

# DUNE: Science & Status

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Neutrino24, Milano  
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UNIVERSITY of  
ROCHESTER



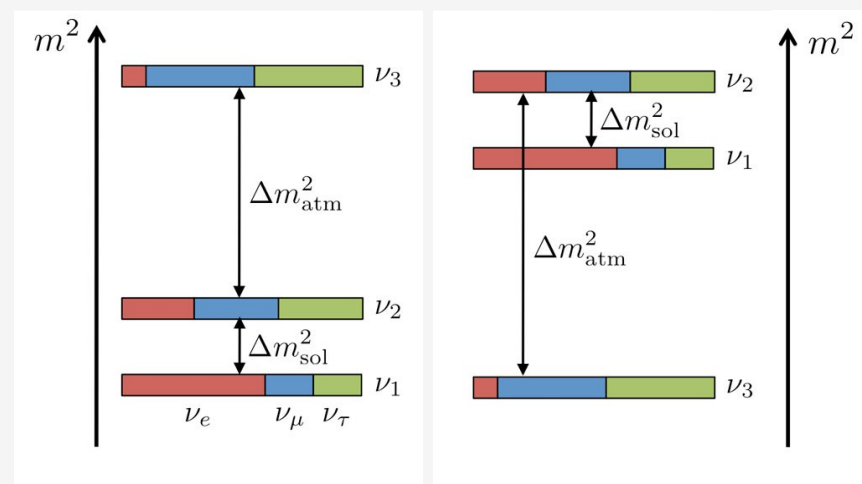
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# Long-baseline neutrino oscillations: unknown PMNS parameters

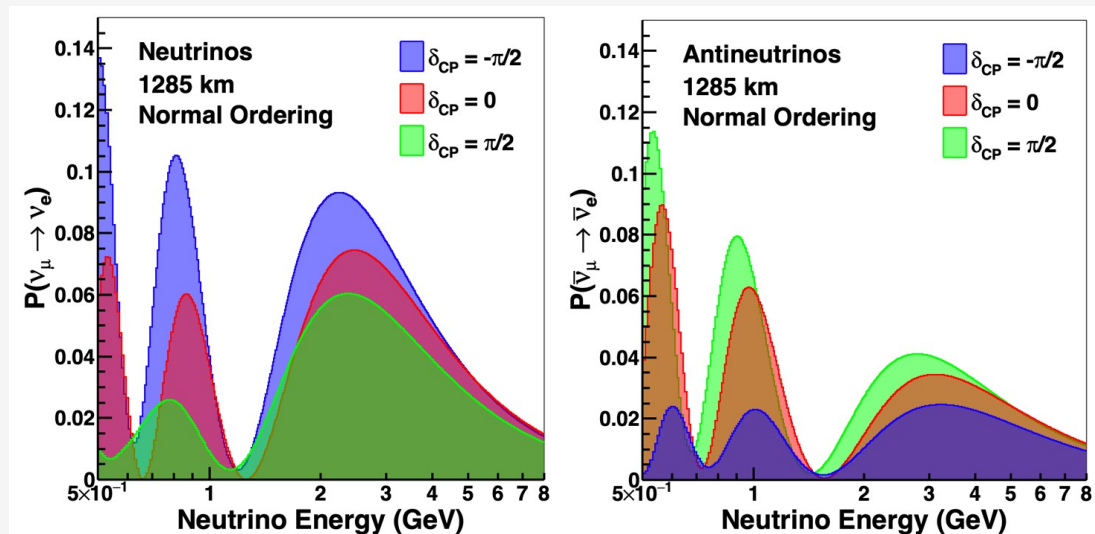
$$U_{\text{PMNS}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & e^{-i\delta_{\text{CP}}} s_{13} \\ 0 & 1 & 0 \\ -e^{i\delta_{\text{CP}}} s_{13} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

- Goals for next generation experiments:
  - Determine the neutrino mass ordering
  - Measure  $\delta_{\text{CP}}$  and determine if CP is violated
  - Determine the octant of  $\theta_{23}$



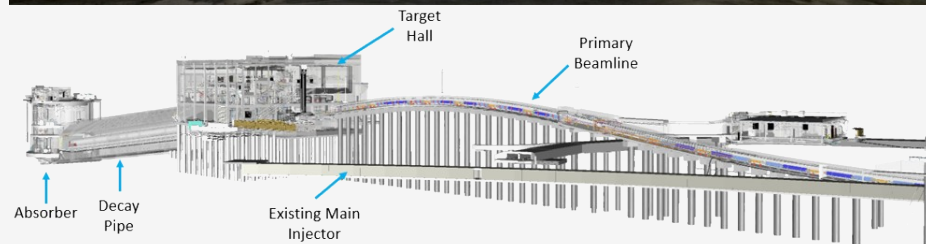
# Long-baseline neutrino oscillations: Is the 3-flavor model correct?

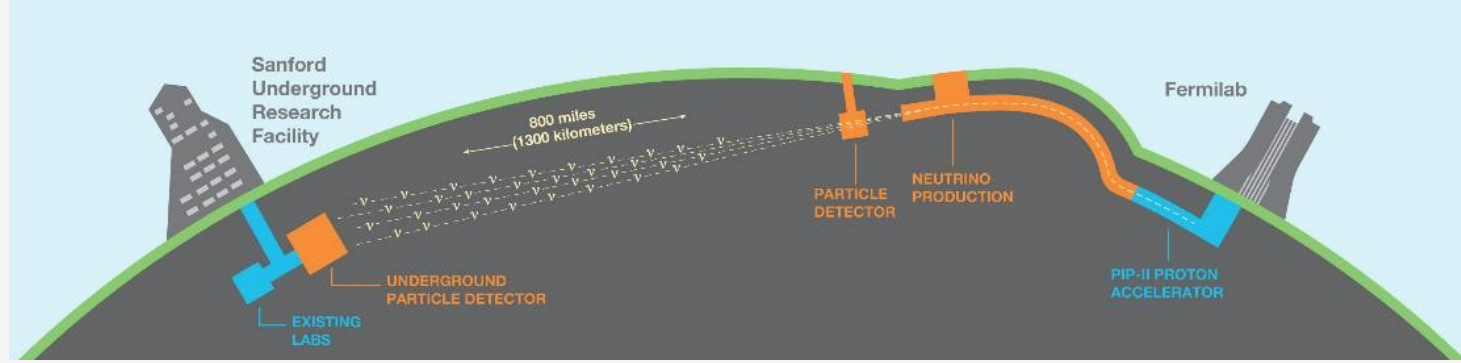
- Measure neutrino and antineutrino oscillation as a function of  $L/E$
- Does the three-flavor model describe the data?
  - If yes: measure the mixing angles, mass splittings, and CP phase
  - If no: characterize the new physics
- Need for a global program: different energies, matter effects, systematics, etc.



# Long-baseline oscillations as part of a broad physics program

- Large, sensitive underground detectors are excellent to:
  - Observe supernova burst neutrinos
  - Measure solar and atmospheric neutrinos
  - Search for new physics (nucleon decays, cosmogenic dark matter, etc.)
- Intense beams with capable near detectors are excellent to:
  - Search for new physics produced in the beamline
  - Search for new physics in rare interactions (i.e. neutrino tridents)



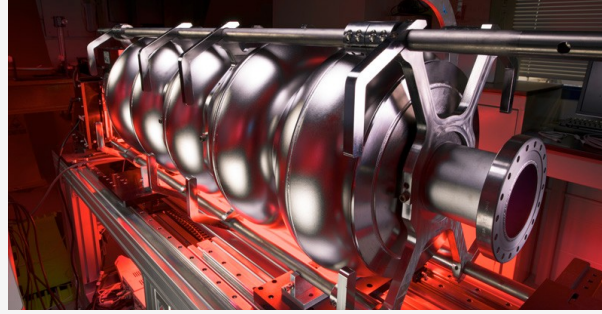
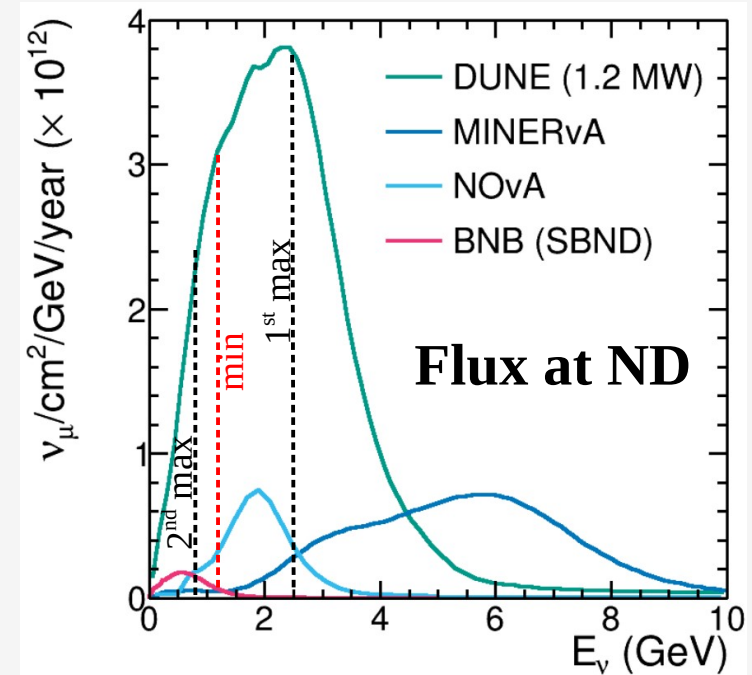


- Wideband (anti)neutrino beamline with  $>2\text{MW}$  intensity
- Underground, modular LArTPC Far Detector with  $\geq 40$  kt fiducial mass
- Movable LArTPC Near Detector with muon spectrometer and separate on-axis detector
- Global collaboration of  $>1400$  scientists and engineers

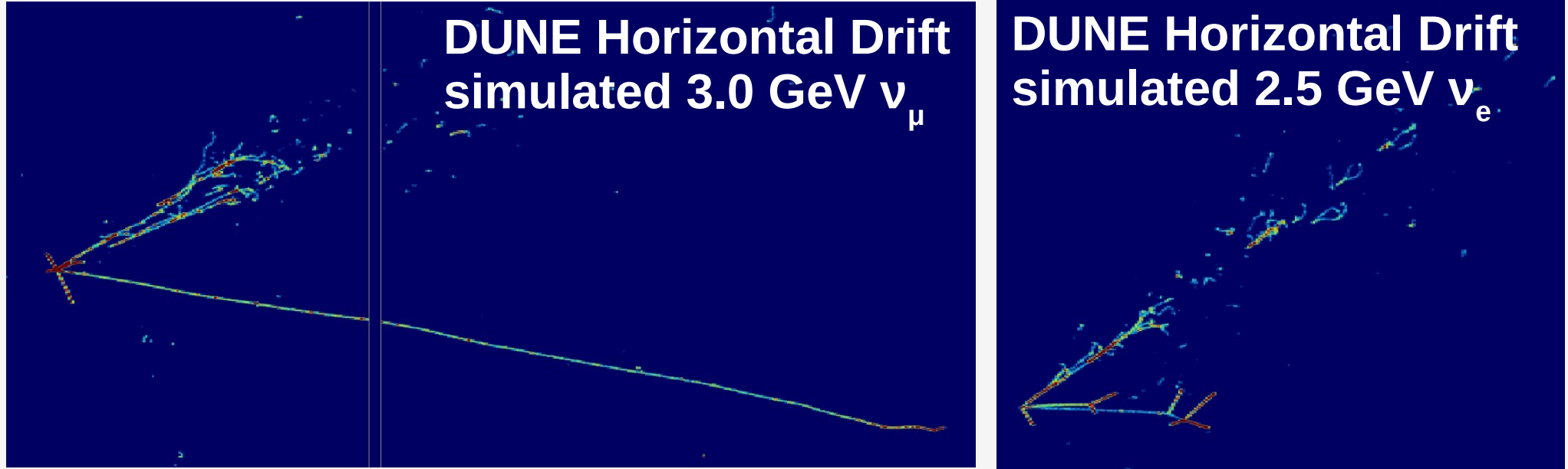


# LBNF beamline: world-leading intensity

- Very high flux between oscillation minimum and maximum, with coverage of second maximum
- ACE-MIRT upgrade enables  $>2\text{MW}$  beam by  $\sim$ doubling frequency of spills, and can be achieved before operations begin



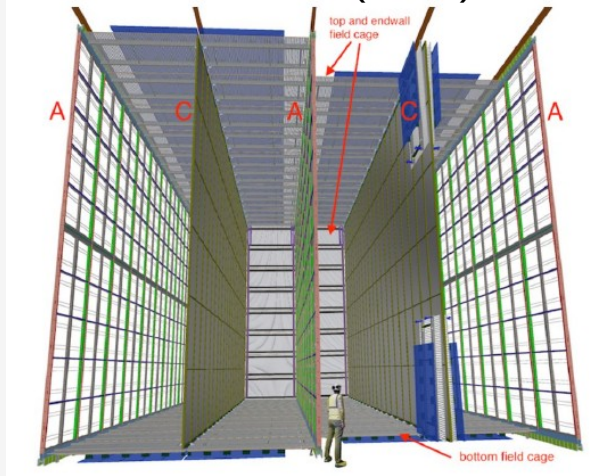
# LArTPC: flavor & energy reco over a broad range of topologies



