

Naturalness. Higgs mass, cosmological constant and Physical Tuning

Filippo Contino

Department of Physics and Astronomy “E. Majorana”
University of Catania and INFN, Italy

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C. Branchina, V. Branchina, FC, PRD 107 (2023) 9, 096012

see also: C. Branchina, V. Branchina, FC, N. Darvishi, PRD 106 (2022) 6, 065007

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Effective Field Theory and Standard Model

QFT contains an **ultimate scale** $\Lambda_{phys} \Rightarrow \mathcal{L}_{\Lambda_{phys}}$
(For notational convenience : $\Lambda_{phys} \rightarrow \Lambda$)

Below Λ : Effective Field Theory (EFT): ok \mathcal{L}_Λ
Above Λ : UV completion needed : 

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STANDARD MODEL

- Higgs boson: **un-suppressed** quantum fluctuations $\Rightarrow m_H^2 \sim \Lambda^2$
“**Quadratic sensitivity**” to the ultimate scale of the theory
Note: $m_H^2 \sim \Lambda^2$ is $m_H^2(\mu)$ at $\mu = \Lambda$

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- If Λ too large $\Rightarrow m_H^2(\Lambda)$ “**unnaturally**” large
 \Rightarrow problem of “**hierarchy**” with Fermi scale μ_F
where $m_H^2(\mu_F) \sim (125 \text{ GeV})^2$

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 where $m_H^2(\mu_F) \sim (125 \text{ GeV})^2$
- **Several attempts** to “solve” this naturalness/hierarchy (NH) problem.
 Let’s focus on some of them ...

RG equation for $m_H^2(\mu)$

$$\mu \frac{d}{d\mu} m_H^2(\mu) = \gamma m_H^2(\mu) \quad (1)$$

1. **Quantum Gravity Miracle** - UV completion of SM provides $m_H^2(\Lambda) \ll \Lambda^2$.
Naturalness “solved” outside SM. Hierarchy inside SM with (1).
Perturbative RG: $\gamma \ll 1 \Rightarrow m_H^2(\mu_F)$ and $m_H^2(\Lambda)$ **same order!**

Giudice, PoS EPS-HEP2013, 163 (2013)

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2. **Self-organized criticality** - Assume **gravity** provides **non-perturbative** $\gamma (\sim 2)$. **Large hierarchy** between Fermi scale μ_F and UV scale Λ **accommodated** \Rightarrow **no NH problem**

Pawlowski, Reichert, Wetterich, Yamada, Phys. Rev. D99, 086010 (2019)

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3. **Dimensional regularization** - DR endowed with special physical properties \Rightarrow NH problem absent from the beginning

These approaches **cannot solve** the NH problem

Why?

The EFT nature of the SM **not properly** and **fully** taken into account

Statement: **The SM in an Effective Theory**

Meaning:

- (A) the parameters (masses, couplings) $g_i(\Lambda)$ in $\mathcal{L}_{SM}^{(\Lambda)}$ result from **integrating out** the **higher energy dof** related to the **UV completion** of the SM
- (B) the same parameters $g_i(\mu)$ at scales $\mu < \Lambda$ result from **integrating out** the modes of the **fields that appear in** $\mathcal{L}_{SM}^{(\Lambda)}$ in the range $[\mu, \Lambda]$.

Wilson's Lesson

Wilson RG equations - One component Scalar Theory

Action $S_\Lambda[\Phi] = \int d^4x \mathcal{L}_\Lambda$ with $\Phi(x) = \sum_{0 < |p| < \Lambda} \varphi_p e^{ipx}$

$$\Phi(x) = \varphi(x) + \varphi'(x); \quad \varphi(x) = \sum_{0 < |p| < k} \varphi_p e^{ipx} \quad \varphi'(x) = \sum_{k < |p| < \Lambda} \varphi_p e^{ipx}$$

Wilsonian Action at $k < \Lambda$ $S_k[\varphi] \iff e^{-S_k[\varphi]} \equiv \int D[\varphi'] e^{-S_\Lambda[\varphi+\varphi']}$

Wilsonian Action at $k - \delta k$ $S_{k-\delta k}[\varphi] \iff e^{-S_{k-\delta k}[\varphi]} = \int D[\varphi'] e^{-S_k[\varphi+\varphi']}$

$$\varphi(x) = \sum_{0 < |p| < k - \delta k} \varphi_p e^{ipx} \quad \varphi'(x) = \sum_{k - \delta k < |p| < k} \varphi_p e^{ipx}$$

Legendre Effective Action $\Gamma[\varphi] = S_{k=0}[\varphi]$; Action $S_\Lambda[\varphi] = S_{k=\Lambda}[\varphi]$

$$S_{k-\delta k}[\varphi] = -\ln \left(\int D[\varphi'] e^{-S_k[\varphi+\varphi']} \right)$$

$$\varphi(x) = \sum_{0 < |p| < k - \delta k} \varphi_p e^{ipx} \quad \varphi'(x) = \sum_{k - \delta k < |p| < k} \varphi_p e^{ipx}$$

$$S_k[\varphi] = \int d^4x \left(U_k(\varphi) + \frac{Z_k(\varphi)}{2} \partial_\mu \varphi \partial_\mu \varphi + Y_k(\varphi) (\partial_\mu \varphi \partial_\mu \phi)^2 + W_k(\varphi) (\varphi \partial_\mu \partial_\mu \varphi)^2 + \dots \right)$$

Local Potential Approximation $Z_k(\varphi) = 1$, $Y_k(\varphi) = W_k(\varphi) = \dots = 0$

Homogeneous background $\varphi(x) = \varphi_0$ $\left(U''_k(\varphi) \equiv \frac{\partial^2 U_k(\varphi)}{\partial \varphi^2} \right)$

Limit $\delta k \rightarrow 0$: **Wegner-Houghton equation**

$$k \frac{\partial}{\partial k} U_k(\varphi_0) = -\frac{k^4}{16\pi^2} \ln \frac{k^2 + U''_k(\varphi_0)}{k^2 + U''_k(0)}$$

Non-perturbative RG equation for $U_k(\varphi_0)$. Inserting in this equation the polynomial expansion ($Z(2)$ symmetry $\varphi_0 \rightarrow -\varphi_0$ assumed)

$$U_k(\varphi_0) = \frac{1}{2} m_k^2 \varphi_0^2 + \frac{\lambda_k}{4!} \varphi_0^4 + \frac{\lambda_k^{(6)}}{6!} \varphi_0^6 + \frac{\lambda_k^{(8)}}{8!} \varphi_0^8 + \dots$$

⇒ **RG Equations for the couplings** (here for $d = 4$, but more general)

$$k \frac{dm_k^2}{dk} = -\frac{k^4}{16\pi^2} \frac{\lambda_k}{k^2 + m_k^2}$$

$$k \frac{d\lambda_k}{dk} = -\frac{k^4}{16\pi^2} \left[\frac{\lambda_k^{(6)}}{k^2 + m_k^2} - 3 \frac{\lambda_k^2}{(k^2 + m_k^2)^2} \right]$$

$$k \frac{d\lambda_k^{(6)}}{dk} = -\frac{k^4}{16\pi^2} \left[\frac{\lambda_k^{(8)}}{k^2 + m_k^2} - 15 \frac{\lambda_k \lambda_k^{(6)}}{(k^2 + m_k^2)^2} + 30 \frac{\lambda_k^3}{(k^2 + m_k^2)^3} \right]$$

...

