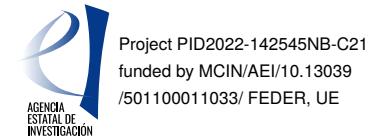


# (eV) sterile neutrinos: global picture and local views

Michele Maltoni

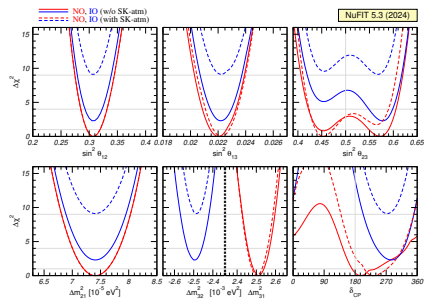
Instituto de Física Teórica UAM/CSIC

XXXI International Conference on Neutrino Physics and Astrophysics  
Milan, Italy – June 17th, 2024



## Status of three neutrino oscillations (before this conference)

### NuFIT group [1]

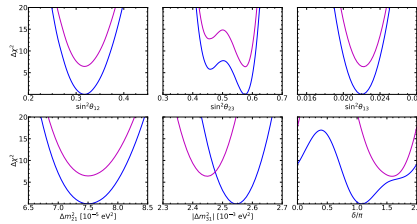


	Normal Ordering (best fit)		Inverted Ordering ( $\Delta\chi^2 = 2.3$ )	
	1 $\sigma$ range	3 $\sigma$ range	1 $\sigma$ range	3 $\sigma$ range
$\sin^2\theta_{12}$	0.307 $^{+0.011}_{-0.011}$	0.275–0.344	0.307 $^{+0.011}_{-0.011}$	0.275–0.344
$\sin^2\theta_{13}$	0.0220 $^{+0.0005}_{-0.0005}$	0.02029–0.02201	0.0220 $^{+0.0005}_{-0.0005}$	0.02029–0.02201
$\Delta m^2_{21}$	7.41 $^{+0.21}_{-0.21}$	6.81–8.03	7.41 $^{+0.21}_{-0.21}$	6.81–8.03
$\Delta m^2_{31}$	+2.511 $^{+0.027}_{-0.027}$	+2.428–+2.507	-2.498 $^{+0.022}_{-0.022}$	-2.581–-2.409

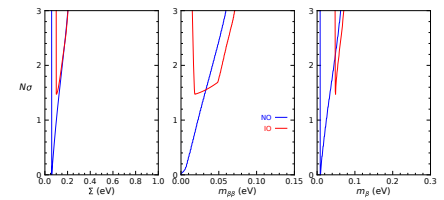
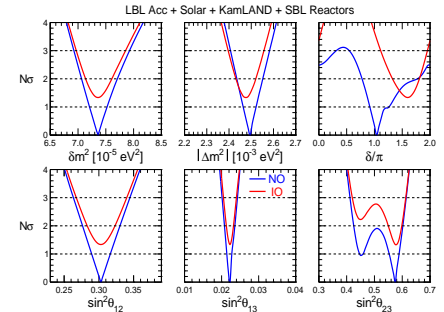
	Normal Ordering (best fit)		Inverted Ordering ( $\Delta\chi^2 = 9.1$ )	
	1 $\sigma$ range	3 $\sigma$ range	1 $\sigma$ range	3 $\sigma$ range
$\sin^2\theta_{12}$	0.307 $^{+0.011}_{-0.011}$	0.275–0.344	0.307 $^{+0.011}_{-0.011}$	0.275–0.344
$\sin^2\theta_{13}$	0.0220 $^{+0.0005}_{-0.0005}$	0.02029–0.02201	0.0220 $^{+0.0005}_{-0.0005}$	0.02029–0.02201
$\Delta m^2_{21}$	7.41 $^{+0.21}_{-0.21}$	6.81–8.03	7.41 $^{+0.21}_{-0.21}$	6.81–8.03
$\Delta m^2_{31}$	+2.511 $^{+0.027}_{-0.027}$	+2.426–+2.586	-2.487 $^{+0.025}_{-0.025}$	-2.566–-2.407

### Valencia group [2]



parameter	best fit $\pm 1\sigma$	2 $\sigma$ range	3 $\sigma$ range
$\Delta m^2_{21}$ [ $10^{-5}\text{eV}^2$ ]	7.50 $^{+0.22}_{-0.20}$	7.12–7.93	6.94–8.14
$ \Delta m^2_{31} $ [ $10^{-3}\text{eV}^2$ ] (NO)	2.55 $^{+0.02}_{-0.03}$	2.49–2.60	2.47–2.63
$ \Delta m^2_{31} $ [ $10^{-3}\text{eV}^2$ ] (IO)	2.45 $^{+0.02}_{-0.03}$	2.39–2.50	2.37–2.53
$\sin^2\theta_{12}/10^{-1}$	3.18 $\pm$ 0.16	2.86–3.52	2.71–3.69
$\theta_{12}/^\circ$	34.3 $\pm$ 1.0	32.3–36.4	31.4–37.4
$\sin^2\theta_{23}/10^{-1}$ (NO)	5.74 $\pm$ 0.14	5.41–5.99	4.34–6.10
$\theta_{23}/^\circ$ (NO)	49.26 $\pm$ 0.79	47.37–50.71	41.20–51.33
$\sin^2\theta_{23}/10^{-1}$ (IO)	5.78 $^{+0.10}_{-0.17}$	5.41–5.98	4.33–6.08
$\theta_{23}/^\circ$ (IO)	49.46 $^{+0.60}_{-0.67}$	47.35–50.67	41.16–51.25
$\sin^2\theta_{13}/10^{-2}$ (NO)	2.200 $^{+0.069}_{-0.062}$	2.069–2.337	2.000–2.405
$\theta_{13}/^\circ$ (NO)	8.53 $^{+0.13}_{-0.13}$	8.27–8.79	8.13–8.92
$\sin^2\theta_{13}/10^{-2}$ (IO)	2.225 $^{+0.064}_{-0.070}$	2.086–2.356	2.018–2.424
$\theta_{13}/^\circ$ (IO)	8.58 $^{+0.12}_{-0.14}$	8.30–8.83	8.17–8.96
$\delta/\pi$ (NO)	1.08 $^{+0.13}_{-0.24}$	0.84–1.42	0.71–1.99
$\theta_{13}/^\circ$ (NO)	194 $^{+22}_{-22}$	152–255	128–359
$\delta/\pi$ (IO)	1.58 $^{+0.15}_{-0.16}$	1.26–1.85	1.11–1.96
$\theta_{13}/^\circ$ (IO)	284 $^{+26}_{-28}$	226–332	200–353

### Bari group [3]



Parameter	Ordering	Best fit	1 $\sigma$ range	2 $\sigma$ range	3 $\sigma$ range	"1 $\sigma$ " (95)
$\sin^2\theta_{12}/10^{-1}$	NO, IO	3.36	2.93–3.73	2.66–4.31	0.98–6.00	2.3
$\sin^2\theta_{13}/10^{-2}$	NO, IO	3.03	2.90–3.10	2.77–3.30	2.63–3.45	3.5
$ \Delta m^2_{31} /10^{-3}\text{eV}^2$	NO	2.485	2.454–2.508	2.437–2.537	2.401–2.565	1.1
$ \Delta m^2_{31} /10^{-3}\text{eV}^2$	IO	2.155	2.020–2.195	2.009–2.313	2.076–2.541	1.1
$\sin^2\theta_{23}/10^{-1}$	NO	2.23	2.17–2.30	2.11–2.32	2.04–2.44	3.0
$\sin^2\theta_{23}/10^{-1}$	IO	2.23	2.17–2.29	2.10–2.38	2.03–2.45	3.1
$\sin^2\theta_{13}/10^{-2}$	NO	4.55	4.49–4.73	4.27–4.81	4.16–5.06	6.7
$\sin^2\theta_{13}/10^{-2}$	IO	5.09	5.18–5.82	4.80–5.91	4.17–6.06	5.5
$\delta/\pi$	NO	1.21	1.11–1.42	0.94–1.74	0.77–1.97	1.6
$\delta/\pi$	IO	1.52	1.07–1.66	1.22–1.78	1.07–1.90	9

[1] I. Esteban *et al.*, JHEP **09** (2020) 178 [arXiv:2007.14792] & NuFIT 5.3 [http://www.nu-fit.org]

[2] P.F. de Salas *et al.*, JHEP **02** (2021) 071 [arXiv:2006.11237]

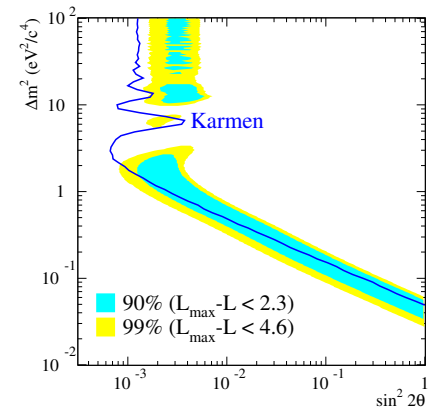
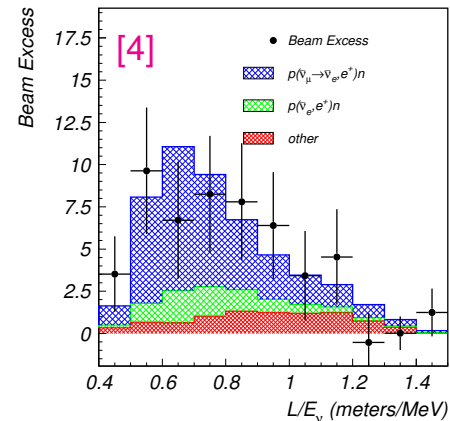
[3] F. Capozzi *et al.*, Phys. Rev. D **104** (2021) 083031 [arXiv:2107.00532]

↪ [Tortola]

## A long time ago... the LSND anomaly

- Back in the 90's, the **LSND** experiment observed an excess of  $\bar{\nu}_e$  events in a  $\bar{\nu}_\mu$  beam ( $E_\nu \sim 30$  MeV,  $L \simeq 35$  m) [4];
- the **Karmen** collaboration did not confirm the claim, but couldn't fully exclude it either [5];
- the signal is compatible with  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  oscillations provided that  $\Delta m^2 \gtrsim 0.1$  eV<sup>2</sup>;
- on the other hand, global neutrino data give (at  $3\sigma$ ):
 
$$\Delta m_{\text{SOL}}^2 \simeq [6.8 \rightarrow 8.0] \times 10^{-5} \text{ eV}^2,$$

$$|\Delta m_{\text{ATM}}^2| \simeq [2.4 \rightarrow 2.6] \times 10^{-3} \text{ eV}^2;$$
- hence, to explain LSND with mass-induced  $\nu$  oscillations one needs **new** neutrino mass eigenstates;
- **MiniBooNE**: much larger  $E_\nu$  and  $L$  but similar  $L/E_\nu$ .

