

# Spectral functions: from perturbative fixed points to quantum gravity

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UV Complete Quantum Field Theories for Particle Physics

San Miniato, 05. September 2023

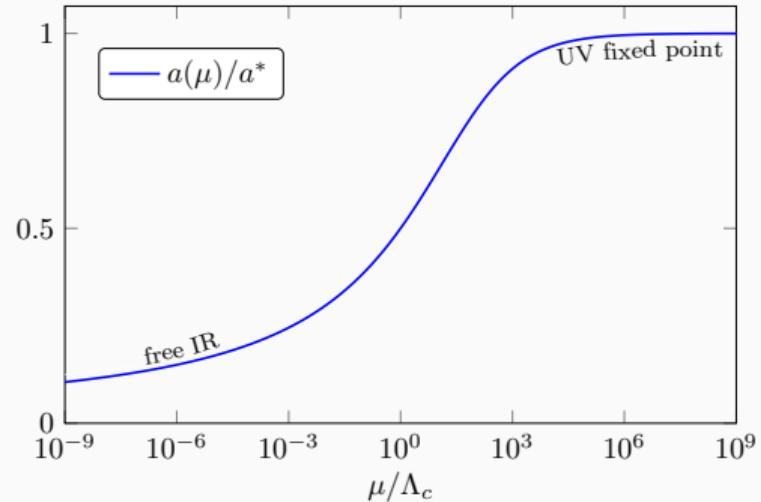
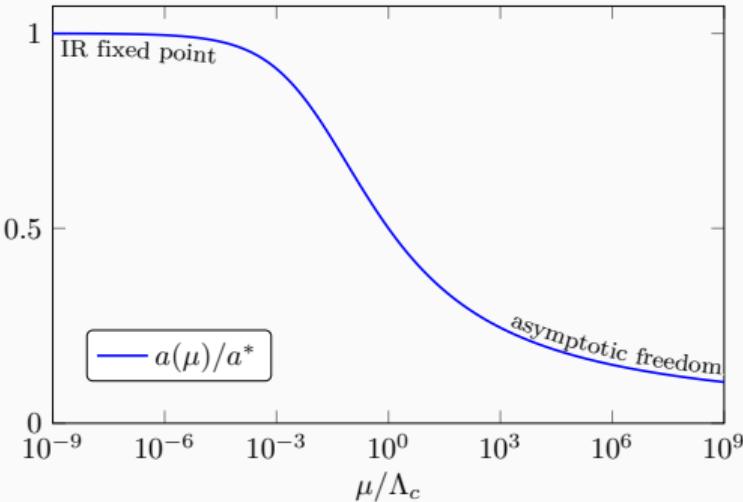
Fehre, Litim, Pawłowski, MR: PRL, 2111.13232

Kluth, Litim, MR: PRD, 2207:14510

Kluth, Litim, MR: 230x:xxxxxx



# Asymptotic freedom vs safety

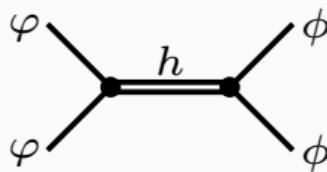


- Banks-Zaks
- Wilson-Fisher

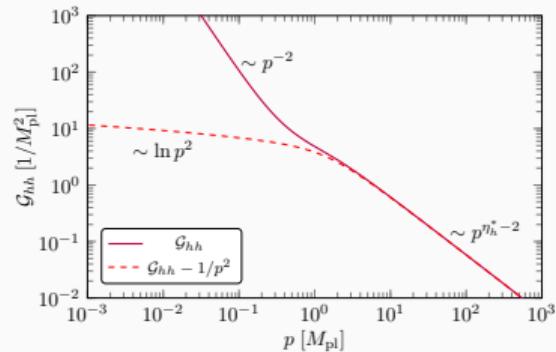
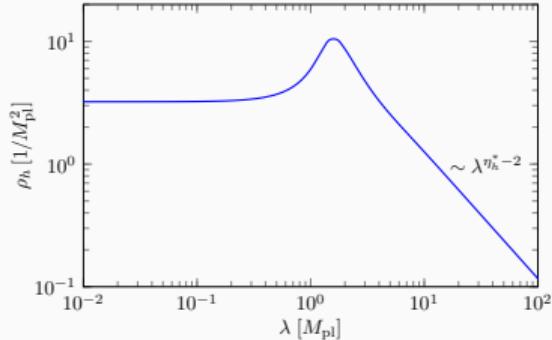
- Litim-Sannino
- Quantum gravity

# Correlation functions of the quantum effective action

- Want access to full quantum 1PI effective action  $\Gamma$
- Special importance: propagator  $G \sim \Gamma^{(2)}^{-1}$
- Important input for scattering



- Understand quantum-gravity through comparison with perturbative theories

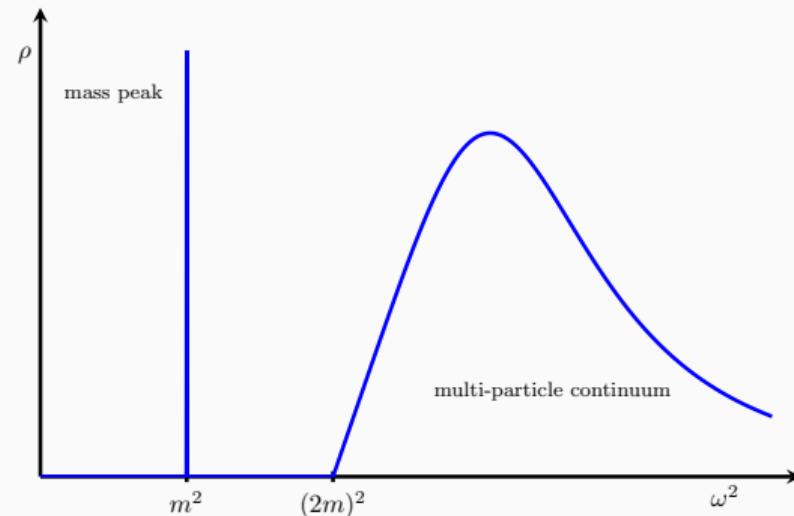
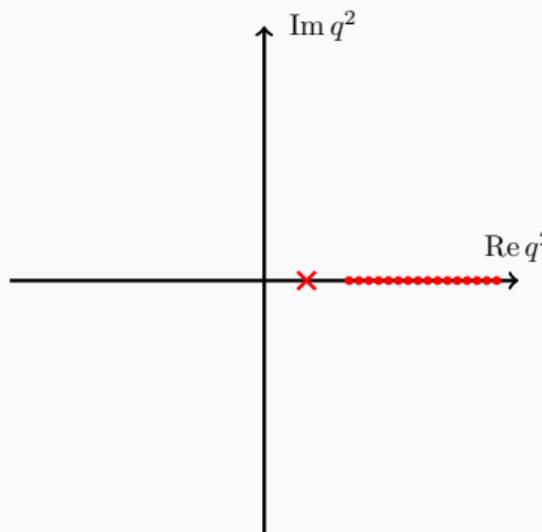


[Fehre, Litim, Pawłowski, MR '21]

# Källén-Lehmann spectral representation

[Källén '52; Lehmann '54]

$$G(q^2) = \int_0^\infty \frac{d\lambda^2}{\pi} \frac{\rho(\lambda^2)}{q^2 - \lambda^2} \quad \text{with} \quad \rho(\omega^2) = -\lim_{\varepsilon \rightarrow 0} \text{Im } G(\omega^2 + i\varepsilon)$$

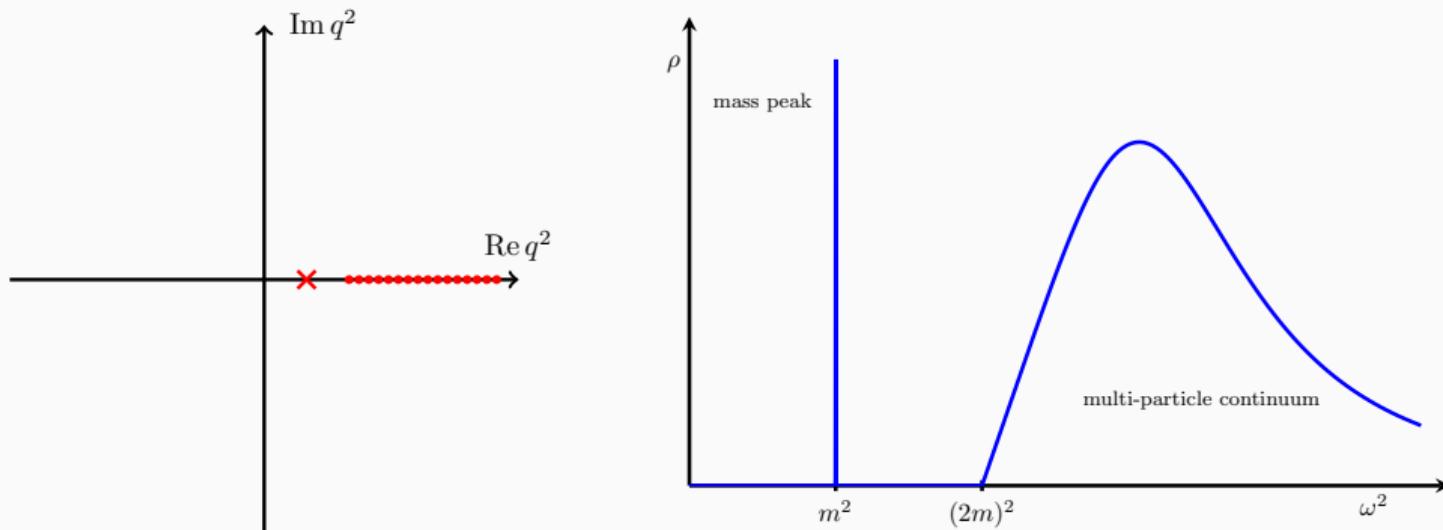


with  $\rho(\omega^2) > 0$  and  $\int \rho(\lambda^2) d\lambda^2 = 1$

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with  $\rho(\omega^2) > 0$  and  $\int \rho(\lambda^2) d\lambda^2 = 1$  ?

