From soft to hard observables: recent experimental results from ALICE









Nuove frontiere della fisica nucleare fondamentale e applicata





The physics of ALICE



Quark-gluon plasma (QGP): deconfined state of strongly-interacting QCD matter

- Main goal of the ALICE Physics program: study the properties and the evolution of a heavyion collision, with a particular attention to the QGP state
- Rich program of measurements in small systems, namely pp and p-Pb collisions
 - **reference** measurements for interpreting heavy-ion results (e.g. vacuum production, Cold Nuclear Matter effects)
 - characterization of high-multiplicity events and search for collectivity in small systems

The physics of ALICE

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- Very broad and multidisciplinary physics program
- Only a selection of results shown in the following slides !
- A more comprehensive summary of ALICE highlights in Run 1 & 2 available in the ALICE review paper





The ALICE detector in Run 1 & Run 2



- Designed to study the QGP and heavy-ion collisions
- Excellent tracking, vertexing and PID up to very high multiplicities and low transverse momentum

The ALICE detector in Run 3

ALICE upgrades: arXiv:2302.01238 ITS: NIM 1032(2022)166632 TPC: JINST 16 P03022 (2021) MFT: CDS link FIT: NIM 1039 (2022) 167021



<u>Upgraded</u> Time Projection Chamber: new readout chambers with Gas Electron Multipliers (GEM)

Upgraded Inner tracking system: 7 layers (10 m² silicon tracker) based on MAPS. First detection layer at 23 mm (thanks to the reduced beam pipe radius)





New Fast Interaction Trigger: interaction trigger, online luminometer, forward multiplicity

New Forward Muon Tracker: 5 planes of MAPS at forward rapidity, forward vertexing and tracking for muons



ALICE Run 3 data taking... a glimpse



ALICE Run 3 data taking... a glimpse



ALICE performance in Run 3

- Continuous readout ~
 - **500 kHz in pp** (software trigger for selecting rare events) •
 - goal: 50 kHz in Pb-Pb (x 50 compared to Run 2) •
 - between 500 and 1000 more events compared to Run 2! •



Excellent performance of the detector in Run 3!

ALICE Performance



The physics of ALICE



Quarkonia: dissociation vs regeneration



- Models including regeneration mechanism in fair agreement with data
 - Statistical Hadronization (SHMc): all charmonia produced at the QGP phase boundary with thermal weights
 - Transport model (TAMU): solve Boltzmann equation with gain (regeneration) and loss (melting) terms
- large uncertainties on the models arise from charm cross sections and poor constrained nuclear PDF



0.025

Quarkonia: dissociation vs regeneration

PRL 132 (2024) 042301



- $\Psi(2S)$ more suppressed compared 1 to J/ψ
- ψ -to-J/ ψ ratio: powerful tool for 1 disentangle among different regeneration scenarios
 - good agreement with transport model; tensions visible with SHMc at higher centralities

LI-PUB-528400

SHMc: Andronic A. et al., Phys. Lett. B797 (2019) 134836. TAMU: Du X. and Rapp R., Nucl.Phys.A 943 (2015) 147-158

Test energy loss mechanisms via prompt / non-prompt D mesons

Primary Vertex B B flight axis Q B flight axis

- Larger suppression observed for prompt compared to nonprompt D⁰ (proxy of beauty hadrons) above 5 GeV/c
 - expected due to "dead-cone" effect (mass dependence of radiative energy loss)
- ✓ Well described within uncertainties by TAMU, CUJET3.1, LGR and MC@sHQ+EPOS2 → all, but TAMU, include both radiative and collisional energy loss mechanisms
- ✓ Coalescence can explain the minimum observed at low p_⊤ in● the non-prompt to prompt D⁰ R_{AA} ratio
- ✓ Usage of charm (m_c) in place of beauty (m_b) quark mass significantly understimates the ratio at intermediate / high p_{T} \rightarrow importance of radiative energy loss contribution !



JHEP 12 (2022) 126

The physics of ALICE



Interaction with medium and Hadronization





$$\frac{dN}{d\varphi} \propto 1 + 2\sum_{n=1}^{\infty} v_n \cdot \cos[n(\varphi - \Psi_{\rm RP})] \quad v_n = \langle \cos[n(\varphi - \Psi_{\rm RP})] \rangle$$

- pressure gradients convert any initial geometrical anisotropy into one in the momentum space
- ✓ anisotropy quantified by the 2nd order coefficient v_2 of the Fourier expansion

Anisotropic flow of identified hadrons

JHEP 05 (2023) 243



- ✓ Mass ordering at low $p_{_{T}}$
- ✓ Meson-baryon splitting at intermediate $p_{_{T}}$

Anisotropic flow of identified hadrons

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- ✓ Meson-baryon splitting at intermediate $p_{_{T}}$

