

Tritium spectrum modeling for keV-sterile neutrino search with KATRIN

Poster
P.260

A. Onillon, M. Descher, F. Edzards on behalf of the KATRIN collaboration
anthony.onillon@tum.de, martin.descher@kit.edu



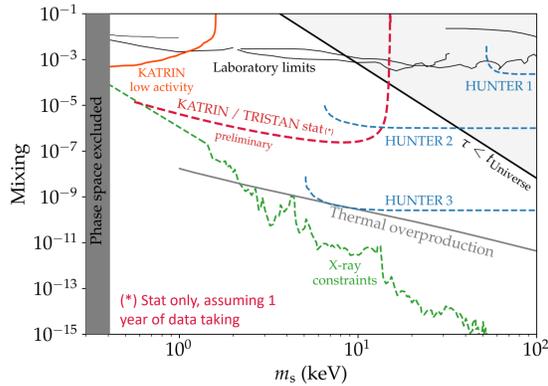
Motivation 1

- Overwhelming cosmological evidence for dark matter, but particular nature unknown
- Sterile keV neutrino:
 - hypothetical viable candidate through minimal extension of the SM [1]
 - no weak interaction, but mixing with active neutrinos

⇒ **Need for model-independent experiments across a wide mass range**

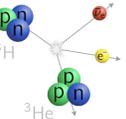
TRISTAN

- Future detector and DAQ system upgrade of the Karlsruhe TRITium Neutrino (KATRIN) experiment [2]
- Search for signature of heavy mass eigenstate mixing in tritium beta-decay spectrum
- Complementary to other projects



The KATRIN experiment 2

- Neutrino mass measurement (2019 → 2025)**
 - Signature: distortion near the endpoint of the tritium beta spectrum
 - Integral measurement with high-resolution spectrometer

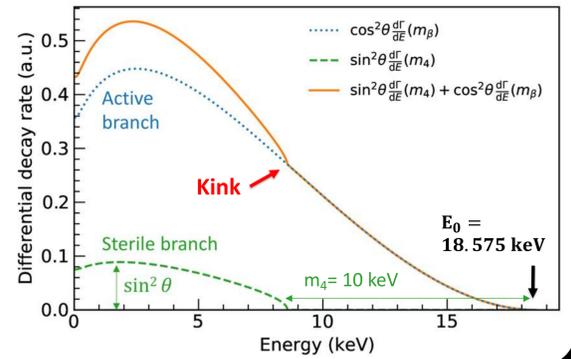


- keV-sterile neutrino search (from ~2026)**

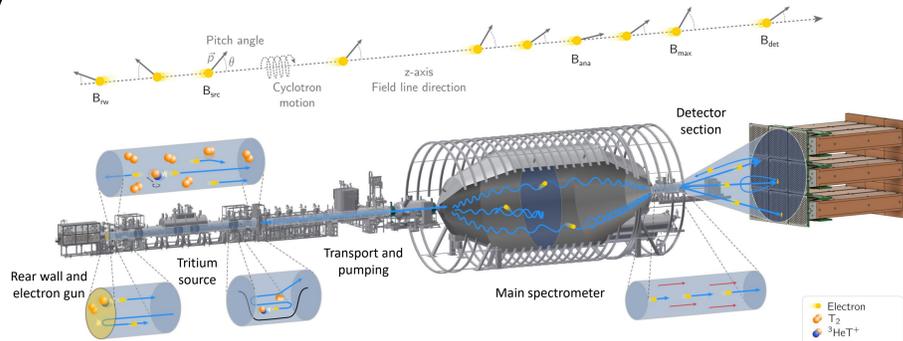
- Differential measurement; search in the entire tritium beta spectrum
- Signature: spectral distortion with kink-like feature

- Kink position: $E_{\text{kink}} = E_0 - m_s$
- Amplitude: $|U_{e4}|^2 = \sin^2 \theta$

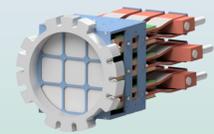
KATRIN target sensitivity:
 $\sin^2 \theta \approx 10^{-6}$



Experimental setup and tritium spectrum modeling 3



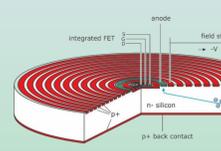
New detector system for high rate β -spectroscopy [3, 4, 5]



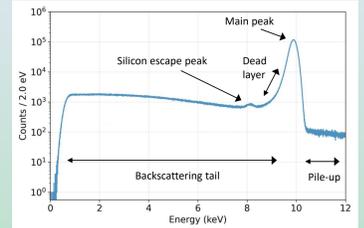
- 9 modules detector**
 - 166 pixel per module
 - ~1000 golden pixels
 - new detector chamber
 - dedicated DAQ system

Silicon drift detector (SDD)

- good energy resolution: 300 eV @20 keV
- handling of high rate: $>10^5$ cps/pixel
- large area coverage: $\sim 20 \times 20$ cm²



Geant4 simulation of 10 keV e⁻



Theoretical beta spectrum (Fermi theory)

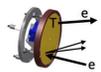
$$\frac{d\Gamma(E; m_s)}{dE} \propto C \cdot F(Z, E) \cdot (E + m_e) \cdot p \cdot (E_0 - E) \cdot \sum_i |U_{ei}|^2 \sqrt{(E_0 - E)^2 - m_{\beta_i}^2}$$

- $F(Z, E)$: Fermi function
- U_{ei} : mixing between the electron neutrino and the i -th neutrino mass eigenstate
- m_{β_i} : mass of the i -th mass eigenstate

