

Development of the ALICE Inner Tracking System 3

Riccardo Ricci

University and INFN, Salerno

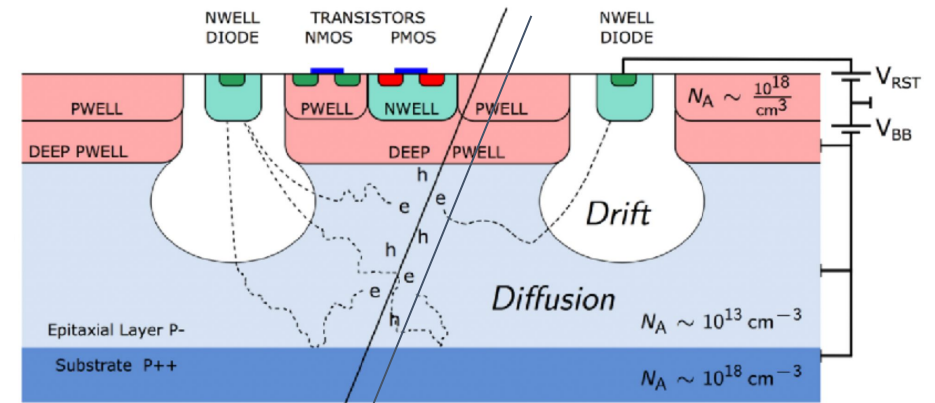
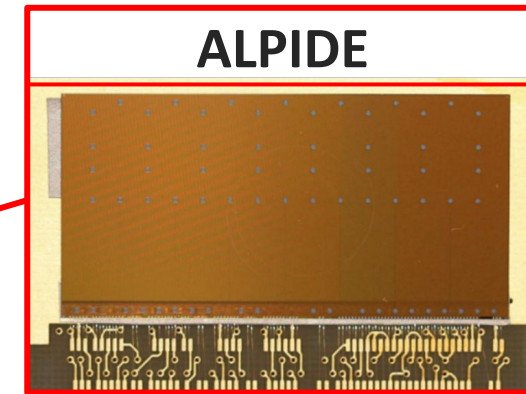
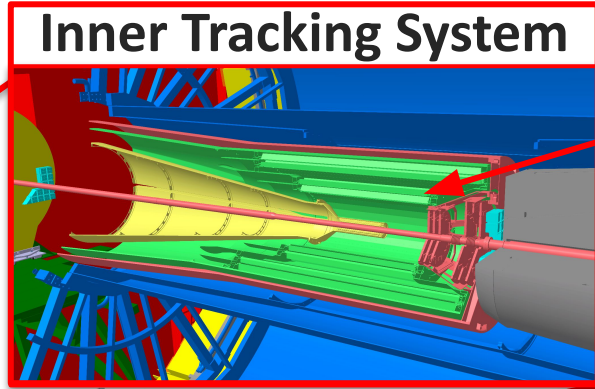
On behalf of the ALICE Collaboration

INFN2024 - Sesto Incontro Nazionale di Fisica Nucleare

Trento - Sala della Cooperazione, 27/02/2024



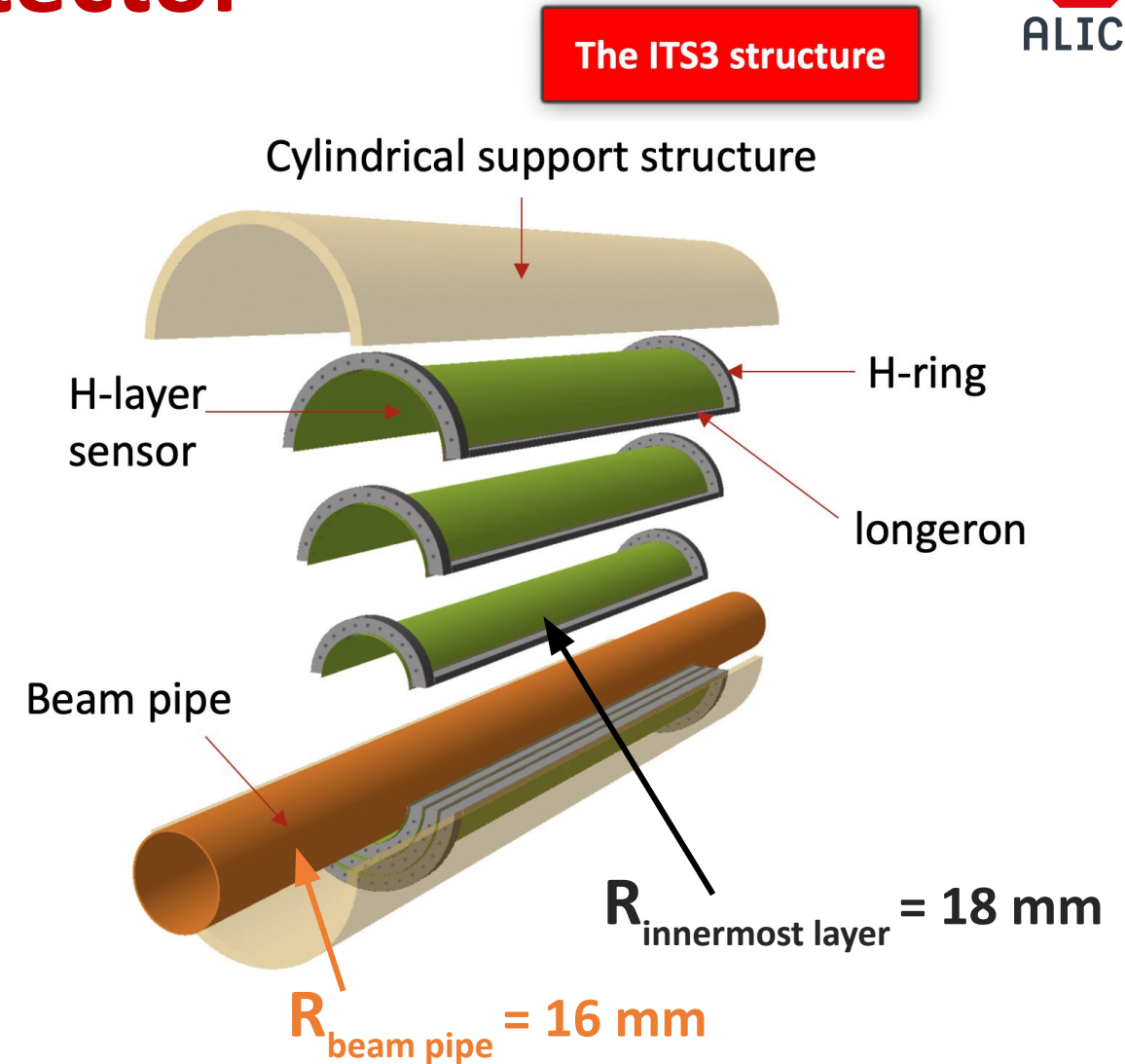
ALICE Inner Tracking System (ITS)



- The ALICE vertex detector (**ITS** = Inner Tracking System) has been upgraded during LHC Long Shutdown 2 (LS2) in preparation for Run3 → 23 mm from the Interaction Point (innermost layer)
- **High tracking performance** also at low p_T (100 μm pointing resolution at $p_T = 200$ MeV)
- Built using **ALPIDE**, a Silicon **pixel chip** based on 180 nm Monolithic Active Pixel Sensor (MAPS)

The ITS3 - a bent vertex detector

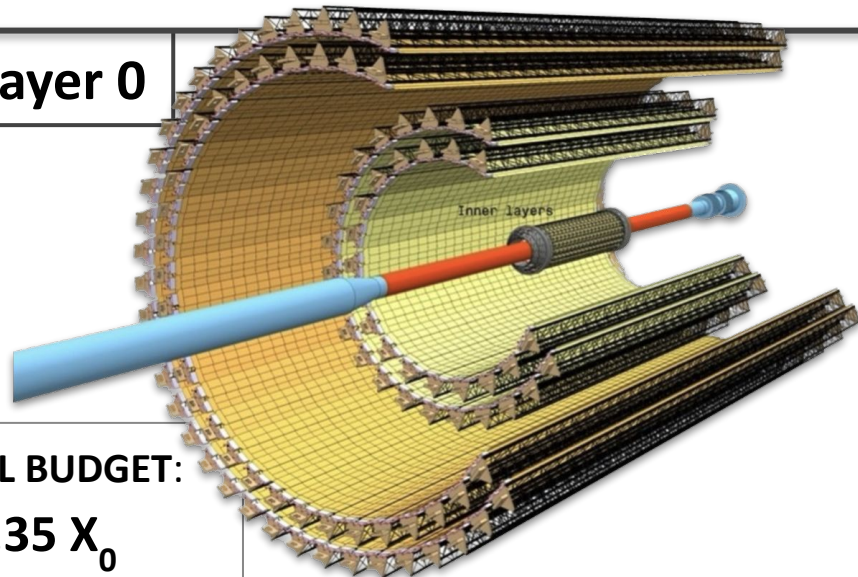
- Ready for LHC RUN 4 - mounted during LS3
- Built using **wafer-scale MAPS sensors**, fabricated using **stitching**
- **Thinned $\leq 50 \mu\text{m}$** , when Si is **flexible**
- Mechanically held in place thanks to carbon foam ribs
- **Bent** to the target radius (18 mm, **closer** to the Interaction Point thanks to the new beam-pipe at 16 mm)
- Better tracking efficiency, less power consumption
- ITS3 will replace 3 innermost ALICE Inner Tracking System 2 (ITS2) layers with only **6 sensors** 26 cm long



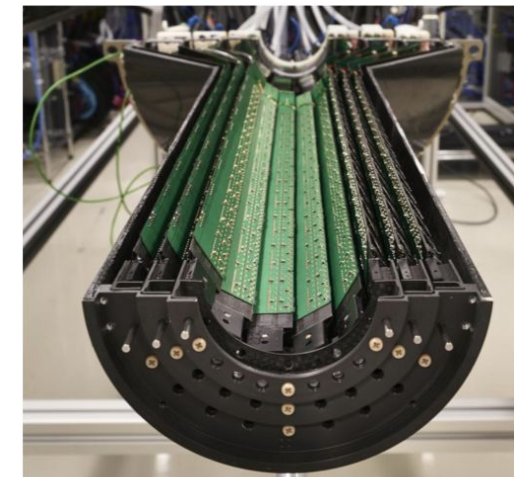
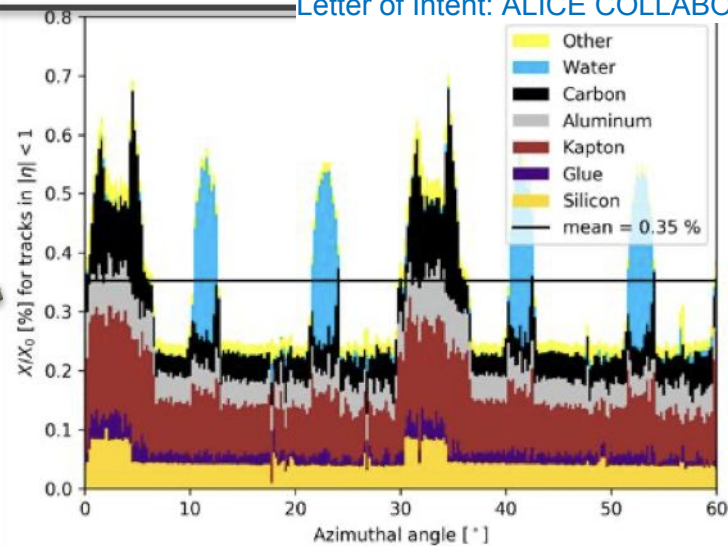
Material budget contribution in the ITS3

Letter of Intent: ALICE COLLABORATION, CERN-LHCC-2019-018. LHCC-I-034

ITS2, Layer 0

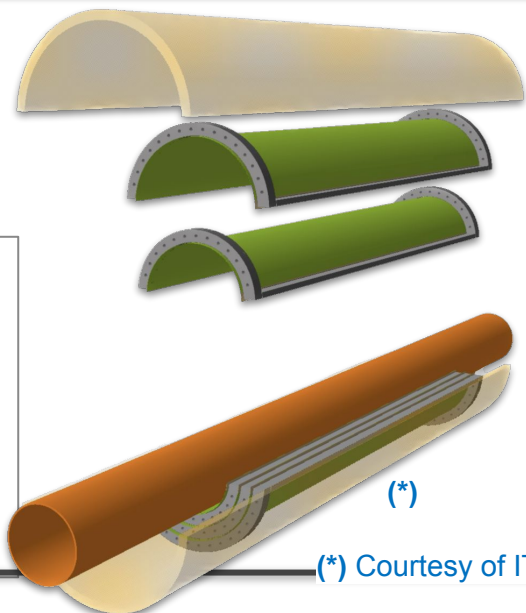


MATERIAL BUDGET:
up to $0.35 X_0$

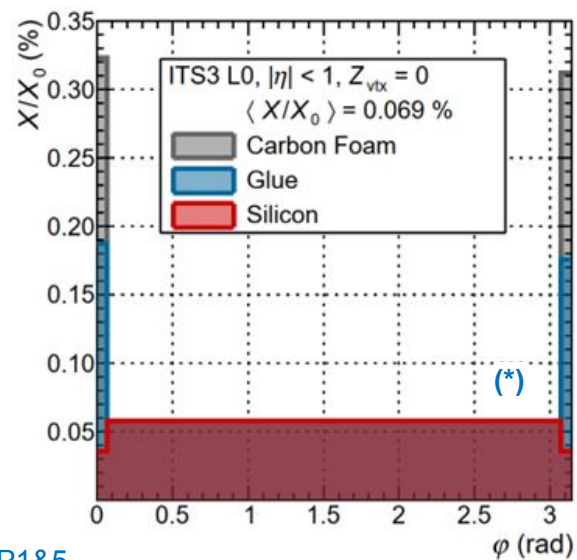


ITS3, Layer 0 Silicon-only contribution

MATERIAL BUDGET
 $\lesssim 0.07 X_0$, assuming
final sensor thickness $\leq 50 \mu\text{m}$,
implementing **air cooling**,
removing aluminum structure,
glue...



