

**FUTURE PERSPECTIVES ON PRIMORDIAL BLACK HOLES**  
*ROME 2023*

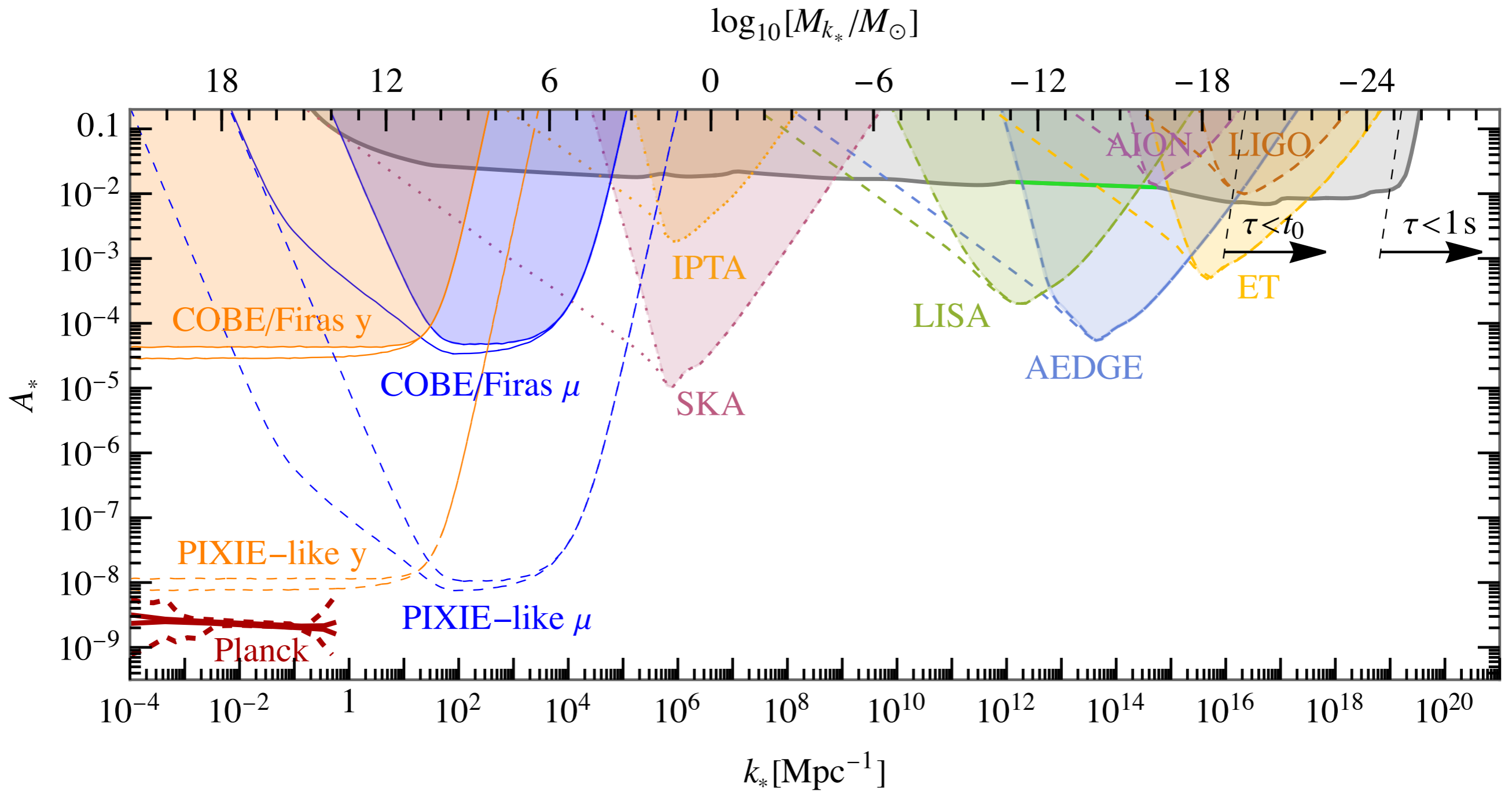
ANATOMY OF **SINGLE-FIELD INFLATIONARY MODELS**  
FOR **PRIMORDIAL BLACK HOLES**

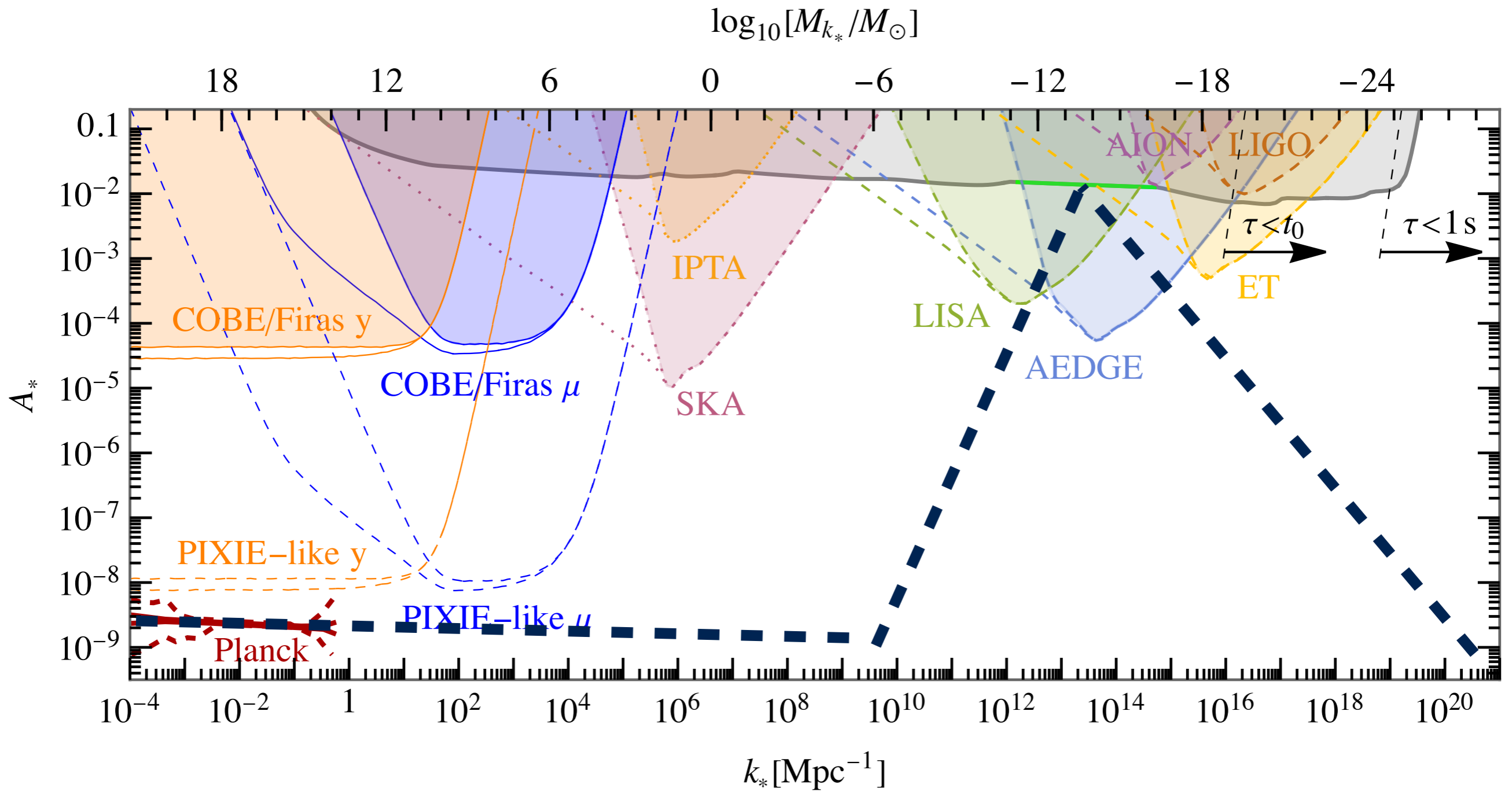
**Hardi Veermäe**  
**NICBP, Tallinn, Estonia**

December 11, 2023



Keemilise ja  
Bioloogilise Füüsika Instituut  
National Institute of Chemical Physics and Biophysics







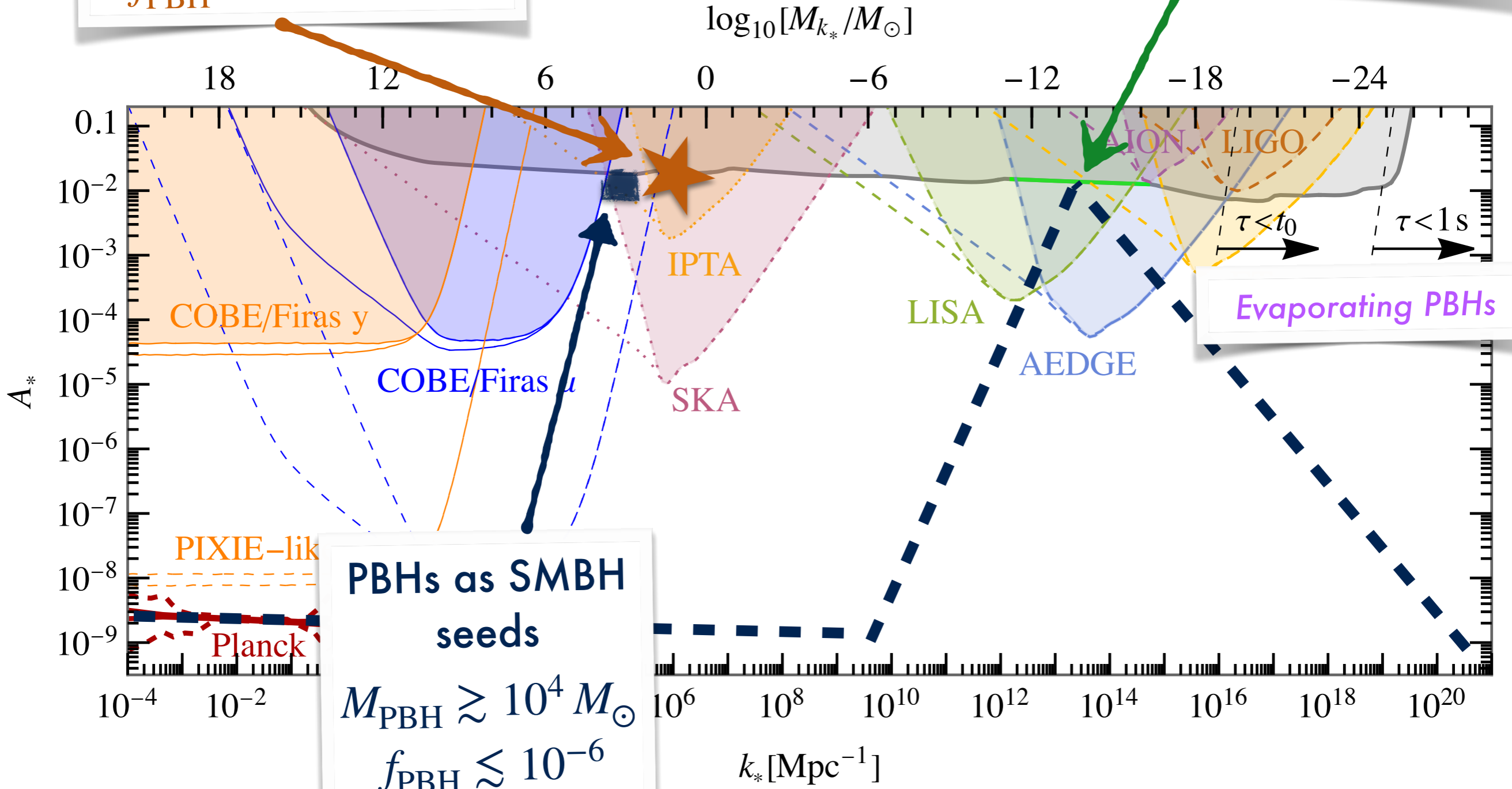
*observed BH binaries*

$$M_{\text{PBH}} \approx 1 - 100 M_{\odot}$$

$$f_{\text{PBH}} \approx 10^{-3}$$

**PBHs as all dark matter**

$$M_{\text{PBH}} = 10^{17} - 10^{22} \text{ g}$$





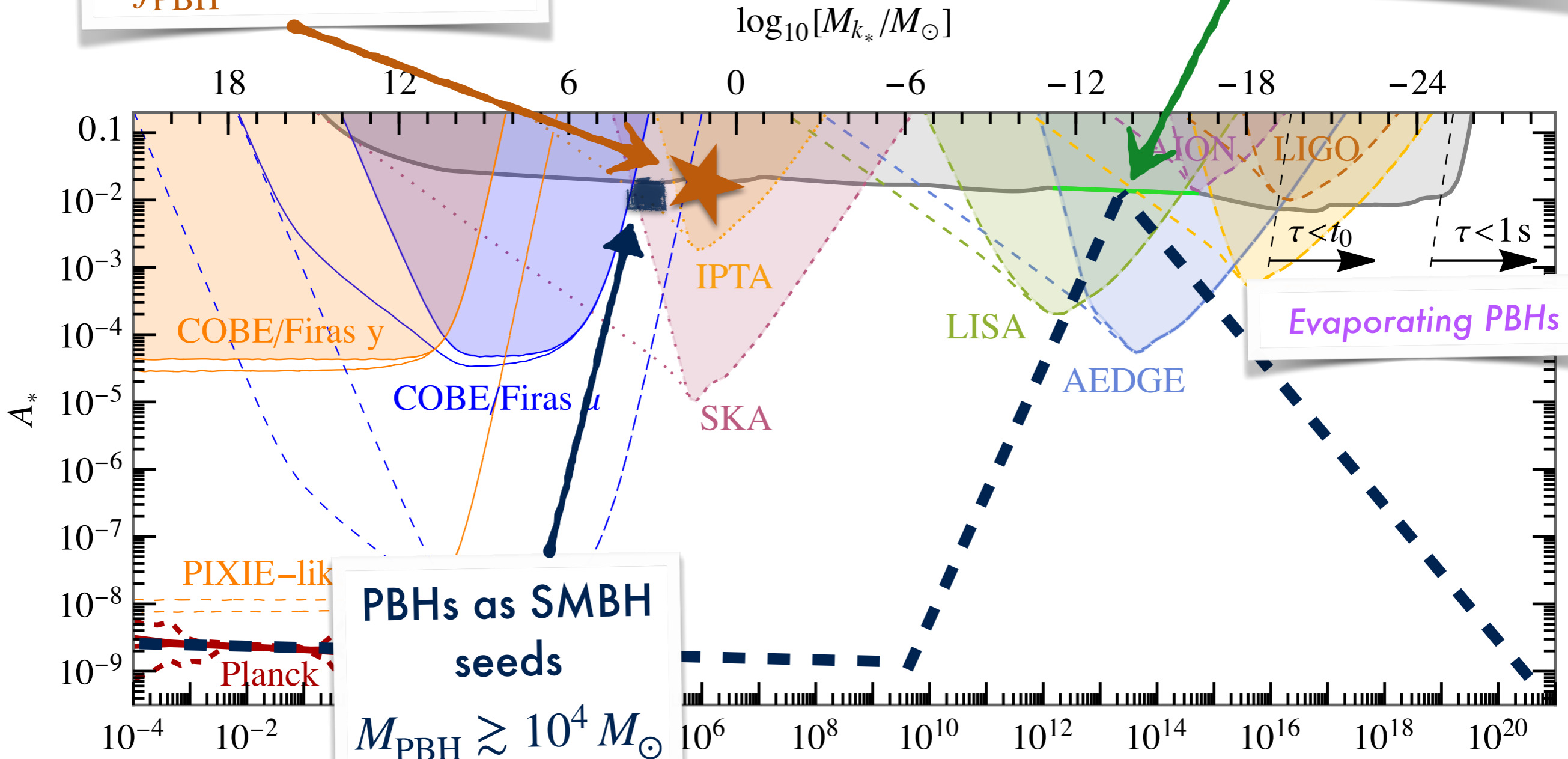
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*PBHs as all dark matter*

$$M_{\text{PBH}} = 10^{17} - 10^{22} \text{ g}$$



**PBHs as SMBH seeds**

$$M_{\text{PBH}} \gtrsim 10^4 M_{\odot}$$

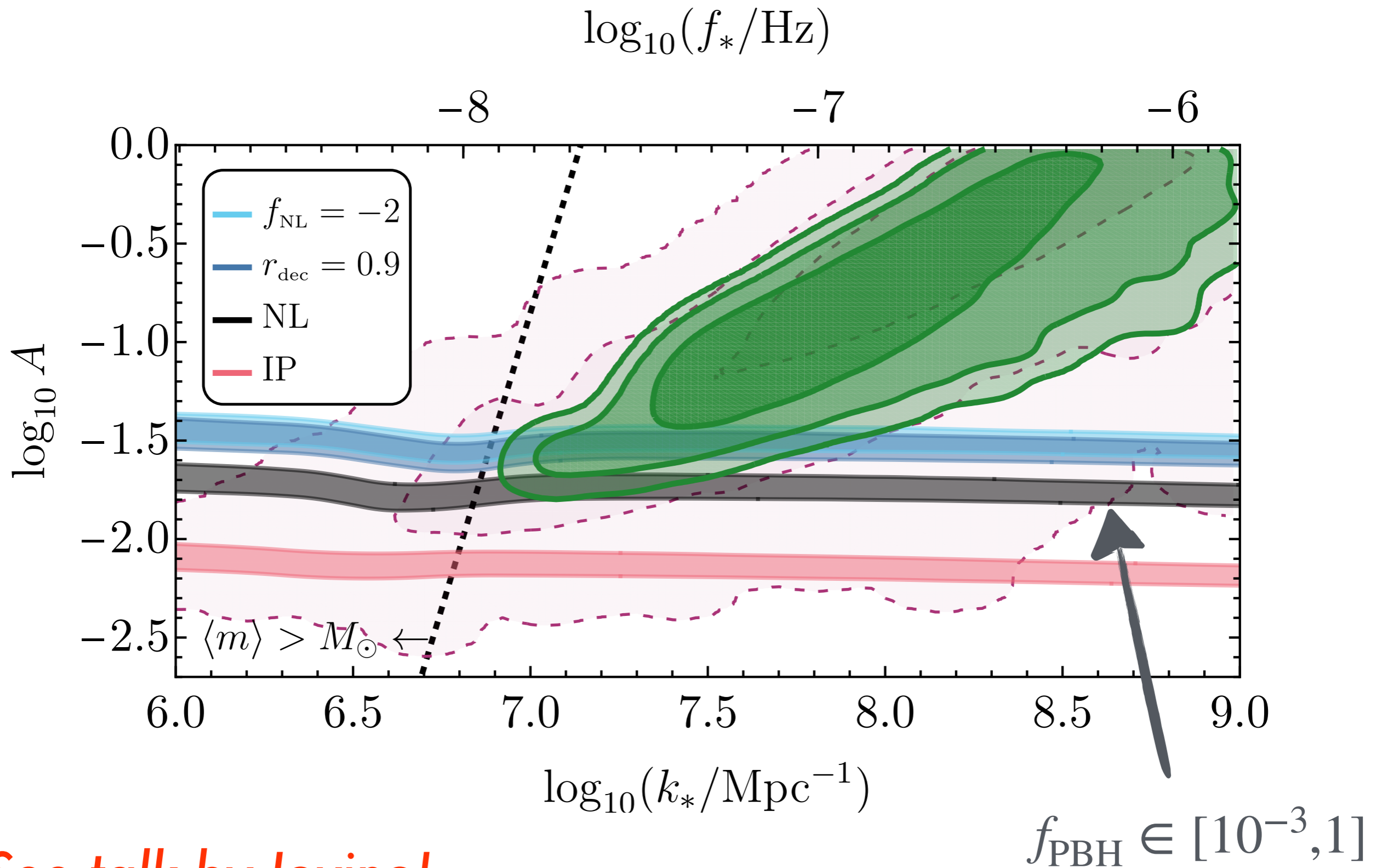
$$f_{\text{PBH}} \lesssim 10^{-6}$$

not too heavy non-evaporating PBHs:

$$14 \lesssim N \lesssim 37$$

*e*-folds between the exit of CMB and PBH modes

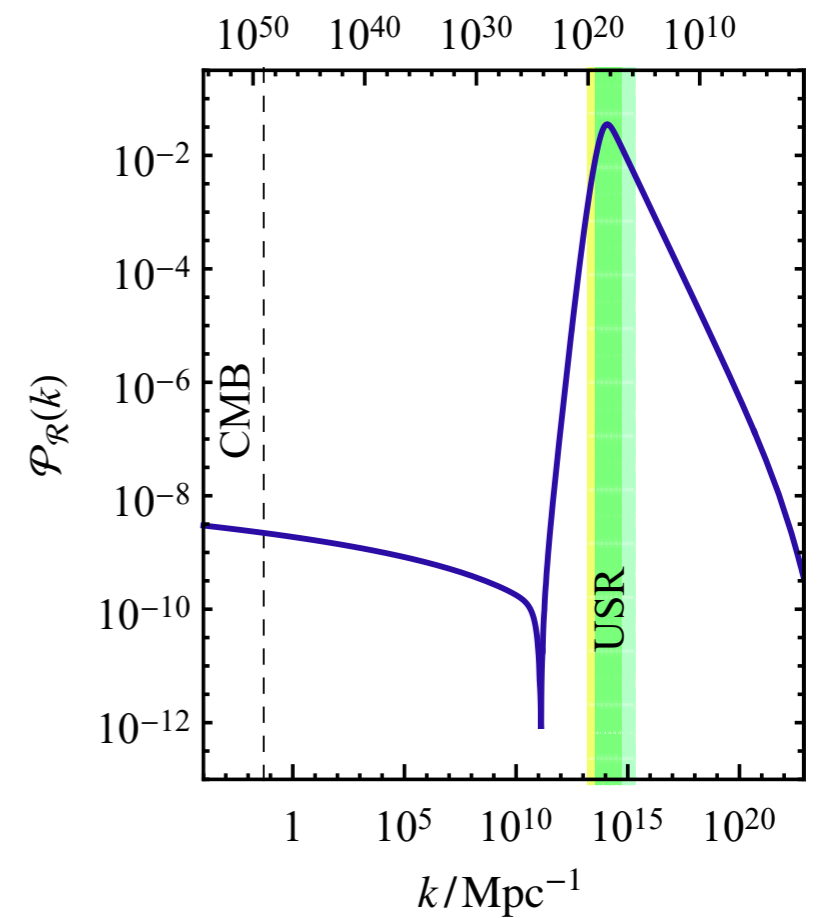
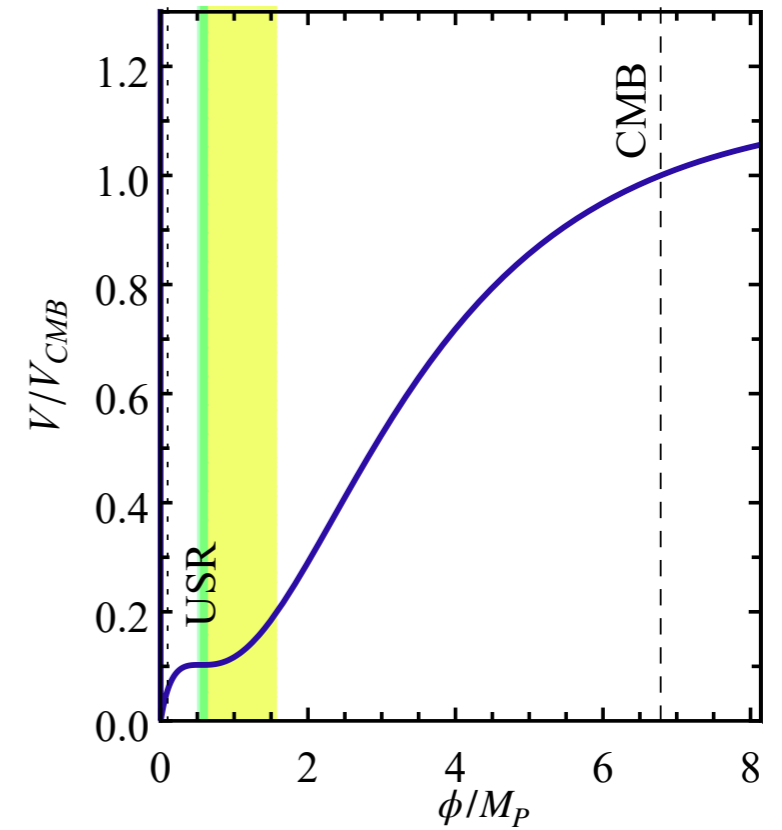
# Induced GWs with PTAs



See talk by Iovino!

