# Open-Closed Duality 

## in <br> String Field Theory



# Collaborators: A. Ruffino (Torino), J. Vosmera (ETH, now @ Saclay) 

$$
\begin{aligned}
& \text { JHEP } 10 \text { (2022) 173, } \\
& \text { JHEP } 08 \text { (2023) 145, } \\
& \text { JHEP } 09 \text { (2023) } 119 \\
& \text { + work in progress }
\end{aligned}
$$

4th meeting of the PRIN Network
"String Theory as a bridge between Gauge Theories and Quantum Gravity"
Roma, La Sapienza, 20 October 2023

- String Theory gives important tools to better understand QFT and Gravity (This is the goal of our PRIN!)


Open strings: Gauge Theory


Closed strings: Gravity

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- Open-Closed duality is roughly the statement that the same physical process can be described from an open or a closed string perspective
- String Theory gives important tools to better understand QFT and Gravity (This is the goal of our PRIN!)



## Open strings: Gauge Theory $\alpha^{\prime} \rightarrow 0$ Gravity

- Open-Closed duality is roughly the statement that the same physical process can be described from an open or a closed string perspective
- I have always found this statement a bit confusing and perhaps imprecise, so l tried to understand it in a different way... Use String Field Theory!


## Skeleton Summary

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New closed string theory without D-branes!

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- What does it mean to absorb the sources to end up with a stable Closed SFT?
- Can we provide examples where this program is succesful? (no obstructions)


## What is Open-Closed SFT?

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- Level-matched closed string vector space, graded (degree=ghost-2), endowed with a symplectic form

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\mathcal{H}^{\mathrm{c}}: \quad b_{0}^{-}|\Phi\rangle=L_{0}^{-}|\Phi\rangle=0, \quad \omega_{\mathrm{c}}\left(\Phi_{1}, \Phi_{2}\right)=(-1)^{d\left(\Phi_{1}\right)}\left\langle\Phi_{1}, c_{0}^{-} \Phi_{2}\right\rangle_{\mathrm{c}}
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- Open string vector space, graded (degree=ghost-1), endowed with a symplectic form

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- The full interacting action has a topological decomposition in genus + boundaries

$$
S(\Phi, \Psi)=\sum_{g=0}^{\infty} \sum_{b=0}^{\infty} S_{g, b}(\Phi, \Psi) . \quad S_{g, b}(\Phi, \Psi)=-g_{s}^{2 g-2+b} \sum_{k, l_{i}} \mathcal{V}_{g, b}^{k,\left\{l_{i}\right\}}\left(\Phi^{\wedge k} ; \Psi^{\otimes l_{1}}, \cdots, \Psi^{\otimes l_{b}}\right)
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- The consistency of the construction is encoded in the quantum BV master equation (path integral is well defined, good QFT)

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& \Delta=\Delta_{\mathrm{c}}+\Delta_{\mathrm{o}} \\
& \begin{array}{l}
\Delta_{\mathrm{c}}=\frac{1}{2} g_{s}^{2}(-1)^{d\left(\phi^{i}\right)} \omega_{\mathrm{c}}^{i j} \frac{\vec{\partial}}{\partial \phi^{i}} \frac{\vec{\partial}}{\partial \phi^{j}} \\
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- Geometrically

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\begin{aligned}
\left(S_{g_{1}, b_{1}}, S_{g_{2}, b_{2}}\right)_{\mathrm{c}} & \in \Sigma_{g_{1}+g_{2}, b_{1}+b_{2}} \\
\left(S_{g_{1}, b_{1}}, S_{g_{2}, b_{2}}\right)_{\mathrm{o}} & \in \Sigma_{g_{1}+g_{2}, b_{1}+b_{2}-1}, \\
\Delta_{\mathrm{c}} S_{g, b} & \in \Sigma_{g+1, b}, \\
\Delta_{\mathrm{o}}^{(1)} S_{g, b} & \in \Sigma_{g, b+1}, \\
\Delta_{\mathrm{o}}^{(2)} S_{g, b} & \in \Sigma_{g+1, b-1}, \quad b \geq 2
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- Notice that $S_{0,0}(\Phi)$ and $S_{0,1}(0, \Psi)$ satisfy classical master equations. They are classical closed SFT and classical open SFT respectively. Other classical limits??
- We can isolate the genus zero sector (classical closed strings) which obeys the master equation

