Open-Closed Duality in String Field Theory



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Collaborators: A. Ruffino (Torino), J. Vosmera (ETH, now @ Saclay)

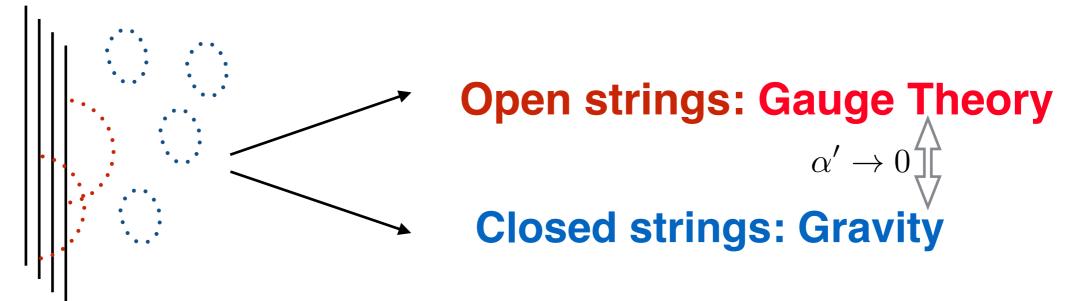
JHEP 10 (2022) 173, JHEP 08 (2023) 145, JHEP 09 (2023) 119 + work in progress

4th meeting of the PRIN Network

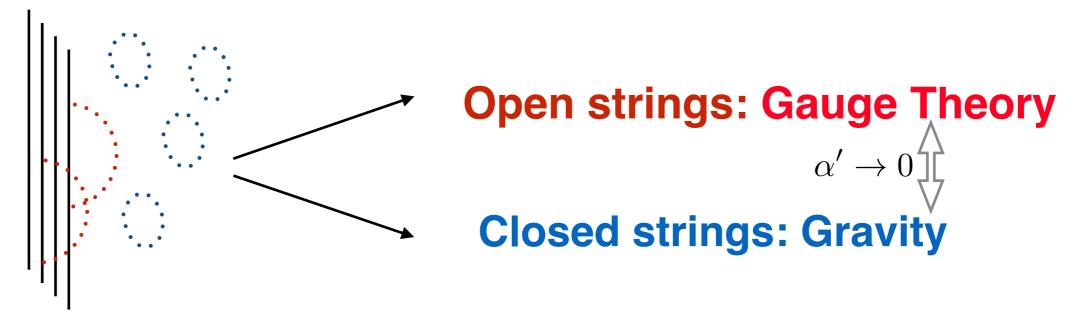
"String Theory as a bridge between Gauge Theories and Quantum Gravity"

Roma, La Sapienza, 20 October 2023

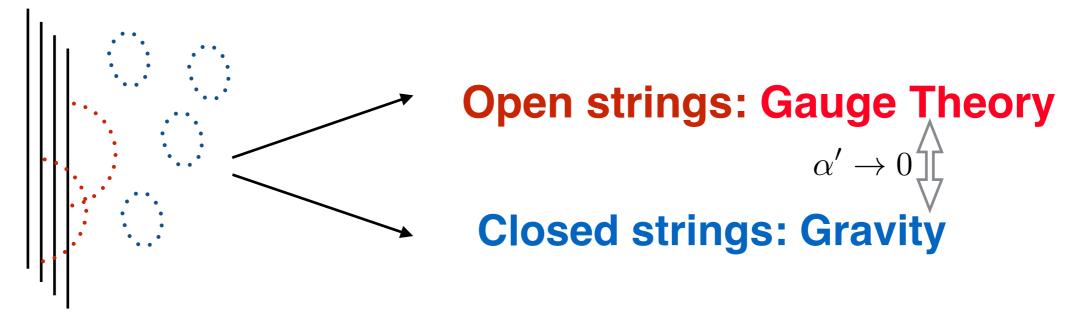
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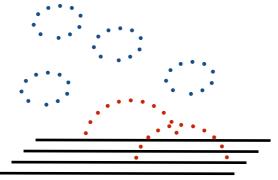


 Open-Closed duality is roughly the statement that the same physical process can be described from an open or a closed string perspective String Theory gives important tools to better understand QFT and Gravity (*This is the goal of our PRIN!*)

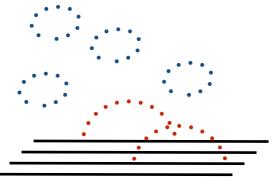


- Open-Closed duality is roughly the statement that the same physical process can be described from an open or a closed string perspective
- I have always found this statement a bit confusing and perhaps imprecise, so I tried to understand it in a different way...
 Use String Field Theory!

