

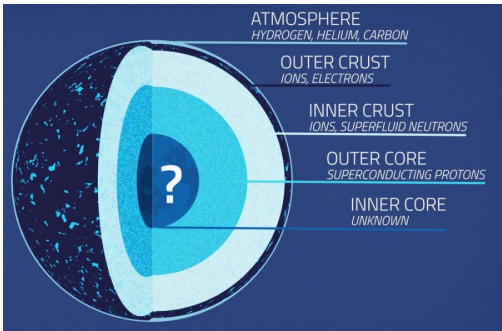
Adiabatic Ground States in Non-smooth Spacetimes

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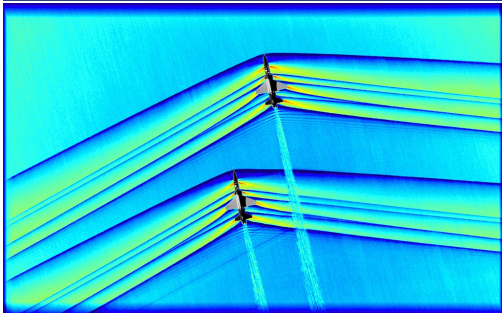
QGSKY meeting, Genova 2023

Waves Breaking against the Wind, J.M.W. Turner, 1840, Photo © Tate, CC BY-NC-ND

Why **non-smooth** spacetimes?



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¹ NASA's Goddard Space Flight Center / Conceptual Image Lab
² NASA

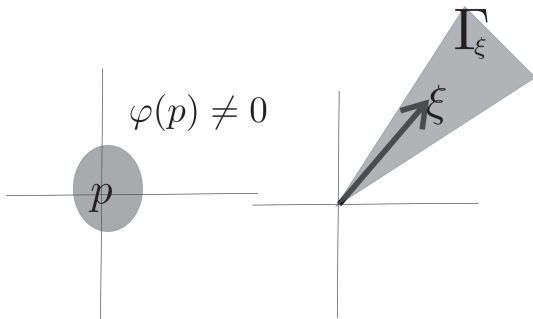
$$G_{\mu\nu} = \frac{8\pi G}{c^4} \langle \hat{T}_{\mu\nu} \rangle_{\psi}$$

Sobolev Wavefront Set and

Non-Smooth Ψ DOs.

H^s – wavefront set :

$$(p, \xi) \notin WF^s(u) \iff \int_{\Gamma_\xi} (1+|\chi|^2)^s |\widehat{\varphi}u(\chi)|^2 d^n \chi < \infty$$



$$(p, \xi) \notin WF^s(u) \iff u \in H_{mcl}^s(p, \xi)$$

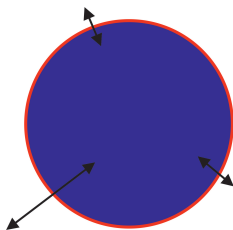
Properties:

$$- WF^s(u) \subset T^*(M) \setminus \{0\}$$

$$- WF^s(u) = \emptyset \iff u \in H_{loc}^s$$

$$\text{Example: } WF^s(\chi_{D^1}) \subseteq N^*S^1$$

$$\chi_{D^1} = \begin{cases} 1 & (x, y) \in D^1 := \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 \leq 1\} \\ 0 & \text{else} \end{cases}$$



Non-smooth symbols

A **symbol** $p(x, \xi) \in C^\tau S_{1,\delta}^m(\mathbb{R}^n \times \mathbb{R}^n)$ if and only if,

$$\|D_\xi^\alpha p(\cdot, \xi)\|_{C^\tau} \leq C_\alpha \langle \xi \rangle^{m-|\alpha|+\tau\delta} \text{ for } |\alpha| \geq 0.$$

$$|f|_{C^{0,\beta}} = \sup_{x \neq y} \frac{|f(x) - f(y)|}{\|x - y\|^\beta}, \beta \in (0, 1)$$

$$\|f\|_{C^{\tau=k+\beta}} = \|f\|_{C^k} + \max_{|\rho|=k} |D^\rho f|_{C^{0,\beta}}$$

Non-smooth ΨDO

The ΨDO $op(p)$ associated to $p(x, \xi) \in C^\tau S_{1,\delta}^m$ is

$$(op(p)u)(x) = \int_{\mathbb{R}^n} e^{ix \cdot \xi} p(x, \xi) \hat{u}(\xi) d\xi$$

Mapping properties:

$$op(p) : H^{s+m} \rightarrow H^s$$

for $-\tau(1 - \delta) < s < \tau$.

