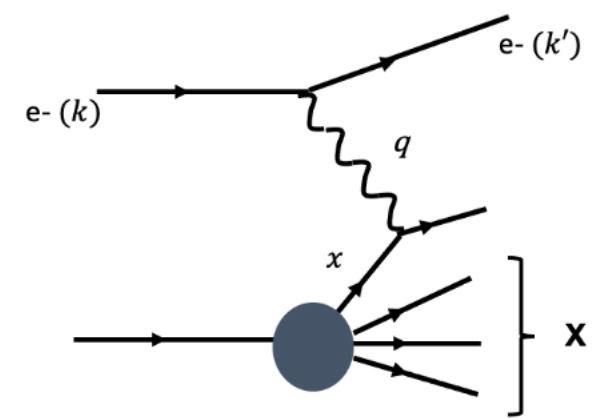
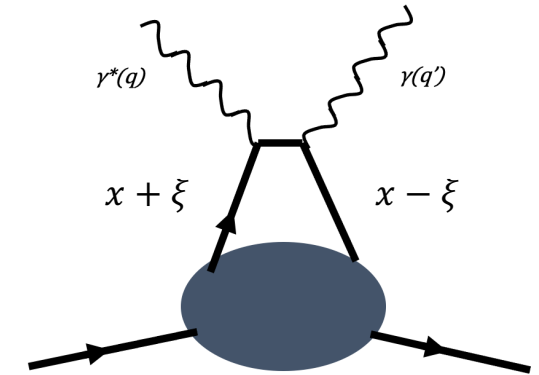
$$x = \frac{-q^2}{2p^\mu q_\mu}$$

$$q = p' - p$$

$$\xi = \frac{p^+ - p'^+}{p^+ + p'^+}$$

- Quark and gluon generalized parton distributions (GPDs) \sim matrix elements of $\bar{\psi}(-n/2)\gamma^\mu \mathcal{U} \psi(n/2), F^{\mu\alpha}(-n/2)\mathcal{U}F_\alpha^\nu(n/2)$
- Operator product expansion \rightarrow tower of local operators
lowest order: **traceless** $\hat{T}_q^{\mu\nu}, \hat{T}_g^{\mu\nu}$ (twist-2)
- Proton: $\int_{-1}^1 dx x H_i(x, \xi, t) = A_i(t) + \xi^2 D_i(t), \int_{-1}^1 dx x E_i(x, \xi, t) = B_i(t) - \xi^2 D_i(t)$
- Forward limit $t = 0$: 2nd Mellin moment of parton distribution functions (PDFs)
e.g. $\int_0^1 dx x f_i(x) = A_i(0)$

path-ordered gauge link
light-like vector
 $B(t) = 2J(t) - A(t)$



Constraints on GFFs: examples

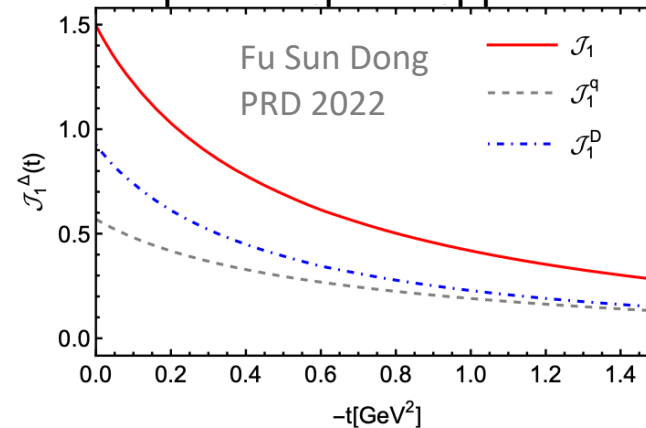
* see e.g. Burkert et al
Rev.Mod.Phys. 2023 for
review

The GFFs have gained increasing interest in recent years, after their first phenomenological extractions

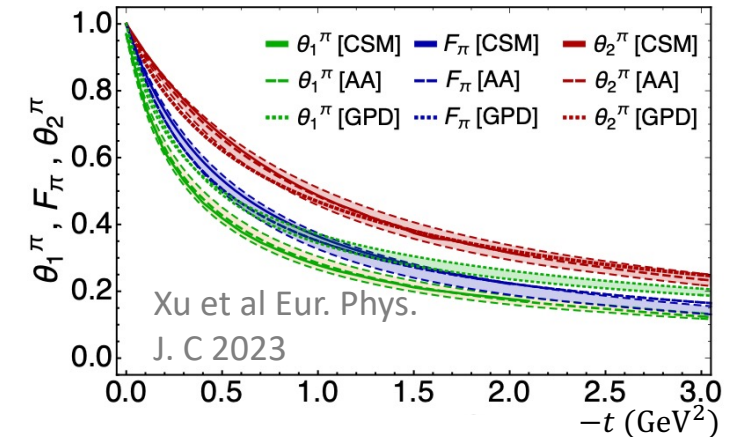
- Effective field theory and models

- chPT: $D(0) = -1$ for the Nambu Goldstone bosons in the chiral limit (generally unknown for hadrons)

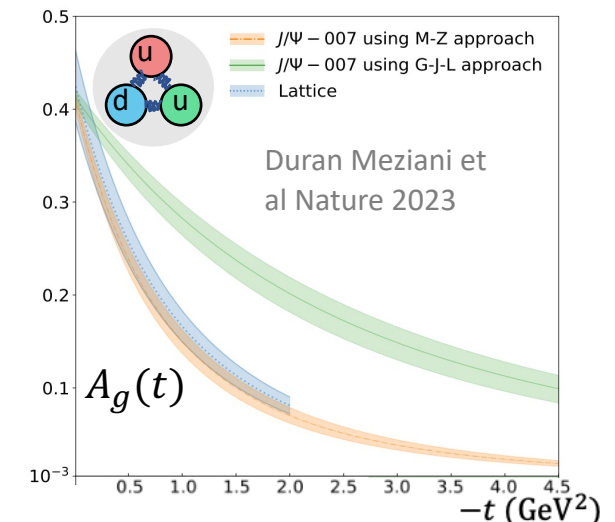
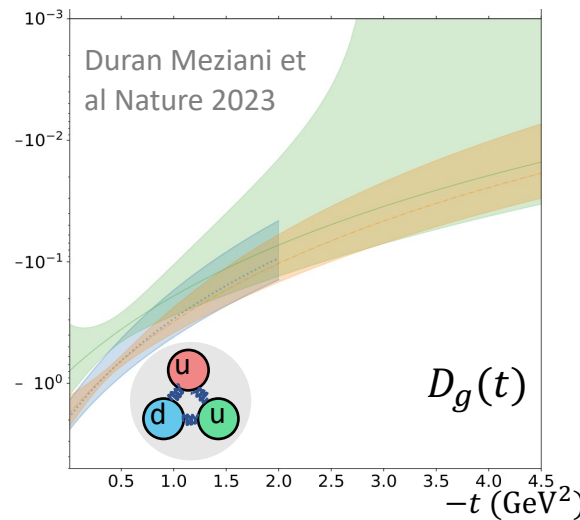
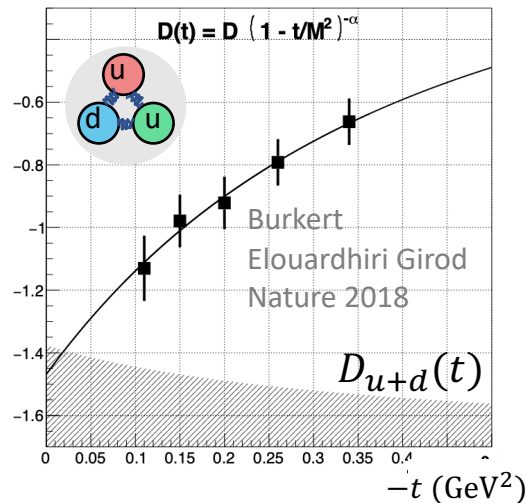
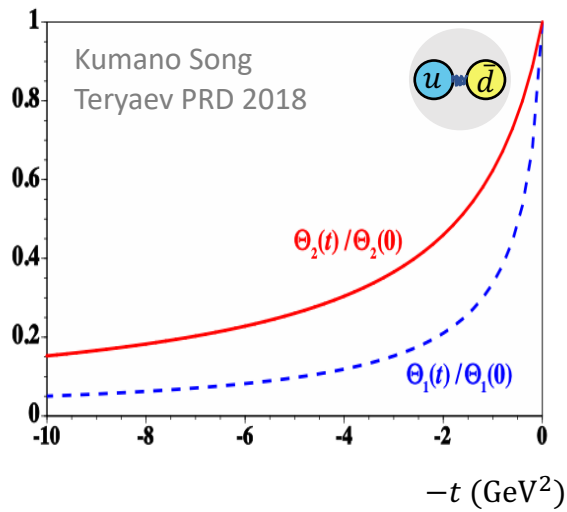
- Δ baryon in relativistic quark-diquark approach



- Continuum Schwinger function methods



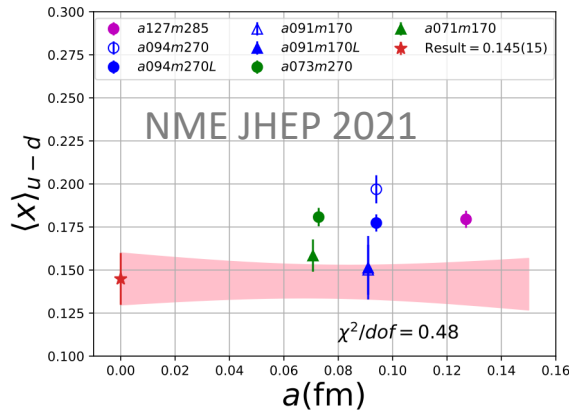
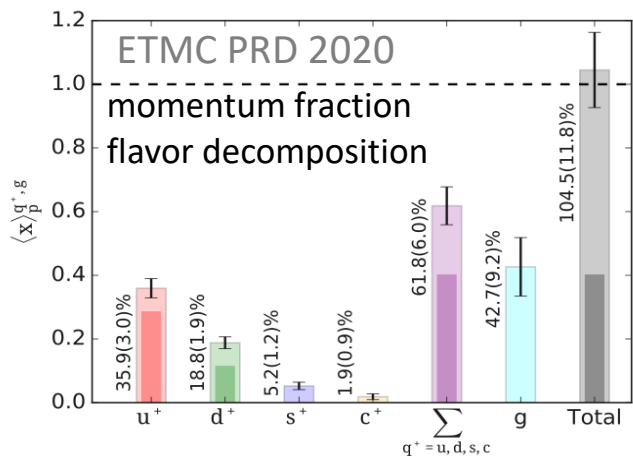
- Indirect experimental access (* Recent suggested direct access [Hatta PRD 2024])



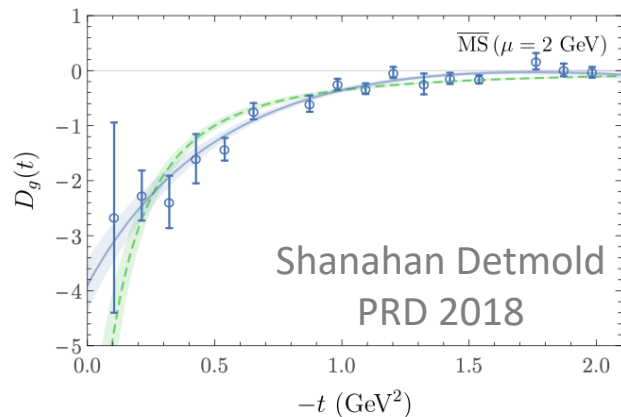
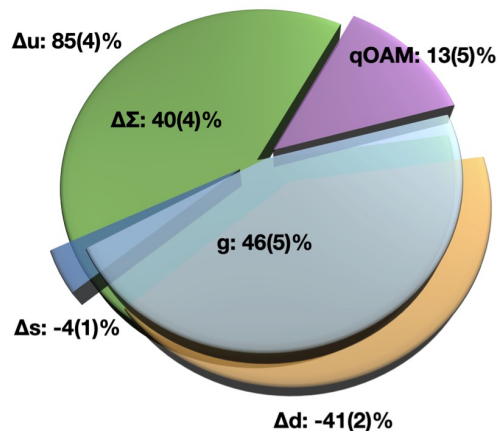
Constraints on GFFs from lattice QCD: examples

Older lattice QCD literature: connected quark momentum fraction and generalized form factors
 More recently: disconnected contributions to momentum and spin fraction, gluon GFFs

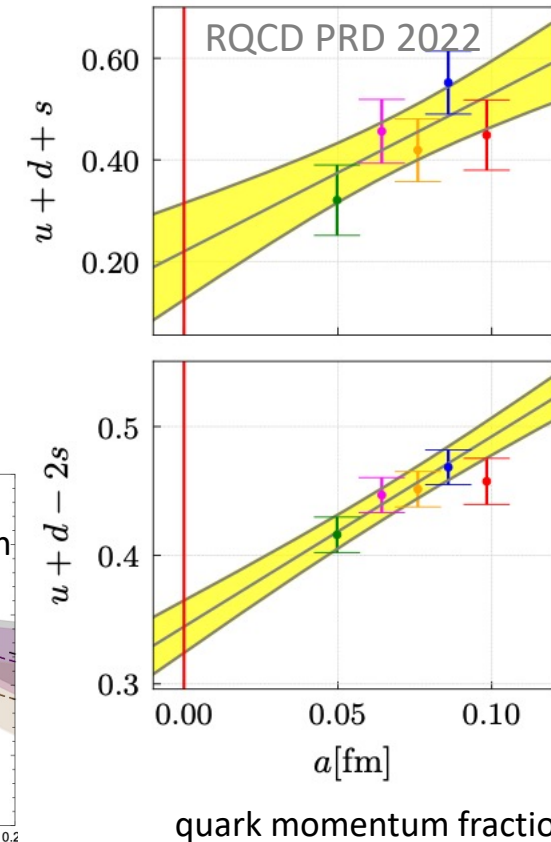
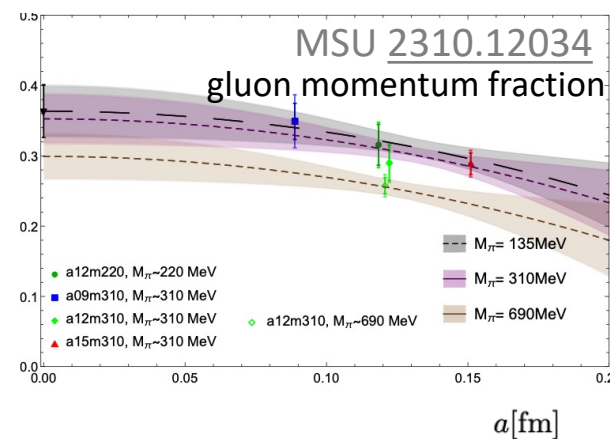
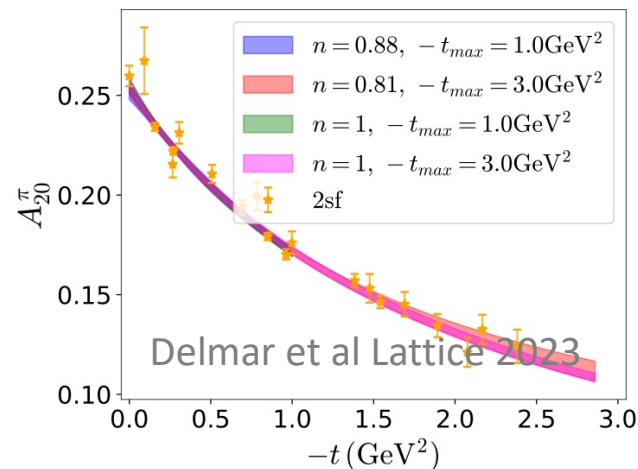
Proton



