

# New Theoretical and Experimental Avenues for ALP Searches



*Fernando Arias Aragón*



November, 7th, 2023



Istituto Nazionale di Fisica Nucleare  
Laboratori Nazionali di Frascati

# Outline

- Fundamentals and Motivation
- Axion-like ALPs **FAA**, J. Quevillon, C. Smith, 2211.004489
- A New Approach for ALP Searches: Reactoscopes **FAA**, V. Brdar, J. Quevillon, 2310.03631
- Conclusions

# Fundamentals and Motivation

Axions and ALPs - A Versatile BSM Candidate

# The Strong CP Problem

- SM Lagrangian allows a purely gauge term

$$\theta_{QCD} \frac{\alpha_s}{8\pi} G^a_{\mu\nu} \tilde{G}^a_{\mu\nu}$$

- Related to quark masses via the chiral anomaly



$$\bar{\theta} = \theta_{QCD} + \text{Arg}(\text{Det}(M_u M_d))$$

- The observable parameter,  $\bar{\theta}$  is bound by neutron EDM,  $d_n$

$$d_n \sim \bar{\theta} \cdot 10^{-16} \text{ e-cm}, \quad \bar{\theta} \lesssim O(10^{-10})$$



# The Strong CP Problem - The Axion Mechanism

- $\bar{\theta}$  becomes dynamical thanks to  $U(1)_{PQ}$

Peccei and Quinn, PRL 38 (1977) 1440-1443 and PRD 16 (1977) 1791-1797

$$\mathcal{L}_{aGG} = \frac{a}{f_a} \frac{\alpha_s}{8\pi} G^{a\mu\nu} \tilde{G}_{\mu\nu}^a \longrightarrow \theta_{\text{eff}} = \bar{\theta} + \frac{a}{f_a}$$

Weinberg, PRL 40 (1978) 223-226  
Wilczek, PRL 40 (1978) 279-282

- Non-perturbative QCD potential ensures CP conservation

$$V_{\text{eff}} \sim 1 - \sqrt{1 + \cos\left(\bar{\theta} + \frac{a}{f_a}\right)} \longrightarrow \langle a \rangle = -f_a \bar{\theta}$$

- The original model required two Higgs doublets

$$f_a \sim v \approx 246 \text{ GeV} !!$$

# The Strong CP Problem - Invisible Axion Models

- DFSZ Axion

A. R. Zhitnitsky, Sov. J. Nucl. Phys. 31 (1980)  
 M. Dine, W. Fischler, M. Srednicki, Phys. Lett. B104 (1981)

- Adds a new scalar singlet,  $\phi$ ,  $\chi_\phi = -1$ ,  $v_\phi \gg v$
- The axion is a combination of all pseudoscalars  $\rightarrow f_a \approx \frac{v_\phi}{2} \gg v$
- $U(1)_{PQ}$  breaking entangled with  $SU(2)_L \times U(1)_Y \rightarrow U(1)_{EM}$
- SM fermions and axion couple at tree level
- Axion couples to SM gauge bosons at 1 loop





# The Strong CP Problem - Invisible Axion Models

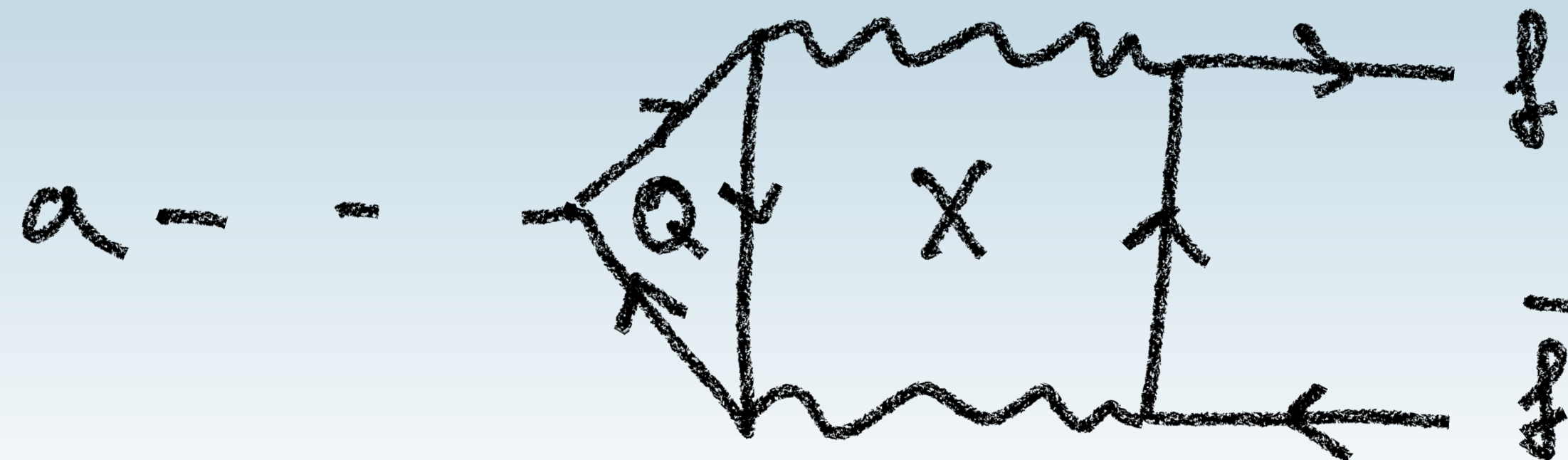
- KSVZ Axion J. E. Kim, PRL 43 (1979)  
M. A. Shifman, A. I. Vainshtein, V. I. Zakharov, Nucl. Phys. B166 (1980)

- SM particles neutral under  $U(1)_{PQ}$

- New heavy quarks  $Q$  and singlet scalar  $\sigma$ ,  $\chi_Q^{PQ}, \chi_\sigma^{PQ} \neq 0$ ,  $v_\sigma \gg v$

- Gauge representation of  $Q$  induces axion-gauge boson coupling

- Axion coupling to SM fermions arises at 2 loops



# The Strong CP Problem - Invisible Axion Models

- The QCD axion is a good DM candidate
  - J. Preskill, M. B. Wise and F. Wilczek, PLB 120 (1983) 127
  - L. F. Abbot and P. Sikivie, PLB 120 (1983) 133
  - M. Dine and W. Fischler, PLB 120 (1983) 173
- They arise naturally from string theories
  - E. Witten, PLB 149 (1984) 351
- Present in solutions to other SM problems
  - Y. Ema, K. Hamaguchi, T. Moroi, K. Nakayama, 1612.05492
  - L. Calibbi, F. Goertz, D. Redigolo, R. Ziegler, J. Zupan, 1612.08040
  - FAA**, L. Merlo, 1709.07039
- Relevant cosmological observables
  - FAA**, F. D'Eramo, R. Z. Ferreira, L. Merlo, A. Notari, 2012.04736
  - F. Bianchini, G. Grilli di Cortona, M. Valli, 2310.08169



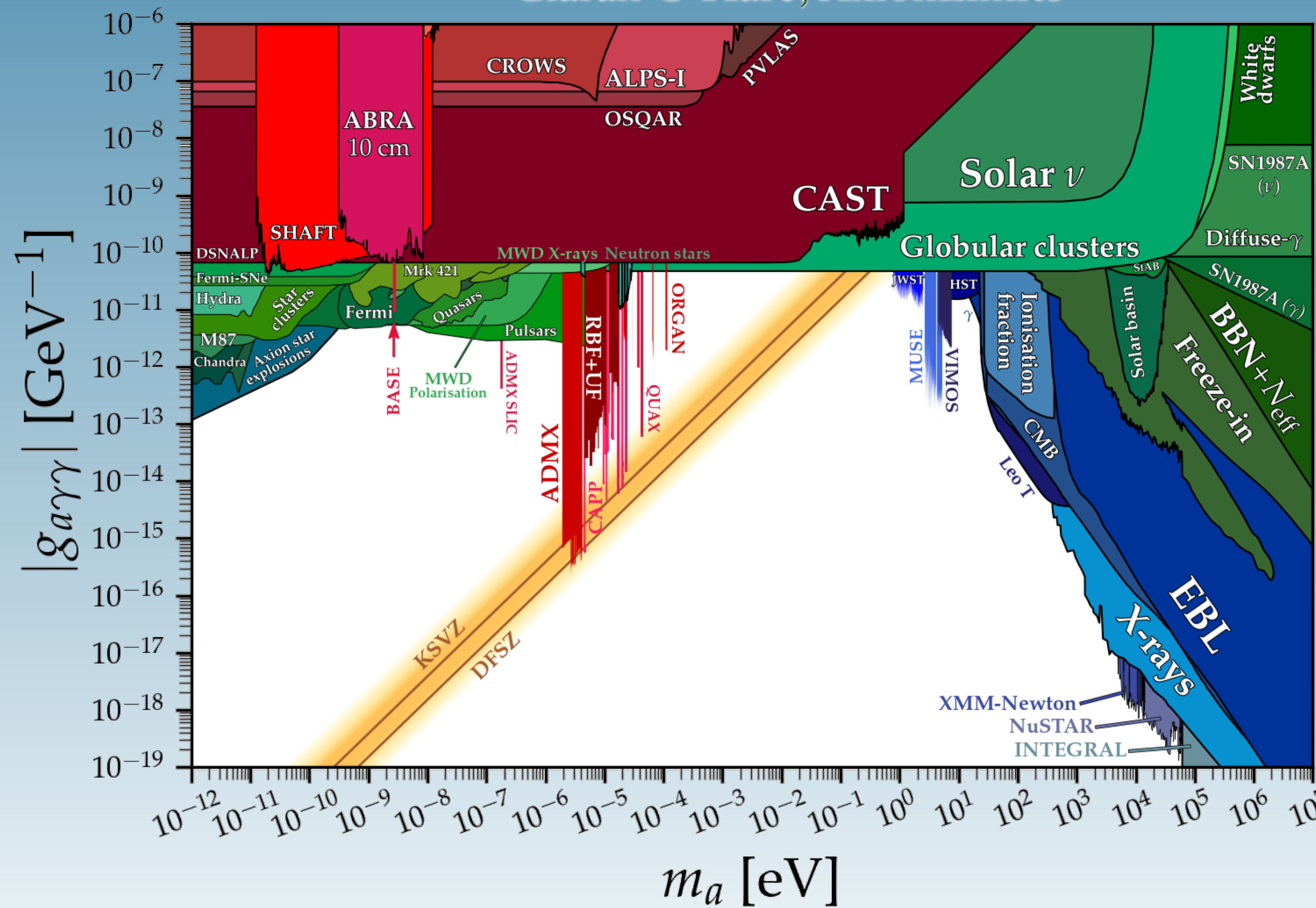


# The Strong CP Problem - Invisible Axion Models

- Many search strategies
  - Helioscopes
  - Haloscopes
  - Dark matter recoil
  - Stellar cooling
  - Light shining through wall
  - ...

