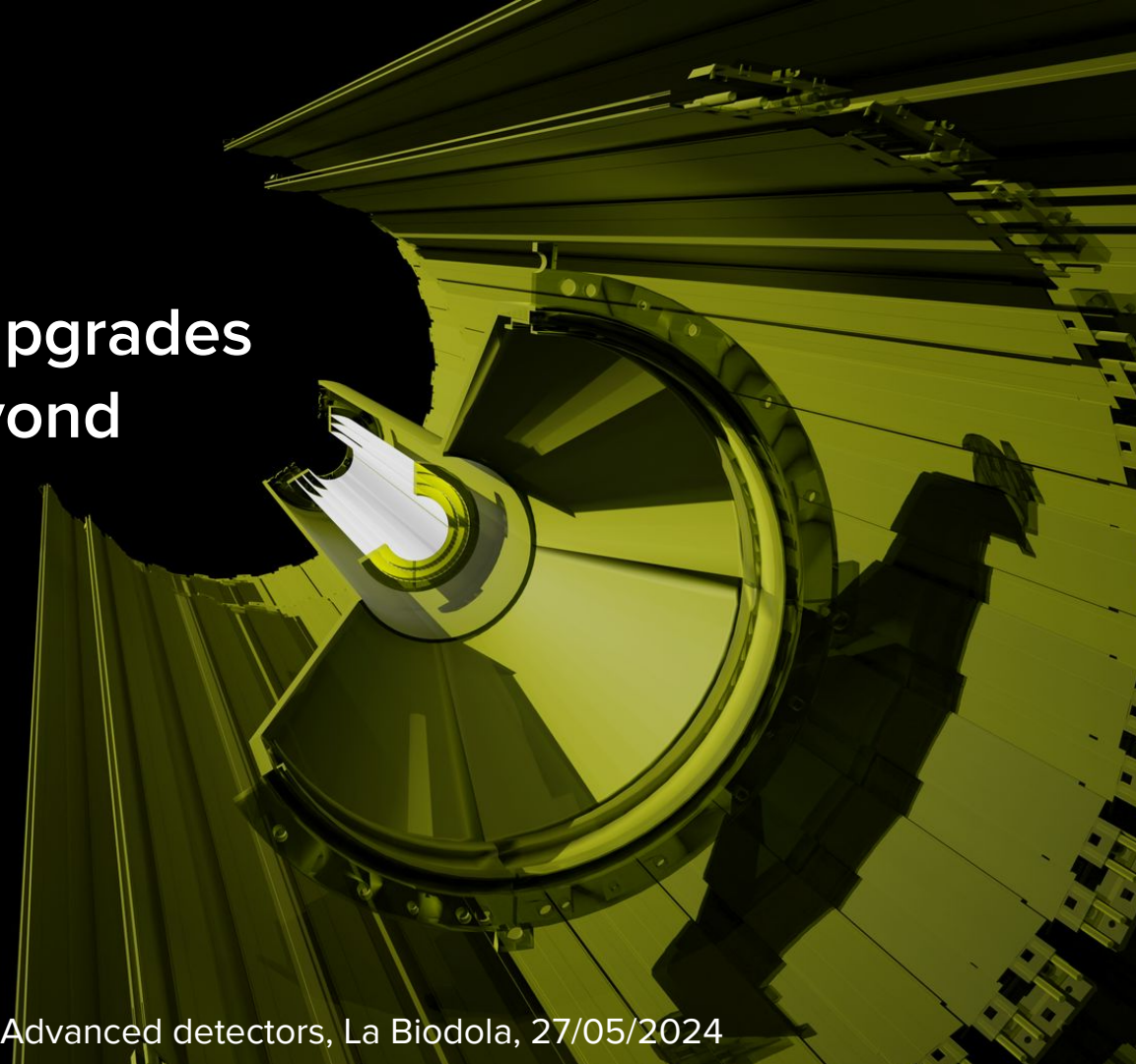




ALICE Silicon Tracker Upgrades for LHC RUN4 and Beyond

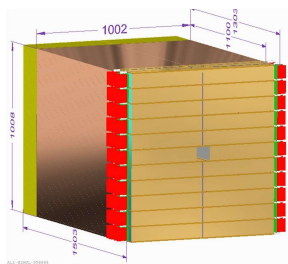
Luca Aglietta on behalf of
the ALICE collaboration



ALICE Upgrades timeline



ALICE 2.1



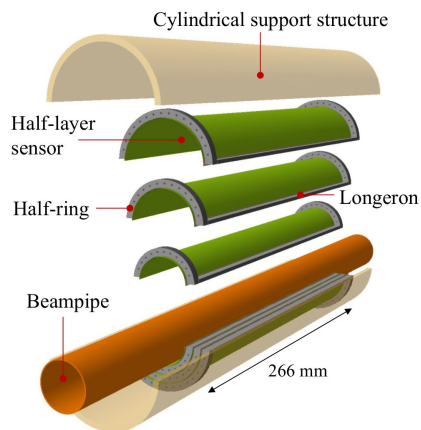
Forward Calorimeter

LOI: [CERN-LHCC-2020-009](https://cds.cern.ch/record/270009)

TDR: [CERN-LHCC-2024-004](https://cds.cern.ch/record/270004)

Not in this talk:

→ See *M. Inaba* poster in Calorimetry session!

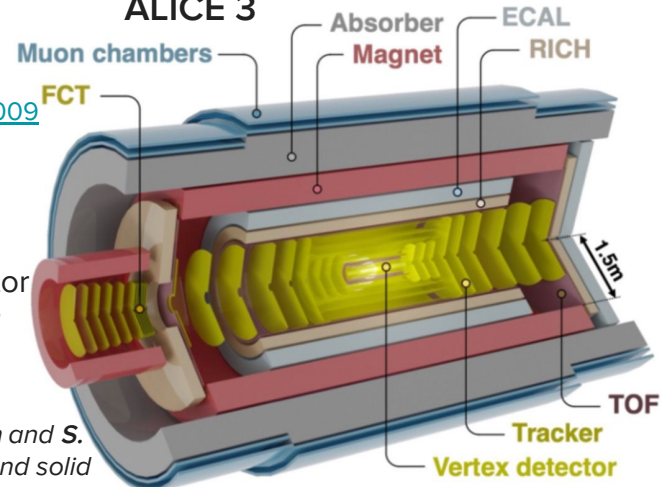


Inner Tracking System 3 (ITS3)

LOI: [CERN-LHCC-2019-018](https://cds.cern.ch/record/270018)

TDR: [CERN-LHCC-2024-003](https://cds.cern.ch/record/270003)

ALICE 3



LOI: [CERN-LHCC-2022-009](https://cds.cern.ch/record/270009)

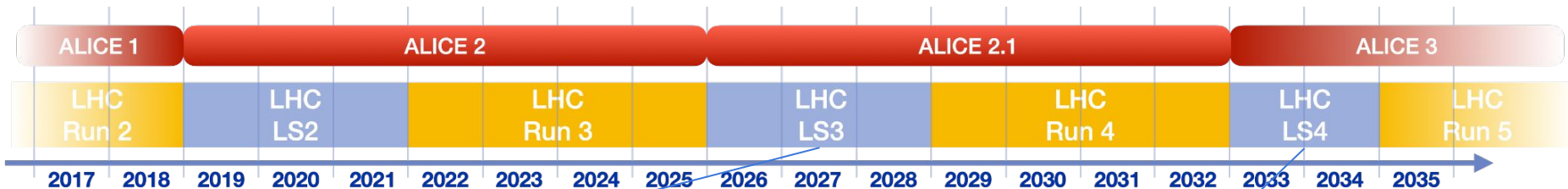
Scoping document in preparation

In this talk:

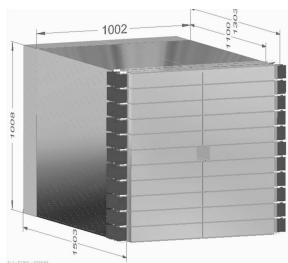
- Vertex Detector
- Outer Tracker

→ TOF: see *G. Gioachin* and *S. Strazzi* posters in PID and solid state detectors sessions!