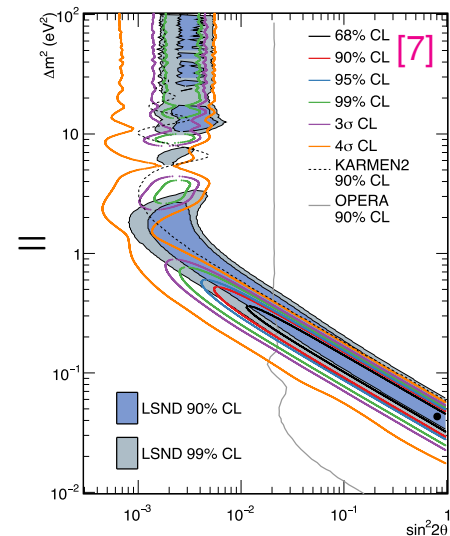
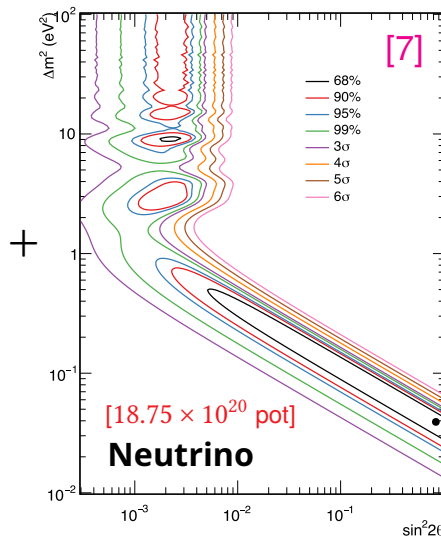
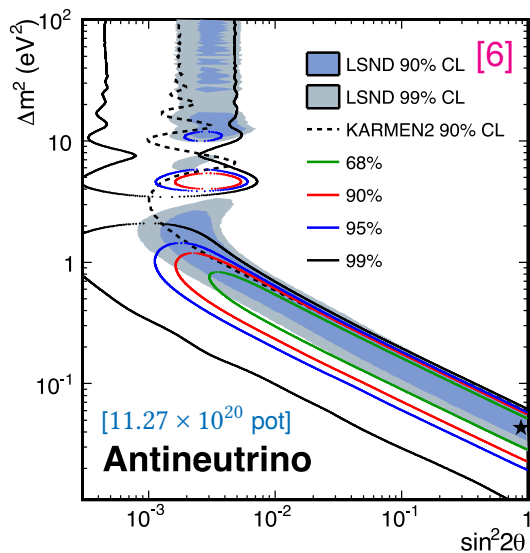


[4] A. Aguilar-Arevalo *et al.* [LSND collab], Phys. Rev. D **64** (2001) 112007 [hep-ex/0104049]

[5] B. Armbruster *et al.* [KARMEN collab], Phys. Rev. D **65** (2002) 112001 [hep-ex/0203021]

## The MiniBooNE experiment

- MiniBooNE searched for  $\bar{\nu}_e \rightarrow \bar{\nu}_\mu$  conversion ( $E = 200 \rightarrow 1250$  MeV,  $L \simeq 541$  m);
- excess in both  $\bar{\nu}$  and  $\nu \Rightarrow$  oscillations compatible with LSND (ev =  $4.8\sigma$ , gof = 12.3%);
- however, the low energy part of the excess **cannot** be accounted just by oscillations...

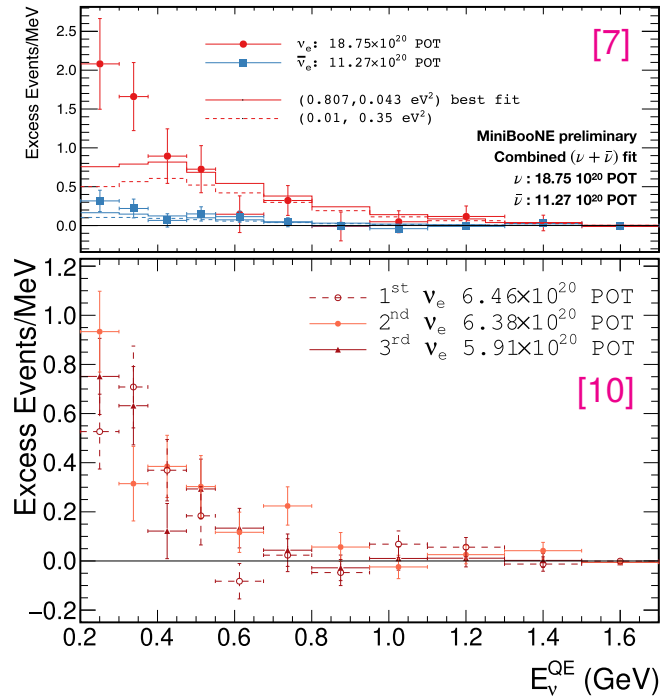


[6] A.A. Aguilar-Arevalo *et al.* [MiniBooNE collab], PRL **110** (2013) 161801 [[arXiv:1303.2588](https://arxiv.org/abs/1303.2588)]

[7] A. Hourlier, talk at Neutrino 2020, Fermilab (online), USA, 22/6-2/7/2020

## MiniBooNE low-energy excess

- Excess present from the very beginning;
- 2007 ( $\nu$ ): **low-E** excess too steep for oscillation fit ( $P_{\text{osc}} \approx 1\%$ )  $\Rightarrow$  set  $E \geq 475$  MeV  $\Rightarrow$  no signal left  $\Rightarrow$  **reject** LSND [8];
- 2013 ( $\bar{\nu}$ ): **low-E** not so steep + **mid-E** excess observed  $\Rightarrow$  good oscillation fit ( $P_{\text{osc}} \approx 66\%$ )  $\Rightarrow$  **confirm** LSND [6];
- 2018 ( $\nu$ ): **low-E** softened + **mid-E** excess seen also in  $\nu \Rightarrow$  mild oscillation fit ( $P_{\text{osc}} \approx 15\%$ ) [9];
- 2020 ( $\nu$ ): more data released [10], oscillations confirmed but **low-E** excess definitely there.



[7] A. Hourlier, talk at Neutrino 2020, Fermilab (online), USA, 22/6-2/7/2020

[8] A.A. Aguilar-Arevalo *et al.* [MiniBooNE], Phys. Rev. Lett. **98** (2007) 231801 [arXiv:0704.1500]

[6] A.A. Aguilar-Arevalo *et al.* [MiniBooNE], Phys. Rev. Lett. **110** (2013) 161801 [arXiv:1303.2588]

[9] A.A. Aguilar-Arevalo *et al.* [MiniBooNE], Phys. Rev. Lett. **121** (2018) 221801 [arXiv:1805.12028]

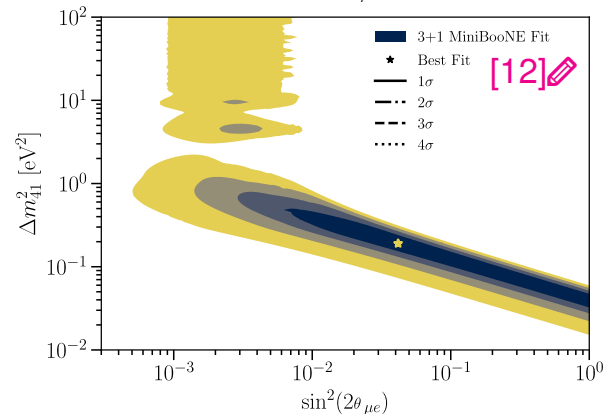
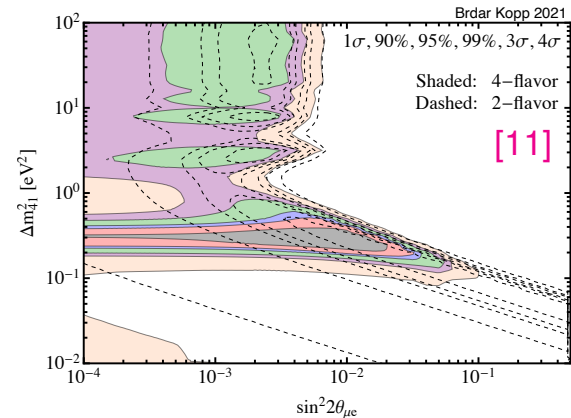
[10] A.A. Aguilar-Arevalo *et al.* [MiniBooNE], Phys. Rev. D **103** (2021) 052002 [arXiv:2006.16883]

## Present status of MiniBooNE

- Possible systematics related to the low-E excess:
    - misreconstruction of neutrino energy;
    - $\pi^0$  from NC reconstructed as  $\nu_e$ ;
    - single photon from NC misidentified as  $\nu_e$ ;
  - extensive studies performed by the collaboration;
  - present status: no combination of known systematics could account for the whole excess [11];
- ⇒ independent experimental confirmation is required.