$$m_a \approx 5,7 \left( \frac{10^9 \text{ GeV}}{f_a} \right) \text{ meV}$$

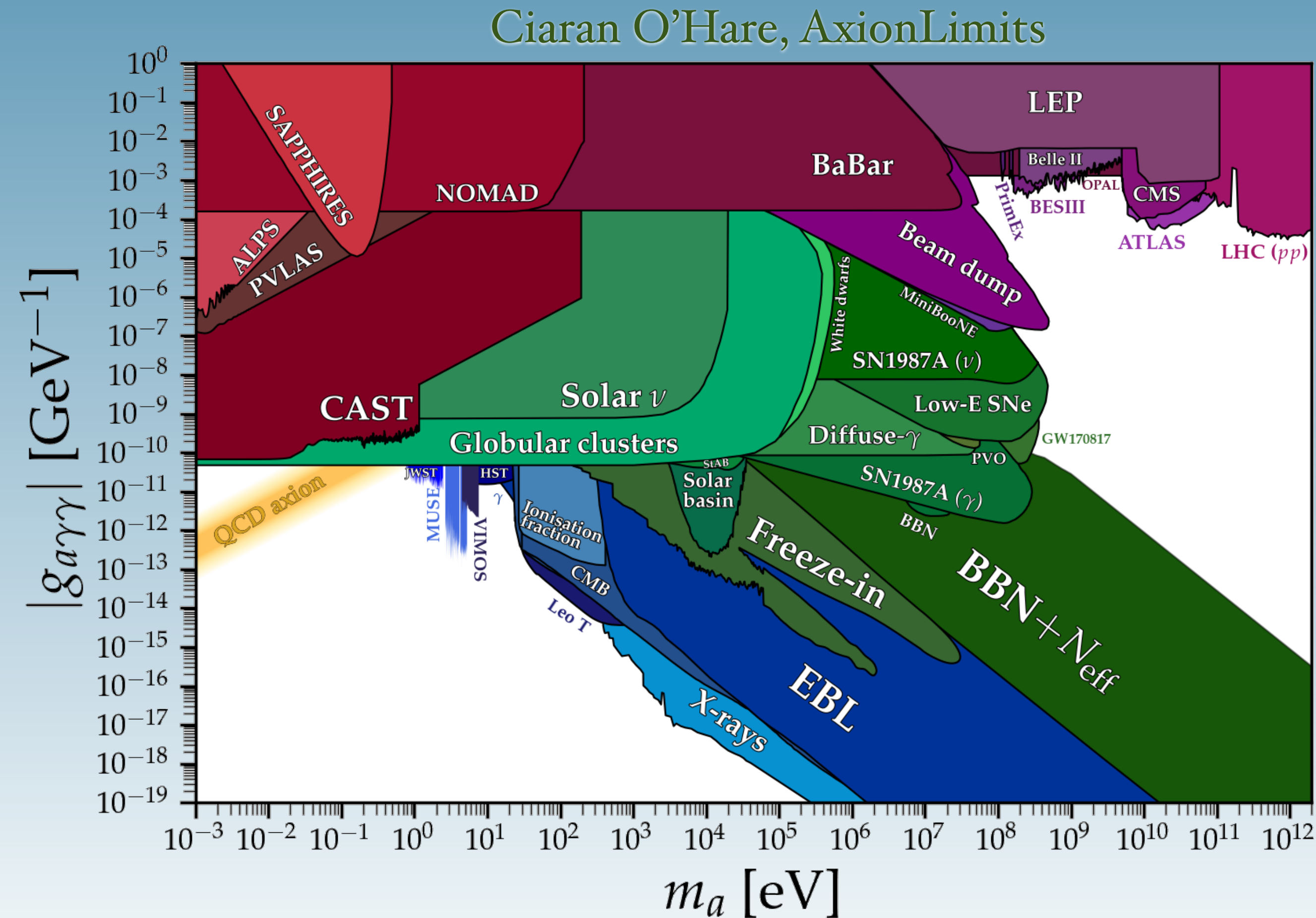
Ciaran O'Hare, AxionLimits





# Beyond the QCD Axion Framework: ALPs

- QCD axions feature  $m_a(f_a)$
- Axion-like particle:  $m_a \neq m_a(f_a)$
- CP odd,  $m_a \ll f_a$
- Also motivated from strings
- Possible signals at colliders

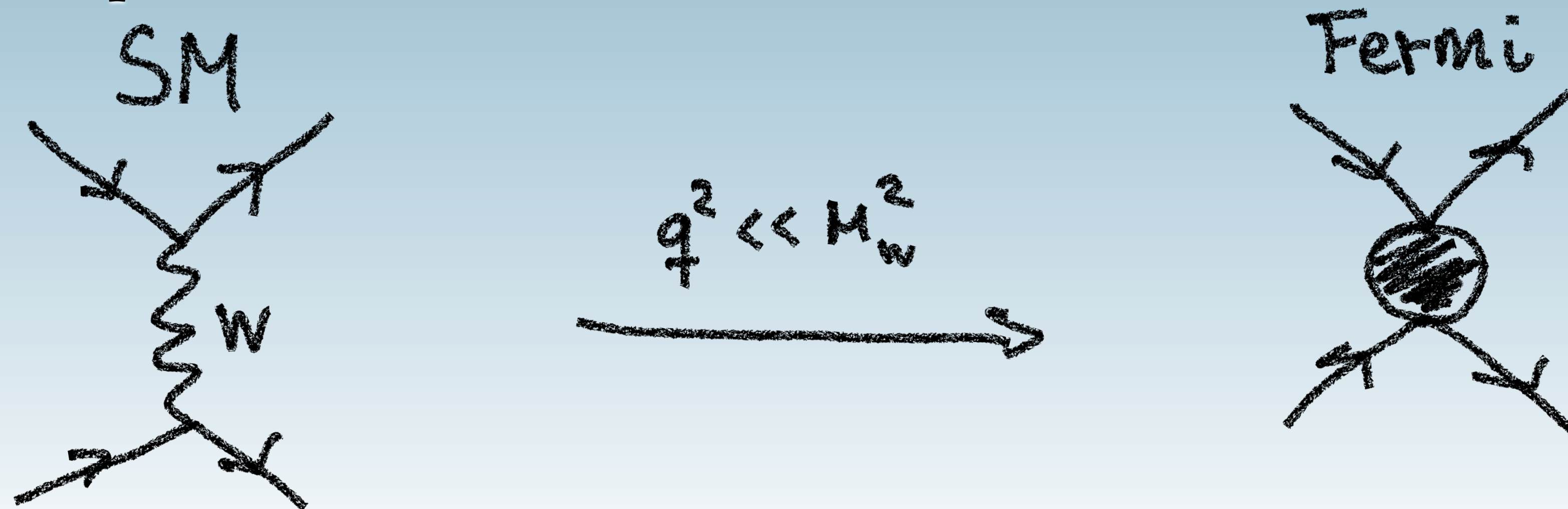


# Axion-like ALPs

**FAA**, J. Quevillon, C. Smith, 2211.004489

# A Powerful Tool: EFTs

- No new states found beyond the Higgs
- Top-down approach: model building
- No evidence means no distinction between models
- Bottom-up: Effective Field Theories





# A Powerful Tool: EFTs

- Useful for parametrizing the unknown: SMEFT
- Helps characterizing sets of BSM theories
- Effective Field Theory for SM+ALP:

K. Mimasu and V. Sanz, 1409.4792

M. Bauer, M. Neubert, A. Thamm, 1708.00443

I. Brivio, M. B. Gavela, L. Merlo, K. Mimasu, J. M. No, R. del Rey, V. Sanz, 1701.05379

$$\mathcal{L}_{\text{ALP}} = \frac{1}{2} (\partial_\mu a \partial^\mu a - m_a^2 a a) - i \sum_f \frac{\kappa_f}{v_a} \partial_\mu a \bar{f} \gamma^\mu \chi_f f$$

$$+ \frac{a}{16\pi^2 v_a} \left( g_s^2 N_c G_{\mu\nu}^a \tilde{G}^{a\mu\nu} + g_L^2 N_L W_{\mu\nu}^i \tilde{W}^{i\mu\nu} + g_Y^2 N_Y B_{\mu\nu} \tilde{B}^{\mu\nu} \right)$$

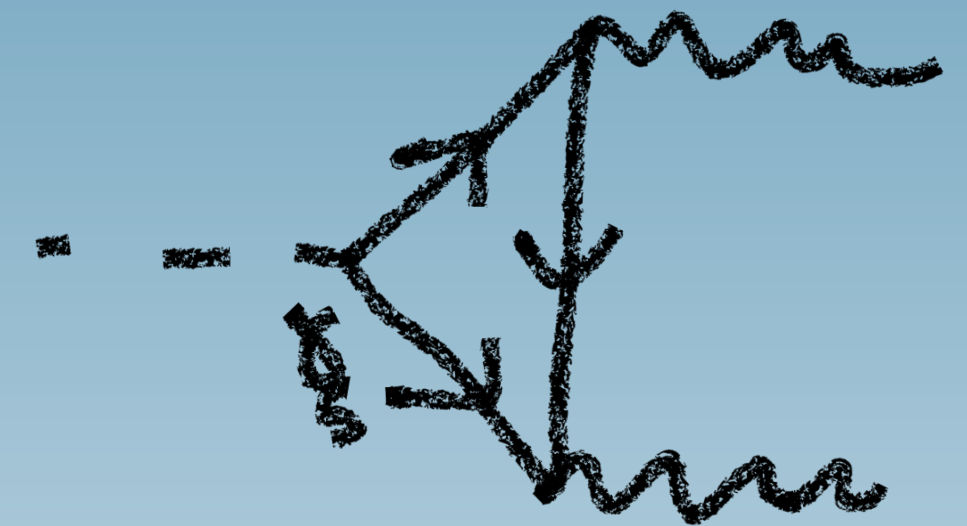
# A Powerful Tool: EFTs

- Two possibilities for ALP-fermion coupling:

J. Quevillon, C. Smith, 1903.12559

Linear

$$\phi = \sigma + ia + v_a \rightarrow i \frac{m_\psi}{v_a} a \bar{\psi} \gamma_5 \psi$$



||

Polar

$$\phi = \frac{\sigma + v_a}{\sqrt{2}} e^{-i \frac{a}{v_a}} \rightarrow \frac{2a}{v_a} \bar{\psi} \gamma^\mu \gamma_5 \psi + \frac{a}{v_a} \chi_{\mu\nu} \tilde{\chi}^{\mu\nu}$$



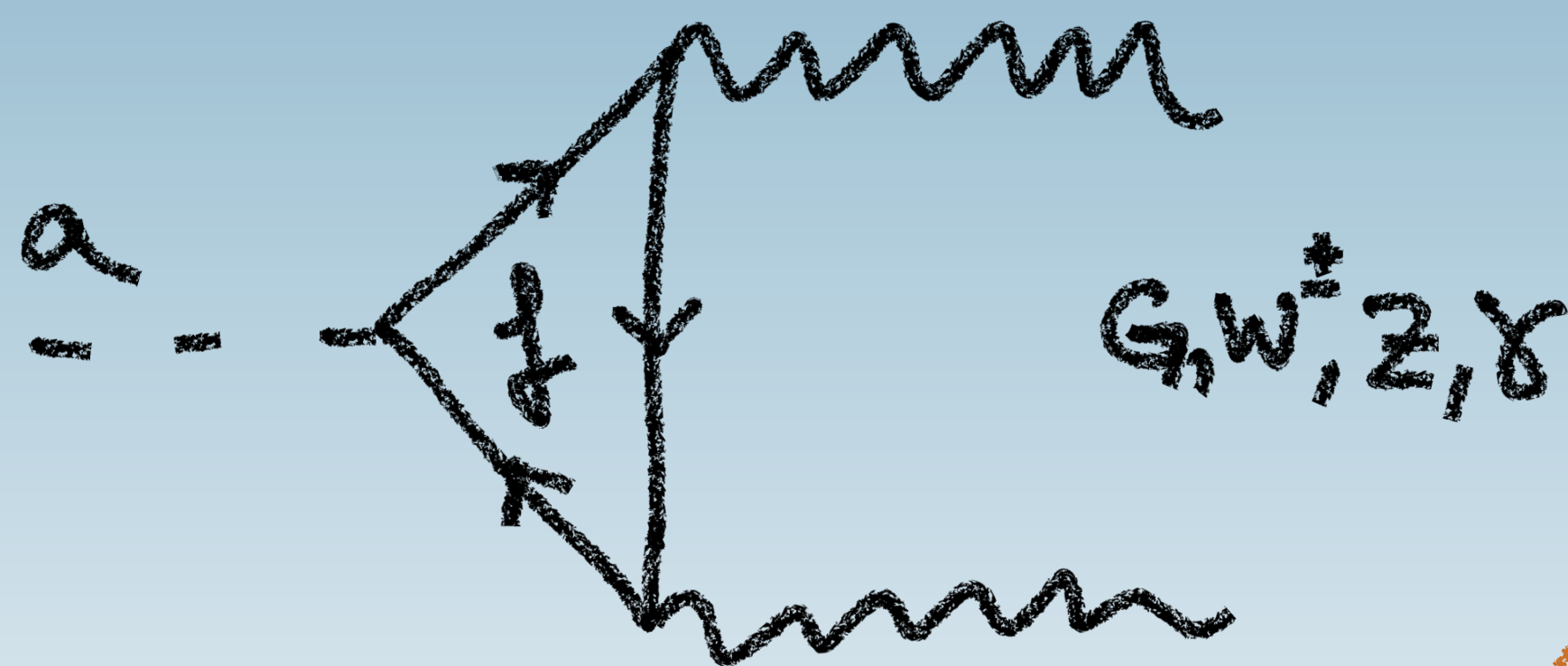


# A Powerful Tool: EFTs

- Are ALP theories always so generic?