ALICE Upgrades timeline



ALICE 2.1



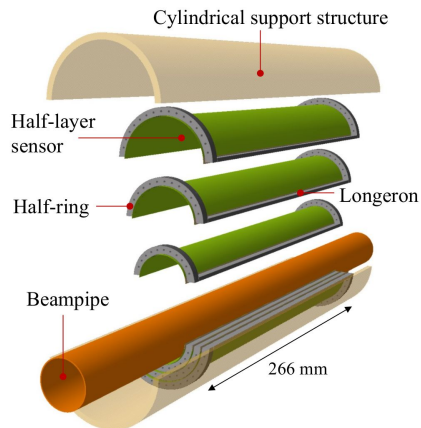
Forward Calorimeter

LOI: [CERN-LHCC-2020-009](https://cds.cern.ch/record/270009)

TDR: [CERN-LHCC-2024-004](https://cds.cern.ch/record/270004)

Not in this talk:

→ See *M. Inaba* poster in Calorimetry session!

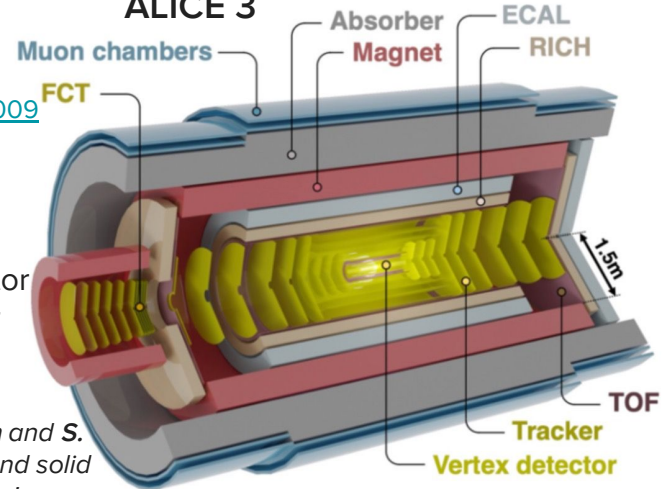


Inner Tracking System 3 (ITS3)

LOI: [CERN-LHCC-2019-018](https://cds.cern.ch/record/270018)

TDR: [CERN-LHCC-2024-003](https://cds.cern.ch/record/270003)

ALICE 3



LOI: [CERN-LHCC-2022-009](https://cds.cern.ch/record/270009)

Scoping document in preparation

In this talk:

- Vertex Detector
- Outer Tracker

→ TOF: see *G. Gioachin* and *S. Strazzi* posters in PID and solid state detectors sessions!

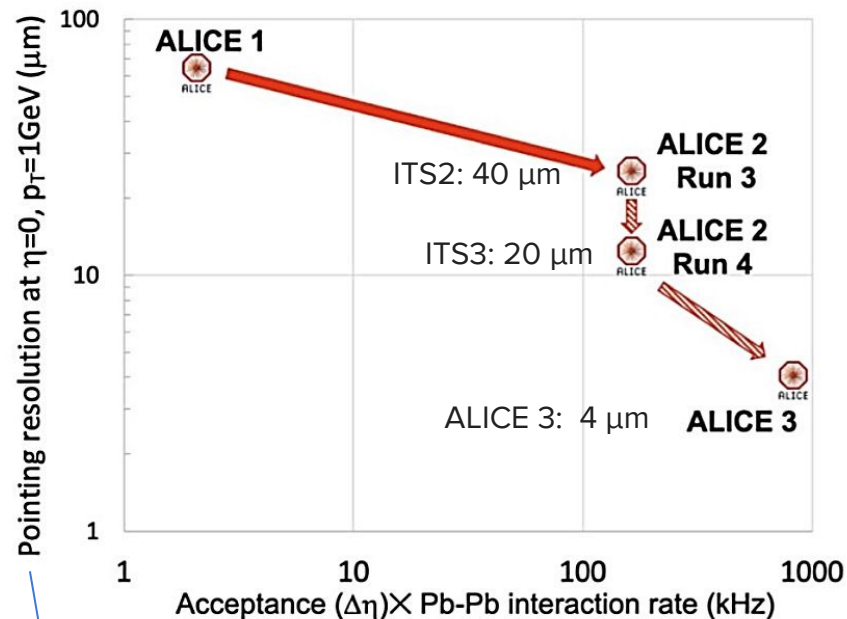
Physics Motivations:

Study of QGP in ultra-relativistic heavy-ions collisions
 search for rare, low momentum probes, reconstruction of displaced decay topologies:

- Heavy flavour hadrons at low p_T
- Thermal dielectrons
- Precision measurements of light (hyper)nuclei and searches for charmed hypernuclei

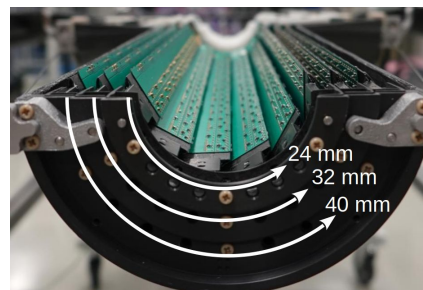
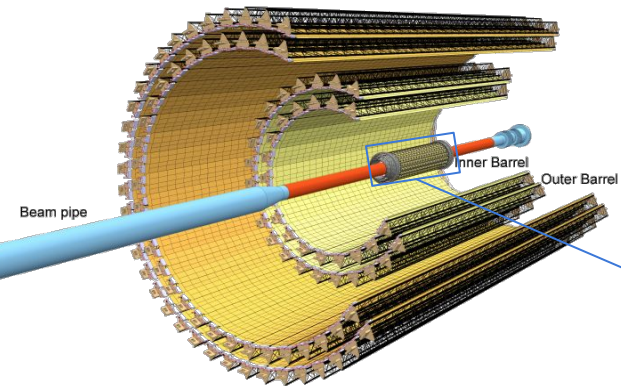
Tracker upgrade requirements:

- Increase of effective acceptance (acceptance x readout rate)
 - Improve tracking and vertexing performance low p_T for combinatorial background suppression
- Excellent **spatial resolution**, minimal **inner radius** and low **material budget** are needed

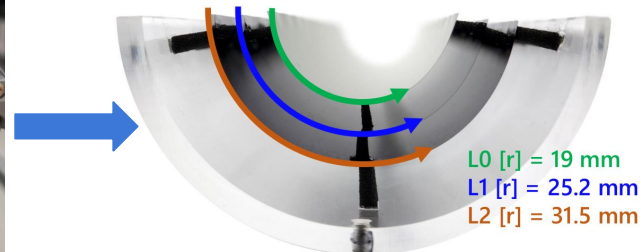


$$\sigma_{DCA} \approx A \sigma_{xyz} \oplus B \frac{r_0}{p} \sqrt{\frac{X}{X_0}} \cosh \eta$$

