



# Study of reactions of astrophysical interest with indirect methods

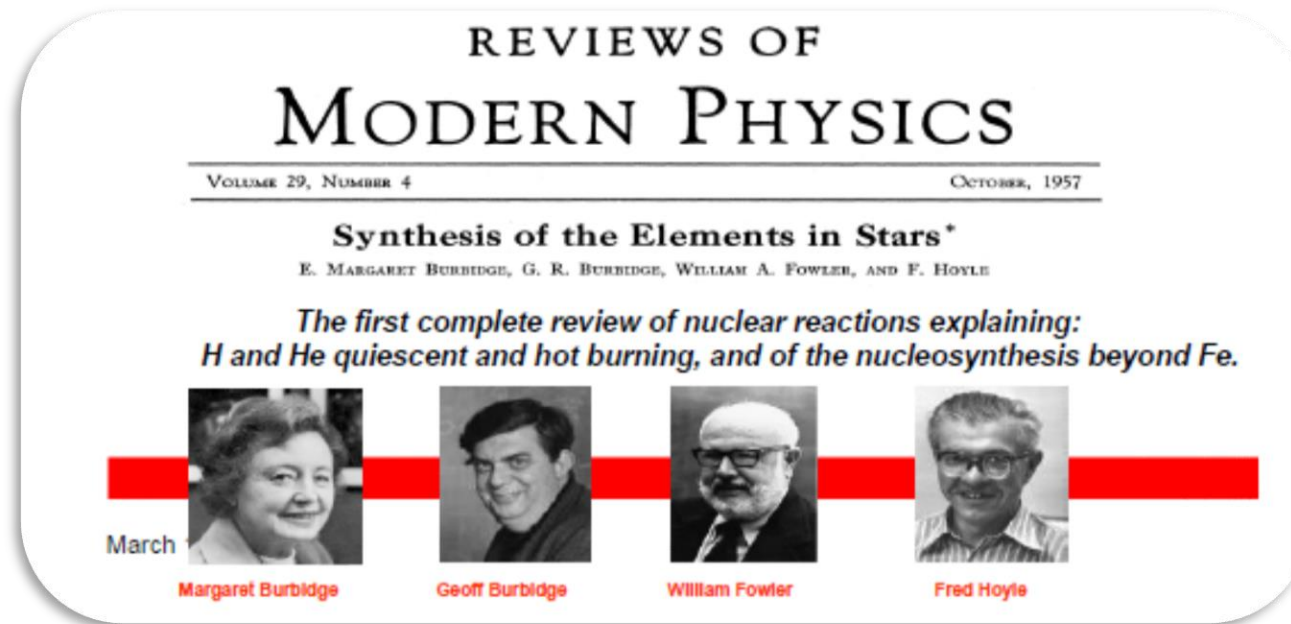
*G.L. GUARDO*



# Experimental nuclear astrophysics

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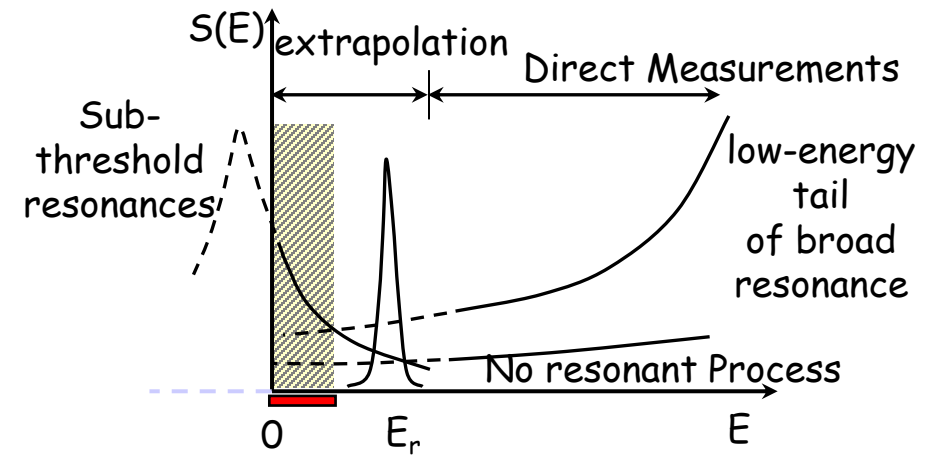
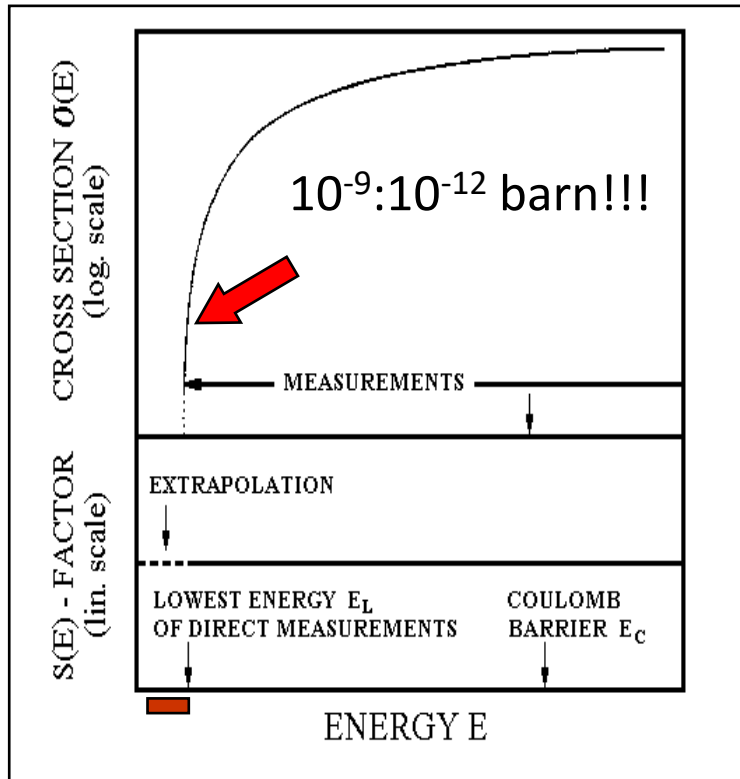
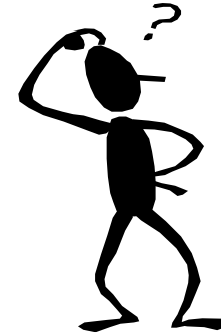
... Everything starts from the **B<sup>2</sup>FH** review paper of 1957,  
the basis of the modern nuclear astrophysics  
this work has been considered as the greatest gift of astrophysics to modern civilization



The elements composing everything from planets to life were forged inside earlier generations of stars!  
Nuclear reactions responsible for both ENERGY PRODUCTION and CREATION OF ELEMENTS

# Direct measurements

- Very small cross section values reflect in a faint statistic;
- Very low signal-to-noise ratio makes hard the investigation at astrophysical energies;
- Instead of the cross section, the  $S(E)$ -factor is introduced



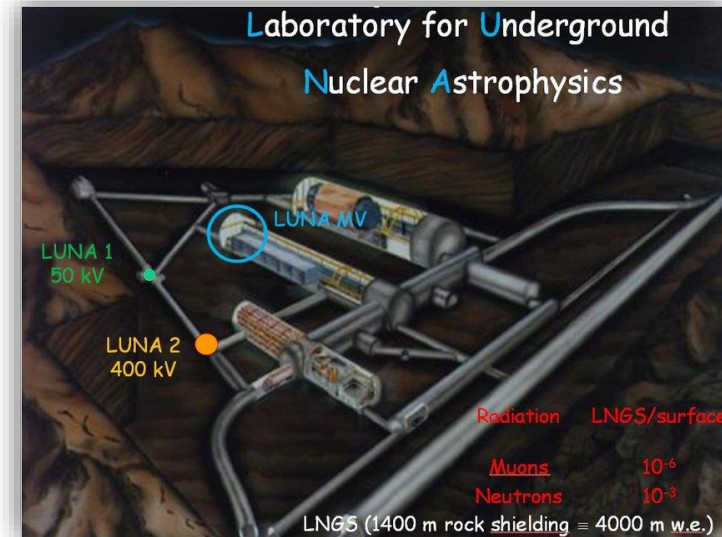
$$S(E) = E\sigma(E)\exp(2\pi\eta)$$

# Direct measurements

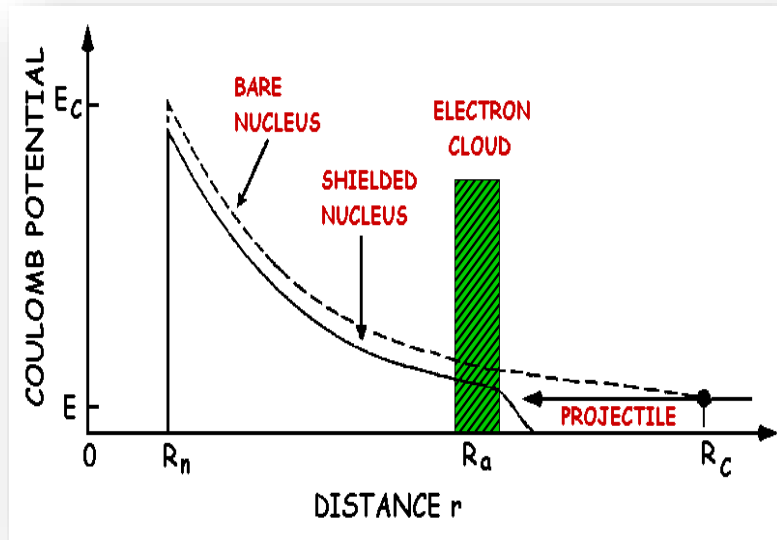


Several efforts have been made in the last years in order to **improve the signal-to-noise ratio** for low-energy cross section measurement.

