



# Particle identification in high-granularity 3D calorimeters for space-borne applications

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## Scientific motivation

A fine **3D segmentation** in homogeneous calorimeters can be used to reconstruct the **shape of electromagnetic and hadronic shower cascades** impinging from any direction.

This capability can be exploited to study the shape of the showers generated from different particle species in order to build **powerful classifiers**.

In this work we implemented a **likelihood test** based on the shower shapes for the discrimination of **electrons vs protons**.

This will be pivotal for the next generation of **space-borne cosmic rays detectors** (e.g. HERD[1], ALADiNO[2], AMS-100[3]) that will measure the cosmic electron+positron flux at energies above 10 TeV with an **unprecedented interaction length** in the calorimeter  $>50 X_0$  in an **extremely proton rich environment** ( $p/e > 10^3$ ).

## Simulation

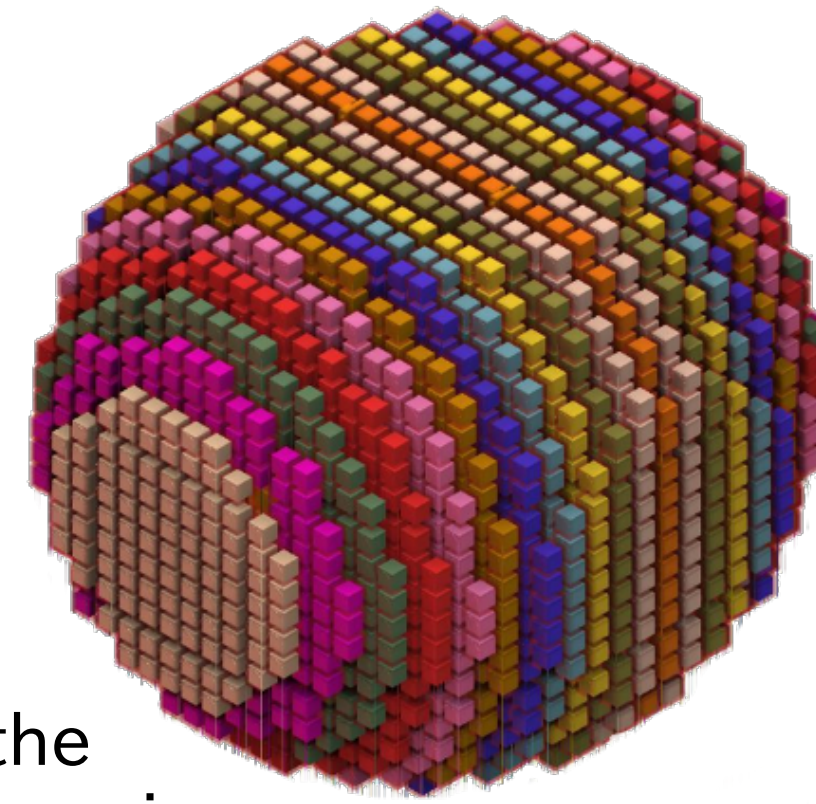
For the study we simulated – using GGS[4] – about  **$10^6$  electrons and  $10^6$  protons**, with isotropic directions and an  $E^{-1}$  energy spectrum from **100 GeV to 10 TeV**.

The simulated detector is a sphere made out of **7500 LYSO cubes**.

Each cube is  $3 \times 3 \times 3 \text{ cm}^3$ .

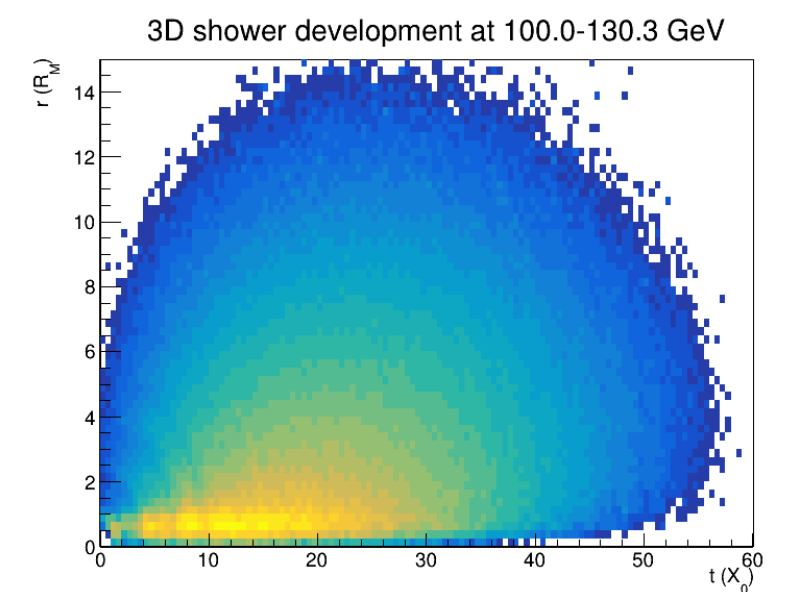
The cubes are placed at 0.4 cm distance one from the other and the intercube space is **filled with carbon** to take into account the effect of the **housing mechanics**.

