

# Physics of $\nu$ Oscillation with Atmospheric $\nu$ Detectors

Iván Martínez Soler

XXXI International Conference on  
Neutrino Physics and Astrophysics



KM3NeT-ORCA  
Mediterranean



Super-Kamiokande  
Japan

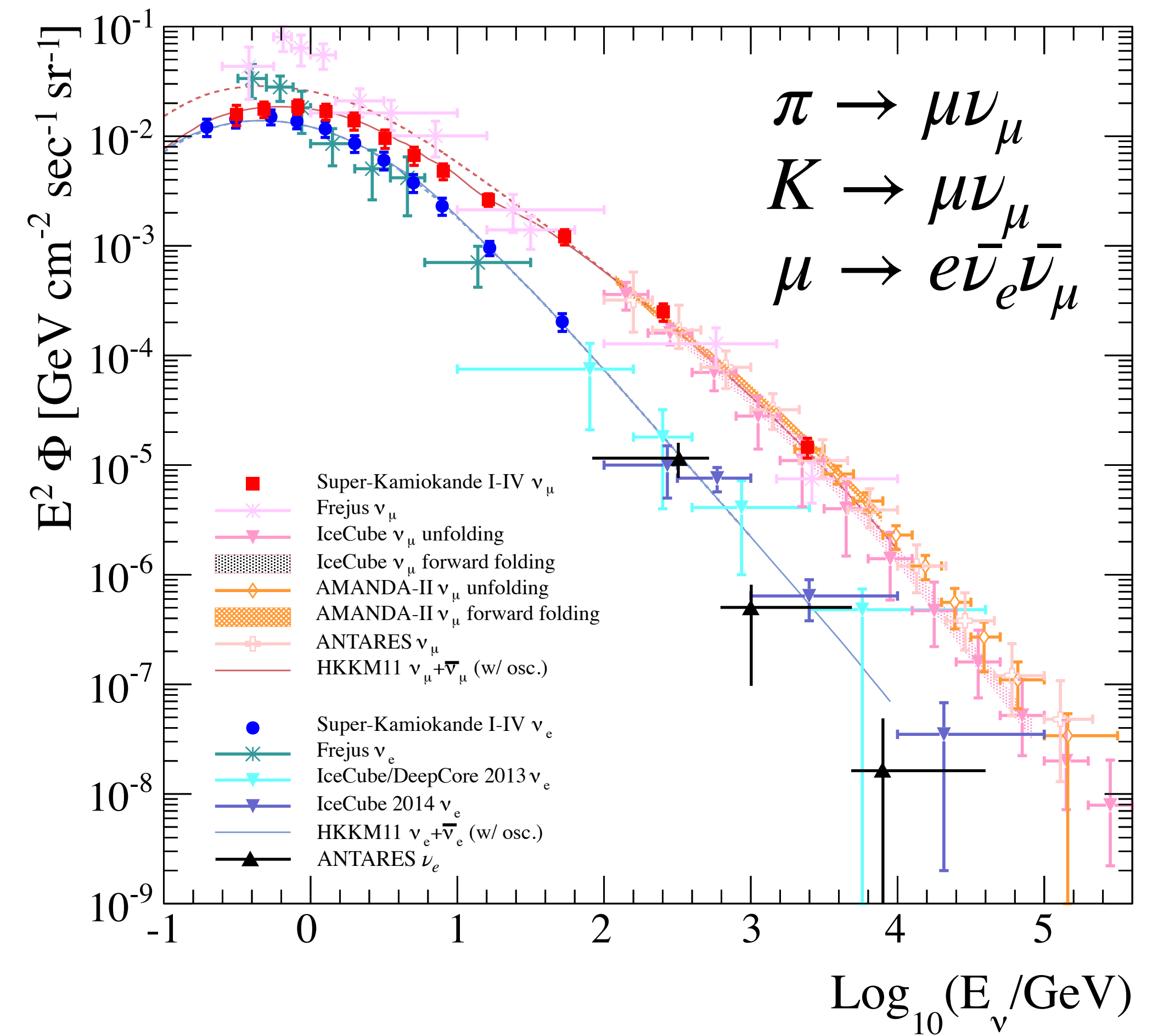
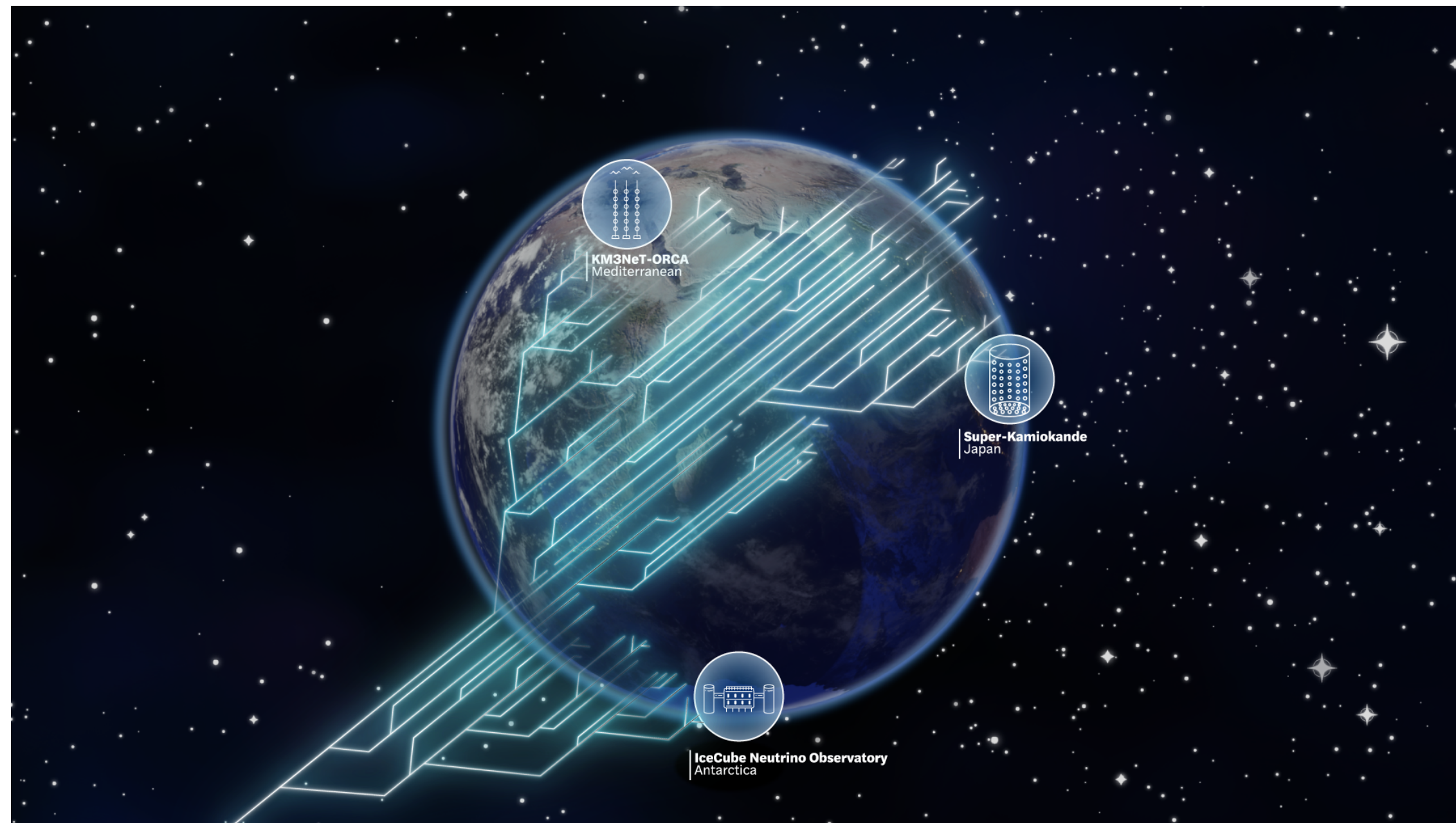


IceCube Neutrino Observatory  
Antarctica



# Atmospheric Neutrinos

Atmospheric neutrinos are created in the collision of cosmic rays with the atmospheric nuclei

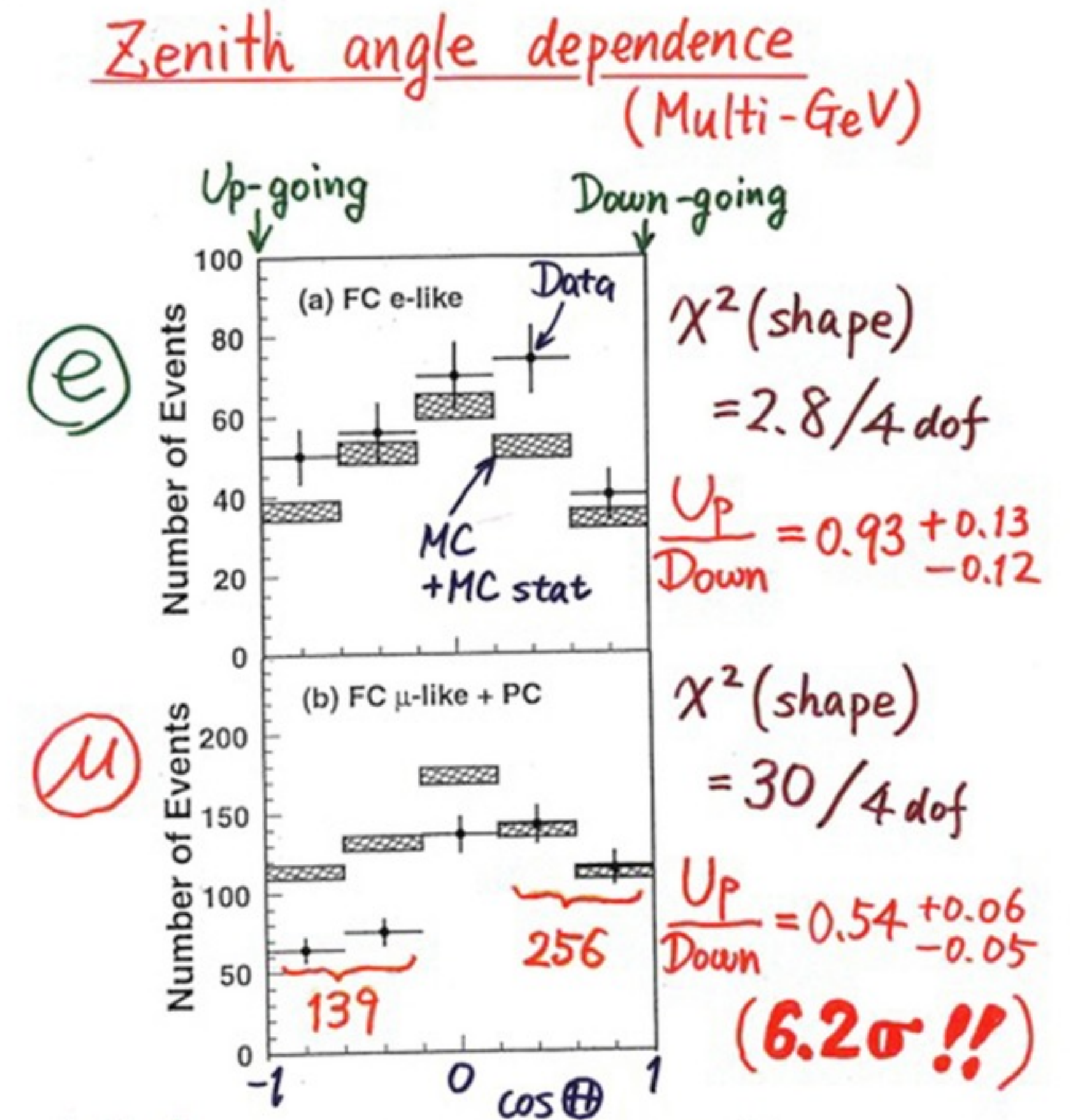


E. Richard et al. (SK), PRD 94 (2016) 5

# Evidence for Flavor Oscillation

The measurement of the **atmospheric neutrino** flux provided evidence for neutrino flavor oscillation.

Flavor oscillations are the only evidence that **neutrinos are massive particles**



\* Up/Down syst. error for  $\mu$ -like

Prediction ( flux calculation .....  $\lesssim 1\%$   
1km rock above SK .... 1.5% ) 1.8%

Data ( Energy calib. for  $\uparrow\downarrow$  .... 0.7%  
Non  $\downarrow$  Background ..... < 2% ) 2.1%

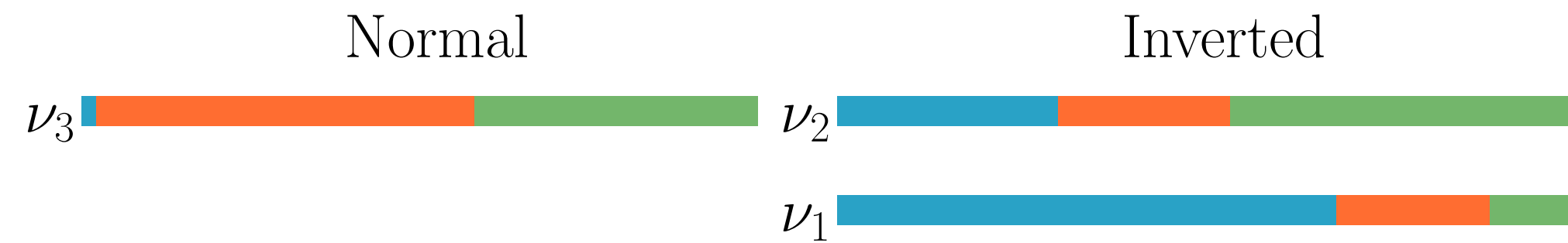
Takaaki Kajita (Super-kamiokande) Neutrino 98

# 3ν Mixing

In the **3ν scenario**, neutrino evolution is described by six parameters

$$i \frac{d\nu}{dE} = \frac{1}{2E} (U^\dagger \text{diag}(0, \Delta m_{21}^2, \Delta m_{31}^2) U) \nu \quad \nu_\alpha = \sum U_{\alpha i} \nu_i$$

Present sensitivity to the **3ν scenario** reaches ~1-4% for most of the parameters.

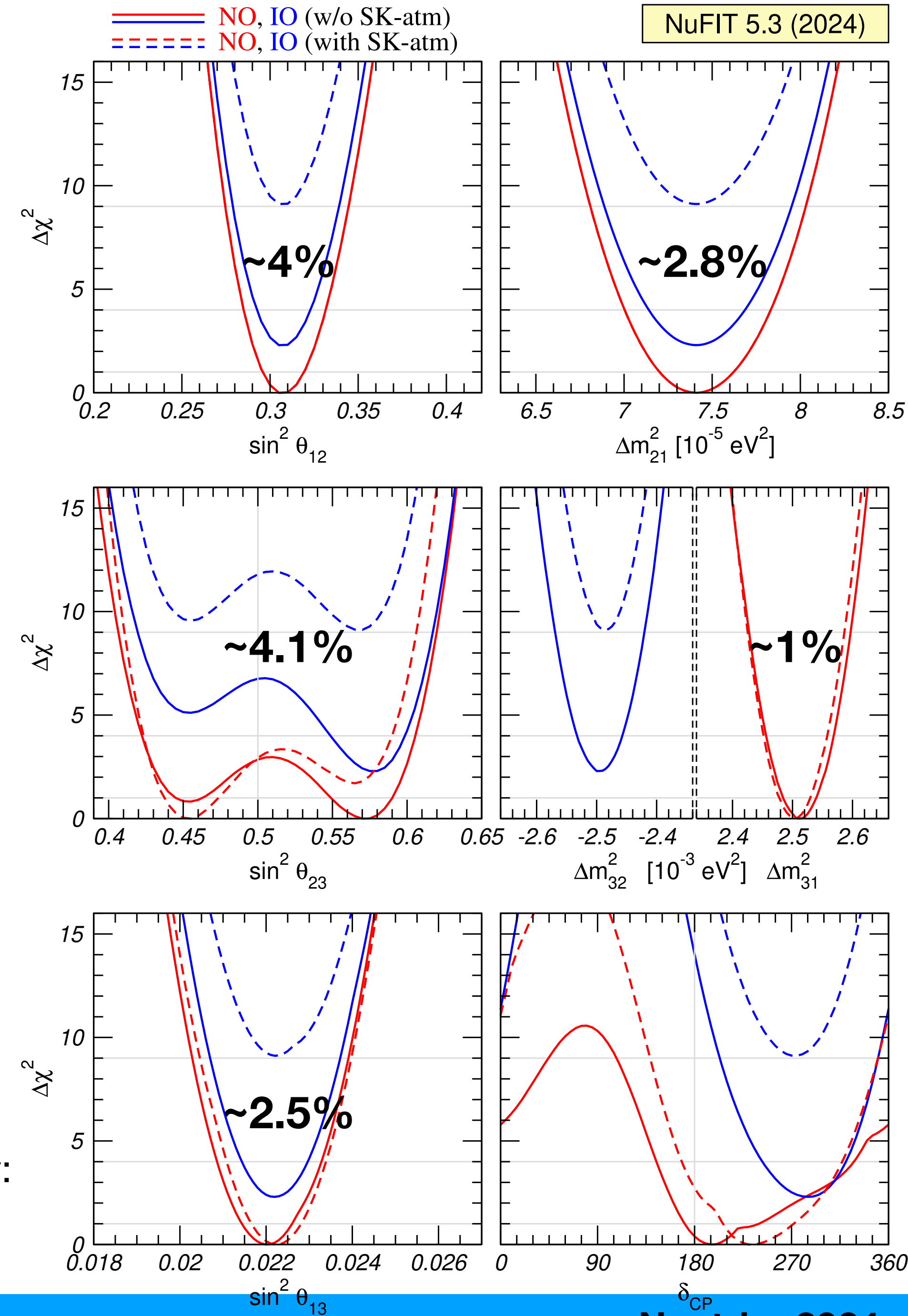


Esteban, et al., JHEP 09 (2020)

Similar results were found by:

Capozzi, et al., PRD 104 (2021)

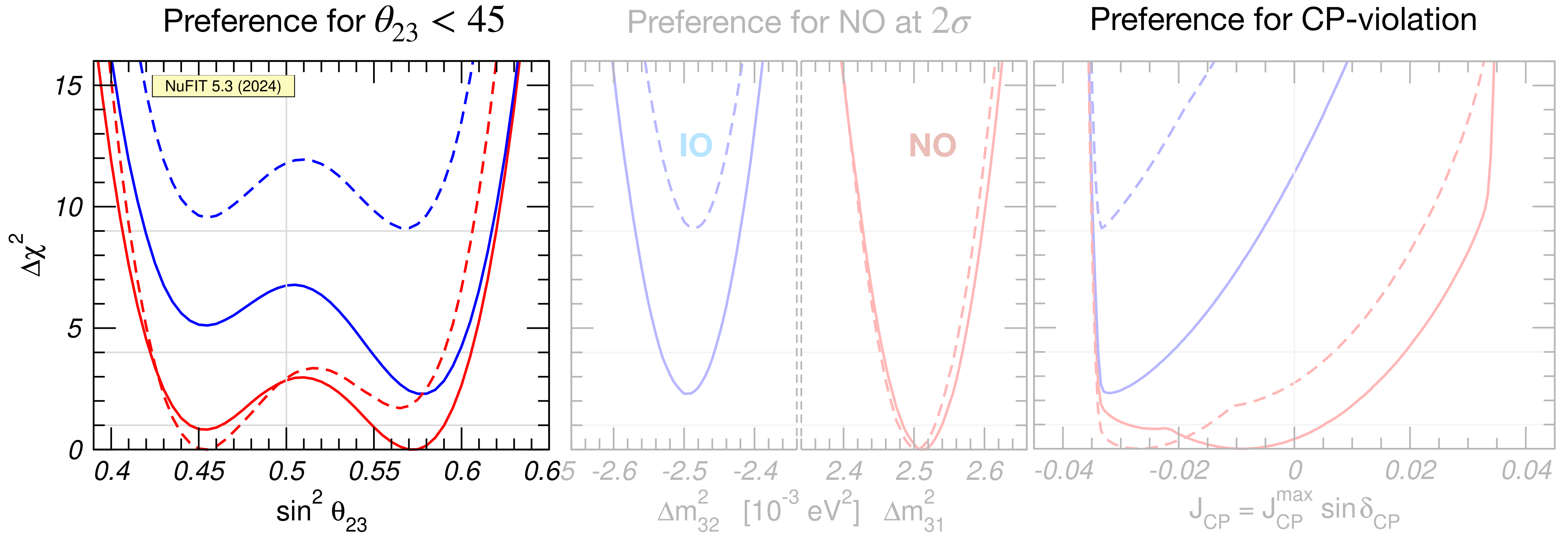
de Salas, et al., JHEP 02 (2021)



# 3 $\nu$ Mixing

The **less constrained parameters** are:

Esteban, Gonzalez-Garcia, Maltoni,  
Schwetz, Zhou, JHEP 09 (2020)

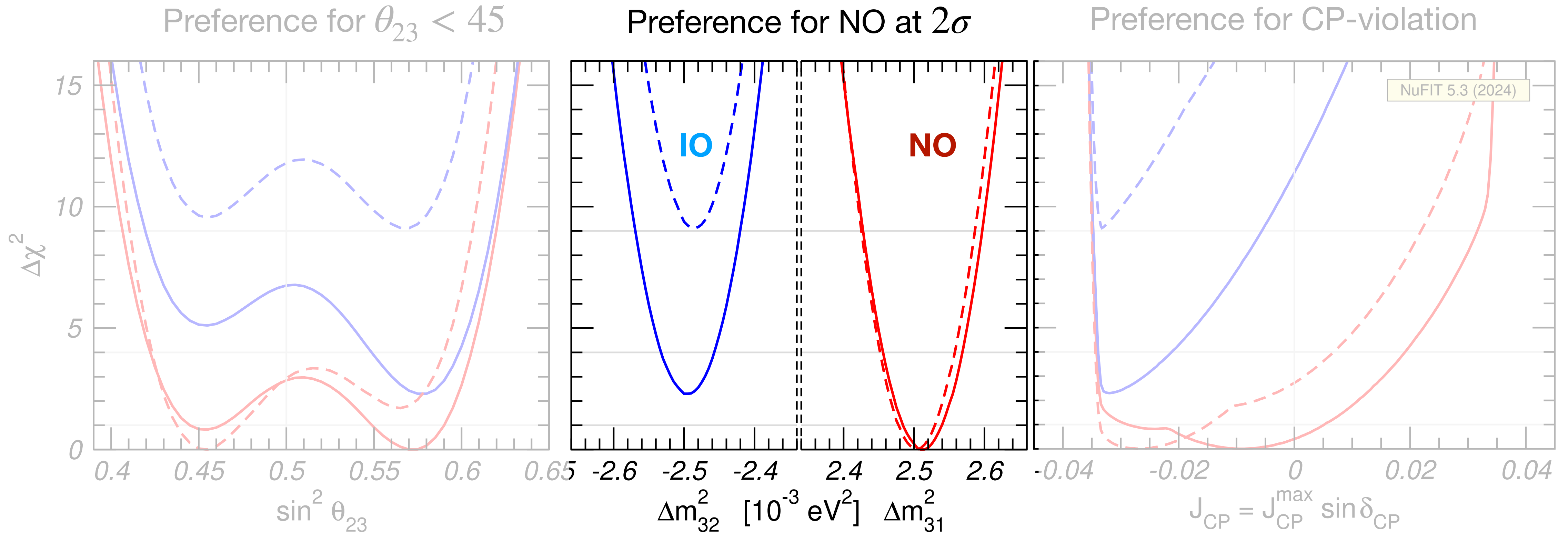


In this talk, we aim to investigate the insights that **atmospheric neutrinos** can provide on these **uncertainties**

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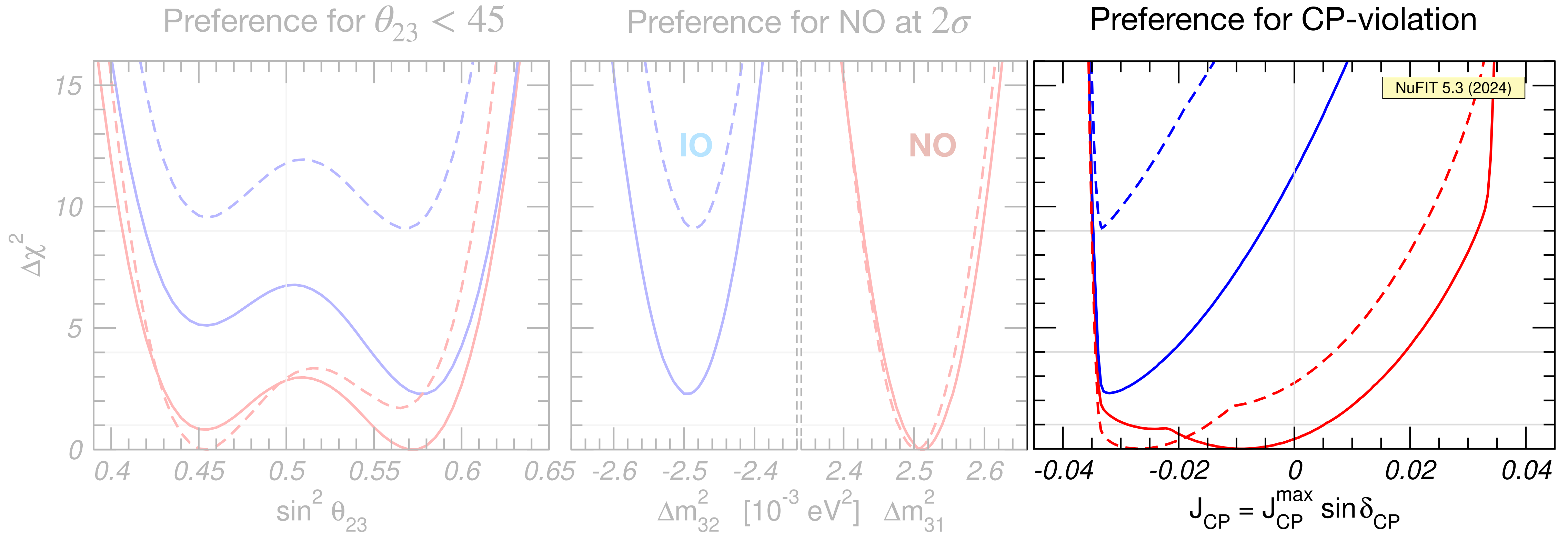


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Schwetz, Zhou, JHEP 09 (2020)



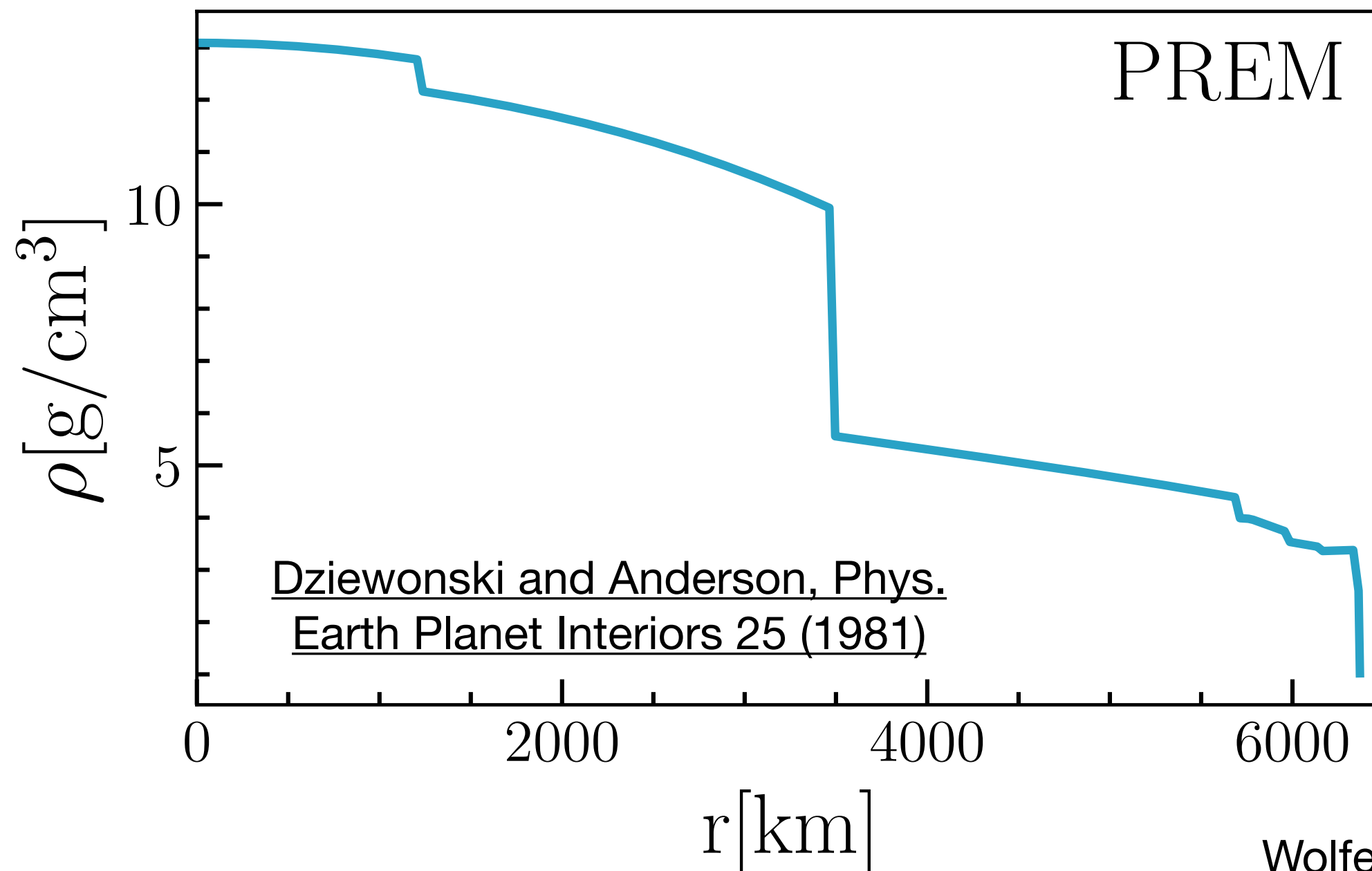
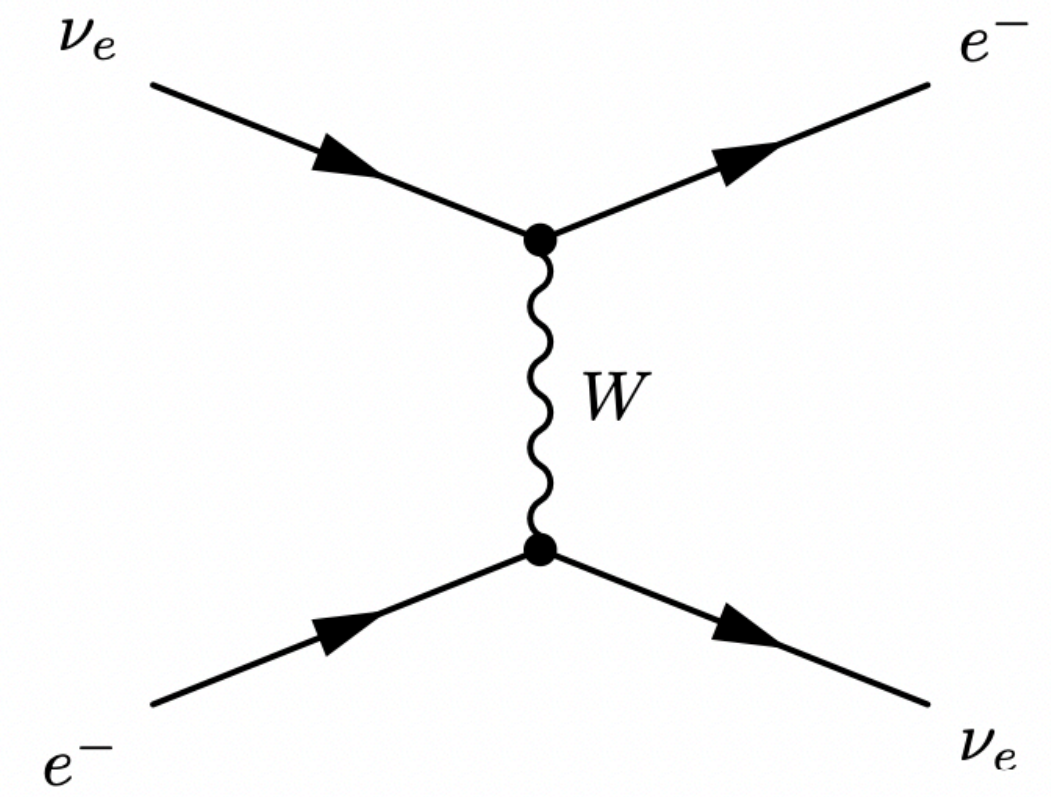
In this talk, we aim to investigate the insights that **atmospheric neutrinos** can provide on these **uncertainties**

# Neutrino Evolution in Matter

Matter effects play a crucial role in the evolution of atmospheric neutrinos

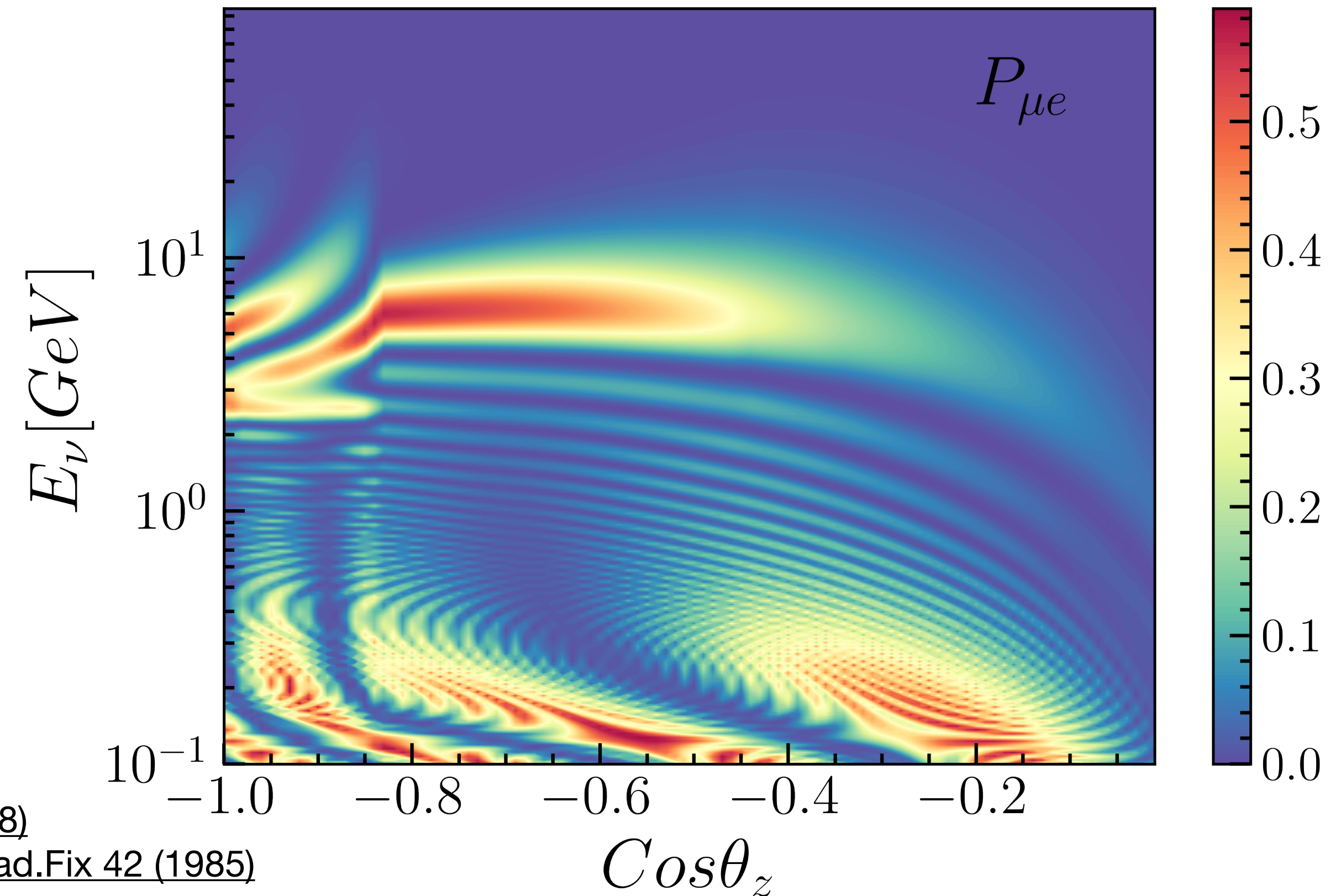
$$i \frac{d\nu}{dE} = \frac{1}{2E_\nu} (U^\dagger \text{diag}(0, \Delta m_{21}^2, \Delta m_{31}^2) U \pm V_{mat}) \nu$$

$$V_{mat} = 2\sqrt{2}G_F N_e E_\nu \text{diag}(1, 0, 0)$$



Wolfenstein, PRD 17 (1978)

Mikheyev and Smirnov, Yad. Fiz. 42 (1985)





# Sub-GeV

For  $E < 1\text{GeV}$ , atmospheric neutrino oscillations are **dominated** by  $\Delta m_{21}^2$

- The CP-violation depends on the three oscillation lengths.