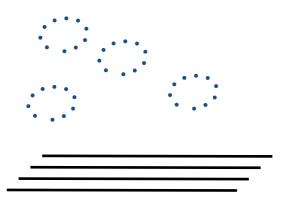
 Start with a 'Master Theory' containing both open and closed strings. Open-Closed SFT



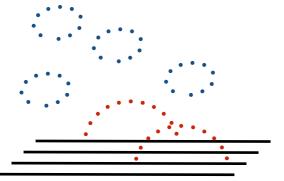
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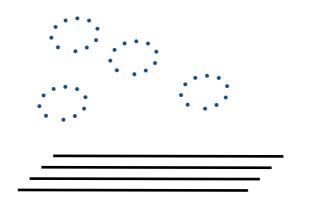
 Integrate out the open string degrees of freedom. D-branes remain as sources. Unstable Closed SFT.



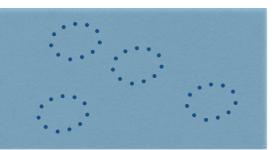
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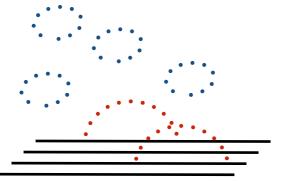
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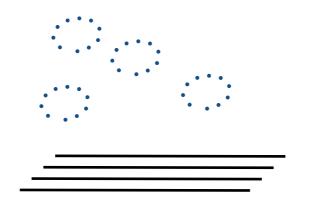
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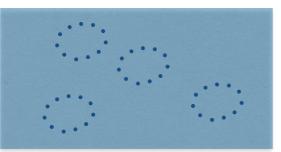
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New closed string theory without D-branes!

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- Can we provide examples where this program is succesful? (no obstructions)

What is Open-Closed SFT?

(Zwiebach '92-'97)

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 The full interacting action has a topological decomposition in genus + boundaries

$$S(\Phi, \Psi) = \sum_{g=0}^{\infty} \sum_{b=0}^{\infty} S_{g,b}(\Phi, \Psi) \qquad \qquad S_{g,b}(\Phi, \Psi) = -g_s^{2g-2+b} \sum_{k,l_i} \mathcal{V}_{g,b}^{k,\{l_i\}}(\Phi^{\wedge k}; \Psi^{\otimes l_1}, \cdots, \Psi^{\otimes l_b})$$

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$$(S,S)+2\Delta S=0$$

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$$\begin{split} \overline{g=0} \ \overline{b=0} \\ \Delta_{\rm c} &= \frac{1}{2} g_s^2 (-1)^{d(\phi^i)} \omega_{\rm c}^{ij} \frac{\overrightarrow{\partial}}{\partial \phi^i} \frac{\overrightarrow{\partial}}{\partial \phi^j} \\ \Delta_{\rm o} &= \frac{1}{2} g_s (-1)^{d(\psi^i)} \omega_{\rm o}^{ij} \frac{\overrightarrow{\partial}}{\partial \psi^i} \frac{\overrightarrow{\partial}}{\partial \psi^j} \end{split}$$

