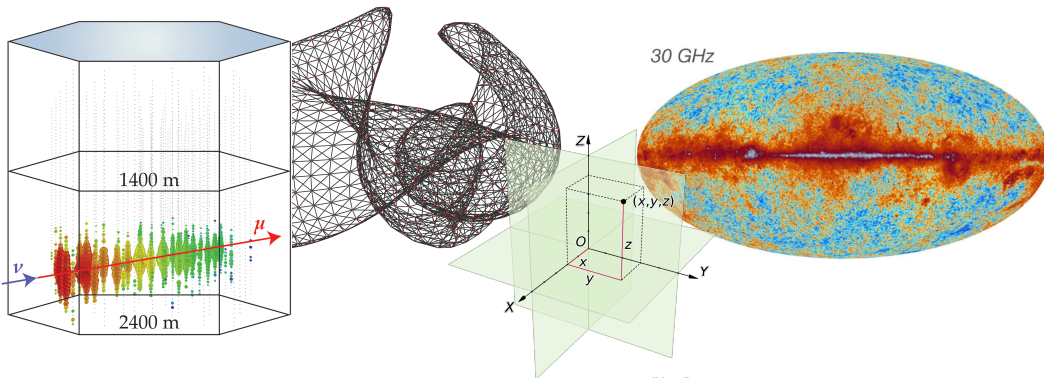


Friedrich-Alexander-Universität
Erlangen-Nürnberg

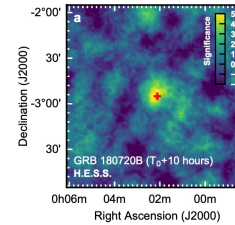


Deep Learning for Astroparticle Physics

Jonas Glombitza
Erlangen Centre for Astroparticle Physics



source: wikipedia



June 20, 2024



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FOR ASTROPARTICLE
PHYSICS

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Federal Ministry
of Education
and Research

Machine Learning and Deep Learning

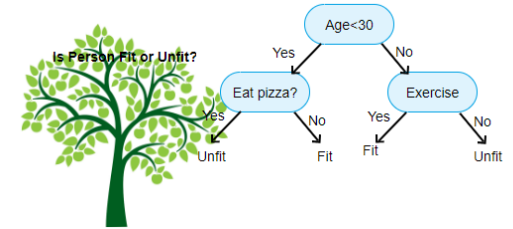


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Machine Learning

- applications across many physics domains, e.g., for (background rejection, multi-class classifications)
- BDTs, random forest, shallow NNs



<https://www.aitimejournal.com/@akshay.chavan/a-comprehensive-guide-to-decision-tree-learning>

Deep Learning

- field driven by computer science (BigTechs)
- major improvements in:
 - ♦ speech recognition, NLP
 - ♦ pattern recognition, CV
- (usually) requires huge amounts of data

KÜNSTLICHE INTELLIGENZ

Schlau in zwei Stunden

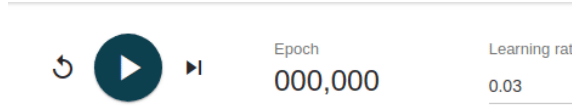
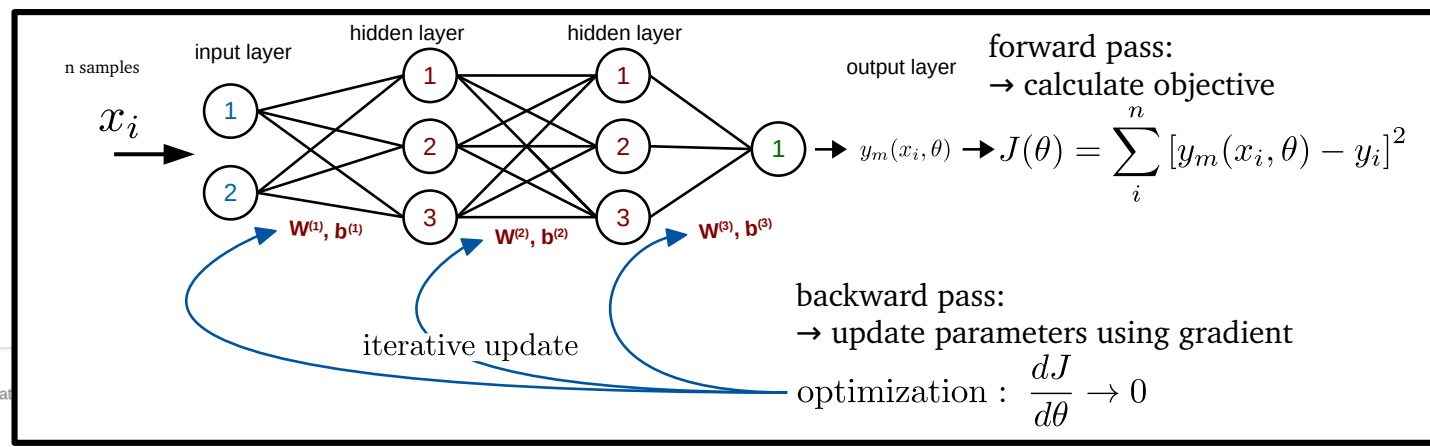
VON ALEXANDER ARMBRUSTER - AKTUALISIERT AM 27.09.2017 -

www.faz.net



© nature

Neural network training in a nutshell



DATA

Which dataset do you want to use?

Ratio of training to test data: 50%

Noise: 0

Batch size: 10

REGENERATE

FEATURES

Which properties do you want to feed in?

- X_1
- X_2
- X_1^2
- X_2^2
- $X_1 X_2$
- $\sin(X_1)$
- $\sin(X_2)$

3 HIDDEN LAYERS

4 neurons | 4 neurons | 4 neurons

This is the output from one neuron. Hover to see it larger.

The outputs are mixed with varying weights, shown by the thickness of the lines.

OUTPUT

Test loss 0.539
Training loss 0.514

Colors shows data, neuron and weight values.

Show test data Discretize output

Deep Learning: RNNs & CNNs

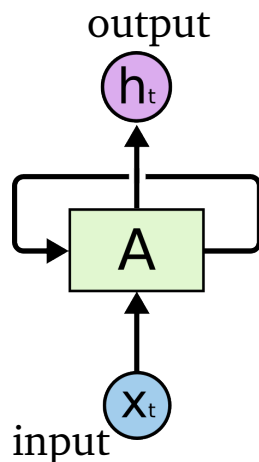


Recurrent Networks (RNNs)

- analyze sequential data (translation)
- recurrent definition of transformation

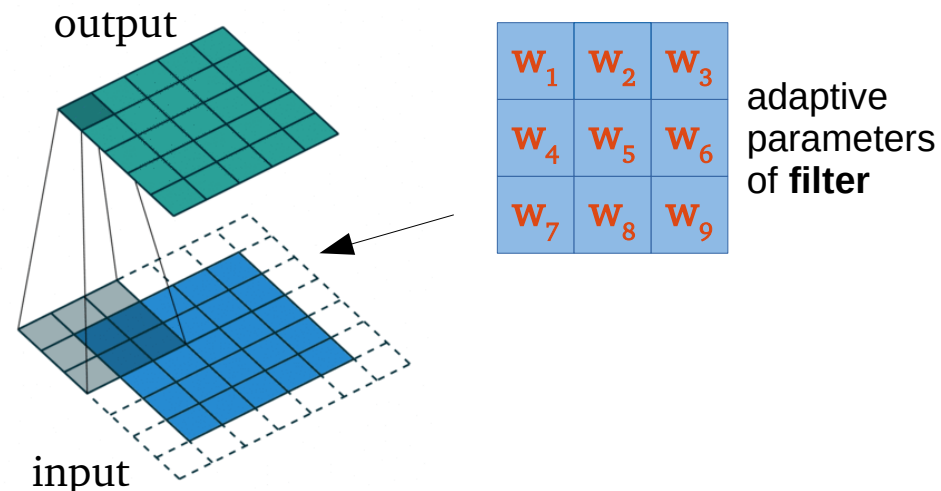
$$h^{(t)} = A(h^{(t-1)}, x^{(t)})$$

- Advanced concept: LSTM
- features memory
 - long-range correlations



Convolutional Networks (CNNs)

- analyze image-like data
- **filter** exploits image
 - features translational invariance
 - prior on local correlations



What deep learning reached so far?



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- Superhuman Go playing
- Improved ad targeting
- Human-level image classification
- Improved search results on the web
- Realistic image generation
- Very improved chatbots



Machine Learning in Astroparticle Physics



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PHYSICS



1996

ICRC 1991

OG 4.7.13

SEPARATING GAMMA-RAY SIGNALS BY ČERENKOV IMAGING:
NEURAL NETWORK OPTIMIZATION

F. Halzen, R.A. Vazquez, E. Zas

Department of Physics, University of Wisconsin, Madison WI 53706

Abstract
We have performed a systematic study in space and time of air Čerenkov images of photon and proton showers generated by Bartol-Haleakala simulation programs. The rejection power of the azimuth parameter exploited in the TeV discovery of the Crab Nebula is confirmed. We have used a neural net to search for other features discriminating the Čerenkov images of photons and protons and demonstrate how the efficiency of the imaging method can be improved. We also identified differences in (nanosecond) time-image correlations. Our analysis and the associated programs are sufficiently general because of fluctuations. Although evident, they do not significantly improve proton rejection and flexible to be used for computer simulation of the threshold and photon recognition capability of any existing, projected or conceived Čerenkov telescope.

The Artificial Neural Networks as a tool for
analysis of the individual Extensive Air
Showers data.

Tadeusz Wibig

Experimental Physics Dept., University of Łódź,
ul. Pomorska 149/153, PL-90-236 Łódź, Poland



ELSEVIER

Astroparticle Physics

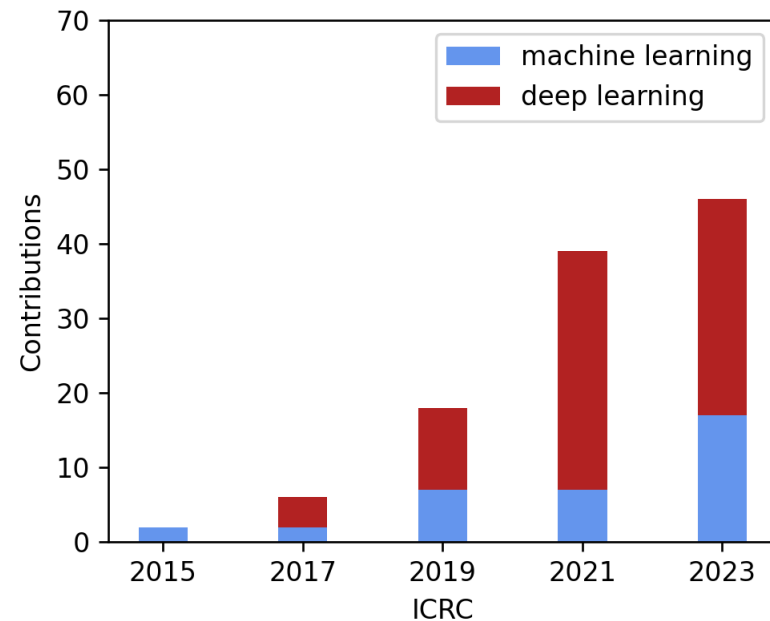
Volume 31, Issue 5, June 2009, Pages 383-391



γ /hadron separation in very-high-energy γ -
ray astronomy using a multivariate analysis
method

S. Ohm , C. van Eldik , K. Egberts

- Dates back to the 90s
- Recently became very popular

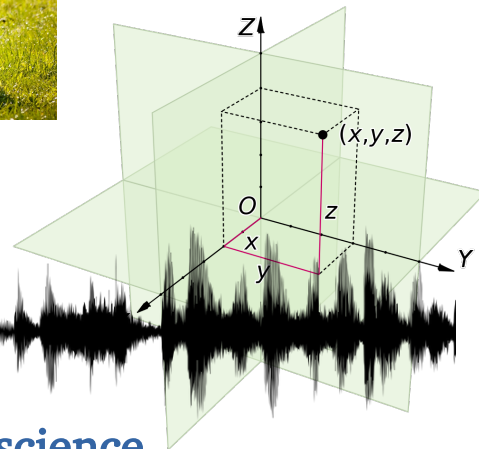


Application in Physics

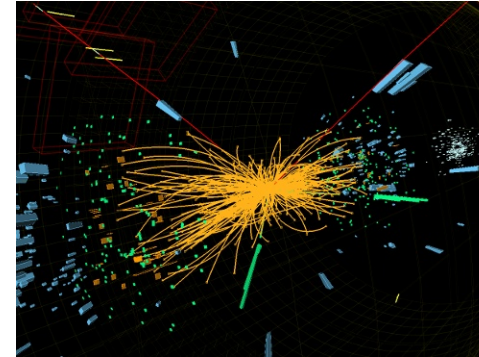
Physics feature different data
Challenge: adapt algorithms from
computer science to physics research



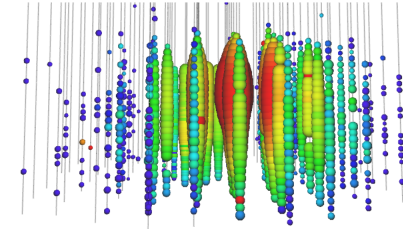
source: wikipedia



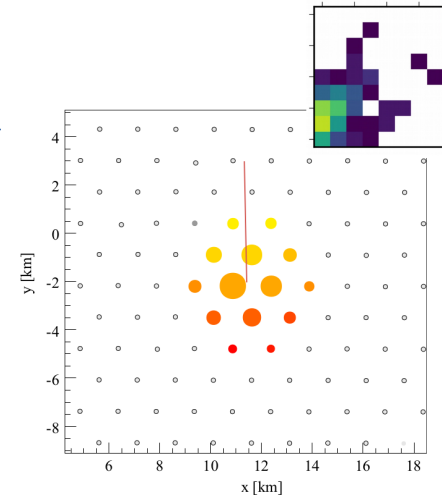
Computer science



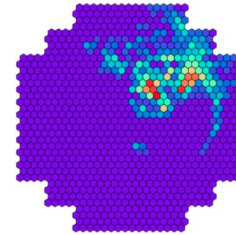
<https://cds.cern.ch/record/2711418>



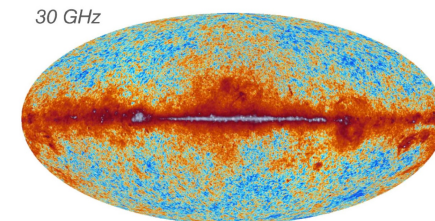
<https://arxiv.org/abs/1309.7003>



[10.1016/j.nima.2015.06.058](https://doi.org/10.1016/j.nima.2015.06.058)



[10.1016/j.astropartphys.2018.10.003](https://doi.org/10.1016/j.astropartphys.2018.10.003)

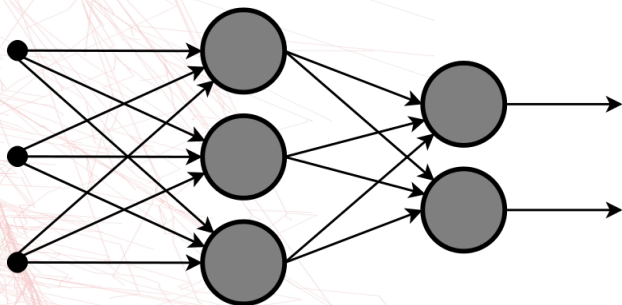


30 GHz

Astronomy and Astrophysics 641, p. 1 (2018)

Machine Learning to Deep Learning

- Air shower signals measured by surface detectors
 - ♦ disentangle muonic and em part at station level

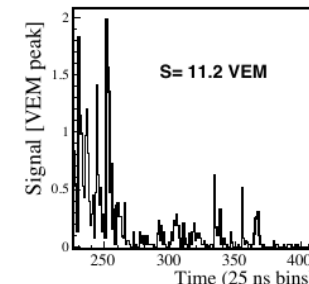
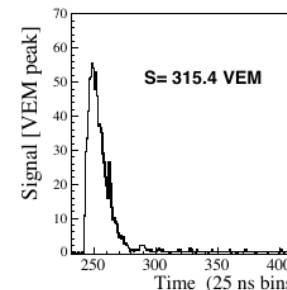


Traditional ML approach

- Extract fraction of muons measured by single station
- Feed physicist observables into a neural network

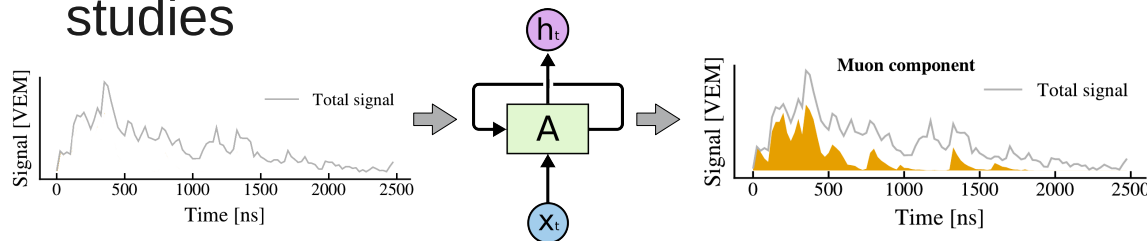
A. Gulillen et al.,

10.1016/j.astropartphys.2019.03.001



Deep learning version

- Use RNN to extract time-dependent signals induced by muons
- Promising results for mass composition studies



Pierre Auger Collaboration, JINST 16 P07016 (2021)

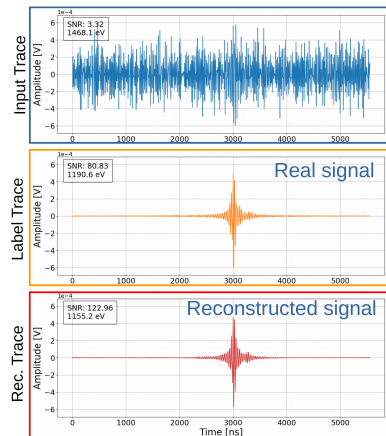
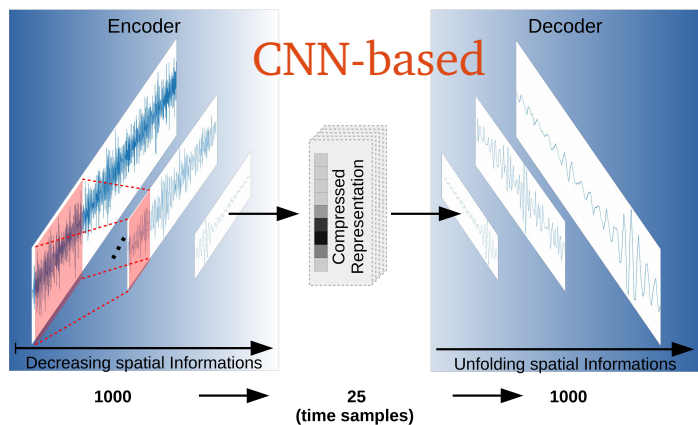
Denoising of Signal Traces (1D)