(*) Courtesy of ITS3 WP1&5



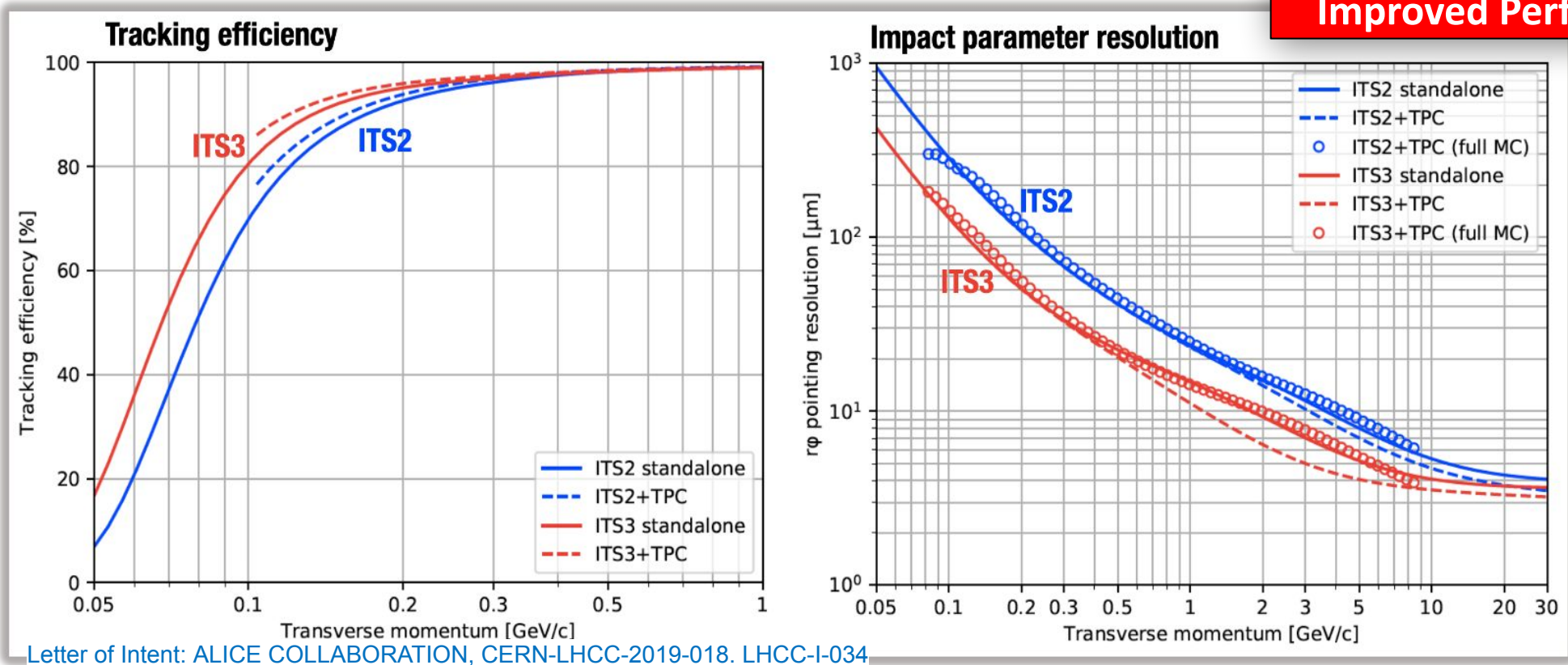
Temperature gradient
simulation with [air cooling](#)



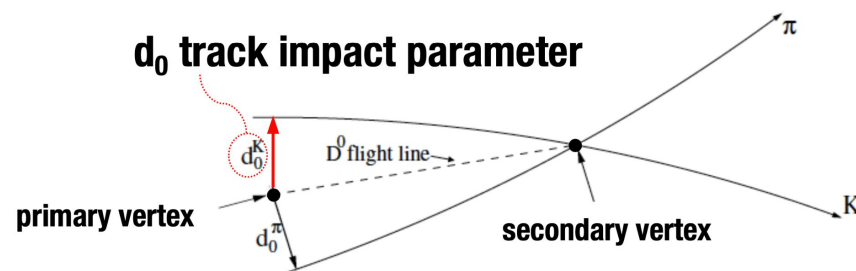
Carbon foam [structure](#) to
keep the sensors in place

Performance of the ITS3

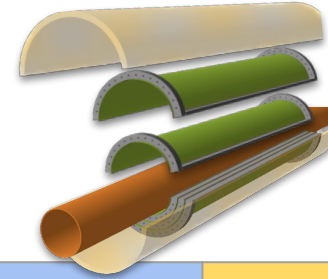
Improved Performance



- less material budget, closer to the IP, less inhomogeneities
- impact-parameter resolution improved by a factor two with respect to the current ITS2



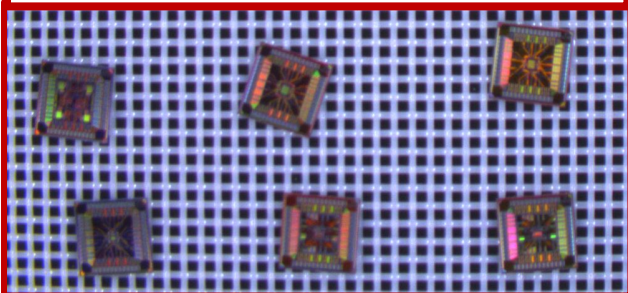
ITS3 roadmap



Multi Layer Reticle 1 (MLR1)

First submission using a new CMOS TPSCo* 65 nm technology

- Many prototypes
- Aim: technology qualification
- Small scale sensors

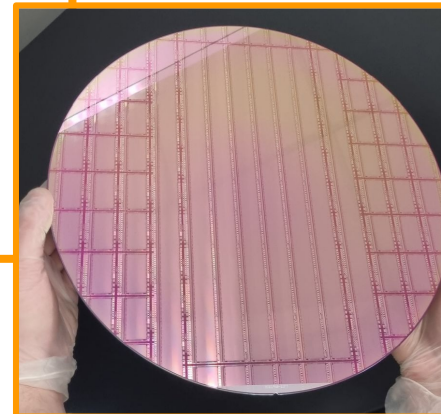


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Engineering Run 1 (ER1)

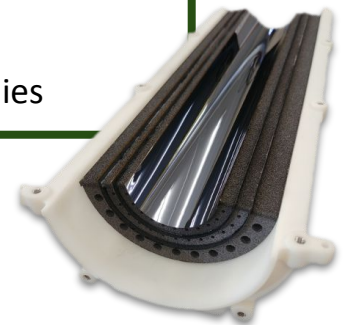
First stitched (wafer-scale) prototype

- 1D stitching
- First working stitching prototype in HEP
- First tests in 2023, July



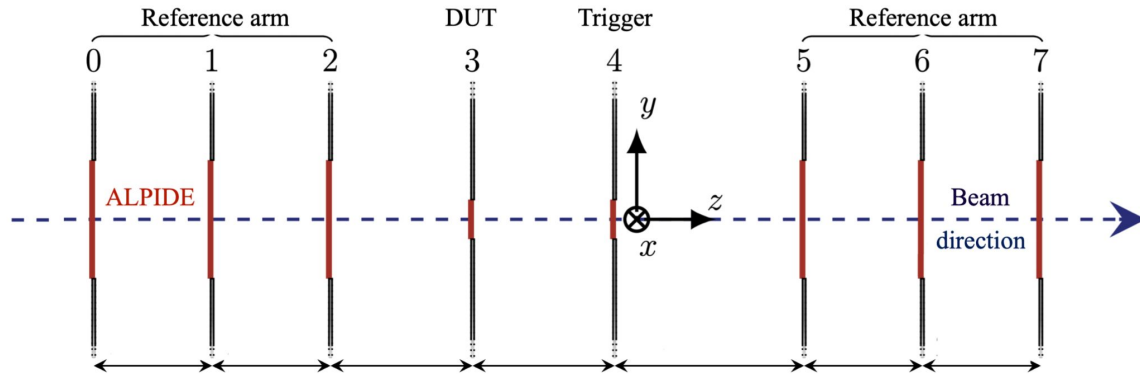
Engineering Run 2 (full sensor) + Engineering Run 3 (final sensor)

- 2D stitching
- final size sensor studies



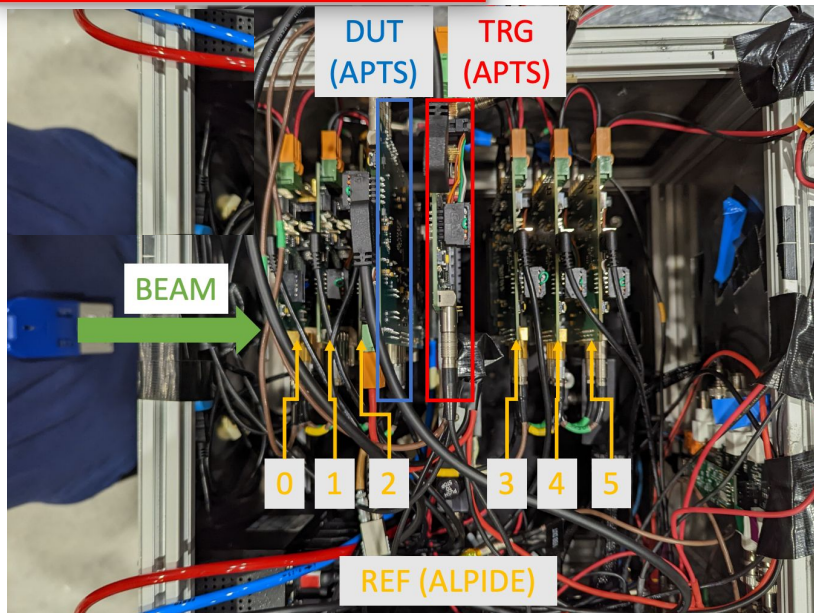
*Tower Partner Semiconductor Co.

Beam tests - setup and analysis



- After characterisation in laboratory
→ ionising particle beam
- **Telescope:** 5 or 6 reference ALPIDE chip planes for track reconstruction
- **Trigger:** chip or scintillator depending on specific purpose
- **Goal:** measure tracking efficiency and spatial resolution performance of the sensor

TESTBEAM TELESCOPE



- Data analysis performed using *Corryvreckan**
 - software written in C++
 - used for test beam data reconstruction and analysis
 - it performs offline event building also in high complexity data-taking conditions

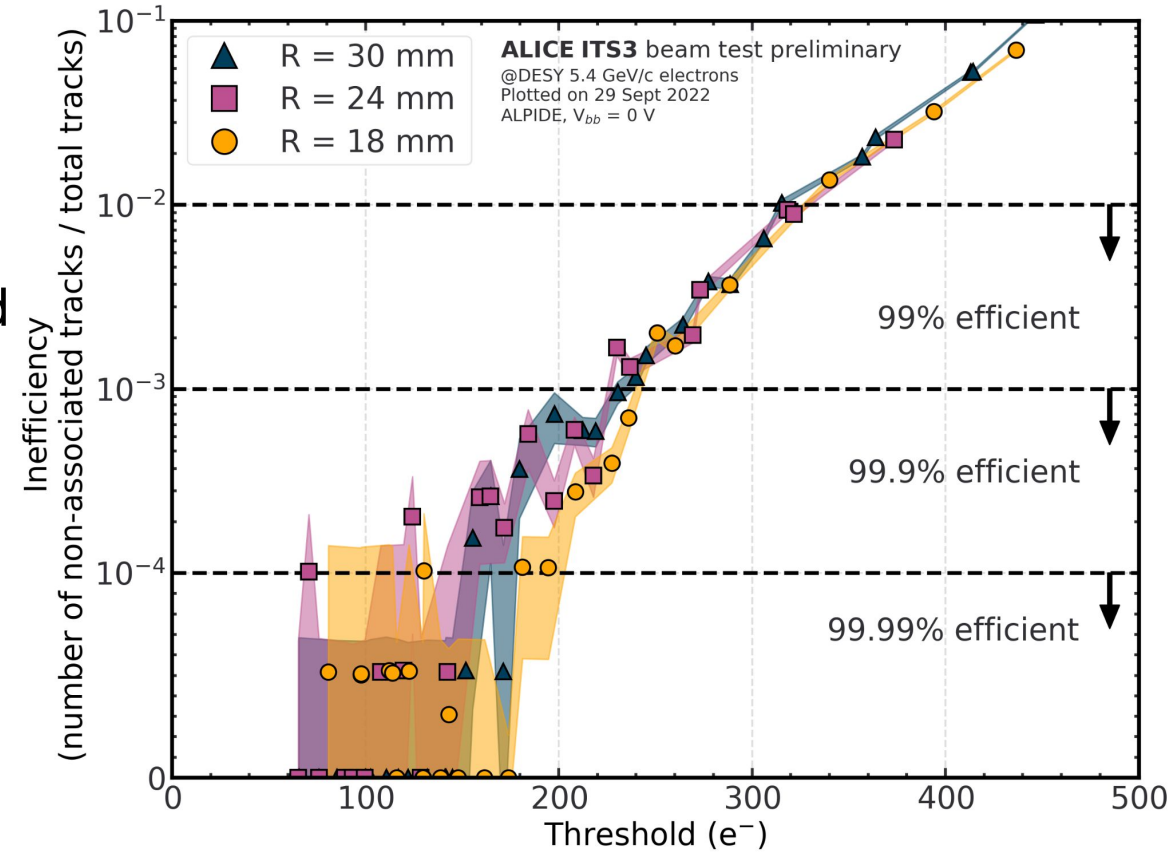
* <https://gitlab.cern.ch/corryvreckan/corryvreckan>