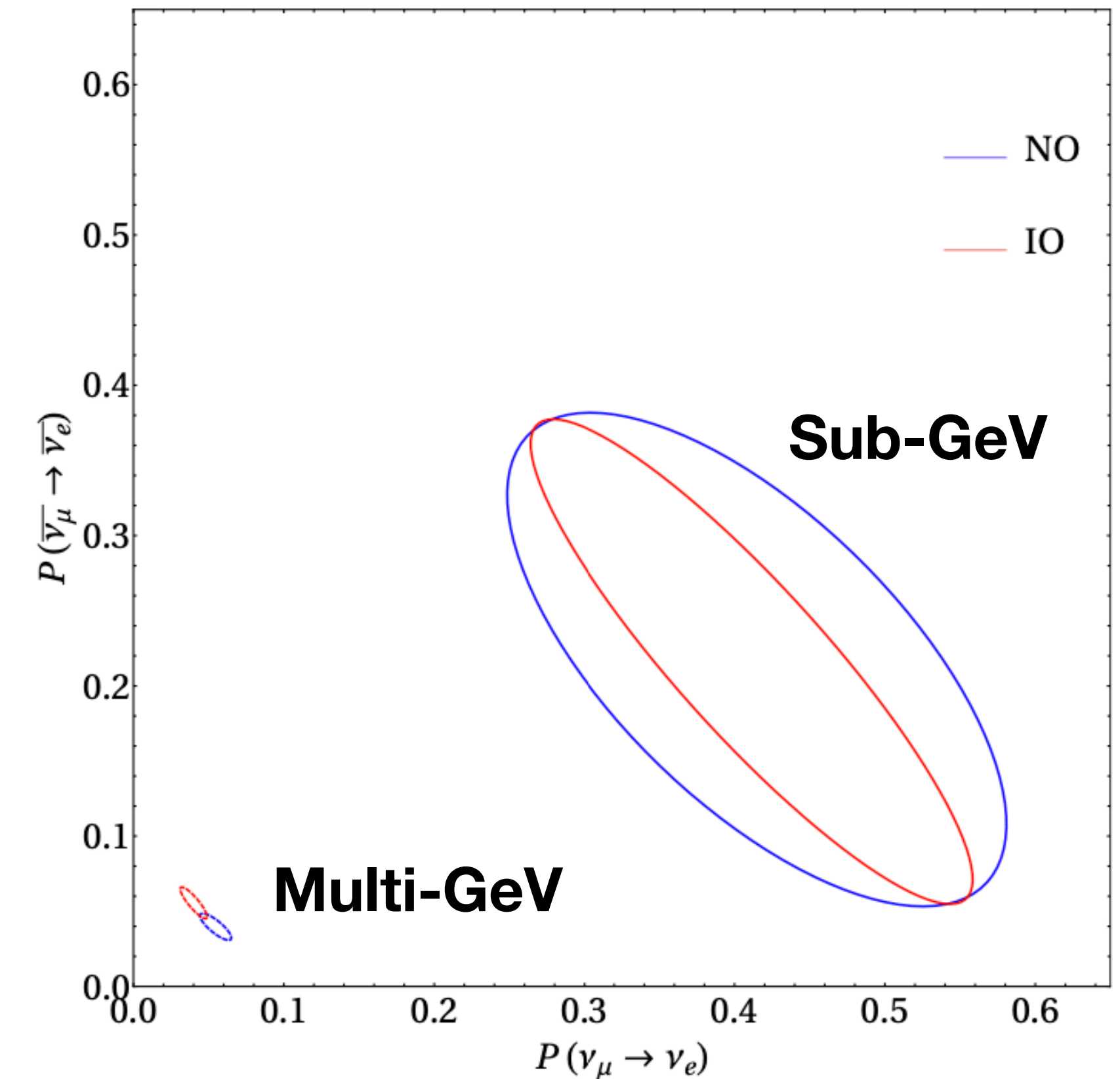
$$P_{CP} = -8J_{CP}^{max} \sin(\delta_{cp}) \sin(\Delta_{21}) \sin(\Delta_{31}) \sin(\Delta_{32})$$

- The oscillations introduced by  $\Delta_{31}$  and  $\Delta_{32}$  averaged

Oscillation phase  $\Delta_{ij} = \frac{\Delta m_{ij}^2}{4E_\nu}$

Peres and Smirnov, NPB 680 (2004) Akhmedov, Maltoni and Smirnov, JHEP 06 (2008)  
Peres and Smirnov, PRD 79 (2009) Denton and Parke, PRD 100 (2019) Parke, PRD 103 (2021)

The **CP-violation** term is **enhanced** due to the solar oscillation.



IMS, Minakata, PTEP (2019) 7

# Sub-GeV

For atmospheric neutrinos, both fluxes are sensitive to  $\delta_{CP}$

- In the case of  $\delta_{cp} \neq 0$ , the **CPT conservation** implies

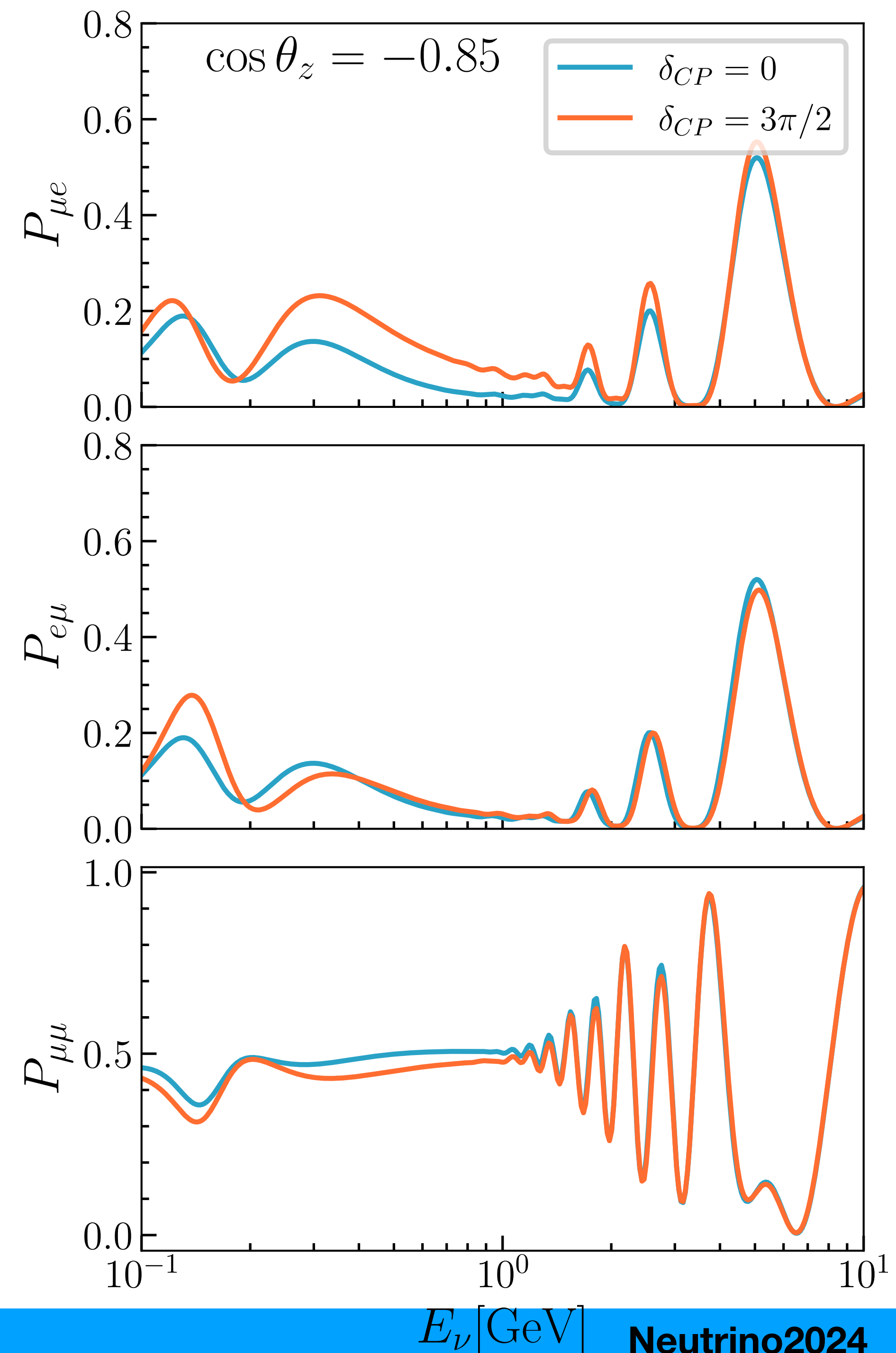
$$P(\nu_\mu \rightarrow \nu_e) \neq P(\nu_e \rightarrow \nu_\mu)$$

- The impact of  $\delta_{cp}$  depends mainly on the neutrino direction

- $P_{\mu\mu}$  contribute to measuring the phase via  $\cos \delta_{CP}$

[Minakata, Nunokawa, Parke, PLB 537 \(2002\)](#) [Minakata, Nunokawa, Parke, PRD 66 \(2002\)](#)

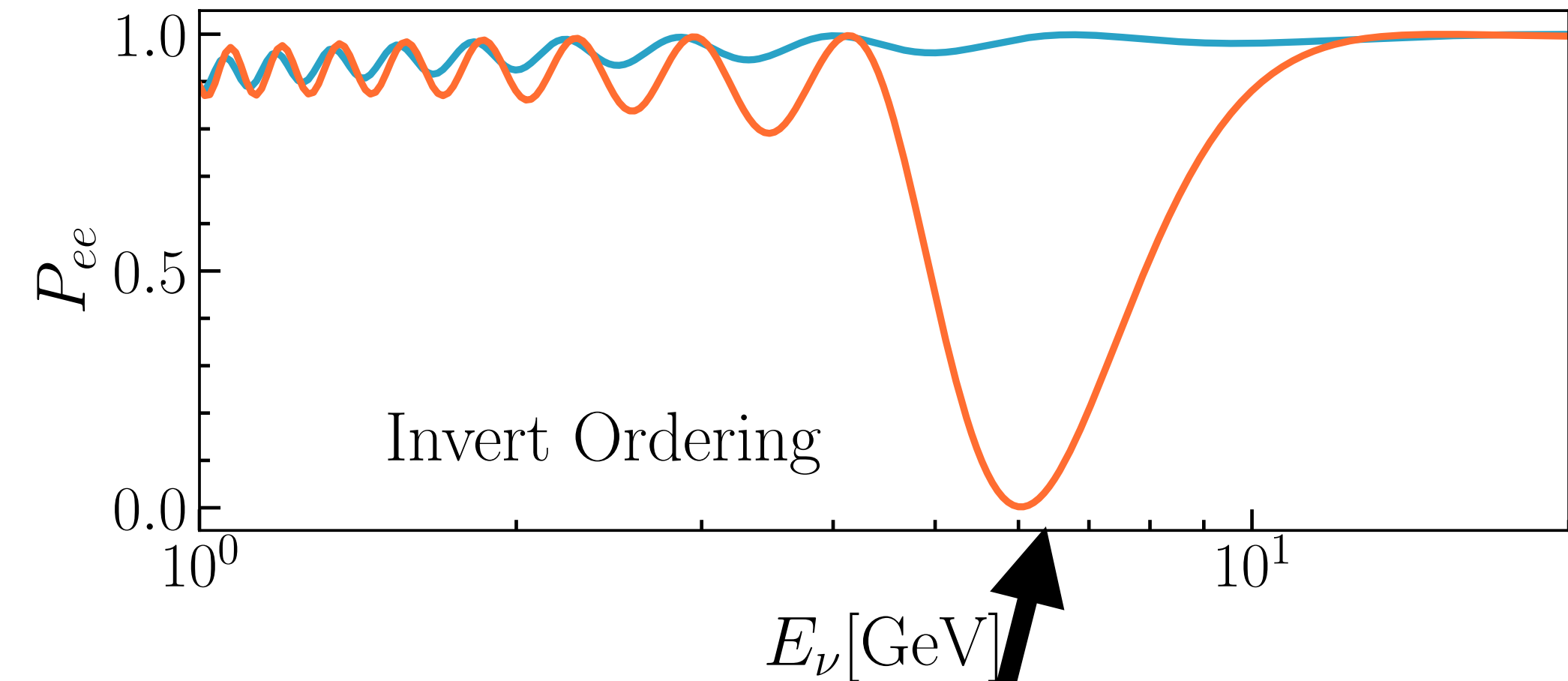
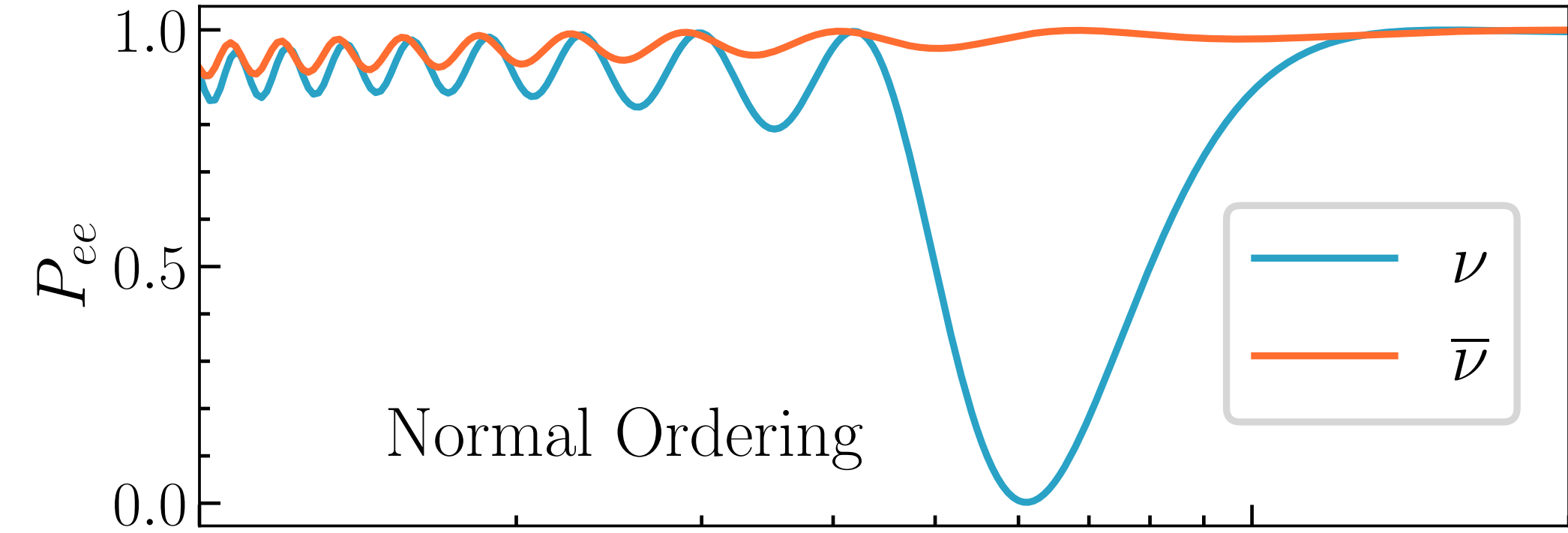
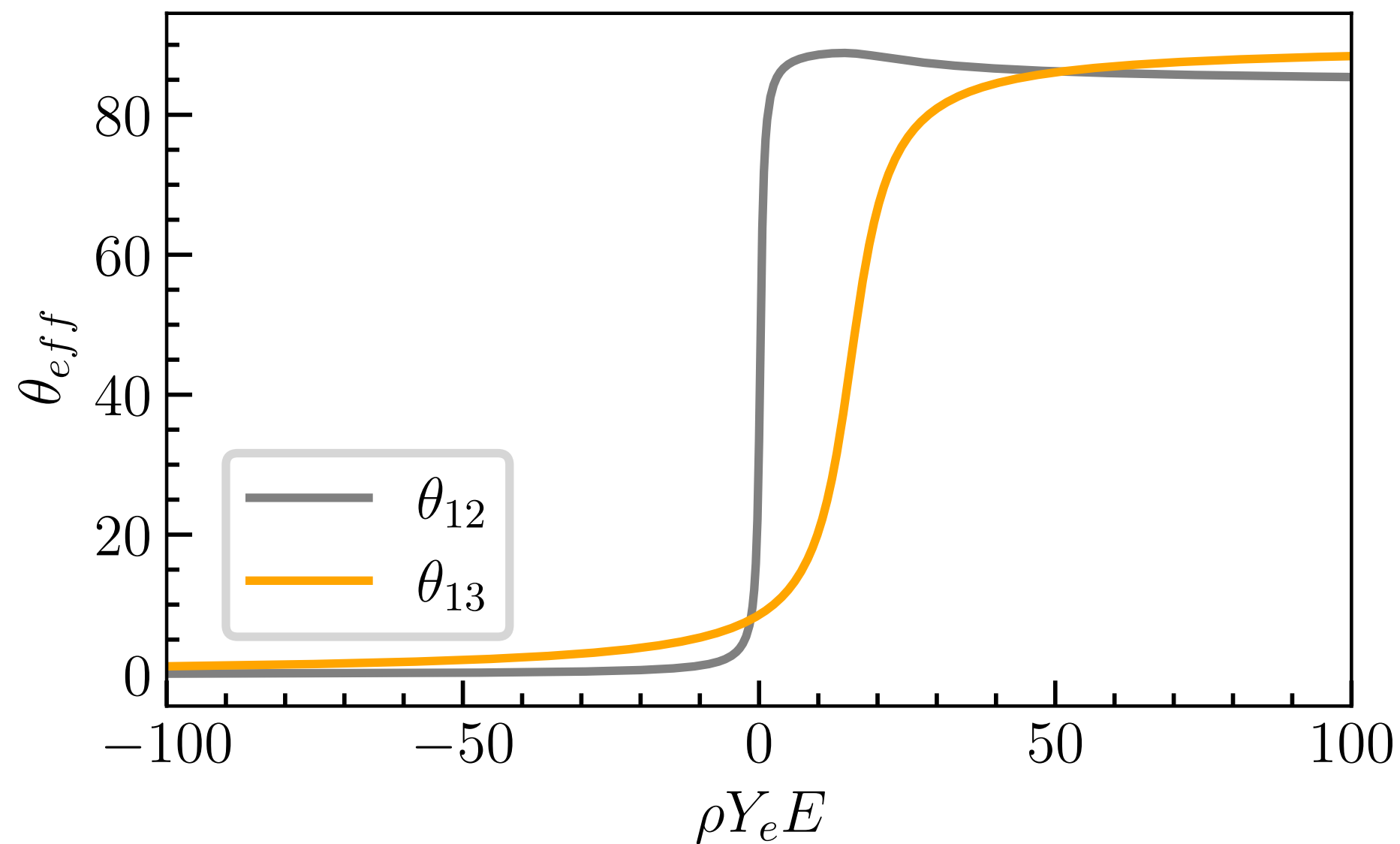
[Denton and Parke, PRD 109 \(2024\)](#)



# Multi-GeV

At the **GeV scale**, trajectories crossing the mantle experience an **MSW** resonance, making neutrinos sensitive to the **mass ordering**:

- The matter effect enhances the oscillation of neutrinos (anti-neutrinos) for NO (IO)



The enhancement of  $\theta_{13}^{eff}$  lead to a deep in  $P_{ee}$  for  $\nu$  ( $\bar{\nu}$ ) for NO (IO)

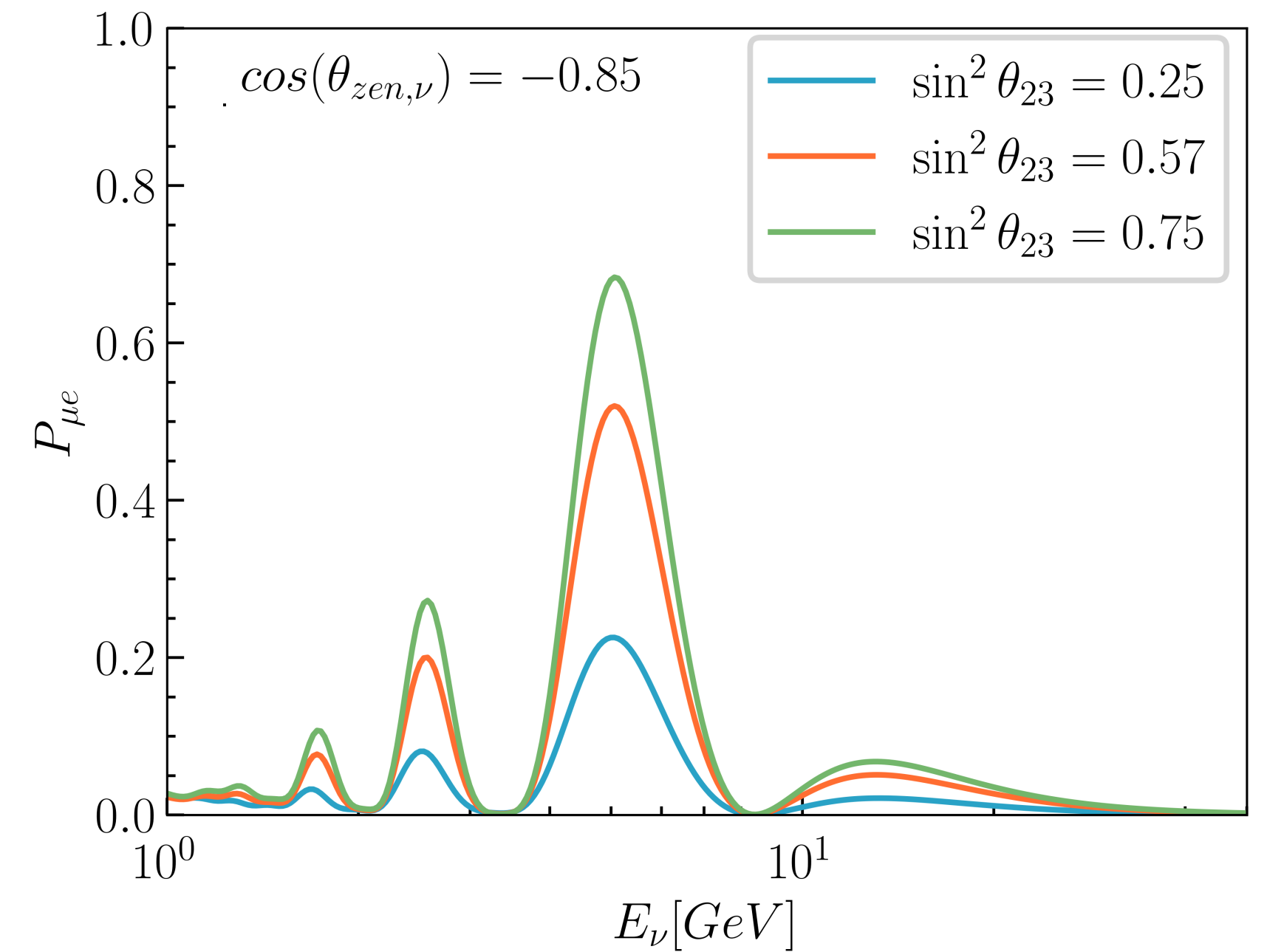
Palomares-Ruiz and Petcov, NPB 712 (2005)

Akhmedov, Maltoni and Smirnov, JHEP 05 (2007)

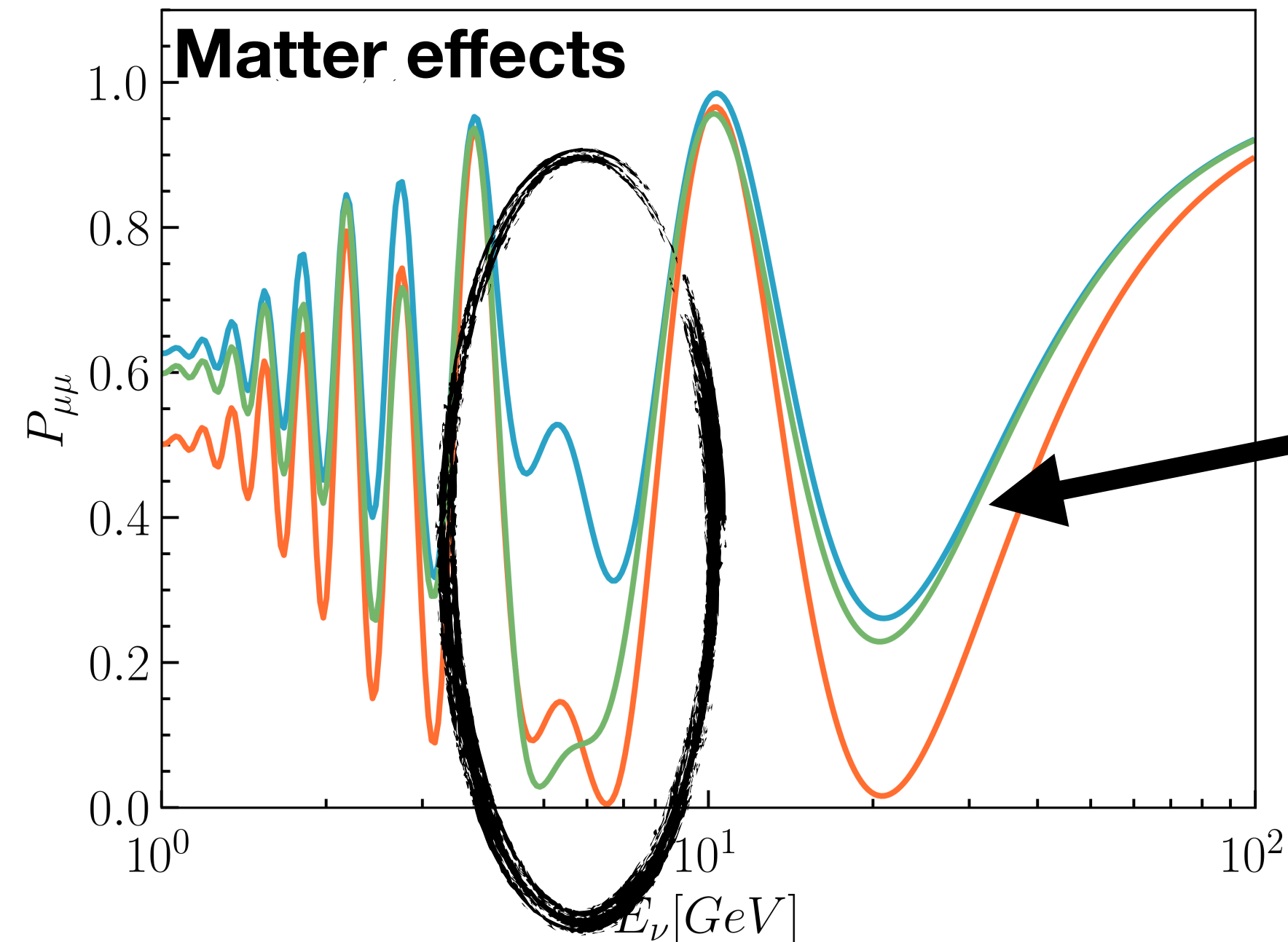
# Multi-GeV

In the multi-GeV region, neutrino evolution is dominated by  $\Delta m_{31}^2$  and  $\sin^2 \theta_{23}$

- $P_{\mu e}$  shows a linear dependence on the octant of  $\theta_{23}$



- $P_{\mu\mu}$  can determine whether  $\theta_{23}$  is **maximal mixing**.
- The **matter effects** can **resolve** the degeneracy between the two **octants**.