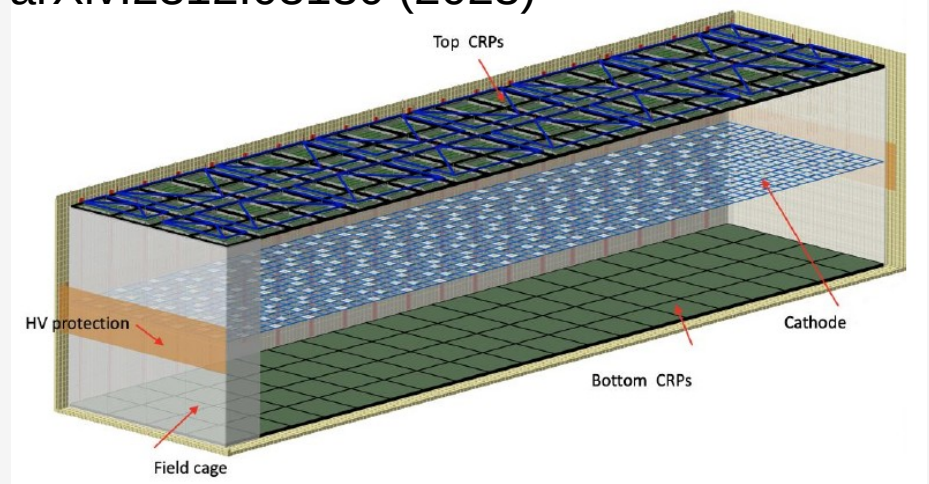
- 60% of interactions at DUNE energy have final state pions → LArTPC enables precise hadron reconstruction
- Excellent e/ $\mu$  and e/ $\gamma$  separation

# Far detector: two readout technologies

JINST 15 T08010 (2020)



arXiv:2312.03130 (2023)

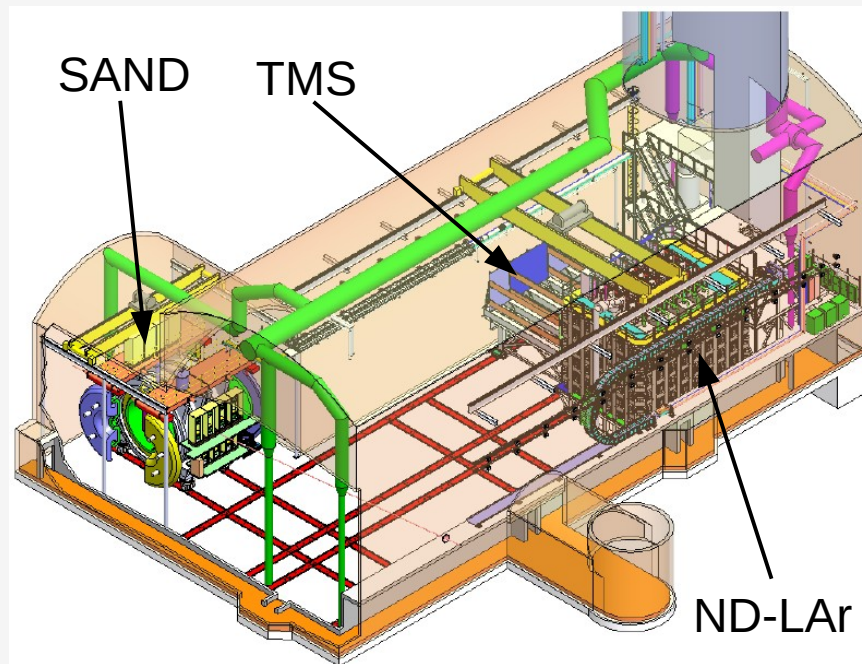


- Horizontal drift (HD, left) using wire readout planes, four drift regions
- Vertical drift (VD, right) using two 6.25m drift regions and central cathode
  - Simpler to install → first DUNE FD module will use vertical drift
  - VD is baseline design for modules 3 and 4



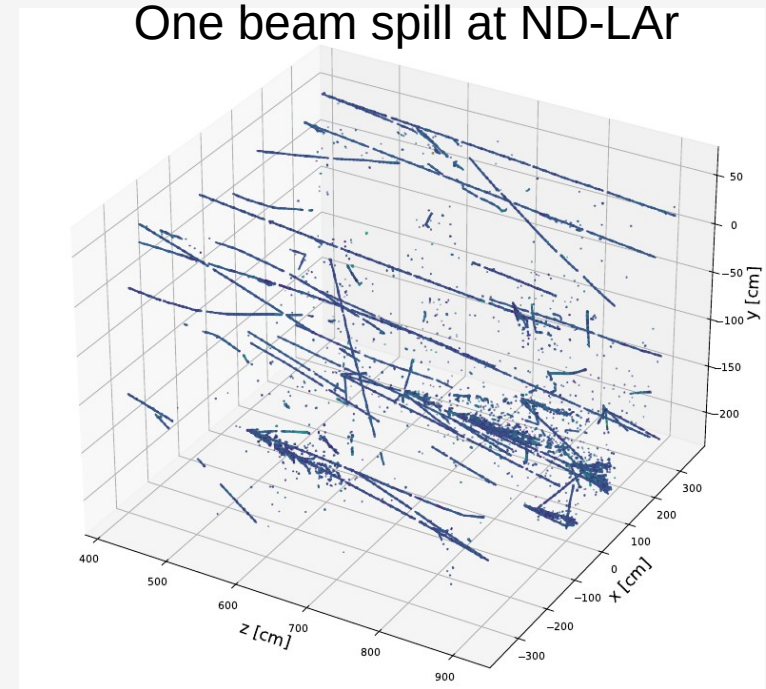
# Near detector: systematic constraints for precision physics

- Main purpose: enable prediction of Far Detector reconstructed spectra
- Movable detector system: LArTPC with muon spectrometer
- Off-axis data in different neutrino fluxes constrains energy dependence of neutrino cross sections
- Same target, same technology → inform predictions of reconstructed  $E_\nu$  in Far Detector

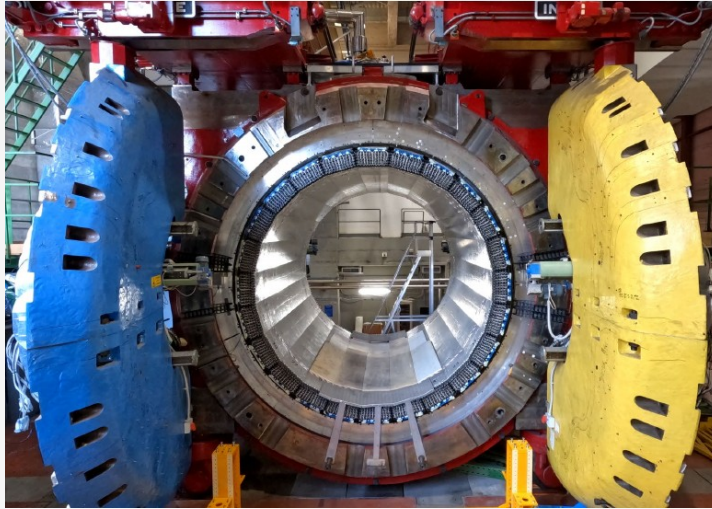


# Unique challenge for ND: pile-up

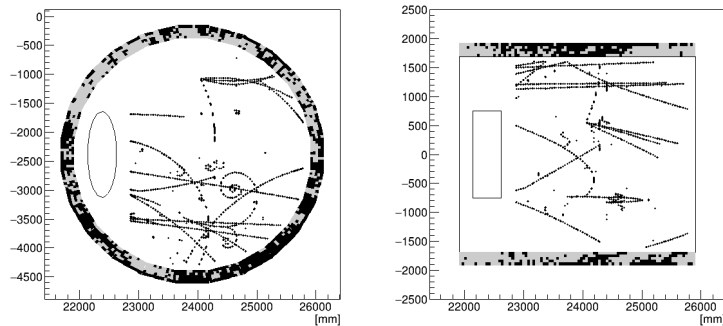
- Neutrino pile-up: very high rate at near site motivates pixelated readout and optical modularity
- Pixel readout: Natively 3D information in raw data, for resolving activity that would overlap in 2D projections
- Optical modularity: For charge-light matching, to allow association of detached energy (e.g. from neutrons)



# SAND: on-axis detector using KLOE magnet and calorimeter

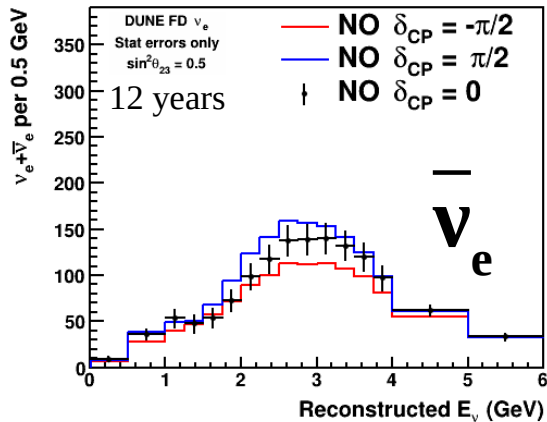
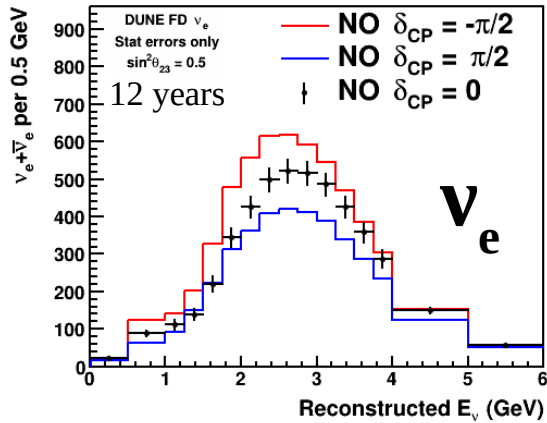


- Fixed component of ND repurposes existing solenoid magnet and ECAL from KLOE
- Plan is to build a collider-like detector in a neutrino beam: low-density tracker surrounded by calorimetry in magnetic field
- Fine-grained, particle-by-particle reconstruction with very low rescattering, excellent for highly exclusive neutrino-nucleus measurements
- Being (carefully) taken apart at Frascati for the move to the US

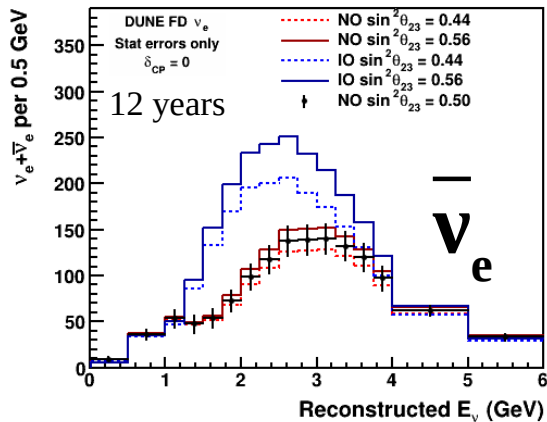
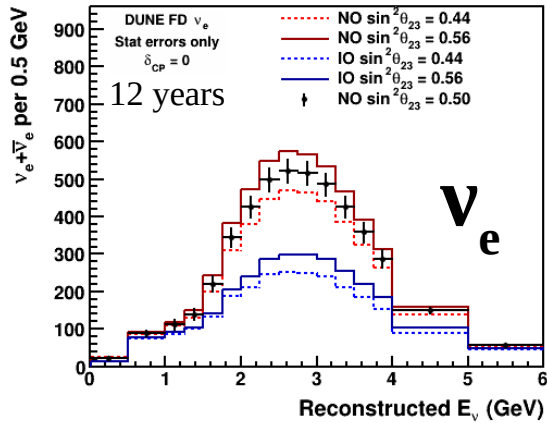


# Far Detector energy spectra are sensitive to CP violation

- If  $\delta_{CP} \sim -\pi/2$ , DUNE will measure an enhancement in electron neutrino appearance, and a reduction in electron antineutrino appearance



# Far Detector energy spectra are sensitive to CP violation

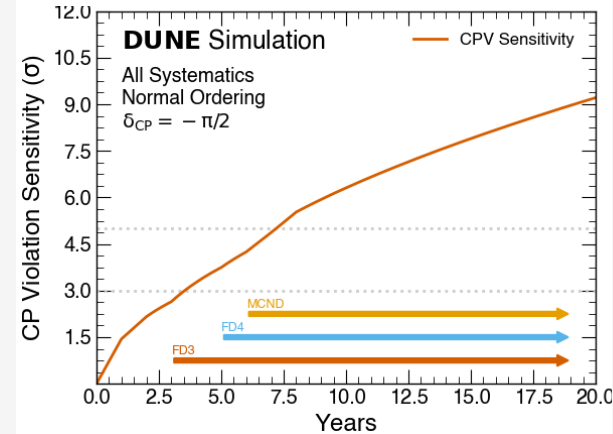
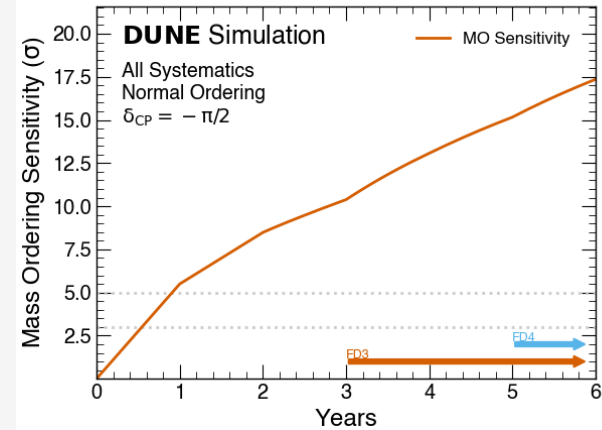