Detection efficiency:

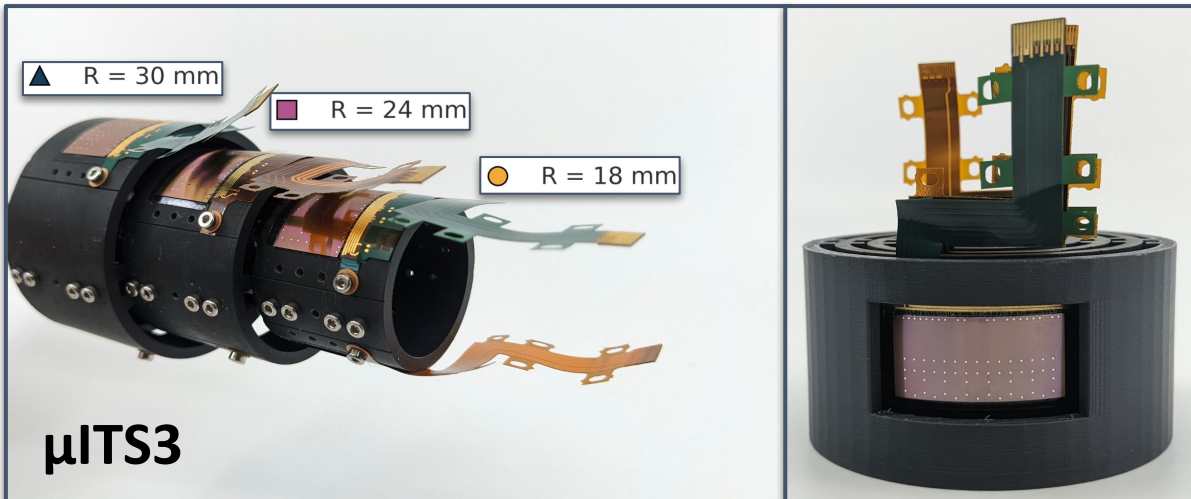
$$\epsilon = \frac{\# tracks_{1\text{ ass.cluster, DUT}}}{total\ \# tracks_{DUT}}$$

Sensor bending

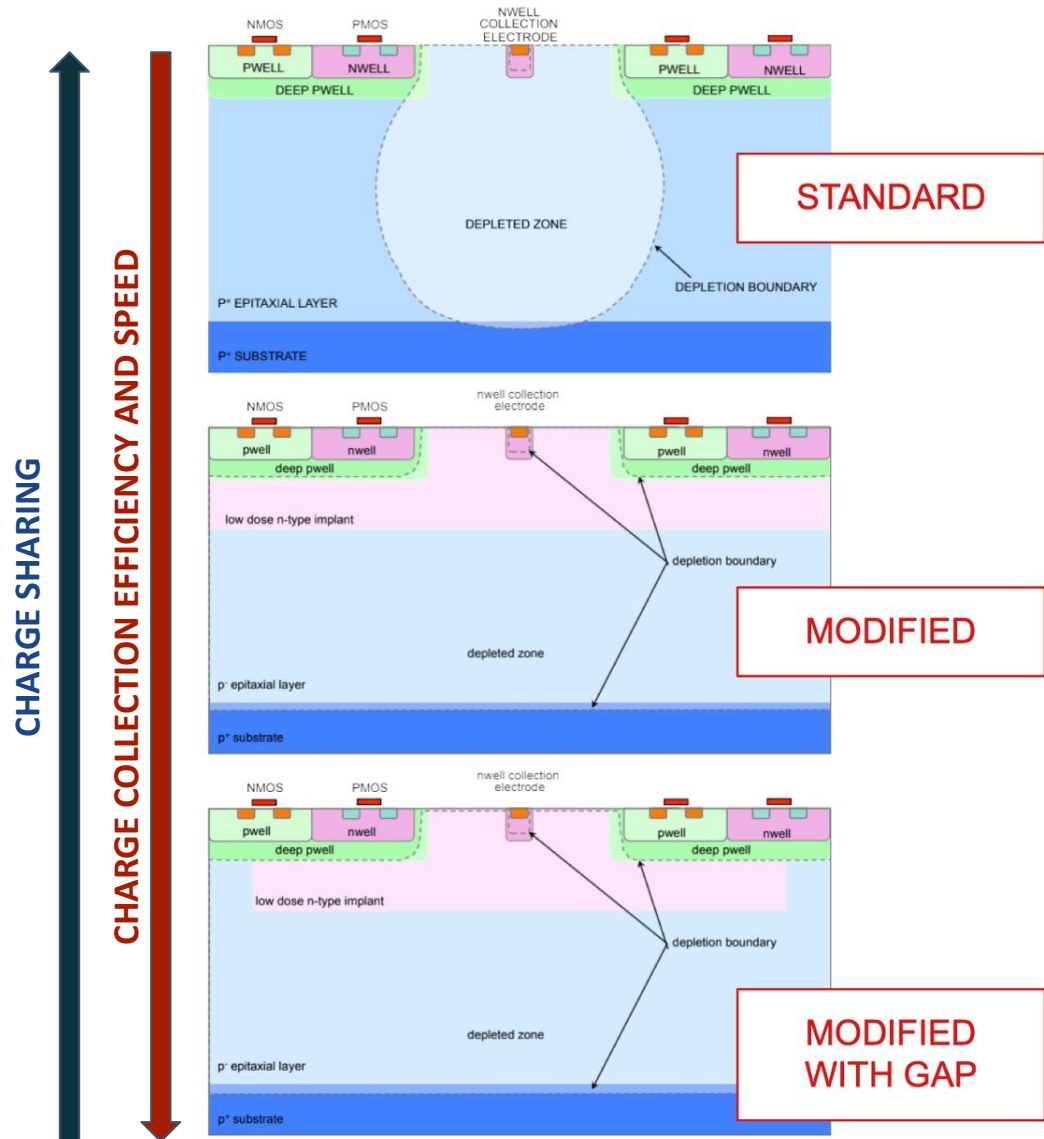
- Bending procedure has been tested in different ways and at different radii on ITS2 ALPIDEs
- No degradation → They work as **flat** chips
- The down-scale model of the final ITS3 was produced with six bent ALPIDEs → **μITS3**
- Results from beam tests show no differences in performance among different bending radii



$$\epsilon = \frac{\# tracks_{1\text{ ass.cluster, DUT}}}{\text{total \# tracks}_{DUT}}$$



New Monolithic Active Pixel Sensor designs



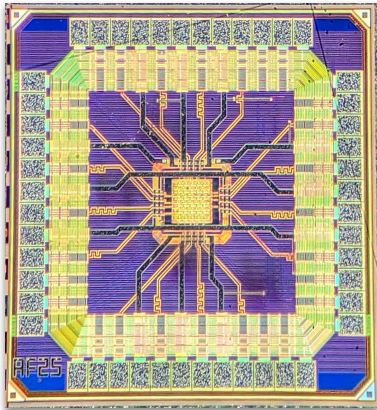
- Based on **MAPS** and **TPSCo 65 nm CMOS** technology
- 50 μm thick
- Three **different chip designs** for characterization and qualification purposes:
 1. Standard type
 2. Modified type
 3. Modified type with gap

Technology validation - MLR1

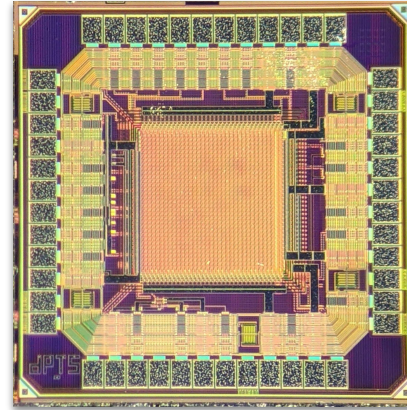
Multi Layer Reticle 1 - First submission with the TPSCo **65 nm** MAPS technology for the ITS3

Goal → **test and qualification** (long R&D work done together with CERN EP R&D WP1, WP2)

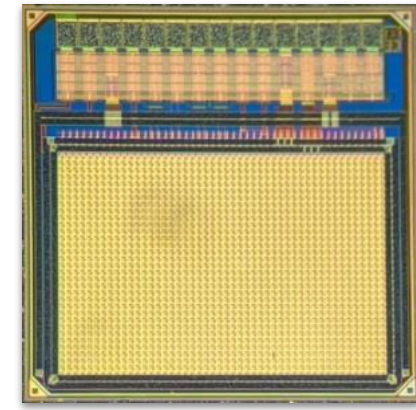
APTS - Analog Pixel Test Structure



DPTS - Digital Pixel Test Structure



CE65 - Circuit Exploratoire 65 nm



- **matrix:** 6x6 pixels
- **readout:** direct **analogue**
- readout of central 4x4
- **pitch:** 10, 15, 20, 25 μm
- **design:** standard, modified, modified-with-gap

- **matrix:** 32x32 pixels
- **readout:** **digital** with ToT
- **pitch:** 10, 15, 20, 25 μm
- **design:** modified with gap

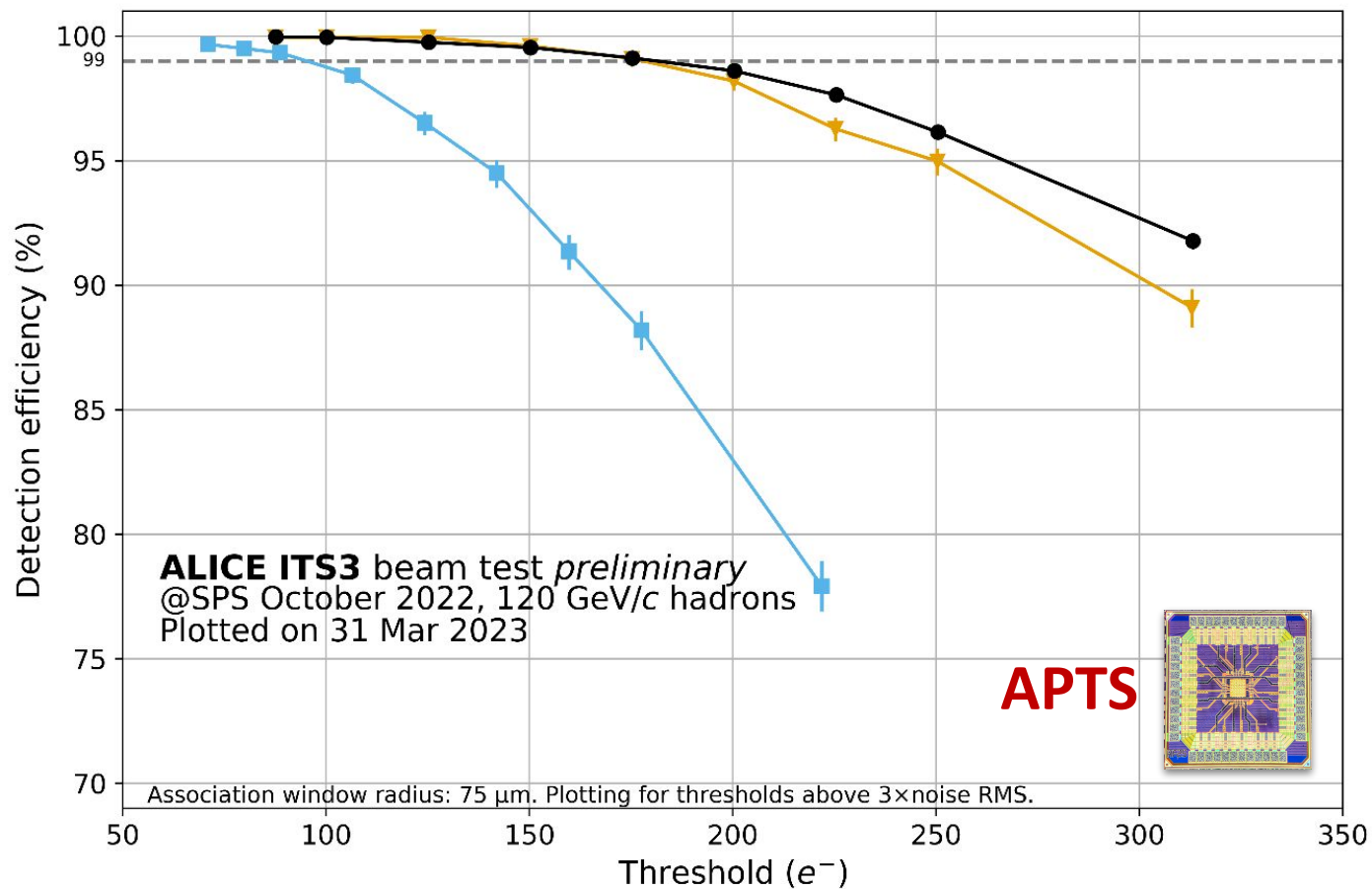
- **matrix:** 64x32 or 48x32
- **readout:** Rolling shutter readout (down to 50 μs integration time)
- **pitch:** 15 μm or 25 μm
- **design:** standard, modified, modified with gap

Intensive characterization campaign:

Validation in terms of **charge collection efficiency**, **detection efficiency** and **radiation hardness**

Detection Efficiency - APTS

Design comparison



APTS SF
 Non-irradiated
 pitch: 15 μm
 split: 4
 $I_{reset} = 100 \text{ pA}$
 $I_{biasn} = 5 \text{ }\mu\text{A}$
 $I_{biasp} = 0.5 \text{ }\mu\text{A}$
 $I_{bias4} = 150 \text{ }\mu\text{A}$
 $I_{bias3} = 200 \text{ }\mu\text{A}$
 $V_{reset} = 500 \text{ mV}$
 $V_{pwell} = V_{sub} = -1.2 \text{ V}$
 $T = 20 \text{ }^\circ\text{C}$

Modified with gap shows also at at higher thresholds the best efficiency values

$$\epsilon = \frac{\# tracks_{1\text{ ass.cluster, DUT}}}{total \# tracks_{DUT}}$$

Process type ($V_{bb} = 1.2 \text{ V}$)	Noise (e^-)
Standard	24
Modified	28
Mod.Gap	28

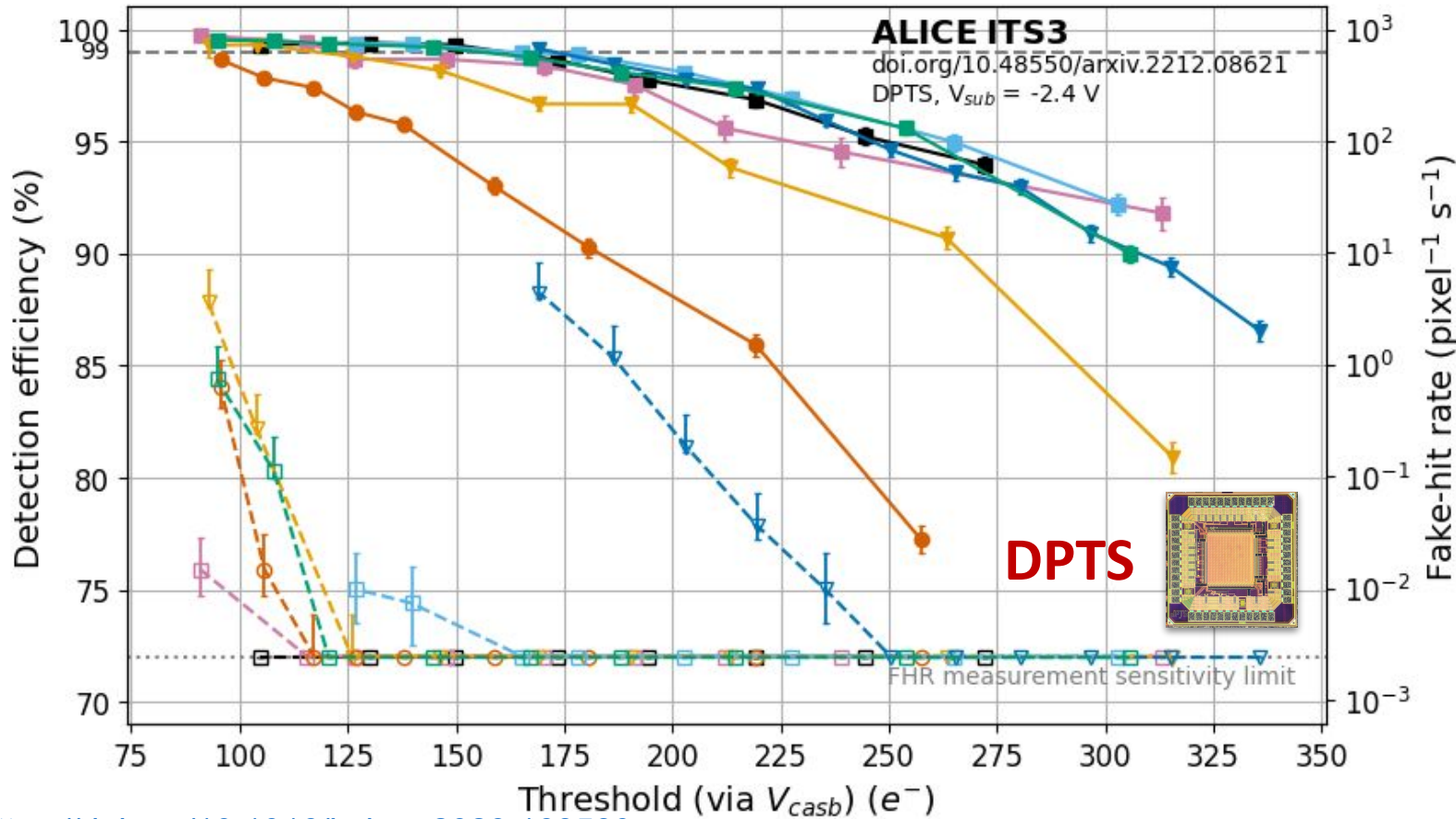
- Standard
- ▼ Modified
- Modified with gap

Detection Efficiency - DPTS

Modified-with-gap design

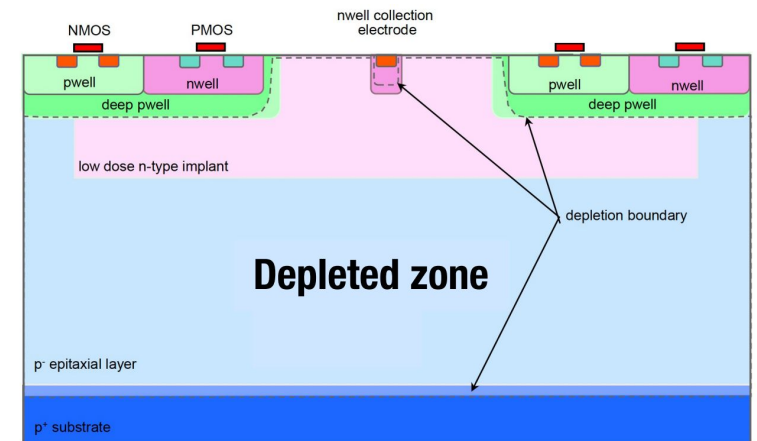


ALICE



ITS3 requirements
for the radiation hardness

- Detection efficiency
- Fake-hit rate
- Non-irradiated
- 10^{13} 1MeV n_{eq} cm^{-2}
- 10^{14} 1MeV n_{eq} cm^{-2}
- 10^{15} 1MeV n_{eq} cm^{-2}
- 10 kGy
- 100 kGy
- 10 kGy + 10^{13} 1MeV n_{eq} cm^{-2}



<https://doi.org/10.1016/j.nima.2023.168589>

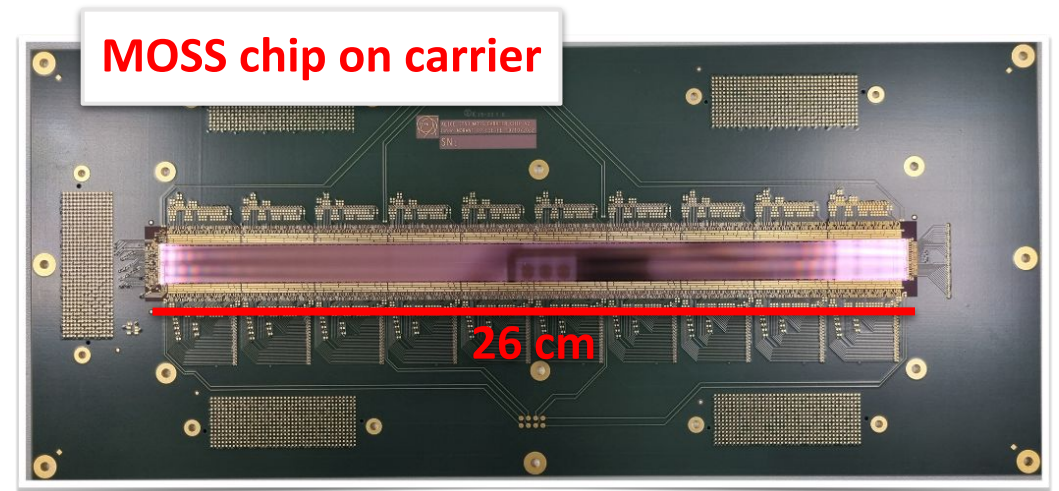
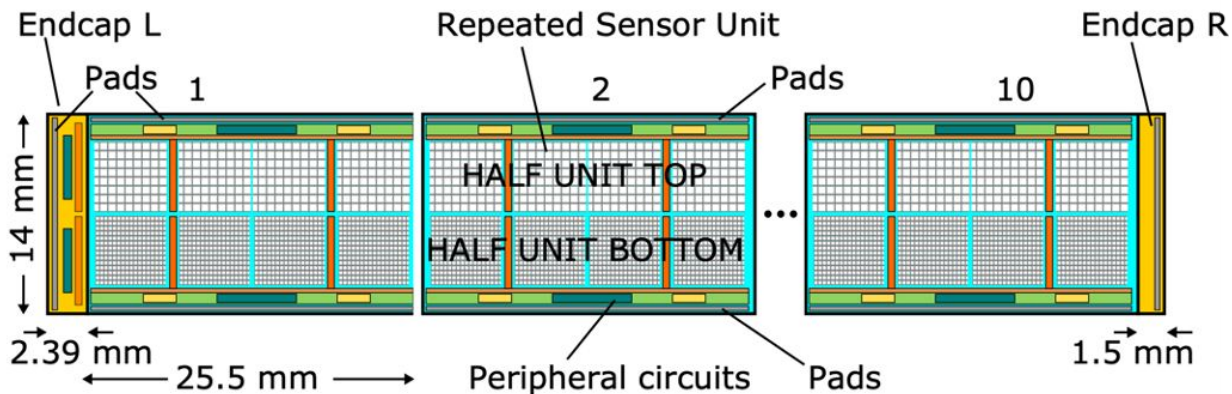
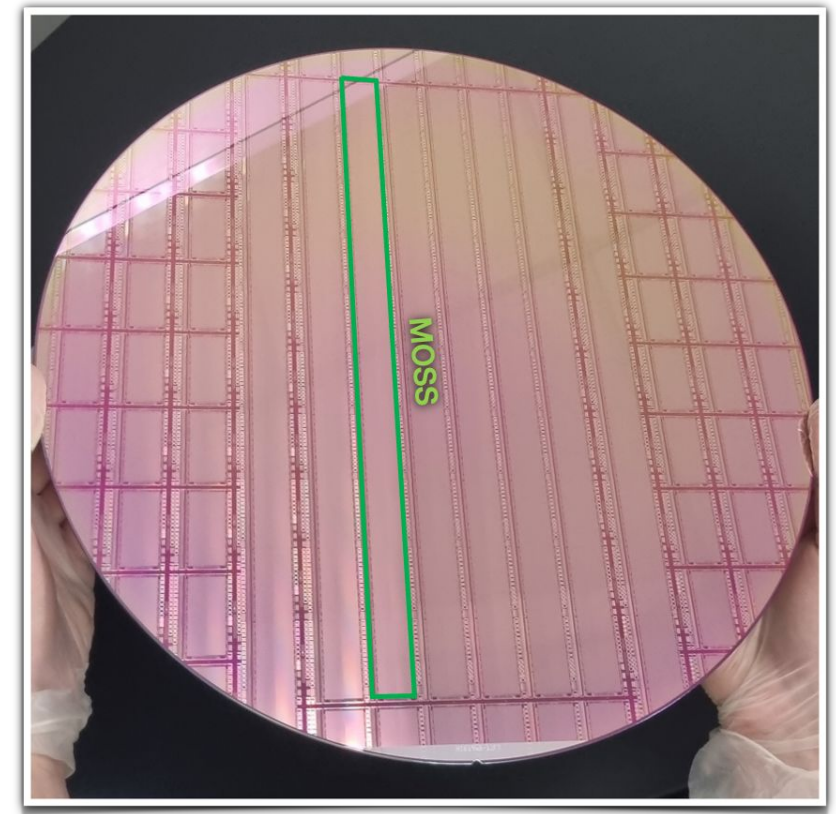
$$\epsilon = \frac{\# tracks_{1\text{ ass.cluster, DUT}}}{total \# tracks_{DUT}}$$

Sensor stitching

MOSS

First **large-scale** stitched sensor, **MO**nolithic **S**titched **S**ensor (**MOSS**) received on June 2023:

- Repeated identical but functionally independent units, with **in-silicon** interconnections and peripheral structures of the sensor
- **Laboratory tests:** once checked the basic functionalities, full characterization to assess yield of different sensor sections