Supervised training of denoising autoencoders

- feature compressed space in between encoder and decoder
- encodes only relevant information in compressed space

Future application: bringing ML close to the sensor

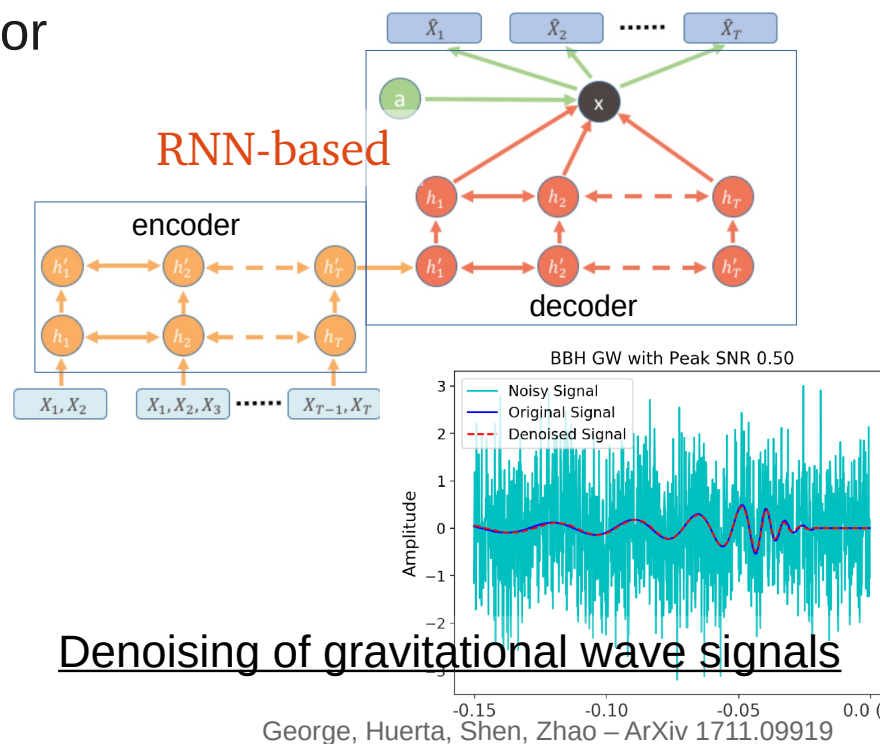
Denoising of cosmic ray radio signals



M. Erdmann et al. - 10.1088/1748-0221/14/04/P04005

A. Rehman et al., PoS ICRC2021 417

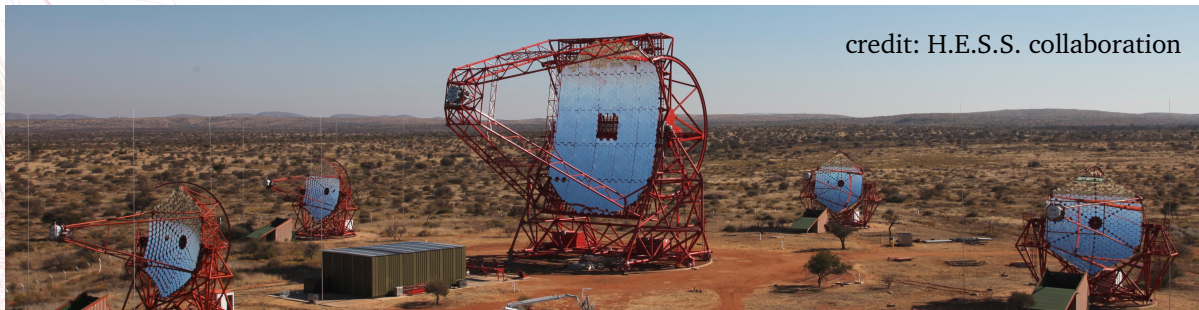
P. Bezyazeev et al., ArXiv/2101.02943 & D. Shipilov et al., EPJ (2019) 02003



Denoising of gravitational wave signals

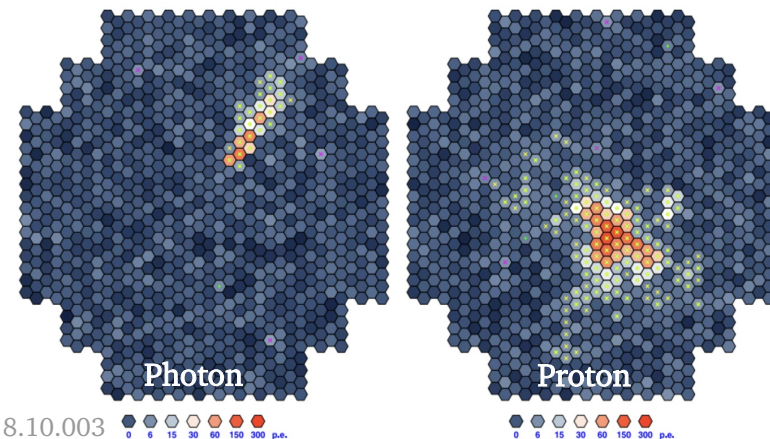
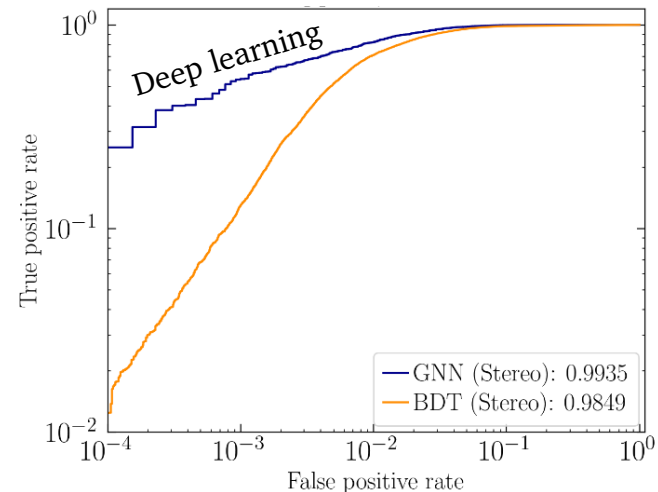
George, Huerta, Shen, Zhao – ArXiv 1711.09919

Deep Learning for IACTs

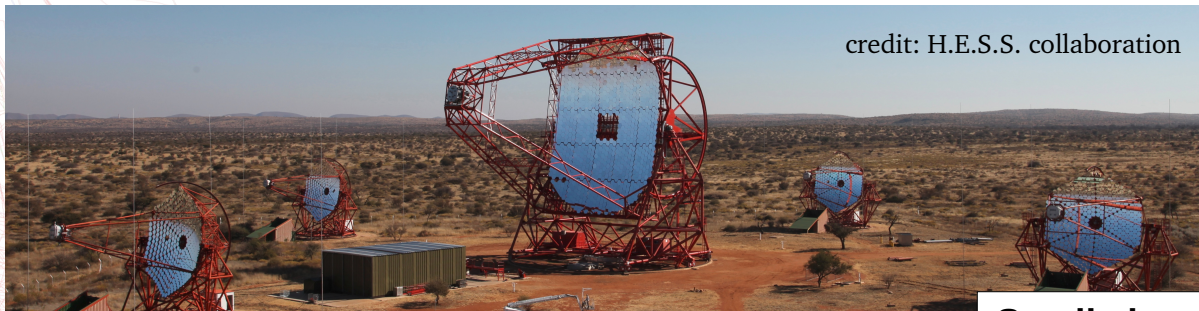


credit: H.E.S.S. collaboration

- Gamma ray telescopes in Namibia
- For each photon $\sim 10^3 \rightarrow 10^4$ protons
 - Powerful rejection needed
- First promising results on simulations
 - ◆ Neural networks outperforms BDTs
- Currently investigating stereoscopic models exploit telescope-telescope correlations
 - ◆ Standard reconstructions outperform DNNs



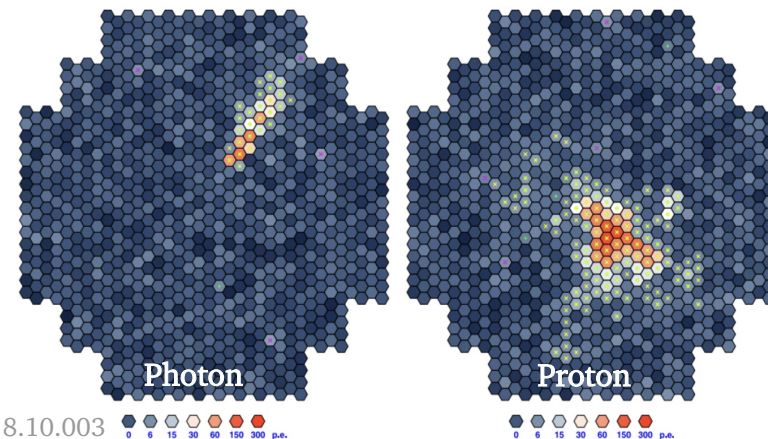
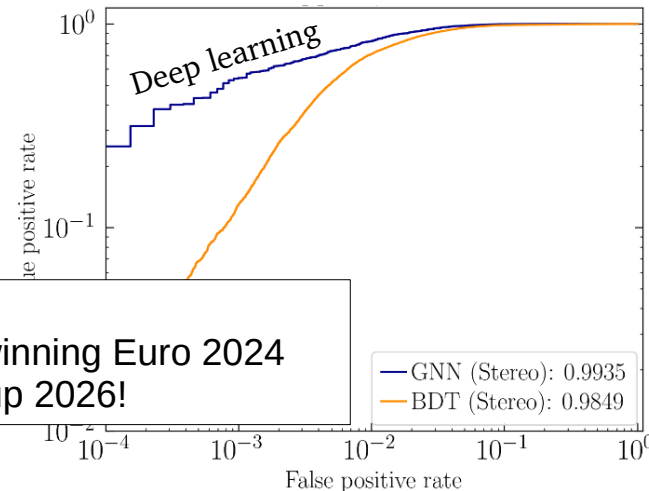
Deep Learning for IACTs



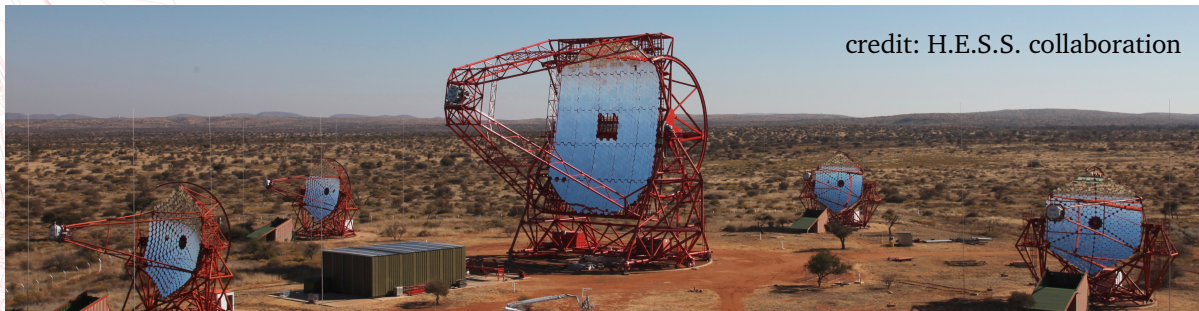
credit: H.E.S.S. collaboration

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Small signal!
Odds of Italy winning Euro 2024
and the World Cup 2026!

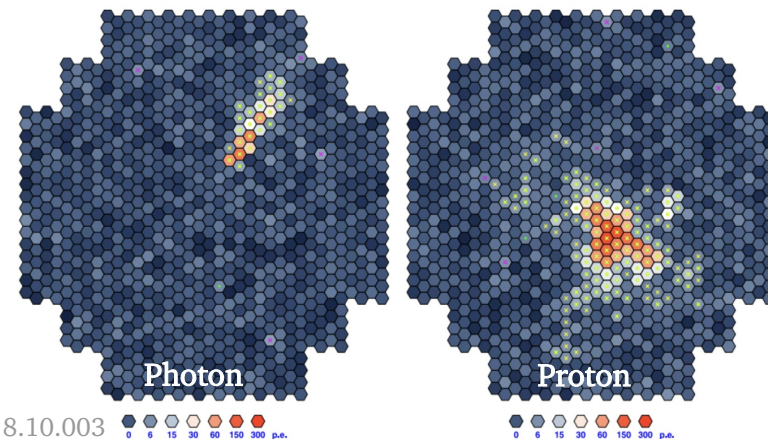
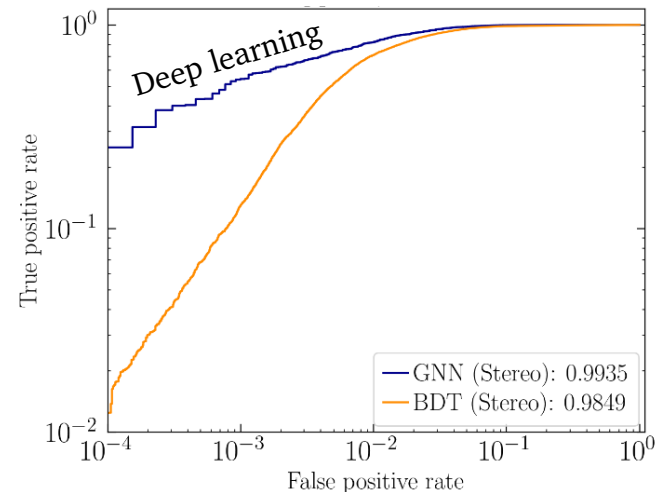


Deep Learning for IACTs



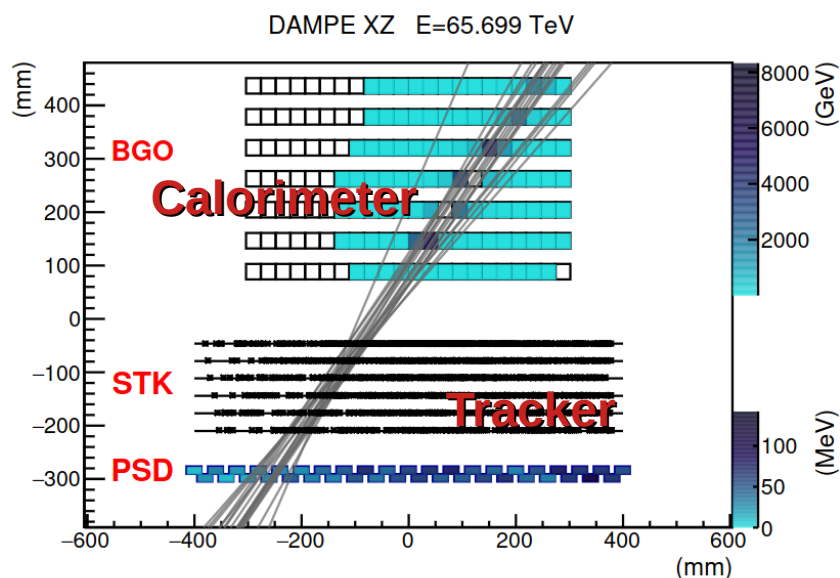
credit: H.E.S.S. collaboration

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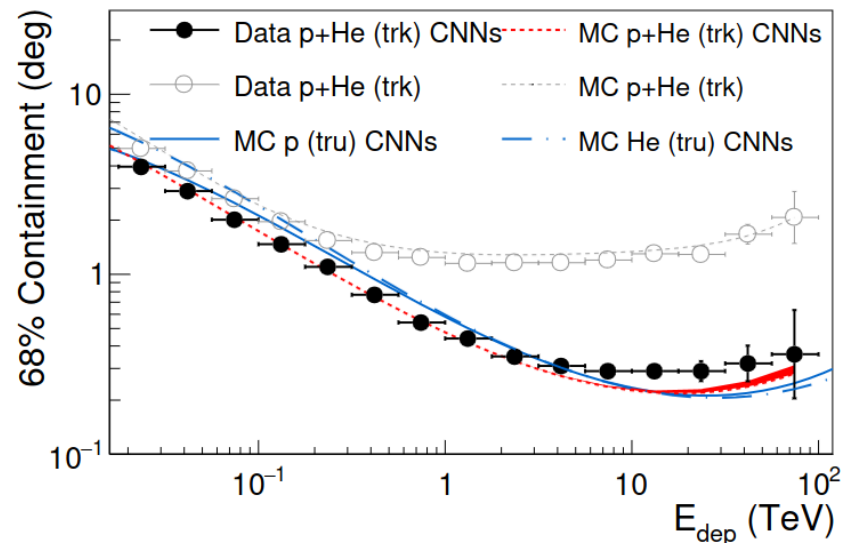
Tracking using DNNs at DAMPE

- DAMPE: cosmic-ray space mission
- Challenge: At high E calorimeter particles back-scatter into tracking
- Use calorimeter data and CNN to perform tracking (+ seed for tracker)



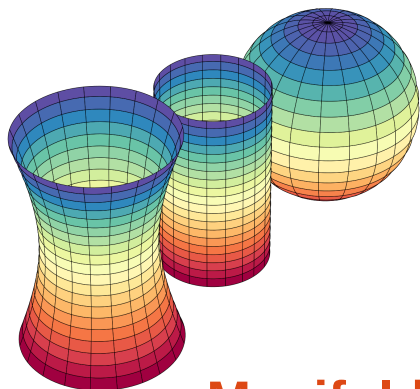
A. Tykhonov et al, Astropart. Phys. 146, 102795 (2023)

- Validation using events with clear tracker
- Significant improvement over classical method
- Increase tracking efficiency using tracker



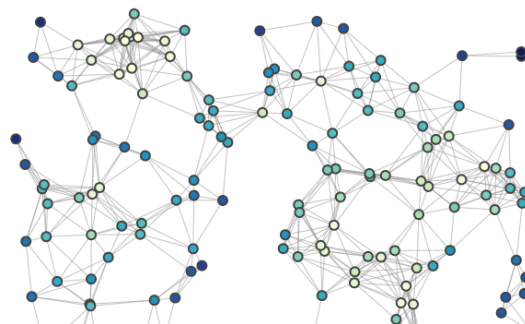
Non-Euclidean Domains

- Defining convolutions, challenging on non-euclidean domains
 - Deformation of filters, changing neighbor relations
 - Non-isometric connections on graphs