Wilson RG equations for ϕ^4 theory

Wilsonian action $S_k[\phi] = \int d^4x \left(\frac{1}{2} \partial_\mu \phi \partial_\mu \phi + U_k(\phi) \right)$

Truncating the potential $U_k(\phi) = \frac{1}{2} m_k^2 \phi^2 + \frac{1}{4!} \lambda_k \phi^4 \Rightarrow$

$$k \frac{dm_k^2}{dk} = -\frac{k^4}{16\pi^2} \frac{\lambda_k}{k^2 + m_k^2}$$

$$k \frac{d\lambda_k}{dk} = \frac{k^4}{16\pi^2} \frac{3\lambda_k^2}{(k^2 + m_k^2)^2}$$

When $m_k^2 \ll k^2$ in the whole range of integration, well approximated by

$$k \frac{dm_k^2}{dk} = -\frac{\lambda_k}{16\pi^2} k^2 + \frac{\lambda_k}{16\pi^2} m_k^2$$

$$k \frac{d\lambda_k}{dk} = \frac{3\lambda_k^2}{16\pi^2}$$

Taking "SM-like" boundaries, $m(\mu_F) = 125.7$ GeV and $\lambda(\mu_F) = 0.1272$, numerical solutions to the two systems coincide with **great accuracy** (!)

Non-perturbative, excellent approximate solution, obtained replacing
 $\lambda_k \rightarrow \lambda$ in the rhs of the RG equation for m_k^2

$$m^2(\mu) = \left(\frac{\mu}{\Lambda}\right)^{\frac{\lambda}{16\pi^2}} \underbrace{\left(m_\Lambda^2 + \frac{\lambda\Lambda^2}{32\pi^2 - \lambda}\right)}_{\text{UV completion fine-tuning}} - \underbrace{\frac{\lambda\mu^2}{32\pi^2 - \lambda}}_{\text{Quadratic running}}$$

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Contains several lessons

1. Shows how **fine-tuning** operates in **Wilsonian framework**. Boundary at Λ : m_Λ^2 and $\frac{\lambda\Lambda^2}{32\pi^2 - \lambda}$ need to be **fine-tuned** if at μ_F we have $m_{\mu_F} \sim \mathcal{O}(100)$ GeV.

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2. For most of the running towards the IR, **flow dominated by the μ^2 term**. When $\left(m_\Lambda^2 + \frac{\lambda\Lambda^2}{32\pi^2 - \lambda}\right) \sim \frac{\lambda\mu^2}{32\pi^2 - \lambda}$, **first term takes over** (perturbative running)

$$m^2(\mu) = \left(\frac{\mu}{\Lambda}\right)^{\frac{\lambda}{16\pi^2}} \left(m_\Lambda^2 + \frac{\lambda\Lambda^2}{32\pi^2 - \lambda} \right) - \frac{\lambda\mu^2}{32\pi^2 - \lambda}$$

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3. Defining the critical mass $m_{cr}^2(\mu)$ and the combination $m_r^2(\mu)$

$$m_{cr}^2(\mu) \equiv -\frac{\lambda\mu^2}{32\pi^2 - \lambda} \quad \Rightarrow \quad m_r^2(\mu) \equiv m^2(\mu) - m_{cr}^2(\mu)$$

we see that $m_r^2(\mu)$ obeys the RG equation

$(\gamma = \frac{\lambda}{16\pi^2} = \text{mass anomalous dimension at one-loop})$

$$\mu \frac{d}{d\mu} m_r^2(\mu) = \gamma m_r^2(\mu) \quad \Rightarrow \quad m_r^2(\mu) = \left(\frac{\mu}{\mu_0}\right)^{\frac{\lambda}{16\pi^2}} m_r^2(\mu_0)$$

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⇒ The above equation is nothing but the one-loop improved RG equation for the renormalized running mass ⇒ $m_r^2(\mu)$ is the **renormalized running mass**

Comments: We derived the equation

$$\mu \frac{d}{d\mu} m_r^2(\mu) = \frac{\lambda}{16\pi^2} m_r^2(\mu) \quad (2)$$

in the **Wilsonian framework**, namely from the **Wilsonian RG flow equation**

$$\mu \frac{d}{d\mu} m^2(\mu) = -\frac{\lambda}{16\pi^2} \mu^2 + \frac{\lambda}{16\pi^2} m^2(\mu) \quad (3)$$

after subtracting $m_{cr}^2(\mu)$. Usually Eq. (2) derived in the context of "**technical schemes**": **dimensional, heat kernel, zeta function** regularization, ...

This gives **direct access to Eq. (2)**. Info that $m_r^2(\mu)$ is **physically** obtained only after the **subtraction**: $m^2(\mu) \rightarrow m_r^2(\mu) \equiv m^2(\mu) - m_{cr}^2(\mu)$ **lost!**

When quantum fluctuations calculated within the Wilsonian "physical scheme"

⇒ **we see how the renormalized mass emerges**

Questions

Question 1: Should we identify the **physical** running mass $m_{phys}^2(\mu)$ with the original (Wilsonian) $m^2(\mu)$, or with the subtracted (renormalized) $m_r^2(\mu)$?

Running couplings $g_i(\mu) \Leftarrow$ integrating out quantum fluctuations in $[\mu, \Lambda]$
 $g_i(\mu)$: **effective couplings** at the scale μ . **True, in particular, for the mass.**

\Rightarrow Identify $m_{phys}^2(\mu)$ with $m^2(\mu)$ **not with the subtracted** $m_r^2(\mu)$

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Question 2: in QFT textbooks $m_{phys}^2(\mu)$ **usually identified** with $m_r^2(\mu)$!?!?