• Geometrically

 $(\cdot,$

$$\begin{split} (S_{g_1,b_1},S_{g_2,b_2})_{\mathbf{c}} &\in \Sigma_{g_1+g_2,b_1+b_2} \\ (S_{g_1,b_1},S_{g_2,b_2})_{\mathbf{o}} &\in \Sigma_{g_1+g_2,b_1+b_2-1} \,, \\ &\Delta_{\mathbf{c}}S_{g,b} \in \Sigma_{g+1,b} \,, \\ &\Delta_{\mathbf{o}}^{(1)}S_{g,b} \in \Sigma_{g,b+1} \,, \\ &\Delta_{\mathbf{o}}^{(2)}S_{g,b} \in \Sigma_{g+1,b-1} \,, \quad b \geq 2 \end{split}$$

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• Notice that $S_{0,0}(\Phi)$ and $S_{0,1}(0,\Psi)$ satisfy classical master equations. They are classical closed SFT and classical open SFT respectively. *Other classical limits?*?

 We can isolate the genus zero sector (classical closed strings) which obeys the master equation

 $(S_0, S_0)_{\rm c} + (S_0, S_0)_{\rm o} + 2\Delta_{\rm o}^{(1)}S_0 = 0$. $S_0 \equiv \sum_{b=0}^{\infty} S_{0,b}$

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- But it is not possible to also constrain the open strings to be classical (b=1)

$$\begin{split} b &= 0: \quad (S_{0,0}, S_{0,0})_{c} = 0, \\ b &= 1: \quad 2(S_{0,0}, S_{0,1})_{c} + (S_{0,1}, S_{0,1})_{o} = 0, \\ b &= 2: \quad (S_{0,1}, S_{0,1})_{c} + 2(S_{0,0}, S_{0,2})_{c} + 2(S_{0,1}, S_{0,2})_{o} + 2\Delta_{o}^{(1)}S_{0,1} = 0. \\ &\vdots \end{split}$$

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• How to focus on this simplified sector? Take a *Large N* number of initial D-branes!

 Open string fields are N x N matrices and the inner product has an understood trace. Use a normalized trace (finite in the large N limit)

$$\Psi = \psi_{ij}^a t^{ij} o_a, \qquad (t^{ij})_{pq} = \delta_p^i \delta_q^j$$
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• The O-C SFT action rearranges in a double expansion

$$S_{\rm oc}[\Phi,\Psi] = \sum_{g=0}^{\infty} \sum_{b=0}^{\infty} \kappa^{2g+b-2} N^b \sum_{k=0}^{\infty} \sum_{\{l_1,\dots,l_b\}=0}^{\infty} \frac{1}{b!k!(l_1)\cdots(l_b)} \mathcal{A}'^{g,b}_{k;\{l_1,\dots,l_b\}} \left(\Phi^{\wedge k} \otimes' \Psi^{\odot l_1} \wedge' \dots \wedge' \Psi^{\odot l_b}\right) \\ = \sum_{g=0}^{\infty} \kappa^{2g-2} \sum_{b=0}^{\infty} \lambda^b \sum_{k=0}^{\infty} \sum_{\{l_1,\dots,l_b\}=0}^{\infty} \frac{1}{b!k!(l_1)\cdots(l_b)} \mathcal{A}'^{g,b}_{k;\{l_1,\dots,l_b\}} \left(\Phi^{\wedge k} \otimes' \Psi^{\odot l_1} \wedge' \dots \wedge' \Psi^{\odot l_b}\right),$$

 Open string fields are N x N matrices and the inner product has an understood trace. Use a normalized trace (finite in the large N limit)

$$\Psi = \psi_{ij}^a t^{ij} o_a, \qquad (t^{ij})_{pq} = \delta_p^i \delta_q^j$$
$$\operatorname{Tr}'[M] \coloneqq \frac{1}{N} \operatorname{Tr}[M]$$

• As well as normalized amplitudes

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• 't Hooft coupling obviously emerges

$$\lambda \coloneqq \kappa N, \qquad \kappa^{2g-2} \lambda^b = \frac{1}{N^{2g-2}} \lambda^{2g+b-2},$$

• In the large N limit (at fixed 't Hooft coupling) only the genus zero part of the action contributes, spheres with many holes, counted by λ .