χ QCD PRD 2022



Pion



Contents of this talk

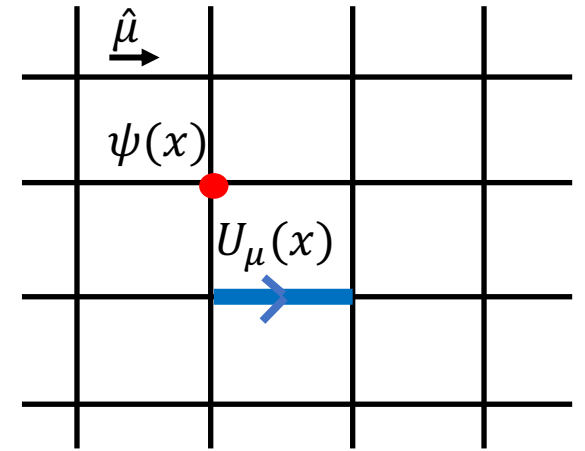
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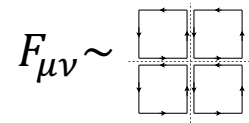
GFFs from lattice QCD: EMT



$$T^{\mu\nu} = -F_a^{\mu\alpha} F_{a,\alpha}^\nu + \frac{1}{4} g^{\mu\nu} F_a^{\alpha\beta} F_{a,\alpha\beta} + \sum_f i \bar{\psi}_f \gamma^{\{\mu} D^{\nu\}} \psi_f$$

$$= \sum_{i \in \{q, g\}} T_i^{\mu\nu}$$

- $T_i^{\mu\nu}$: write in terms of Euclidean lattice fields



$$F_{\mu\nu} \sim \begin{array}{c} \square \quad \square \\ \square \quad \square \end{array} \quad \begin{aligned} (\vec{D}_\mu \psi)(x) &= \frac{1}{2} \left(U_\mu(x) \psi(x + a\hat{\mu}) - U_\mu^\dagger(x - a\hat{\mu}) \psi(x - a\hat{\mu}) \right), \\ (\bar{\psi} \vec{D}_\mu)(x) &= \frac{1}{2} \left(\bar{\psi}(x + a\hat{\mu}) U_\mu^\dagger(x) - \bar{\psi}(x - a\hat{\mu}) U_\mu(x - a\hat{\mu}) \right) \end{aligned}$$

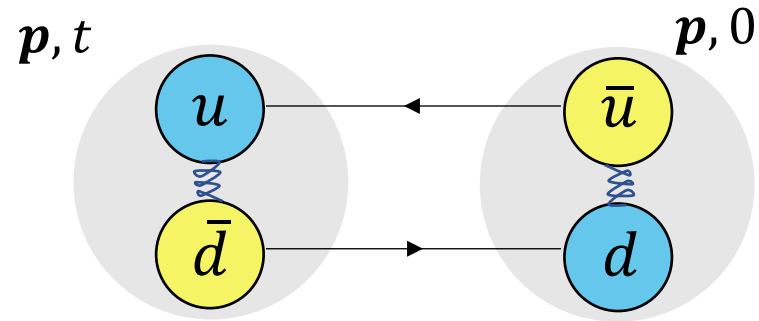
- $T_i^{\mu\nu}$: isotropic hypercubic lattice: Lorentz group $\rightarrow H(4)$
 symmetric traceless components transform under $\tau_1^{(3)}$ (diagonal), $\tau_3^{(6)}$ (off-diagonal)
 Gockeler et al PRD 1996

- $T_i^{\mu\nu}$: flavor singlet $q = u + d + s + \dots$ mixes with g
 non-singlet $u - d, u + d - 2s$ renormalize multiplicatively

Lattice simulation

	m_π (MeV)	a (fm)	$L^3 \times T$	N_f
Ens. A	450	0.12	$32^3 \times 96$	2 + 1
Ens. B	170	0.09	$48^3 \times 96$	2 + 1

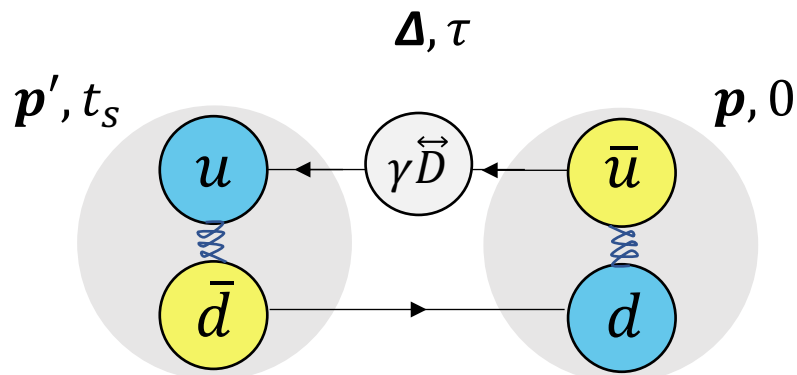
2-point functions $\sim e^{-E_p t}$, $E_p = \sqrt{m^2 + |\mathbf{p}|^2}$



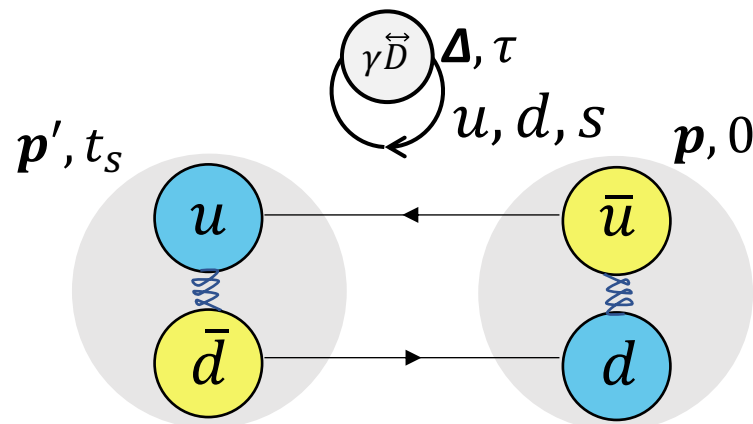
Clover-improved Wilson quarks, Lüscher-Weisz gauge action
generated by JLab/LANL/MIT/WM groups

3-point functions \sim Matrix elements $\langle h(p', s') | T_{q,g}^{\mu\nu} | h(p, s) \rangle$

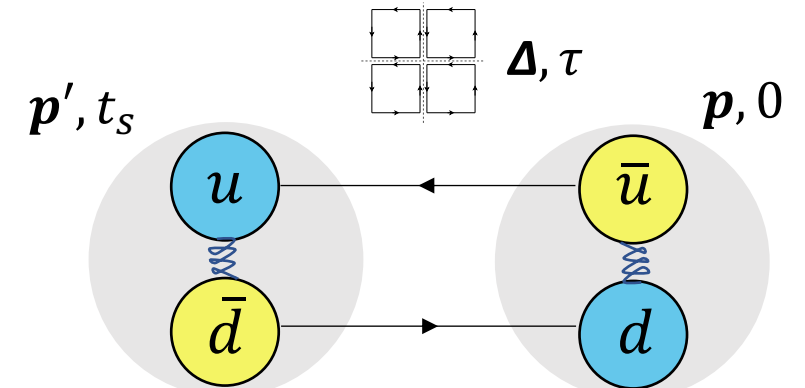
Connected contribution



Disconnected contribution

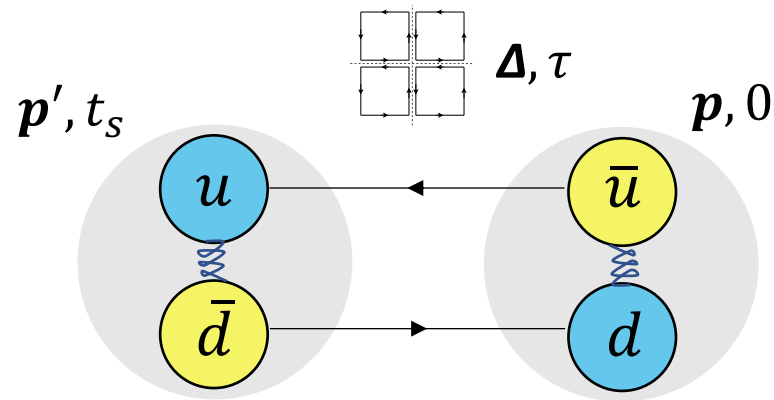


Gluon contribution



Gluon GFFs of the pion, rho meson, proton, and delta baryon

DAP, Hackett, Shanahan PRD (2022)



	m_π (MeV)	a (fm)	$L^3 \times T$	N_f
Ens. A	450	0.12	$32^3 \times 96$	2 + 1

Clover-improved Wilson quarks, Lüscher-Weisz gauge action generated by JLab/LANL/MIT/WM groups

→ 2820 configurations

→ $\frac{t_{\text{flow}}}{a^2} = 1$

→ 235 sources

→ $|\Delta|^2 \leq 18 \left(\frac{2\pi}{L}\right)^2$

→ $|\mathbf{p}'|^2 \leq 10 \left(\frac{2\pi}{L}\right)^2$

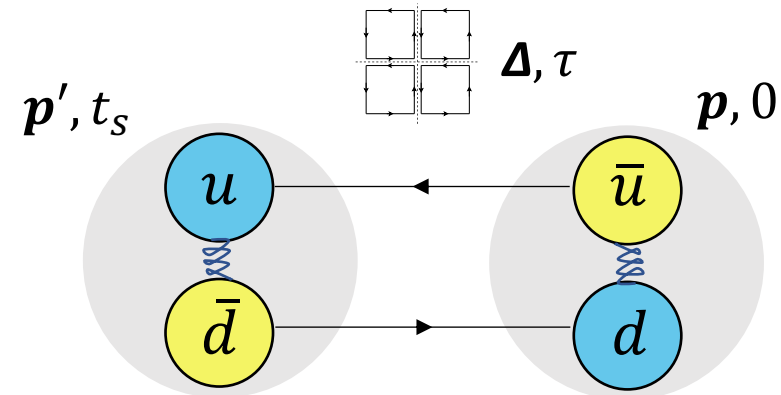
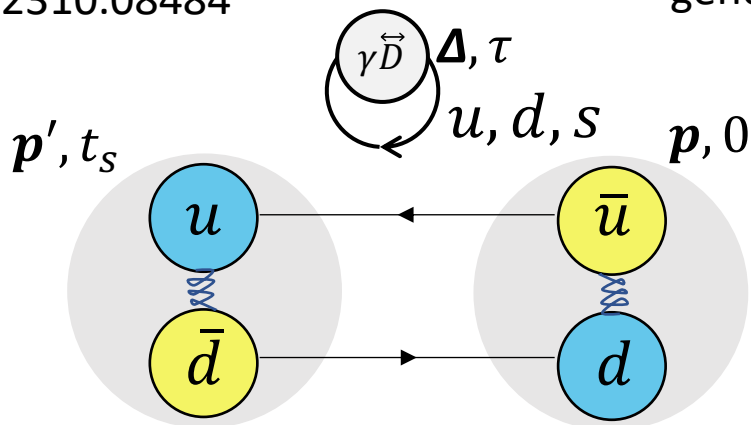
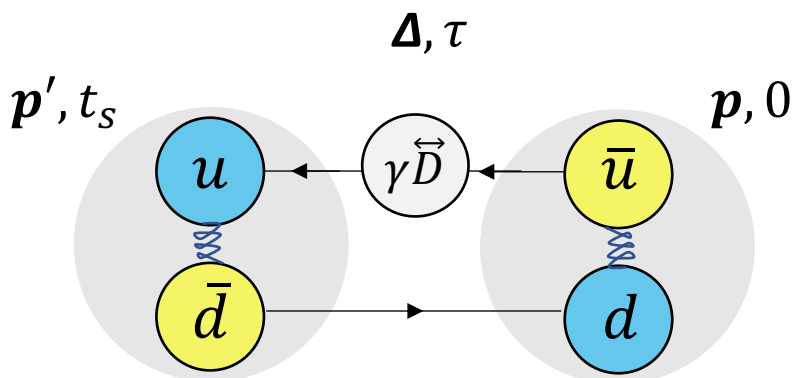
Quark and gluon GFFs

	m_π (MeV)	a (fm)	$L^3 \times T$	N_f
Ens. B	170	0.09	$48^3 \times 96$	2 + 1

Pion: Hackett, Oare, **DAP**, Shanahan PRD (2023)

Proton: Hackett, **DAP**, Shanahan 2310.08484

Clover-improved Wilson quarks, Lüscher-Weisz gauge action generated by JLab/LANL/MIT/WM groups



Connected contribution

→ 1381 configurations

→ sequential sources

→ $t_s \in \{6 - 18\}$

→ $|\Delta|^2 \leq 25 \left(\frac{2\pi}{L}\right)^2$

→ $\mathbf{p}' \in \{(1, -1, 0), (-2, -1, 0), (-1, -1, -1)\} 2\pi/L$

Disconnected contribution

→ 1381 configurations

→ Z_4 noise, hierarchical probing, 512 Hadamard vectors

→ 1024 sources

→ $|\Delta|^2 \leq 25 \left(\frac{2\pi}{L}\right)^2$

→ $|\mathbf{p}'|^2 \leq 10 \left(\frac{2\pi}{L}\right)^2$

Gluon contribution

→ 2511 configurations

→ $\frac{t_{\text{flow}}}{a^2} = 2$

→ 1024 sources

→ $|\Delta|^2 \leq 25 \left(\frac{2\pi}{L}\right)^2$

→ $|\mathbf{p}'|^2 \leq 10 \left(\frac{2\pi}{L}\right)^2$

Matrix elements \rightarrow bare GFFs

- From 2- and 3-point functions, extract $\langle h(\mathbf{p}, s) | T_i^{\mu\nu} | h(\mathbf{p}', s') \rangle$ for several kinematic combinations $\mathbf{p}', \Delta, s, s', \mu, \nu$