In order to have a good enough **reconstruction quality**, only the events that travel through LYSO for **at least  $30 X_0$**  are selected for the analysis.

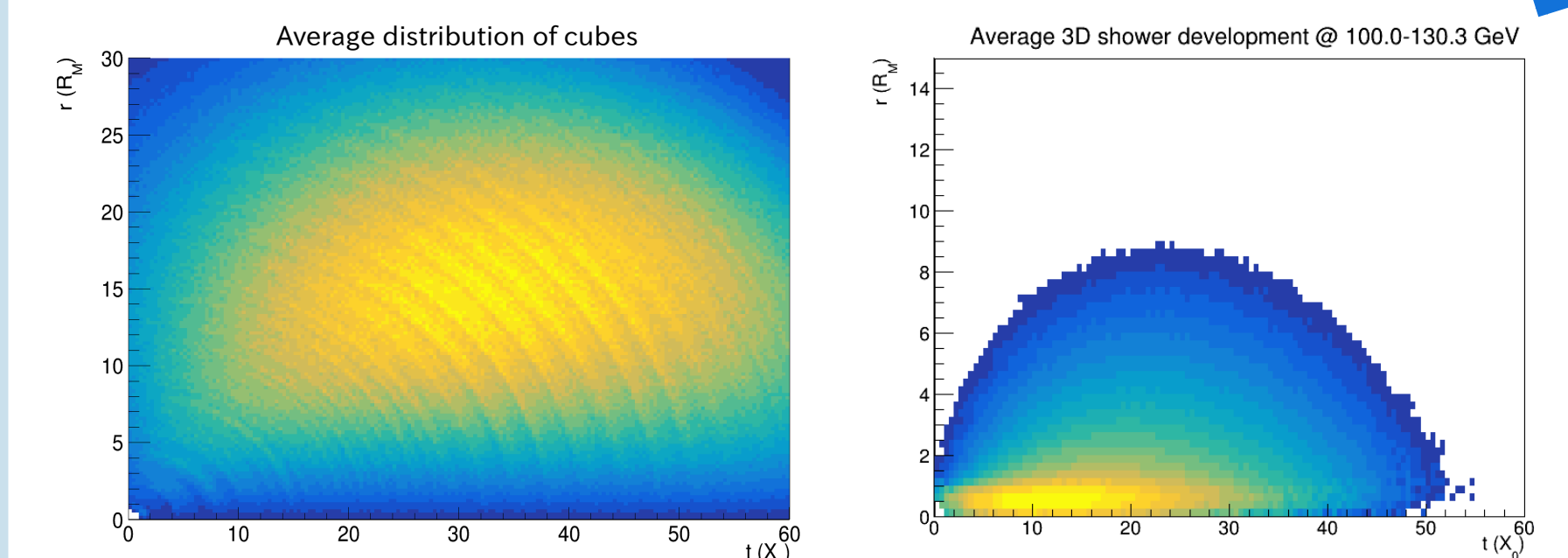


## Data preparation

The **average spatial development** of the electron showers was described in **longitudinal ( $t$ ) vs transversal ( $r$ ) reference frame**.