Let us **focus on** how $m^2(\mu)$ and $m_r^2(\mu)$ **depend** on μ ... and note that:

For **sufficiently low values** of μ (IR regime) $m^2(\mu)$ and $m_r^2(\mu)$ **coincide**

$$\frac{\lambda\mu^2}{32\pi^2 - \lambda} \ll \left(\frac{\mu}{\Lambda}\right)^{\frac{\lambda}{16\pi^2}} \left(m_\Lambda^2 + \frac{\lambda\Lambda^2}{32\pi^2 - \lambda} \right)$$

The above relation shows the **limitations** of the renormalized RG **equation (2)**.
If we are interested in energy scales μ **above this region**, we must go back to the original flow **equation (3)**, that has a **much wider range of validity**

Standard Model - RG Equation for the Higgs mass

$$\mu \frac{d}{d\mu} m_H^2 = \frac{\alpha(\mu)}{16\pi^2} \mu^2 + \gamma(\mu) m_H^2$$

$\alpha(\mu)$: combination of SM couplings (gauge, Yukawa, scalar). At one-loop:

$$16\pi^2 \alpha(\mu) = 12y_t^2 - 12\lambda - \frac{3}{2} g_1^2 - \frac{9}{2} g_2^2$$

$\gamma(\mu)$: mass anomalous dimension

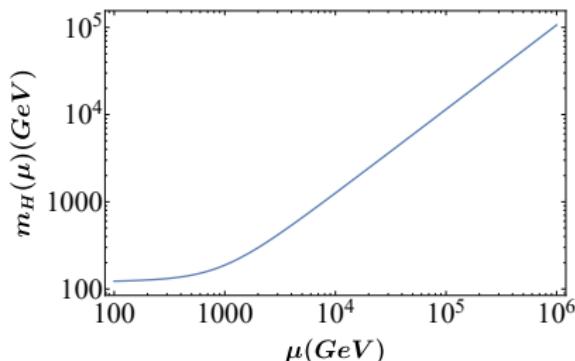
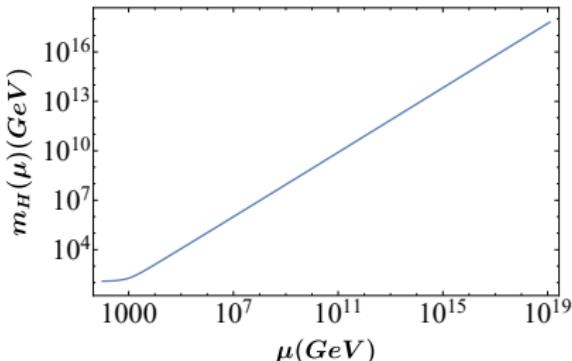
$$16\pi^2 \gamma(\mu) = 6y_t^2 + 12\lambda - \frac{3}{2} g_1^2 - \frac{9}{2} g_2^2$$

Integrating the RG equation for $m_H^2(\mu)$

$$m^2(\mu) = \left(\frac{\mu}{\Lambda}\right)^\gamma \underbrace{\left(m_H^2(\Lambda) - \frac{\alpha\Lambda^2}{2-\gamma}\right)}_{\text{UV completion fine-tuning}} - \underbrace{\frac{\alpha\mu^2}{2-\gamma}}_{\text{Quadratic running}}$$

Very good analytical approximation to the flow

Numerical sol. to RG eq.(4) and Analytical approx. (5): **indistinguishable**



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As for Scalar Theory: **critical mass** $m_{H,\text{cr}}^2(\mu)$ and **subtracted mass** $m_{H,r}^2(\mu)$

$$m_{H,\text{cr}}^2(\mu) \equiv \frac{\alpha \mu^2}{2 - \gamma} \quad \text{and} \quad m_{H,r}^2(\mu) \equiv m_H^2(\mu) - m_{H,\text{cr}}^2(\mu)$$

From which we immediately have

$$\mu \frac{d}{d\mu} m_{H,r}^2(\mu) = \gamma m_{H,r}^2(\mu) \quad \Rightarrow \quad m_{H,r}^2(\mu) = \left(\frac{\mu}{\mu_0} \right)^\gamma m_{H,r}^2(\mu_0)$$

The above equation **coincides** with the one-loop improved RG equation for the renormalized running mass $\Rightarrow m_{H,r}^2(\mu) = \text{renormalized running Higgs mass}$

However the original equation (together with the solution) is

$$\mu \frac{d}{d\mu} m_H^2 = \frac{\alpha(\mu)}{16\pi^2} \mu^2 + \gamma(\mu) m_H^2 \Rightarrow m_H^2(\mu) = \left(\frac{\mu}{\Lambda} \right)^\gamma \left(m_H^2(\Lambda) - \frac{\alpha \Lambda^2}{2 - \gamma} \right) + \frac{\alpha \mu^2}{2 - \gamma}$$

Change in the usual paradigm

$$\mu \frac{d}{d\mu} m_H^2 = \frac{\alpha(\mu)}{16\pi^2} \mu^2 + \gamma(\mu) m_H^2 \quad ; \quad \mu \frac{d}{d\mu} m_{H,r}^2(\mu) = \gamma m_{H,r}^2(\mu)$$

The two flows **coincide** for values of μ such that

$$\frac{\alpha \mu^2}{2 - \gamma} \ll \left(\frac{\mu}{\Lambda}\right)^\gamma \left(m_H^2(\Lambda) - \frac{\alpha \Lambda^2}{2 - \gamma} \right)$$

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Physical Lessons

- **Fine-tuning** of $m_H^2(\Lambda)$ has a profound **physical meaning**: provides the boundary at the UV scale Λ for the RG flow of $m_H^2(\mu)$

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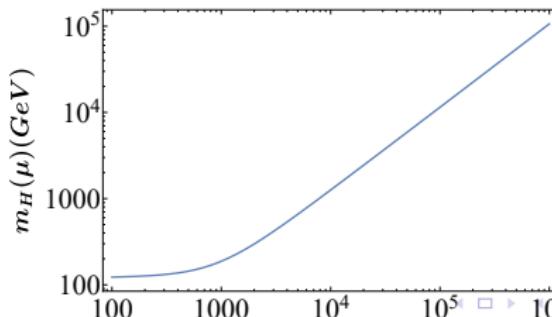
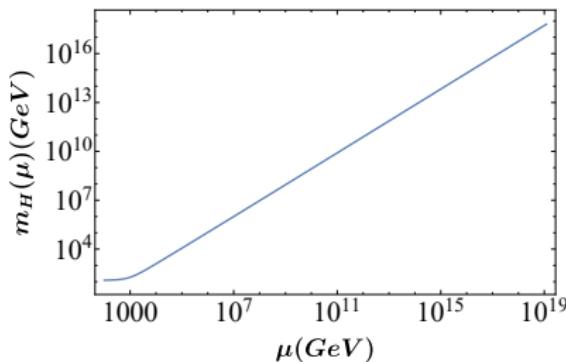
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Physical Lessons

- **Fine-tuning** of $m_H^2(\Lambda)$ has a profound **physical meaning**: provides the boundary at the UV scale Λ for the RG flow of $m_H^2(\mu)$
- **Large hierarchy** between UV and IR values of m_H^2 is **physically necessary**

Change in the usual paradigm

- **Quadratic running** lasts for most of the $m_H^2(\mu)$ flow towards the IR
- **Multiplicative renormalization emerges** flowing towards IR. The “elbow” signals the “transition” additive \rightarrow multiplicative renormalization



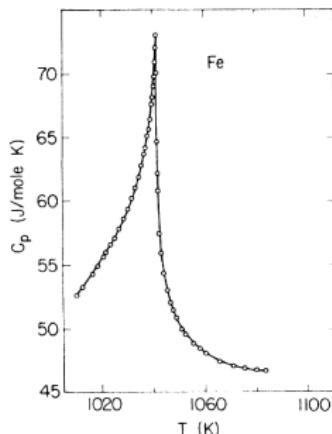
Change in the usual paradigm

Usual **connection** between **QFT** and **Statistical Physics**: correspondence between the request $\xi \gg a$ in the **Theory of Critical Phenomena** (a = lattice spacing, ξ = correlation length) and the request $m^2 \ll \Lambda^2$ in **QFT**