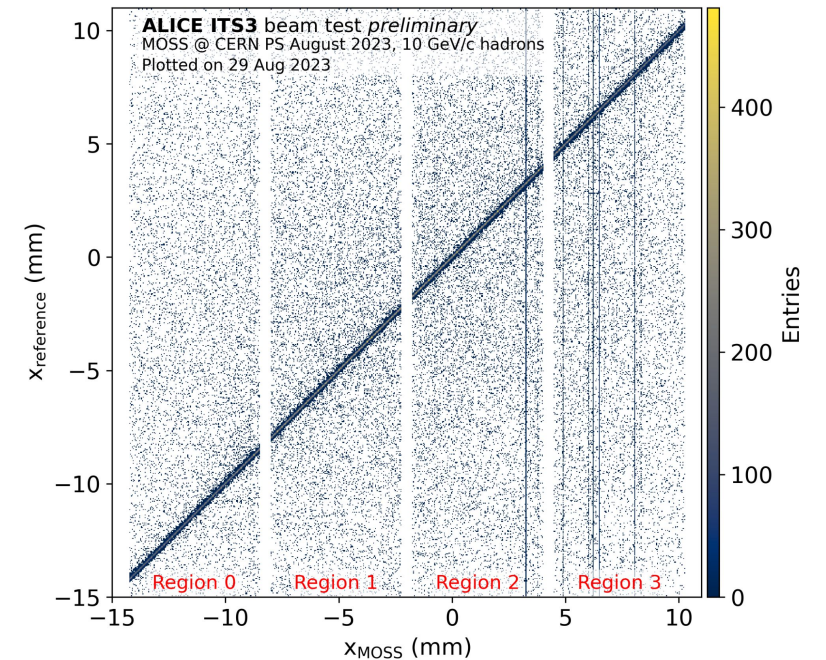
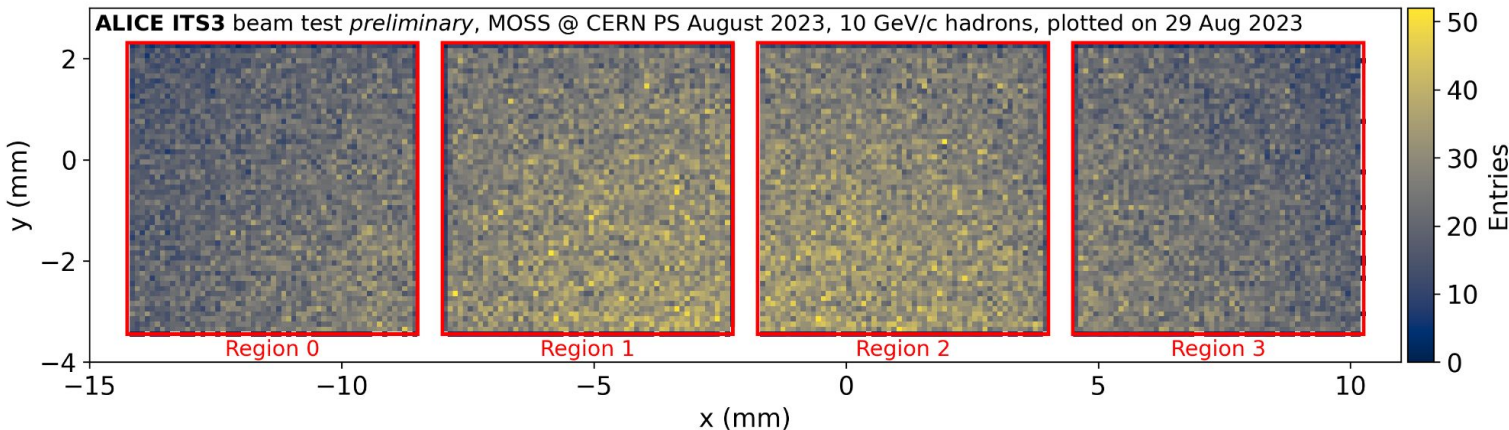
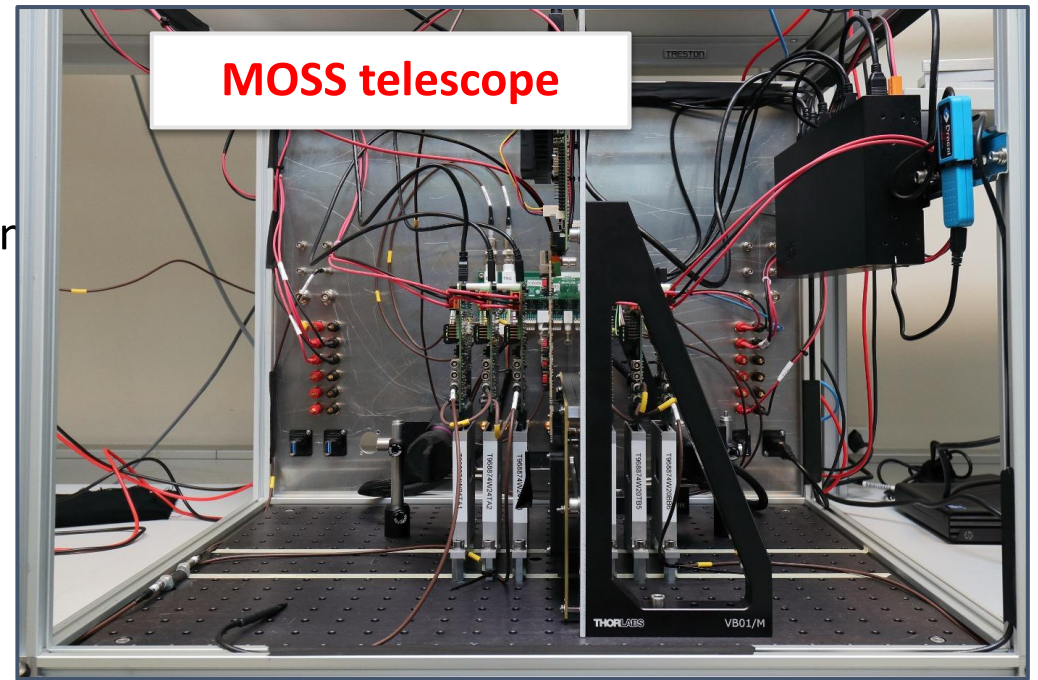


Sensor stitching

MOSS

First stitched unit **MO**onolithic **S**titched **S**ensor (**MOSS**) received on June 2023:

- Repeated identical but functionally independent units, with **in-silicon** interconnections and peripheral structures of the sensor
- **Laboratory tests:** once checked the basic functionalities, full characterization to assess yield of different sensor sections
- **First beam tests @CERN PS and SPS:** system fully functional, analysis ongoing



Summary

ITS3 will be installed during LS3 to be ready for LHC Run 4 (2029-2032).

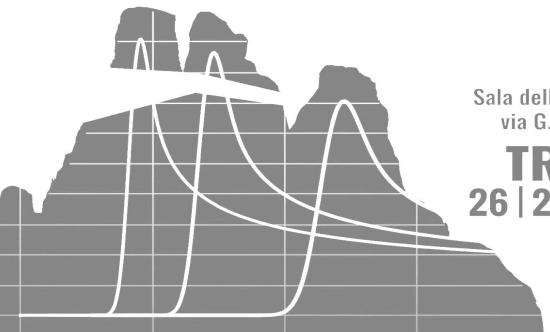
The sensor qualification has shown that:

- **Bent sensors** show the same performance of **flat chips** for all the radii values of the foreseen final ITS3 structure
- **65 nm** technology has been validated for the use in ITS3:
 - **modified-with-gap** design is more efficient compared to the modified and standard design
 - all the tested chips show detection excellent efficiency over large threshold range term for the ITS3 radiation hardness requirements ($10 \text{ kGy} + 10^{13} \text{ 1 MeV } n_{\text{eq}} / \text{cm}$)
- **Stitching** qualification is ongoing:
 - First studies on first **large-scale stitched sensors** performance (**ER1**) shows promising result
→ to be extended on more chip and wafers

Next steps

- Continue and finalize studies on ER1 chips
- 2nd production of stitched sensors by 2025

Thanks for your attention

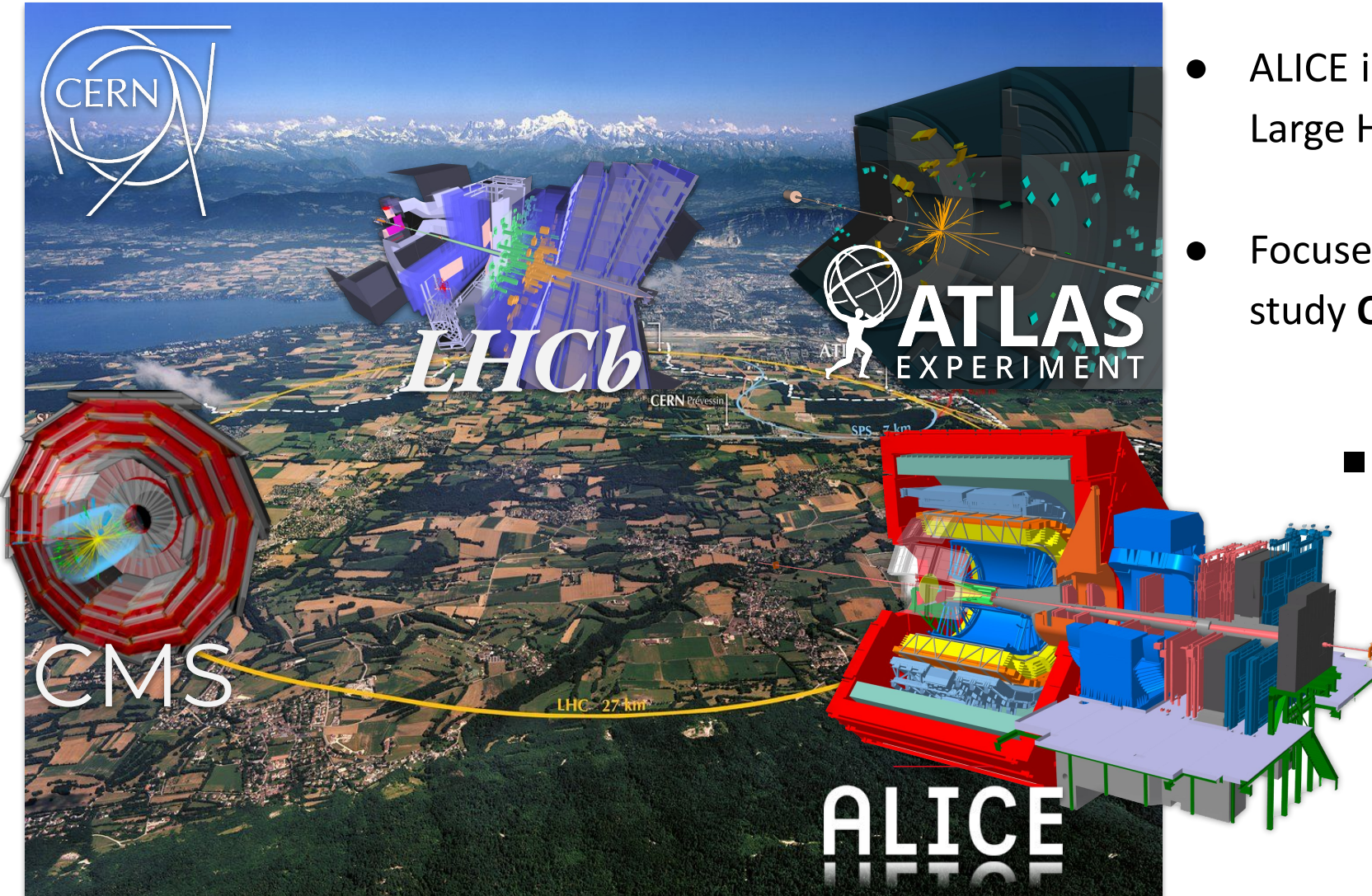


Sala della Cooperazione
via G. Segantini 10

TRENTO
26 | 28 Febbraio

Backup

ALICE - A Large Ion Collider Experiment



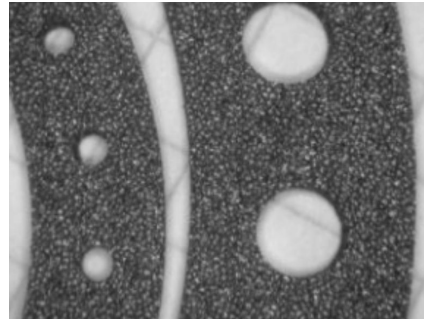
- ALICE is one of the main 4 experiments at the Large Hadron Collider (LHC) at CERN
- Focused on heavy-ion interactions physics to study **Quark-Gluon Plasma (QGP)**
 - The collision product is a “**fireball**” which should reproduce:
 - early Universe evolution stages
 - transition from partonic deconfined matter into confined hadrons (few μs after the Big Bang)

ITS3 - mechanical structure

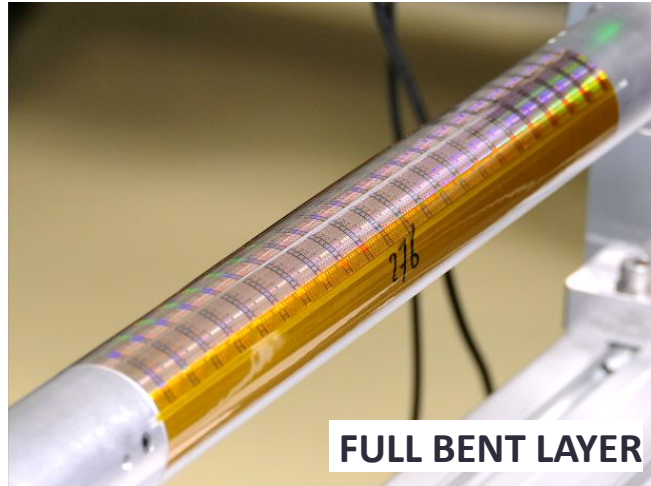
Many tests ongoing to check mechanical stability, final bending procedure, thermal variations, air cooling... configuration...