DFSZ-like

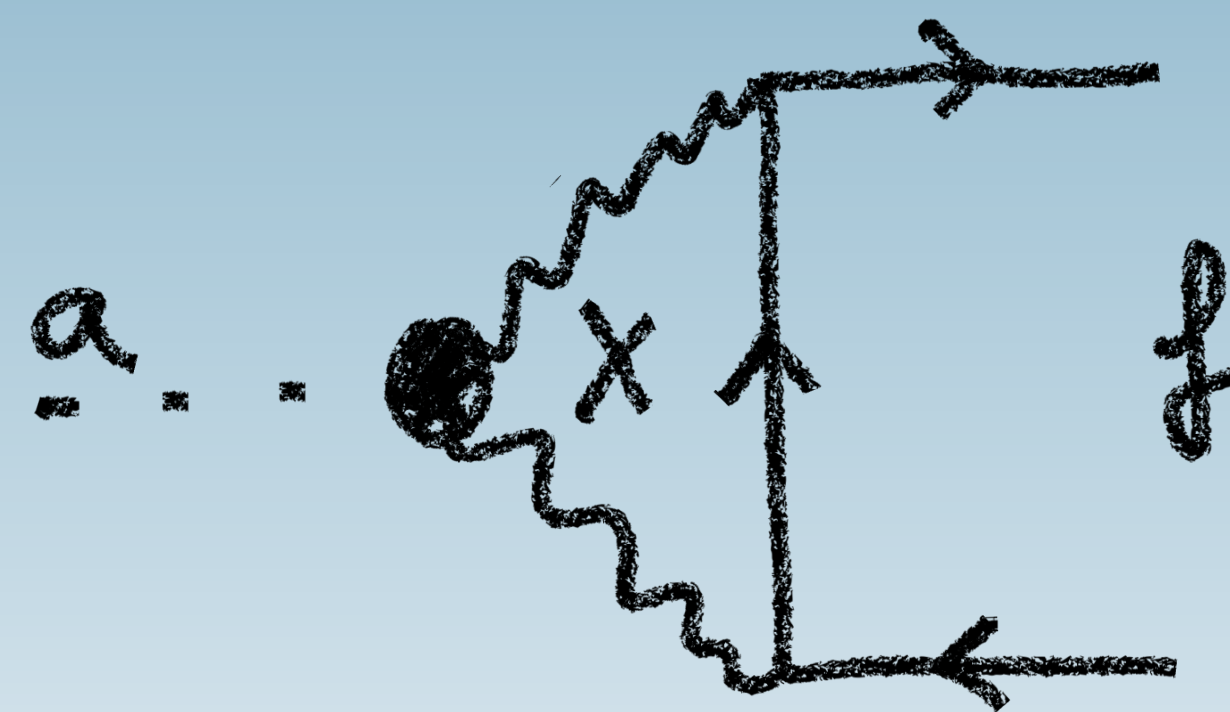
$$-i \sum_{f=u,d,e} \frac{m_f}{v_a} X_f a \bar{f} \gamma_5 f$$



$$\frac{a}{4\pi v_a} g_{\text{mix}}^2(m_f, X_f) X_{\mu\nu} \tilde{X}^{\mu\nu}$$

KSVZ-like

$$\frac{a}{16\pi^2 v_a} g_X^2 \mathcal{N}_X X_{\mu\nu} \tilde{X}^{\mu\nu}$$



$$\frac{m_f}{v_a} C_{af}(N_X, m_a, m_f) a \bar{f} \gamma_5 f$$

# DFSZ-like ALPs

- Four free parameters:  $\frac{\chi_u}{v_a}, \frac{\chi_d}{v_a}, \frac{\chi_e}{v_a}, m_a$
- A pedagogical example: ALP coupling to W, Z and photons

Generic ALP EFT

$$\frac{a}{16\pi^2 v_a} \left( \underbrace{g^2}_{\text{green}} \underbrace{d_L^\mu}_{\text{green}} W_{\mu\nu}^i \tilde{W}^{i\mu\nu} + \underbrace{g^{\prime 2}}_{\text{green}} \underbrace{d_Y^\mu}_{\text{green}} B_{\mu\nu} \tilde{B}^{\mu\nu} \right)$$



$$g_{a\gamma\gamma}, g_{a\gamma Z}, g_{aZZ}, g_{aWW}$$

Four couplings, two free param.

DFSZ-like

$$\sum_{\nu, d, e} \frac{m_f}{v_a} \underbrace{\chi_f}_{\text{green}} a \bar{f} \gamma_5 f$$



$$g_{a\gamma\gamma}, g_{a\gamma Z}, g_{aZZ}, g_{aWW}$$

Four couplings, four free param.



# DFSZ-like ALPs

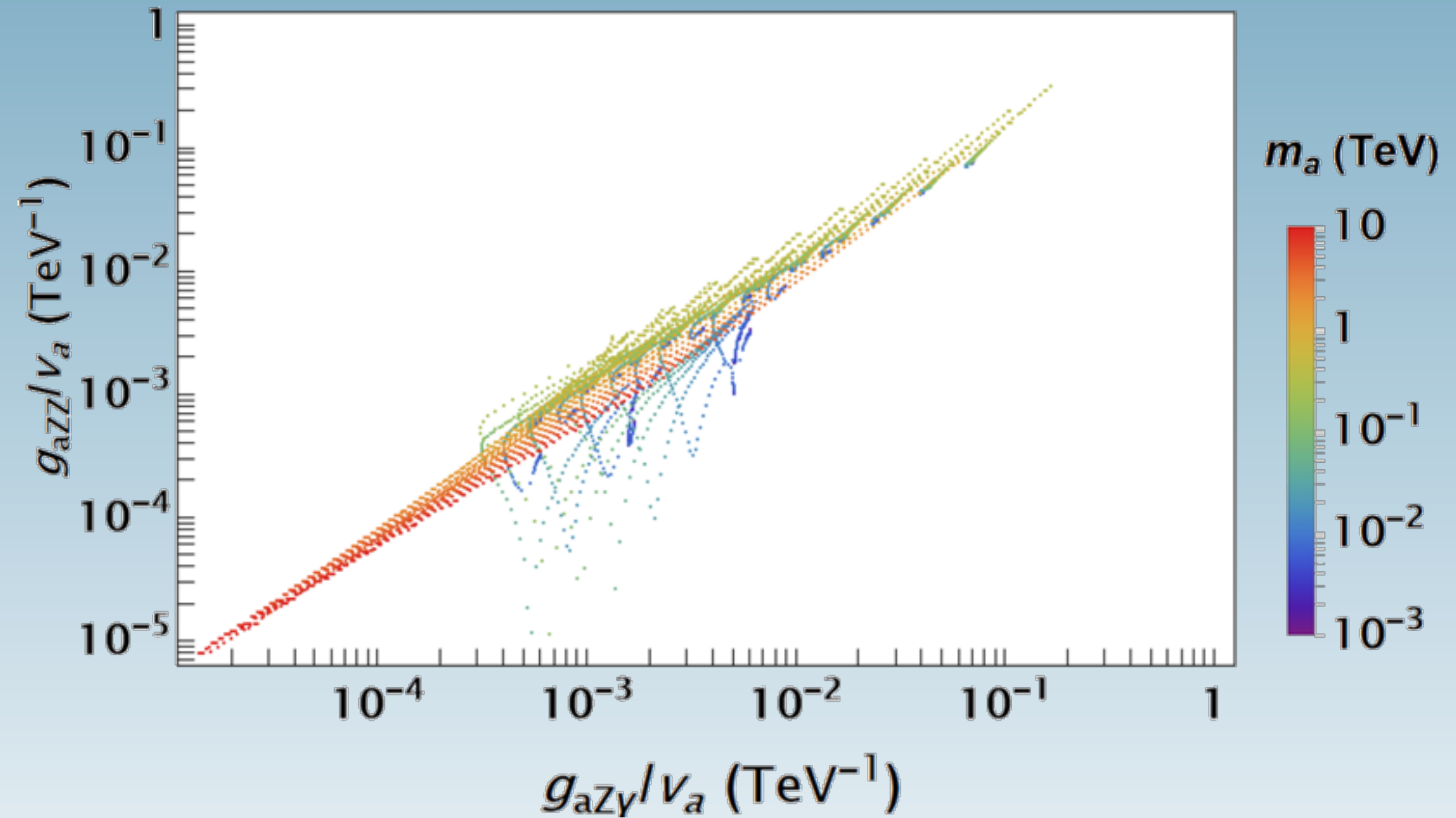
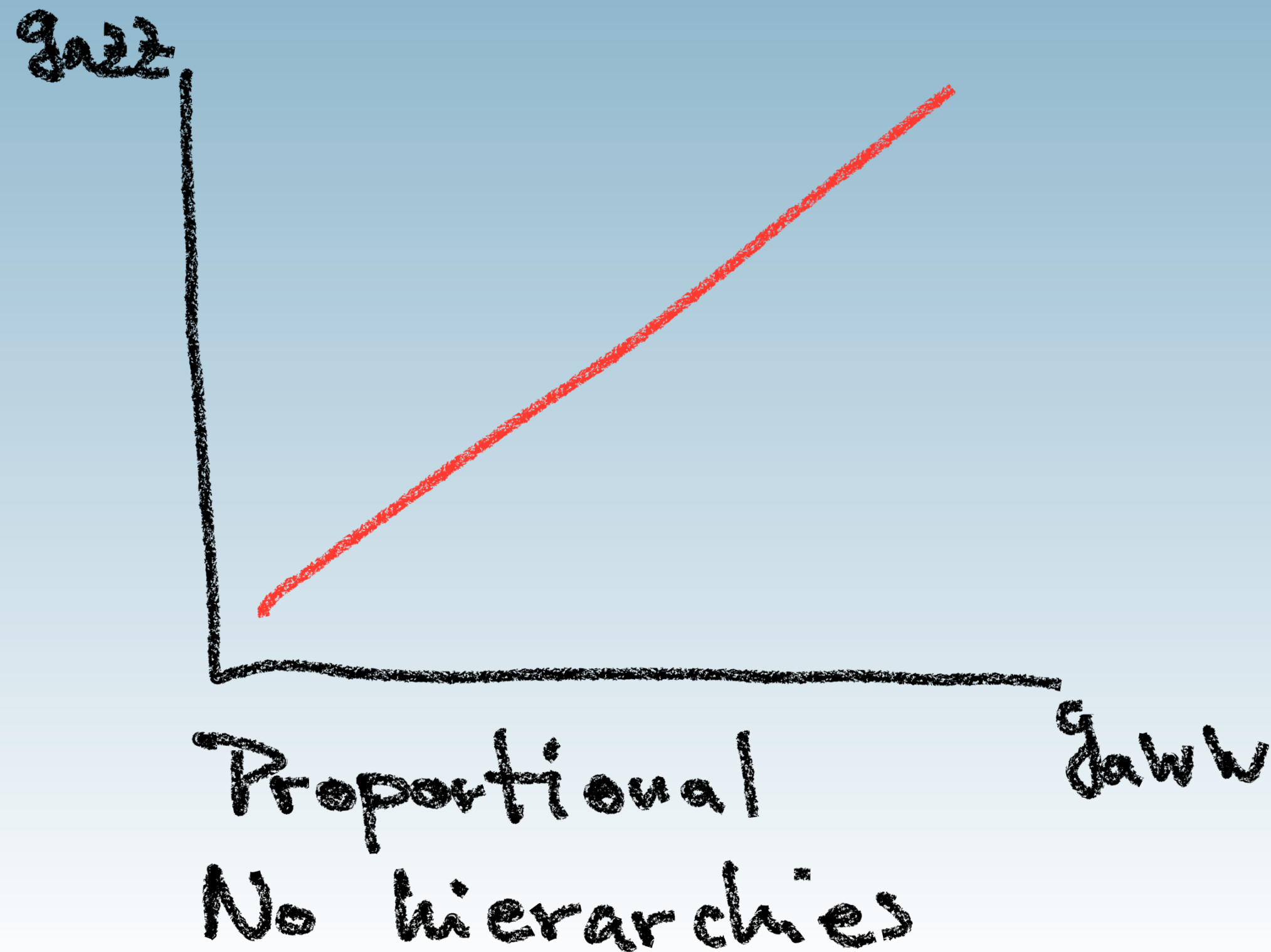
Photophobic ALP:  $g_{a\gamma\gamma} = 0$