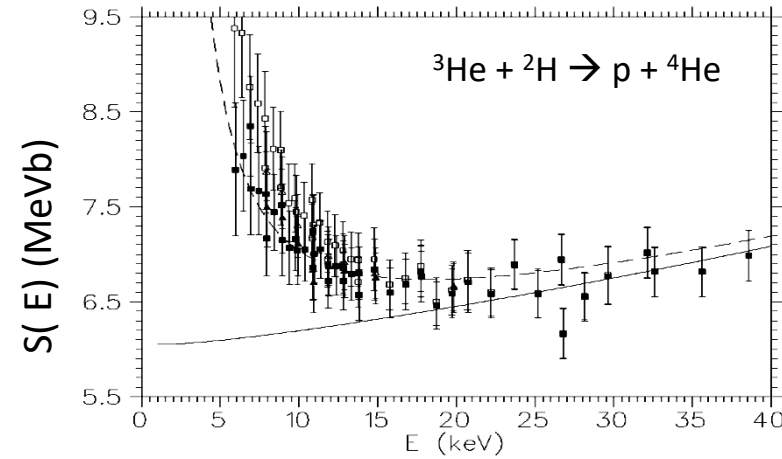
- Longer measurements
- Higher beam currents
- $4\pi$  detectors
- Pure targets
- Underground laboratories



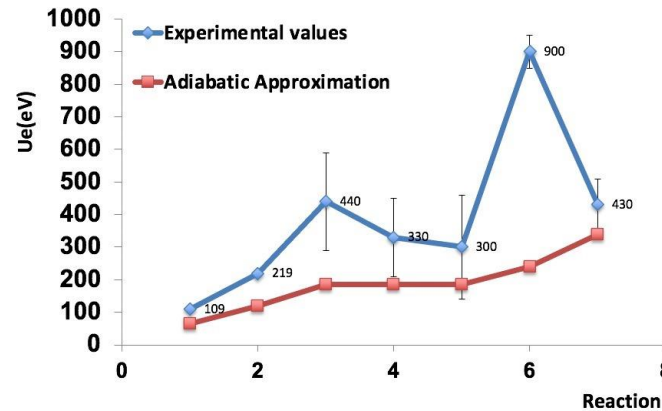
# Electron screening



Due to the electron cloud surrounding the interacting ions the projectile feels a reduced barrier



Reaction	$U_{ad}$ (eV)	$U_{exp}$ (eV)	Reference
${}^6\text{Li}(p,\alpha){}^3\text{He}$	186	$440 \pm 150$	[Engstler et al.(1992)]
${}^6\text{Li}(d,\alpha){}^4\text{He}$	186	$330 \pm 120$	[Engstler et al.(1992)]
$\text{H}({}^7\text{Li},\alpha){}^4\text{He}$	186	$300 \pm 160$	[Engstler et al.(1992)]
${}^2\text{H}({}^3\text{He},p){}^4\text{He}$	65	$109 \pm 9$	[Aliotta et al.(2004)]
${}^3\text{He}({}^2\text{H},p){}^4\text{He}$	120	$219 \pm 7$	[Aliotta et al.(2004)]
$\text{H}({}^9\text{Be},\alpha){}^6\text{Li}$	240	$900 \pm 50$	[Zahnow et al.(1997)]
$\text{H}({}^{11}\text{B},\alpha){}^8\text{Be}$	340	$430 \pm 80$	[Angulo et al. (1993)]



**Theory vs. Experiment →  
Far to be understood...  
Stellar Plasma**

# Indirect methods

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Beyond the extrapolation procedure, **indirect** and **innovative experimental methods** are highly demanded to address missing or incomplete aspects led by the complexity of nuclear reactions in stars.

The basic idea of using an indirect method in order to measure a cross section of interest for nuclear astrophysics is to **overcome the experimental problems** related to the low-energy regime typical of an astrophysical scenario using **peculiar nuclear properties or dynamics** that can provide information about the nuclear reaction of interest.

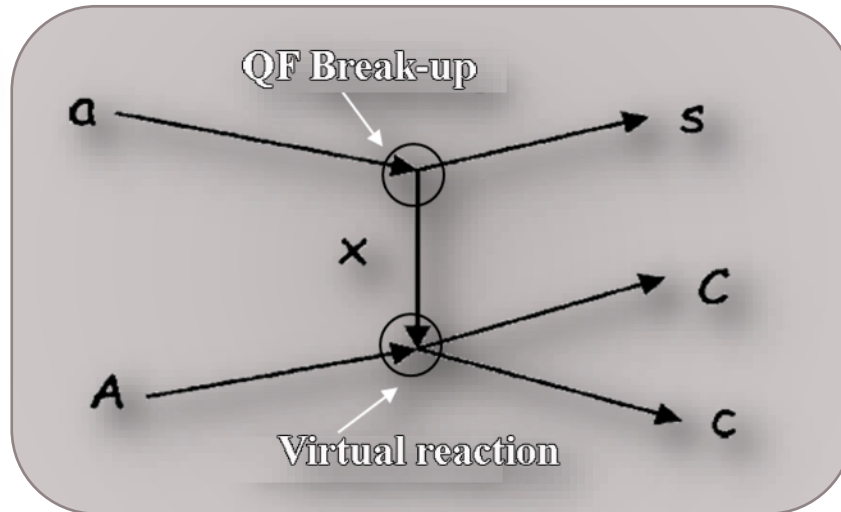
Among the others, the main indirect methods developed in the last decades are:

- Trojan Horse Method
- Asymptotic Normalization Coefficient
  - Coulomb Dissociation
  - Surrogate Reaction Method
  - Life-time measurements
- Nuclear structure and spectroscopy measurements

# The Trojan Horse Method



The idea of the **THM** is to extract the cross section of an astrophysically relevant two-body reaction  $A+x \rightarrow c+C$  at low energies from a suitable three-body reaction  $a+A \rightarrow c+C+s$



Quasi free kinematics is selected

✓ only  $x - A$  interaction

✓  $s = \text{spectator}$  ( $p_s \sim 0$ )

$$E_A > E_{\text{Coul}} \rightarrow$$

- NO coulomb suppression
- NO electron screening
- NO centrifugal barrier

- THM Review paper  $\rightarrow$  Spitaleri C. et al., *Prog. of Fund. Mod. Phys.*, 1991  
Tumino A. et al., *An. Rev. Nuc. and Part. Sci.* 2021



# Theoretical Approach

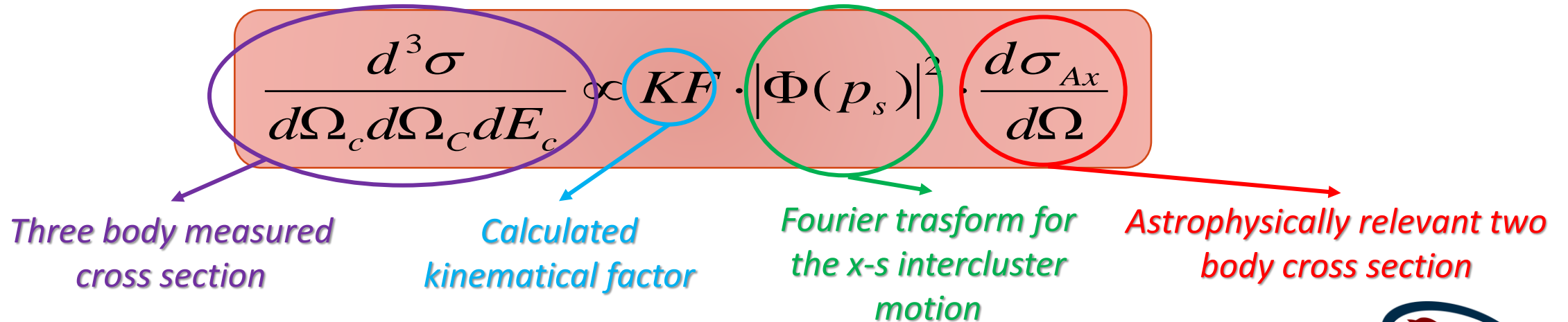
The TH-nucleus is chosen because of:

- its large amplitude in the  $a=x\oplus s$  cluster configuration;
- its relatively low-binding energy;
- Its known  $x$ - $s$  momentum distribution  $|\Phi(p_s)|^2$  in  $a$ .

$$E_{Ax} = \frac{m_x}{m_x + m_A} E_A - B_{xs}$$

$B_{x-s}$  plays a key role in compensating for the beam energy thanks to the  $x$ - $s$  intercluster motion inside  $a$ , it is possible to span an energy range of several hundreds of keV with only one beam energy

In the Plane Wave Impulse Approximation (PWIA) the cross section of the three body reaction can be factorized as





# Measurement with THM

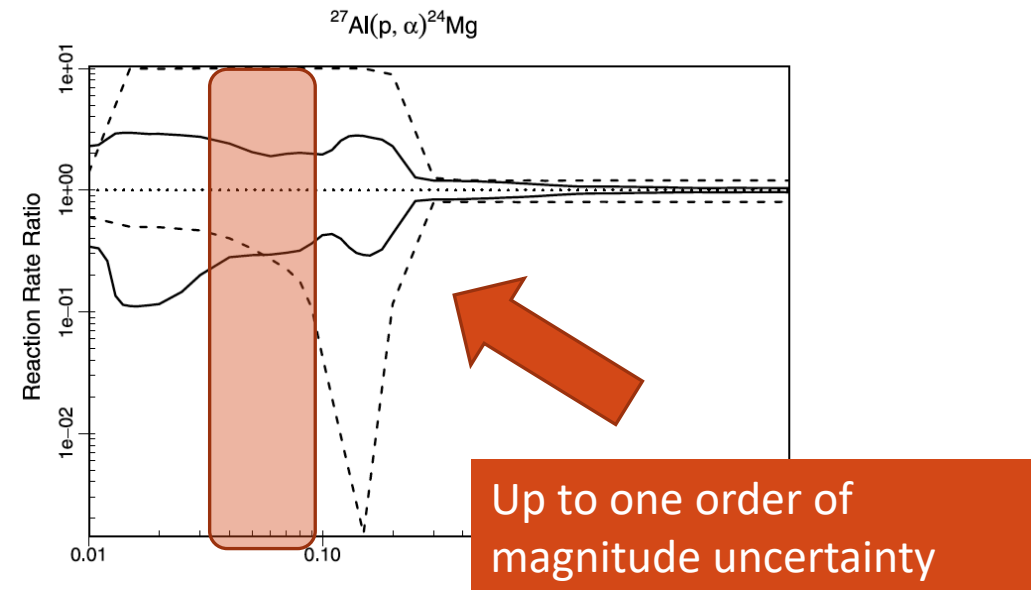
$^{27}\text{Al}$ : an ingredient in multimessenger astronomy

## MgAl cycle in massive stars

It is ignited at temperatures  $> 0.03$  GK and it is important to determine the abundances of medium mass nuclei

## $^{26}\text{Al}/^{27}\text{Al}$ abundance ratio

$^{26}\text{Al}$  abundance is used to estimate the number of Galactic neutron stars and, therefore, of neutron star mergers (sources of GW). The  $^{26}\text{Al}/^{27}\text{Al}$  is generally estimated, so it is influenced by  $^{27}\text{Al}$  abundance predictions