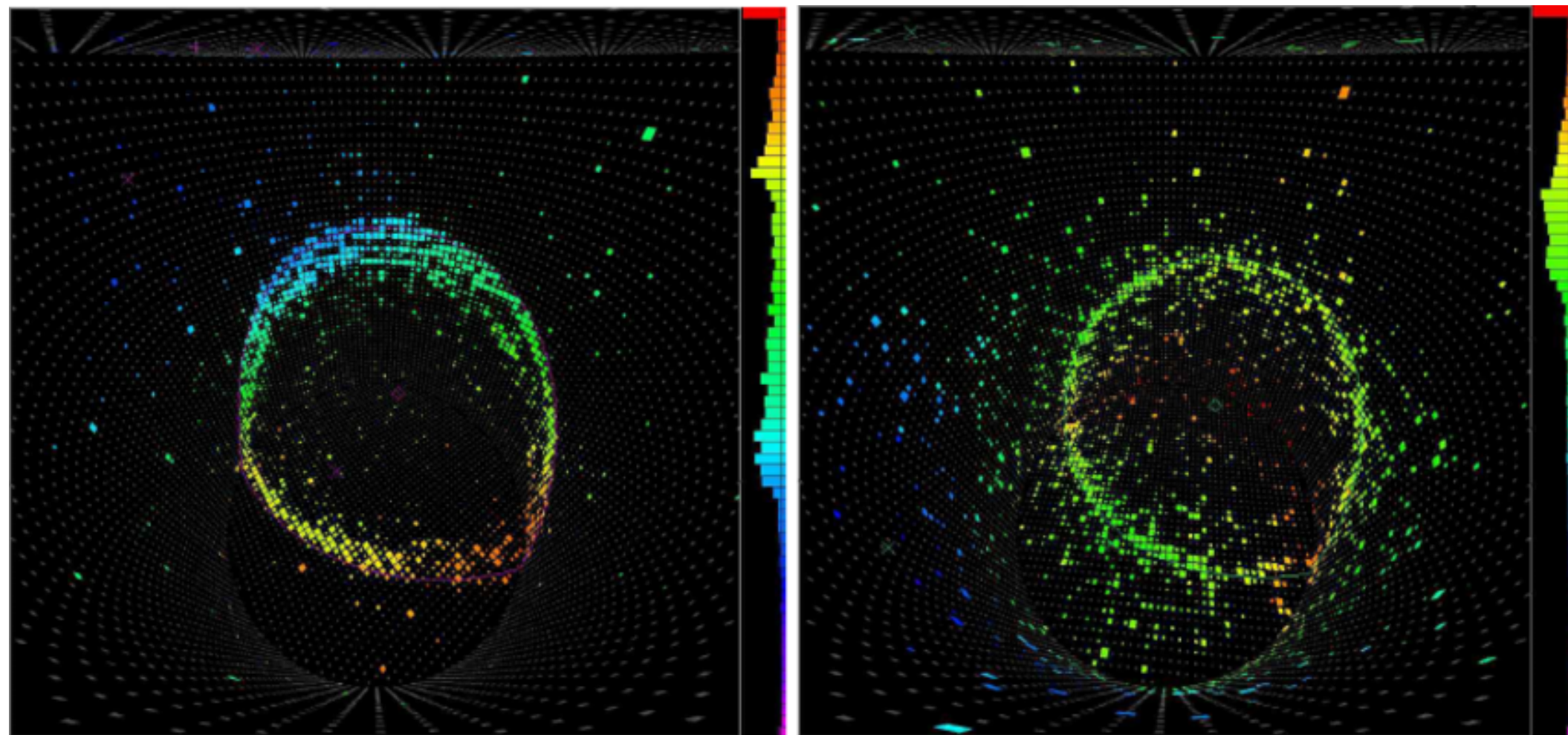
Symmetric with respect to  $\theta_{23}$  octant

# Super-Kamiokande

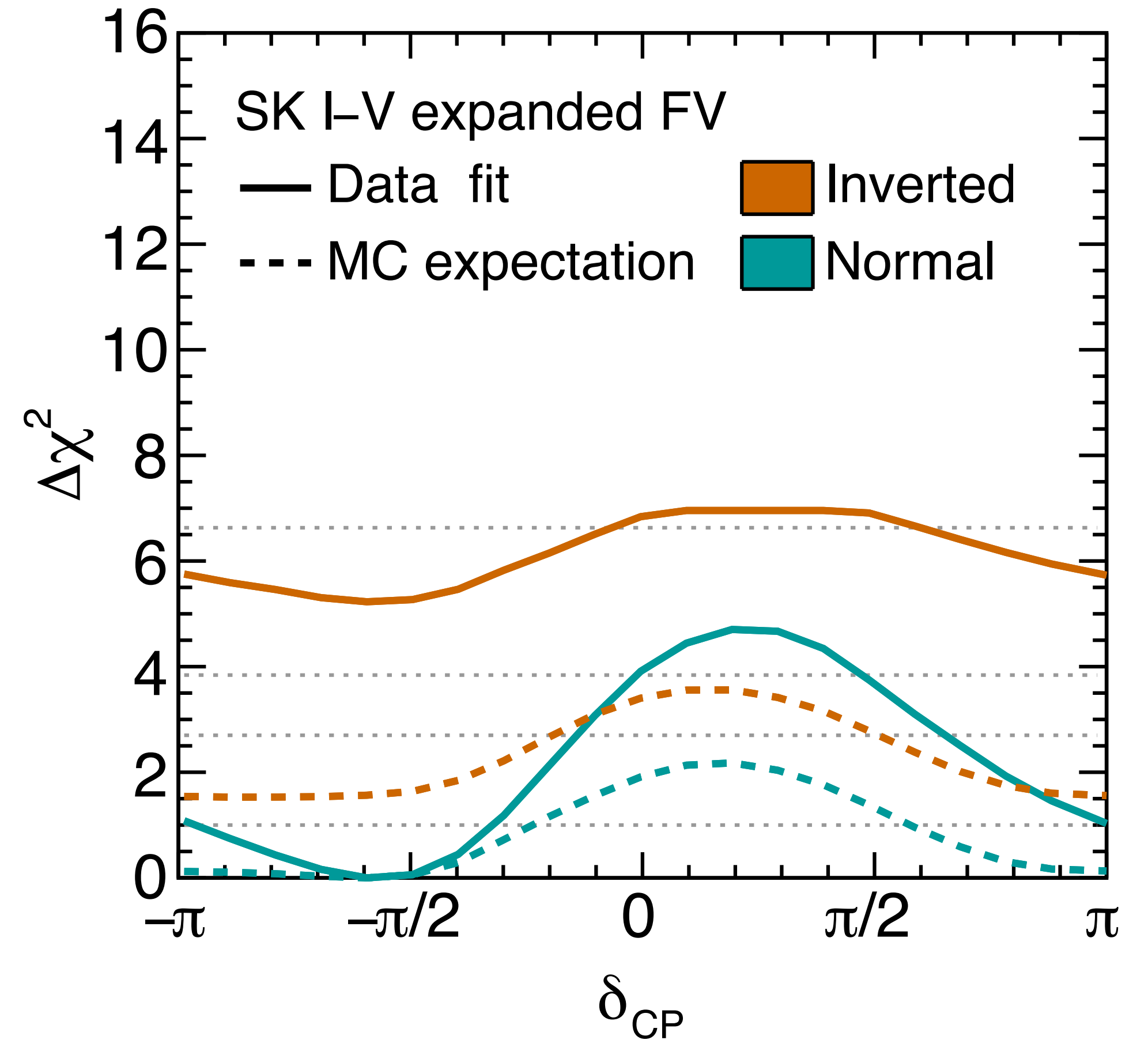
Several experiments have measured the atmospheric neutrino flux, with **SK** starting from the **sub-GeV scale**.

## Super-Kamiokande (SK)

- 22.5 kton water Cherenkov
- Small sample at multi-GeV due to the volume
- The event sample is divided in FC, PC and Up- $\mu$



Abe et al. (Super-Kamiokande), PRD 97 (2018)



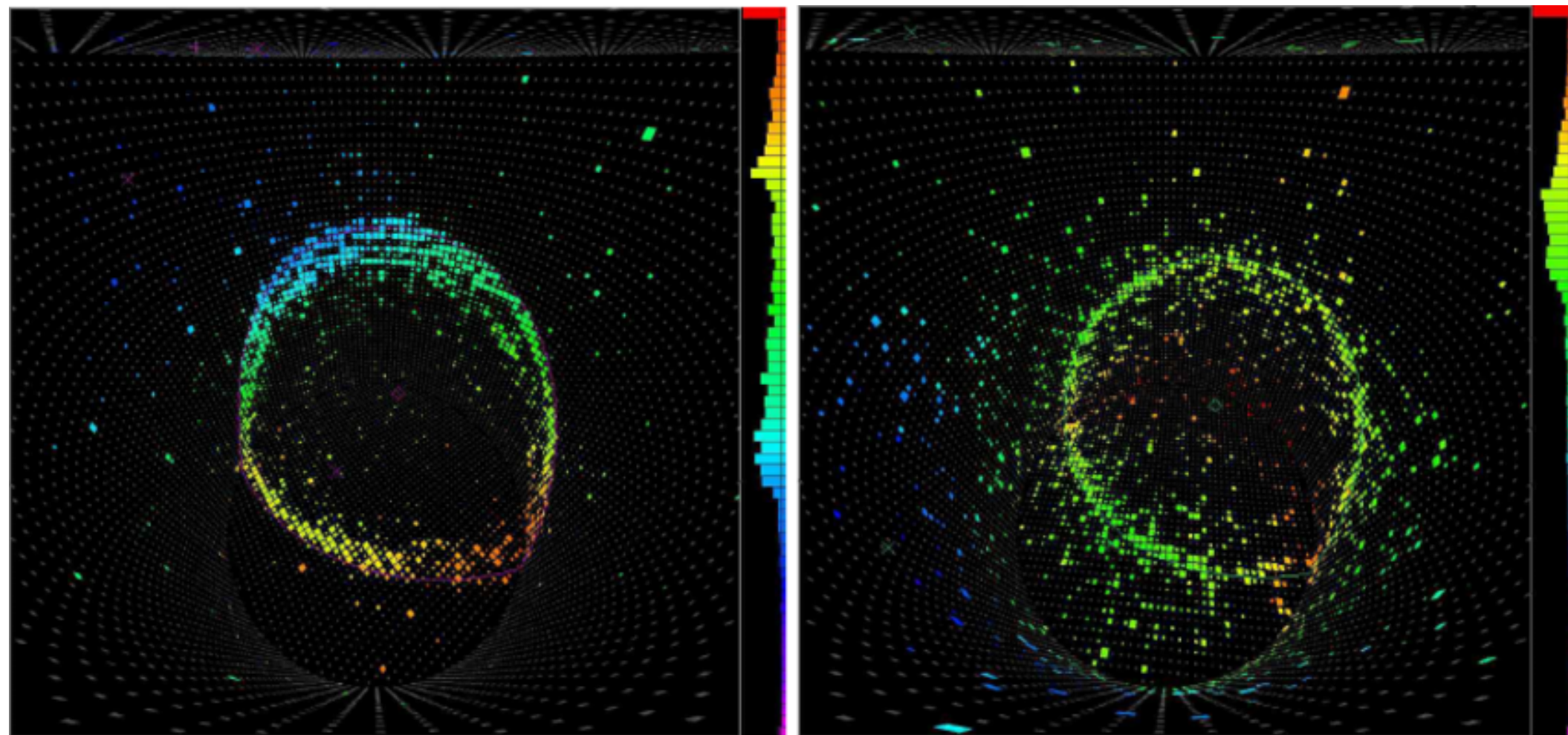
Wester et al. (Super-Kamiokande), arXiv: 2311.05105

# Hyper-Kamiokande

Hyper-Kamiokande is the **next generation** of water-Cherenkov experiment in Japan

## Super-Kamiokande (SK)

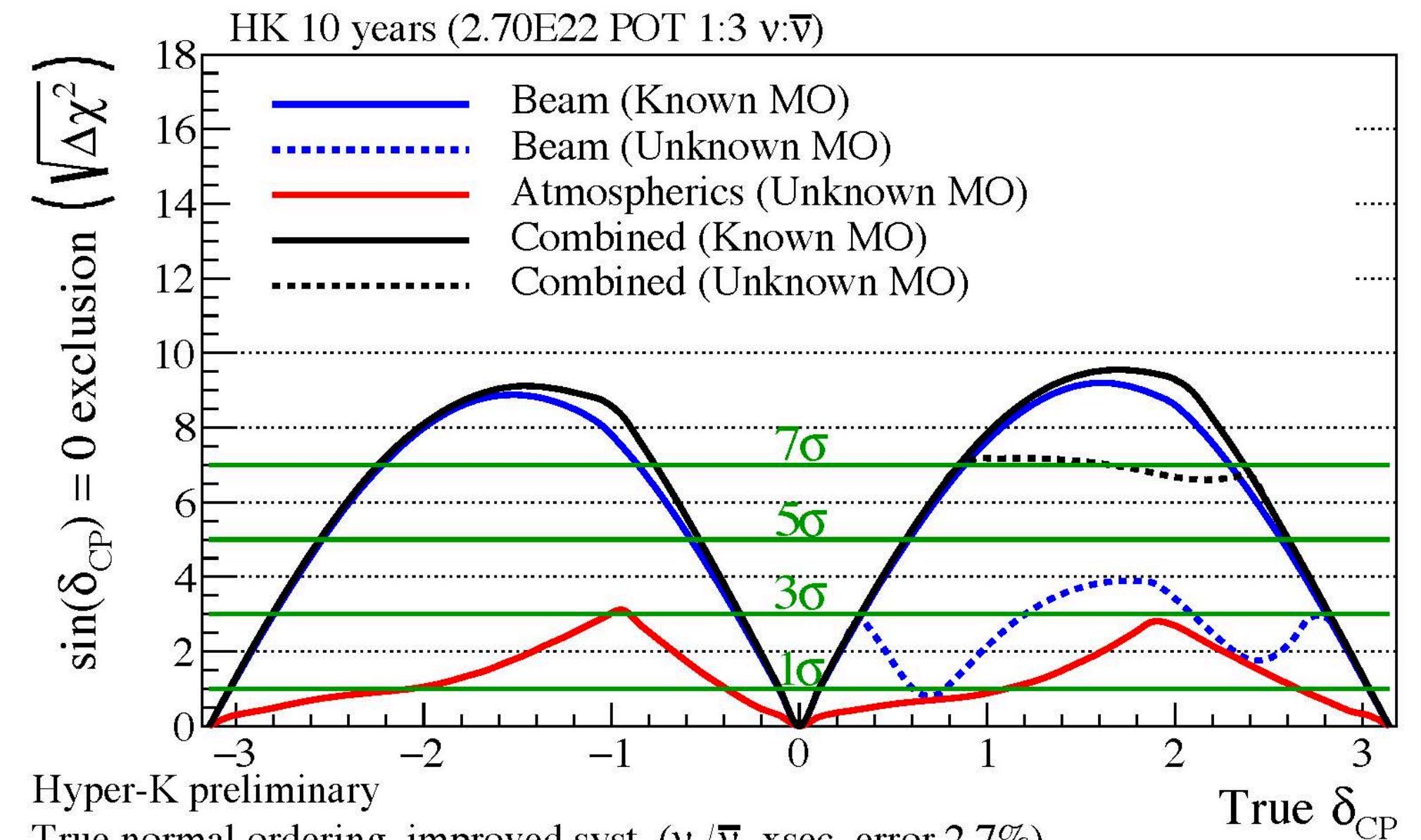
- 22.5 kton water Cherenkov
- Small sample at multi-GeV due to the volume
- The event sample is divided in FC, PC and Up- $\mu$



[Abe et al. \(Super-Kamiokande\), PRD 97 \(2018\)](#)

## Hyper-Kamiokande (HK)

- 187 kton water Cherenkov (8.4 larger than SK)
- 20% photo coverage with improved photosensors



Hyper-K preliminary

True normal ordering, improved syst. ( $\nu_e/\bar{\nu}_e$  xsec. error 2.7%)

$\sin^2(\theta_{13})=0.0218$   $\sin^2(\theta_{23})=0.528$   $|\Delta m_{32}^2|=2.509 \times 10^{-3} \text{ eV}^2/\text{c}^4$

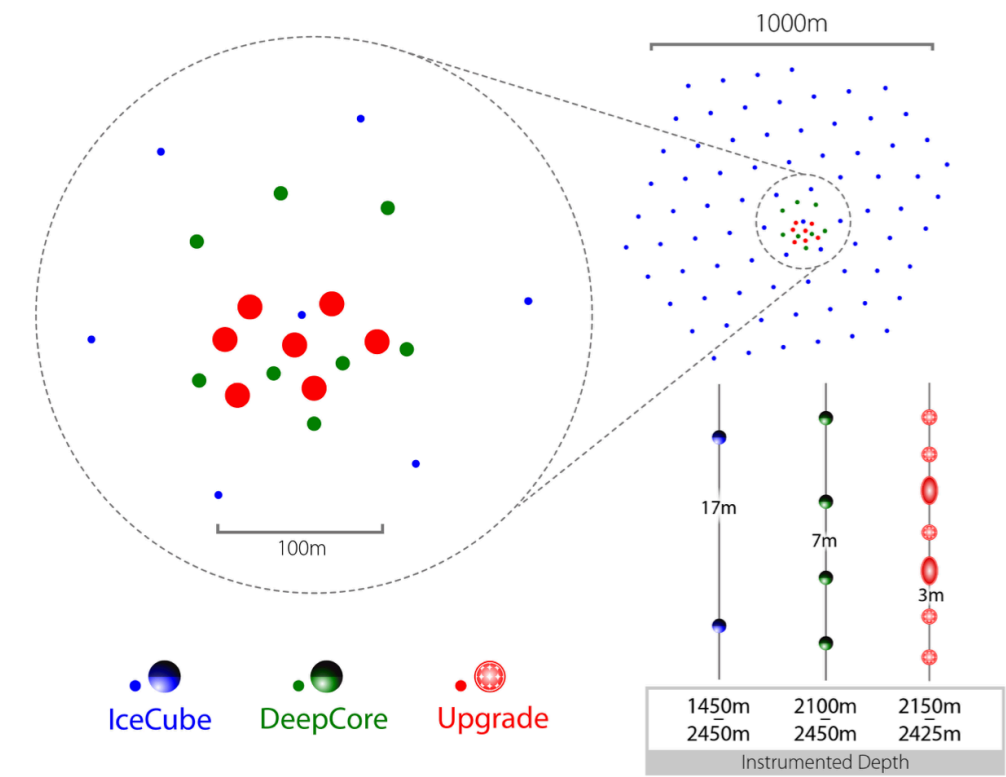
[Bian et al. \(Hyper-Kamiokande\), Snowmass 2021](#)

[Abe et al. \(Hyper-Kamiokande\), arXiv:1803.04163](#)

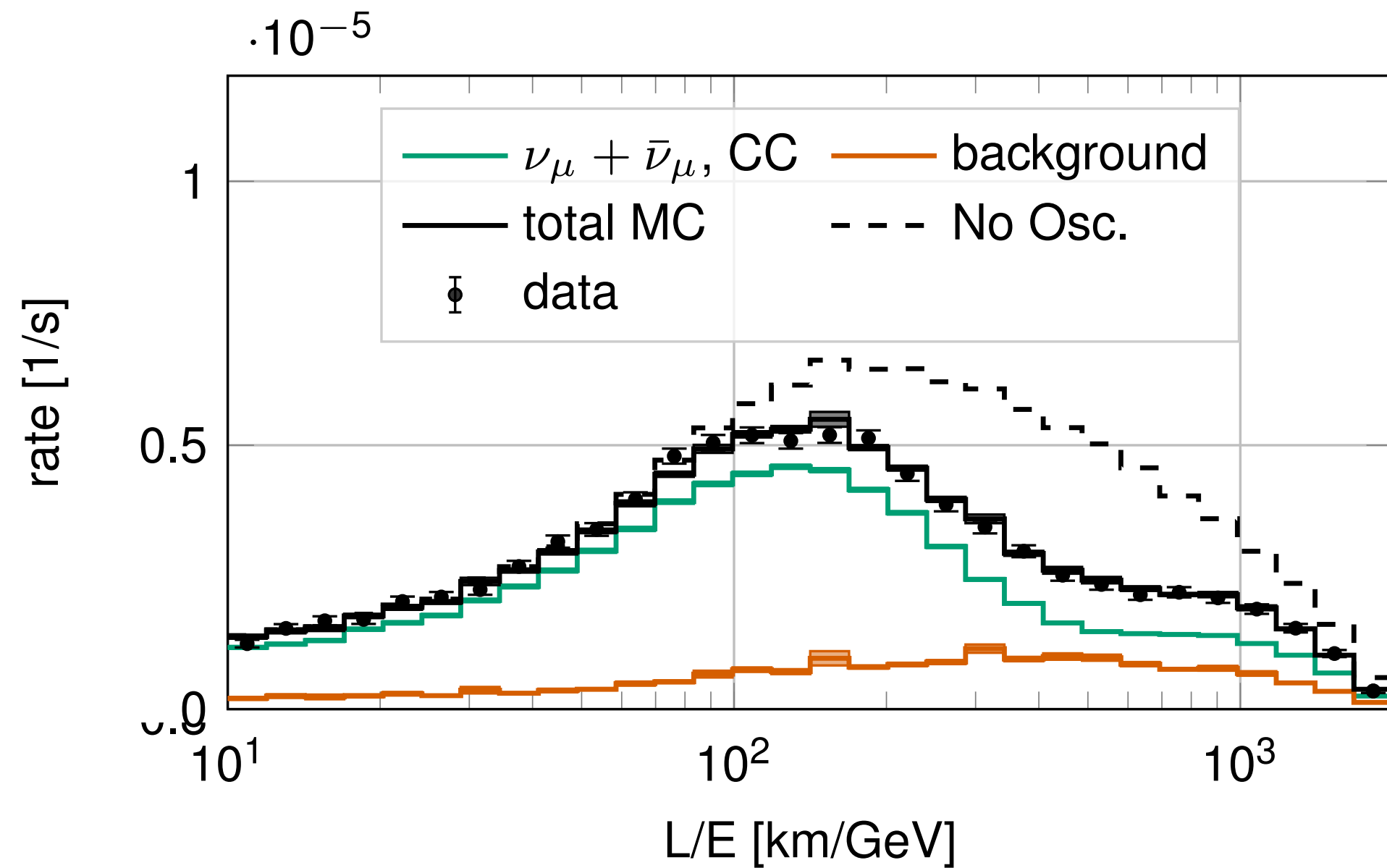
# IceCube

The **neutrino telescopes** measure the atmospheric neutrino flux from the **multi-GeV** scale

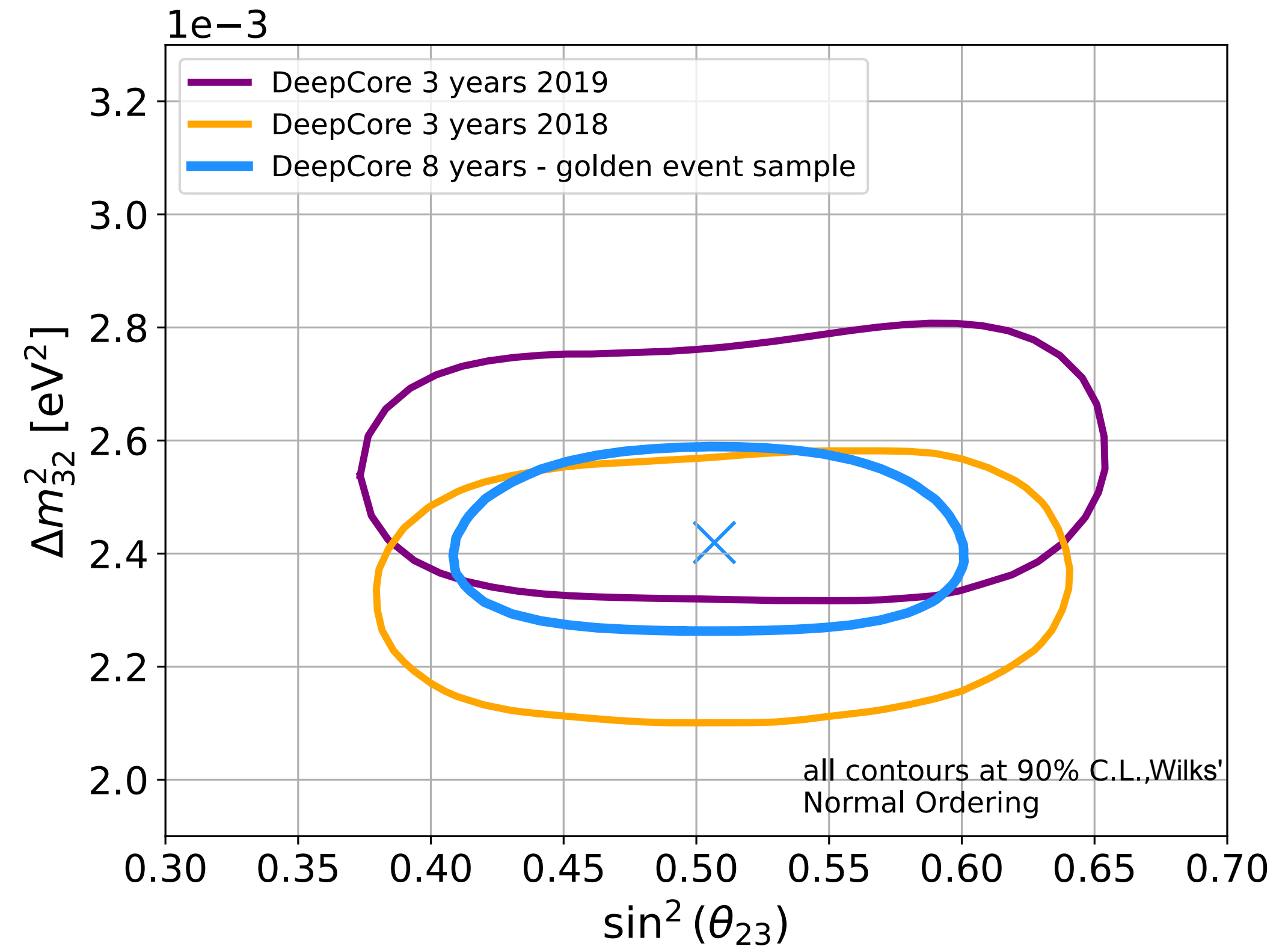
- $\sim 1\text{km}^3$  ice Cherenkov
- The sample is divided into tracks and cascades
- The upgrade will add seven additional strings lowering the energy threshold to  $\sim 1\text{GeV}$



Ishihara (IceCube). PoS ICRC2019



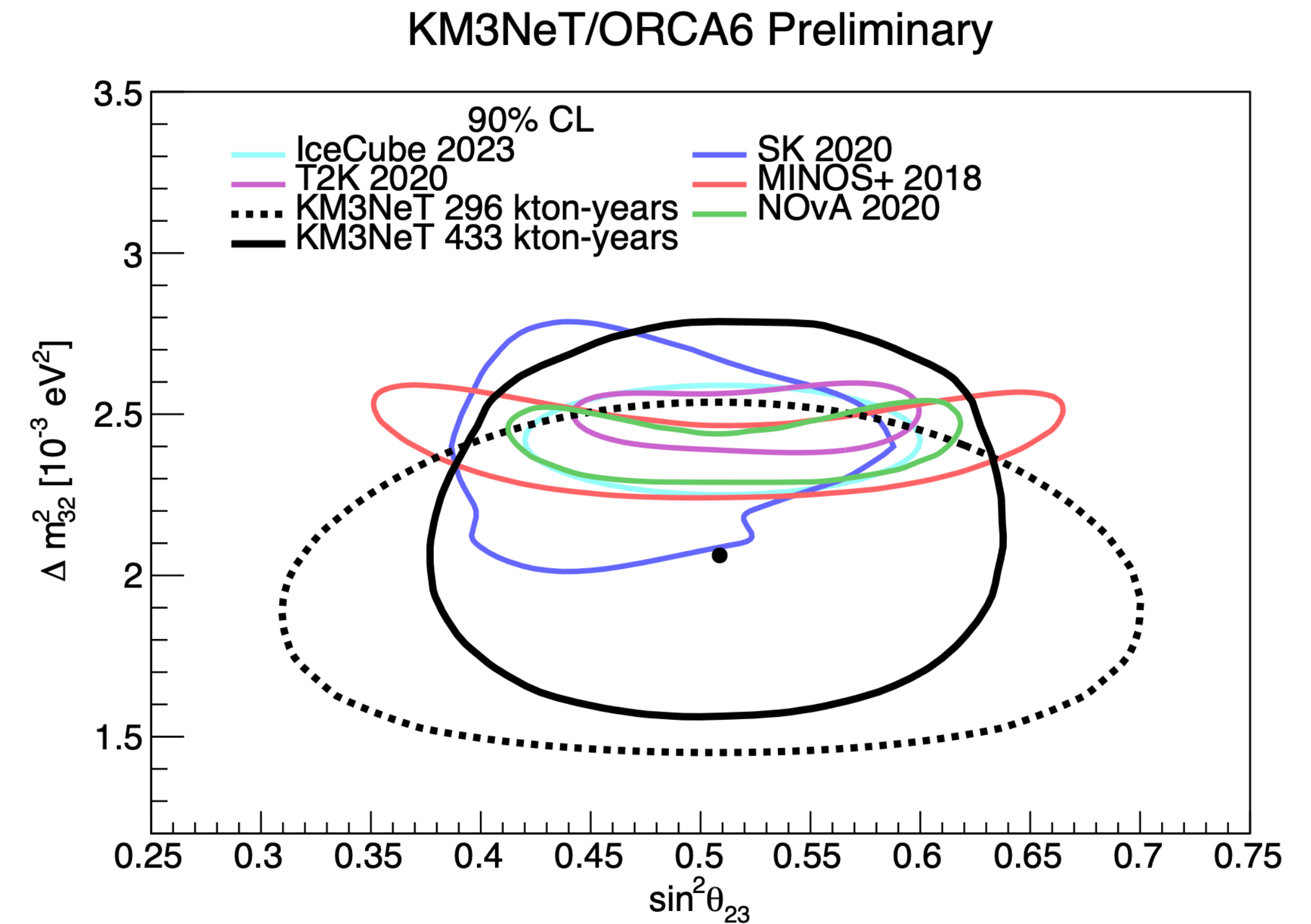
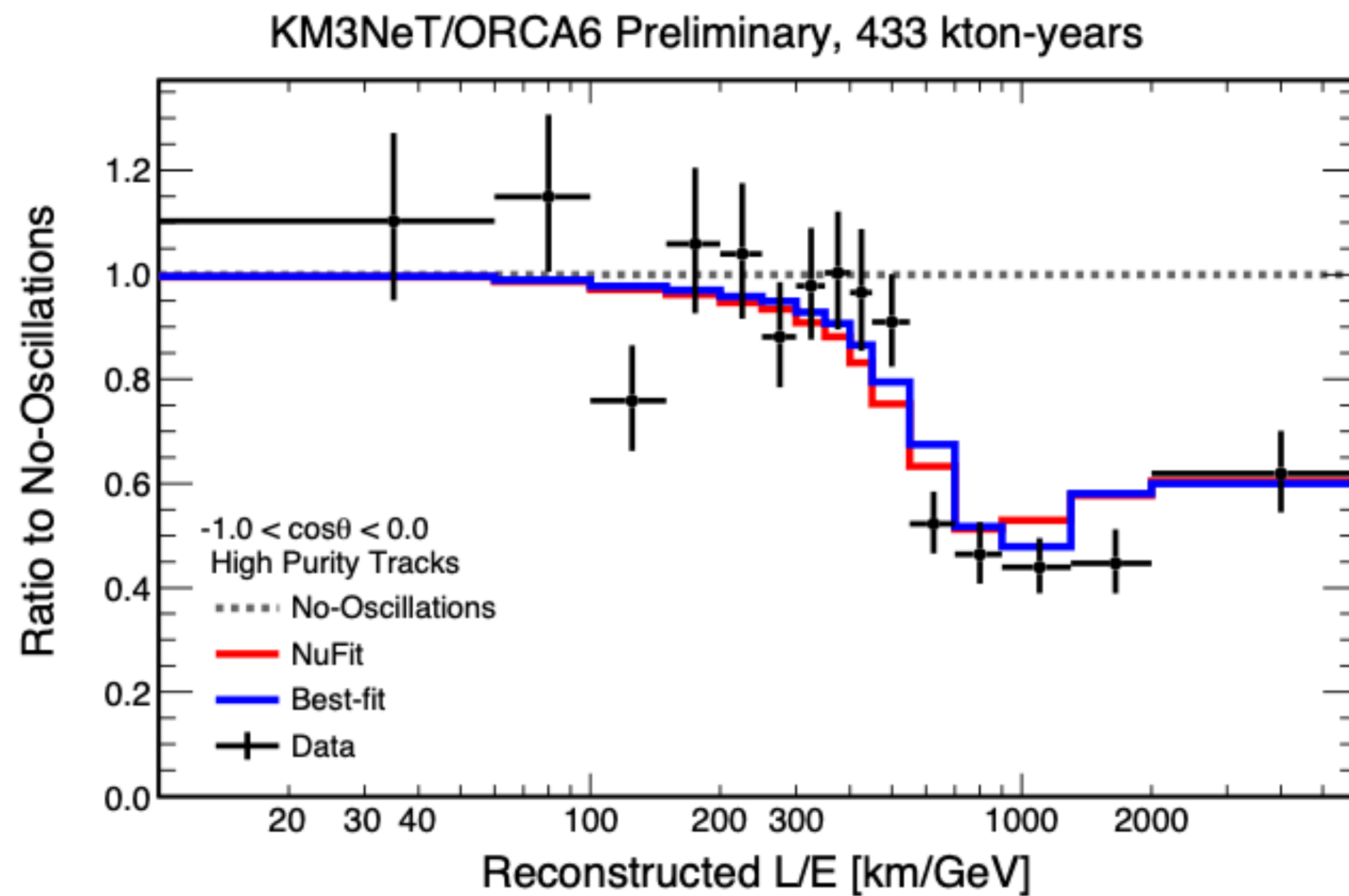
Abbasi et al. (IceCube), PRD 108 (2023)  
Abbasi et al. (IceCube), arXiv: 2405.02163