• **Manifolds**

source: wikipedia



• **Graphs**

source: Cody Marie Wild,
Towards Data Science

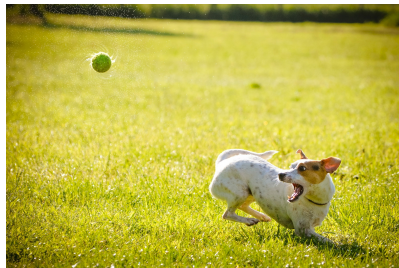


Image-like data

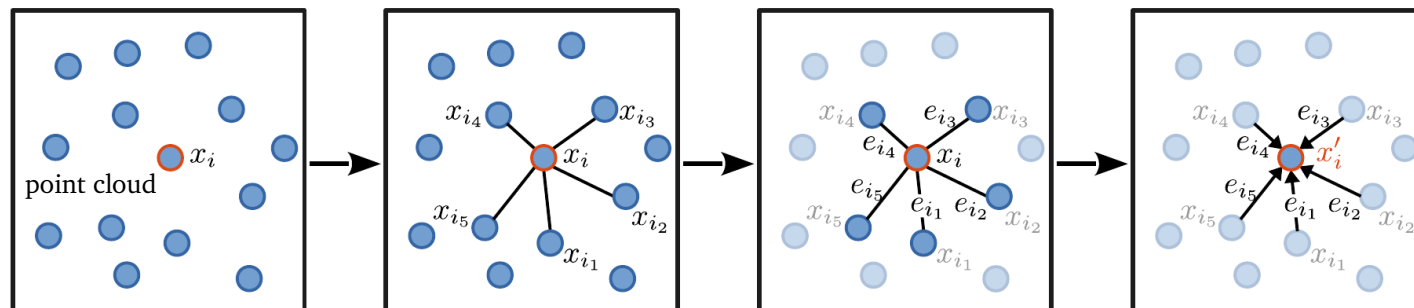
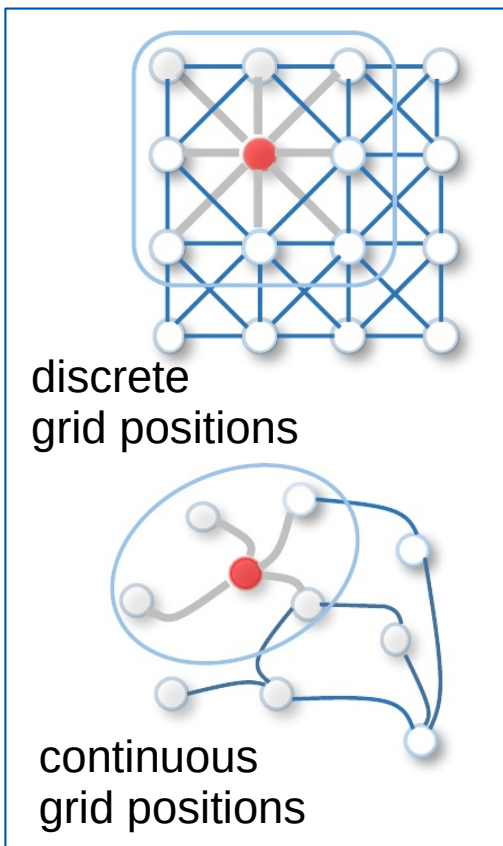
- collection of pixels (vector)
- coherent (rarely sparse)
- discrete, regular (symmetric)
- feature euclidean space

How can we generalize convolutions?

Graph Networks: Edge Convolutions

Y.Wang et al,
<https://arxiv.org/abs/1801.07829>

- Define graph/neighborhood → e.g., using kNN
- Apply continuous filter based on distances (filter → DNN)
 - flexible for many settings: irregular structures, point clouds



construction of directed graph

estimation of edge features

aggregation over neighborhood

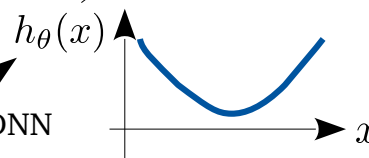
→ search k nearest neighbors

$$e_{ij} = h_{\theta}(x_i, x_{ij})$$

$$x'_i = \square_{j=1}^k e_{ij}$$

$$\text{e.g. } x'_i = \sum_{j=1}^k e_{ij}$$

approx. by DNN



Deep Learning SWGO

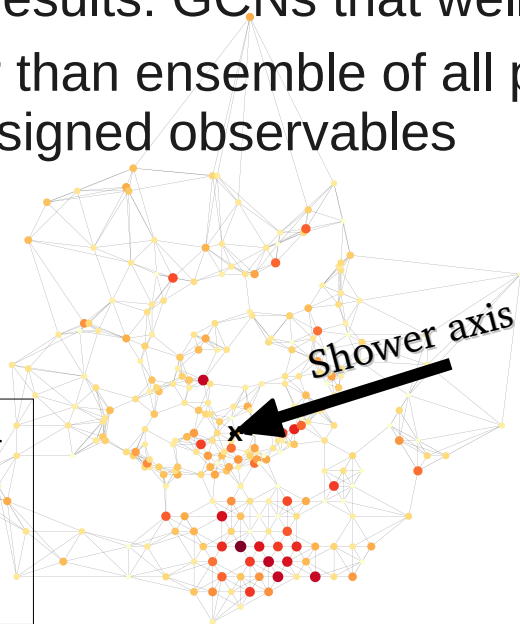
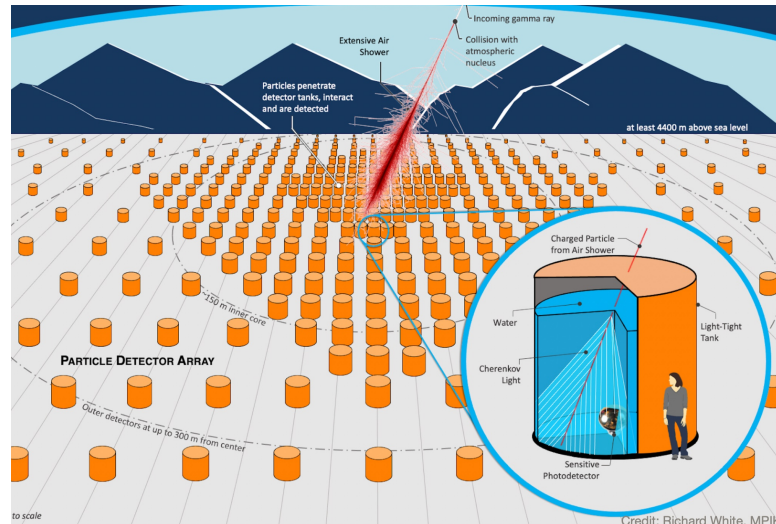
The Southern Wide-field Gamma-ray Observatory

- Surface-detector-based gamma-ray observatory
 - ◆ Sensitivity: 100s GeV → PeV scale

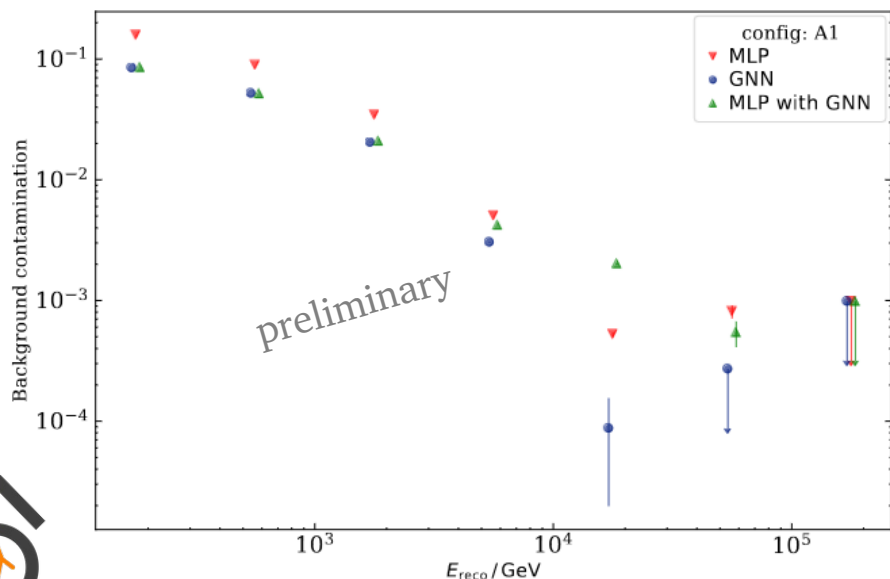
- Feature different zones with different fill factors

Promising results: GCNs that well handle sparsity

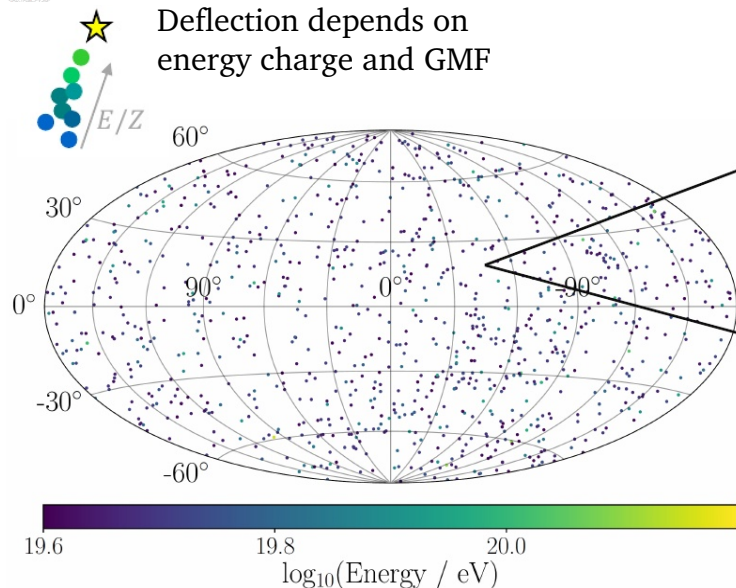
- Superior than ensemble of all previous hand-designed observables



Example signal graph
Proton event
 $E = 10^4$ GeV
Zenith = 35°

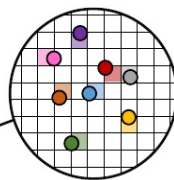


Search for UHECR Origins

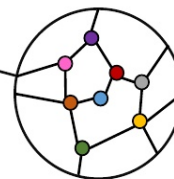


Continuously distributed on sphere

Bister et al., 10.1016/j.astropartphys.2020.102527



sparse, spherical
not suited for CNN



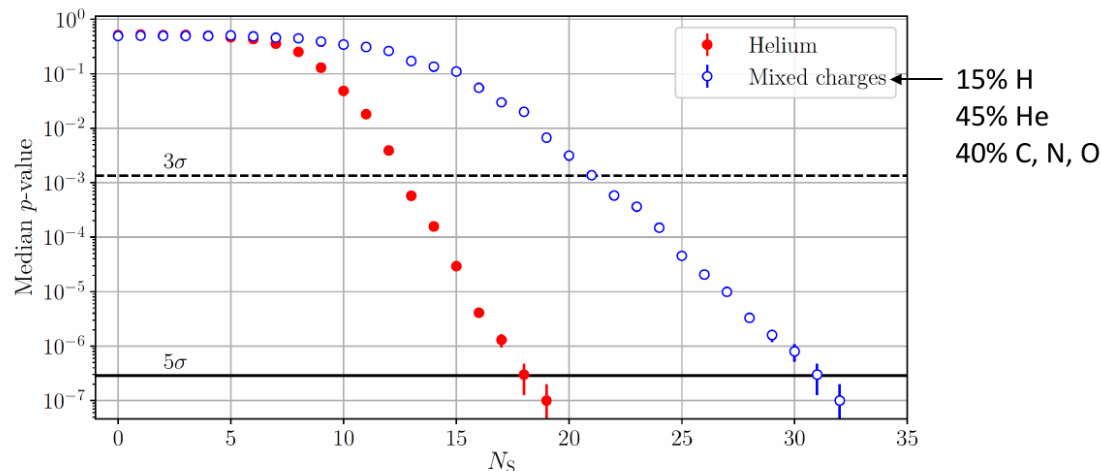
use Dynamic Graph Network

Situation:

One measured sky (spherical)

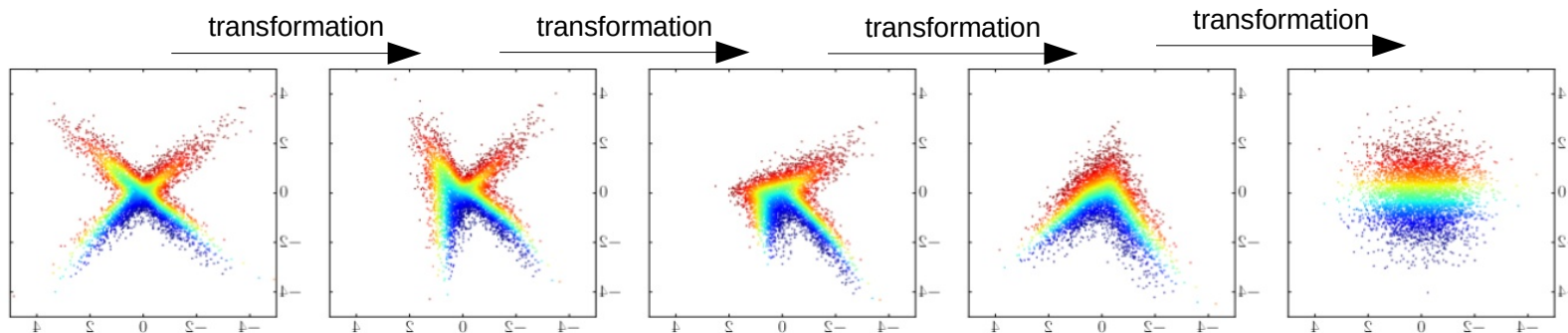
Learn to classify between

- isotropic sky / signal
- use dynamic edge convolutions



Normalizing Flows

Normalizing flows: stack several simple invertible mappings



training:

complicated distribution
(e.g., natural images)

“Fit data distribution to
match Gaussian”

→ Direct maximization
of Likelihood!

simple distribution
(e.g., Gaussian)

**evaluation/
inference:**

Since model invertible and distribution normalized

Revert direction → get samples proxy of complicated distribution

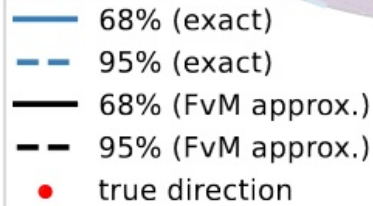
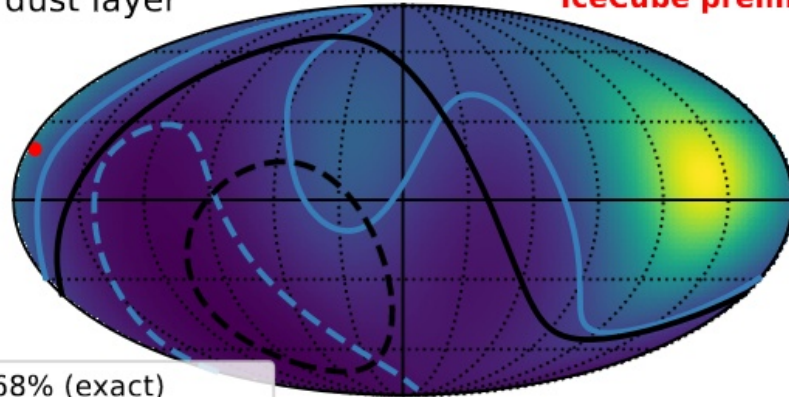
enables:

- fast generation of new samples (**direct density estimation**)
- reconstruction of objects, including uncertainty estimate

Normalizing flows at IceCube

dust layer

IceCube preliminary

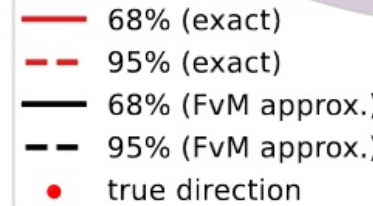
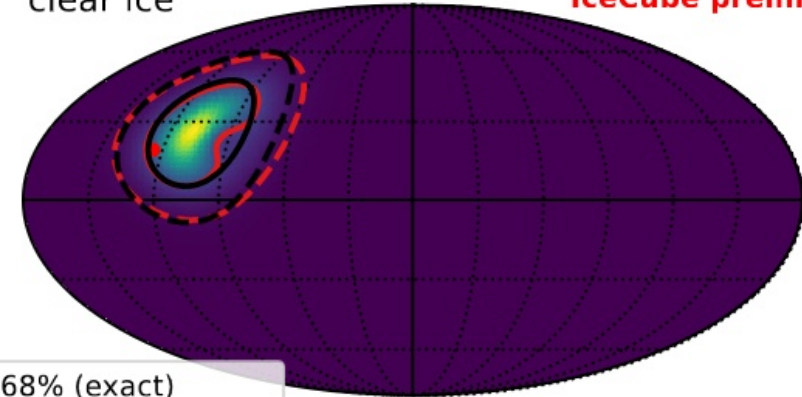


$$D_{\text{KL}}(p|p_{\text{approx}}) = 0.08$$



clear ice

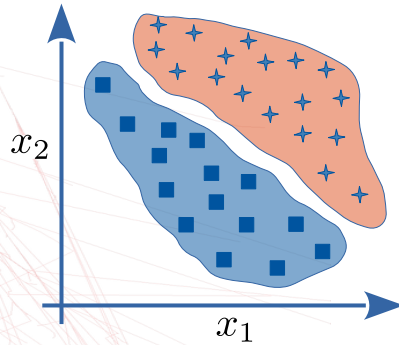
IceCube preliminary



$$D_{\text{KL}}(p|p_{\text{approx}}) = 0.06$$

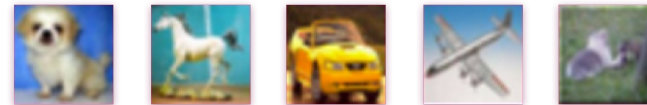


- Dust layer can affect reconstruction uncertainty → usually assumed symmetric
- Application of NF: uncertainty of neutrino arrival direction
 - ♦ Reconstruction conditions NF that maps to spherical surface → asymmetric uncertainties