- If  $\delta_{CP} \sim -\pi/2$ , DUNE will measure an enhancement in electron neutrino appearance, and a reduction in electron antineutrino appearance
- If the mass ordering is normal, DUNE will measure a *much larger* enhancement in electron neutrino appearance, and a reduction in electron antineutrino appearance
- MO,  $\delta_{CP}$ , and  $\theta_{23}$  all affect spectra with different shape  $\rightarrow$  additional handle on resolving degeneracies
- If new physics is present, there may be no combination of MO,  $\delta_{CP}$ , and  $\theta_{23}$  that fits data

# MO & CPV significance if nature is kind

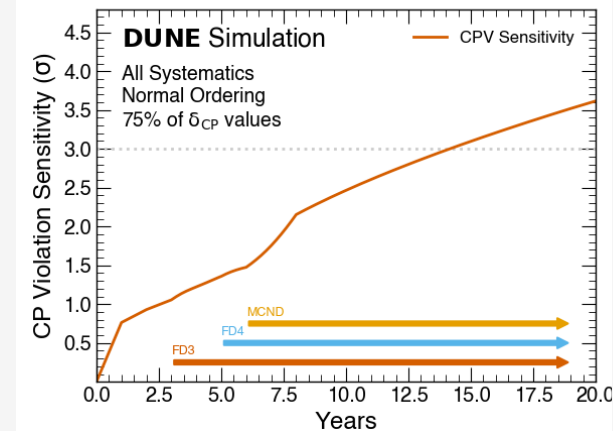
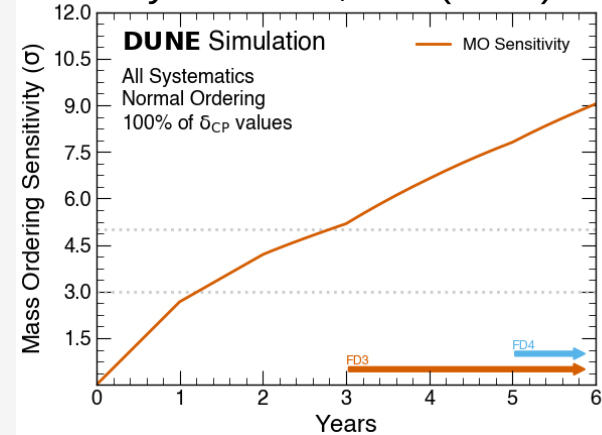
Eur. Phys. J. C 80, 978 (2020)

- For best-case oscillation scenarios, DUNE has
  - $>5\sigma$  mass ordering sensitivity in 1 year
  - $>3\sigma$  CPV sensitivity in 3.5 years



# MO & CPV significance if nature is unkind

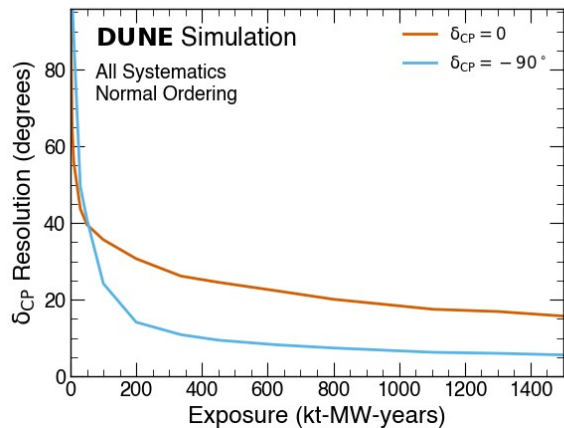
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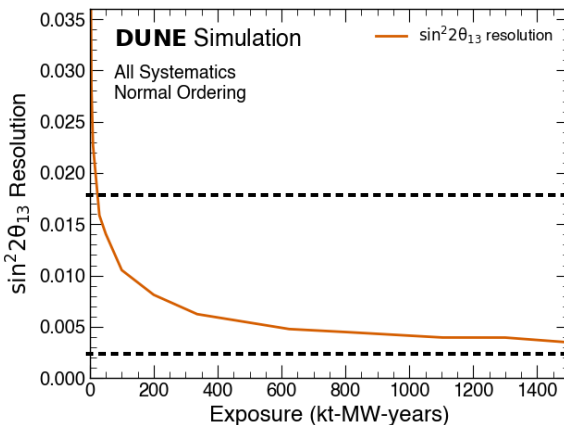
- For best-case oscillation scenarios, DUNE has
  - $>5\sigma$  mass ordering sensitivity in 1 year
  - $>3\sigma$  CPV sensitivity in 3.5 years
- For worst-case oscillation scenarios, DUNE has  $>5\sigma$  mass ordering sensitivity in 3 years
- In long term, DUNE can establish CPV over 75% of  $\delta_{CP}$  values at  $>3\sigma$
- Arrows indicate assumed staging scenario

# Precision measurements of 3-flavor parameters

Eur. Phys. J. C 80, 978 (2020)



- Ultimate precision 6-16° in  $\delta_{CP}$
- World-leading precision (for long-baseline experiment) in  $\theta_{13}$  and  $\Delta m^2 \rightarrow$  comparisons with reactor measurements are sensitive to new physics

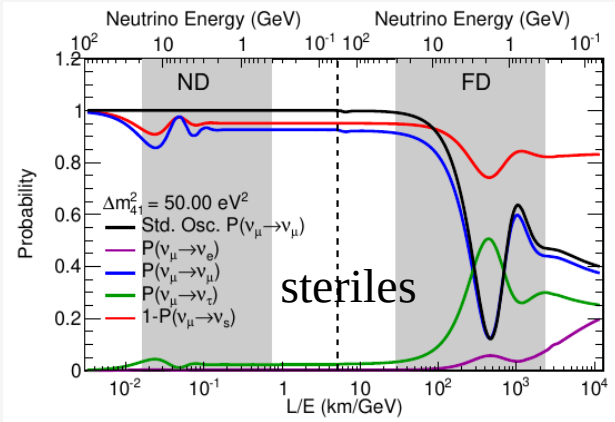


Current NOvA uncertainty

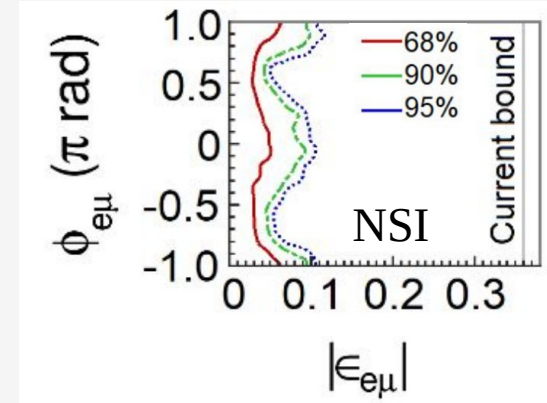
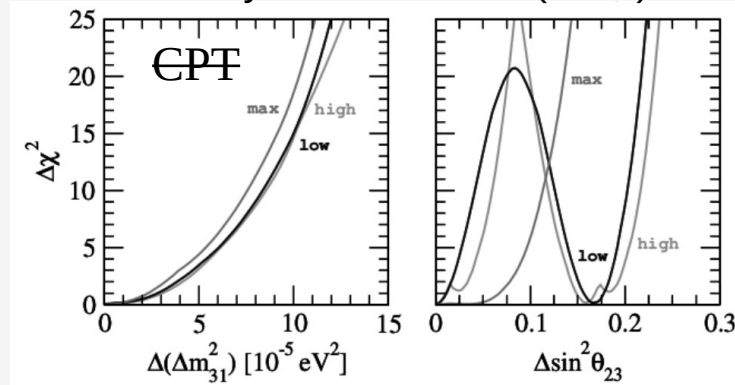
Reactor uncertainty



# Beyond three flavors

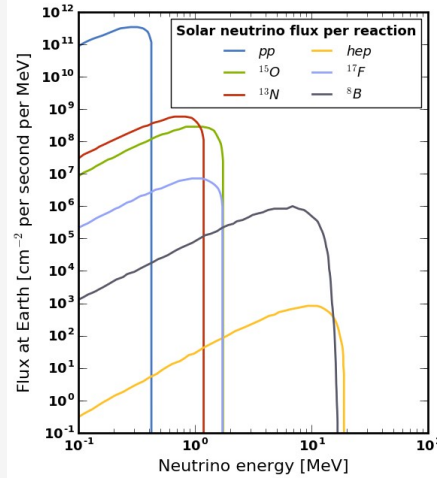
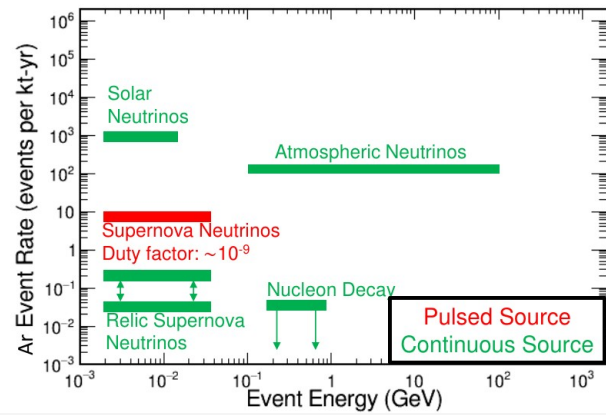


Eur. Phys. J. C 81, 322 (2021)

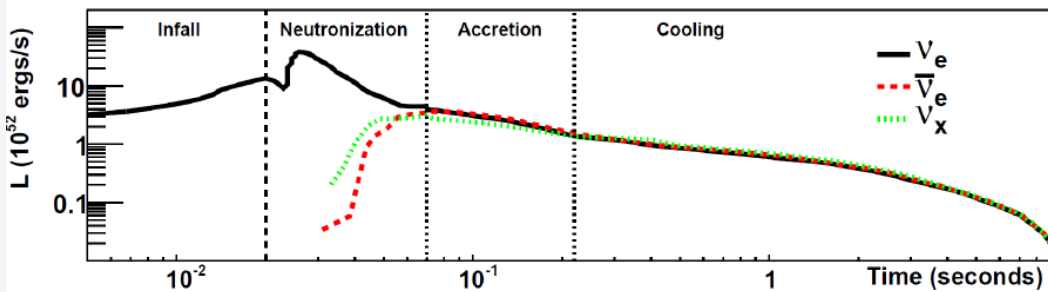


- Broad range of L/E at ND and FD → search for non-SM oscillations
- High statistics neutrino and antineutrino measurements → search for CPT violation
- Very large matter effect → uniquely sensitive to some NSI

# Natural neutrino sources at DUNE FD



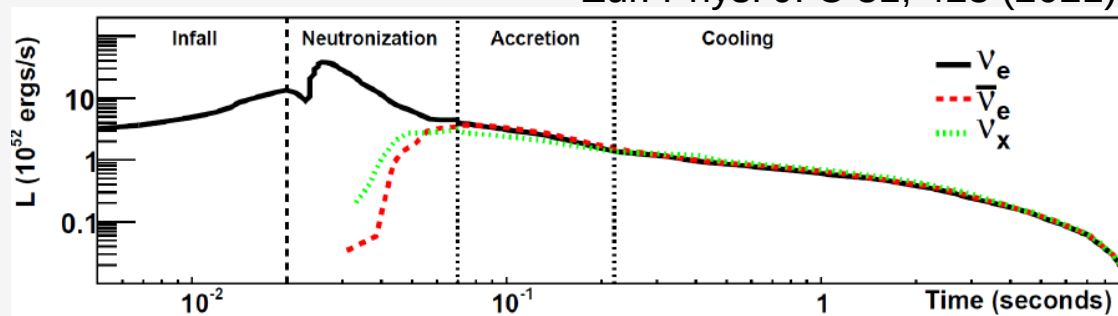
- DUNE FD will observe atmospheric, solar, and supernova neutrinos
- Argon target gives unique sensitivity to MeV-scale electron neutrinos
  - $\nu_e + {}^{40}\text{Ar} \rightarrow e^- + {}^{40}\text{K}^*$  ( $E_\nu > 1.5$  MeV)
  - $\bar{\nu}_e + {}^{40}\text{Ar} \rightarrow e^+ + {}^{40}\text{Cl}^*$  ( $E_\nu > 7.5$  MeV)
  - $\nu_x + e^- \rightarrow \nu_x + e^-$  (pointing)
- Highly complementary to other experiments (Hyper-K, JUNO) that predominantly see  $\bar{\nu}_e$  via IBD