# ORCA

**ORCA** measures the multi-GeV component of the atmospheric neutrino flux from **~2GeV**

The total expected volume is 7 Mt, with events classified into high-purity tracks, low-purity tracks, and showers



Carretero et al. (KM3NeT), PoS ICRC2023  
Aiello (KM3NeT), EPJC 82, 26 (2022)

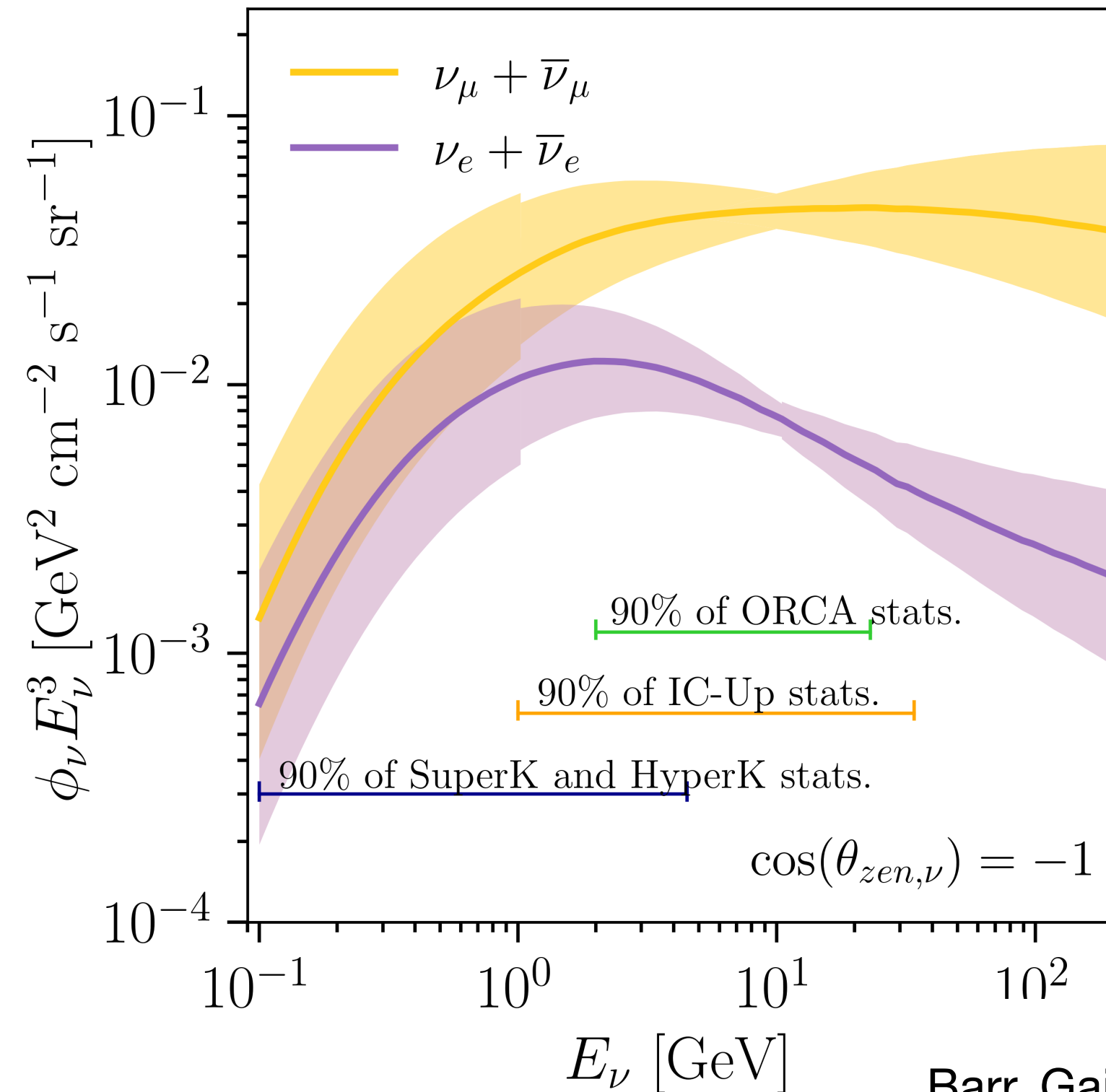


# Systematic Uncertainties

Combining all experiments reduces the systematic impacts, thereby enhancing the sensitivity

## Flux systematics

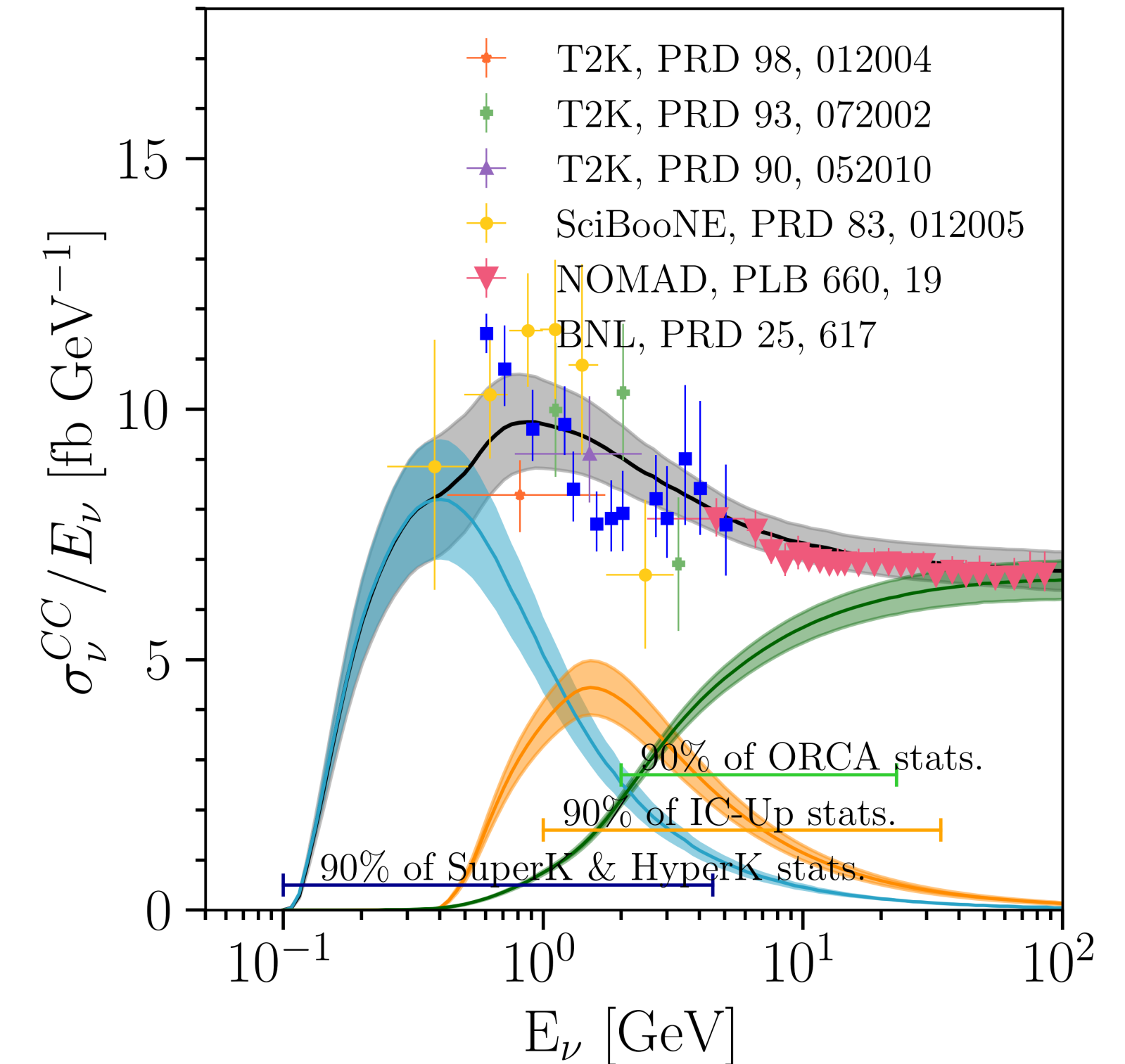
The flux has uncertainties in normalization, energy dependence, up/down,  $\nu_e/\nu_\mu$ ,  $\bar{\nu}/\nu$



Barr, Gaisser, Robbins, Stavev, PRD 74 (2006)  
 Yañez-Garza and Fedynitch, PRD 107 (2023)

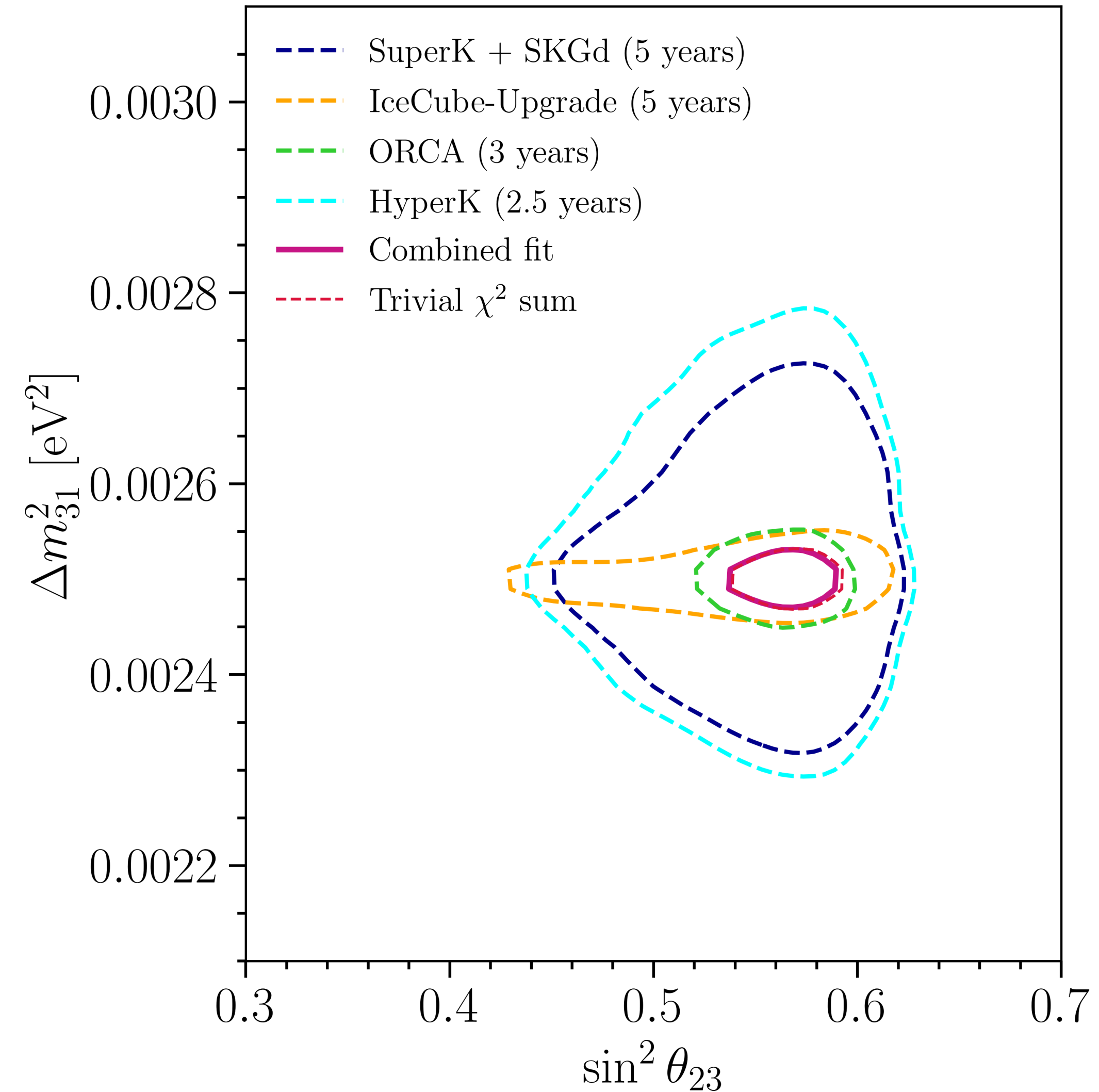
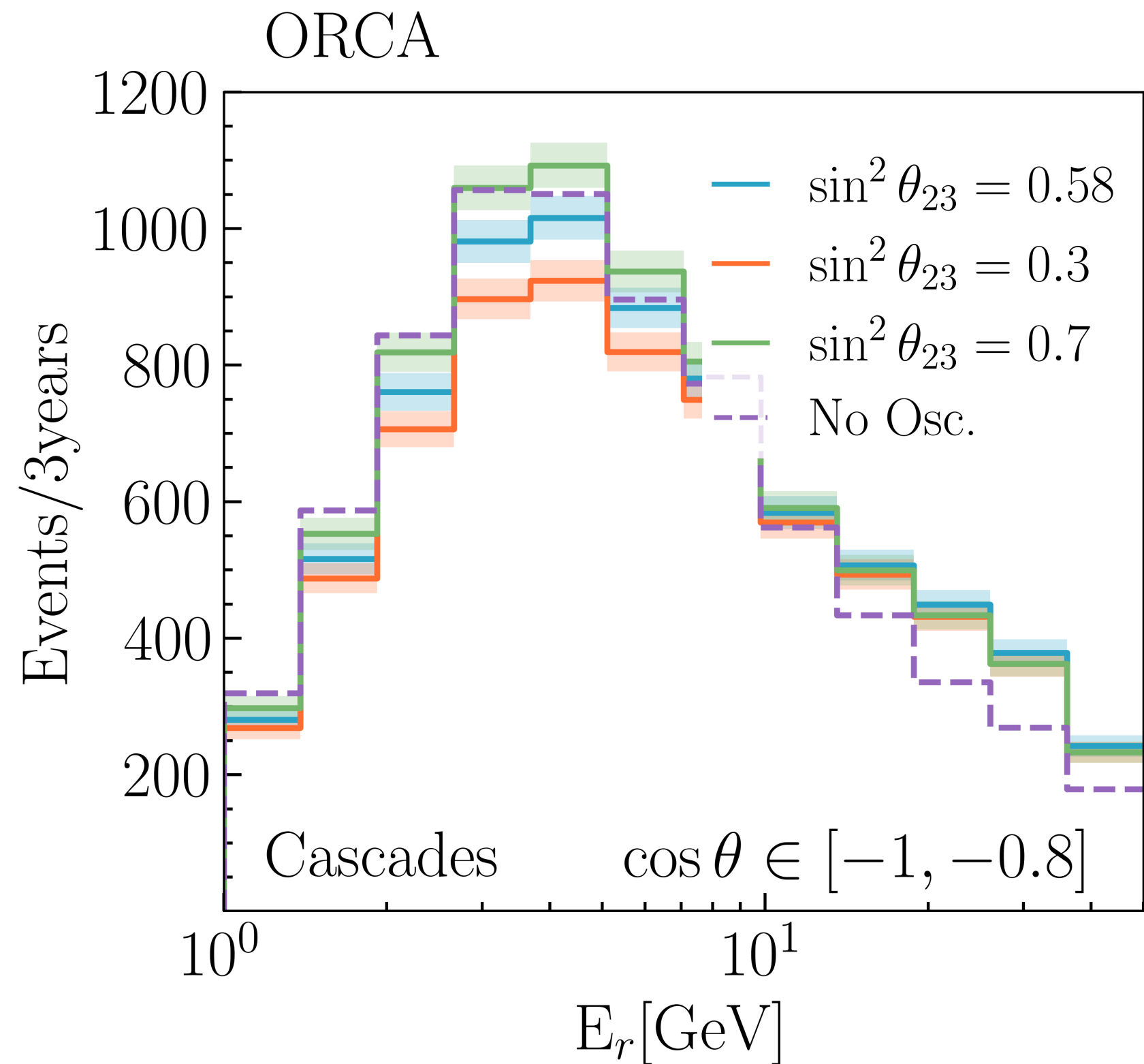
## Cross-section systematics

The wide range of energy of the flux leads atmospheric neutrinos to engage in diverse interactions.



# Combined Analysis: $\theta_{23}$ and $\Delta m_{31}^2$

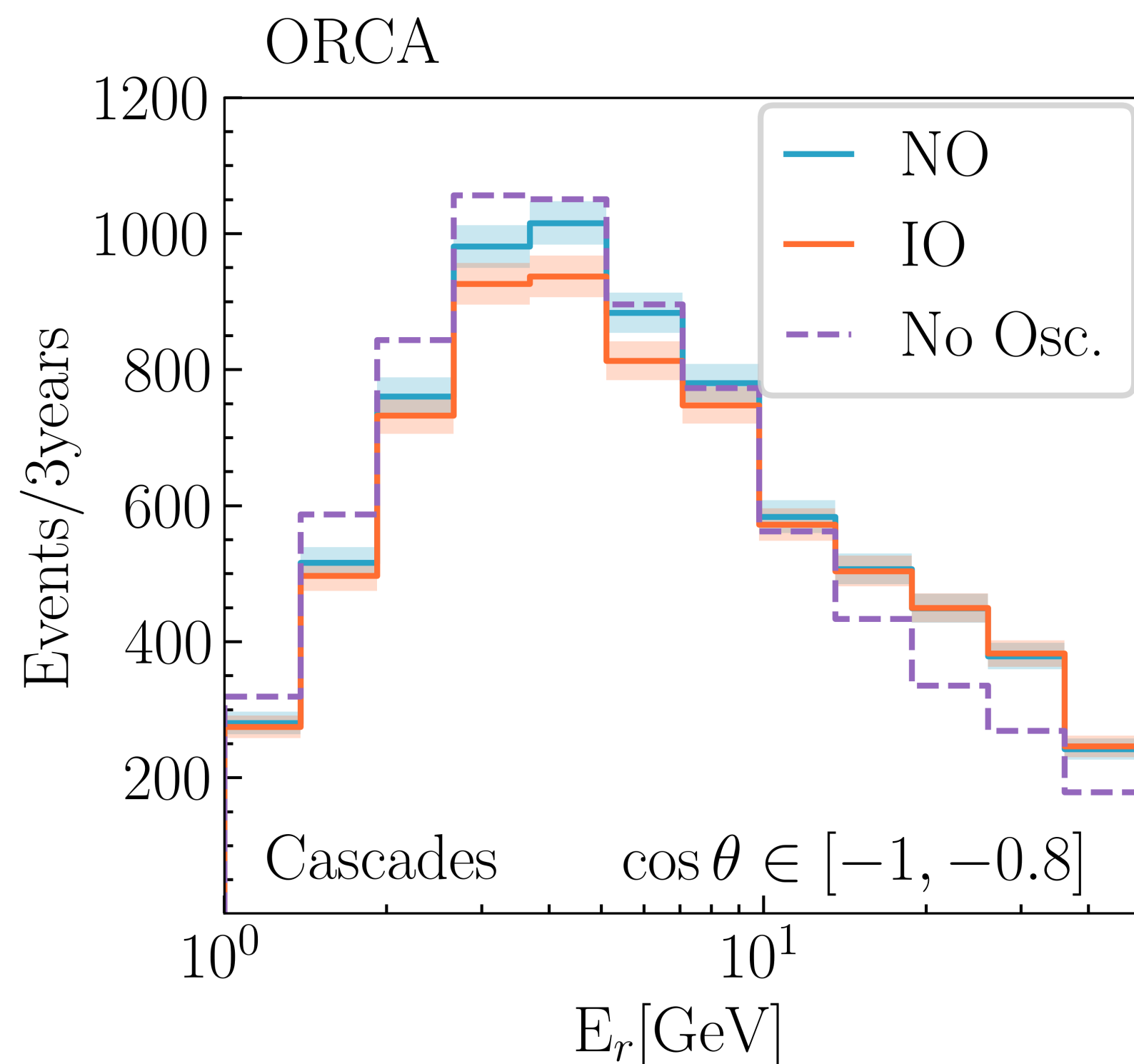
Making a **combined analysis** of **SK, HK, IceCube-upgrade** and **ORCA** we have estimated the sensitivity to  $\delta_{cp}$ ,  $\theta_{23}$  and the **mass ordering**



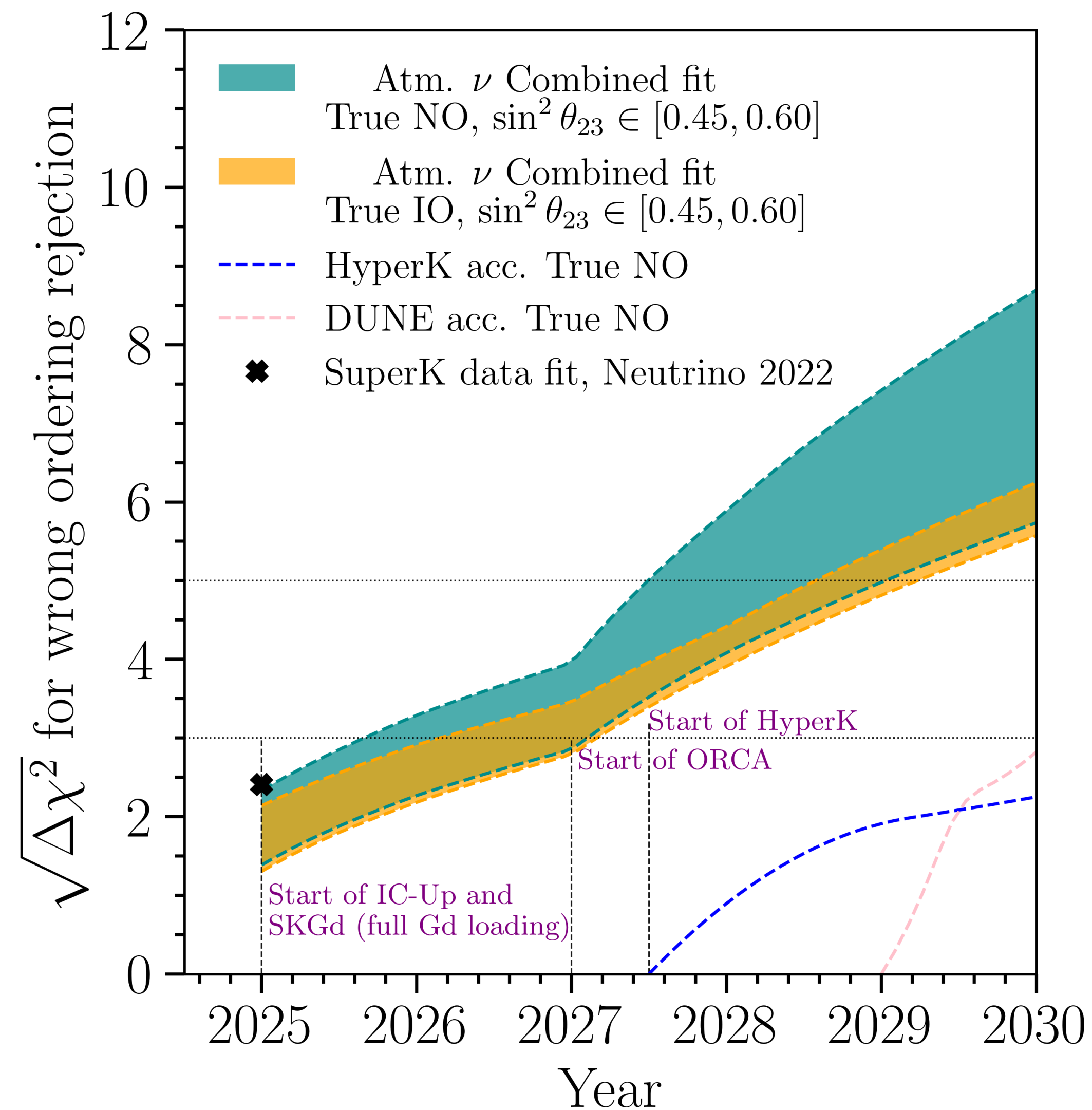
Argüelles, Fernandez, **IMS** and Jin, PRX 13 (2023)

# Combined Analysis: Mass Ordering

- The **sensitivity** to the ordering is dominated by the cascades crossing the core in IC-upgrade and ORCA around the GeV.
- We expect to reach  $6\sigma$  by the end of the decade.



- $\sin^2 \theta_{13} = 0.022$  (fixed)
- Profiled over  $\delta_{cp}$

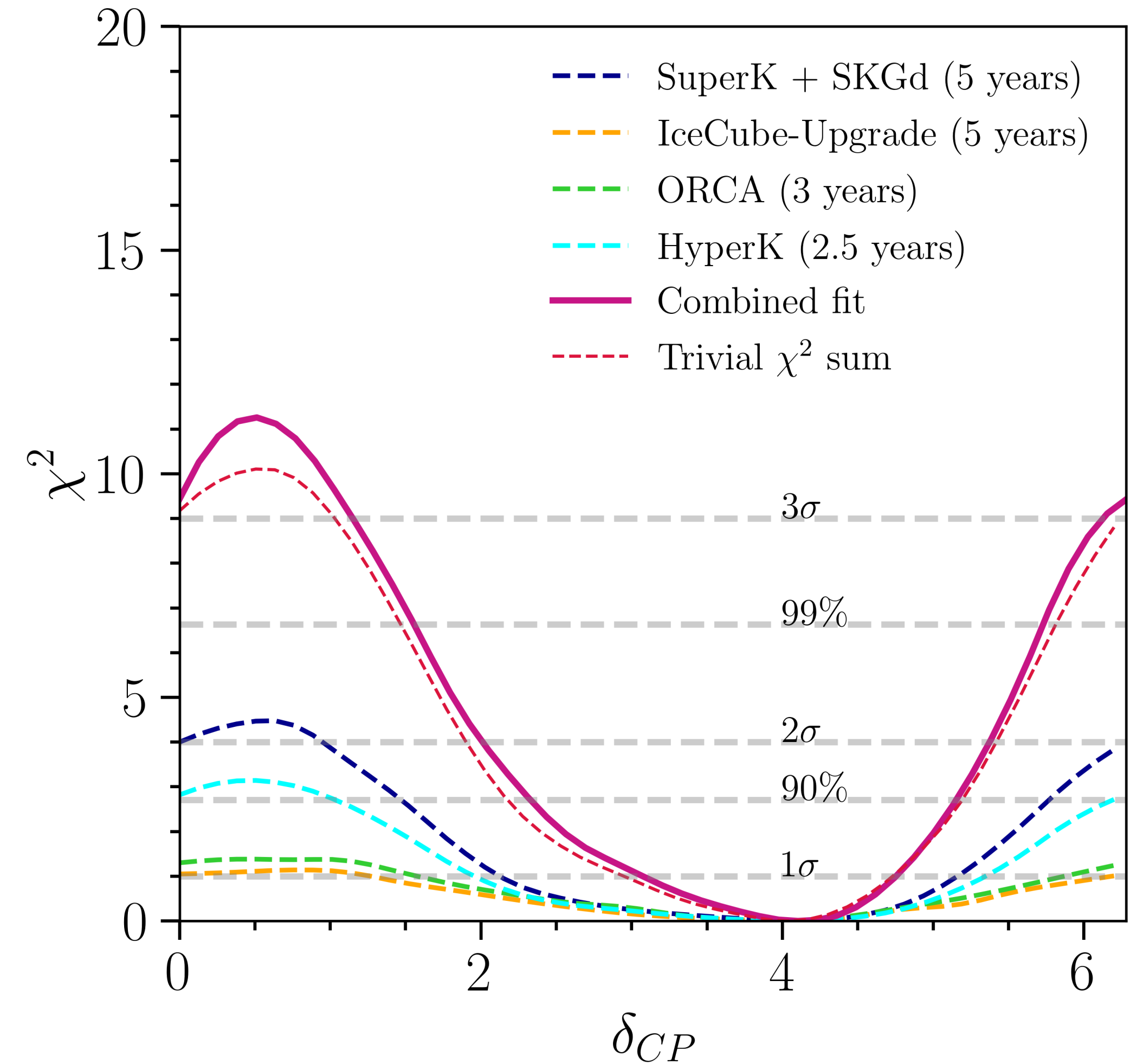
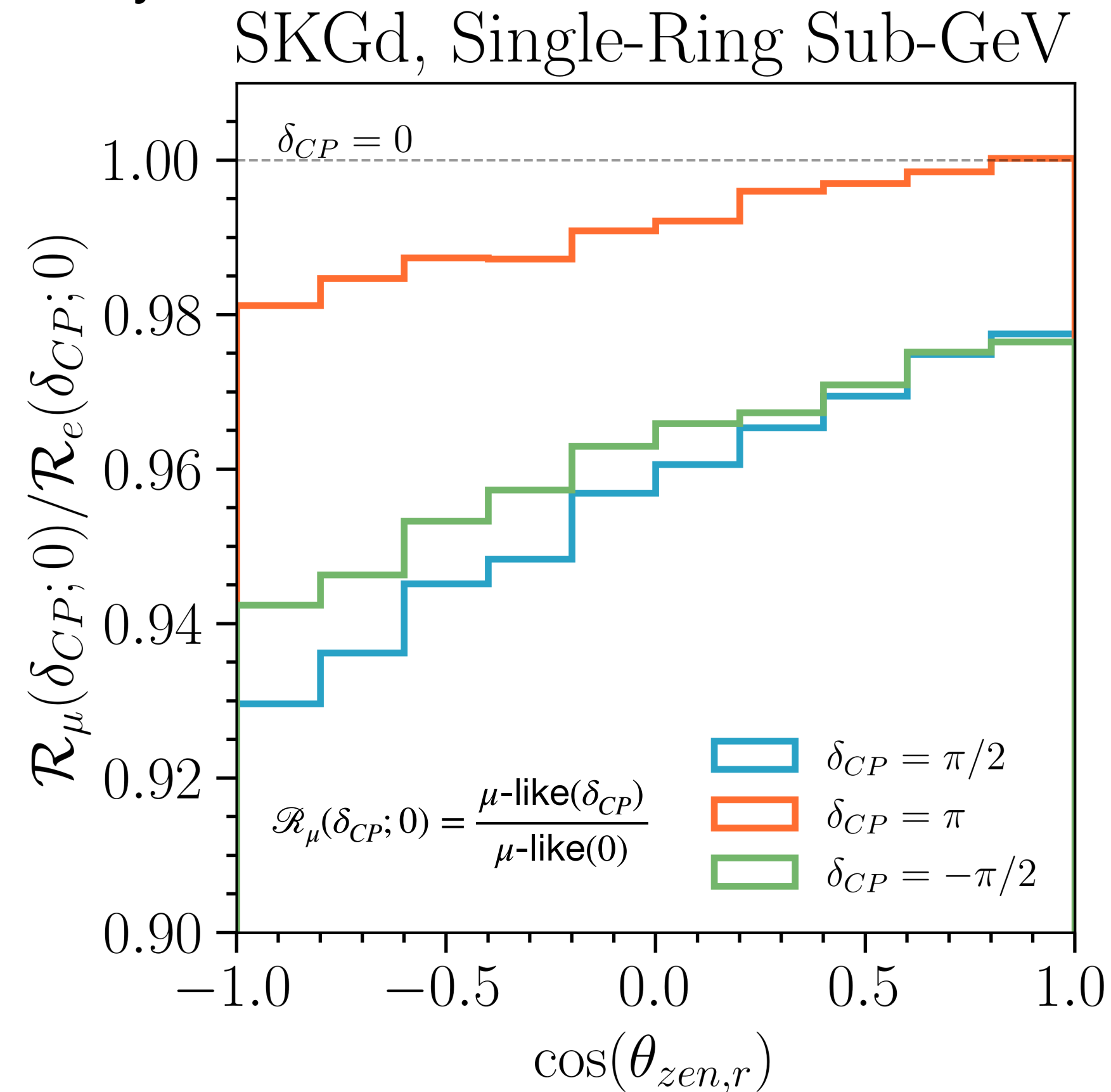