 Overall good description provided by CoLBT model (including hydro+coalescence+fragmentation)

Thermalisation of heavy-flavour hadrons in QGP



Phys. Lett. B 813 (2021) 136054

Phys. Rev. Lett. 126 (2021) 162001

JHEP 09 (2018) 006 JHEP 10 (2020) 141

- ✓ Positive v_2 for heavy-flavour hadrons → consistent with the participation of charm / beauty quarks to collective motion in QGP medium
 - clear mass hierarchy at low- p_T : $v_2(\pi) > v_2(D) > v_2(J/\psi)$
 - specie independent v_2 at high- p_T
 - positive v_2 for beauty-hadron decay electrons
 - no significant flow for Υ(1S) state
- Qualitatively well described by transport model including hadronization via coalescence / regeneration

TAMU: M. He et al. PLB 735 445-450 (2014)

Thermalisation of heavy-flavour hadrons in QGP





 Significant v₂ measured for nonprompt D mesons (significance: 2.7σ)

arXiv:2307.14084

- ✓ v_2 (prompt D) > v_2 (non-prompt D) with a significance of 3.2 σ in 2 < p_T < 8 GeV/c
- Described by models including hadronization via coalescence and fragmentation

TAMU: M. He et al. PLB 735 445-450 (2014) LIDO: W. Ke et al. Phys. Rev. C 98, 064901 (2018) LGR: S. Li et al. Eur. Phys. J. C (2020) 80 LBT: S. Cao et al. arXiv:1703.00822 Langevin: S.-Q. Li et al. Chin. Phys. C 44(2020)11, 114101

The physics of ALICE



Light-flavour hadron abundances at the freeze-out



- Production of light-flavour hadrons well described by Statistical Hadronization Model (SHM) fit over 9 orders of magnitude (Grand Canonical ensemble forumulation)
- Hadron yields can be described as emerging from a hot Hadron-Resonance Gas in thermal equilibrium
 - At LHC: $\mu_{\rm B} \sim 0$, $T_{\rm ch} \sim 156$ MeV
- Precise determination of the parameters thanks to the wide variety of particle yields available with good experimental precision

Antimatter / matter imbalance at the LHC

arXiv:2311.13332



✓ Reduced uncertainties on $\mu_{\rm B}$ w.r.t. global SHM fit thanks to the cancellation of correlated uncertainties in the ratio



The physics of ALICE



From large to small systems...

See also talks by: Michele Pennisi, 26th Feb., 09:50 Sara Pucillo, 26th Feb., 15:10

Particle production across systems



 Smooth trend of multiplicity dependent particle production ratios from pp to Pb-Pb multiplicities

arXiv:2211.04384

 Is charged particle multiplicity the relevant parameter to explain strangeness enhancement (or other "QGP-like" effects) in small systems ?

Collectivity in small systems ?



→ Common mechanism at the origin of the flow in large and small systems ?

ALI-PREL-503272

- Similar mass ordering and meson-baryon splitting in p-Pb collisions as observed in Pb-Pb collisions
- \checkmark Comparison with models indicate that coalescence is needed to describe the flow at intermediate $p_{\rm T}$
- ✓ Collective behaviour observed in p-Pb collisions also for J/ ψ , but only at high p_{T}

The "baryon anomaly" in the HF sector



- ✓ First measurement of Λ_c production down to $p_T = 0$ in small systems !
- ✓ Enhancement of Λ_c/D^0 ratio at low and intermediate momentum w.r.t. e⁺e⁻ results (LEP average: 0.113 ± 0.013 ± 0.006 [EPJC 75 (2015) 19])
 - Significantly underestimated by **PYTHIA8** Monash tune (which incorporates fragmentation parameters from e⁺e⁻ data)
- Data qualitatively reproduced by models implementing baryon to meson ratio enhancement via various mechanisms (color reconnection, feed-down from unobserved resonant charm baryon states, quark coalescence)

PYTHIA8: EPJC 74 (2014) 3024 PYTHIA 8 CR Mode: JHEP 08 (2015) 003 Catania: PLB 821 (2021) 136622 SHM + RQM: PLB 795 (2019) 117-121, PRD 84 (2011) 014025 QCM:EPJC 78 (2018) 344

Charm quark production and fragmentation in small systems

Phys. Rev. C 107 (2023) 064901



- independent of \sqrt{s}
- consistent with system size
- Significantly enhanced w.r.t. e⁺e⁻ and ep → **breaking** of the universality of fragmentation functions!

FONLL: JHEP 05 (1998) 007 NNLO: PRL 118 (2017) 122001 Charm quark production cross section at midrapidity is at the upper boundary of state-of-art pQCD calculations

Summary

- Impressive collection of physics results from Run 1 & 2 !
- Detailed insight into initial and final stages of heavy-ion collisions at the LHC
- Intriguing results in small collisions systems
- Efficiently Run 3 data taking ongoing ~
 - \rightarrow preliminary measurements released for QM2023 !