# INFLATIONARY TIMELINE

[ 2205.13540 Karam et al ]



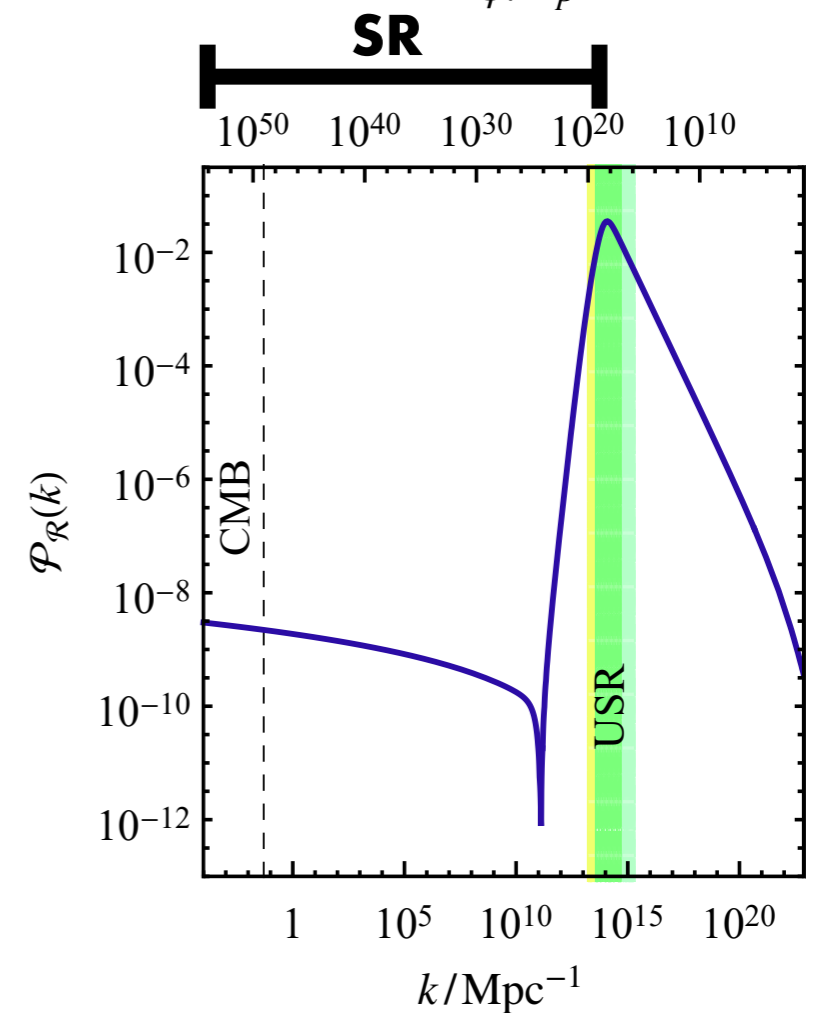
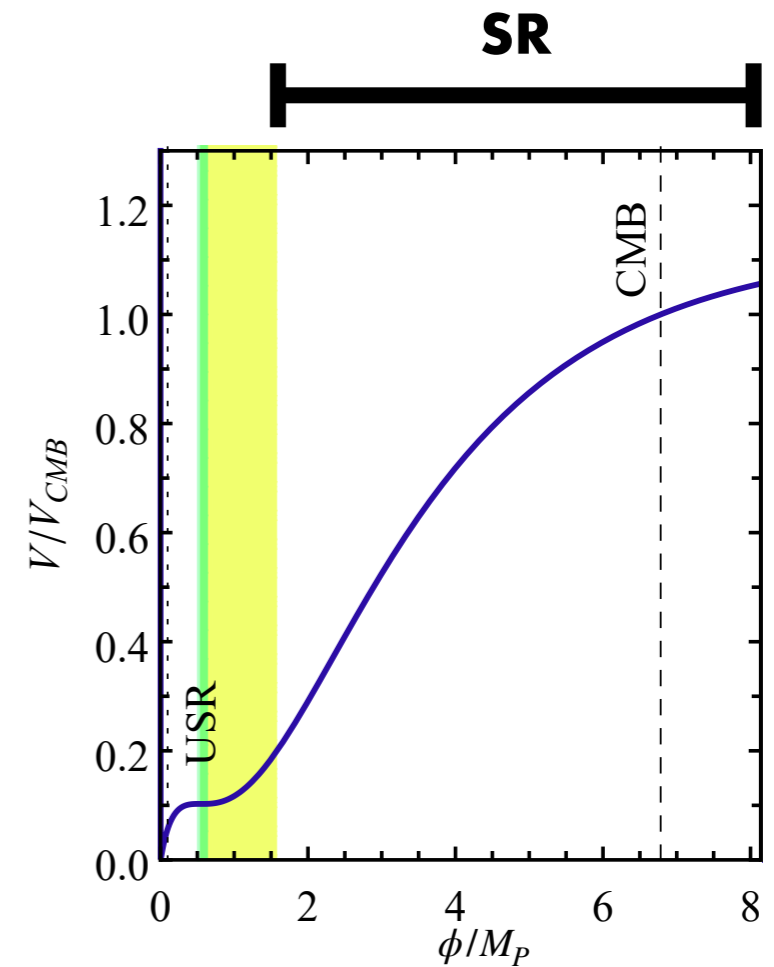


# INFLATIONARY TIMELINE

[ 2205.13540 Karam et al ]

## 1. SLOW-ROLL (SR)

lasts  $\mathcal{O}(30)$  e-folds



# INFLATIONARY TIMELINE

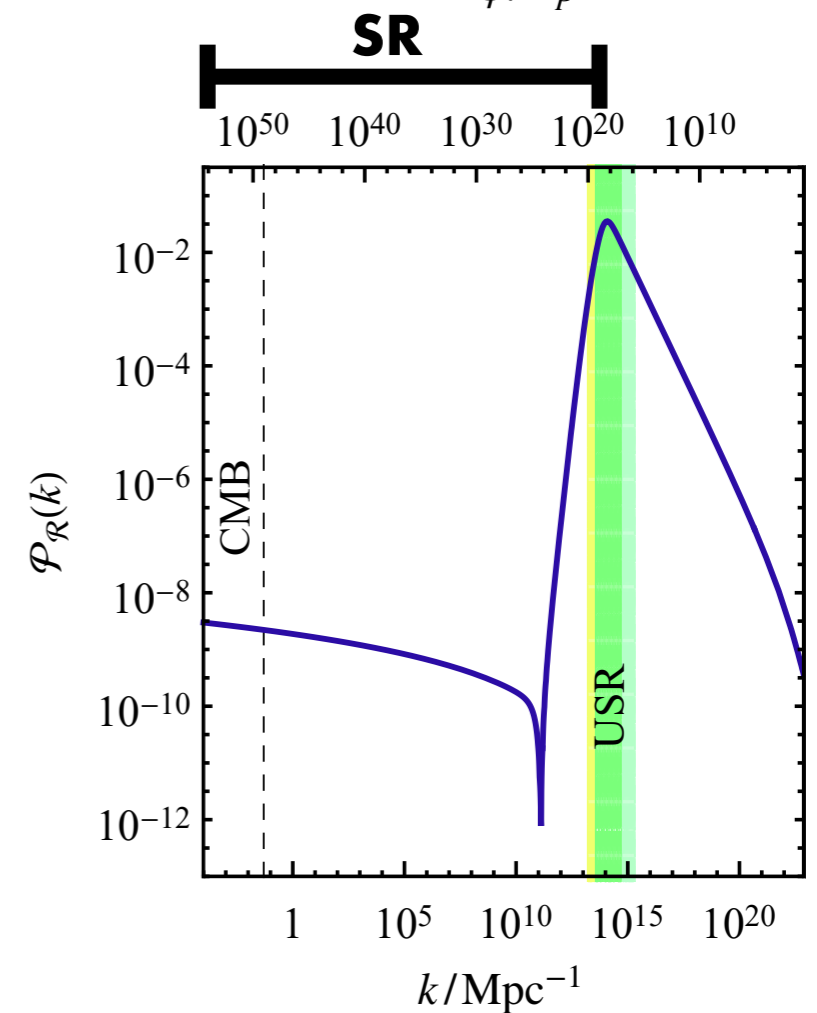
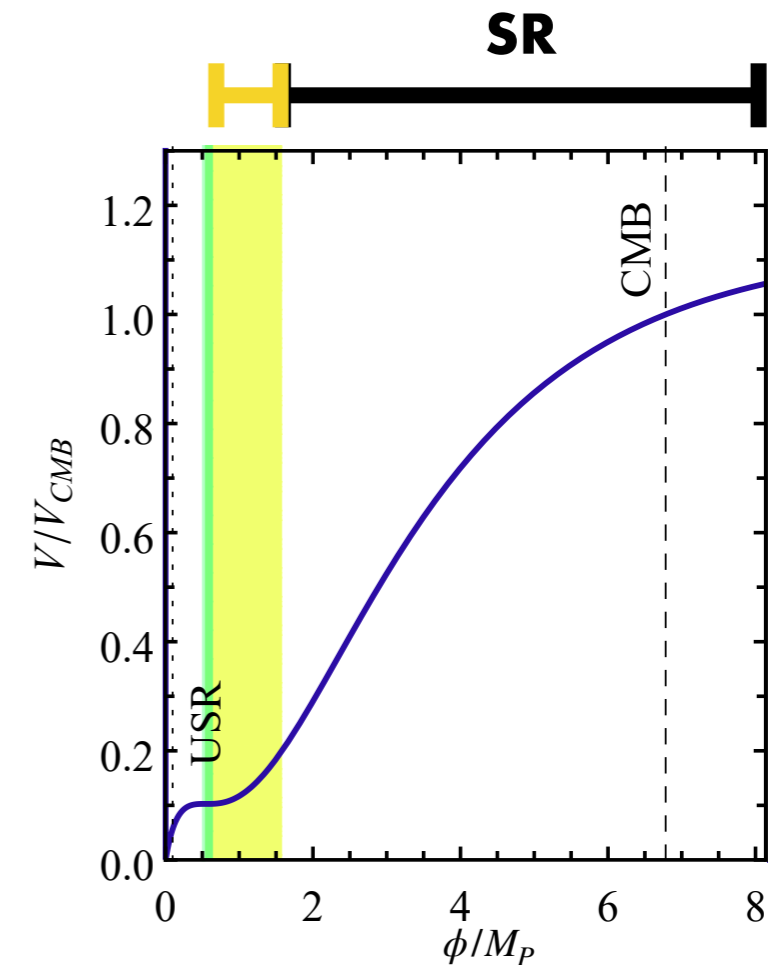
[ 2205.13540 Karam et al ]

## 1. SLOW-ROLL (SR)

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## 2. TRANSITION from SR to USR

lasts  $\lesssim 1$  e-folds



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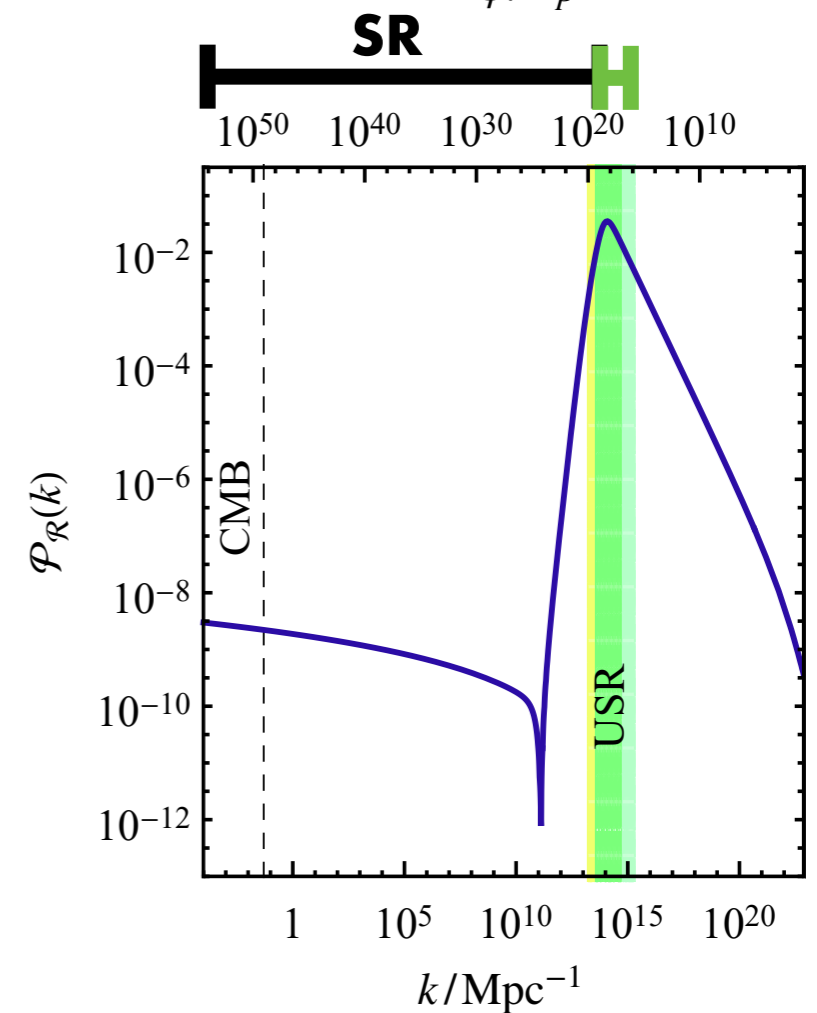
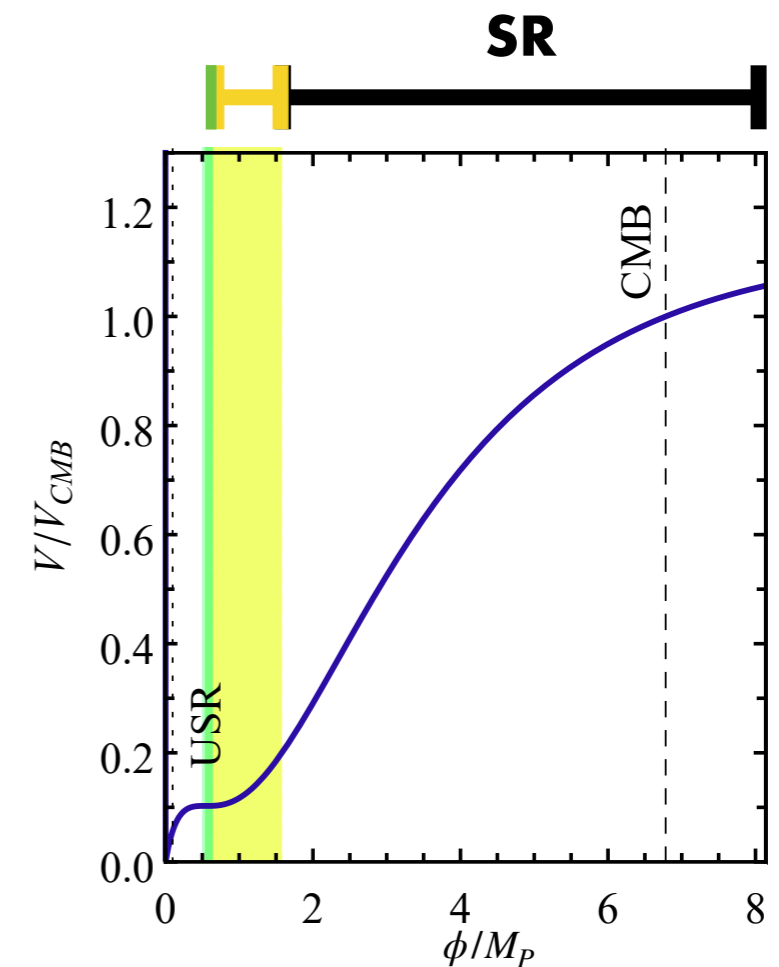
lasts  $\mathcal{O}(30)$  e-folds

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## 3. ULTRA-SLOW-ROLL (USR)

lasts  $\mathcal{O}(3)$  e-folds





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## 1. SLOW-ROLL (SR)

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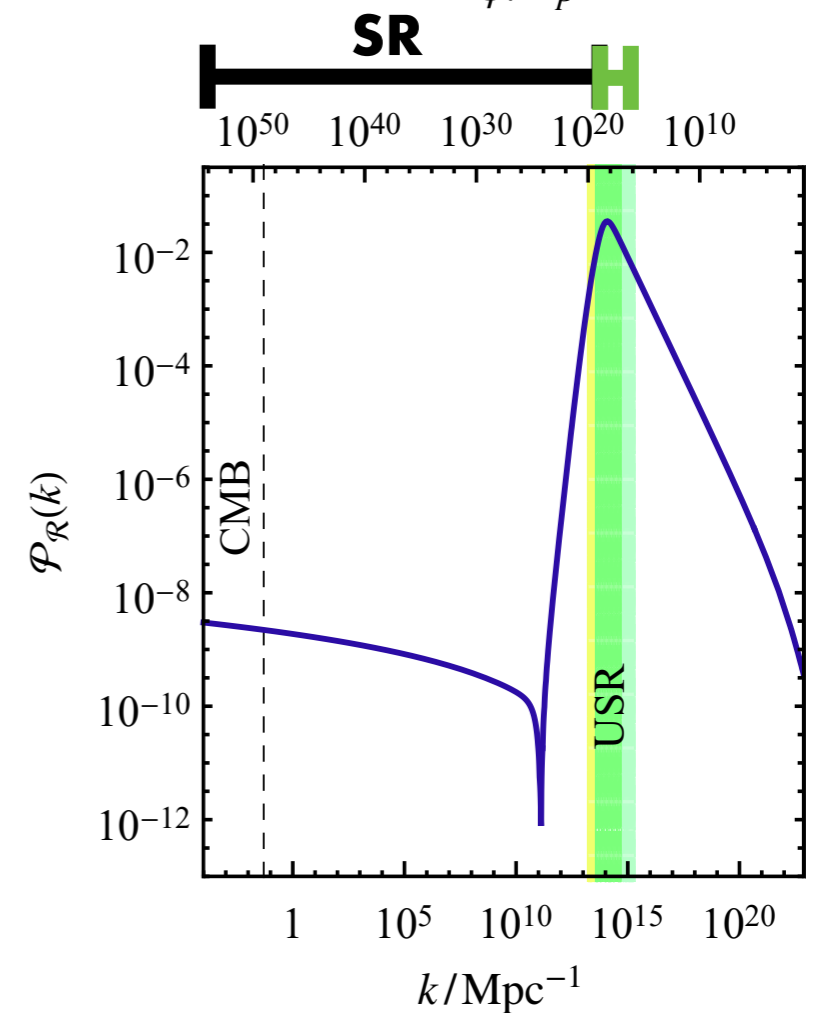
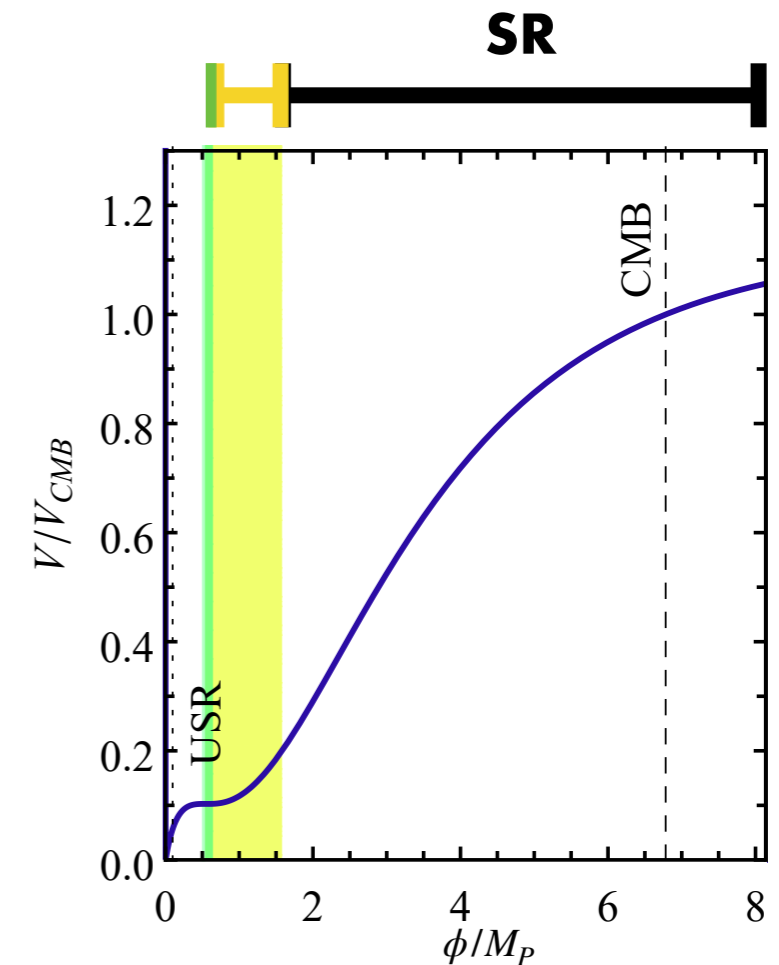
## 2. TRANSITION from SR to USR

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## 4. TRANSITION from USR to CR



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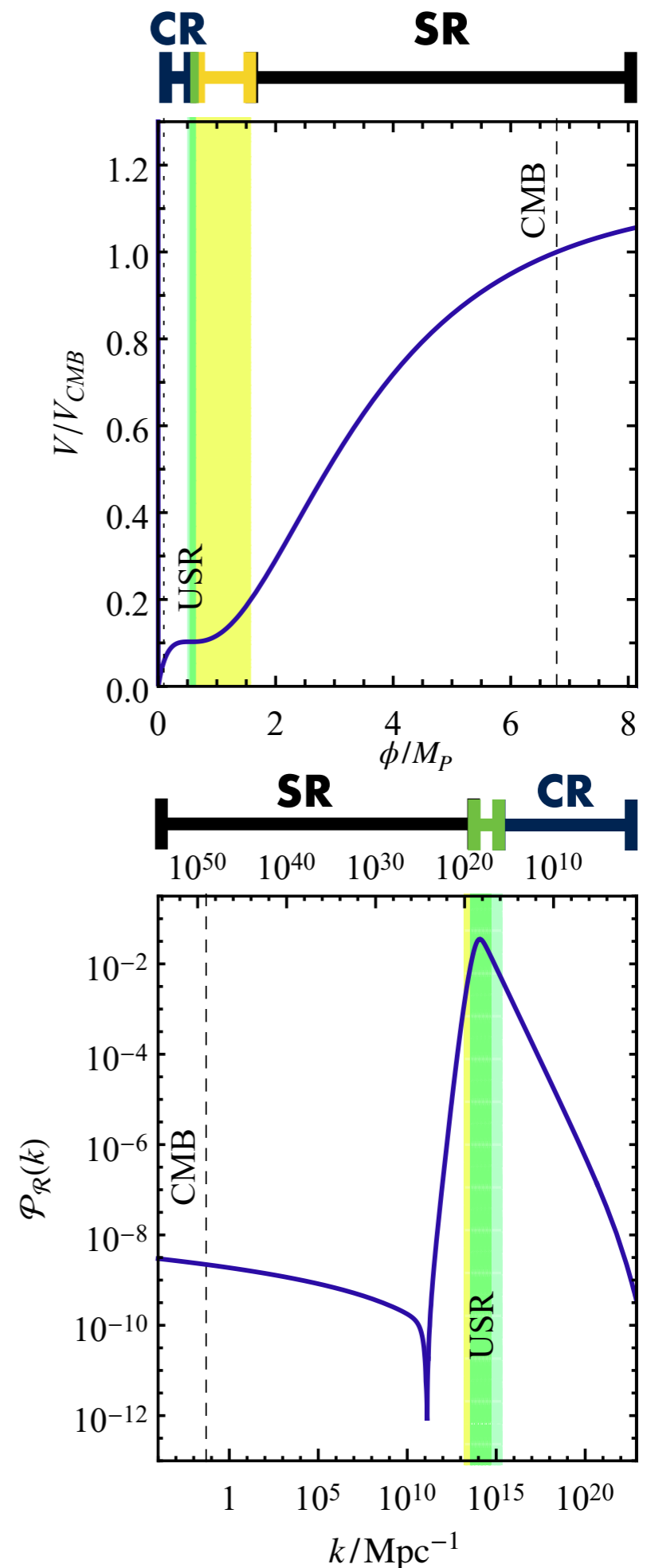
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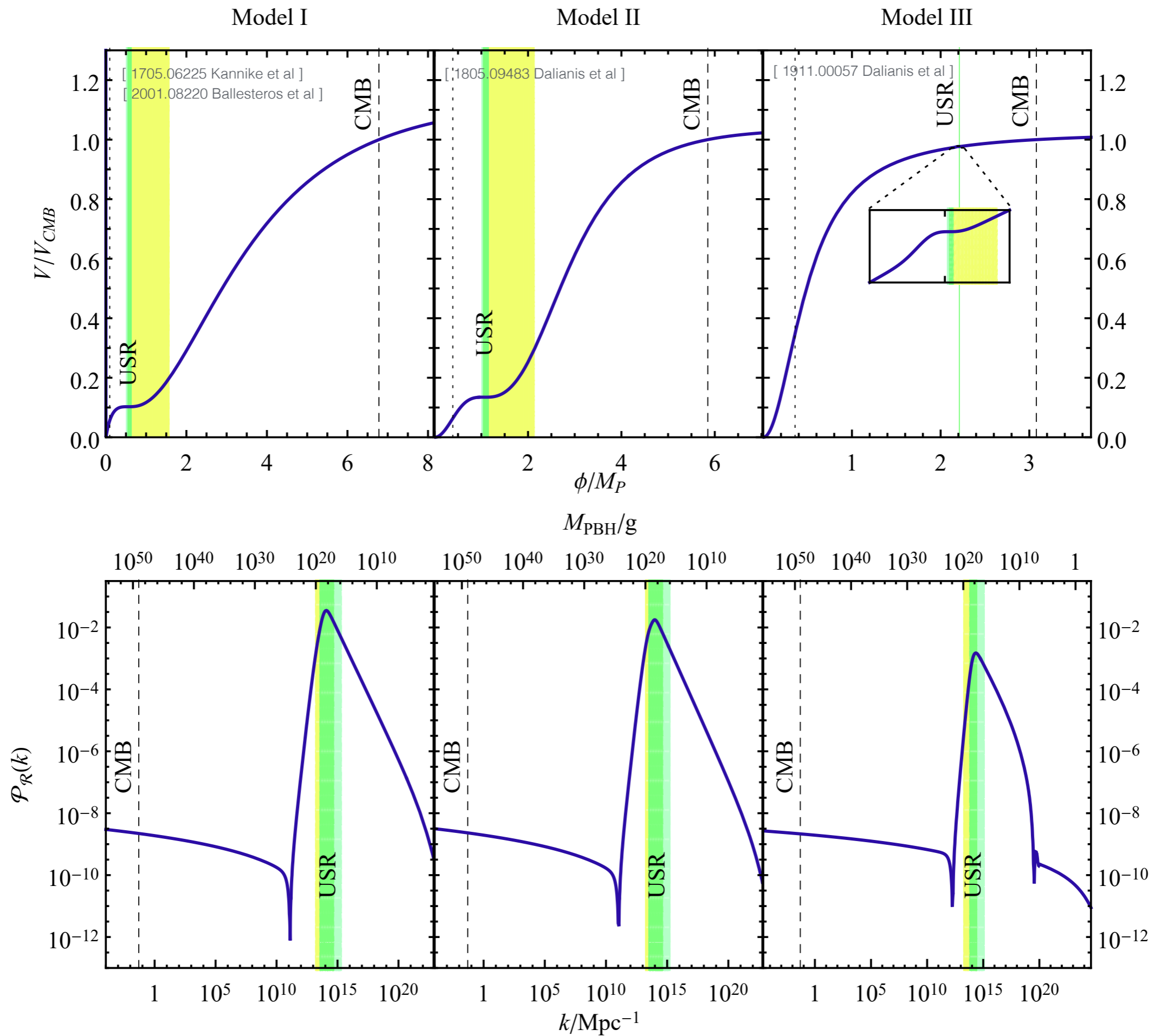
## 4. TRANSITION from USR to CR