$$
\left(S_{0}, S_{0}\right)_{\mathrm{c}}+\left(S_{0}, S_{0}\right)_{\mathrm{o}}+2 \Delta_{\mathrm{o}}^{(1)} S_{0}=0 . \quad S_{0} \equiv \sum_{b=0}^{\infty} S_{0, b}
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- But it is not possible to also constrain the open strings to be classical $(b=1)$

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- So, at best, we can have a theory with classical closed strings coupled to quantum open strings (consistent with annulus=cylinder)
- How to focus on this simplified sector? Take a Large $\boldsymbol{N}$ number of initial D-branes!


## Open-Closed SFT in the Large $\mathbf{N}$ limit

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- Open string fields are $\mathrm{N} \times \mathrm{N}$ matrices and the inner product has an understood trace. Use a normalized trace (finite in the large N limit)

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\begin{gathered}
\Psi=\psi_{i j}^{a} t^{i j} o_{a}, \quad\left(t^{i j}\right)_{p q}=\delta_{p}^{i} \delta_{q}^{j} \\
\operatorname{Tr}^{\prime}[M]:=\frac{1}{N} \operatorname{Tr}[M]
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- The O-C SFT action rearranges in a double expansion
- 't Hooft coupling obviously emerges

$$
\lambda:=\kappa N . \quad \kappa^{2 g-2} \lambda^{b}=\frac{1}{N^{2 g-2}} \lambda^{2 g+b-2}
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- In the large N limit (at fixed 't Hooft coupling) only the genus zero part of the action contributes, spheres with many holes, counted by $\lambda$.

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\begin{aligned}
\lim _{N \rightarrow \infty} \frac{1}{N^{2}} S_{\mathrm{oc}}[\Phi, \Psi] & =\sum_{b=0}^{\infty} \lambda^{b-2} \sum_{k=0}^{\infty} \sum_{\left\{l_{1}, \ldots, l_{b}\right\}=0}^{\infty} \frac{1}{b!k!\left(l_{1}\right) \cdots\left(l_{b}\right)} \mathcal{A}_{k ;\left\{l_{1}, \ldots, l_{b}\right\}}^{g=0, b}\left(\Phi^{\wedge k} \otimes^{\prime} \Psi^{\odot l_{1}} \wedge^{\prime} \ldots \wedge^{\prime} \Psi^{\odot l_{b}}\right) \\
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- At the same time the basic (quantum) BV structures get rescaled

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\left.\Delta_{\mathrm{c}} \sim \frac{\lambda^{2}}{N^{2}} \quad \quad \Delta_{\mathrm{o}}\right|_{\text {different boundaries }}:=\left.\Delta_{o}^{(2)} \sim \frac{\lambda}{N^{2}} \quad \Delta_{\mathrm{o}}\right|_{\text {same boundary }}:=\Delta_{\mathrm{o}}^{(1)} \sim \lambda,
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- So in the planar limit the BV master equation is just

$$
(S, S)_{\mathrm{c}}+(S, S)_{\mathrm{o}}+2 \Delta_{\mathrm{o}}^{(1)} S=0
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\lim _{N \rightarrow \infty} \frac{1}{N^{2}} S_{\mathrm{oc}}[\Phi, \Psi] & =\sum_{b=0}^{\infty} \lambda^{b-2} \sum_{k=0}^{\infty} \sum_{\left\{l_{1}, \ldots, l_{b}\right\}=0}^{\infty} \frac{1}{b!k!\left(l_{1}\right) \cdots\left(l_{b}\right)} \mathcal{A}_{\left.k ; ; l_{1}, \ldots, l_{b}\right\}}^{g=0, b}\left(\Phi^{\wedge k} \otimes^{\prime} \Psi^{\odot l_{1}} \wedge^{\prime} \ldots \wedge^{\prime} \Psi^{\odot l_{b}}\right), \\
& :=S_{\mathrm{pl}}[\Phi, \Psi],
\end{aligned}
$$