SUPPORT



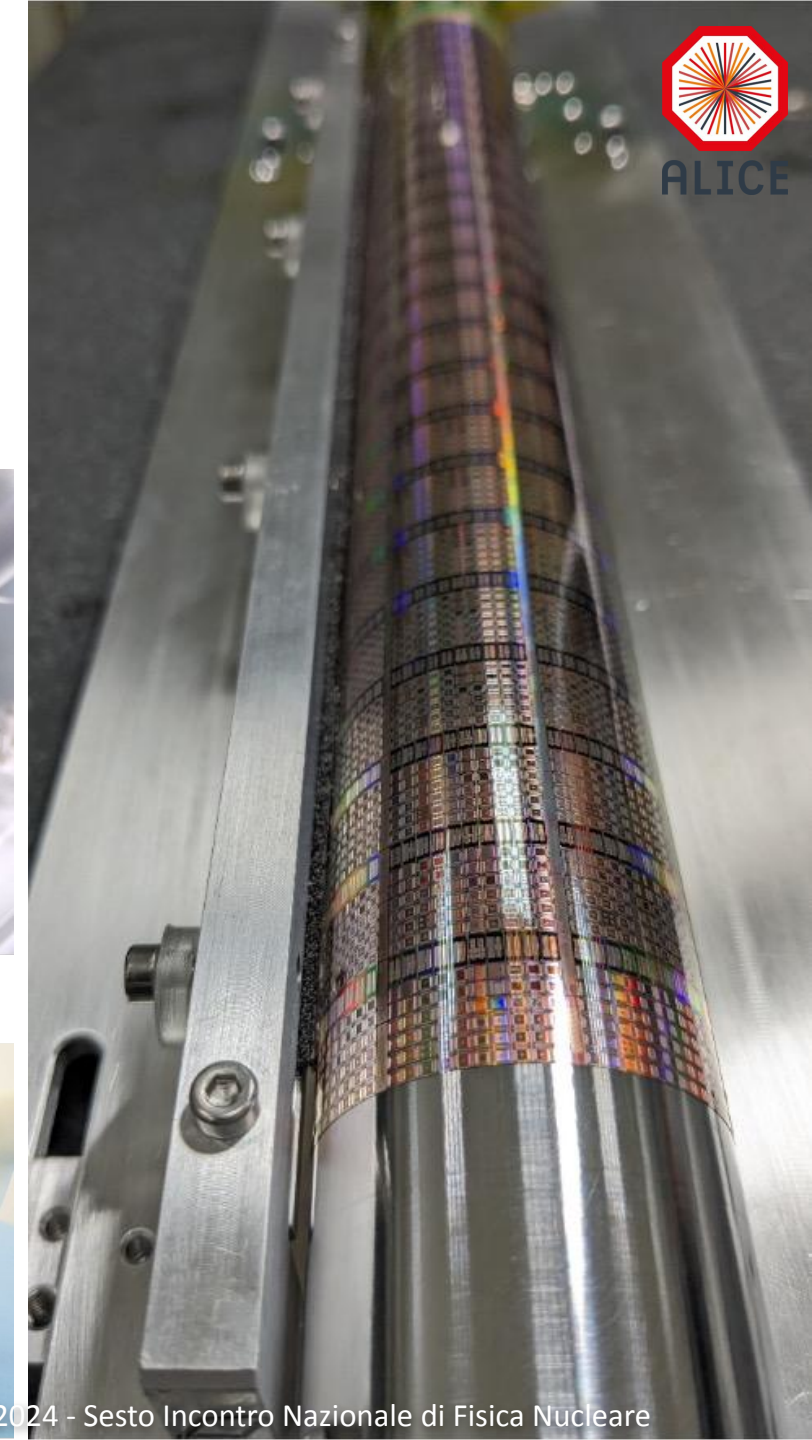
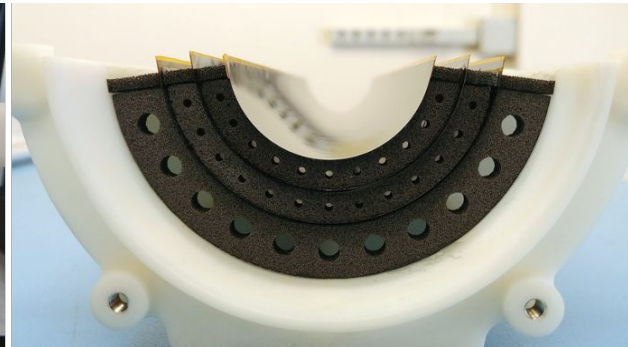
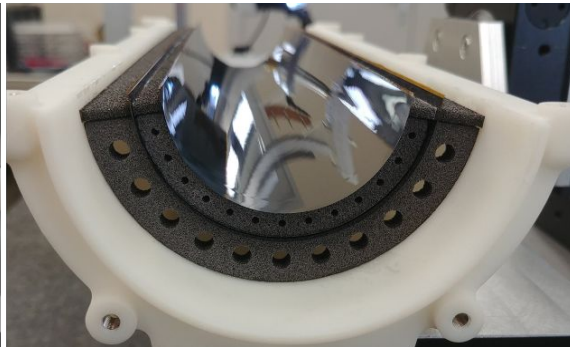
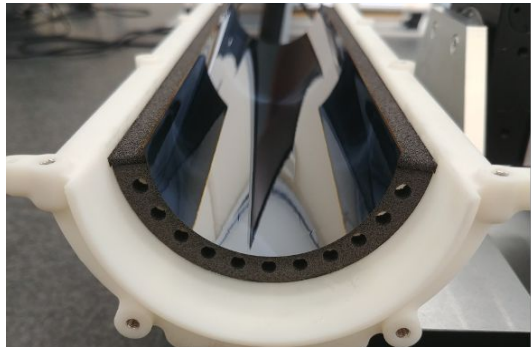
SUPPORT&COOLING



FULL BENT LAYER

Courtesy of ITS3 WP5

ENGINEERING MODEL INCLUDING THE THREE LAYERS

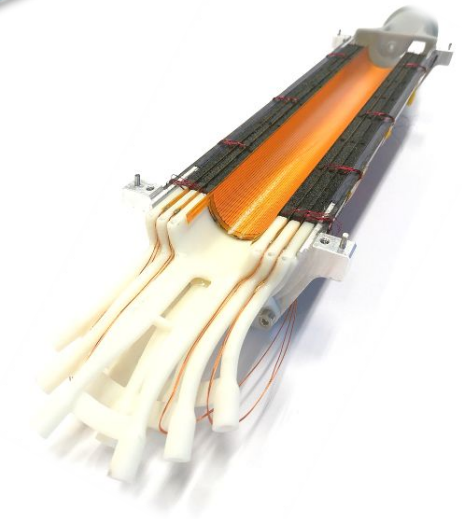
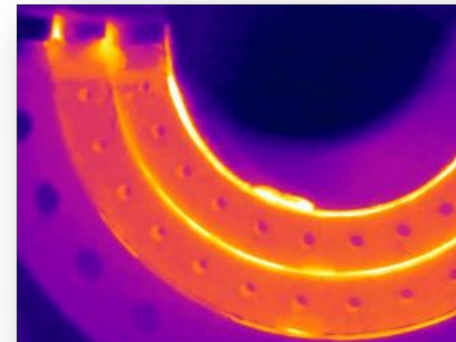
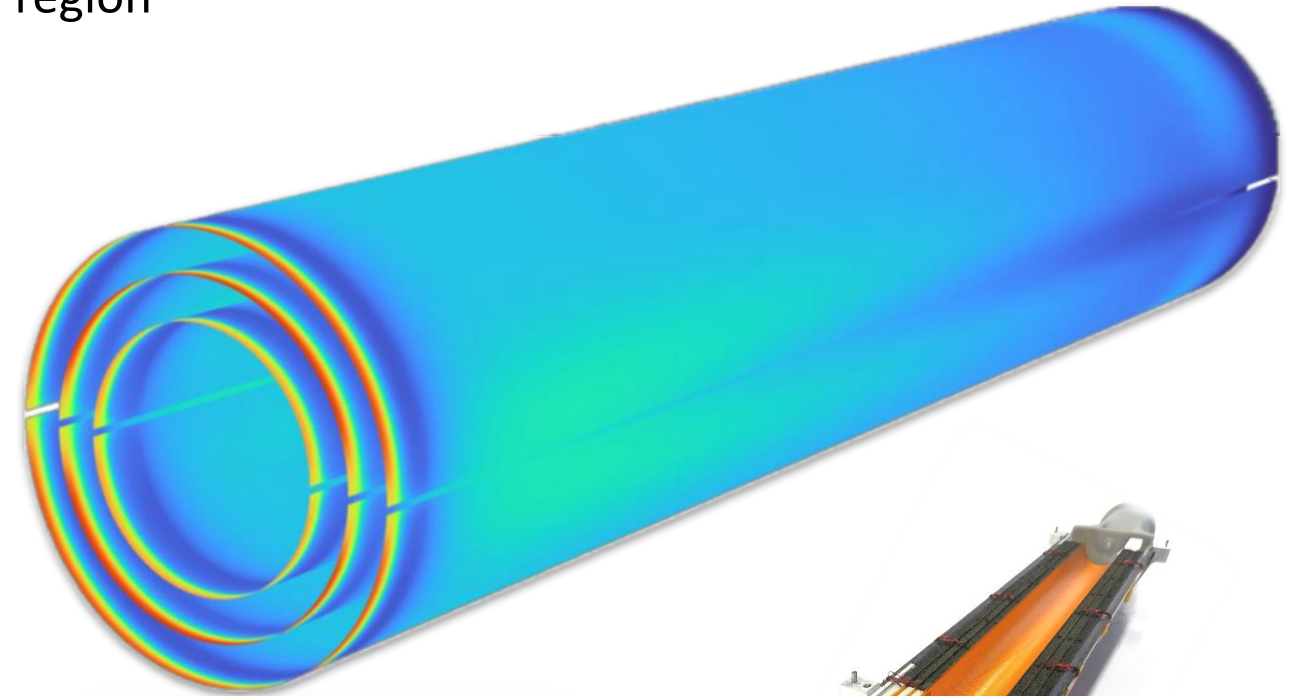
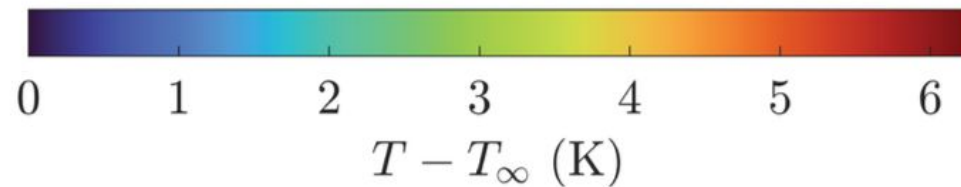


ITS3 - air cooling implementation

Air cooling avoids introducing structures in the active region
→ keeps the material budget low

These requirements must be reached:

- Sensor **operating temperature** <30°C
- **Temperature gradient** in the matrix region <5°C
- Sensor **power density** < 40 mW/cm²
- Placed in a custom wind tunnel, thermal and mechanical properties are being studied