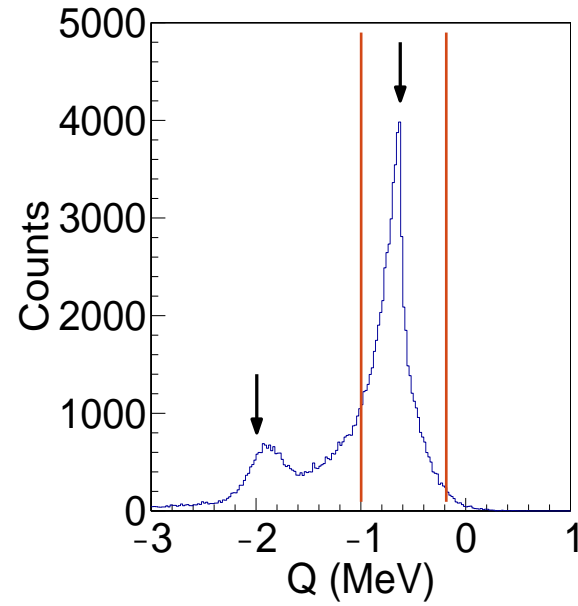
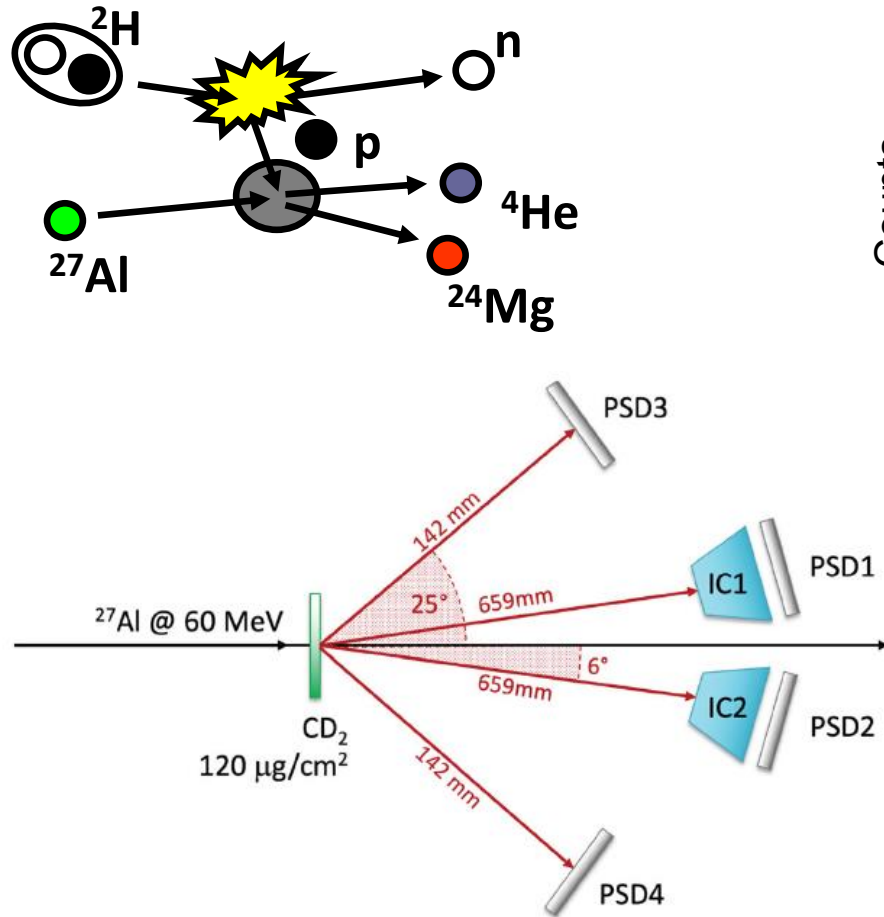


The most recent review [Iliadis et al. (2010)] shows that for most low-energy resonances only an upper limit is known