- At the same time the basic (quantum) BV structures get rescaled

$$
\left.\Delta_{\mathrm{c}} \sim \frac{\lambda^{2}}{N^{2}} \quad \quad \Delta_{\mathrm{o}}\right|_{\text {different boundaries }}:=\left.\Delta_{o}^{(2)} \sim \frac{\lambda}{N^{2}} \quad \Delta_{\mathrm{o}}\right|_{\text {same boundary }}:=\Delta_{o}^{(1)} \sim \lambda,
$$

- So in the planar limit the BV master equation is just

$$
(S, S)_{\mathrm{c}}+(S, S)_{\mathrm{o}}+2 \Delta_{\mathrm{o}}^{(1)} S=0
$$

- Classical closed strings + quantum (but planar) open strings.


## What does it mean to INTEGRATE OUT open strings?

## Integrating out open strings

## Integrating out open strings

- Perform the perturbative (BV) path integral on open strings with a gauge fixing

$$
\left.\int \mathcal{D} \Psi e^{-S_{\mathrm{pl}}(\Phi, \Psi)}\right|_{h_{\mathrm{o}} \Psi=0}=e^{-S_{\mathrm{eff}}(\Phi)} \quad\left[Q_{o}, h_{o}\right]=1_{o}
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- Rewrite in compact form the original UV action (use co-algebras)

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S_{\mathrm{pl}}(\Phi, \Psi)=\lim _{N \rightarrow \infty} \frac{1}{N^{2}} S_{\mathrm{oc}}(\Phi, \Psi)=\int_{0}^{1} d t\left(\frac{\omega_{\mathrm{c}}}{\lambda^{2}}\left(\dot{\Phi}, \pi_{10} \boldsymbol{l}^{(p)} \mathcal{G}\right)+\frac{\omega_{\mathrm{o}}^{\prime}}{\lambda}\left(\dot{\Psi}, \pi_{01} \boldsymbol{m}^{(p)} \mathcal{G}\right)\right)
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\boldsymbol{l}^{(p)}:=\sum_{b} \lambda^{b} \boldsymbol{l}^{\prime(0, b)}, \\
\boldsymbol{m}^{(p)}:=\sum_{b} \lambda^{b-1} \boldsymbol{m}^{\prime(0, b)},
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& \boldsymbol{l}^{(p)}:=\sum_{b} \lambda^{b} \boldsymbol{l}^{\boldsymbol{l}^{(0, b)},} \quad \text { CODERIVATIONS (encode interactions+kinetic) } \\
& \boldsymbol{m}^{(p)}:=\sum_{b} \lambda^{b-1} \boldsymbol{m}^{\prime(0, b)} . \quad \\
& \mathcal{G}:=e^{\wedge \Phi} \otimes^{\prime} e^{\wedge^{\prime} \mathcal{C}(\Psi)} \\
&= {\left[\sum_{k \geq 0} \frac{1}{k!} \Phi^{\wedge k}\right] \otimes^{\prime}\left[\sum_{b \geq 0} \frac{1}{b!}\left(\sum_{l_{1} \geq 0} \frac{1}{\left(l_{1}\right)} \Psi^{\odot l_{1}}\right) \wedge^{\prime} \cdots \wedge^{\prime}\left(\sum_{l_{b} \geq 0} \frac{1}{\left(l_{b}\right)} \Psi^{\odot l_{b}}\right)\right] \quad \text { GROUP ELEMENT } }
\end{aligned}
$$