## 5. CONSTANT-ROLL (CR)

...or also SR



# TYPICAL MODELS



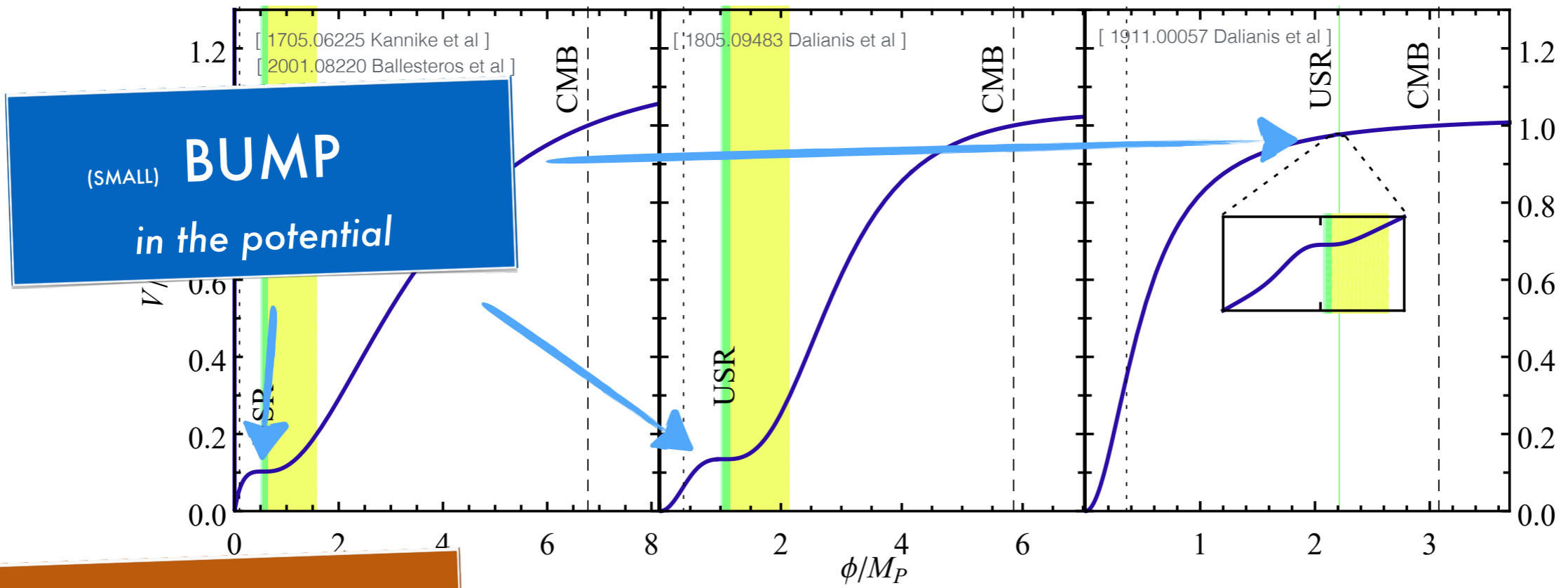




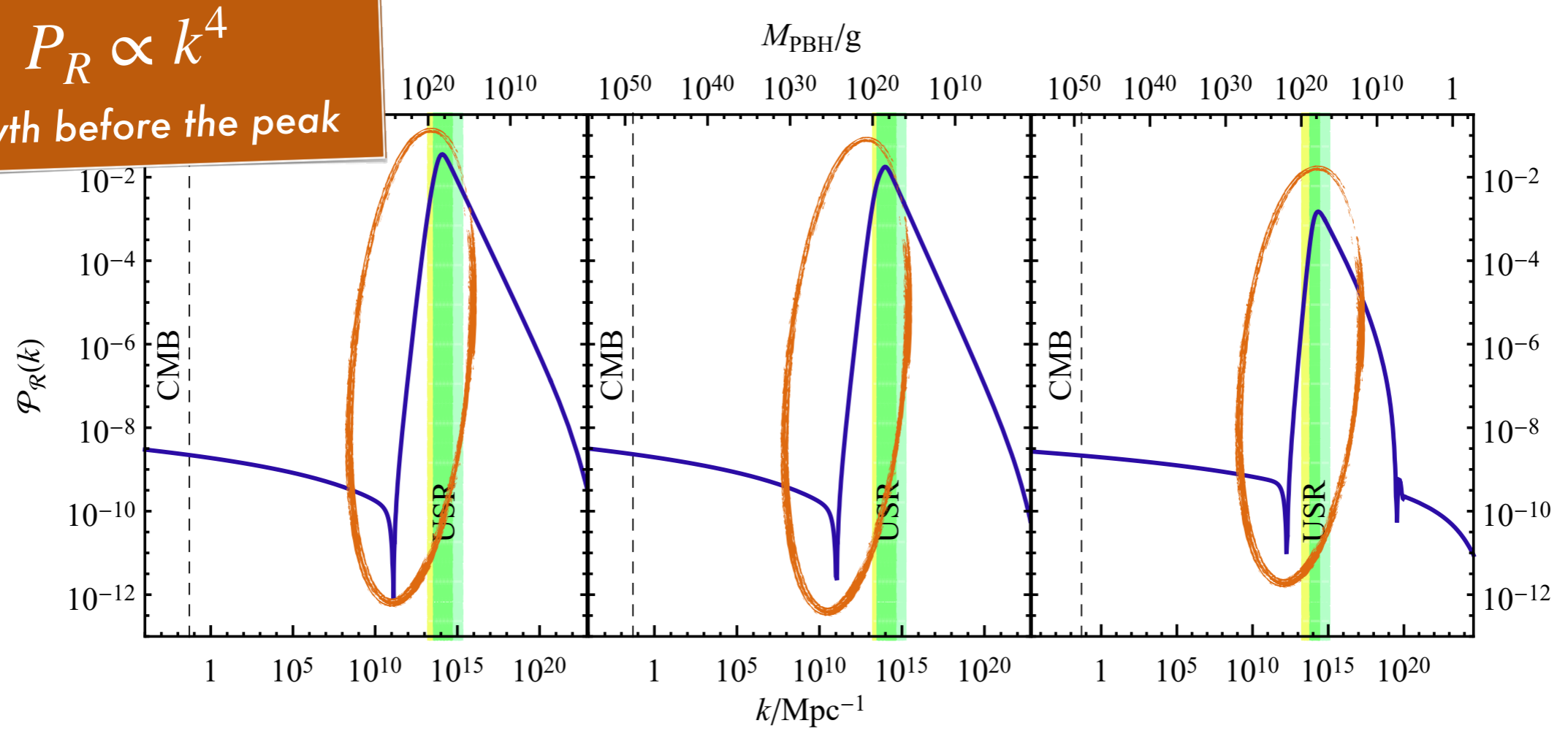
Model I

Model II

Model III



$P_R \propto k^4$   
growth before the peak

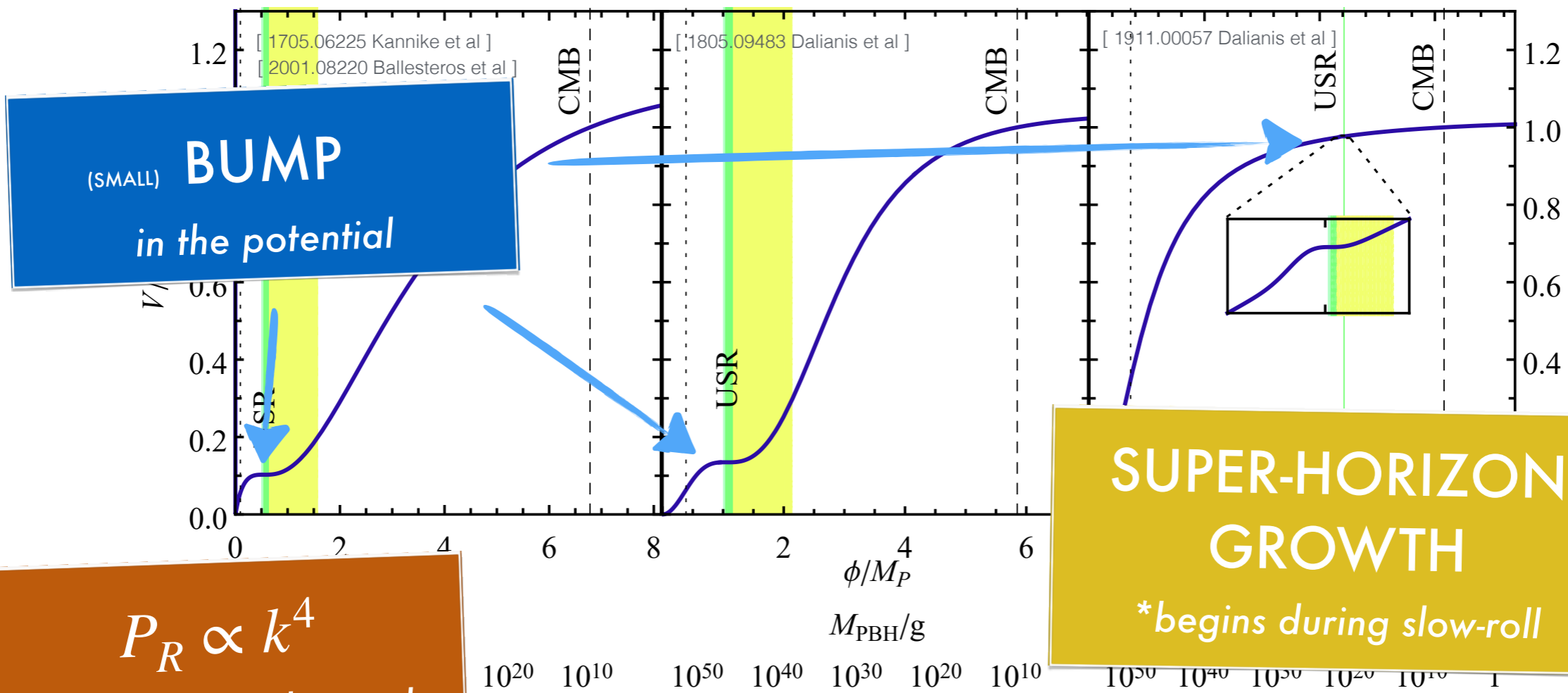


TYPICAL MODELS

Model I

Model II

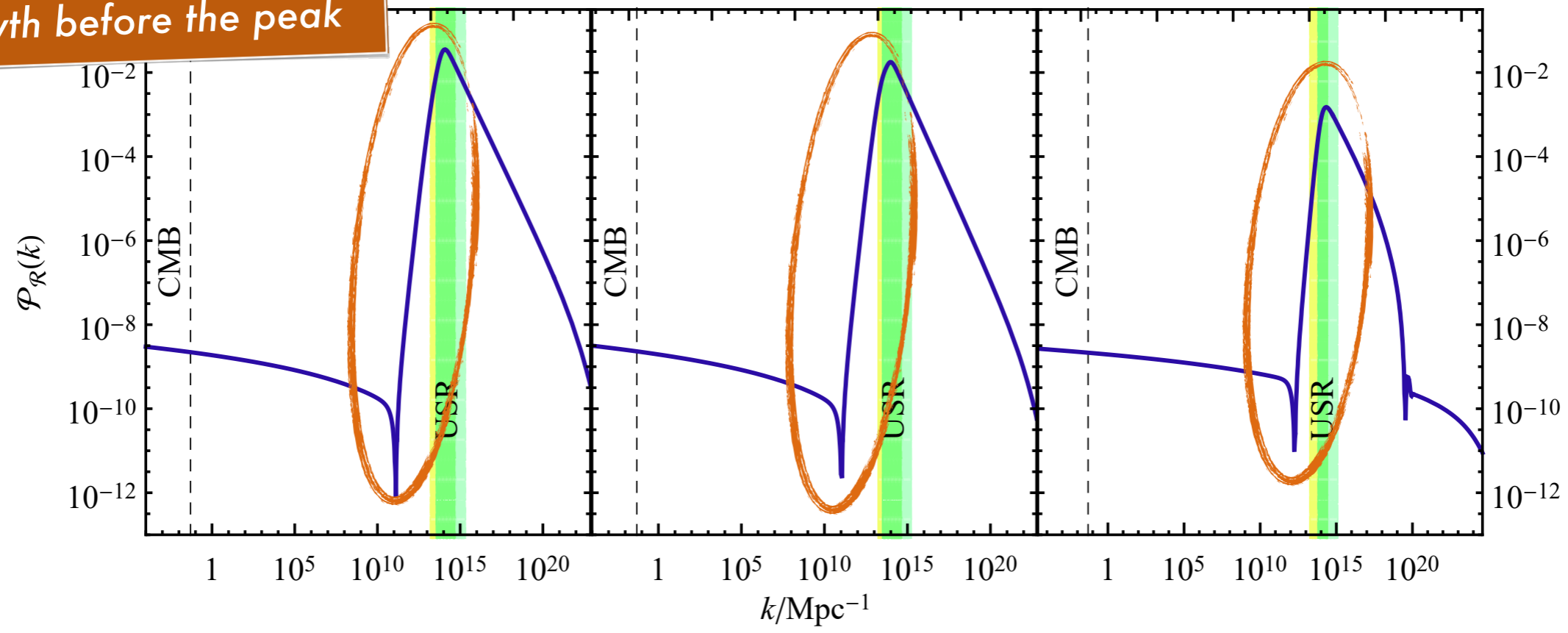
Model III



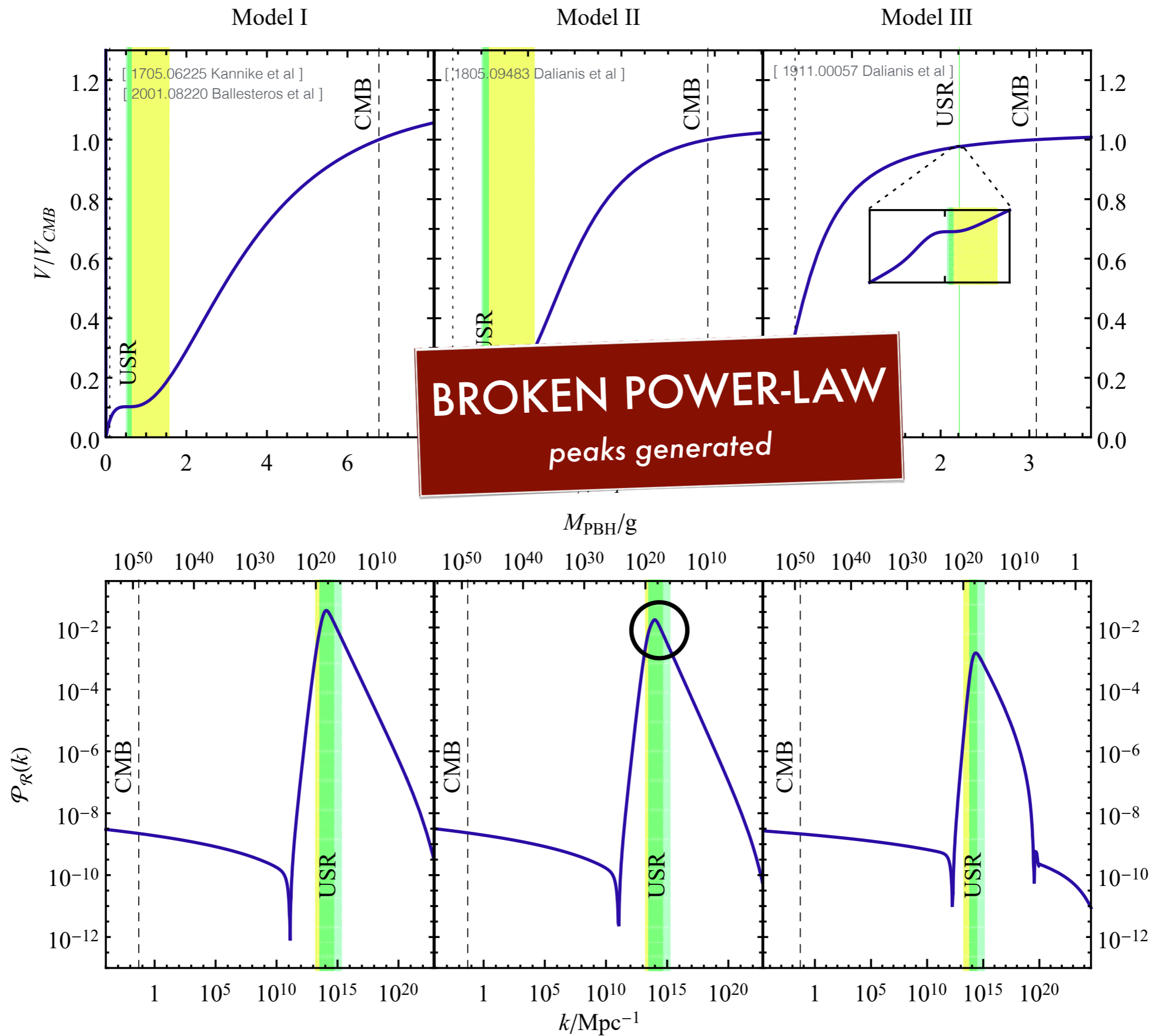
(SMALL) **BUMP**  
in the potential

**SUPER-HORIZON GROWTH**  
\*begins during slow-roll

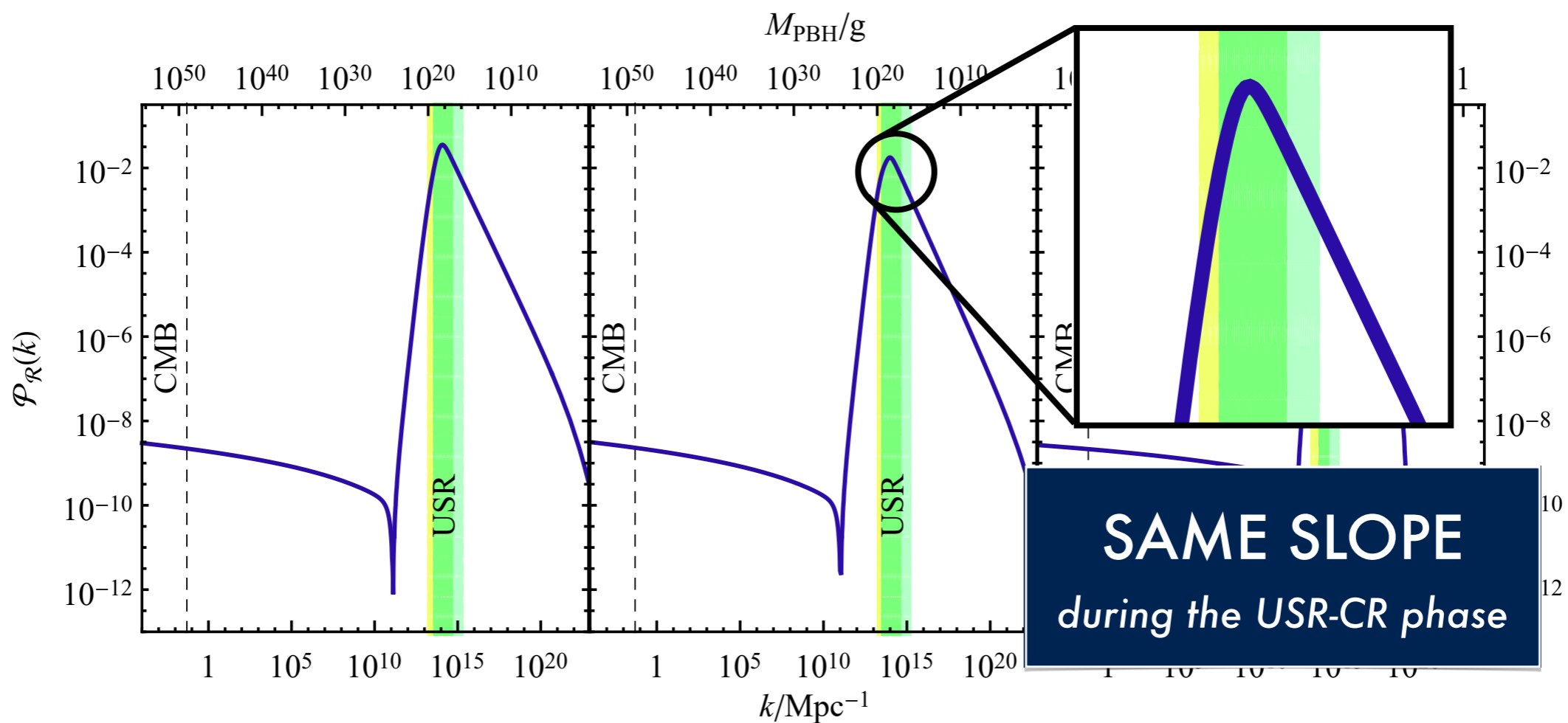
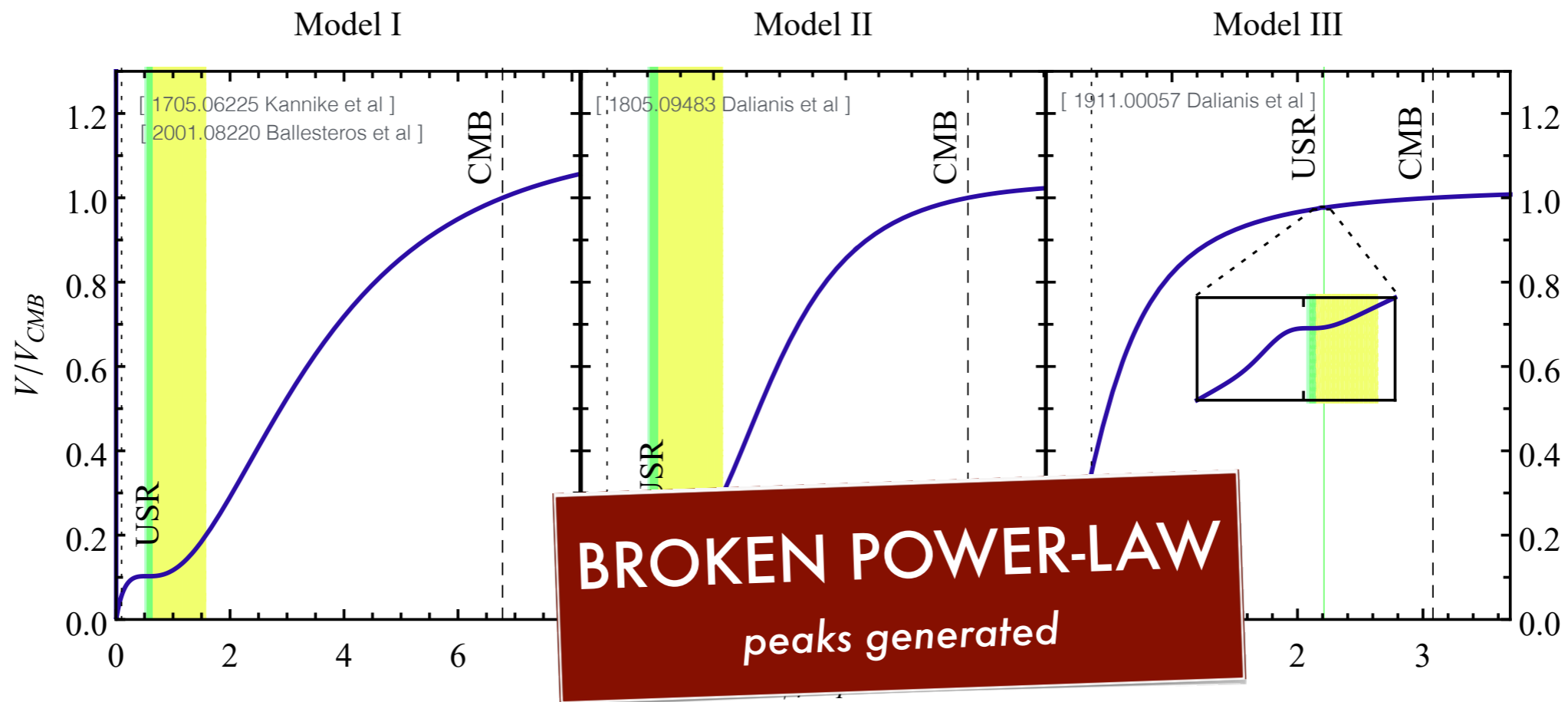
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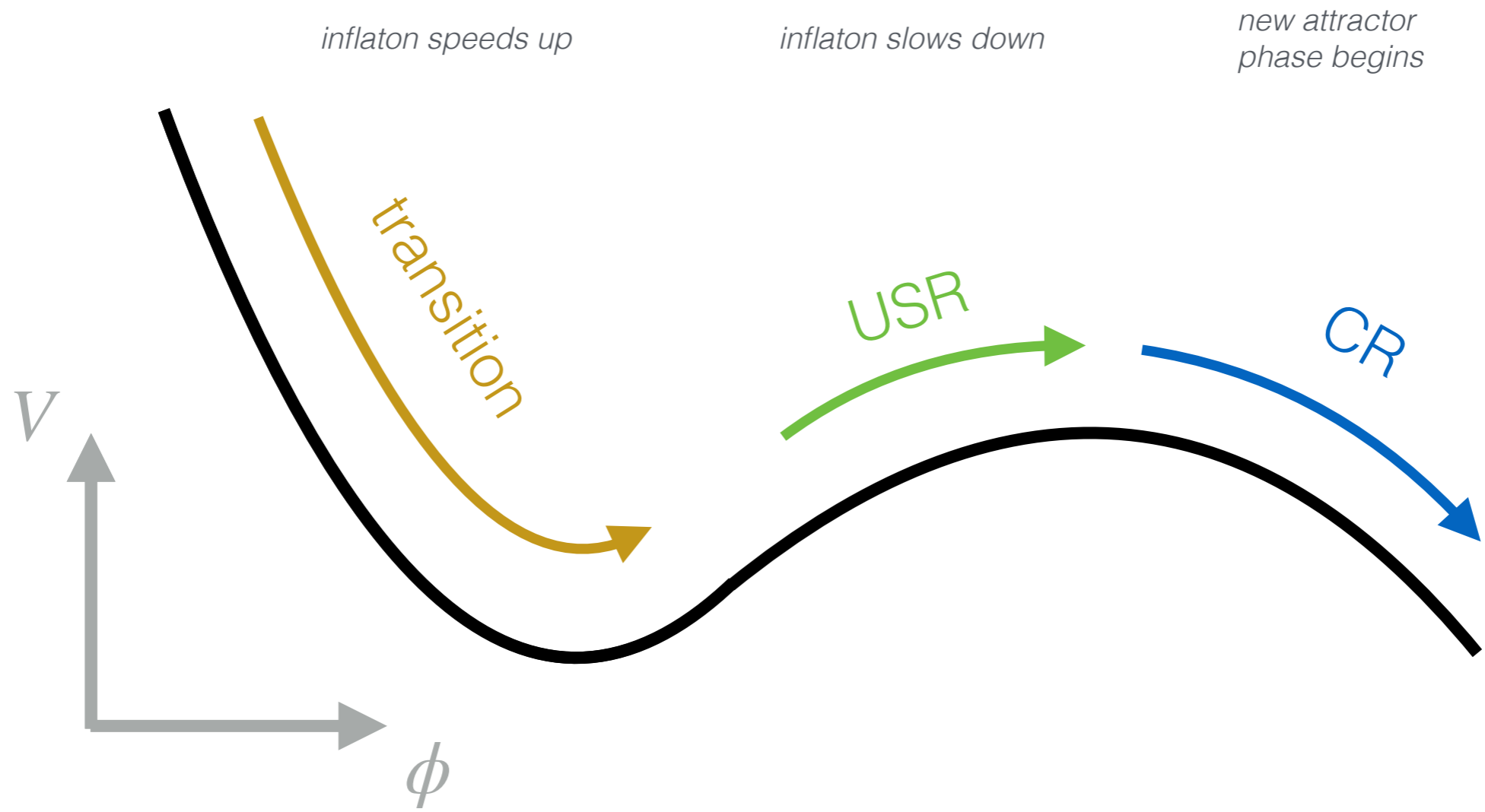