Phrased in RG language → tuning towards the “critical surface”, achieved through the **subtraction of the “critical mass”**: $m_{ren}^2(\mu) = m^2(\mu) - m_{cr}^2(\mu)$

However: $m_{ren}^2(\mu)$ captures the **IR final part** of the running of $m_{phys}^2(\mu)$

Flow **physically meaningful** even far from **critical surface** and **fixed points**



Nice Example. Landau-Ginzburg Theory - Ferromagnetic Transition

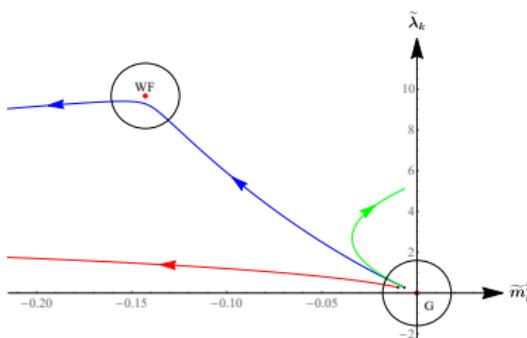
$$F_k[\phi] = \int d^3x \left(\frac{1}{2}(\vec{\nabla}\phi)^2 + U_k(\phi) \right) \quad U_k(\phi) = \frac{1}{2}m_k^2\phi^2 + \frac{\lambda_k}{4!}\phi^4$$

$$k \frac{dm_k^2}{dk} = -\frac{k^3\lambda_k}{4\pi^2(k^2 + m_k^2)} \quad k \frac{d\lambda_k}{dk} = \frac{3k^3\lambda_k^2}{4\pi^2(k^2 + m_k^2)^2}$$

Dimensionless couplings $\tilde{m}_k^2 \equiv k^{-2}m_k^2$ and $\tilde{\lambda}_k \equiv k^{-1}\lambda_k$

$$k \frac{d\tilde{m}_k^2}{dk} = -2\tilde{m}_k^2 - \frac{\tilde{\lambda}_k}{4\pi^2(1 + \tilde{m}_k^2)} \quad k \frac{d\tilde{\lambda}_k}{dk} = -\tilde{\lambda}_k + \frac{3\tilde{\lambda}_k^2}{4\pi^2(1 + \tilde{m}_k^2)^2}$$

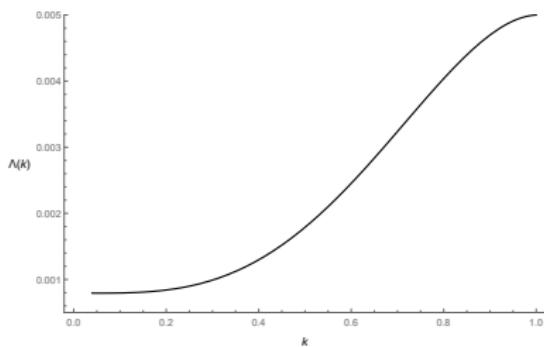
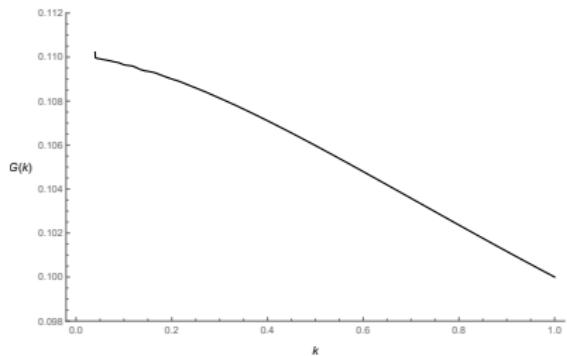
Gaussian G and Wilson-Fisher WF fixed points. G is IR repulsive (UV attractive)



Blue and Red IR flows: Different boundaries in the UV region around G (Green: linearization)
UV linearly divergent boundary ($d=3$) crucial for physics at WF: Ferromagnetic transition

Fine-tuning Physically needed

Physical tuning and Cosmological Constant



$\Lambda_{cc}(\Lambda)$ needs to be **fine-tuned** to obtain $\Lambda_{cc}^{\exp} \sim 10^{-120} M_p^2$ at the Fermi scale

Conclusions and outlook

- Wilson mandatory \Rightarrow Fine-tuning at the UV of the boundaries physically meaningful and mandatory
- IR region **dictated by the UV completion**: the **Theory of Everything**.
- In schemes as DR: direct access to the **renormalized flow**, but **no physical content**

Naturalness, Hierarchy, RG
oo

Wilson's lesson
oooo

Scalar ϕ^4 theory
ooooo

Standard Model
oooooooo●

Thank you for your attention!

Backup slides



Backup Slides

More Slides

Wilsonian RG

versus

Dimensional Regularization

Very useful example: Scalar Theory in d -dimensions

d = integer dimension (no dim reg)

- Wilsonian Effective Action: $S_k[\phi] = \int d^d x \left[\frac{1}{2} (\partial_\mu \phi)^2 + V_k(\phi) \right]$

Wilson (Polchinski) RG Equation (LPA)

$$k \frac{\partial}{\partial k} V_k(\phi) = - \frac{k^d}{(4\pi)^{\frac{d}{2}} \Gamma\left(\frac{d}{2}\right)} \ln \left(\frac{k^2 + V''_k(\phi)}{k^2} \right)$$

- UV boundary: $V_\Lambda(\phi) \equiv V_0(\phi) = \Omega_0 + \frac{m_0^2}{2}\phi^2 + \frac{\mu^{4-d}\lambda_0}{4!}\phi^4$

Approximating $V_k(\phi)$ in the rhs as $V_k(\phi) \rightarrow V_\Lambda(\phi)$

One-loop effective potential

$$V_{1I}(\phi) = V_0(\phi) + \underbrace{\frac{1}{2} \int^{(\Lambda)} \frac{d^d k}{(2\pi)^d} \ln \left(1 + \frac{m_0^2 + \frac{1}{2}\mu^{4-d}\lambda_0\phi^2}{k^2} \right)}_{\delta V(\phi)}$$