- The open-closed action can be nicely packaged

$$
S_{\mathrm{pl}}(\Phi, \Psi)=\int_{0}^{1} \hat{\omega}^{\prime}\left(\dot{\chi}, \pi_{1} \boldsymbol{n}^{(p)} \mathcal{G}\right)
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- The full BV master equation reflects on the (full) coderivation $\mathbf{n}$

$$
\frac{1}{2}\left(S_{\mathrm{oc}}, S_{\mathrm{oc}}\right)+\Delta S_{\mathrm{oc}}=\int_{0}^{1} d t \hat{\omega}\left(\dot{\chi}, \pi_{1}(\boldsymbol{n}+\boldsymbol{U})^{2} \mathcal{G}(t)\right)
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- $U$ is the so-called Poisson bi-vector, it creates (open or closed string) loops. It is the counterpart of the BV $\Delta$.
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- In the genus zero (planar sector) this implies

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\left(\boldsymbol{n}^{(p)}+\boldsymbol{U}^{(p)}\right)^{2}=0
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This only creates planar open string loops!

- With this preparation, the path integral gives

$$
S_{\mathrm{eff}}(\Phi)=\int_{0}^{1} d t \frac{\omega_{\mathrm{c}}}{\lambda^{2}}\left(\dot{\Phi}, \pi_{1} \tilde{\boldsymbol{l}} e^{\wedge \Phi}\right)+\Lambda_{\mathrm{open}}
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- Essentially this is resumming all amplitudes with external closed strings with arbitrary intermediate open strings.

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- Closed string off-shell amplitudes on $\mathrm{g}=0$ Riemann surfaces with boundaries, with moduli-space integration carried over all the way down to open string degeneration, but still cut-off at closed string degeneration.


## Obstructions to Integrating out open strings

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- To perform the full integration-out we had to assume that the open string propagator fully inverts the BRST operator

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- But then unitarity forces to introduce back these resonating open strings as external states.
- Way out? ASSUME that the open string cohomology does not propagate. Strong constraint on the chosen string background!
- Amazingly this precisely happens in minimal string theory and in the topological string, perhaps in other scenarios as well.


## What is an UNSTABLE Closed SFT?

## The unstable closed string theory

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- Assuming we safely survived the open string integration-out, let's then have a closer look at the obtained closed SFT

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\begin{aligned}
S_{\mathrm{eff}}(\Phi) & =\sum_{b=0}^{\infty} \lambda^{b-2} \sum_{k=0}^{\infty} \frac{1}{b!k!} \mathcal{A}_{k}^{\prime \prime g=0, b}\left(\Phi^{\wedge k}\right), \\
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\tilde{l}_{k} & =\pi_{1} \tilde{\boldsymbol{l}}_{1} \pi_{k}=\sum_{b=0}^{\infty} \lambda^{b} \tilde{l}_{k}^{(b)} .
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- There is a tadpole!

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\tilde{\tau}_{0}=\sum_{b=1}^{\infty} \lambda^{\boldsymbol{b}} \tilde{l}_{0}^{(b)}
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\tilde{l}_{0}=\sum_{b=1}^{\infty} \lambda^{h_{0}^{(b)}}
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- Closed string emission from surfaces with boundaries (disk, annulus etc...) controlled by the 't Hooft coupling!

What does it mean to absorb the sources to end up with a STABLE Closed SFT?

## Canceling the tadpole

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- As usual in (quantum) field theory we have to search for a new vacuum with stable fluctuations. The tadpole is a source term, solve the sourced equation of motion!

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\sum_{k=1}^{\infty} \frac{1}{k!} \tilde{l}_{k}\left(\Phi^{\wedge k}\right)=-\tilde{l}_{0}
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- This seems daunting, but we can work perturbatively in the 't Hooft coupling