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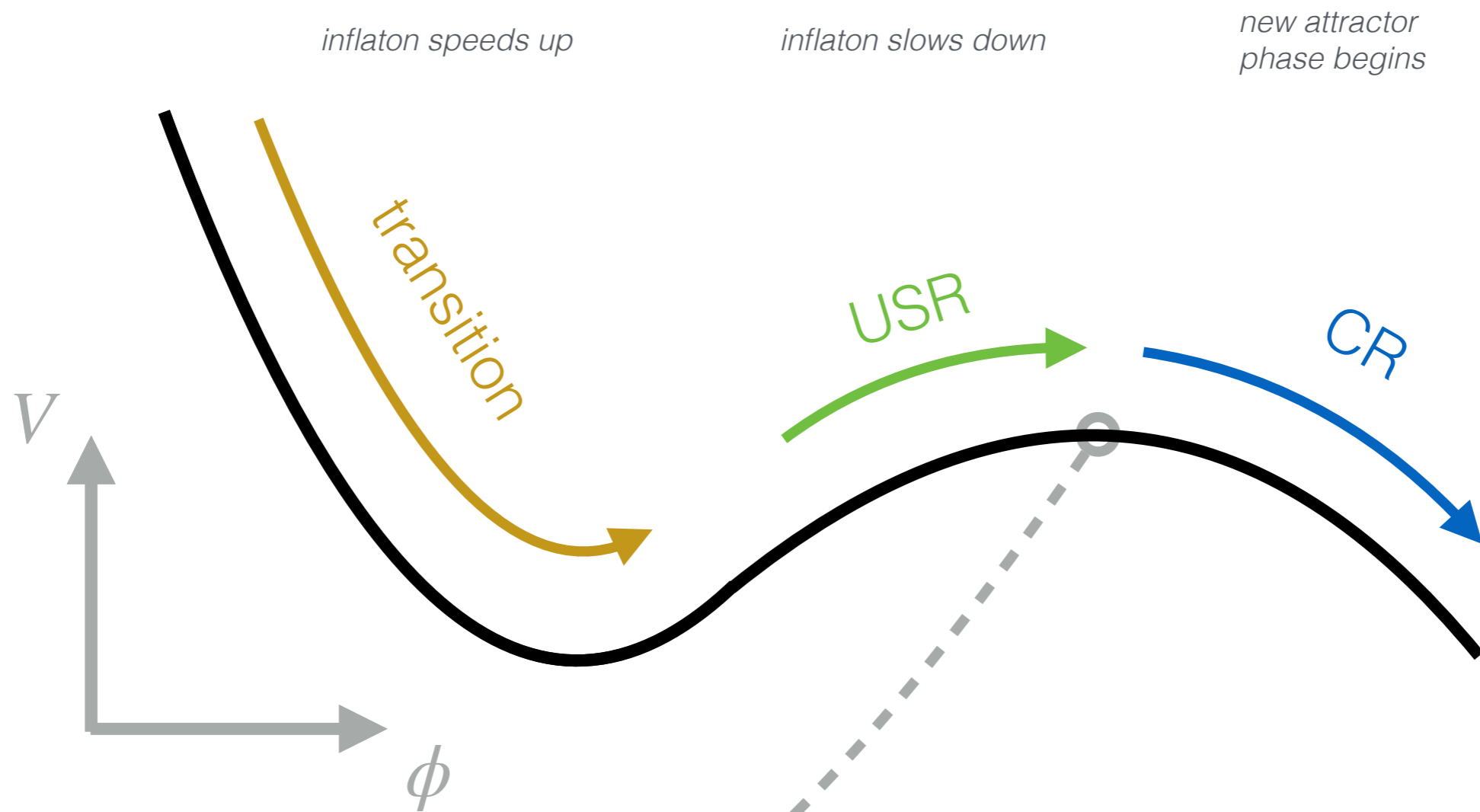




# WANDS DUALITY



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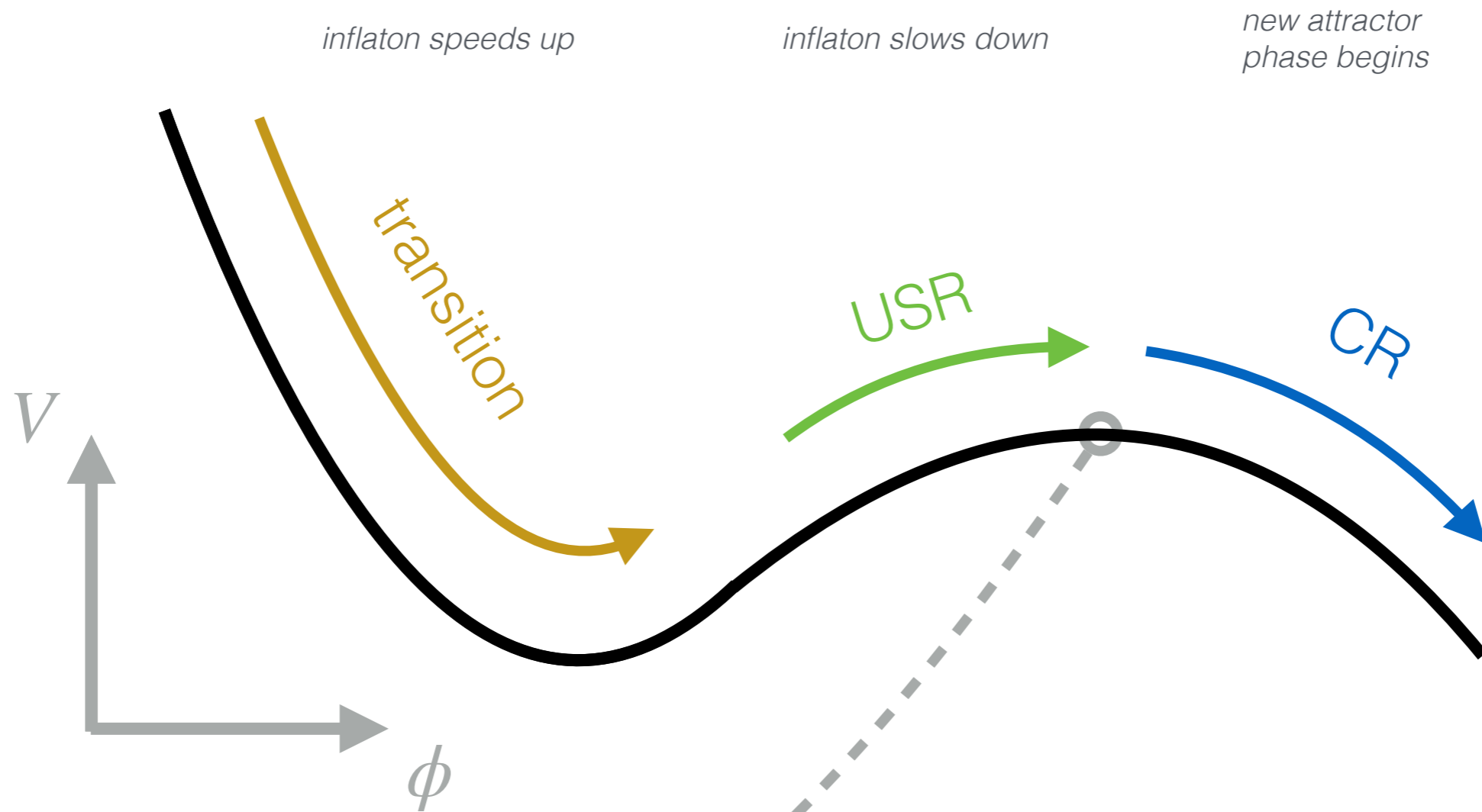


expansion around the local maximum:

$$\partial_N^2 \phi + 3\partial_N \phi \approx -\eta_{V,c} (\phi - \phi_c)$$

$$\eta_{V,c} \equiv V''(\phi_c)/V(\phi_c)$$

# WANDS DUALITY



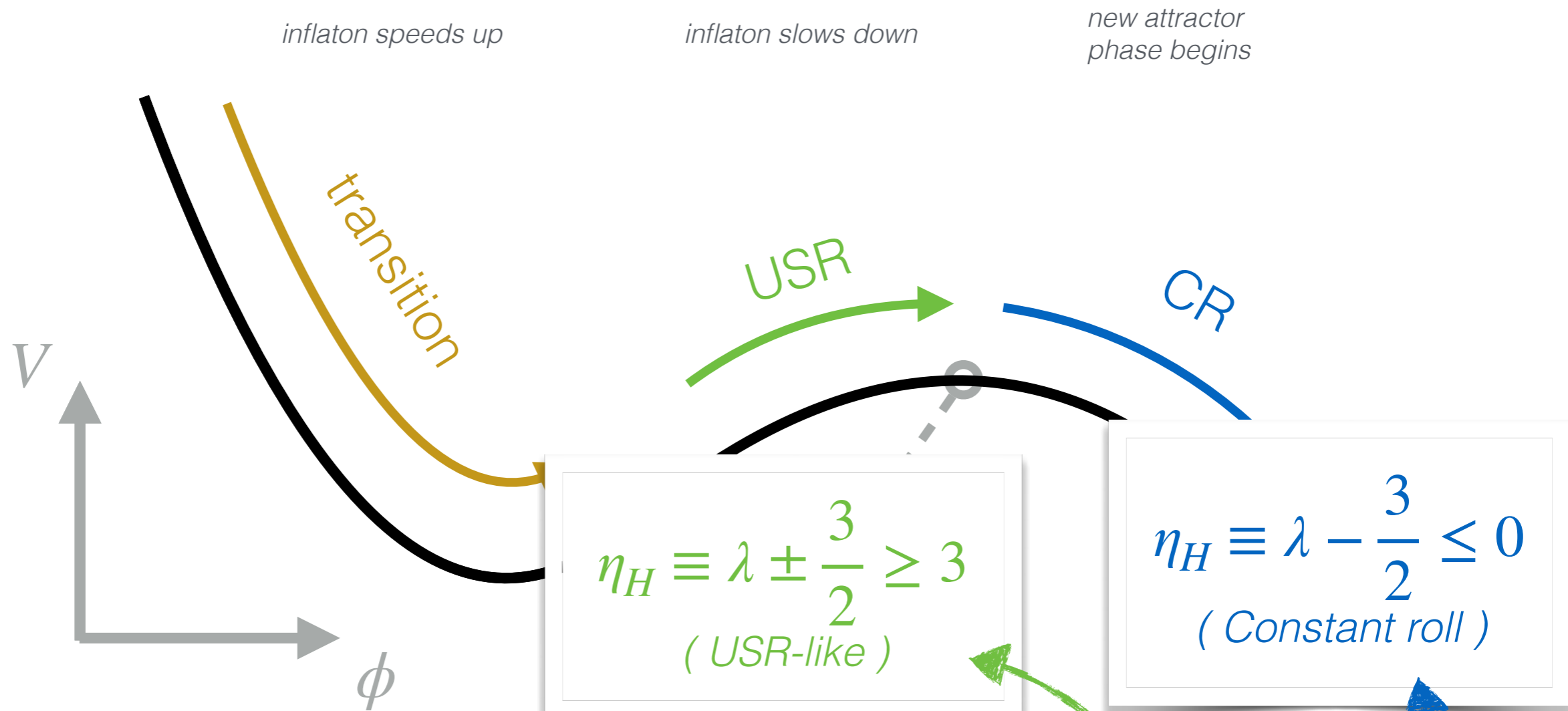
*expansion around the local maximum:*

$$\partial_N^2 \phi + 3\partial_N \phi \approx -\eta_{V,c} (\phi - \phi_c) \quad \Rightarrow \quad \phi - \phi_c = a^{-3/2} (\phi_- e^{-\lambda N} + \phi_+ e^{\lambda N})$$

$$\eta_{V,c} \equiv V''(\phi_c)/V(\phi_c)$$

$$\lambda \equiv \frac{3}{2} \sqrt{1 - \frac{4}{3} \eta_{V,c}}$$

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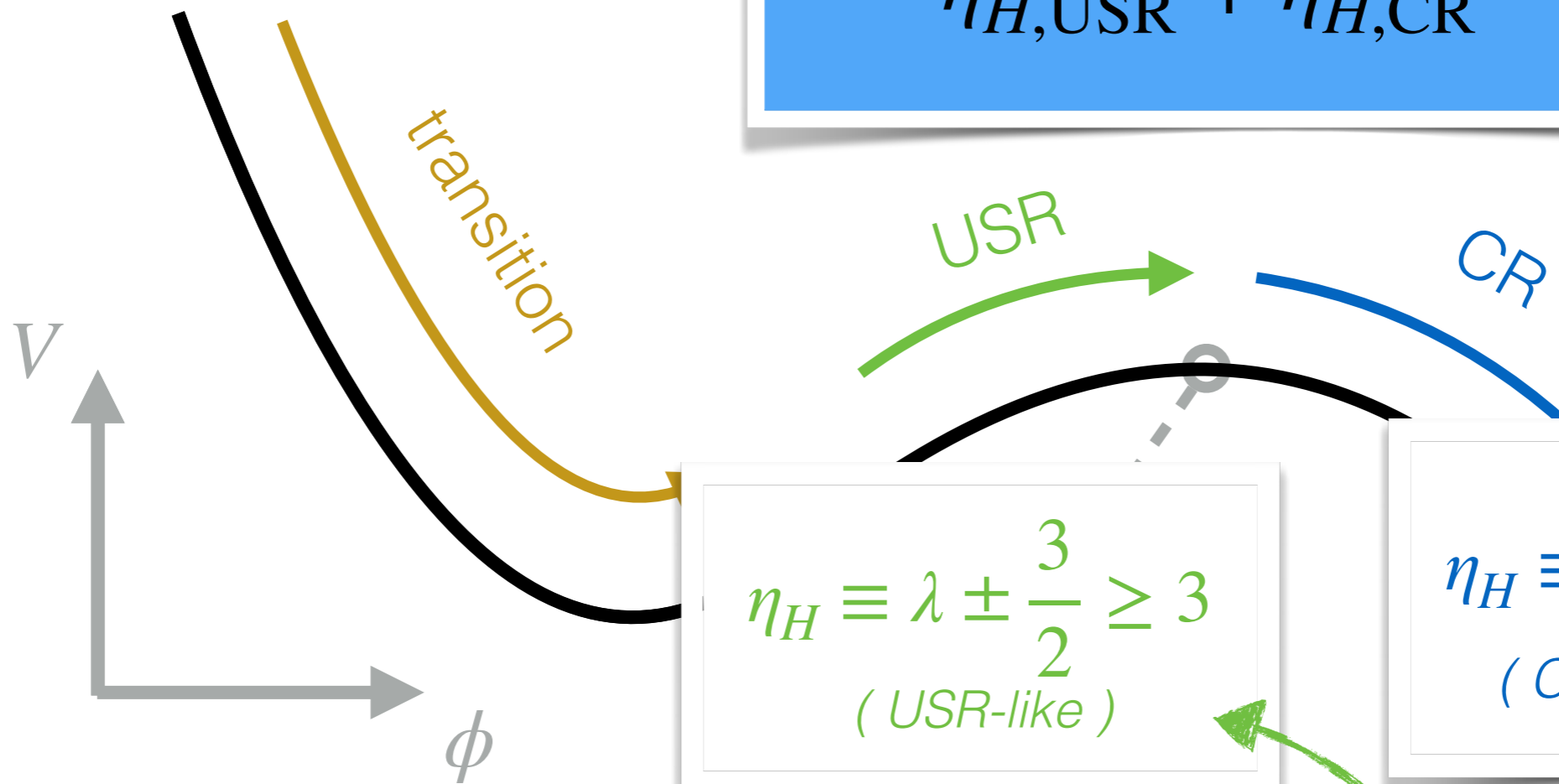
$$\eta_{V,c} \equiv V''(\phi_c)/V(\phi_c)$$

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# WANDS DUALITY

for smooth potentials

$$\eta_{H,USR} + \eta_{H,CR} = 3$$



$$\eta_H \equiv \lambda \pm \frac{3}{2} \geq 3$$

(USR-like)

$$\eta_H \equiv \lambda - \frac{3}{2} \leq 0$$

(Constant roll)

expansion around the local maximum:

$$\partial_N^2 \phi + 3\partial_N \phi \approx -\eta_{V,c} (\phi - \phi_c) \quad \Rightarrow \quad \phi - \phi_c = a^{-3/2} (\phi_- e^{-\lambda N} + \phi_+ e^{\lambda N})$$

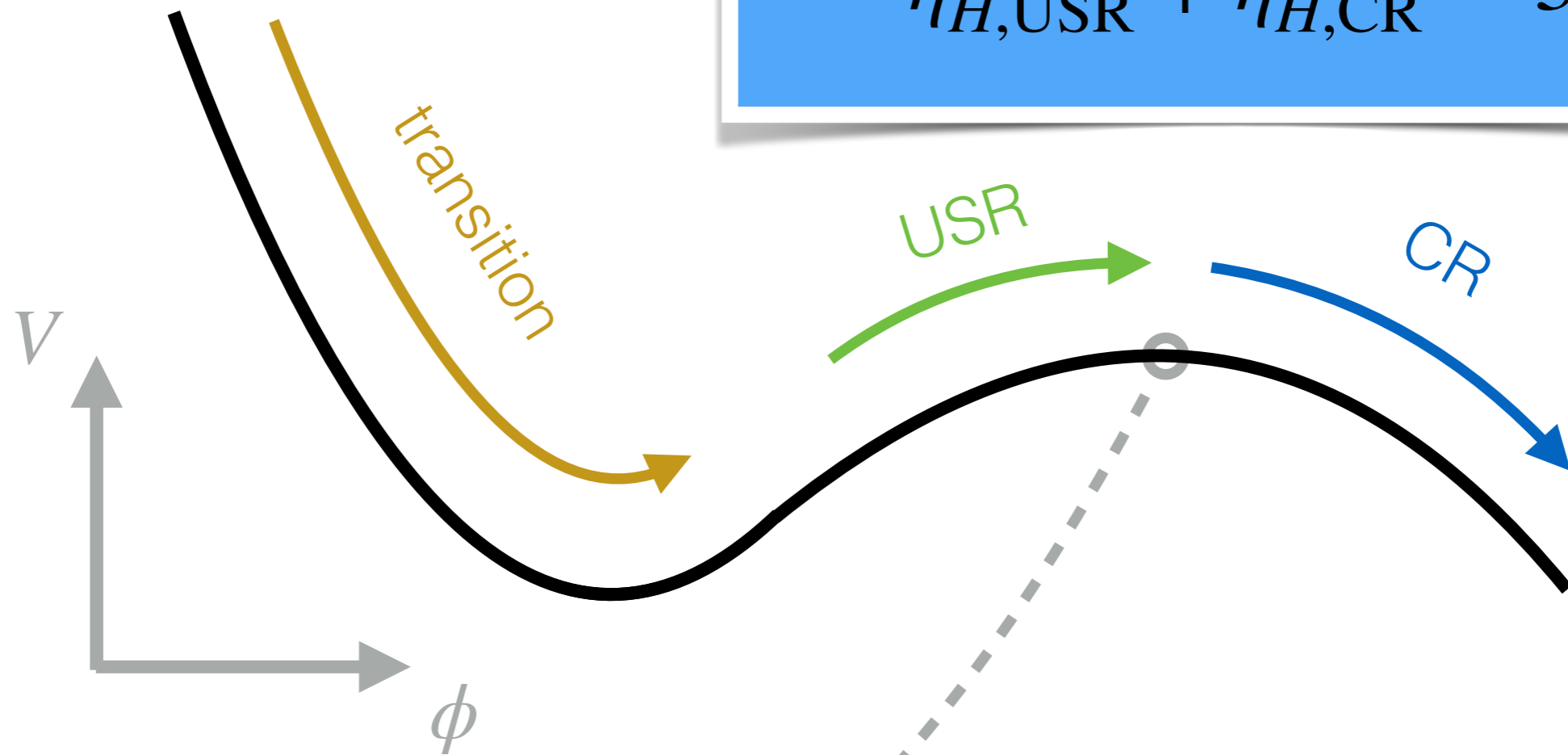
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# WANDS DUALITY

for smooth hills

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$\Rightarrow$

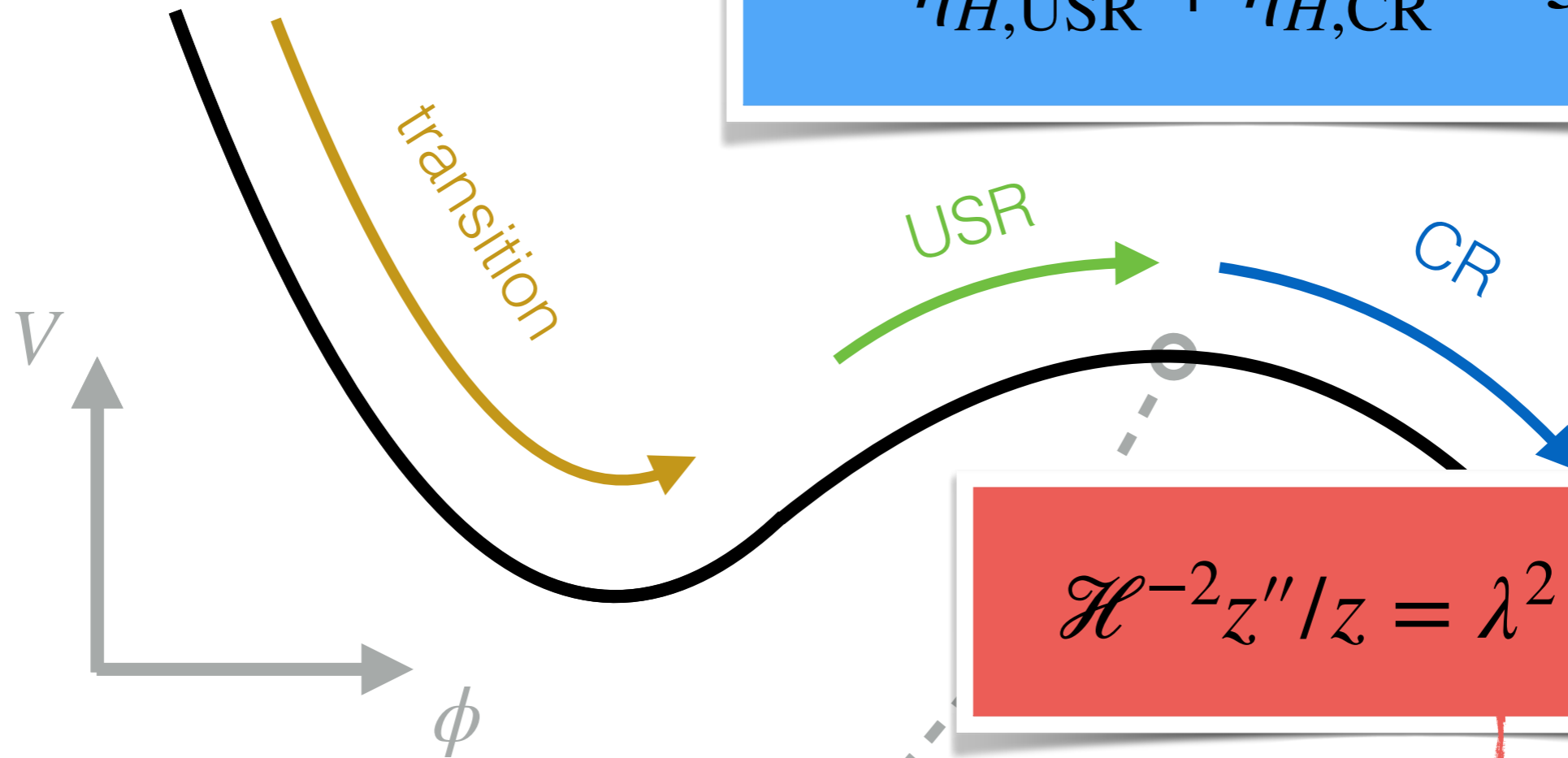
mode evolution:

$$u_k'' + \left( \frac{z''}{z} - k^2 \right) u_k = 0$$

$z \equiv a\partial_N \phi = a\dot{\phi}/H$



# WANDS DUALITY



for smooth hills

$$\eta_{H,USR} + \eta_{H,CR} = 3$$

$$\mathcal{H}^{-2} z'' / z = \lambda^2 - 1/4$$

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$\Rightarrow$

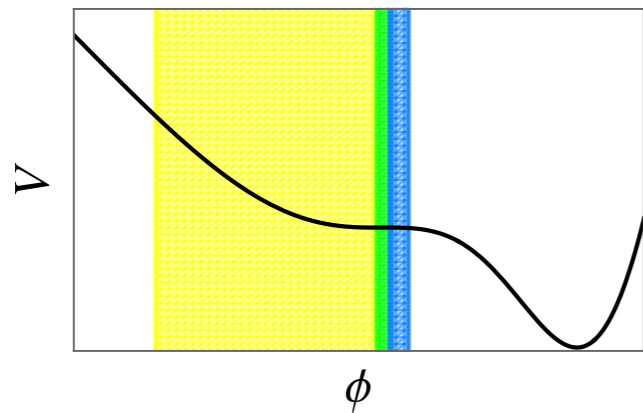
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mode evolution the same during USR and CR

