



# Super-light sterile neutrinos at Borexino and KamLAND

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## Abstract

The presence of a super-light sterile neutrino can lead to a dip in the survival probability of solar neutrinos, and explain the suppression of the upturn in the low energy solar neutrino data. In this work, we perform a systematical study of the propagation of solar neutrinos in the presence of a super-light sterile neutrino by taking into account of the non-adiabatic transitions and the coherence effect. In particular, we obtain an analytic equation that can predict the position of the dip. We also place constraints on the sterile neutrino parameter space in the 3+1 framework using the current Borexino and KamLAND data.

## Motivation

Current measurements of the smallest mass splitting among active neutrinos mainly come from the solar neutrino experiments and the medium-baseline reactor experiment at KamLAND. A combined fit of  $\Delta m_{21}^2$  from the solar data once yields a small tension against the KamLAND reactor neutrino data under the assumption of the CPT conservation. This tension can be alleviated by the presence of a super-light sterile neutrino [1]. Due to the tiny mass splitting between the active and sterile neutrinos, the level-crossing and non-adiabatic transitions have to be taken into account during the propagation of solar neutrinos, and may lead to a large modification to the solar neutrino survival probability. Also, if the mass splitting between the sterile and active neutrinos is sufficiently small, the coherence between different mass eigenstates plays an important role as solar neutrinos travel from the Sun to the Earth.

## Formalism

We consider the presence of a super-light sterile neutrino  $\nu_s$  in addition to the three active neutrinos. In the 3+1 framework, the Hamiltonian of solar neutrinos becomes

$$H_f = U \text{diag}\left(\frac{\Delta m_{01}^2}{2E_\nu}, 0, \frac{\Delta m_{21}^2}{2E_\nu}, \frac{\Delta m_{31}^2}{2E_\nu}\right) U^\dagger + V, \quad (1)$$

where  $E_\nu$  is the solar neutrino energy,  $\Delta m_{ij}^2$  the mass-squared differences, and the potential

$$V = \sqrt{2}G_F \text{diag}(0, N_e - N_n/2, -N_n/2, -N_n/2). \quad (2)$$

We parameterize the mixing matrix as  $U \equiv \text{diag}(1, U^{3\nu}) \cdot U_S$ , where  $U^{3\nu}$  is the standard three-neutrino mixing matrix, and the sterile mixing matrix is written as  $U_S = R_{01}(\theta_{01}, \delta_{01}) \cdot R_{02}(\theta_{02}, \delta_{02}) \cdot R_{03}(\theta_{03}, \delta_{03})$ . In general, the survival probability of solar neutrinos observed on the Earth can be written as

$$P_{ee} = \left| \sum_{i=0}^3 U_{ei} e^{-i\frac{\Delta m_{i0}^2}{2E_\nu} L_0} A_{ei} \right|^2, \quad (3)$$

where  $L_0$  is the distance between the Earth and the Sun.  $A_{ei}$  denotes the amplitude of the  $\nu_e \rightarrow \nu_i$  transition inside the Sun, and can be written as

$$A_{ei} = \sum_{\alpha=s,e,\mu,\tau} U_{i\alpha}^\dagger \psi_{e\alpha}^{\text{SS}}. \quad (4)$$

where  $\psi_{e\alpha}^{\text{SS}}$  is the amplitude of flavor transition  $\nu_e \rightarrow \nu_\alpha$  from the center of the Sun to the surface of the Sun, and can be obtained by solving an 8-dimensional ODE with a numerical library from GSL. In Fig. 1, we show the survival probabilities in the 3+1 framework calculated with the numerical method for different values of  $R_\Delta \equiv \Delta m_{01}^2 / \Delta m_{21}^2$ .