### 2ν versus 4ν oscillations

- Former MB studies overlooked oscillations of  $\bar{\nu}_e$  beam contamination and  $\bar{\nu}_\mu$  calibration sample [11];
- such effects can be very important. Omission corrected in recent reanalysis [12].

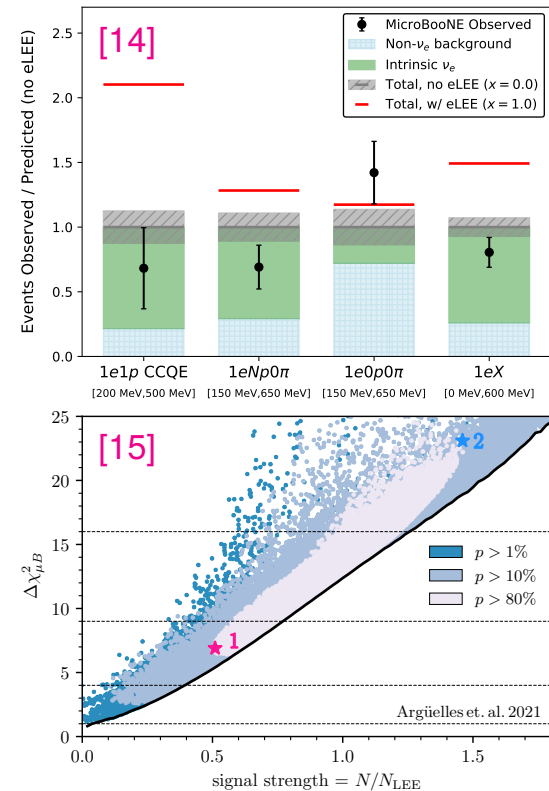


[11] V. Brdar and J. Kopp, Phys. Rev. D **105** (2022) 115024 [arXiv:2109.08157]

[12] A.A. Aguilar-Arevalo *et al.* [MiniBooNE], Phys. Rev. Lett. **129** (2022) 201801 [arXiv:2201.01724]

## The MicroBooNE experiment

- Baseline = 468.5 m (72.5 m upstream of MiniBooNE);
- LArTPC  $\Rightarrow$  imaging with mm-scale spatial resolution;
- $\Rightarrow$  perfectly suited to cross-check MiniBooNE excess;
- first results presented in fall 2021:
  - no evidence of enhanced  $\pi^0$  or  $\gamma$  production [13];
  - no evidence of  $\nu_e$  excess over SM prediction [14];
- however, rejection of MB signal in [14] based on the assumption that the entire  $\nu_e$  excess matches the difference between data and best-fit MB background;
- but in [15] it was noticed that various signal/background compositions can fit MB equally well, but lead to different  $\mu B$  sensitivity  $\Rightarrow$  rejection **not** model-independent...



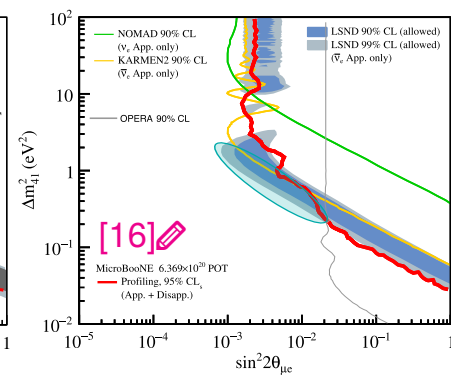
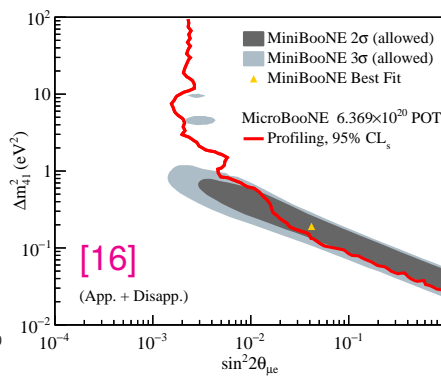
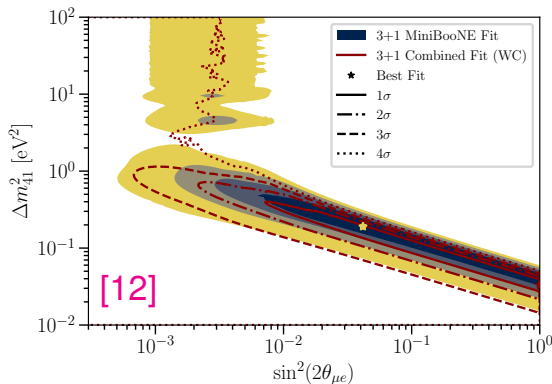
[13] P. Abratenko *et al.* [MicroBooNE], Phys. Rev. Lett. **128** (2022) 111801 [arXiv:2110.00409]

[14] P. Abratenko *et al.* [MicroBooNE], Phys. Rev. Lett. **128** (2022) 241801 [arXiv:2110.14054]

[15] C.A. Argüelles *et al.*, Phys. Rev. Lett. **128** (2022) 241802 [arXiv:2111.10359]

## Comparison of MicroBooNE and MicroBooNE results

- MiniBooNE: updated analysis including  $\mu$ B bounds [12]  $\Rightarrow 3\sigma$  region at  $\Delta m_{41}^2 \lesssim 1$  eV<sup>2</sup>;
- MicroBooNE: global  $4\nu$  analysis [16] disfavors MB/LSND but does not rule it out completely;
- other experiments exclude large  $\Delta m^2$  (NOMAD) and large  $\theta_{\mu e}$  (ICARUS, OPERA);
- remaining allowed region at  $0.1 \lesssim \Delta m_{41}^2/\text{eV}^2 \lesssim 1$  and  $10^{-3} \lesssim \sin^2 \theta_{\mu e} \lesssim \text{few} \times 10^{-2}$ ;
- Short Baseline Neutrino Program @ Fermilab: see next talks;  $\rightsquigarrow$  [Caratelli, Gibin, ...]
- Japan: JSNS<sup>2</sup> will provide an independent check of LSND/MiniBooNE excess.  $\rightsquigarrow$  [Marzec]



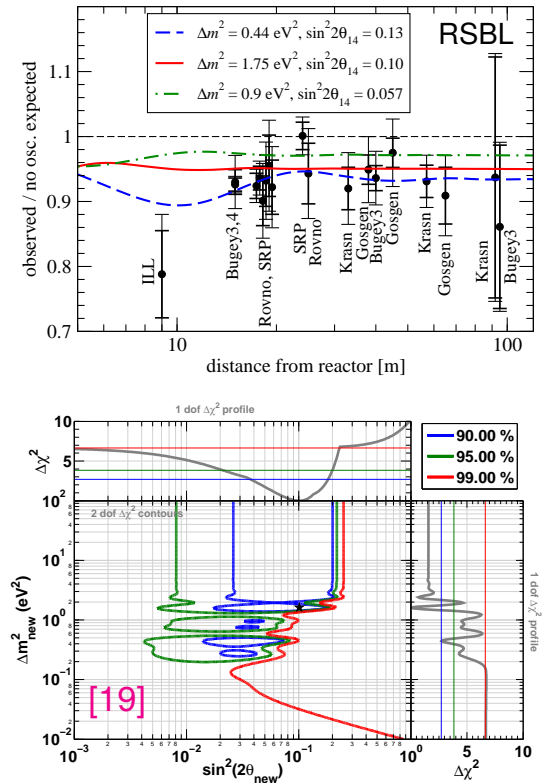
[12] A.A. Aguilar-Arevalo *et al.* [MiniBooNE], Phys. Rev. Lett. **129** (2022) 201801 [arXiv:2201.01724]

[16] P. Abratenko *et al.* [MicroBooNE], Phys. Rev. Lett. **130** (2023) 011801 [arXiv:2210.10216]



### $\bar{\nu}_e$ disappearance: the reactor anomaly

- In [17, 18] the reactor  $\bar{\nu}$  fluxes was reevaluated;
  - the new calculations result in a small increase of the flux by about **3.5%**;
  - hence, **all** reactor short-baseline (RSBL) finding **no evidence** are actually **observing a deficit**;
  - this deficit **could** be interpreted as being due to SBL neutrino oscillations;  $\rightsquigarrow$  [Sonzogni]
  - no visible dependence on  $L \Rightarrow \Delta m^2 \gtrsim 1 \text{ eV}^2$ ;
  - global data ( $3\sigma$ ): 
$$\begin{cases} \Delta m_{\text{SOL}}^2 \simeq [6.8 \rightarrow 8.0] \times 10^{-5} \text{ eV}^2, \\ |\Delta m_{\text{ATM}}^2| \simeq [2.4 \rightarrow 2.6] \times 10^{-3} \text{ eV}^2; \end{cases}$$
- $\Rightarrow$  solutions: **add new neutrinos** or **revise fluxes**.