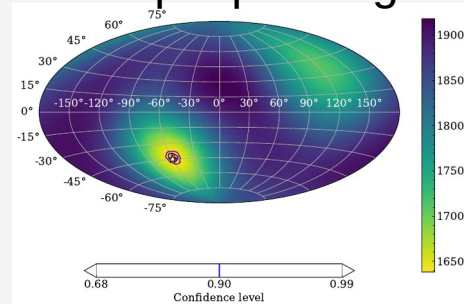
# Particle & astrophysics with supernova burst neutrinos

Eur. Phys. J. C 81, 423 (2021)

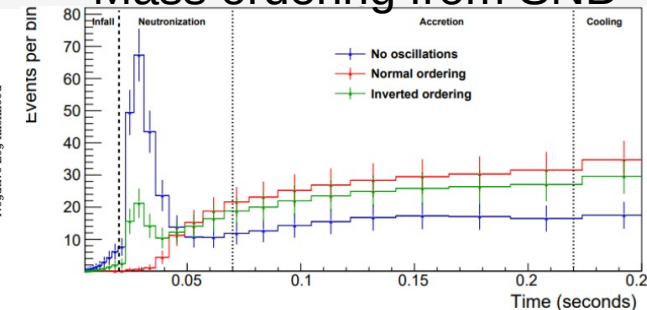
- DUNE will observe ~thousands of neutrino interactions from a galactic supernova burst
- Time and energy spectra are sensitive to core collapse mechanism and stellar evolution
- Unique ability to observe neutronization burst, and determine neutrino mass ordering
- Channel tagging  $\nu+e \rightarrow \nu+e$  enables  $\sim 5^\circ$  pointing resolution (40 kt, 10 kpc)



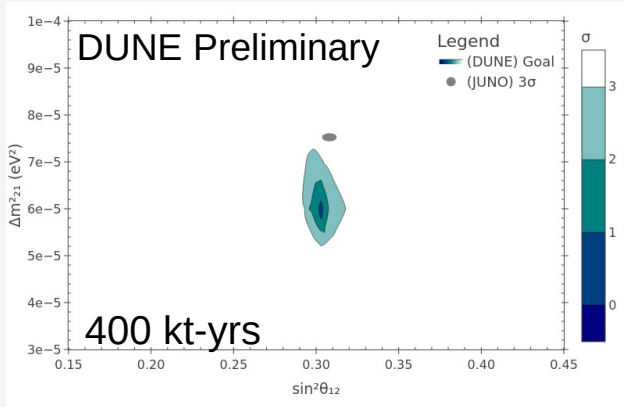
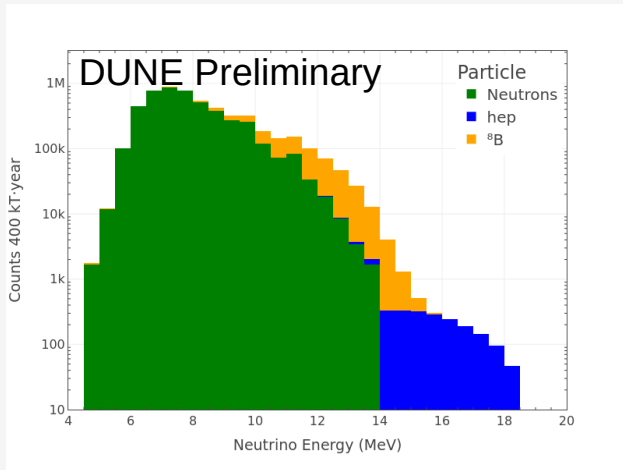
Example pointing



Mass ordering from SNB



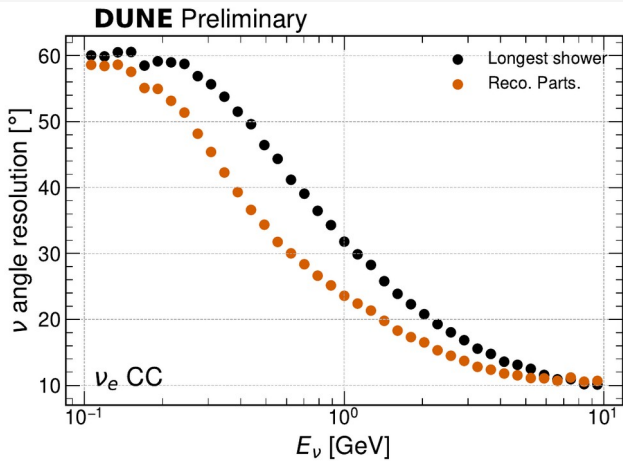
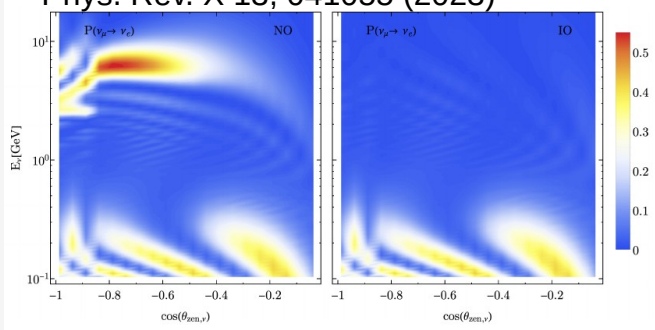
# DUNE sensitivity to solar neutrinos



- Despite a large neutron background at low energies, DUNE has excellent sensitivity to  $^8\text{B}$  solar neutrinos above  $\sim 10$  MeV, and discovery sensitivity to the hep solar flux
- DUNE can improve upon existing solar oscillation measurements via day-night asymmetry induced by matter effects  $\rightarrow$  comparison with JUNO
- Current analysis assumes dedicated trigger and flash matching (needed for fiducialization)

# Atmospheric neutrinos: angle reconstruction including hadrons

Phys. Rev. X 13, 041055 (2023)



- Atmospheric neutrinos will be DUNE's first data; aim to combine with long-baseline
- Including reconstructed hadrons substantially improves angle resolution, especially at lower neutrino energies
- Potential to extend to low energies has been studied phenomenologically, see Phys. Rev. Lett. 123, 081801 (2019)
- DUNE analysis in progress

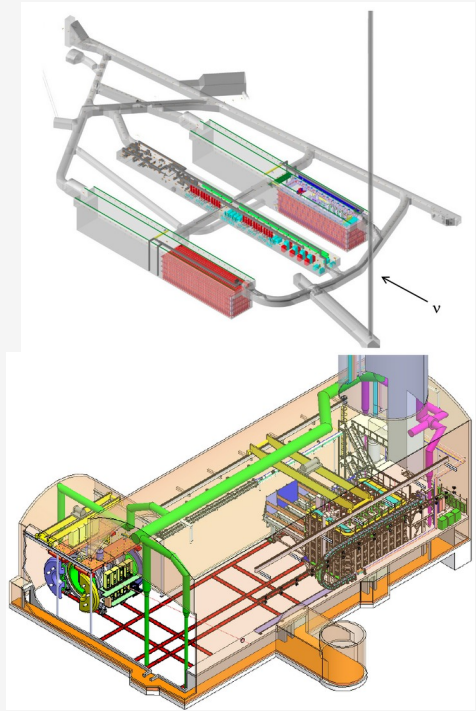
# DUNE construction: Phase I

- Full Near and Far Site facility
- Two LArTPC modules (VD & HD), each 17 kt Ar
- 1.2 MW upgradeable neutrino beamline
- Movable LArTPC ND+muon catcher, SAND

Completing Phase I is highest priority in P5 report:

**Recommendation 1: As the highest priority independent of the budget scenarios, complete construction projects and support operations of ongoing experiments and research to enable maximum science.**

- b. The first phase of DUNE and PIP-II to open an era of precision neutrino measurements that include the determination of the mass ordering among neutrinos.



# DUNE construction: Phase II

- Two additional FD modules
- Beamline upgrade to >2MW (ACE-MIRT)
- More capable Near Detector (ND-GAr)

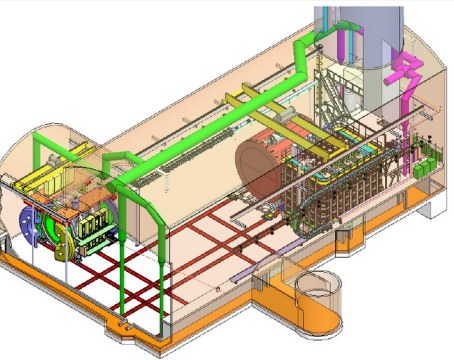
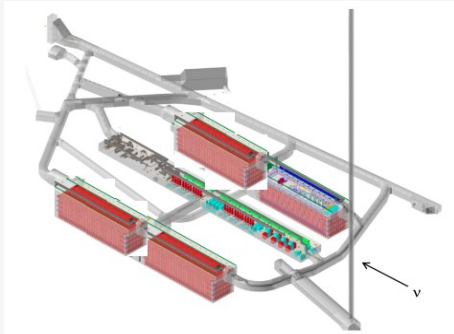
P5 report endorses FD3, ACE-MIRT, and MCND in the next decade, and R&D toward FD4

**Recommendation 2: Construct a portfolio of major projects that collectively study nearly all fundamental constituents of our universe and their interactions, as well as how those interactions determine both the cosmic past and future.**

- b. A re-envisioned second phase of DUNE with an early implementation of an enhanced 2.1 MW beam—ACE-MIRT—a third far detector, and an upgraded near-detector complex as the definitive long-baseline neutrino oscillation experiment of its kind

**Recommendation 4: Invest in a comprehensive initiative to develop the resources—theoretical, computational, and technological—essential to realizing our 20-year strategic vision. This includes an aggressive R&D program that, while**

- e. Conduct R&D efforts to define and enable new projects in the next decade, including detectors for an  $e^+e^-$  Higgs factory and 10 TeV  $p\bar{p}$  collider, Spec-S5, DUNE FD4, Mu2e-II, Advanced Muon Facility, and line intensity mapping



# Building DUNE: construction schedule



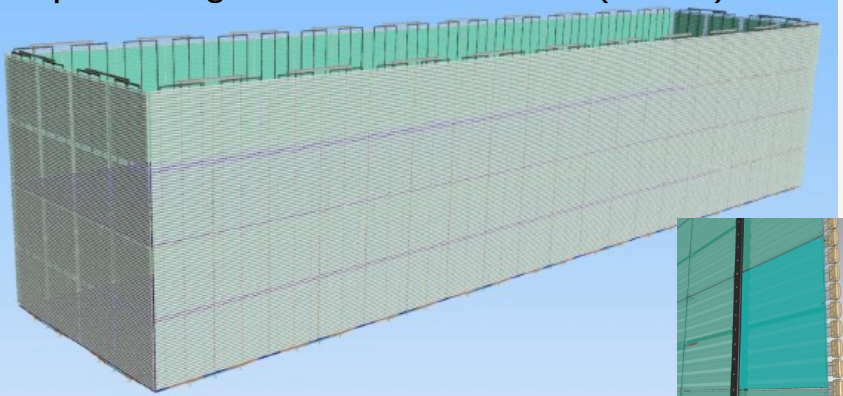
- Far site excavation is complete
- Next: Building & Site Infrastructure work until mid-2025
- Cryostat warm structure is on its way to US from CERN to be installed in 2025-26
- Far Detector installation in 2026-27
- Purge and fill with argon in 2028
- Physics in 2028 or early 2029
- Beam physics with Near Detector 2031



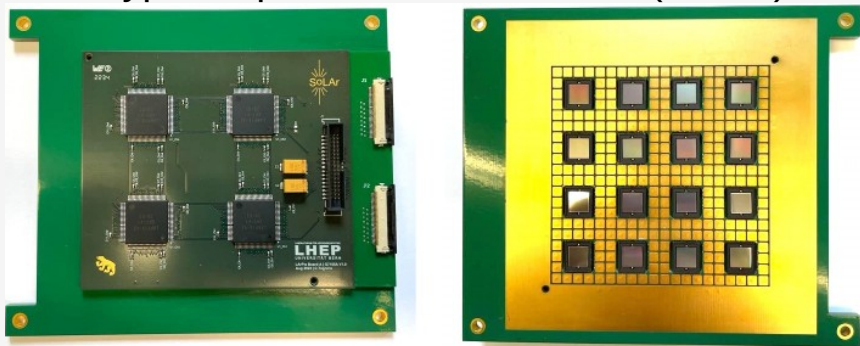


# Phase II FD: additional mass + opportunities to expand physics reach

Improved light collection for FD3 (APEX)

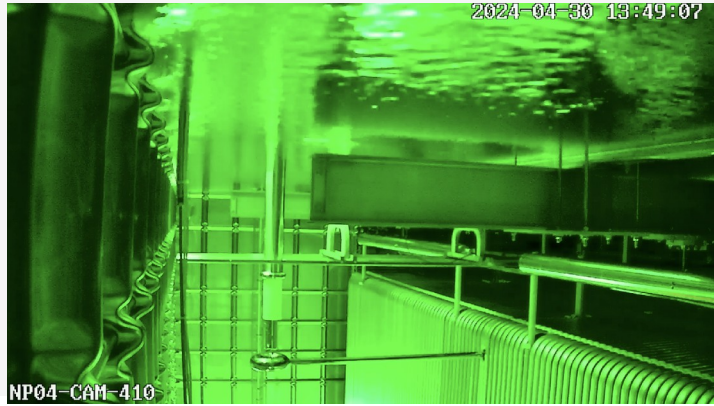


Prototype for possible FD4 readout (SoLAR)