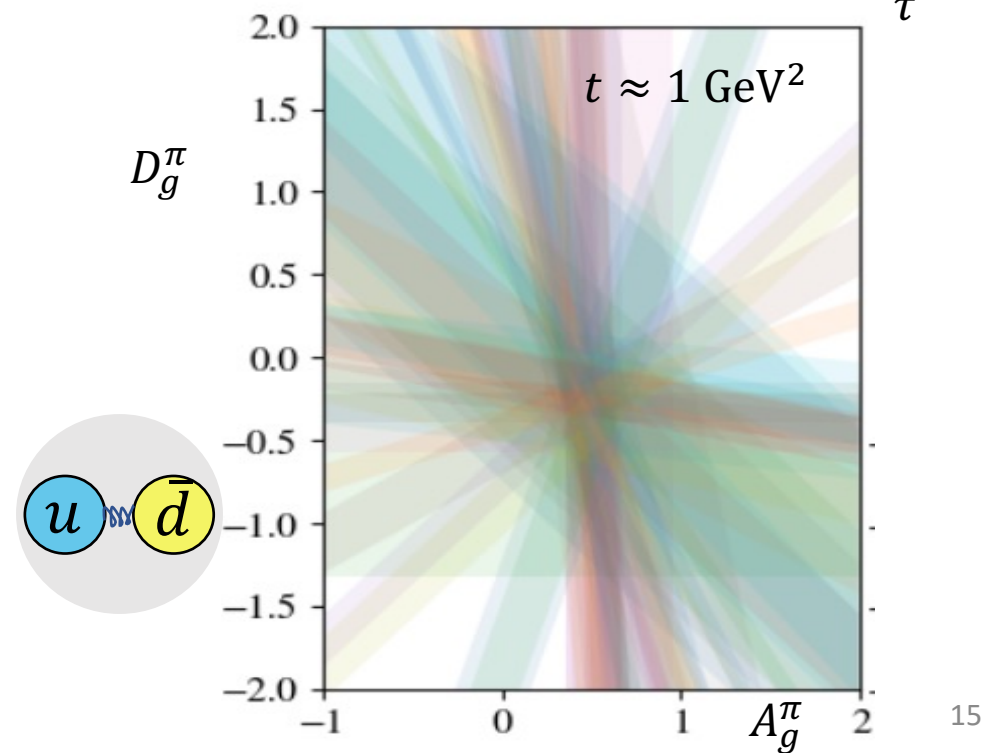
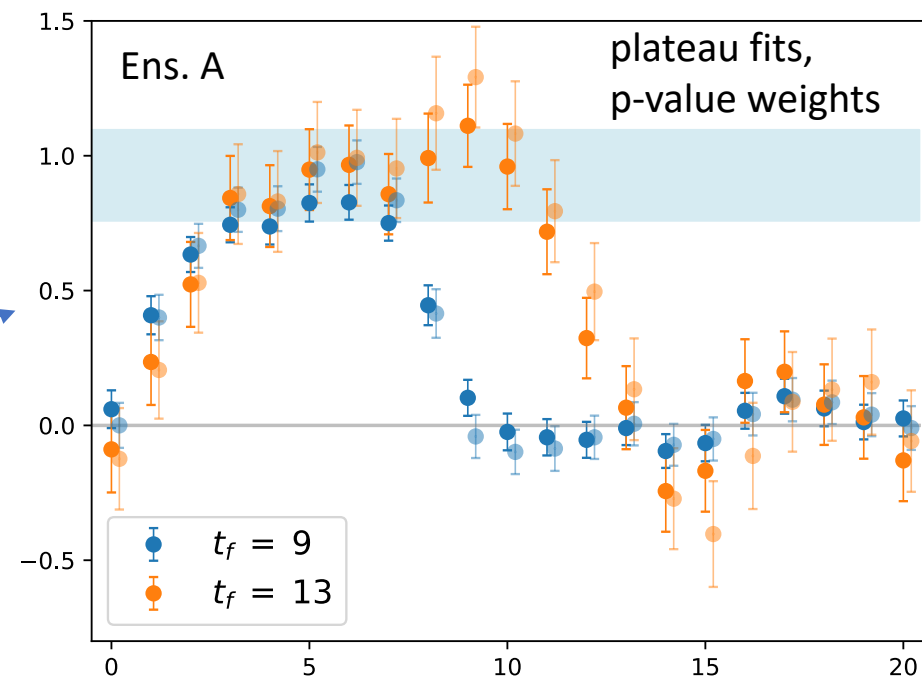
$$R_{\mu\nu}(\mathbf{p}', t_s, \Delta, \tau) = \frac{C_{\mu\nu}^{3pt}(\mathbf{p}', t_s, \Delta, \tau)}{C^{2pt}(\mathbf{p}', t_s)} \sqrt{\frac{C^{2pt}(\mathbf{p}, t_s - \tau) C^{2pt}(\mathbf{p}', t_s) C^{2pt}(\mathbf{p}', \tau)}{C^{2pt}(\mathbf{p}', t_s - \tau) C^{2pt}(\mathbf{p}, t_s) C^{2pt}(\mathbf{p}, \tau)}}$$

Model average over Euclidean time ranges

Jay Neil PRD 2021
Rinaldi et al PRL 2019
NPLQCD PRL 2015

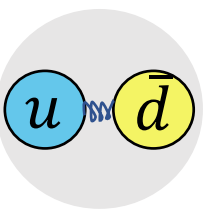
- $\langle h(\mathbf{p}, s) | T_i^{\mu\nu} | h(\mathbf{p}', s') \rangle \sim$ Coefficients \times GFFs ($t = \Delta^2$)
Partition into momentum bins with equal or similar values of t , solve over-constrained linear systems
 \rightarrow bare GFFs at discrete values of t

Connected contribution: sequential-source through the sink \rightarrow limited \mathbf{p}'
choose such that GFFs can be resolved



$\tau_1^{(3)}$: diagonal elements irrep
 $\tau_3^{(6)}$: off-diagonal elements irrep