Lesson: One-loop Effective Potential Approx. of the Wilsonian Potential

Let us focus on the Radiative Correction $\delta V(\phi)$

$$\delta V(\phi) = \frac{1}{2} \int^{(\Lambda)} \frac{d^d k}{(2\pi)^d} \ln \left(1 + \frac{M^2(\phi)}{k^2} \right) \equiv \delta V_1(\phi) + \delta V_2(\phi)$$

where

$$M^2(\phi) \equiv m_0^2 + \frac{1}{2}\mu^{4-d}\lambda_0\phi^2$$

$$\delta V_1(\phi) \equiv \frac{\mu^d}{d(4\pi)^{\frac{d}{2}} \Gamma\left(\frac{d}{2}\right)} \left(\frac{M^2(\phi)}{\mu^2}\right)^{\frac{d}{2}} \int_{\frac{M^2}{M^2 + \Lambda^2}}^1 dt (1-t)^{\frac{d}{2}-1} t^{-\frac{d}{2}}$$

$$\delta V_2(\phi) \equiv \frac{\mu^d}{d(4\pi)^{\frac{d}{2}} \Gamma\left(\frac{d}{2}\right)} \left(\frac{\Lambda}{\mu}\right)^d \ln\left(1 + \frac{M^2(\phi)}{\Lambda^2}\right)$$

Calculating $\delta V(\phi)$

For any integer d :

$$\begin{aligned}\delta V_1(\phi) &= \frac{\mu^d}{d(4\pi)^{\frac{d}{2}} \Gamma\left(\frac{d}{2}\right)} \left(\frac{M^2(\phi)}{\mu^2}\right)^{\frac{d}{2}} \int_{\frac{M^2}{M^2+\Lambda^2}}^1 dt t^{-\frac{d}{2}} (1-t)^{\frac{d}{2}-1} = \\ &= \lim_{z \rightarrow d} [A_1(z) - A_2(z)]\end{aligned}$$

where z is complex, and

$$A_1(z) \equiv F(z) \cdot \bar{B} \left(1 - \frac{z}{2}, \frac{z}{2}\right) \quad A_2(z) \equiv F(z) \cdot \bar{B}_i \left(1 - \frac{z}{2}, \frac{z}{2}; \frac{M^2(\phi)}{M^2(\phi) + \Lambda^2}\right)$$

$$F(z) \equiv \frac{\mu^z}{z(4\pi)^{\frac{z}{2}} \Gamma\left(\frac{z}{2}\right)} \left(\frac{M^2(\phi)}{\mu^2}\right)^{\frac{z}{2}}$$

\bar{B} and \bar{B}_i are (the analytic extensions of) the Beta functions

Both \bar{B} and \bar{B}_i have poles in $z = 2, 4, 6, \dots$

$\delta V_1(\phi)$ finite \Rightarrow the poles of A_1 and A_2 have to cancel each other

Example: $\delta V(\phi)$ in $d = 4$ dimensions

$z \equiv 4 - \epsilon$. Expanding in powers of ϵ and M^2/Λ^2

$$A_1(4 - \epsilon) = \frac{\mu^{-\epsilon} [M^2(\phi)]^2}{64\pi^2} \left(-\frac{2}{\epsilon} + \gamma + \ln \frac{M^2(\phi)}{4\pi\mu^2} - \frac{3}{2} \right) + \mathcal{O}(\epsilon)$$

$$A_2(4 - \epsilon) = \frac{\mu^{-\epsilon} [M^2(\phi)]^2}{64\pi^2} \left(-\frac{2}{\epsilon} + \gamma + \ln \frac{M^2(\phi)}{4\pi\mu^2} - \frac{3}{2} \right) + \mathcal{O}(\epsilon) + \mathcal{O}\left(\frac{M^2}{\Lambda^2}\right)$$

$$- \frac{\mu^{-\epsilon}}{64\pi^2} [M^2(\phi)]^2 \left(\frac{\Lambda^2}{M^2(\phi)} - \log \frac{\Lambda^2}{M^2(\phi)} \right)$$

Remember: $\delta V_1(\phi) = \lim_{\epsilon \rightarrow 0} [A_1(4 - \epsilon) - A_2(4 - \epsilon)]$. Adding $\delta V_2(\phi)$

$$\delta V(\phi) = \delta V_1 + \delta V_2 = \frac{\Lambda^2 M^2(\phi)}{32\pi^2} - \frac{[M^2(\phi)]^2}{64\pi^2} \left(\ln \frac{\Lambda^2}{M^2(\phi)} + \frac{1}{2} \right) + \mathcal{O}\left(\frac{\phi^6}{\Lambda^2}\right)$$

$$\Rightarrow V_{1I}(\phi) = \Omega_0 + \frac{m_0^2}{2} \phi^2 + \frac{\lambda_0}{4!} \phi^4 + \frac{\Lambda^2 M^2}{32\pi^2} - \frac{(M^2)^2}{64\pi^2} \left(\ln \frac{\Lambda^2}{M^2} + \frac{1}{2} \right)$$

No reference whatsoever to ϵ (of course!)

With $\Omega_0 = \Omega + \delta\Omega_\Lambda$, $m_0^2 = m^2 + \delta m_\Lambda^2$, $\lambda_0 = \lambda + \delta\lambda_\Lambda$

and $\delta\Omega_\Lambda = -\frac{m^2\Lambda^2}{32\pi^2} + \frac{m^4}{64\pi^2} \left[\ln\left(\frac{\Lambda^2}{\mu^2}\right) - 1 \right]$; $\delta m_\Lambda^2 = -\frac{\lambda\Lambda^2}{32\pi^2} + \frac{\lambda m^2}{32\pi^2} \left[\ln\left(\frac{\Lambda^2}{\mu^2}\right) - 1 \right]$

$$\delta\lambda_\Lambda = \frac{3\lambda^2}{32\pi^2} \left[\ln\left(\frac{\Lambda^2}{\mu^2}\right) - 1 \right]$$

... where $\delta\Omega_\Lambda$ and δm_Λ^2 realize fine-tunings (*) ...

⇒ Renormalized One-Loop Effective Potential (take $\Omega = 0$)

$$V_{1I}(\phi) = \frac{1}{2}m^2\phi^2 + \frac{\lambda}{4!}\phi^4 + \frac{1}{64\pi^2} \left(m^2 + \frac{\lambda}{2}\phi^2 \right)^2 \left[\ln\left(\frac{m^2 + \frac{\lambda}{2}\phi^2}{\mu^2}\right) - \frac{3}{2} \right]$$

(*) Physically ... in the parameter space of the theory we go close to the Critical region, or Critical Surface ...

... Let's move now to Dim Reg ...

Radiative correction $\delta V(\phi)$ in Dim. Reg.