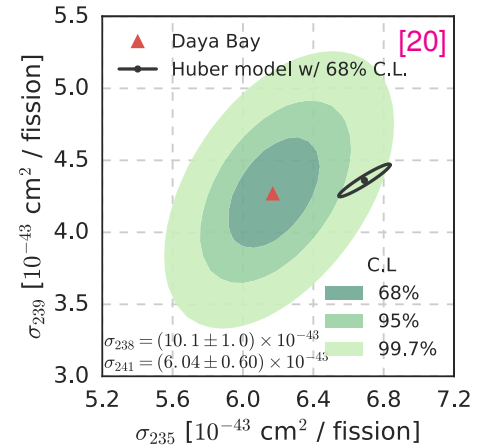
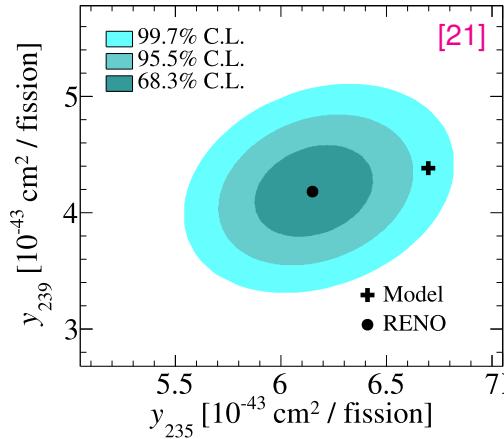
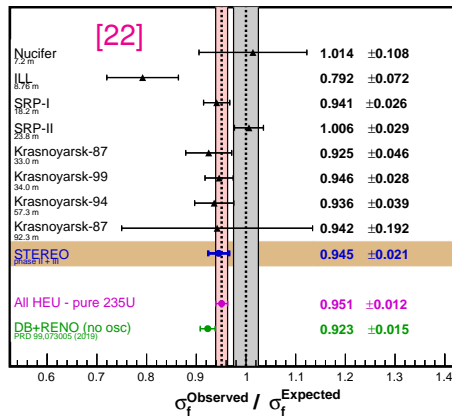
[17] T.A. Mueller *et al.*, Phys. Rev. **C83** (2011) 054615 [arXiv:1101.2663]

[18] P. Huber, Phys. Rev. C **84** (2011) 024617 [arXiv:1106.0687]

[19] G. Mention *et al.*, Phys. Rev. **D83** (2011) 073006 [arXiv:1101.2755]

### Reactor anomaly: sterile $\nu$ or wrong fluxes?

- DB [20] and RENO [21]: fuel burnup cycle  $\Rightarrow$  reconstruct contribution of main isotopes;
- Results:  $^{239}\text{Pu}$  mostly agrees with Huber-Mueller model, while  $^{235}\text{U}$  substantially below;
- STEREO data [22] (pure  $^{235}\text{U}$  reactor) indicate a deficit similar to DB and RENO ones;
- sterile  $\nu$ : deficit should be the same for all isotopes  $\Rightarrow$  disagrees with observations.



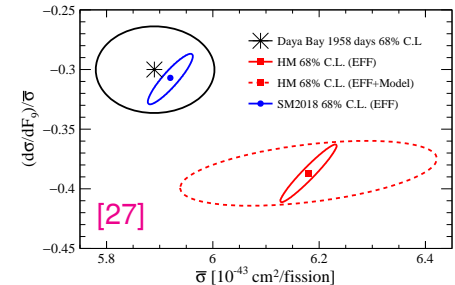
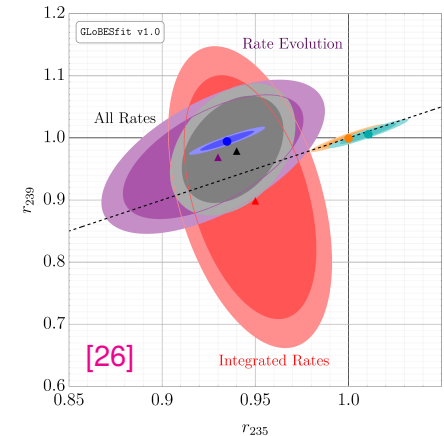
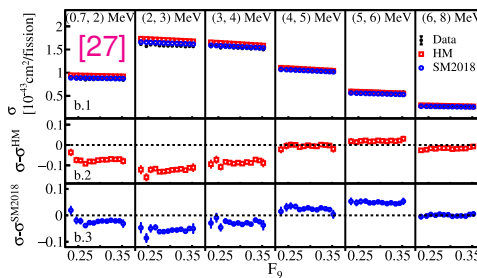
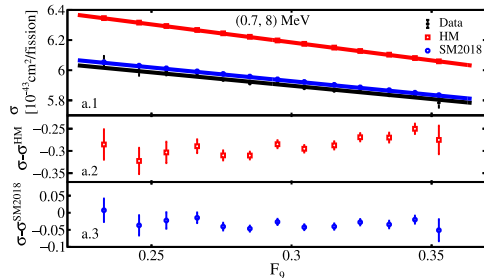
[20] F.P. An *et al.* [Daya-Bay], Phys. Rev. Lett. **118** (2017) 251801 [arXiv:1704.01082]

[21] G. Bak *et al.* [RENO], Phys. Rev. Lett. **122** (2019) 232501 [arXiv:1806.00574]

[22] H. Almazán *et al.* [STEREO], Nature **613** (2023) 257-261 [arXiv:2210.07664]

### Recent improvements in reactor flux models

- New reactor flux calculations: **EF** [23], **HKSS** [24], **KI** [25];
- **EF** model (summation) in good agreement with total rates, although the spectral shape is still not optimal;
- **KI** model (conversion) yields very similar results to **EF**;
- conversely, **HKSS** (conversion) gives rates similar to **HM**.



[23] M. Estienne *et al.* [EF model], Phys. Rev. Lett. **123** (2019) 022502 [arXiv:1904.09358]

[24] L. Hayen *et al.* [HKSS model], Phys. Rev. C **100** (2019) 054323 [arXiv:1908.08302]

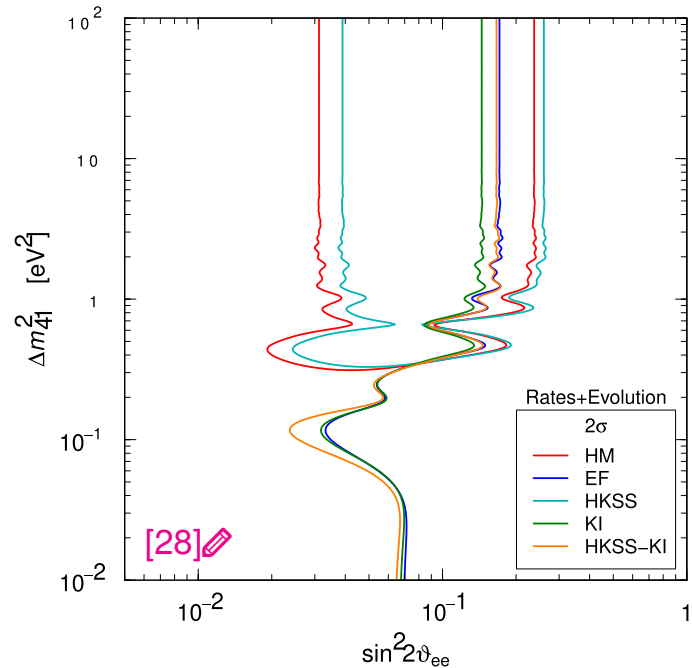
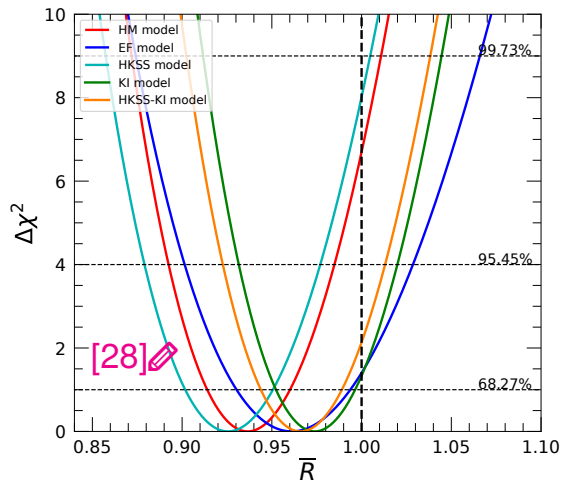
[25] V. Kopeikin *et al.* [KI model], Phys. Rev. D **104** (2021) L071301 [2103.01684]

[26] J.M. Berryman and P. Huber, JHEP **01** (2021) 167 [arXiv:2005.01756]

[27] F.P. An *et al.* [Daya-Bay], Phys. Rev. Lett. **130** (2023) 211801 [arXiv:2210.01068]

### Global fit of reactor $\bar{\nu}_e$ disappearance (total rates)

- From Ref. [28]: hint of sterile  $\nu$  strongly reduced for **EF** ( $0.8\sigma$ ) and **KI** ( $1.4\sigma$ );
- hint sizable for **HM** ( $2.8\sigma$ ) and **HKSS** ( $3.0\sigma$ ).