$m > 0$ loses regularity; $m < 0$ gains regularity.

Symbol decomposition: $p(x, \xi) \in C^\tau S_{1,0}^m$

$$p(x, \xi) = \underbrace{p^\#(x, \xi)}_{\text{smooth}} + \underbrace{p^b(x, \xi)}_{\text{non-smooth, but lower order}}$$

$$p^\#(x, \xi) \in S_{1,\delta}^m$$

$$p^b(x, \xi) \in C^\tau S_{1,\delta}^{m-\tau\delta}; \delta \in (0, 1)$$

Example: The Klein-Gordon Operator

$$p_{KG}(t, x, \xi_0, \xi) = (-\xi_0^2 + h^{ij}(x)\xi_i\xi_j) + i\frac{1}{\sqrt{h}}\partial_{x^i}(h^{ij}\sqrt{h}(x))\xi_j + m^2$$

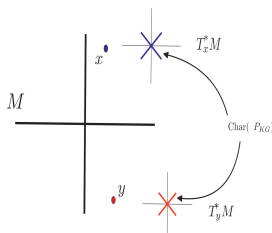
$$h^{ij} \in C^\tau, p(t, x, \xi_0, \xi) \in C^{\tau-1}S_{1,0}^2$$

$$op(p_{KG}) = \partial_{tt} - \Delta_h + m^2 := P_{KG}$$

Example: The Klein-Gordon Operator

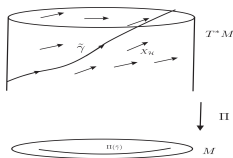
$$p_{KG}(t, x, \xi_0, \xi) = \underbrace{(-\xi_0^2 + h^{ij}(x)\xi_i\xi_j)}_{\mathcal{H}(t, x, \xi_0, \xi)} + i \frac{1}{\sqrt{h}} \partial_{x^i} (h^{ij} \sqrt{h}(x)) \xi_j + m^2$$

$$\text{Char}(P_{KG}) := \{(t, x, \xi_0, \xi) \in T^*M \setminus \{0\} : \mathcal{H}(t, x, \xi_0, \xi) = 0\}$$



$$X_{\mathcal{H}} = (\partial_{\xi_0} \mathcal{H}, \partial_{\xi} \mathcal{H}, -\partial_t \mathcal{H}, -\partial_x \mathcal{H})$$

A single flow line in $\text{Char}(P_{KG})$ is called a **null bicharacteristic strip**.



The **bicharacteristic relation** C is defined as:

$$C = \left\{ (\tilde{x}, \tilde{\xi}, \tilde{y}, \tilde{\eta}) \in \text{Char}(P_{KG}) \times \text{Char}(P_{KG}), \right. \\ \left. (\tilde{x}, \tilde{\xi}) \text{ and } (\tilde{y}, \tilde{\eta}) \text{ lie on the same null bicharacteristic strip} \right\}$$

where $\tilde{x} = (t, x)$, $\tilde{\xi} = (\xi_0, \xi)$, $\tilde{y} = (s, y)$, $\tilde{\eta} = (\eta_0, \eta)$.

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where $\tilde{x} = (t, x)$, $\tilde{\xi} = (\xi_0, \xi)$, $\tilde{y} = (s, y)$, $\tilde{\eta} = (\eta_0, \eta)$.

$$(\tilde{x}, \tilde{\xi}, \tilde{y}, \tilde{\eta}) \in C \iff$$

\exists null geodesic γ such that

$$\tilde{\gamma}(a) = \tilde{x}, g(\cdot, \dot{\tilde{\gamma}})|_{T_{\tilde{x}}M} = \tilde{\xi}; \tilde{\gamma}(b) = \tilde{y}, g(\cdot, \dot{\tilde{\gamma}})|_{T_{\tilde{y}}M} = \tilde{\eta}$$

Propagation of singularities. (Taylor)

Let $P_{KG}(x, \xi) \in C^1 S_{1,0}^2$, γ a null bicharacteristic strip, V a neighbourhood of γ .