- $\delta V(\phi)$ in **Dim Reg.** $d \rightarrow \text{complex}$, $d \equiv 4 - \epsilon$

$$\begin{aligned}\delta V(\phi) \rightarrow \delta V_\epsilon(\phi) &\equiv -\frac{\mu^{4-\epsilon}}{2(4\pi)^{2-\frac{\epsilon}{2}}} \left(\frac{M^2(\phi)}{\mu^2}\right)^{2-\frac{\epsilon}{2}} \bar{\Gamma}\left(\frac{\epsilon}{2} - 2\right) \\ &= \frac{\mu^{-\epsilon} [M^2(\phi)]^2}{64\pi^2} \left(-\frac{2}{\epsilon} + \gamma + \ln \frac{M^2(\phi)}{4\pi\mu^2} - \frac{3}{2}\right) + \mathcal{O}(\epsilon)\end{aligned}$$

$\bar{\Gamma}(-d/2)$ defined for any complex $d \neq 2, 4, 6, \dots$

- Counterterms in \overline{MS} scheme ($\bar{\epsilon} \equiv \epsilon \left(1 + \frac{\epsilon}{2} \ln \frac{e^\gamma}{4\pi}\right)$):

$$\delta\Omega_\epsilon = \frac{m^4}{32\pi^2\bar{\epsilon}} \mu^{-\epsilon} \quad , \quad \delta m_\epsilon^2 = \frac{\lambda m^2}{16\pi^2\bar{\epsilon}} \quad , \quad \delta\lambda_\epsilon = \frac{3\lambda^2}{16\pi^2\bar{\epsilon}}$$

- **Renormalized One-loop Effective Potential (take $\Omega = 0$) as before**

$$V_{1I}(\phi) = \frac{1}{2} m^2 \phi^2 + \frac{\lambda}{4!} \phi^4 + \frac{1}{64\pi^2} \left(m^2 + \frac{\lambda}{2} \phi^2\right)^2 \left[\ln\left(\frac{m^2 + \frac{\lambda}{2} \phi^2}{\mu^2}\right) - \frac{3}{2}\right]$$

Before going on with our analysis ... Let's hear "news" from the Literature

“Dim Reg” versus “Wilson” (= “successive elimination of modes”)

Views on “Dim Reg” and “Wilson”

1) Typical textbook statement ... “Dimensional Regularization has no direct physical interpretation” (J. Zinn-Justin - Quantum field theory of critical phenomena)

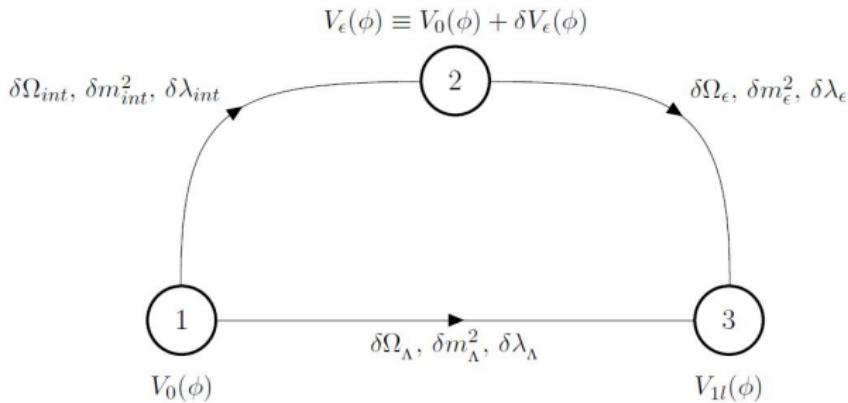
2) Recent ideas (gaining lot of followers)

“Maybe power divergences vanish because the ultimate unknown physical cut-off behaves like dimensional regularization” (M. Farina, D. Pappadopulo and A. Strumia, JHEP 08 (2013) 022)

“Wilsonian computation techniques attribute physical meaning to momentum shells of loop integrals” ... “The naturalness problem can be more generically formulated as a problem of the Effective Theory Ideology” (A. Salvio and A. Strumia, JHEP 06 (2014) 080)

Accordingly DR should have special physical properties that make it the correct way to calculate the quantum fluctuations ... while Wilson ... incorrect ...

Dim Reg.: Physical Meaning? ... Special Physical Properties?



$$\begin{aligned}
 V_0(\phi) &= \Omega_0 + \frac{m_0^2}{2}\phi^2 + \frac{\lambda_0}{4!}\phi^4 = (\Omega + \delta\Omega_\Lambda) + \frac{1}{2}(m^2 + \delta m_\Lambda^2)\phi^2 + \frac{1}{4!}(\lambda + \delta\lambda_\Lambda)\phi^4 \\
 &= (\Omega + \delta\Omega_{int} + \delta\Omega_\epsilon) + \frac{1}{2}(m^2 + \delta m_{int}^2 + \delta m_\epsilon^2)\phi^2 + \frac{1}{4!}(\lambda + \delta\lambda_{int} + \delta\lambda_\epsilon)\phi^4
 \end{aligned}$$

⇒ Ren.Pot. :

$$V_{1l}(\phi) = \frac{1}{2}m^2\phi^2 + \frac{\lambda}{4!}\phi^4 + \frac{1}{64\pi^2} \left(m^2 + \frac{\lambda}{2}\phi^2 \right)^2 \left[\ln \left(\frac{m^2 + \frac{\lambda}{2}\phi^2}{\mu^2} \right) - \frac{3}{2} \right]$$

Dim Reg.: Physical Meaning? ... Special Physical Properties?

DR **secretly realizes** the fine-tuning:

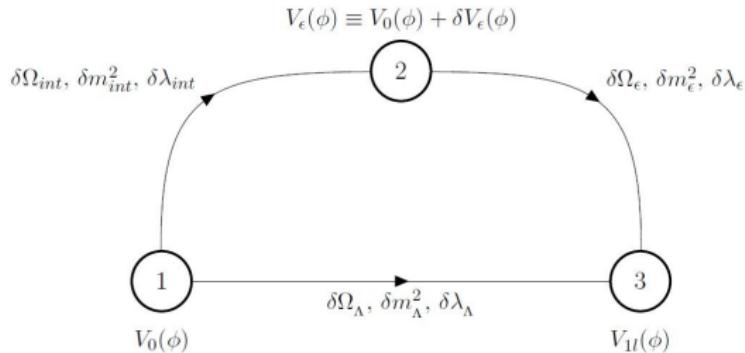
$$\delta\Omega_{int} = -\frac{m^2\Lambda^2}{32\pi^2} + \frac{m^4}{64\pi^2} \left[\ln\left(\frac{\Lambda^2}{\mu^2}\right) - 1 \right] - \frac{m^4}{32\pi^2\bar{\epsilon}} \mu^{-\epsilon}$$

$$\delta m_{int}^2 = -\frac{\lambda\Lambda^2}{32\pi^2} + \frac{\lambda m^2}{32\pi^2} \left[\ln\left(\frac{\Lambda^2}{\mu^2}\right) - 1 \right] - \frac{\lambda m^2}{16\pi^2\bar{\epsilon}}$$

$$\delta\lambda_{int} = \frac{3\lambda^2}{32\pi^2} \left[\ln\left(\frac{\Lambda^2}{\mu^2}\right) - 1 \right] - \frac{3\lambda^2}{16\pi^2\bar{\epsilon}}$$

DR has a **Physical Meaning** but **No Special Physical Properties**. It implements the **Wilsonian iterative elimination of modes** for including the quantum fluctuations in the Effective Theory, and **secretly** realizes the fine-tuning

Summary on DR



DR setting, “Bubble (2)”, obtained by introducing an intermediate step, (1) → (2), in the process of obtaining the Renormalized Potential, “Bubble (3)”.