DFSZ-like ALP

$\chi_e(\chi_u, \chi_d, m_a)$

Generic EFT

$$eN_L = -eN_Y$$

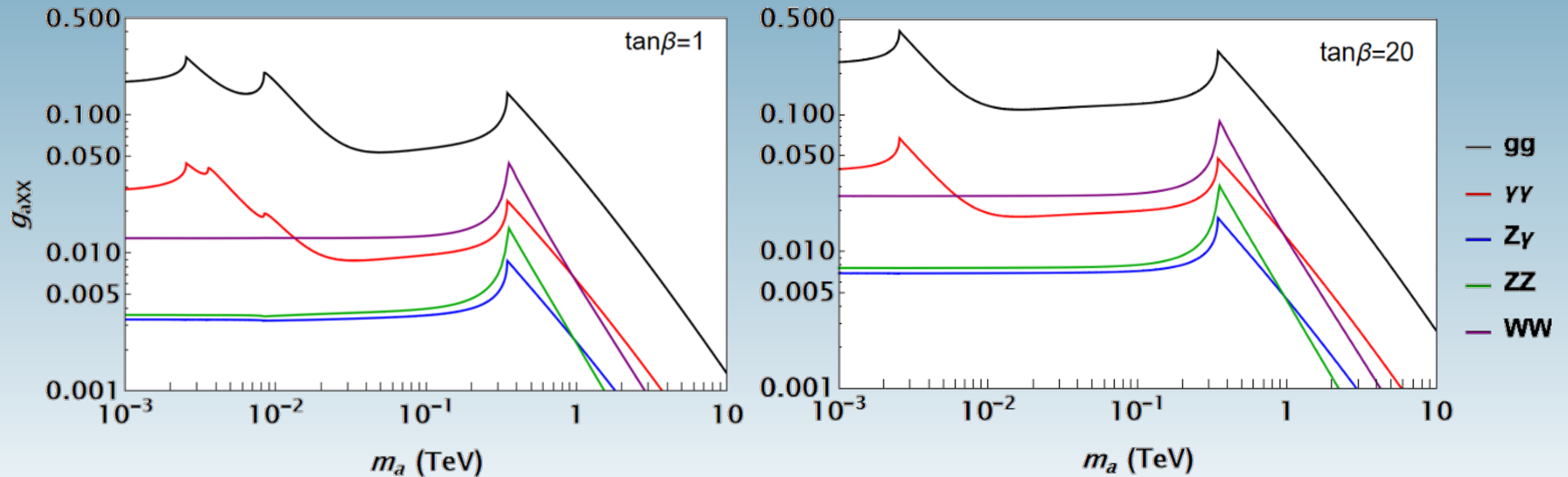


More freedom  
Hierarchies are possible

# DFSZ-like ALPs

- DFSZ axion is an extended 2HDM

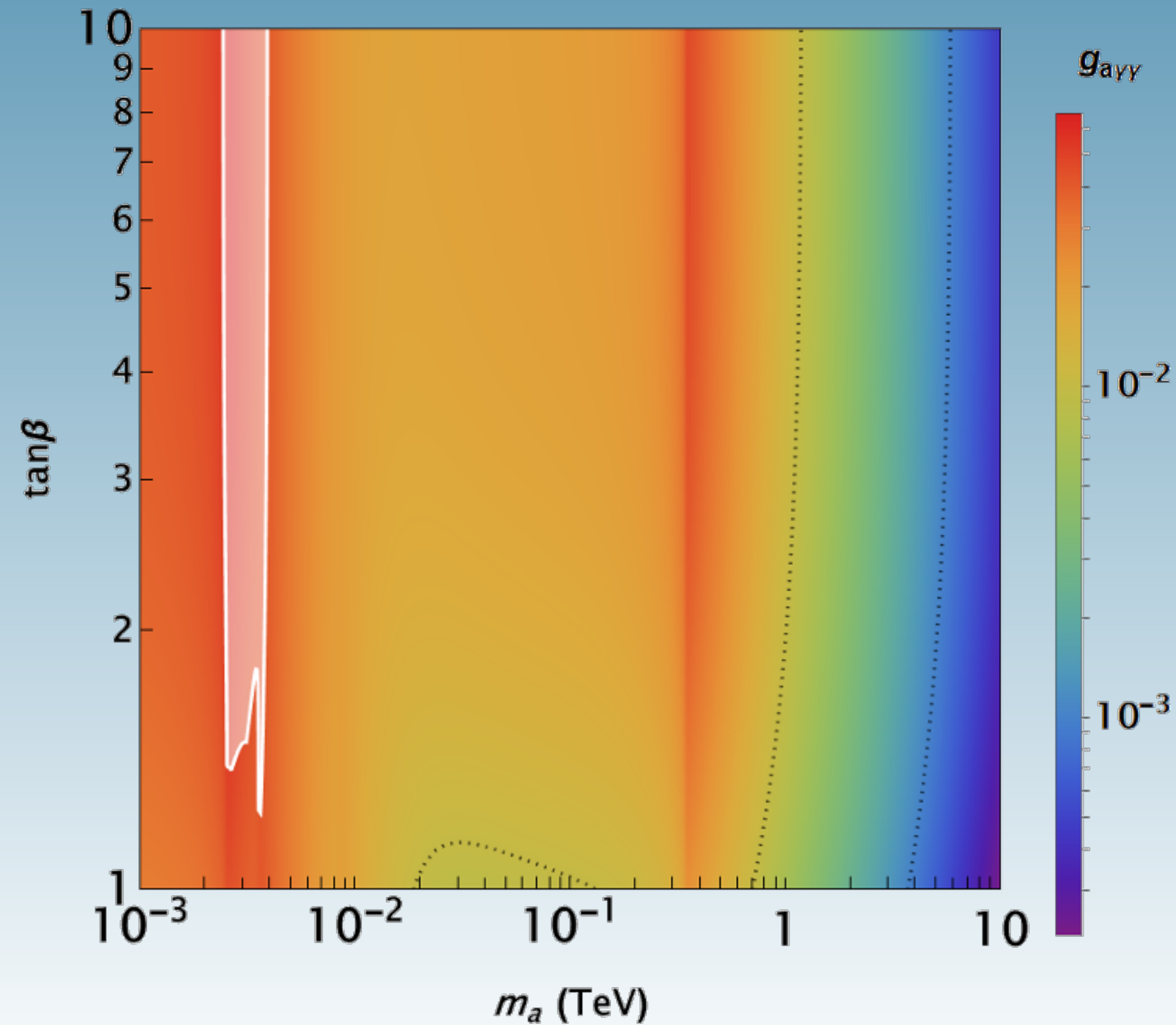
$$\chi_u = \frac{x^2}{1+x^2}, \quad \chi_d = \chi_e = \frac{1}{1+x^2}, \quad x = \tan\beta = \frac{v_u}{v_d}$$





# DFSZ-like ALPs

- This scenario allows to recast bounds on 2HDM for the ALP case



# KSVZ-like ALPs

- All SM particles are singlets of  $U(1)_{PQ}$
- Heavy fermions couple to a heavy scalar, all charged under PQ
- After being integrated out, they yield:

$$\mathcal{L}_{\text{KSVZ}} = \frac{1}{2} (\partial_\mu a \partial^\mu a - m_a^2 a a) \quad SU(2)_L \times U(1)_Y \text{ symm.}$$

$$+ \frac{a}{16\pi^2 v_a} \left( g_s^2 \mathcal{N}_c G_{\mu\nu}^a \tilde{G}^{a\mu\nu} + g^2 \mathcal{N}_L W_{\mu\nu}^i \tilde{W}^{i\mu\nu} + g^2 \mathcal{N}_Y B_{\mu\nu} \tilde{B}^{\mu\nu} \right)$$

- Arbitrary representations: four free parameters,  $m_a, \mathcal{N}_c, \mathcal{N}_L, \mathcal{N}_Y$