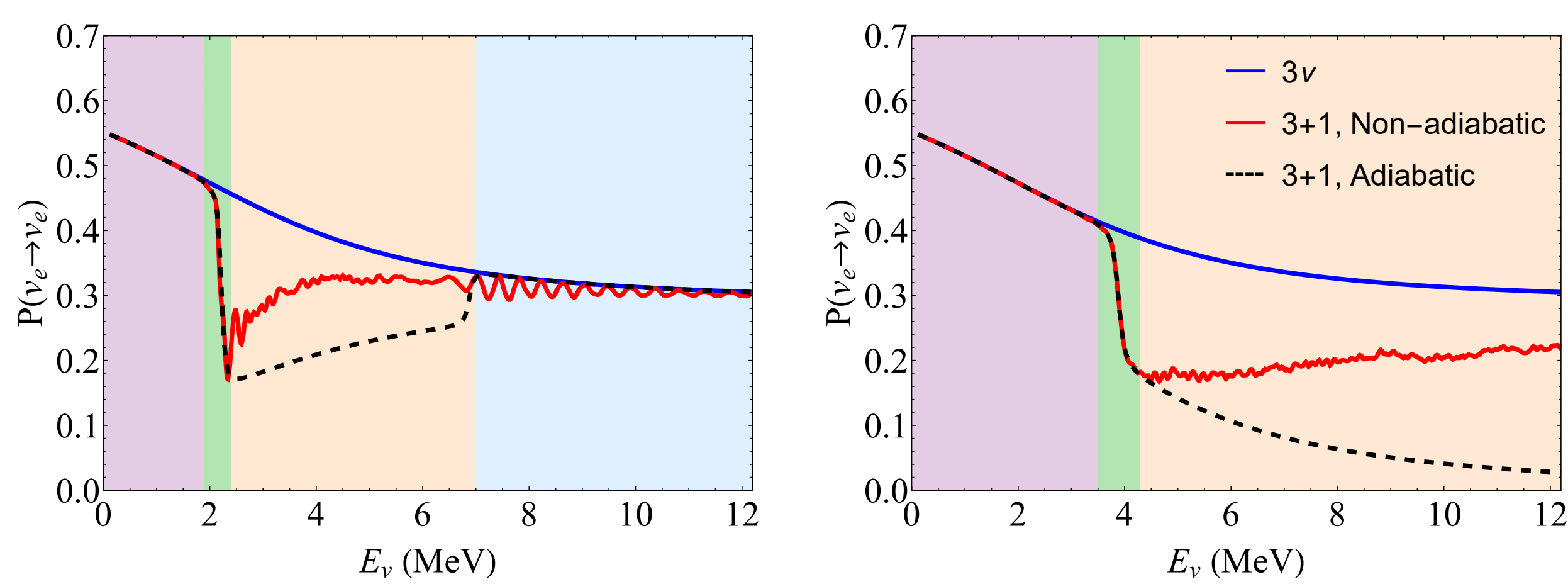


Figure 1. Survival probabilities of solar neutrinos as a function of neutrino energy for  $R_\Delta = 0.15$  (left) and  $1.20$  (right).

## Level crossing

We introduce  $\lambda_i$  to represent the first three eigenvalues of the Hamiltonian for convenience. Also, we take  $\lambda_2 > \lambda_0 > \lambda_1$  ( $\lambda_0 > \lambda_2 > \lambda_1$ ) for the case  $R_\Delta < 1$  ( $R_\Delta > 1$ ). The dependence of  $\lambda_i$  on the propagation distance inside the Sun is shown in Fig. 2. The left panel: (a)  $R_\Delta = 0.15$ ,  $E_\nu = 0.5$  MeV; The middle panel: (b)  $R_\Delta = 0.15$ ,  $E_\nu = 10$  MeV; The right panel: (c)  $R_\Delta = 1.20$ ,  $E_\nu = 10$  MeV. The mixing angle  $\theta_{01}$  is fixed to be  $\sin^2 2\theta_{01} = 5 \times 10^{-4}$ . The active-sterile resonance energy  $E_s$  can be determined by the resonance condition  $\lambda_0 = \lambda_1$  or  $\lambda_0 = \lambda_2$ .