[23] M. Estienne *et al.* [EF model], Phys. Rev. Lett. **123** (2019) 022502 [arXiv:1904.09358]

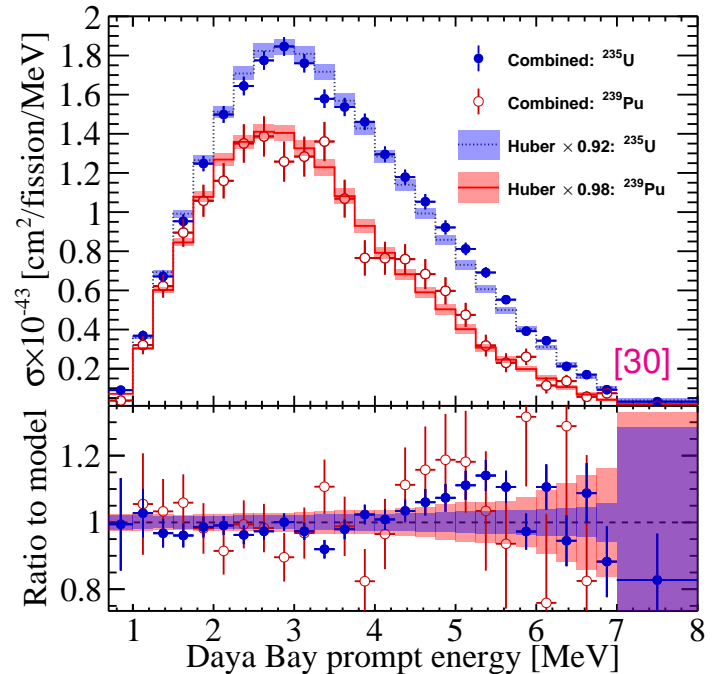
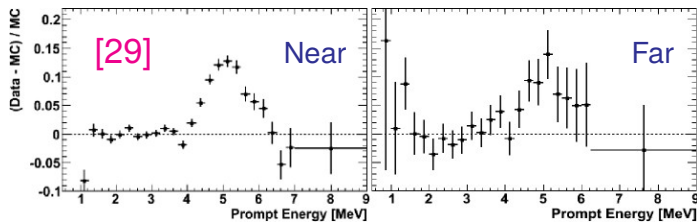
[24] L. Hayen *et al.* [HKSS model], Phys. Rev. C **100** (2019) 054323 [arXiv:1908.08302]

[25] V. Kopeikin *et al.* [KI model], Phys. Rev. D **104** (2021) L071301 [2103.01684]

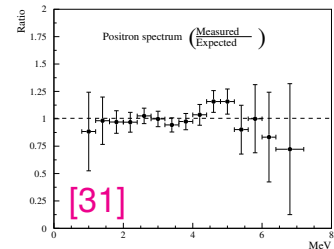
[28] C. Giunti *et al.*, Phys. Lett. B **829** (2022) 137054 [arXiv:2110.06820]

$\bar{\nu}_e$  disapp: 5 MeV excess

- Neutrino 2014: RENO [29] reported an excess of events around 5 MeV;
- seen by most reactors (also old Chooz [31]);
- DB+Prospect [30]: affect both  $^{235}\text{U}$  &  $^{239}\text{Pu}$ ;
- excess (not deficit) & independent of  $L \Rightarrow$  **flux feature**, not **sterile oscillations**;
- accounted by **HKSS**, but not by **EF** and **KI**  $\Rightarrow$  reactor fluxes require further scrutiny.



$\rightsquigarrow$  [Sonzogni]



[29] S.H Seo [RENO], talk at Neutrino 2014, Boston, USA, June 2-7, 2014

[30] F.P. An *et al.* [DB+Prospect], PRL **128** (2022) 081801 [arXiv:2106.12251]

[31] M. Apollonio *et al.* [Chooz], PLB **466** (1999) 415 [hep-ex/9907037]

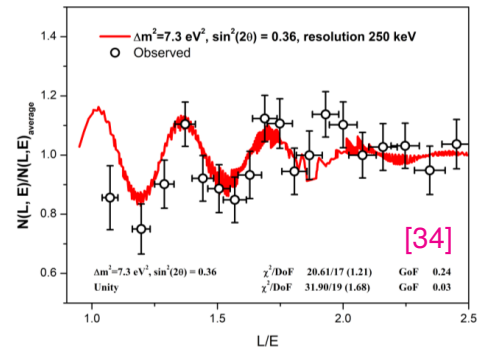
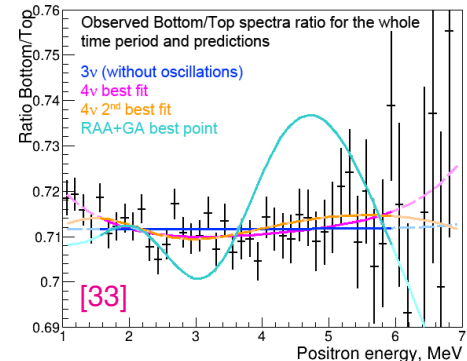
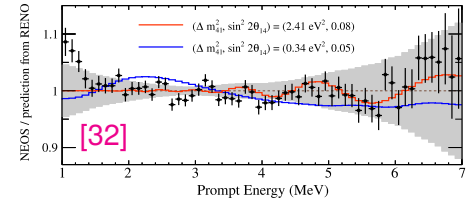
## Sterile $\nu$ : spectra and baselines

- New detectors with spectral capability and baseline range:
  - NEOS (Korea), **commercial**,  $L = 23.7$  m;
  - STEREO (France), **enriched**,  $L = 9 \rightarrow 11$  m;
  - PROSPECT (USA), **enriched**,  $L = 7 \rightarrow 12$  m;
  - DANSS (Russia) **commercial**,  $L = 10.9 \rightarrow 12.9$  m;
  - SOLID (Belgium), **enriched**,  $L = 5.5 \rightarrow 12$  m;
  - Neutrino4 (Russia), **enriched**,  $L = 6 \rightarrow 12$  m;
- goals:
  - accurate study of reactor  $\nu$  spectrum;
  - flux-independent osc. by near/far ratio;
- results: most experiments report no evidence, a few observe wiggles at low significance (**DANSS**, **NEOS**);  $\rightsquigarrow$  [Danilov]
- exception: **Neutrino4** reports  $3\sigma$  signal with  $\Delta m^2 \sim 7 \text{ eV}^2$ .

[32] Z. Atif *et al.* [NEOS & RENO], Phys. Rev. D **105** (2022) L111101 [arXiv:2011.00896]

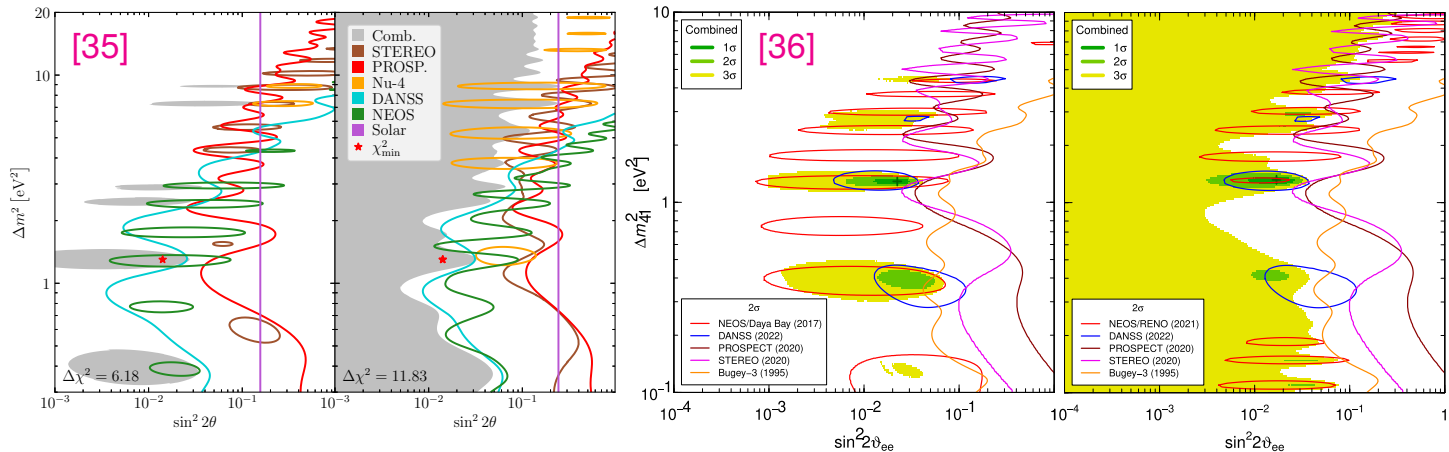
[33] E. Samigullin [DANSS], talk at NuFact 23, Seoul, Korea, 25/08/2023

[34] A.P. Serebrov *et al.* [NEUTRINO4], arXiv:2302.09958



### Flux-independent fits of reactor $\bar{\nu}_e$ disappearance data

- Fits based on spectral ratios at various distances are independent of the reactor  $\nu$  spectrum;
- NEOS + Daya-Bay exhibits stronger wiggles than NEOS + RENO [36];
- no consistent pattern from various “hints”. Combined fit weakly prefers  $\Delta m^2 \sim 1.3 \text{ eV}^2$ ;
- SOLID’s first results presented at TAUP’23 [37] not included here.