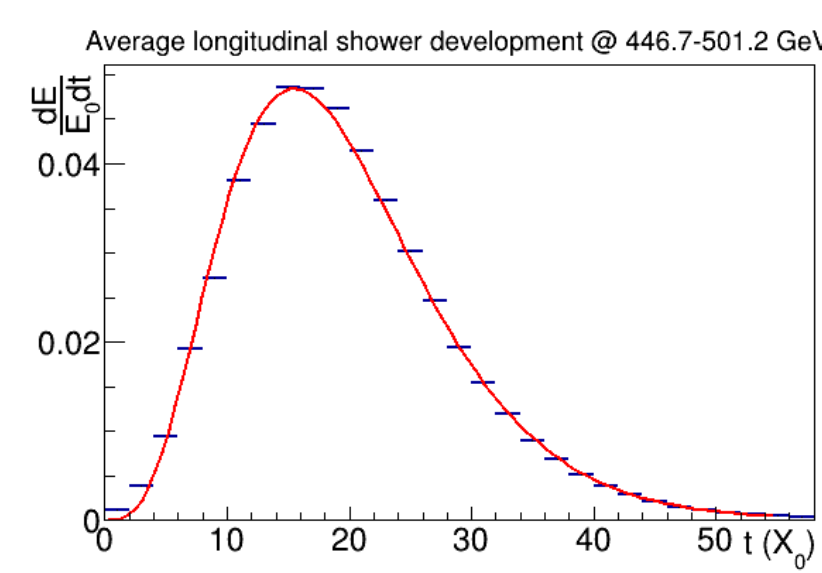
Since the spatial distribution of the calorimeter cubes is not perfectly uniform in the ( $t, r$ ) reference frame, the deposited energy was **reweighted according to the average number of cubes** in a ( $t, r$ ) bin.



## Parametrization

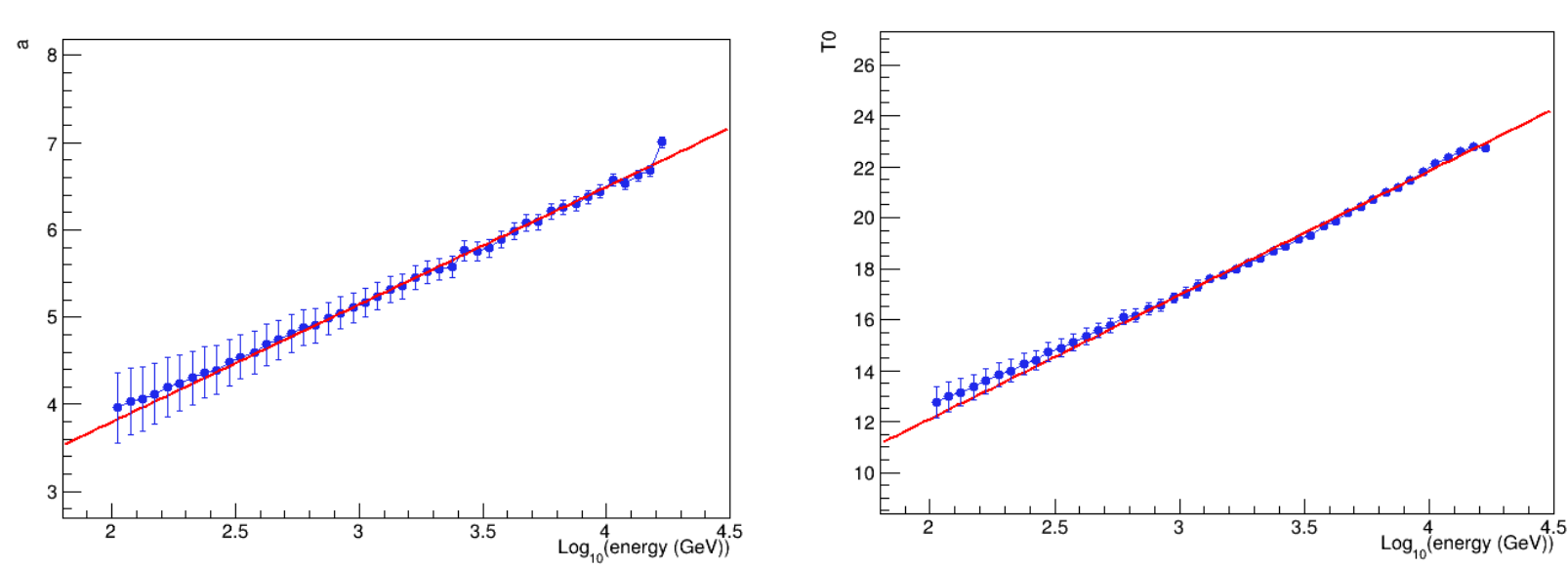
The **longitudinal development** of electron showers was parametrized using the expression

$$\frac{dE}{dt} = E_0 b \frac{(bt)^{a-1} e^{-bt}}{\Gamma(a)}$$



Only 2 free parameters that only depends on total energy

To describe the energy dependence of the parametrization,  $a$  and  $T_0 = \frac{a-1}{b}$  have been fitted with a **linear model** wrt  $\log(E)$ .