If $v \in D'(X)$ solves

$$P_{KG}v = f \iff P_{KG}^\# v = g := f - P_{KG}^b v$$

If $g \in H_{mcl}^\sigma(V)$, $\gamma(0) \in WF^{\sigma+1}(v)$ then
 $\gamma \in WF^{\sigma+1}(v)$.

Adiabatic Ground States

A quasifree state ω_N is an **adiabatic state** of order N if its two-point function Λ_{2N} satisfies for all $s \leq N + \frac{3}{2}$

$$WF'^s(\Lambda_{2N}) \subset C^+$$

$$C^+ = \{(\tilde{x}, \tilde{\xi}, \tilde{y}, \tilde{\eta}) \in C; \tilde{\xi}^0 \geq 0, \tilde{\eta}^0 \geq 0\},$$

where

$$WF'(\Lambda_{2N}) := \{(\tilde{x}, \tilde{\xi}; \tilde{y}, -\tilde{\eta}) \in T^*(M \times M); (\tilde{x}, \tilde{\xi}; \tilde{y}, \tilde{\eta}) \in WF(\Lambda_{2N})\}$$

Ground State

Ultrastatic setting: $M = \mathbb{R} \times \Sigma$, Σ compact

$$ds^2 = dt^2 - h_{ij}(x)dx^i dx^j$$

Klein-Gordon operator: $\partial_{tt} - \Delta_h + m^2$

The **ground state**, ω_g , is completely determined by its two-point function

$$\omega_g^{(2)}(t, x; s, y) = \sum_{l \in \mathbb{N}} \frac{e^{i\lambda_l(t-s)} \phi_l(x) \phi_l(y)}{\lambda_l}$$

The eigenvalues of $-\Delta_h \phi + m^2$ are $\{\lambda_j^2\}_{j \in \mathbb{N}}$ and the set of eigenvectors $\{\phi_l\}$.

Theorem

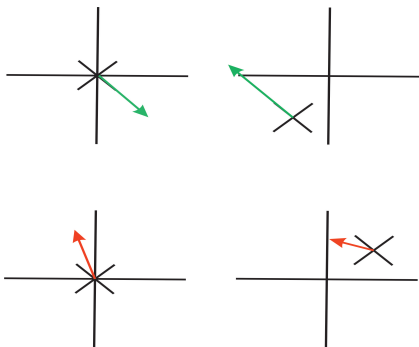
(Schrohe, - '22) Let (M, g) be a C^τ ultrastatic spacetime with $\tau > 2$, $\dim M = 4$ and $\omega_g^{(2)}$ the two-point function of the ground state. Then $WF'^{-\frac{3}{2}+\tau-\tilde{\epsilon}}(\omega_g^{(2)}) \subset C^+$ for every $\tilde{\epsilon} > 0$

If the spacetime is smooth, then the ground states are adiabatic states of infinite order (Hadamard states).

Lemma

For any $\tilde{\epsilon} > 0$

$$WF^{-\frac{1}{2}-\tilde{\epsilon}+\tau}(\omega_G^{(2)}) \subset \text{Char}(P_{KG}) \times \text{Char}(P_{KG}).$$

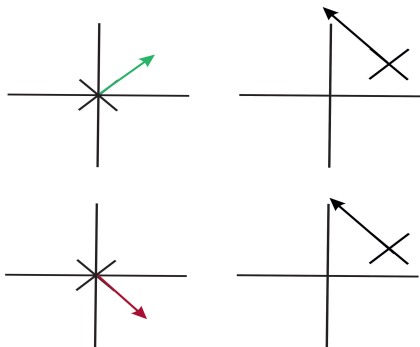


Idea: Consider $P_{KG}^{(t,x)} \omega^{(2)} = 0$, $op(\frac{1}{p_{KG}^\#})$, $\text{Char}(p_{KG}^\#) = \text{Char}(P_{KG})$
 and mapping properties of p_{KG}^b

Lemma

(Positivity) For all $s \in \mathbb{R}$,

$$WF^s(\omega_g^{(2)}) \subset \{(\tilde{x}, \tilde{\xi}, \tilde{y}, \tilde{\eta}) \in T^*(M \times M); \tilde{\xi}^0 > 0\}$$