Pion connected quark contribution

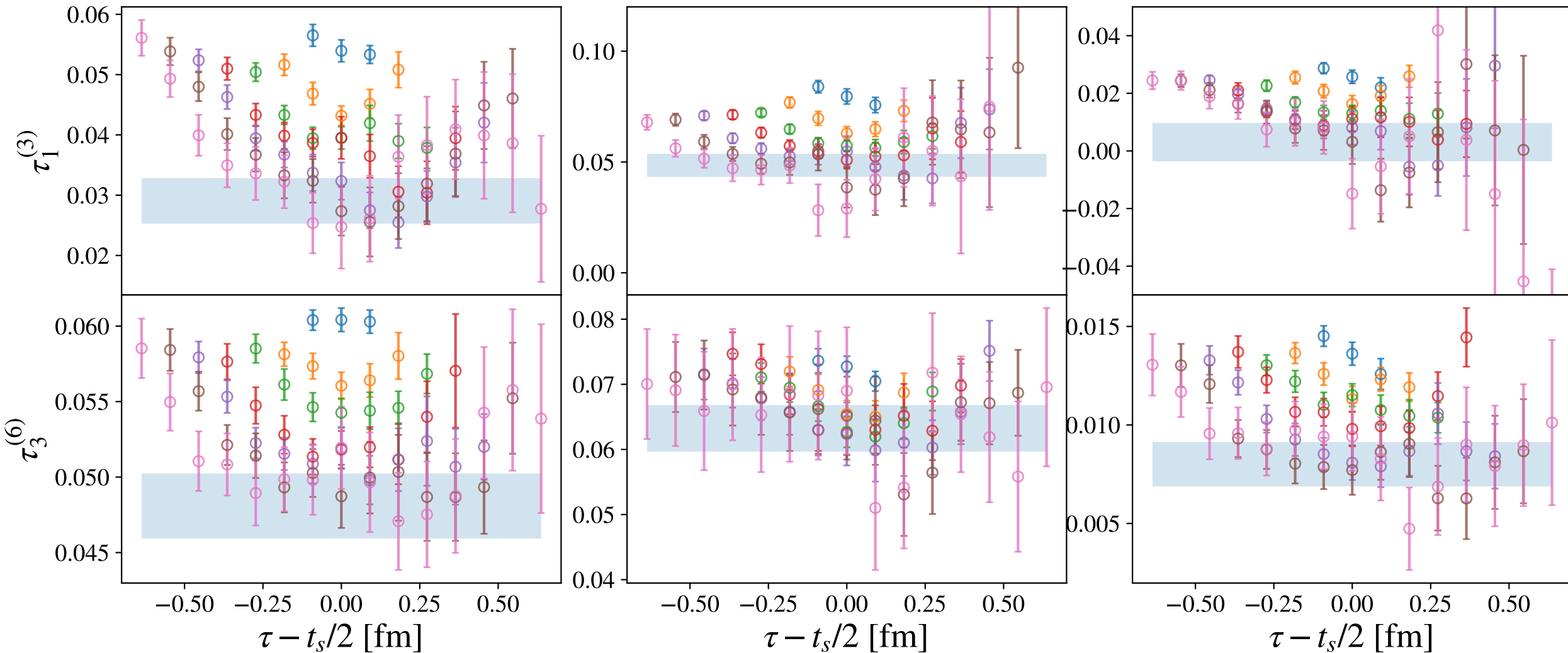


linear summation, summation + exponential, AIC weights Ens. B

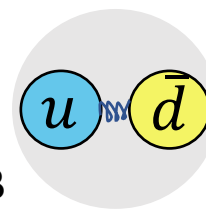
$-t = 0.03 \text{ GeV}^2$

$-t = 0.25 \text{ GeV}^2$

$-t = 0.82 \text{ GeV}^2$

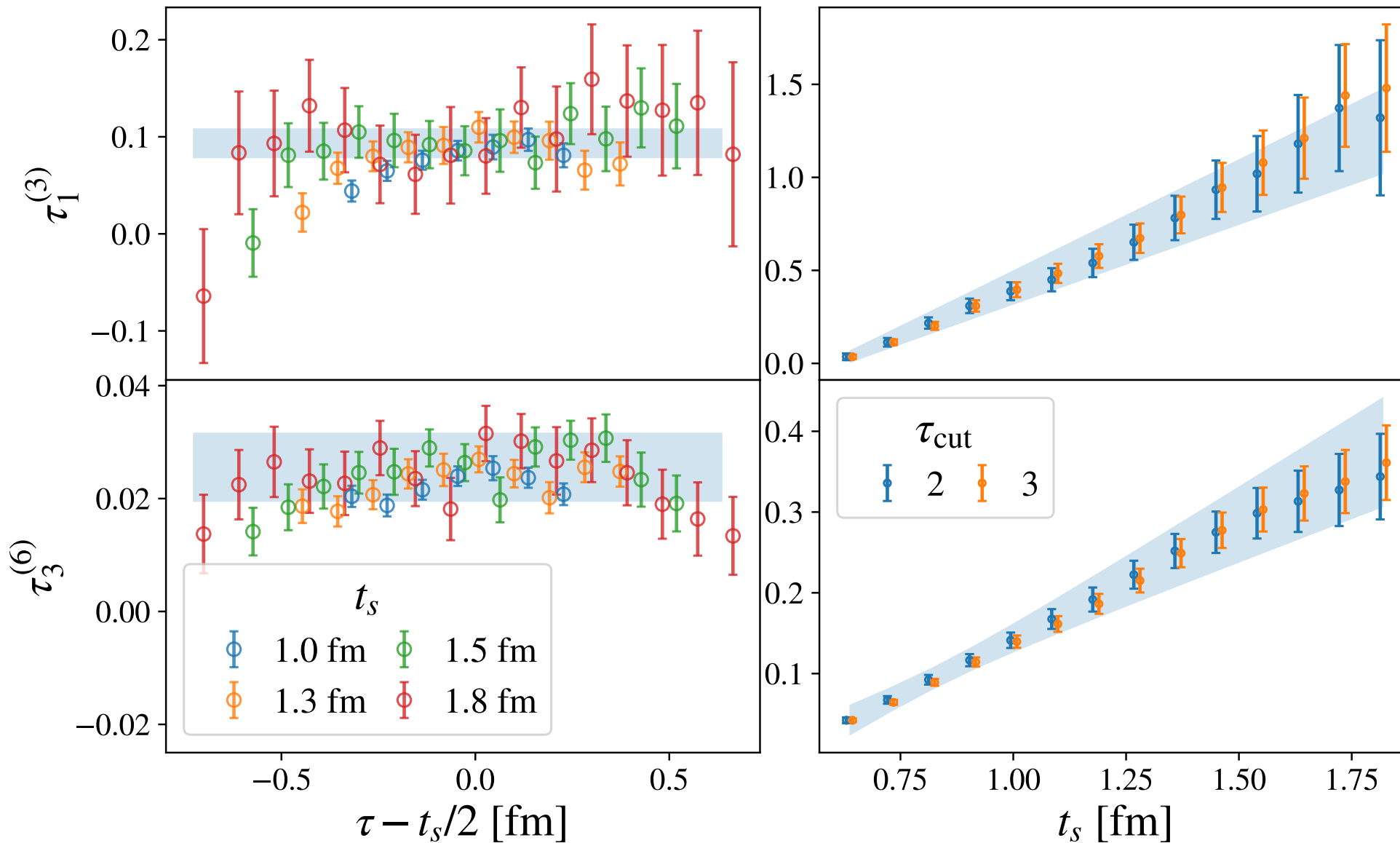


Pion disconnected quark contribution

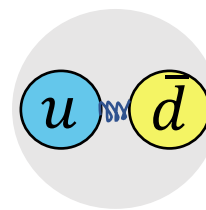


linear summation, AIC weights Ens. B

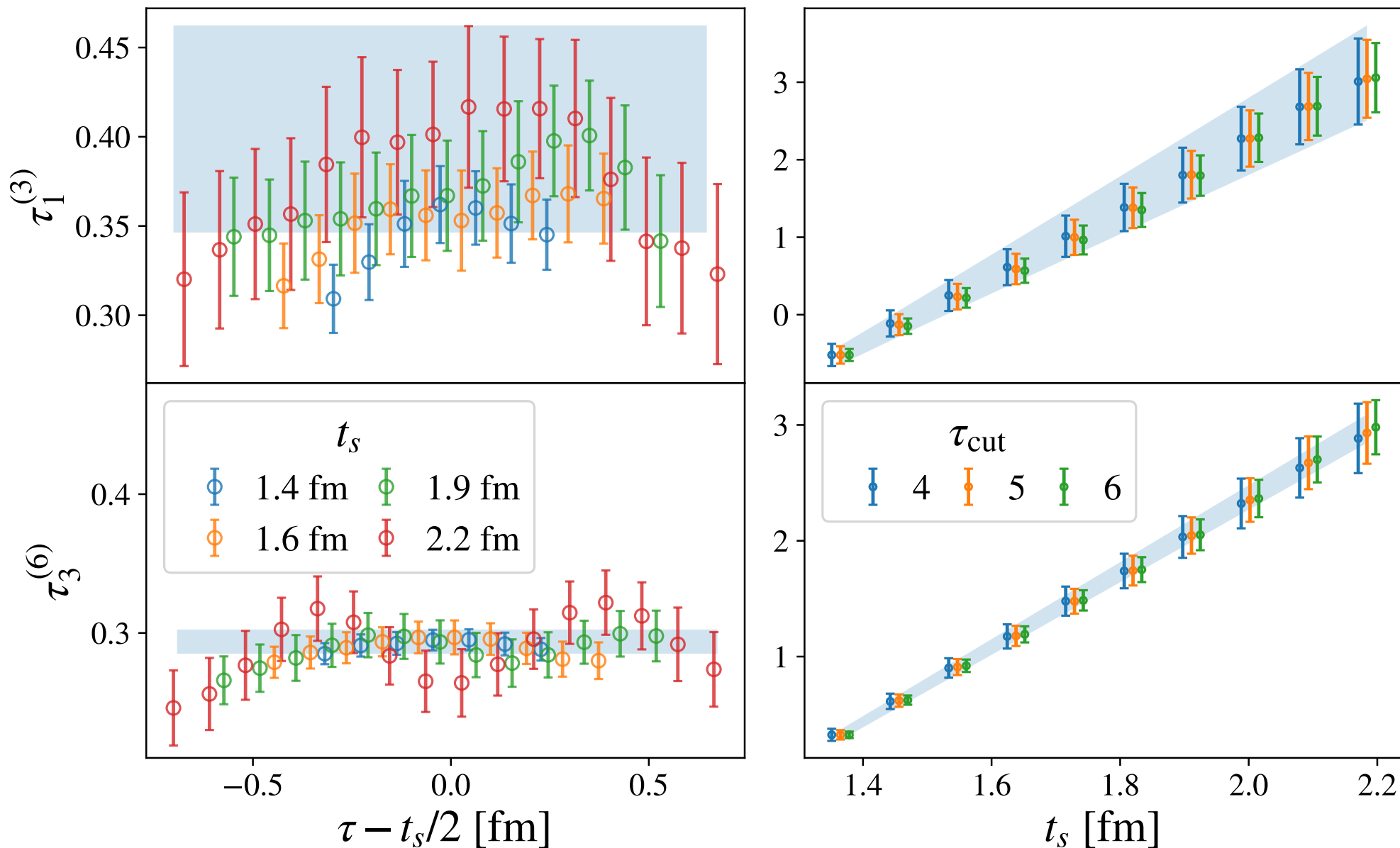
$-t = 0.08 \text{ GeV}^2$



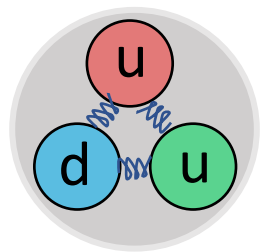
Pion gluon contribution



$-t = 0.13 \text{ GeV}^2$ linear summation, AIC weights Ens. B



Proton



Ens. B $\tau_1^{(3)}$

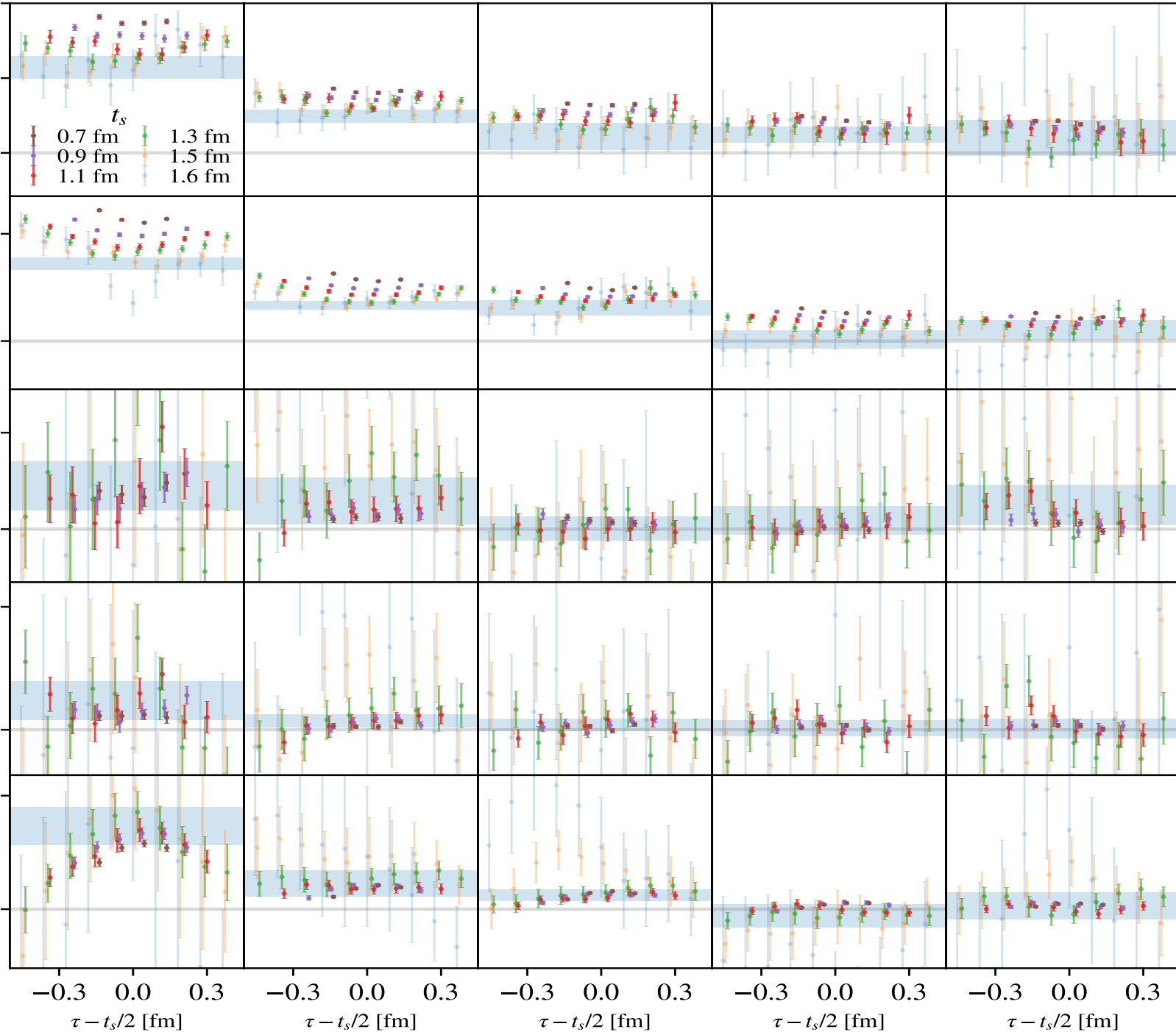
$u - d$

$u + d - 2s$
conn.

$u + d + s$
disco.

$u + d - 2s$
disco.

g



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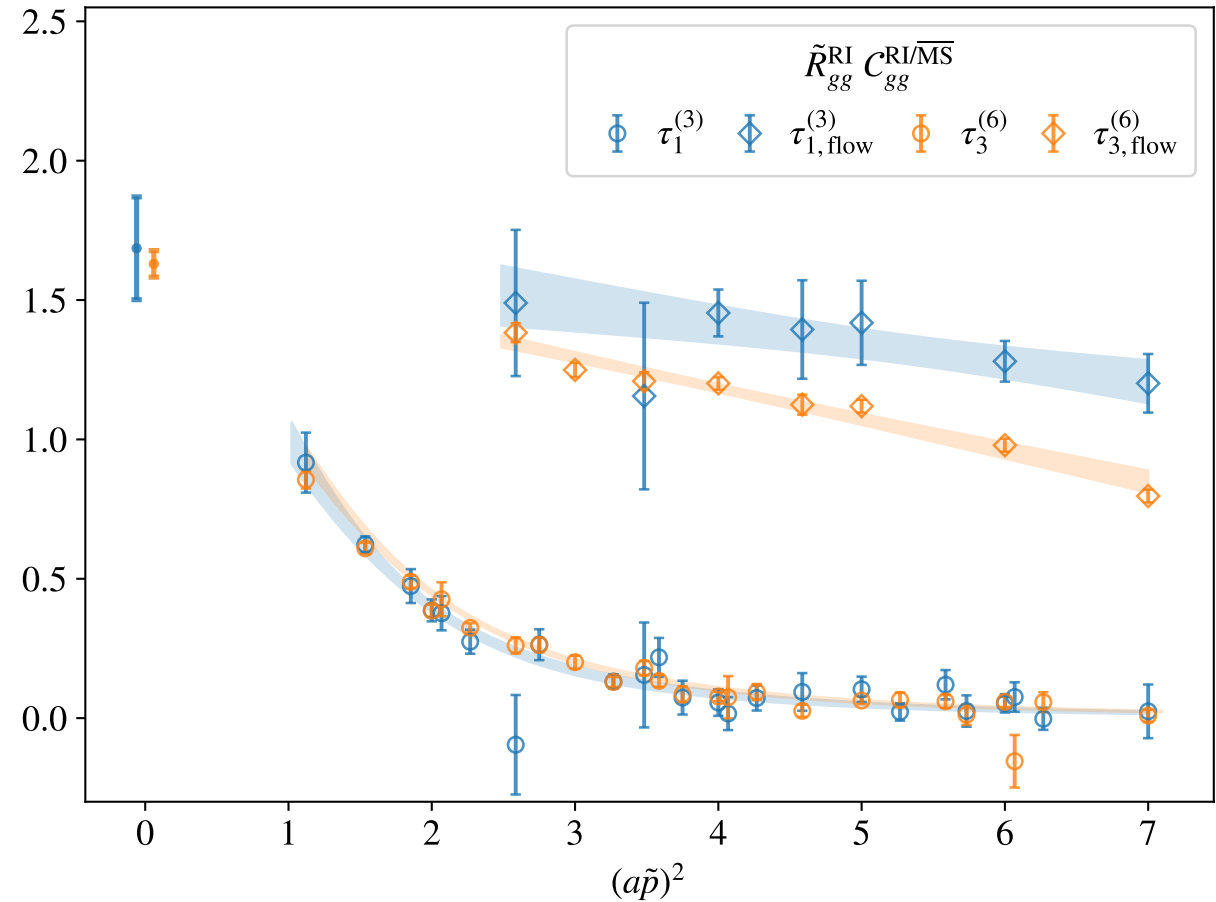
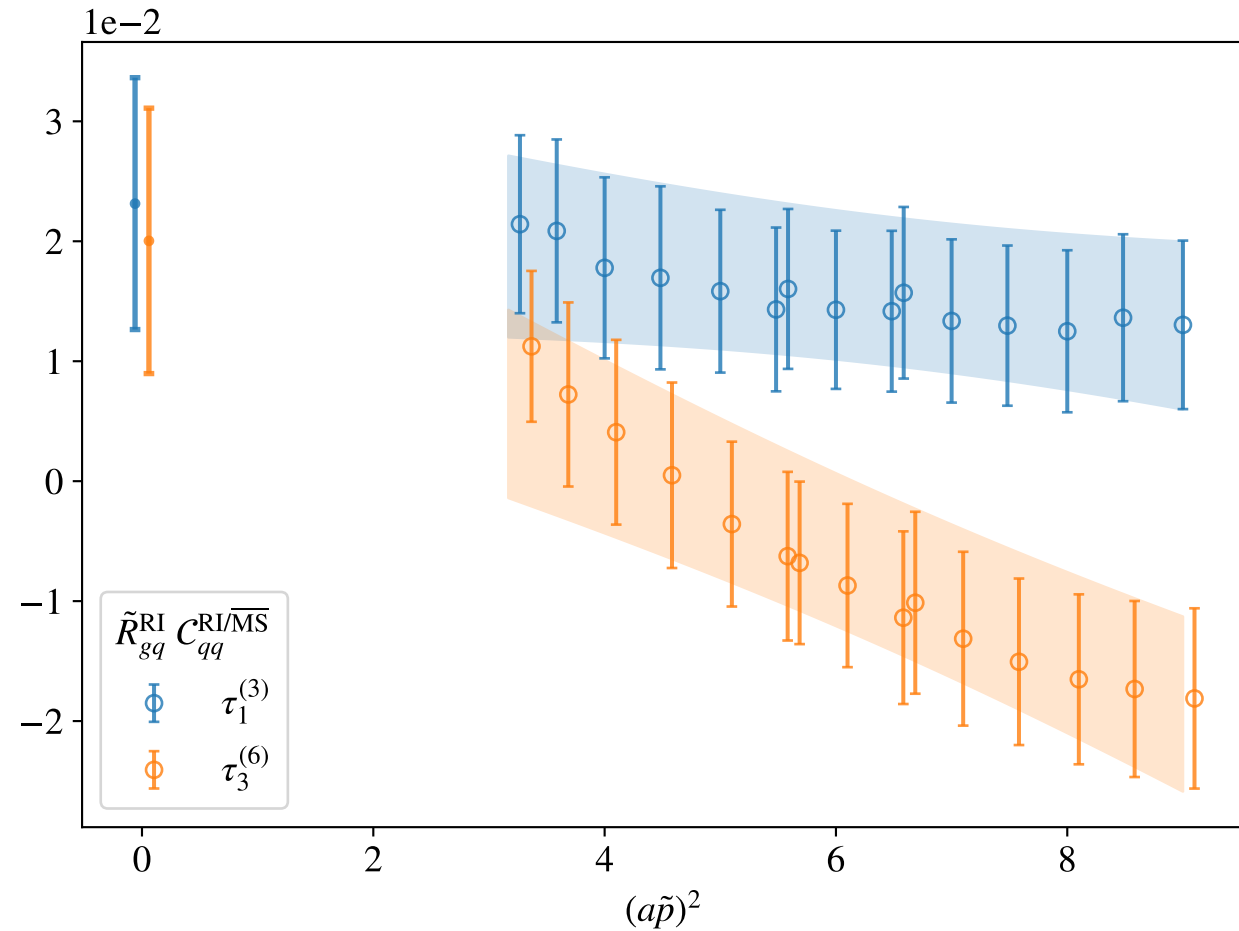
Renormalization

$$\mathcal{R} \in \{\tau_1^{(3)}, \tau_3^{(6)}\}$$

m_π (MeV)	a (fm)	$L^3 \times T$	N_f
450	0.12	$12^3 \times 24$	2 + 1

- $$\begin{pmatrix} T_q^{\overline{\text{MS}}} \\ T_g^{\overline{\text{MS}}} \end{pmatrix} = \begin{pmatrix} Z_{qq\mathcal{R}}^{\overline{\text{MS}}} & Z_{qg\mathcal{R}}^{\overline{\text{MS}}} \\ Z_{gq\mathcal{R}}^{\overline{\text{MS}}} & Z_{gg\mathcal{R}}^{\overline{\text{MS}}} \end{pmatrix} \begin{pmatrix} T_{q\mathcal{R}}^{\text{bare}} \\ T_{g\mathcal{R}}^{\text{bare}} \end{pmatrix}$$
 : quark isosinglet and gluon mix under renormalization
 - $T_v^{\overline{\text{MS}}} = Z_{v\mathcal{R}}^{\overline{\text{MS}}} T_{v\mathcal{R}}^{\text{bare}}$, $T_v = T_u + T_d - 2T_s$: non-singlet does not mix in the chiral limit
 - Compute non-perturbatively via the RI-MOM scheme, convert to $\overline{\text{MS}}$ scheme at $\mu = 2$ GeV using two-loop matching coefficients (Panagopoulos et al PRD 2021)
 - For regular volume ensembles, gluon and disconnected have intractable noise
 → Use smaller volume ensemble to get renormalization factors (different spacing)
- $$\begin{pmatrix} Z_{qq\mathcal{R}}^{\overline{\text{MS}}} & Z_{qg\mathcal{R}}^{\overline{\text{MS}}} \\ Z_{gq\mathcal{R}}^{\overline{\text{MS}}} & Z_{gg\mathcal{R}}^{\overline{\text{MS}}} \end{pmatrix}^{-1}(\mu^2) = \begin{pmatrix} R_{qq\mathcal{R}}^{\text{RI}} & R_{qg\mathcal{R}}^{\text{RI}} \\ R_{gq\mathcal{R}}^{\text{RI}} & R_{gg\mathcal{R}}^{\text{RI}} \end{pmatrix}(\mu_R^2) \times \begin{pmatrix} C_{qq}^{\text{RI}/\overline{\text{MS}}} & C_{qg}^{\text{RI}/\overline{\text{MS}}} \\ C_{gq}^{\text{RI}/\overline{\text{MS}}} & C_{gg}^{\text{RI}/\overline{\text{MS}}} \end{pmatrix}(\mu^2, \mu_R^2)$$