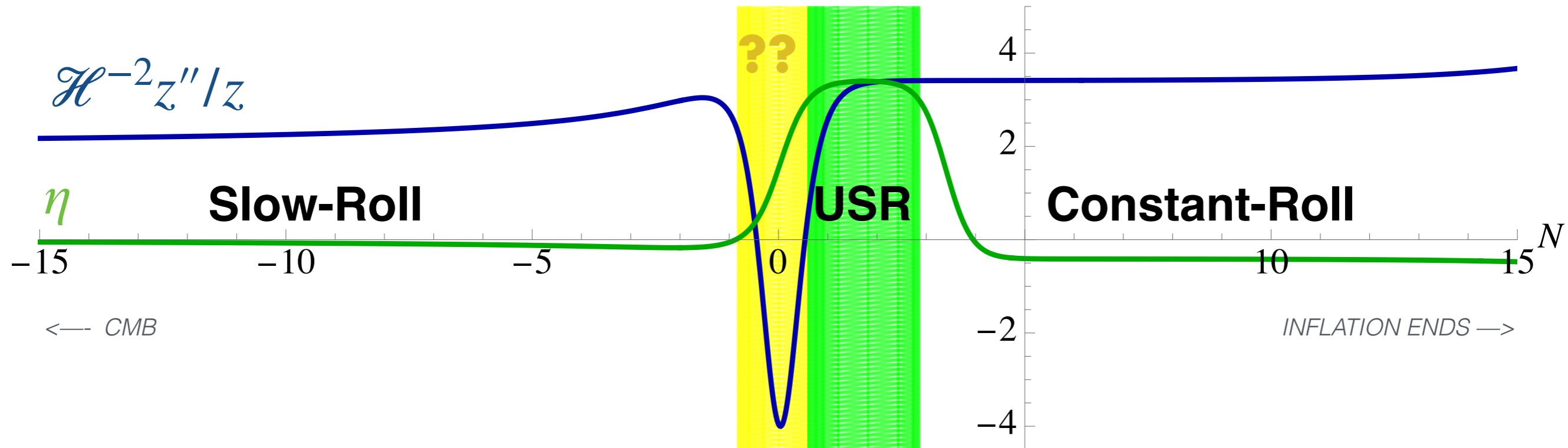
# BACKGROUND EVOLUTION



crossing of the valley

climbing the hill

rolling down the hill



$$\mathcal{H}^{-2} z'' / z \approx 2$$

$$*\lambda \approx 3/2$$

$$\eta \approx 0$$

$$\mathcal{H}^{-2} z'' / z = \lambda^2 - 1/4$$

$$*\lambda \approx 1.91$$

$$\eta \approx \frac{3}{2} + \lambda$$

$$\eta \approx \frac{3}{2} - \lambda$$

# MODE EVOLUTION

GROWING

DAMPED

$$u_{k,1} = c_{1+} J_{-\lambda_1}(\kappa) + c_{1-} J_{\lambda_1}(\kappa)$$

$$= \frac{E^{-i\kappa}}{\sqrt{2k}} \left( 1 - \frac{i}{\kappa} \right)$$

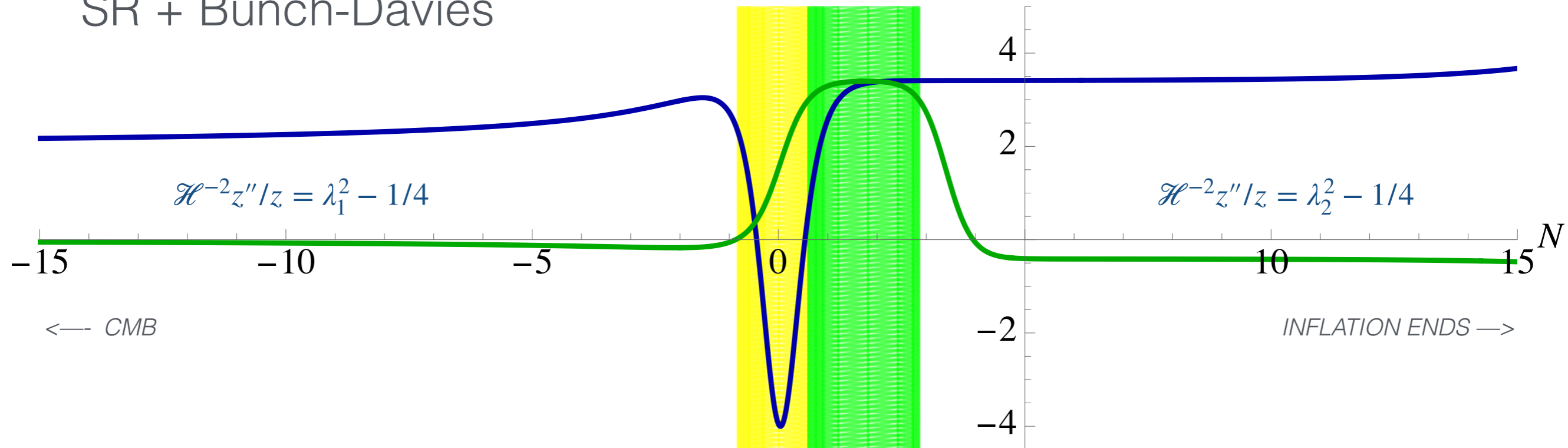
SR + Bunch-Davies

GROWING

DAMPED

$$u_{k,2} = c_{2+} J_{-\lambda_2}(\kappa) + c_{2-} J_{\lambda_2}(\kappa)$$

$$\kappa \equiv k/\mathcal{H}$$



# MODE EVOLUTION

GROWING

DAMPED

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SR + Bunch-Davies

MIXING



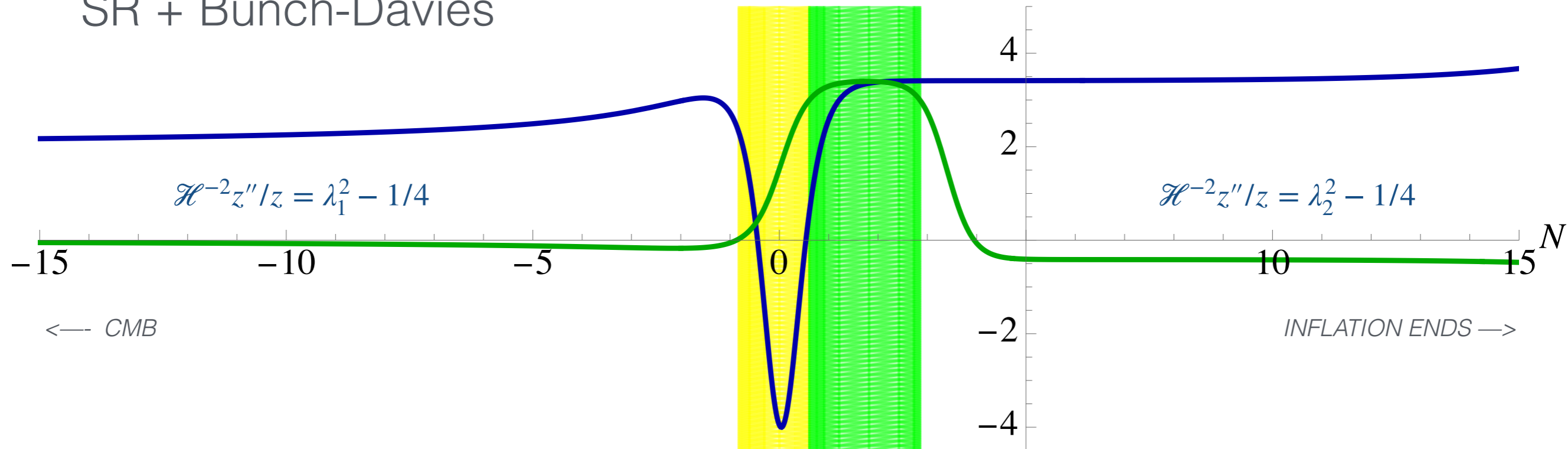
$$\begin{pmatrix} c_{1+} \\ c_{2+} \end{pmatrix} = M_k \begin{pmatrix} c_{1-} \\ c_{2-} \end{pmatrix}$$

GROWING

DAMPED

$$u_{k,2} = c_{2+} J_{-\lambda_2}(\kappa) + c_{2-} J_{\lambda_2}(\kappa)$$

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# MODE EVOLUTION

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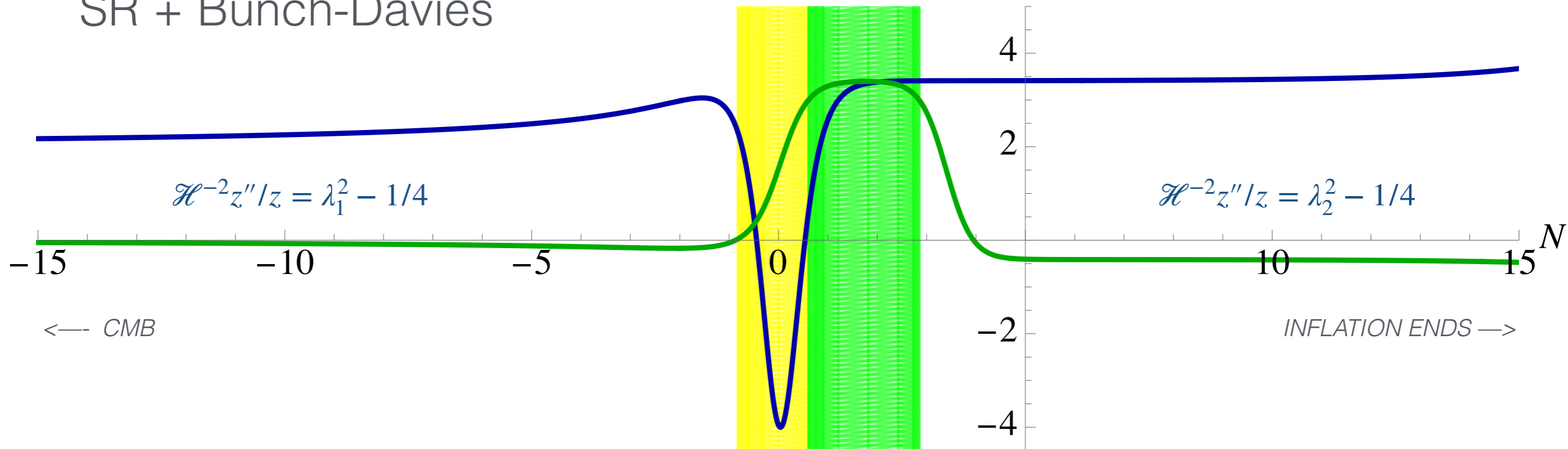


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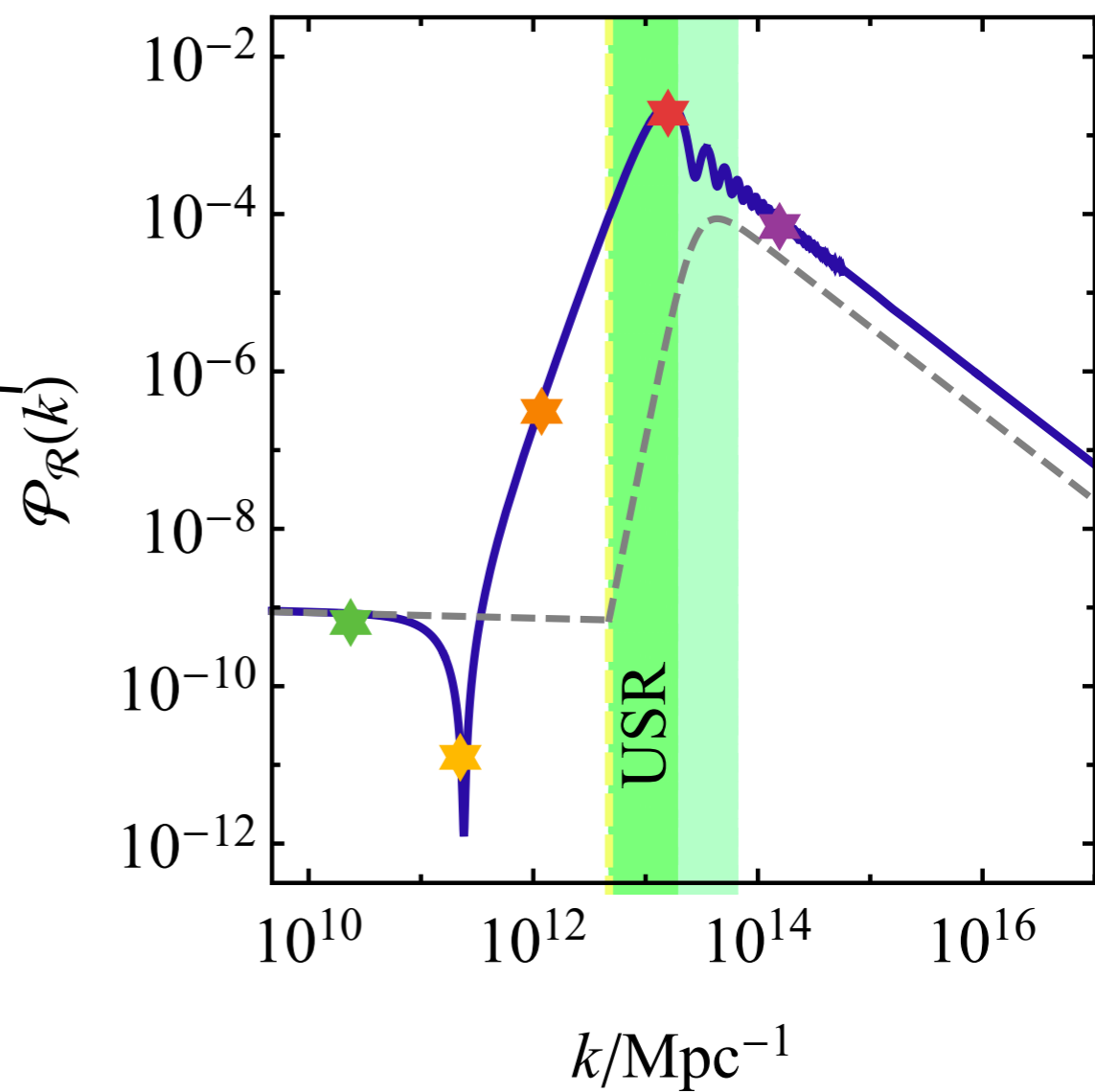
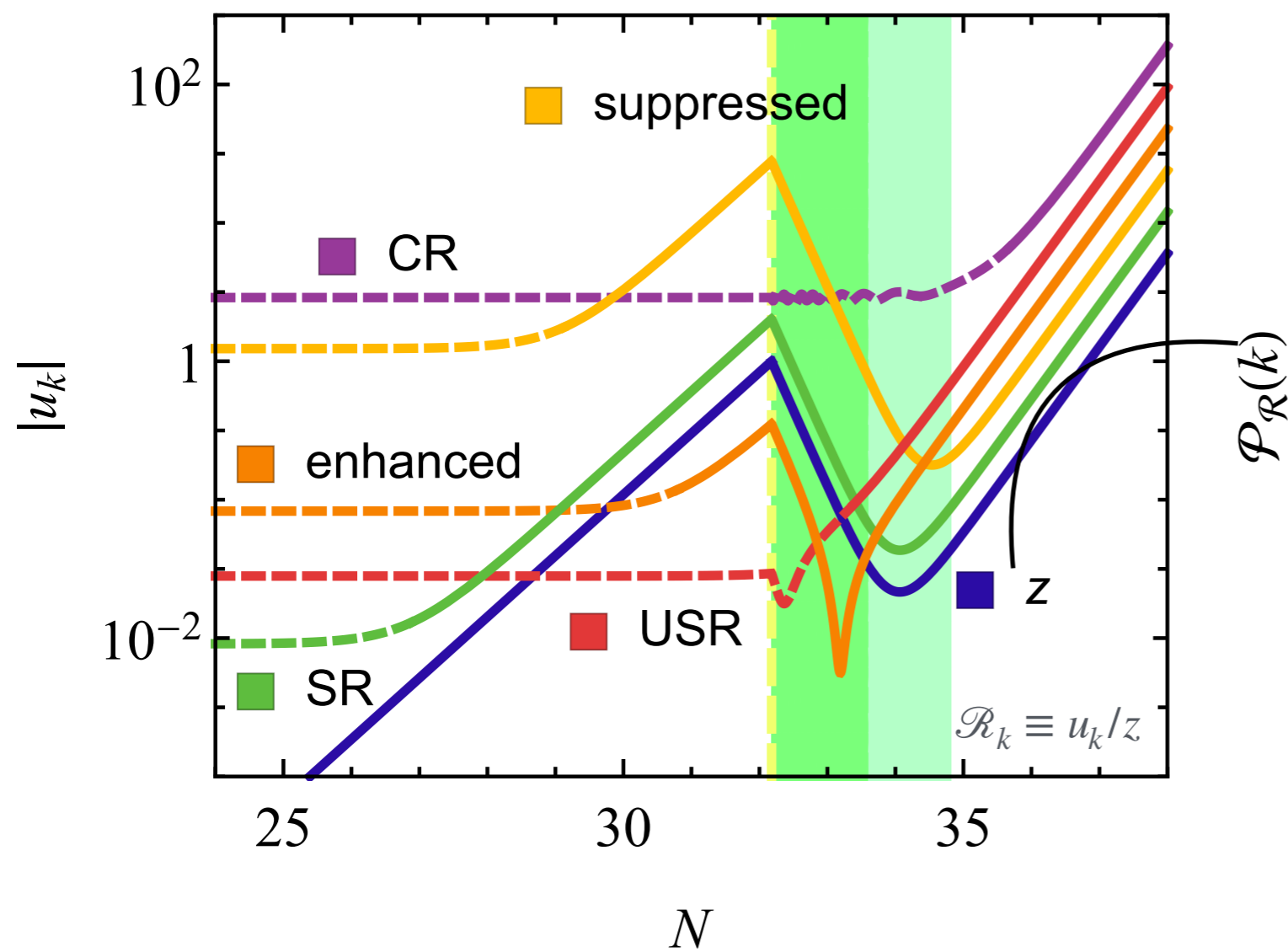
$$\kappa \equiv k/\mathcal{H}$$



power spectrum depends on the transfer matrix:

$$P_{\mathcal{R}} = \frac{k^3}{2\pi^2} |\mathcal{R}_c|^2 = \frac{k^2 \Gamma(\lambda_2)^2}{4\pi^3} \left| \frac{c_{2+}}{\zeta_2} \right|^2 \left( \frac{k}{2\mathcal{H}(N_c)} \right)^{1-2\lambda_2}$$