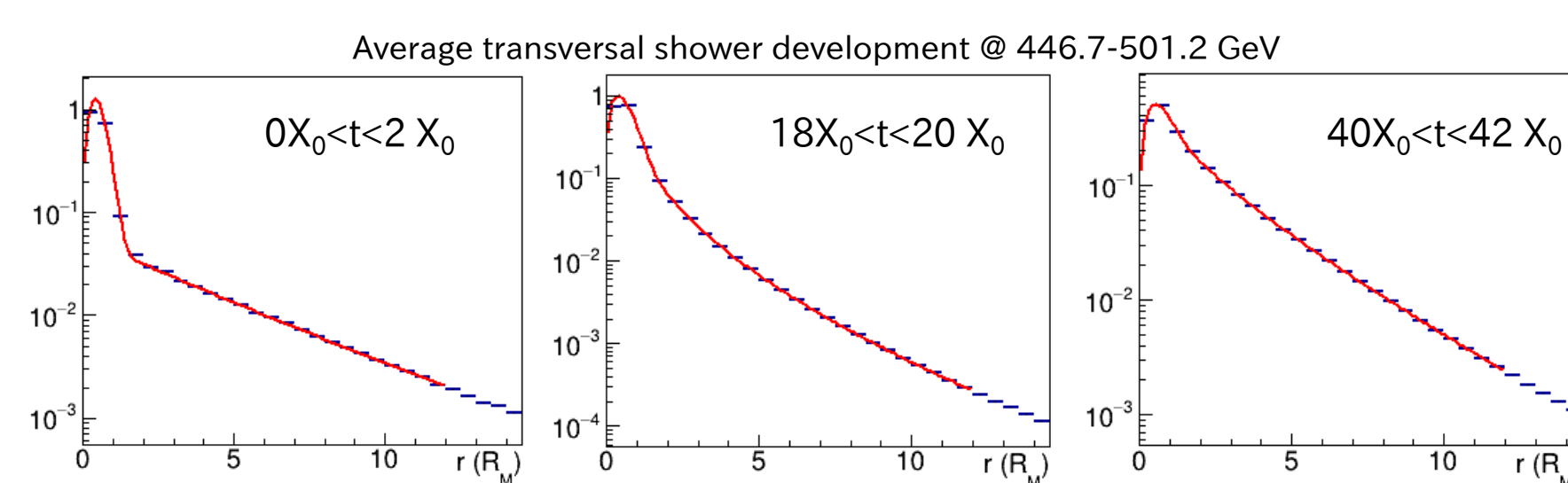


## Parametrization

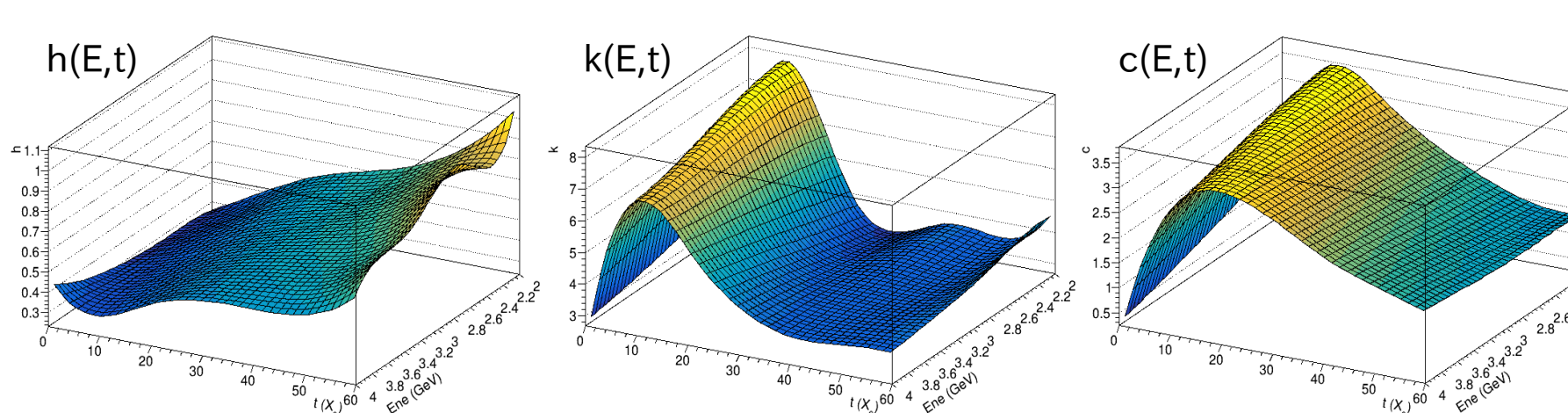
The minimal parametrization describing the **transversal energy deposit** has been found to be:

$$\frac{dE}{drdt} = A e^{-r^2/h} + B \frac{e^{-r/k}}{(r+R)^c}$$

Parameters depend on both energy and t



The parameters have been interpolated by **2D spline**.