The ITS3 Upgrade

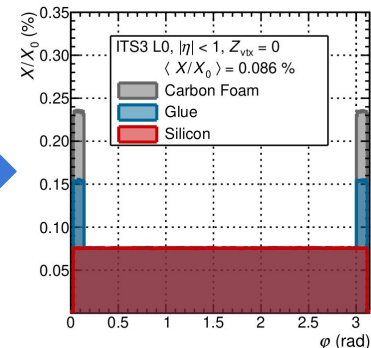
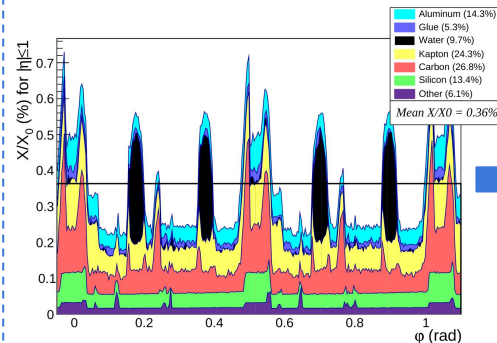


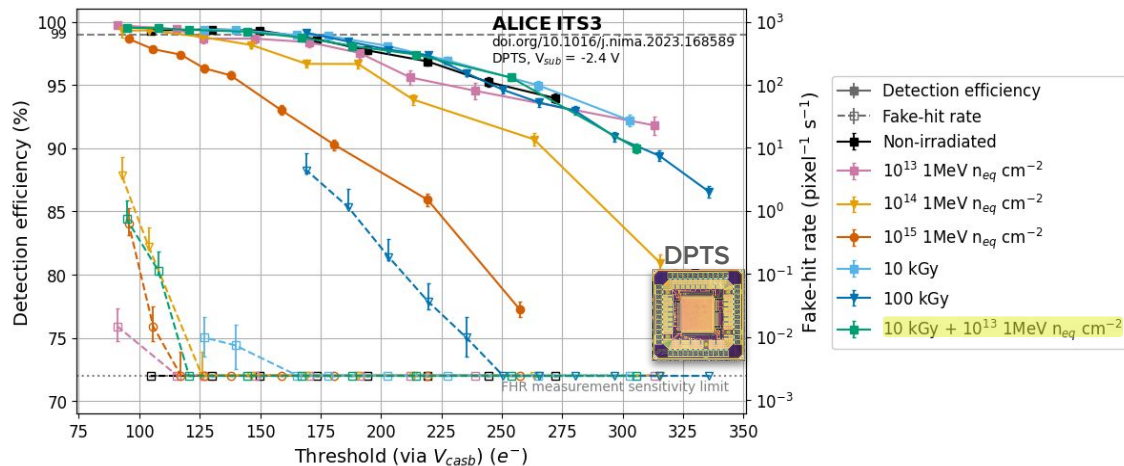
ITS2 Inner Barrel



ITS3 Engineering Model 1

- Replacement of the Inner Barrel of the ITS2 with 3 layers of curved, 50 μm thick, wafer-scale MAPS in 65nm CMOS process
- Air cooling and ultra-light mechanical supports (carbon foam)
- Reduction of L_0 radius:
→ from 24 mm to 19 mm
- Reduction of material budget per layer:
→ from 0.36% X/X_0 to 0.09% X/X_0





Digital Pixel Test Structure (DPTS):

- Efficiency $> 99\%$ and FHR $< 2 \cdot 10^{-3} \text{ pix}^{-1} \text{ s}^{-1}$ after irradiation at ITS3 requirements

[i.nima.2023.168589](https://doi.org/10.1016/j.nima.2023.168589)

Analog Pixel Test Structure (APTS-SF):

- Efficiency maintained until 10^{15} 1MeV $n_{eq} \text{ cm}^{-2}$ at 15°C

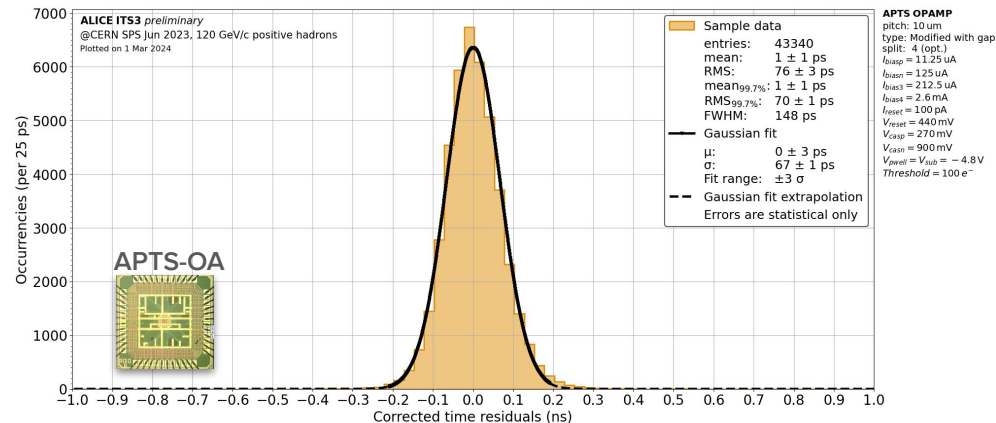
[arXiv:2403.08952](https://arxiv.org/abs/2403.08952)

Analog Pixel Test Structure (APTS-OA):

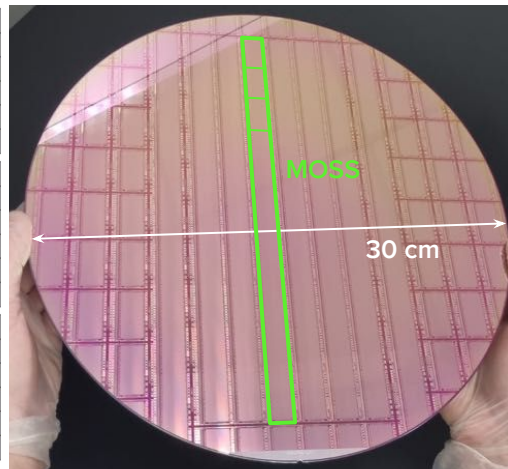
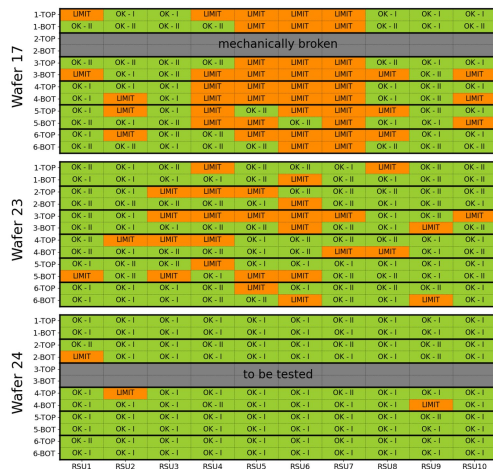
- Sensor time resolution $\leq 70\text{ps}$

→ More details?

See *K. Gautam (APTS)* and *A. Villani (DPTS)* posters in Solid State detectors session!