→ These resonances are the most influent for astrophysics

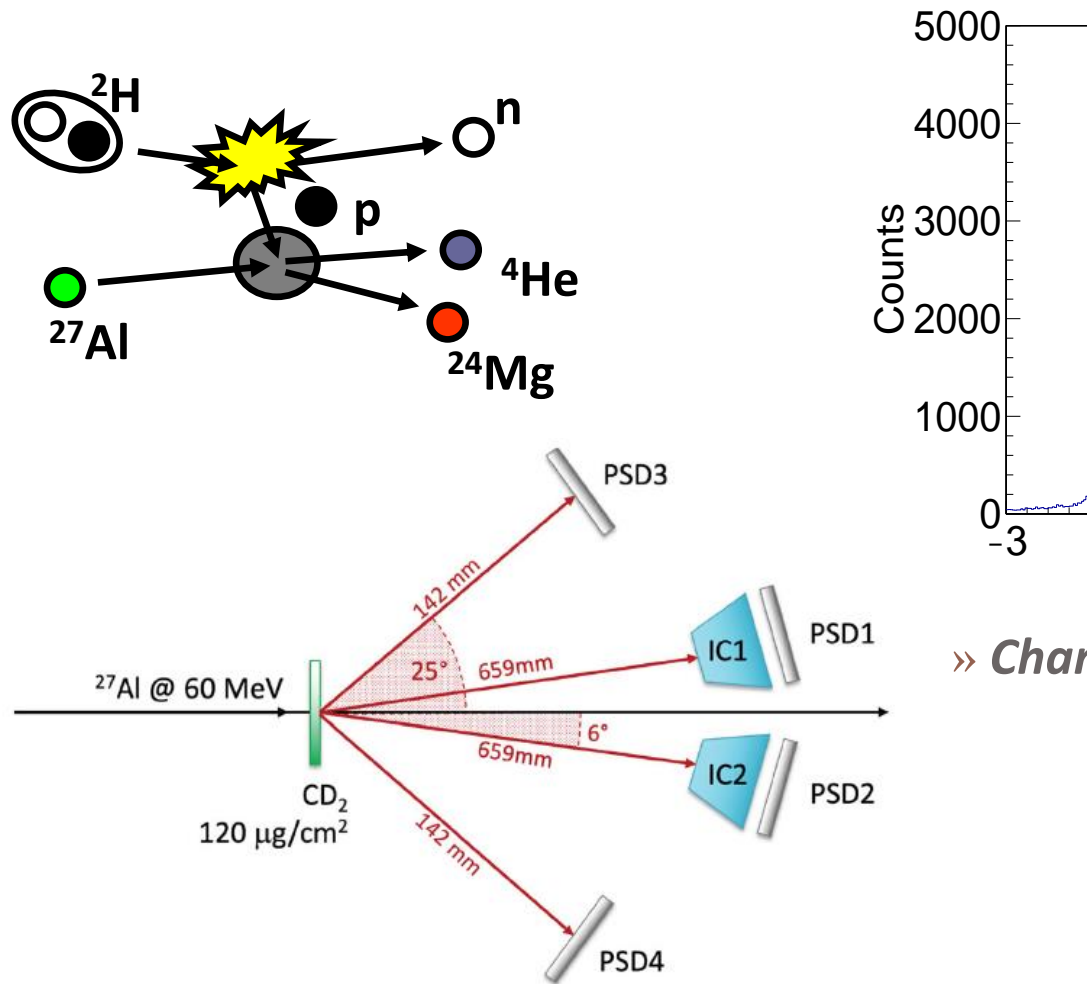


# Measurement with THM

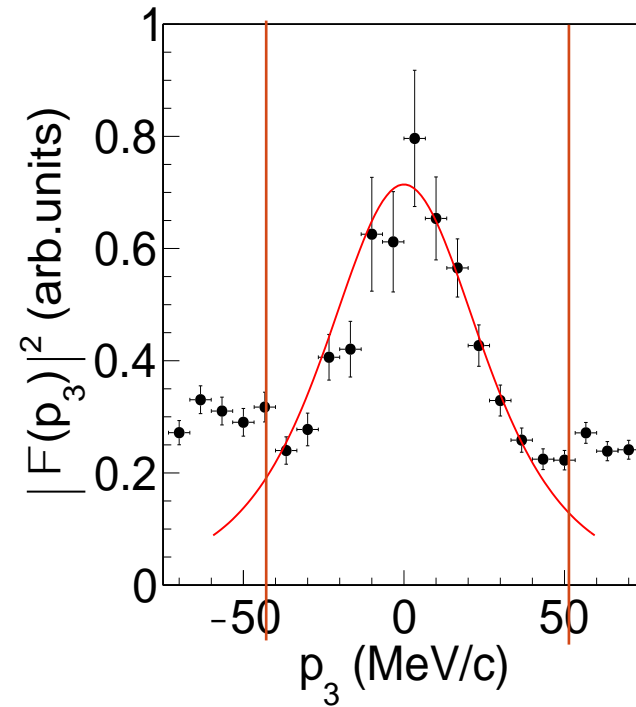


» *Channel selection*

# Measurement with THM



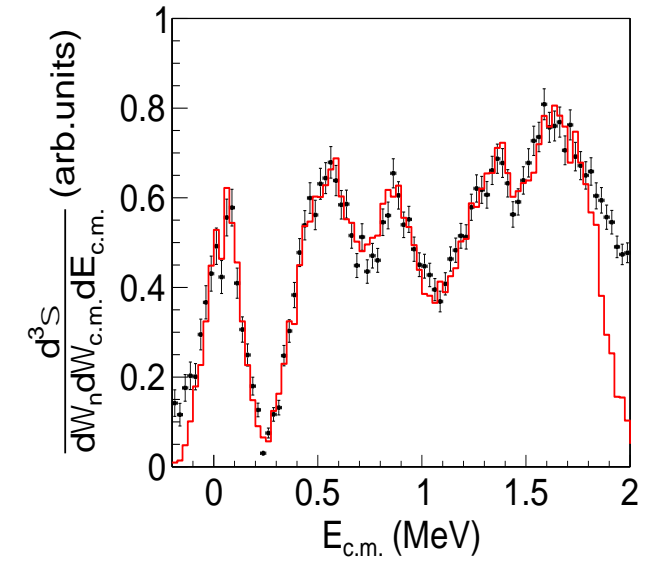
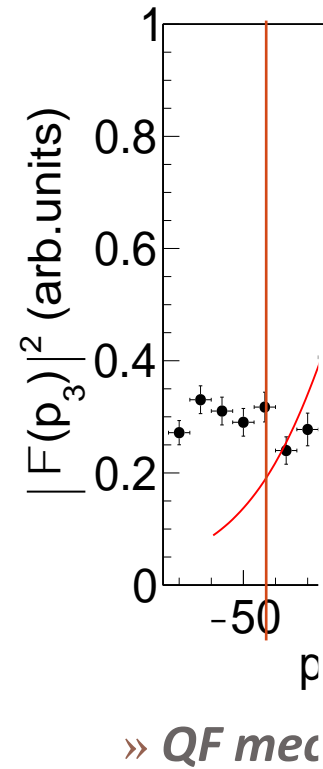
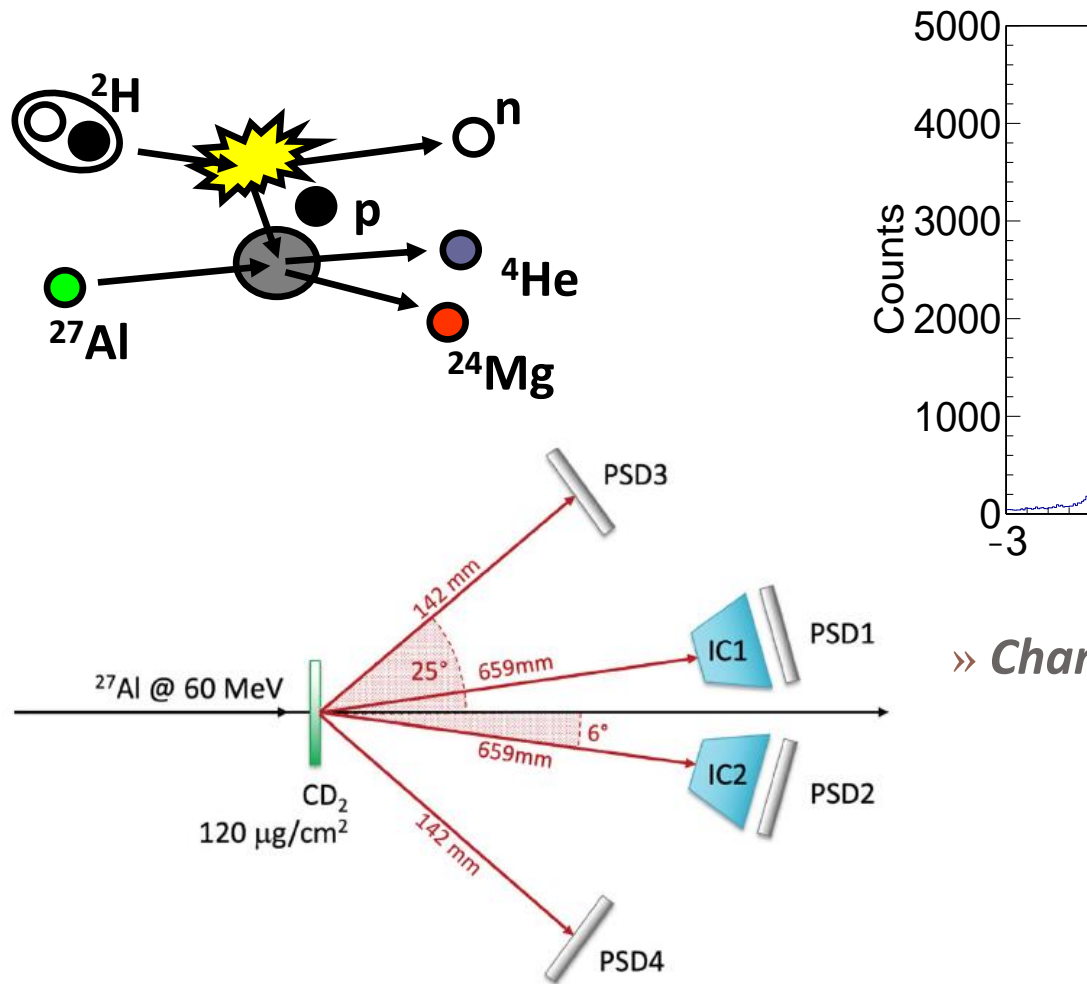
» *Char*



» *QF mechanism selection*



# Measurement with THM



# Measurement with THM



Contents lists available at ScienceDirect

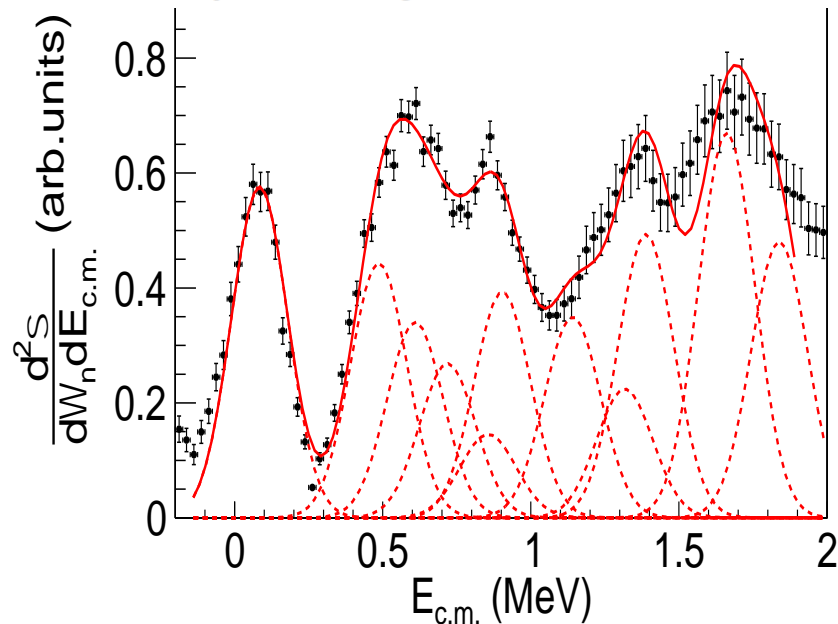
Physics Letters B

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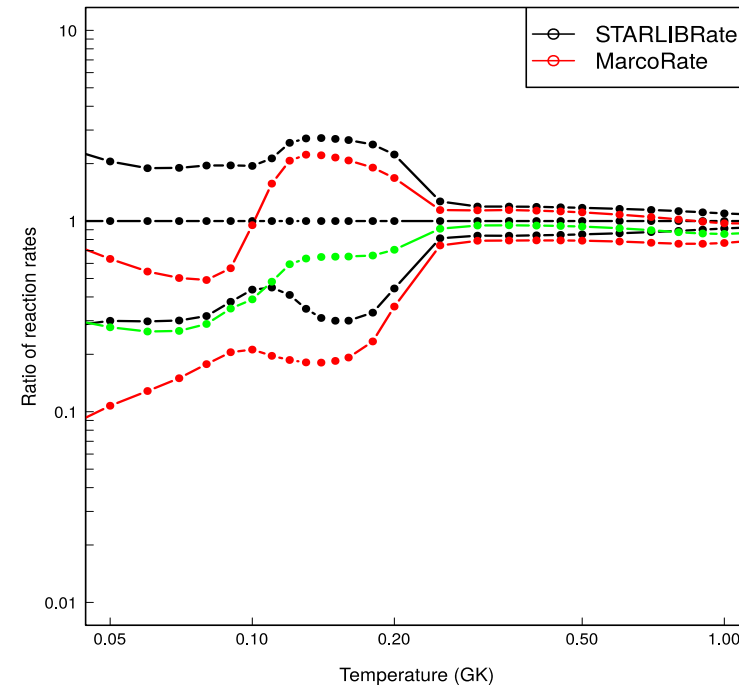


Exploring the astrophysical energy range of the  $^{27}\text{Al}(p, \alpha)^{24}\text{Mg}$  reaction: A new recommended reaction rate

M. La Cognata<sup>a,\*</sup>, S. Palmerini<sup>b,c</sup>, P. Adsley<sup>d,e</sup>, F. Hammache<sup>f</sup>, A. Di Pietro<sup>a</sup>, P. Figuera<sup>a</sup>, R. Alba<sup>a</sup>, S. Cherubini<sup>a,g</sup>, F. Dell'Agli<sup>h</sup>, G.L. Guardo<sup>a,g</sup>, M. Gulino<sup>a,i</sup>, L. Lamia<sup>a,g,j</sup>, D. Lattuada<sup>a,1</sup>, C. Maiolino<sup>a</sup>, A. Oliva<sup>a,g</sup>, R.G. Pizzone<sup>a</sup>, P.M. Prajapati<sup>a</sup>, S. Romano<sup>a,g,j</sup>, D. Santonocito<sup>a</sup>, R. Spartá<sup>a,g</sup>, M.L. Sergi<sup>a,g</sup>, A. Tumino<sup>a,i</sup>



The green line is the THM recommended rate



# Results of THM

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In the last 30 years the THM has been applied to several reaction of astrophysical interest in different scenario, ranging from BBN to Novae Explosion.

Variuos TH nucleus has been used, such as:

$d$ ,  ${}^3\text{He}$ ,  ${}^6\text{Li}$ ,  ${}^9\text{Be}$ ,  ${}^{14}\text{N}$ ,  ${}^{16}\text{O}$ ,  ${}^{20}\text{Ne}$

In order to study proton-induced, alpha-induced, neutron-induced and heavy particle-induced reactions

Perfect method for study neutron-induced reaction cross sections with radioactive ion beams!!!!



# Recent results of THM

## Application of THM with RIBs and neutron induced reactions

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<https://doi.org/10.3847/1538-4357/aa965c>



### On the Determination of the ${}^7\text{Be}(n, \alpha){}^4\text{He}$ Reaction Cross Section at BBN Energies

L. Lamia<sup>1,2</sup>, C. Spitaleri<sup>1,2</sup>, C. A. Bertulani<sup>3</sup>, S. Q. Hou<sup>3,4</sup>, M. La Cognata<sup>2</sup>, R. G. Pizzone<sup>2</sup>, S. Romano<sup>1,2</sup>,  
 M. L. Sergi<sup>2</sup>, and A. Tumino<sup>2,5</sup>

<sup>1</sup> Dipartimento di Fisica e Astronomia, Università degli Studi di Catania, Catania, Italy