$$
\begin{aligned}
\Phi & =\sum_{n=1}^{\infty} \lambda^{n} \Phi_{n}, \\
O(\lambda): & Q_{\mathrm{c}} \Phi_{1}=-\tilde{l}_{0}^{(1)} \\
O\left(\lambda^{2}\right): & Q_{\mathrm{c}} \Phi_{2}=-\frac{1}{2} \tilde{l}_{2}^{(0)}\left(\Phi_{1}^{\wedge 2}\right)-\tilde{l}_{1}^{(1)}\left(\Phi_{1}\right)-\tilde{l}_{0}^{(2)} \\
O\left(\lambda^{3}\right) & : Q_{\mathrm{c}} \Phi_{3}=-\frac{1}{6} \tilde{\tilde{l}}_{3}^{(0)}\left(\Phi_{1}^{\wedge 3}\right)-\tilde{l}_{2}^{(0)}\left(\Phi_{1} \wedge \Phi_{2}\right)-\frac{1}{2} \tilde{l}_{2}^{(1)}\left(\Phi_{1}^{\wedge 2}\right)-\tilde{l}_{1}^{(1)}\left(\Phi_{2}\right)-\tilde{l}_{1}^{(2)}\left(\Phi_{1}\right)-\tilde{l}_{0}^{(3)}
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\end{aligned}
$$

- Notice in particular that $\tilde{l}_{0}^{(1)}$ is essentially the boundary state.

$$
\Phi_{1}=-\frac{b_{0}^{+}}{L_{0}^{+}} \tilde{l}_{0}^{(1)}
$$

## The stable theory

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- Expand the action around the vacuum shift solution $\Phi_{*}(\lambda)$

$$
S(\varphi):=S_{\mathrm{eff}}\left(\Phi_{*}(\lambda)+\varphi\right)=\int_{0}^{1} d t \frac{\omega_{\mathrm{c}}}{\lambda^{2}}\left(\dot{\varphi}, \pi_{1} \tilde{\boldsymbol{l}}_{*} e^{\wedge \varphi}\right)+\Lambda_{\mathrm{open}}+\Lambda_{0}+\Lambda_{\mathrm{closed}}
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S(\varphi):=S_{\mathrm{eff}}\left(\Phi_{*}(\lambda)+\varphi\right)=\int_{0}^{1} d t \frac{\omega_{\mathrm{c}}}{\lambda^{2}}\left(\dot{\varphi}, \pi_{1} \tilde{l}_{*} e^{\wedge \varphi}\right)+\Lambda_{\mathrm{open}}+\Lambda_{0}+\Lambda_{\mathrm{closed}}
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- Spectrum on this stable background can be studied looking at the quadratic term

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- Everything is doable by working perturbatively in the 't Hooft coupling


# Obstructions to the closed string vacuum shift 

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\Phi & =\sum_{n=1}^{\infty} \lambda^{n} \Phi_{n}, \\
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- At $O(\lambda)$ this is fully analogous to the formation of the Newton/Coulomb potential out of a point like charge (which is obstructed if the transverse space is compact)
- At higher order I don't know precisely, but it is clear that this has to do with large distance effects (IR structure).


## Example: FZZT branes in the $(2,1)$ Minimal String Theory

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- It is a peculiar bosonic non-critical string. $(p, q)$ minimal model + Liouville + bc ghosts

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\left\{\mathcal{O}_{2 m+1}\right\}, m=0,1,2, \cdot \\
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- This is the closed string side of the story.


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- Instead of deforming the bulk with the $\left\{O_{2 k+1}\right\}$, we can add special D-branes: FZZT branes

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\left.|\mathcal{B}(z)\rangle=\left|\mathcal{B}_{\Theta}^{\text {Dirichlet }}\right\rangle \otimes\left|\operatorname{FZZT}\left(\mu_{B}=z\right) \otimes\right| \mathcal{B}_{\text {ghost }}\right\rangle \\
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- Gaiotto Rastelli: placing a large $N$ number of FZZT branes with open string moduli $\left\{z_{i}\right\}$ is the same as deforming the pure $(2,1)$ closed string background

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- So this looks like a perfect playground for testing our picture. Are the open and closed obstructions avoided??

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- Indeed this is an example in which open strings can be integrated out completely (path integral —> matrix integral)
- Also the physical closed string states are `external'. They cannot propagate inside a diagram. —->NO CLOSED STRING OBSTRUCTIONS
- Indeed we find (work in progress!) that the vacuum shift solution gives rise to the same partition function that the marginal solution
- All in all we thus expect

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\text { SPHERE PARTITION FUNCTION } \\
\text { (GAIOTTO RASTELLI) }
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