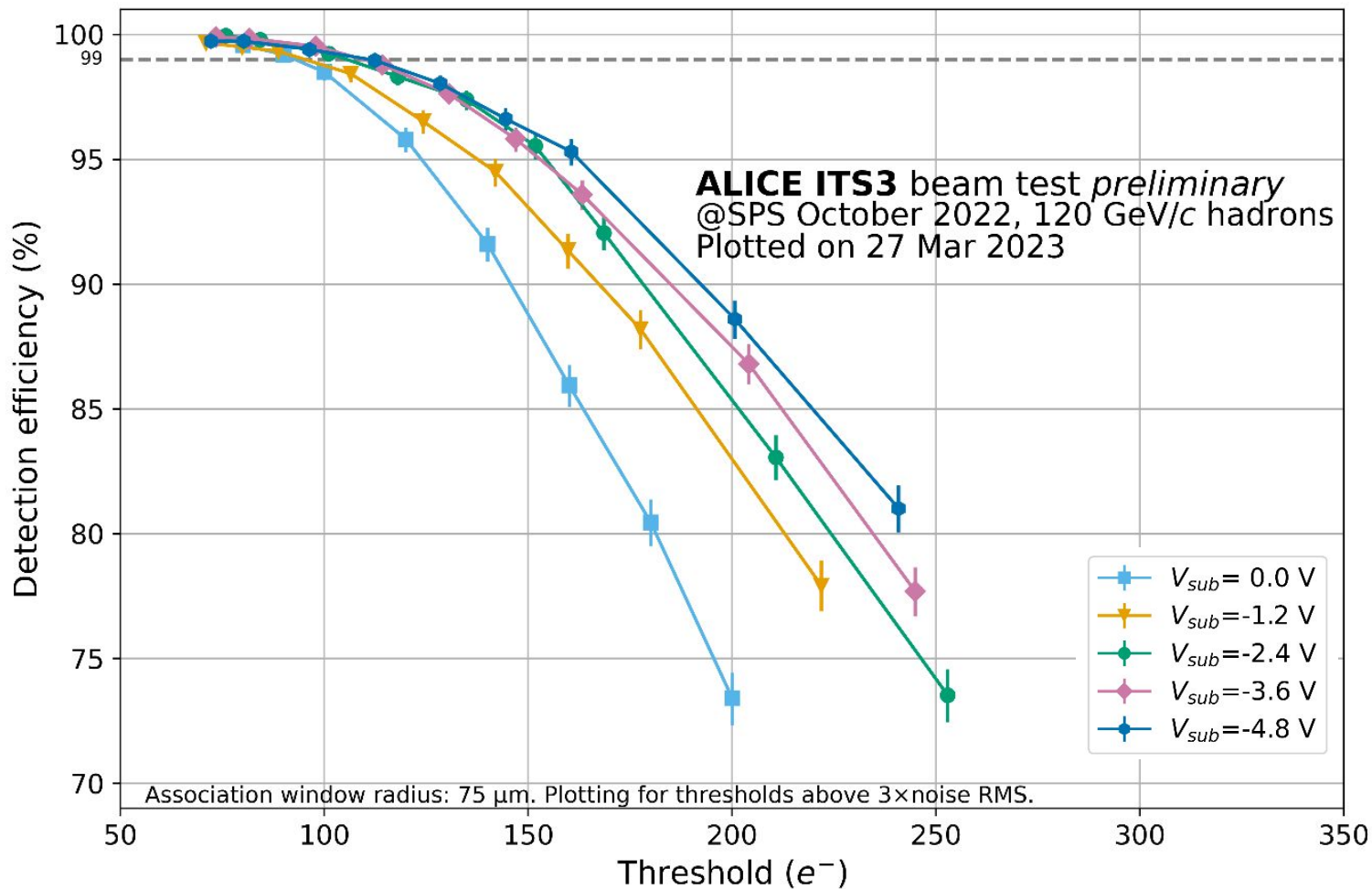
Courtesy of ITS3 WP5

Detection Efficiency - APTS

Standard design



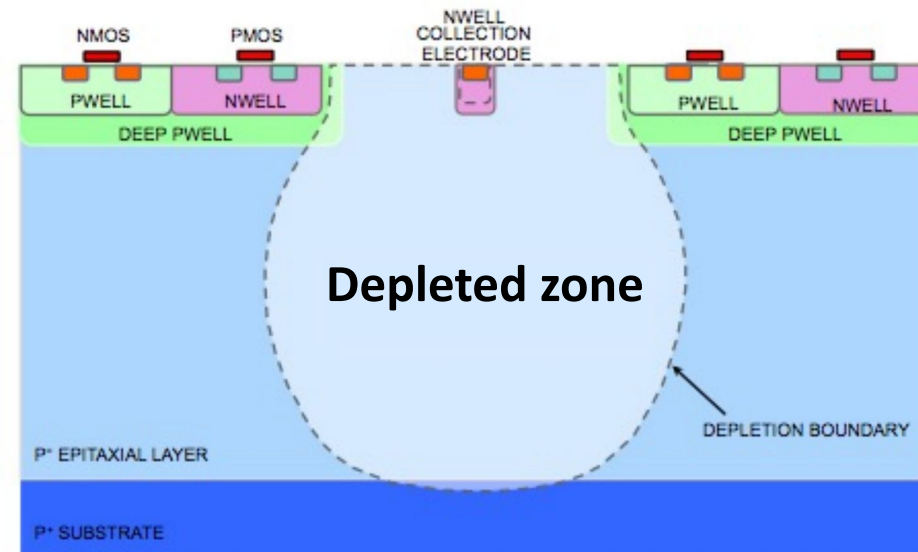
ALICE



Efficiency changes depending on the applied reverse bias voltage

APTS SF
 Non-irradiated
 type: standard
 pitch: 15 μm
 split: 4
 $I_{reset} = 100\text{ pA}$
 $I_{biasn} = 5\text{ }\mu\text{A}$
 $I_{biasp} = 0.5\text{ }\mu\text{A}$
 $I_{bias4} = 150\text{ }\mu\text{A}$
 $I_{bias3} = 200\text{ }\mu\text{A}$
 $V_{reset} = 500\text{ mV}$
 $V_{pwell} = V_{sub}$
 $T = 20\text{ }^\circ\text{C}$

V_{bb} (V)	Noise (e^-)
0.0	25
1.2	24
2.4	24
3.6	23
4.8	23



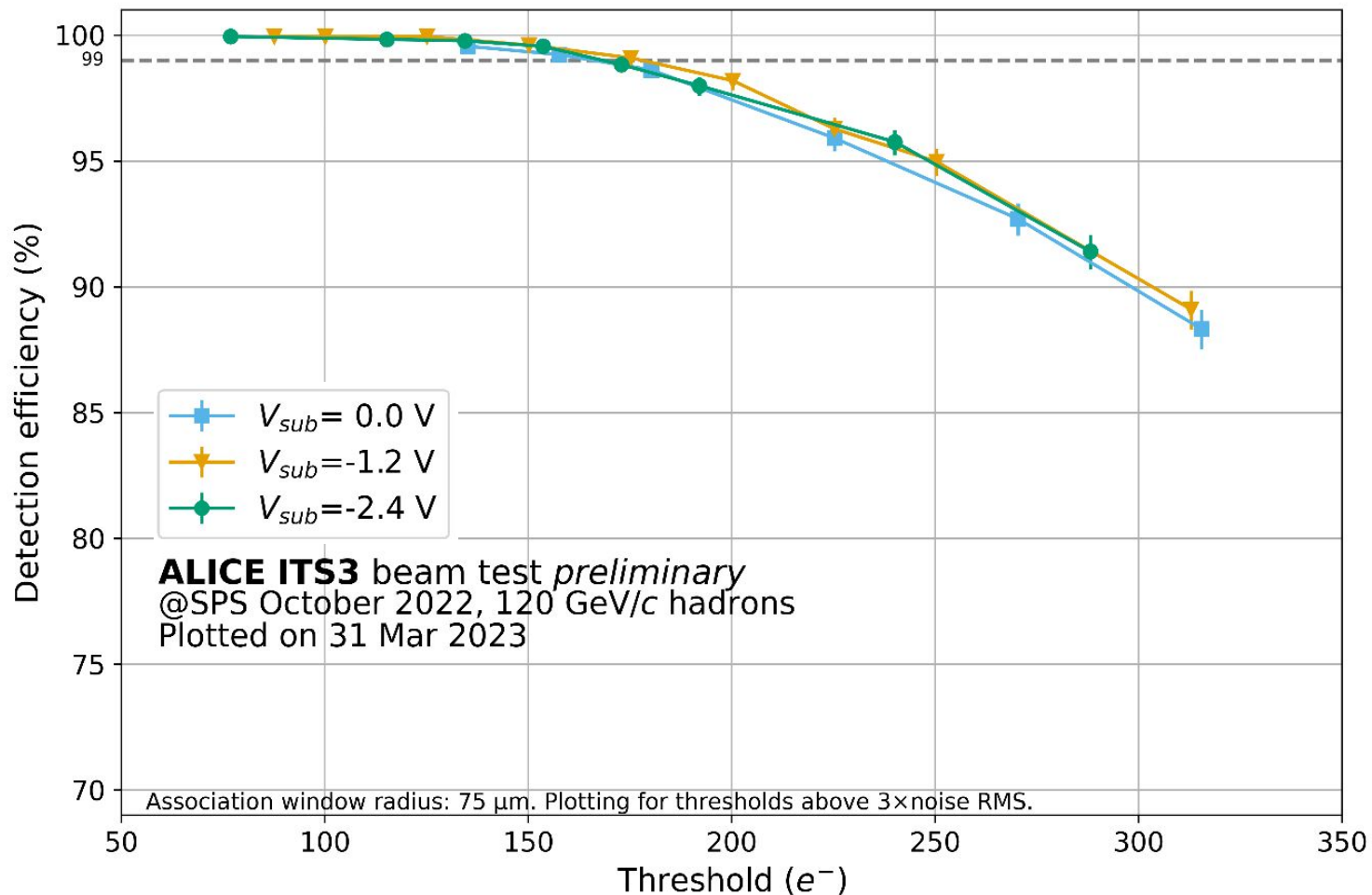
$$\epsilon = \frac{\# tracks_{1\text{ ass. cluster, DUT}}}{total\ \# tracks_{DUT}}$$

Detection Efficiency - APTS

Modified design



ALICE



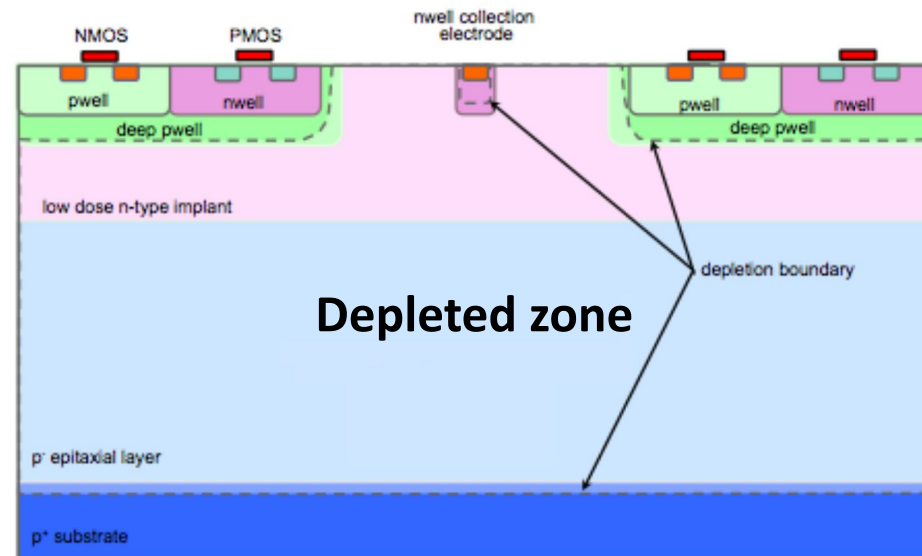
$$\epsilon = \frac{\# tracks_{1\text{ ass. cluster, DUT}}}{total \# tracks_{DUT}}$$

APTS SF

Non-irradiated
type: modified
pitch: 15 μm
split: 4
I_{reset} = 100 pA
I_{biasn} = 5 μA
I_{biasp} = 0.5 μA
I_{bias4} = 150 μA
I_{bias3} = 200 μA
V_{reset} = 500 mV
V_{pwell} = V_{sub}
T = 20 °C

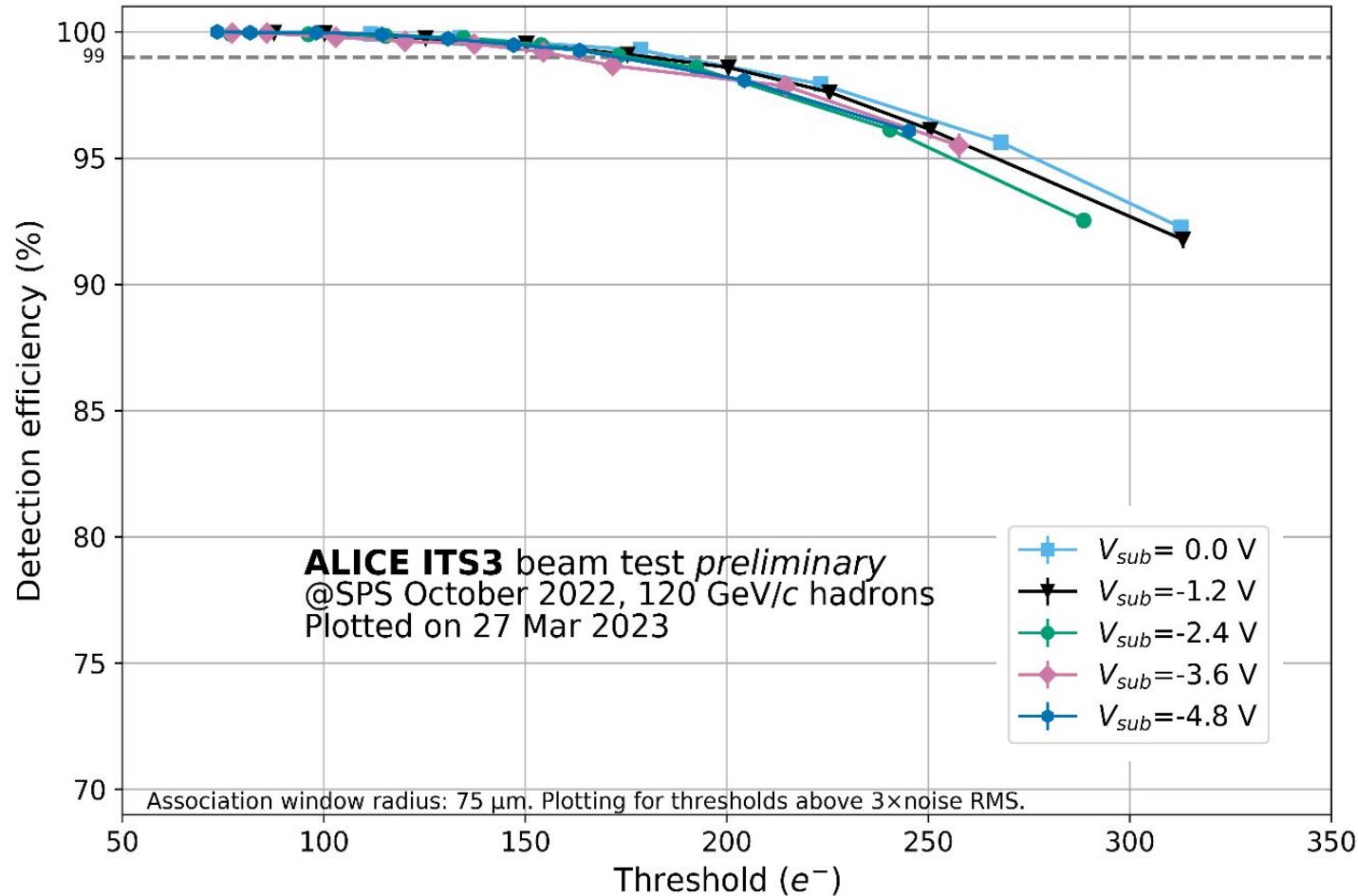
Almost same efficiency also at different reverse biases