## Parametrization

For every ( $t, r$ ) bin the **distribution of the deposited energy** was characterized by means of an appropriately scaled **Poissonian fit**.

$$P(E^m | E_i^e, \eta_i) = e^{-E_i^e / \eta_i} \frac{(E_i^e / \eta_i)^{E^m}}{\Gamma(E^m / \eta_i + 1)}$$

The parameter  $\eta_i$  is used for the conversion from **energy to number**.

As **average and variance** of deposited energy we expect the values

$$E[E^m] = E^e \quad V[E^m] = E^e \eta$$

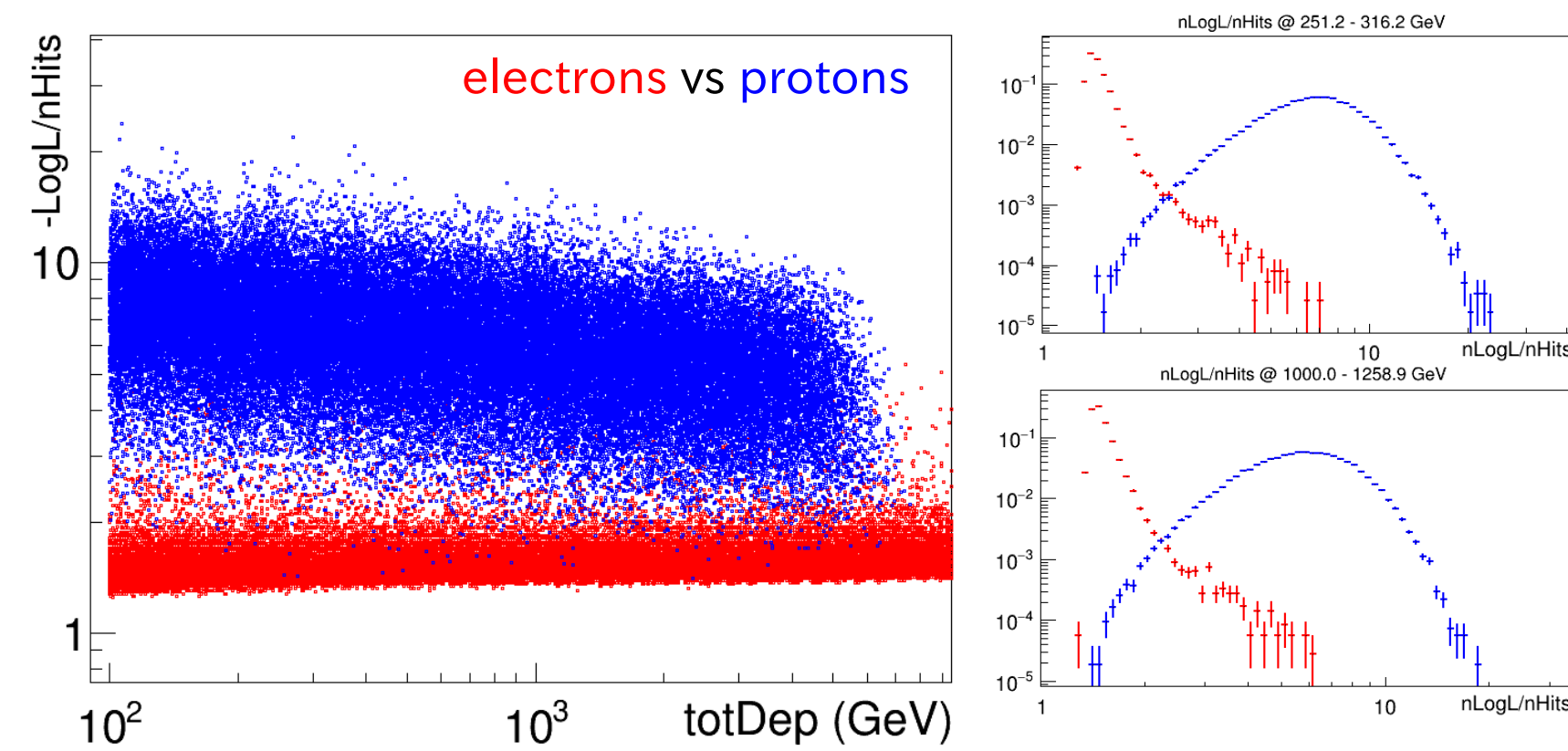
We mapped  $\eta_i$  computing the **ratio between the variance and the mean value** in bin  $i$