[35] J.M. Berryman *et al.*, JHEP **02** (2022) 055 [arXiv:2111.12530]

[36] C. Giunti *et al.*, JHEP **10** (2022) 164 [arXiv:2209.00916]

[37] D. Galbinski [SOLID], talk at TAUP 23, Vienna, Austria, 30/08/2023

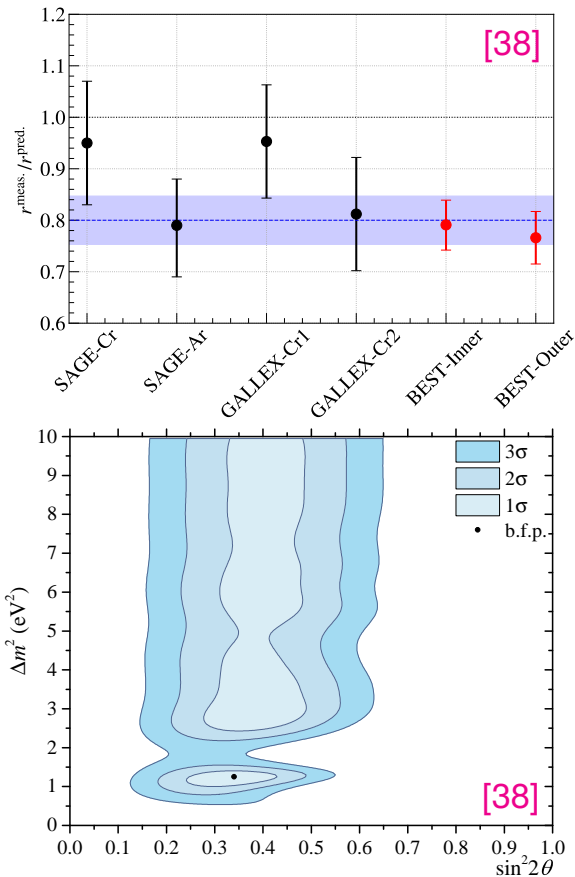
### $\nu_e$ disappearance: the gallium anomaly

- $^{71}\text{Ga} \rightarrow ^{71}\text{Ge}$   $\nu$  capture cross-section was calibrated with intense  $^{51}\text{Cr}$  and  $^{37}\text{Ar}$  sources by GALLEX & SAGE (20 years ago) as well as BEST (2022);
- these measurements show a significant deficit with respect to the predicted values [38]:

$$\begin{array}{l}
 \text{GALLEX:} \\
 \text{SAGE:} \\
 \text{BEST:}
 \end{array}
 \left\{ \begin{array}{l}
 R_1(\text{Cr}) = 0.953 \pm 0.11 \\
 R_2(\text{Cr}) = 0.812 \pm 0.11 \\
 R_3(\text{Cr}) = 0.95 \pm 0.12 \\
 R_4(\text{Ar}) = 0.79 \pm 0.095 \\
 R_5(\text{I}) = 0.791 \pm 0.05 \\
 R_6(\text{O}) = 0.766 \pm 0.05
 \end{array} \right\} \Rightarrow \boxed{0.80 \pm 0.047}$$

$\rightsquigarrow$  [Gorbunov]

- such deficit can be interpreted in terms of oscillations;
- data suggest  $\Delta m^2 \gtrsim 1 \text{ eV}^2$  but require very large  $\theta_{ee}$ .



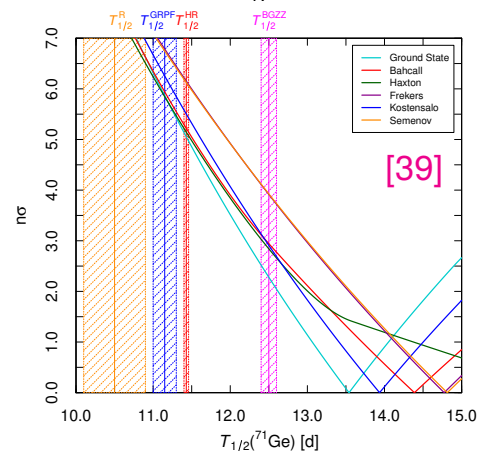
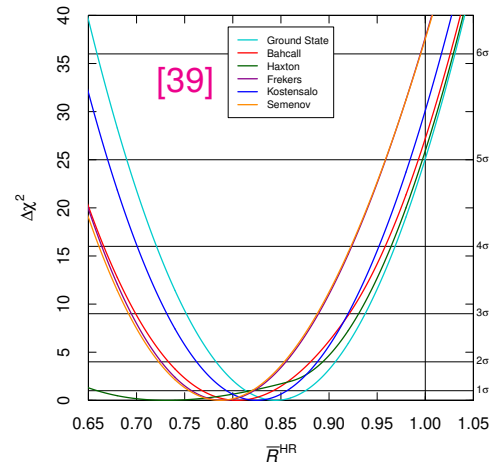
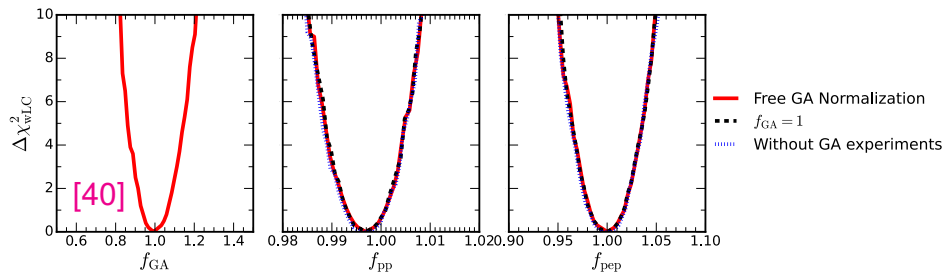
[38] V.V. Barinov *et al.* [BEST], Phys. Rev. C **105** (2022) no.6, 065502 [arXiv:2201.07364]



## Origin of the gallium anomaly

- Large  $\theta_{ee}$  required by Gallium  $\nu_e$  oscill. clashes with:
  - reactor  $\bar{\nu}_e$  data, as seen in previous slides;
  - solar  $\nu_e$  data, which don't tolerate a large  $\nu_s$  fraction;
- can the Gallium cross-section be overestimated?
  - well-known **ground-state** suffices for the tension;
  - $^{71}\text{Ge}$  half-life may be wrong, but needed “error” very large;
  - solar data show no tension with current cross-section;

⇒ no obvious solution to the Gallium puzzle.

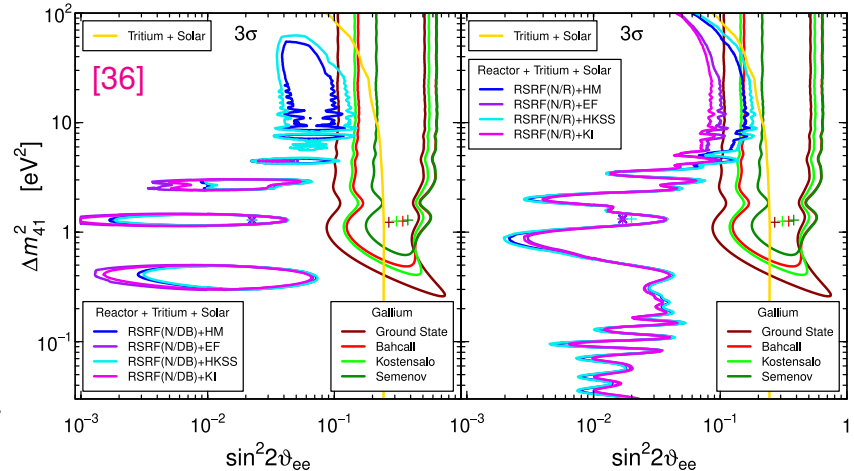
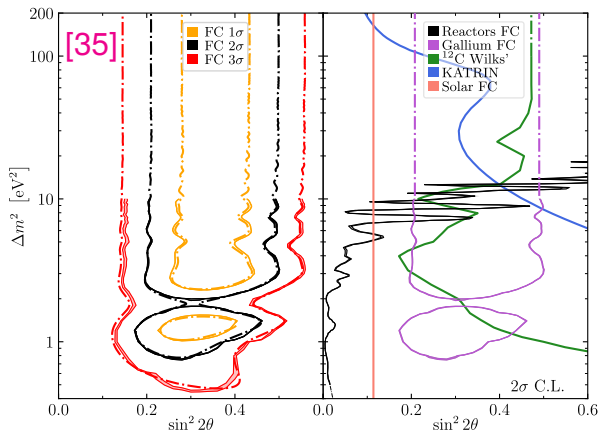


[39] C. Giunti *et al.*, *Phys. Lett. B* **842** (2023) 137983 [2212.09722]

[40] M.C. Gonzalez-Garcia *et al.*, *JHEP* **02** (2024) 064 [2311.16226]

### Comparison of all $\nu_e$ and $\bar{\nu}_e$ disappearance data

- **Reactors**: proper FC statistics relaxes bounds by about  $1\sigma$  w.r.t. Wilk's limits [35];
- **Gallium**: FC not so important [35], but it cannot be reconciled with other data [35, 36];
- “least tension”  $\bar{\nu}_e \rightarrow \bar{\nu}_e$  at  $\Delta m^2 \sim 10 \text{ eV}^2$ , **in tension** with  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  value  $\Delta m^2 \sim 1 \text{ eV}^2$ ;
- **solar** data also disfavor large mixing angle, and **tritium** does so at large  $\Delta m^2$ .