Experimental effects

Gold rear wall

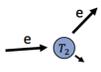
- Backscattering
- Surface activity



⇒ *Geant4 simulations*

Source

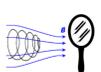
- Scattering
- Stability
- Magnetic traps



⇒ *Analytical description + MC*

Transport

- Magnetic mirror
- Magnetic collimation
- Synchrotron radiation



⇒ *Analytical description*

Spectrometer

- Retarding potential
- HV stability
- Adiabatic transport



⇒ *Analytical description*

Detector section

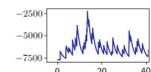
- Post-acceleration
- SDD response
- Backscattering, backreflection



⇒ *Geant4 + KASSIOPEIA simulations*

DAQ

- Threshold
- Electronics noise
- Pileup, non-linearities

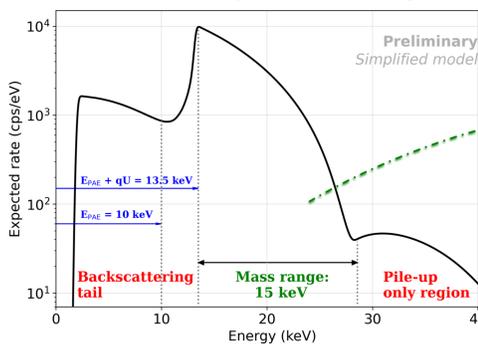


⇒ *Analytical description*

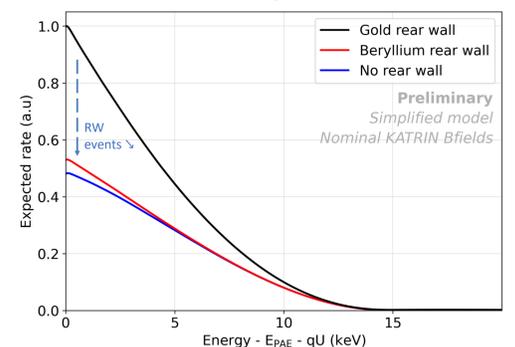
Tritium model at the detector:

Using a theoretical tritium beta spectrum, all experimental effects are accounted for through precalculated response matrix libraries.

Predicted spectrum – full range



Predicted spectrum – ROI



Most significant experimental effects accounted for in the model - model refinement in progress

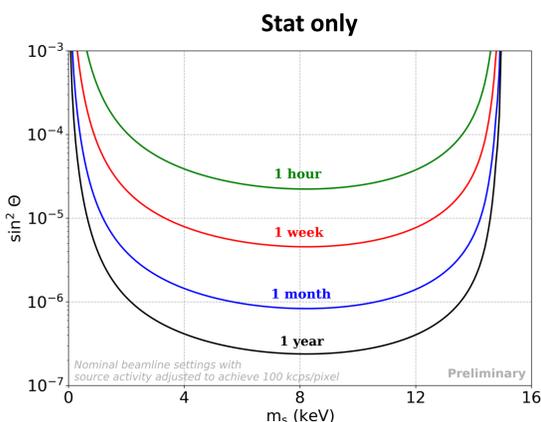
⇒ Model suitable for sensitivity study

⇒ **Dominant systematic effect: rear wall events. Mitigable with new material (Au → Be) and optimized magnetic fields → contribution of < 1% in ROI**

keV-sterile neutrino sensitivity 4

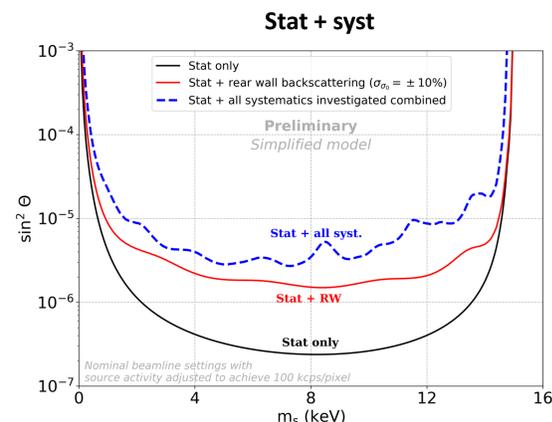
- Grid scan method: χ^2 test between the model with and without the sterile admixture
- Sensitivity @95% CL
- Statistical and systematic uncertainties accounted for via covariance matrices

Nominal beamline settings = beamline settings of ν -mass measurement but $qU = 3.5$ kV



⇒ $\sim 2 \times 10^{-7}$ reachable in 1 year at the center of the mass range

⇒ **Ongoing simulation and R&D efforts to mitigate impact of rear wall events and other systematic effects**



- Systematics dominated by rear wall events
- Systematic effects reduce the statistical sensitivity by at least one order of magnitude

Summary 5

- ❖ KATRIN transitions from absolute neutrino mass measurements to keV sterile neutrino search using tritium β -decay spectrum
- ❖ New TRISTAN detector and readout system commissioning planned for early 2026
- ❖ Sensitivity goal: $\sin^2 \theta \approx 10^{-6}$
- ❖ Sensitivity limited by systematic uncertainties → simulation and R&D efforts to mitigate their impact

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References

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- 2] S. Mertens et al., A novel detector system for KATRIN to search for keV-scale sterile neutrinos, Journal of Phys. G, 46-6, (2019)
- 3] S. Mertens et al., Characterization of Silicon Drift Detectors with Electrons for the TRISTAN Project, Journal of Phys. G, 48-015008 (2021)
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- 5] D. Siegmann et al., Development of a Silicon Drift Detector Array to Search for keV-scale Sterile Neutrinos with the KATRIN Experiment, arXiv:2401.14114 (2024)