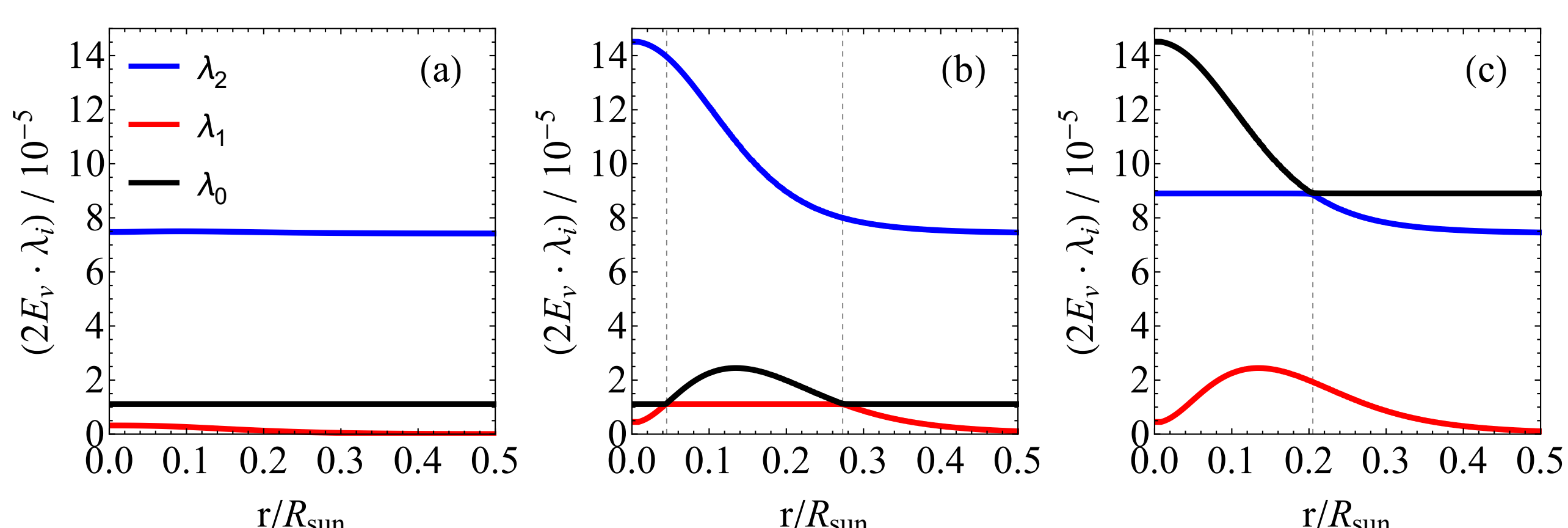


Figure 2. Three eigenvalues as a function of the propagation distance in the Sun.

## Non-adiabatic effects

At low energies, we have  $P_{ee} \approx P_{ee}^{3\nu} - |U_{e1}|^2 |U_{e0}^{M_0}|^2$ . When  $E_\nu$  becomes slightly larger than the first resonance energy  $E_{s1}$ ,  $\theta_{01}^{M_0}$  approaches  $\frac{\pi}{2}$  in the center of the Sun, which will trigger a dip in  $P_{ee}$ . As  $E_\nu$  increases, we have to take the non-adiabatic transition  $\nu_0^M \leftrightarrow \nu_1^M$  into account, and the presence of a nonzero phase between the transition amplitudes will cause small wiggles in  $P_{ee}$ . For  $R_\Delta < 1$ ,  $\nu_e$  produced in the center of the Sun basically consists of  $\nu_2^M$ , and the non-adiabatic transition  $\nu_0^M \leftrightarrow \nu_1^M$  hardly has a impact on the survival probability, which yields  $P_{ee}$  approaches  $P_{ee}^{3\nu}$  at high energies. For  $R_\Delta > 1$ ,  $\nu_e$  produced in the center of the Sun basically consists of  $\nu_0^{M_0}$ , which still causes a large discrepancy in  $P_{ee}$  at high energies. To illustrate the non-adiabatic effects, we plot  $|U_{e0}^{M_0}|^2$  and  $P_{ee}$  for different values of  $R_\Delta$ . The results are shown in the left and right panels of Fig. 3 for  $R_\Delta < 1$  and  $R_\Delta > 1$ , respectively.