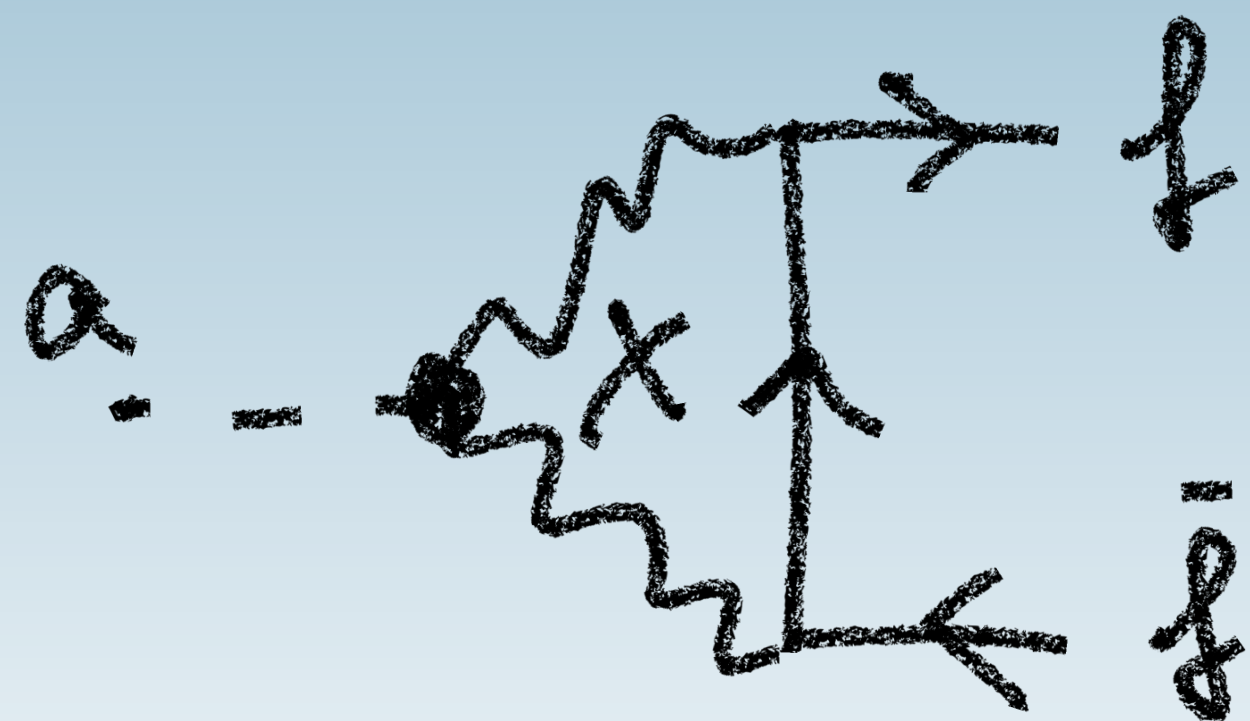


# KSVZ-like ALPs

- After EWSB, ALP couples to all gauge bosons and fermions

$$g_{agg} = \alpha_s \mathcal{N}_c \quad g_{a\gamma\gamma} = \alpha (\mathcal{N}_L + \mathcal{N}_Y) \quad g_{a\gamma Z} = 2\alpha \left( -\frac{\mathcal{N}_L}{t_w} + t_w \mathcal{N}_Y \right)$$

$$g_{aZZ} = \alpha \left( \frac{\mathcal{N}_L}{t_w^2} + t_w^2 \mathcal{N}_Y \right) \quad g_{aWW} = \frac{2\alpha}{s_w^2} \mathcal{N}_L$$

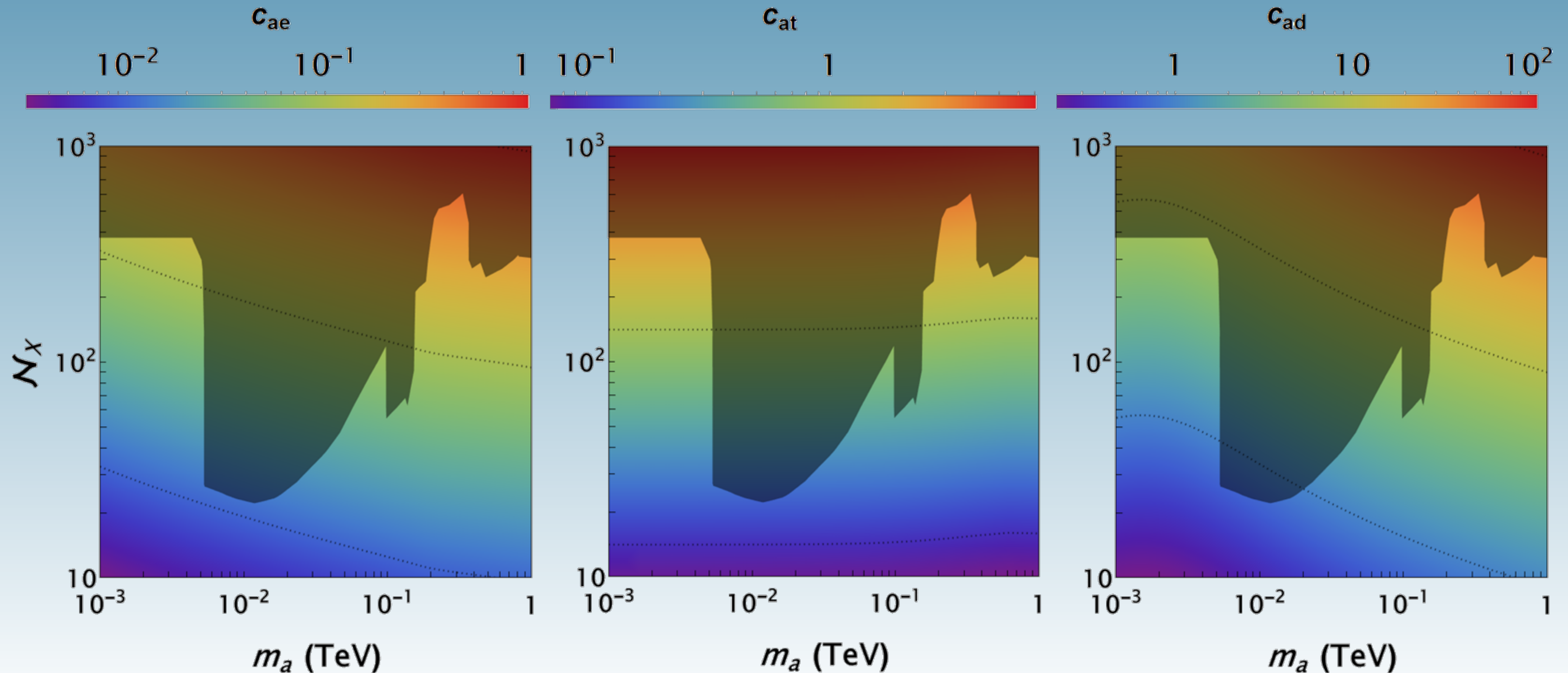


$$\text{CoF}(\mathcal{N}_X, \underbrace{I_{XX}(m_f, m_f, m_X)}_{\text{Scalar loop integrals}})$$

Scalar loop integrals

# KSVZ-like ALPs

- Bounds on ALP-photon can be used to set limits on  $c_{af}$





# KSVZ-like ALPs

- The loop computation involves the Levi-Civita tensor
- Intrinsically four-dimensional: tricky in dimensional regularization
- Regularization scheme dependence

M. Bauer, M. Neubert, A. Thamm, 1708.00443  
 J. Bonilla, I. Brivio, M. B. Gavela, V. Sanz, 2107.11392  
 S. A. Larin, hep-ph/9302240

$$\text{dim } \gamma_\mu = \overline{\gamma}_\mu + \hat{\gamma}_\mu \quad \{\gamma_5, \overline{\gamma}_\mu\} = [\gamma_5, \hat{\gamma}_\mu] = 0$$

$\begin{matrix} \gamma_\mu & \overline{\gamma}_\mu & \hat{\gamma}_\mu \\ \text{dim } & 4 & \epsilon \\ & 4-\epsilon & \end{matrix}$

$$c_{af}^{\gamma\gamma} = c \left( D_\epsilon + \ln \frac{\mu^2}{m_f^2} - \frac{4}{3} \right) + \dots$$

# KSVZ-like ALPs

- The choice of scheme is unphysical. Can it be alleviated?

B. R. Martin, E. de Rafael, J. Smith, PRD 2 (1970) 179-200

- ALP is a pseudoscalar: enforce momentum conservation

$$J^{PC} = 0^{-+} \text{ projector} \rightarrow P_{S=0} = \frac{1}{2\sqrt{p^2}} \left( -\frac{1}{2} \epsilon_{\mu\nu\rho\sigma} (p_1^\rho p_2^\sigma - p_1^\sigma p_2^\rho) \sigma^{\mu\nu} + (p^2 - 2m_f (p_1 + p_2)) \gamma_5 \right)$$

$$\mathcal{M}(a \rightarrow f\bar{f}) = \bar{u}(p_1) T(a \rightarrow f\bar{f}) v(p_2) = \bar{u}(p_1) \gamma_5 v(p_2) F(a \rightarrow f\bar{f})$$

$$F(a \rightarrow f\bar{f}) = \frac{1}{\sqrt{2p^2}} \text{Tr} \left( P_{S=0} \cdot T(a \rightarrow f\bar{f}) \right)$$



# KSVZ-like ALPs

- Dirac structure outside the loop integral: no scheme dependence

- Same procedure used for dealing with  $K_L \rightarrow \gamma\gamma \rightarrow \mu^+\mu^-$

G. Isidori, R. Unterdorfer, hep-ph/0311084

$$c_{\alpha}^{d\bar{d}} = c \left( D_{\epsilon} + \ln \frac{M^2}{m_f^2} + \frac{5}{3} \right) + \dots$$

- Different asymptotic coupling for  $m_a \ll m_f$
- What about the renormalization scale  $\mu$ ?

# KSVZ-like ALPs

- The 2-loop process in the UV is finite
- Considering only intermediate photons:



$$c_a^{\text{tot}} = c \left( 0 + \ln \frac{m_Q^2}{m_f^2} + \frac{17}{6} + \frac{5}{27} \frac{m_f^2}{m_Q^2} \ln \frac{m_Q^2}{m_f^2} + \frac{11}{54} \frac{m_f^2}{m_Q^2} + \dots \right)$$