# Banks-Zaks fixed point

[Belavin, Migdal '74; Caswell '74; Banks, Zaks '82]

$SU(N_c)$  gauge theory with  $N_f$  quarks

$$\beta(a) = \beta_1 a^2 + \beta_2 a^3 + \dots$$

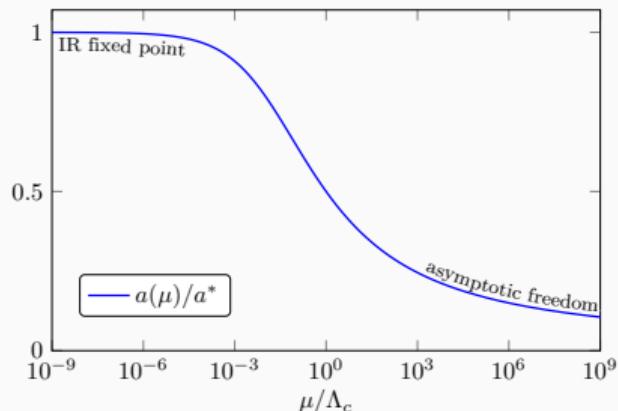
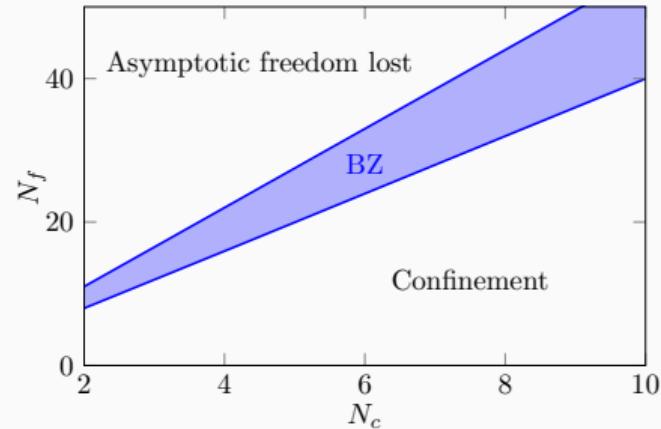
Veneziano limit gives  $\beta_1 \sim -\varepsilon$  with

$$\varepsilon = \frac{11}{2} - \frac{N_f}{N_c} > 0$$

$$N_c \text{ & } N_f \rightarrow \infty$$

Perturbative IR fixed point

$$a_* = -\frac{\beta_1}{\beta_2} + \mathcal{O}(\beta_1^2)$$



$SU(N_c)$  with  $N_f$  quarks and uncharged  $N_f \times N_f$  matrix scalar

$$L \sim L_{\text{YM}} + L_{\text{kin}} - y \text{Tr} \bar{\psi}_L H \psi_R - u \text{Tr} H^\dagger H H^\dagger H - v (\text{Tr} H^\dagger H)^2$$

Veneziano limit  $N_c$  &  $N_f \rightarrow \infty$  with

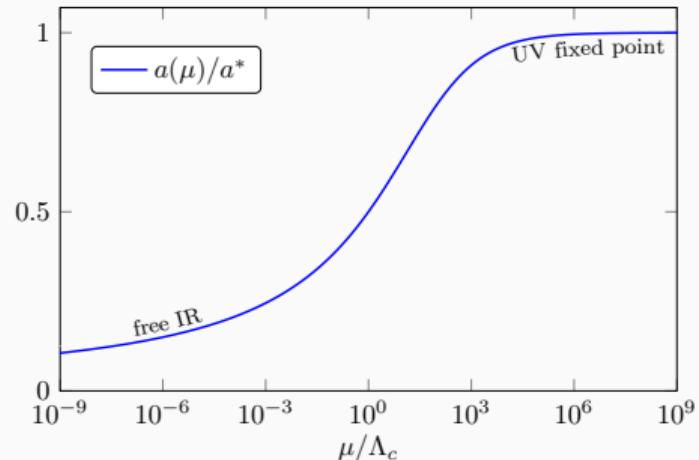
$$\varepsilon = \frac{11}{2} - \frac{N_f}{N_c} < 0$$

Perturbative UV fixed point

$$a_g^*, a_y^*, a_u^*, a_v^* \sim \varepsilon$$

One relevant direction

$$a_y(a_g(\mu)), a_u(a_g(\mu)), a_v(a_g(\mu))$$



# Litim-Sannino model – asymptotically free

$SO(2N_c)$  with  $N_f$  quarks and uncharged  $N_f \times N_f$  matrix scalar

$$L \sim L_{\text{YM}} + L_{\text{kin}} - y \text{Tr} \bar{\psi}_L H \psi_R - u \text{Tr} H^\dagger H H^\dagger H - v (\text{Tr} H^\dagger H)^2$$

Veneziano limit  $N_c$  &  $N_f \rightarrow \infty$  with

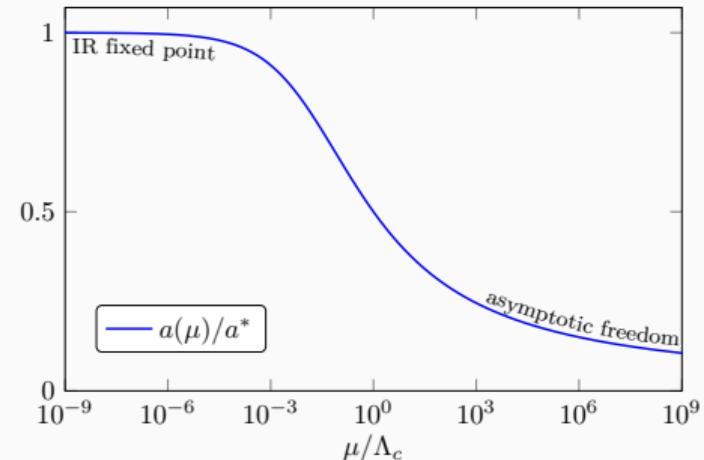
$$\varepsilon = \frac{11}{2} - \frac{N_f}{N_c} > 0$$

Perturbative UV fixed point

$$a_g^*, a_y^*, a_u^*, a_v^* \sim \varepsilon$$

One IR attractive direction

$$a_y(a_g(\mu)), a_u(a_g(\mu)), a_v(a_g(\mu))$$



Propagator with self-energy loop corrections

$$G_\phi(p^2, \mu^2) = \frac{1}{-p^2} \frac{1}{1 + \Pi_\phi(p^2, \mu^2)}$$

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Callan-Symanzik equation to resum large logarithms  $\log(p^2/\mu^2)$

$$\mu^2 \frac{d}{d\mu^2} (Z_\phi G_\phi) = \left( \mu^2 \frac{\partial}{\partial \mu^2} + \beta(a) \frac{\partial}{\partial a} - \gamma_\phi \right) G_\phi = 0$$

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Resummed Callan-Symanzik propagator with  $\bar{a} \equiv \bar{a}(p^2)$  &  $a \equiv a(\mu^2)$

$$G_\phi = \frac{1}{p^2} \frac{\mathcal{N}_\phi}{1 + \sum_n \Pi_\phi^{(n)}(p^2 = -\mu^2) \bar{a}(p^2)^n} \left( \frac{a(\mu^2)}{\bar{a}(p^2)} \right)^{\gamma_\phi^{(1)}/\beta_1} \prod_i \left( \frac{a(\mu^2) - a_{*,i}}{\bar{a}(p^2) - a_{*,i}} \right)^{\gamma_\phi(a_{*,i})/\theta_i}$$