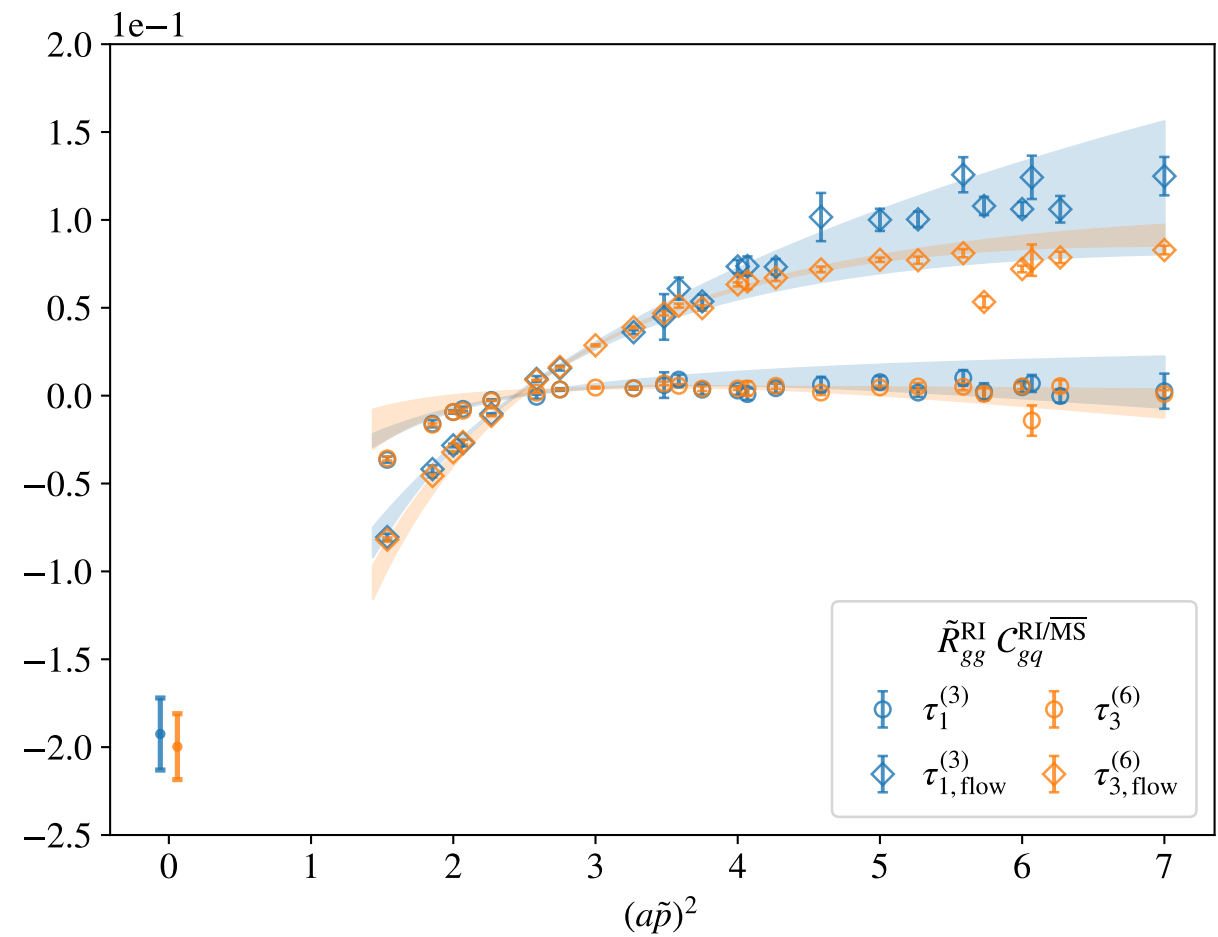
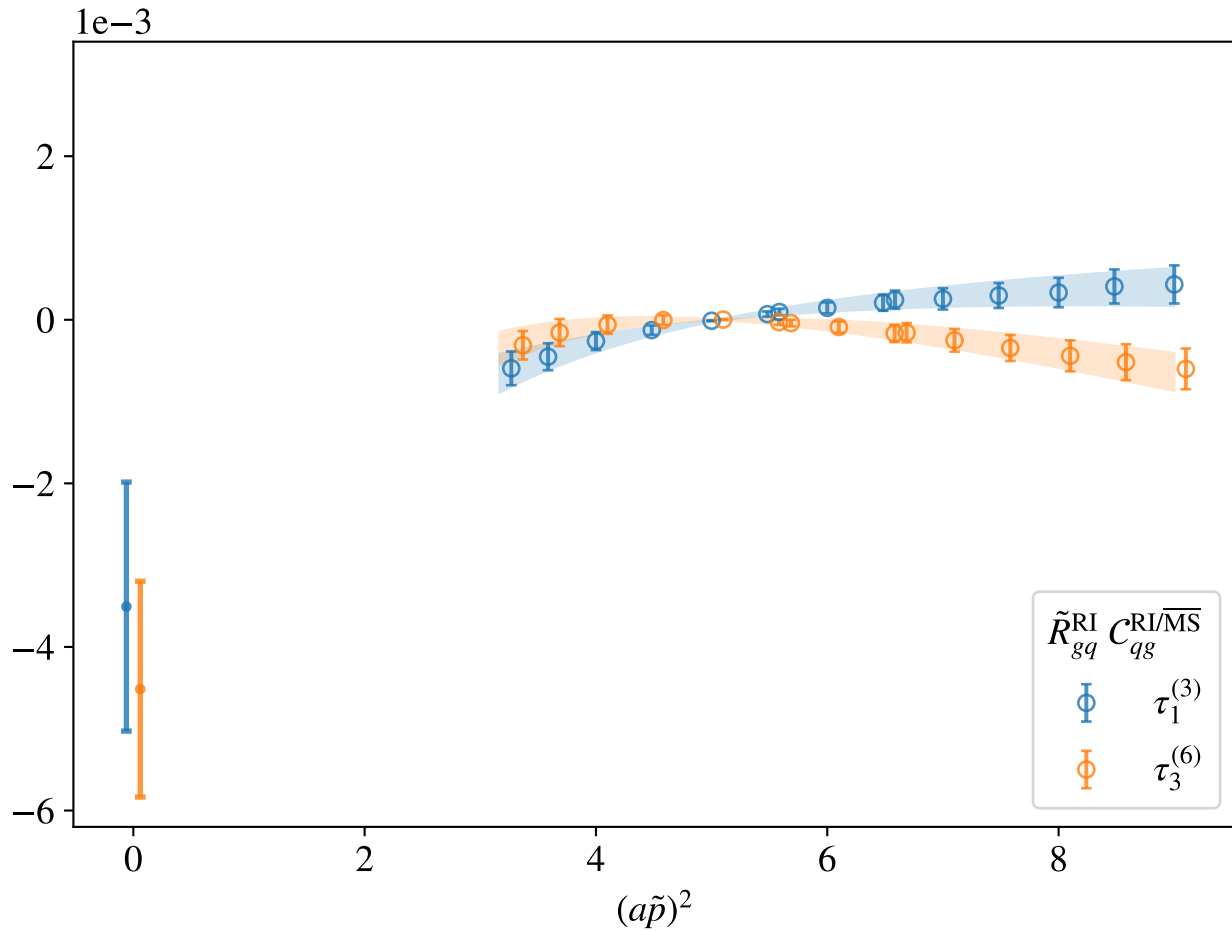
Extraction of renormalization coefficients



Fit $(a\tilde{p})$ dependence due to discretization artifacts, non-perturbative effects, etc.

(inverse) polynomial

Extraction of renormalization coefficients



Fit $(a\tilde{p})$ dependence due to discretization artifacts, non-perturbative effects, etc.

logarithmic

Finally: obtain renormalized GFFs

We have: 1) bare matrix elements $\langle h|T_i^{\mu\nu}|h\rangle, i \in \{g, q, v\}$ grouped in t-bins for each irrep \mathcal{R}

2) mixing matrix renormalization $\begin{pmatrix} Z_{qq\mathcal{R}}^{\overline{\text{MS}}} & Z_{qg\mathcal{R}}^{\overline{\text{MS}}} \\ Z_{gq\mathcal{R}}^{\overline{\text{MS}}} & Z_{gg\mathcal{R}}^{\overline{\text{MS}}} \end{pmatrix}^{-1}$, non-singlet $Z_{v\mathcal{R}}^{\overline{\text{MS}}}^{-1}$ for each \mathcal{R}

→ recast into a simultaneous combined-irrep system of equations, solve by linear regression

Beware of d'Agostini bias!

D'Agostini Phys.Res.Sect.A 1994

Fit with 1) multipole : $F_n = \frac{\alpha}{(1+\frac{t}{\Lambda^2})^n}$,

2) z-expansion : $F = \sum_k \alpha_k [z(t)]^k$ (less restrictive)

Contents of this talk

- Introduction
- Bare gravitational form factors (GFFs) from lattice QCD
- Non-perturbative renormalization
- **GFFs of the proton, pion, and other hadrons: selected results**

[Hackett Oare **DAP** Shanahan PRD (2023) [arXiv:2307.11707](https://arxiv.org/abs/2307.11707)]

[Hackett **DAP** Shanahan PRL (2024) [arXiv:2310.08484](https://arxiv.org/abs/2310.08484)]

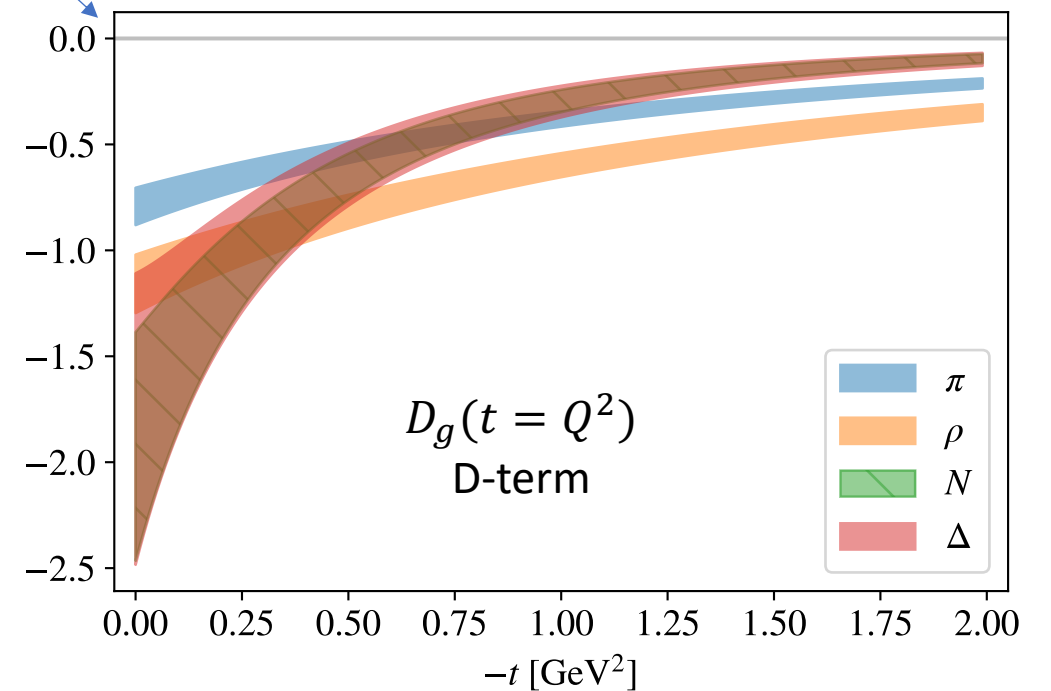
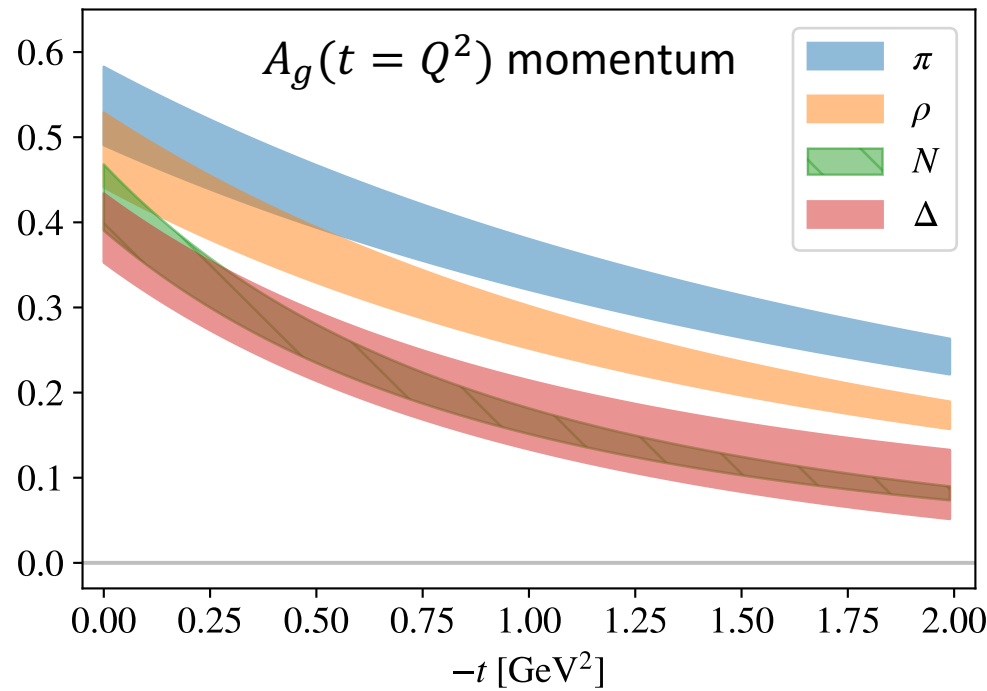
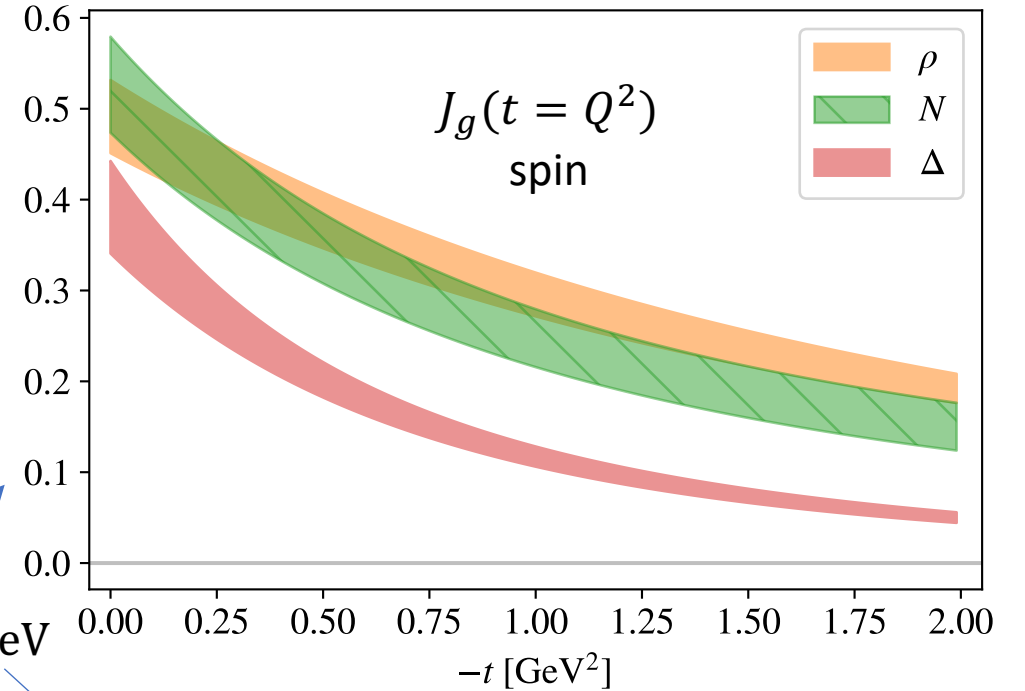
[**DAP** Hackett Shanahan PRD (2022) [arXiv:2107.10368](https://arxiv.org/abs/2107.10368)]

Gluon gravitational structure hadrons of different spin

($m_\pi \approx 450$ MeV, mixing neglected)

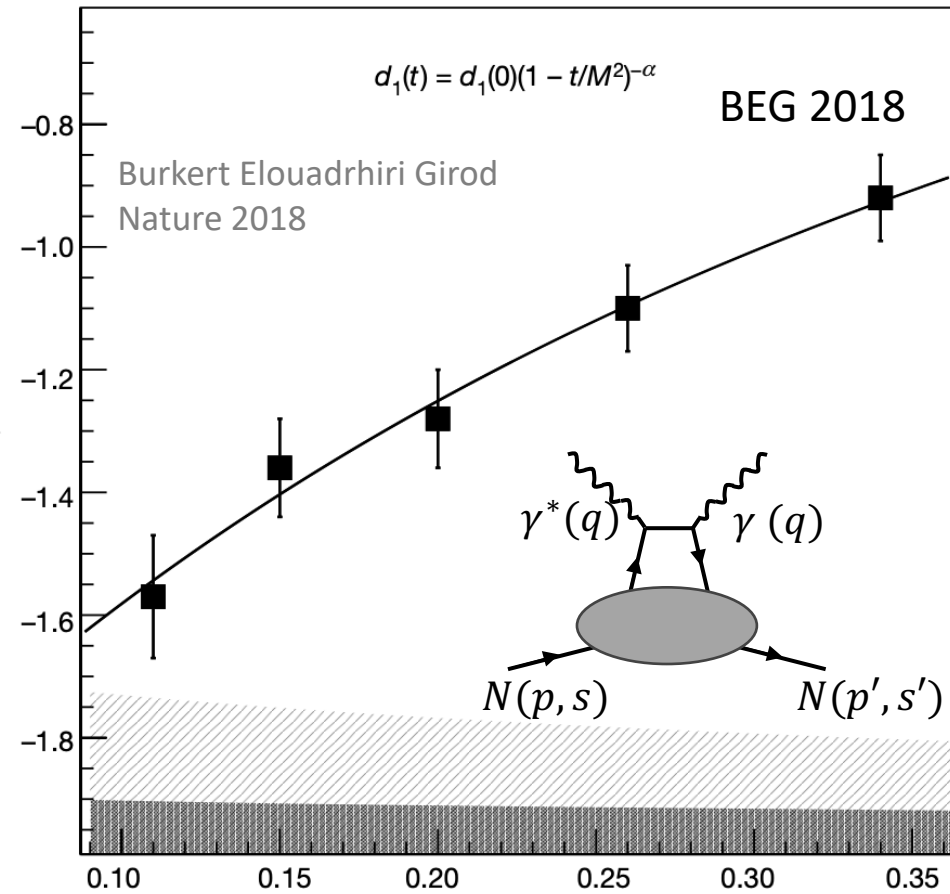
DAP, Hackett, Shanahan PRD (2022)