$$\begin{split} \lim_{N \to \infty} \frac{1}{N^2} S_{\mathrm{oc}}[\Phi, \Psi] = &\sum_{b=0}^{\infty} \lambda^{b-2} \sum_{k=0}^{\infty} \sum_{\{l_1, \dots, l_b\}=0}^{\infty} \frac{1}{b! k! (l_1) \cdots (l_b)} \mathcal{A}'_{k;\{l_1, \dots, l_b\}}^{g=0, b} \left(\Phi^{\wedge k} \otimes' \Psi^{\odot l_1} \wedge' \dots \wedge' \Psi^{\odot l_b} \right), \\ \coloneqq &S_{\mathrm{pl}}[\Phi, \Psi], \end{split}$$

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• At the same time the basic (quantum) BV structures get rescaled

$$\Delta_{\rm c} \sim \frac{\lambda^2}{N^2} \qquad \qquad \Delta_{\rm o} \Big|_{\rm different \ boundaries} \coloneqq \Delta_{\rm o}^{(2)} \sim \frac{\lambda}{N^2} \qquad \qquad \Delta_{\rm o} \Big|_{\rm same \ boundary} \coloneqq \Delta_{\rm o}^{(1)} \sim \lambda,$$

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• Classical closed strings + quantum (but planar) open strings.

What does it mean to INTEGRATE OUT open strings?

• Perform the perturbative (BV) path integral on open strings with a gauge fixing

$$\int \mathcal{D}\Psi e^{-S_{\rm pl}(\Phi,\Psi)} \Big|_{h_o\Psi=0} = e^{-S_{\rm eff}(\Phi)} \qquad [Q_o,h_o] = 1_o$$

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CODERIVATIONS (encode interactions+kinetic)

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$$\mathcal{G} \coloneqq e^{\wedge \Phi} \otimes' e^{\wedge' \mathcal{C}(\Psi)}$$
$$= \left[\sum_{k \ge 0} \frac{1}{k!} \Phi^{\wedge k} \right] \otimes' \left[\sum_{b \ge 0} \frac{1}{b!} \left(\sum_{l_1 \ge 0} \frac{1}{(l_1)} \Psi^{\odot l_1} \right) \wedge' \cdots \wedge' \left(\sum_{l_b \ge 0} \frac{1}{(l_b)} \Psi^{\odot l_b} \right) \right]$$

GROUP ELEMENT (encode the fields)

$$S_{
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This only creates planar open string loops!

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• Essentially this is resumming all amplitudes with external closed strings with arbitrary intermediate open strings.

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 Closed string off-shell amplitudes on g=0 Riemann surfaces with boundaries, with moduli-space integration carried over all the way down to open string degeneration, but still cut-off at closed string degeneration.

Obstructions to Integrating out open strings

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- Way out? ASSUME that the open string cohomology does not propagate. Strong constraint on the chosen string background!
- Amazingly this precisely happens in *minimal string theory* and in the *topological string*, perhaps in other scenarios as well.

What is an UNSTABLE Closed SFT?

 Assuming we safely survived the open string integration-out, let's then have a closer look at the obtained closed SFT

$$S_{\text{eff}}(\Phi) = \sum_{b=0}^{\infty} \lambda^{b-2} \sum_{k=0}^{\infty} \frac{1}{b!k!} \mathcal{A}''_{k}^{g=0,b} \left(\Phi^{\wedge k} \right),$$
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 Closed string emission from surfaces with boundaries (disk, annulus etc...) controlled by the 't Hooft coupling!

What does it mean to absorb the sources to end up with a STABLE Closed SFT?

• As usual in (quantum) field theory we have to search for a new vacuum with stable fluctuations. The tadpole is a source term, solve the sourced equation of motion!

$$\sum_{k=1}^{\infty} \frac{1}{k!} \tilde{l}_k(\Phi^{\wedge k}) = -\tilde{l}_0$$

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• This seems daunting, but we can work perturbatively in the 't Hooft coupling

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• As usual in (quantum) field theory we have to search for a new vacuum with stable fluctuations. The tadpole is a source term, solve the sourced equation of motion!

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• Notice in particular that $\tilde{l}_0^{(1)}$ is essentially the **boundary state**.

$$\Phi_1 = -\frac{b_0^+}{L_0^+} \tilde{l}_0^{(1)}$$

÷ .