See also talk by Giovanni Malfattore, 27th Feb., 9:00

Outlook: Run4 and beyond

ITS3: Lol: CERN-LHCC-2019-018 TDR: in preparation

FOCAL:

LoI: ALICE, LHCC-I-036 (2020)



- Main motivations:
 - Improve performance for **open heavy-flavour** and **dielectron** measurements

measurement of medium

temperature!



- particles (and much more!)
 - direct tracking of Ξ / Ω baryons (strangeness tracking) \rightarrow full reconstruction of LI-SIMUL-510894 ٠ multi-charm baryon decay vertices

 $p_{_{T}}(\text{GeV}/c)$

Outlook: Run4 and beyond



poster by Angelo Colelli talk by Riccardo Ricci, 27th Feb., 9:20 poster by Alessandro Sturniolo

talk by Giulia Gioachin, 27th Feb., 10:10 talk by Nicola Nicassio, 27th Feb., 9:40 talk by Bianca Sabiu, 27th Feb., 10:00



Open-charm hadronization in medium



Hadronization in presence of medium happens via fragmentation and coalescence

Phys. Lett. B 839 (2023) 137796



- Intermediate p_{T} : hint at hierarchy $R_{AA}(\Lambda_{c}) > R_{AA}(D_{c}) > R_{AA}(D)$ in centrality 0-10% (less pronounced in semicentral collisions) \rightarrow indications that hadronisation occurs via coalescence • $R_{AA}(D_s) > R_{AA}(D) \rightarrow$ possible modifications of hadronisation in a strangeness enriched medium
- \checkmark $R_{AA}(\Lambda_{a}) \approx R_{AA}(D_{a}) \approx R_{AA}(D)$ for $p_{T} > 10$ GeV/ $c \rightarrow$ indication that fragmentation is the dominant mechanisms

Upgrade physics goals and requirements

- **Heavy flavour hadrons** at low pT (charm and beauty interaction and hadronisation in the QGP)
- **Quarkonia** down to pT = 0 (melting and regeneration in the QGP)
- Thermal dileptons, photons, vector mesons (thermal radiation, chiral symmetry restoration)
- Precision measurements of **light (hyper)nuclei** and searches for **charmed hypernuclei**
- → Increased effective acceptance (acceptance x readout rate)
 → Improved tracking and vertexing performance at low pT for background suppression
- \rightarrow PID capabilities: preserve in ALICE2 Run4 and enhance in ALICE3



Dilepton sources & background



– Direct determination of the medium temperature from the exponential fit of (background subtracted) m_{ee} distribution in the intermediate mass region

- Challenge:
 - large combinatorial background

physical background from
 pseudoscalar and vector mesons +
 dileptons from semileptonic HF decays
 (dominant)

ALICE3 – physics goals: dileptons



– significantly suppressed background originated from HF semileptonic decays!

– extremely precise determination of medium temperature, for the first time differentially in pT_{ee}



ALICE3 – physics goals: HF



– determination of multi-charm hadron abundances \rightarrow important input for testing SHM model (abundances dependent on fugacity g_c^n , with n = content of charm quarks)

– Silicon layers inside the beam pipe allow for direct tracking of Ξ/Ω baryons (strangeness tracking)

 \rightarrow full reconstruction of multi-charm baryon decay vertices

Charm hadron abundances



SHMc: JHEP 07 (2021) 035 [1] IHEP 01 (2022) 174

- Statistical hadronization model for charm hadrons
 - Thermal parameters (T and V) extracted from fitting LF hadron abundances
 - Charm quarks abundance determined in the initial hard scattering
 - total cc cross section extracted from prompt D^o measurements in Pb-Pb [1]
 - Partially thermalized charmed quarks distributed into charmed hadrons at the phase boundary according to thermal weights, based on existing charmed meson and baryons in the PDG
 - $\Lambda_{c} p_{T}$ -integrated yied underestimated
 - Agreement with $\Lambda_{\rm c}$ improves assuming an additional set of excited charmed baryons

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J/ψ polarization w.r.t. event plane



– Polarization: angular
 distributions of decay products
 w.r.t. a polarization axis

- Event Plane based frame (EP):

axis orthogonal to the event plane in the collision center of mass frame

 Event Plane normal to B and L

- Heavy quarks produced early in the collisions can experience both *B* and *L* originated in the initial stage !