DR provides a shortcut: “Bubble (3)” is reached starting from “Bubble (2)”. The fine-tuning step “Bubble (1)” → “Bubble (2)” is skipped (secretly realized)

Lesson: DR is a way to implement the Wilson's strategy in the perturbative regime, where the *fine-tuning* (in the Wilsonian language: tuning toward the critical regime, critical surface) is secretly performed.

Naturalness and Dimensional Regularization

What should we then say on those attempts to solve the Naturalness/Hierarchy problem with DR?

- Classically Scale Invariant BSM. The theory does not possess mass or length scales ⇒ only dimension four operators
- Dimensional Regularization used ⇒ Scale Invariance only softly broken ⇒ apparently no fine-tuning needed ... seems good ...
- ... But ... we have just shown ... DR secretly realizes the fine-tuning

⇒ No way to solve the Naturalness/Hierarchy problem with DR

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Consider now attempts to solve the NH problem in a RG framework

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“Wilson” versus “Perturbatively-Renormalized” RG Equations

Scalar Theory : $\mathcal{L}_\Lambda = \frac{1}{2} (\partial_\mu \phi_\Lambda)^2 + \frac{1}{2} m_\Lambda^2 \phi_\Lambda^2 + \frac{\lambda_\Lambda}{4!} \phi_\Lambda^4$

Wilson-Polchinski RG Equations

$$\mu \frac{d\Omega}{d\mu} = -\frac{m^2 \mu^2}{16\pi^2} + \frac{m^4}{32\pi^2} \quad ; \quad \mu \frac{dm^2}{d\mu} = -\frac{\lambda \mu^2}{16\pi^2} + \frac{\lambda m^2}{16\pi^2} \quad ; \quad \mu \frac{d\lambda}{d\mu} = \frac{3\lambda^2}{16\pi^2}$$

$\mu \in [0, \Lambda]$ is the running scale. Λ is the UV boundary (physical cut-off)

Define:

$$m_{\text{cr}}^2(\mu) \equiv \frac{\lambda(\mu)}{16\pi^2} \mu \delta\mu \quad \text{and} \quad \tilde{m}^2(\mu - \delta\mu) \equiv m^2(\mu - \delta\mu) - m_{\text{cr}}^2(\mu)$$

$$\Omega_{\text{cr}}(\mu) \equiv \frac{\tilde{m}^2(\mu)}{16\pi^2} \mu \delta\mu \quad \text{and} \quad \tilde{\Omega}(\mu - \delta\mu) \equiv \Omega(\mu - \delta\mu) - \Omega_{\text{cr}}(\mu)$$

Perturbatively-Renormalized RG Equations ($\delta\mu \rightarrow 0$)

$$\mu \frac{d\tilde{\Omega}}{d\mu} = \frac{\tilde{m}^4}{32\pi^2} = \beta_\Omega \quad ; \quad \mu \frac{d\tilde{m}^2}{d\mu} = \frac{\lambda \tilde{m}^2}{16\pi^2} = \tilde{m}^2 \gamma_m \quad ; \quad \mu \frac{d\lambda}{d\mu} = \frac{3\lambda^2}{16\pi^2} = \beta_\lambda$$

The Perturbatively-Renormalized RG Equations contain the fine-tuning
Physically: Tuning towards the Critical Surface

Perturbatively-Renormalized RG equations in the Standard Model

Well-known Standard Model perturbative RG equations (*)

$$\mu \frac{d}{d\mu} \lambda_i = \beta_{\lambda_i} \quad \mu \frac{d}{d\mu} m_H^2 = m_H^2 \gamma_m$$

λ_i ($i = 1, \dots, 5$) are the SM couplings

(*) similarly for SM extensions

Perturbatively-Renormalized RG equations in the Standard Model

$$\mu \frac{d}{d\mu} m_H^2 = m_H^2 \gamma_m$$

Attempt 1 : Quantum Gravity “miracle”

G.F. Giudice, PoS EPS-HEP2013, 163 (2013)

$$m_H^2(\Lambda) \ll \Lambda^2$$

With the SM perturbative γ_m ($\gamma_m \ll 1$) \Rightarrow

Apparently no Hierarchy Problem : $m_H^2(\Lambda) \sim m_H^2(\mu_F)$

... But ... remember ... in the above RG Equation m_H^2 is the **tuned mass** \Rightarrow

Fine-tuning encoded in the RG Equation above

\Rightarrow

Can't solve the Hierarchy Problem

Perturbatively-Renormalized RG equations in the Standard Model

$$\mu \frac{d}{d\mu} m_H^2 = m_H^2 \gamma_m$$

Attempt 2 : "Self-organized criticality"

J. M. Pawłowski, M. Reichert, C. Wetterich and M. Yamada, Phys. Rev. D **99**, 086010 (2019)

Assumes Quantum Gravity might give a non-perturbative $\gamma_m \sim 2 \Rightarrow$

Hierarchy can be tolerated : $m_H^2(\Lambda) \gg m_H^2(\mu_F)$

... But ... remember ... m_H^2 is the **tuned mass** \Rightarrow

Fine-tuning encoded in the above RG Equation

\Rightarrow **Can't solve the Hierarchy Problem**

Perturbatively-Renormalized RG equations in the Standard Model

$$\mu \frac{d}{d\mu} m_H^2 = m_H^2 \gamma_m$$

Attempt 3 : $m_H^2(\mu)$ from $\lambda(\mu)$ and $v(\mu)$...

P. H. Chankowski, A. Lewandowski, K. A. Meissner and H. Nicolai, Mod. Phys. Lett. A **30**, 1550006 (2015)

M. Holthausen, K. S. Lim and M. Lindner, JHEP **02**, 037 (2012)

Apparently no large corrections : $m_H^2(\mu_F) \sim 125 \text{ GeV}$

... However ... same problem as before ... Tuning encoded in the RG equation for the vev $v(\mu)$ (equivalent to the above RG equation for $m_H^2(\mu)$)

⇒

Can't solve the Hierarchy Problem

Perturbative-Renormalized RG equations in the Standard Model

Attempt 4 : “Finite formulation” of QFT using RG equations à la Callan-Symanzik for the Green’s functions . . .