## Likelihood test

The **negative log-likelihood** relative to **electron hypothesis** was evaluated on every test event

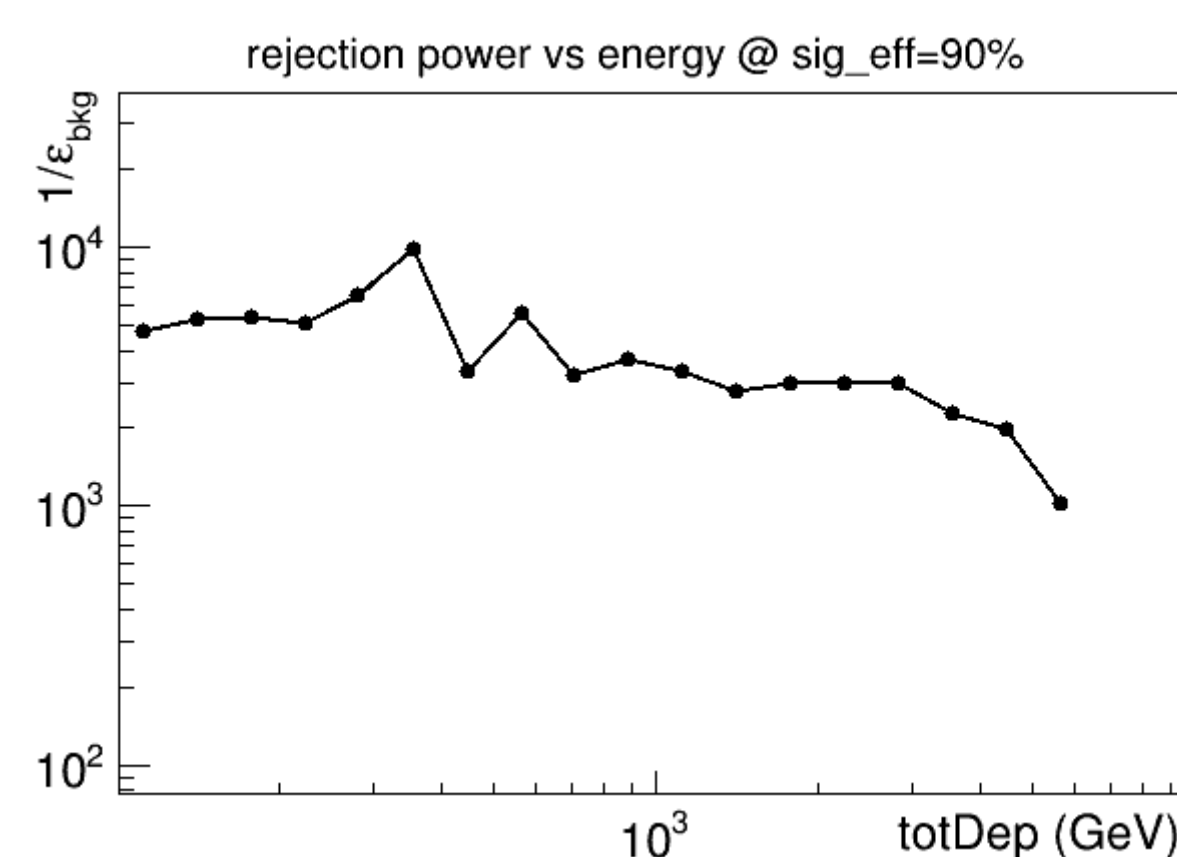
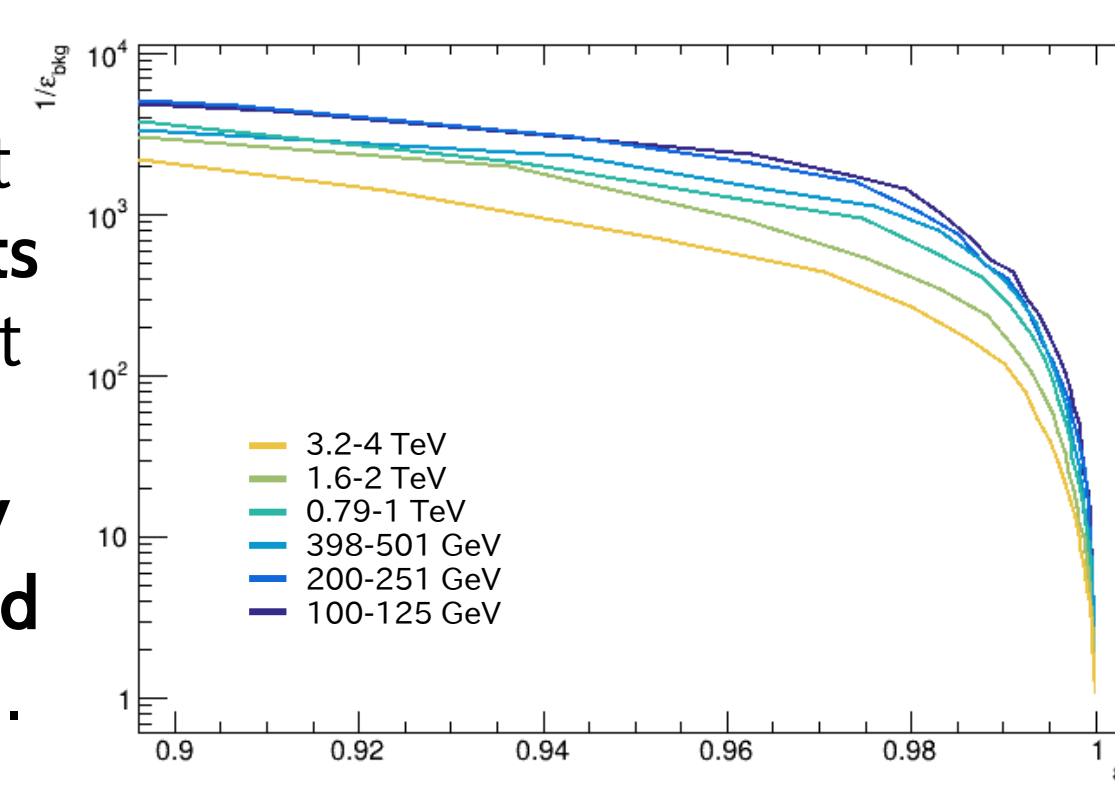
$$n \text{Log} L = -\text{Log} \left( \prod_m P(E^m | E_i^e, \eta_i) \right) = \sum_m E_i^e / \eta_i - E^m / \eta_i + \text{Log}(E_i^e / \eta_i) + \text{Log} \Gamma(E^m / \eta_i + 1)$$