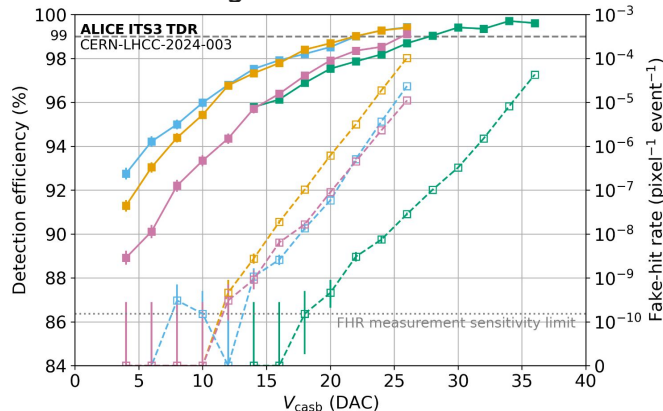
Stitched MAPS: ER1



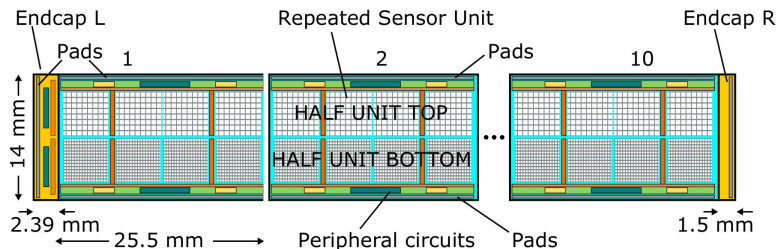
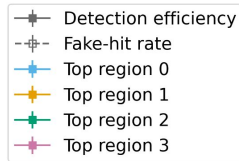
MOnolithic Stitched Sensor (MOSS):

- 10 Repeated Sensor Units stitched together: 259 mm x 14 mm per sensor
- 2 pixel pitches (18 μm and 22.5 μm) and 5 front-end variants
- Chip is **operational** and reaches **full efficiency**
- **Yield:** currently under study with extensive characterization campaign with wafer prober. Target < 2% dead pixels per layer

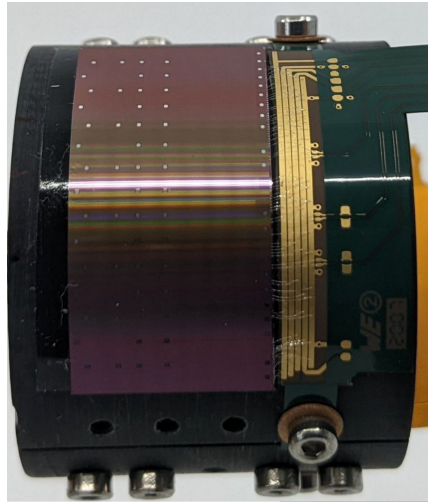
Powering Yield



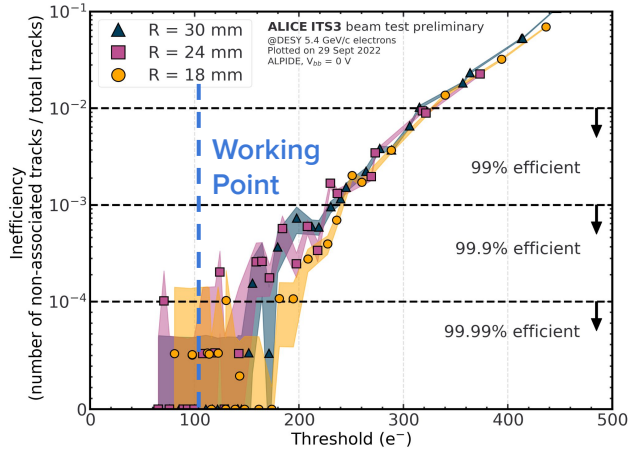
ED1 wafer



Performance of bent MAPS

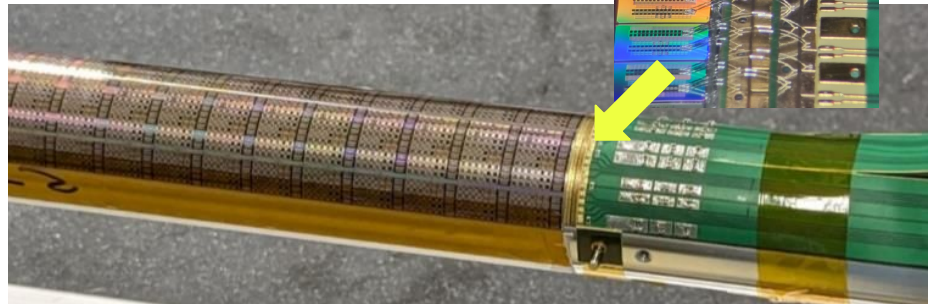


Bent ITS2 sensor (ALPIDE 180 nm)

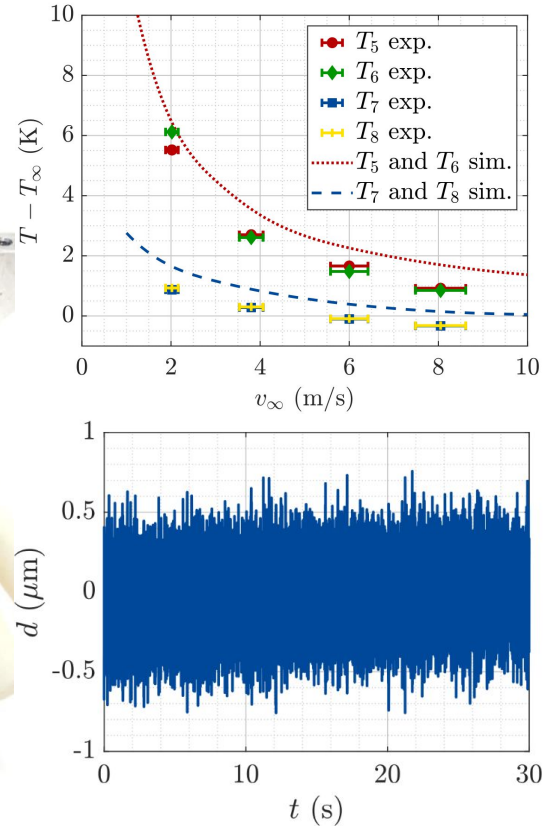
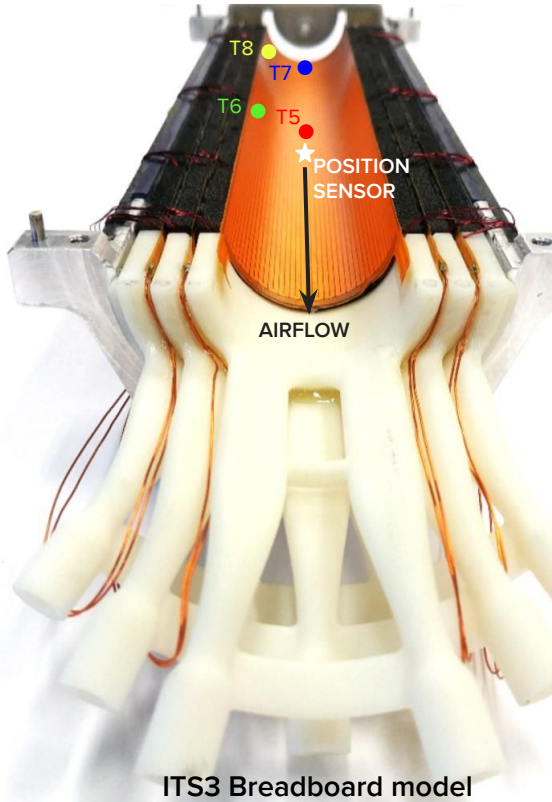


- 50 μm thick silicon sensors can be bent to ITS3 target radius without breaking
- Test on bent ALPIDE chips show performance is unaffected \rightarrow No efficiency loss
- Results validated on bent 65 nm pixel test structures
- Electrical interconnections to FPC after bending through wire bonding

First results on bent MAPS: [jnima.2021.166280](https://arxiv.org/abs/jnima.2021.166280)