Callan-Symanzik equation with multiple couplings

$$\left( \mu^2 \frac{\partial}{\partial \mu^2} + \sum_i \beta_i \frac{\partial}{\partial a_i} - \gamma_\phi \right) G_\phi = 0$$

Assumption: one monotonic coupling to re-express all others  $a_i(\mu^2) = a_i(a(\mu^2))$ , leads to same CS equation

$$\mu^2 \frac{d}{d\mu^2} (Z_\phi G_\phi) = \left( \mu^2 \frac{\partial}{\partial \mu^2} + \beta_{\text{eff}} \frac{\partial}{\partial a} - \gamma_\phi \right) G_\phi = 0$$

# Callan-Symanzik Resummation – discussion

Resummed Callan-Symanzik propagator with  $\bar{a} \equiv \bar{a}(p^2)$  &  $a \equiv a(\mu^2)$

$$G_\phi = \frac{1}{p^2} \frac{\mathcal{N}_\phi}{1 + \sum_n \Pi_\phi^{(n)} \bar{a}^n} \left( \frac{a}{\bar{a}} \right)^{\gamma_\phi^{(1)}/\beta_1} \prod_i \left( \frac{a - a_{*,i}}{\bar{a} - a_{*,i}} \right)^{\gamma_\phi(a_{*,i})/\theta_i}$$

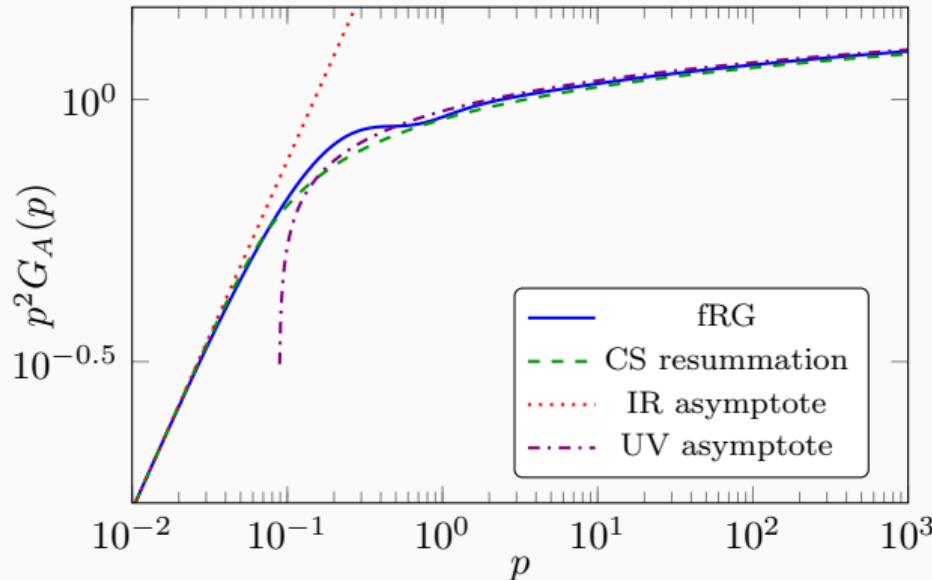
- All fixed points including complex and negative ones contribute
- Bound states can appear through the self-energies
- Self-energies and anomalous dimensions are gauge dependent if particle is charged under a gauge group
- Banks-Zaks: 5-loop  $\beta$ -function & 4-loop self-energies

[Ruijl, Ueda, Vermaseren, Vogt '17; Herzog, Ruijl, Ueda, Vermaseren, Vogt '17; Chetyrkin, Falcioni, Herzog, Vermaseren '17]

Litim-Sannino: 433-loop  $\beta$ -functions & no self-energies

[Bond, Litim, Vazquez, Steudtner '18; Litim, Riyaz, Stamou, Steudtner '23]

## Intermezzo – comparison to fRG computation



[Kluth, Litim, Reichert '22]

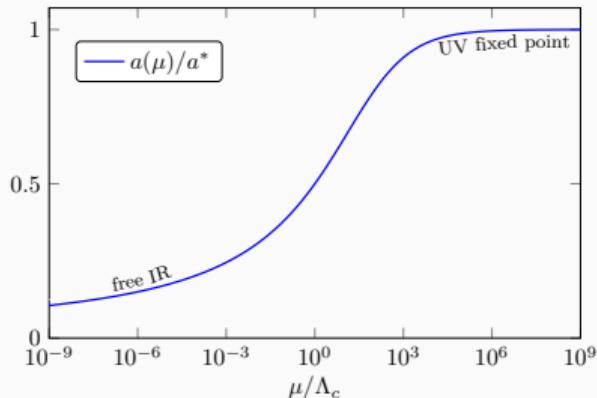
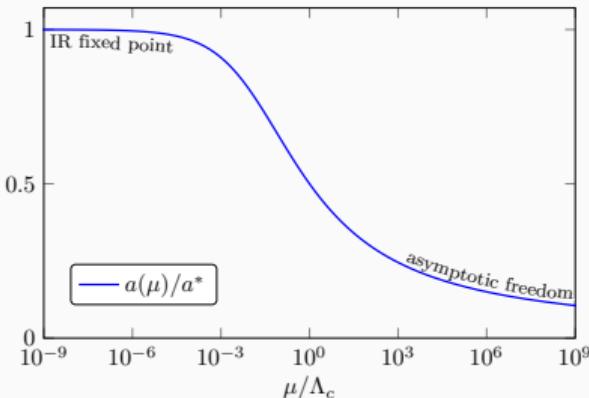
Very good agreement between fRG and CS resummation

# Gauge coupling in the complex plane

- Beta function  $\mu^2 \partial a / \partial \mu^2 = \beta(a) = \beta_1 a^2 + \beta_2 a^3 + \dots$
- Analytic 2-loop solution via  $W$ -Lambert function

[Corless et al '96; Gardi et al '98]

$$a(\mu^2) = \frac{a_*}{1 + W(z)}$$
$$z = \omega_0 e^{\omega_0} \left( \frac{\mu^2}{\mu_0^2} \right)^\theta$$
$$\omega_0 = \frac{a_* - a_0}{a_0}$$



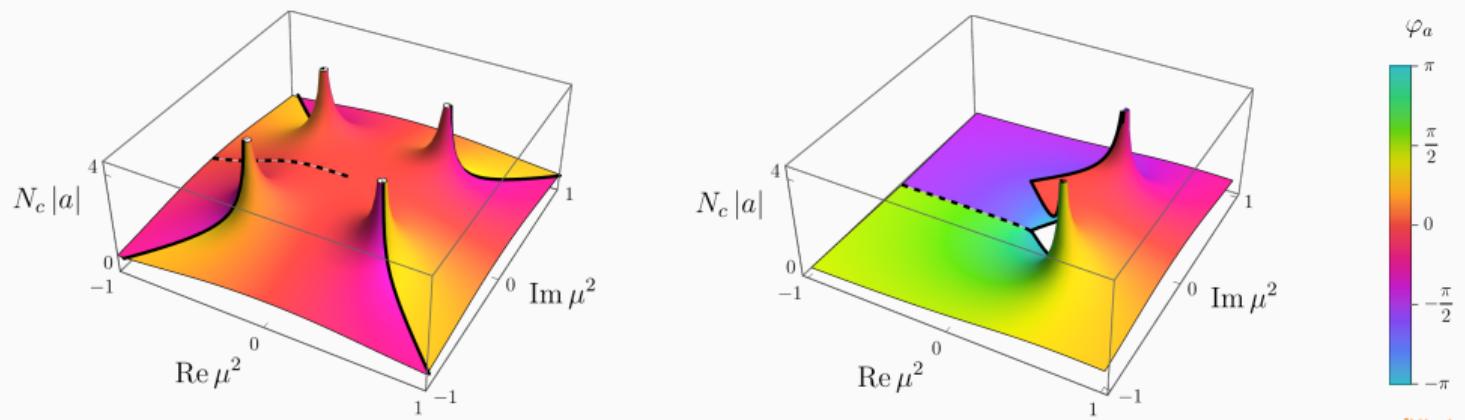
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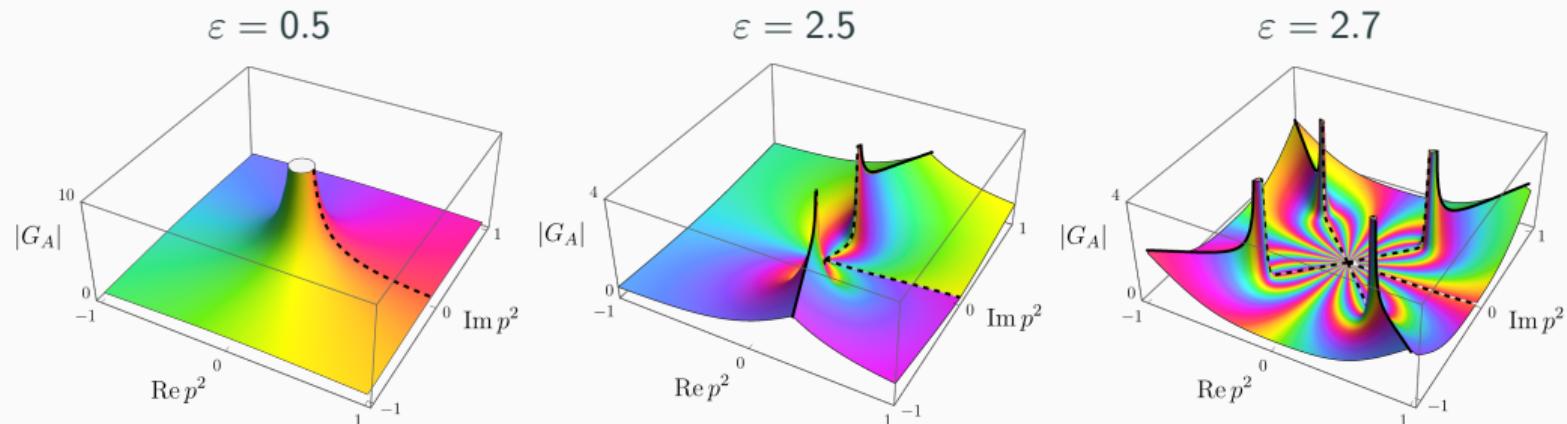
$$a(\mu^2) = \frac{a_*}{1 + W(z)}$$
$$z = \omega_0 e^{\omega_0} \left( \frac{\mu^2}{\mu_0^2} \right)^\theta$$
$$\omega_0 = \frac{a_* - a_0}{a_0}$$