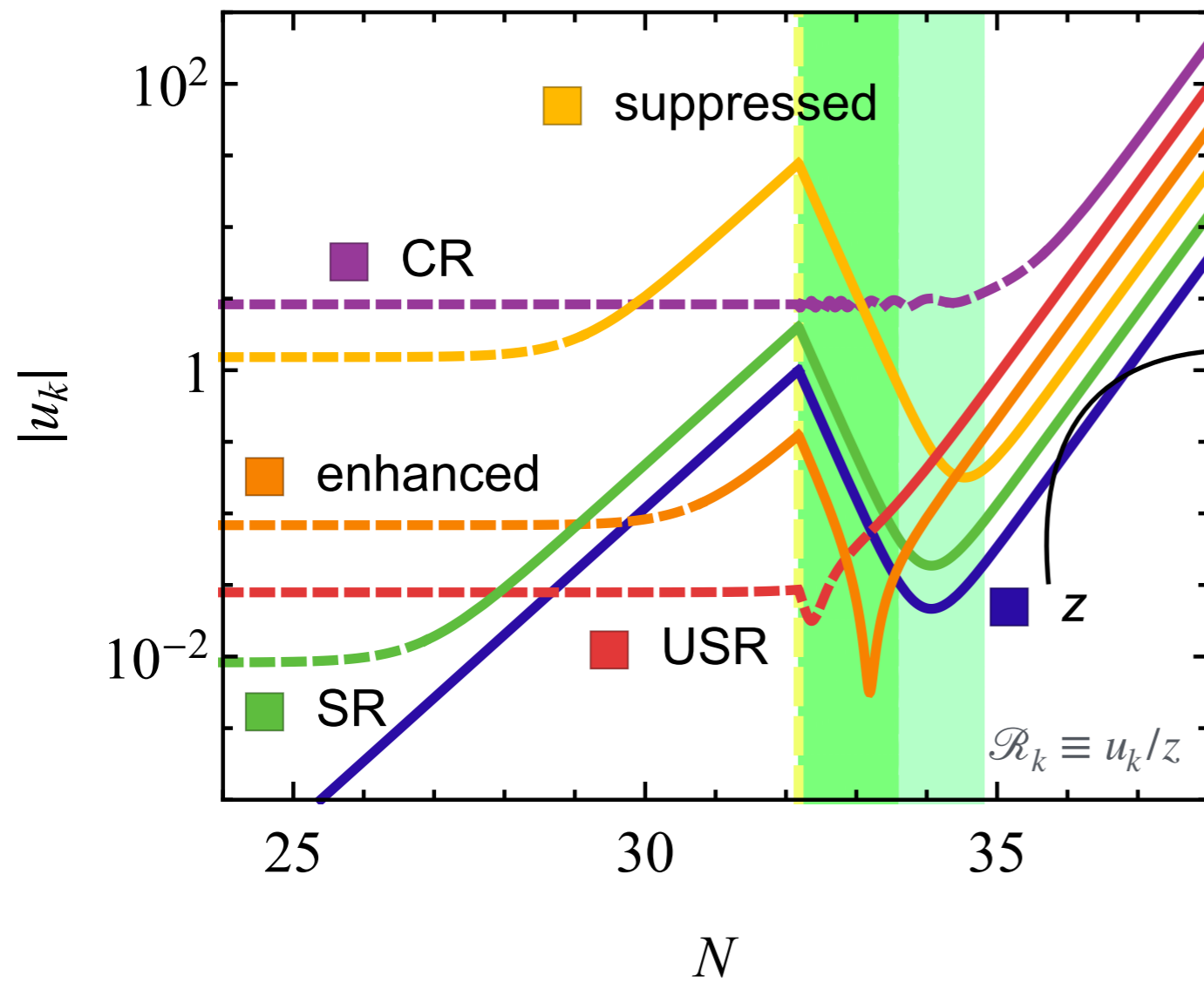
# MODE EVOLUTION





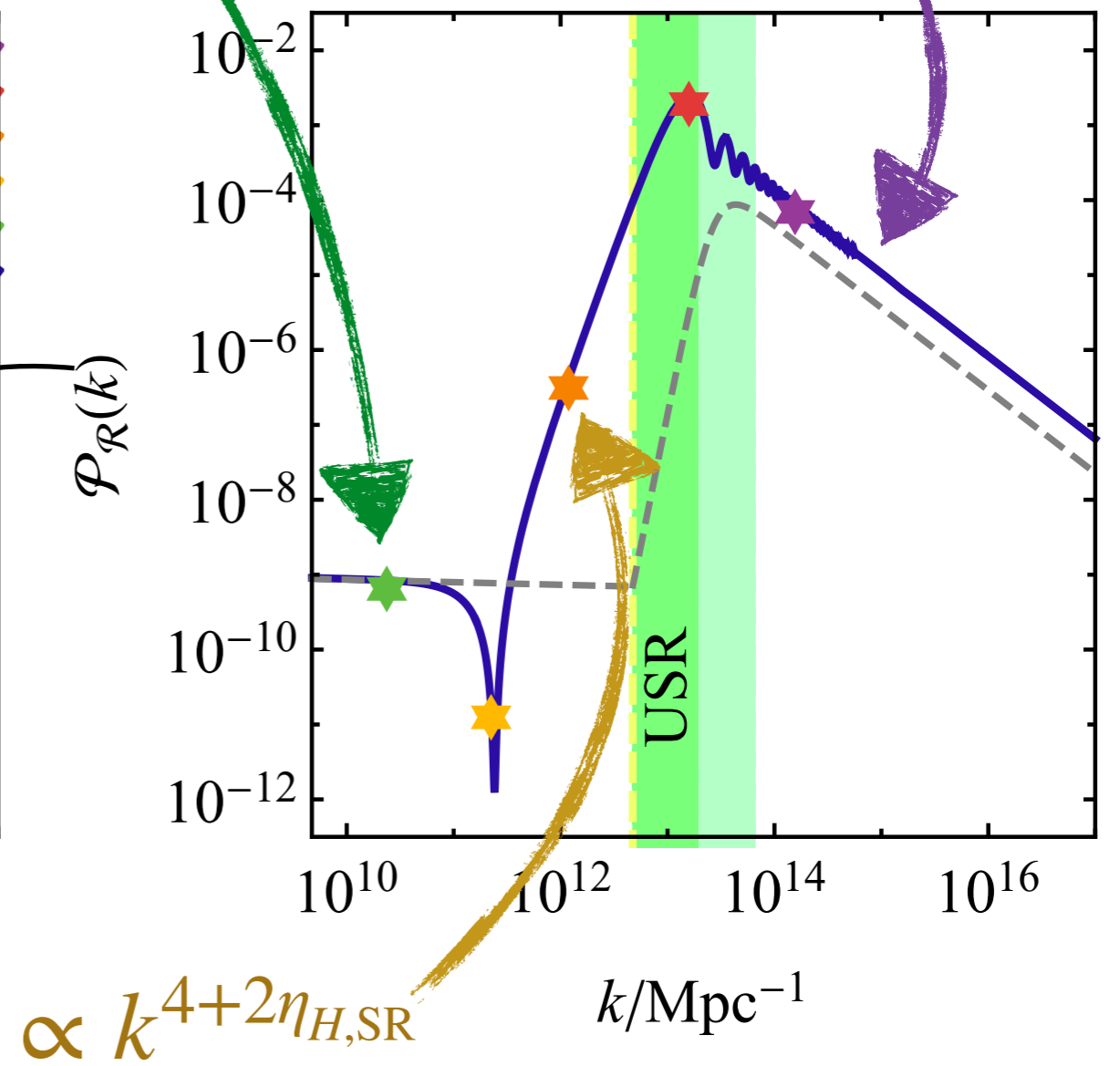
# MODE EVOLUTION

$$u_k'' + \left( \frac{z''}{z} - k^2 \right) u_k = 0$$



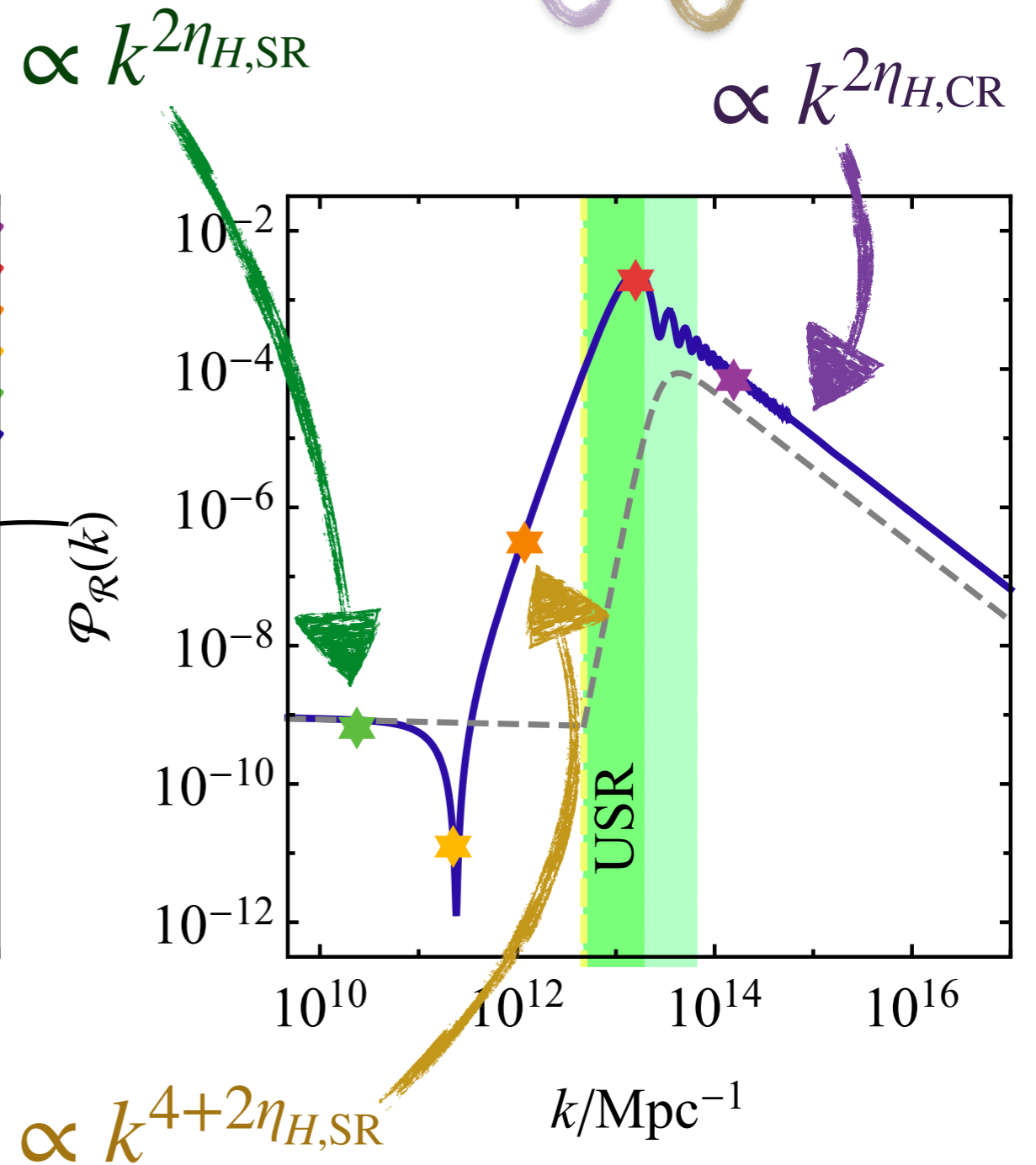
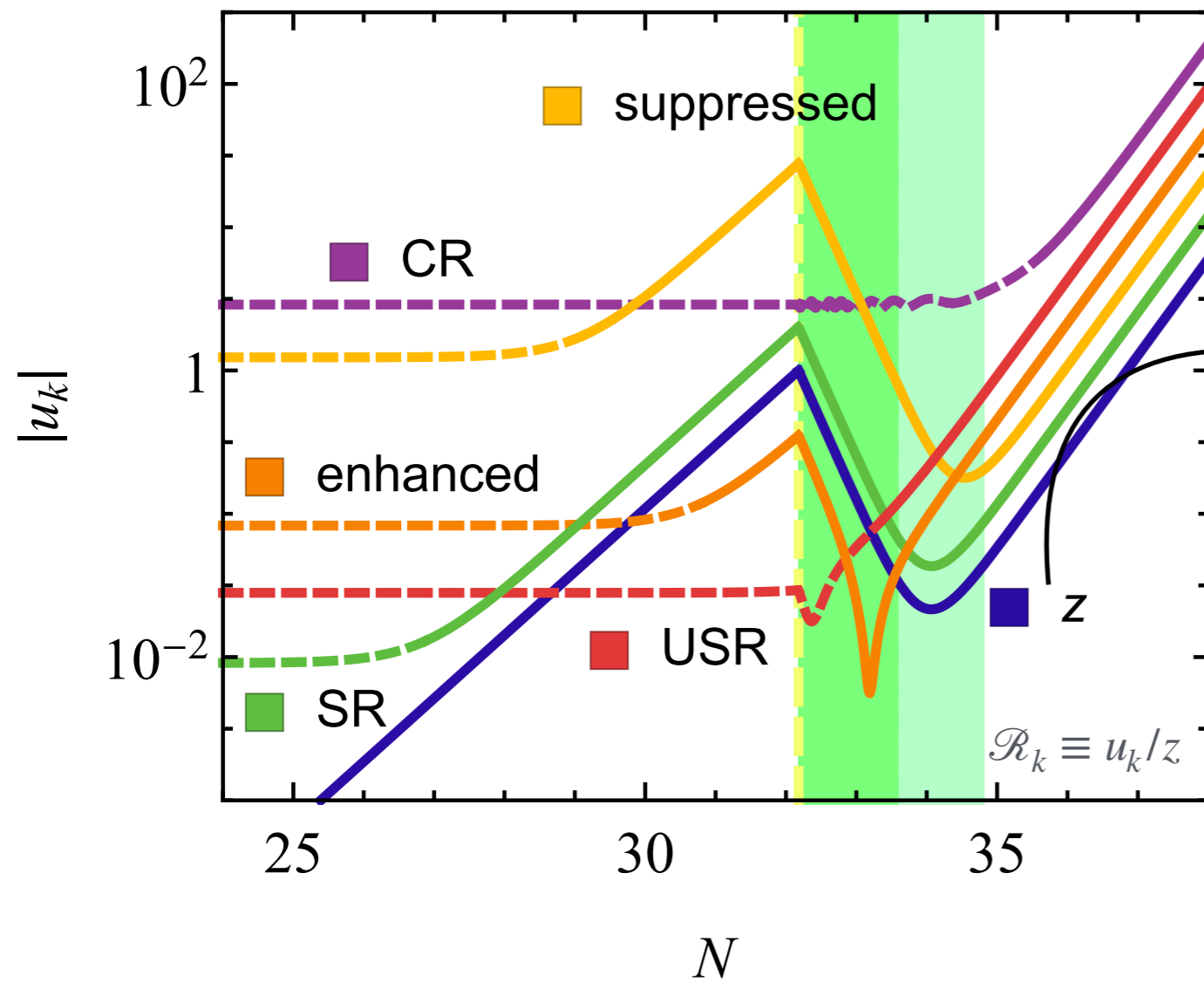
$$\propto k^{2\eta_{H,SR}}$$

$$\propto k^{2\eta_{H,CR}}$$



# MODE EVOLUTION

$$u_k'' + \left( \frac{z''}{z} - k^2 \right) u_k = 0$$



- faster than  $k^4$  growth possible if pre-USR phase spectrum is blue tilted
- for instance, stacking growth phases can give  $k^8$  growth [2012.02518 Tasinato]

# INFLATIONARY TIMELINE

## 1. SLOW-ROLL (SR)

CMB

## 2. TRANSITION from SR to USR

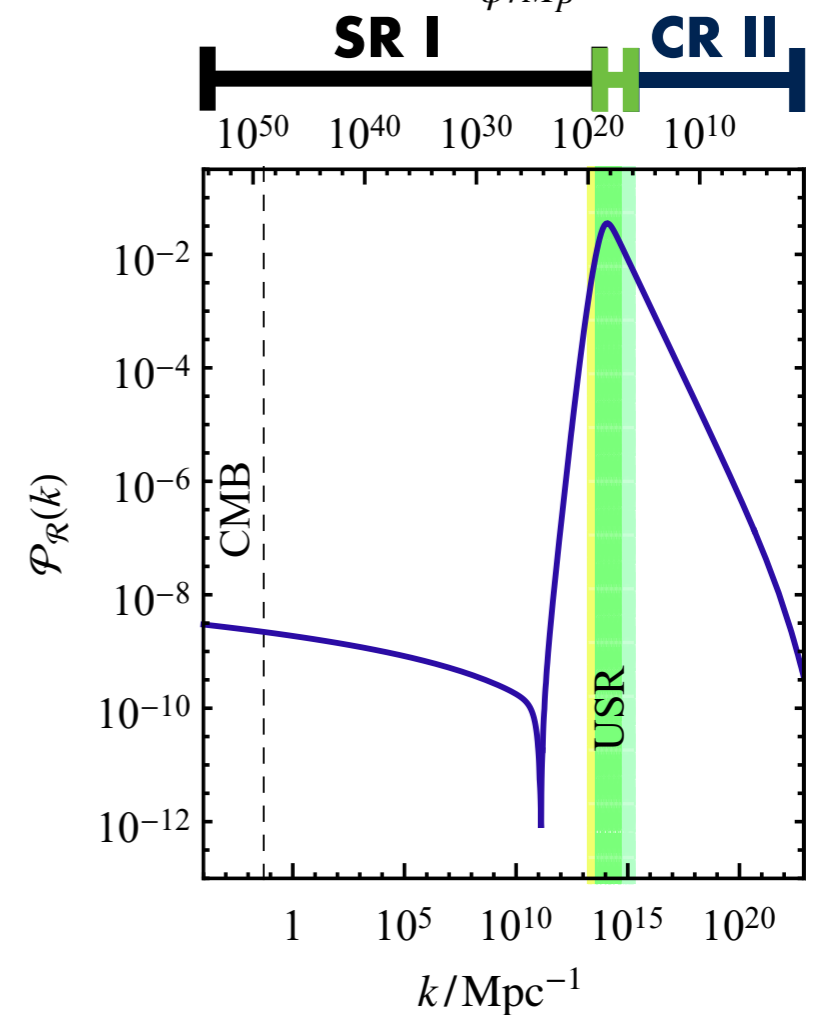
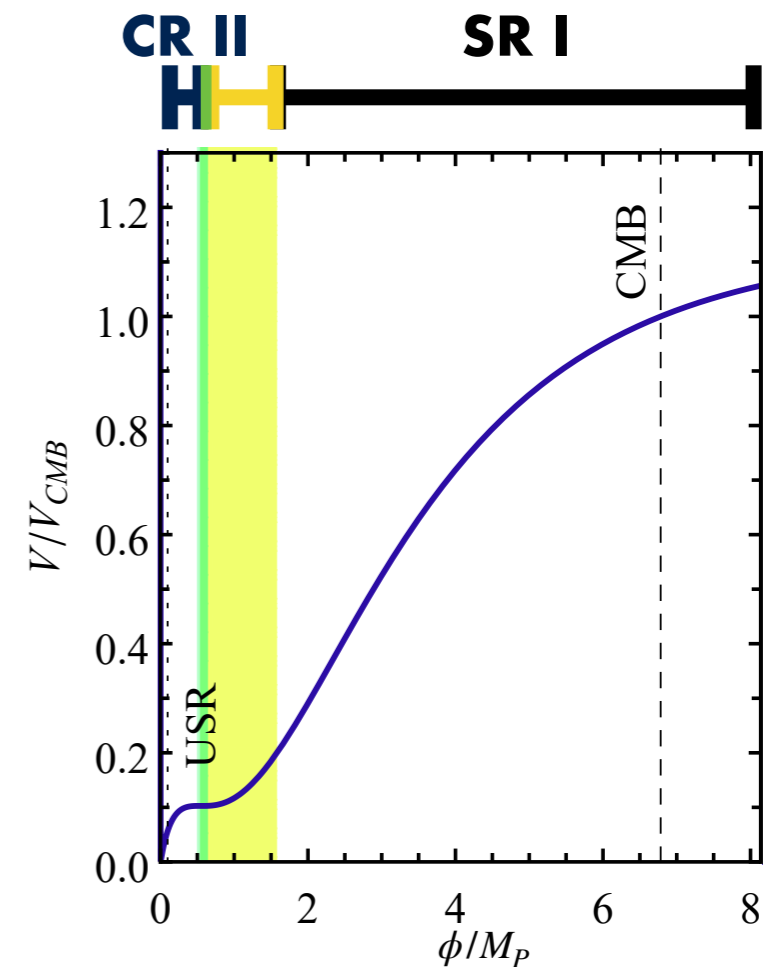
PEAK SHAPE

## 3. ULTRA-SLOW-ROLL (USR)

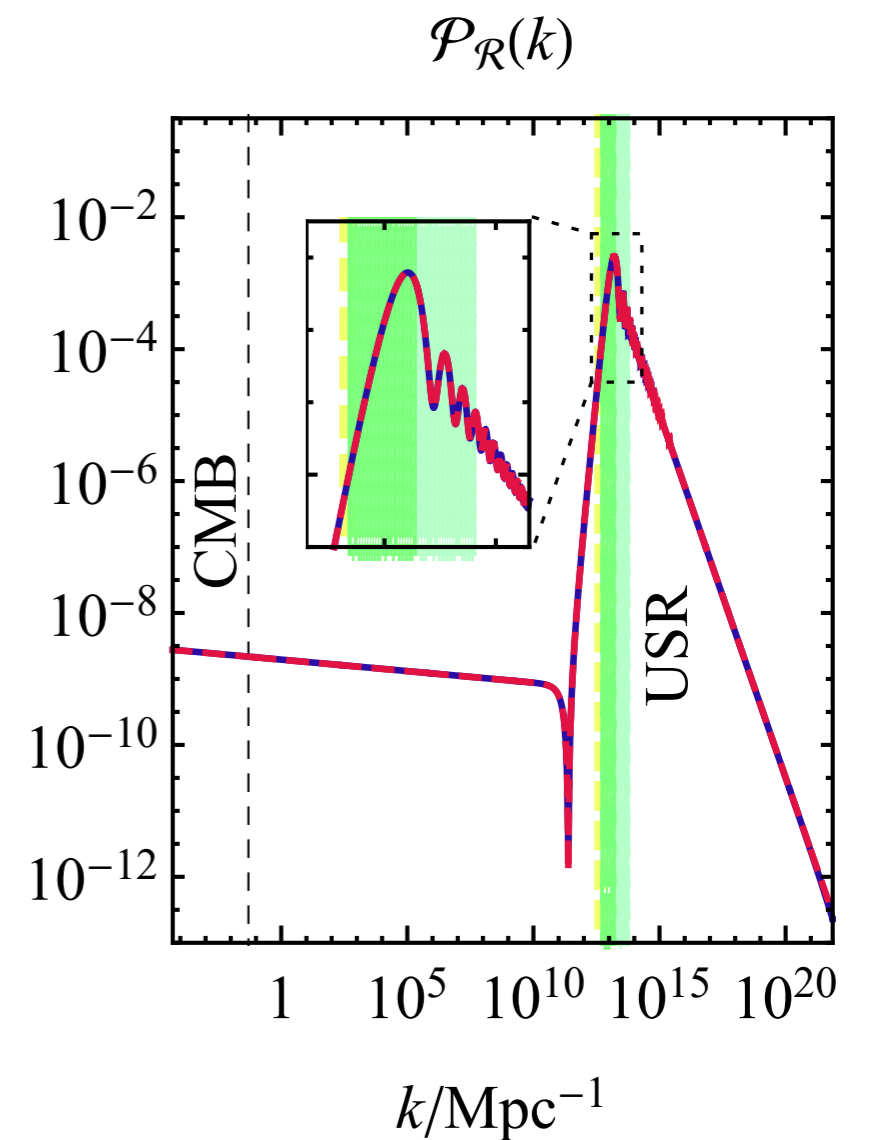
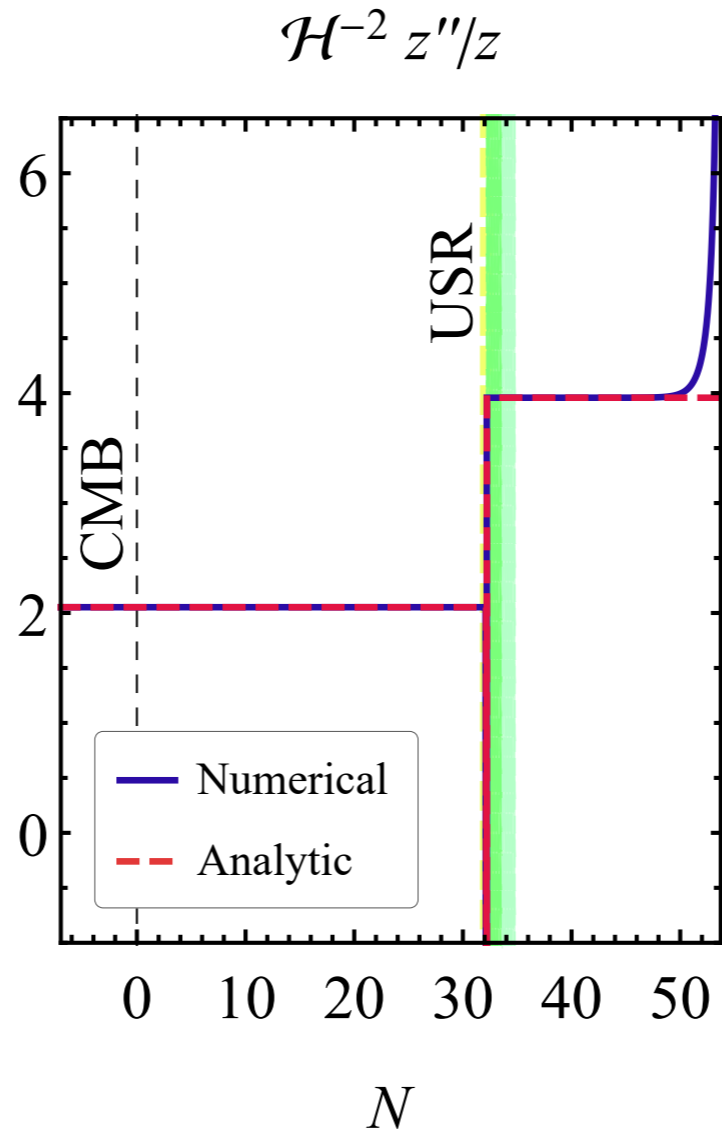
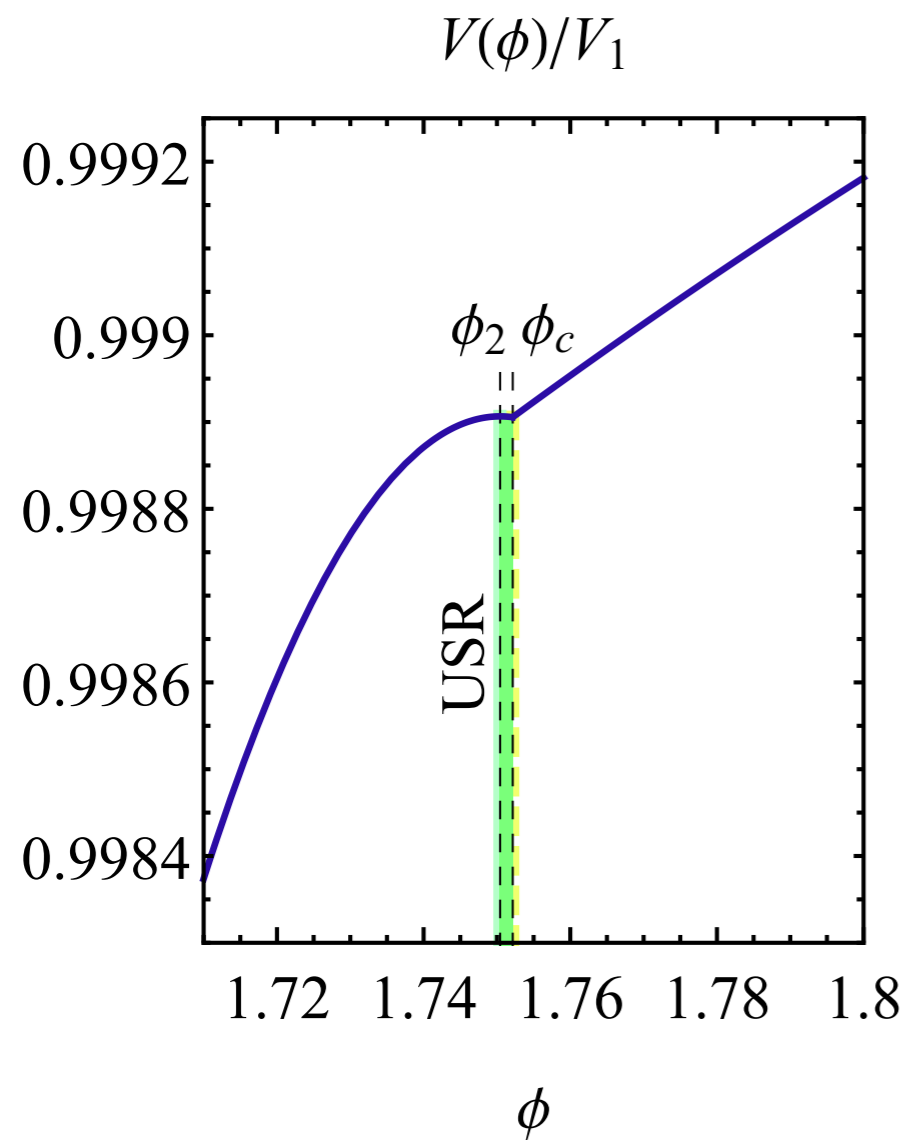
## 4. TRANSITION from USR to CR

## 5. CONSTANT-ROLL (CR)

DUALITY

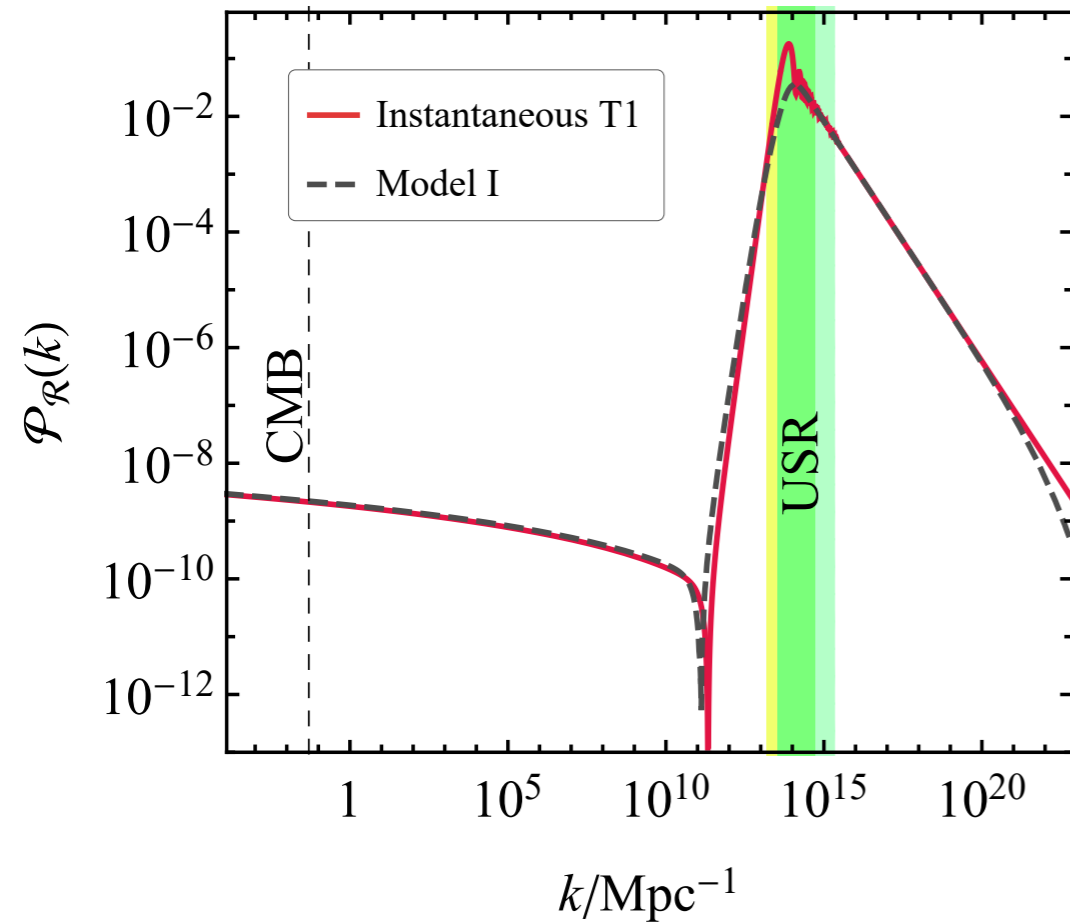


# A SIMPLIFIED MODEL: INSTANTANEOUS TRANSITIONS



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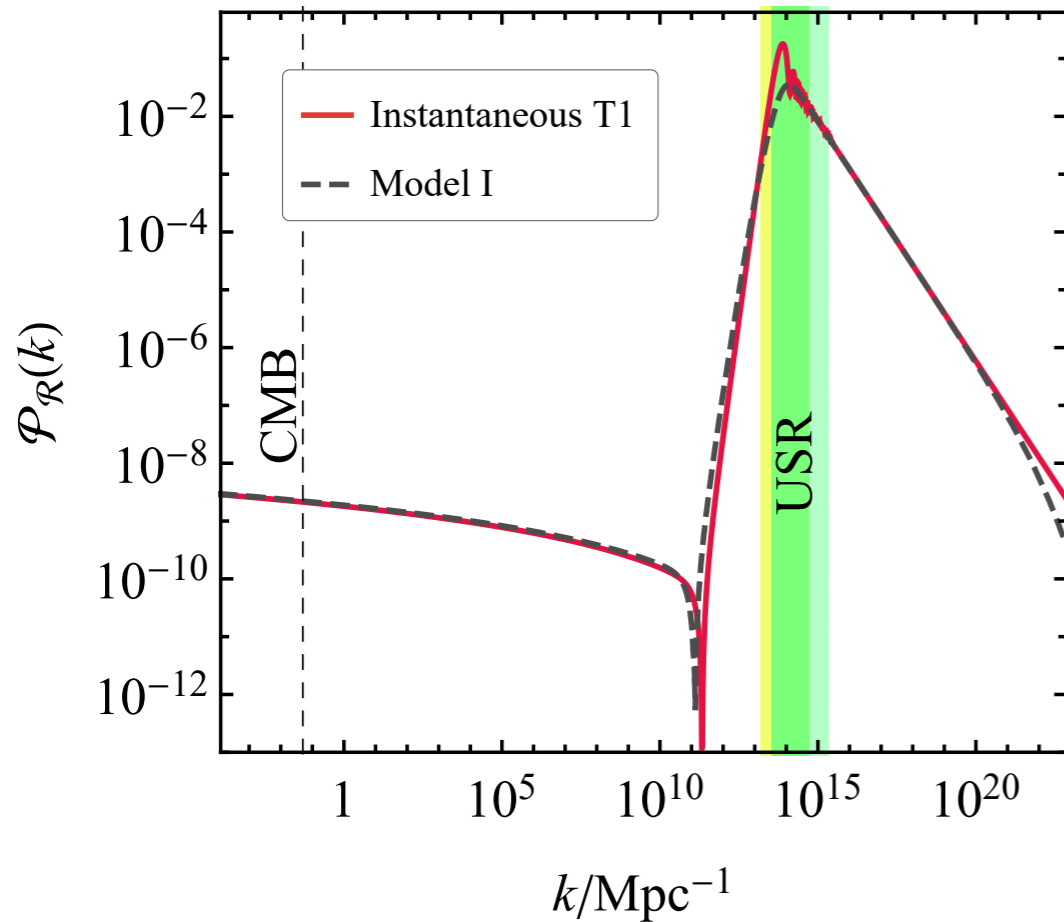
[ 2205.13540 Karam et al ]