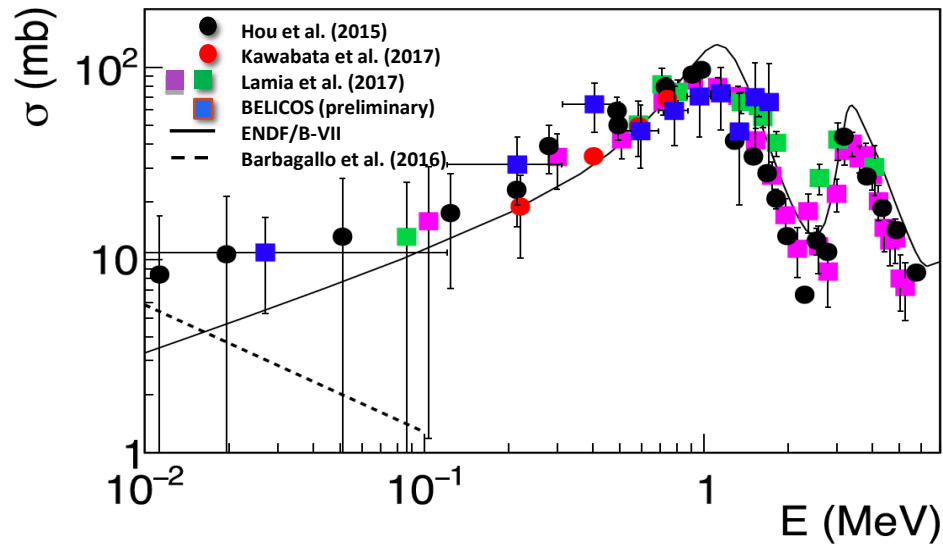
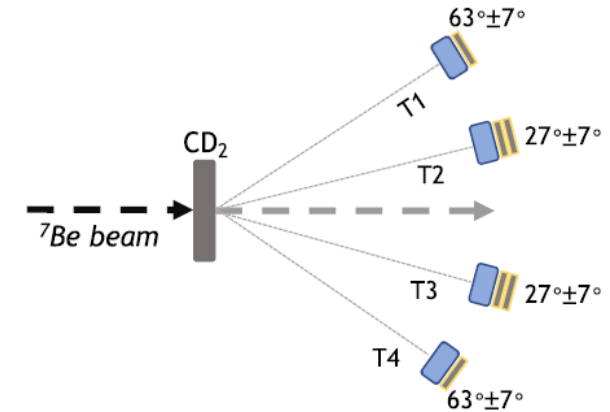
<sup>2</sup> INFN—Laboratori Nazionali del Sud, Catania, Italy

<sup>3</sup> Department of Physics and Astronomy, Texas A&M University-Commerce, Commerce, TX 75428, USA

<sup>4</sup> Institute of Modern Physics, Chinese Academy of Sciences, Lanzhou 730000, China

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<https://doi.org/10.3847/1538-4357/ab2234>



### Cross-section Measurement of the Cosmologically Relevant ${}^7\text{Be}(n, \alpha){}^4\text{He}$ Reaction over a Broad Energy Range in a Single Experiment

L. Lamia<sup>1,2</sup>, M. Mazzocco<sup>3,4</sup>, R. G. Pizzone<sup>2</sup>, S. Hayakawa<sup>5</sup>, M. La Cognata<sup>2</sup>, C. Spitaleri<sup>1,2</sup>, C. A. Bertulani<sup>6</sup>, A. Boiano<sup>7</sup>,  
 C. Boiano<sup>8</sup>, C. Brogгинi<sup>4</sup>, A. Caciolli<sup>3,4</sup>, S. Cherubini<sup>1,2</sup>, G. D'Agata<sup>1,2,13</sup>, H. da Silva<sup>9</sup>, R. Depalo<sup>3,4</sup>, F. Galtarossa<sup>10</sup>,  
 G. L. Guardo<sup>1,2</sup>, M. Gulino<sup>2,11</sup>, I. Indelicato<sup>1,2</sup>, M. La Commara<sup>7,12</sup>, G. La Rana<sup>7,12</sup>, R. Menegazzo<sup>4</sup>, J. Mrazek<sup>13</sup>, A. Pakou<sup>14</sup>,  
 C. Parascandolo<sup>7</sup>, D. Piatti<sup>3,4</sup>, D. Pierroutsakou<sup>7</sup>, S. M. R. Puglia<sup>2</sup>, S. Romano<sup>1,2</sup>, G. G. Rapisarda<sup>2</sup>, A. M. Sánchez-Benítez<sup>15</sup>,  
 M. L. Sergi<sup>2</sup>, O. Sgouros<sup>2,14</sup>, F. Soramel<sup>3,4</sup>, V. Soukeras<sup>2,14</sup>, R. Sparta<sup>1,2</sup>, E. Strano<sup>3,4</sup>, D. Torresi<sup>2</sup>, A. Tumino<sup>2,11</sup>,  
 H. Yamaguchi<sup>5</sup>, and G. L. Zhang<sup>16</sup>





# Recent results of THM

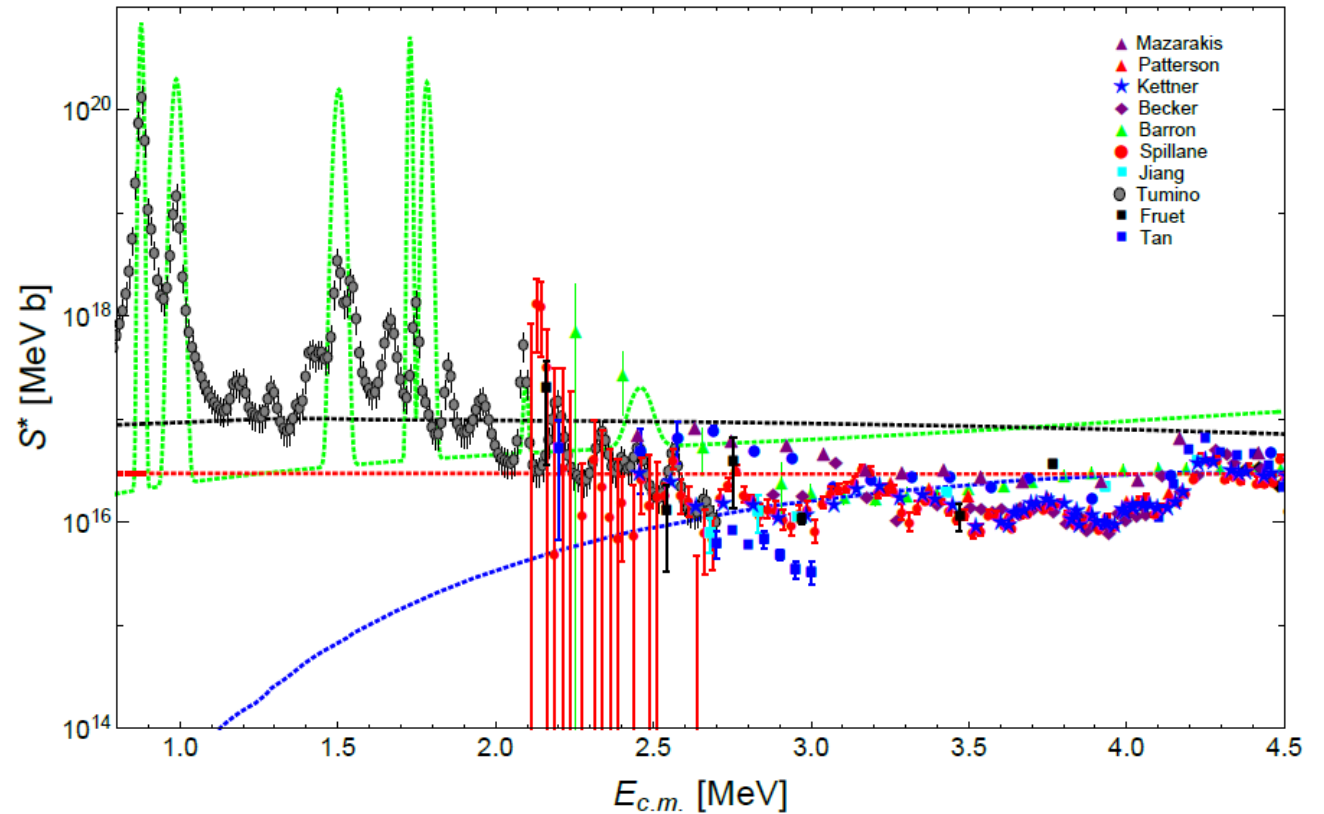
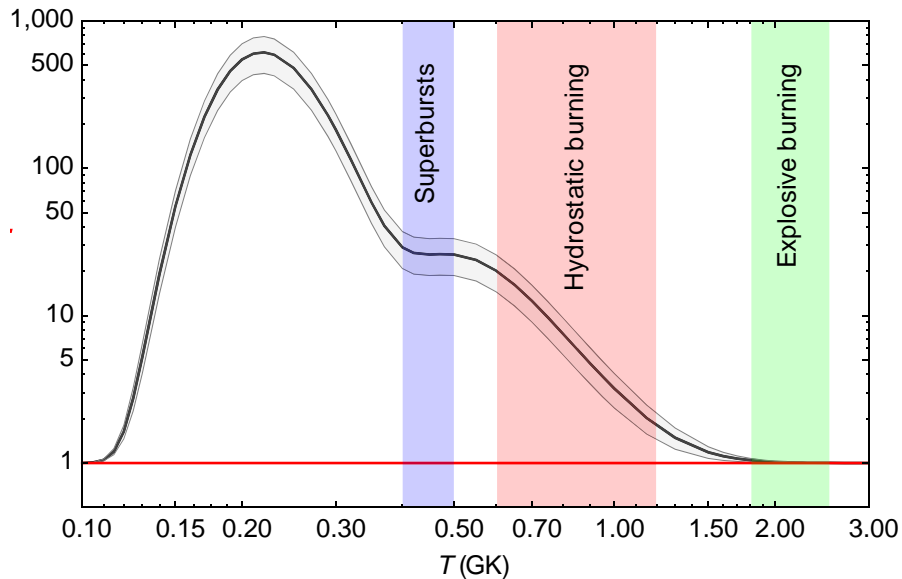
**nature**  
International journal of science

Letter | Published: 23 May 2018

## An increase in the $^{12}\text{C} + ^{12}\text{C}$ fusion rate from resonances at astrophysical energies

A. Tumino , C. Spitaleri, M. La Cognata, S. Cherubini, G. L. Guardo, M. Gulino, S. Hayakawa, I. Indelicato, L. Lamia, H. Petrascu, R. G. Pizzone, S. M. R. Puglia, G. G. Rapisarda, S. Romano, M. L. Sergi, R. Spartá & L. Trache

Nature **557**, 687–690 (2018) | [Download Citation](#) 