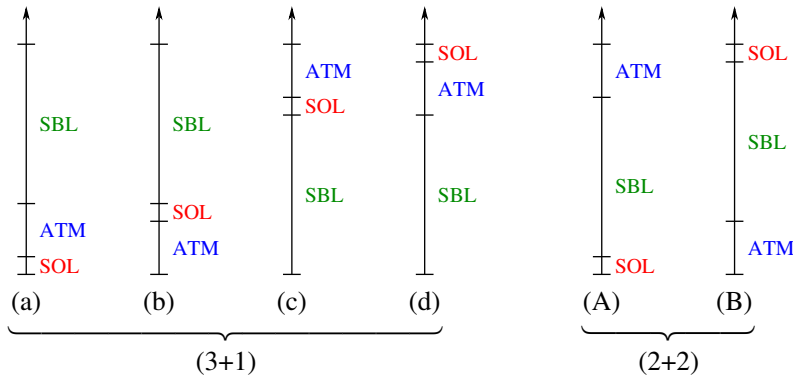


[35] J.M. Berryman *et al.*, JHEP **02** (2022) 055 [arXiv:2111.12530]

[36] C. Giunti *et al.*, JHEP **10** (2022) 164 [arXiv:2209.00916]

## Four neutrino mass models

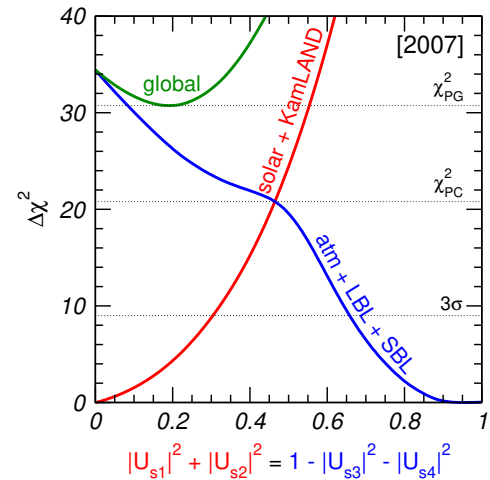
- Hierarchy of splittings:  $\Delta m_{\text{SOL}}^2 \ll \Delta m_{\text{ATM}}^2 \ll \Delta m_{\text{SBL}}^2 \Rightarrow$  6 different mass schemes:



### (2+2) models

- not** continuous perturbation of  $3\nu$  models;
- unitarity of  $U$  requires  $|U_{s1}|^2 + |U_{s2}|^2 + |U_{s3}|^2 + |U_{s4}|^2 = 1$ ;
- however, at  $3\sigma$ :
 
$$\begin{cases} |U_{s1}|^2 + |U_{s2}|^2 \lesssim 0.31 \text{ from solar data,} \\ |U_{s3}|^2 + |U_{s4}|^2 \lesssim 0.37 \text{ from atmos data;} \end{cases}$$
- hence, this class of models is not viable.

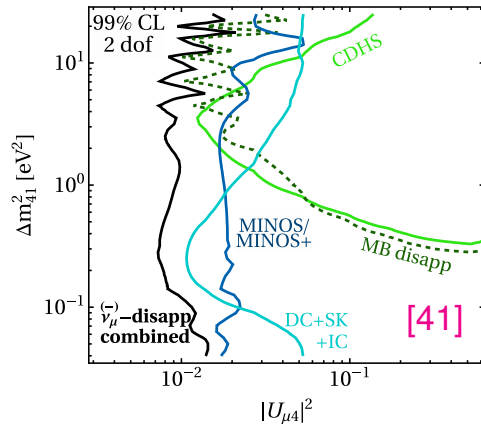
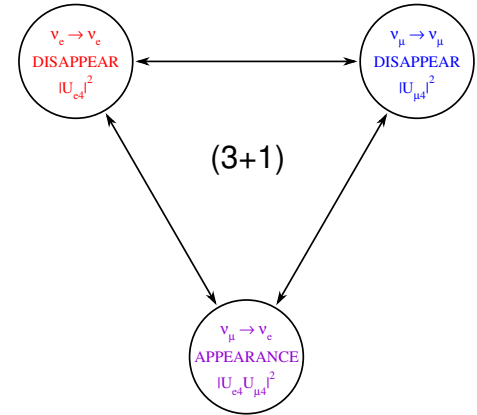
$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$



## (3+1): appearance versus disappearance

- (3+1):  $P_{\nu_\mu \rightarrow \nu_e} \propto |U_{e4}U_{\mu4}|^2$  with  $\begin{cases} |U_{e4}|^2 \propto P_{\nu_e \rightarrow \nu_e}, \\ |U_{\mu4}|^2 \propto P_{\nu_\mu \rightarrow \nu_\mu}; \end{cases}$
- hence,  $P_{\nu_\mu \rightarrow \nu_e} > 0$  requires  $\begin{cases} P_{\nu_e \rightarrow \nu_e} > 0, \\ P_{\nu_\mu \rightarrow \nu_\mu} > 0; \end{cases}$

❓ are  $\nu_\mu \rightarrow \nu_\mu$  searches compatible with this?



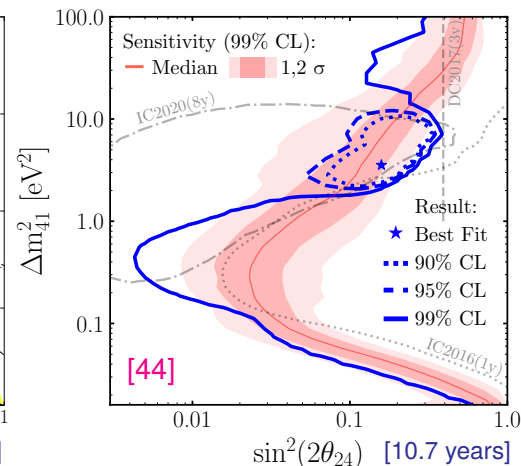
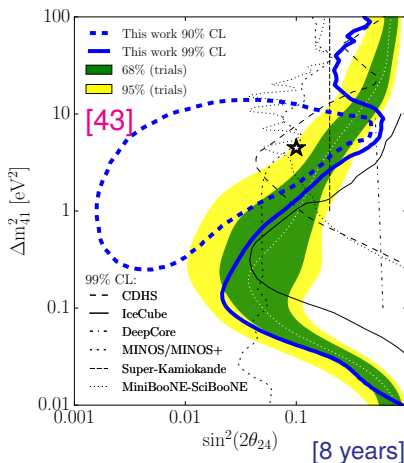
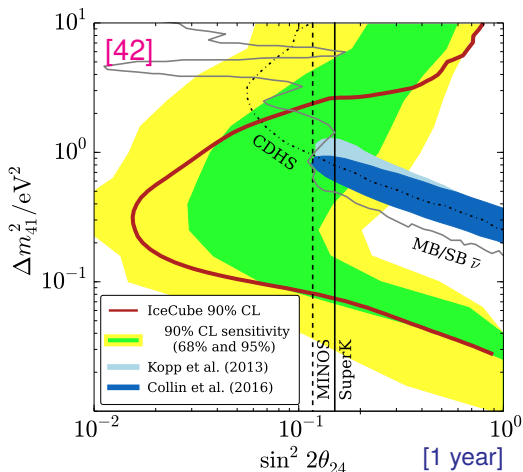
## $\nu_\mu$ disappearance: long-term situation

- Many experiments have been performed:
  - CDHS ( $\nu$ )
  - MiniBooNE ( $\nu, \bar{\nu}$ )
  - SciBooNE ( $\nu, \bar{\nu}$ )
  - MINOS ( $\nu$ )
  - NO $\nu$ A ( $\nu$ )
  - SK atmos ( $\nu, \bar{\nu}$ )
- no hint of  $\nu_\mu$  disappearance has been observed;
- bound on  $|U_{\mu4}|^2$  may be in tension with other data...

[41] M. Dentler *et al.*, JHEP 08 (2018) 010 [arXiv:1803.10661]

## Search for $\nu_\mu$ disappearance at IceCube

- Since oscillations only depend on  $\Delta m^2/E$ , larger  $\Delta m^2$  produce visible effects at larger  $E$ ;
- IceCube has been detecting high-energy ( $\sim$  TeV) atmos. neutrinos since its construction;
- a small “island” around  $\Delta m^2 \sim \text{few eV}^2$  and  $\sin^2 2\theta_{\mu\mu} \sim 0.1$  has been gaining prominence;
- $p$ -value for no-oscillation: of 47% (1 year), 8% (8 years), 3.1% (10.7 years)  $\Rightarrow$  still OK.



[42] M.G. Aartsen *et al.* [IceCube], Phys. Rev. Lett. **117** (2016) 071801 [arXiv:1605.01990]

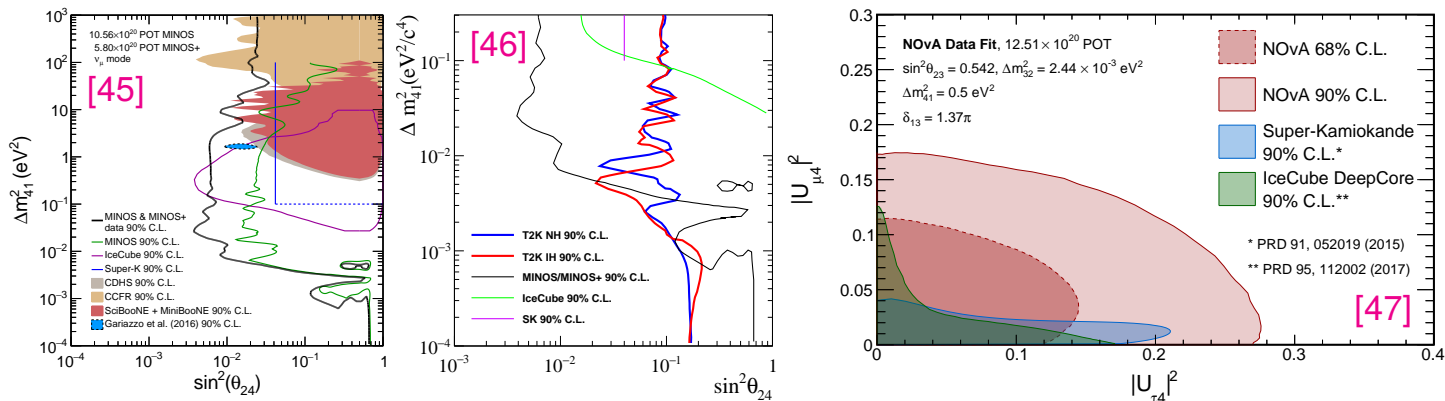
[43] M.G. Aartsen *et al.* [IceCube], Phys. Rev. Lett. **125** (2020) 141801 [arXiv:2005.12942]

[44] R. Abbasi *et al.* [IceCube], arXiv:2405.08070

$\rightsquigarrow$  [Yañez]

## Search for $\nu_\mu$ disappearance at LBL experiments

- Sterile  $\nu$  can be searched at LBL experiments by “switching” the roles of **near** & **far** detectors:
  - **far** detector observes fully averaged oscillations  $\Rightarrow$  fixes the *energy shape* of the beam;
  - **near** detector looks for spectral distortions which would indicate SBL oscillations;
- results presented by MINOS/MINOS+ [45], T2K [46], and NOvA [47] collaborations;
- sterile oscillations can also be studied by looking for deficit in neutral-current data [47].