CURVATURE POWER SPECTRUM

# A SIMPLIFIED MODEL: INSTANTANEOUS TRANSITIONS

[ 2205.13540 Karam et al ]



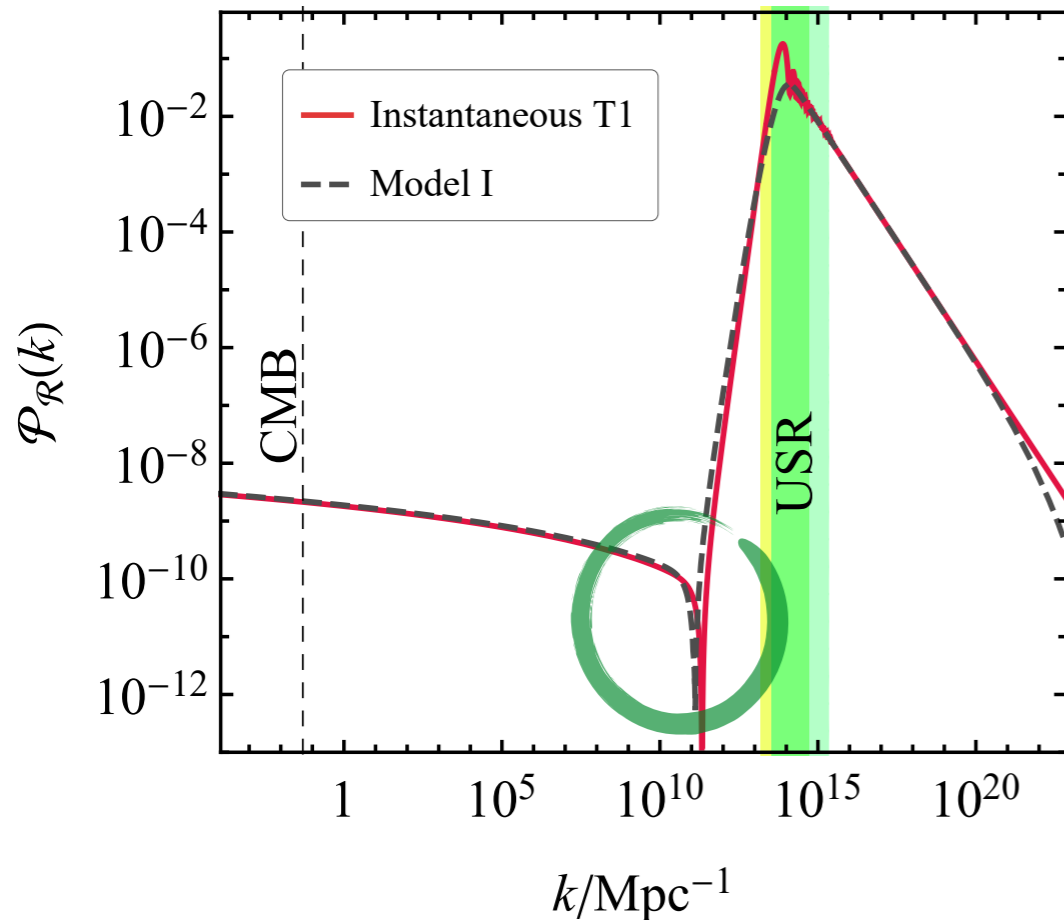
## CURVATURE POWER SPECTRUM

1. can be found analytically
2. contains power spectra viable for both PBHs and CMB
3. approximates quasi-inflection point models quite well



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[ 2205.13540 Karam et al ]

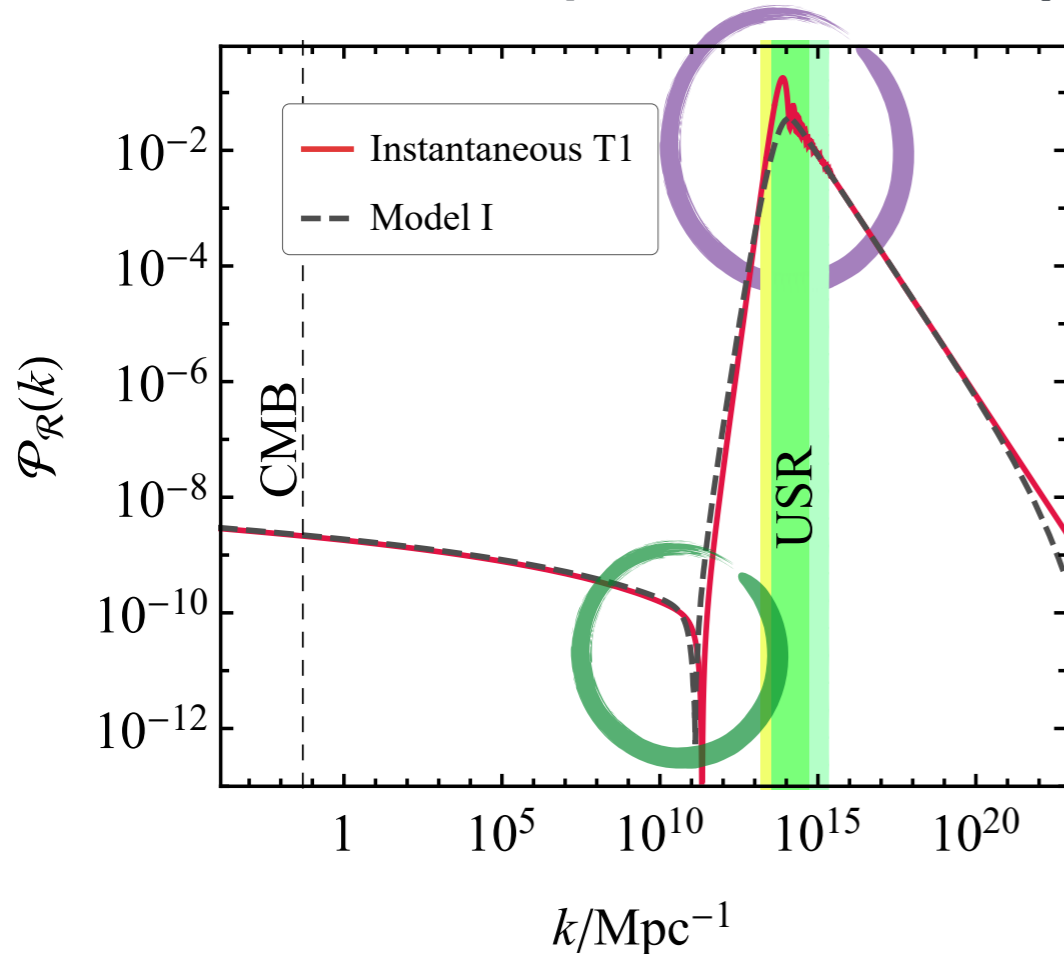


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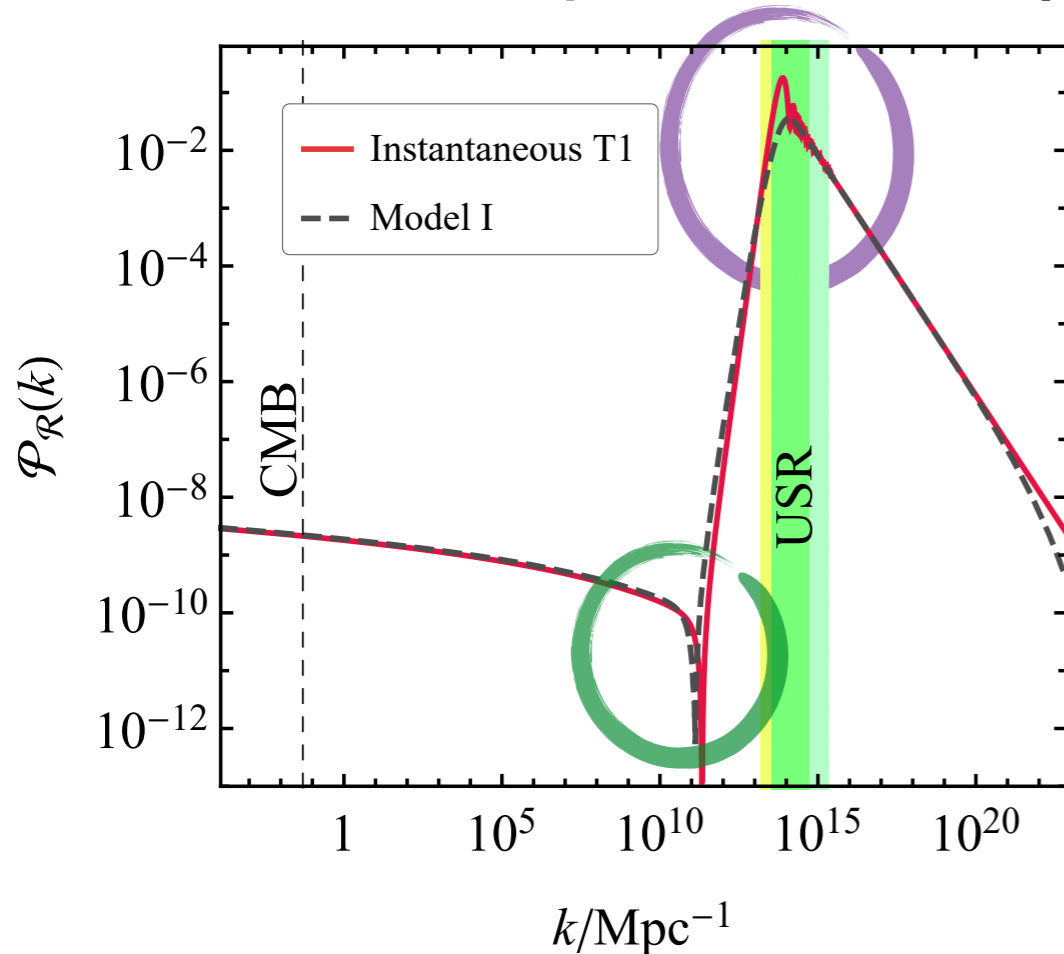


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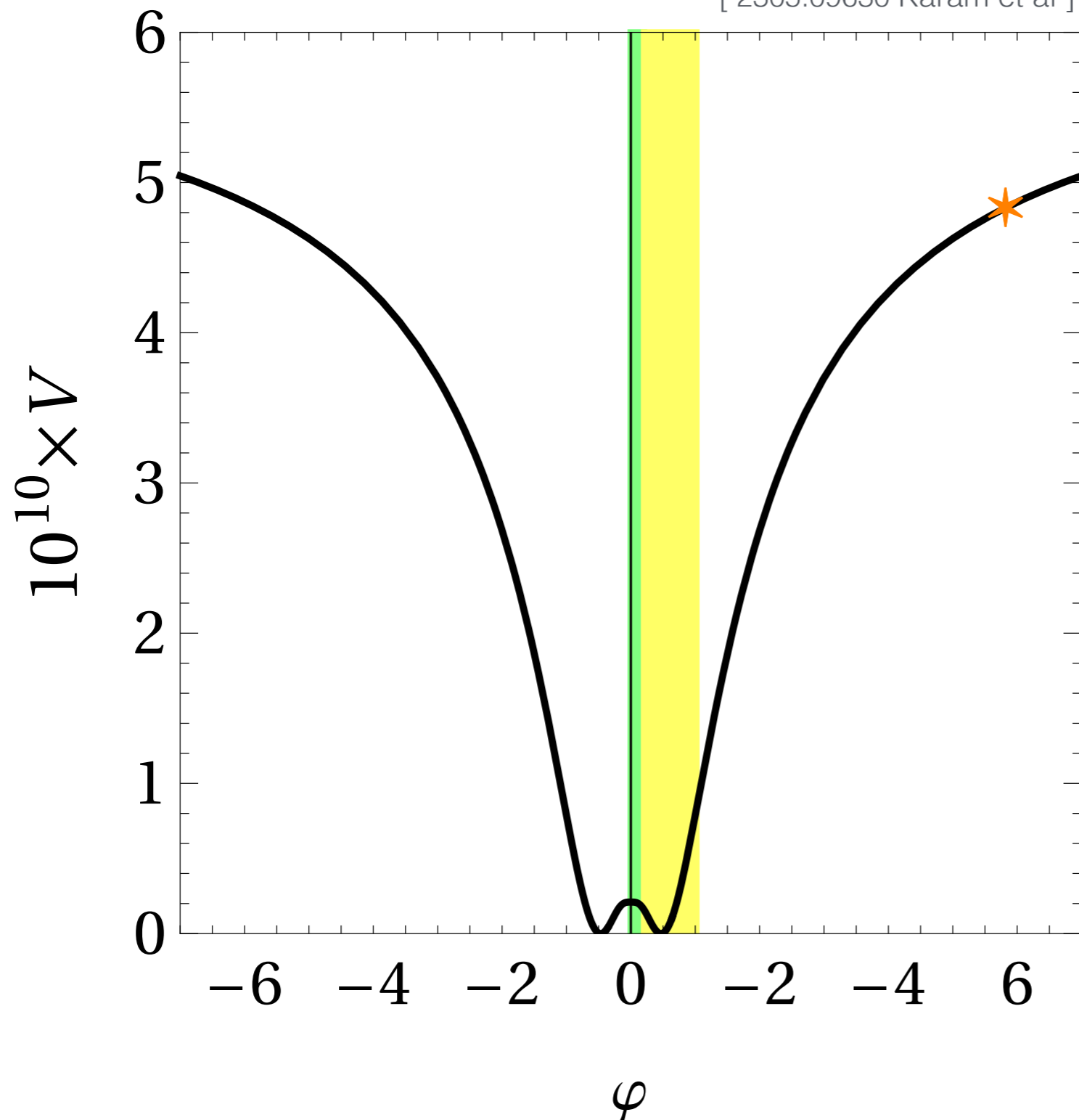
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5. oscillatory features

=> PEAK SHAPE DEPENDS ON THE SR to USR TRANSITION

# DOUBLE-WELL POTENTIALS

[ 2305.09630 Karam et al ]



**a candidate model:**

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2} \Omega(\phi) R - \frac{1}{2} K(\phi) (\partial\phi)^2 - U(\phi) \right]$$

**with**

$$\Omega(\phi) = 1 + \xi \phi^2$$

$$K(\phi) = \left( 1 - \frac{\phi^2}{6\alpha} \right)^{-n}$$

$$U(\phi) = \frac{\lambda}{4} \left[ \frac{1}{2} \phi^4 \left( \ln \frac{\phi^2}{v^2} - \frac{1}{2} \right) + \frac{v^4}{4} \right]$$

**\* the CR phase**

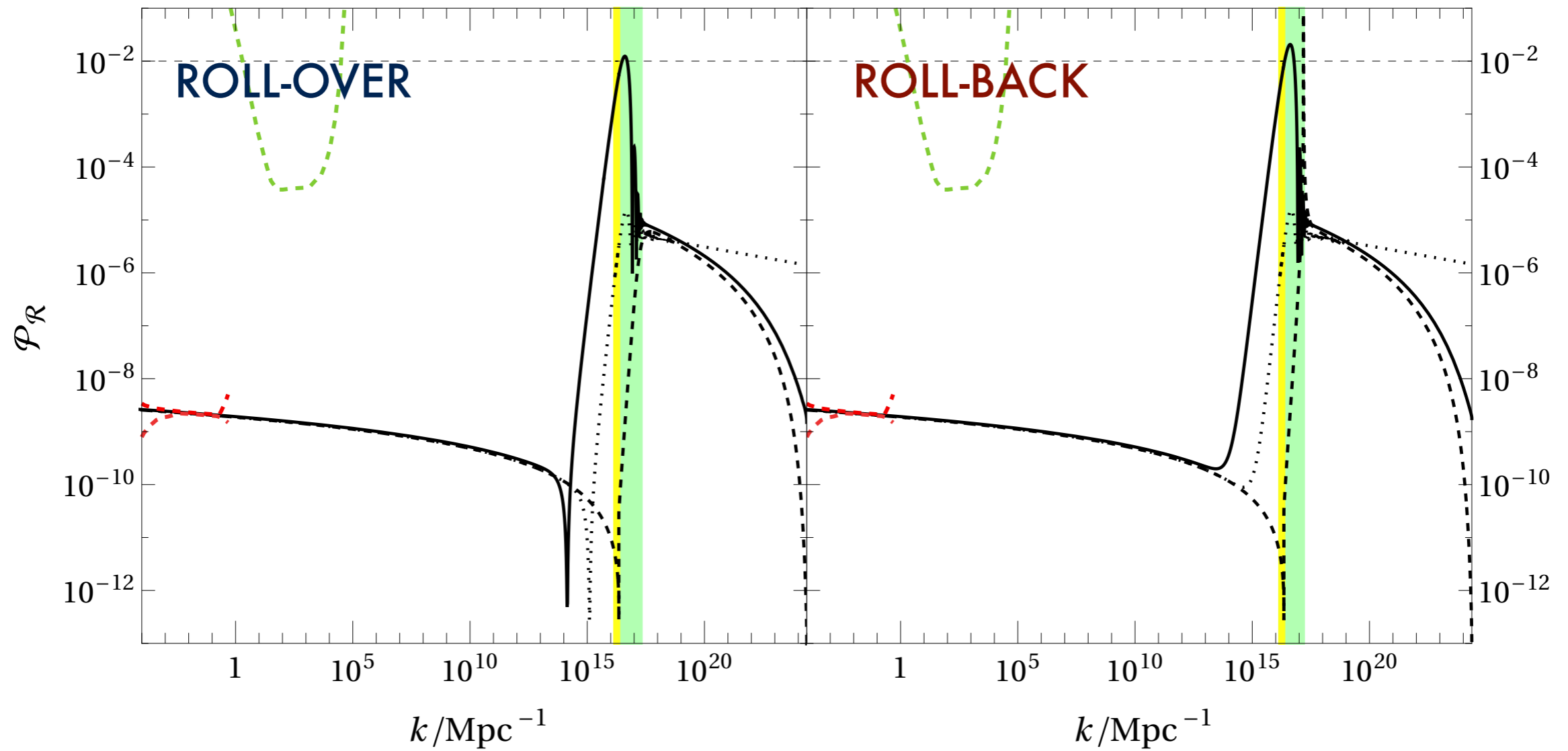
$$\eta_{H,c} \approx \left. \frac{V''}{V} \right|_{\phi=0} = -\frac{m^2}{U_0} - 4\xi$$

**$|\eta_{H,c}|$  can't be too large!!**

\* same inflationary timeline (SR to USR to SR)

# DOUBLE-WELL POTENTIALS: POWER SPECTRUM

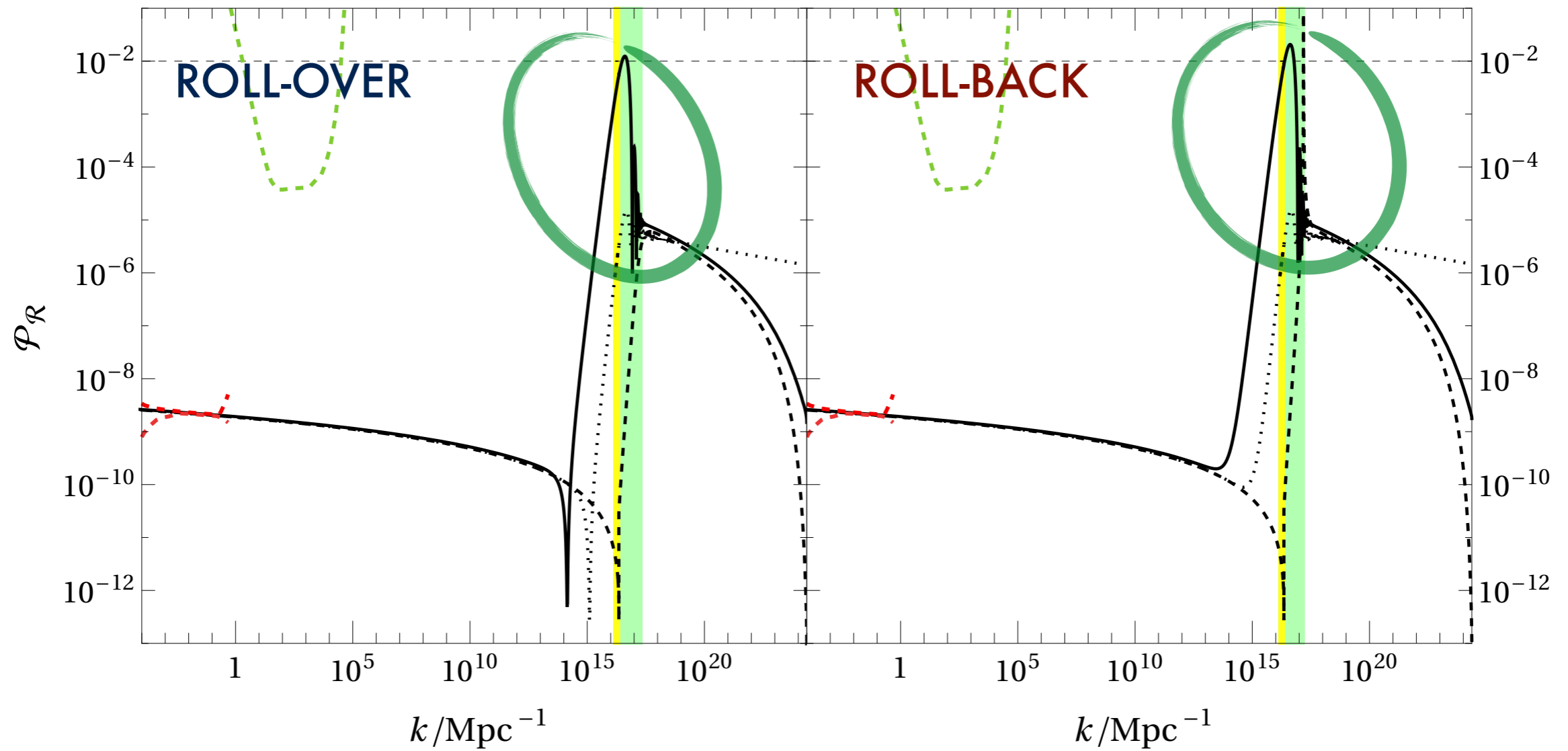
[ 2305.09630 Karam et al ]