Argüelles, Fernandez, **IMS** and Jin, PRX 13 (2023)

# Combined analysis: $\delta_{cp}$

The sensitivity to  $\delta_{cp}$  is dominated by **SK** and **HK**

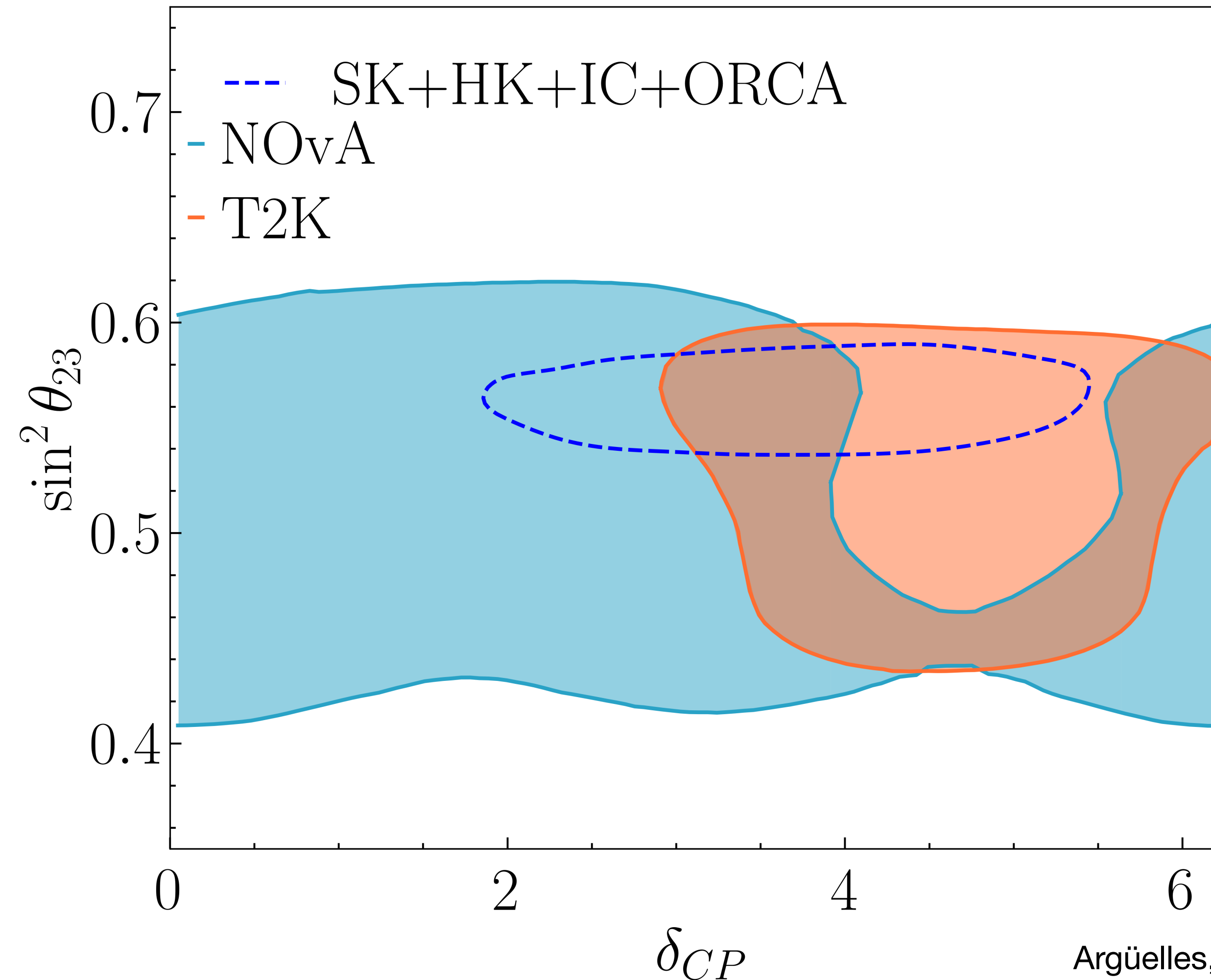
- The e-like and  $\mu$ -like without neutron tagged dominates the sensitivity



Argüelles, Fernandez, **IMS** and Jin, *PRX* 13 (2023)

# Complementarity between Atm. and LBL

Atmospheric neutrinos can provide complementary constraints on oscillation parameters



[Abe et al. \(T2K\), EPJC 83 \(2023\)](#)

[Acero et al. \(NOvA\), PRD 106 \(2022\)](#)

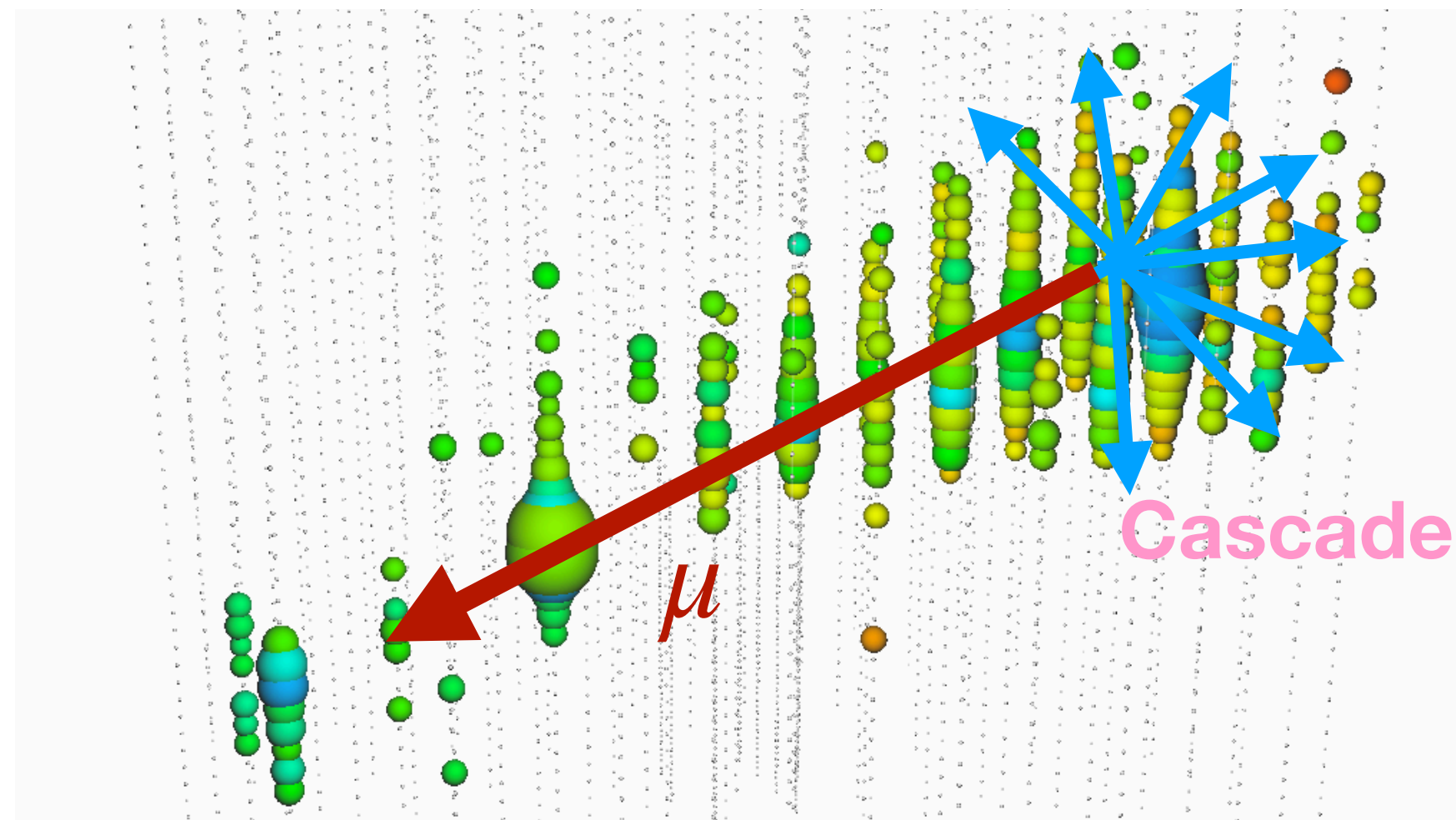
Argüelles, Fernandez, **IMS** and Jin, [PRX 13 \(2023\)](#)

# Boosting the Sensitivity: Inelasticity

The **mass ordering** and the **CP-phase** predict **different oscillations** for neutrinos and antineutrinos.

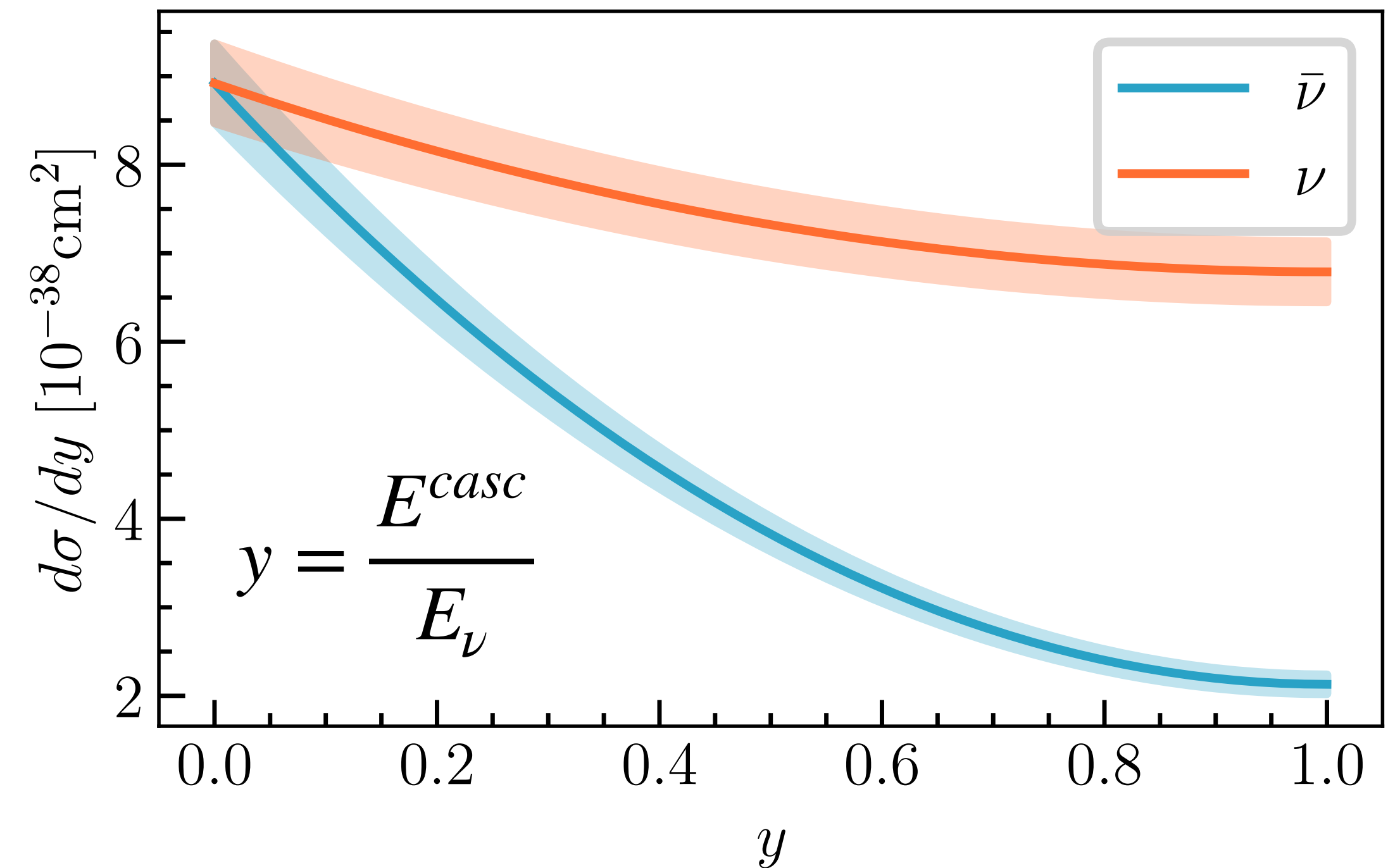
- In  $\nu_\mu$ - CC interactions, the energy is divided between **tracks** and **cascades**.
- Neutrinos and antineutrinos distribute their energy differently between the leptonic and the hadronic vertex.

[Ribordy and Smirnov, PRD, 87 \(2013\)](#)



[Kronmueller et al. \(IceCube\), ICRC2019](#)

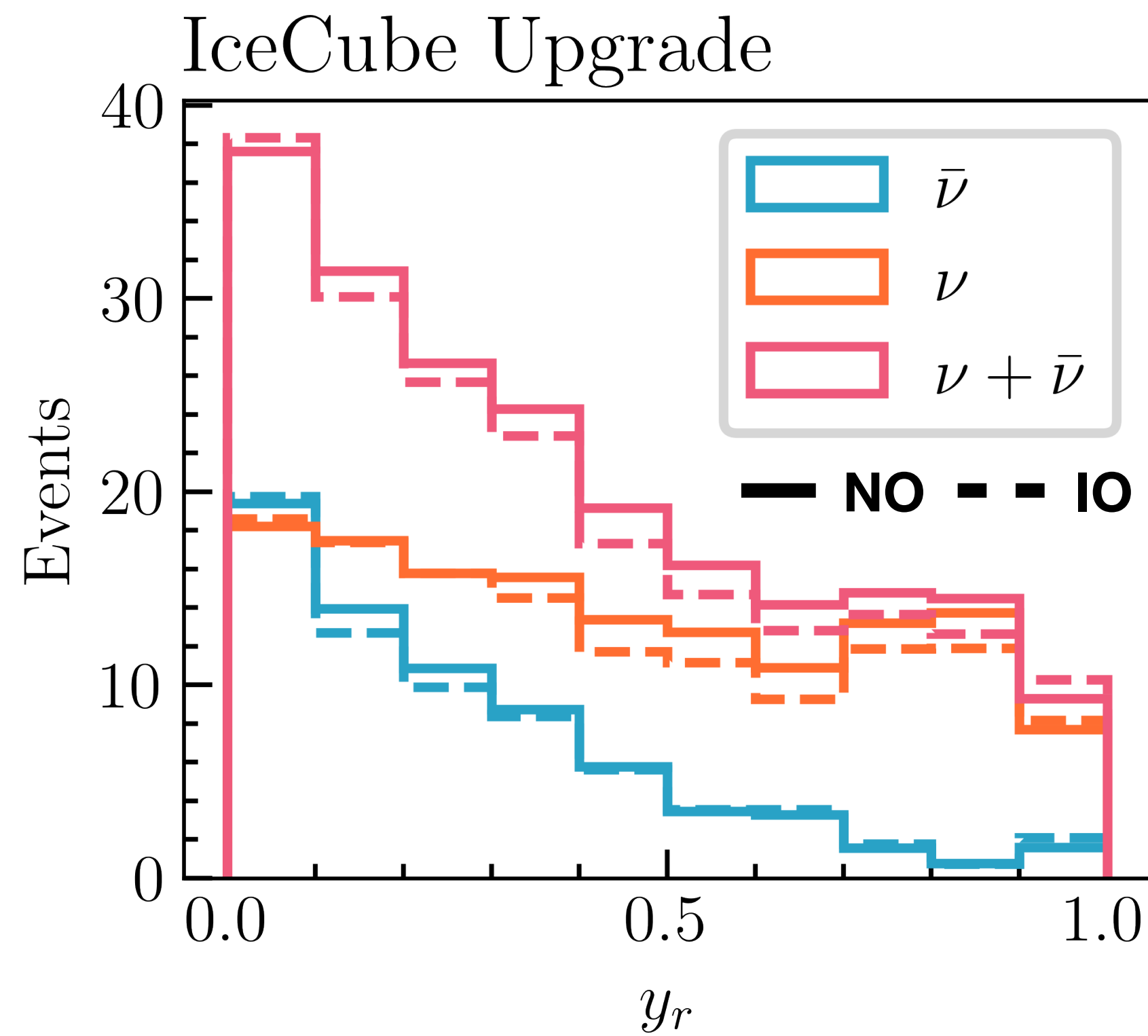
[Peterson et al. \(IceCube\), PoS ICRC2023](#)



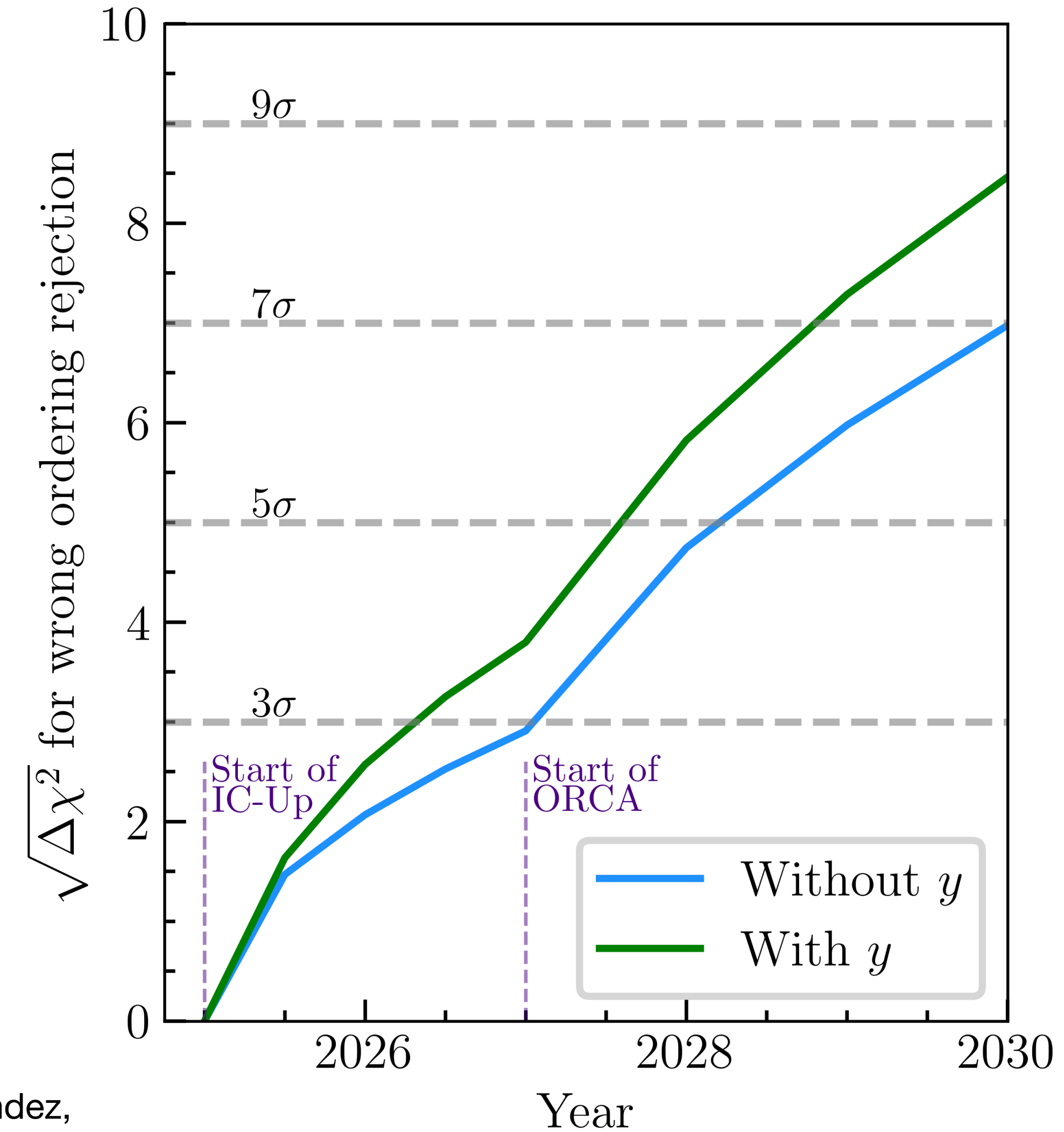
[Giner Olavarrieta, Jin, Argüelles, Fernández, IMS, arXiv: 2402.13308](#)

# Boosting the Sensitivity: Inelasticity

The **inelasticity** allows for a **50% increase** in sensitivity to the **mass ordering**.

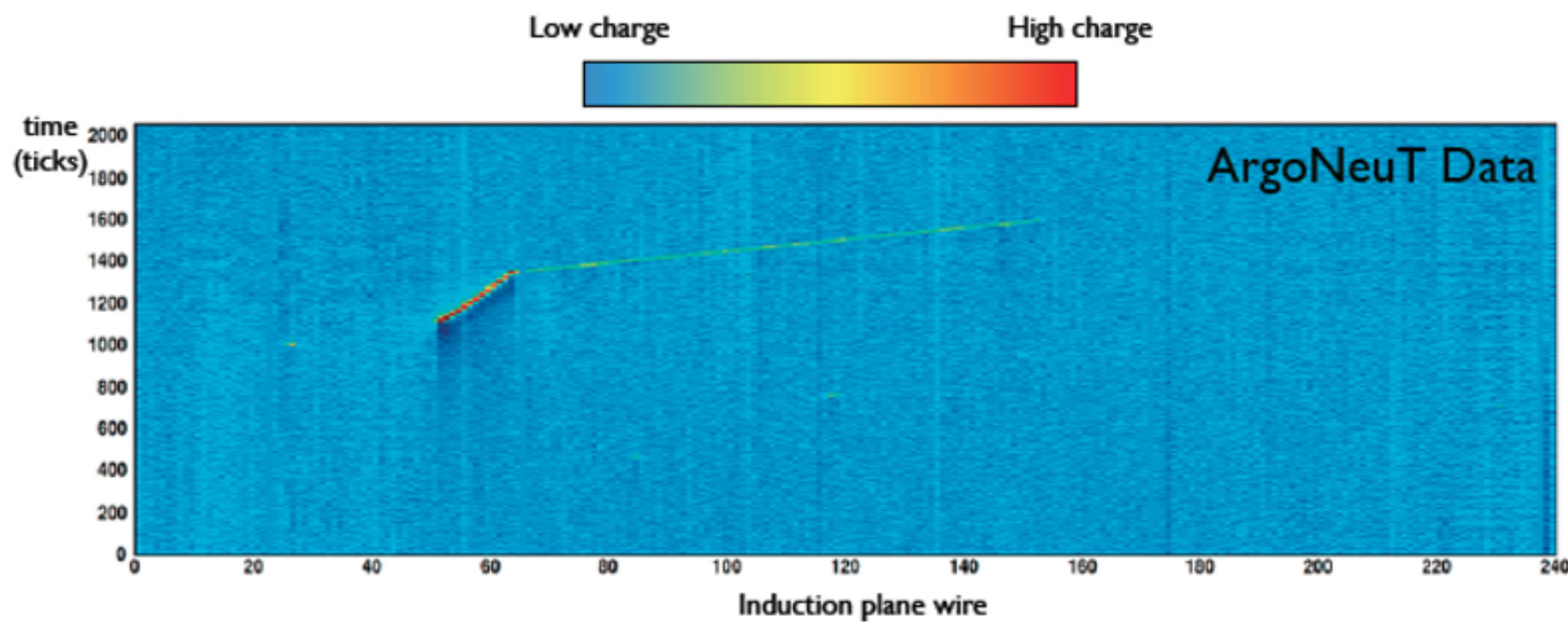


Giner Olavarrieta, Jin, Argüelles, Fernández,  
**IMS**, [arXiv: 2402.13308](https://arxiv.org/abs/2402.13308)



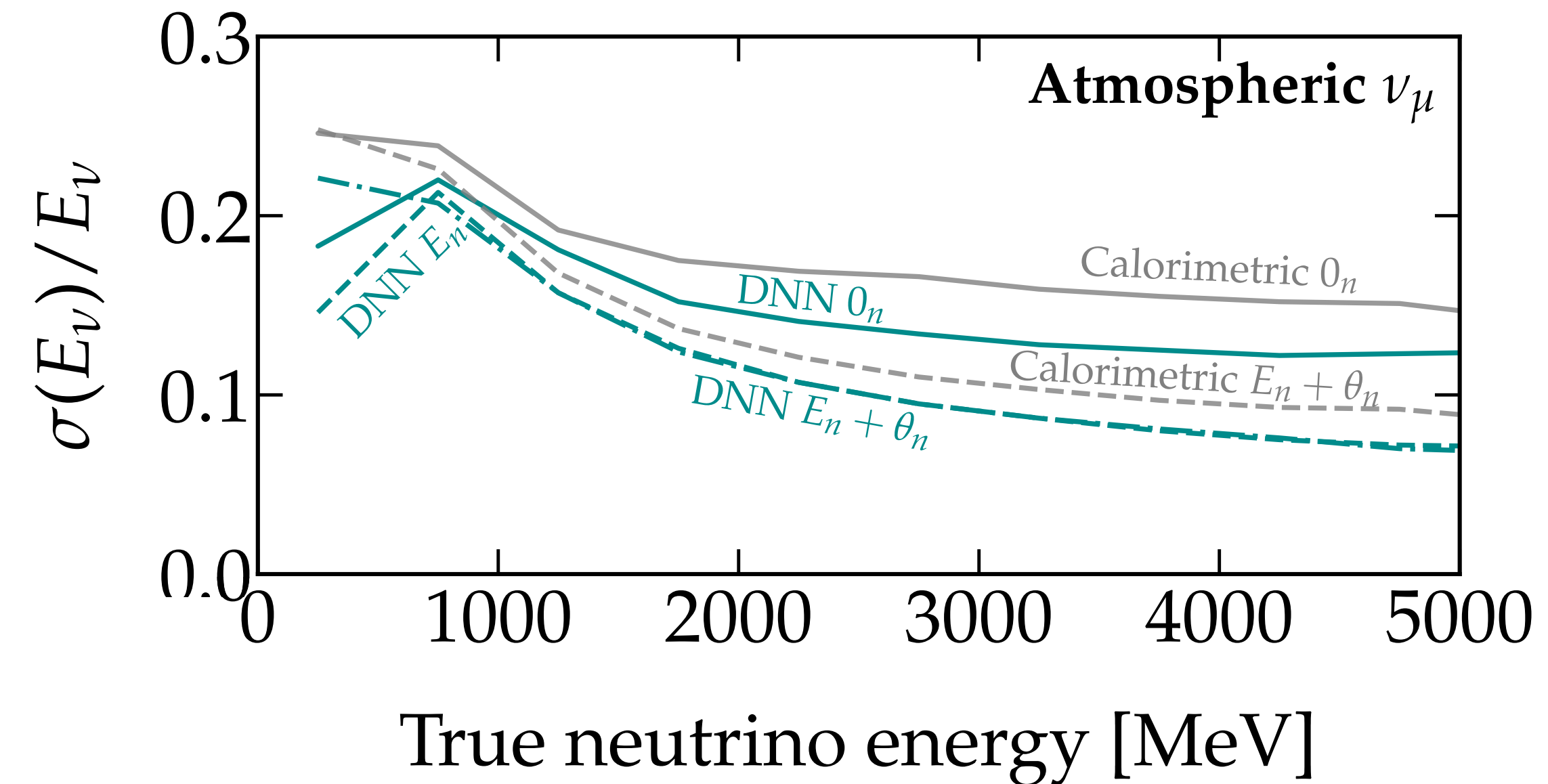
# LArTPCs

- Excellent particle **identification** capabilities.
- Precise measurement of **low-energy** particle kinematics.



[Anderson et al. \(ArgoNeuT\), JINST 7 \(2012\)](#)  
[Abi et al. \(DUNE\), arXiv: 2002.03005](#)

Understanding how **incoming neutrinos correlate** with **final states** enhances neutrino reconstruction.



Kopp, Machado, MacMahon, **IMS**, [arXiv: 2405.15867](#)



# LArTPCs

Kelly, Machado, **IMS**, Parke, Perez-Gonzalez, *PRL* 123 (2019)

**Calorimetric** reconstruction provides good results for GeV neutrinos with visible protons

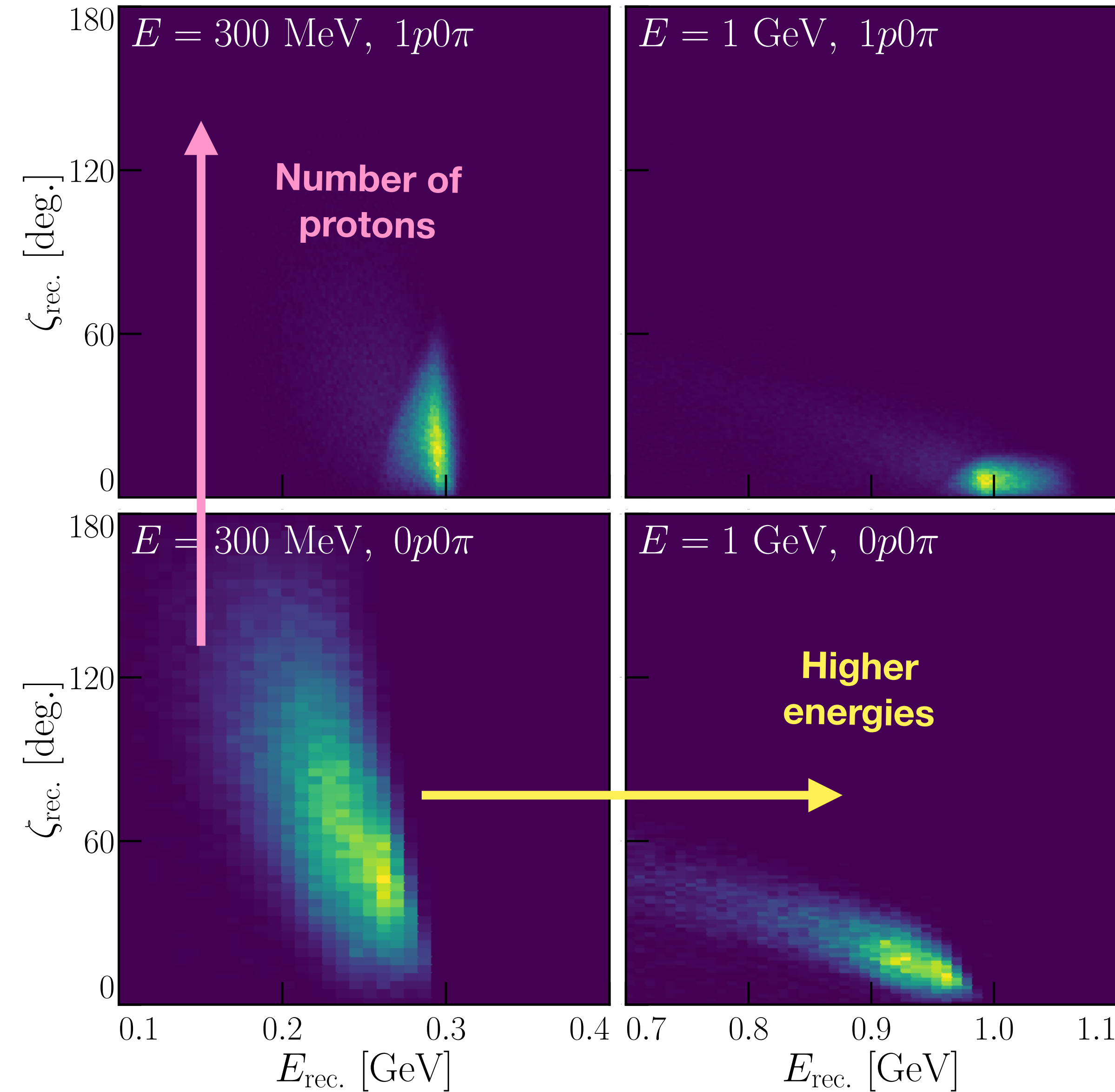
$$E_{\nu}^{\text{cal}} = E_{\ell} + \sum_i^{\text{mesons}} E_i + \sum_i^{\text{baryons}} K_i$$

**Events topologies** based on **visible protons** allows **statistical separation** of neutrinos and antineutrinos

| Number of protons | Events/400 kton year |
|-------------------|----------------------|
| CC-0p0π           | ~7000                |
| CC-1p0π           | ~12000               |