- Branch cuts emerge for large  $\varepsilon \rightarrow$  complex coupling plane is not unique



[Kluth, Litim, MR '22]

# Propagator in the complex plane (Banks-Zaks)



[Kluth, Litim, MR '22]

- Analytic, perturbative computation of propagator in entire complex momentum plane
- Propagators inherit the branch cuts of the coupling
- Violation of KL spectral representation for large  $\varepsilon$

Perturbative  $n$ -loop beta function

$$\beta(a) = d_a a + \beta_1 a^2 + \beta_2 a^3 + \cdots + \beta_n a^{n+1}$$

Absence of branch cuts guaranteed if ( $a_0$  initial value)

$$\left| \sum_i^{a_{i,*} > a_0} \frac{1}{\theta_i} \right| > 1$$

- Derived via implicit function theorem
- Depends on universal critical exponents  $\theta_i = \partial_a \beta(a)|_{a_{i,*}}$
- Only real and positive fixed points contribute
- Absence of branch cuts guaranteed for  $\varepsilon \rightarrow 0$  since  $\theta_{\text{BZ/LS}} \rightarrow 0$
- Generalisation to Padé type beta functions possible

# Size of conformal Banks-Zaks window

[Kluth, Litim, MR '22]

$N_c = 3$	$\varepsilon_{\text{branch-cut}}$	$\varepsilon_{\max}$
2-loop	2.2723	2.8158
3-loop	2.6798	3.5520
4-loop	2.6817	3.0538
5-loop	–	1.2019
5-loop Padé [1,3]	–	2.2183
5-loop Padé [2,2]	–	1.6993
5-loop Padé [3,1]	–	0.7304

Existence of branch cuts does not post a tighter constrain on BZ window

Disappearance of fixed point via merger is essential

## Existence and normalisation of spectral functions

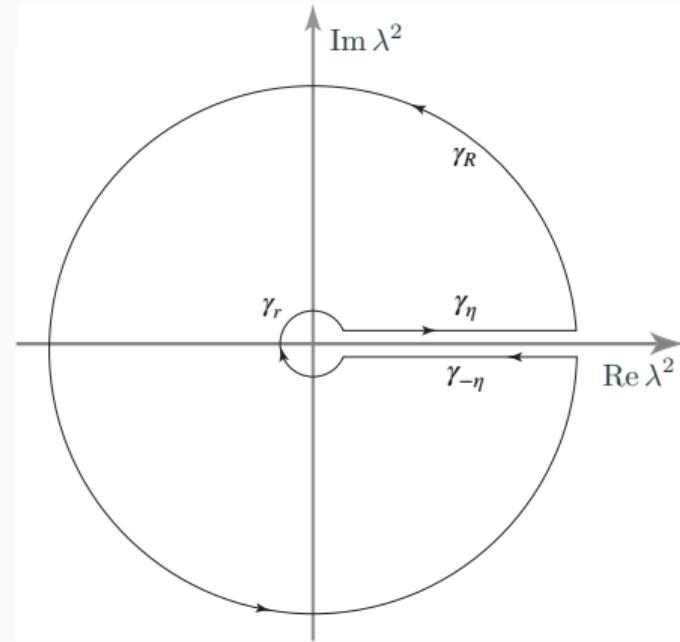
$$G_\phi = \frac{1}{p^2} \frac{\mathcal{N}_\phi}{1 + \sum_n \Pi_\phi^{(n)} \bar{a}^n} \left(\frac{a}{\bar{a}}\right)^{\gamma_\phi^{(1)}/\beta_1} \prod_i \left(\frac{a - a_{*,i}}{\bar{a} - a_{*,i}}\right)^{\gamma_\phi(a_{*,i})/\theta_i}$$

When can we use

$$G(q^2) = \int_0^\infty \frac{d\lambda^2}{\pi} \frac{\rho(\lambda^2)}{q^2 - \lambda^2}$$

with

$$\rho(\omega^2) = - \lim_{\varepsilon \rightarrow 0} \text{Im } G(\omega^2 + i\varepsilon)$$



# Existence and normalisation of spectral functions

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Existence in the IR

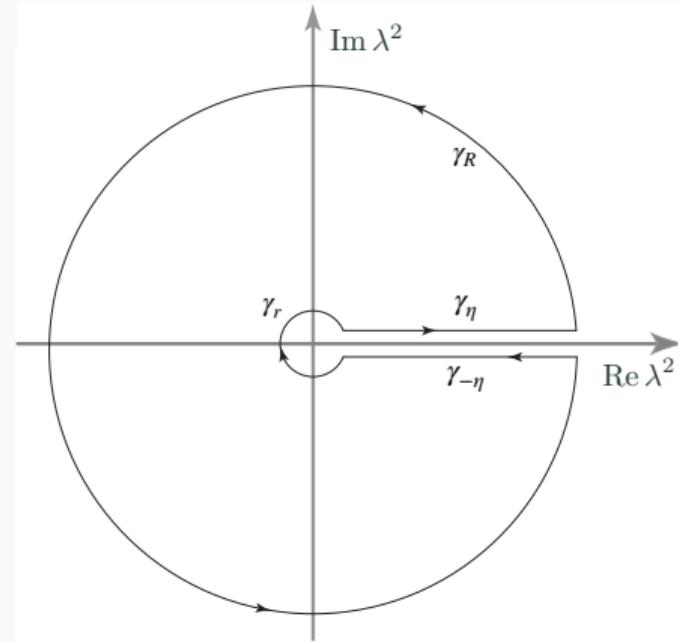
- At most singular with  $G_\phi \sim 1/p^2$  for  $p \rightarrow 0$
- Exception:  $G_\phi \sim 1/p^{2n}$

Existence in the UV

- Decay for  $p \rightarrow \infty$ :  $G_\phi < \text{const}$

Normalisation determined by  $p \rightarrow \infty$  behaviour

$$\int \rho_\phi d\lambda^2 = \begin{cases} 0 & G_\phi < 1/p^2 \\ 1 & \text{if } G_\phi = 1/p^2 \\ \infty & G_\phi > 1/p^2 \end{cases}$$



## IR safe & UV free

Existence IR:

$$\gamma_\phi^* \leq 0$$

Existence UV:

trivial

Normalisable:

$$\gamma_\phi^{(1)} = 0$$

## UV safe & IR free

Existence IR

$$\gamma_\phi^{(1)}/\beta_1 \leq 0$$

Existence UV

$$\gamma_\phi^* > -1$$

Normalisable

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## UV safe & IR free

Existence IR

$$\gamma_\phi^{(1)} / \beta_1 \leq 0$$

Existence UV

$$\gamma_\phi^* > -1$$

Normalisable

$$\gamma_\phi^* = 0$$

$\gamma_\phi$  is gauge dependent if  $\phi$  carries gauge charge

- Scalar fields have positive anomalous dimensions  $\gamma_\varphi > 0$  &  $\gamma_\varphi^{(1)} > 0$
- If IR free  $\rightarrow \beta_1 > 0$

# Confronting the existence and normalisation conditions

[Kluth, Litim, Reichert (in prep)]

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- If IR free  $\rightarrow \beta_1 > 0$