L. Ametller, L. Bergstrom, A. Bramon, E. Masso, NPB 228 (1983) 301-315  
 G. Ecker, A. Pich, NPB 366 (1991) 189-205

$$\mu = m_Q \simeq v_a$$

- No  $O(1) c_{af}$  at the EW scale, as opposed to generic ALP EFT

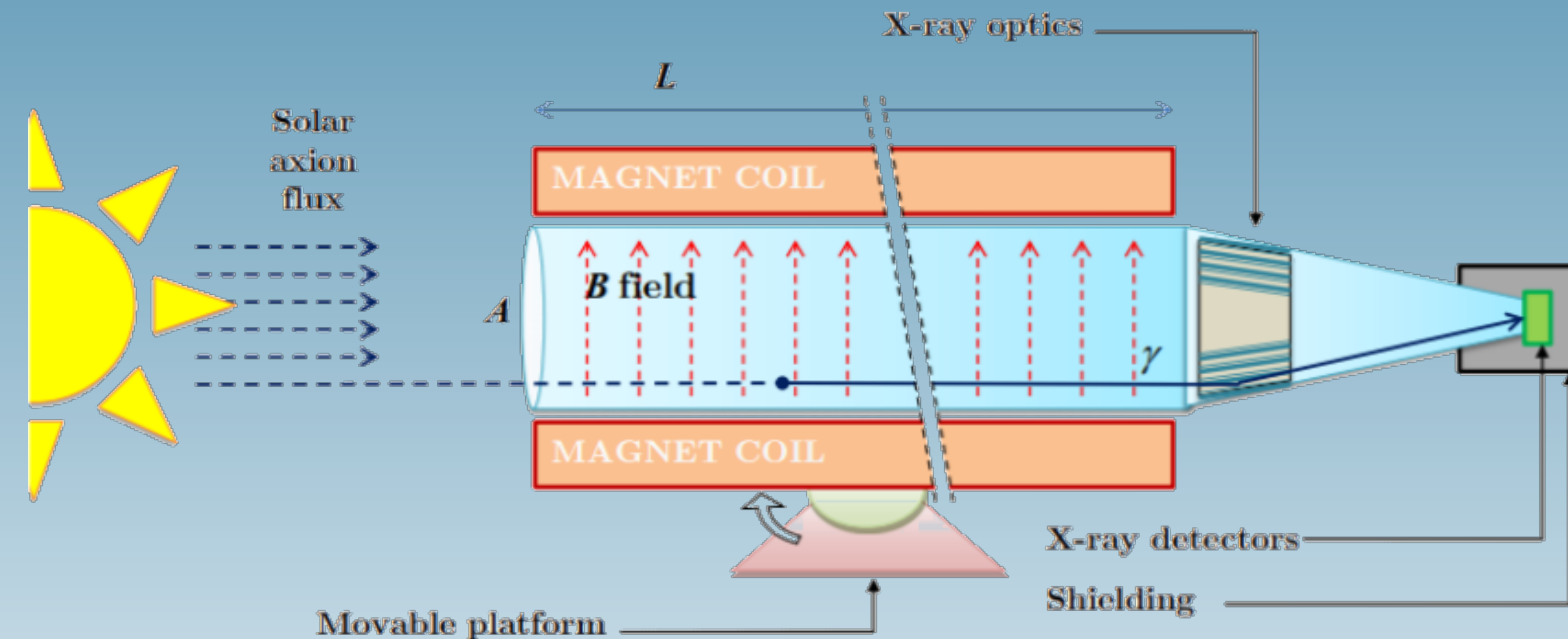


# A New Approach for ALP searches: Reactoscopes

**FAA**, V. Brdar, J. Quevillon, 2310.03631

# ALP Search Strategies - A Review

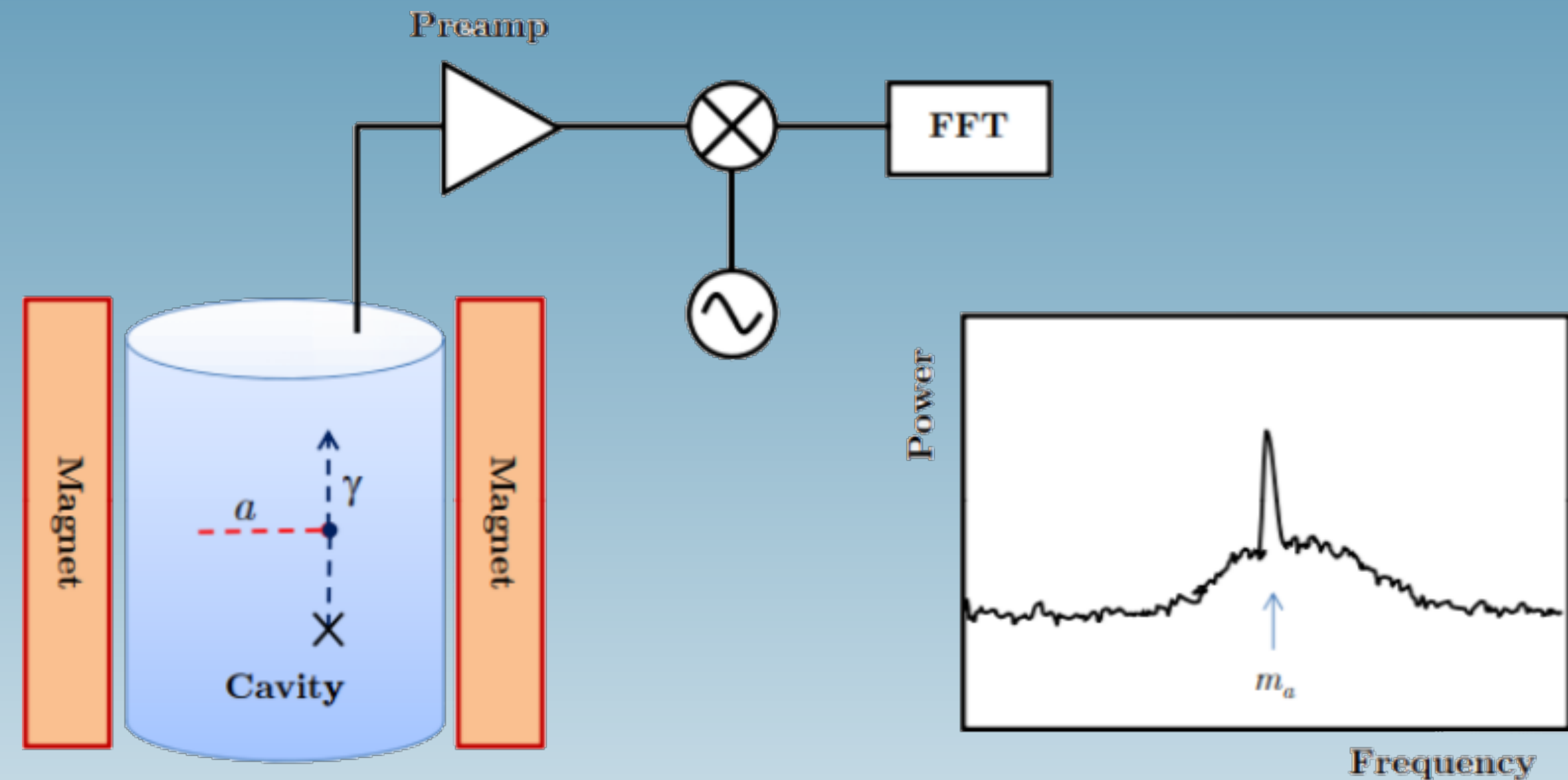
- Helioscopes (CAST, IAXO)
  - Production within the Sun
  - Complicated astrophysics
  - Large detection chamber
  - Relevant coupling:  $g_{ax}$
  - Can scan many masses





# ALP Search Strategies - A Review

- Haloscopes (ADMX, MADMAX, ...)
  - Assumes Axion is DM
  - Several possibilities for production
  - Detection in resonant chamber
  - Narrow  $m_a$  windows
  - High precision in  $g_{ax\gamma}$

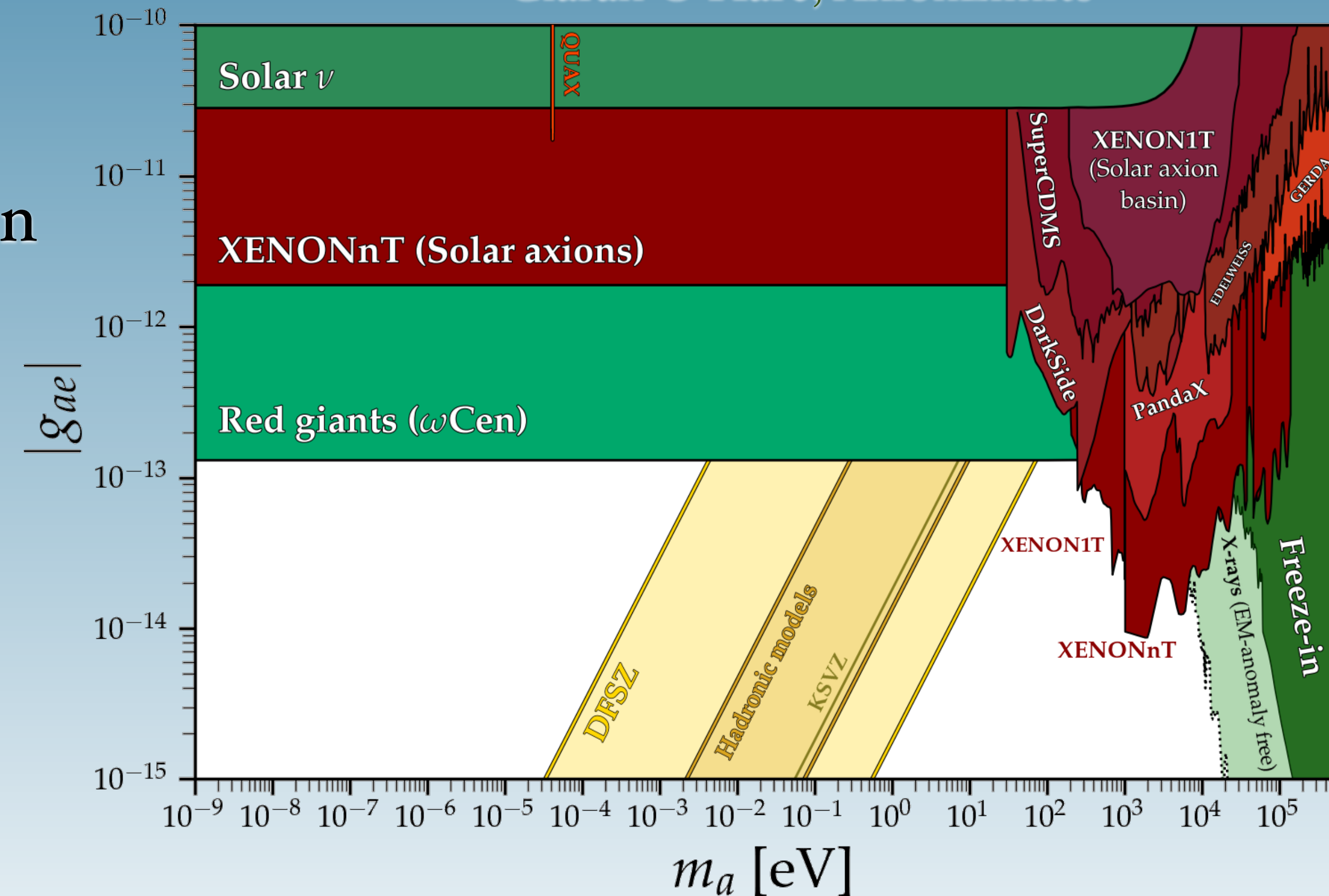


# ALP Search Strategies - A Review

- Recoil experiments (XENONnT, PandaX, ...)

- DM or solar axions
- Several possibilities for production
- Astro/cosmo sensitive
- Main couplings:  $g_{aee}$ ,  $g_{ann}$