Cfr Di Vecchia et al '97, Sen '04

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• Everything is doable by working perturbatively in the 't Hooft coupling

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- At $O(\lambda)$ this is fully analogous to the formation of the Newton/Coulomb potential out of a point like charge (which is obstructed if the transverse space is compact)
- At higher order I don't know precisely, but it is clear that this has to do with large distance effects (IR structure).

Example: FZZT branes in the (2,1) Minimal String Theory

Di Francesco, Ginsparg, Zinn-Justin '95 +(....)

It is a peculiar bosonic non-critical string. (p,q) minimal model + Liouville +
 bc ghosts

$$c_{p,q} = 1 - 6 \frac{(p-q)^2}{pq}, \quad c_{\text{Liouv}} = 26 - c_{p,q}, \quad c_{bc} = -26$$

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$$\{\mathcal{O}_{2m+1}\}, \ m = 0, 1, 2, \cdots$$
$$\log \mathcal{Z}^{closed}(g_s, t_n) = \sum_{g=0}^{\infty} g_s^{2g-2} \langle \exp(\sum_{n \text{ odd}} t_n \mathcal{O}_n) \rangle_g$$

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- In this way we can move in (2, p) bulk moduli space. (SFT:continuous solutions
 of pure closed SFT initially formulated at the (2, 1) point).
- This is the closed string side of the story.

- Instead of deforming the bulk with the $\{O_{2k+1}\}$, we can add special D-branes: FZZT branes

$$|\mathcal{B}(z)\rangle = |\mathcal{B}_{\Theta}^{\text{Dirichlet}}\rangle \otimes |\text{FZZT}(\mu_B = z) \otimes |\mathcal{B}_{ghost}\rangle$$

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• Gaiotto Rastelli: placing a large N number of FZZT branes with open string moduli $\{z_i\}$ is *the same* as deforming the pure (2,1) closed string background

$$\mathcal{Z}^{open}(g_s, \{z_i\}) = \mathcal{Z}^{closed}\left(g_s, \left\{t_k = g_s \sum_i \frac{1}{k \, z_i^k}\right\}\right)$$

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• So this looks like a perfect playground for testing our picture. Are the open and closed obstructions avoided??

$$|T_{ij}\rangle \equiv e^{b\phi}c_1|0\rangle_{ij}$$

• On the FZZT there are `physical' open string states

$$|T_{ij}\rangle \equiv e^{b\phi}c_1|0\rangle_{ij}$$

 Although these are formally in the open string cohomology, the structure of the theory (DOZZ formula) is such that they are never produced as internal states! They only exists as `external' states! NO OPEN STRING OBSTRUCTIONS

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- Also the physical closed string states are `external'. They cannot propagate inside a diagram. —->NO CLOSED STRING OBSTRUCTIONS
- Indeed we find (work in progress!) that the vacuum shift solution gives rise to the same partition function that the marginal solution

• All in all we thus expect

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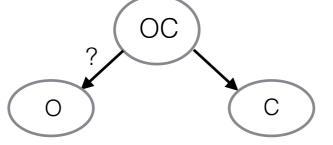
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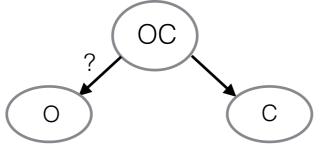
SPHERE PARTITION FUNCTION (GAIOTTO RASTELLI)

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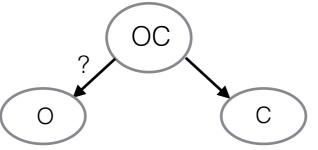


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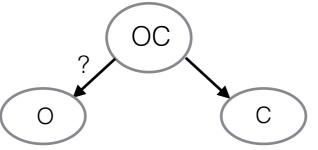
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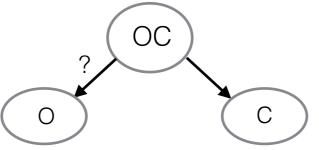
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