**IR safe & UV free**

Existence IR:

$$\gamma_\phi^* \leq 0$$

Existence UV:

trivial

Normalisable:

$$\gamma_\phi^{(1)} = 0$$

**UV safe & IR free**

Existence IR

$$\gamma_\phi^{(1)}/\beta_1 \leq 0$$

Existence UV

$$\gamma_\phi^* > -1$$

Normalisable

$$\gamma_\phi^* = 0$$

# Confronting the existence and normalisation conditions

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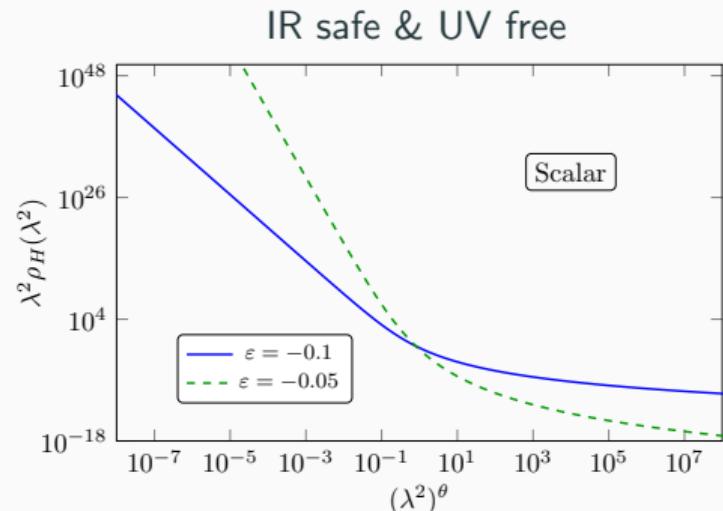
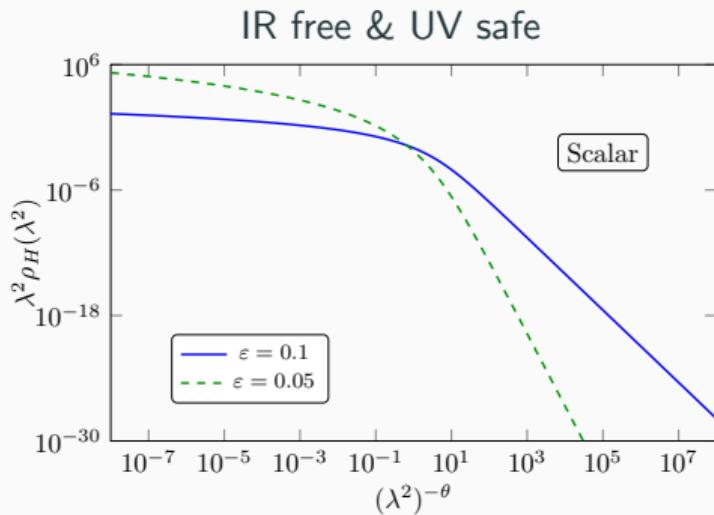
The scalar field of a most ordinary QFT does have a KL spectral representation

## Avoiding the IR problem – masses

- Scalar mass term —> Confinement in non-abelian sector

# Avoiding the IR problem – masses

- Scalar mass term  $\rightarrow$  Confinement in non-abelian sector



[Kluth, Litim, Reichert (in prep)]

(Positive) & non-normalisable spectral function

Note:  $\gamma_\varphi < 0$  leads to a guaranteed partially negative spectral function since  $\int \rho_\varphi d\lambda = 0$

## Modified spectral representations

---

Rescale propagator with analytic 'wave-function renormalisation'  $Z_\phi$

$$\tilde{G}_\phi(p^2) = Z_\phi(p^2) G_\phi(p^2)$$

- Trivial propagator  $Z_\phi(p^2) = \frac{1}{p^2 G_\phi(p^2)}$
- Fixed point rescaling  $Z_\phi = (-p^2/\mu^2)^{\gamma_\phi^*}$ : new existence conditions & non-trivial propagator

## Modified spectral representations

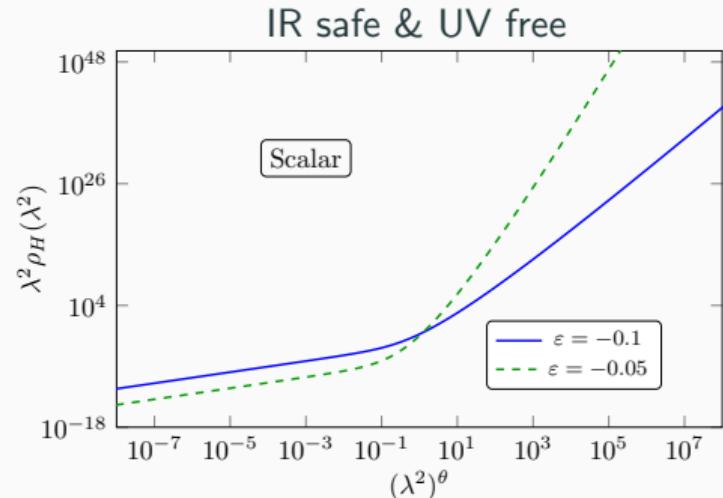
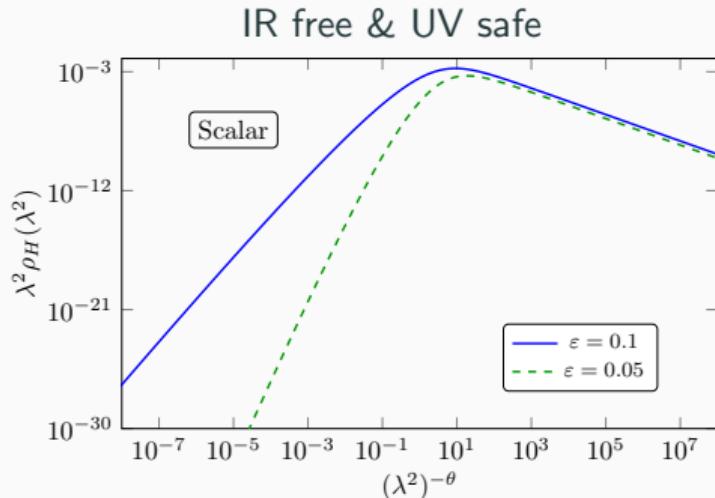
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Fixed Point Free Scaling	IR	UV	Existence	Normalisability
UV Free and IR Safe	$p^{-2}$	$p^{-2(1-\gamma^*)} \log(-p^2)^{\gamma^{(1)}/\beta_1}$	$\gamma^* < 1$ or $(\gamma^* = 1 \text{ & } \gamma^{(1)} \leq 0)$	$\gamma^* = 0 \text{ & } \gamma^{(1)} = 0$
UV Safe and IR Free	$p^{-2(1-\gamma^*)} \log(-p^2)^{\gamma^{(1)}/\beta_1}$	$p^{-2}$	$\gamma^* > 0$ or $(\gamma^* = 0 \text{ & } \gamma^{(1)} \leq 0)$	Yes

# Modified spectral representations



[Kluth, Litim, Reichert (in prep)]

Positive spectral representations useful to encode propagator information

## Comparison to massless particles in nature – QED

One-loop effective action of QED

$$\Gamma_{\text{1-loop, QED}} = -\frac{1}{4} \int d^4x F_{\mu\nu} \left( 1 + \# \log\left(\frac{m_e^2 + \square}{m_e^2}\right) \right) F_{\mu\nu} + \dots$$

Photon spectral function

$$\rho_\gamma(\lambda^2) \sim \delta(\lambda^2) + \text{multi-particle continuum} \cdot \theta(\lambda^2 - m_e^2) + \dots$$

Electron mass and lack of photon-self interactions save the IR behaviour of the photon

## Comparison to massless particles in nature – QG

One-loop effective action of QG

$$\Gamma_{\text{1-loop, QG}} = \int d^4x \sqrt{-g} \left( \frac{M_{\text{Pl}}^2}{2} R + a R \log\left(\frac{\square}{\mu^2}\right) R + b C_{\mu\nu\rho\sigma} \log\left(\frac{\square}{\mu^2}\right) C^{\mu\nu\rho\sigma} + \dots \right)$$