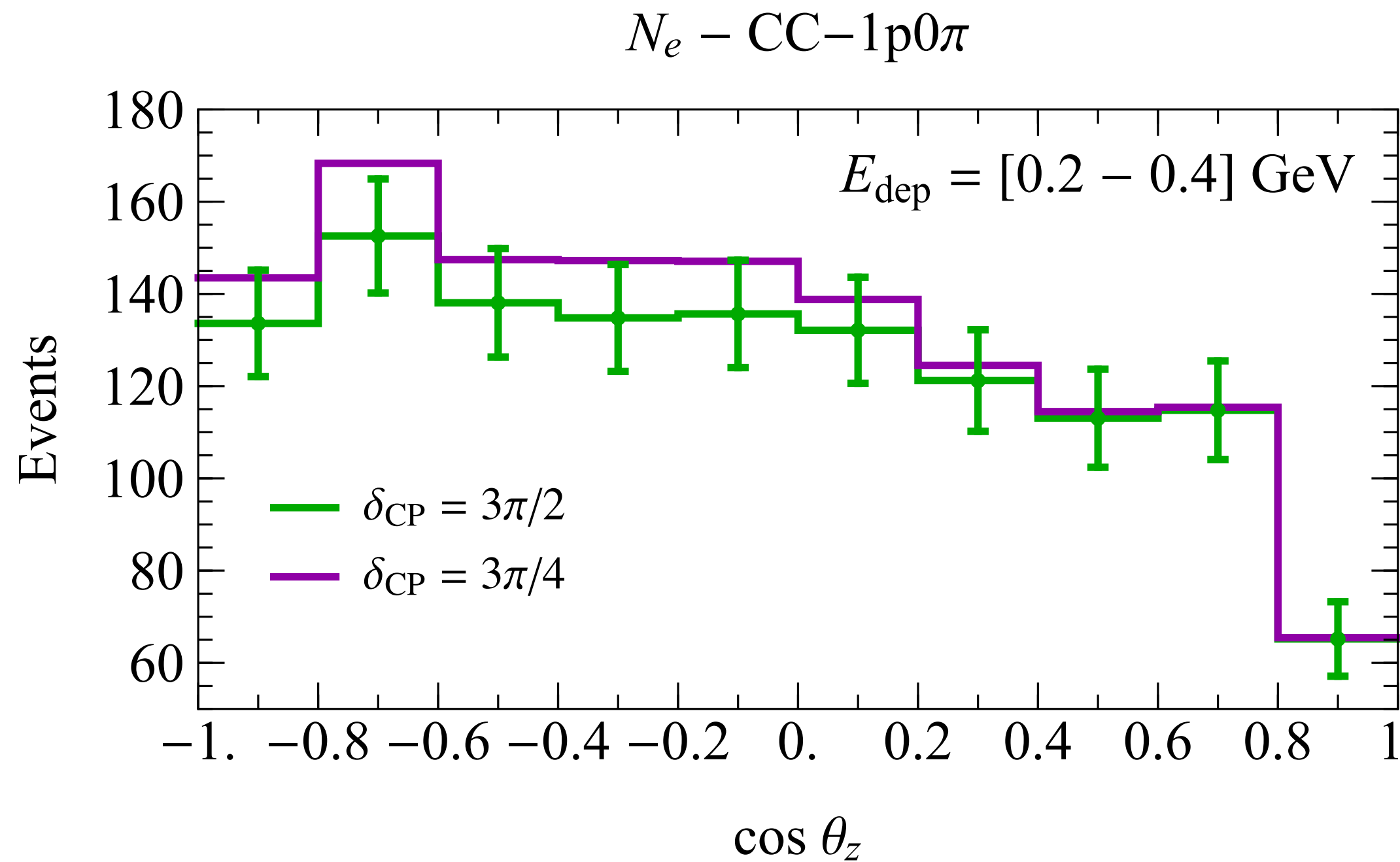
$\bar{\nu}$  dominated

$\nu$  dominated



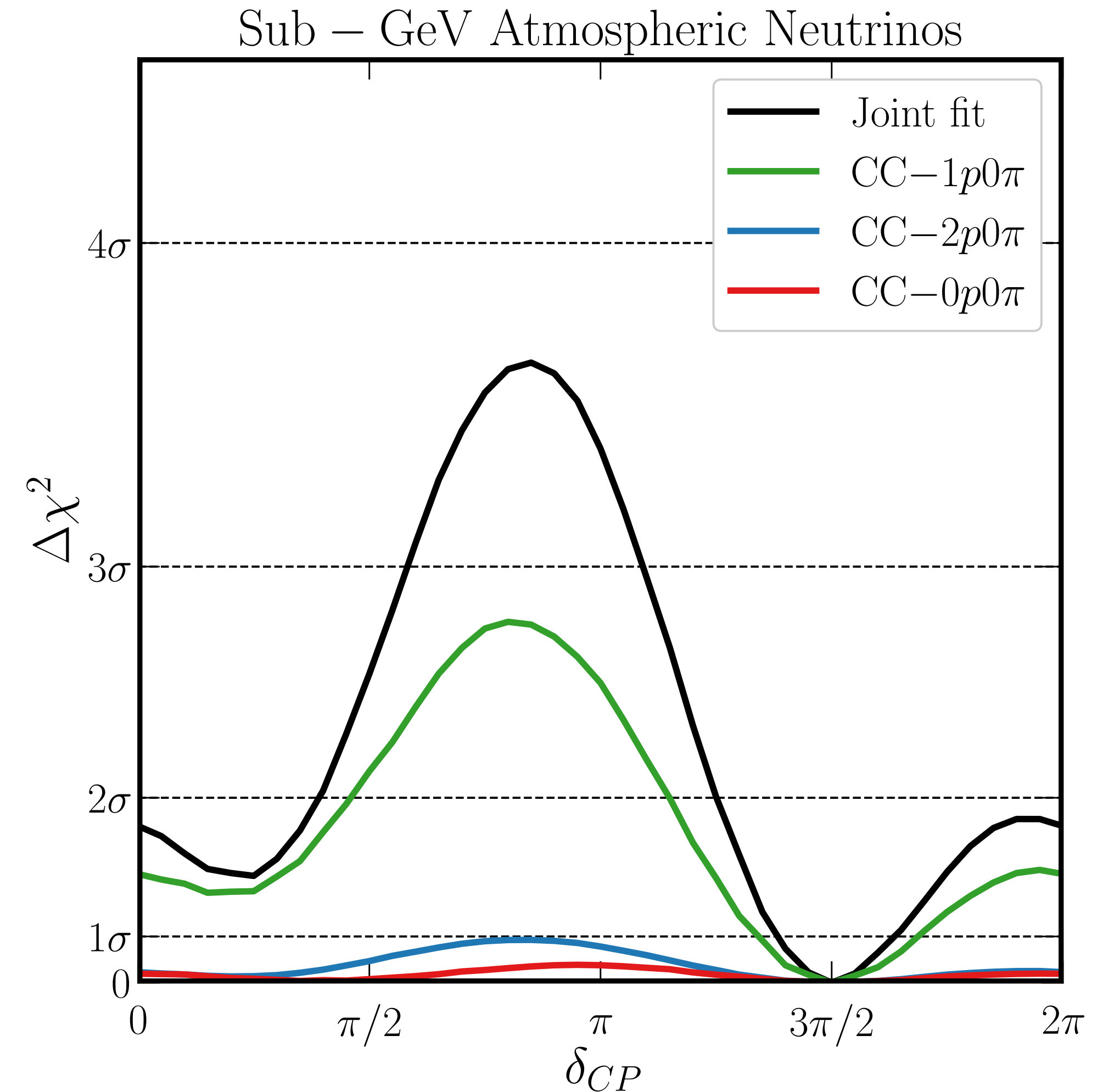
# LArTPCs

$\delta_{cp}$  causes a **significant deviation** in DUNE's expected **sub-GeV events**.



Kelly, Machado, **IMS**, Parke, Perez-Gonzalez, PRL 123 (2019)

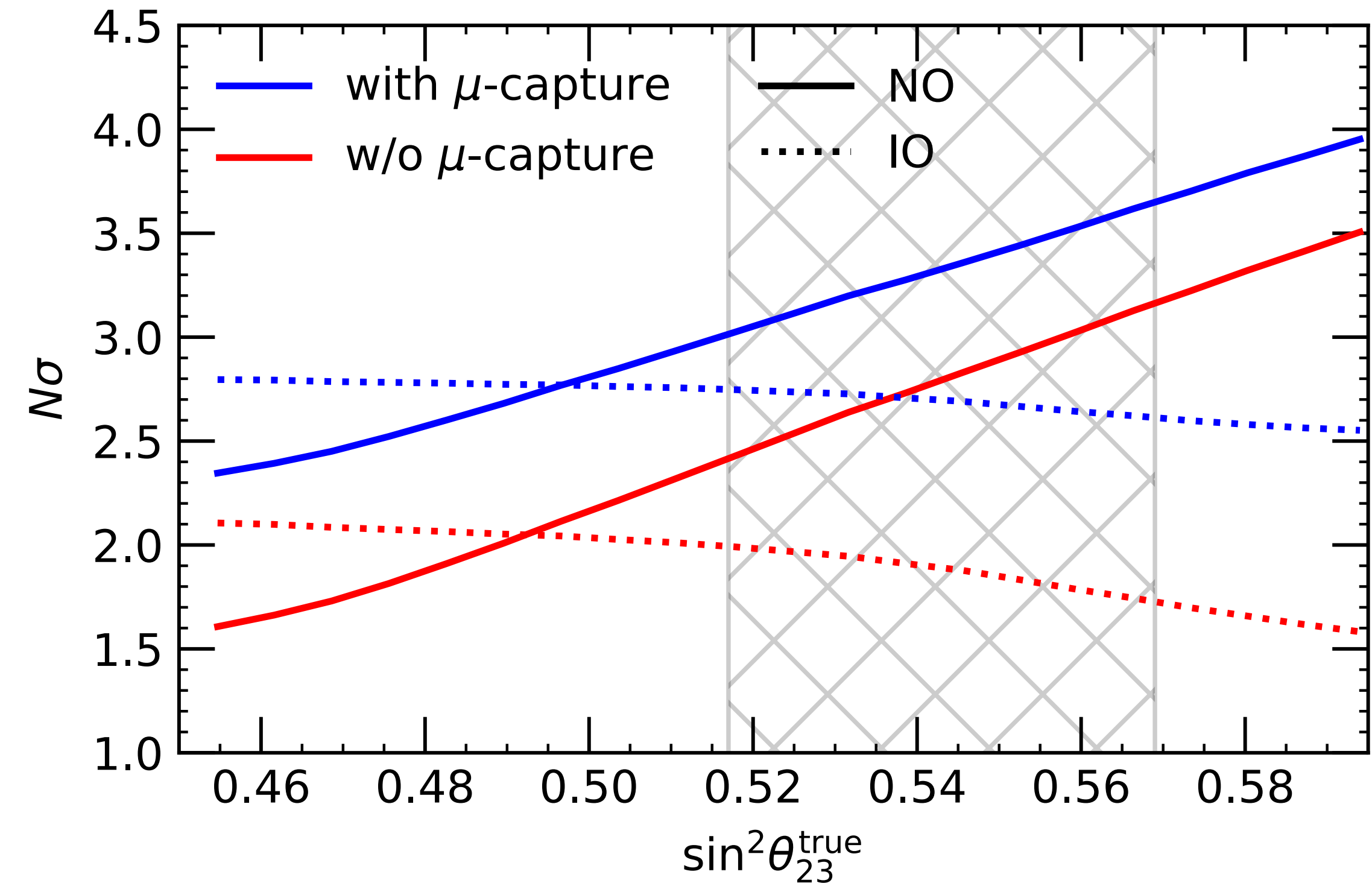
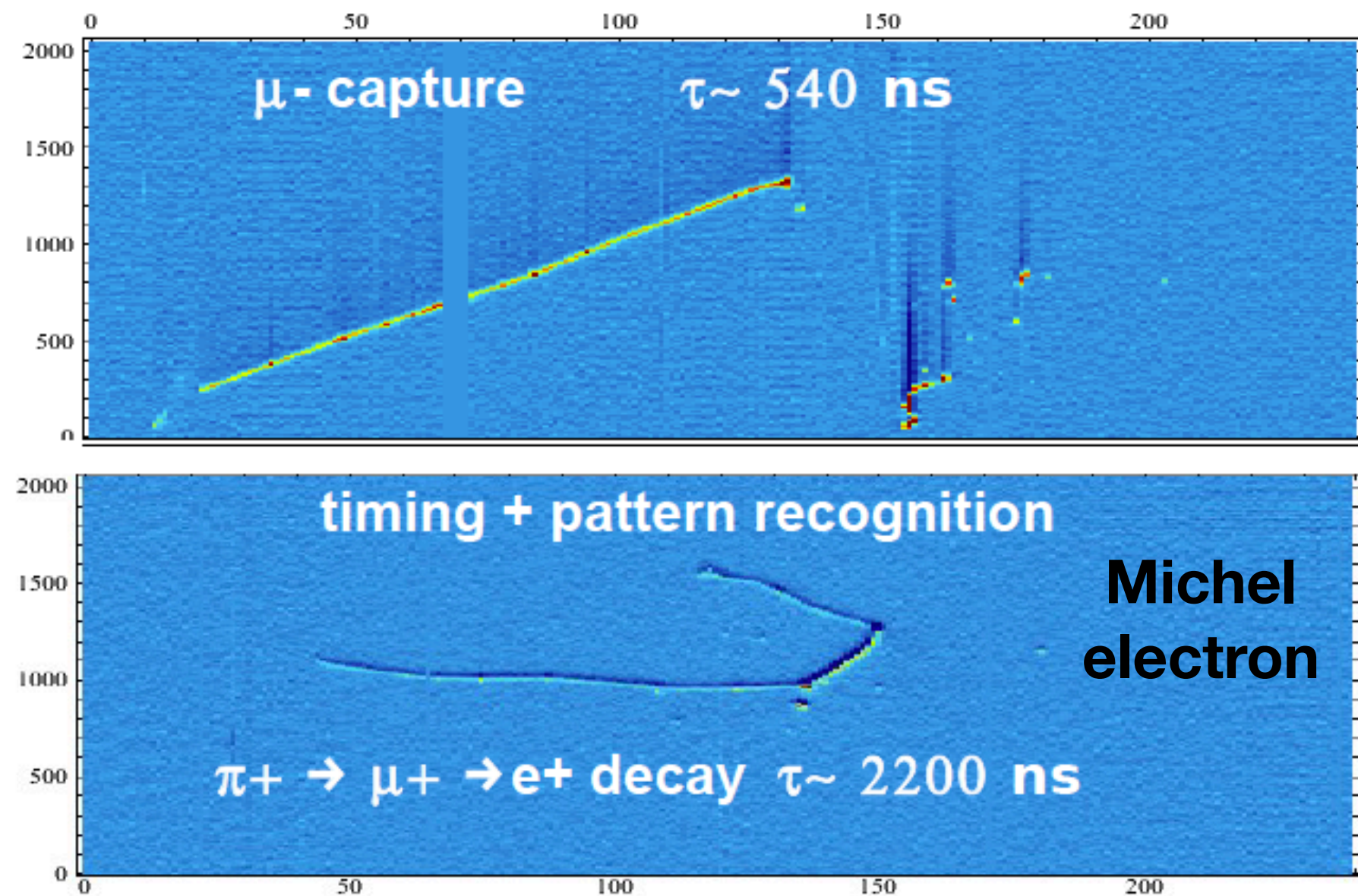
**DUNE** can exclude ranges of  $\delta_{cp}$  with more than **3 $\sigma$  confidence**



# LArTPCs

Expanding the analysis to **higher energies** will allow the measurement of **mass ordering**

- The **energy and angular resolution** of LArTPCs allow for resolving **matter effects**.
- Identifying **Michel electrons** and  $\mu^-$  capture enhances neutrino and antineutrino **separation**.



F. Cavanna et al. (LArIAT), arXiv: 1406.5560

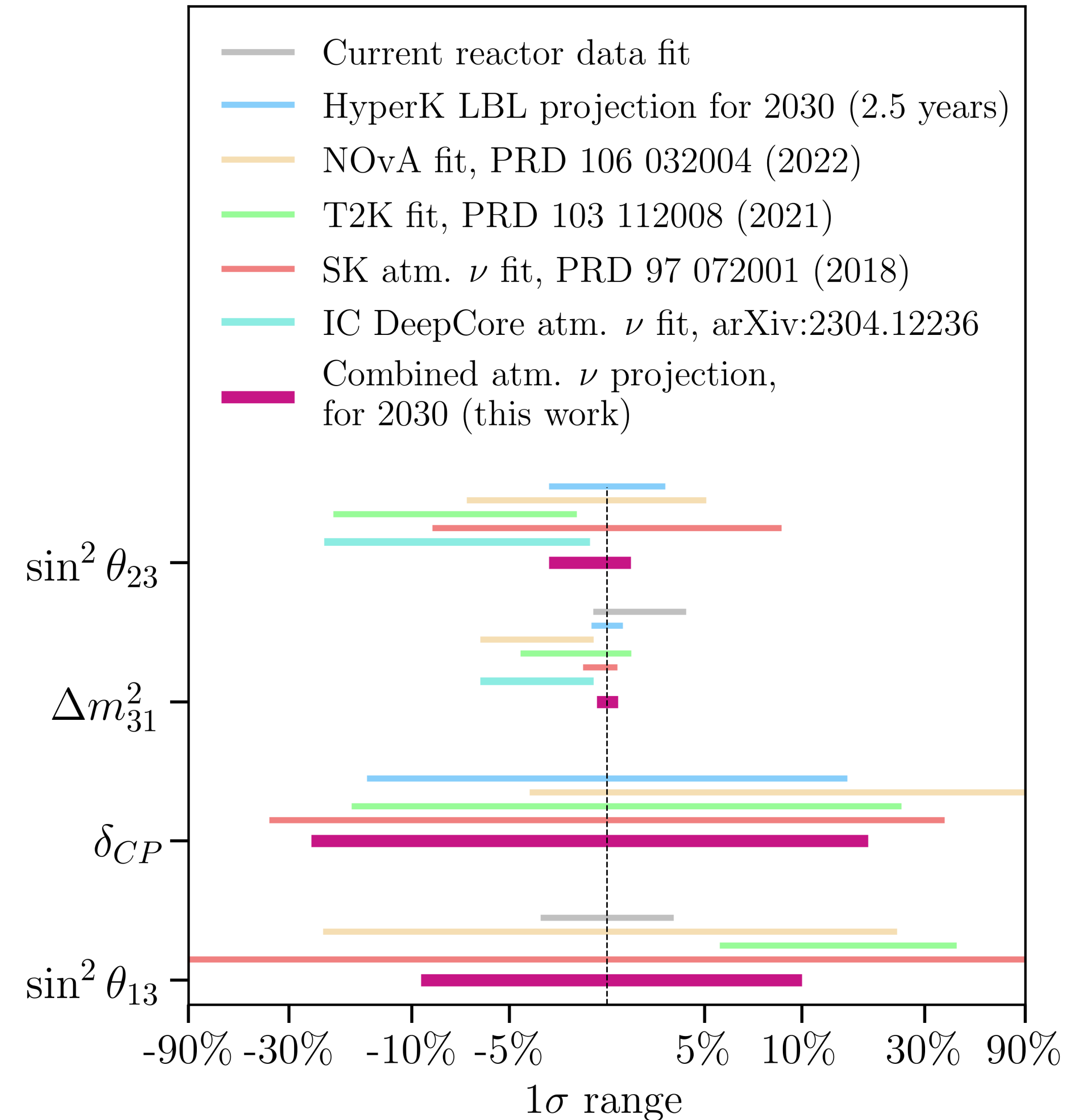
M. Sorel, JINST 9 (2014) P10002

Abi et al. (DUNE), arXiv: 2002.03005

Ternes, Gariazzo, Hajjar, Mena, Sorel and Tórtola, PRD 100 (2019)

# Conclusions

- Neutrino oscillation is entering the precision era, but unknown parameters remain.
- In the near future, atmospheric neutrinos can provide valuable information about the less constrained parameters:
  - The ordering can be resolved to  $\sim 6\sigma$
  - The wrong  $\theta_{23}$  octant can be excluded at  $3\sigma$
  - Part of the parameter space of the CP phase can be explored at  $3\sigma$
- In the future, new detectors like DUNE will be able to improve the precision over the CP phase and the mass ordering.



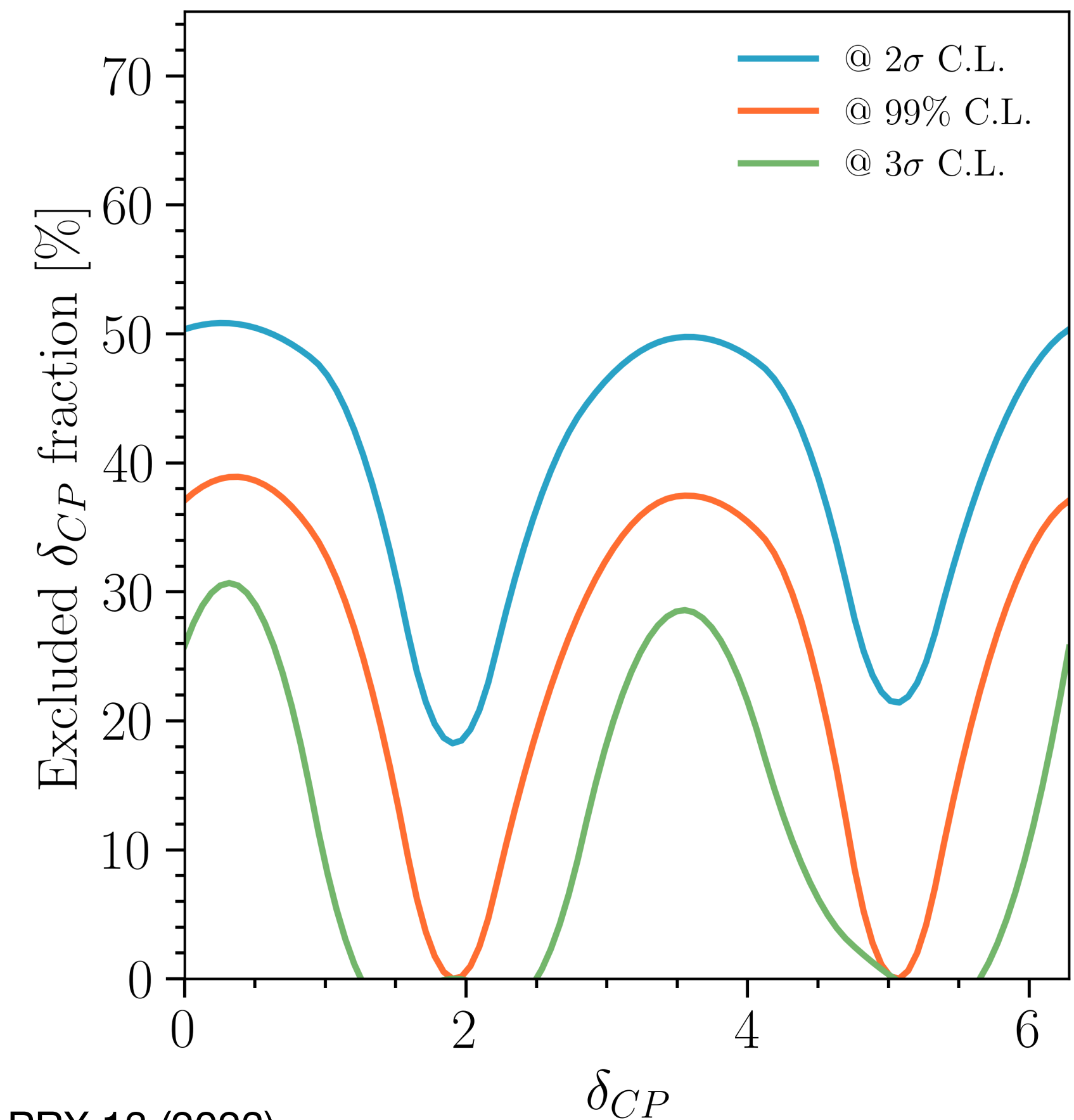
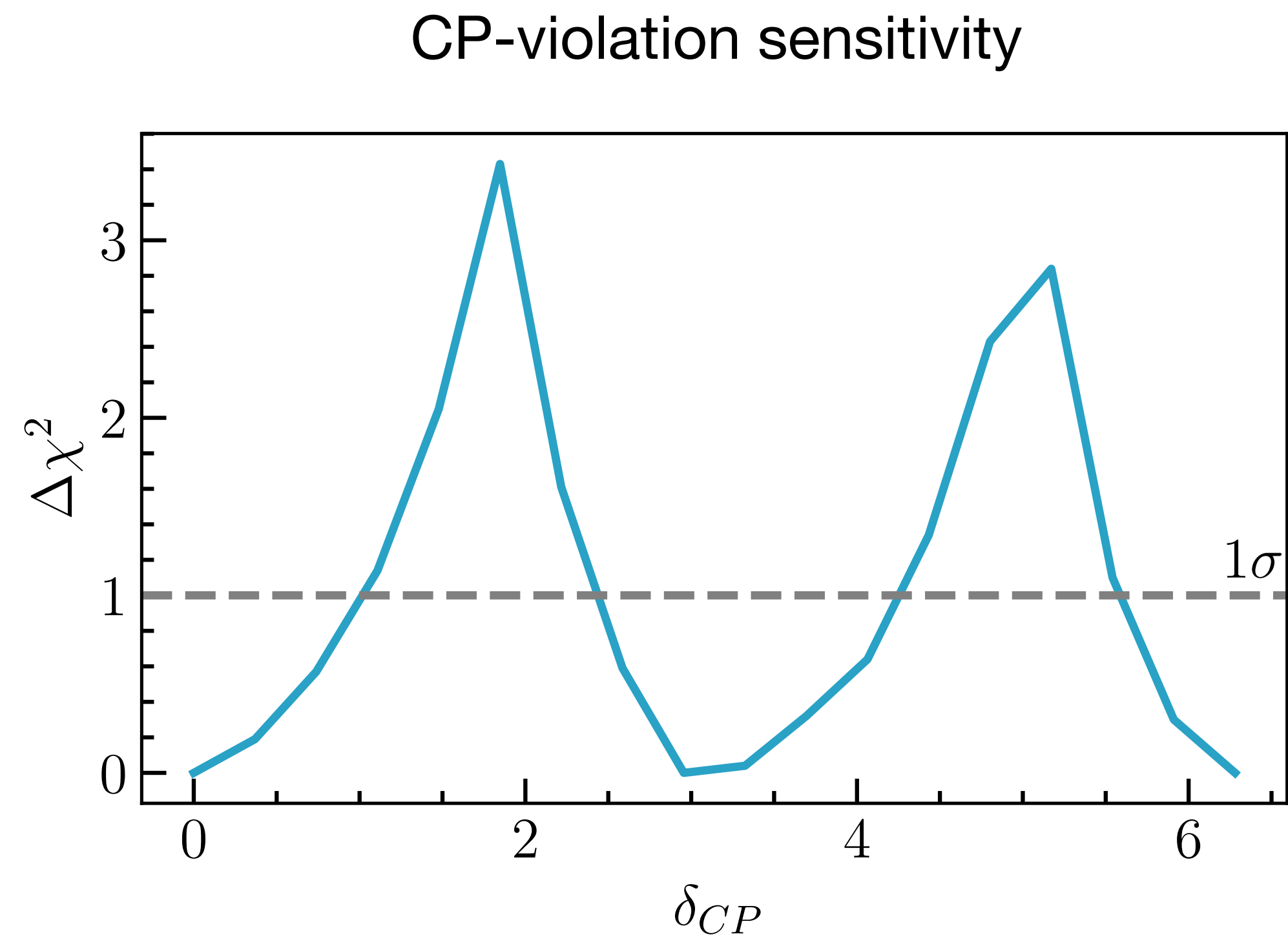
Argüelles, Fernandez, **IMS** and Jin, PRX 13 (2023)

**Grazie!**

# Combined analysis: $\delta_{CP}$

The **sensitivity to the CP phase** depends on the true value

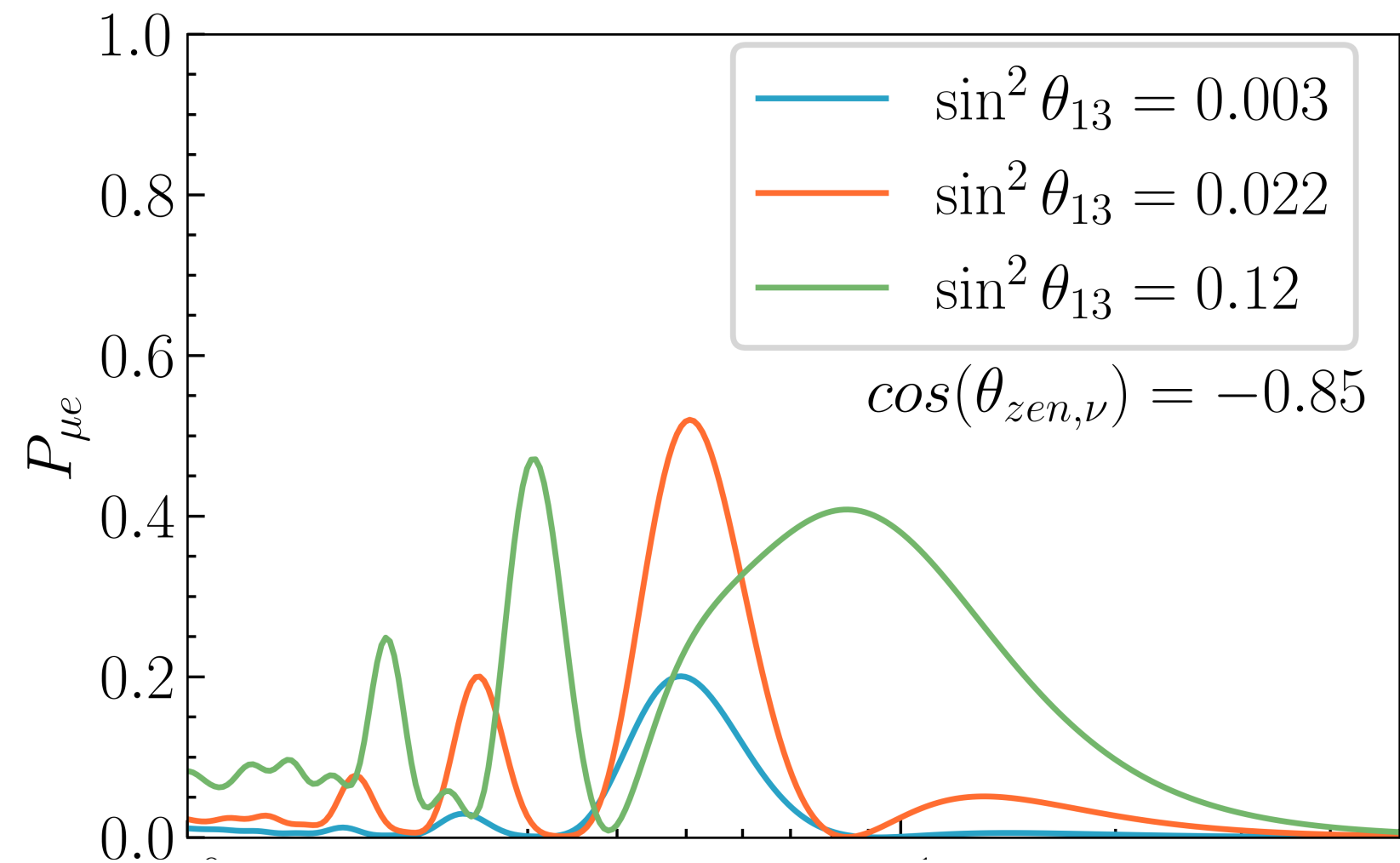
A large fraction of  $\delta_{CP}$  can be excluded at 99% CL using only atmospheric neutrinos