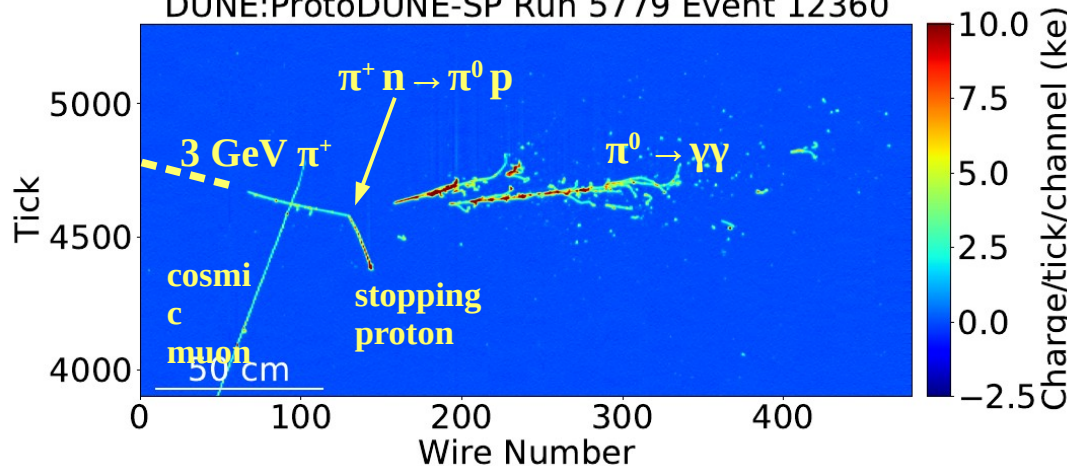
- Vertical Drift module is the baseline design for Phase II FD modules
- Pursuing low-hanging improvements to light collection for FD3, including Aluminum Profiles with Embedded X-ARAPUCA, essentially integrating light detectors into field cage
- FD4 is the “Module of Opportunity”, and more ambitious designs are being considered, including pixel readout, integrated charge-light readout, low background modules, and non-LAr technologies

# ProtoDUNE: preparing for second runs

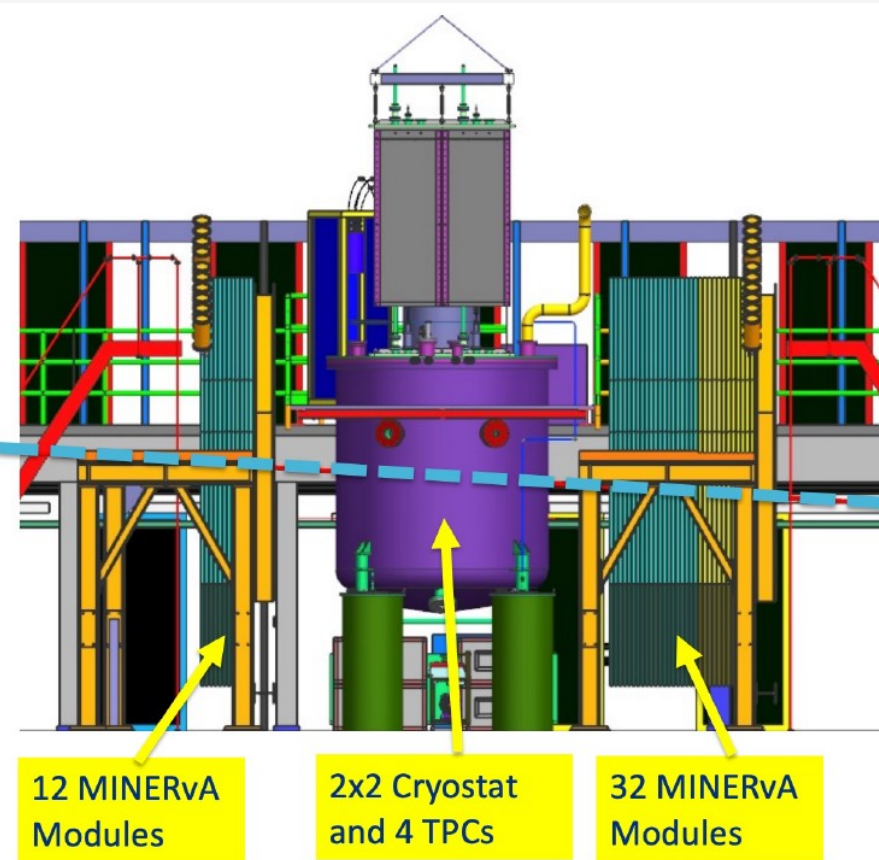


- Successful prototype of horizontal drift at CERN Neutrino Platform in 2018 (ProtoDUNE-SP)
- ProtoDUNE-HD completed filling 30<sup>th</sup> April, running since May, with beam turning on at 6pm tomorrow evening
- LAr will be transferred to ProtoDUNE-VD in October for running starting in early 2025

DUNE:ProtoDUNE-SP Run 5779 Event 12360



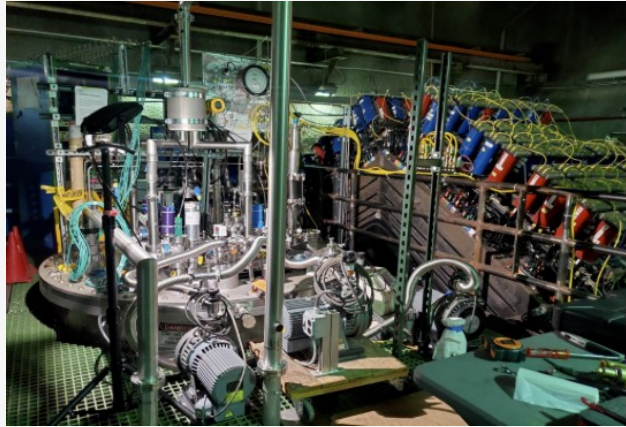
# ND-LAr 2x2 prototype: DUNE's first detector in a neutrino beam



- Individual ND-LAr prototype modules have been operated with cosmics at Bern
- “2x2” is a four-module integration test in the Fermilab NuMI beam
- Re-purposed MINERvA scintillator and calorimeter planes mimic the role of TMS in the DUNE ND
- Will demonstrate reconstruction with natively 3D readout in a neutrino beam with similar event rate to DUNE



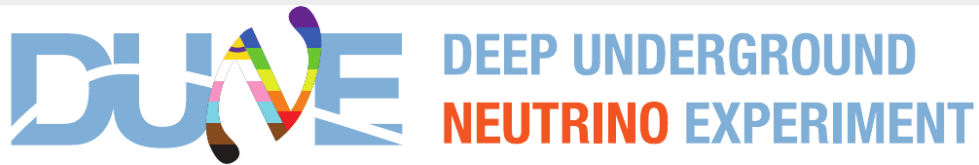
# ND-LAr 2x2 prototype: towards data



- Detectors installed inside cryostat
- Cooling and argon filling is complete
- Currently undergoing cold commissioning
- Monitored with 24-hour shifts since early June

# Summary

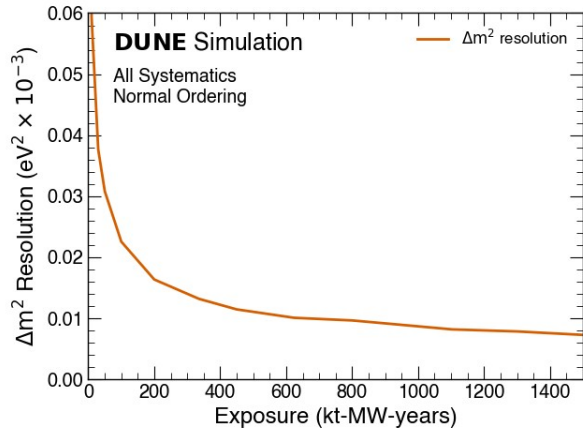
- DUNE is a long-baseline oscillation experiment and neutrino observatory
  - Unique and complementary reach in oscillations, MeV-scale neutrinos, and BSM searches
- DUNE has an active prototyping program, with excavation complete and components under construction → start of science in this decade
- See also 33 DUNE posters!



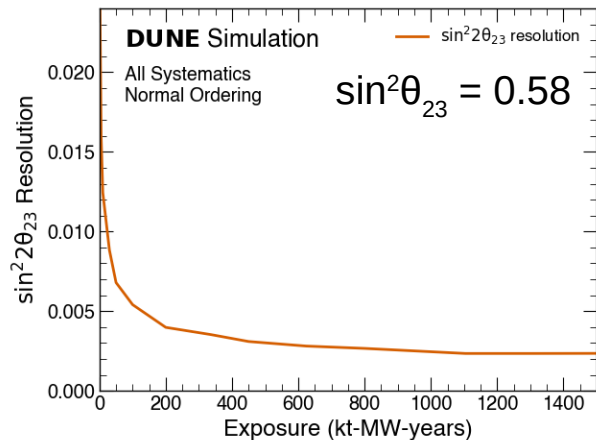
# Backup Slides



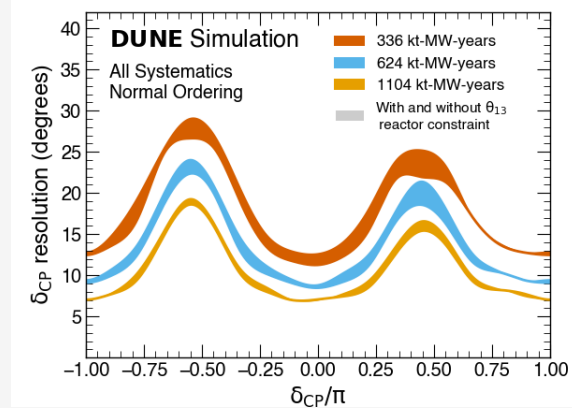
# Resolution to disappearance parameters



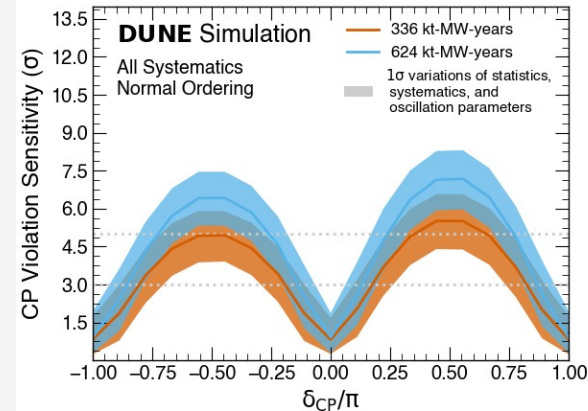
- $\Delta m^2$  is measured by location of dip in disappearance spectrum → high rate and on-axis location gives improved sensitivity relative to current LBL experiments
- Comparison with similar JUNO measurement is sensitive to new physics
- Resolution to  $\theta_{23}$  is complicated; strongly dependent on true parameter values, and correlated with other parameters



# CP violation and $\delta_{CP}$ resolution

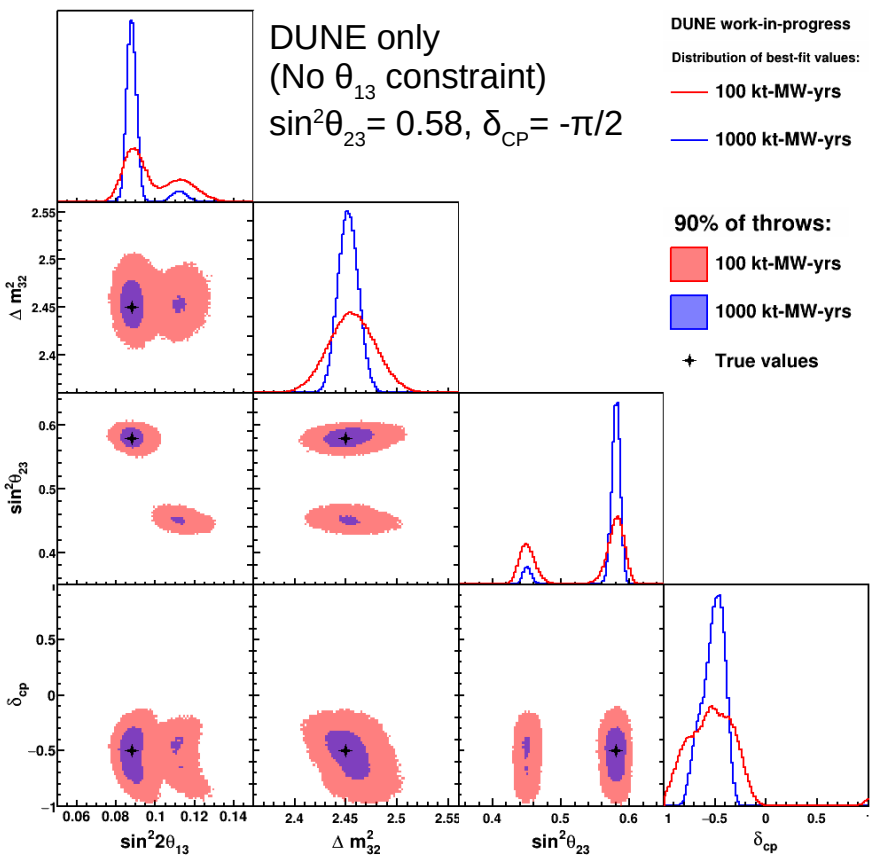


- $\delta_{CP}$  resolution is best at 0 and  $\pi$  because appearance at maximum is proportional to  $\sin(\delta_{CP})$
- DUNE (and most experiments) typically quote median sensitivities, but statistical fluctuations and systematic uncertainties give a range of possible values shown by the bands