Ciaran O'Hare, AxionLimits

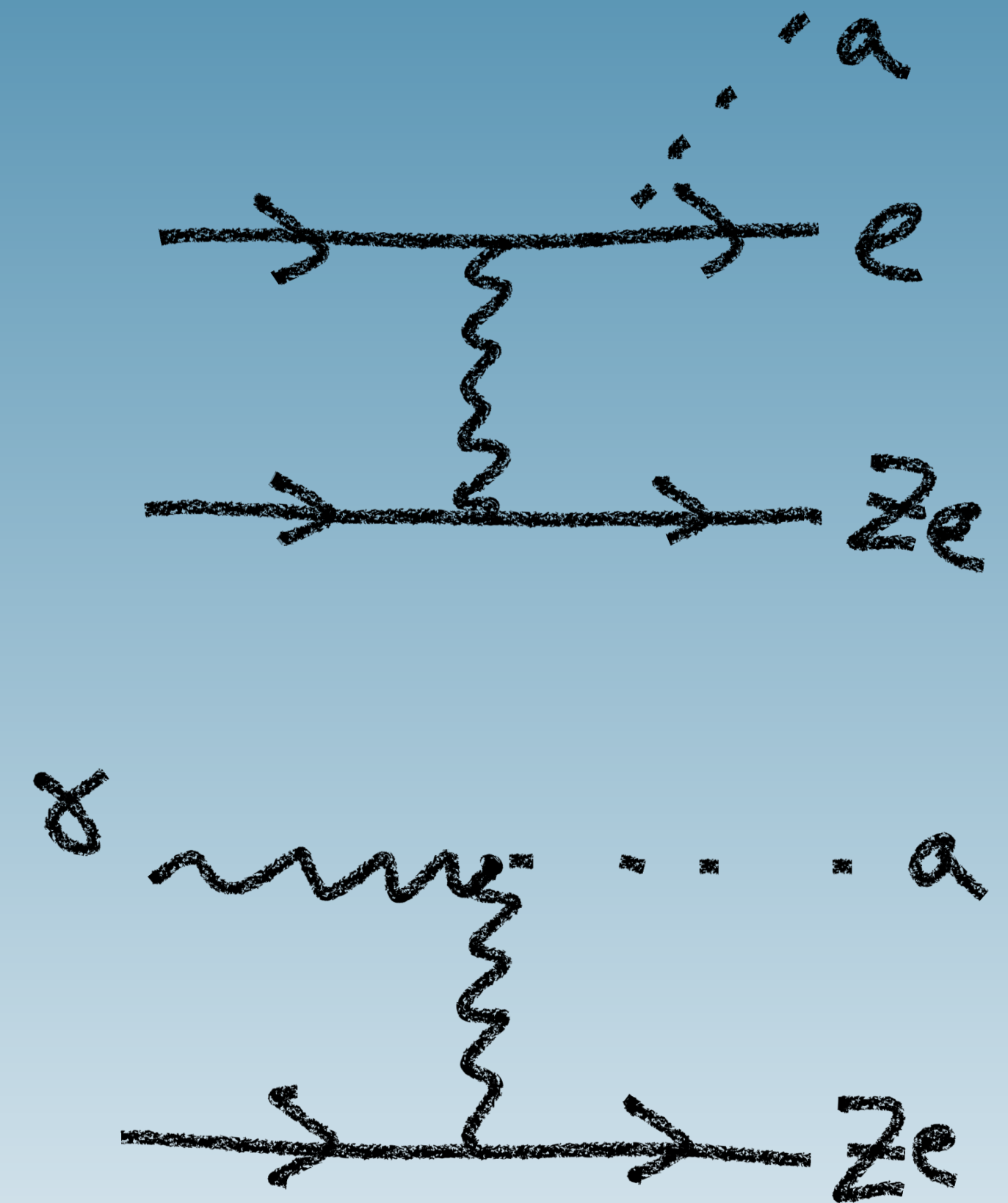




# ALP Search Strategies - A Review

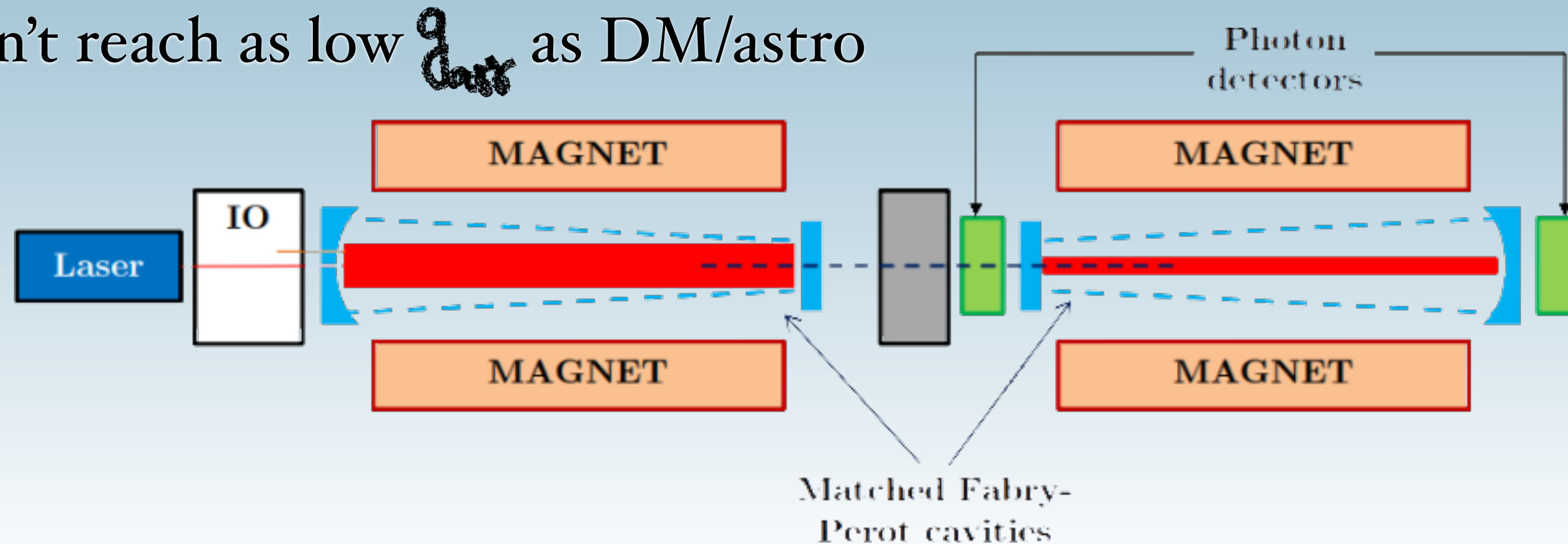
M. Gianotti, I. G. Irastorza, J. Redondo, A. Ringwald, K. Saikawa, 1708.02111

- Stellar cooling
  - Many observables involved
  - Bremsstrahlung and Primakoff production
  - Depend on astrophysical assumptions
  - Main couplings:  $g_{aee}, g_{a\gamma\gamma}$



# ALP Search Strategies - A Review

- Light-shining-through-wall (OSQAR,)
  - Production and detection in lab
  - Involve high magnetic fields
  - Can explore many ALP masses
  - Doesn't reach as low  $g_{\text{dark}}$  as DM/astro





# ALP Search Strategies - New Ideas

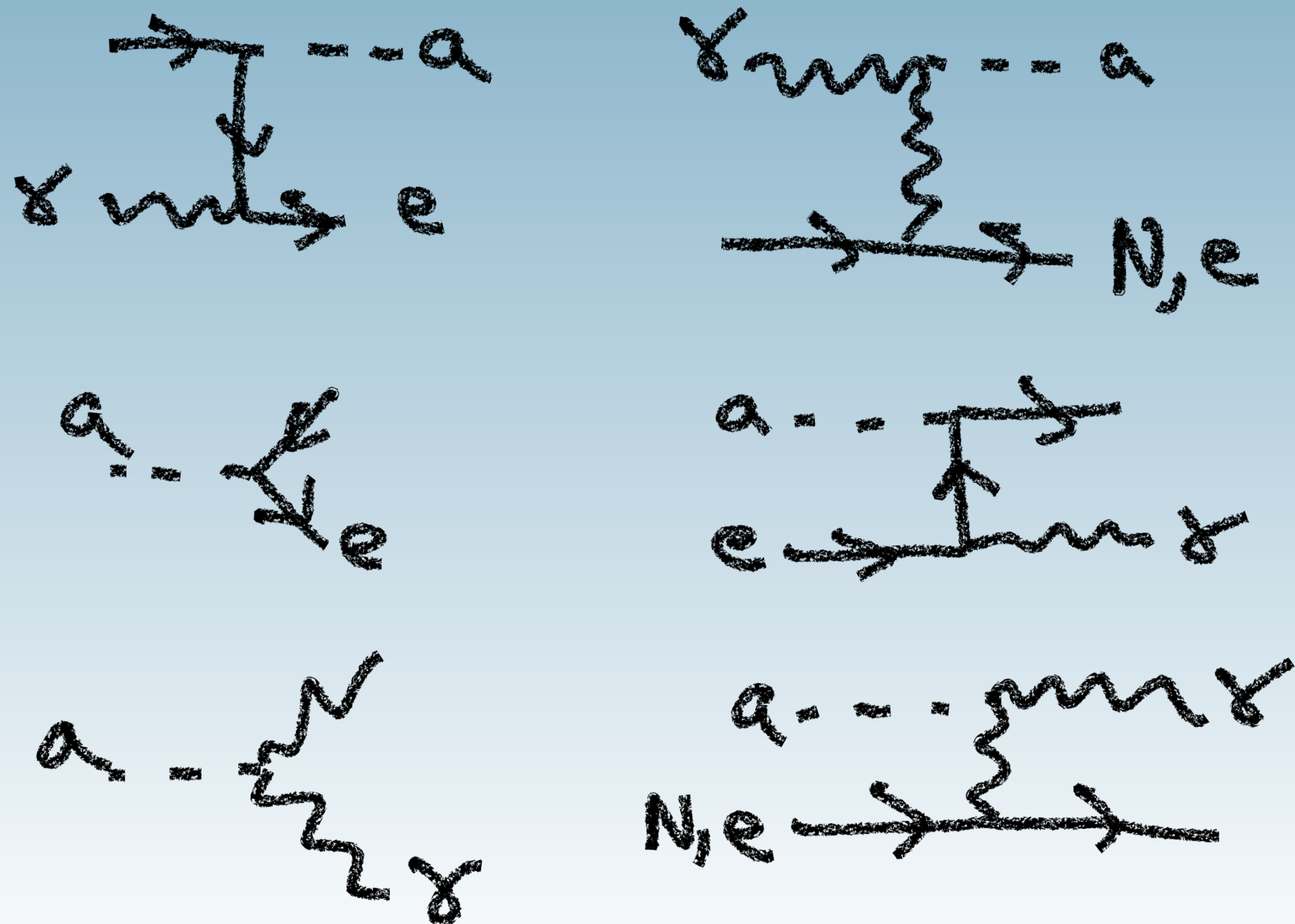
- ALPs can be produced at nuclear reactors
- Detectable at neutrino experiments
- ALPs can be produced at beam dumps
- Detectable in magnetic fields

J. B. Dent, B. Dutta, D. Kim, S. Liao,

R. Mahapatra, K. Sinha, A. Thompson, 1912.05733

D. Aristizabal Sierra, V. De Romeri, L. J. Flores, D. K. Papoulias 2010. 15712

W. M. Bonivento, D. Kim, K. Sinha, 1909. 03071



# ALP Search Strategies - Reactoscopes

- ALPs produced at reactors

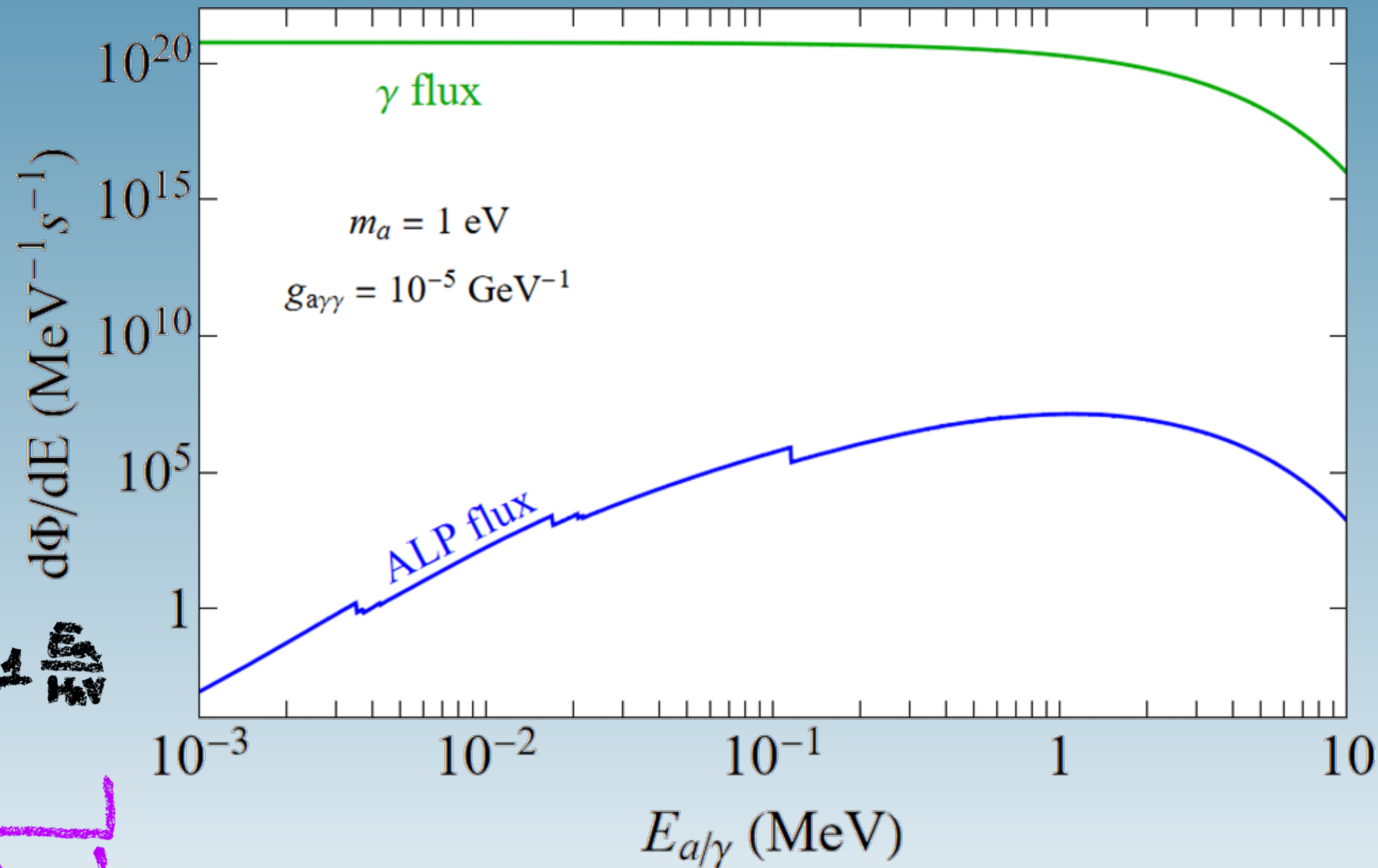
$$\mathcal{L}_a = -\frac{1}{4} g_{\text{axx}} a F_{\mu\nu} \tilde{F}^{\mu\nu}$$