Unsupervised Learning

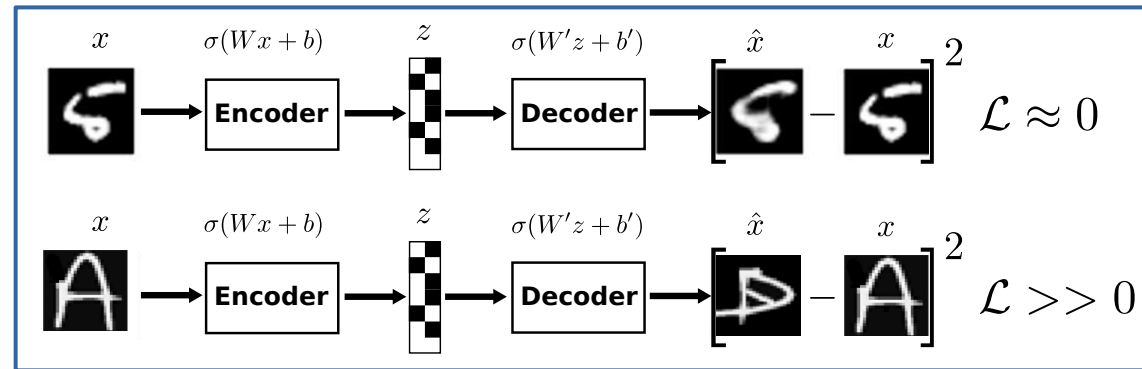
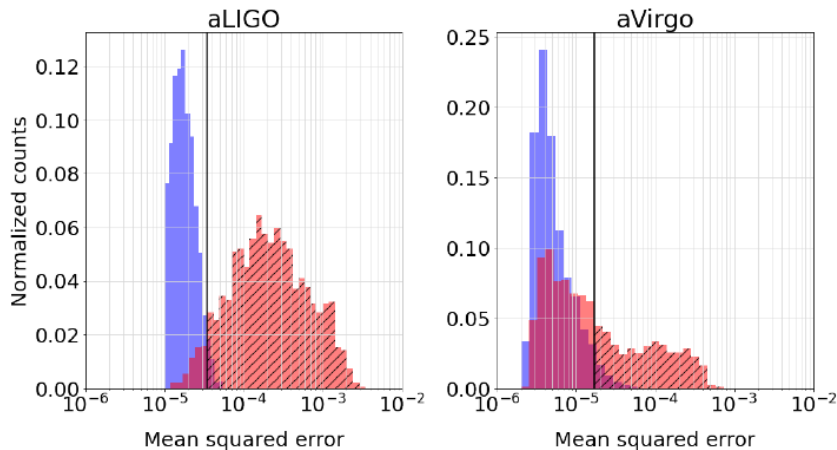
- Density estimation
- Anomaly detection
- Generative Models
- Simulation Refinement



CIFAR10

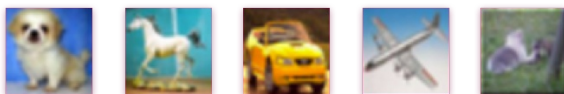
Anomaly Detection

- Search for data, different than used for training, using autoencoders
- indication for new physics, proposed for BSM searches at LHC
- training without limited data (no signal labels)
 - ♦ first approaches in astroparticle physics
 - detection of gravitational waves



F. Morawski et al., Mach. Learn.: Sci. Technol. 2 045014

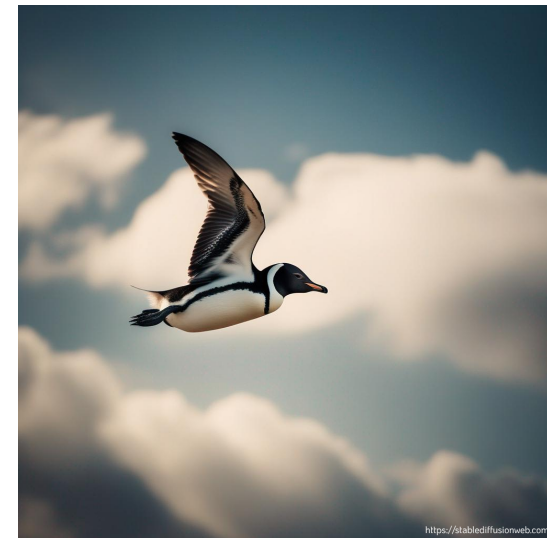
Generative models



Learn to generate new samples



“Albert Einstein using a mobile phone while watching TV”



“A penguin flies in the sky and overtakes other birds. Clouds are seen in the background”

Breakthrough in generative machine learning

- generation of realistic images
- image feature local and global coherence
- realistic image super resolution

Which face is real?



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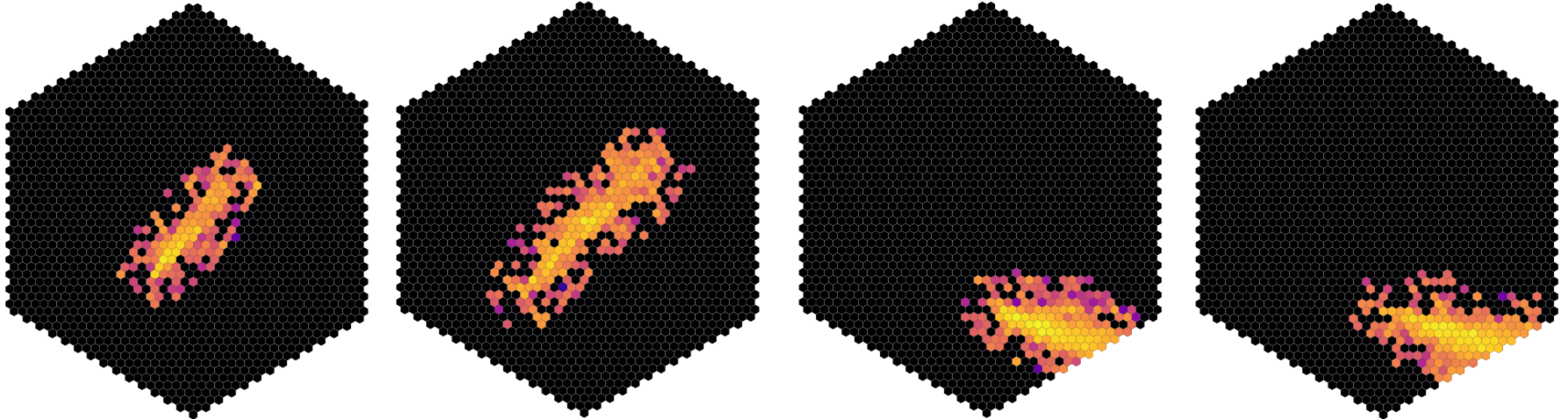
Play the game:

<https://www.whichfaceisreal.com>

Which generated IACT image is real?



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Imaging Air Cherenkov Telescope

Example simulated / generated for the CT5 telescope of the H.E.S.S. array

Hillas Parameter

Distributions agree very well → over large range of magnitude!
 Very different showers are generated!

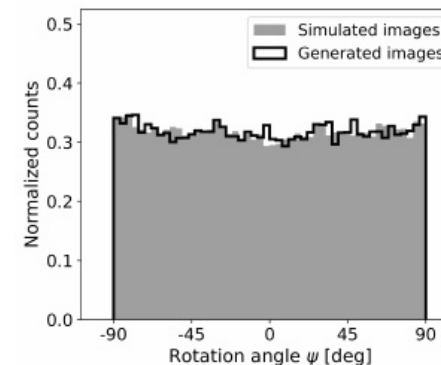
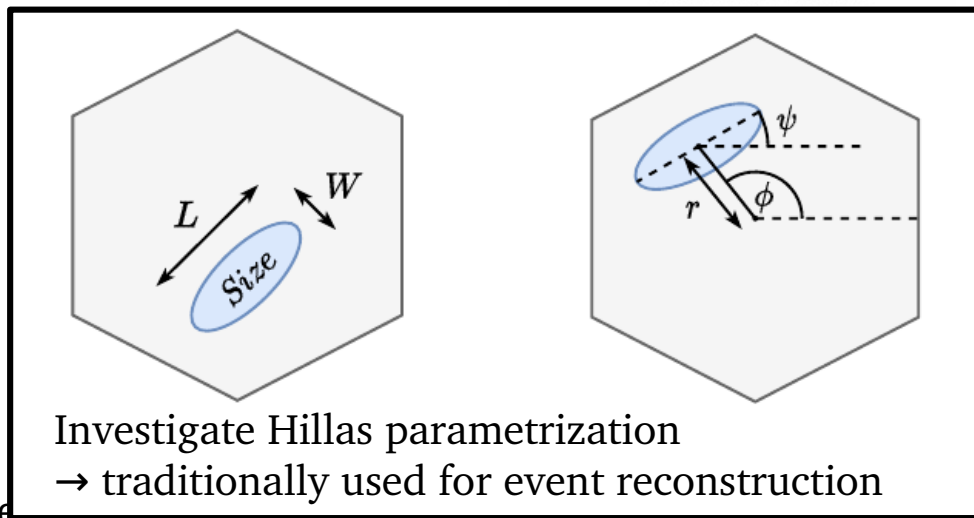
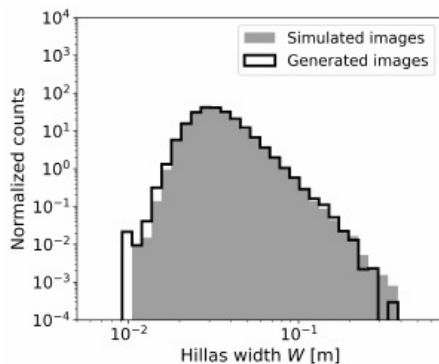
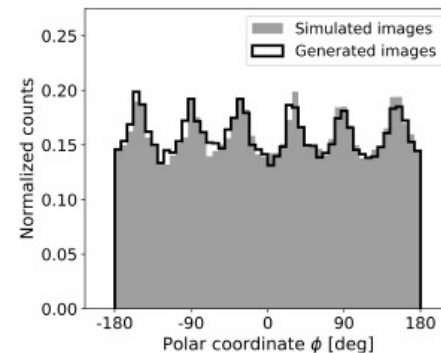
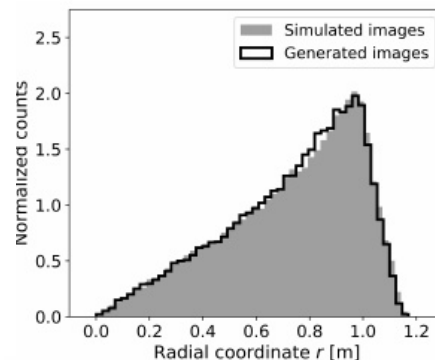
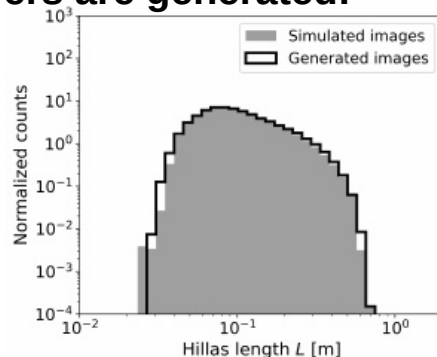
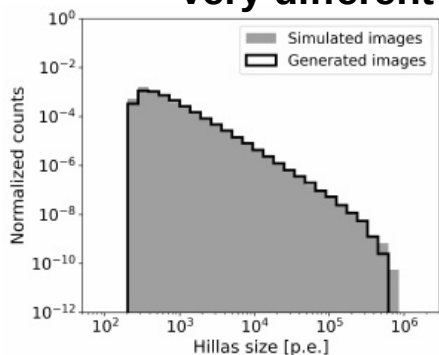
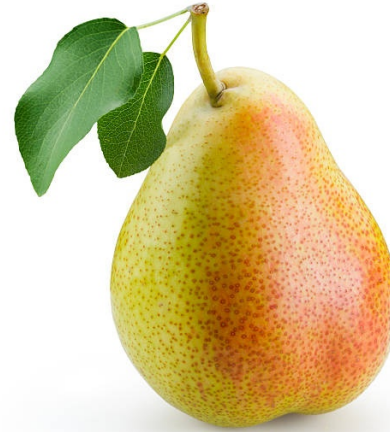
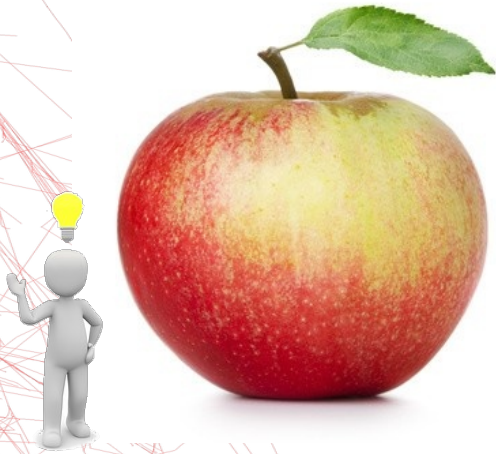


Image shape modeled well!

Full camera used
 → Very different geometries



Generalization Capacities on Data

DNNs and Domain Adaption

- I. models are trained using physics simulations
- II. trained models are applied to data
 - can lead to reconstruction biases

style transfer



Domain Adaption

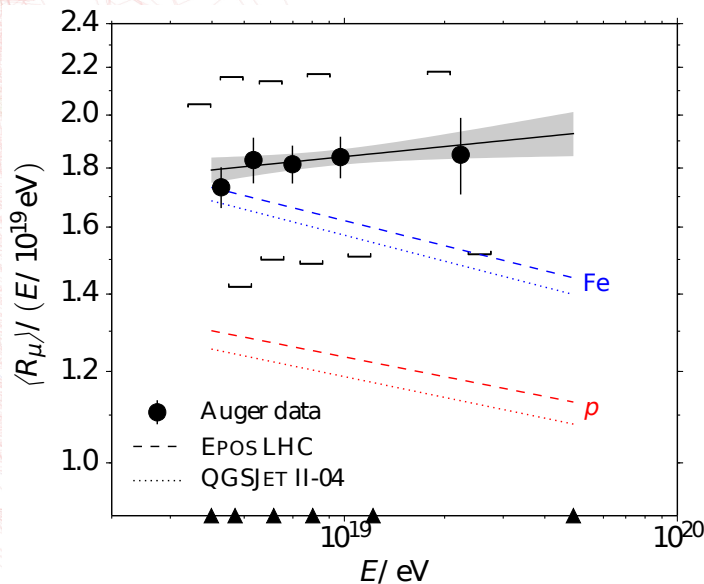
- model trained on simulation but applied on data
- observation of muon excess in measured air-shower data
- can lead to reconstruction bias



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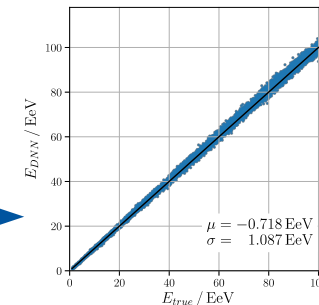
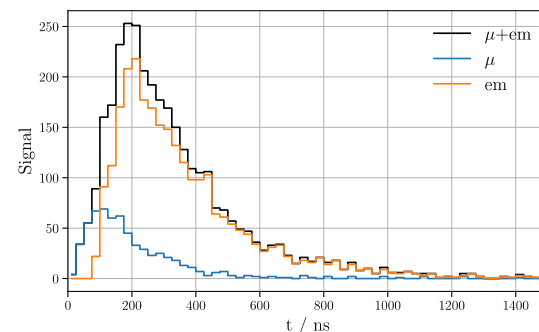


Comput Softw Big Sci (2018) 2: 4



Simulation

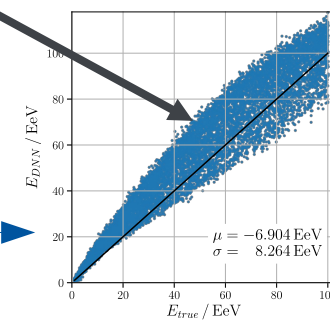
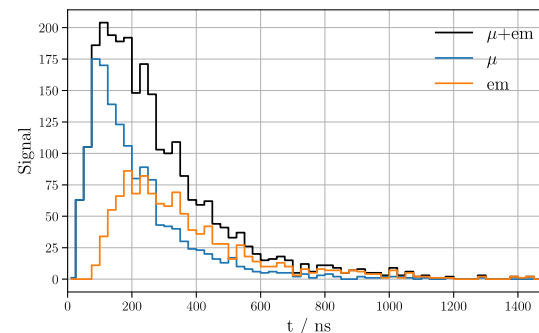
70% electromagnetic
30% muonic



Network can not handle modified traces

'Data'

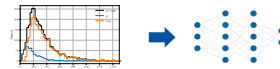
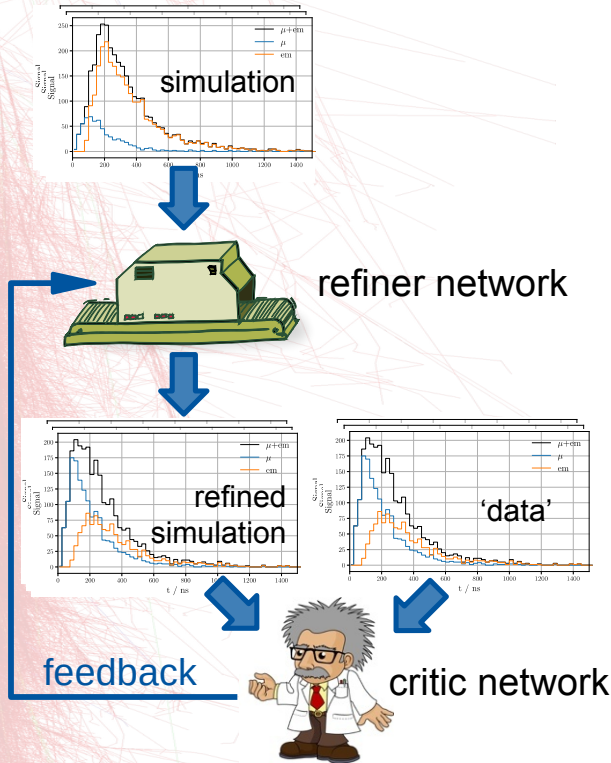
30% electromagnetic
70% muonic



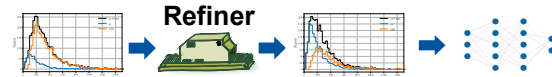
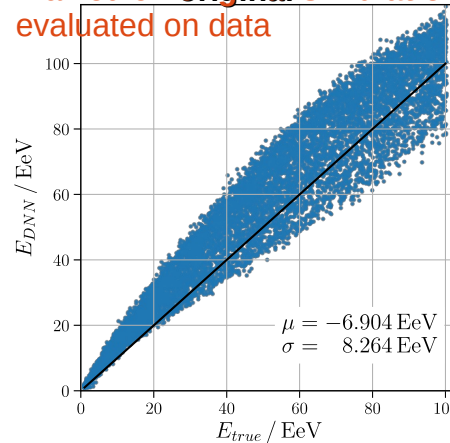
Simulation Refinement

mitigate data / simulation mismatches → train *refiner* to refine simulated data

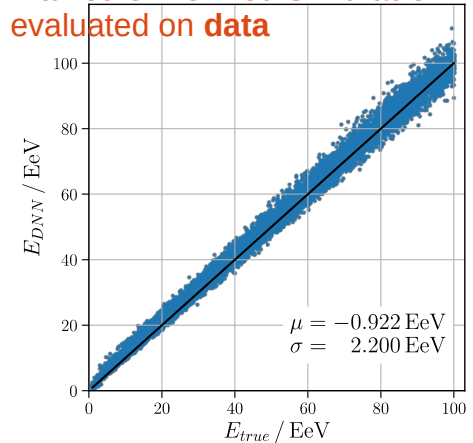
- feedback given by adversarial *critic* network, rating the refined simulation quality
- refiner uses feedback to improve performance
- improved performance when training with refined simulation