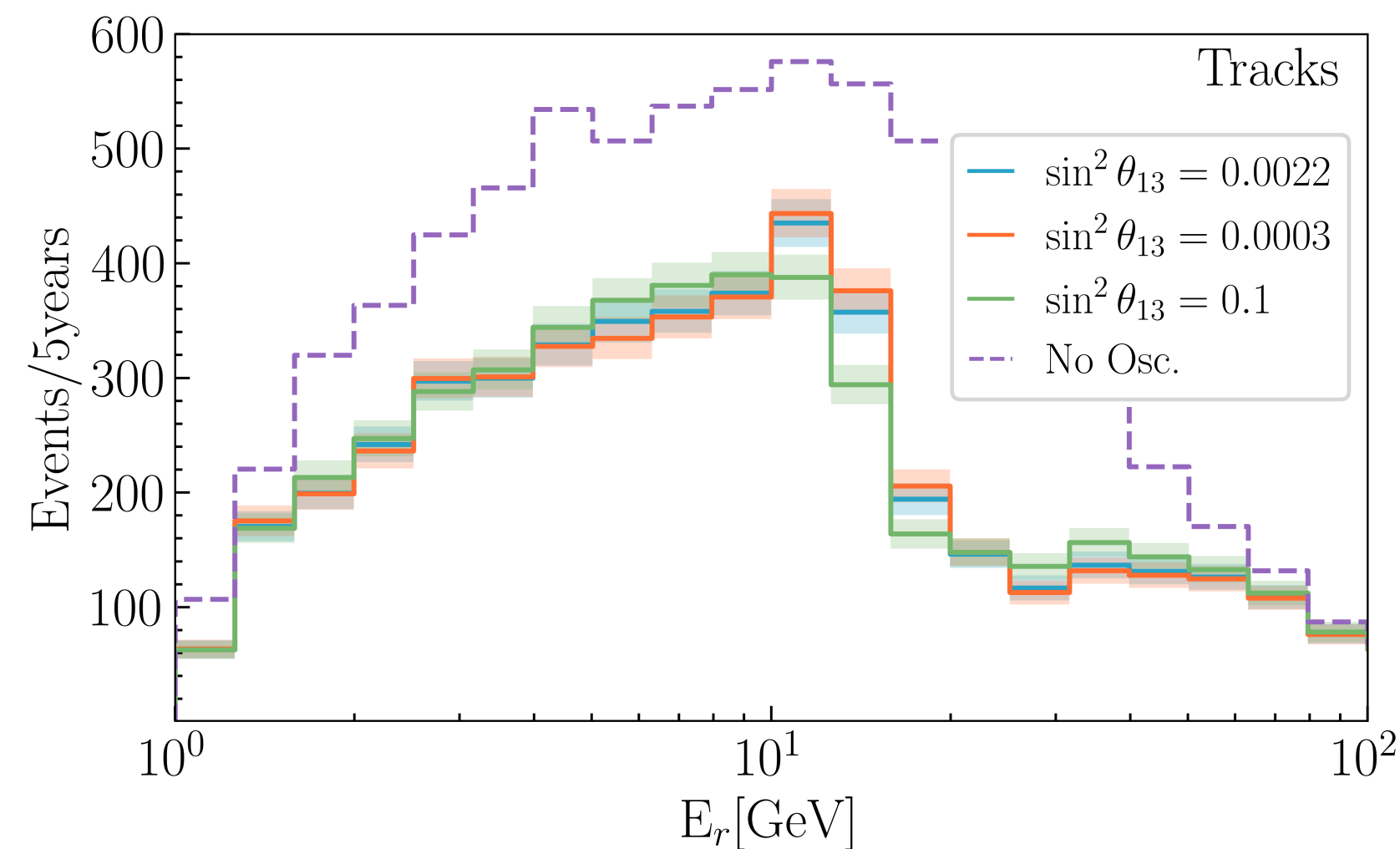
Argüelles, Fernandez, **IMS** and Jin, PRX 13 (2023)

# Bonus: sensitivity over $\theta_{13}$

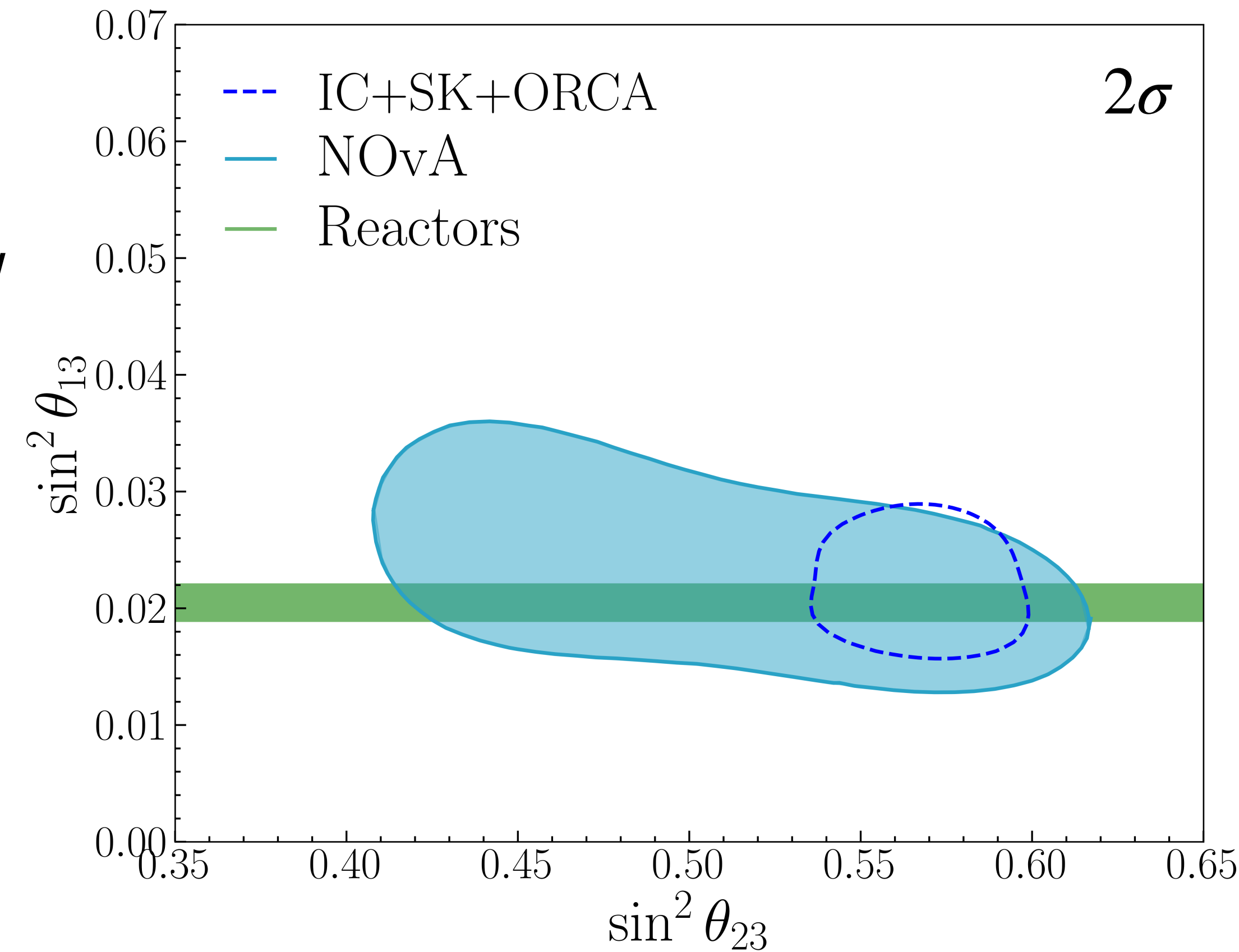
The measurement of the atmospheric resonance also gives us a sensitivity to  $\sin^2 \theta_{13}$



The value of  $\theta_{13}$  determine the energy where the MSW resonance happen



Tracks are very sensitive to large values of  $\theta_{13}$



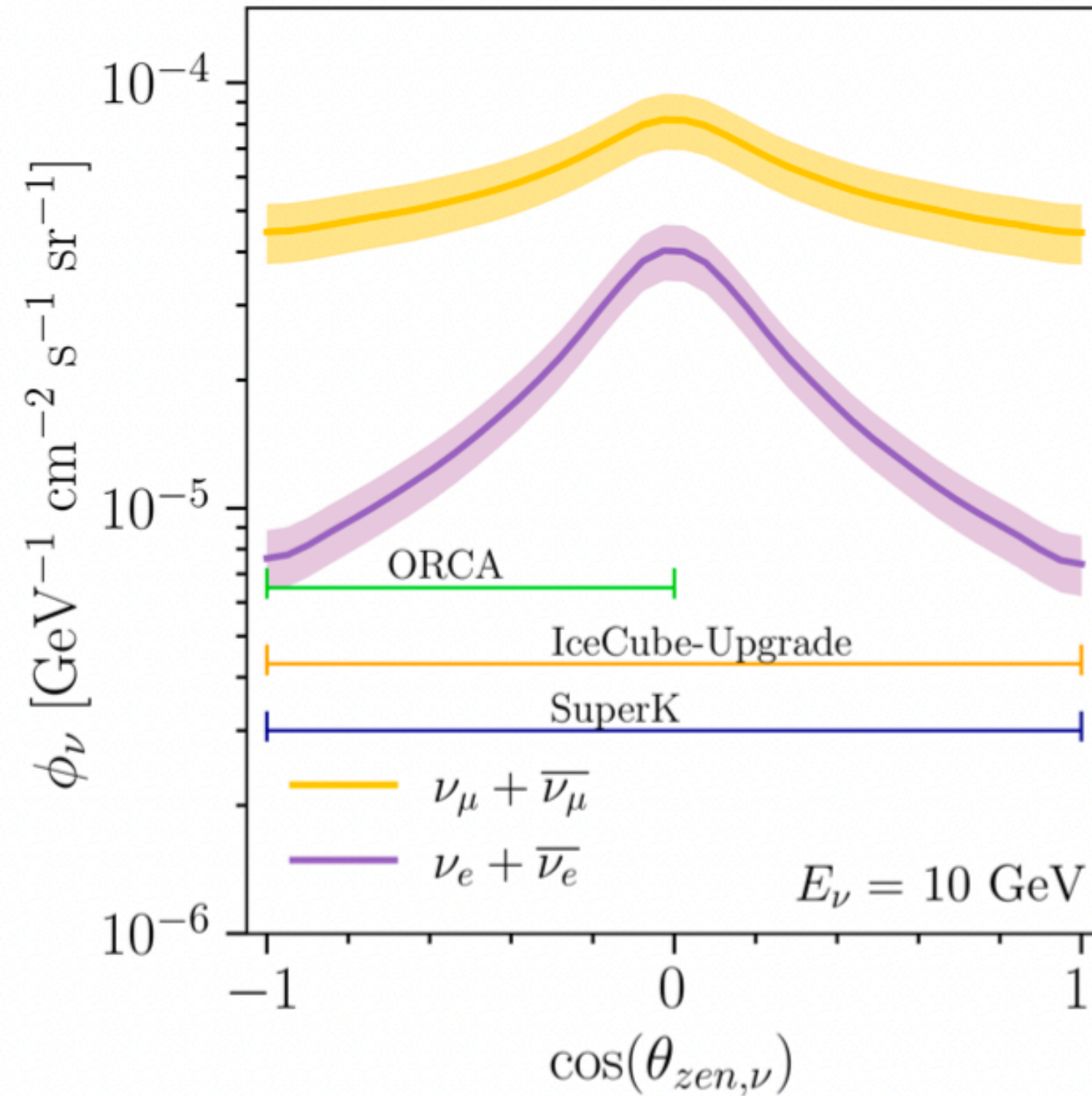
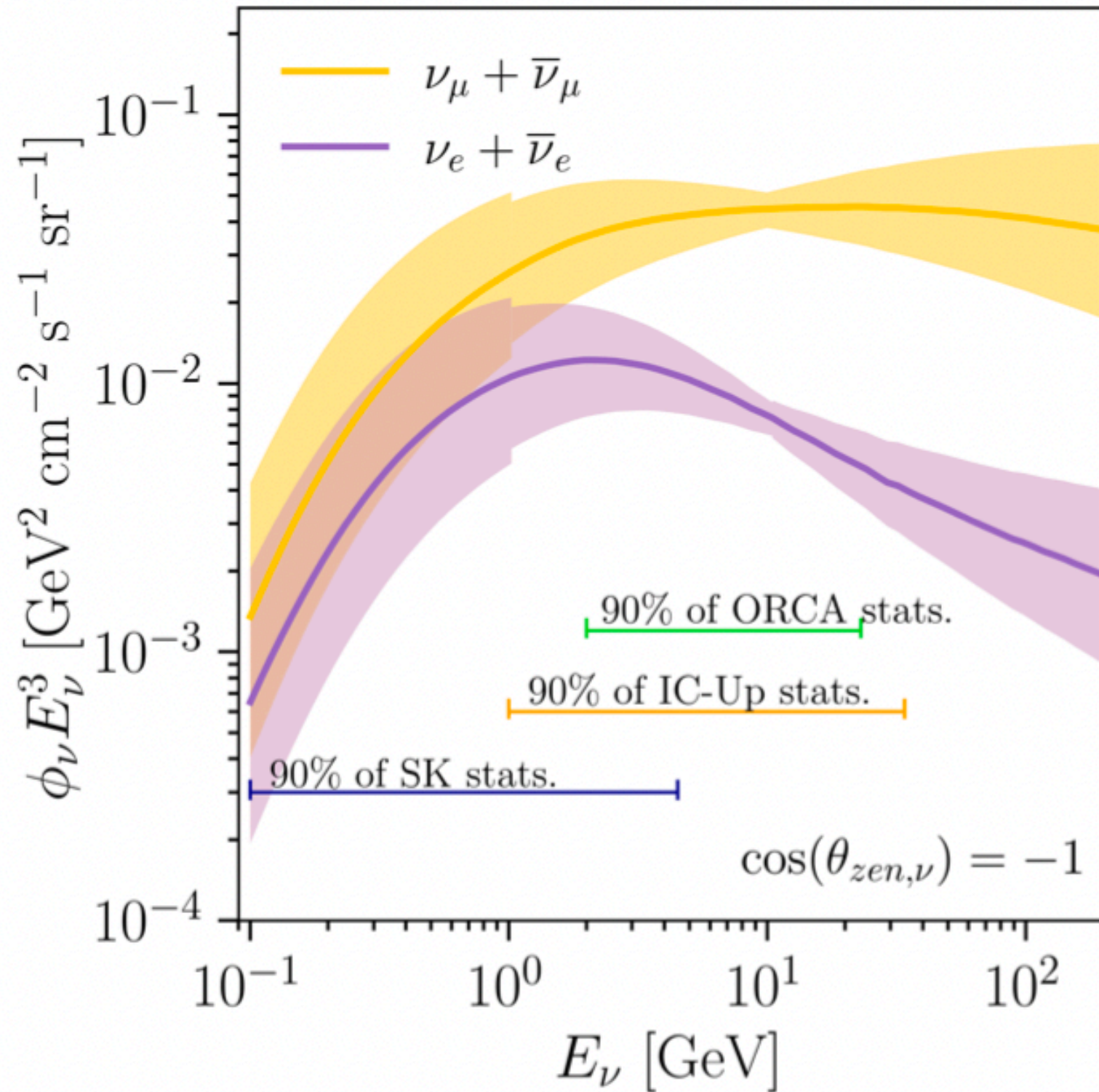
Argüelles, Fernandez, **IMS** and Jin, PRX 13 (2023)

# Flux uncertainties

The uncertainties on the atmospheric neutrino flux reduce the sensitivity to the mixing parameters.

$$\Phi_\alpha(E, \cos \zeta) = f_\alpha(E, \cos \zeta) \Phi_0 \left( \frac{E}{E_0} \right)^\delta \eta(\cos \zeta)$$

**These systematics are common to both experiments**



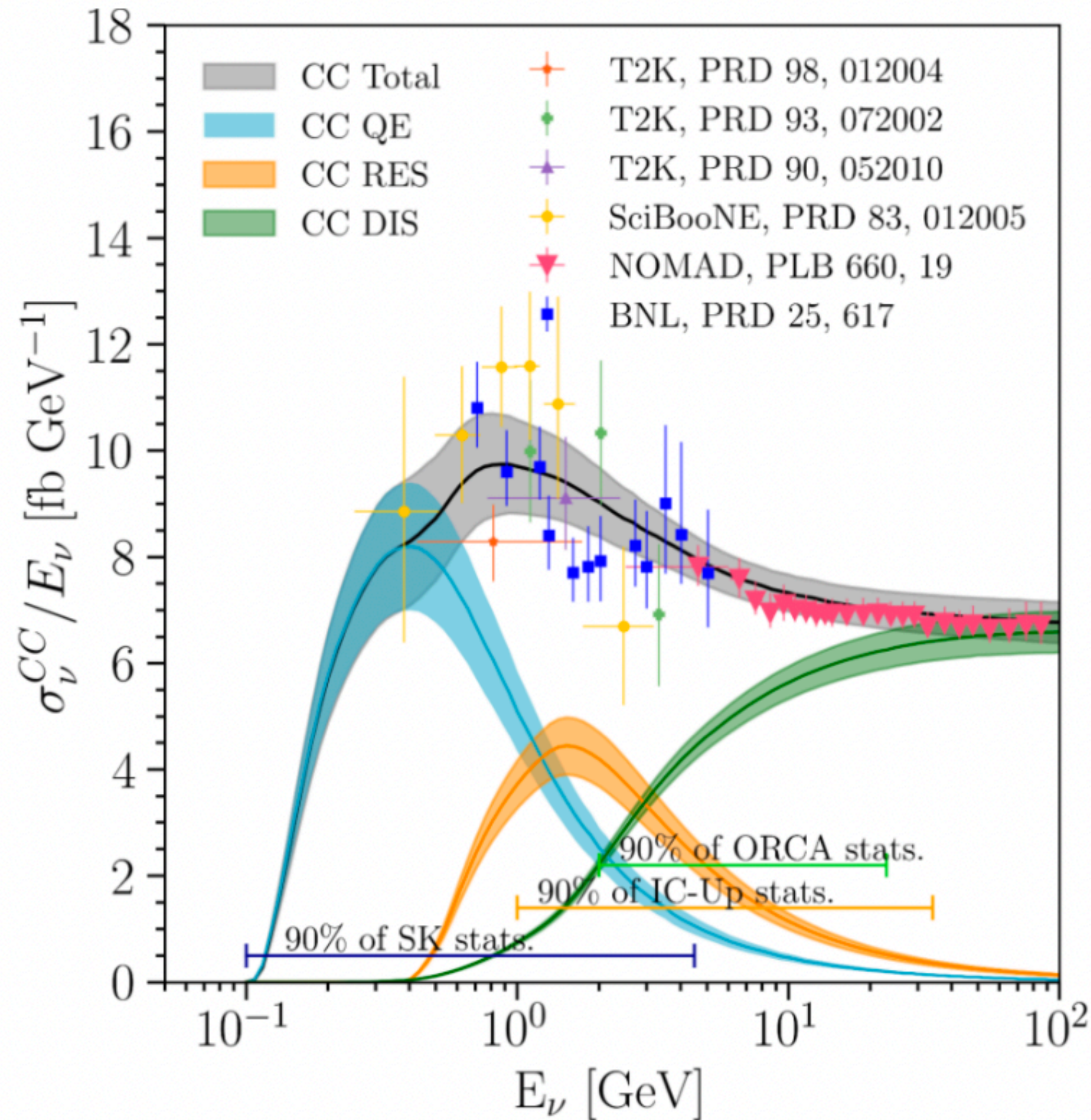
| Systematic                  | Uncert./Priors |
|-----------------------------|----------------|
| $\Phi_0(E < 1 \text{ GeV})$ | 25%            |
| $\Phi_0(E > 1 \text{ GeV})$ | 15%            |
| $\nu_e/\nu_\mu$             | 2%             |
| $\bar{\nu}/\nu$             | 2%             |
| $\delta$                    | 20%            |
| $C_{u,d}$                   | 2%             |

K. Abe et al. (Super-Kamiokande), PRD 97 (2018)



# Cross-section uncertainties

Different types of interactions affect the atmospheric neutrino interaction due to the large energy range covered by the flux



**These systematics are common to water Cherenkov experiments**

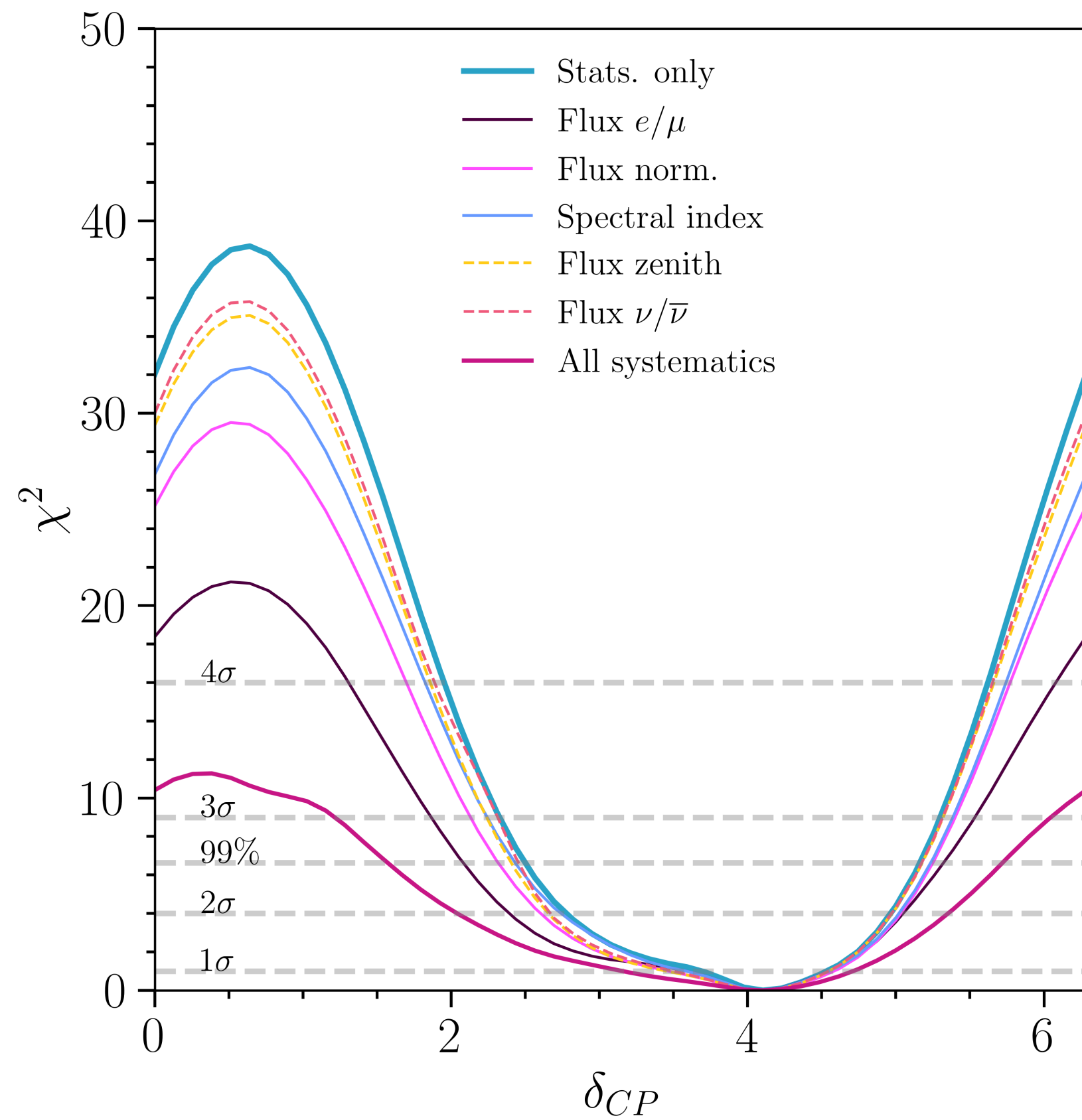
| Systematic                        | Uncer./Prior |
|-----------------------------------|--------------|
| CCQE                              | 10%          |
| CCQE $\nu/\bar{\nu}$              | 10%          |
| CCQE $e/\mu$                      | 10%          |
| CC1 $\pi$                         | 10%          |
| CC1 $\pi$ $\pi^0/\pi^\pm$         | 40%          |
| CC1 $\pi$ $\nu_e/\bar{\nu}_e$     | 10%          |
| CC1 $\pi$ $\nu_\mu/\bar{\nu}_\mu$ | 10%          |
| Coh. $\pi$                        | 100%         |
| Axial Mass                        | 10%          |
| NC hadron prod.                   | 5%           |
| NC over CC                        | 10%          |
| $\nu_\tau$                        | 25%          |
| Neutron prod. (SK)                | 15%          |
| DIS                               | 10%          |

K. Abe et al. (Super-Kamiokande), PRD 97 (2018)

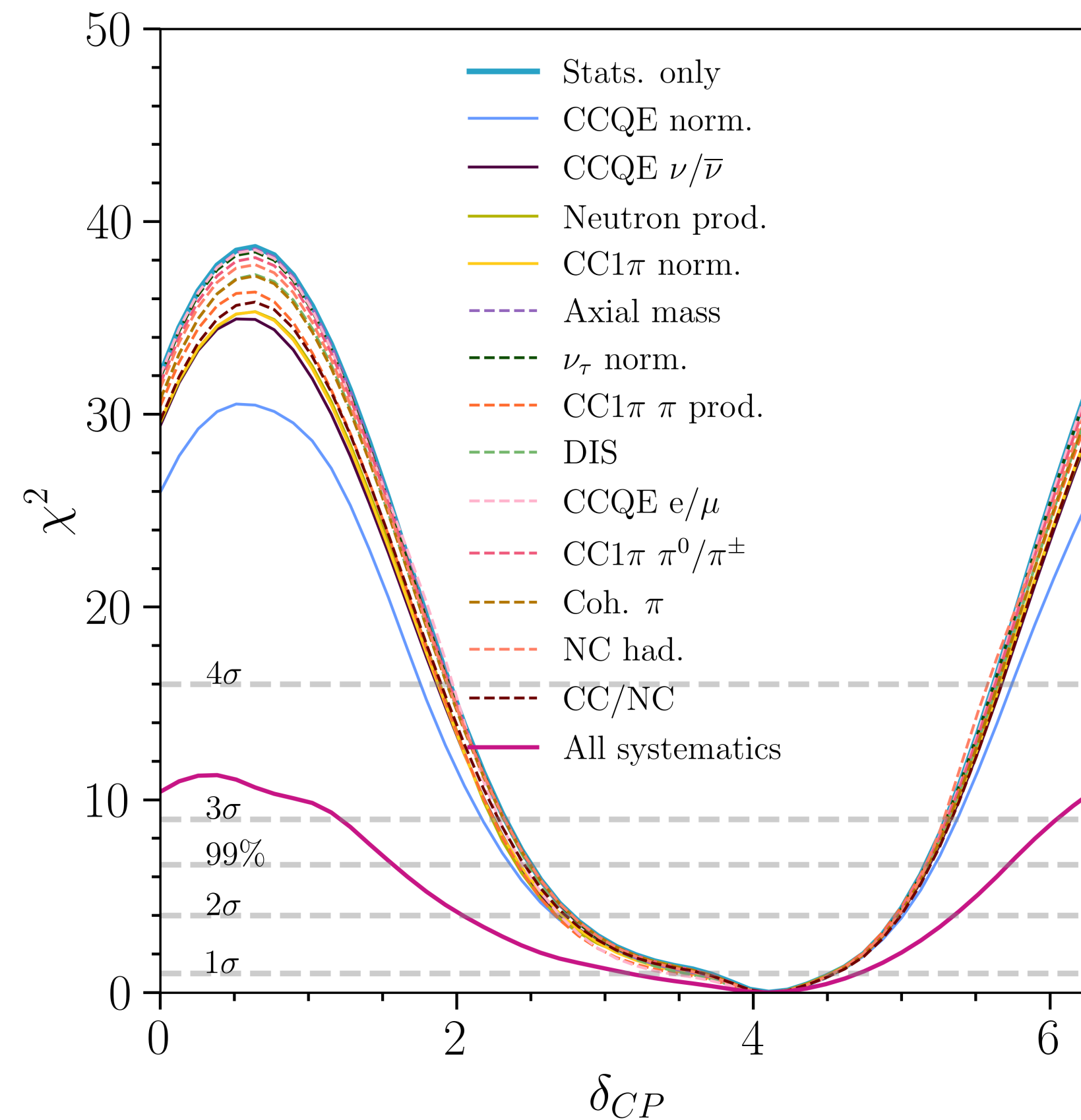
# Systematic Impact

A **detailed analysis** of all the systematics was performed, revealing that **flux uncertainties** had a larger impact on  $\delta_{CP}$