Blue => hindrance  
Red => Caughland & Fowler 1988  
Black => Godbey 2019

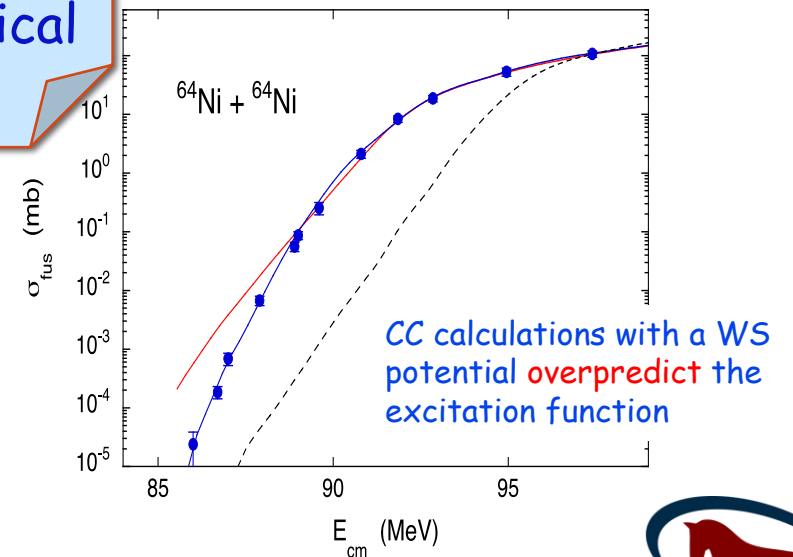
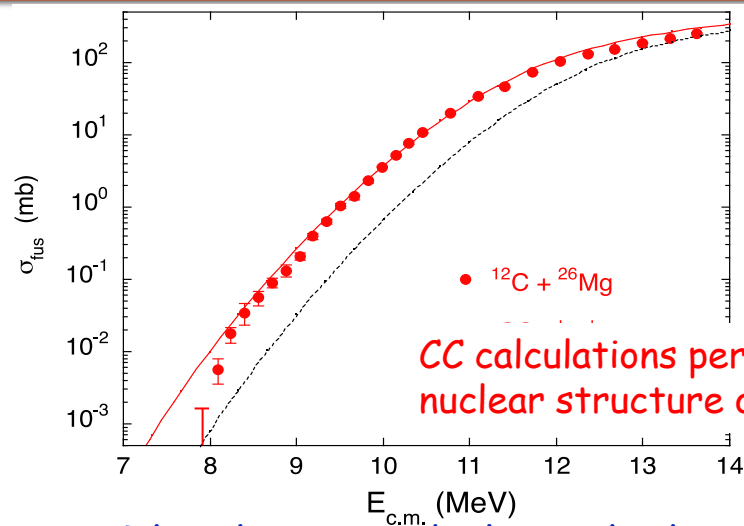




# Fusion hindrance effect

- Heavy-ion sub-barrier fusion hindrance is one of the links between nuclear physics and astrophysics
- Fusion hindrance has been recently observed even in **medium-light** systems
- Consequences for the **dynamics of stellar evolution** have to be clarified by further experimental and theoretical work.

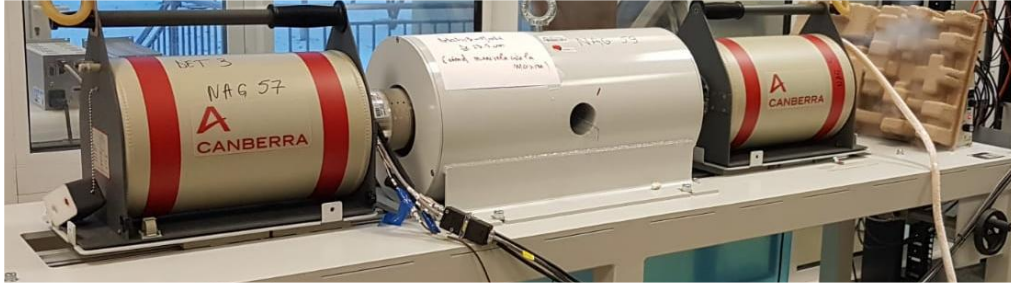
Fusion hindrance in the light heavy-ion systems of astrophysical interest is **not** well established nor understood



The physics underlying the hindrance phenomenon is **not yet** clarified. Recent theoretical developments imply the influence of the **Pauli exclusion principle**, or an adiabatic approach where the ion-ion interaction evolves from a two-body to a one-body potential. Q-value role cannot be neglect!!



# Fusion hindrance effect

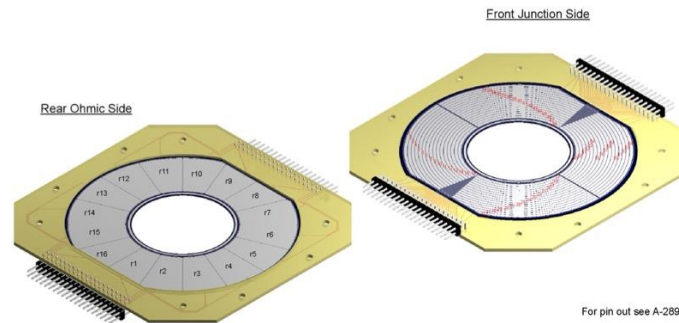


Fusion mechanism study for  $^{13}\text{C}+^{16}\text{O}$ ,  $^{12,13}\text{C}+^{19}\text{F}$ , ... using target activation method

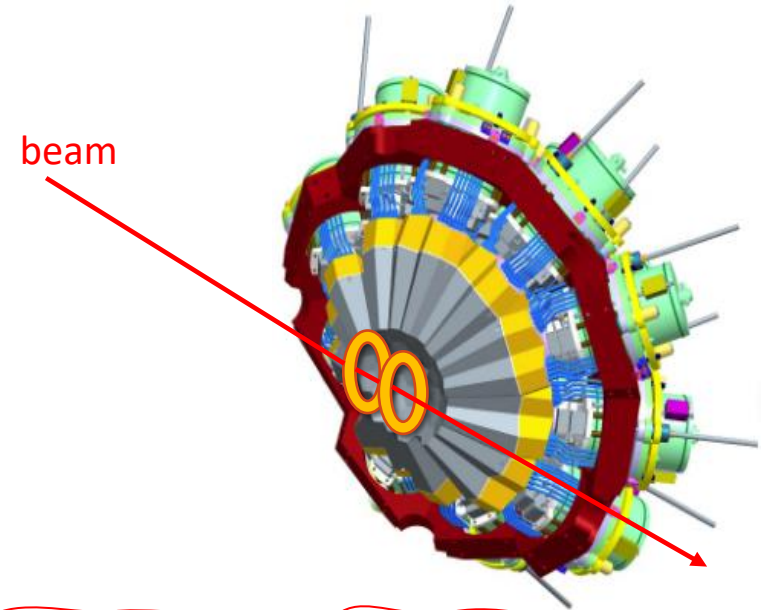


In collaboration with IFIN-HH (A. Spiridon) @BeGa station

Measuring fusion cross sections at astrophysical energies using **AGATA** and Si detectors for  $\gamma$ -particle coincidences



Sketch of the  $\gamma$ -array AGATA with two annular DSSD detectors upstream and downstream of the target

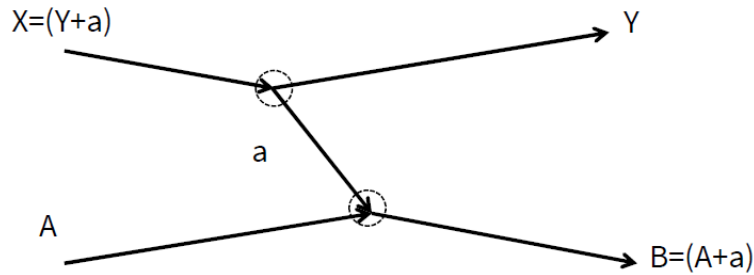


Next planned experiment: fusion of  $^{16}\text{O} + ^{12}\text{C}$



# Asymptotic Normalisation Coefficient

Studies performed by means of «simple» transfer reactions



The ANC method relies on the asymptotic behavior of the nuclear wave function at large distances from the core, where the Coulomb and nuclear interactions become negligible.

Using DWBA we were able to find the ANC's coefficients from the spectroscopic factors. This gives us some advantages:

- For peripheral reactions, ANCs have small dependence from the potential
- $R_{l_B, j_B, l_x, j_x}$  is nearly independent from  $b^2$
- ANCs are defined in the nuclear «exterior», so are «observable»

The method involves comparing the bound state wave function of a two-body subsystem with the scattering wave function of a third particle interacting with the nucleus.

The bound state wave function describes the internal structure of the two-body system, while the scattering wave function characterizes the interaction between the third particle and the nucleus.

In Distorted Wave Born Approximation, the transition amplitude between the states before and after the reactions can be written as:

$$M(E_i, \vartheta_{c.m.}) = \sum_{M_a} \langle \chi_f^{(-)} \mathbf{I}_{Aa}^B | \Delta V | \mathbf{I}_{Ya}^X \chi_i^{(+)} \rangle$$

$$\begin{aligned} \frac{d\sigma}{d\Omega} &= \sum_{j_B, j_x} (C_{Aa, l_B, j_B}^B)^2 (C_{Ya, l_x, j_x}^X)^2 \frac{\sigma_{l_B, j_B, l_x, j_x}^{DWBA}}{b_{Aa, l_B, j_B}^2 b_{Ya, l_x, j_x}^2} = \\ &= \sum_{j_B, j_x} (C_{Aa, l_B, j_B}^B)^2 (C_{Ya, l_x, j_x}^X)^2 R_{l_B, j_B, l_x, j_x} \end{aligned}$$

# Recent results of ANC



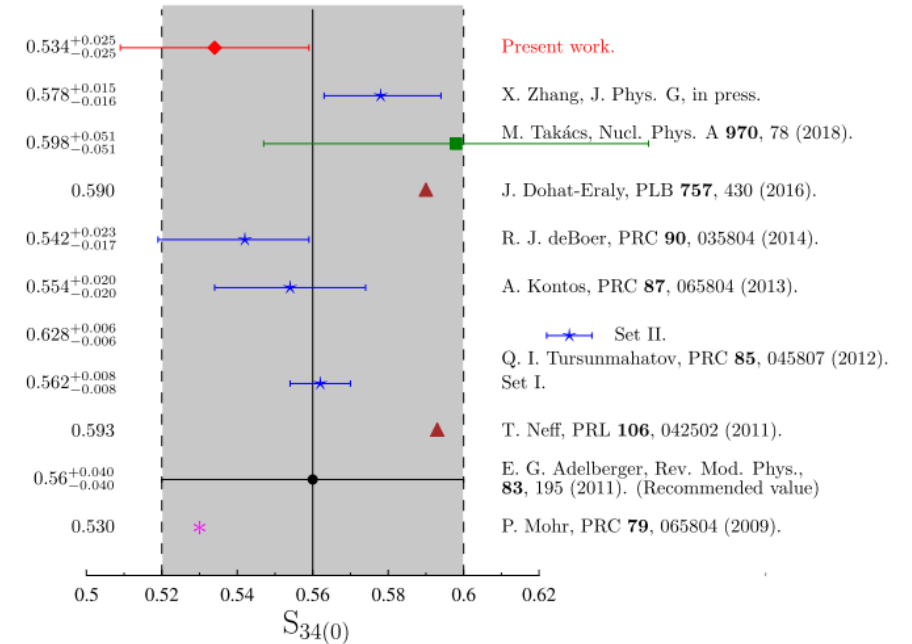
## Astrophysical S-factor for the ${}^3\text{He}(\alpha, \gamma){}^7\text{Be}$ reaction via the asymptotic normalization coefficient (ANC) method