# DOUBLE-WELL POTENTIALS: POWER SPECTRUM

enhanced oscillatory features

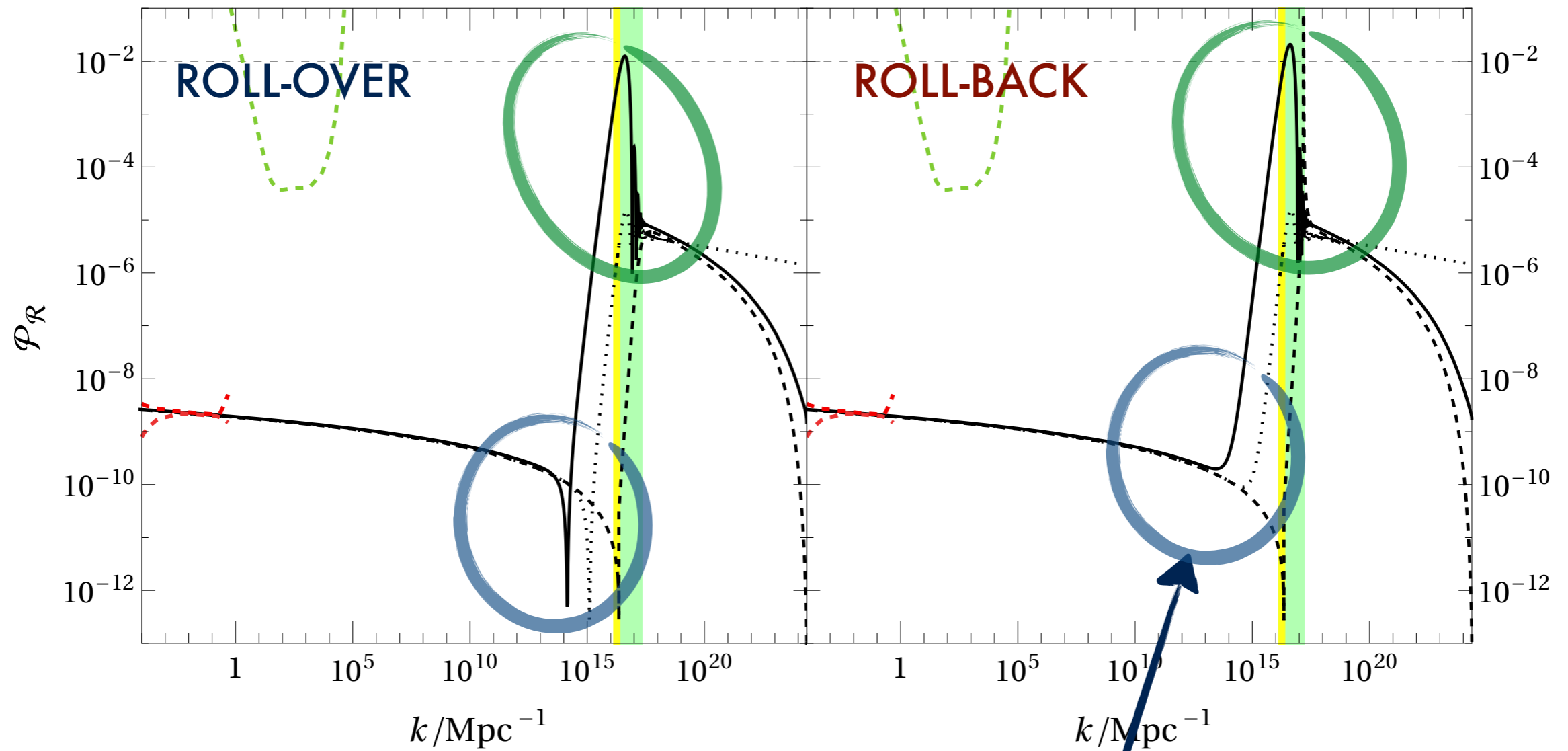
[ 2305.09630 Karam et al ]



# DOUBLE-WELL POTENTIALS: POWER SPECTRUM

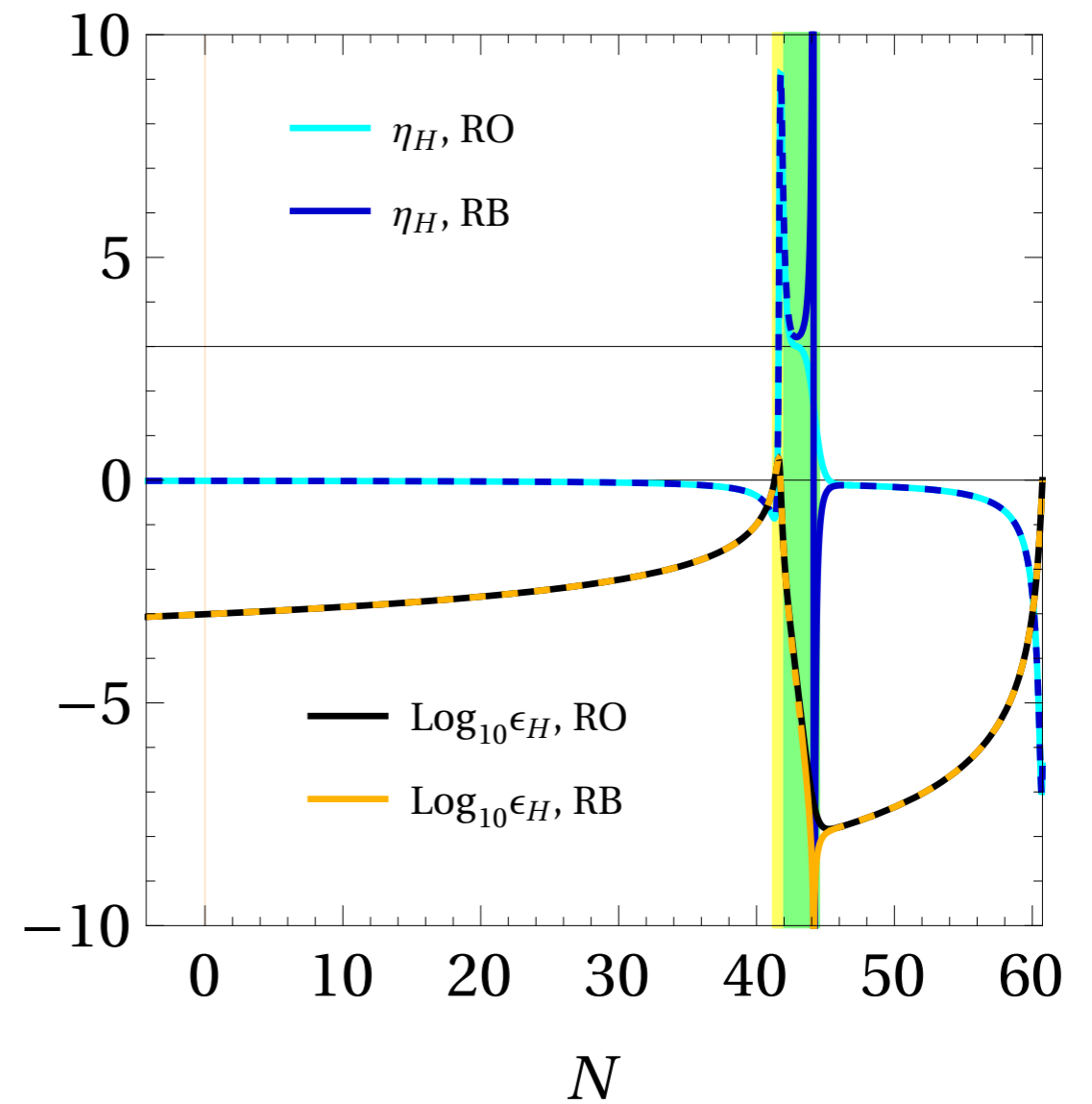
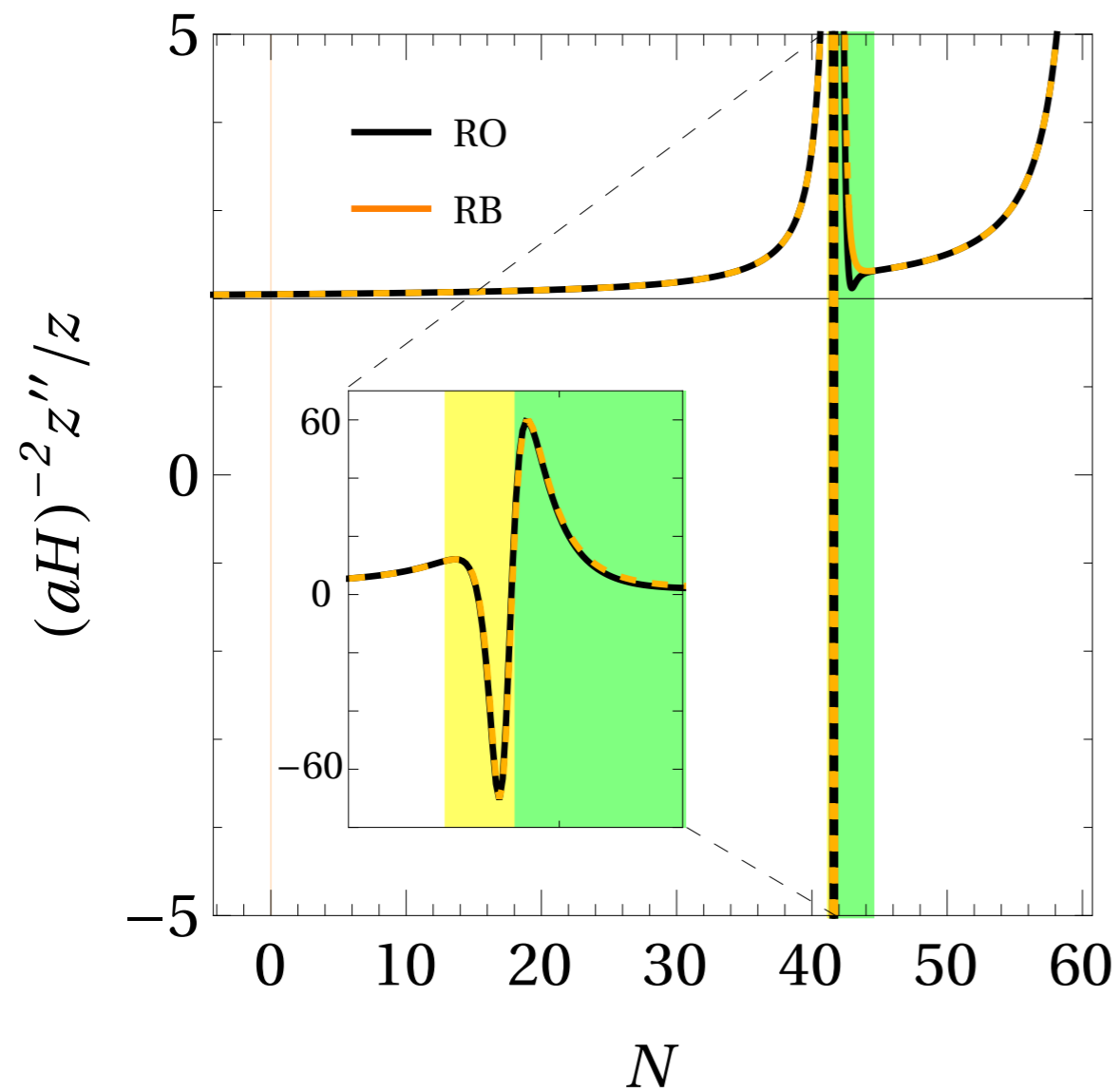
enhanced oscillatory features

[ 2305.09630 Karam et al ]



dip disappears

# DOUBLE-WELL POTENTIALS: SPECTRAL OSCILLATIONS



STRONG TIME DEPENDENCE IN MODE EVOLUTION



# DOUBLE-WELL POTENTIALS: *THE DIP*

FIRST PHASE

SECOND PHASE

$$P_{\mathcal{R}}(k) \approx \left| \theta(\mathcal{H}_c - k) \left[ \frac{H^2}{2\pi\dot{\phi}} \right]_{\mathcal{H}=k} + \frac{k p(k/\mathcal{H}_c, \lambda_2)}{k_{\text{CR}} |p(k_{\text{CR}}/k_c, \lambda_2)|} \left[ \frac{\Gamma(\lambda_2)}{2^{3/2-\lambda_2}\pi^{3/2}} \frac{H^2}{\dot{\phi}} \right]_{k=k_{\text{CR}}} \right|^2$$

during SR
↑  
shape function
during CR

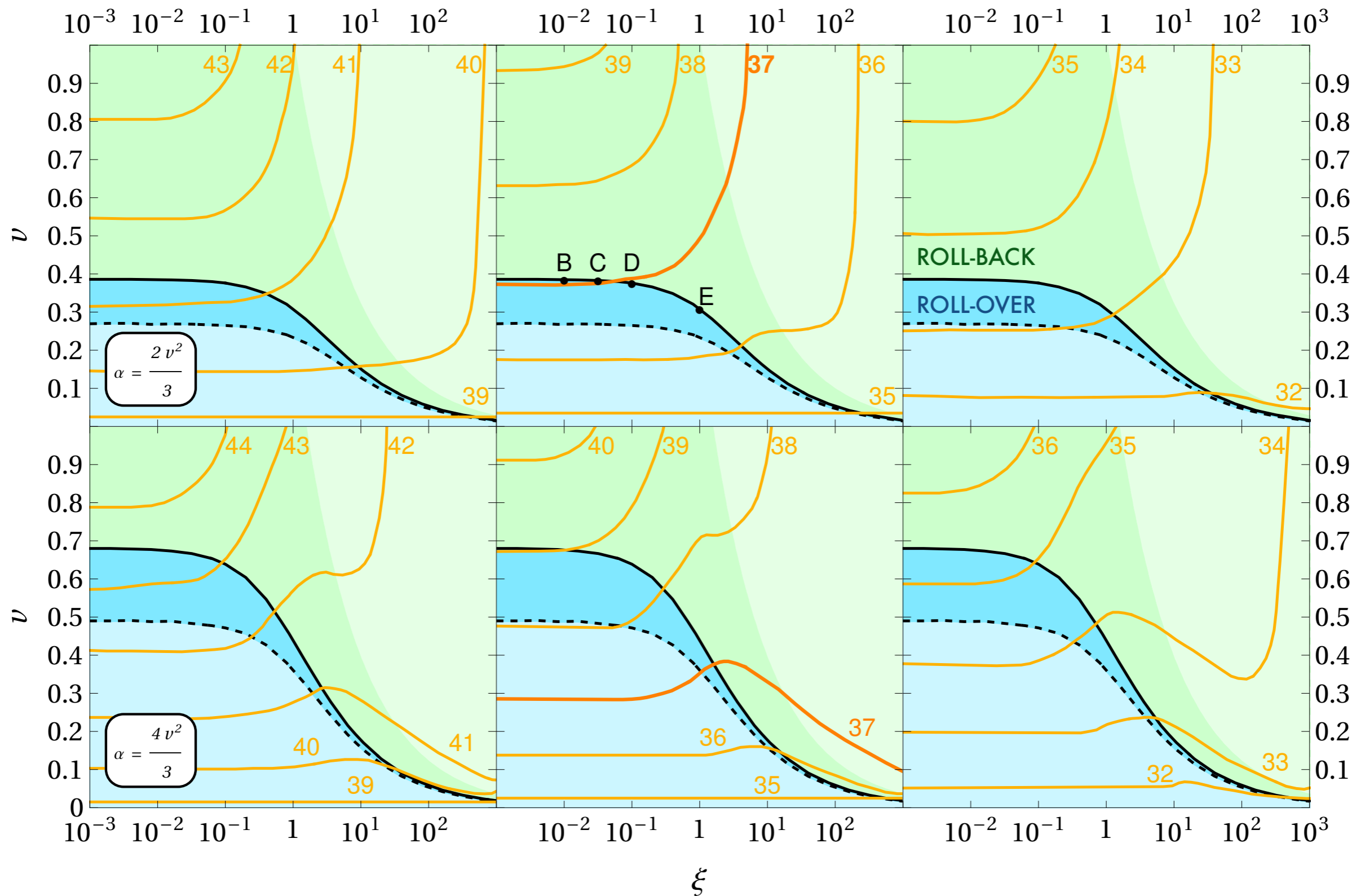
DIP CAN BE REMOVED VIA VELOCITY REVERSAL

# DOUBLE-WELL POTENTIALS: *TUNING* for CMB

$$n_s = 0.9649$$

$$n_s = 0.96072$$

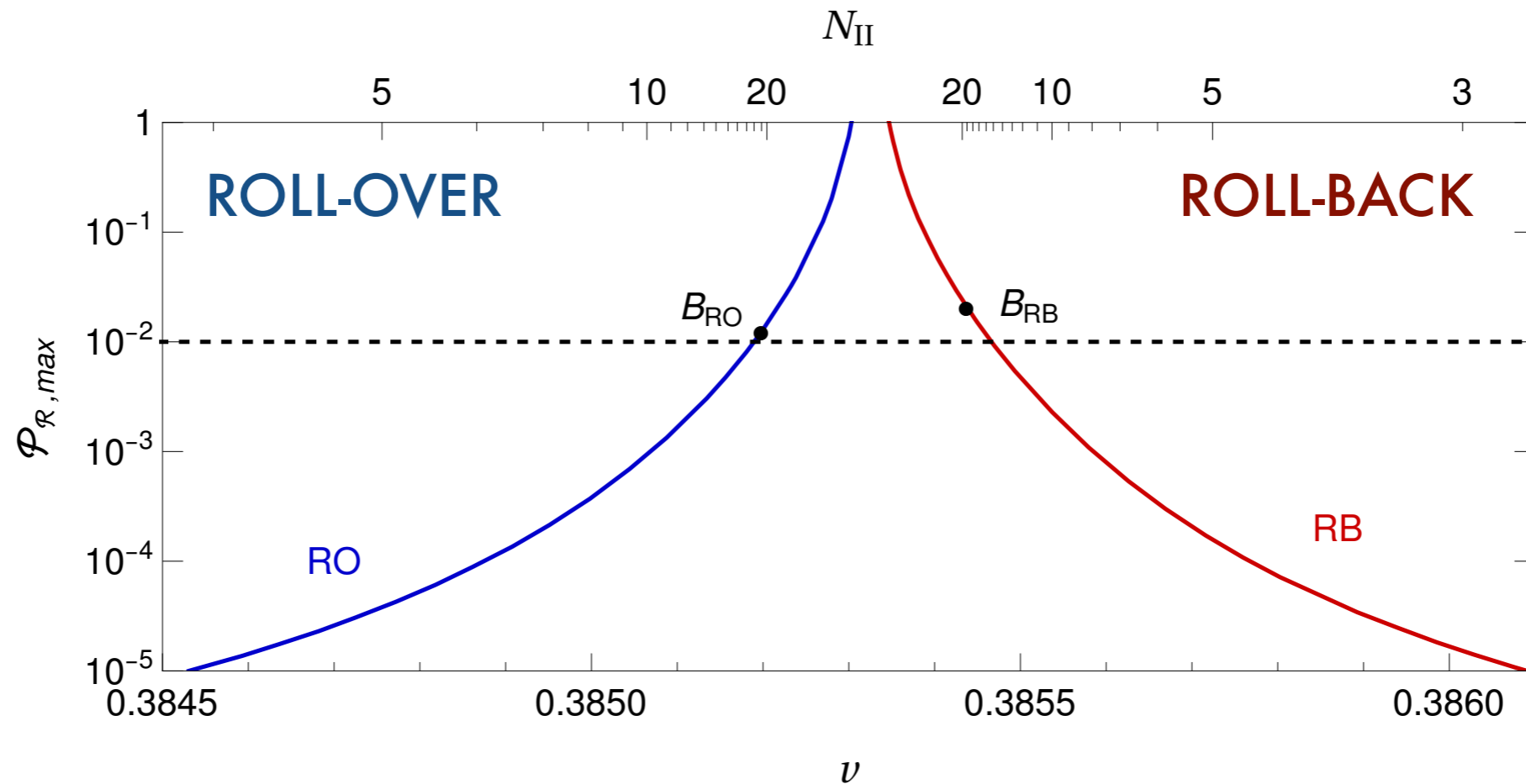
$$n_s = 0.95652$$



NESSECITY for  
TUNING:

1. abundance *exponentially* sensitive to *peak height*
2. *peak height* polynomially sensitive to parameters of the model

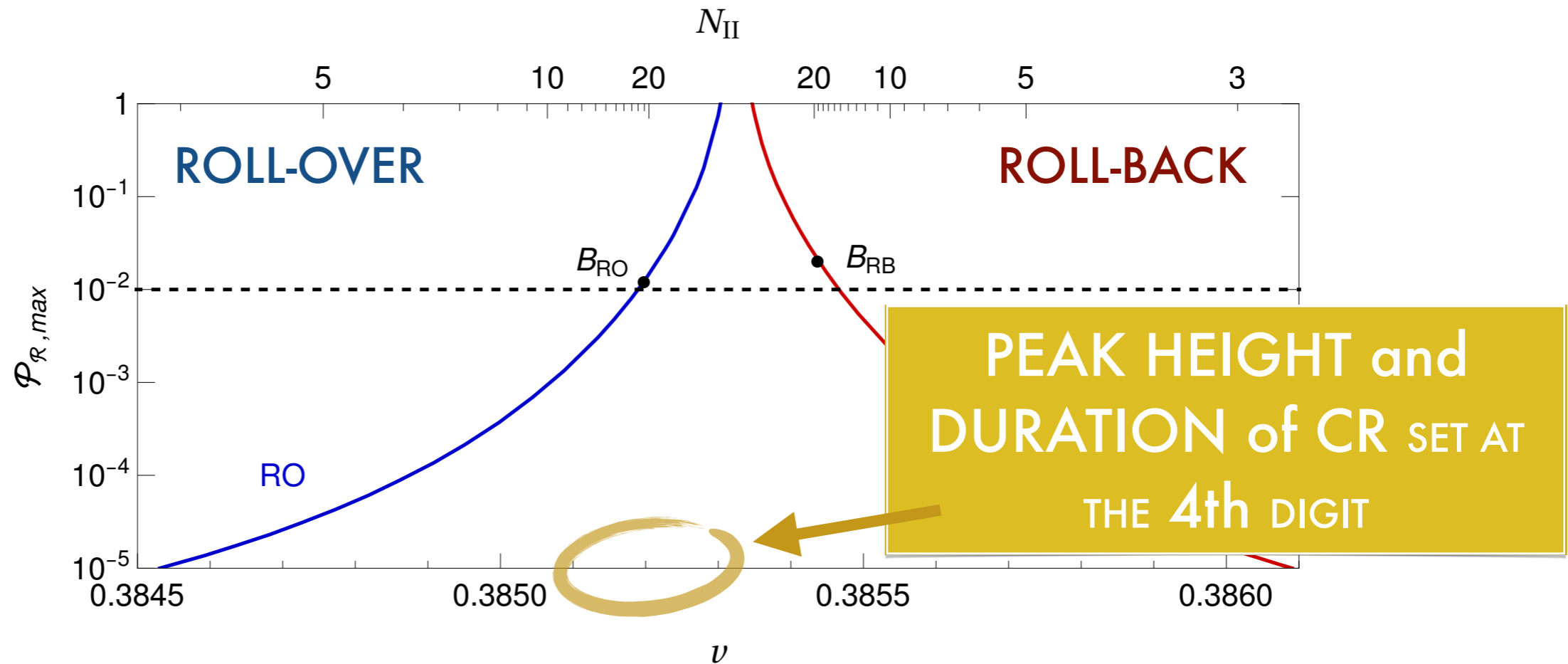
$$\mathcal{P}_{\mathcal{R},\max} \approx 5 \times 10^{-16} |v/v_c - 1|^{-3.9}$$



NECESSITY for  
TUNING:

1. abundance *exponentially* sensitive to **peak height**
2. **peak height** polynomially sensitive to parameters of the model

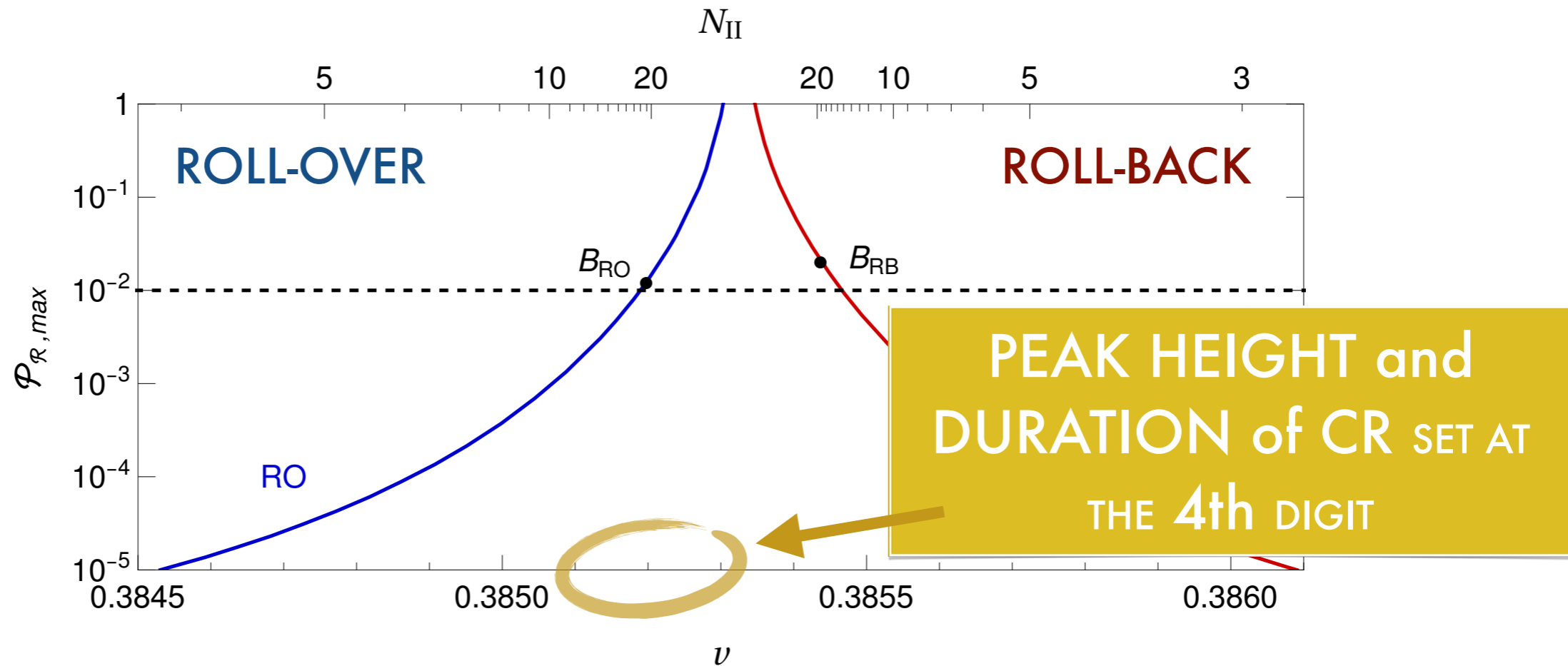
$$\mathcal{P}_{\mathcal{R},\max} \approx 5 \times 10^{-16} |v/v_c - 1|^{-3.9}$$



NESSECITY for  
TUNING:

1. abundance **exponentially** sensitive to **peak height**
2. **peak height** polynomially sensitive to parameters of the model

$$\mathcal{P}_{\mathcal{R},\max} \approx 5 \times 10^{-16} |v/v_c - 1|^{-3.9}$$



Less tuning with sizeable spectral oscillations

## In single field inflation...

- the **length of the USR-like** phase **does not** determine the enhancement of the power spectrum (*strictly speaking, USR is uncommon*)
- the **peak shape** is determined by the SR to USR transition which is **the most model dependent feature** of the spectrum (*non-Gaussianities?*)
- **no steepest growth** without additional assumptions