V _{bb} (V)	Noise (e ⁻)
0.0	34
1.2	28
2.4	24



Detection Efficiency - APTS

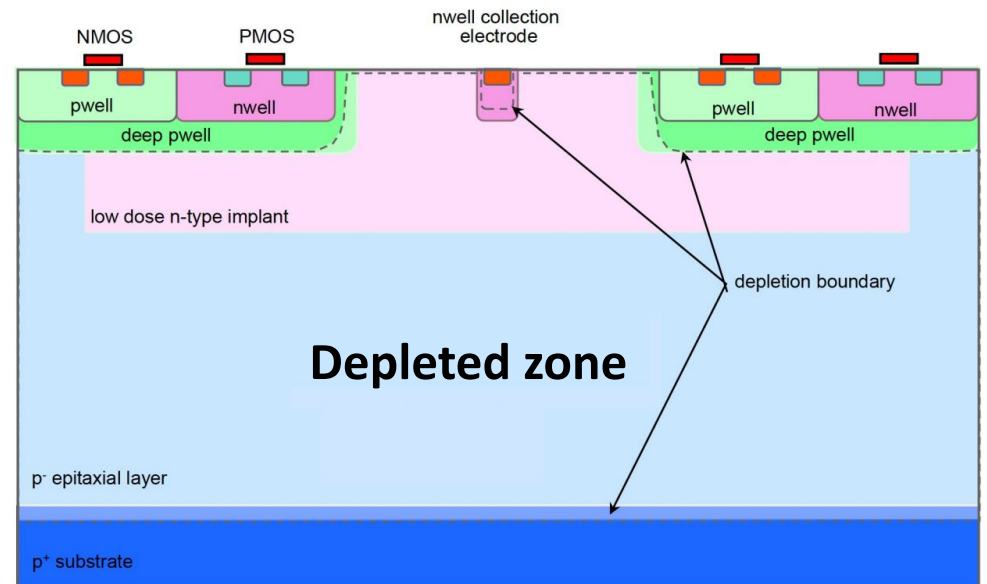
Modified-with-gap design



$$\epsilon = \frac{\# tracks_{1\text{ ass. cluster, DUT}}}{total \# tracks_{DUT}}$$

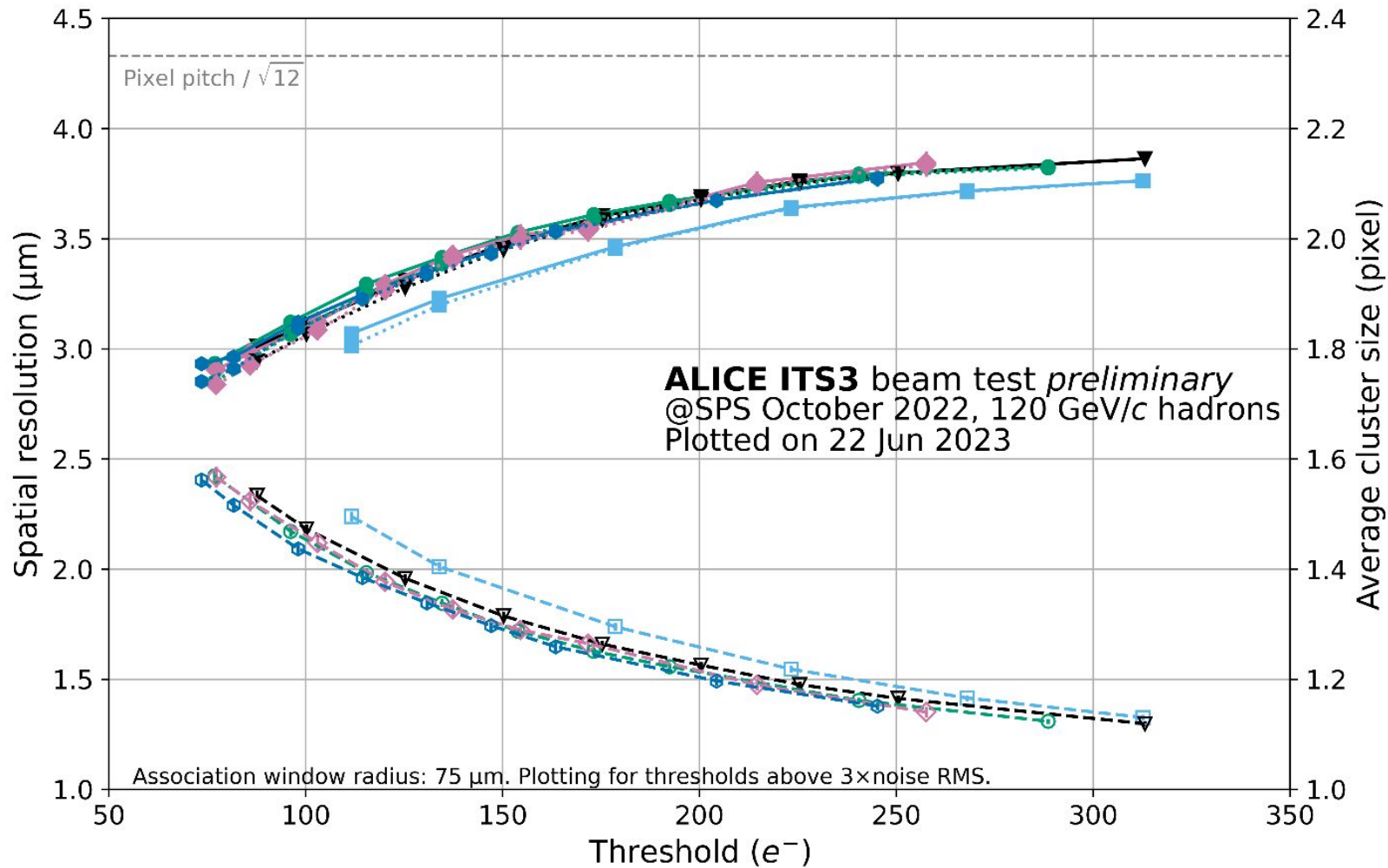
APTS SF
 Non-irradiated type: modified with gap
 pitch: 15 μm
 split: 4
 $I_{reset} = 100 \text{ pA}$
 $I_{biasn} = 5 \text{ }\mu\text{A}$
 $I_{biasp} = 0.5 \text{ }\mu\text{A}$
 $I_{bias4} = 150 \text{ }\mu\text{A}$
 $I_{bias3} = 200 \text{ }\mu\text{A}$
 $V_{reset} = 500 \text{ mV}$
 $V_{pwell} = V_{sub}$
 $T = 20 \text{ }^\circ\text{C}$

Trend similar to modified type



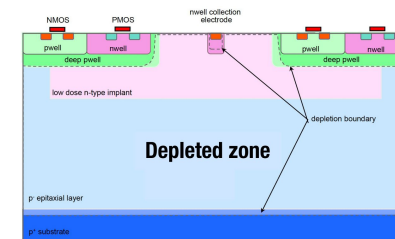
Spatial resolution - APTS

Modified-with-gap design



APTS SF

Non-irradiated
type: modified with gap
pitch: 15 μm
split: 4
 $I_{\text{reset}} = 100 \text{ pA}$
 $I_{\text{biasn}} = 5 \text{ }\mu\text{A}$
 $I_{\text{biasp}} = 0.5 \text{ }\mu\text{A}$
 $I_{\text{bias4}} = 150 \text{ }\mu\text{A}$
 $I_{\text{bias3}} = 200 \text{ }\mu\text{A}$
 $V_{\text{reset}} = 500 \text{ mV}$
 $V_{\text{pwell}} = V_{\text{sub}}$
 $T = 20 \text{ }^\circ\text{C}$



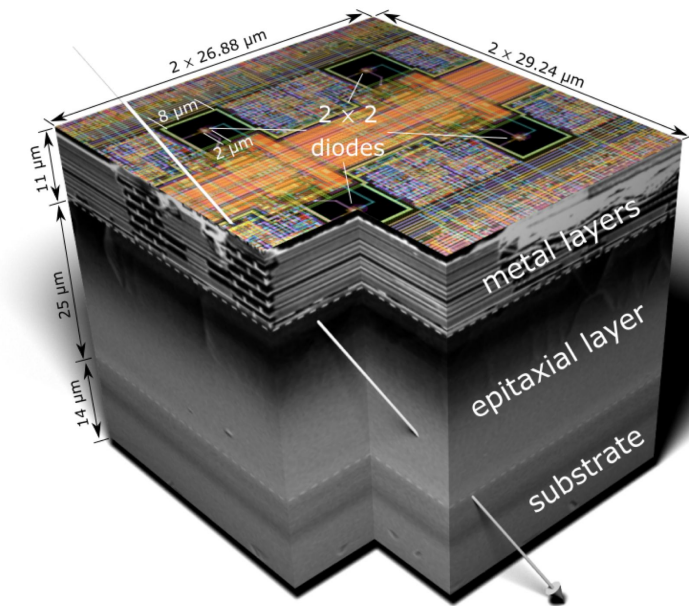
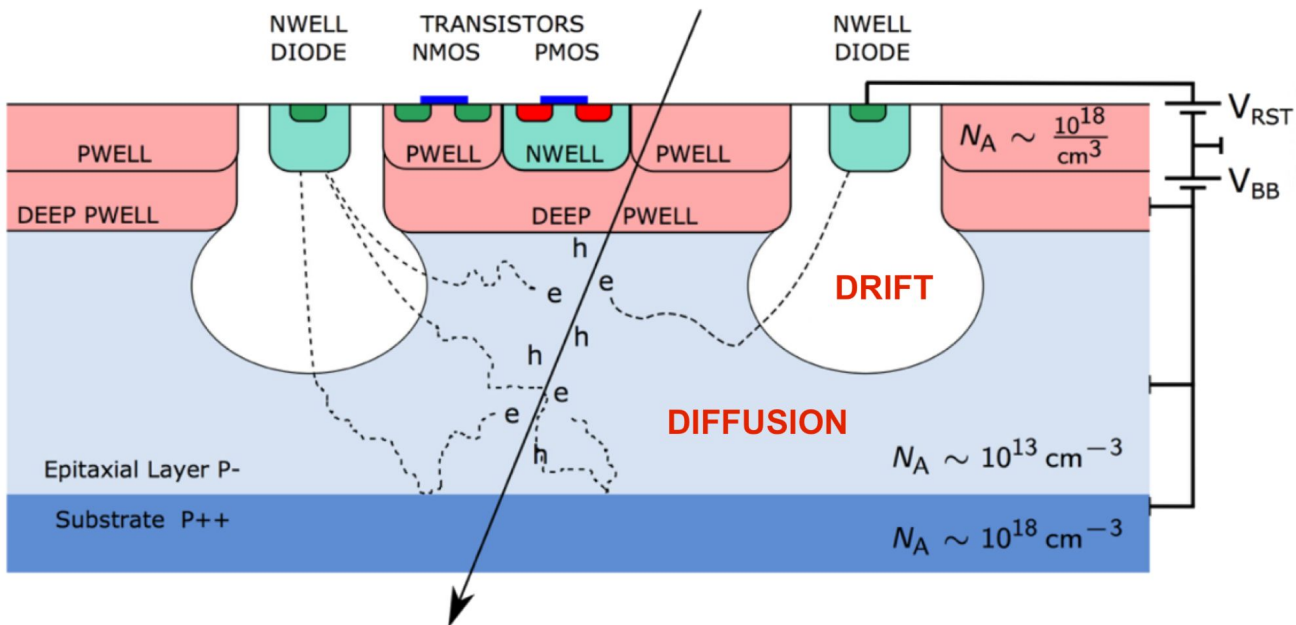
- Hit/no-hit spatial resolution
- Analogue spatial resolution
- - ■ - - Average cluster size
- $V_{\text{sub}} = 0.0 \text{ V}$
- ▼— $V_{\text{sub}} = -1.2 \text{ V}$
- ◆— $V_{\text{sub}} = -2.4 \text{ V}$
- ◇— $V_{\text{sub}} = -3.6 \text{ V}$
- ◆— $V_{\text{sub}} = -4.8 \text{ V}$

Benefits in ALICE measurements from ITS3

- Low-mass dileptons
- Beauty-strange mesons
 - exclusive reconstruction of B_s^0
 - non-prompt D_s^+ (50% from $B^{0,+}$ and 50% from B_s^0)
- Beauty baryons
 - non-prompt Λ_c^+
 - exclusive reconstruction of Λ_b^0
- Charm strange and multi-strange baryons
 - Ξ_c^0 (c ds), Ξ_c^+ (c us), Ω_c^0 (c ss)
- Searches for light charm hypernuclei
 - bound state of a Λ_c^+ and a neutron (c-deuteron)
 - bound state of a Λ_c^+ and a deuteron (c-triton)

Monolithic Active Pixel Sensors (MAPS)

- The single Si chip includes both detection volume and readout electronics (instead of connecting two different units - hybrid pixel sensors)
- Many advantages:
 - small pixel pitch $O(10-30 \mu\text{m})$
 - lower power consumption $O(10-100 \text{ mW/cm}^2)$ thanks to lower capacitance
 - thin: $<50 \mu\text{m}$ ($0.05\% X_0$)
 - commercial process



Pointing resolution and vertex detectors layers

The pointing resolution σ_p can be written as:

$$\sigma_p \sim \sigma_p^{sp} \oplus \sigma_p^{ms}$$

where σ_p^{ms} is the contribution due to the multiple scattering and σ_p^{sp} the one given by the structure of the detector (number of layers and proximity to the Interaction Point.)

This indicates that it is possible to achieve a better σ_p by having a better spatial resolution of the detector, going closer to the IP, and having a lower material budget (in this particular case, of the beampipe and the innermost layer).

$$\sigma_p^{ms} \sim r_1 \theta_{RMS}$$

$$\sigma_p^{sp} = \sqrt{\left(\frac{r_2}{r_2 - r_1} \sigma_1\right)^2 + \left(\frac{r_1}{r_2 - r_1} \sigma_2\right)^2}$$