Trained on **original simulation**
evaluated on data

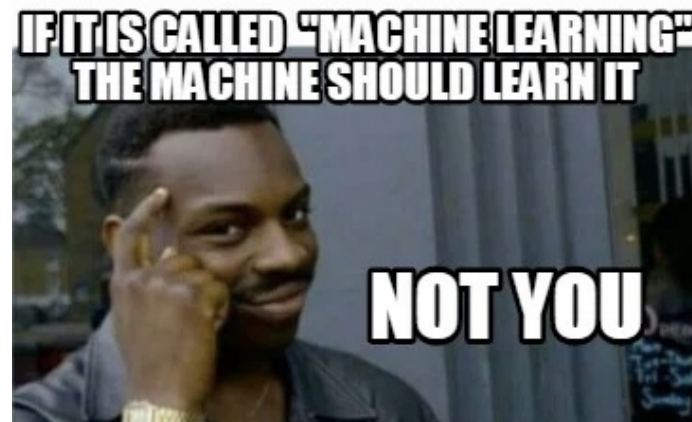
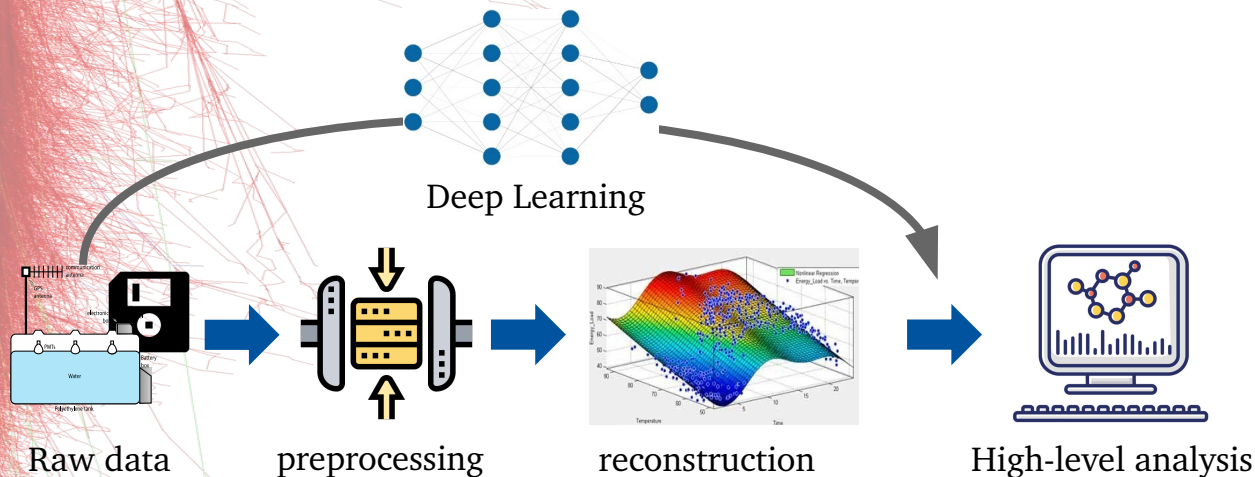


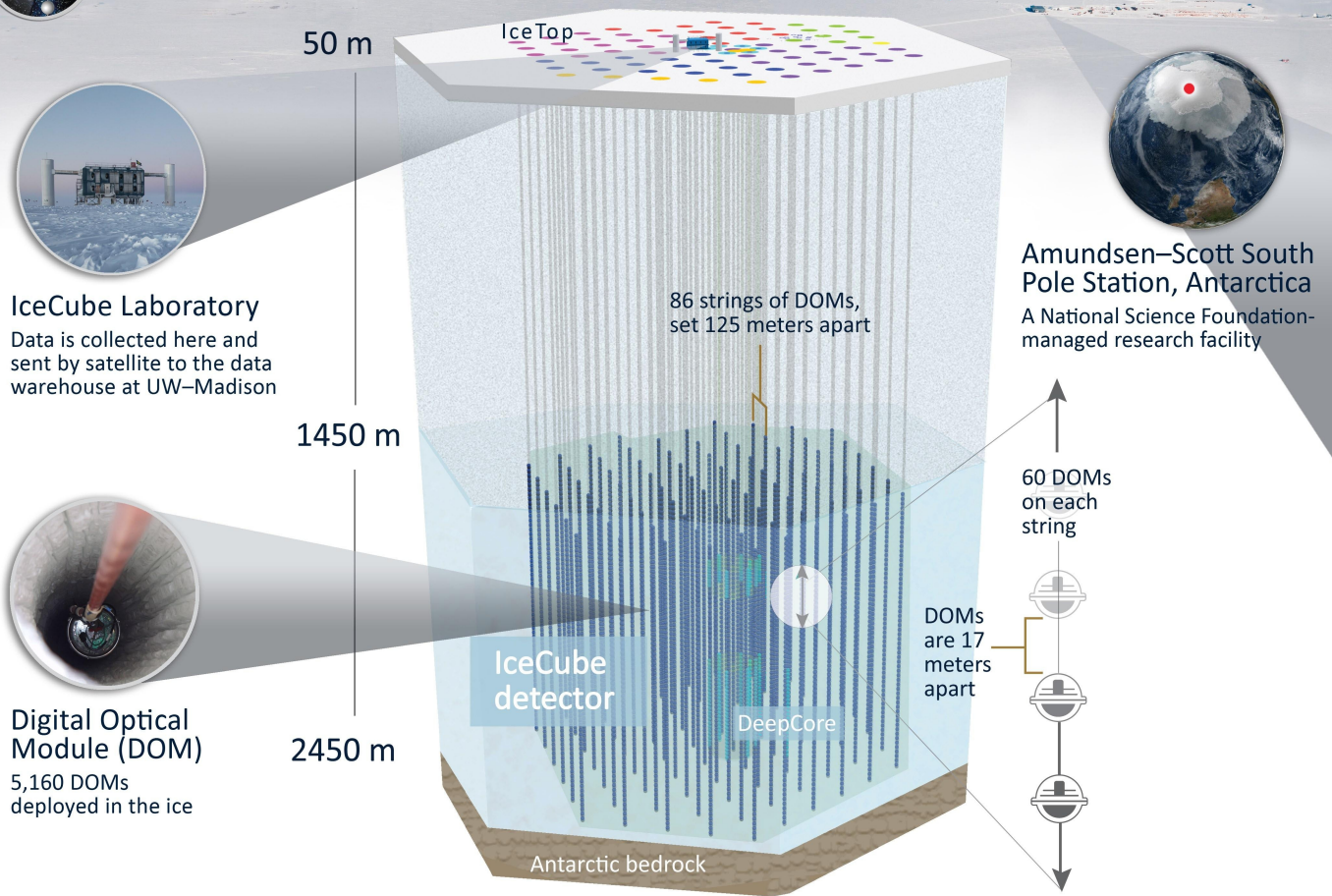
Trained on **refined simulation**
evaluated on data



Physics Results & application to measurement data

Astroparticle physics analysis → based on deep learning

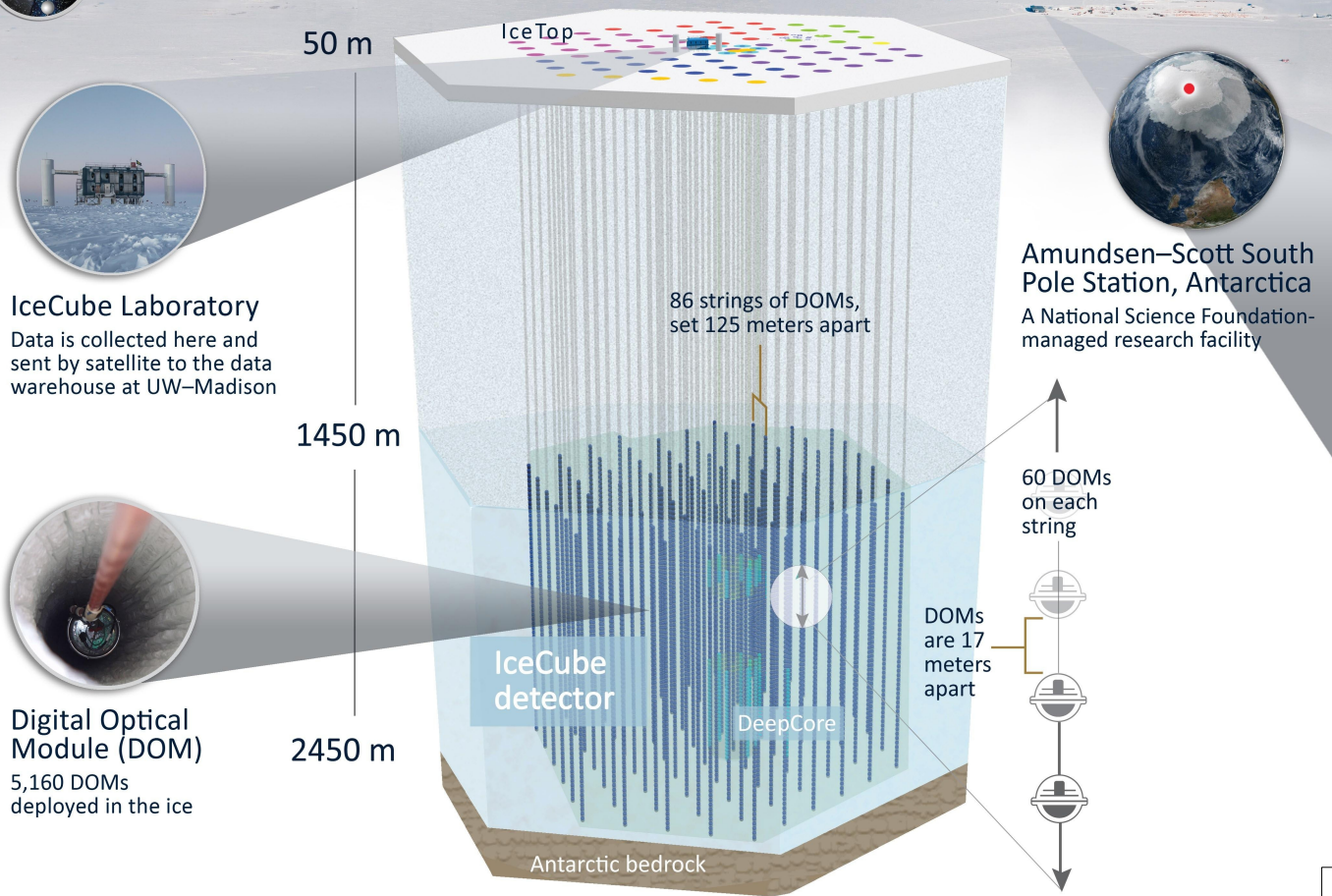




- Instrumented km³ of ice
- Detect astrophysical neutrinos (>1TeV)
- DOMs detect time resolved signals (Cherenkov light)

Challenging background

- Atmospheric muons/neutrinos
- Per single astrophysical neutrino → 10⁸ bkg. events



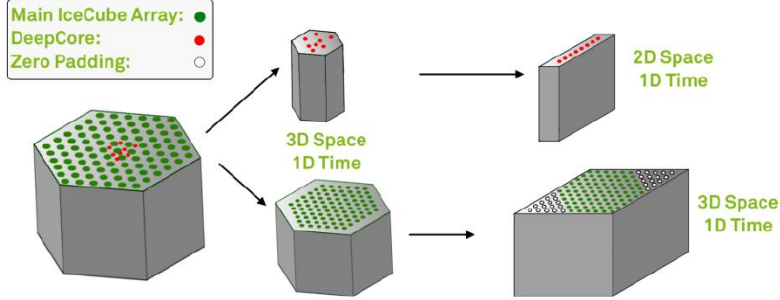
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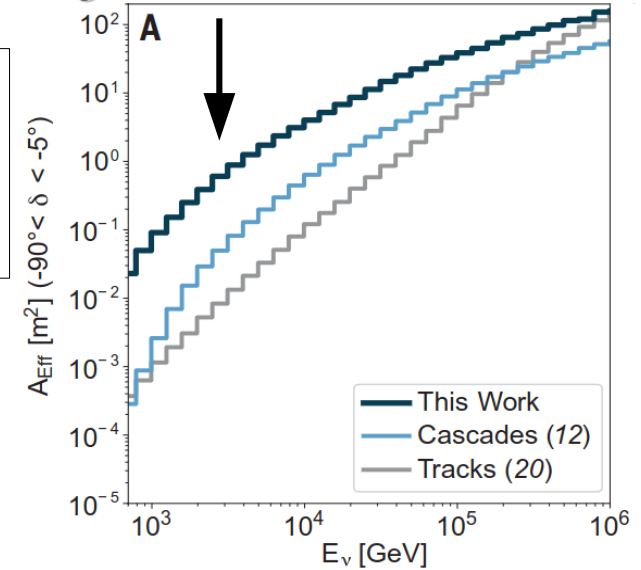
Odds for being killed by a vending machine: 1.2 * 10⁸

Improvement: data-driven techniques



Final sample:
87% atmospheric neutrinos
7% astrophysical neutrinos
6% atmospheric muons

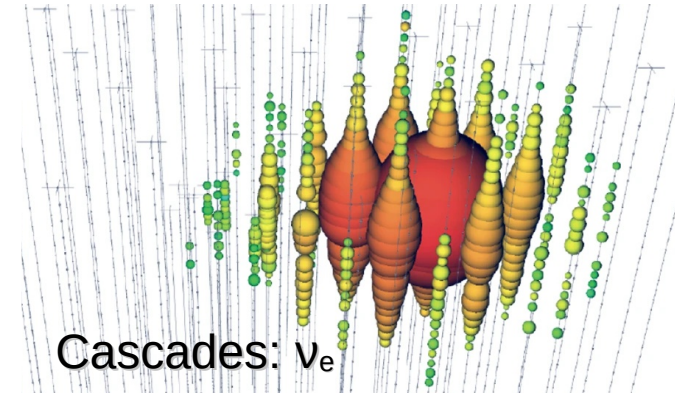
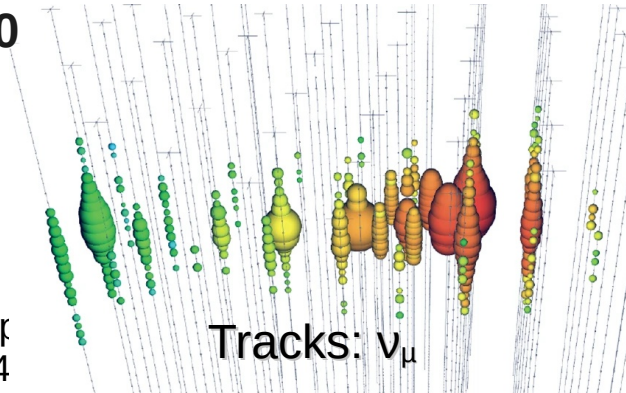
Deep learning: events x20!



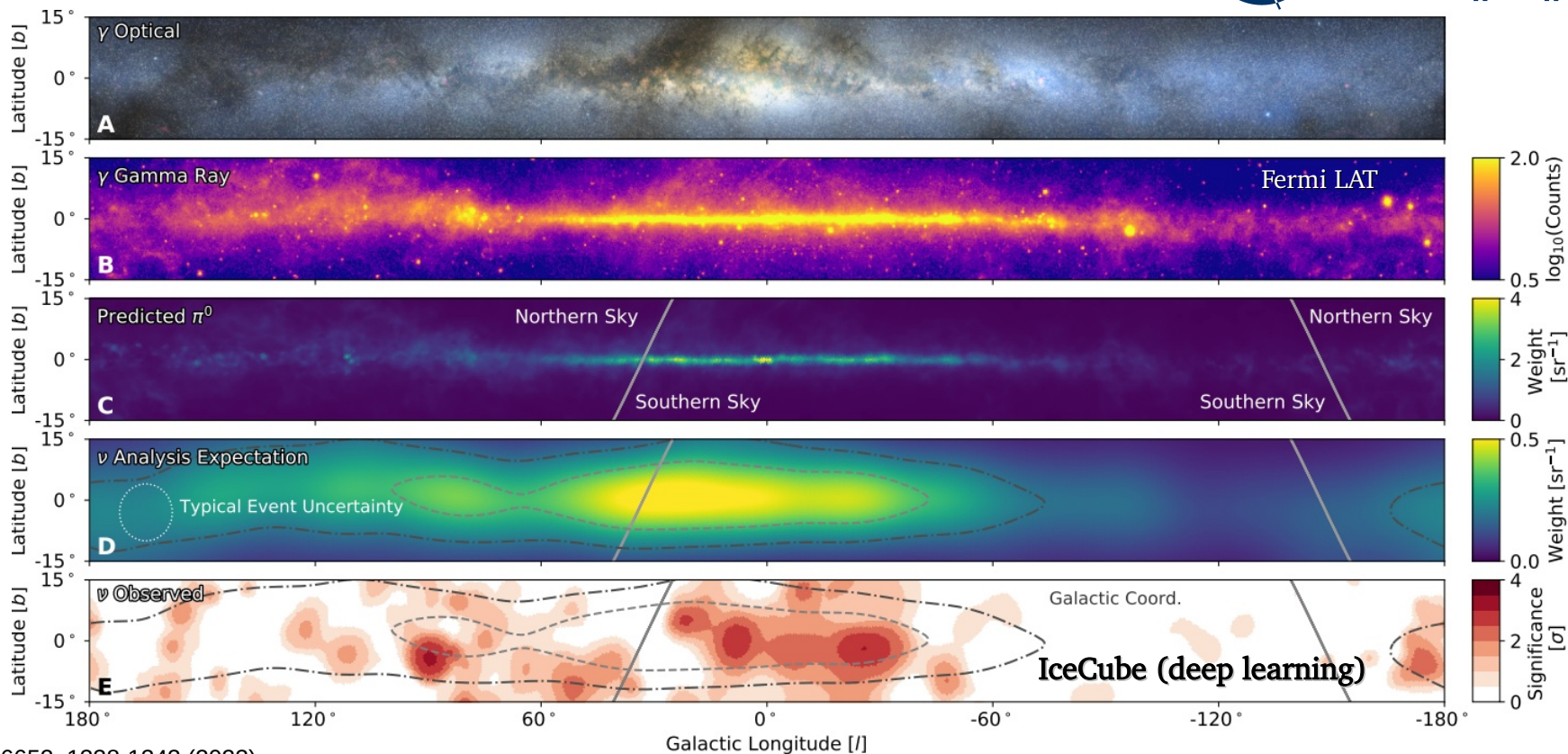
Analysis of cascade events

- Improved rejection of atmospheric muons (CNN based)
- Improved reconstruction of cascade events (NN + MLE)
- Reconstruct partially-contained events
- **Statistics increase x20**