Survival Prob

$$\frac{d\Phi_a}{dE_a} = \frac{1}{4\pi D^2} e^{-\frac{D}{\lambda}} \frac{\sigma_{\text{Prim}}(E_a)}{\sigma_{\text{Tot}}(E_a)} \frac{5.8 \cdot 10^{20}}{\text{MeV s}} \left(\frac{\text{P}}{\text{GW}}\right) e^{-\frac{E_a}{m_a}}$$

Spherical Flux

Photon Flux in Reactor





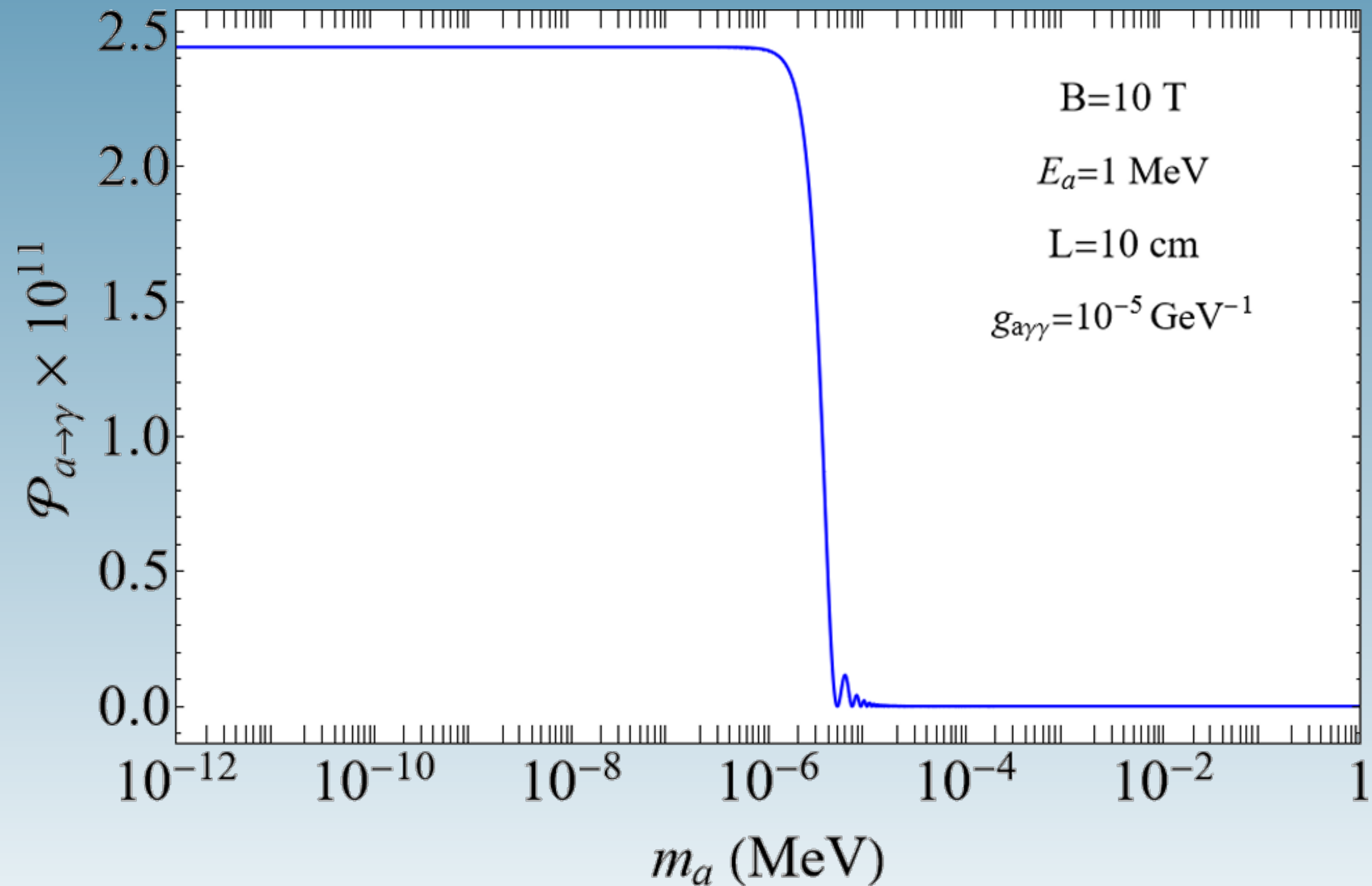
# ALP Search Strategies - Reactoscopes

- Detection in magnetic field (non-resonant)

$$N_\gamma = T \pi R^2 \int \frac{d\bar{\Phi}_a}{dE_a} P_{a \rightarrow \gamma} dE_a$$

$$P_{a \rightarrow \gamma} = \left( \frac{g_{a\gamma\gamma} B}{q} \right)^2 \sin^2 \left( \frac{qL}{2} \right)$$

$$q = \sqrt{\left( \frac{m_a^2}{2E_a} \right)^2 + (g_{a\gamma\gamma} B)^2}$$





# ALP Search Strategies - Reactoscopes

- Look no further, we have one already!



NEUTRONS  
FOR SOCIETY

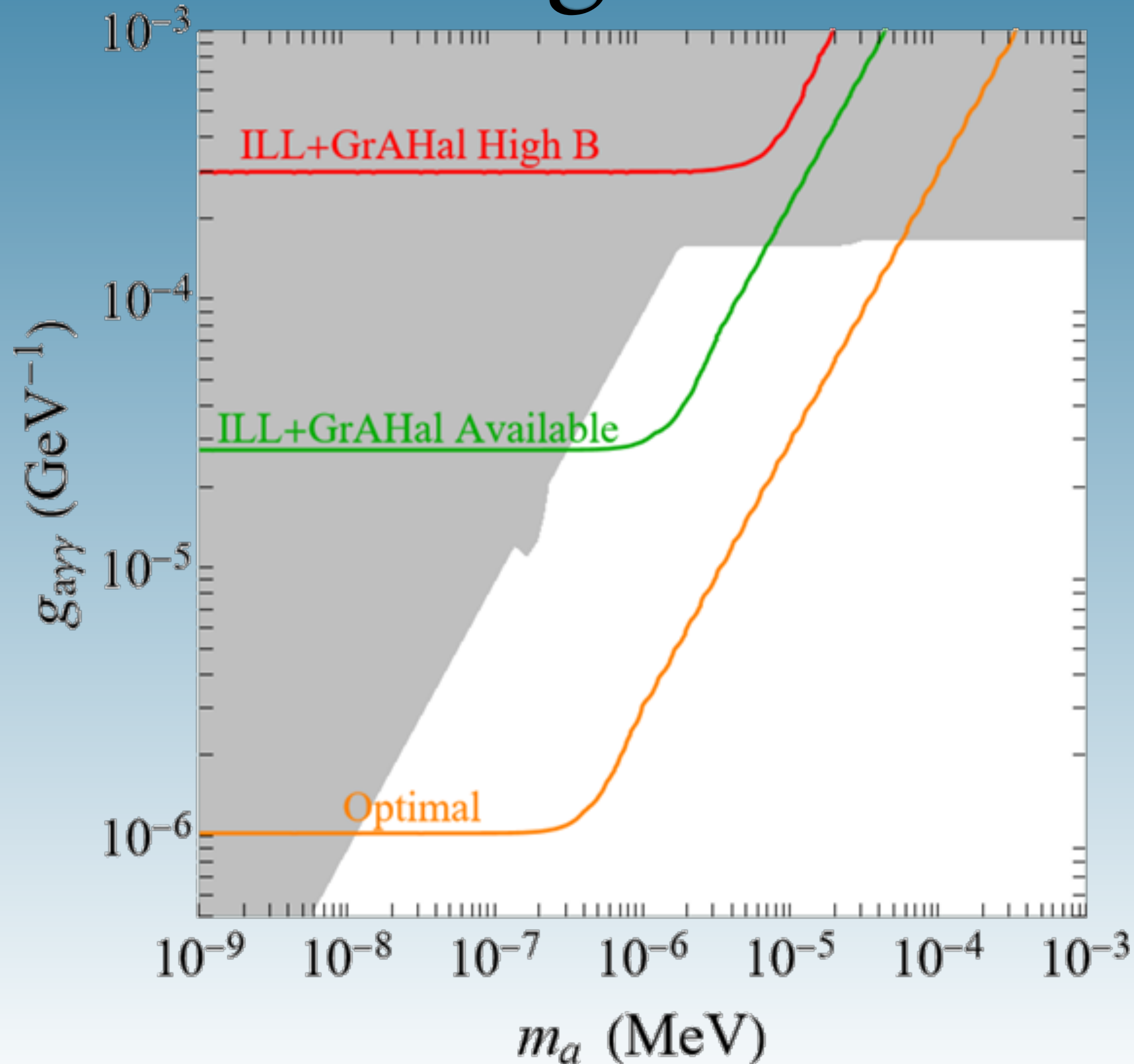
GrA-Hal





# ALP Search Strategies - Reactoscopes

$B = 43 \text{ T}$   
 $R = 1,7 \text{ cm}$   
 $L = 3,4 \text{ cm}$   
 $P = 58 \text{ MW}$   
 $D = 700 \text{ m}$   
 $B = 9,5 \text{ T}$   
 $R = 40 \text{ cm}$   
 $L = 80 \text{ cm}$



$P = 8,2 \text{ GW}$   
 $D = 50 \text{ m}$   
 $B = 2 \text{ T}$   
 $R = 35 \text{ cm}$   
 $L = 10 \text{ m}$

# Conclusions



# Conclusions

- ALPs, like axions, are a strong BSM candidate
- Both the theoretical and experimental communities are very involved
- EFTs are a very powerful tool
- Bounds deriving from them can be tricky to apply on specific models
- Two well motivated ALP benchmarks: DFSZ-like and KSVZ-like
- DFSZ-like analogous to 2HDM
- KSVZ-like free of scheme dependence
- A dedicated analysis that recasts existing bounds is necessary

# Conclusions

- Many experiments look for axion/ALPs
- Laboratory experiments complement astro/cosmo probes
- New proposals are still arising
- Among them, reactoscopes may be particularly affordable
- Better sensitivity than current laboratory bounds



THANK YOU FOR YOUR ATTENTION