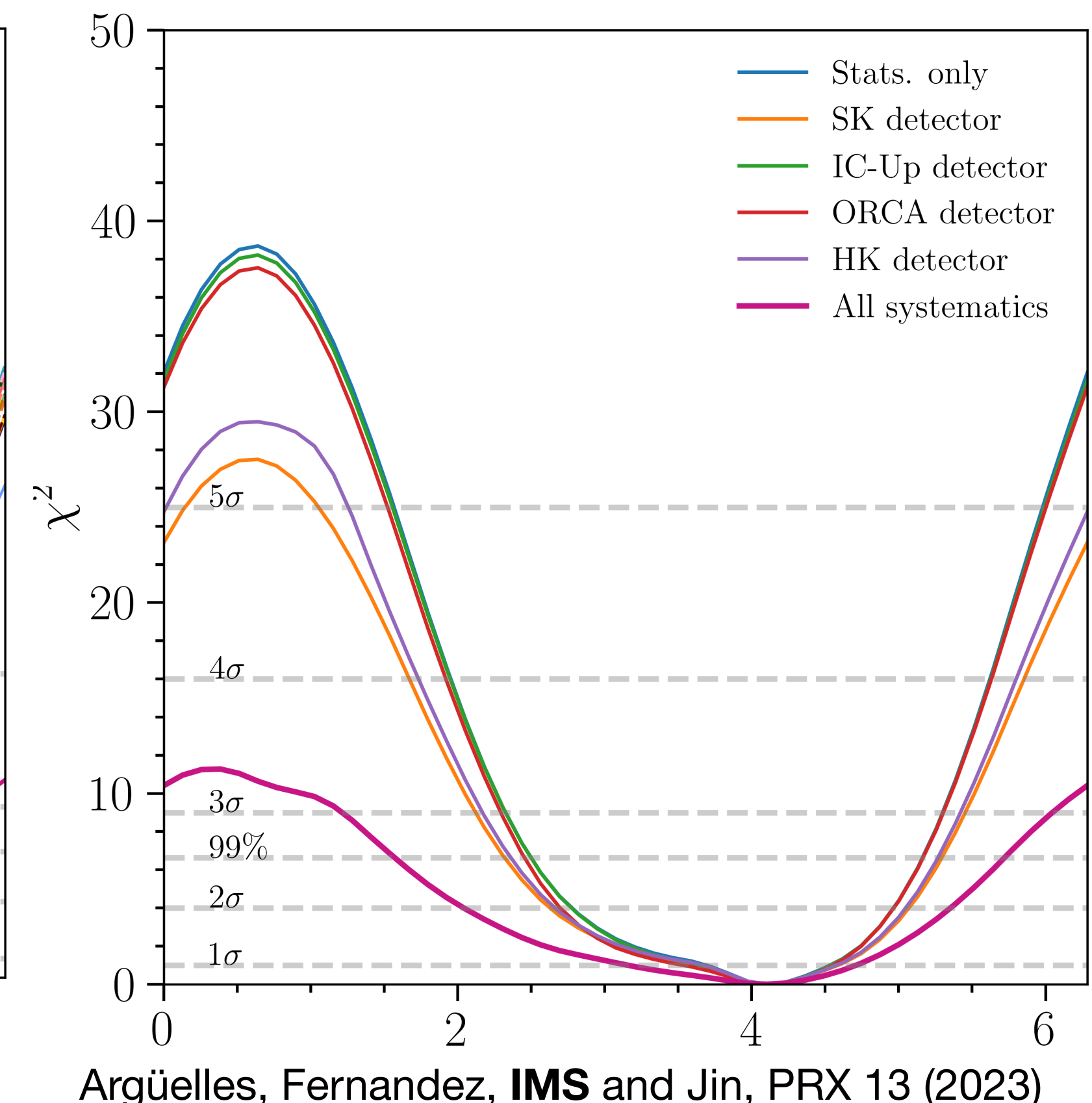
## Flux



## Cross-section



## Detector

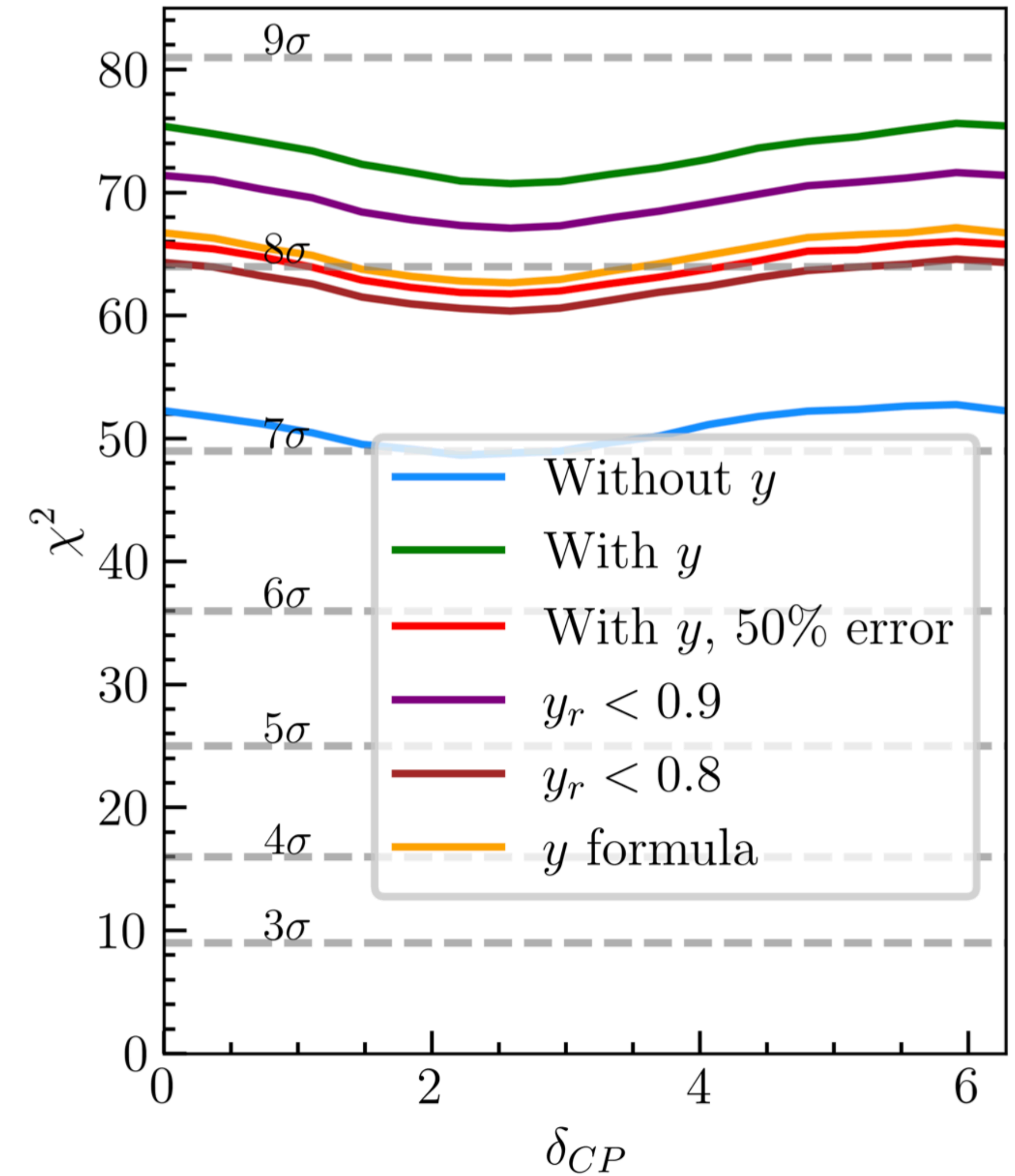
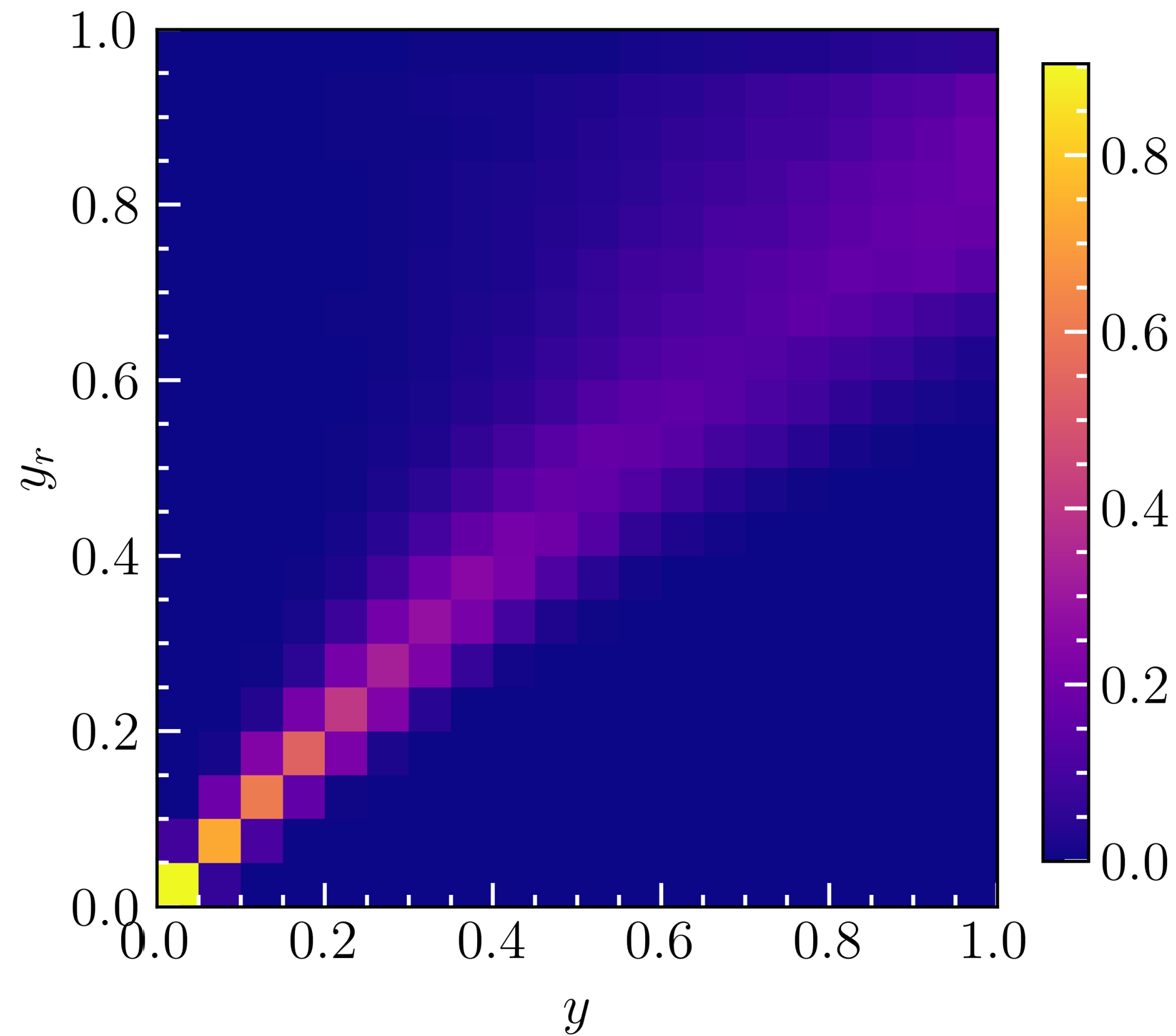


Argüelles, Fernandez, **IMS** and Jin, PRX 13 (2023)

# Booting the Sensitivity with Inelasticity

To test the results, we explored different uncertainties in the inelasticity

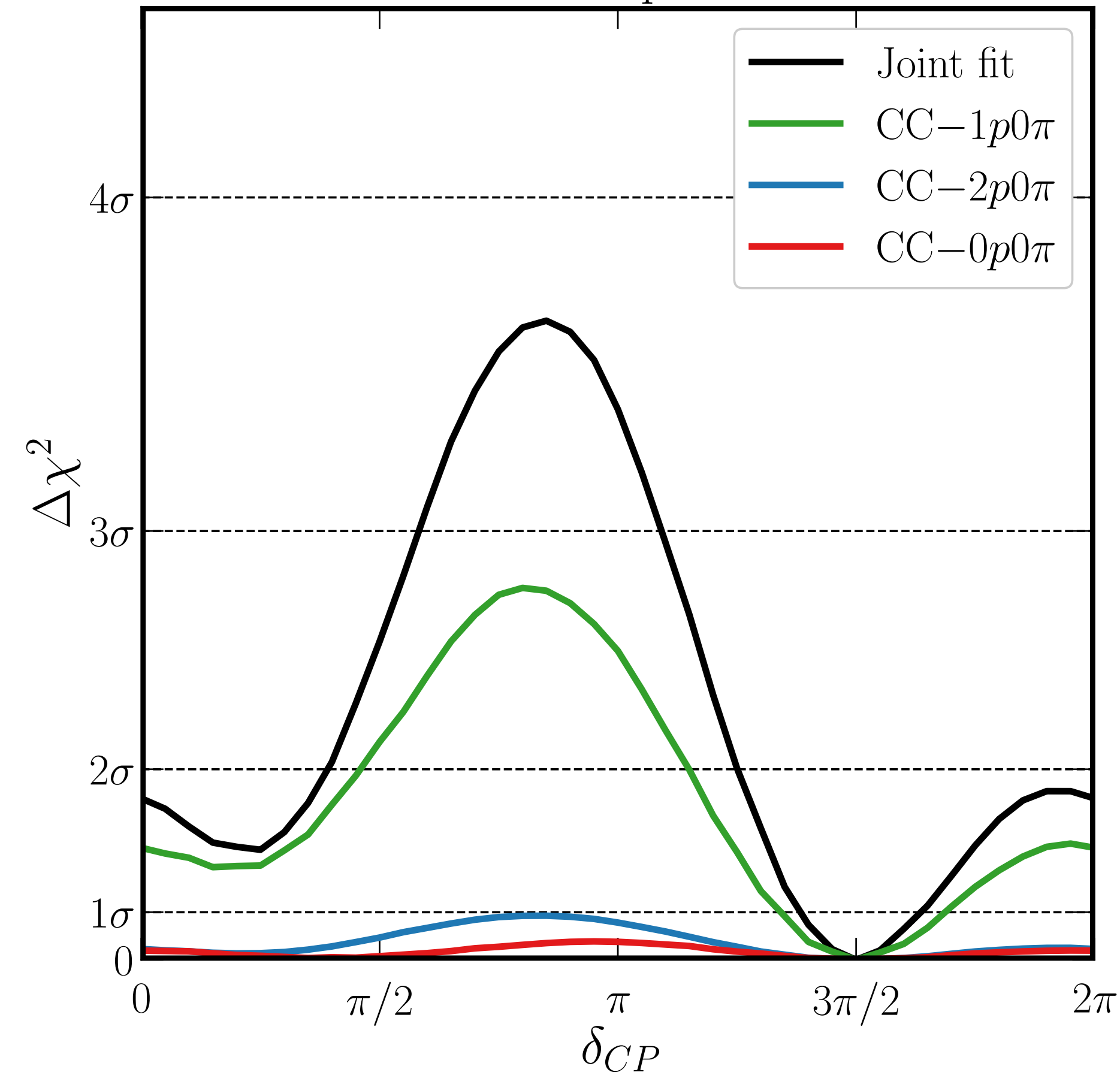
There is a large uncertainty in the inelasticity when most of the energy goes to the cascade.



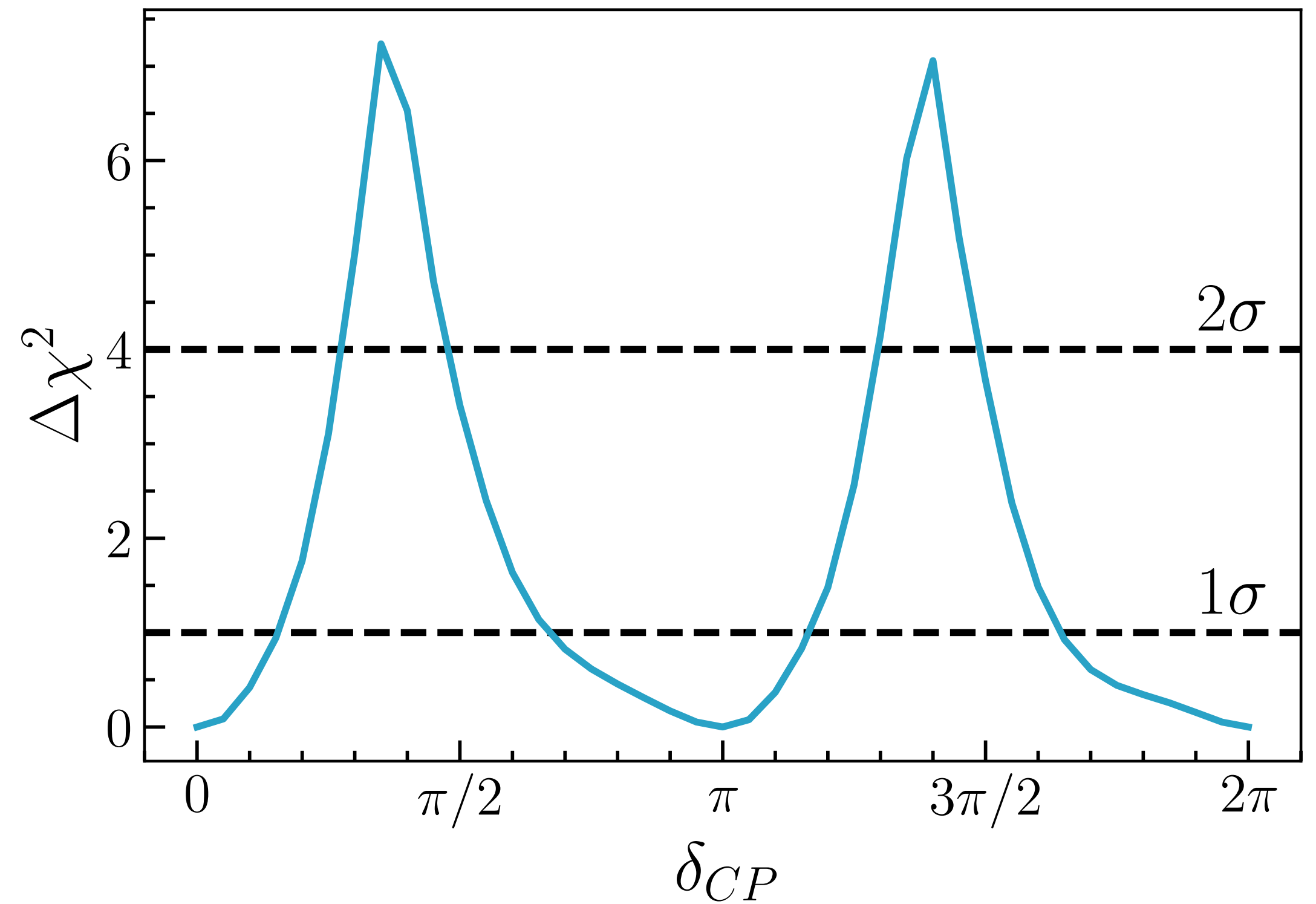
# LArTPCs

DUNE can exclude some values of  $\delta_{cp}$  to more than  $3\sigma$

Sub – GeV Atmospheric Neutrinos



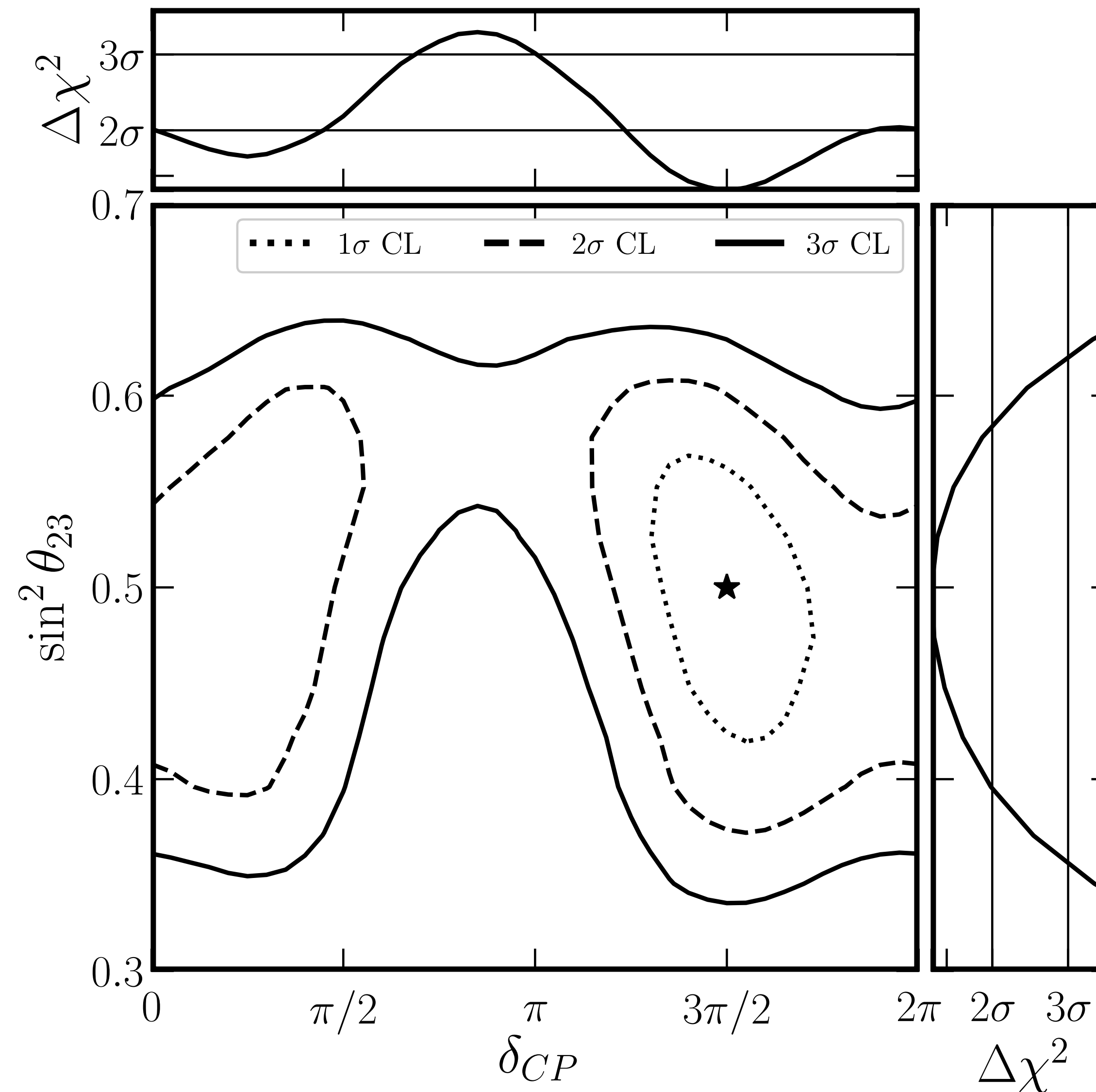
Sensitivity to CP violation



Kelly, Machado, **IMS**, Parke, Perez-Gonzalez, PRL 123 (2019)

# LArTPCs

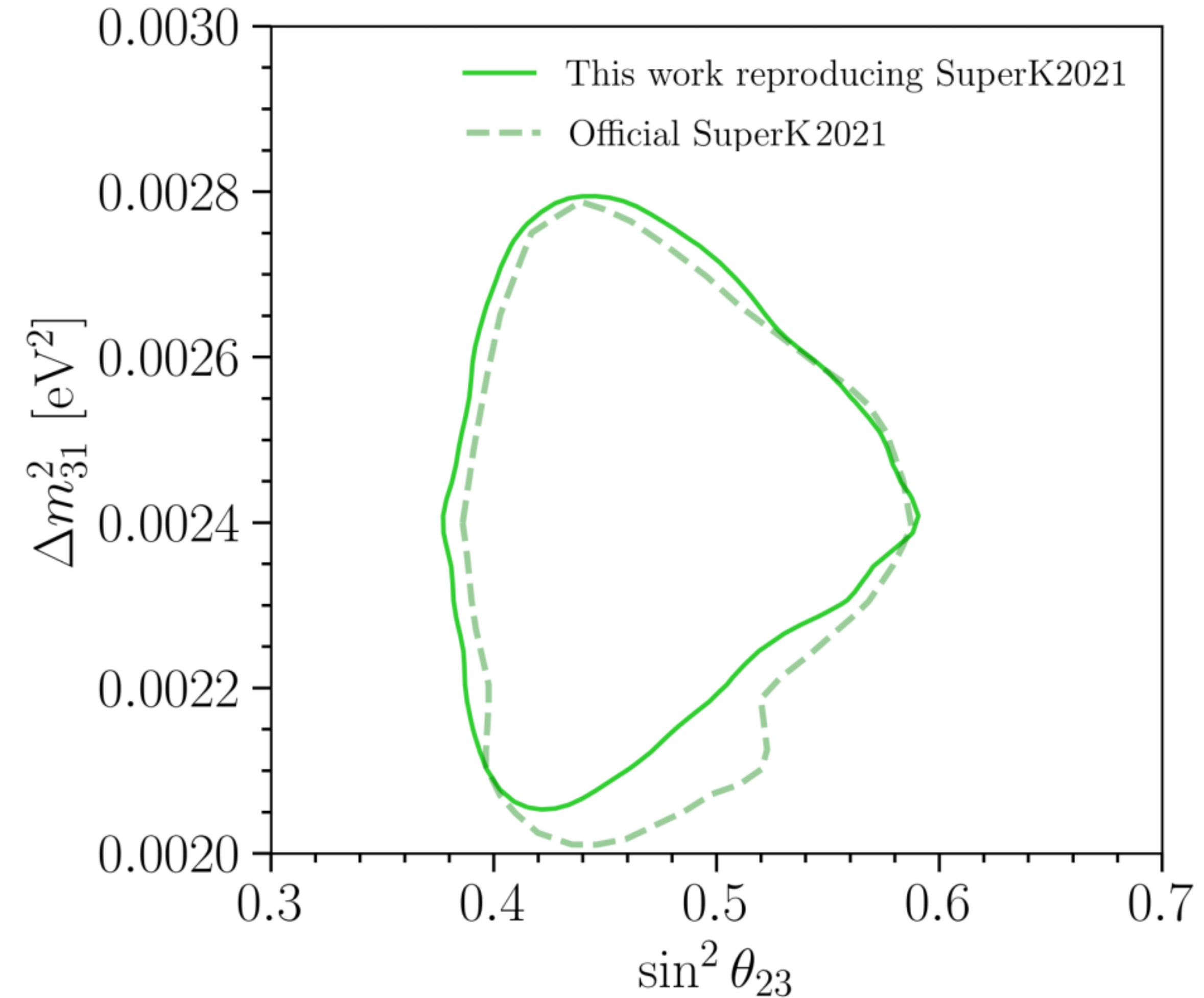
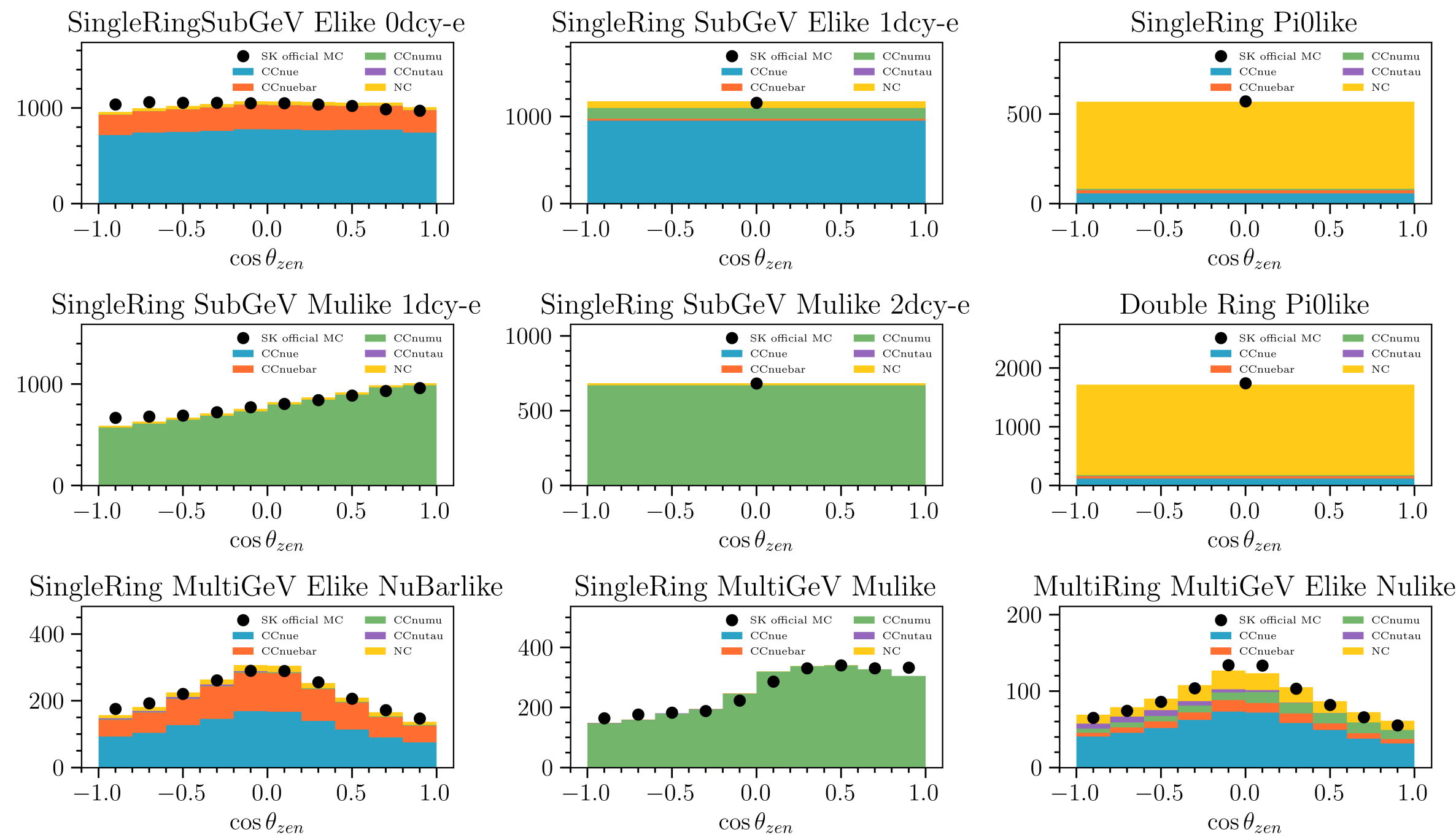
In case of a tension in the determination of  $\delta_{CP}$ , atmospheric neutrinos can contribute to solve it



Kelly, Machado, **IMS**, Parke, Perez-Gonzalez, PRL 123 (2019)

# Super-Kamiokande

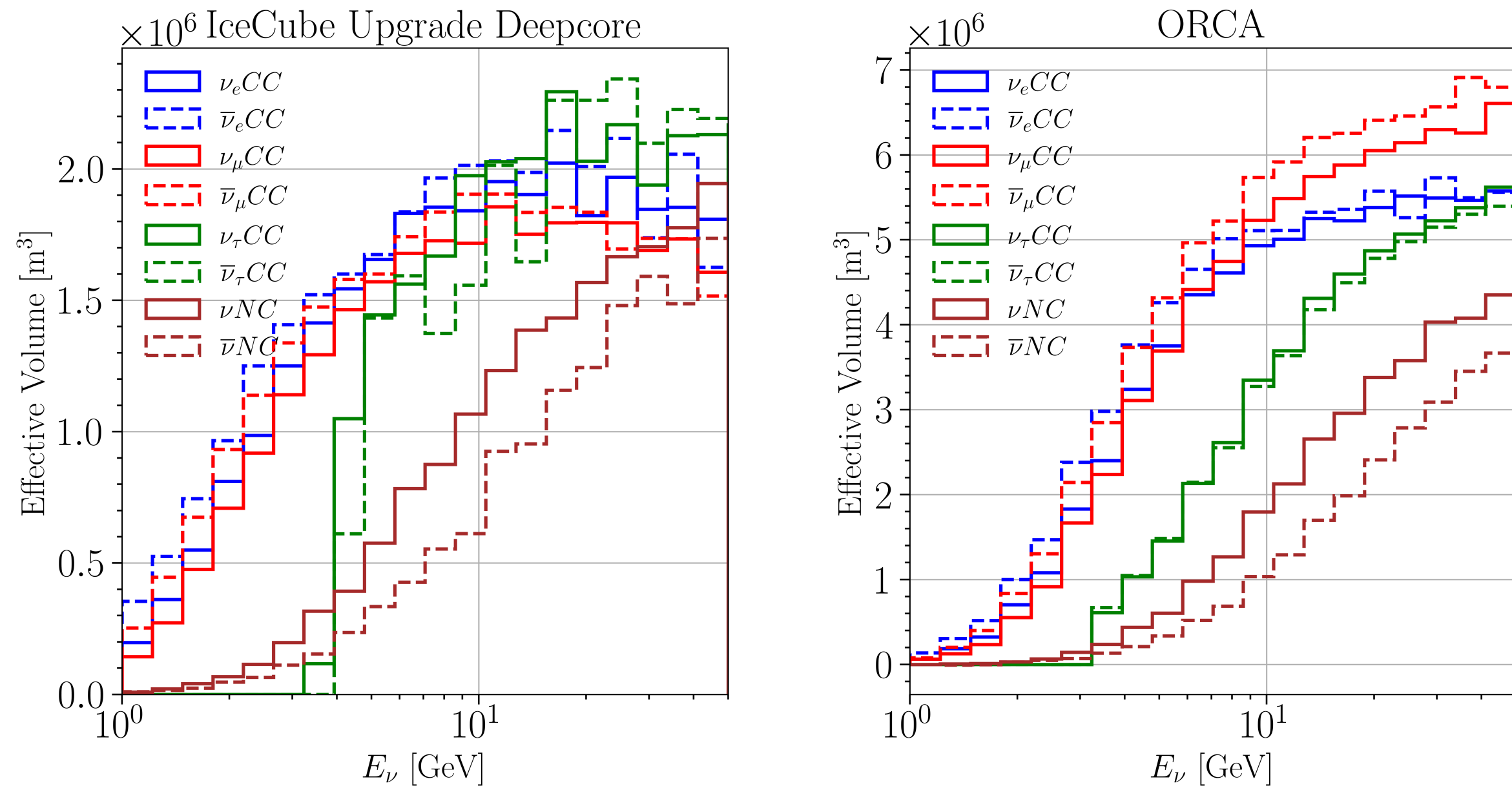
We have developed a simulation of **SK** considering **all the phases** and **Hyper-Kamiokande**



Argüelles, Fernandez, **IMS** and Jin, *PRX* 13 (2023)

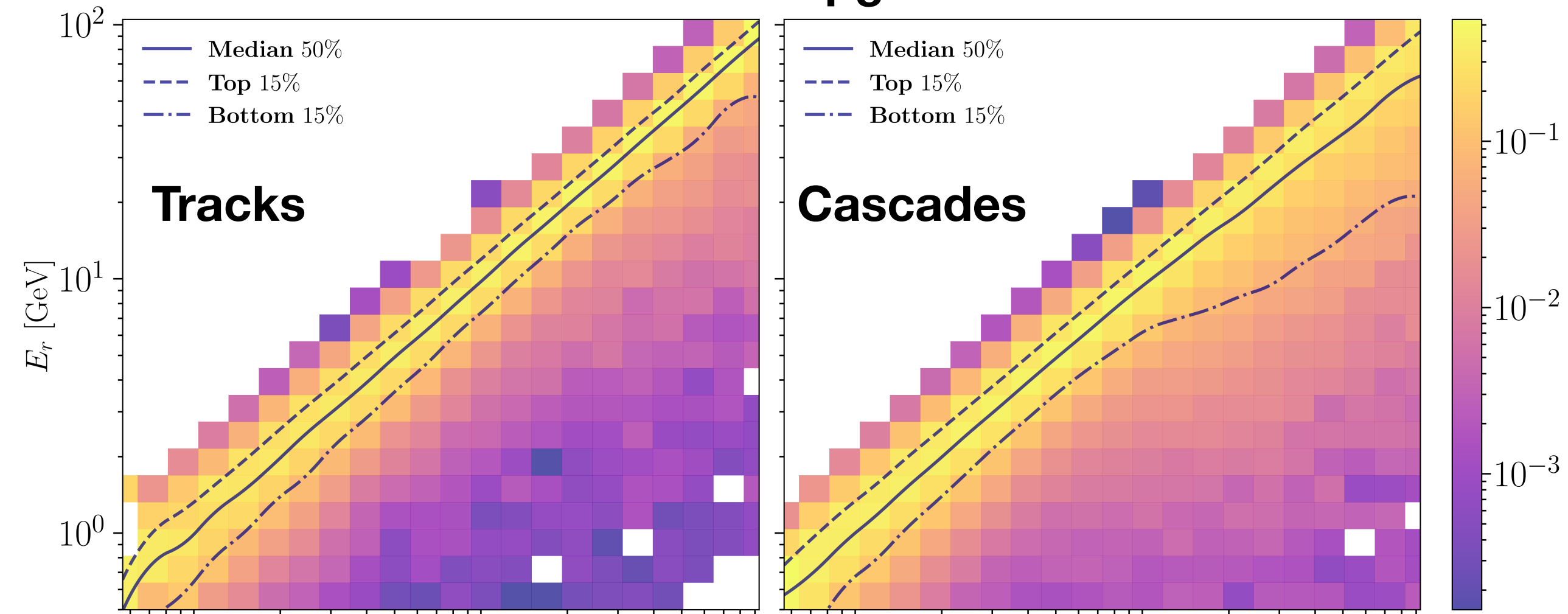
# Comparison between Neutrino Telescopes

### Effective volume



Argüelles, Fernandez, *IMS* and Jin, *PRX* 13 (2023)

### IceCube Upgrade



### ORCA