G.G. Kiss<sup>a</sup>, M. La Cognata<sup>b,\*</sup>, C. Spitaleri<sup>b,c</sup>, R. Yarmukhamedov<sup>d</sup>, I. Wiedenhöver<sup>e</sup>, L.T. Baby<sup>e</sup>, S. Cherubini<sup>b,c</sup>, A. Cvetinović<sup>b</sup>, G. D'Agata<sup>b,c,f</sup>, P. Figuera<sup>b</sup>, G.L. Guardo<sup>b,c</sup>, M. Gulino<sup>b,g</sup>, S. Hayakawa<sup>b,h</sup>, I. Indelicato<sup>b,c</sup>, L. Lamia<sup>b,c,i</sup>, M. Lattuada<sup>b,c</sup>, F. Mudò<sup>b,c</sup>, S. Palmerini<sup>j,k</sup>, R.G. Pizzone<sup>b</sup>, G.G. Rapisarda<sup>b,c</sup>, S. Romano<sup>b,c,i</sup>, M.L. Sergi<sup>b,c</sup>, R. Sparta<sup>b,c</sup>, O. Trippella<sup>j,k</sup>, A. Tumino<sup>b,g</sup>, M. Anastasiou<sup>e</sup>, S.A. Kuvín<sup>e</sup>, N. Rijal<sup>e</sup>, B. Schmidt<sup>e</sup>, S.B. Igamov<sup>d</sup>, S.B. Sakuta<sup>l</sup>, K.I. Tursunmakhatov<sup>d,m</sup>, Zs. Fülöp<sup>a</sup>, Gy. Gyürky<sup>a</sup>, T. Szücs<sup>a</sup>, Z. Halász<sup>a</sup>, E. Somorjai<sup>a</sup>, Z. Hons<sup>f</sup>, J. Mrázek<sup>f</sup>, R.E. Tribble<sup>n</sup>, A.M. Mukhamedzhanov<sup>n</sup>

PHYSICAL REVIEW C **104**, 015807 (2021)

## Indirect determination of the astrophysical S factor for the ${}^6\text{Li}(p, \gamma){}^7\text{Be}$ reaction using the asymptotic normalization coefficient method

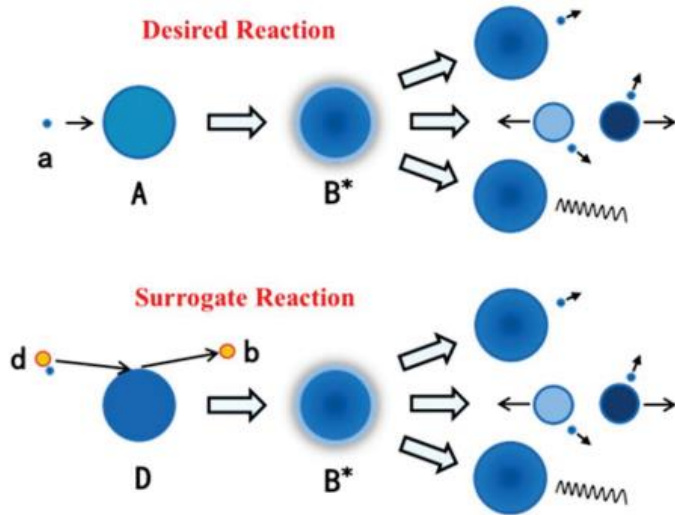
G. G. Kiss,<sup>1,\*</sup> M. La Cognata,<sup>2,†</sup> R. Yarmukhamedov,<sup>3,‡</sup> K. I. Tursunmakhatov,<sup>3,4</sup> I. Wiedenhöver,<sup>5</sup> L. T. Baby,<sup>5</sup> S. Cherubini,<sup>2,6</sup> A. Cvetinović,<sup>2</sup> G. D'Agata,<sup>7</sup> P. Figuera,<sup>2</sup> G. L. Guardo,<sup>2,6</sup> M. Gulino,<sup>2,8</sup> S. Hayakawa,<sup>9</sup> I. Indelicato,<sup>2,6</sup> L. Lamia,<sup>2,6,10</sup> M. Lattuada,<sup>2,6</sup> F. Mudò,<sup>2,6</sup> S. Palmerini,<sup>11,12</sup> R. G. Pizzone,<sup>2</sup> G. G. Rapisarda,<sup>2,6</sup> S. Romano,<sup>2,6,10</sup> M. L. Sergi,<sup>2,6</sup> R. Sparta,<sup>2,6</sup> C. Spitaleri,<sup>2,6</sup> O. Trippella,<sup>11,12</sup> A. Tumino,<sup>2,8</sup> M. Anastasiou,<sup>5</sup> S. A. Kuvín,<sup>5</sup> N. Rijal,<sup>5</sup> B. Schmidt,<sup>5</sup> S. B. Igamov,<sup>3</sup> S. B. Sakuta,<sup>13</sup> Zs. Fülöp,<sup>1</sup> Gy. Gyürky,<sup>1</sup> T. Szücs,<sup>1</sup> Z. Halász,<sup>1</sup> E. Somorjai,<sup>1</sup> Z. Hons,<sup>7</sup> J. Mrázek,<sup>7</sup> R. E. Tribble,<sup>14</sup> and A. M. Mukhamedzhanov<sup>14</sup>



**Astrophysical factor at Gamow energies for the Sun was extracted via the ANC method. For the  ${}^3\text{He}({}^4\text{He}, \gamma){}^7\text{Be}$  case, in good agreement with previous experiments**



# Surrogate reaction method



In the Surrogate Nuclear Reaction technique, a different reaction is used to populate the same compound nucleus (final-state nucleus) as the reaction of interest. This surrogate reaction is usually chosen because it has similar entrance channel properties as the reaction of interest.

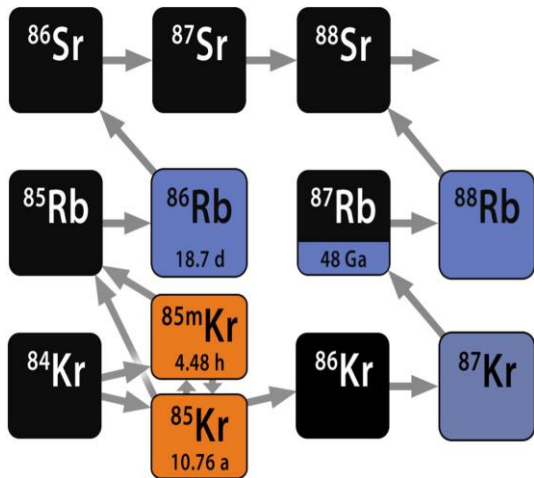
In the surrogate reaction, the projectile interacts with the target nucleus to form a compound nucleus in an excited state.

Although the compound nucleus is formed via a different reaction pathway, it shares similar properties with the compound nucleus produced in the direct reaction.



# Surrogate reaction method

Nucleosynthesis s-process:  
 $^{85}\text{Kr}$  branching

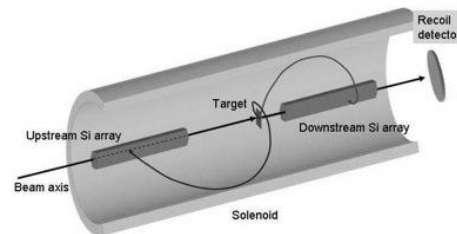


**Only at ANL:**

HELIOS solenoid

and high intensity radioactive beam  $^{85}\text{Kr}$

**→ Surrogate reaction: (d,p) instead of (n,gamma)**



**Contribution by the gamma group:** gamma detection in the solenoid → **MoU in preparation**

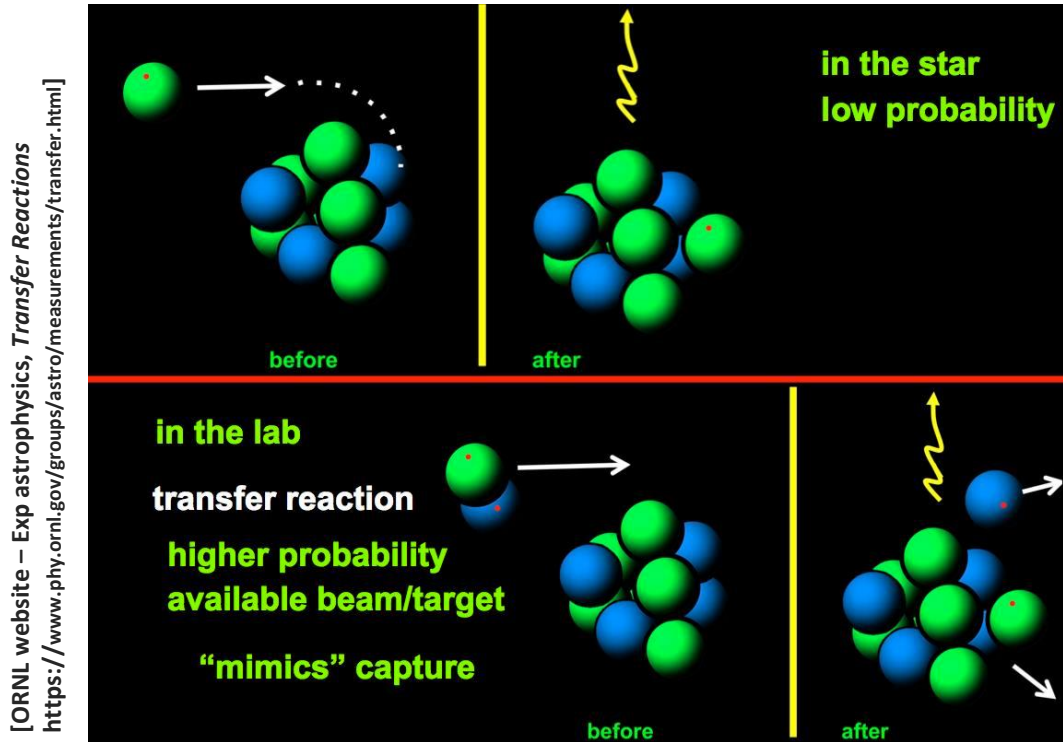


**NEW SOLENOID SOON @LNL**



# One-proton transfer reactions

(p,γ) reactions at deep **sub-coulomb energies** often play a role in several **astrophysics scenarios** → **rp-process**, novae synthesis, XRBs ...