Graviton propagator

$$\mathcal{G}_{hh}(p^2) \sim \frac{1}{p^2 + \# G_N \ln(p^2/\mu^2) p^4}$$

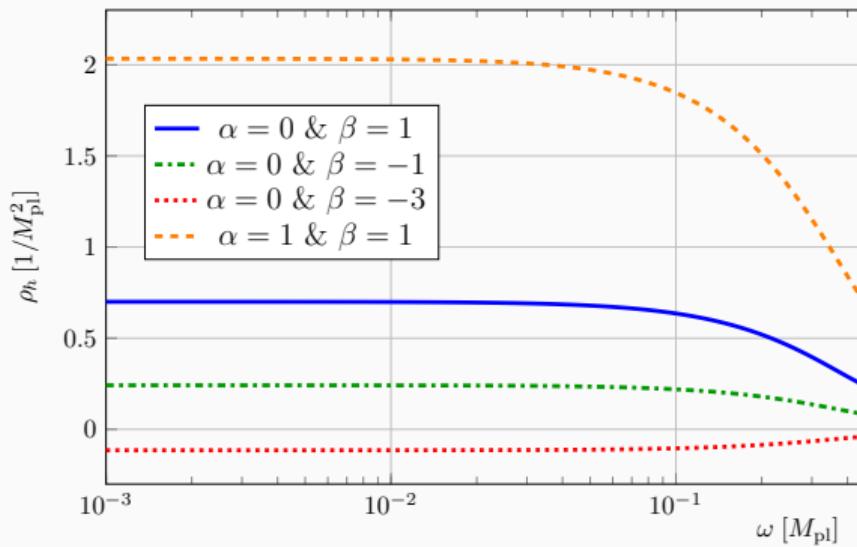
Graviton spectral function

$$\rho_h(\lambda^2) \sim \delta(\lambda^2) + \# G_N 2\pi + \dots$$

The mass dimension of  $G_N$  (the non-renormalisability) safe the IR behaviour of the graviton

# Gauge dependence of graviton spectral function

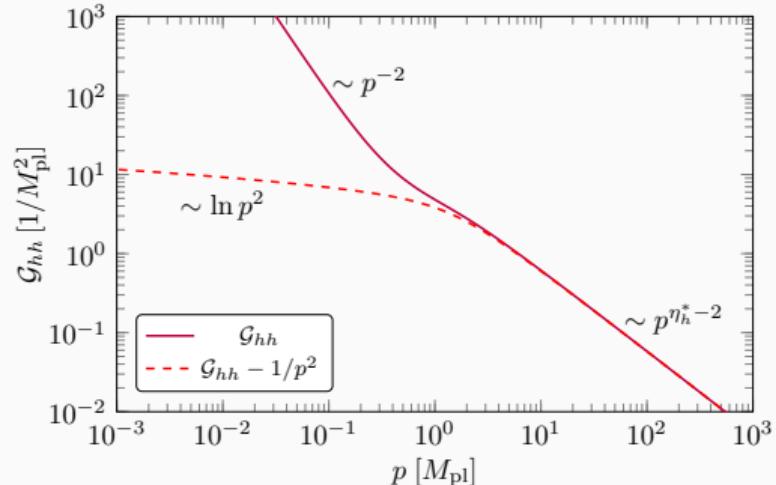
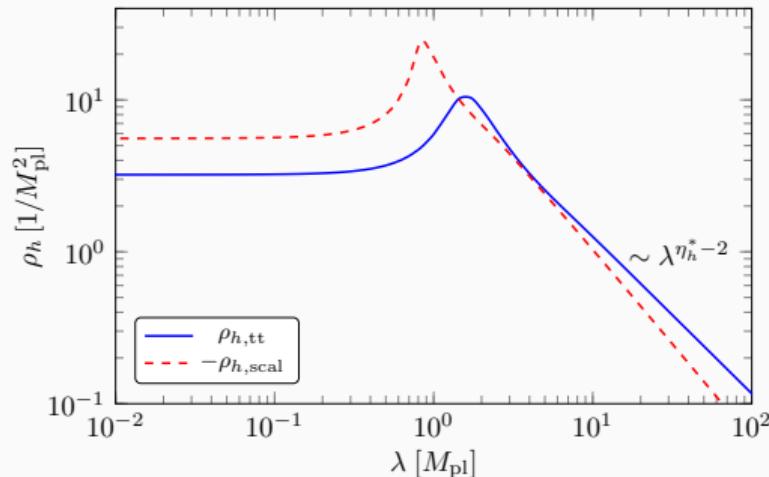
Gauge-fixing  $S_{\text{gf}} = \frac{1}{\alpha} \int_x F_\mu^2$  with  $F_\mu = \bar{\nabla}^\nu h_{\mu\nu} - \frac{1+\beta}{4} \bar{\nabla}_\mu h^\nu{}_\nu$



[Pawlowski, MR '23]

Propagator is gauge-dependent but pole structure is typically not

# Quantum Gravity results from spectral RG



[Fehre, Litim, Pawłowski, MR '21; Assant, Litim, MR (in prep)]

- Massless graviton delta-peak with multi-graviton continuum
- No ghosts and no tachyons → no indications for unitarity violation
- Good agreement with reconstruction result and EFT
- Direct relation to form factors  $Cf_C(\square)C$  and  $Rf_R(\square)R$

[Bonanno, Denz, Pawłowski, MR '21]

## Summary

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### Banks-Zaks & Litim-Sannino

- Analytic and perturbatively controlled approach
- Gauge-invariant branch cuts linked to critical exponents
- Scalar field in most ordinary QFT does not have a spectral representation
- Need mass term or modified spectral representation

### Asymptotically safe quantum gravity

- Direct computation of graviton spectral function with spectral fRG
- Well-behaved spectral function without cuts in the complex plane
- Key step towards scattering processes and unitarity

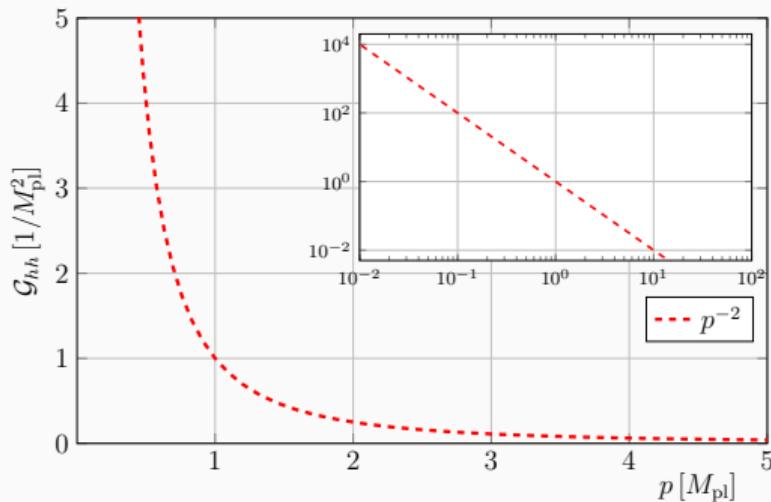
Thank you for your attention!

# Back-up slides

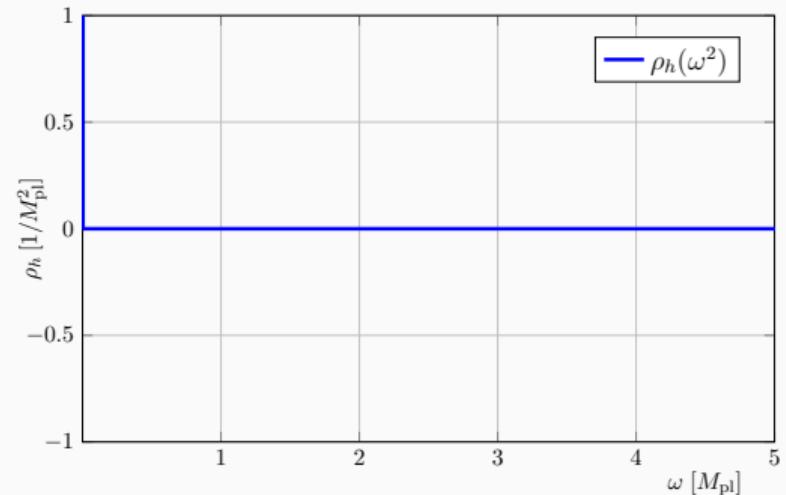
# Classical graviton spectral function

$$\text{Einstein-Hilbert action: } S_{\text{EH}} = \frac{1}{16\pi G_N} \int_x \sqrt{g} (2\Lambda - R)$$

$$\text{Flat Minkowski background: } g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$$



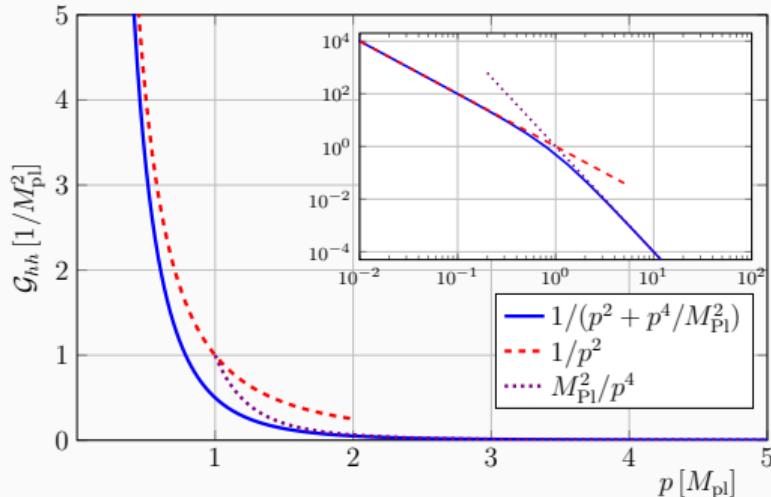
$$\mathcal{G}_{hh}(p^2) \sim \frac{1}{p^2}$$