The value was **normalized wrt the number of hits** in a shower event



## Rejection power

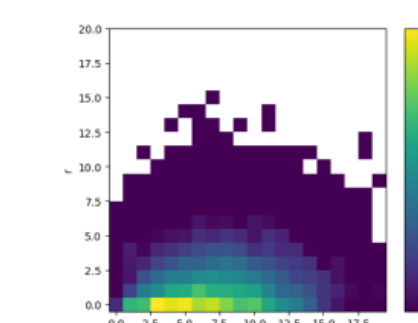
**Cutting at different value of nLogL/nHits** we obtain different level of **signal detection efficiency ( $\epsilon_{sig}$ )** and **background suppression ( $1/\epsilon_{bkg}$ )**.



Fixing a **90% signal efficiency** we get a **rejection power  $>10^3$**  up to 5 TeV of deposited energy.

## Alternative approach

The ( $t, r$ ) map we get from a shower in the calorimeter is no different from a **simple picture**. Thus we can easily apply computer vision techniques such as **convolutional neural networks** to provide an independent tool for e/p discrimination based on the shape of the shower.



Conv. 32 (3,3)

Max pooling (2,2)

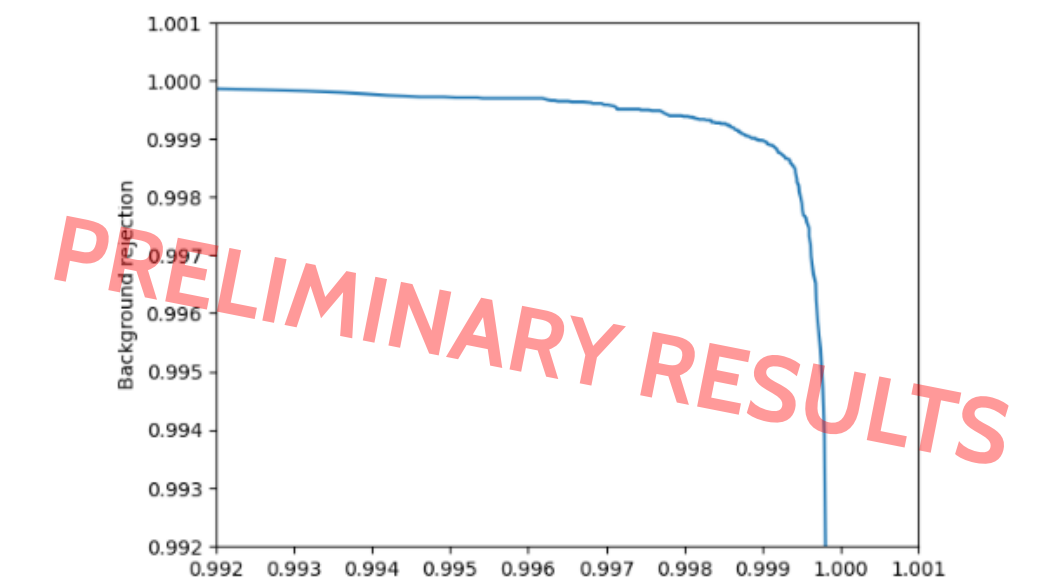
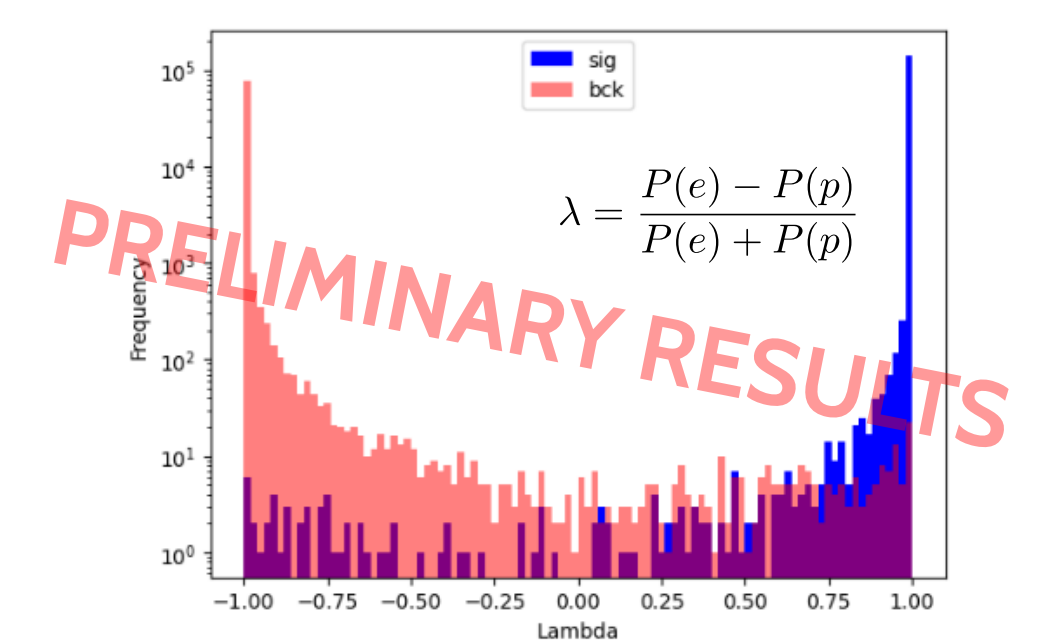
Conv. 64 (3,3)

Max pooling (2,2)

Flatten

Dense (64)

Dense (2)



## Future developments

The analysis technique is showing **promising results** but there are still many **steps forward** to take:

- **additional statistics** to be simulated to **improve the likelihood discrimination** at low energies
- **energy digitization** and **detector inefficiency** to be implemented in the simulation
- extension of results to **energies beyond 10 TeV** (requires **simulation of additional events**)

## References

- [1] Adriani O., et al. HERD proposal. <https://indico.ihep.ac.cn/event/8164/material/1/0.pdf>
- [2] Adriani O., et al. Design of an Antimatter Large Acceptance Detector In Orbit (ALADiNO). <https://doi.org/10.3390/instruments6020019>.
- [3] Kirn, T., et al. The AMS-100 experiment: The next generation magnetic spectrometer in space. <https://doi.org/10.1016/j.nima.2022.167215>.
- [4] Mori N., GGS: A Generic Geant4 Simulation package for small- and medium-sized particle detection experiments. <https://doi.org/10.1016/j.nima.2021.165298>.



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