Hadron	π	ρ	N	Δ
Spin	0	1	1/2	3/2
GFF #	2	7	3	8

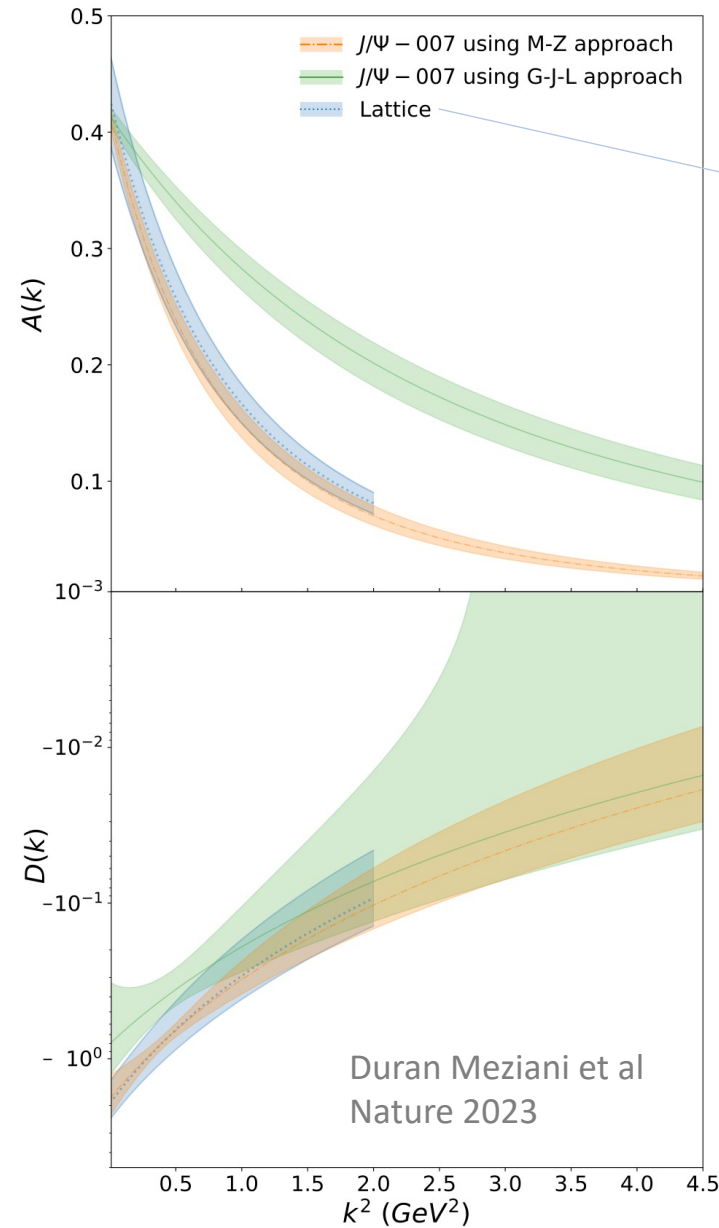


Proton: first experimental results model dependent

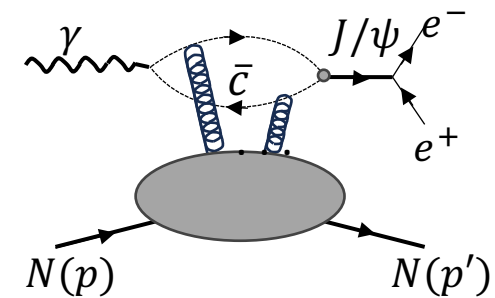
Quark D_{u+d}^N from DVCS



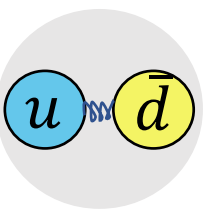
Gluon A_g^N and D_g^N from J/ψ photoproduction



Lattice: **DAP** Hackett Shanahan PRD (2022)
heavier pion mass + neglecting mixing with quark

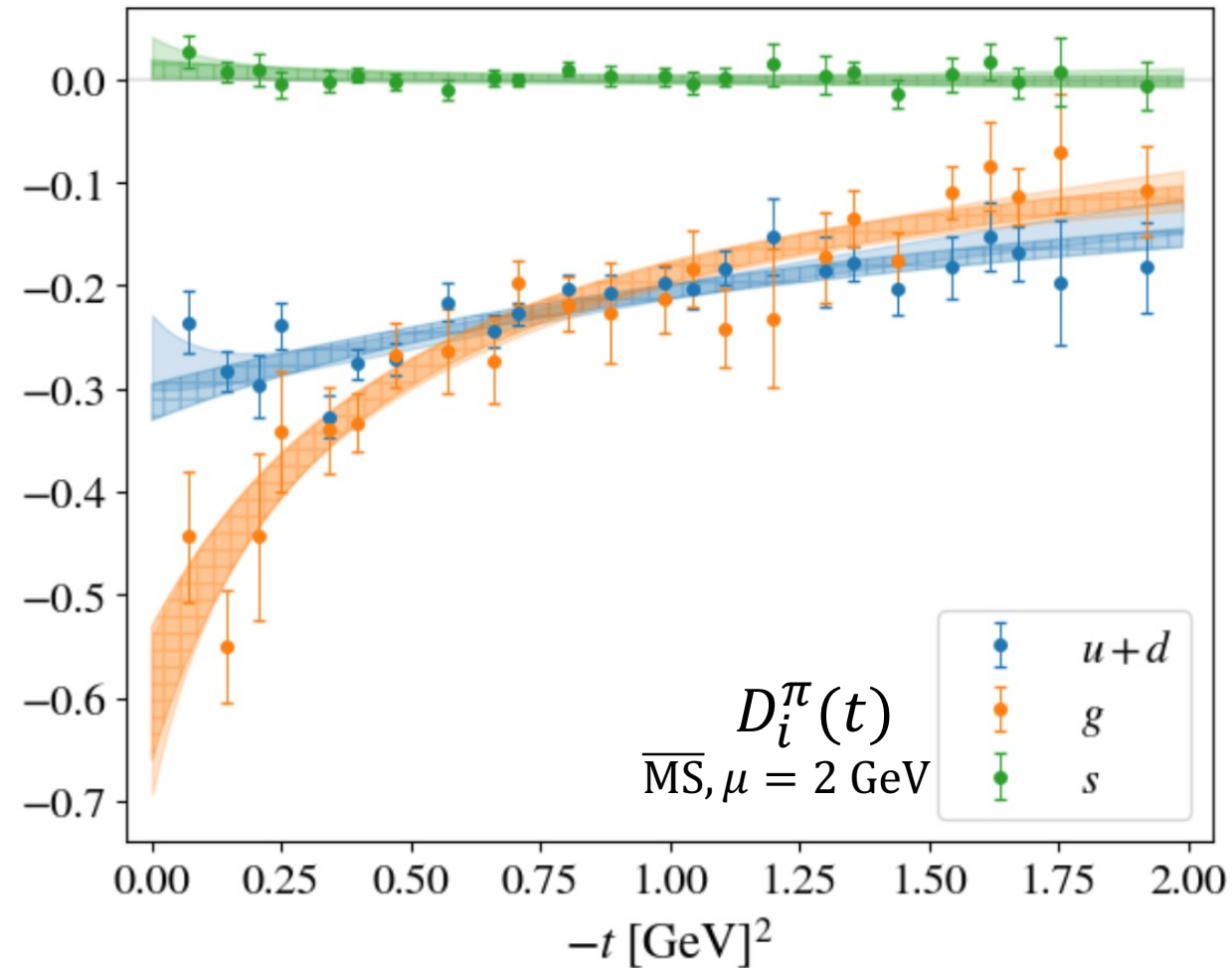
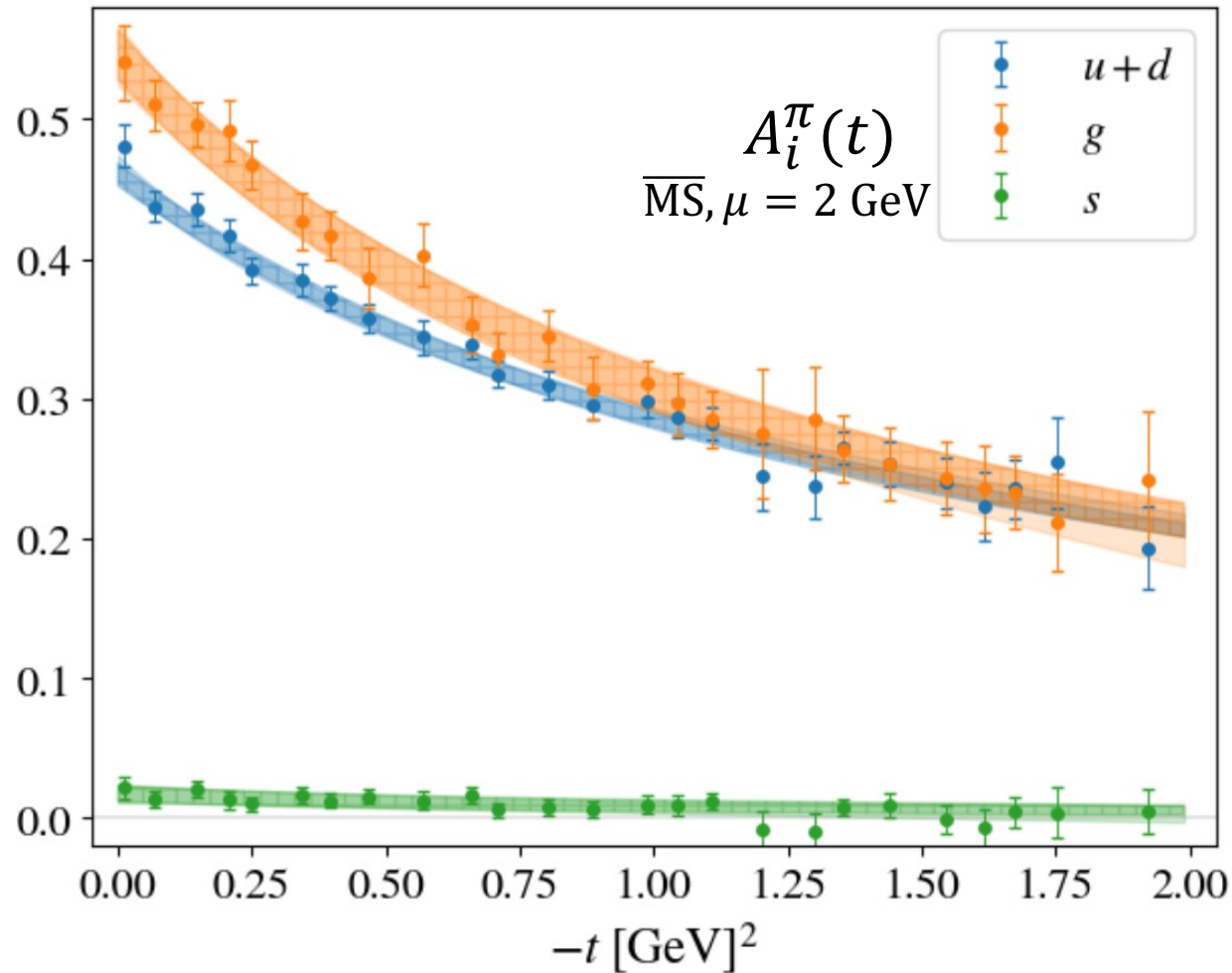


Quark and gluon GFFs of the pion



($m_\pi \approx 170$ MeV, including mixing)

Hackett Oare **DAP** Shanahan PRD 2023

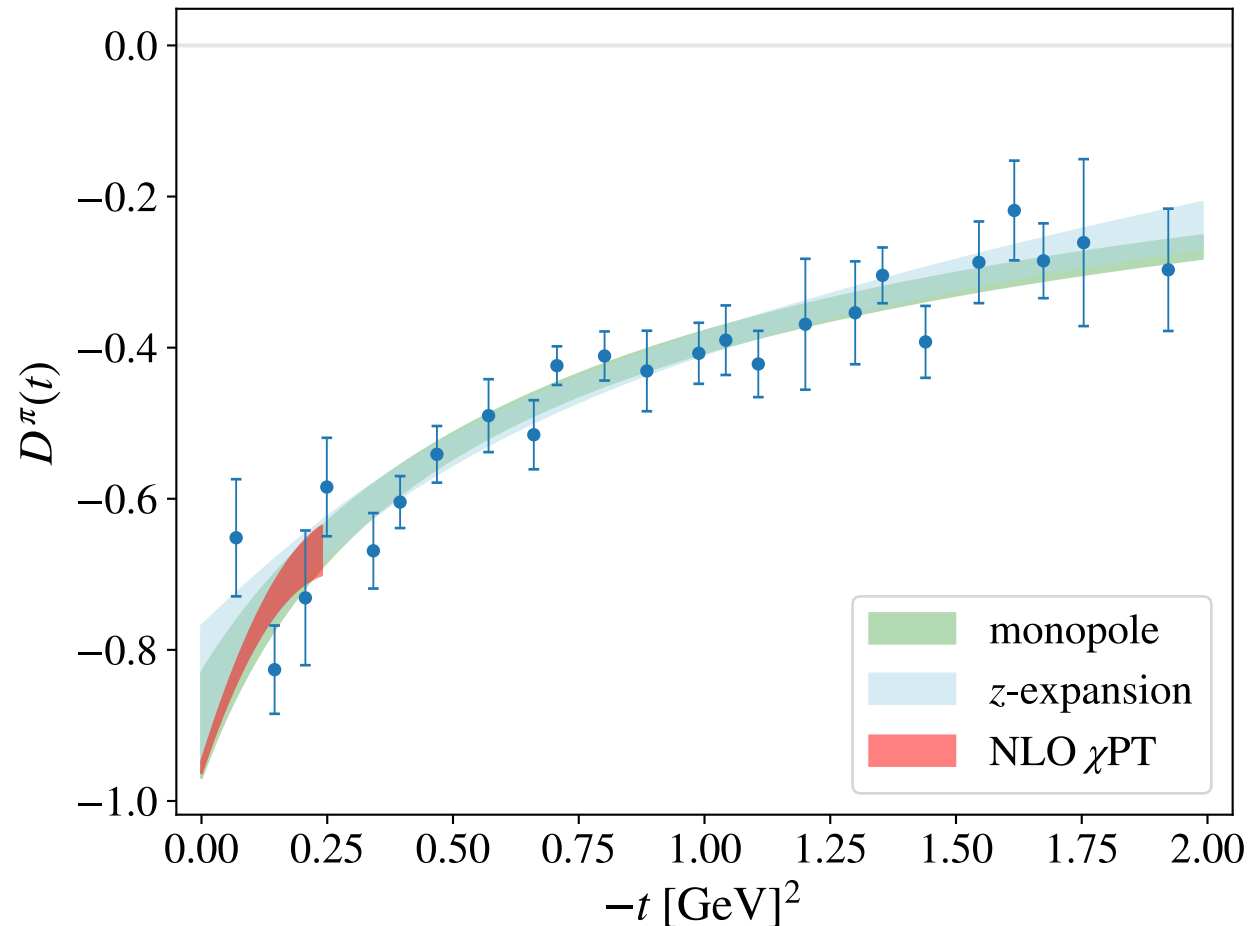
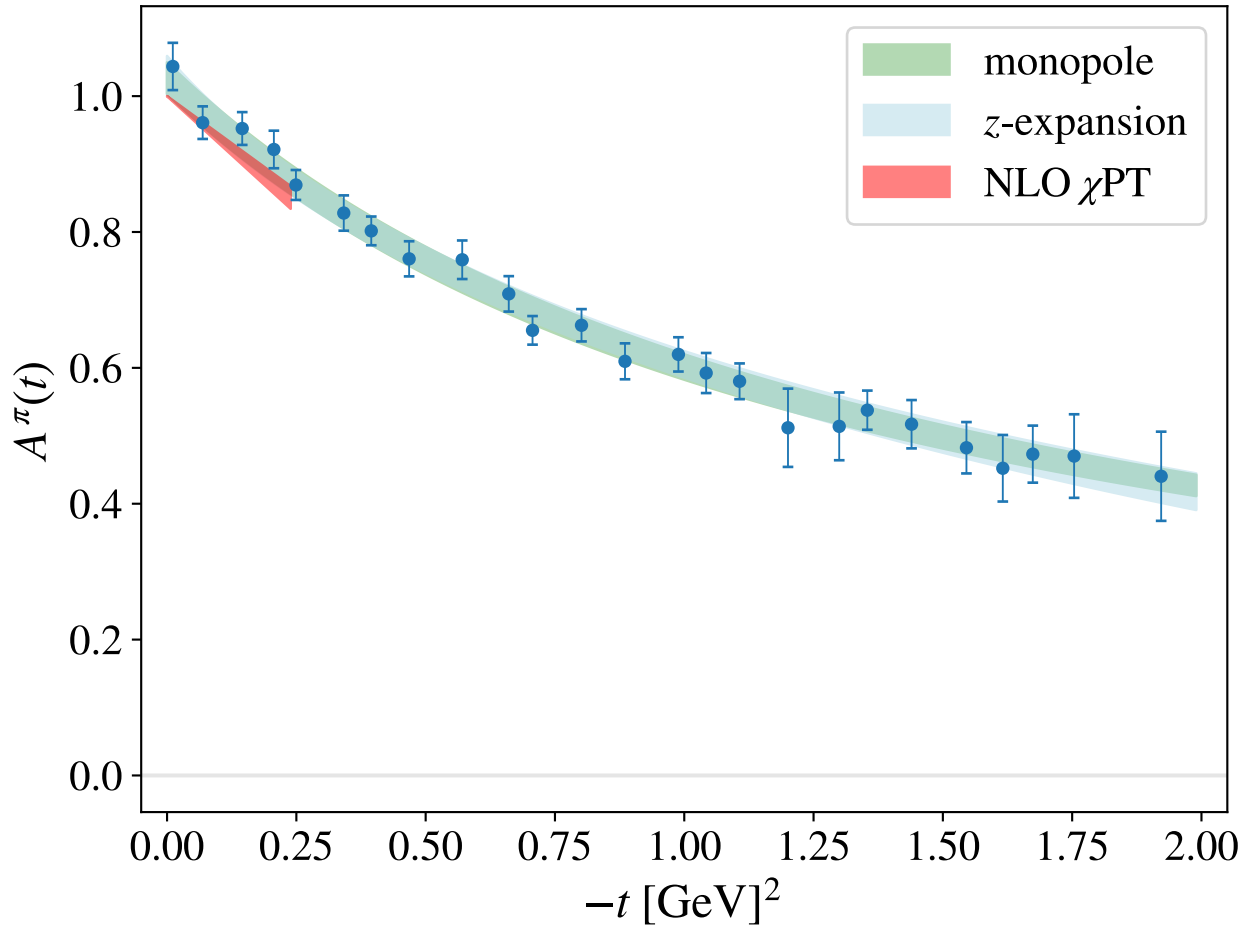
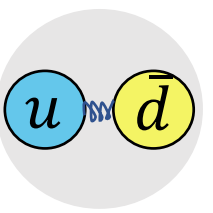