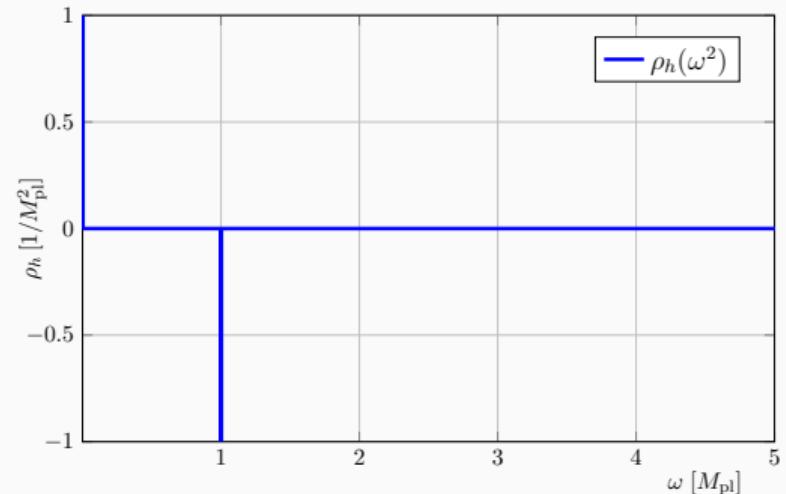
$$\rho_h(\omega^2) \sim \delta(\omega^2)$$

# Classical graviton spectral function

Higher-derivative action:  $S_{\text{HD}} = S_{\text{EH}} + \int_x \sqrt{g} (aR^2 + bC_{\mu\nu\rho\sigma}^2)$



$$\mathcal{G}_{hh}(p^2) \sim \frac{1}{p^2} - \frac{1}{M_{\text{Pl}}^2 + p^2}$$



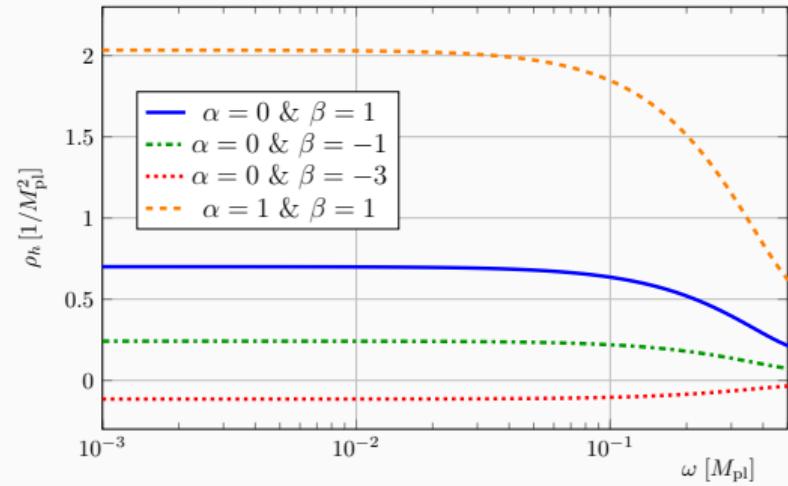
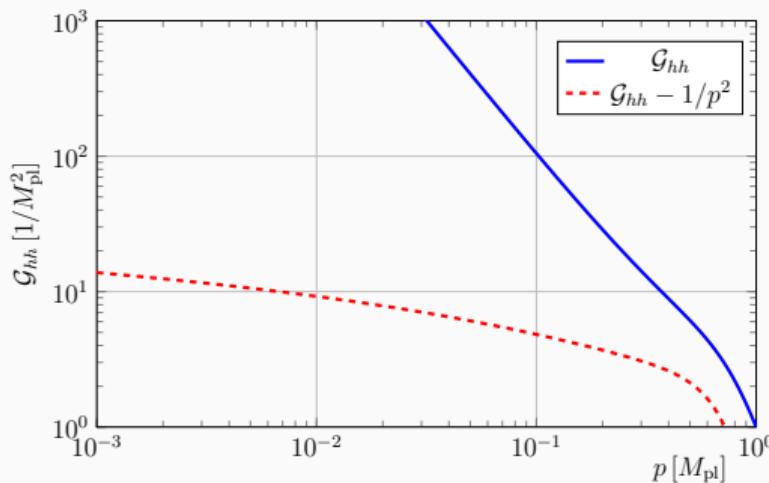
$$\rho_h(\omega^2) \sim \delta(\omega^2) - \delta(\omega^2 - M_{\text{Pl}}^2)$$

# EFT graviton spectral function

One-loop effective action:

$$\Gamma_{\text{1-loop}} = S_{\text{EH}} + \int_x \sqrt{g} (c_1 R \ln(\square) R + c_2 C_{\mu\nu\rho\sigma} \ln(\square) C^{\mu\nu\rho\sigma}) + \dots$$

Gauge-fixing  $S_{\text{gf}} = \frac{1}{\alpha} \int_x F_\mu^2$  with  $F_\mu = \bar{\nabla}^\nu h_{\mu\nu} - \frac{1+\beta}{4} \bar{\nabla}_\mu h^\nu{}_\nu$



$$\mathcal{G}_{hh}(p^2) \sim \frac{1}{p^2 + \ln(p^2)p^4} \quad \rho_h(\omega^2) \sim \delta(\omega^2) + 2\pi + 4\pi\omega^2 \ln(\omega^2) + \dots$$

# The functional renormalisation group

## Non-perturbative renormalisation group equation [Wetterich '93]

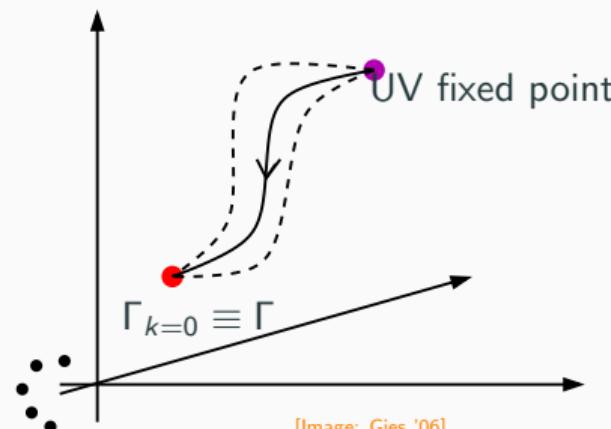
$$k\partial_k \Gamma_k = \frac{1}{2} \text{Tr} \left[ \frac{1}{\Gamma_k^{(2)} + R_k} k\partial_k R_k \right] = \otimes$$

$R_k$  = regulator

$\Gamma_k$  = scale-dependent  
effective action

Interpolation between

- bare action / UV FP
- quantum effective action  $\Gamma$



[Image: Gies '06]

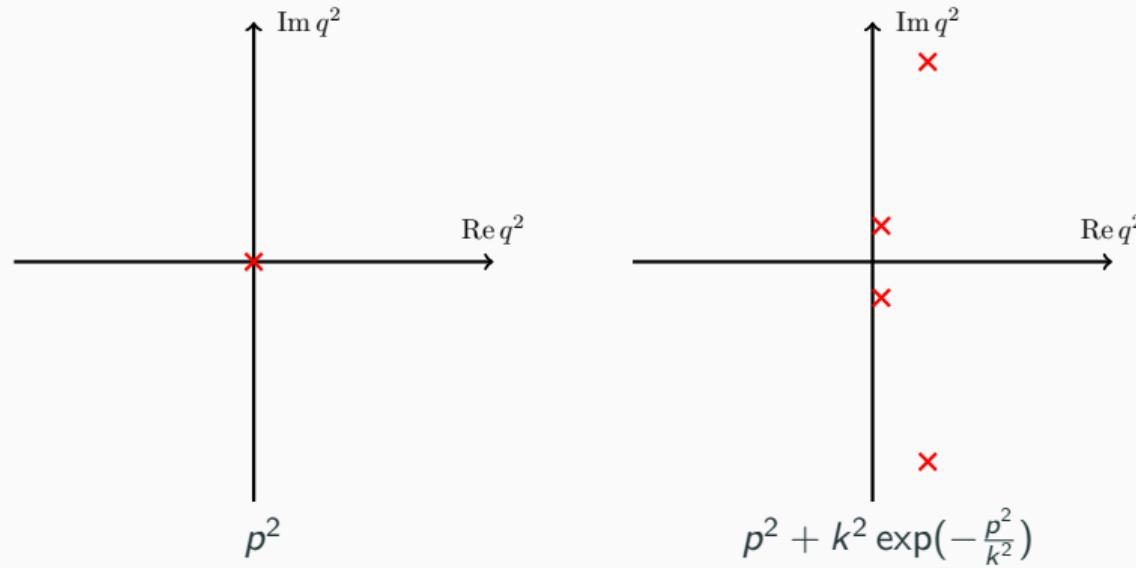
New technical development: spectral FRG on Lorentzian background