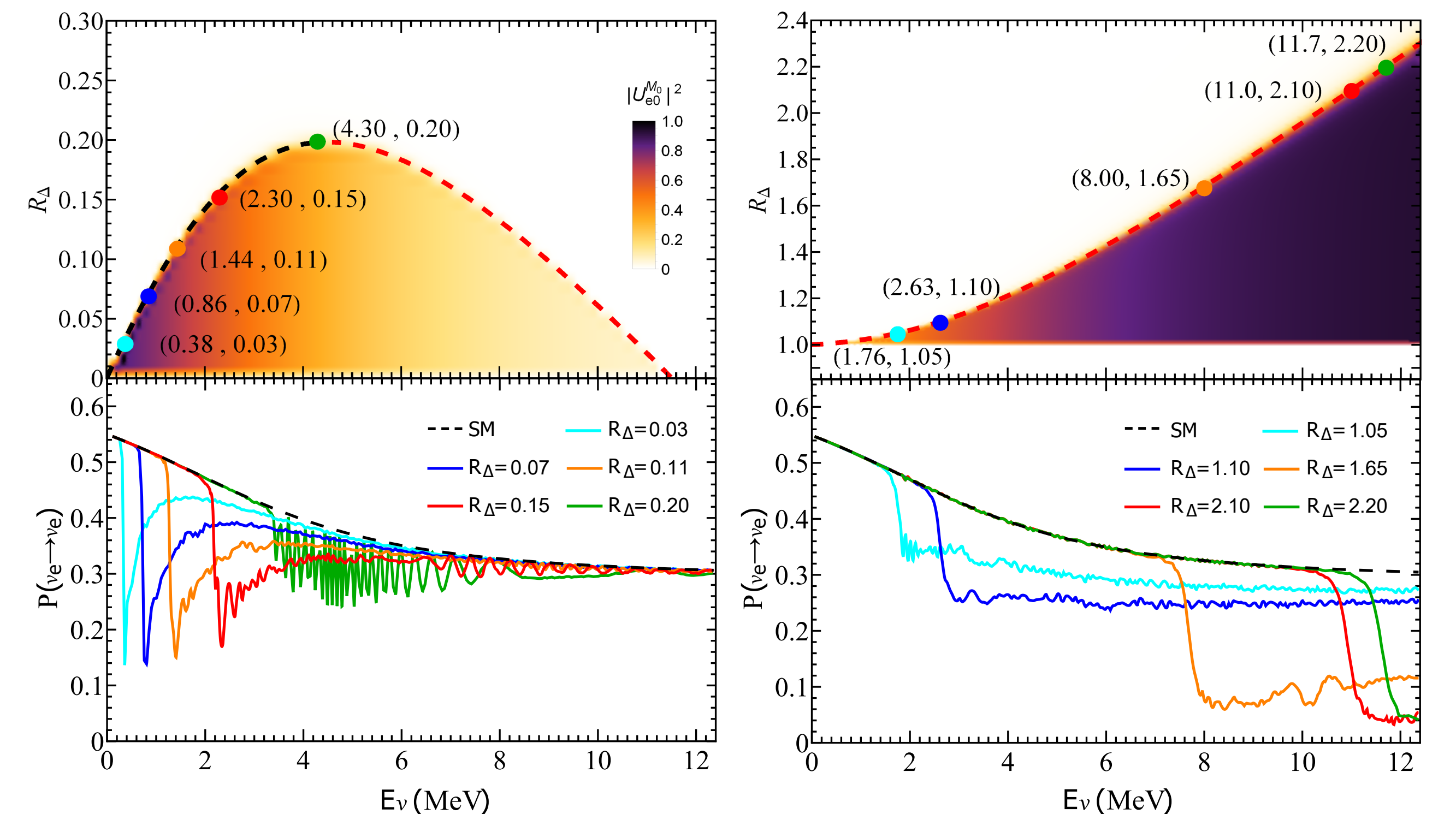


Figure 3. Upper panels:  $|U_{e0}^{M_0}|^2$  as a function of neutrino energy and  $R_\Delta$ . Lower panels: The survival probability as a function of neutrino energy for different values of  $R_\Delta$ .

## Constraints

Here we show the constraints on the sterile neutrino parameter space using current experimental data from Borexino and KamLAND for the case with only  $\theta_{01}$  being nonzero as an example. The 95% CL bounds on  $\sin^2 2\theta_{01}$  and  $\Delta m_{01}^2$  are shown in Fig. 4. In particular, we also present the separate bounds from the low and high energy solar neutrino data for comparison.