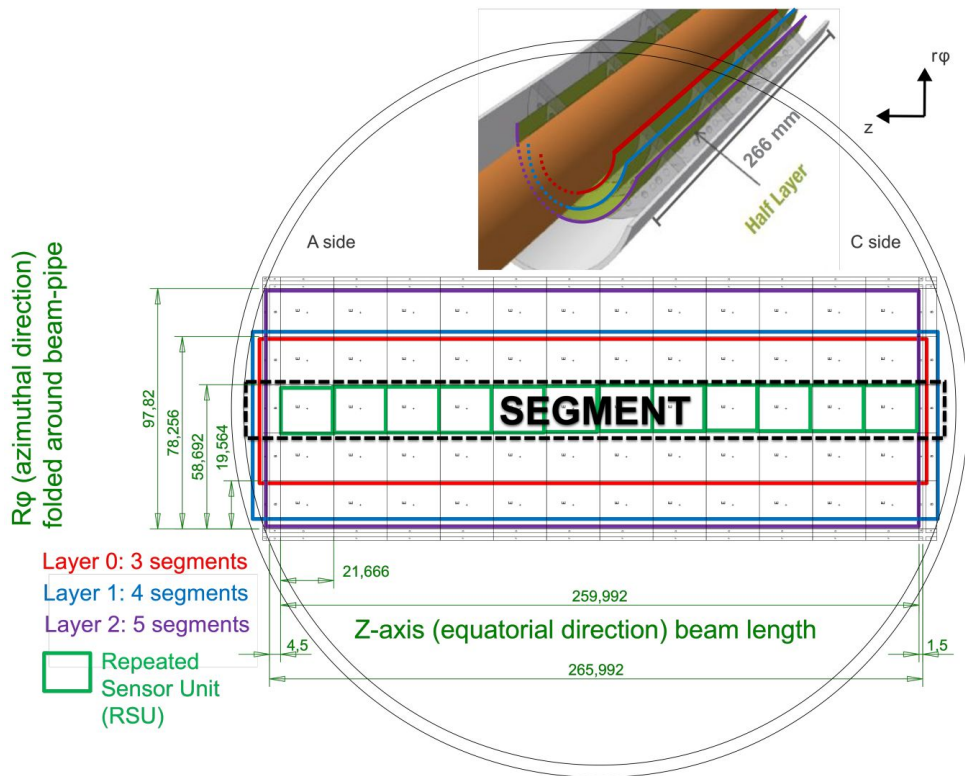


Bent 65 nm wafer bonded to FPC



Baseline cooling solution \rightarrow air cooling.

- Tests in wind tunnel on breadboard model: dummy silicon sensor with copper serpentine heater
- Temperature differences in the sensor below 5°C with of 8 m/s airflow. (Thermal load: 25 mW cm^{-2} in matrix, 1000 mW cm^{-2} in end-caps)
- Mechanical assembly with carbon foam half rings keeps vibrations within $\pm 0.5 \mu\text{m}$ with 8 m/s airflow



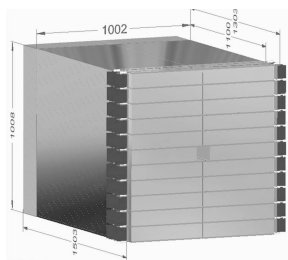
Design of **full-size** and **full-functionality** prototypes of the final sensor is being finalised incorporating learnings from MOSS testing:

- **Modular design:** each sensor is divided into 3, 4, or 5 segments with 12 RSUs.
- Each RSU is divided in turn in 12 fully independent tiles (powering, control and readout)
- Left end cap acts as a separate readout circuit on the same silicon wafer → interface with off detector electronics
- Submission to the foundry in fall 2024

ALICE Upgrades timeline



ALICE 2.1



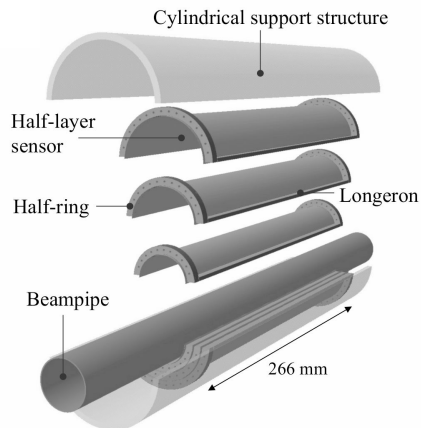
Forward Calorimeter

LOI: [CERN-LHCC-2020-009](https://cds.cern.ch/record/270009)

TDR: [CERN-LHCC-2024-004](https://cds.cern.ch/record/270004)

Not in this talk:

→ See *M. Inaba* poster in Calorimetry session!

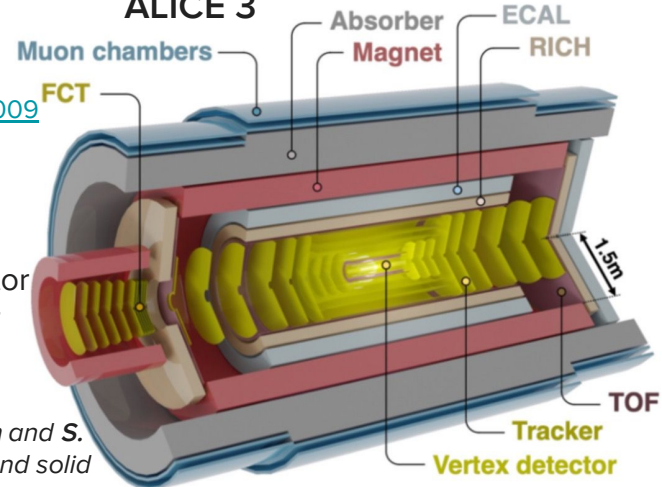


Inner Tracking System 3 (ITS3)

LOI: [CERN-LHCC-2019-018](https://cds.cern.ch/record/270018)

TDR: [CERN-LHCC-2024-003](https://cds.cern.ch/record/270003)

ALICE 3



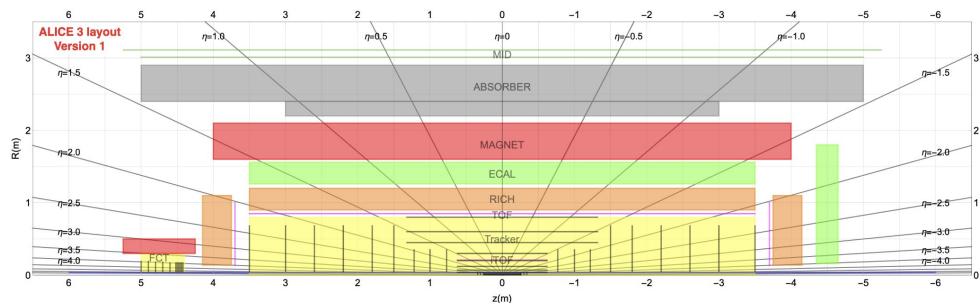
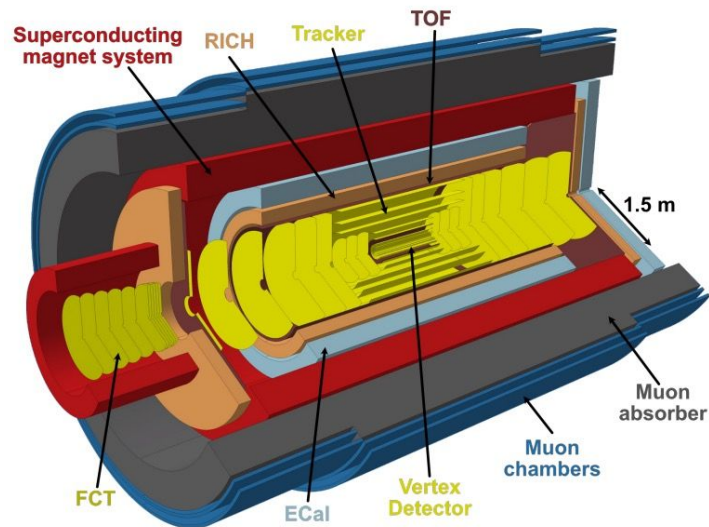
LOI: [CERN-LHCC-2022-009](https://cds.cern.ch/record/270009)

Scoping document in preparation

In this talk:

- Vertex Detector
- Outer Tracker

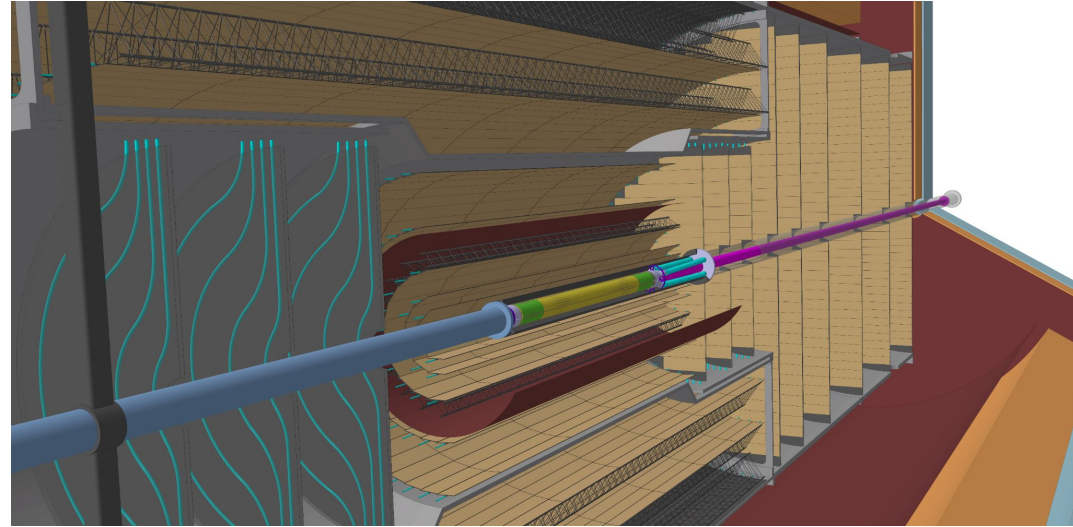
→ TOF: see *G. Gioachin* and *S. Strazzi* posters in PID and solid state detectors sessions!



Next generation compact experiment based on advanced silicon detectors

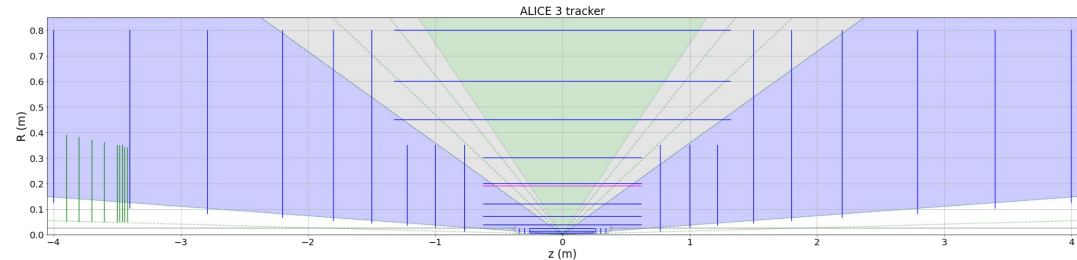
- Centered around a 60m^2 low-mass silicon tracker fully made of MAPS
- Retractable vertex detector for unprecedented pointing resolution
- Excellent PID capabilities thanks to TOF and RICH detectors
- Superconducting magnet (2T)
- Large acceptance: $-4 < \eta < 4$
- Continuous readout and online data processing to access rare signals
- Muon Identification system, large acceptance Ecal and Forward Conversion Tracker for ultra-soft photons

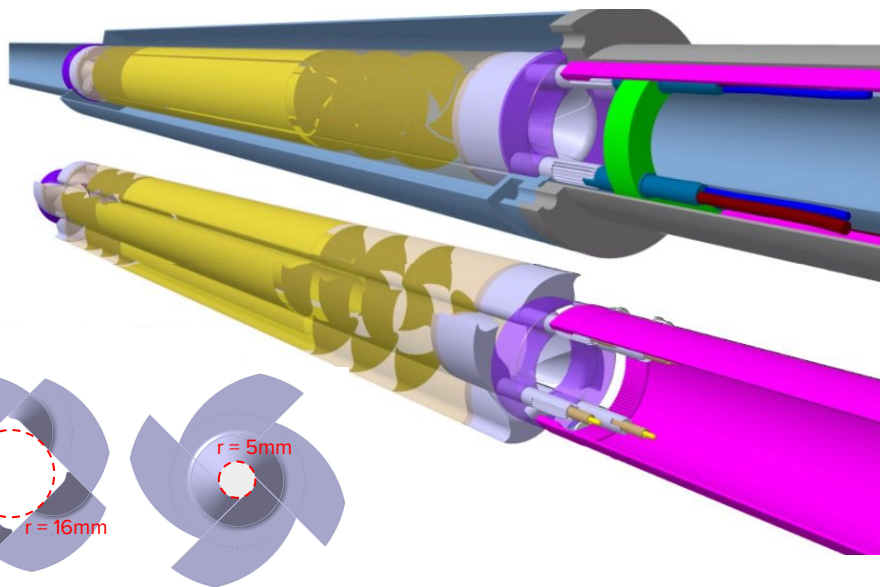
- 8 barrel layers ($3.5 \text{ cm} < R < 80 \text{ cm}$) and 2 x 9 end-cap disks
- Material budget: 1% X/X_0 per layer
→ < 10% X/X_0 for entire tracker
- Space resolution: $10 \text{ }\mu\text{m}$ → $50 \text{ }\mu\text{m}$ pixel pitch
- Low power consumption: **20 mW/cm²**
- **100 ns** time resolution to mitigate pileup



Main R&D challenges:

- Modules integration for mass industrialization
- Power consumption while preserving timing performance



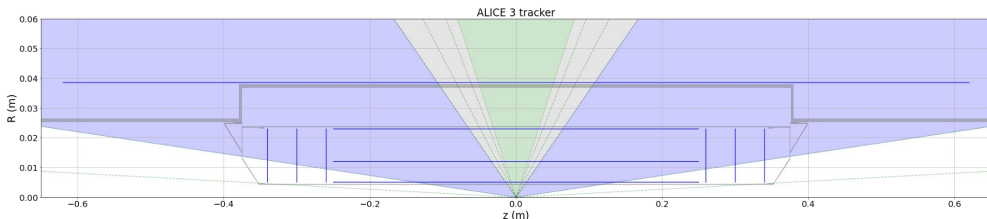


- 3 barrel layers and 2 x 3 end-cap disks of **ultra-thin, curved, wafer-scale MAPS**
- Retractable structure inside the beam pipe in secondary vacuum: **Iris Tracker**
- First detection layer at **5 mm** from the interaction point
- Unprecedented spatial resolution: **2.5 μm**
- Extremely low material budget: **0.1% X/X_0**
- Hit rate: **35 MHz cm^{-2}**

Main R&D challenges:

- Radiation hardness: **10^{16} 1MeV $n_{\text{eq}} \text{ cm}^{-2}$ + 300 Mrad** (LOI values)
- Mechanics and cooling
- **10 μm** pixel pitch
- Data and power distribution

→ R&D will build up on ITS3 experience



ALICE ITS3 project is on track for being installed in LS3 :

- bent MAPS performance demonstrated in beam
- 65 nm process qualified with MLR1 pixel structures
- stitched design exercised, testing is ongoing
- assembly procedure with curved wafer-scale sensors defined
- air cooling solution validated
- TDR reviewed by LHCC and approved by Research Board: [CERN-LHCC-2024-003](#)

ALICE 3, proposed for LHC run 5, will further push the limits of MAPS technology:

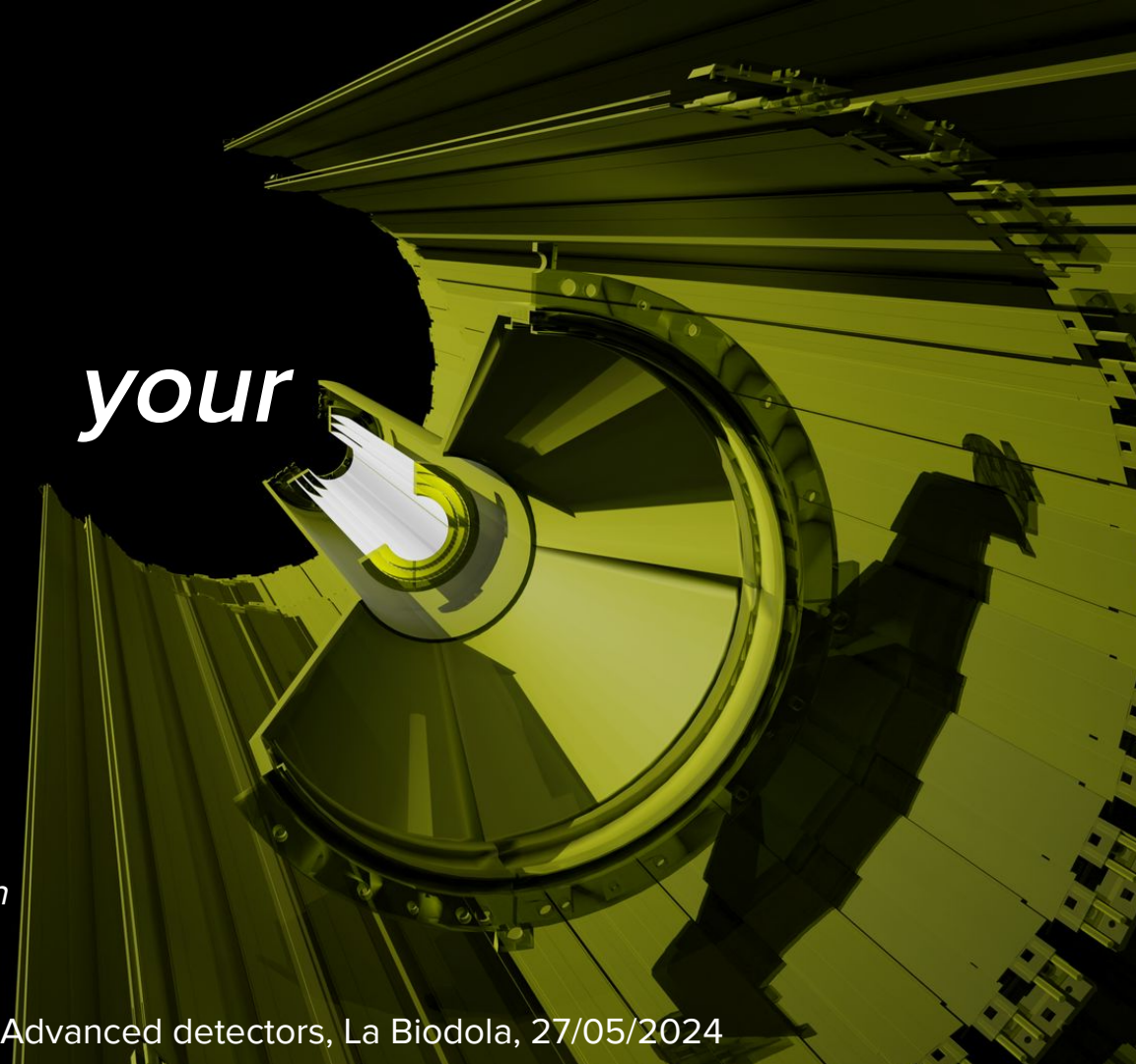
- LOI: [CERN-LHCC-2022-009](#)
- large-scale integration (60 m² outer tracker)
- increased spatial resolution, radiation hardness and rate capabilities + in-vacuum operation (vertex detector)
- Scoping document is being redacted: submission to the LHCC in fall 2024





Thank you for your attention!

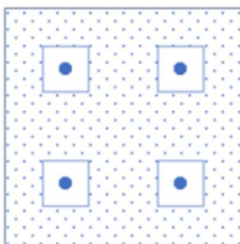
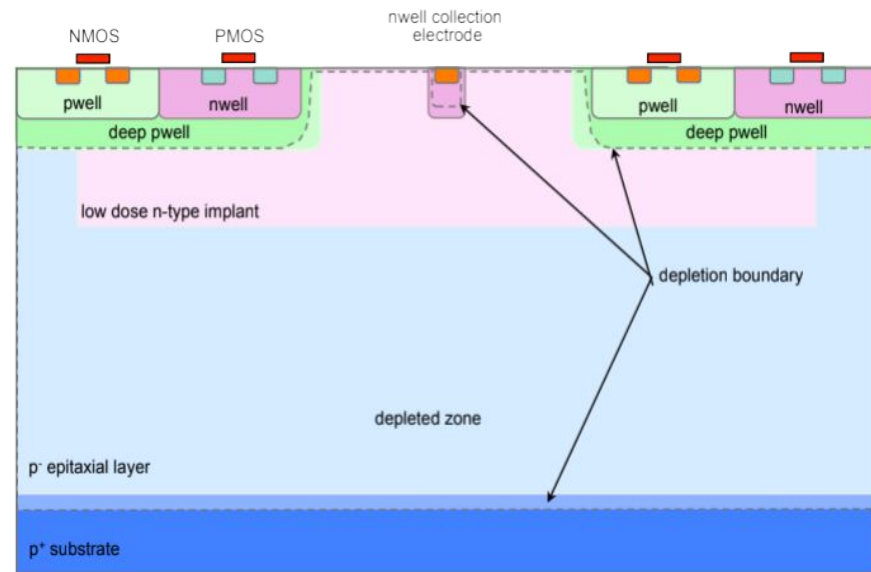
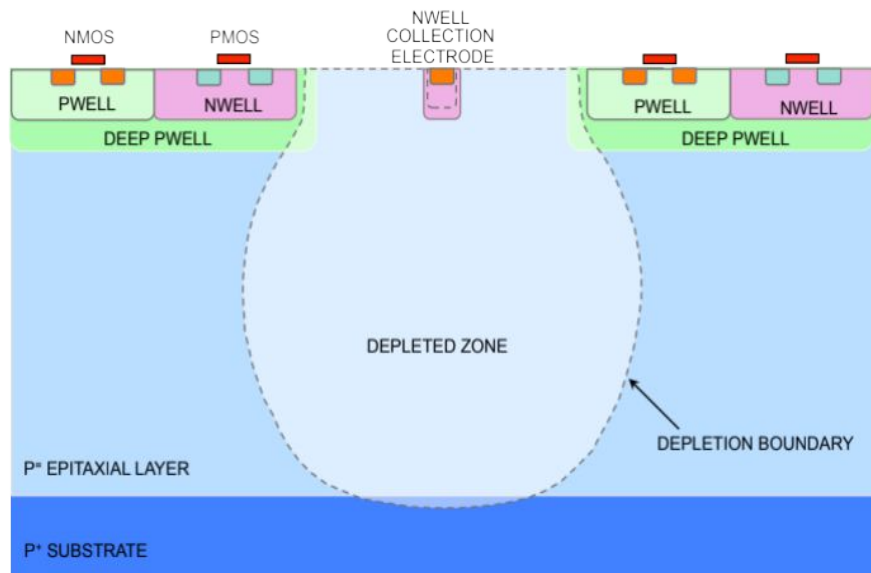
In the background: Render of the full ITS3 geometry implemented in ALICE simulation and reconstruction software (O2)