- [1] M. Hünnefeld et al., PoS(ICRC2017)1057
- [2] A. Aiello et al., JINST 15 (2020) P10005
- [3] R. Abbasi et al., JINST 16 (2021) P07041
- [4] M. Hünnefeld et al., PoS(ICRC2021)1065



The Galactic Plane



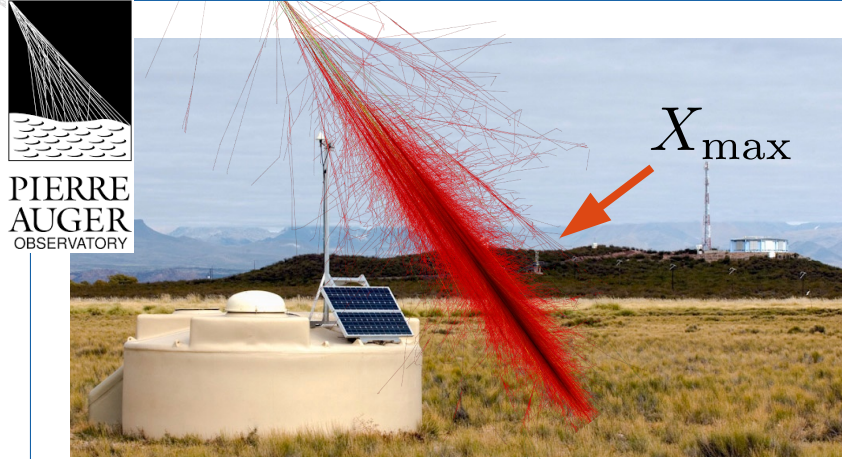
Science 380, 6652, 1338-1343 (2023)

- Comparison to Gamma-ray catalog
- 4.5 σ significance (scrambling w. right ascension)

Ultra-high-energy cosmic rays (UHECRs)



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The Pierre Auger Observatory

- world's largest observatory to study ultra-high-energy cosmic rays
- hybrid detection of air showers
 - ♦ 1,660 water-Cherenkov detectors
 - ♦ 27 fluorescence telescopes
 - can precisely observe X_{\max}



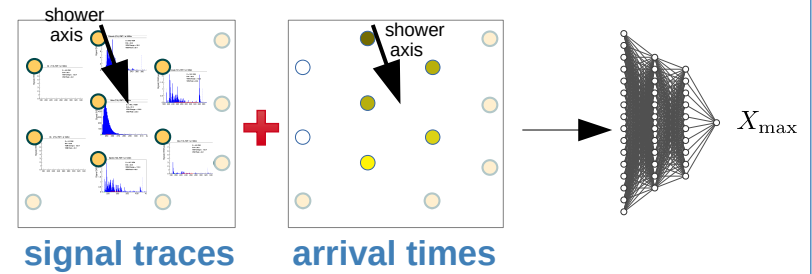
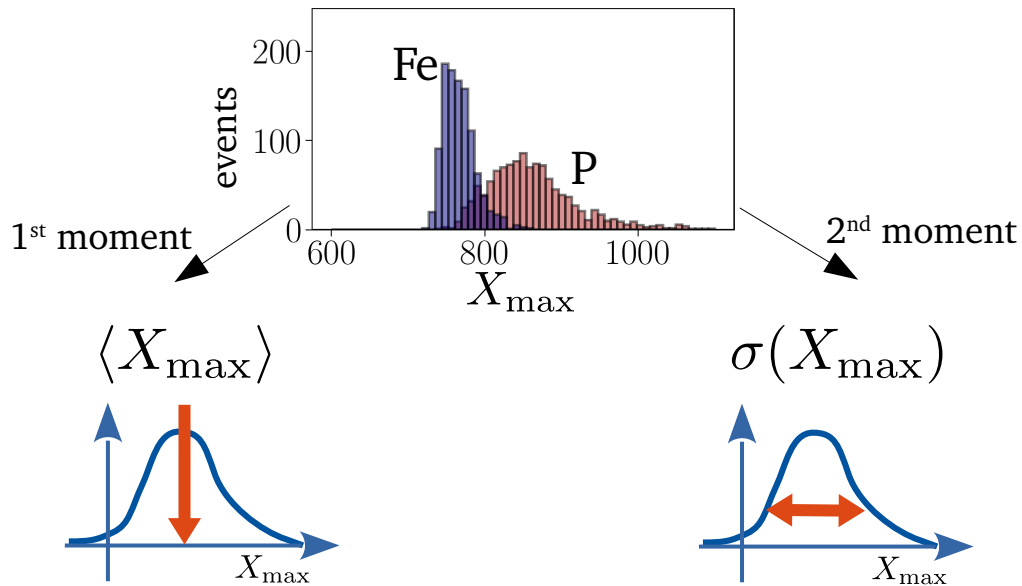
Size of Auger 3,000 km²

→ Projected on Trapani
Distance from Trapani to Airport ~60 km

X_{max} reconstructed with SD data

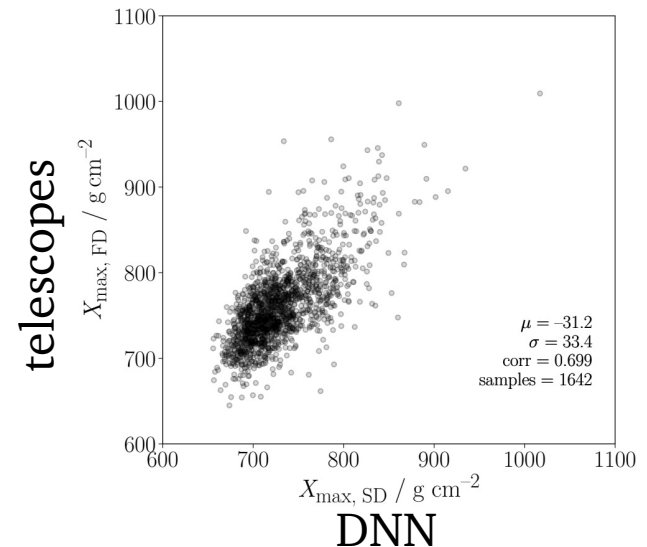
Mass composition of UHECRs

- currently: most precise mass estimator by reconstructing shower maximum X_{\max}
- determine composition by studying the measured X_{\max} distributions



DNN-based X_{max} reconstruction

- Reconstruct X_{\max} using SD signals
- Calibrate and crosscheck using telescope (hybrid) data



Evidence for breaks in the elongation rate

Critical for understanding astrophysical sources

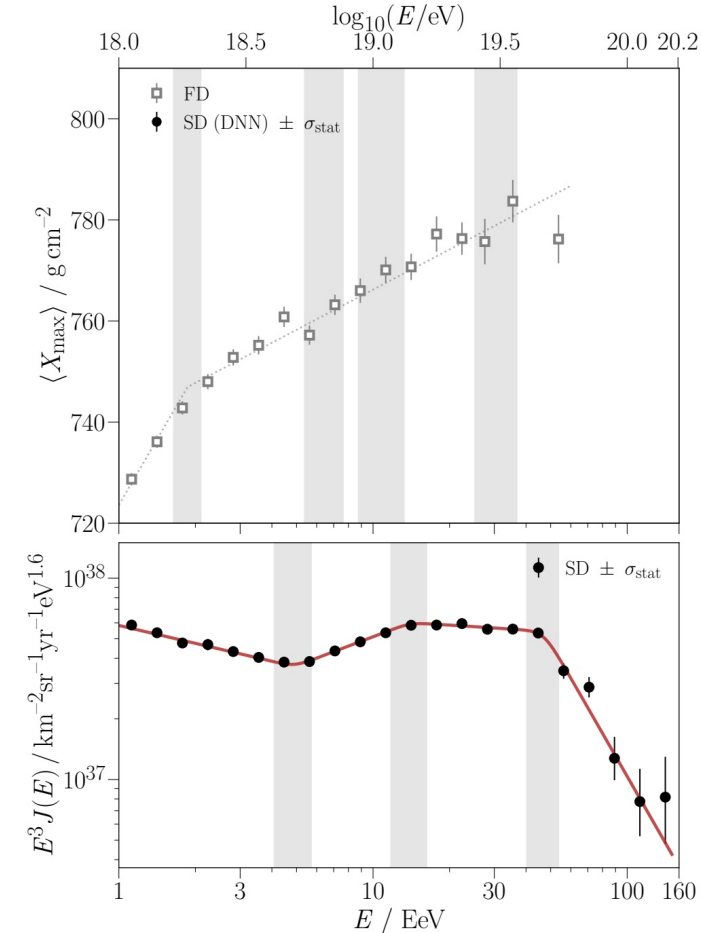
- Energy spectrum feature (deviations from simple power law)
- Evolution of mass composition

Telescope-based measurements:

- Linear model describes transition from light to heavy

Current interpretation:

- Ankle: transition from galactic to extra galactic
- Cut-off: maximum injection energy accelerator & propagation?



Evidence for breaks in the elongation rate

Critical for understanding astrophysical sources

- Energy spectrum feature (deviations from simple power law)
- Evolution of mass composition

Telescope-based measurements:

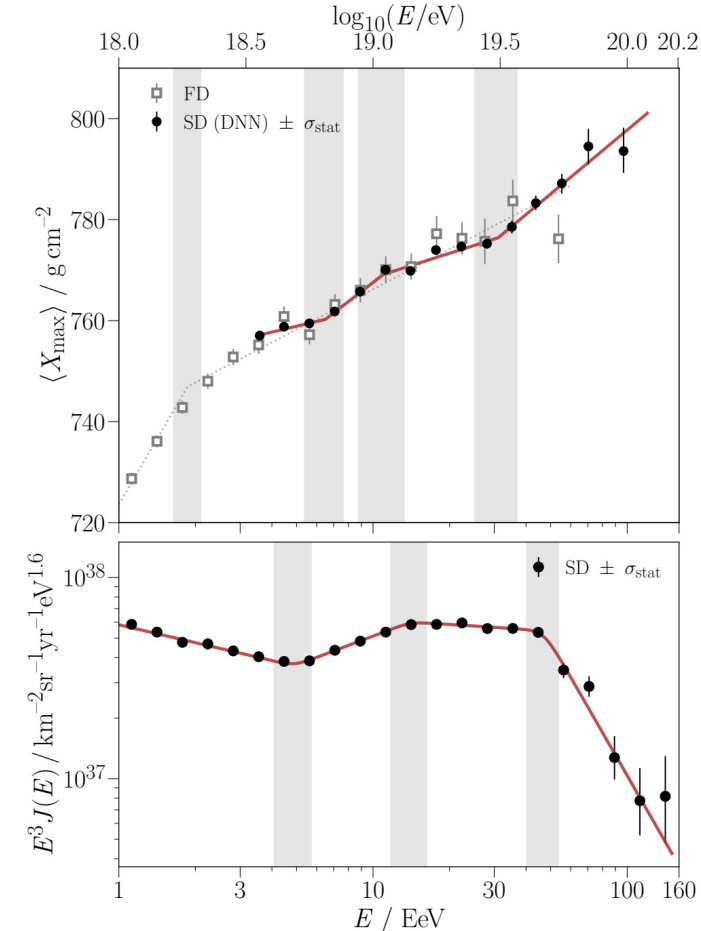
- Linear model describes transition from light to heavy

Surface-detector based (utilizing **deep learning**): statistics x10

- Evidence for three breaks, in proximity of spectrum features
same statistic: telescopes would need to operate for 150 years!

Current interpretation:

- Ankle: transition from galactic to extra galactic
- Cut-off: maximum injection energy accelerator & propagation?



Past, Present, and Future – Deep Learning in Astroparticle Physics

III. Verified reconstruction mechanisms

First publications by Collaborations, e.g., Pierre Auger, IceCube, KM3Net ...

V. Physics analyses with DL

- Publications by Collaborations
- Application to full data sets
- Extensive study of systematic unc.

Interpretability

- DNN introspection & causality studies
- Distilling physics laws from DNNs

II. Proof of concept

- First SAL publications of applying DL at low- & high level data
- Use of standard architectures: FCNs, RNNs, CNNs mostly on simulations and toy simulations

IV. Exploiting symmetries

Incorporating symmetries into DNNs, GCNs, transformer

Multi-experiment DL

Application of ML methods to open data

'Unsupervised era'

- exploiting measured data
- refinement of simulations
- AI-based detector design

AGPI?

Artificial general Physics Intelligence

I. Classic ML

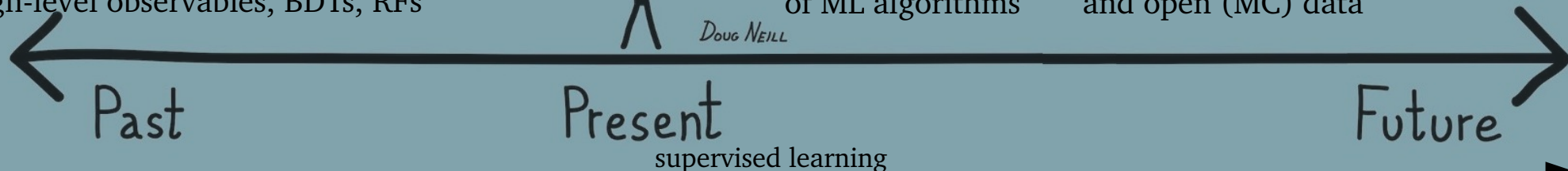
Published physics analyses using high-level observables, BDTs, RFs

DL close to sensors

On-site application of ML algorithms

Open data

Large, complete and open (MC) data

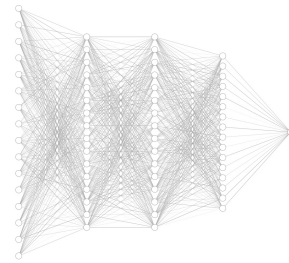




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BACKUP



Segmentation - MircroBooNE

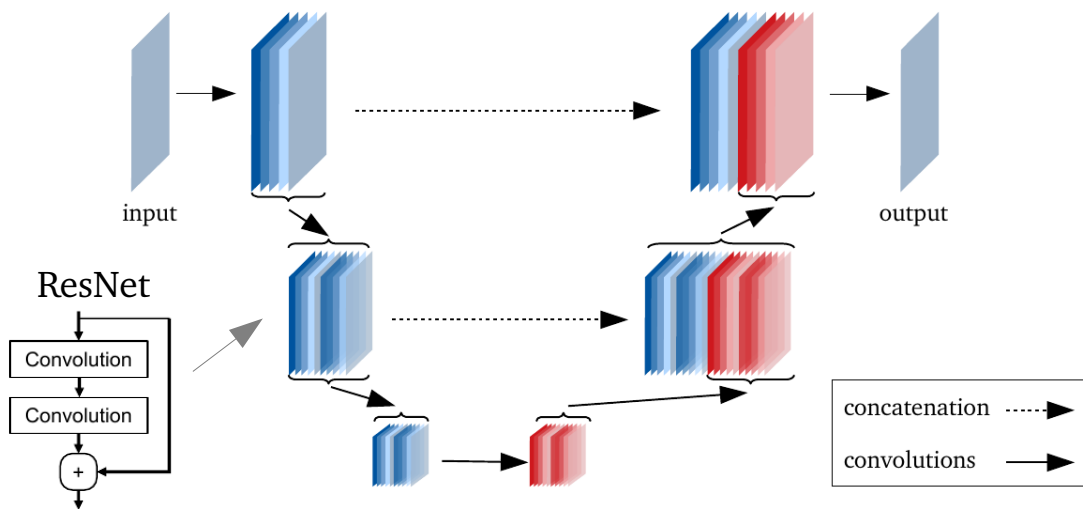
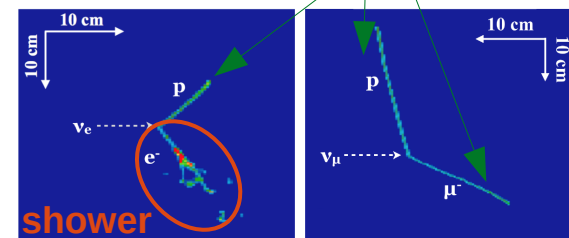
- Liquid Argon TPC for neutrino detection
- Segmentation (pixel-wise class prediction) into tracks and electromagnetic-showers
 - ♦ Architecture: combination of ResNet and U-Net
- Incorrectly classified pixel fraction per image ~ few percent



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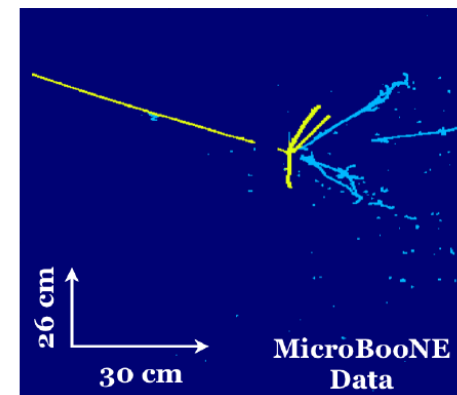
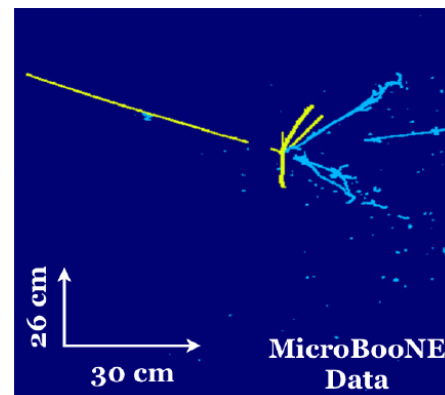


track



Physicist

DNN

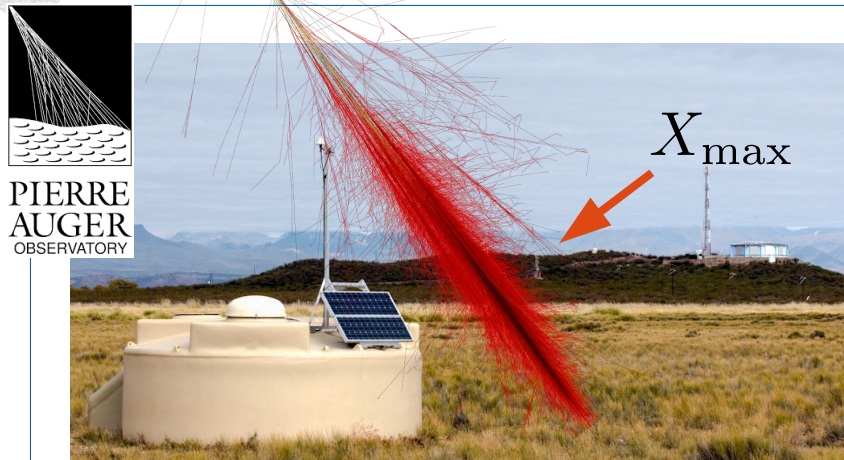


Adams et al. ArXiv: 1808.07269

Ultra-high-energy cosmic rays (UHECRs)