hatched bands : monopole, opaque bands : z-expansion with $k_{\max} = 2$

Pion : total GFFs

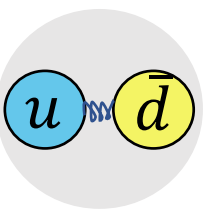
($m_\pi \approx 170$ MeV, including mixing)

Hackett Oare **DAP** Shanahan PRD 2023

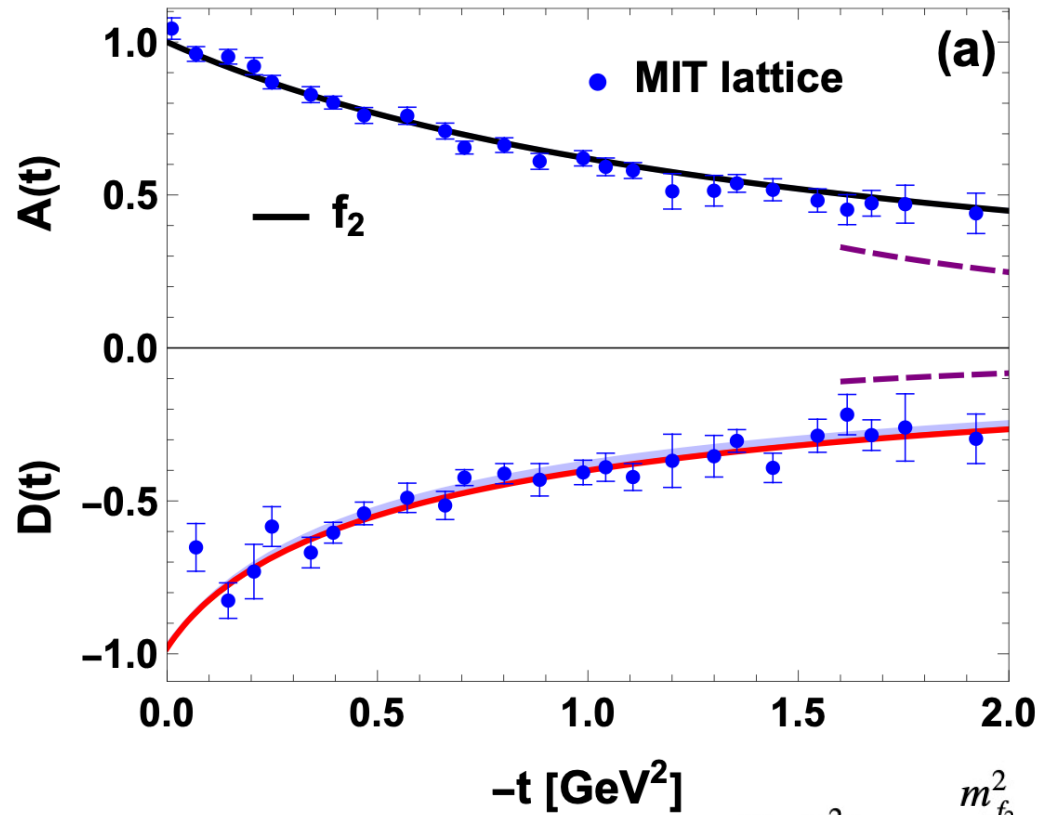


Red band spread due to different estimates for low energy constants [Donoghue Leutwyler Z.Phys.C 1991]

Pion data in support of meson dominance principle

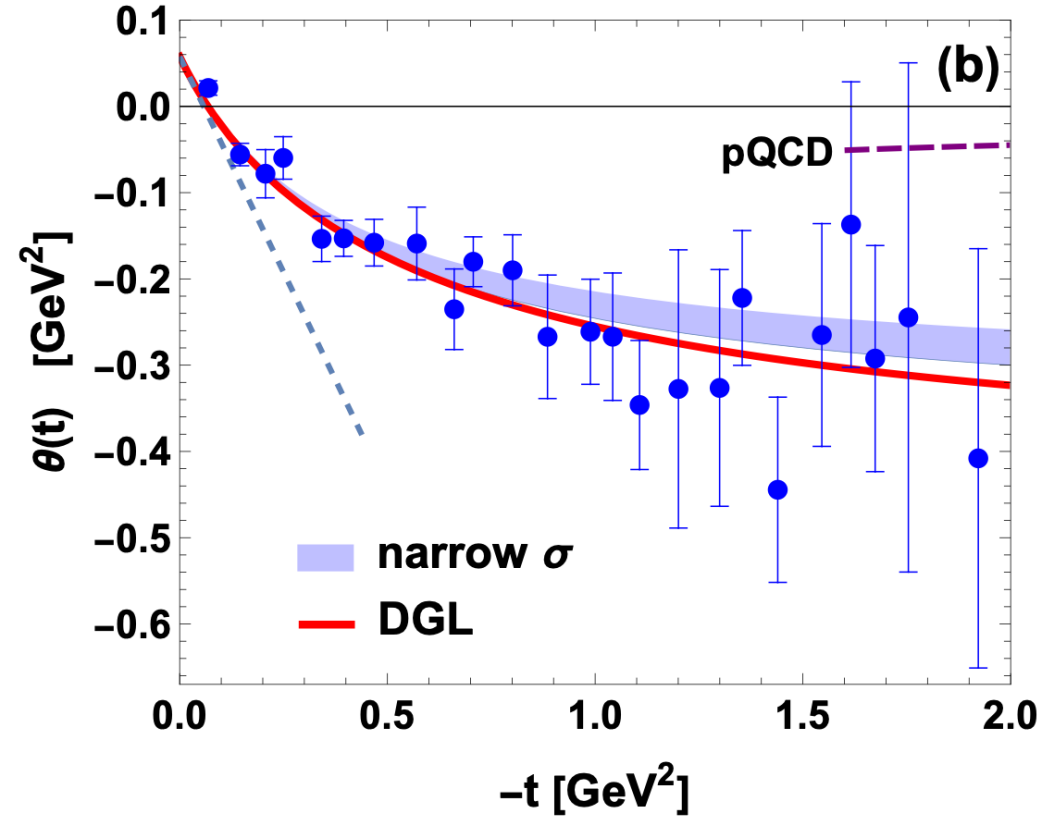


Broniowski Arriola arXiv:2405.07815



$$A(-Q^2) = \frac{m_{f_2}^2}{m_{f_2}^2 + Q^2},$$

$$\Theta(-Q^2) = 2m_\pi^2 - \frac{m_\sigma^2 Q^2}{m_\sigma^2 + Q^2},$$



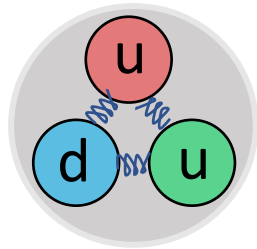
$$D = -\frac{2}{3t} \left[\Theta - \left(2m_\pi^2 - \frac{1}{2} t \right) A \right]$$

Quark and gluon GFFs of the proton

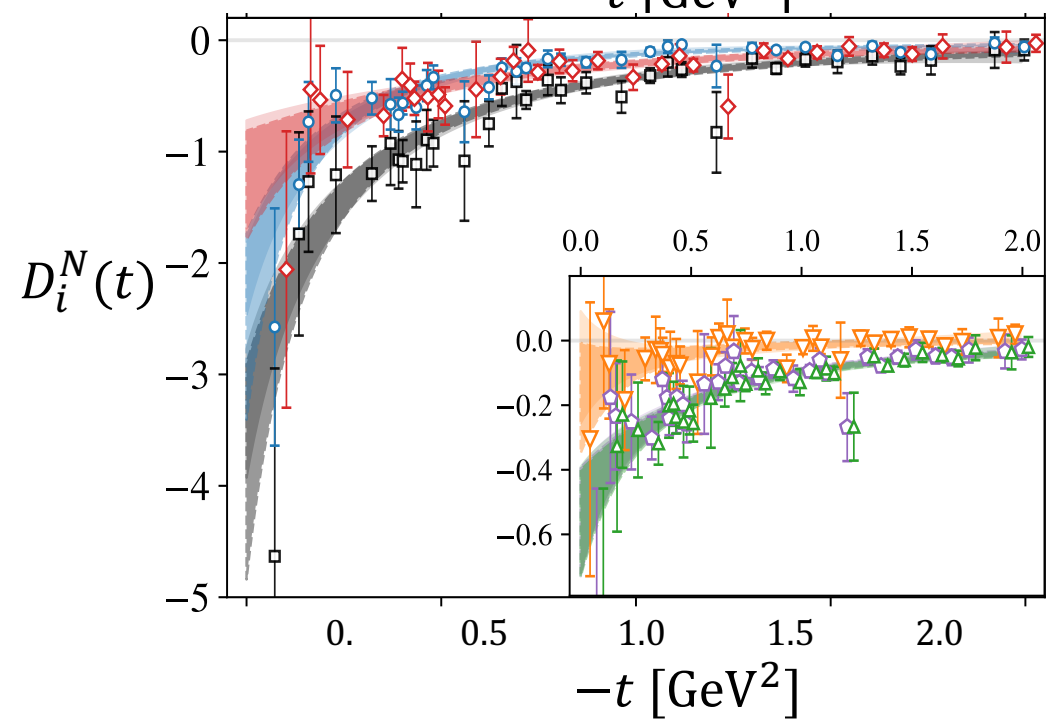
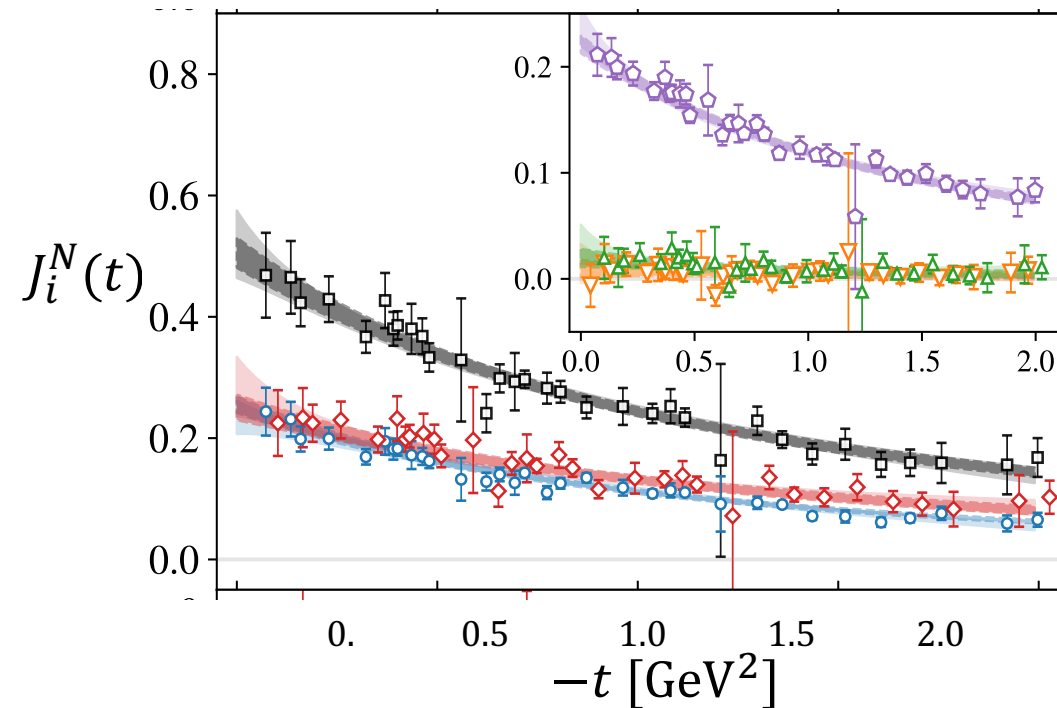
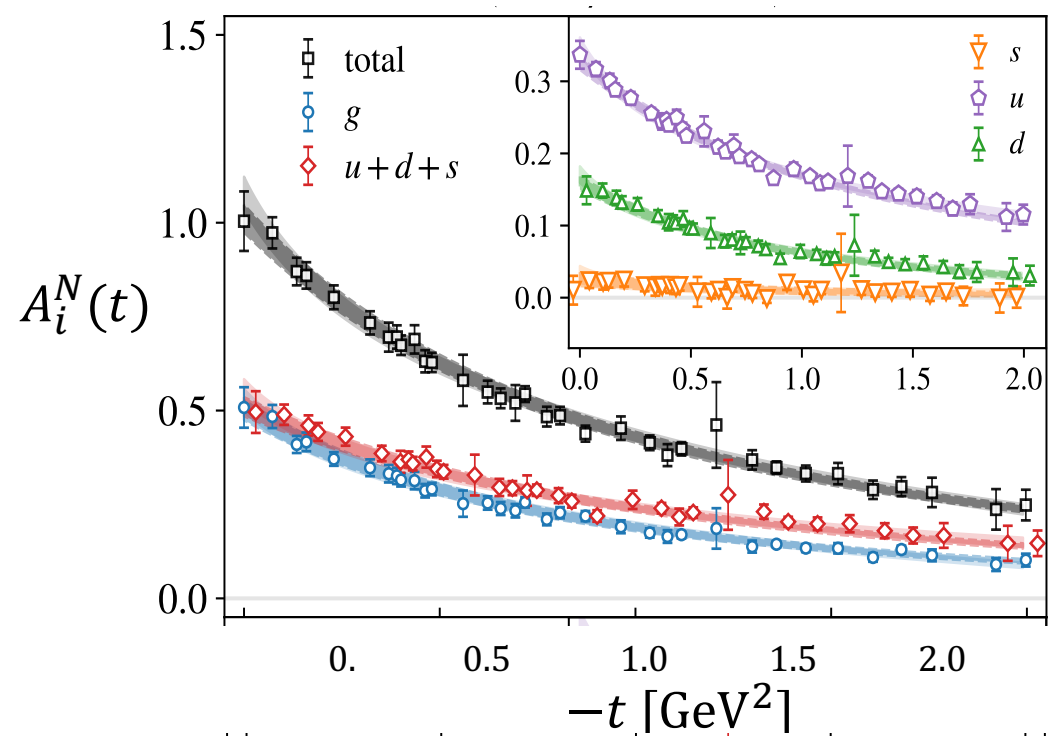
($m_\pi \approx 170$ MeV, including mixing)