[Fehre, Litim, Pawłowski, MR '21; Braun, MR, et al '22]

# Standard Euclidean formulations

- Modified dispersion  $p^2 \rightarrow p^2 + R_k(p^2)$  introduces poles and cuts
- Can not use spectral representation at finite  $k$
- Analytic continuation possible at  $k = 0$

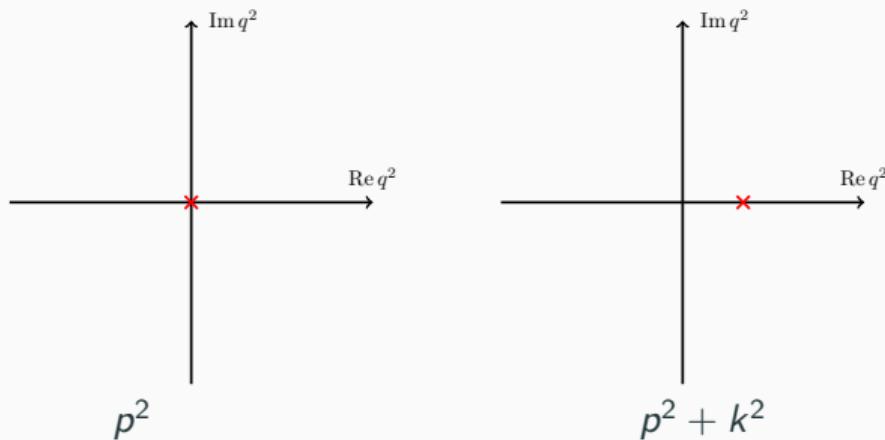
[Bonanno, Denz, Pawłowski, MR '21]



- Callan-Symanzik cutoff  $R_k \sim k^2$  allows use of spectral representation
- Dimensional regularisation of UV divergences in  $d = 4 - \varepsilon$

$$\partial_t \Gamma_k = \frac{1}{2} \text{Tr } \mathcal{G}_k \partial_t R_k - \partial_t S_{\text{ct},k}$$

[Braun, Chen, Fu, Geißel, Horak, Huang, Ihssen, Pawłowski, MR, Rennecke, Tan, Töpfel, Wessely, Wink '22]



## Lorentzian setup

- Einstein-Hilbert action expanded about flat Minkowski background
- Directly compute flow of spectral function

$$\partial_t \rho_h = -2 \operatorname{Im} \mathcal{G}_{hh}^2 \left( \partial_t \Gamma_{\text{TT}}^{(hh)} + \partial_t R_k \right)$$

- Flow of transverse-traceless graviton two point function

$$\partial_t \Gamma_k^{(hh)} = -\frac{1}{2} \text{Diagram A} + \text{Diagram B} - 2 \text{Diagram C} - \partial_t S_{\text{ct},k}^{(hh)}$$

- Schematically

$$\text{Diagram A} = \prod_{i=1}^3 \int_0^\infty \frac{d\lambda_i}{\pi} \lambda_i \rho_h(\lambda_i) \int \frac{d^d q}{(2\pi)^d} \frac{V_{\text{3-point}}(p, q)}{(q^2 + \lambda_1^2)(q^2 + \lambda_2^2)((p+q)^2 + \lambda_3^2)}$$

# Flow equations

Parameterisation of  $\rho_h$  with  $m_h^2 = k^2(1 + \mu)$  and  $Z_h = Z_h(p^2 = -m_h^2)$

$$\rho_h = \frac{1}{Z_h} \left[ 2\pi\delta(\lambda^2 - m_h^2) + \theta(\lambda^2 - 4m_h^2)f_h(\lambda) \right]$$

Regularised flow of  $\delta$ -terms with  $\tilde{p} = p/m_h$



reg,  $\delta$ -terms

$$= \frac{g m_h^2 (2 - \eta_h)}{18\pi^2} \left( \frac{3 (11\tilde{p}^4 - 8\tilde{p}^2 + 56) \operatorname{arccosh}(1 + \tilde{p}^2/2)}{\tilde{p} \sqrt{\tilde{p}^2 + 4}} - 84 + 26\tilde{p}^2 \right)$$

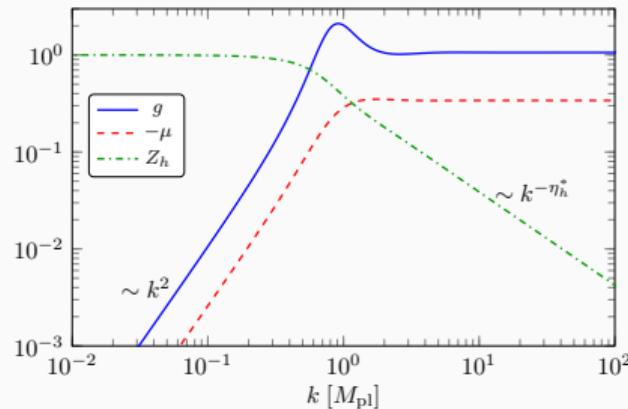
- Flow equations for  $\mu$ ,  $f_h$ , and on-shell  $Z_h$
- Flow of  $g = G_N k^2$  from three-graviton vertex at  $p = 0$

[Christiansen, Knorr, Meibohm, Pawłowski, MR '15; Denz, Pawłowski, MR '16]

# UV-IR trajectories

$$(g, \eta_h, \mu)|_* = (1.06, 0.96, -0.34)$$

$$\theta = 2.49 \pm 3.17 i$$

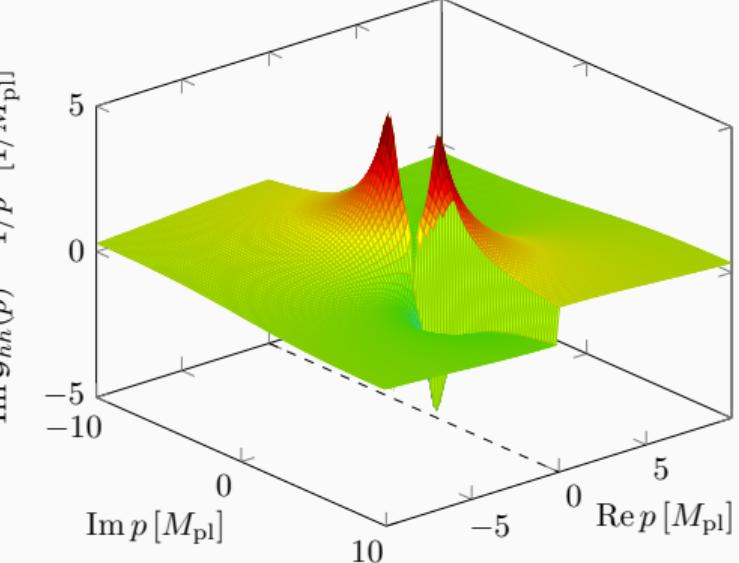
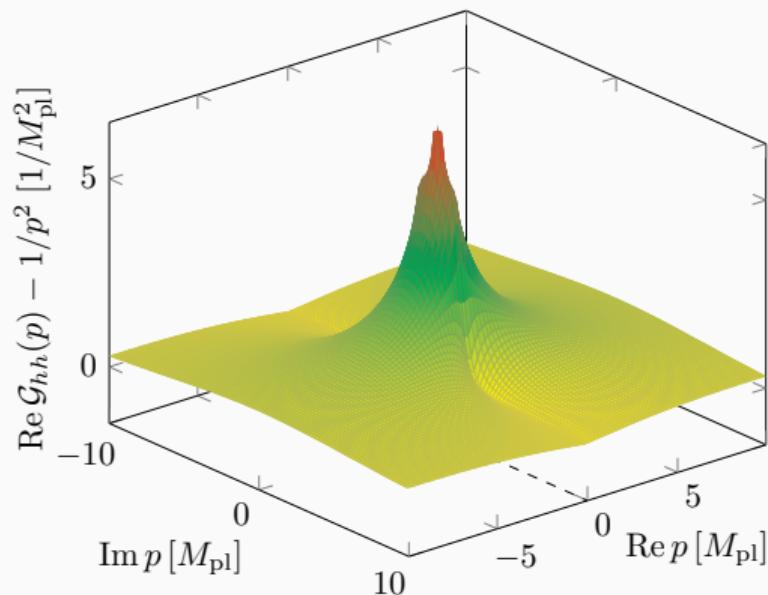


[Fehre, Litim, Pawłowski, MR '21]

$$G_N(k) = g(k)/k^2 \xrightarrow{k \rightarrow 0} G_N$$

$$-2\Lambda(k) = k^2 \mu(k) \xrightarrow{k \rightarrow 0} -2\Lambda = 0$$

# Graviton propagator in the complex plane



[Fehre, Litim, Pawłowski, MR '21]