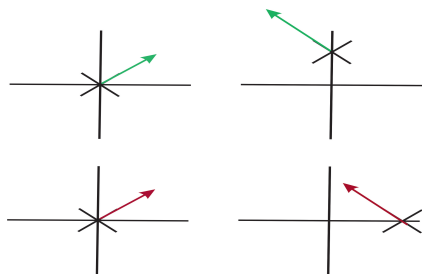
We define $F : \mathbb{R} + i]0, \epsilon_1[\subset \mathbb{C} \rightarrow \mathcal{D}'(\Sigma \times M)$ for $\epsilon_1 > 0$ by

$$F(z) := F(t, \epsilon) = \sum_j e^{i(t+i\epsilon)\lambda_j} e^{-is\lambda_j} \phi_j(x) \phi_j(y).$$

Then, F is holomorphic and $\lim_{\epsilon \rightarrow 0} F = \omega_g^{(2)}$.

Lemma

Let $(\tilde{x}, \tilde{y}) \in M \times M$ be such that \tilde{x} and \tilde{y} are not causally related, i.e. $\tilde{x} \notin J(\tilde{y})$. Then $(\tilde{x}, \tilde{\xi}, \tilde{y}, \tilde{\eta}) \notin WF^{-\frac{1}{2}-\epsilon+\tau}(\omega_g^{(2)})$.



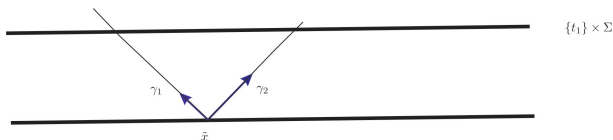
Idea: $\omega_G^{(2)}|_{\mathcal{Q}}$, \mathcal{Q} the set of pairs of causally separated points, "flip" map $\rho(\tilde{x}, \tilde{y}) = (\tilde{y}, \tilde{x})$, $\rho^*\omega_G^{(2)}|_{\mathcal{Q}} = \omega_G^{(2)}|_{\mathcal{Q}}$, wavefront set of the pullback. $N_{\pm} := \{(t, x, \xi_0, \xi) \in \text{Char}(P_{KG}); \pm\xi_0 > 0\}$

$$N_+ \times N_- \supset WF^{-\frac{1}{2}-\epsilon+\tau}(\omega_G^{(2)}|_{\mathcal{Q}}) = WF^{-\frac{1}{2}-\epsilon+\tau}(\rho^*\omega_G^{(2)}|_{\mathcal{Q}}) \\ \subset \rho^*WF^{-\frac{1}{2}-\epsilon+\tau}(\omega_G^{(2)}|_{\mathcal{Q}}) \subset \rho^*(N_+ \times N_-) = N_- \times N_+$$

Lemma

If $(\tilde{x}, \tilde{\xi}, \tilde{x}, \tilde{\eta}) \in WF^{-\frac{3}{2}+\tau-\tilde{\epsilon}}(\omega_g^{(2)})$ for $\tilde{\epsilon} > 0$. Then $\tilde{\eta} = -\tilde{\xi}$.

Let $(\tilde{x}, \tilde{\xi}, \tilde{x}, \tilde{\eta}) \in WF^{-\frac{3}{2}-\epsilon+\tau}(\omega_G^{(2)})$ with $\tilde{\eta} \neq \lambda\tilde{\xi}$ then
 $(\gamma(\tilde{x}, \tilde{\xi}), \gamma(\tilde{x}, \tilde{\eta})) \in WF^{-\frac{3}{2}-\epsilon+\tau}(\omega_G^{(2)})$ ($P^b\omega_G^{(2)} \in H^{-\frac{5}{2}-\epsilon+\tau=\sigma}$).

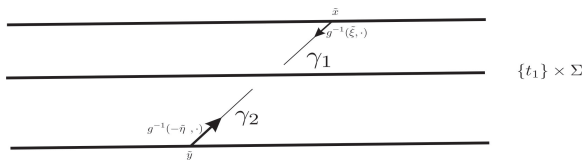


Exists $\{t_1\} \times \Sigma$ where $\Pi\gamma(\tilde{x}, \tilde{\xi}) = (t_1, w_1)$, $\Pi\gamma(\tilde{x}, \tilde{\eta}) = (t_1, w_2)$ are causally separated !

Then $\tilde{\eta} = \lambda\tilde{\xi}$, $\lambda \in \mathbb{R}$.

From $(\partial_t + \partial_s)\omega_G^{(2)} = 0$ (stationary) we have $\lambda = -1$.