- If there are resonances very close to the  $S_p$ , the **Gamow factor** can hinder the cross section of several orders of magnitude → exp. difficulties!
- We can estimate the **resonance strength** with an **indirect technique** based on the SF taken from (d,n) or ( $^3\text{He}$ ,d) reactions:

$$\omega\gamma = \frac{2J_R + 1}{(2j_p + 1)(2j_t + 1)} \frac{\Gamma_p \Gamma_\gamma}{\Gamma}$$

But near the threshold  $\Gamma_p \ll \Gamma_\gamma$ , and therefore  $\Gamma_p$  determine the resonance strength!

$\Gamma_p$  and  $C^2S$  are **connected** one another!

$$\Gamma_p = \frac{2\hbar^2}{\mu R^2} \times P_l \times C^2 S \times \theta_{sp}^2$$



SF → **reaction rate** estimate for several (p,γ) reactions

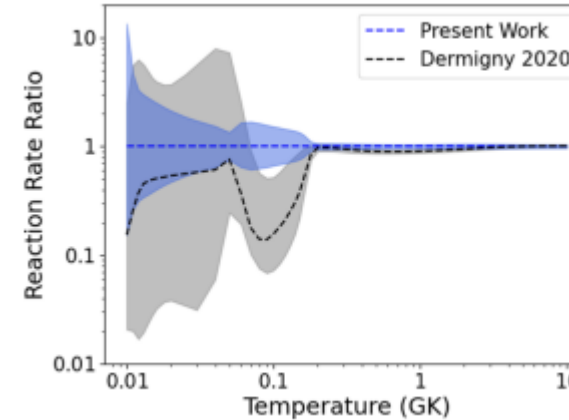
# Recent results of proton transfer reaction

PHYSICAL REVIEW C **105**, 015805 (2022)

Editors' Suggestion

## Experimental study of the $^{30}\text{Si}(^3\text{He}, d)^{31}\text{P}$ reaction and thermonuclear reaction rate of $^{30}\text{Si}(p, \gamma)^{31}\text{P}$

D. S. Harrouz<sup>1</sup>, N. de Séréville<sup>1,\*</sup>, P. Adsley<sup>2,3,†</sup>, F. Hammache<sup>1</sup>, R. Longland<sup>4,5</sup>, B. Bastin<sup>6</sup>, T. Faestermann<sup>7</sup>,  
R. Hertenberger<sup>8</sup>, M. La Cognata<sup>9</sup>, L. Lamia<sup>9,10</sup>, A. Meyer<sup>1</sup>, S. Palmerini<sup>11,12</sup>, R. G. Pizzone<sup>9</sup>, S. Romano<sup>9,10,13</sup>,  
A. Tumino<sup>9,14</sup> and H.-F. Wirth<sup>8</sup>



## The $^{32}\text{S}(^3\text{He}, d)^{33}\text{Cl}$ transfer reaction

An example of **transfer reaction** useful both for nuclear structure and astrophysics is the  $^{32}\text{S}(^3\text{He}, d)^{33}\text{Cl}$  reaction at **moderately-high bombarding** energies

- we can determine the  $C^2S_p$ , avoiding to detect neutrons as in the (d,n) case
- useful to understand the r.r. of the  $^{32}\text{S}(p, \gamma)^{33}\text{Cl}$  reaction (**rp-process**)

NUCLEX

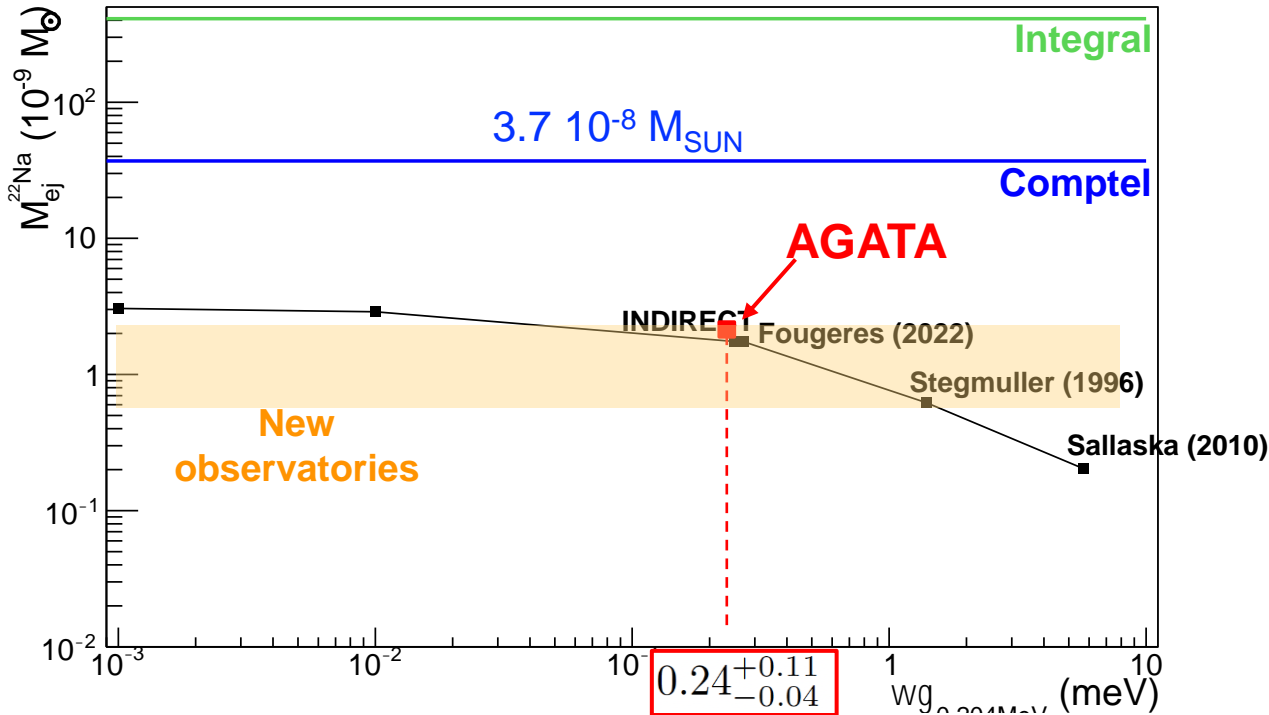
**TAU-DEU experiment at LNL (CN accelerator) with the OSCAR hodoscope**



# Nuclear lifetime measurements

The lifetime of nuclear states directly affects the transition probabilities governing nuclear reactions, which in turn influence the reaction rates and the astrophysical processes they govern

$^{26}\text{Al}$  and  $^{22}\text{Na}$  are produced in largest amount useful for identification & study novae outbursts as proposed by F. Hoyle and D. Clayton (1974)

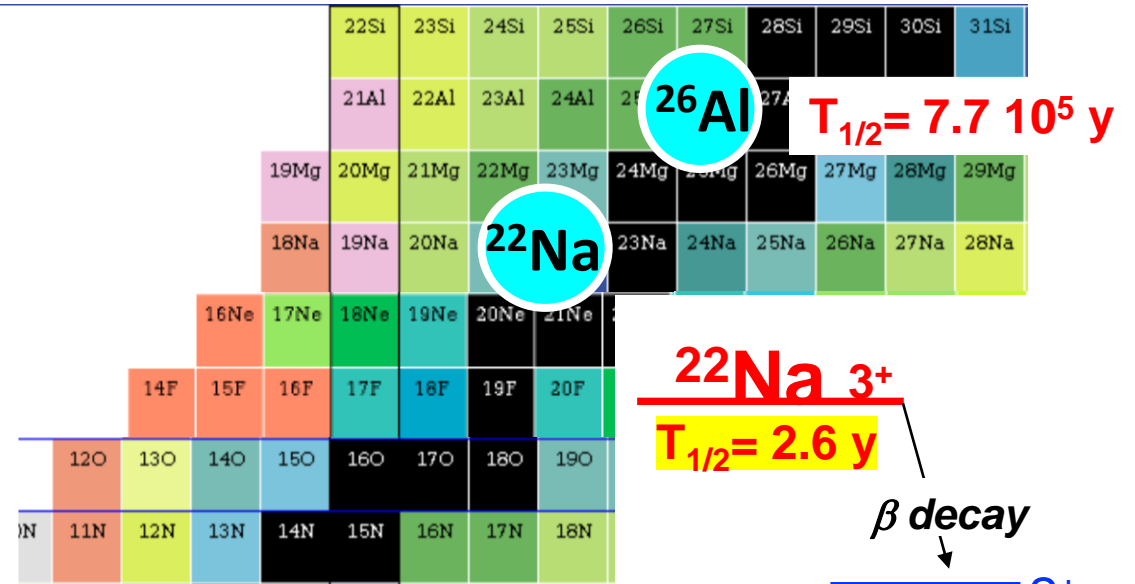


nature communications  
5<sup>th</sup> September 2023

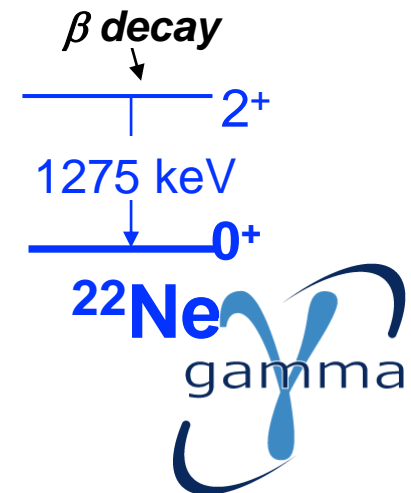
Article

**Search for  $^{22}\text{Na}$  in novae supported by a novel method for measuring femtosecond nuclear lifetimes**

C. Fougères et al.,   
AGATA experiment  
<https://doi.org/10.1038/s41467-023-40121-3>



**1275-keV line is ideal to**  
- localize the source  
- study stellar properties:  
initial luminosity  
accretion rate, ...



# Conclusions & perspectives

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**A - INFN has a long history on Indirect Methods for Astrophysics with different approach and several outstanding results over the years;**

**B - This expertise covered various astrophysics scenarios, giving new cross section values at Gamow energy;**

**C - Open the path to the application with radioactive beam measurements;**

**D – New detectors and approach are under development to face new quests in multimessenger era**

**Methods complementary to direct measurements  
(Multi Diagnostic Experiments)**