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PIERRE AUGER OBSERVATORY

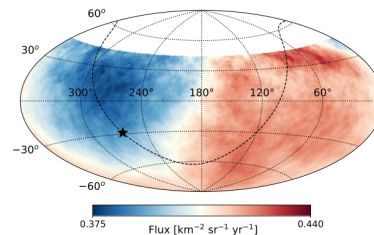
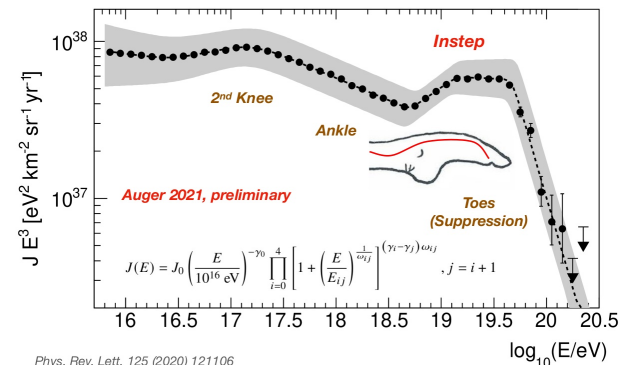
X_{\max}

The Pierre Auger Observatory

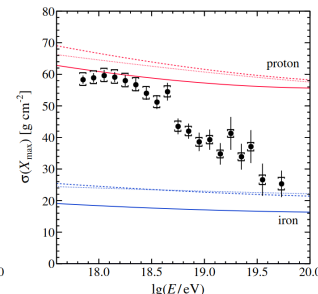
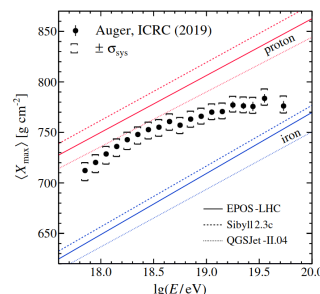
- world's largest observatory to study ultra-high-energy cosmic rays
- hybrid detection of air showers
 - ♦ 1,660 water-Cherenkov detectors
 - ♦ 27 fluorescence telescopes
 - can precisely observe X_{\max}

Key findings

Characteristics of the energy spectrum



Discovery: large-scale anisotropy pointing away from galactic center
Hint: UHECRs are extragalactic



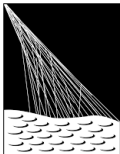
Mass composition
Towards heavier and purer composition
Cutoff not caused by GZK only

Air-Shower Reconstruction

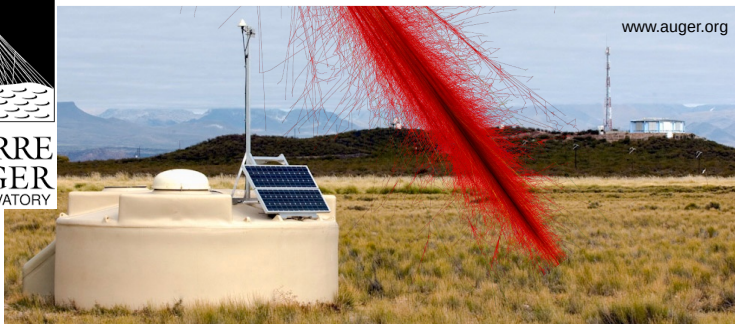
The Pierre Auger Collaboration, JINST 16 P07019 (2021)



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PIERRE
AUGER
OBSERVATORY



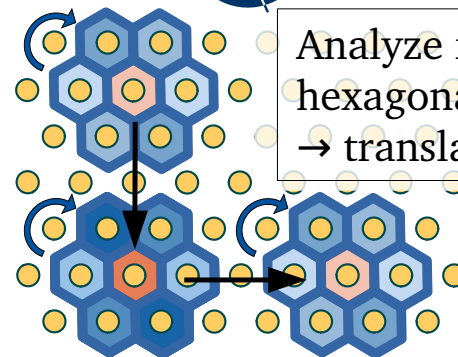
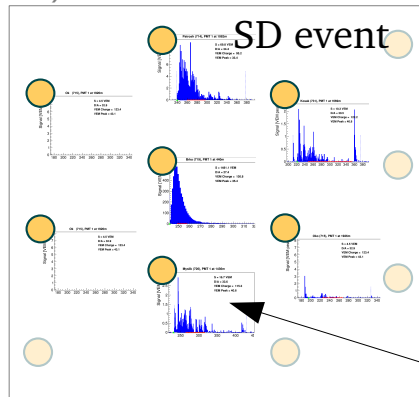
Pierre Auger Observatory

Fluorescence Detector (15% duty cycle)

- direct and precise observation of shower maximum X_{\max}

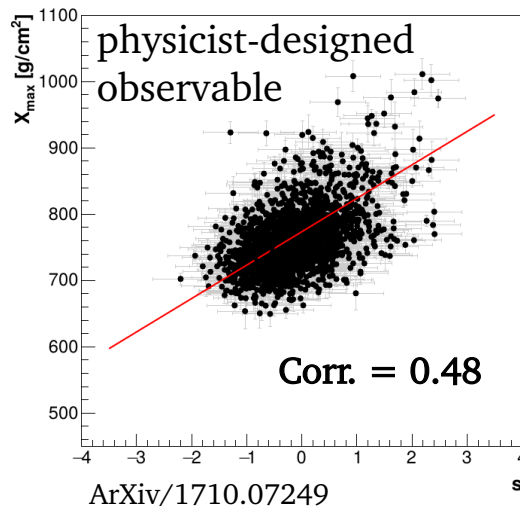
Surface Detector (~100% duty cycle)

- reconstruction of shower maximum using deep learning
- verification using hybrid measurements

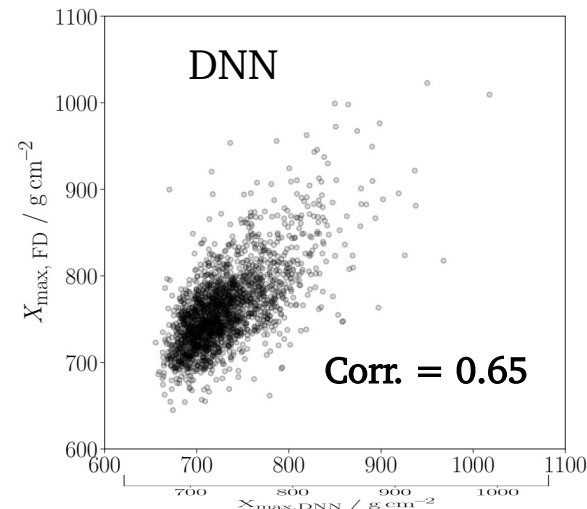


Analyze footprint with
hexagonal convolution
→ translation + rotation

analyze traces with RNNs

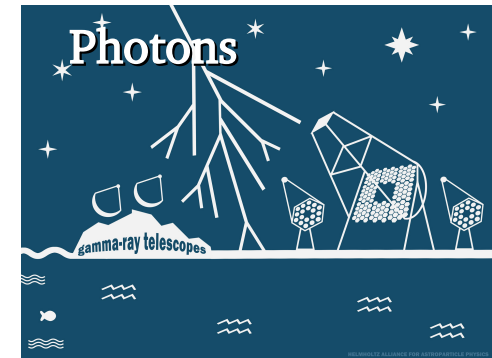
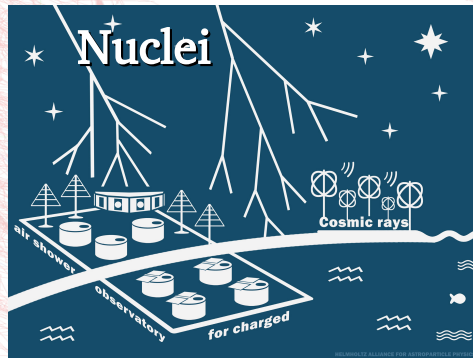
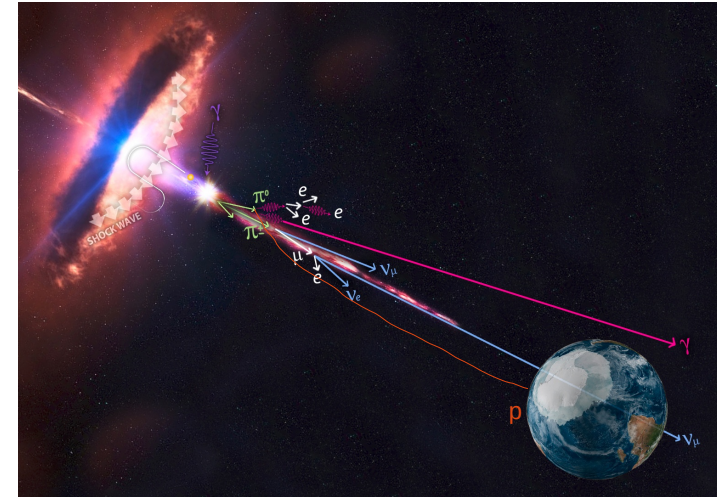


ArXiv/1710.07249



Astroparticle Physics

- Observation of particles with astronomical origin
- Search for their sources
 - ◆ Understand physics of astronomical objects
- Cosmic messengers: Photons, neutrinos, nuclei
- Distant sources, high particle energies
 - Experiment feature huge detector volumes

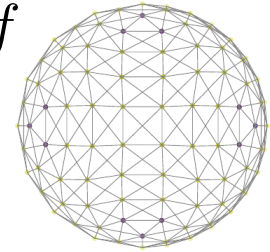


Convolutions on Spherical Domains

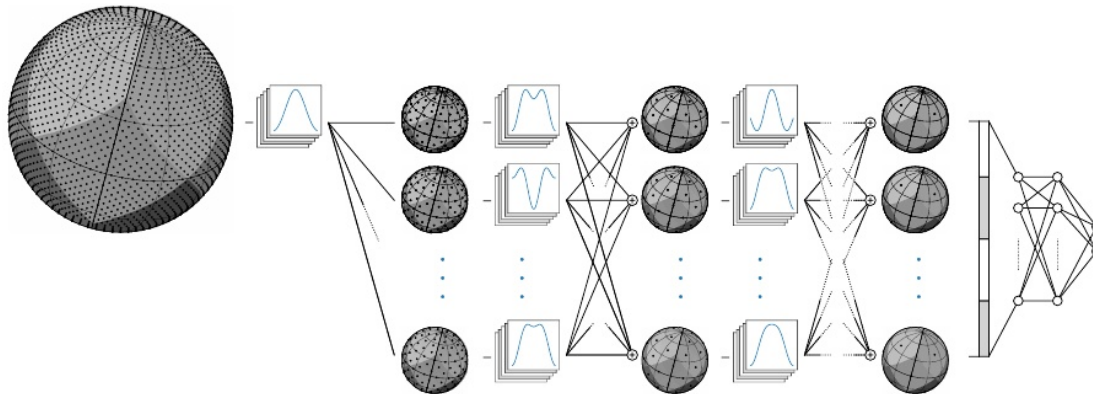
- (Graph) convolution in spectral domain
smooth, localized filter \rightarrow Chebychev expansion
Example: DeepSphere, for spherical data
- HEALPix pixelization defines graph structure
- based on fixed pixels (useful for sensor configurations)

$$f * w = \Phi \hat{W} \Phi^T f$$

filter adaptive in
spectral (Fourier)
domain



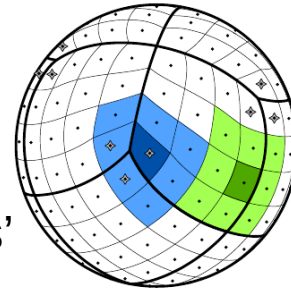
constructed graph



N. Perraudin et al., 10.1016/j.ascom.2019.03.004

N. Krachmalnicoff et al.,
A&A 628, A129 (2019)

Hybrid approach:
‘Indexed Conv’
Define ‘HEALPix filters’

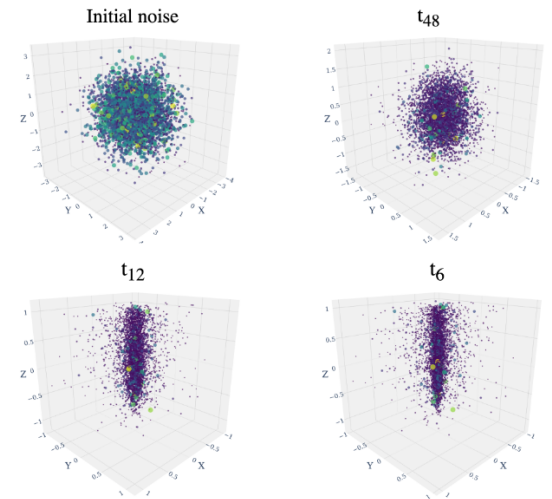


Application to search for
UHECR sources:

O. Kalashev et al.,
10.1088/1475-7516/2020/11/005

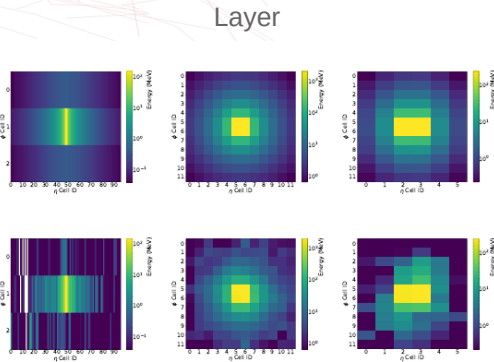
Application in Particle Physics

- Detector simulation are very time consuming
 - ◆ accelerated (10^3 – 10^5) using generative models
- Conditioned on the physics observables
 - ◆ e.g., (energy, particle type, arrival direction)
- Samples must comply with physics laws
- Samples have to follow phase space density → usually no cherry-picking

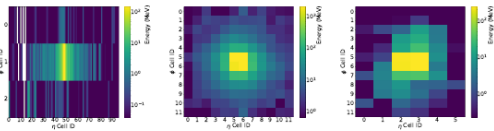


Buhmann et al., ArXiv/2305.04847

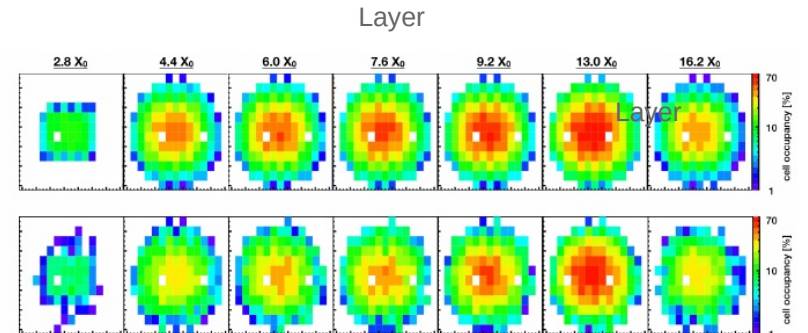
Geant4



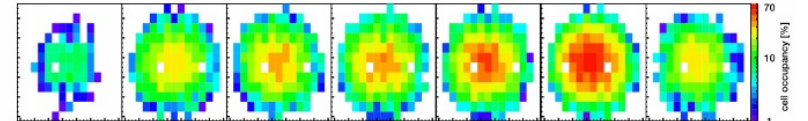
GAN



Geant4



WGAN



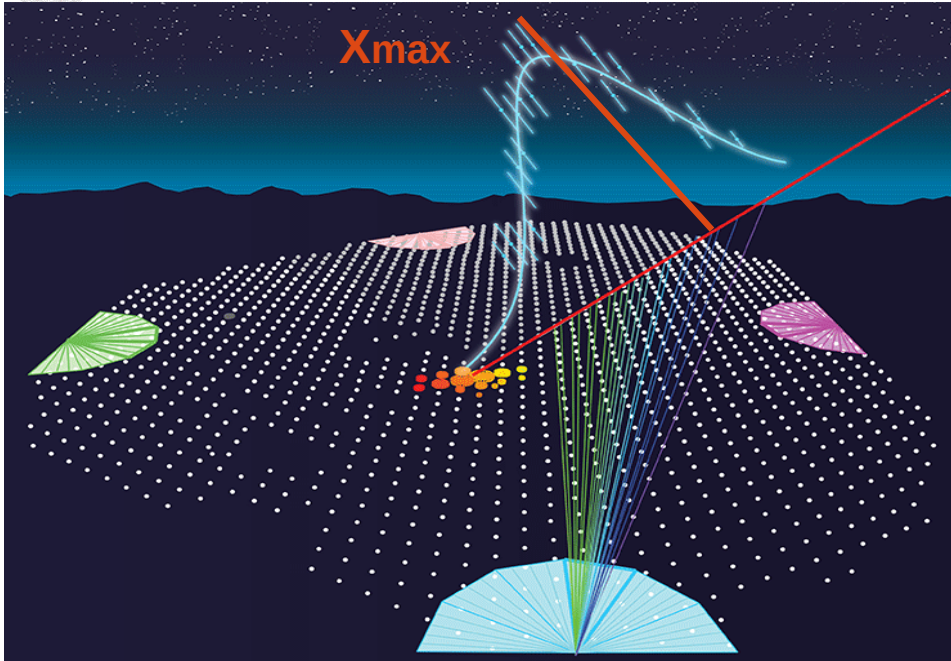
Paganini, Oliviera, Nachman - Phys. Rev. D 97, 014021 (2018)

Erdmann, Glombitza, Quast - T. Comput Softw Big Sci (2019) 3: 4

Astroparticle physics detectors



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Fluorescence Detector (FD)

- 27 telescopes
- ♦ located at 4 sites
- ♦ ~15% duty cycle

The Pierre Auger Cosmic Ray Observatory



Surface Detector (SD)

1660 water-Cherenkov detector stations

- **3000 km² array**, ~100% duty cycle
- Measure **arrival time distribution of particles**

Astroparticle physics detectors



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Size of Auger projected on Sicily
Distance from Trapani to Airport ~60 km