Hackett **DAP** Shanahan PRL (2024)

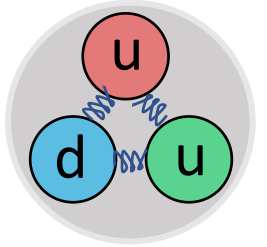
[arXiv:2310.08484](https://arxiv.org/abs/2310.08484)



$\overline{\text{MS}}, \mu = 2 \text{ GeV}$



Renormalized nucleon GFFs – comparison to experiments



Burkert Elouardhiri Girod Nature 2018 (DVCS)

Duran et al Nature 2023 (J/ψ)

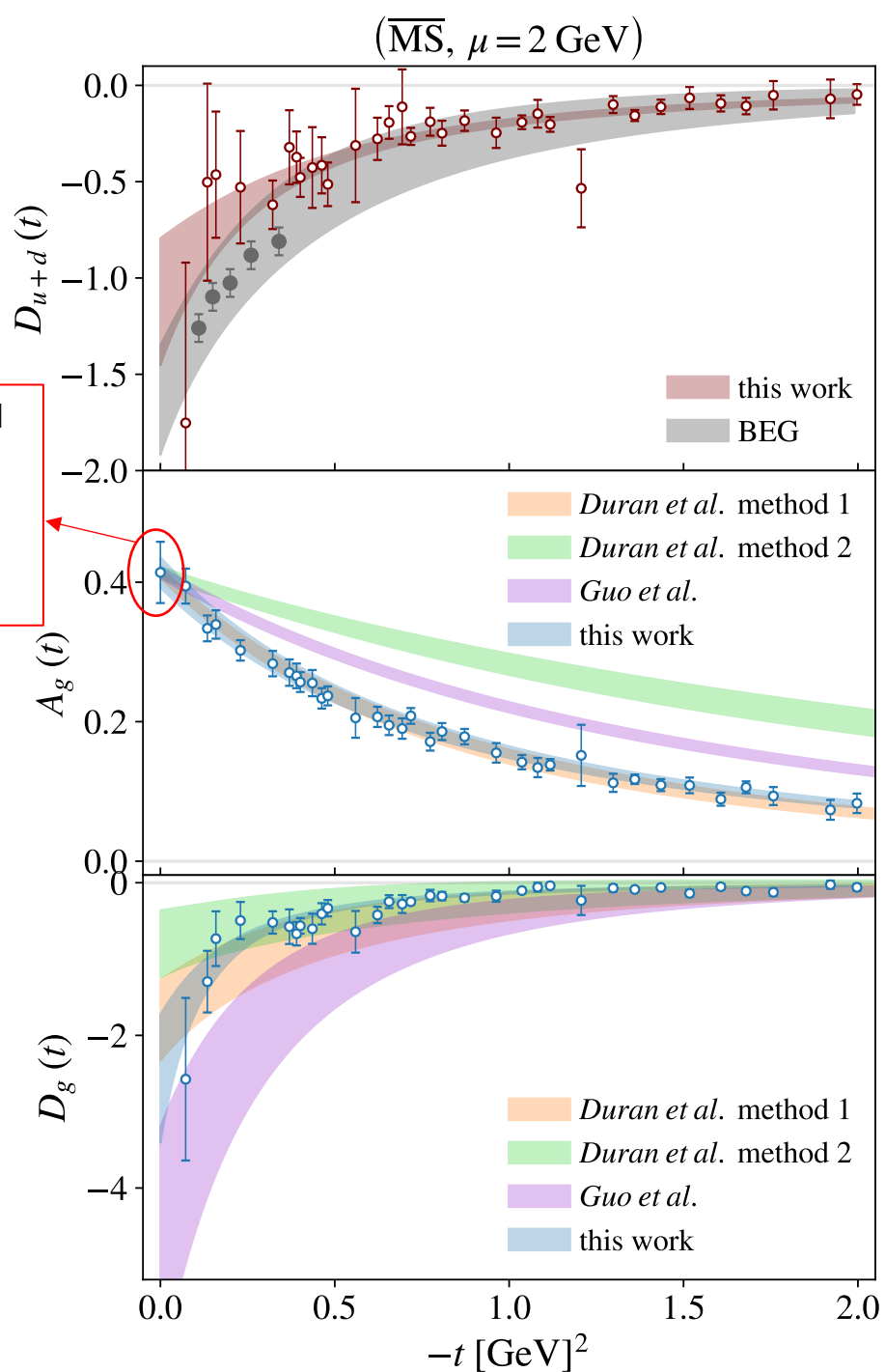
method 1: holographic QCD (Mamo Zahed PRD 2021+2022)

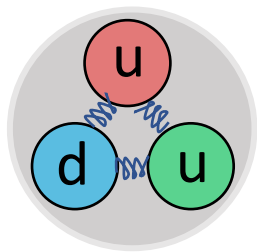
method 2: GPDs (Guo Ji Liu PRD 2021)

Guo et al PRD 2023 (+ GlueX data)

method 2 updated formula

all normalized to $\langle x \rangle_g$ from global fit Hou et al PRD 2021



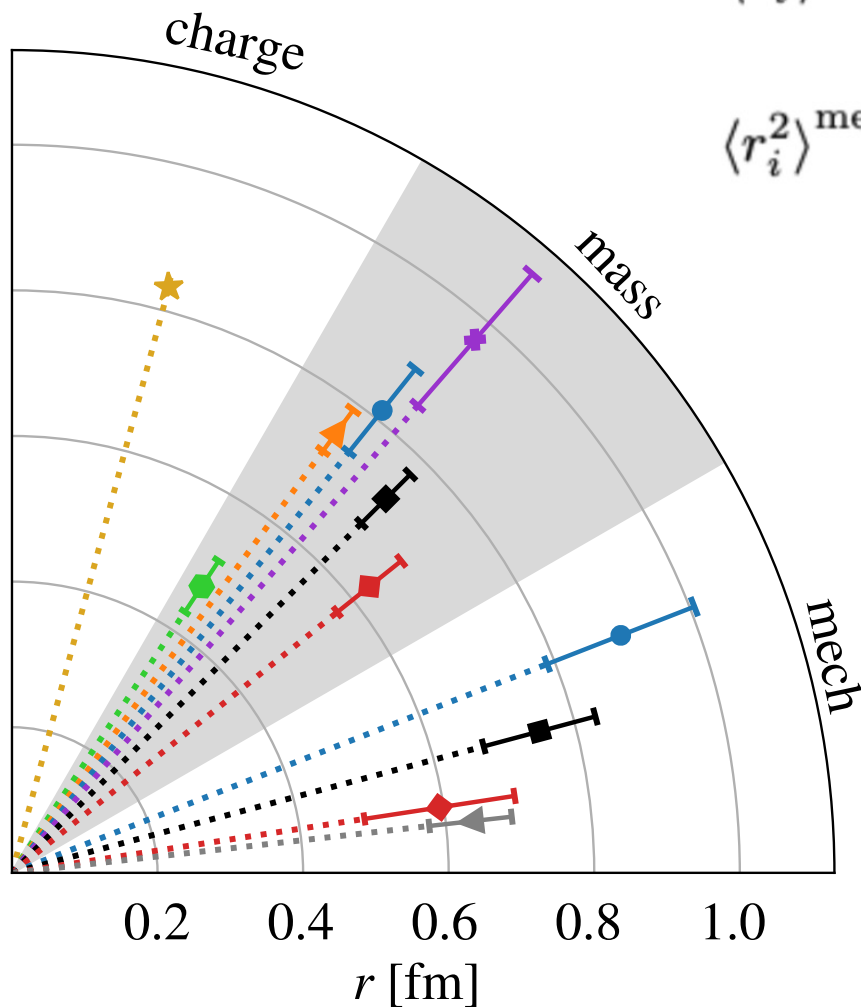


Nucleon size

FT = Fourier transform
3D Breit frame

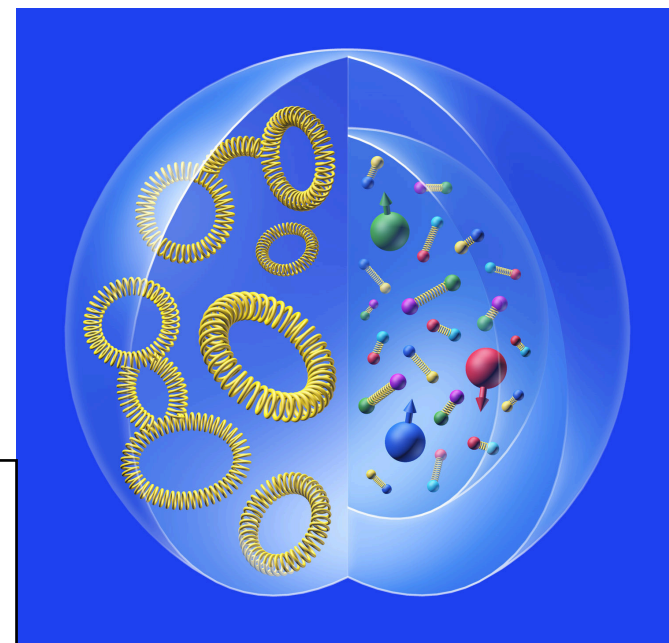
$$\langle r_i^2 \rangle^{\text{mass}} = \frac{\int d^3\mathbf{r} r^2 \varepsilon_i(r)}{\int d^3\mathbf{r} \varepsilon_i(r)}, \quad \varepsilon_i(r) = m \left[A_i(t) - \frac{t(D_i(t) + A_i(t) - 2J_i(t))}{4m^2} \right]_{\text{FT}}$$

$$\langle r_i^2 \rangle^{\text{mech}} = \frac{\int d^3\mathbf{r} r^2 F_i^{\parallel}(r)}{\int d^3\mathbf{r} F_i^{\parallel}(r)}, \quad \left\{ \begin{aligned} p_i(r) &= \frac{1}{6m} \frac{1}{r^2} \frac{d}{dr} r^2 \frac{d}{dr} [D_i(t)]_{\text{FT}} \\ s_i(r) &= -\frac{1}{4m} r \frac{d}{dr} \frac{1}{r} \frac{d}{dr} [D_i(t)]_{\text{FT}} \\ F_i^{\parallel}(r) &= p_i(r) + 2s_i(r)/3 \end{aligned} \right.$$



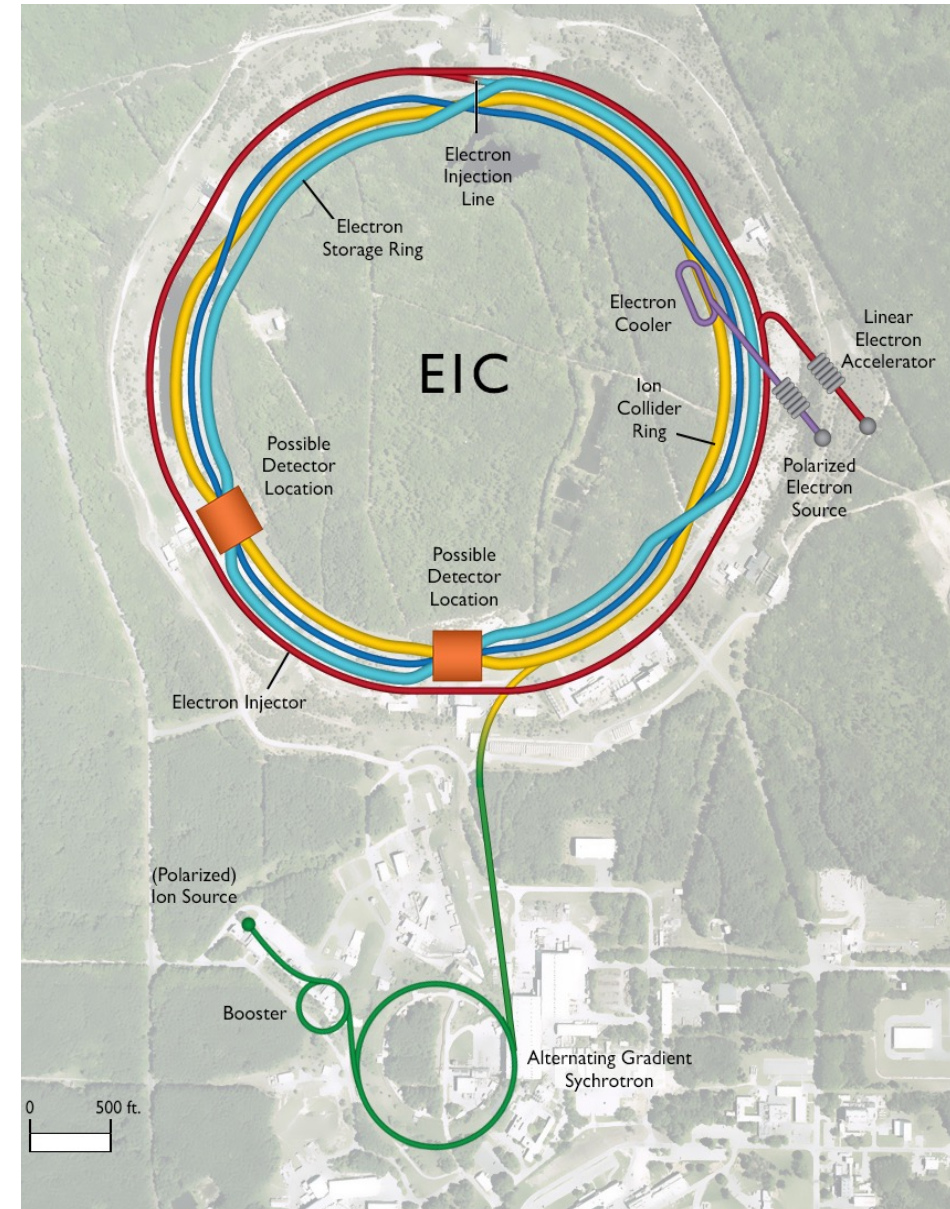
- ★— PDG
- g , Duran et al. method 2
- ▶— g , Duran et al. method 1
- ◆— g , Guo et al.
- g
- $q + g$
- ◆— q
- ▶— q , BEG

Illustration by Kent Leech for Lawrence Berkeley National Laboratory, Creative Services Office



Summary and remarks

- Gravitational form factors: the form factors of the energy-momentum tensor.
- Encode how energy, angular momentum, and mechanical properties are distributed inside hadrons. Moments of GPDs (generalized form factors) and PDFs in the forward limit (e.g momentum fraction).
- Lattice QCD constraints to the GFFs of the pion, proton, ... More results are coming from lattice and experiments!
- Beyond measuring: Much more to understand about the QCD EMT and GFFs



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THANK YOU!