 $W(\theta) \propto \frac{1}{3 + \lambda_{\theta}} (1 + \lambda_{\theta} \cos^2 \theta)$

- ✓ Significant polarization (3.5 σ) in 40-60% and 2 < p_{T} < 6 GeV/c
- Small centrality dependence

D^{*+} polarization w.r.t. the event plane

- ✓ 0-10% → compatible with no polarization
- ✓ 30-50% → ρ_{00} >1/3 at high- p_{T} , compatible with non-zero polarization
- ✓ Polarization sign opposite w.r.t. previous observations for low $p_{\rm T}$ J/ψ (and light vector mesons)
- ✓ Theory guidance is needed



V_2^2 - $[p_T]$ correlations in small systems

✓ Correlations between average p_{T} and flow coefficients → in heavy-ion collisions sensitive to the overlap region shape and size in the initial state



- **Shape** of the fireball: $\varepsilon_2 \rightarrow$ observable: v_2
- **Size** of the fireball: $R \rightarrow$ observable: radial flow $\propto p_{T}$
- ✓ Positive correlation measured between v_2 and p_T
- similar trend vs N_{ch} in all collision systems suggests common mechanisms at play
- IP-Glasma + MUSIC + UrQMD (with and w/o initial momentum anisotropy) fails to describe the data
 - Initial state effects likely not well modeled in state-of-art models

Extract medium parameters using $R_{AA} \& V_2$ measurements

JHEP 01 (2022) 174

--- LBT

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5678910

MC@sHQ+EPOS2

POWLANG-HTL

- ✓ First measurement of D⁰ meson R_{AA} in Pb-Pb collisions down to $p_T = 0$
- ✓ The simultaneous description of R_{AA} and v₂ in central and semicentral collisions is a challenge for theoretical models







Centrality 30–50%, |v| < 0.8

TAMU

DAB-MOD

LIDO

PHSD

Catania

> 0.35

0.30

0.25

0.20

0.15

0.10

0.05

0.00 -0.05

-0.10

20 30

 p_{\perp} (GeV/c)

Extract medium parameters using $R_{AA} \& V_2$ measurements

JHEP 01 (2022) 174

- ✓ First measurement of D⁰ meson R_{AA} in Pb-Pb collisions down to $p_T = 0$
- ✓ The simultaneous description of R_{AA} and v₂ in central and semicentral collisions is a challenge for theoretical models
- Few models that are in fair agreement with both observables used to constrain the heavy-quark spatial diffusion coefficient:

 $1.5 < 2\pi D_{\rm s} T_{\rm c} < 4.5$

 \rightarrow narrower interval w.r.t. previous estimations based on D-meson measurements at LHC energies



Open-charm hadronization in medium



TAMU: PRL 124, 042301 (2020) PHSD: PRC 93, 034906 (2016) LGR: EPJC, 80 7 (2020) 671 CATANIA: PRC 96, 044905 (2017) Strange and non-strange D mesons R_{AA} well described by models including:

Phys. Lett. B 827 (2022) 136986

- Strangeness enhancement and charm coalescence
- Hadronization of charm via fragmentation or according to statisical weights
- Radiative processes needed to describe the data at high p_T

The "baryon anomaly" in the HF sector

Phys. Rev. C 107 (2023) 064901 Phys. Rev. D 108 (2023) 112003



• More precise data from Run 3 will help to constrain models

Anisotropic flow of identified hadrons

JHEP 05 (2023) 243



- ✓ Mass ordering at low $p_{\rm T}$ and meson-baryon splitting at intermediate $p_{\rm T}$
- Overall good description provided by CoLBT model (including hydro+coalescence+fragmentation)

CoLBT: W. Zhao et al., PRL 128 (2022) 022302

- Approximate scaling with number of constituent quarks observed (accuracy 20%)
 - Coalescence contribution needed for describing data at intermediate $p_{\rm T}$ (but not the only mechanism at play)



Heavy flavour: testing in medium interaction



- Interaction with QGP medium constituents
 - energy loss of heavy quarks via elastic collisions and/or radiative processes
 - \checkmark low-p_T heavy quarks: thermalisation in the medium?
 - ✓ dissociation of quarkonium states via color debye screening (τ_{oo} ≈ 0.2-2 fm/c)





Heavy flavour: testing in medium interaction and hadronization



- Hadronization in presence of medium:
 - ✓ fragmentation \rightarrow energy-loss of partons modifies the fraction of the parton momentum z_{d} taken by the hadron
 - Coalescence / regeneration
 - ✓ Through the QGP / mixed phases → partons close in space and with similar velocities can recombine into hadrons

[V. Greco et al., PRL 90, 202302 (2003)]

✓ At the chemical freeze-out → hadrons are formed according to thermal weights at the chemical freeze-out

[A. Andronic et al., PLB 659 (2008) 149-155]

Anisotropic flow of identified hadrons

JHEP 05 (2023) 243



- Mass ordering at low $p_{\rm T}$ and meson-baryon splitting V at intermediate $p_{\rm T}$
- Overall good description provided by CoLBT model 1 (including hydro+coalescence+fragmentation)

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