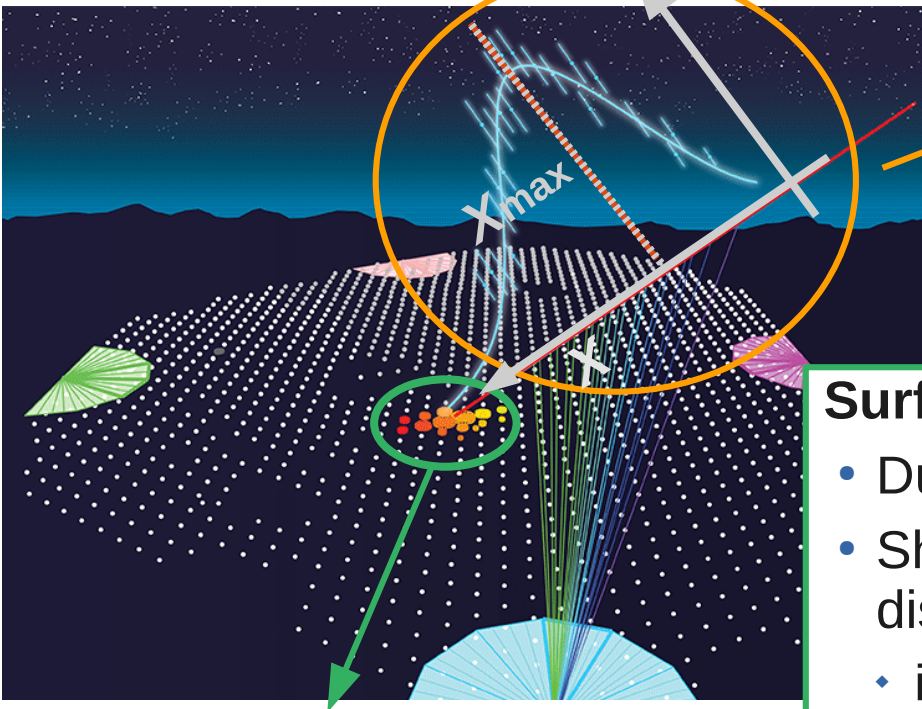
The Pierre Auger Cosmic Ray Observatory



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- Measure **arrival time distribution of particles**

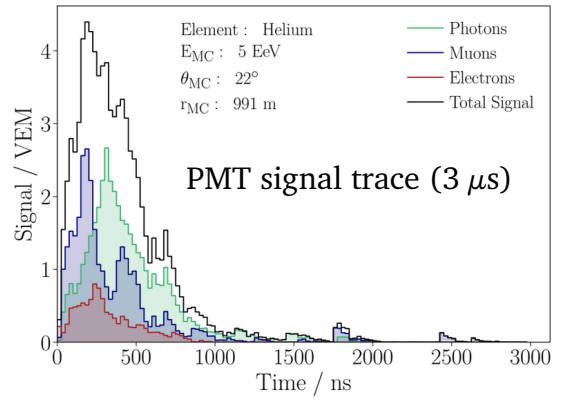
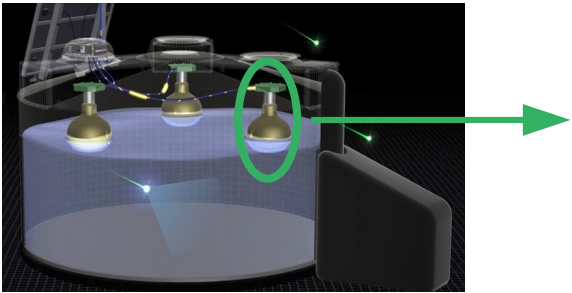
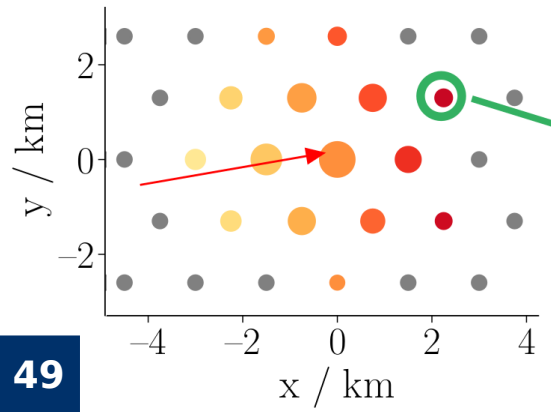


Fluorescence Detector (FD)

- Duty cycle ~15%
- Observe longitudinal shower profile
 - ◆ direct measurement of X_{\max}

Surface Detector (SD):

- Duty cycle ~100%
- Shower development encoded in arrival time distribution of secondary particles
 - ◆ indirect observation → exploit using deep learning

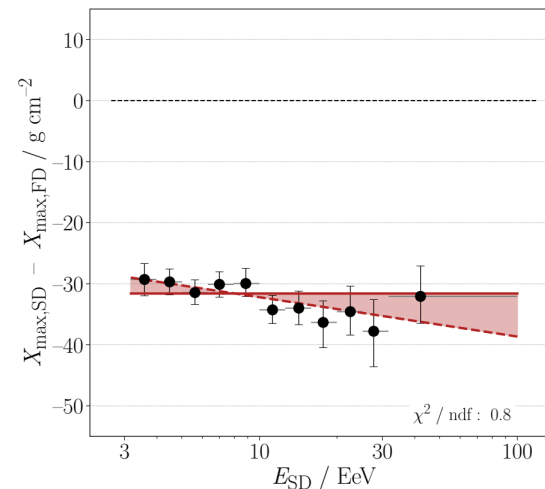
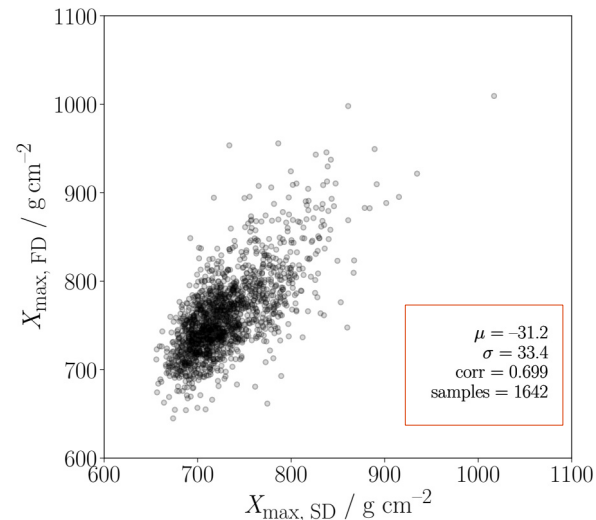


Application to hybrid data

Calibration of DNN predictions using hybrid data

- **correlation 0.7** (>0.6 when correcting for elongation rate)
- **matches** expectations from simulation (0.73)
- resolution: 40 → 20 g/cm²
- **$X_{\max}(\text{SD}) - X_{\max}(\text{FD})$: bias of -30 g/cm²**
 - ◆ larger than expected from simulation studies
 - ◆ bias can be due to 'muon puzzle' / detector simulations
 - ◆ perform energy-independent calibration

First application to hybrid data: [JINST 16 P07019 \(2021\)](#)



Generative Models

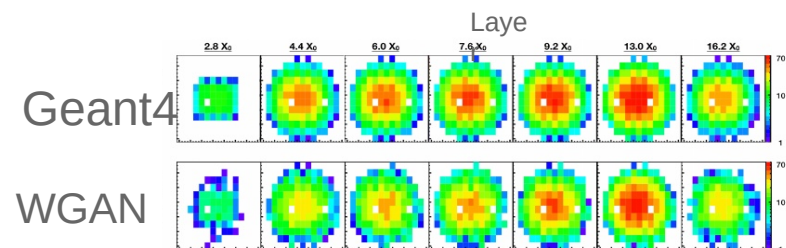
Which picture is generated?
Which is a real image ?

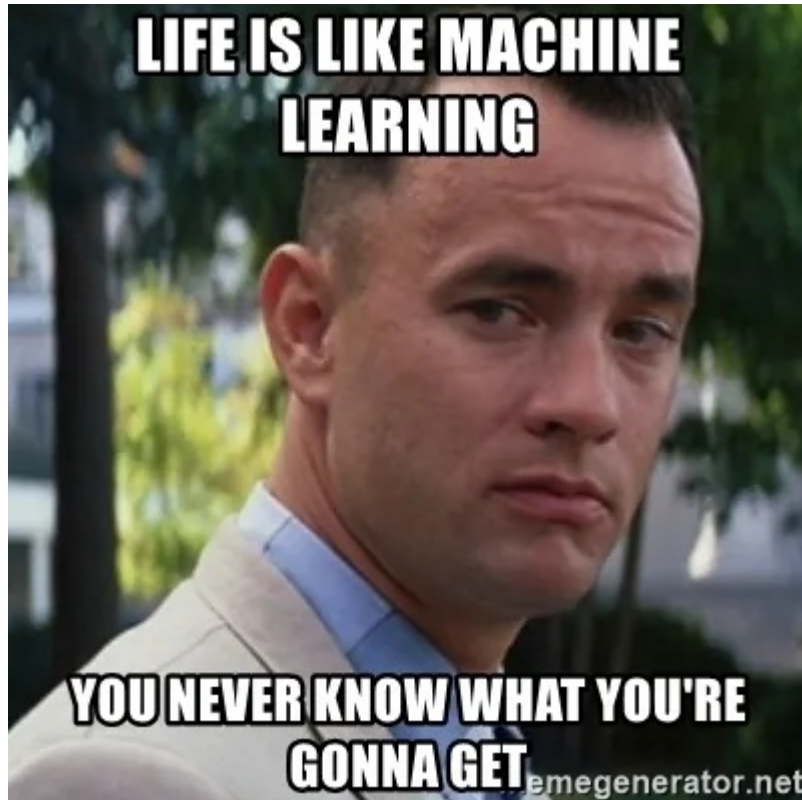
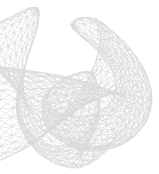


T. Karras et al. - <https://arxiv.org/abs/1812.04948>

<https://poloclub.github.io/ganlab/>

- Approximation of simulation / physics process
- Unsupervised training of *generative models*
- New opportunities for:
 - ◆ Tractable likelihoods
 - ◆ Differential simulations
 - ◆ Fast simulations





Can we generate images with distinct physical properties?



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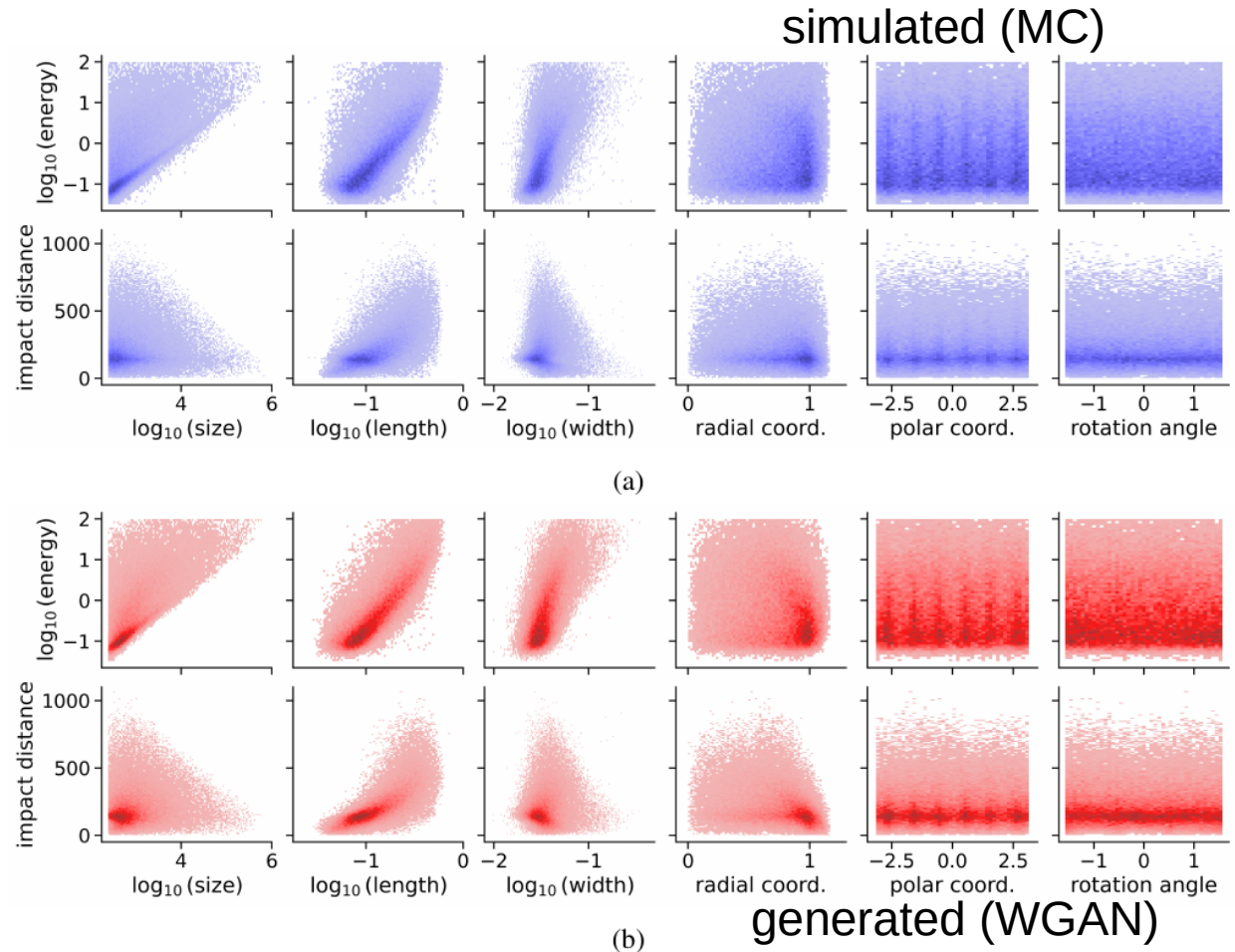


Test: “classic”
compare parameter
correlation w.r.t.

- Impact distance
- Energy

(set in CORSIKA)
(input to generator)

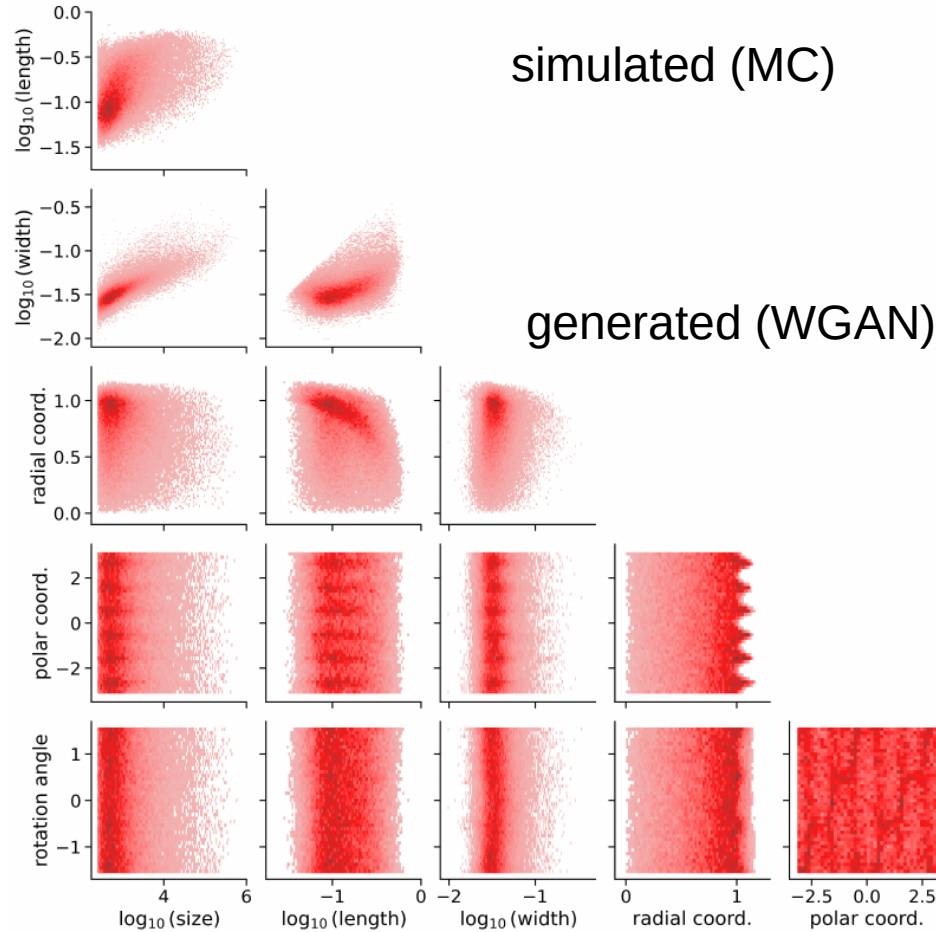
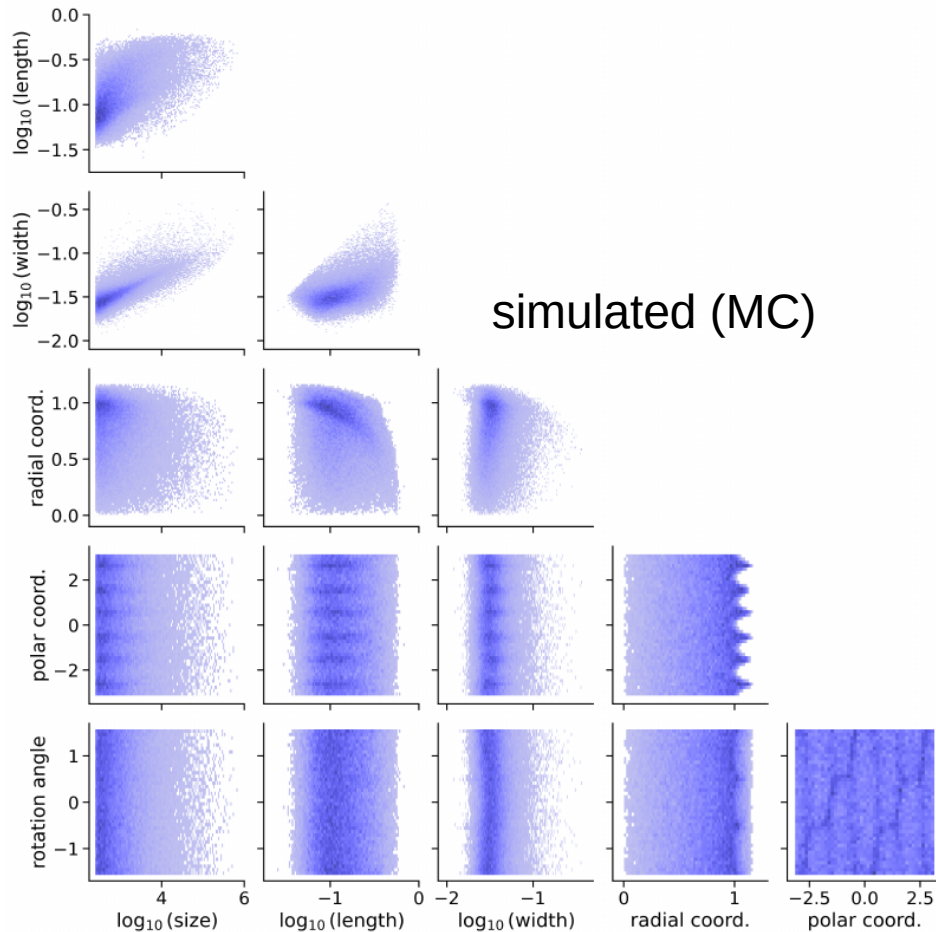
Correlations are very similar!



Correlation of Hillas parameters



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Correlations are very similar!