S. Mooij and M. Shaposhnikov, arXiv:2110.05175

S. Mooij and M. Shaposhnikov, arXiv:2110.15925

Apparently no quadratic corrections for the mass m^2 of scalar particles

However . . . Tuning encoded in taking derivatives with respect to m^2 of the Green’s functions, until they become finite

Callan has shown that this is just a way of implement the subtraction of Λ^2 and $\log \Lambda$ terms

C. G. Callan, Jr., Conf. Proc. C 7507281, 41-77 (1975)



Can't solve the Hierarchy Problem

$$k \frac{dm_k^2}{dk} = -\frac{\lambda_k}{16\pi^2} k^2 + \frac{\lambda_k}{16\pi^2} m_k^2$$

$$k \frac{d\lambda_k}{dk} = \frac{3\lambda_k^2}{16\pi^2}$$

Can be solved **analytically** with no further approximations. Second Equation:

$$\lambda(\mu) = \frac{\lambda_\Lambda}{1 - \frac{3}{16\pi^2} \lambda_\Lambda \log\left(\frac{\mu}{\Lambda}\right)}$$

Inserting in the First Equation \Rightarrow **Non-perturbative RG equation for $m^2(\mu)$**

$(E_{\frac{2}{3}}(x)$ is the generalized exponential integral function $E_p(x)$ with $p = \frac{2}{3}$)

$$m^2(\mu) = \frac{1}{3 \cdot 2^{2/3} \left(3\lambda_\Lambda \log\left(\frac{\mu}{\Lambda}\right) - 16\pi^2 \right)}$$

$$\times \left[2^{2/3} \Lambda^2 e^{\frac{32\pi^2}{3\lambda_\Lambda}} \times \left(16\pi^2 - 3\lambda_\Lambda \log\left(\frac{\mu}{\Lambda}\right) \right) E_{\frac{2}{3}}\left(\frac{32\pi^2}{3\lambda_\Lambda} - 2 \log\left(\frac{\mu}{\Lambda}\right)\right) \right.$$

$$\left. + 4\lambda_\Lambda \sqrt[3]{-\frac{1}{\lambda_\Lambda}} \left(\Lambda^2 e^{\frac{32\pi^2}{3\lambda_\Lambda}} E_{\frac{2}{3}}\left(\frac{32\pi^2}{3\lambda_\Lambda}\right) + 3m_\Lambda^2 \right) \times \left(3\pi \log\left(\frac{\mu}{\Lambda}\right) - \frac{16\pi^3}{\lambda_\Lambda} \right)^{2/3} \right]$$

Nice features of this **Non-perturbative** evolution equation for $m^2(\mu)$
(replace $\lambda_\Lambda \rightarrow \lambda$)

- 1) Expanding for $\lambda \ll 1$ and $\mu^2 \ll \Lambda^2 \Rightarrow$ **well-known perturbative result**

$$m_\mu^2 = m_\Lambda^2 + \frac{\lambda}{32\pi^2} \left(\Lambda^2 - m_\Lambda^2 \log \frac{\Lambda^2}{\mu^2} \right)$$

- 2) Also: very interesting **non-perturbative** approximation, obtained by replacing λ_k with λ in the rhs of the RG equation for $m^2(\mu)$

$$m_\mu^2 = \left(\frac{\mu}{\Lambda} \right)^{\frac{\lambda}{16\pi^2}} \left(m_\Lambda^2 + \frac{\lambda \Lambda^2}{32\pi^2 - \lambda} \right) - \frac{\lambda \mu^2}{32\pi^2 - \lambda}$$

Excellent approximation for $m^2(\mu)$ (see **ugly equation** previous page)
Important result

Wilsonian - Polchinski RG equations

- Flow of the theory parameters:

$$\Lambda \frac{d}{d\Lambda} \Omega_0 = -\frac{m_0^2 \Lambda^2}{16\pi^2} + \frac{m_0^4}{32\pi^2} \quad \Lambda \frac{d}{d\Lambda} m_0^2 = -\frac{\lambda_0 \Lambda^2}{16\pi^2} + \frac{\lambda_0 m_0^2}{16\pi^2} \quad \Lambda \frac{d}{d\Lambda} \lambda_0 = \frac{3\lambda_0^2}{16\pi^2}$$

- From the Wegner-Houghton equation for $d = 4$, inserting the expansion $U_k(\phi) = \Omega_k + \frac{1}{2}m_k^2\phi^2 + \frac{1}{4!}\lambda_k\phi^4 + \frac{1}{6!}\lambda_k^{(6)}\phi^6 + \dots$ we have the flow equations:

$$k \frac{\partial \Omega_k}{\partial k} = -\frac{k^4}{16\pi^2} \log \left(\frac{k^2 + m_k^2}{k^2} \right)$$

$$k \frac{\partial m_k^2}{\partial k} = -\frac{k^4}{16\pi^2} \frac{\lambda_k}{k^2 + m_k^2}$$

$$k \frac{\partial \lambda_k}{\partial k} = \frac{k^4}{16\pi^2} \frac{3\lambda_k^2}{(k^2 + m_k^2)^2}$$

- Under the condition $k^2 \gg m_k^2$, i.e. in the UV regime, they reduce to the bare parameters flow equations.

Critical term

- Finite difference RG equation for the mass:

$$m_0^2(\Lambda - \delta\Lambda) = m_0^2(\Lambda) + \frac{\delta\Lambda}{\Lambda} \frac{\lambda_0(\Lambda)}{16\pi^2} \Lambda^2 - \frac{\delta\Lambda}{\Lambda} \frac{\lambda_0(\Lambda) m_0^2(\Lambda)}{16\pi^2} + \mathcal{O}\left(\frac{\delta\Lambda^2}{\Lambda^2}\right)$$

- Subtracted mass parameter at the scale $\Lambda - \delta\Lambda$

$$\tilde{m}^2(\Lambda - \delta\Lambda) \equiv m_0^2(\Lambda - \delta\Lambda) - m_{\text{cr}}^2(\Lambda)$$

where the *critical mass* m_{cr}^2 , and the boundary at Λ are given by

$$m_{\text{cr}}^2(\Lambda) \equiv \frac{\lambda_0(\Lambda)}{16\pi^2} \Lambda \delta\Lambda \quad \tilde{m}^2(\Lambda) = m_0^2(\Lambda)$$

- In the limit $\delta\Lambda \rightarrow 0$ we recover the perturbative RG equations:

$$\beta_\Omega = \mu \frac{d\Omega}{d\mu} = \frac{m^4}{32\pi^2} \quad \gamma_m = \frac{1}{m^2} \left(\mu \frac{dm^2}{d\mu} \right) = \frac{\lambda}{16\pi^2} \quad \beta_\lambda = \mu \frac{d\lambda}{d\mu} = \frac{3\lambda^2}{16\pi^2}$$

- The renormalized RG equations **contain the fine-tuning**: physically, this corresponds to a *tuning towards the critical surface*.

Perturbative-Renormalized RG equations in the Standard Model

$$\mu \frac{d}{d\mu} m_H^2 = m_H^2 \gamma_m$$

Attempt 5 : hierarchy between M_P and μ_F generated by an instanton configuration contributing to the vev of the Higgs field ...

M. Shaposhnikov and A. Shkerin, Phys. Lett. B 783, 253 (2018)

M. Shaposhnikov and A. Shkerin, JHEP 10, 024 (2018)

Apparently Hierarchy explained

however ... quantum corrections calculated with DR, and flow of the parameters studied with the perturbative RG flows ... same problems as before

⇒

Can't solve the Hierarchy Problem

Gauge theories

Attempts to a gauge invariant Wilsonian RG

- V. Branchina, K. Meissner and G. Veneziano, The Price of an exact, gauge invariant RG flow equation, Phys. Lett. B **574**, 319-324 (2003)
- S.P. de Alwis, Exact RG Flow Equations and Quantum Gravity, JHEP **03**, 118 (2018)