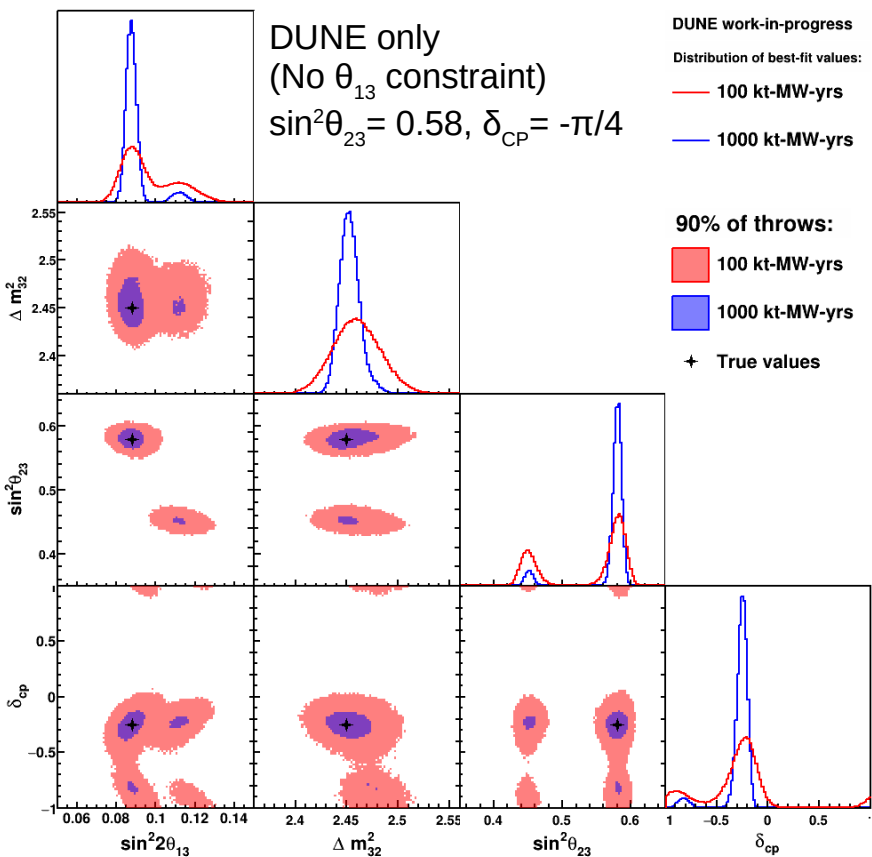


# Resolving parameter degeneracies with spectral information



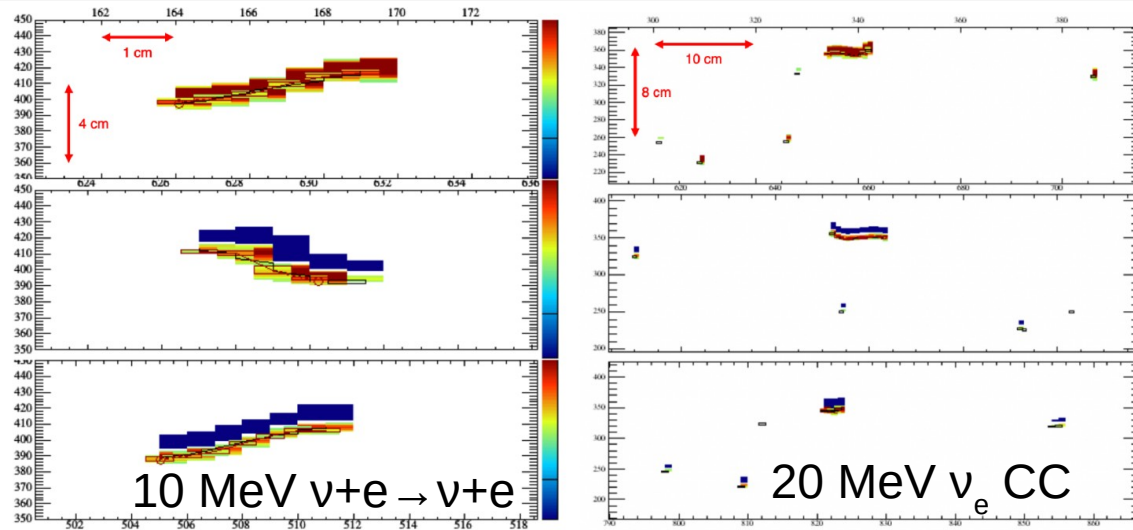
- DUNE resolutions projected into different 2D spaces, for two different exposures
- Degeneracy between  $\theta_{13}$  and  $\theta_{23}$  in DUNE data is resolved by reactor  $\theta_{13}$  data, which resolves  $\theta_{23}$  octant
- For maximal  $\delta_{CP}$ , CP conserving values are strongly excluded but resolution is relatively poor

# Resolving parameter degeneracies with spectral information

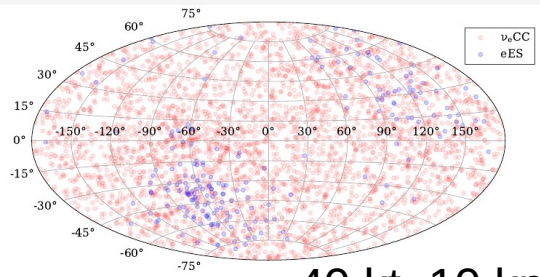


- For non-maximal values of  $\delta_{CP}$ , an additional degeneracy arises because  $P(\nu_\mu \rightarrow \nu_e) \sim \sin\delta_{CP}$  at maximum
- DUNE can largely resolve this using its spectral information
- Combining experiments is challenging  
→ we all need to publish this full 4D space!

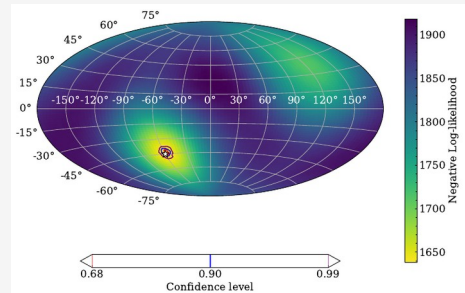
# Supernova pointing and multi-messenger astronomy



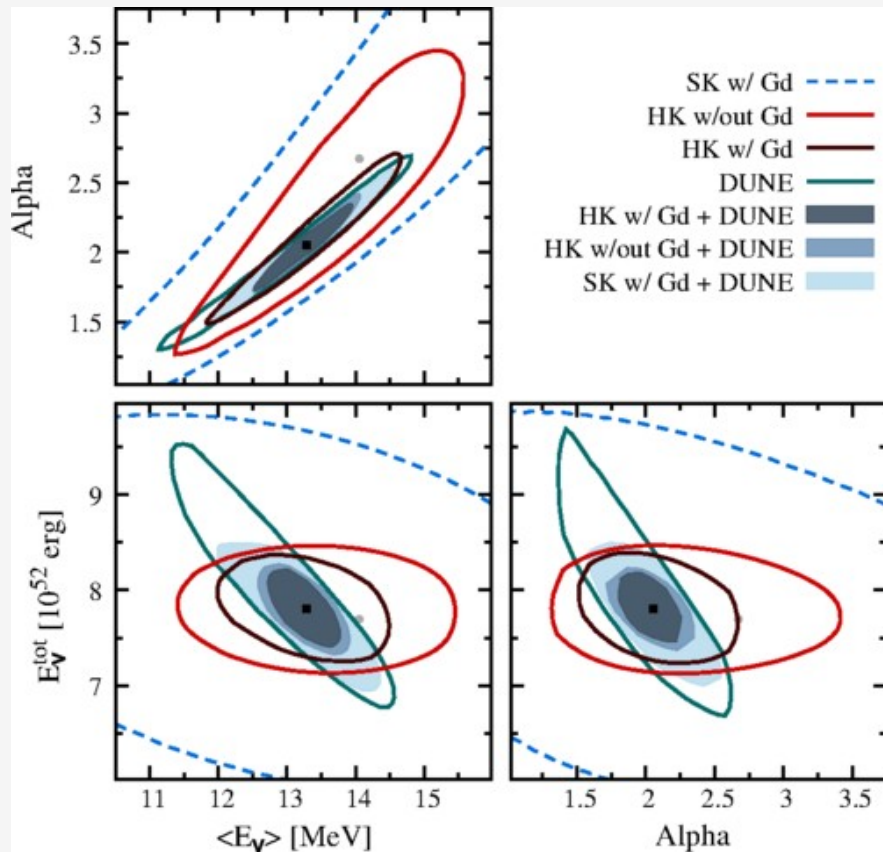
- DUNE can identify elastic scatters by the absence of nuclear de-excitation photons
- Enables pointing resolution as good as  $\sim 5^\circ$  depending on location
- Paper is imminent



40 kt, 10 kpc



# Supernova spectral measurements with DUNE + HK data

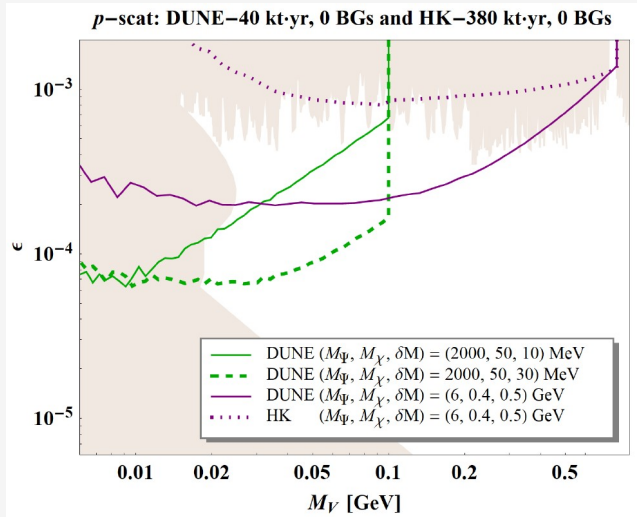


$$\frac{dN_\nu}{dE_\nu}(E_\nu) = A \left( \frac{E_\nu}{\langle E_\nu \rangle} \right)^\alpha \exp \left[ -(\alpha + 1) \frac{E_\nu}{\langle E_\nu \rangle} \right]$$

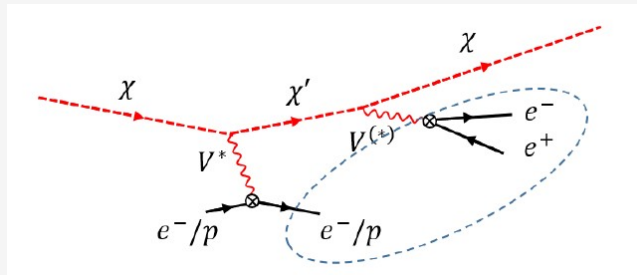
$$A = \frac{(\alpha + 1)^{\alpha+1}}{\langle E_\nu \rangle \Gamma(\alpha + 1)} \quad \text{Phys. Rev. D 97, 023019}$$

- Supernova spectrum can be parameterized by average neutrino energy and  $\alpha$
- DUNE and HK measure different fluxes  $\rightarrow$  complementary ability to constrain spectral parameters
- DUNE Phase II (40 kt) shown in figure

# BSM searches with the Far Detector

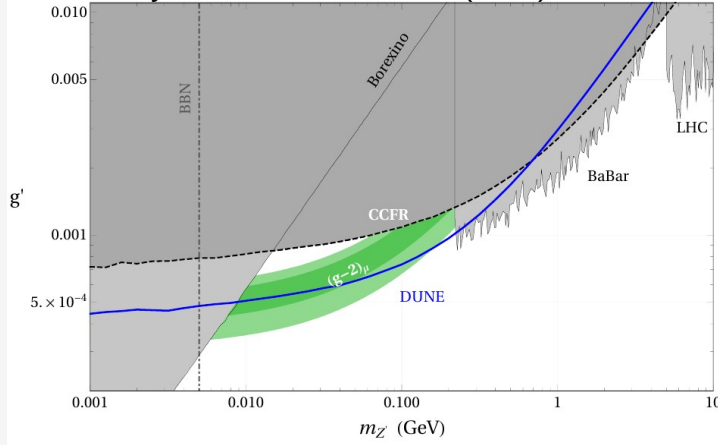


- DUNE Far Detector is sensitive to rare processes (nucleon decay,  $n$ - $\bar{n}$  oscillation, etc.) and new physics of cosmogenic origin
- Key strengths of DUNE:
  - Ability to detect low-energy particles (for iBDM, signal is a soft  $e/p$  and spatially proximate  $e^+/e^-$  pair)
  - Ability to reconstruct direction including hadrons (i.e. for BDM produced in Sun or Galactic Center)