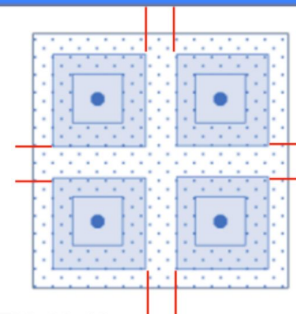


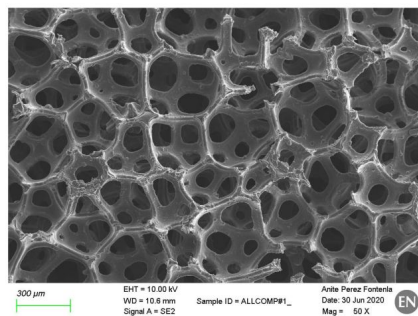
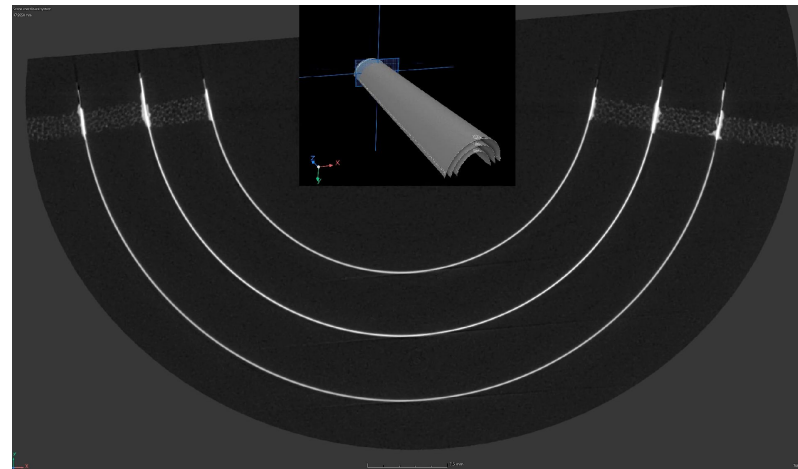
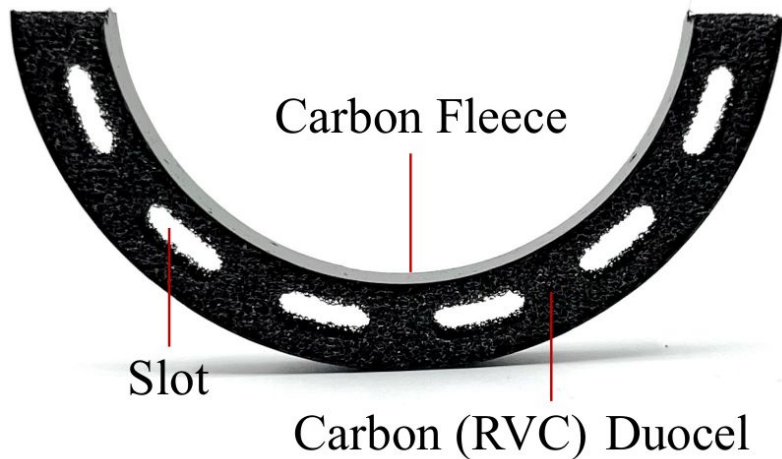
Backup

Modified MAPS production process

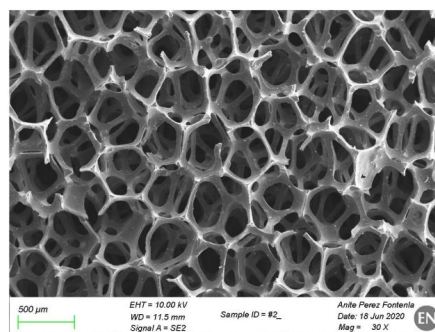


[Snoeys, W., et al. "Optimization of a 65 nm CMOS imaging process for monolithic CMOS sensors for high energy physics." *PIXEL 2022*.](#)





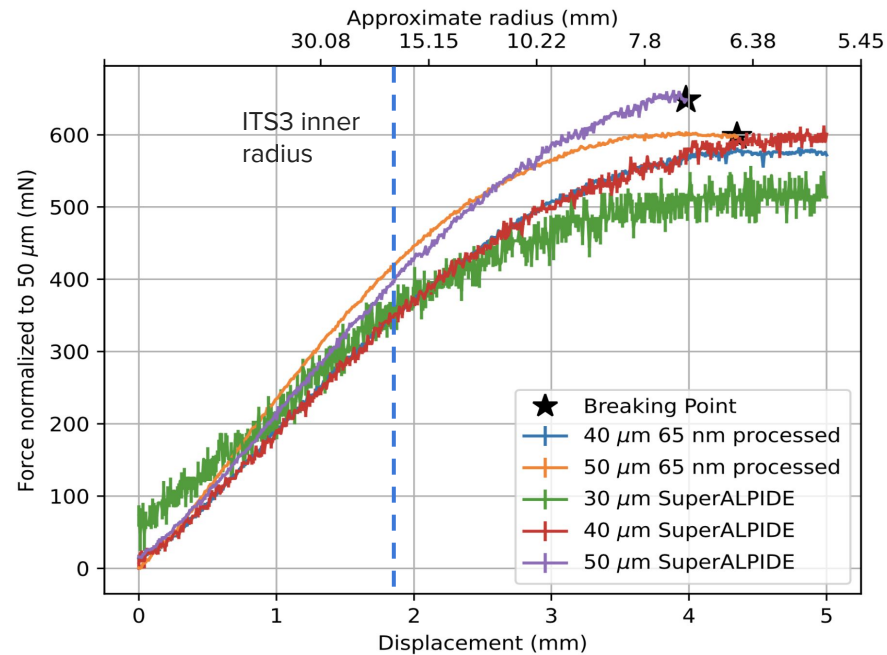
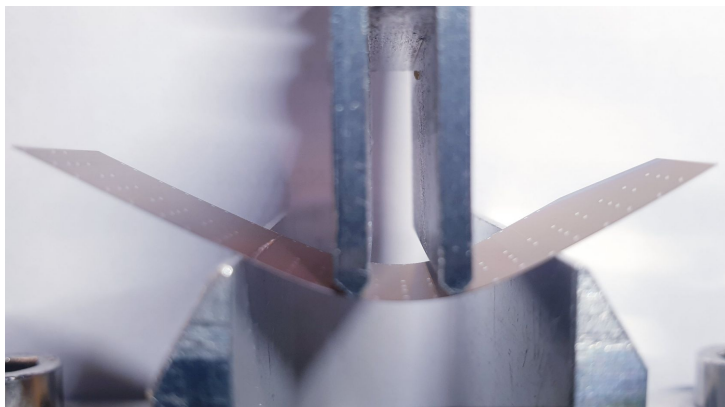
(b) Allcomp K9 standard density

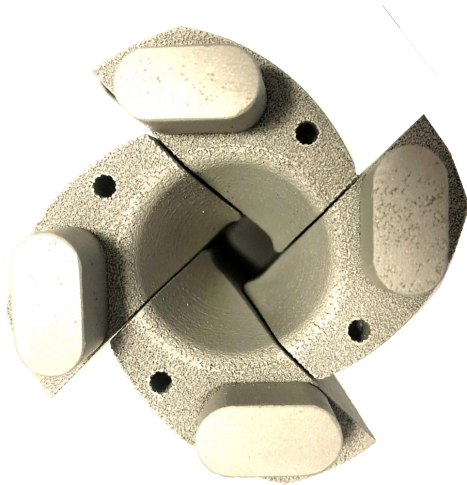


(a) Carbon (RVC) Duocel[®]



Bending Force





ITS3 Pointing resolution