Proof of the Theorem: Let $(\tilde{x}, \tilde{\xi}, \tilde{y}, -\tilde{\eta}) \in WF^{-\frac{3}{2}-\epsilon+\tau}(\omega_G^{(2)})$ then
 $(\gamma(\tilde{x}, \tilde{\xi}), \gamma(\tilde{y}, -\tilde{\eta})) \in WF^{-\frac{3}{2}-\epsilon+\tau}(\omega_G^{(2)})$ and
 $(t_1, w_1, \chi, t_1, w_1, -\chi) \in WF^{-\frac{3}{2}-\epsilon+\tau}(\omega_G^{(2)})$



Define

$$\tilde{\gamma}(\lambda) = \begin{cases} \gamma_1(\lambda) := \Pi(\gamma(\tilde{x}, \tilde{\xi}))(\lambda) & \lambda \in (-\infty, t_1] \\ -\gamma_2(\lambda) := -\Pi(\gamma(\tilde{y}, -\tilde{\eta}))(\lambda) = \Pi(\gamma(\tilde{y}, \eta))(\lambda) & \lambda \in (t_1, \infty) \end{cases}$$

where $-\gamma_2$ denotes the curve with opposite orientation.

This implies $(\tilde{x}, \tilde{\xi}, \tilde{y}, \tilde{\eta}) \in C$. Hence, $WF'^{-\frac{3}{2}-\epsilon+\tau}(\omega_G^{(2)}) \subset C$.

Combining this with positivity and $(\partial_t + \partial_s)\omega_G^{(2)} = 0$ we obtain

$$WF'^{-\frac{3}{2}-\epsilon+\tau}(\omega_G^{(2)}) \subset C^+. \blacksquare$$

Thank you.

Let $\{\psi_j; j = 0, 1, \dots\}$ be a Littlewood-Paley partition of unity on \mathbb{R}^n , i.e., a partition of unity $1 = \sum_{j=0}^{\infty} \psi_j$, where $\psi_0 \equiv 1$ for $|\xi| \leq 1$ and $\psi_0 \equiv 0$ for $|\xi| \geq 2$ and $\psi_j(\xi) = \psi_0(2^j \xi) - \psi_0(2^{j-1} \xi)$. The support of ψ_j , $j \geq 1$, then lies in an annulus around the origin of interior radius 2^{j-1} and exterior radius 2^j .

Given $p(x, \xi) \in C^r S_{1,0}^m$ and $\gamma \in (0, 1)$ let

$$p^\#(x, \xi) = \sum_{j=0}^{\infty} J_{\epsilon_j} p(x, \xi) \psi_j(\xi). \quad (1)$$

Here J_ϵ is the smoothing operator given by $(J_\epsilon f)(x) = (\phi(\epsilon D)f)(x)$ with $\phi \in C_0^\infty(\mathbb{R}^n)$, $\phi(\xi) = 1$ for $|\xi| \leq 1$, and we take $\epsilon_j = 2^{-j\gamma}$.

Lemma

$WF^{-\frac{1}{2}-\epsilon}(\omega_g^{(2)}) = \emptyset$ for every $\epsilon > 0$.

For

$$u(t, s, x, y) = \sum_{j,k} u_{jk}(t, s) \phi_j(x) \phi_k(y) \quad \text{with } u_{jk} = \langle u, \phi_j \otimes \phi_k \rangle$$

$$u \in H^s(\mathbb{R}^2 \times \Sigma^2)$$

$$\iff \sum_{j,k} \int_{\mathbb{R}^2} (\xi_0^2 + \eta_0^2 + \lambda_j^2 + \lambda_k^2)^s |(\mathcal{F}u_{jk})(\xi_0, \eta_0)|^2 d\xi_0 d\eta_0 < \infty \}.$$

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$$\omega_g^{(2)}(t, x; s, y) = \sum_{l \in \mathbb{N}} \frac{e^{i\lambda_l(t-s)} \phi_l(x) \phi_l(y)}{\lambda_l}$$

$$\|\omega_g^{(2)}\|_{H_{loc}^{-\frac{1}{2}-\epsilon}(M \times M)}^2 \leq \sum_l \frac{C}{\lambda_l^{3+\frac{2}{3}\epsilon}} \underbrace{\leq}_{\text{Weyl's law}} \sum_l \frac{C'}{l^{1+\epsilon}} < \infty$$