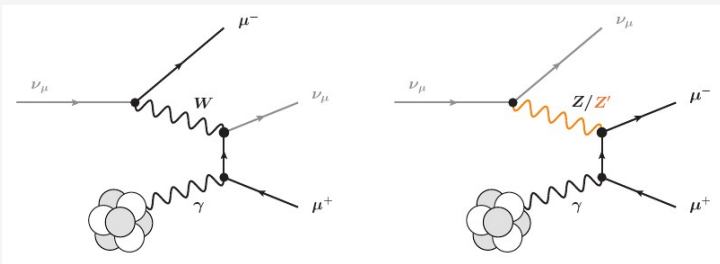


# BSM searches with the Near Detector

Phys. Rev. D 100, 115029 (2019)

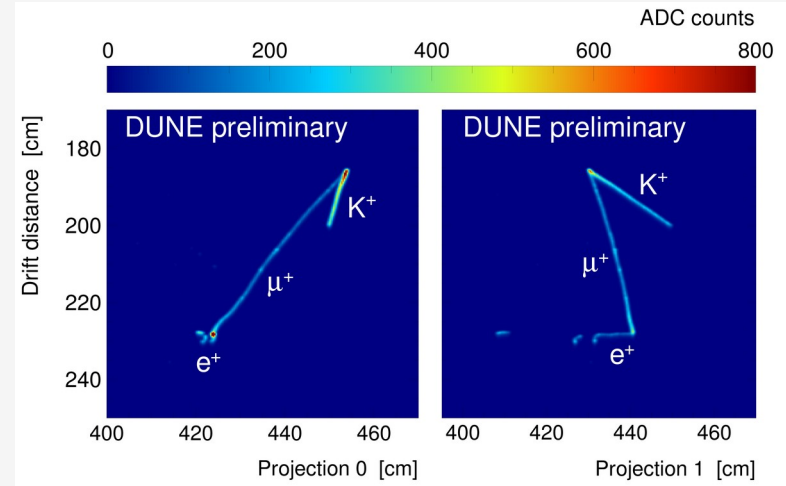
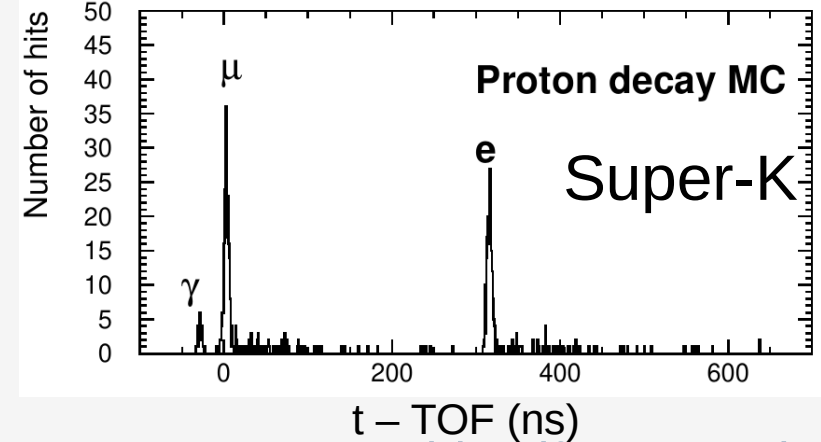


- DUNE Near Detector is sensitive to rare processes in the beamline (HNL, LDM) and to BSM contributions to neutrino interactions ( $\nu$  tridents)
- Key strengths of DUNE:
  - 120 GeV proton beam and very high intensity
  - LAr ND with 50-70t fiducial mass
  - Low density ND (SAND)  $\rightarrow$  increased S/B for decays in ND volume



# Nucleon decay $p \rightarrow K^+ \nu$

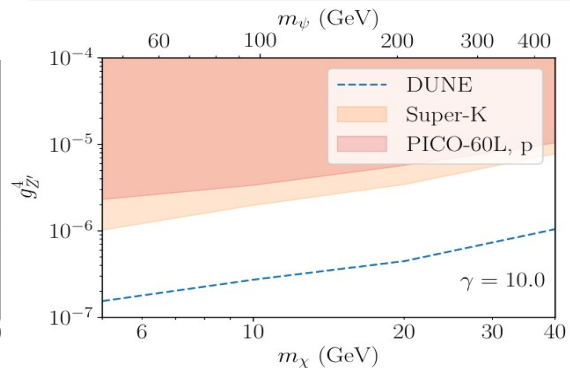
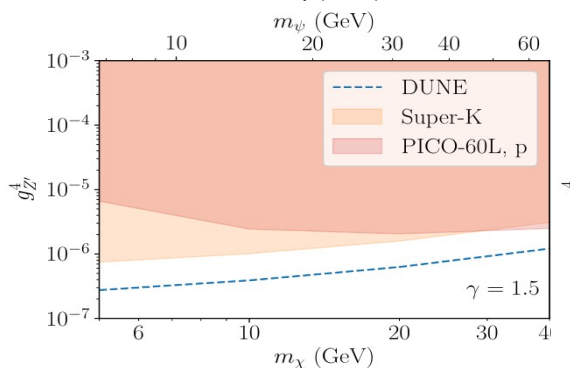
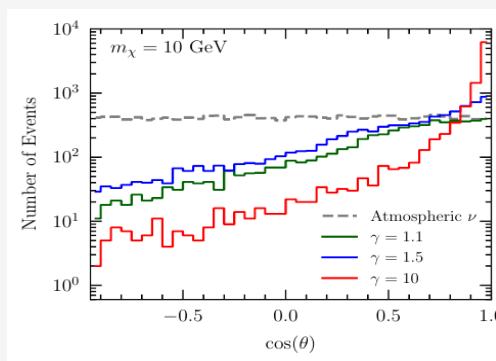
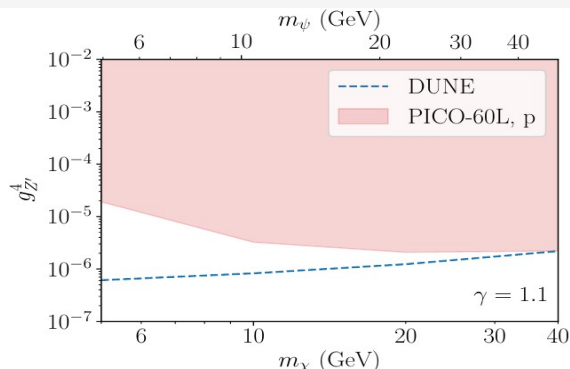
Phys. Rev. D 90, 072005 (2014)



- Hyper-K can identify  $p \rightarrow K^+ \nu$  by timing, and identification of monoenergetic muon from kaon decay, with sensitivity to  $\tau = 3 \times 10^{34}$  yrs
- DUNE can image all three particles, Phase II sensitivity beyond current Super-K limit
- If a signal is observed in Hyper-K it will be valuable to confirm the detection with a very different detector, different backgrounds, etc.

# Boosted dark matter from sun via hadronic channels

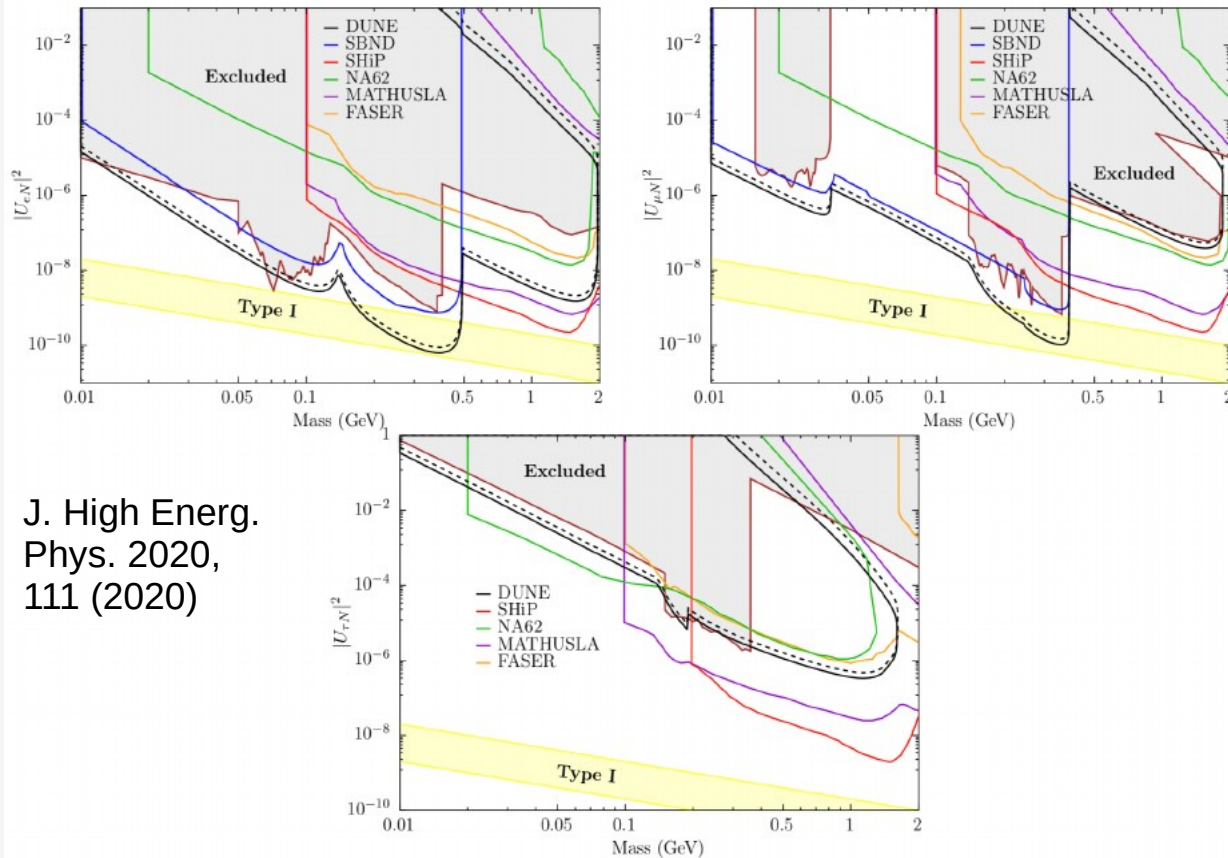
- $\chi N \rightarrow \chi X$  hadronic processes
- Reconstruct direction in DUNE FD LArTPC, point back to Sun
- Low hadron thresholds are critical  $\rightarrow$  at lower boost factors, SK/HK does not have sensitivity because protons are invisible
- DUNE can surpass current limits from PICO



Phys. Rev. D 103, 095012 (2021)



# Sensitivity to Heavy Neutral Leptons produced in beam, decay in ND

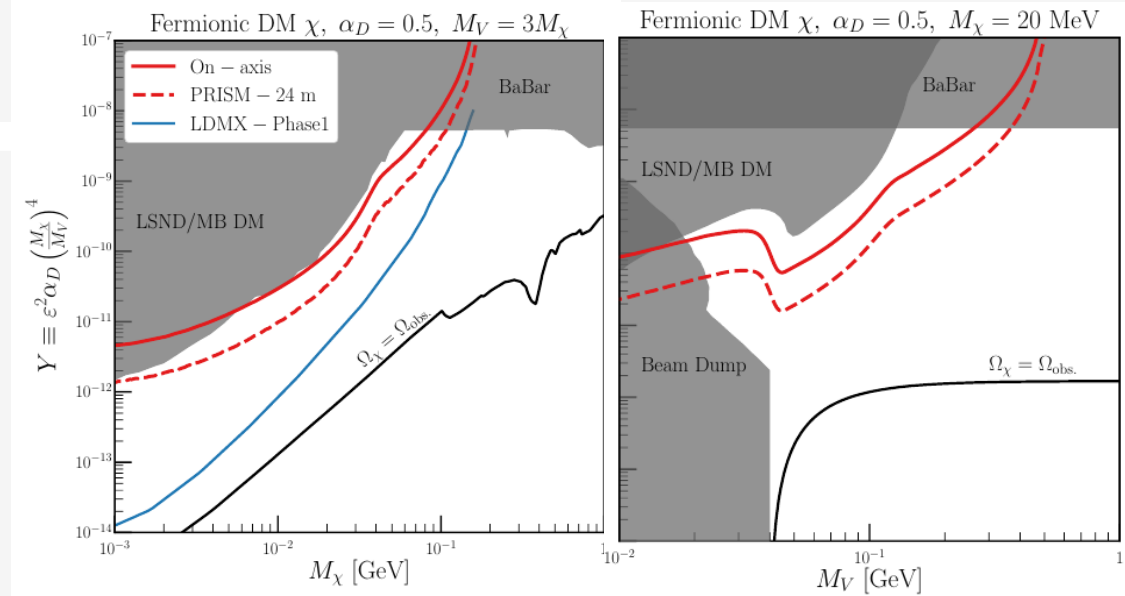


J. High Energy Phys. 2020, 111 (2020)

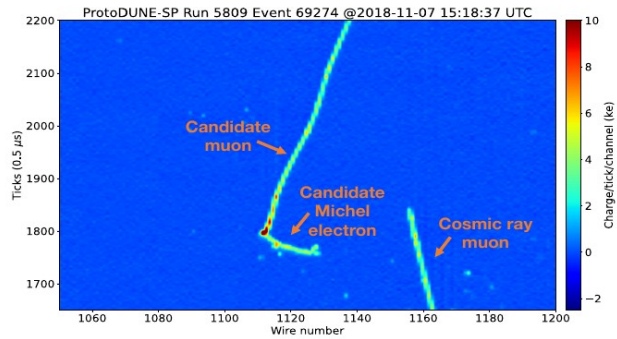
- $N \rightarrow \nu e e, \nu e \mu, \nu \mu \mu, \nu \pi^0, e \pi, \mu \pi$
- Assumes 22 MW-yrs and zero backgrounds
- Reaching zero background not demonstrated, may be possible with ND-GAr