[45] P. Adamson *et al.* [MINOS+], Phys. Rev. Lett. **122** (2019) no.9, 091803 [arXiv:1710.06488]

[46] K. Abe *et al.* [T2K], Phys. Rev. D **99** (2019) no.7, 071103 [arXiv:1902.06529]

[47] M.A. Acero *et al.* [NOvA], Phys. Rev. Lett. **127** (2021) no.20, 201801 [arXiv:2106.04673]

## (3+1): tension among data samples

- Inconsistency between **Reactors** and **Gallium** results **prevents** a combined fit of all  $\nu_e \rightarrow \nu_e$  data;
- Limits on a subset of  $\nu_e \rightarrow \nu_e$  and  $\nu_\mu \rightarrow \nu_\mu$  disappearance [48] imply a bound on  $\nu_\mu \rightarrow \nu_e$  **stronger** than what required to explain the **LSND** and **MiniBooNe** excesses;
- such tension between **APP** and **DIS** data was first pointed out in 1999 [49]. Full global fit in 2001 [50] cornered (3+1) models. No conceptual change since then...

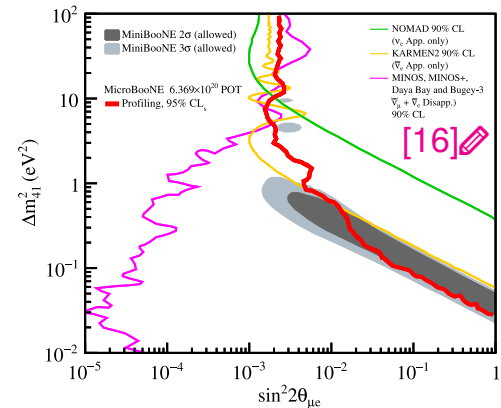
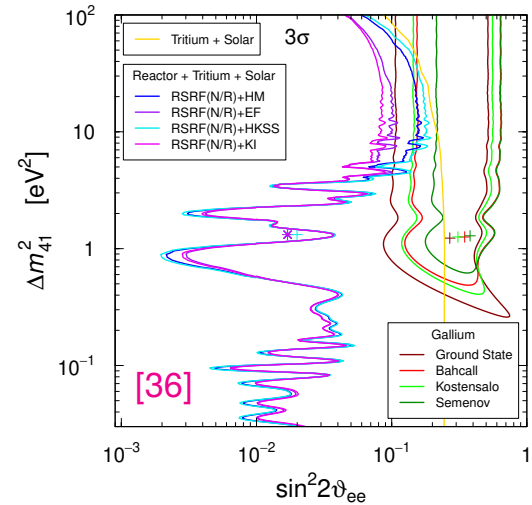
[16] P. Abratenko *et al.* [MicroBooNE], Phys. Rev. Lett. **130** (2023) 011801 [arXiv:2210.10216]

[36] C. Giunti *et al.*, JHEP **10** (2022) 164 [arXiv:2209.00916]

[48] P. Adamson *et al.* [MINOS+ and Daya-Bay], Phys. Rev. Lett. **125** (2020) 071801 [arXiv:2002.00301]

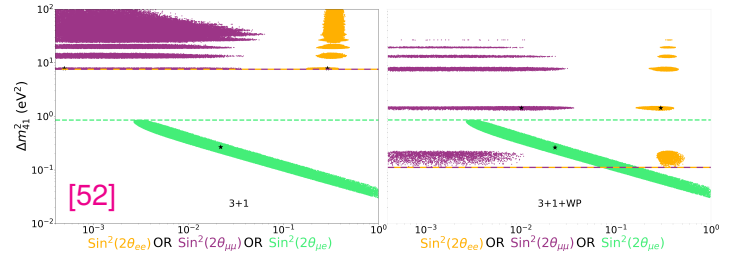
[49] S.M. Bilenky *et al.*, PRD **60** (1999) 073007 [hep-ph/9903454]

[50] MM, Schwetz, Valle, PLB **518** (2001) 252 [hep-ph/0107150]



## Beyond (3+1) oscillations

- If (3+1) models do not work (and never did), why do we keep discussing them?
    - they are a natural extension of  $3\nu$ ;
    - they individually explain each anomaly;
    - hence, they make a great starting point;
  - can we do better than this?
    - more steriles (3+2, 3+3, ...) not enough;
    - recent trend towards “dumping” [52] (first noted in [51]), but tensions remain;
    - alternatives explain some (not all) data;
    - usually very “exotic” and “ad-hoc”;
- ⇒ “vanilla  $\nu_s$ ” still best working tool.



### Explanations beyond the Standard Model [Goal: account for the Gallium anomaly]

$\nu_s$ coupled to ultralight DM (MSW resonance, Sec. 5.1.1)	several exotic ingredients; somewhat tuned MSW resonance; new $\nu_4$ decay channel required for cosmology. ★★★★★
$\nu_s$ coupled to dark energy (MSW resonance, Sec. 5.1.2)	several exotic ingredients; somewhat tuned MSW resonance; cosmology similar to the previous scenario. ★★★★★
$\nu_s$ coupled to ultralight DM (param. resonance, Sec. 5.1.3)	several exotic ingredients; somewhat tuned parametric resonance; cosmology requires post-BBN DM production via misalignment. ★★★★★
decaying $\nu_s$ (Section 5.2)	difficult to reconcile with reactor and solar data; regeneration of active neutrinos in $\nu_s$ decays alleviates tension, but does not resolve it. ★★★☆☆
vanilla eV-scale $\nu_s$ (Refs. [17, 18])	preferred parameter space is strongly disfavored by solar and reactor data. ★☆☆☆☆
$\nu_s$ with CPT violation (Refs. [130])	avoids constraints from reactor experiments, but those from solar neutrinos cannot be alleviated.
extra dimensions (Refs. [131–133])	neutrinos oscillate into sterile Kaluza–Klein modes that propagate in extra dimensions; in tension with reactor data.
stochastic neutrino mixing (Ref. [134])	based on a difference between sterile neutrino mixing angles at production and detection (see also [135, 136]); fit worse than for vanilla $\nu_s$ .
decoherence (Refs. [137, 138])	non-standard source of decoherence needed; known experimental energy resolutions constrain wave packet length, making an explanation by wave packet separation alone challenging.
$\nu_s$ coupled to ultralight scalar (Ref. [139])	ultralight scalar coupling to $\nu_s$ and to ordinary matter affects sterile neutrino parameters; can not avoid reactor constraints

[51] S. Palomares-Ruiz *et al.*, JHEP **09** (2005) 048 [hep-ph/0505216]

[52] J.M. Hardin *et al.*, JHEP **09** (2023) 058 [arXiv:2211.02610]

[53] V. Brdar *et al.*, JHEP **05** (2023) 143 [arXiv:2303.05528]



- Anomalies in  $\nu_e \rightarrow \nu_e$  disappearance and  $\nu_\mu \rightarrow \nu_e$  appearance experiments point towards conversion mechanisms beyond the well-established  $3\nu$  oscillation paradigm;
- each of these anomalies can be **individually** explained by sterile neutrinos;
- unlike a few years ago, sterile neutrinos **no longer succeed** in simultaneously explaining groups of anomalies sharing the same oscillation channel. Concretely:
  - $\nu_e \rightarrow \nu_e$  disappearance data exhibit a serious tension in solar/reactor vs gallium results, as well as some issue between different “spectral shape” reactor experiments;
  - $\nu_\mu \rightarrow \nu_e$  appearance data show an excess in low-E neutrino data, which cannot be explained by oscillations alone and so far has eluded the searches for new systematics;
- the quest for a “global” model reconciling  $\nu_e \rightarrow \nu_e$ ,  $\nu_\mu \rightarrow \nu_e$ ,  $\nu_\mu \rightarrow \nu_\mu$  data is now secondary: it is more urgent to clarify the “local” inconsistencies within each of these classes;
- to this aim, new experimental data are required. A number of experiments are under way, we will hear about them during this conference;
- if the  $\nu_e \rightarrow \nu_e$  and  $\nu_\mu \rightarrow \nu_e$  anomalies are confirmed, new physics will be needed. Such new physics will probably involve extra sterile states, but together with “something else”. At present, however, **no model is known** which can convincingly explain everything.