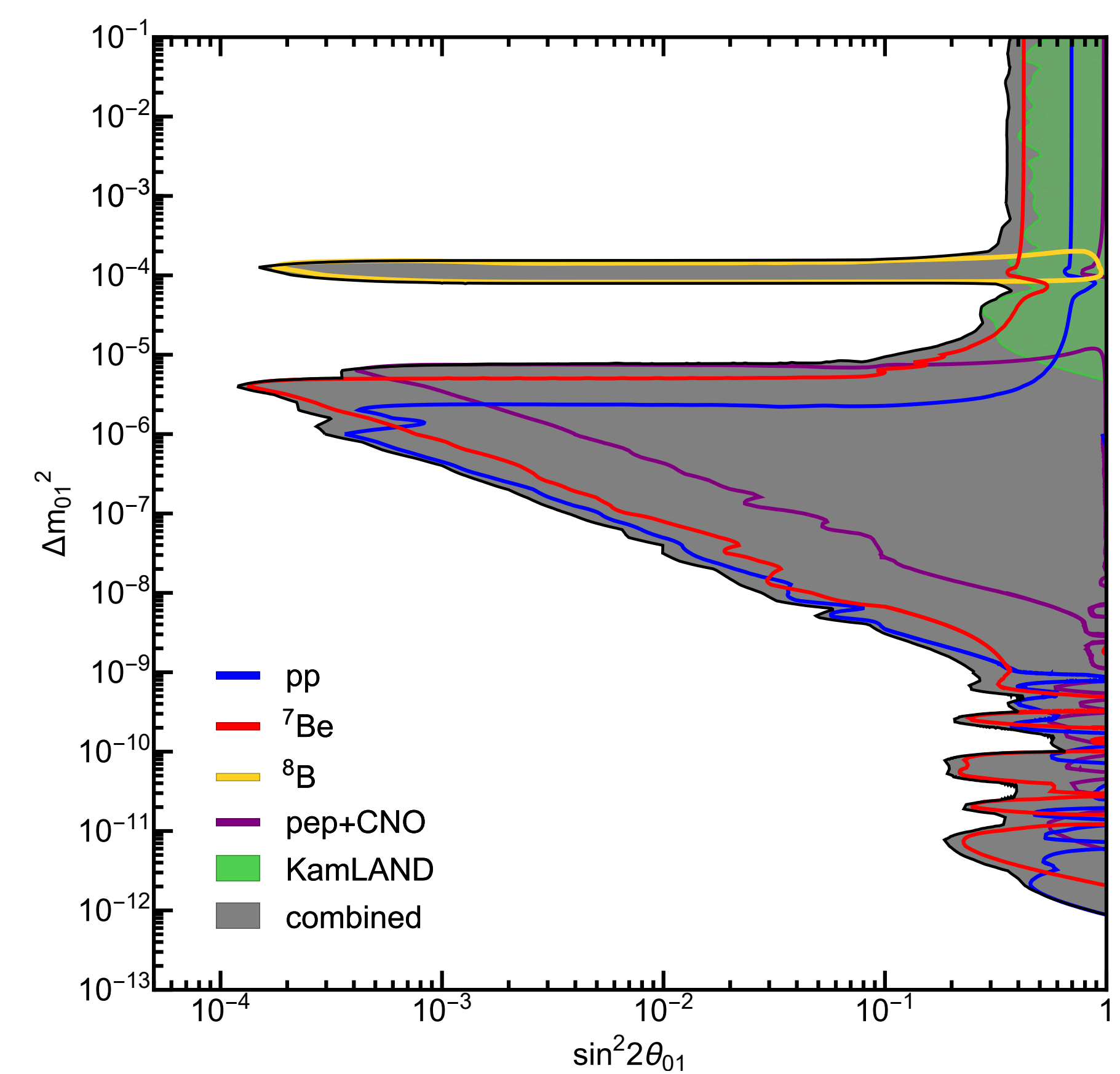


Figure 4. The 95% CL bounds in the  $(\sin^2 2\theta_{01}, \Delta m_{01}^2)$  plane.

## Conclusions

We find that the low and high energy solar neutrino data at Borexino are sensitive to different regions in the sterile neutrino parameter space. For the case with only  $\theta_{01}$  being nonzero, the  $^8\text{B}$  data sets the strongest bounds at  $\Delta m_{01}^2 \approx (1.1 \sim 2.2)\Delta m_{21}^2$ , while the low energy solar neutrino data is more sensitive to other mass-squared regions. The lowest bounds on  $\Delta m_{01}^2$  from the pp data can reach  $10^{-12} \text{ eV}^2$  because of the coherence effect. Also, due to the presence of non-adiabatic transitions, the bounds in the range of  $10^{-9} \text{ eV}^2 \lesssim \Delta m_{01}^2 \lesssim 10^{-5} \text{ eV}^2$  become weaker as  $\Delta m_{01}^2$  or  $\sin^2 2\theta_{01}$  decreases. We also find that in the case with only  $\theta_{02}$  or  $\theta_{03}$  being nonzero, the low energy solar neutrino data set similar but weaker bounds as compared to the case with only  $\theta_{01}$  being nonzero, but the bounds from the high energy solar data and the KamLAND data are largely affected by the nonzero mixing angles.

## References

- [1] P. C. de Holanda and A. Yu. Smirnov. Solar neutrino spectrum, sterile neutrinos and additional radiation in the Universe. *Phys. Rev. D*, 83:113011, 2011.