# Light dark matter in beamline via $\chi$ -e

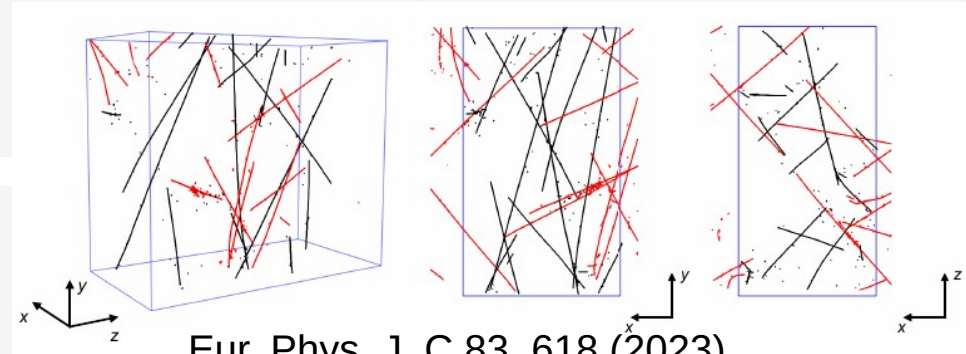
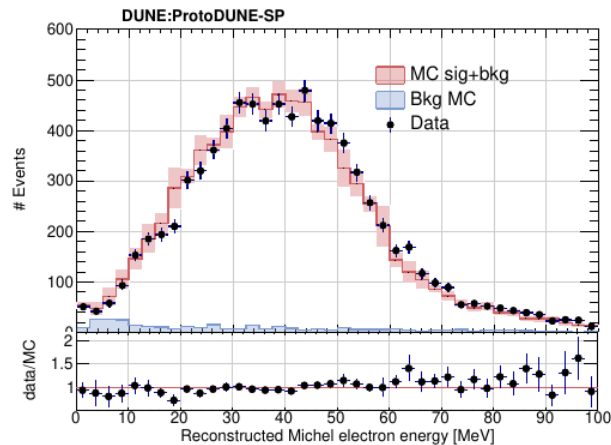
- $\chi e \rightarrow \chi e$  scattering in ND-LAr, from boosted DM produced in the beamline
- Backgrounds from  $\nu e \rightarrow \nu e$  have different spectrum
- DM and  $\nu$  have different dispersion, and looking at off-axis ND-LAr data improves the statistical separation
- Sensitivity at low mass is potentially world-leading



# ProtoDUNE-SP: performance papers published in 2023



Phys. Rev. D 107, 092012 (2023)

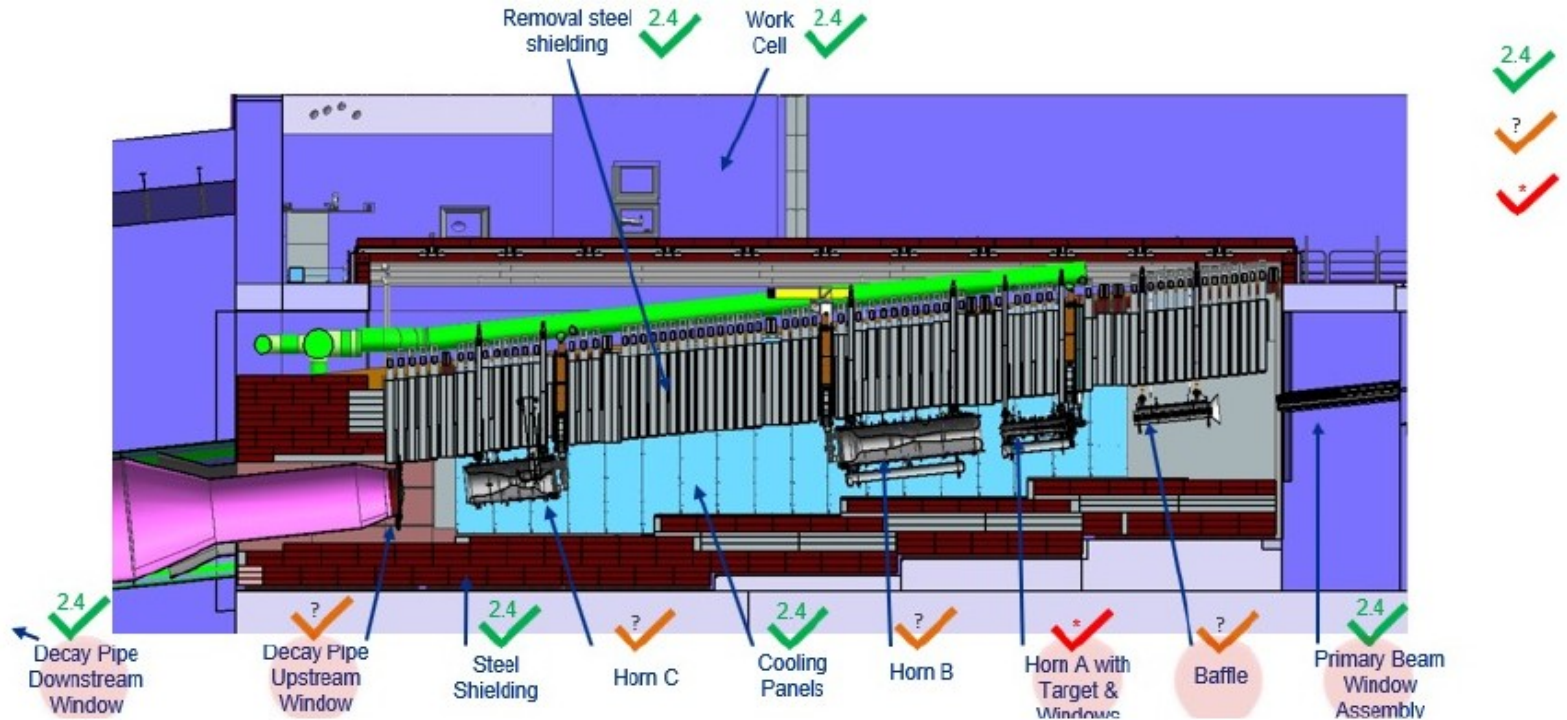


- Two ProtoDUNE reconstruction performance papers:
  - Identification and reconstruction of Michel electrons
  - Performance of Pandora for cosmics and beam particles

# Accelerator Complex Evolution: Main Injector Ramp & Target

Operation scenario	Present	PIP-II Booster			units
		PIP-II	ACE (a)	ACE (b)	
MI 120 GeV cycle time	1.13	1.2	0.9	0.7	s
Booster intensity	4.7	6.5			$10^{12}$ p
Booster ramp rate	15	20			Hz
MI power	0.96	1.2	1.7	2.1	MW
cycles for 8 GeV	6	12	6	2	
Available 8 GeV power	30	83	56	24	kW

# Accelerator Complex Evolution: Main Injector Ramp & Target



- Many beamline components are designed for 2.4 MW
- Others can likely be operated to 2 MW with minor modifications
- Target is the most critical component

# P5 report in the US strongly endorses DUNE Phase I & II

**Recommendation 1: As the highest priority independent of the budget scenarios, complete construction projects and support operations of ongoing experiments and research to enable maximum science.**

- b. The first phase of DUNE and PIP-II to open an era of precision neutrino measurements that include the determination of the mass ordering among neutrinos.

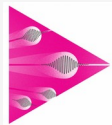
**Recommendation 2: Construct a portfolio of major projects that collectively study nearly all fundamental constituents of our universe and their interactions, as well as how those interactions determine both the cosmic past and future.**

- b. A re-envisioned second phase of DUNE with an early implementation of an enhanced 2.1 MW beam—ACE-MIRT—a third far detector, and an upgraded near-detector complex as the definitive long-baseline neutrino oscillation experiment of its kind

**Recommendation 4: Invest in a comprehensive initiative to develop the resources—theoretical, computational, and technological—essential to realizing our 20-year strategic vision. This includes an aggressive R&D program that, while**

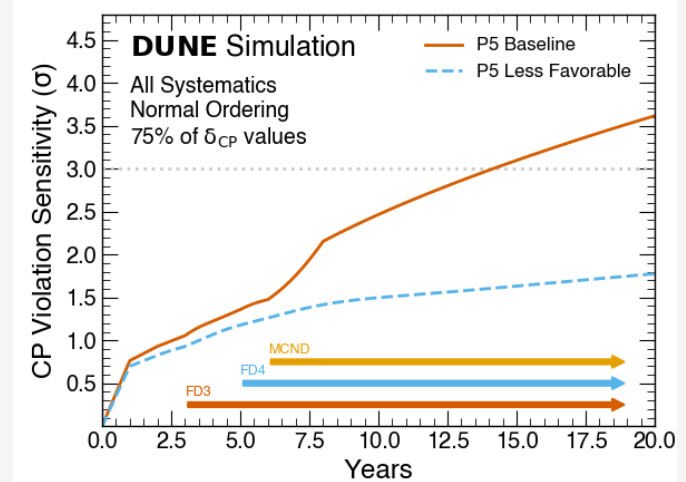
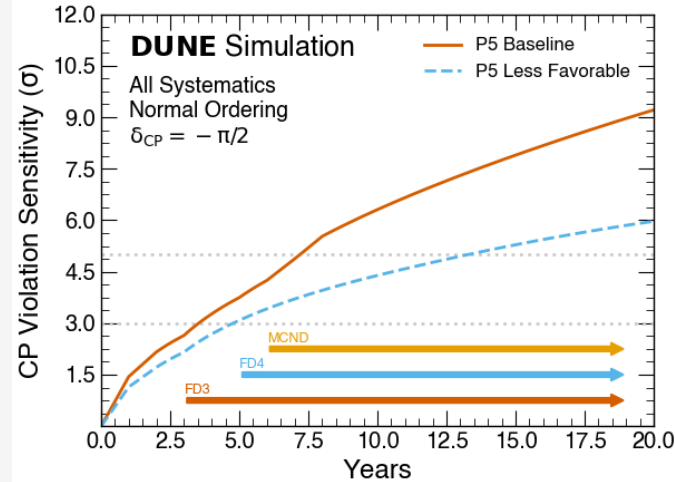
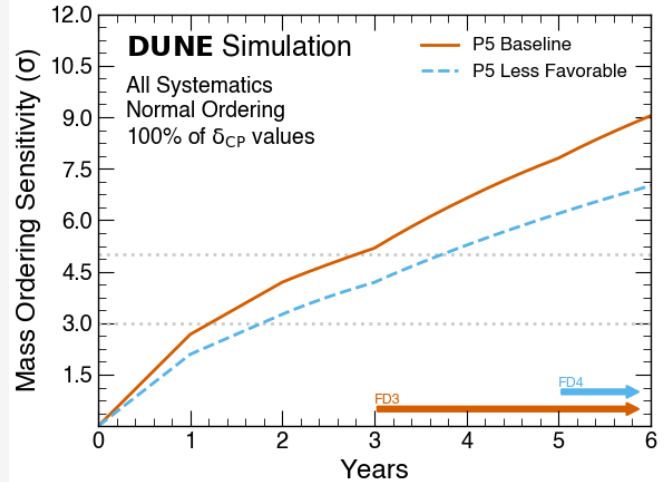
- e. Conduct R&D efforts to define and enable new projects in the next decade, including detectors for an  $e^+e^-$  Higgs factory and 10 TeV pCM collider, Spéc-S5. DUNE FD4. Mu2e-II, Advanced Muon Facility, and line intensity mapping

- During the next decade (2024-2034), P5 recommended:
  - Highest priority: Complete DUNE Phase I and begin operations
  - Implement ACE-MIRT accelerator/beamline upgrades before operations begin
  - Design and build FD3 and MCND
  - Perform R&D toward FD4



Elucidate the Mysteries  
of Neutrinos

# Importance of maintaining P5 baseline funding scenario



- Less favorable funding scenario includes only FD3, without ACE-MIRT accelerator upgrades, MCND
- Substantial delays to Phase I physics goals (MO and maximal CPV), and elimination of long-term precision program, including CPV over 75% of  $\delta_{CP}$  values